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Government
of Canada

Gouvernement
du Canada

252
Government
Publications

Info Source

**Sources
of Federal
Government
Information
1996-1997**

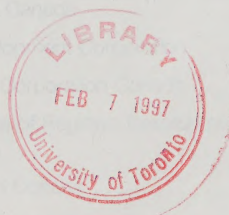
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Info Source[®]

**Sources
of Federal
Government
Information
1996-1997**

Treasury Board Secretariat
Chief Information Officer Branch
Information, Communications and Security Policy Division



Info Source

®

Sources
of Federal
Government
Information
1996-1997



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Introduction

Note: This introduction to **Info Source** is in large print to assist persons with visual disabilities.

About Info Source

This book, **Info Source: Sources of Federal Government Information**, will make it fast and easy for you to find out about the Government of Canada, its organization and its information holdings. It will help you determine where you should go, write or call to make government enquiries, and is likely to be the first and only step you'll need to take to locate the source of the information you want.

This book is also the key to learning how to exercise your rights under two Canadian laws – the *Access to Information Act* and the *Privacy Act*. This Introduction tells you what you need to know to request information under those legislations.

First, however, it is helpful for you to understand the basic differences between the *Access to Information Act* and the *Privacy Act*. The information contained under each Act is different and there are different procedures to obtain it. The following pages describe the essential details.

Info Source combines and replaces the **Access Register** and the **Index to Personal Information**, two publications you might have seen or used before.

The annual edition of **Info Source** is complemented by bulletins, the **Directory of Federal Government Enquiry Points** and the **Directory of Federal Government Databases**.

Inside Info Source

This book has three main components:

Table of Contents

The Table of Contents is organized alphabetically by the commonly used name of the department or agency, such as Agriculture and

Agri-Food Canada or Health Canada, rather than by their legal titles, Department of Agriculture and Agri-Food or Department of Health.

Introduction

The Introduction includes:

- information about the terms used throughout the book, some essential points about the *Access to Information Act* and the *Privacy Act*, and directions on how to go about locating information by using **Info Source** or by making a formal request under either Act;
- a listing of **Access to Information and Privacy Coordinators**, which is organized in the same order as the Table of Contents, gives you the address and telephone number of all Access to Information and Privacy offices; and
- the description of the **Standard Program Records**. This is a category of records of an administrative nature which have been standardized across the government.

Chapters

There is one chapter for each federal government department or agency subject to the *Access to Information Act* and the *Privacy Act*, or to the *Privacy Act* only.

Except for the institutions subject to the *Privacy Act* only, each chapter contains:

General Information about the institution, including:

- Background
- Responsibilities
- Legislation
- Organization

Information Holdings including:

- Program Records
- Standard Program Records
- Personal Information Banks

- Classes of Personal Information
- Manuals

Additional Information including:

- Address of a central information source, as well as regional addresses, if any
- Reading Room(s)

Useful terms

Access to Information and Privacy Coordinator Each federal government department or agency has an Access to Information and Privacy Coordinator. The Coordinator's Office is staffed by people who can answer questions and help you identify the records you wish to see. The Coordinators may be contacted in person, by telephone or by letter. If you send a letter, include as much information as you can to help the staff locate the records you want and send you a reply as soon as possible.

Classes of Personal Information Other personal information not used administratively or not retrievable by personal identifier, for instance unsolicited opinions, complaints or correspondence, is described under "Classes of Personal Information". This category was included to ensure that government departments and agencies account for all personal information which they hold.

Manuals The manuals listed under this title contain the directives, instructions, guidelines or procedures which are used by the employees of a department or agency to carry out its operations, activities or programs.

Personal Information Banks Personal Information Banks provide a summary of the type of information about individuals which is held by federal departments and agencies. The *Privacy Act* requires that Personal Information Banks include all personal information that is organized and retrievable by a person's name or by an identifying number, symbol or other particular assigned only to that person. Personal Information Banks must also include personal information

which has been or is being used, or is available for use for an administrative purpose.

Personal Information Banks – Federal Employees The Personal Information Banks for Government of Canada employees are now described in a separate publication, **Info Source: Sources of Federal Employee Information**. This book will be available in the office of the Access to Information and Privacy Coordinator at each federal government department or agency, federal government personnel offices and federal libraries.

Program Records The Program Records provide pointers to program information held by each federal government department and agency, and describe the subject areas covered by these programs.

Program Record Number or Bank Number The Program Record Number or the Bank Number you see at the end of each Program Record or Personal Information Bank helps the Access to Information and Privacy office to locate the information you require.

Reading Room The *Access to Information Act* requires all government institutions to provide facilities where their manuals can be inspected. The location of one or more reading rooms is provided under this heading.

Standard Program Records Many departments and agencies use Standard Program Records to list information on administrative subject areas which are common to many institutions, for example Accounts and Accounting, Budgets, Buildings, etc.

Access to Information Act

The *Access to Information Act* gives Canadian citizens as well as people and corporations present in Canada the right to have access to federal government records that are not of a personal nature. The Act complements but does not replace other procedures for obtaining government information. It is not intended to limit in any way the access to government information that is normally available to the public upon request.

Wide range of information You can ask for information, no matter what form it is in, including letters, memos, reports, photographs, films, microfilms and computerized data. This **Info Source** book has been designed to help you pinpoint the department or agency where the information is held and assists you in obtaining it.

Using the Access to Information Act

Some information may be exempt or excluded under the Act.

- **Exemptions:** Exemptions protect certain types of information that could cause harm if released. For example, some information on national security, law enforcement or trade secrets falls into this category.
- **Exclusions:** The *Access to Information Act* does not apply to public information that is already available, such as publications and material in libraries and museums. It also excludes material such as Cabinet documents. Of course, the Act cannot give you access to records that are not controlled by the federal government, such as those maintained by provincial or municipal governments or by private organizations such as commercial banks and credit bureaus.

How to apply

Once you have decided to make a formal request under the *Access to Information Act*, there are certain simple procedures to follow:

- Consult **Info Source** to find the appropriate department or agency.
- Obtain an Access to **Information Request Form** from any location where **Info Source** is available.
- If it is not convenient for you to fill in a form, you may send a letter, mentioning the Act (see details below).
- Enclose the application fee (see details below).
- Send the form or the letter to the Access to Information Coordinator at the appropriate department or agency.

If you decide to submit a letter, you must state clearly that you are requesting information under the *Access to Information Act*, and include the following:

- name of the government department or agency you believe has the information you want;
- description (be as specific as possible) of the records you want to see;
- preferred method of seeing the records (e.g., do you want to receive photocopies of the original documents or see the originals in the government office where they are located?);
- your name, street, address, city or town, province or territory, postal code, telephone number(s) and your signature;
- date of your request;
- application fee.

Fees and costs An application fee of \$5 (subject to change) applies and additional costs may be charged for each request. You will be notified in advance if there are additional costs and you may be asked to make a deposit. Cheques and money orders are payable to the Receiver General of Canada.

Turnaround time Government departments must acknowledge your request under the *Access to Information Act* within 30 days. However, in special cases, they may need more time to process your request. If you feel it's taking too long, you can complain to the Information Commissioner.

Information Commissioner

The Information Commissioner investigates formal complaints from members of the public arising from the *Access to Information Act*. If you have a complaint about the denial of access to information, fees charged for access, the time taken to respond to requests, refusal to give access in the official language requested, the **Info Source** book (as well as the **Info Source** computer database) and other matters that relate to requesting or obtaining access to records, you may contact the office of the Information Commissioner at:

Place de Ville, Tower B
112 Kent Street, 3rd Floor
Ottawa, Ontario
K1A 1H3
Telephone: 995-2410 (Ottawa-Hull)
1-800-267-0441 (national toll free number)

When the Information Commissioner's investigation is complete, an individual who is of the opinion that they have not been given access to all information which they are entitled to receive has the right to apply to the Federal Court – Trial Division for a review of the matter.

Privacy Act

In its day-to-day operations, federal government departments and agencies collect personal information from almost all Canadians. The *Privacy Act* gives Canadian citizens and people present in Canada the right to have access to information that is held about them by the federal government. It also protects against unauthorized disclosure of personal information. In addition, it strictly controls how the government will collect, use, store, disclose and dispose of any personal information.

Most information available when you ask Because much of your personal information is available to you informally at your request, this **Info Source** book has been designed to help you pinpoint the department or agency where the information is held and assist you in obtaining it.

Types of personal information held You probably already know about many of the programs and operations of the government that use personal information such as income tax at Revenue Canada, citizenship at Citizenship and Immigration Canada and the employment insurance program at Human Resources Development Canada.

Some personal information is confidential The federal government must keep some personal information confidential under the *Privacy Act*, much of which relates to other people, national security or law enforcement.

How to apply

When you have decided to make a formal request under the *Privacy Act*, there are certain procedures to follow. Remember, you will find what you are looking for faster if you already have a good idea of which department or agency has the information you want.

- Obtain a **Personal Information Request Form** at any location where **Info Source** is available.
- Fill out the form and identify yourself in such a way that the government can verify who you are, i.e. that it is you, and not someone else, asking for your information. The more precise the information you provide, the faster your request can be answered.
- Send the form to the Privacy Coordinator of the appropriate department or agency.
- There is no charge to apply for information under the *Privacy Act*.

To change the information If you believe the information which a federal institution has on file about you is untrue or misleading, you can ask to have it corrected. Even if the department or agency does not agree to change this information, it must make a note that you have asked for the change and attach it to the file.

Safeguarding personal information The protection of your personal information and privacy is also a very important purpose of the *Privacy Act*. The Act states how and when the government can collect, store and dispose of personal information. It also covers specifically why and how the information can be used or given out, as well as who can use or receive it.

Giving out information The government can only disclose your information to someone else with your consent or when one or more of the criteria in the *Privacy Act* are met, such as to comply with a subpoena.

Turnaround time Under the law, all or most of the information you ask for should be disclosed within 30 days of receiving the request. If a time extension is required, you will be notified within the first 30 days and told why up to another 30 days may be needed.

Privacy Commissioner

The Privacy Commissioner is a representative of the people with the power to look into complaints of improper collection, use, storage, disclosure or disposal of personal information. The Privacy Commissioner can also help if you are dissatisfied with the results of your formal application or the time it has taken to see your personal information.

When the Privacy Commissioner's investigation is complete, an individual who is of the opinion that they have not been given access to all of their personal information which they are entitled has the right to apply to the Federal Court – Trial Division for a review of the matter.

You can write or call the Privacy Commissioner's office at:

Place de Ville, Tower B
112 Kent Street, 3rd Floor
Ottawa, Ontario
K1A 1H3

Telephone: 995-2410 in Ottawa-Hull
1-800-267-0441 (national toll free number)

Using Info Source quickly and effectively

Determine the correct chapter Turn to the chapter of the department or agency you think has the information, and check the Program Records and the Personal Information Banks.

If you are unsure about the correct department or agency, a telephone call or letter to any Access to Information and Privacy Coordinator's office should provide the answer.

For persons with disabilities: Individuals who are unable to exercise their rights using regular procedures may obtain further assistance from any Access to Information and Privacy Coordinator's office.

Where to obtain Info Source

For more information about the *Access to Information Act* or the *Privacy Act*, you may contact (please see note below):

Public Affairs Branch

Treasury Board of Canada Secretariat
L'Esplanade Laurier, East Tower
140 O'Connor Street, 9th Floor
Ottawa, Ontario
K1A 0R5
Telephone: (613) 957-2400
Fax: (613) 952-3658
E-mail: infosource@tbs-sct.gc.ca

If you would like a copy of a brochure on the *Access to Information Act* or the *Privacy Act*, please contact:

Treasury Board Distribution Centre

Telephone: (613) 995-2855
Fax: (613) 996-0518

If you would like to purchase a copy of this publication, please contact:

Canada Communications Group – Publishing

Telephone: (819) 956-4802
Fax: (819) 994-1498

For information on the **Info Source** database, please call:

**Information, Communications and Security Policy Division,
Treasury Board of Canada Secretariat**
(613) 957-2408

Please Note: Access to information requests and Privacy requests must be addressed to the appropriate institutions, at the addresses listed in the pages which follow.

Access to Information and Privacy Coordinators

Agricultural Products Board

see Agriculture and Agri-Food
Canada

Agricultural Stabilization Board

see Agriculture and Agri-Food
Canada

Agriculture and Agri-Food Canada

Sir John Carling Bldg.
Room 841
930 Carling Avenue
Ottawa, Ontario
K1A 0C5

(613) 759-6765

Atlantic Canada Opportunities Agency

Blue Cross Centre
644 Main Street, 3rd Floor
P.O. Box 6051
Moncton, New Brunswick
E1C 9J8

(506) 851-3845

Atlantic Pilotage Authority Canada

Purdy's Wharf, Tower 1
Suite 1402,
1959 Upper Water Street
Halifax, Nova Scotia
B3J 3N2

(902) 426-2550

Atomic Energy Control Board

280 Slater Street
P.O. Box 1046, Station B
Ottawa, Ontario
K1P 5S9

(613) 996-9997

Bank of Canada

234 Wellington Street
2nd Floor
Ottawa, Ontario
K1A 0G9

(613) 782-8537

Bureau of Pension Advocates

see Veterans Affairs Canada

Business Development

Bank of Canada

5 Place Ville Marie, Suite 300
Montreal, Quebec
H3B 5E7

(514) 283-3554

Canada Council

350 Albert Street
9th Floor
Ottawa, Ontario
K1P 5V8

(613) 237-3400 Ext:4261

**Canada Deposit
Insurance Corporation**

50 O'Connor Street

17th Floor

Ottawa, Ontario

K1P 5W5

(613) 947-0268

**Canada Employment and
Immigration Commission**

see Human Resources

Development or Citizenship
and Immigration

Canada Labour Relations Board

C.D. Howe Bldg., West Tower

240 Sparks Street

4th floor

Ottawa, Ontario

K1A 0X8

(613) 996-9466

Canada Lands Company Limited

see Public Works and

Government Services Canada

**Canada Mortgage and
Housing Corporation**

700 Montreal Road

Room C2-204

Ottawa, Ontario

K1A 0P7

(613) 748-2843

**Canada-Newfoundland
Offshore Petroleum Board**

TD Place, 140 Water Street

Suite 500

St. John's, Newfoundland

A1C 6H6

(709) 778-1464

**Canada-Nova Scotia
Offshore Petroleum Board**

TD Centre, 6th Floor

1791 Barrington Street

Halifax, Nova Scotia

B3J 3K9

(902) 422-5588

Canada Ports Corporation

99 Metcalfe Street

9th Floor

Ottawa, Ontario

K1A 0N6

(613) 957-6760

Canada Post Corporation –

Privacy only

2701 Riverside Drive

Suite E0341

Ottawa, Ontario

K1A 0B1

(613) 734-6871

**Canadian Centre for
Management Development**

De La Salle Campus
373 Sussex Drive
P.O. Box 420, Station A
Ottawa, Ontario
K1N 8V4

(613) 947-9338

**Canadian Centre for
Occupational Health and Safety**

250 Main Street East
Hamilton, Ontario
L8N 1H6

(905) 572-2981

**Canadian Commercial
Corporation**

50 O'Connor Street
11th Floor
Ottawa, Ontario
K1A 0S6

(613) 996-0116

**Canadian Cultural Property
Export Review Board**

3rd Floor
15 Eddy Street
Hull, Quebec
K1A 0M5
(819) 997-7761

Canadian Dairy Commission

1525 Carling Avenue
Ottawa, Ontario
K1A 0Z2

(613) 998-9490

**Canadian Environmental
Assessment Agency**

13th Floor
200 Sacré-Coeur Blvd.
Hull, Quebec
K1A 0H3
(819) 953-5537

**Canadian Film
Development Corporation**

Tour de la Banque nationale
14th floor
600 de la Gauchetiere St. West
Montreal, Quebec
H3B 4L2
(514) 283-6363

Canadian Forces

see National Defence

**Canadian Government
Standards Board**

see Public Works and
Government Services Canada

Canadian Grain Commission

see Agriculture and Agri-Food
Canada

Canadian Heritage

Room 1496
Jules Léger Building
25 Eddy Street
Hull, Québec
K1A 0M5
(819) 997-2894

**Canadian Human
Rights Commission**

Place de Ville, Tower A
320 Queen Street, 13th Floor
Ottawa, Ontario
K1A 1E1

(613) 943-9047

**Canadian International
Development Agency**

Place du Centre, 12th floor
200, promenade du Portage
Hull, Quebec
K1A 0G4

(613) 997-0849

**Canadian International
Trade Tribunal**

Standard Life Centre
333 Laurier Ave. West
15th floor
Ottawa, Ontario
K1A 0G7

(613) 993-4717

**Canadian Museum
of Civilization**

100 Laurier Street
Box 3100, Station B
Hull, Quebec
J8X 4H2

(613) 776-7115

Canadian Museum of Nature

Victoria Memorial Museum Building
Metcalf and McLeod Streets
P.O. Box 3443, Station D
Ottawa, Ontario
K1P 6P4

(613) 954-9879

Canadian Pension Commission

see Veterans Affairs Canada

Canadian Polar Commission

Constitution Square, Suite 1710
360 Albert Street
Ottawa, Ontario
K1R 7X7

(613) 943-8605

**Canadian Radio-television
and Telecommunications
Commission**

Les Terrasses de la Chaudiere
1 Promenade du Portage
5th Floor
Hull, Quebec
K1A 0N2

(819) 994-5366

**Canadian Security
Intelligence Service**

P.O. Box 9732
Station Terminal T
Ottawa, Ontario
K1G 4G4

(613) 231-0107

Canadian Space Agency

6767 Route de l'aéroport

St. Hubert, Quebec

J3Y 8Y9

(514) 926-4866

Canadian Transportation Agency

Jules Leger Building

15 Eddy Street, 16th Floor

Hull, Quebec

K1A 0N9

(819) 994-2564

Canadian Wheat Board –

Privacy only

423 Main Street

P.O. Box 816

Winnipeg, Manitoba

R3C 2P5

(204) 983-1752

Citizenship and Immigration Canada

3rd Floor, Journal Tower North

300 Slater Street

Ottawa, Ontario

K1A 1L1

(819) 957-6512

Communications Canada

see Canadian Heritage, Industry

Canada or Public Works and

Government Services

Consumer and Corporate Affairs Canada

see Industry Canada, Canadian

Heritage or Agriculture and

Agri-Food Canada

Copyright Board Canada

56 Sparks Street, Room 800

Ottawa, Ontario

K1A 0C9

(613) 952-8628

Correctional Investigator Canada, The

275 Slater Street

Room 402

Ottawa, Ontario

K1P 5H9

(613) 990-2692

Correctional Service of Canada

1st Floor, Section C

340 Laurier Avenue West

Ottawa, Ontario

K1A 0P9

(613) 995-3466

Custodian of Enemy Property

Public Works and Government

Services Canada

Defence Construction Canada

Place de Ville, Tower B

112 Kent Street, 17th Floor

Ottawa, Ontario

K1A 0K3

(613) 998-9539

Department of Finance Canada

Esplanade Laurier, East Tower
140 O'Connor Street
21st Floor
Ottawa, Ontario
K1A 0G5
(613) 992-6923

Department of Justice Canada

Justice Building, Room 34
239 Wellington Street
Ottawa, Ontario
K1A 0H8
(613) 952-0617

**Department of the Secretary
of State of Canada**

see Human Resources
Development, Canadian Heritage
or Public Works and Government
Services

Director of Soldier Settlement

see Veterans Affairs Canada

Director Veterans' Land Act, The

see Veterans Affairs Canada

**Employment and
Immigration Canada**

see Citizenship and Immigration or
Human Resources Development

**Energy, Mines and
Resources Canada**

see Natural Resources Canada

**Energy Supplies
Allocation Board**

see Natural Resources Canada

Environment Canada

Terrasses de la Chaudière
10 Wellington Street, 4th floor
Hull, Quebec
K1A 0H3
(819) 997-2992

**Export Development
Corporation – Privacy only**

151 O'Connor Street
6th Floor
Ottawa, Ontario
K1P 1K3
(613) 598-2899

**External Affairs and
International Trade Canada**

see Foreign Affairs and
International Trade Canada

**Farm Credit
Corporation Canada**

1800 Hamilton Street
P.O. Box 4320
Regina, Saskatchewan
S4P 4L3
(306) 780-8608

**Federal Mortgage
Exchange Corporation**

see Department of Finance
Canada

Federal Office of Regional Development (Quebec)

800 Place Victoria, Room 3800
C.P. 247
Montreal, Québec
H4Z 1E8
(514) 283-8418

Federal-Provincial Relations Office

see Privy Council Office

Fisheries and Oceans

Centennial Towers
200 Kent Street, Station 948
Ottawa, Ontario
K1A 0E6
(613) 990-3438

Fisheries and Oceans Research Advisory Council

see Fisheries and Oceans

Fisheries Prices Support Board

see Fisheries and Oceans

Foreign Affairs and International Trade Canada

Lester B. Pearson Building,
Main Floor
125 Sussex Drive (JIX)
Ottawa, Ontario
K1A 0G2
(613) 992-1487

Forestry Canada

see Natural Resources Canada

Freshwater Fish Marketing Corporation

1199 Plessis Road
Winnipeg, Manitoba
R2C 3L4
(204) 983-6461

Great Lakes Pilotage Authority Canada

202 Pitt Street, 2nd Floor
P.O. Box 95
Cornwall, Ontario
K6J 3P7
(613) 933-2991

Hazardous Materials Information Review Commission

200 Kent Street, Suite 9000
Ottawa, Ontario
K1A 0M1
(613) 993-4331

Health and Welfare Canada

see Health Canada

Health Canada

Brooke Claxton Building (0909D)
Room 967D
Tunney's Pasture
Ottawa, Ontario
K1A 0K9
(613) 957-3051

**Historic Sites and Monuments
Board of Canada**

Jules-Léger Building

5th Floor

Les Terrasses de la Chaudière

25 Eddy Street

Hull, Québec

K1A 0M5

(819) 997-4059

**Human Resources
Development Canada**

Place du Portage, Phase IV

140 Promenade du Portage

4th Floor

Hull, Québec

K1A 0J9

(819) 994-0416

Immigration and Refugee Board

222 Nepean Street, 7th Floor

Ottawa, Ontario

K1A 0K1

(613) 995-3514

**Indian and Northern
Affairs Canada**

Les Terrasses de la Chaudière

North Tower

10 Wellington Street

Room 517

Hull, Quebec

K1A 0H4

(819) 997-8277

Industry Canada

C.D. Howe Building

235 Queen Street

1st floor East, Room 182B

Ottawa, Ontario

K1A 0H5

(613) 954-2752

**Industry, Science and
Technology Canada**

see Industry Canada

**International Centre
for Human Rights and
Democratic Development**

63 De Brésolles, Suite 100

Montreal, Québec

H2Y 1V7

(514) 283-6073

**International Development
Research Centre**

250 Albert Street, 13th Floor

Ottawa, Ontario

K1G 3H9

(613) 236-6163, ext. 2123

**Jacques Cartier and
Champlain Bridges Inc.**

see The St. Lawrence Seaway
Authority

Labour Canada

see Human Resources
Development

**Laurentian Pilotage
Authority Canada**

715 Victoria Square
6th Floor
Stock Exchange Tower
P.O. Box 680
Montreal, Québec
H4Z 1J9

(514) 496-1805

**Medical Research
Council of Canada**

Holland Cross Building
Tower B, 5th Floor
1600 Scott Street
Ottawa, Ontario
K1A 0W9

(613) 954-1812

**Merchant Seamen
Compensation Board**

see Human Resources
Development Canada

**Multiculturalism and
Citizenship Canada**

see Canadian Heritage or
Citizenship and Immigration

National Archives of Canada

395 Wellington Street
Room 128
Ottawa, Ontario
K1A 0N3

(613) 995-5493 – Access to
Information

(613) 947-8468 – Privacy

**National Arts Centre – Privacy
only**

1 Confederation Square
P.O. Box 1534, Station B
Ottawa, Ontario
K1P 5W1

(613) 996-5051

**National Battlefields
Commission**

390 de Bernières Avenue
Québec, QC
G1R 2L7

(418) 648-3506

National Capital Commission

40 Elgin Street
3rd Floor
Ottawa, Ontario
K1P 1C7

(613) 239-5198

National Defence

Centre Block North
101 Colonel By Drive
13th Floor
Ottawa, Ontario
K1A 0K2

(613) 995-8393

National Energy Board

311 - 6th Avenue South West
Calgary, Alberta
T2P 3H2

(403) 299-2717

National Farm Products Council

Martel Building
270 Albert Street, 13th Floor
P.O. Box 3430, Station D
Ottawa, Ontario
K1P 6L4
(613) 995-8840

National Film Board of Canada

P.O. Box 6100, Station A
Montreal, Quebec
H3C 3H5
(514) 283-9028

National Gallery of Canada

380 Sussex Drive
Room 532
P.O. Box 427, Station A
Ottawa, Ontario
K1N 9N4
(613) 991-0040

National Library of Canada

395 Wellington Street
Room 215
Ottawa, Ontario
K1A 0N4
(613) 996-2892

**National Museum of
Science and Technology**

2421 Lancaster Road
P.O. Box 9724, Station T
Ottawa, Ontario
K1G 5A3
(613) 991-3033

National Parole Board

Sir Wilfrid Laurier Building
340 Laurier Avenue West
9th Floor
Ottawa, Ontario
K1A 0R1
(613) 954-5946

**National Research
Council Canada**

Building M-58, Montreal Road
Room S-306
Ottawa, Ontario
K1A 0R6
(613) 990-2558

Natural Resources Canada

580 Booth Street
2nd Floor
Ottawa, Ontario
K1A 0E4
(613) 943-0469

**Natural Sciences and
Engineering Research
Council of Canada**

350 Albert Street, 13th Floor
Ottawa, Ontario
K1A 1H5
(613) 995-6214

**Northern Pipeline
Agency Canada**

Lester B. Pearson Building
125 Sussex Drive
Ottawa, Ontario
K1A 0G2
(613) 993-7466

**Northwest Territories
Water Board**

Precambrian Building
9th Floor
P.O. Box 1500
Yellowknife, Northwest Territories
X1A 2R3
(403) 920-8191

**Office of the Auditor
General of Canada – Privacy only**
240 Sparks Street
Room 1117
Ottawa, Ontario
K1A 0G6

(613) 995-3708, Ext 6371

**Office of the Chief Electoral
Officer – Privacy only**
9th Floor, Jackson Building
257 Slater Street
Ottawa, Ontario
K1A 0M6

(613) 990-5596

**Office of the Commissioner
of Official Languages – Privacy
only**
110 O'Connor Street
13th Floor, Room 1334
Ottawa, Ontario
K1A 0T8

(613) 996-6036

**Office of the
Comptroller General**
see Treasury Board of Canada

**Office of the Inspector
General of the Canadian
Security Intelligence Service**
Sir Wilfrid Laurier Building
8th Floor
340 Laurier Avenue West
Ottawa, Ontario
K1A 0P8

(613) 990-3270

**Office of the Superintendent of
Financial Institutions Canada**
255 Albert Street
15th Floor
Ottawa, Ontario
K1A 0H2

(613) 990-8031

**Pacific Pilotage
Authority Canada**
300 - 1199 West Hastings Street
Vancouver, British Columbia
V6E 4G9

(604) 666-6771

**Patented Medicines
Prices Review Board**

Box L40, Suite 1400
Standard Life Centre
333 Laurier Avenue West
Ottawa, Ontario
K1A 1C1

(613) 954-8299

Pension Appeals Board

381 Kent Street, Room 327
C.P. 8567, Postal Terminal
Ottawa, Ontario
K1G 3H9

(613) 995-0612

**Petroleum Monitoring
Agency Canada**

see Natural Resources Canada

**Prairie Farm Rehabilitation
Administration**

see Agriculture and Agri-Food
Canada

Privy Council Office

Blackburn Building
85 Sparks Street, Room 633
Ottawa, Ontario
K1A 0A3

(613) 957-5210

**Procurement Review
Board of Canada**

see Canadian International
Trade Tribunal

**Public Service
Commission of Canada**

Esplanade Laurier, West Tower
300 Laurier Avenue West
Room 1954
Ottawa, Ontario
L1A 0M7

(613) 992-2425

**Public Service Staff
Relations Board**

C.D. Howe Bldg, West Tower
240 Sparks Street, 6th Floor
Ottawa, Ontario
K1P 5V2

(613) 990-1757

Public Works Canada

see Public Works and
Government Services Canada

**Public Works and
Government Services Canada**

Phase III, 15A2
Place du Portage
11 Laurier Street
Hull, Québec
K1A 0S5

(819) 956-1816

**Regional Development
Incentives Board**

see Industry Canada

Revenue Canada

14th Floor

Albion Executive Tower

25 Nicholas Street

Ottawa, Ontario

K1A 0L5

(613) 957-8819

Revenue Canada**Customs and Excise**

see Revenue Canada

Revenue Canada Taxation

see Revenue Canada

Royal Canadian Mint

320 Sussex Drive

3rd Floor

Ottawa, Ontario

K1A 0G8

(613) 993-1732

Royal Canadian Mounted Police

1200 Vanier Parkway

Ottawa, Ontario

K1A 0R2

(613) 993-6978

Royal Canadian Mounted Police**External Review Committee**

60 Queen Street, Room 513

P.O. Box 1159, Station B

Ottawa, Ontario

K1P 5R2

(613) 998-2134

Royal Canadian Mounted Police**Public Complaints Commission**

P.O. Box 3423

Station D

Ottawa, Ontario

K1P 6L4

(613) 952-1302

Seaway International Bridge**Corporation Ltd**see The St. Lawrence Seaway
Authority**Security Intelligence****Review Committee**

Jackson Building

122 Bank Street, 4th Floor

P.O. Box 2430, Station D

Ottawa, Ontario

K1P 5W5

(613) 990-8052

Social Sciences and Humanities**Research Council of Canada**

Constitution Square, Tower 2

11th Floor

350 Albert Street

P.O. Box 1610

Ottawa, Ontario

K1P 6G4

(613) 992-0562

**Solicitor General Canada –
Ministry Secretariat**

Sir Wilfrid Laurier Bldg.
340 Laurier Avenue West
1st floor
Ottawa, Ontario
K1A 0P8

(613) 991-2931

St. Lawrence Seaway Authority

Constitution Square
360 Albert Street, 14th Floor
Ottawa, Ontario
K1R 7X7

(613) 598-4605

Standards Council of Canada

Suite 1200
45 O'Connor Street
Ottawa, Ontario
K1P 6N7

(613) 238-3222

Statistics Canada

R.H. Coats Bldg., 25th floor
Tunney's Pasture
Ottawa, Ontario
K1A 0T6

(613) 951-9349

Status of Women Canada

360 Albert Street, Suite 700
Ottawa, Ontario
K1A 1C3

(613) 995-4008

**Statute Revision
Commission Canada**

see Department of Justice
Canada

Supply and Services Canada

see Public Works and
Government Services Canada

Transport Canada

Place de Ville, Tower C
330 Sparks Street, 26th floor
Ottawa, Ontario
K1A 0N5

(613) 993-6162

Transportation Safety

Board of Canada

Place du Centre
200 Promenade du Portage
4th Floor
Hull, Québec
K1A 1K8

(613) 994-8021

**Treasury Board of
Canada Secretariat**

L'Esplanade Laurier, East Tower
140 O'Connor Street, 9th Floor
Ottawa, Ontario
K1A 0R5

(613) 952-7200

Veterans Affairs Canada

Dominion Building

97 Queen Street, Room 201

P.O. Box 7700

Charlottetown,

Prince Edward Island

C1A 8M9

(902) 566-8609

Veterans Appeal Board Canada

see Veterans Affairs Canada

Western Economic**Diversification Canada**

200 Kent Street, 8th Floor

P.O. Box 2128, Station D

Ottawa, Ontario

K1P 5W3

(613) 952-9390

Yukon Territory Water Board

Suite 106

200 Range Road

Whitehorse, Yukon

Y1A 3V1

(403) 667-3980

Standard Program Records

This section describes the subject matter of certain records which are common to most federal departments and agencies, such as personnel records, financial records, purchasing and property control records, materiel management and central services. Individuals seeking access to the Standard Program Records of a particular institution should forward their access requests to the Access to Information and Privacy Coordinator at the address given in the previous pages.

Accounts and Accounting

Description: Accounts and accounting generally; cash accounts; accounts payable; accounts receivable; and standing advances. **Program Record Number: 915**

Acts and Legislation

Description: Acts and legislation — general, departmental, federal, foreign and provincial. **Program Record Number: 902**

Administration

Description: Administration generally; accidents generally; motor vehicle accidents; agreements; appreciation; complaints and enquiries; associations, clubs and societies; audit, review and evaluation; badges, emblems and flags; bilingualism and biculturalism; cafeterias and eating facilities; campaigns and canvassing; ceremonies and celebrations; circulars, directives and orders; committees; conferences, meetings and symposia; corporations, companies and firms; emergency measures; inventions, patents and copyrights; licences and permits; parliamentary matters; plans and programs; reports and statistics; visits and tours. **Program Record Number: 901**

Administration and Management Services

Description: Administrative and management services generally; correspondence management; duplication services; electronic data processing; forms management; information services; legal services; library services; mail, messenger and postal services; management services; records management; security services; telecommunications; travel and transportation services; secretarial, typing and word processing services; translation services. **Program Record Number: 903**

Audits

Description: Auditor General reports and internal audit reports. **Program Record Number: 916**

Budgets

Description: Budgets generally; estimates and supplementary estimates; and program forecasts. **Program Record Number: 917**

Buildings

Description: Buildings generally; acquisition; alterations and repairs; construction; contracts; damages; disposal;

fire and fire prevention; and maintenance. **Program Record Number: 906**

Buildings and Properties

Description: Buildings and properties generally; accommodation; accounting and inventories; planning and requirements; reports and statistics. **Program Record Number: 905**

Classification of Positions

Description: Classification generally; audits; category and group; individual positions; and standards. **Program Record Number: 919**

Co-operation and Liaison

Description: Co-operation and liaison generally and at the federal, international, provincial, university, college and school levels. **Program Record Number: 904**

Employment and Staffing

Description: Employment and staffing generally; applications; casual and term employees; competitions; programs recruitment; requests for staffing action; and summer students. **Program Record Number: 920**

Equipment and Supplies

Description: Equipment and supplies generally; accountability and inventories; catalogues; clothing; disposal and surplus; food; forms; fuels; laboratory; loans; maintenance and repairs; price lists and stationery. **Program Record Number: 909**

Finance

Description: Finance generally; agreements and arrangements; allotments and transfers; allowances; banks and banking; cheques; claims; contracts; currency; encumbrances; expenditures; fees; funds; revolving funds and working capital advances; grants; signing authorities; taxes and travel allowances; and expenses. **Program Record Number: 914**

Furniture and Furnishings

Description: Furniture and furnishings generally; beds and bedding; chesterfields and sofas; drapes; filing cabinets; household and office furniture and furnishings; and pictures. **Program Record Number: 910**

Human Resources

Description: Human resources generally; career management; inventories; performance appraisal; reports and statistics; requirements and utilization. **Program Record Number: 921**

Lands

Description: Lands — general; acquisition; concessions; development; disposal; fencing; flood control; landscaping parking areas; roads; streets and sidewalks. **Program Record Number: 907**

Occupational Health, Safety and Welfare

Description: Occupational health, safety and welfare generally; counselling; health units; medical examinations; nursing services; recreation and sports; and surveys.

Program Record Number: 922

Office Appliances

Description: Office appliances generally; calculators; data processing hardware; duplicating equipment; photographic and microfilming equipment; recorders and typewriters. **Program Record Number: 911**

Official Languages

Description: Official languages generally; identification and designation of bilingual positions; language requirements program; recruitment; and replacements.

Program Record Number: 923

Pensions and Insurance

Description: Pensions and insurance generally; superannuation plans; Canada Pension Plan; Quebec Pension Plan; reciprocal agreements; federal, provincial and private hospital and medical insurance plans; death benefit plan; disability insurance and unemployment insurance plan. **Program Record Number: 924**

Personnel

Description: Personnel — general; accidents and injuries; appointments; attendance; awards and honours; Corps of Commissionaires; positions and levels; hours of work and overtime; leave and holidays; promotions and reclassifications; regulations and directives; reports and statistics; retirements; and separations. **Program Record Number: 918**

Procurement

Description: Procurement generally; contracts; local purchase orders; procedures and regulations;

requisitions; standing offer agreements. **Program Record Number: 912**

Salaries and Wages

Description: Salaries and wages generally; acting pay; deductions; pay rates; pay system; performance and senior merit pay; regulations; salary revisions; and severance pay. **Program Record Number: 925**

Staff Relations

Description: Staff relations generally; adjudication; bargaining agents; collective agreements and interpretations; discipline; grievances; managerial and confidential exclusions; and union relations.

Program Record Number: 926

Training and Development

Description: Training and development generally; attendance; course content; course evaluations; individual courses; language training; nominations; plans; schedules and directives; and types of courses. **Program Record Number: 927**

Utilities

Description: Utilities — general; air conditioning; electric power; water and sewage; garbage disposal; gas and oil; heating; lighting; plumbing and ventilation. **Program Record Number: 908**

Vehicles

Description: Vehicles generally; air, land and water vehicles; insurance; maintenance and repairs; operating standards; registration and licences. **Program Record Number: 913**

Agriculture and Agri-Food Canada

Chapter 1

General Information

Background

The Department of Agriculture and Agri-Food was established in 1867, the year of Confederation, by an act of Parliament. The formation of the Experimental Farms System followed in 1886 and a Dairy Commissioner was appointed in 1890. The aim of the Department of Agriculture and Agri-Food is to develop and assist the Canadian agriculture and food system to provide for the needs of Canadian consumers and export markets in a manner which ensures a dependable supply of safe, nutritious food at reasonable prices; to ensure equitable returns to producers, processors and marketers; and to meet Canadian food and technological aid commitments to international development. The Minister of Agriculture and Agri-Food, the Department and agencies administer 35 acts concerning food and agriculture industries. The activities of the Department extend from the farmer to the consumer, through all phases of production, processing and marketing of crops and livestock. The Department also assists farmers through crop insurance, price stabilization and agricultural adjustment programs to alleviate losses caused by the weather, changing markets and certain other hazards. While the collection and use of personal information is necessary for the performance of most activities, it is especially important for the following activities: research; regulation and licensing of imports and exports of livestock and plant products; control and eradication of animal diseases; and administration of the various loan and subsidy programs.

Responsibilities

The mandate of the Department of Agriculture and Agri-Food is to promote the growth, stability and competitiveness of the agri-food sector through policies, programs and services most appropriately provided by the federal government to assist the sectors to maximize their real contribution to the Canadian economy. The Department's responsibilities embrace most aspects of the agricultural industry. It carries out research into the physical and economic problems of agriculture; inspects and grades farm products; and carries out programs aimed at keeping our crops and livestock safe from disease and insect pests. The Department enforces laws governing the sales of feed, fertilizers and pesticides; and assists farmers through measures such as price stabilization and crop insurance. It also supervises race track betting.

Legislation

- Advance Payments for Crops Act
- Agricultural Products Board Act

- Agricultural Products Co-operative Marketing Act
- Agricultural Products Marketing Act
- Animal Pedigree Act
- Canada Agricultural Products Act
- Canada Grain Act
- Canadian Dairy Commission Act
- Canadian Wheat Board Act
- Canagrex Dissolution Act
- Consumer Packaging and Labelling Act
- Criminal Code, Section 204 (Minister of Justice and Attorney General of Canada)
- Department of Agriculture and Agri-Food Act
- Department of Foreign Affairs and International Trade Act,
- Experimental Farm Stations Act
- Farm Credit Corporation Act
- Farm Debt Review Act
- Farm Improvement and Marketing Cooperatives Loans Act
- Farm Improvement Loans Act
- Farm Income Protection Act
- Farm Products Agencies Act
- Feeds Act
- Fertilizers Act
- Food and Drugs Act
- Grain Futures Act
- Hay and Straw Inspection Act
- Health of Animals Act
- Livestock Feed Assistance Act
- Meat Inspection Act
- Plant Breeders' Rights Act
- Plant Protection Act
- Prairie Farm Rehabilitation Act
- Prairie Grain Advance Payments Act
- Prairie Grain Provisional Payments Act
- Seeds Act

Organization

Canadian Grain Commission

The Canadian Grain Commission administers the provisions of the Canada Grain Act and the Grain Futures Acts. In the interests of producers, it must establish and maintain of quality for Canadian grain and regulate grain handling in Canada to ensure a dependable commodity for domestic and export markets. In addition, the

Commission supervises trading on the Winnipeg Commodity Exchange.

Communications Branch

The primary purpose of this branch is to ensure communications of the department's policies and programs, promote public understanding of AAFC's initiatives and policies; and raise awareness of the sector's importance to Canadian society

Corporate Services Branch

This Branch assists operating branches in achieving efficient, effective and economical delivery of departmental programs through provision of administrative and financial systems, consulting and library services, informatics services and standards to the Departmental Programs. The Corporate Services Branch, through corporate, branch and regional units, assists all management and operational elements in the Department in fulfilling their responsibilities for program delivery. It provides assistance, interpretation and direction to operational branches to ensure that central agency and parliamentary requirements are met. The branch also handles inquiries about Agriculture and Agri-Food Canada and the Canadian agri-food industry.

Departmental Secretariat

The Secretariat is responsible for the correspondence, briefing and activity scheduling systems for the Minister and the Deputy Minister, for tracking and reporting on major current issues of concern to the Department, for Parliamentary Relations, and for providing secretariat services to the Departmental Management Committee and the Executive Committee of the Department.

Food Production and Inspection Branch

This Branch consists of the Animal and Plant Health Directorate, the Food Inspection Directorate, the Assistant Deputy Minister's Office and the Canadian Pari-Mutuel Agency. This Branch protects the health of the Canadian plant and animal resource base; maintains and improves the marketability of agricultural and forest products; protects the wagering public at race tracks and assists in maintaining a viable racing industry and has the mandate for federal agri-food labelling and fraud prevention.

Human Resources Branch

The Branch promotes and facilitates, in partnership with managers, the optimal management of Human Resources towards the achievement of departmental objectives. The Human Resources Branch develops and administers human resources policies and programs and provides staff training and other related services for a staff of over 9,000 employees of the Department, at various locations across Canada.

Market and Industry Services Branch

The mandate of the Market and Industry Services Branch is to work with the agri-food sector to enhance its global competitiveness and in so doing, increase the sector's share of both domestic and international markets for agriculture and agri-food products. The Branch priorities are implemented by responsibility centres located in Ottawa (7) and 7 regional offices. The International Trade Policy Directorate's role is to improve and secure markets for agri-food products, improve trade rules and work towards reducing unfair competition in domestic and foreign markets. The Agricultural Industry Services Directorate provides services and coordination to enable Agriculture and Agri-food Canada to meet clients' needs related to market access, market information and intelligence, export market development, market readiness and sector competitiveness. The role of the International Markets Bureau is to assist Canadian agriculture and agri-food industries to expand their exports, and to develop and implement a range of programs and services for the industry with emphasis on the provision of advice, information and programs related to international market development. The Food Bureau's role is to assist Canadian food processors to increase domestic and international sales and to ensure that food industry interests are understood and fully considered in all federal policy, program and regulatory decisions which have a major impact on the industry. The Strategic Planning and Regional Operations Directorate Headquarters coordinates Branch strategic planning and corporate support activities. The Regional Offices (Atlantic, Quebec, Ontario, Manitoba, Saskatchewan, Alberta and the Territories, British Columbia) provide "single window" access for regional clients and stakeholders to all of the programs and services of the Branch and work with clients and stakeholders to help the agri-food industry achieve its goals. The Management Services Division provides support and advice related to operational planning, resource management, human resources training and development, information systems and general administration.

Policy Branch

Policy Branch is the departmental organization that provides the focus for the development and coordination of policies, strategies and programs consistent with government objectives for the agriculture and agri-food sector within the overall economy. The goals of the Branch are: to lead and integrate federal efforts in consultation with other branches of Agriculture and Agri-Food Canada, other federal departments, provincial governments and the agri-food industry, in policy development and analysis for farm development, finance, income, production and market risk, agricultural adjustment, and commodity policies; to develop sectoral commodity strategies and market outlooks; to coordinate strategy and policy planning in the department; by providing economic analysis and data, commodity

information and policy support. This is carried out through the sub-activities of Policy Analysis and Evaluation, Economic Research and Analysis, Policy Coordination and program delivery. The Policy Analysis and Evaluation develops policy options for the Department, analysis economic factors that affect the performance of the agri-food sector, identifies policy impacts in term of adaptation and environmental sustainability and establishes strategic directions to guide the Department's operational activities. The Economic Research and Analysis activity provides short, medium and long-term forecasts of economic activity in the agri-food sector including its impact on the external economic environment and trade for use in policy evaluation. The Policy Coordination integrates economic and social developments and overall government objectives into the policy planning and development process, and coordinates policy development within the department.

The Program Delivery activity involves managing the federal partnership role in supporting farm income. The branch is involved in negotiating farm income support agreements with the provinces and producer organizations. Federal/provincial agreements are carried out under the Gross Revenue Insurance Plan (GRIP), the National Tripartite Stabilization Program (NTSP), the Net Income Stabilization Account (NISA) and the Crop Insurance Program; all of which fall under the authority of the Farm Income Protection Act. The Branch also manages the implementation and delivery of adaption programs and provides administrative services for the Co-operatives and rural Secretariat.

◆ Co-operatives Secretariat

Provides federal focus on issues of interest to Canadian Co-operatives and a link between the co-operative sector and many of the federal departments and agencies; primarily in legislative and program areas. Acts as the secretariat for both co-operative and credit union provincial government officials who meet regularly to discuss legislation issues and development programs. Establishes a close partnership with the co-operative sector, provincial governments and universities for research and development projects.

◆ Grain Marketing Bureau

Coordinates and carries out trade development activities and contributes to the development of trade policy for grains and oilseeds products. It has close relations with various Crown agencies and quasi-governmental bodies involved in the grain industry and the private grain trade. It administers the Grains and Oilseeds Program and, in conjunction with the departments of Agriculture and Transport, provides support to the minister responsible for the Canadian Wheat Board. The primary objective of the Bureau is to encourage sustained and orderly growth in the production, processing and marketing of grains, oilseeds and their products.

◆ Livestock Feed Bureau

Ensures the availability of adequate feed grain supplies and storage space to meet the needs of livestock producers in eastern Canada and British Columbia, contributes to reasonable price stability for such supplies, and assists in equalizing feed grain prices to livestock feeders in eastern Canada and in British Columbia.

◆ Net Income Stabilization Account (NISA)

Administers the NISA program in which individual producers make deposits to an individual account and contributions are matched by the federal and provincial governments. The producer is entitled to make account withdrawals when his net income is falls.

◆ Western Grain Stabilization Administration

Presently in the process of finalizing the program by collecting overpayments made to producers who participated in the Western Grain Stabilization Program during previous years.

Prairie Farm Rehabilitation Administration (PFRA)

The Prairie Farm Rehabilitation Administration (PFRA) works with people on the prairies to develop a viable agricultural industry and sustainable rural economy. In partnership with other levels of government, farm groups, producers and industry, PFRA protects Prairie soils, develops and conserves the area's water resources, and encourages diversification into new crops, value-added processing and other wealth-creating activities. The investigation of degradation problems and the promotion of solutions serve to conserve the soil resource for present and future use. Dependable water supplies for agricultural and other related uses at the farm, community and regional level allow greater variety of agricultural activities and render the economy less sensitive to drought. Operation of community pastures on land not suitable for cultivation and distribution of tree seedlings to promote farm shelterbelts assist in conservation of the soil resource. Operation of water storage and irrigation projects and the development of new water resource projects in cooperation with the provinces enhances economic security and provides development opportunities on the Prairies.

Research Branch

The Branch's mission is to improve the on-going competitiveness of the Canadian food and agriculture sector through the development and transfer of innovation technologies. The Branch focus is on research that is valuable to Canada but which the private sector could not provide profitably, working alone. Specifically, the Branch's research is directed toward reducing the cost of producing and processing food, improving the quality and safety of food products, advancing environmental practices that sustain agricultural production and transferring technology.

Review Branch

This Branch provides senior management with accurate, objective and timely information and advice on program effectiveness, efficiency and relevance. The principal activities of this Branch include: developing corporate policies for Review activities (including audit evaluation, SUD, Benchmarking ect.), developing annual and long-range plans and schedules for reviews, and conducting reviews of departmental programs and operations primarily on the basis of management needs and priorities.

Information Holdings

Program Records

Canadian Grain Commission

Economics and Statistics Operations

Description: Economic and statistical information on grain handling and storage. **Topics:** Grain handling and storage statistics for licensed elevators; audits of operations of terminal and transfer elevators; grain inspected and weighed at terminal elevators; individual grain transactions at terminal elevators; prices received by western producers; policy analysis and recommendations. **Access:** Records are located in Winnipeg. **Program Record Number:** AAFC CGC 570

End-Use Certificate Program

Description: Information submitted by Importers under the Canada Grain Act or Canada Grain Regulations regarding the type of grain imported from the United States and the type of facility to which the grain is consigned. **Topics:** Description and quantity of grain imported; mode of transportation; importer's name and address, consignee name and address; type of processing facility to which the grain is consigned. **Access:** The files are located in Winnipeg. **Program Record Number:** AAFC CGC 566

Grain Inspection Operations

Description: Information on the inspection and grading of samples of grain and grain screenings obtained by Commission employees or submitted by members of the grain industry under the Canada Grain Act or Canada Grain Regulations. **Topics:** Grades of samples submitted; grades of terminal elevator receipts; special treatment of grain in terminal elevators; elevator inspections and infestation control; grain shipments from terminal and transfer elevators; primary and export standard samples; reinspections. **Access:** The files are located in Winnipeg and in regional offices. **Program Record Number:** AAFC CGC 565

Grain Research

Description: Information on the quality of cereal grains, pulses and oilseeds. **Topics:** Enquiries, consultations, advice and reports; quality assessment of each new harvest; grain cargo composite monitoring; evaluation of breeder lines; research in cereal and oilseed chemistry and processing technology; moisture meter standardization; evaluation and development of new testing methods. **Access:** Records are located at the Winnipeg office. **Program Record Number:** AAFC CGC 575

Policy Development and Coordination

Description: Information on the administration of the Canada Grain Act and the Grain Futures Act; issuing of regulations and orders; advising the Minister on matters relating to grain handling; collection of revenues; and coordination of functions of all divisions within the Canadian Grain Commission. **Topics:** Canada Grain Act and Regulations; Grain Futures Act and Regulations; Canadian Grain Commission orders and directives to the grain trade; collection of revenue; financial statement; supervision of grain futures trading; Grain Appeal Tribunal; Canadian government elevators (privatized 1979-81). **Access:** Records located in Winnipeg; operations audit at regional offices; and Canadian Government Elevator files at National Archives of Canada. **Storage Medium:** Hard copy and/or computer diskettes. **Program Record Number:** AAFC CGC 585

Weighing of Grain

Description: Information on the supervision of grain weighing at terminal and transfer elevators to ensure adherence to the provisions of the Canada Grain Act and Regulations. **Topics:** Supervision of grain weighing; weights of terminal and transfer elevator receipts; weighing exception reports; scale equipment inspection; weigh-over of elevator stocks for audit; investigation of complaints related to weight; weighing facilities — planning and control. **Access:** Records are located at Winnipeg and at regional offices. **Program Record Number:** AAFC CGC 580

Communications Branch

Operations

Description: Consists of: Public Relations; Ministerial Services; Management Services and Informatics Services. Handles: media relations, public environment monitoring and analysis, promotion, publishing services, corporate exhibits and industry liaison; Preparation of speeches for Ministers and Deputy Minister, Minister's communications products (newsletter), communication support and advice related to Parliamentary Relations; financial, human resources and other administrative services; Informatics development and support; administers the Agri-Food Awareness Program. **Topics:** Information on the department's role and objectives and the agri-food sector that is disseminated through print, radio, videos, electronic media, and other public relations activities.

Storage Medium: Paper, video, computer and exhibits.
Program Record Number: AAFC COM 340

Policy and Planning

Description: Consists of four bureaux (Market and Industry Services, Corporate Affairs, Agri-Industry Policy, Food Production and Inspection and Research) that deliver communications advice, planning and strategies to client branches. **Topics:** Public environment; all current agricultural issues and programs. **Storage Medium:** Paper and computer. **Program Record Number:** AAFC COM 334

Corporate Services Branch

Acts and Legislation

Description: Acts and legislation — general, departmental, federal, foreign and provincial; federal investigative bodies.
Program Record Number: AAFC CSB 851

Administration

Description: Administration generally; accidents generally; motor vehicle accidents; agreements; appreciation; complaints and enquiries; associations, clubs and societies; audit, review and evaluation; badges, emblems and flags; bilingualism and biculturalism; cafeterias and eating facilities; campaigns and canvassing; ceremonies and celebrations; circulars, directives and orders; committees; conferences, meetings and symposia; corporations, companies and firms; emergency measures; claims by and against the Crown and ex gratia payments; licenses and permits; parliamentary matters; plans and programs; reports and statistics; visits and tours; surveys.
Program Record Number: AAFC CSB 850

Administrative and Management Services

Description: Administrative and management services generally; correspondence management; electronic data processing; forms management; information services; legal services; library services; mail, messenger and postal services; management services; records management; security services; materiel management services; facilities management services; access to information and privacy services; management practices services; telecommunications; travel and transportation services; secretarial, typing and word processing services; translation services; awards and honours. **Program Record Number:** AAFC CSB 852

Civil Emergency Planning

Description: Information on preparedness plans to ensure continuity, safety and security of agricultural products and services in the event of a national emergency. **Topics:** Liaison in emergency planning matters with Privy Council Office and Emergency Preparedness Canada, other federal departments, provincial departments of agriculture, U.S. Department of Agriculture, NATO and other organizations deemed necessary in the event of an emergency; orientation training in Agricultural Emergency Planning. **Program Record Number:** AAFC CSB 385

Food Production and Inspection Branch

Analytical Methodology Development

Description: Information on analytical methodologies to support and enhance the quality of scientific analysis provided by the division. **Topics:** Methodology research proposals; assessment studies; methods validation; establishment and dissemination of methodology; program review; analytical literature surveys; research reports; publications; investigational sampling requests, and results. **Program Record Number:** AAFC FPI 070

Biological Programs

Description: Information on the identification of pests and on analysis of pest risk. **Topics:** Lists of intercepted pests; insects and plant diseases; surveys; pest identification; and analysis of pest risk. **Program Record Number:** AAFC FPI 170

Disease Control

Description: Information on the prevention, control and eradication of communicable and other diseases, and on compensation for related losses. **Topics:** Animal diseases — brucellosis, tuberculosis, rabies and other indigenous diseases; foreign animal diseases; reportable diseases of animals and poultry; artificial insemination; embryo transfer; veterinary biologics; the following database: Wildlife Serum Bank. **Storage Medium:** Machine-readable records are available on brucellosis survey arranged by herd at headquarters; also on herd serum banking and rabies control. **Program Record Number:** AAFC FPI 025

Export and Domestic Programs (Plants)

Description: Information on the planning and maintenance of a national program designed to prevent the spread of pests within Canada, survey for and control quarantine pests occurring in Canada and to ensure the acceptance of Canadian agricultural and forestry products in export markets and for movement within Canada by being free of plant pests and meeting the health standards of the receiving country or region. **Topics:** Export agriculture and forestry products to foreign and domestic markets; pest distribution (publications); ship, mill and elevator sanitation inspection; plant quarantine importation requirements of foreign and domestic market; decontamination and fumigation; pesticide application, phytosanitary export and domestic movement certification; quarantines; the following database: Plant Pest Database. **Program Record Number:** AAFC FPI 156

Export and Import

Description: Information on the certification of Canadian meat products for export to comply with the requirements of foreign countries and on compliance of imported meat, meat products and byproducts with Canadian standards. **Topics:** Export certification; foreign inspection system and establishment reviews; review of registered Canadian facilities to comply with export requirements; statistics; policy development. **Storage Medium:** List of all approved products for import and export, and statistics

on the import and export of meat are machine-readable.

Program Record Number: AAFC FPI 090

Export Testing and Certification

Description: Information on the acceptability of Canadian animals and products for export by ensuring their being free of disease or disease-causing agents and by meeting the standards of importing countries. **Topics:** Species of animals; disease and disease-causing agents; import requirements of foreign countries; export testing programs and certification; facilitating export; export of birds; export of poultry; export of plants. **Program Record Number:** AAFC FPI 020

Feed Evaluation, Registration and Compliance

Description: Information on pre-sale evaluation of feed, registration of feed, and inspection of feed production and products to ensure compliance with regulatory requirements. **Topics:** Evaluation of feed; approval of single ingredients; correspondence; labelling; certificates of registration; registration continuance certificates; product inspection; Feedmill inspection; toxicity, residues and diseases; violations; hay and straw grading; the following database: Livestock Feeds Contaminants Database. **Storage Medium:** Feed database of registered products and listing of analytical results of feed samples are machine-readable; microfilm for expired certificates of registration and company correspondence. **Program Record Number:** AAFC FPI 145

Fertilizer Evaluation, Registration and Compliance

Description: Information on pre-sale evaluation and registration of fertilizer and on inspection of fertilizer products to ensure compliance with regulatory requirements. **Topics:** Evaluating fertilizer; approval of single ingredients; correspondence; labelling; certificates of registration; product inspection; toxicity residues and diseases; violations; the following databases: Compendium of Fertilizer-Use Pesticides, Heavy Metal Concentrations in Fertilizers and Fertilizer Materials, Levels of Pesticide Contaminants in Fertilizers. **Storage Medium:** Listing of analytical results of fertilizer samples, Canadian fertilizer plant statistics and fertilizer database of registered products are machine-readable. **Program Record Number:** AAFC FPI 150

Grading and Monitoring

Description: Information on a national program for grading red meats, animal products, poultry and poultry products, and for inspecting and registering hatcheries, egg stations, and egg processing and poultry slaughtering plants. **Topics:** Grading red meat; grading poultry and poultry products; egg and poultry processing stations; hatcheries (registration and inspection); laboratory analysis of egg products; training and development; import and export inspection; complaints; enforcement; policy development. **Program Record Number:** AAFC FPI 035

Grading, Standards and Labelling (Dairy, Fruit and Vegetables)

Description: Information on grading programs, quality standards and on the design and control of labelling requirements. **Topics:** Standards — dairy, fresh fruit and vegetables, processed fruit and vegetables, honey and maple products; grading of dairy products; monitoring the grading of fruit and vegetable products; label design and approval; packaging; weight and volumes; studies and surveys; policy development; consultation with governmental and non-governmental organizations. **Program Record Number:** AAFC FPI 045

Humane Treatment of Animals

Description: Information on the prevention of stress-related diseases in animals and humane treatment of animals being transported within Canada or imported to or exported from Canada. **Topics:** Enquiries; reports; correspondence; transportation systems; ports of entry; recommended codes of practice for farm animals. **Program Record Number:** AAFC FPI 010

Import and Export (Seed Potatoes)

Description: Information on the control of imports, export certification, and facilitation of seed potato trade. **Topics:** Phytosanitary agreements; facilitation of trade; import standards; export certification; complaint investigation (foreign); evaluation of foreign certification programs. **Program Record Number:** AAFC FPI 125

Import Program (Plants)

Description: Information on the planning and maintenance of a national program to prevent the introduction and establishment in Canada of foreign plant pests detrimental to the Canadian agricultural and forestry industries. **Topics:** Import permits; port inspection statistics, commercial; port inspection statistics, non-commercial; quarantine and decontamination; importation of agricultural and forest products. **Storage Medium:** Airport inspection file is machine-readable. **Program Record Number:** AAFC FPI 155

Import, Export and Interprovincial Control

Description: Information on the control and regulation of import, export and interprovincial movement of dairy, fresh and processed fruit, vegetable products and honey and maple products. **Topics:** Export certification; compliance to import regulations; concessions — processing and packaging; consultations — associations, government agencies (foreign and domestic); statistics; complaints; policy development. **Program Record Number:** AAFC FPI 055

Importation of Animals / Animal Genetics / Animal Products

Description: Information on the control of animal bird and animal pathogens imports to prevent animal disease from gaining entry into Canada. **Topics:** Veterinary inspection reports; quarantine station activity reports; imports protocols; risk assessments; import permit applications;

import permits; laboratory diagnostic test results, import of animal pathogens; imports of game farm and zoological garden animals; imports of pets; feathers; skins, hides and wool; imports of birds; fertilizers; tankage; plant products; insects; others (tropical fish, amphibians, worms). **Program Record Number:** AAFC FPI 015

Ingredients in Pesticides

Description: Information on the evaluation of pesticides and on suggested precautions for their use. **Topics:** Product chemistry; toxicology; metabolism; residues; environmental chemistry; toxicology and efficacy. **Program Record Number:** AAFC FPI 135

Inspection and Compliance

Description: Information on the development, implementation and monitoring of a national food compliance program for registered pesticides. **Topics:** Monitoring; investigation; enforcement; verifications, sampling. **Program Record Number:** AAFC FPI 140

Inspection and Enforcement

Description: Information on the inspection and enforcement of standards governing seed quality. **Topics:** Seed inspection; violations and detentions; crop inspection; grading; diseases; seed testing. **Program Record Number:** AAFC FPI 100

Inspection and Monitoring

Description: Information on the inspection and monitoring of dairy, fresh and processed fruits and vegetables, honey and maple products and certain other products; and on related establishments. **Topics:** Product inspection; establishment inspection; laboratory analysis; certificates of inspection; system auditing; consultation with governmental and non-governmental organizations; violations and prosecutions; inspection fees; complaints; compliance; policy development; the following database: Residues in Agri-Foods Monitoring Program. **Program Record Number:** AAFC FPI 060

Inspection and Monitoring (Meat and Poultry Products)

Description: Information on the inspection and monitoring of food animals, meat products, meat-by products and plant sanitation. **Topics:** Testing — toxicity, residues, contaminants; disease monitoring; complaint investigation; transport sanitation; inspection auditing; surveys; national and regional inspection and audits — slaughterhouses, storage and processing facilities; stamping devices; policy development; the following database: Residues in Agri-Foods Monitoring Program. **Storage Medium:** Files of statistics on weekly and monthly poultry kills, disease condemnations and establishment review are machine-readable. **Program Record Number:** AAFC FPI 075

Inspection, Testing and Certification

Description: Information on the inspection and testing of seed potatoes, and certification for domestic markets. **Topics:** Seed multiplication; seed potato improvement; inspection; enforcement; training programs; storage and

transportation; testing (seed lots, field and post-harvest); inventory of varieties; assessment of varieties; complaint investigation (domestic); federal-provincial consultations.

Program Record Number: AAFC FPI 115

Pari-Mutuel Supervision

Description: Information on the regulation and supervision of pari-mutuel betting on horse races. **Topics:** Daily pari-mutuel betting activities; pool distribution; investigation reports into alleged race fixing; betting dates; tests of totalizator equipment; race track facilities; racing commissions; conferences; betting systems; betting permits; security of bets and betting data; federal levy on wagering. **Access:** Files arranged by racing event and date. **Program Record Number:** AAFC FPI 180

Pathology Services

Description: Diagnostic service and research activities related to animal diseases, consultation and licensing for use of biologics, veterinary and pest control products. **Topics:** Diseases (agents of disease, transmission to humans, economic loss due to disease); diagnostic activities; diagnostic reagents; registration consulting service; licensing and use of biologics, veterinary and pest control products. **Program Record Number:** AAFC FPI 005

Pest Control

Description: Relates to pest control programs, diseases and parasites of plants and animals, control methods for specific pests, test data. **Topics:** Policies and general information on pest control; adjuvants; hard surface antimicrobials; industrial slime; invertebrate and vertebrate pests; materials preservation; plant diseases; water biocides; weeds and plant growth control; wood preservation; biotechnology. **Program Record Number:** AAFC FPI 081

Plant Registration

Description: Information on the registration of storages, slaughtering and processing plants. **Topics:** Construction specifications; equipment; use of non-food materials and agents; storage facilities; policy development. **Program Record Number:** AAFC FPI 080

Program and Management Services

Description: Information on the development and implementation of plant health programs in the areas of Human Resources Development (including Manual Development). **Topics:** Compensation, public awareness, consultation with client agencies, operational planning, program audit and control, and computer systems development. **Program Record Number:** AAFC FPI 175

Race Surveillance

Description: Information on the surveillance of horse races. **Topics:** Daily supervision reports; photo finish service; race patrol service; drug control service; test inspectors' personal records. **Access:** Files arranged by racing event and date. **Storage Medium:** Film,

videotapes and photographic print. **Program Record Number:** AAFC FPI 185

Registration and Fees (Pesticides)

Description: Information on the registration of pesticides under the Pest Control Products Act. **Topics:** Application for registration; chemical; specification forms; product labels; registrant correspondence; pesticide registration review; technical and scientific data in support of pesticide evaluation; evaluation and registrations; the following database: Regulatory Information on Pesticide Products. This database is provided to the Canadian Centre for Occupational Health and Safety. **Access:** Files arranged by registrant code and registration number.

Program Record Number: AAFC FPI 130

Registration and Product Standards (Meat and Poultry Products)

Description: Information on standards for the processing, grading and marking of poultry and poultry products, red meat, and animal products. **Topics:** Sanitation and building standards; grading standards; poultry packaging and labelling; import and export requirements; animal products (furs and wools); policy development; consultation with industry; egg quality data; egg solids.

Storage Medium: The egg quality data and egg solids files are machine-readable. **Program Record Number:** AAFC FPI 030

Registration, Licensing and Arbitration

Description: Information on the registration of fresh and processed fruit and vegetable, honey and maple products processing plants, dairy plants, and fresh produce warehouses; the licensing of dealers and brokers; and the arbitration of disputes. **Topics:** Registration of establishments — dairies; fresh and processed fruit and vegetable, honey and maple products processing plants; fresh produce warehouses; licensing of dealers and brokers; suspension or cancellation of registration; list of registered processors; arbitration boards; complaints; policy development. **Program Record Number:** AAFC FPI 050

Regulatory Communication

Description: Includes guidelines and procedures relative to the dissemination of technical information via various media. **Topics:** Regulatory communication; computer systems; presentations; publications; regulatory information by telephone. **Program Record Number:** AAFC FPI 051

Regulatory Liaison

Description: Relates to national and international aspects of pesticide management, coordination of policies and regulatory activities. **Topics:** Regulatory liaison with: federal, foreign, international, national and provincial organizations and governments. **Program Record Number:** AAFC FPI 052

Scientific Analysis

Description: Information on analytical tests to ensure conformity with established quality-assurance and safety standards for certain products and facilities. **Topics:** Requests for analysis; analytical reports; test results; product safety; sampling programs (quotas); provision standards; consultation with national and international, governmental and non-governmental organizations; methods of analysis; statistics; laboratory accreditation protocols; audits; check samples; quality assurance protocols. **Access:** Files arranged by date sampled, laboratory number or by commodity, region, date and type of test. Certain files are kept in regional laboratories. **Storage Medium:** LIMS computer database contains samples received and reported, tests requested and performed, and computer-generated certificates of analysis are machine-readable. Additional data stored in Personal Computer Databases in the Agri-Food Safety and Strategies Division. **Program Record Number:** AAFC FPI 065

Seed Potato Programs

Description: Information on the planning and maintenance of a national program designed to provide seed potatoes of a class and quality that will meet the demands of both domestic and export markets. **Topics:** Seed potato certification standards; import requirements of foreign and domestic markets; export and domestic certification standards. **Program Record Number:** AAFC FPI 161

Seed Projects

Description: Information on the characteristics of seed varieties, foreign and domestic movement of seed, and availability of adequate supplies. **Topics:** Seed varieties; importation of seeds; exportation of seeds; statistics; contract multiplication; international liaison. **Storage Medium:** Seed inventory and seed sealing are machine-readable. **Program Record Number:** AAFC FPI 095

Seed Standards

Description: Information on the development of new or amended seed quality standards; packaging and labelling. **Program Record Number:** AAFC FPI 105

Standards and Labels

Description: Information on the development of and compliance with standards and labels to ensure quality, nutritional value and safety of agri-food products. **Topics:** Label review on microfilm; approved Canadian and foreign labels (machine-readable list); packaging; food standards — formulas and preparation; additives; policy development. **Program Record Number:** AAFC FPI 085

Standards and Specifications

Description: Information on guidelines, specifications and standards on seed potatoes. **Topics:** Standards and labelling; laboratory facilities; transportation; storage; seed potato varieties; policy development. **Program Record Number:** AAFC FPI 120

Surveillance Research

Description: Information on all aspects of research into race track surveillance. **Topics:** Diagnostic activities; drug detection; recording of races on film or video tape; effect of drug administration on horses. **Access:** Files arranged by drug study. **Storage Medium:** Film, videotapes and photographic prints. **Program Record Number:** AAFC FPI 190

Training for Inspection and Monitoring (Meat Hygiene)

Description: Information on training for the inspection and monitoring of food animals, meat products and plant sanitation. **Topics:** Inspection techniques for various species, sanitation practices, humane handling, food processing; basic meat science; basic microbiology; food borne pathogens; biological residues. **Storage Medium:** Training materials in written format as modules with visual components on video or filmstrip with audio cassette. **Program Record Number:** AAFC FPI 076

Variety Registration

Description: Information on the evaluation of new varieties of seeds for the purpose of registration. **Topics:** Registration of seeds; list of registered varieties (machine readable); unregistered varieties. **Program Record Number:** AAFC FPI 110

VM Group — Employee Perception Study

Description: As part of its commitment to an open and consultative working environment, the Food Production and Inspection Branch conducted a survey of all its employees in the Veterinary Medicine (VM) Group. The survey was done cooperatively with the Professional Institute of the Public Service of Canada in the form of a questionnaire distributed to all VM Group members. **Topics:** Information was obtained on the members' working environment, career opportunities, performance appraisals, job satisfaction and role definitions. **Program Record Number:** AAFC FPI 111

Human Resources Branch

Human Resources

Description: Human resources generally; accidents and injuries; appointments; attendance; awards and honours; establishment; hours of work and overtime; leave; promotions and reclassifications; regulations and directives; reports and statistics; retirements and separations. **Program Record Number:** AAFC HRB 860

Market and Industry Services Branch

4-H Programs

Description: Information on financial and professional support for the development of Canadian youth interest in agriculture through 4-H clubs. **Topics:** Share-cost agreements; contributions; club program monitoring. **Storage Medium:** Hard copy and/or computer diskettes. **Program Record Number:** AAFC POL 450

Agri-food Industry Market Strategies (AIMS)

Description: Commercially confidential information on the export market strategies of several agri-food industry sectors and alliances including their market assessments, their strategic market development goals and their planned activities in priority markets for up to five years. **Topics:** Market assessments, strategic market development goals and planned activities. **Storage Medium:** Hard copy or/and computer **Program Record Number:** AAFC MIS 482

Agri-Food Trade 2000 (AFT 2000)

Description: AFT 2000 is an umbrella program resulting from a Program Review decision to consolidate Market and Industry Services Branch non-statutory market development programs. **Topics:** The overall objective of AFT 2000 is to increase sales of Canadian agriculture, food and beverage products in domestic and foreign markets. The primary focus is to encourage export trade development. **Storage Medium:** Hard copy and/or computer. **Program Record Number:** AAFC MIS 836

Agri-Food Trade Network

Description: The ATN is an electronic network that provides access to agri-food trade information and related sources targeted at Canadian exporters. Information users can access the information on-line, through the Internet site or contact the local office of the Market and Industry Services Branch. Information is available through the ATN on market intelligence, trade leads, market information, trade rules, Canadian supply capability, trade shows and missions and federal government trade contacts. **Access:** Computer-based information. **Program Record Number:** AAFC MIS 400

Agricultural Products Marketing Act

Description: Information on the applications made by provincial marketing boards/agencies regarding extension of their provincial powers in the area of interprovincial and export trade. **Topics:** Delegation orders, levy orders, and regulations made under the Act including regulatory impact analysis statements. **Storage Medium:** Hard copy. **Program Record Number:** AAFC MIS 481

Agricultural Products Transaction

Description: Information on agricultural product transactions under the provisions of the Agricultural Products Board Act. **Topics:** Purchase and sale agreements; processing, storage and transportation of agricultural products; foreign assistance programs. **Access:** Files arranged by commodity, year and procedure. **Program Record Number:** AAFC MIS 590

Associations, Organizations, Companies

Description: Various groups involved in the grains and oilseeds sector in Canada. **Topics:** Growers associations; grain companies; marketing boards and initiatives; research institutes. **Program Record Number:** AAFC MIS 800

Authentication of Purebred Livestock

Description: Information on financial and professional support for the identification, promotion and improvement of genetically superior breeding stock through the authentication of purebred livestock identification.

Topics: Livestock registration authentication; livestock associations; Animal Pedigree Act. **Storage Medium:** Hard copy and/or computer diskettes. **Program Record Number:** AAFC MIS 455

Cash Flow Enhancement Program

Description: The purpose of this program is to pay on behalf of producers the interest on up to \$50,000 of cash advanced under the Prairie Grain Advance Payments Act and the Advance Payments for Crops Act. **Topics:** Cash advances, interest charge. **Storage Medium:** Paper. **Program Record Number:** AAFC MIS 806

Compilation, Analysis and Dissemination of Trade Statistics

Description: Agricultural trade statistics derived from original data published by Statistics Canada. **Topics:** Canadian agricultural exports (by commodity and receiving country); Canadian agricultural imports (by commodity and source country); trade statistics. **Storage Medium:** Hard copy and/or computer diskettes. **Program Record Number:** AAFC MIS 491

Crop Development Fund (CDF)

Description: Information on a program *also known as New Crop Development Fund (1974-88)+ which was in operation from 1974-90 to encourage the growth and efficiency of a diversified crop sector within the agri-food industry including information on CDF applicants and assisted projects. **Topics:** Development and evaluation of new crops, varieties and production technologies; field trials and demonstrations. **Storage Medium:** Hard copy and/or computer diskettes. **Program Record Number:** AAFC MIS 426

Farm Improvement and Marketing Co-operatives Loans

Description: Information on the administration of the Farm Improvement and Marketing Co-operatives Loans Act to assist in meeting the credit needs of Canadian farmers. **Topics:** Loan program management — reports, claims, remittances; guidelines; policy; borrower default analysis. **Access:** Reports of loans made are filed by borrower and lending period. **Storage Medium:** Hard copy and computer. **Program Record Number:** AAFC MIS 465

Food Industry Development

Description: Information on the Canadian food processing, retailing and structure sectors. **Topics:** Retail and foodservice organization and structure; packaging problems; over 380 market research studies related to food consumption; North American food and beverage processing company and industry information; producer-market linkages. **Storage Medium:** Computer

(short description of studies only). **Program Record Number:** AAFC MIS 271

Grain Marketing

Description: Information relating to the activities of the Canadian grain exporting and processing firms. Included are outgoing and incoming trade missions; visits of buyers; technical seminars; feeding trials; grants, loans and contributions programs designed to help the grains and oilseeds industry in the development and marketing of new products; research surveys and analysis of the industry; the development and administration of various acts and legislation governing the activities of the Canadian grain industry; trade agreements and treaties; production and marketing nationally of Canadian products; world market situations; general economic development of the industry; involvement with associations and organizations from the public and private sectors, including government departments and foreign organizations; foreign market conditions; trade laws; regulations; and agricultural production. **Topics:** Fairs and missions; visits; grain missions to foreign countries; market development studies; industry sector profiles; research; marketing analysis; Rapeseed Utilization Assistance Program; Program for the Advancement of Industrial Technology; Program for Export Market Development; Grains and Oilseeds Marketing Incentive Program; Enterprise Development Program; acts and legislation; grains policy; markets for grain; markets for oilseeds; grains programs; transportation and handling; grains financial matters; fats and oils; associations, institutions and organizations; committees; conferences and meetings; companies; corporations and firms; provincial governments; federal government departments and agencies; economics. **Program Record Number:** AAFC MIS 835

Grain Sales

Description: Information on markets and sales. **Topics:** Credit Grain Sales; USSR Wheat Embargo. **Program Record Number:** AAFC MIS 825

Grain Storage

Description: Domestic storage of grains and oilseeds. **Topics:** Quotas; permits; deferred delivery. **Program Record Number:** AAFC MIS 810

Human Resource Inventory

Description: Information on all employees of the Market and Industry Services Branch. **Topics:** Branch training, conferences and projects; data given to personnel for statistical purposes. **Storage Medium:** Hard copy and/or computer diskettes. **Program Record Number:** AAFC MIS 497

International Agricultural Projects (CIDA)

Description: Information on technical assistance projects in developing countries which are managed by the Department on behalf of other aid agencies such as Canadian International Development Agency (CIDA).

Topics: Project descriptions; reports; administration guidelines. **Storage Medium:** Hard copy and/or computer diskettes. **Program Record Number:** AAFC MIS 493

International Relations

Description: Information on liaison with international organizations, international agricultural intelligence and bilateral relations with foreign countries, including visits and missions. **Topics:** Organization for Economic Cooperation and Development (OECD); World Trade Organization (WTO); Food and Agriculture Organization (FAO); World Food Program; World Food Council; Inter-American Institute for Agricultural Co-operation (IICA); Memoranda of Understanding on Agricultural Co-operation; senior level foreign visits. **Storage Medium:** Hard copy and/or computer diskettes. **Program Record Number:** AAFC MIS 494

International Trade and Markets Information

Description: Information pertaining to the export of Canadian agri-food products, services and technology. **Topics:** Country Marketing Environment Reports; Foreign Missions Reports; reports of seminars and technical trade missions; Canadian agri-food trade with individual foreign countries; programs and services available to exporters; export marketing companies and organizations; agri-food sector of foreign countries. Agri-food trade policies, tariffs, tariff quotas, non-tariff measures and other market access conditions. **Storage Medium:** Hard copy and/or computer diskettes. **Program Record Number:** AAFC MIS 422

Market Information Service

Description: Information on the quality, quantity, price, sale and/or movement of selected commodities. **Topics:** Collection of prices, sales and inventories of various commodities from private sector sources in Canada and abroad; aggregation of data and relevant information concerning policies and programs in Canada, competing countries and importing nations; publishing of markets information and intelligence; liaison with private sector and other government departments/agencies. **Storage Medium:** Machine-readable files and public database. **Program Record Number:** AAFC MIS 261

Production Development Assistance Initiative

Description: Information on a financial contribution program which was in operation from 1985-90 to facilitate improvements in livestock and crop production and encourage human resource development in the agricultural sector including information on PDAI applicants and assisted projects. **Topics:** New production technologies, farm management techniques, skills development and leadership training. **Storage Medium:** Hard copy and/or computer diskettes. **Program Record Number:** AAFC MIS 489

Technical Assistance

Description: Information on departmental policy in reference to technical assistance abroad in the agricultural

sector. **Topics:** Technical assistance; international agricultural issues; aid/trade issues. **Storage Medium:** Hard copy and/or computer diskettes. **Program Record Number:** AAFC MIS 423

Policy Branch

Acts and Legislation

Description: Various key elements related to grains and oilseeds. **Topics:** Prairie Grain Advance Payments Act; Crop Insurance Act; Livestock Feed Assistance Act; Canada Grain Act; Canadian Wheat Board Act; Grain Futures Act, Farm Income Protection Act. **Program Record Number:** AAFC POL 805

Administration of Acts

Description: Information on loan guarantees, market development and advance crop payments. **Topics:** Application for financial assistance (marketing boards, producer organizations, co-operatives); establishing commodity values; auditing; Agricultural Products Co-operative Marketing Act; Advance Payments for Crops Act, Prairie Grain Advance Payments Act. **Program Record Number:** AAFC FFP 255

Agri-Food Policy Review

Description: Information on the Agri-Food Policy Review related to the work of the federal-provincial and government-industry task forces and committees set up to examine the challenges and priorities facing Canada's agri-food industry. **Topics:** The eleven task forces and committees dealt with: Safety Nets, Farm Finance and Management, Competitiveness, Transportation, Dairy Supply Management, Poultry Supply Management, Food Safety and Quality, Sustainable Agriculture, Research and Technology Transfer, and Pesticides Registration. **Access:** Reports of the task forces and committees are available upon request. Files are arranged by policy area. **Storage Medium:** Machine readable and hard copy. **Program Record Number:** AAFC POL 367

Agricultural Development Policy and Analysis

Description: Policy-oriented analysis of agricultural development issues. **Topics:** Farm costs and incomes; farm income protection; agricultural stabilization; development strategy; energy in agriculture; farm labour programs; land-use policy; farm credit policy; agricultural taxation. **Program Record Number:** AAFC POL 485

Agricultural Policy Issues

Description: Information on economic factors related to the supply, demand and pricing of agricultural commodities and on the development and analysis of economic policy alternatives for the government. **Topics:** Dairy and dairy products; and poultry products; fruit, vegetables, honey and maple products; special crops; policy development. **Program Record Number:** AAFC POL 315

Agriculture-Related Data

Description: Economic data related to agriculture.

Topics: Market commentaries (annual and monthly time series); monthly and annual trade data (prices and quantities); source data for Food and Agriculture Regional Model and the hog, slaughter cattle and cow/calf stabilization models (monthly, quarterly and annual time series); miscellaneous economic data on agriculture and food. **Access:** Files arranged by AGSEC code or commodity (marketing and trade coding system).

Program Record Number: AAFC POL 330

Canadian Farm Business Management Program

Description: Information on the development and application of farm business training materials and associated courses and other farm business management activities. **Topics:** Various aspects of farm business management, needs assessment, and farm business management tools development. **Storage Medium:** Hard copy and/or computer diskettes. **Program Record Number:** AAFC POL 487

Canadian Rural Transition Program

Description: Information on a program to provide assistance to farm families who are forced to give up farming because of financial reasons. **Topics:** Administrative records which include applications made under the program and services provided to program participants. **Storage Medium:** Hard copy and/or computer diskettes. **Program Record Number:** AAFC POL 485

Co-operatives

Description: Information pertaining to co-operatives. **Topics:** Co-operation in Canada; Co-operatives in Canada; Canadian Co-operatives Resource File; Top 50 Canadian Co-operatives. **Access:** Files arranged by organization, commodity, province and number. **Storage Medium:** Hardcopy and computer. **Program Record Number:** AAFC POL 280

Co-operatives Secretariat

Description: Information pertaining to co-operatives. **Topics:** Co-operatives in Canada; Canadian Co-operatives Resource File; Top 50 Canadian Co-operatives; Co-operative Success Stories. **Access:** Files arranged by organization, commodity, province and number. **Storage Medium:** Hardcopy and computer; website: www.agr.ca/policy/coop/accueil.html. **Program Record Number:** AAFC POL 280

Commodity Price Support Programs

Description: Information on support prices for agricultural products under the provisions of the Agricultural Stabilization Act and details of payment programs. **Topics:** Support price related data; correspondence, reports, producer costs, market return statistics; payment program (deficiency payments, eligibility criteria). **Access:** Files arranged by commodity and year. **Program Record Number:** AAFC FFP 595

Crop Insurance Program

Description: Information on federal assistance to provincially operated crop insurance programs that provide producers with income stability against specific crop loss risks. **Topics:** Federal-provincial agreements under the Farm Income Stabilization Act; Crop Insurance Regulations; federal contributions to the provinces; statistical reports, crop condition reports; Federal Main Estimates — Part III; audit reports; foreign crop insurance information. **Program Record Number:** AAFC POL 355

Economic Intelligence

Description: Economic intelligence on agricultural production and inputs. **Topics:** Farm input prices; farm costs and incomes. **Program Record Number:** AAFC POL 490

Economic Research on Commodity Markets and Agricultural Market Forecasting

Description: Information on the supplies, consumption, inventories, trade and prices of the major agricultural commodities produced in Canada and on the analysis of factors influencing the behaviour of markets for these commodities. **Topics:** Dairy and dairy products; livestock and livestock products; poultry and poultry products; fruit, vegetables, honey and maple products; special crops; grains, oilseeds and fodder crop. **Storage Medium:** Statistics on commodity prices, marketings, stocks, imports, exports, production and disposition are machine-readable. **Program Record Number:** AAFC POL 310

Farm Debt Review Boards

Description: Carry out the Farm Debt Review Act which ensures that farmers in financial difficulty of facing foreclosure have access to an impartial third-party review of individual circumstances and possible financing/refinancing options. **Topics:** Professional support, liaison with private sector and other government departments/agencies. **Storage Medium:** Hard copy and/or computer diskettes. **Program Record Number:** AAFC POL 486

Farm Income Protection Act

Description: The Farm Income Protection Act (FIPA) provides legislation for federal programs which assist farmers in improved long-term income protection against fluctuations in yields, prices or production costs such as the Gross Revenue Insurance Program and the Net Income Stabilization Account. FIPA also provides for the implementation of special measures to address short-term assistance to farmers under initiatives such as the Farm Support and Adjustment Measures. **Topics:** Agreements with the governments of the provinces for the purpose of establishing a net income stabilization account program and the establishment, operation and cost-sharing of a gross revenue insurance plan; enhancement to the Gross Revenue Insurance Program; enhancement to the Net Income Stabilization Account Program; the 1991 Cash Flow Enhancement Program; the Land Management Assistance Program; the Permanent Cover Program II;

the Adjustment Measures for Horticulture. **Program Record Number:** AAFC FFP 369

Farm Women's Bureau

Description: Information on status of women activities related to agriculture (rural and agricultural) in Canada and their impact on farm and rural women. **Topics:** Government policy; Convention on the Elimination of All Forms of Discrimination; the Federal Plan for Gender Equality; farm and rural women's representation on Federal Agencies, Boards and Commissions; farm and rural issues, consultations, information and liaison activities; farm women's organizations in Canada. **Program Record Number:** AAFC POL 500

Feed Freight Assistance Adjustment Fund

Description: Information on the Feed Freight Assistance Adjustment Funds in Atlantic Provinces, Ontario, Quebec, British Columbia and the territories. **Topics:** Contracts; claims; project monitoring — control and evaluation. **Program Record Number:** AAFC POL 368

Food Policy and Research

Description: Economic studies and policy advice on food markets. **Topics:** Food prices; processing and retailing, transportation; food demand and consumption; foreign ownership; regulations. **Program Record Number:** AAFC POL 325

Grain Handling and Transportation

Description: Information on grain handling and transportation system in Canada. **Topics:** Labour disputes; elevators; box cars; terminals; trading — CNR, CPR; Crow rates; tariffs, stop-off charges; Western Grain Transportation Act. **Program Record Number:** AAFC POL 815

Grain Payments

Description: Information on domestic grain sector support. **Topics:** Prices; initial payments; cash advances; situation report. **Program Record Number:** AAFC GOB 820

Grains and Oilseeds

Description: Information on various grain and oilseed crops, problems and policies. **Topics:** Feed grains; domestic feed grain marketing policy; canola; oats; barley; wheat; durum; soy bean; flax; rye; drought; pesticides; corn. **Program Record Number:** AAFC POL 830

GRIP/NISA Environmental Assessment Survey (1992)

Description: To collect factual data and information on land and chemical use needed to conduct an environmental assessment of the Gross Revenue Insurance Plan (GRIP) and the Net Income Stabilization Account (NISA). The assessment is required by law under the Farm Income Protection Act (FIPA — subsection 5(2)), and was agreed to by the Signatories to the Federal-Provincial GRIP and NISA agreements (MCRs). Target population: There are two basic populations of

farmers — those participating in GRIP and/or NISA and those not participating. Only producers of eligible crops in participating provinces will be surveyed. **Program Record Number:** AAFC POL 802

Market Intelligence

Description: Market analysis on the purchasing, processing and transportation of food. **Topics:** Food prices; food expenditures (consumer spending); processing and retailing. **Storage Medium:** Food prices, pricing forecast model and food consumption are machine-readable. **Program Record Number:** AAFC POL 320

National Tripartite Stabilization

Description: The Agricultural Stabilization Act supported producers by providing for development of tripartite (federal — provincial — producer) cost-shared programs at support levels to be agreed upon, but with a maximum federal cost of 3% of gross sales of participating producers. Once a tripartite agreement was in place for a commodity, the other support provisions for that commodity no longer applied. **Program Record Number:** AAFC POL 491

Prairie Farm Assistance

Description: Information on assistance to farmers in the western wheat area in the event of a district crop failure. **Topics:** Prairie Farm Assistance Act, 1939; claims and payments; program phase-out 1973-74; repeal of the Act, 1979; annual reports. **Access:** Operational records now stored at National Archives of Canada in Winnipeg; administrative and policy records stored at headquarters. **Program Record Number:** AAFC POL 370

Preparation of Briefing Papers

Description: Briefing papers on various agriculture-related trade policy questions for use by the Minister and senior officials of the Department. **Topics:** Import quotas; tariff changes; bilateral trade agreement negotiations; relations with less developed countries; food security; food reserves; other trade-related topics. **Program Record Number:** AAFC POL 366

Producer Account Maintenance

Description: Information on transactions between grain buyers and producers under the provisions of the Western Grain Stabilization Act. **Topics:** Date of transaction; grade of grain; purchaser (name and location); quantity delivered; revenue paid; kind of grain. **Access:** Files arranged by Canadian Wheat Board permit number. **Program Record Number:** AAFC POL 345

Relief Assistance Programs

Description: Information on crop loss assistance programs that cover emergency situations not provided for under ongoing crop insurance programs. **Topics:** Federal-provincial agreements; crop loss assistance program details; federal contributions to programs; soil

moisture and crop condition reports; audit reports.

Program Record Number: AAFC POL 360

Resource and Environment Programs

Description: Information on environmental issues related to agriculture and programs to encourage environmental management of resources. **Topics:** Soil and water conservation management studies, wildlife habitat/agriculture interface, environmental assessment and review processes, National Soil Conservation Program, Green Plan initiatives, Environmental Sustainability Initiatives, Land Management Adjustment Program, and pesticide price monitoring. **Storage Medium:** Hard copy and/or computer diskettes. **Program Record Number:** AAFC POL 490

Stabilization Payments and Levies

Description: Information on stabilization payments to grain producers and levies on grain sold. **Topics:** Stabilization payments list, levies. **Access:** Files arranged by Canadian Wheat Board permit number. **Program Record Number:** AAFC POL 350

Waterfowl Crop Damage Compensation Program

Description: Information on compensation for individual producers in Manitoba, Saskatchewan, Alberta and Québec who have suffered serious waterfowl crop loss damage. **Topics:** Federal-provincial agreements; federal contributions; the Crop Damage Prevention Program and policies; program subsidies; waterfowl crop damage compensation policies; program audit reports. **Program Record Number:** AAFC POL 365

Western Grain Transportation Consultations

Description: The western grain handling and transportation consultation process focused on 1) the Western Grain Transportation Act (WGTA) method of payment options identified by the 1990 Transportation Committee under the Agri-food Policy Review, 2) western grain transportation system efficiencies, 3) the costs associated with moving grain from Thunder Bay to St. Lawrence shipping points, 4) Minimum Compensatory Rates (MCRs) for canola products and 5) the impacts of policy change on rural communities. The consultations were designed to solicit the views of a wide range of stakeholders, primarily at the "grass roots" level. There were approximately 154 public meetings, from coast to coast — but mostly located in western Canada. The information was used to assist in evaluating various options and to assist in making decisions. **Topics:** Views on options, and method of payments for the above mentioned subjects. **Program Record Number:** AAFC GOB 801

Prairie Farm Rehabilitation Administration (PFRA)

Alberta Irrigation Rehabilitation Program

Description: Information on the Alberta Irrigation Rehabilitation Agreement of 1973 providing for the

transfer to Alberta of Canada's interest in the St. Mary and Bow River irrigation projects and for financial contributions by Canada for the rehabilitation of irrigation works in Alberta. **Topics:** Implementation Board; agreements; finance; drainage; irrigable areas; land; individual project files; individual service, supply and construction contracts related to each individual project file. **Program Record Number:** AAFC PFA 535

Assiniboine River Diking Program

Description: Information relating to the construction of a system consisting of about 160 km of dikes to control flooding along the Assiniboine River between Portage la Prairie and Winnipeg and the operation and maintenance of these dikes. **Topics:** Advisory Board agendas and minutes of meetings; Property and Land Committee of the Advisory Board; Saskatchewan Lands Tripartite Committee of the Advisory Board; Cost Sharing Committee of the Advisory Board; land; individual service, supply and construction contract files. **Program Record Number:** AAFC PFA 540

Drought Monitoring Program

Description: Information relating to the risk of drought on the Canadian Prairies, including water supply, soil moisture and pasture condition assessments. **Topics:** Drought; water supply; wind erosion risk; soil moisture; pastures; committees; workshops; remote sensing; prairie drought monitoring network. **Program Record Number:** AAFC PFA 561

Engineering Services Projects

Description: Information relating to the investigation, planning, design, construction and maintenance of various works pertaining to water development, irrigation and infrastructure programs in the prairie provinces; and the provision of technical assistance to other federal departments and provincial governments with respect to water resource planning and management. **Topics:** Agreements; Community Wells Program; irrigation; drainage and flood control; dam maintenance; engineering assistance; safety inspection and investigation; individual project files; individual service, supply and construction contracts related to each individual project file. **Program Record Number:** AAFC PFA 520

Irrigation Demonstration Program

Description: Information relating to the operation of the Saskatchewan Irrigation Development Centre at Outlook, Saskatchewan. The Centre is a joint Canada — Saskatchewan facility and provides a focal point for the investigation and demonstration of irrigation management techniques that can be evaluated for a wide range of new and established crops. It provides specialized information to farmers and the agricultural industry on irrigation, drainage, special crops, fertilizers, weed control and special equipment. **Topics:** Vegetable Project Committee; Research Committee; Demonstration Committee; reports on various crops; land; irrigation. **Program Record Number:** AAFC PFA 545

Land-Use Program — Community Pastures

Description: Information relating to the operation and administration of PFRA community pastures, the conservation of land resources, the reduction of the impact of drought, the stabilization of western beef breeding herds, the improvement in the quality of beef cattle and the provision of supplemental grazing for farmers. **Topics:** Pasture agreements; agreements; cattle brands; pasture breeding services; wildlife control; control of pests by the application of pesticides and herbicides; animal health; land purchase program; pasture improvements; ecology and preservation of grasslands; land development program; dugout excavation and dugout enlargement; grazing applications and allotment notices; individual service, supply and construction contract files related to each individual project file; surface leasing agreements. **Program Record Number:** AAFC PFA 510

Shelterbelt Program

Description: Information relating to the operation of the Shelterbelt Centre at Indian Head, Saskatchewan, established in 1902 by the Department of the Interior and transferred to PFRA in 1963. The program involves the production and distribution of tree seedlings for farmstead and field shelterbelt plantings throughout the Prairie provinces. **Topics:** Water supply; roads and utilities; irrigation; buildings; landscaping; domestic water agreement with the Town of Indian Head Land; shelterbelts; infields and farmsteads tree distribution policy. **Program Record Number:** AAFC PFA 550

Soil Conservation Program

Description: Information relating to analysis and discussions of soil conservation and land degradation issues on the Canadian prairies, together with activities that deal with such problems at farm and regional levels. **Topics:** Project reports; local conservation organizations; soil conservation committees; Dryland Salinity Control Association; individual service; individual project files; agreements; applications for assistance; letters to government agencies and farm organizations; conservation workshops; soil conservation and degradation; unproductive land; economic and regional development agreements; the following database: Crop Residue Survey. **Program Record Number:** AAFC PFA 560

South Saskatchewan River Project

Description: Information on the construction, operation and maintenance of the Gardiner and Qu'Appelle River Dams forming Lake Diefenbaker in accordance with an agreement between Canada and Saskatchewan dated July 25, 1958. **Topics:** Policy; agreement; damage claims and adjustments; educational arrangements; photography; publicity; inaugural ceremonies; water analysis; buildings and lands; equipment; finances; personnel; development boards; contracts; dams and reservoirs; irrigation; power facilities; recreational facilities; reports on climate, economics, engineering, power, soils

and recreational features. **Program Record Number:** AAFC PFA 525

South-West Saskatchewan Irrigation Projects

Description: Information on the construction of twenty-seven water storage reservoirs and six irrigation projects in southwest Saskatchewan. Four of the reservoirs have been turned over to the province while the remainder continue to be maintained and operated by PFRA. The irrigation projects are located at Consul, Eastend, Maple Creek, Rush Lake, Val Marie and West Val Marie. **Topics:** Assignments and agreements for sale of land; letters patent; drainage; irrigation; leases; application for land; spring runoff records; land levelling; letters to debtors; individual project files; individual construction contract files related to the project files. **Program Record Number:** AAFC PFA 530

Water Development Program

Description: Information relating to the provision of financial and technical assistance to individuals, groups and communities for the development of water and land resources; the establishment of on-farm, community and regional water supplies, including dugouts, stockwatering dams, irrigation schemes as well as farm wells, pipelines and reservoirs. **Topics:** Community Wells Program, agreements; dugouts and small dams; irrigation; submissions for community projects; farm well program; individual project files; applications for water development grants; economic and regional development agreements; the following database: Prairie Rural Water Development Program Projects. **Program Record Number:** AAFC PFA 515

Research Branch

Animal Production Research

Description: Information on the efficiency of production and the quality of animals in support of market development. **Topics:** Beef, dairy, swine, poultry, sheep, and honey bees; breeding; nutrition; management practices; physiology; feeding; protection; reproduction; behaviour; carcass quality and grading; genetic resources. **Program Record Number:** AAFC RBR 220

Biological Resources and Scientific Support Services

Description: Information on Canada's biological resources, and on the scientific support services for research programs; **Topics:** Classification and collections of vascular plants, insects, arachnids, nematodes, fungi; bacteria and viruses; plant gene collections; the following database: Sustainable Agriculture Task Force Database. **Program Record Number:** AAFC RBR 230

Biotechnology

Description: Information on the assessment, development and utilization of biotechnologies in support of agricultural research. **Topics:** Recombinant DNA technology, eucaryotic/prokaryotic molecular genetics, expression vectors, tissue culture, immunological and

nucleic acid probes/diagnostics, gene mapping, cryogenics, microbial (eg. rhizobium/rumen) inoculants, biocontrol of pests excluding insect releases. **Program**

Record Number: AAFC RBR 250

CAB International

Description: Information on centre for Agriculture and Biosciences International formerly the Commonwealth International Agricultural Bureau. **Topics:** Information Service — agricultural scientific information, journals and book reviews; identification service — identification of pests and plant diseases; and biocontrol services — pest evaluation, survey and review services related to the introduction of biological control agents. **Program**

Record Number: AAFC RBR 245

Crop Production Research

Description: Information on the efficiency of production and quality of crops in support of market development.

Topics: Wheat and other cereals; oilseed crops; forage crops; horticultural crops; field crops; breeding; physiology; management practices; protection; disease resistance; plant nutrient studies; N fixation; genetic resources. **Program Record Number:** AAFC RBR 225

Crop Protection Research

Description: Information on the protection of crops from diseases, insects and weeds, and on minimizing environmental impact from agricultural practices. **Topics:** Plant disease organisms, insect pests, weeds, pesticides, chemical and biological control, integrated pest management, pesticide management. **Program Record Number:** AAFC RBR 210

Food Processing and Distribution Research

Description: Information on efficiency in the processing and distribution sectors through technological innovation and product improvement. **Topics:** Food processing (technological innovation, equipment and utilization); the development of new ingredients; packaging and storage (physiology and storage conditions) non-food use of agricultural materials. **Program Record Number:** AAFC RBR 240

Food Quality and Nutrition Research

Description: Information on the safety, quality and nutritive value of edible agricultural products. **Topics:** Food safety — toxicants and contaminants; food quality — food composition, nutrient availability, nutrition, quality measurement. **Program Record Number:** AAFC RBR 215

Research Branch — Study Data Base

Description: This data base contains information on agricultural and food research studies underway in Agriculture and Agri-Food Canada — Research Branch. **Topics:** Computerized input and retrieval system for scientific information on agricultural and food studies undertaken at research establishments. **Program Record Number:** AAFC RBR 221

Soil Research

Description: Information on the maintenance, availability and better utilization of agricultural land to meet current and future agricultural and food production needs.

Topics: Soil management and conservation (erosion problems, tillage, reclamation, fertilizer use); soil components (organic matter, mineral content, physical, chemical and biological properties); soil mapping; land inventory (classification, surveys, mapping); soil and land evaluation; soil quality and indicators; the following databases: Agroecological Resource Areas, Canadian Soil Information System (CANSIS), Generalized Soil Landscape Maps (GSLM) Degradation File, Land Potential for Agriculture Database, Soil Landscapes of Canada. **Program Record Number:** AAFC RBR 195

Water and Climate Research

Description: Information on the use of water resources and the effects of weather and climate on agricultural production. **Topics:** Irrigation, drainage, water quality, desalination, conservation; winter survival of crops, meteorological and climatic indices; crop development models (yield prediction through use of climatic indices); atmospheric carbon dioxide; meteorological records and data; the following database: Agriculture and Meteorology (AGMET). **Program Record Number:** AAFC RBR 200

Review Branch

Program Reviews

Description: Information on the Reviews of departmental programs. **Topics:** Documentation relating to results of reviews of departmental programs, objectives; analysis of effectiveness and audits of programs systems functions and organizations. **Storage Medium:** Hard copy and/or computer diskettes. **Program Record Number:** AAFC RB 415

Canadian Agri-Food Development Initiative

Description: Information on a financial contribution program introduced in 1990 to assist the agri-food industry in undertaking developmental projects including information on CMISI applicants and assisted projects. **Topics:** Marketing, production and human resource development. **Storage Medium:** Hard copy and/or computer diskettes. **Program Record Number:** AAFC MIS 484

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Audits

Budgets

Buildings

Buildings and Properties

Classification of Positions

Co-operation and Liaison

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Lands

Occupational Health, Safety and Welfare

Office Appliances

Official Languages

Pensions and Insurance

Procurement

Salaries and Wages

Training and Development

Utilities

Vehicles

Personal Information Banks

Canadian Grain Commission

End-Use Certificates

Description: Information on the type of grain imported from the United States and the type of facility to which the grain is consigned submitted Importers under the Canada Grain Act or Canada Grain Regulations. **Class of Individuals:** Grain producers and grain processing companies. **Purpose:** Statistical, i.e. to monitor the volume of American wheat being imported. **Consistent Uses:** Same as "Purpose". **Retention and Disposal Standards:** Records are held for 5 years. Disposal Authority: Treasury Board Minute 346576-1948. **Related to PR#:** AAFC CGC 566 **TBS Registration:** 002932 **Bank Number:** AAFC PPU 206

Licensing and Bonding Program

Description: The bank contains correspondence and annual applications from grain dealers and grain elevators to deal in or handle grain. **Class of Individuals:** Grain dealers and operators of grain elevators. **Purpose:** The purpose of this program is to license grain dealers and grain elevators under the authority of the Canada Grain Act. **Consistent Uses:** To issue licenses to grain dealers and grain elevators. **Retention and Disposal Standards:** Records are held for ten years. **Related to PR#:** AAFC CGC 570 **TBS Registration:** 001717 **Bank Number:** AAFC PPU 210

Producer Car Program

Description: This bank is comprised of correspondence and producers car applications which are filed by date of receipt and contain information such as the producer's name, application number; type, quality and quantity of

grain; and the Canadian Wheat Board permit number.

Class of Individuals: Grain producers. **Purpose:** The purpose of this program is to allocate railcars to grain producers for the transport of their crop to terminal elevators or other destinations under the authority of the Canada Grain Act. **Retention and Disposal Standards:** Records are held for six years. **Related to PR#:** AAFC CGC 565 **TBS Registration:** 001716 **Bank Number:** AAFC PPU 205

Unofficial Sample File

Description: The bank contains the name of the grower, the name of the requester and the quality of the sample.

Class of Individuals: Grain producers. **Purpose:** The purpose of this bank is to maintain a record of all unofficial samples submitted for grading by grain inspectors under the authority of the Canada Grain Act. **Consistent Uses:** To provide documentation on unofficial samples taken or tests conducted by grain inspectors. **Retention and Disposal Standards:** Records are held for three years. **Related to PR#:** AAFC CGC 565 **TBS Registration:** 001718 **Bank Number:** AAFC PPU 215

Corporate Services Branch

Access to Information Request Data Bank

Description: This bank contains the Access to Information Request Forms sent by individuals requesting access to departmental files, the replies to such requests and information related to their processing. Information on exemptions or exclusions claimed, on complaints handled, or on fee waivers may also be included. **Class of Individuals:** Canadian citizens/permanent residents of Canada. **Purpose:** To administer access requests in accordance with the Access to Information Act. **Consistent Uses:** The bank is used to record the processing of requests under the Access to Information Act, to report to Treasury Board and to Parliament on requests received and completed, and for the purposes of consultations with other government institutions. **Retention and Disposal Standards:** Files will be kept for two years. **PAC Number:** 78-001 **Related to PR#:** AAFC CSB 852 **TBS Registration:** 000908 **Bank Number:** AAFC PPU 200

Consultant, Contractor and Supplier Inventory

Description: This bank includes a list of individuals, firms and institutions and may include work previously performed or under way, and the name of the principal. **Class of Individuals:** Consultants, contractors and suppliers. **Purpose:** The purpose of this bank is to maintain a record of consultants, contractors and suppliers with whom the Department has contracted or may potentially contract. **Consistent Uses:** This bank also serves as an aid to selection and retention of consultants, contractors and suppliers. **Retention and Disposal Standards:** The records are maintained for two fiscal years and then destroyed. **PAC Number:** 86-001 **Related to PR#:** AAFC CSB 912 **TBS Registration:** 002047 **Bank Number:** AAFC PPU 203

Expenditure Accounts — Public

Description: This bank contains such records as claims, authorizations, expense reports, receipts, cheque requisitions, advances, correspondence and other supporting documents relating to expenses being reimbursed, fees being paid, claims being settled, and other payments. **Class of Individuals:** Contractors engaged by the Department of Agriculture and Agri-Food and farmers receiving various forms of payments from the Department. **Purpose:** The bank is used for the payment of invoices and claims to contractors engaged by the Department and farmers receiving various forms of payments from the Department. **Retention and Disposal Standards:** Records are retained for six years. **PAC Number:** 86-001 **Related to PR#:** AAFC CSB 914 **TBS Registration:** 002286 **Bank Number:** AAFC PPU 335

Information Disclosed to Investigative Bodies

Description: This bank contains copies of requests from investigative bodies listed in the Privacy Regulations for access to personal information pursuant to section 8(2)(e) of the Act. The bank also contains the replies to such requests, the records of information disclosed, any correspondence with the Privacy Commissioner and all records relating to the processing of the requests. **Class of Individuals:** Individuals about whom requests for personal information have been received pursuant to paragraph 8(2)(e) of the Privacy Act from federal investigative bodies. **Purpose:** The purpose of this bank is to permit the Privacy Commissioner to review disclosures made under paragraph 8(2)(e) of the Privacy Act to federal investigative bodies for the purpose of enforcing a law of Canada or a province or carrying out a lawful investigation. **Consistent Uses:** This bank will be used to compile statistics relating to requests from federal investigative bodies. Also this bank will be used for the auditing of the procedures concerning the exchange of personal information with investigative bodies as defined in section 8(2)(e) of the Privacy Act. **Retention and Disposal Standards:** Information held in this bank is retained for two years after the last entry date and then destroyed. **PAC Number:** 86-001 **Related to PR#:** AAFC CSB 852 **TBS Registration:** 002045 **Bank Number:** AAFC PPU 201

Mailing Lists

Description: This bank contains mailing lists with the names and addresses of individuals, academic and farming organizations, firms, companies, group, etc. **Class of Individuals:** Individuals, academic and farming organizations, firms, companies, groups, government officials, etc. **Purpose:** To maintain standard lists of individuals, organizations, groups, businesses, etc. for the purpose of mailing publications, reports, newsletters, press releases and other documentation on departmental activities and programs. **Consistent Uses:** The information is used to mail and distribute documentation to individuals on the mailing lists. Use of the mailing lists will be based on one of the following criteria: 1) The individuals were informed that their names and addresses

would be used for that purpose. 2) The use of the names and addresses for a mailing list is authorized by legislation or a regulation. 3) The individuals have consented to being on a mailing list and/or to having additional information distributed to them. 4) It is clearly to the benefit of the individual or in the general public interest to distribute the information (this would only occur in exceptional circumstances). **Retention and Disposal Standards:** Records are updated continuously and the information is kept up to when superseded. **PAC Number:** 86-001 **Related to PR#:** AAFC CSB 852 **TBS Registration:** 002695 **Bank Number:** AAFC PPU 204

Persons with Disabilities: Background Information on Employees for Departmental Advisory Committee

Description: This bank contains personal information on employees such as their name, title, group and level, Branch, address (some will submit both office and home addresses), telephone number; as well, if the employee wishes to stand for nomination to the advisory committee; is interested in having input on topics or issues relating to the committee; is willing to participate on sub-committees or working groups; would like to be kept informed of the activities of the committee; and any personal comments made by the respondents of the form. **Class of Individuals:** The information relates to employees in Agriculture and Agri-Food Canada of all groups and levels and from all regions. **Purpose:** The purposes of the information are to set up a departmental advisory committee and to build a distribution list of individuals interested in issues and topics touching on employing persons with disabilities. The information given can allow qualified employees of AAFC to access information on the individual's employment equity status, i.e. whether he or she has identified himself or herself as being a person with a disability. **Consistent Uses:** The information is for the use of the committee, the Assistant Deputy Minister of Corporate Services Branch and his/her advisors. **Retention and Disposal Standards:** To be determined **TBS Registration:** 003928 **Bank Number:** AAFC PPE 828

Privacy Request Data Bank

Description: This bank contains Personal Information Request Forms sent by individuals requesting access to their files, the replies to such requests and information related to their processing. Information on exemptions claimed or on complaints handled may also be included. **Class of Individuals:** Individuals who apply under the Privacy Act for access to their personal files, including employees of Agriculture and Agri-Food Canada. **Purpose:** The information is used to administer access to personal information requests in accordance with the Privacy Act. **Consistent Uses:** The bank is used to access personal information requests; to report to Treasury Board and to Parliament on requests received and completed under the Privacy Act; to verify the identity of requesters; and for the purpose of consultations with other government institutions. **Retention and Disposal Standards:** Files will be kept for two years. **PAC**

Number: 78-001 **Related to PR#:** AAFC CSB 852 **TBS Registration:** 000907 **Bank Number:** AAFC PPU 195

Real Property Legal File

Description: This bank contains land grants, deeds, titles, leases and easements. **Class of Individuals:** Property owners, proprietors, tenants, licensees, lessees. **Purpose:** Information is used to conclude land transactions and for economic cost management of real property holdings. **Consistent Uses:** The information is also used to maintain legal records of holdings and transactions, and for cost control. **Retention and Disposal Standards:** Records are retained until they are of no further value to Agriculture and Agri-Food Canada (at least two years). Original documents are retained in provincial or court registry offices. **PAC Number:** 86-001 **Related to PR#:** AAFC CSB 907 **TBS Registration:** 002290 **Bank Number:** AAFC PPU 345

Revenue Accounting and Control

Description: This bank is used for managing the accounts receivable system to effect cost recovery on behalf of Agriculture and Agri-Food Canada. Related information is maintained to administer these responsibilities. **Class of Individuals:** Private sector individuals to whom invoices are issued to record their indebtedness to the Department. **Purpose:** Information is used to manage the granting of credit by the Department to individuals and the collection of revenues owing to the Department. The collection of revenues may involve the use of Private Collection Agencies and the provision to them of information provided by the individuals. **Consistent Uses:** Information may be used to recover debts due to the Department by set-off against any payment made by the Crown to individuals. Information may also be used to garnish payments received by individuals under several Agriculture and Agri-Food Canada programs. This activity would be made under the Family Orders and Enforcement Assistance Act. **Retention and Disposal Standards:** Records are retained for six years. **PAC Number:** 86-001 **Related to PR#:** AAFC CSB 915 **TBS Registration:** 002287 **Bank Number:** AAFC PPU 340

Services Contracts Under Agriculture and Agri-Food Canada Authority

Description: This bank is maintained by the Materiel Planning Management Section to record information on services contracts awarded under Agriculture and Agri-Food Canada authority in compliance with the Financial Administration Act and Treasury Board and internal departmental directives. **Class of Individuals:** Individuals or firms engaged in service contracts with the Department of Agriculture and Agri-Food. **Purpose:** The bank is used to administer contracts and to audit and evaluate contracts. **Consistent Uses:** The bank is also used to record, retain and monitor all contracts. These records are also used during the auditing functions. **Retention and Disposal Standards:** Information in this bank is retained for six years after the completion and

non-renewal of a contract and is then destroyed. **PAC Number:** 86-001 **Related to PR#:** AAFC CSB 912 **TBS Registration:** 002046 **Bank Number:** AAFC PPU 202

Surveys

Description: From time to time, agricultural and non-agricultural surveys are carried out by the Department to obtain information. These agricultural surveys include information on the socio-economic characteristics of farm operators and information relating to crops, livestock, poultry, machinery, farm operating expenses and agriculture receipts. The non-agricultural surveys include information on production, inventory and sales in the fruit, vegetable and dairy processing sectors. The bank also contains information from the grain and oilseed industries and the agri-food sectors. Program evaluations routinely collect information from the agricultural sector relating to program performance. Other similar surveys may occur as the need arises. **Class of Individuals:** Producers, processors, distributors, wholesalers and retailers. **Purpose:** This bank provides a base inventory of Canadian agriculture, as well as information for production, marketing, administrative and policy decisions. **Consistent Uses:** The results of the surveys will be used to provide statistics which will assist the various branches in assessing the relevance and impact of Department of Agriculture and Agri-Food programs, and the extent to which these have successfully achieved their objectives. **Retention and Disposal Standards:** Each survey will be retained for a minimum period of five years. **PAC Number:** 86-001 **Related to PR#:** AAFC CSB 850 **TBS Registration:** 001877 **Bank Number:** AAFC PPU 193

Food Production and Inspection Branch

Accreditation of Private Seed Testing Laboratories

Description: This bank contains technical information on organization, management, testing equipment, sample throughput and the performance rating of the laboratory in performance monitoring programs. **Class of Individuals:** Seed laboratory proprietors, accredited seed analysts. **Purpose:** The purpose of this bank is to maintain records of the businesses and individuals who have been accredited to test and grade seed along with the technical data on the bases for the accreditation, and subsequent performance of the quality of the seed testing carried out by the laboratory. **Consistent Uses:** To assess, on the basis of performance and maintenance of the facilities and staff, if the accreditation should be continued or withdrawn. **Retention and Disposal Standards:** These files are retained for 10 years. **Related to PR#:** AAFC FPI 105 **TBS Registration:** 003139 **Bank Number:** AAFC PPU 103

Accredited Private Seed Analyst

Description: This bank contains technical information on persons that applied for and written an accredited seed analyst exam. It contains a copy of their results and any certificates awarded. **Class of Individuals:** Seed analysts

working for private seed testing laboratories or seed firms.

Purpose: The purpose of this bank is to maintain a record of the persons who have written the exam and the results they achieved in the exam. **Consistent Uses:** To assess the level of the actual persons' competence should they want to obtain a higher level of accreditation. The file is used to confirm that the level of accreditation that a seed laboratory merits based on the qualification of its complements of accredited seed analysts. **Retention and Disposal Standards:** These files are retained for 20 years. **Related to PR#:** AAFC FPI 105 **TBS Registration:** 003138 **Bank Number:** AAFC PPU 102

Animal Health Importation Program

Description: This bank contains information related to the importation of animals, animal products and by-products, veterinary biologics and related items. Examples are cattle, horses, poultry, animal semen and embryos, meat, animal hides, veterinary vaccines, serums, toxins, etc. The information relates to permits, applications, quarantines, test results, numbers imported, etc. **Class of Individuals:** Information relates primarily to the identification of Canadian importers and, to a lesser extent, exporters in other countries. **Purpose:** To provide statistical information on imported commodities, to enable tracebacks for disease purposes and to provide an inventory of animals, commodities, owners, etc. for reference and consultation purposes. **Consistent Uses:** The bank is used primarily for issuing import permits, quarantining animals and birds, import statistic compilation, tracing back from disease outbreaks, verification of legal entry of animals, etc., into Canada. **Retention and Disposal Standards:** Information is maintained in this bank for a period of 20 years. **PAC Number:** 70-045 **Related to PR#:** AAFC FPI 015 **TBS Registration:** 001711 **Bank Number:** AAFC PPU 011

Application for Compensation (PVYN)

Description: Name, address, telephone number, legal description of persons (farmers), property, production and sale records and grower's seed potato certification number. **Class of Individuals:** Farmers mainly. **Purpose:** For the purpose of awarding compensation payments to persons (farmers mainly) affected by the plant virus PVYN. **Consistent Uses:** It is expected that there will be some form of data sharing with the provinces and the potato marketing boards. **Retention and Disposal Standards:** Six years after the issuance of payments. **Related to PR#:** AAFC FPI 161 **TBS Registration:** 002941 **Bank Number:** AAFC PPU 012

Application for Pari-Mutuel Betting Permits

Description: This bank contains correspondence, and material on personal characteristics, criminal histories and corporate ownership (directors, and signing officer over 10 percent of the shares). **Class of Individuals:** Race track operators. **Purpose:** The purpose of this bank is to consolidate information used to grant qualified applicants permits to conduct pari-mutuel betting on horse races pursuant to section 204 of the Criminal Code. **Consistent Uses:** The bank is used to qualify associations for betting

privilege, and to fix dates for betting. **Retention and Disposal Standards:** These files are retained for 10 years. **PAC Number:** 75-015 **Related to PR#:** AAFC FPI 180 **TBS Registration:** 000894 **Bank Number:** AAFC PPU 125

Artificial Insemination (A.I.) Units

Description: Information contained in the bank includes reports on owners of male donor animals in the A.I. units; name, identification and test results of the animals; records on the amount of semen being imported into the country to be stored at the A.I. units; and records showing the amount of semen exported. **Class of Individuals:** Individuals who have had semen collection of their animals done at artificial insemination units. **Purpose:** The purpose of this information bank is to support the prevention of disease transmission through the use of artificial insemination. **Consistent Uses:** This bank is used in the monitoring of artificial insemination units and for issuing licenses, export certificates and import permits. **Retention and Disposal Standards:** Information is maintained in this bank for a period of seven years. **PAC Number:** 70-045 **Related to PR#:** AAFC FPI 025 **TBS Registration:** 000880 **Bank Number:** AAFC PPU 055

Authorization of Establishments to Certify Seed

Description: This bank contains technical information on seed handling equipment, seed sale data and product compliance data. **Class of Individuals:** Seed establishment proprietors. **Purpose:** The purpose of this bank is to maintain a record of businesses and individuals who have been authorized to tag and seal certified seed, and/or sell certified seed in bulk, along with technical data on the basis of the authorization and subsequent performance of the seed from such establishments in meeting standards under the Seeds Act and Regulations. **Consistent Uses:** To assess on the basis of product performance whether authorization should be continued or withdrawn to certify seed. **Retention and Disposal Standards:** These files are retained for 10 years. **PAC Number:** 72-025 **Related to PR#:** AAFC FPI 095 **TBS Registration:** 000890 **Bank Number:** AAFC PPU 105

Canadian Processed Egg Stations

Description: The bank contains documentation prepared by federal inspectors on processed egg station operation and processed egg station operators' weekly submissions on station output. **Class of Individuals:** Processed egg station operators. **Purpose:** The purpose of the bank is to provide an historical record on Canadian processed egg stations operation, compliance and throughput. **Consistent Uses:** The primary use of the bank is to accumulate, for weekly publication, a provincial and Canadian record of processed egg station output for use by the total Canadian poultry industry. **Retention and Disposal Standards:** Information is maintained in this bank for a period of four years after the current year or for one year following cancellation of registration. **PAC Number:** 74-023 **Related to PR#:** AAFC FPI 035 **TBS Registration:** 000883 **Bank Number:** AAFC PPU 070

Canadian Processed Poultry Stations

Description: As per the Processed Poultry Regulations of the Canada Agricultural Products Act, this bank contains documentation prepared by federal inspectors on processed poultry station operation and processed poultry station operators' weekly submissions on station production. **Class of Individuals:** Poultry station operators. **Purpose:** The purpose of the bank is to provide an historical record on processed poultry stations operation, compliance and throughput. **Consistent Uses:** The primary use of the files is to accumulate for weekly publication a provincial and Canadian record of processed poultry stations' output for use by the Canadian poultry industry. **Retention and Disposal Standards:** Information is maintained in this bank for a period of four years after the current year and for one year following cancellation of registration. **PAC Number:** 74-023 **Related to PR#:** AAFC FPI 035 **TBS Registration:** 000885 **Bank Number:** AAFC PPU 080

Canadian Registered Egg Stations

Description: This bank contains documentation prepared by federal inspectors on egg station operation and egg station operators' weekly submissions on station volume of graded shell eggs. **Class of Individuals:** Egg station operators. **Purpose:** The purpose of this bank is to provide an historical record on registered egg stations' operation, compliance and throughput. **Consistent Uses:** The primary use of the records is to accumulate, for weekly publication, a provincial and Canadian record of egg gradings and production for use by the Canadian poultry industry. **Retention and Disposal Standards:** Information is maintained in this bank for a period of four years after the current year and for one year after cancellation of registration. **PAC Number:** 74-023 **Related to PR#:** AAFC FPI 035 **TBS Registration:** 000882 **Bank Number:** AAFC PPU 065

Canadian Registered Hatcheries (Chicken and/or Turkey)

Description: This bank contains documentation prepared by federal inspectors on hatchery operation and hatchery operators' weekly submissions on hatchery output (chicks and/or poults). **Class of Individuals:** Chicken/turkey hatchery operators. **Purpose:** The purpose of the bank is to provide an historical record on hatcheries registered under the Hatchery Regulations of the Livestock and Livestock Products Act. **Consistent Uses:** The primary use of the file is to accumulate for weekly publication a provincial and Canadian record of chick and poult production for use by the Canadian poultry industry. **Retention and Disposal Standards:** Information is maintained in this bank for a period of four years after the current year and for one year following cancellation of registration. **PAC Number:** 74-023 **Related to PR#:** AAFC FPI 035 **TBS Registration:** 000884 **Bank Number:** AAFC PPU 075

Disease Investigation

Description: Information contained in the bank includes reports on herds in which there have been an unusual number of deaths or other uncommon occurrences; reports from veterinary practitioners reporting unusual occurrences in their area; reports from veterinarians in charge of registered establishments reporting high incidence of disease in one particular herd or flock. **Class of Individuals:** Livestock owners who have had uncommon occurrences in their herds or flocks. **Purpose:** The purpose of this information bank is to support the monitoring of livestock diseases in Canada in accordance with the Health of Animals Act. **Consistent Uses:** The primary use of this bank is to report unusual occurrences of animal disease. **Retention and Disposal Standards:** Information is maintained in this bank for a period of 20 years. **PAC Number:** 70-045 **Related to PR#:** AAFC FPI 025 **TBS Registration:** 000874 **Bank Number:** AAFC PPU 025

Disease Investigation on Reportable Diseases

Description: Information contained in the bank includes reports on individual herds of flocks in which a reportable disease has been diagnosed or suspected. **Class of Individuals:** Livestock owners who have a reportable disease in their herd or flock. **Purpose:** The purpose of this information bank is to support the eradication and/or control of livestock diseases in Canada which are reportable under the Health of Animals Act. **Consistent Uses:** The primary use of this bank is to monitor reportable livestock diseases and payment of compensation. Information may also be used to garnish payments received by individuals under several Agriculture and Agri-Food Canada programs. This activity would be made under the Family Orders and Enforcement Assistance Act. **Retention and Disposal Standards:** Information is maintained in this bank for a period of 20 years. **PAC Number:** 70-045 **Related to PR#:** AAFC FPI 025 **TBS Registration:** 000879 **Bank Number:** AAFC PPU 050

Embryo Transfer Centres

Description: Information contained in the bank includes the names and identification of parents of embryos, results of tests of parent and recipient animals, records of animals, animal embryos imported into the country by means of recipient animals or for transfer into recipient animals and records showing the number of animal embryos exported. **Class of Individuals:** Individuals who operate embryo transfers performed at embryo transfer centres. **Purpose:** The purpose of this information bank is to support the prevention of disease transmission through the process of animal embryo transfer from donor females into recipient females. **Consistent Uses:** The bank is used in the monitoring of embryo transfer centres for registration purposes, issuing export certificates and import permits. **Retention and Disposal Standards:** Information is maintained in this bank for a period of 20 years. **PAC Number:** 70-045 **Related to PR#:** AAFC FPI 035 **TBS Registration:** 000876 **Bank Number:** AAFC PPU 035

Export Control Information Bank

Description: This bank contains information such as species exported, destination and phytosanitary condition.

Class of Individuals: The information in this bank relates to persons wishing to export plants or plant materials.

Purpose: The purpose of this bank is to issue phytosanitary certificates for export of plants or plant materials.

Retention and Disposal Standards: Records are retained for five years. **PAC Number:** 69-005 **Related to PR#:** AAFC FPI 156 **TBS Registration:** 003037 **Bank Number:** AAFC PPU 120

Export of Livestock

Description: Information contained in the bank includes names and addresses of livestock exporters, numbers and identification of the livestock, including embryos and semen exported. The files include records on individuals as well as companies involved in the exporting of livestock such as volume of business, value of contracts and purpose of exportation. **Class of Individuals:** Livestock owners and companies exporting livestock.

Purpose: The purpose of this information bank is to support the prevention of the export of diseased livestock and to ensure that the livestock meet the health requirements of the importing countries, and to provide for statistical compilation. **Consistent Uses:** The bank is used to monitor the export of animals, to issue health certificates, and to establish uniformity of procedures in the operations of quarantine and inspection of livestock for export. **Retention and Disposal Standards:** The information is maintained in this bank for a period of 20 years after the shipment. **PAC Number:** 70-045 **Related to PR#:** AAFC FPI 020 **TBS Registration:** 000873 **Bank Number:** AAFC PPU 020

Feed Registration

Description: This bank contains applications for feed registration in compliance with the Feeds Act. It also includes the companies' and farmers' names and addresses, and names of feeds (including brand names), together with registration numbers. This information is included on a database of feed manufacturers having registered feed products. **Class of Individuals:** On-farm manufacturers who mix medicated feed and commercial feed mills. **Purpose:** The information was obtained as part of the feed registration procedure. **Consistent Uses:** The information is used by headquarters and regional feed, registration and compliance staff to carry out inspection and sampling, as required. **Retention and Disposal Standards:** Files are retained for 10 years after feed registration is cancelled or discontinued. **PAC Number:** 86-001 **Related to PR#:** AAFC FPI 145 **TBS Registration:** 001876 **Bank Number:** AAFC PPU 111

Herd Test Reports on Bovine Tuberculosis

Description: Information contained in the bank includes the number of animals tested, the number of animals suspected of or suffering from tuberculosis, the location where the infected animals were slaughtered, the amount of compensation paid and a certificate declaring premises

free of disease. This information bank records test results on all herds tested for bovine tuberculosis. **Class of Individuals:** Livestock owners of animals suspected of or suffering from tuberculosis. **Purpose:** The purpose of this information bank is to support the eradication of bovine tuberculosis. **Consistent Uses:** Primary use of this bank is to monitor tuberculosis in Canada and to calculate the payment of compensation for animals slaughtered under the Health of Animals Act. **Retention and Disposal Standards:** Information is maintained in this bank for a period of 20 years after the date of the test. **PAC Number:** 70-045 **Related to PR#:** AAFC FPI 025 **TBS Registration:** 000875 **Bank Number:** AAFC PPU 030

Herd Test Reports on Brucellosis

Description: Information contained in the bank includes reports on herd owners, the number of animals tested, the number of animals suspected of, or suffering from, brucellosis, the location where the infected animals were slaughtered, the amount of compensation paid and the certificate declaring premises free of disease. **Class of Individuals:** Livestock owners of animals suspected of or suffering from brucellosis. **Purpose:** The purpose of this information bank is to aid in the eradication of brucellosis. **Consistent Uses:** The information is used to evaluate the program and to calculate the payment of compensation for animals slaughtered under the Health of Animals Act. **Retention and Disposal Standards:** Information is maintained in this bank for a period of twenty years following the testing of an individual's cattle. **PAC Number:** 70-045 **Related to PR#:** AAFC FPI 035 **TBS Registration:** 000877 **Bank Number:** AAFC PPU 040

Herd Test Reports on

Johne's Disease (Paratuberculosis)

Description: Information contained in the bank includes the number of animals tested and the number of animals suspected of or suffering from Johne's disease. **Class of Individuals:** Livestock owners of animals suspected of or suffering from Johne's disease. **Purpose:** The purpose of this information bank is to support the control of Johne's disease in accordance with the Health of Animals Act. **Consistent Uses:** Primary use of this bank is to monitor Johne's disease in Canada. **Retention and Disposal Standards:** Information is maintained in this bank for a period of 10 years following the testing of an individual's cattle, sheep or goats. **PAC Number:** 70-045 **Related to PR#:** AAFC FPI 025 **TBS Registration:** 000878 **Bank Number:** AAFC PPU 045

Herd Test Reports on Leukosis for CHAH Program

Description: The information in this bank includes reports on herd owners, the number of animals tested and the number of animals suspected of being infected with enzootic bovine leukosis (EBL) virus. This bank records all official test results on all herds enrolled in the Canada Health Accredited Herd (CHAH) plan for EBL. Information in the bank also includes the identity of the animals in the herd. **Class of Individuals:** Livestock owners who wish to enrol their herd in the voluntary CHAH plan for enzootic

bovine leukosis. **Purpose:** The purpose of this information bank is to support a voluntary herd accreditation program for enzootic bovine leukosis in Canadian cattle herds. The herd accreditation program (CHAH) enables owners to detect EBL and to control the infection within their herd and facilitates domestic and international trade.

Retention and Disposal Standards: Information is maintained for a period of 10 years following the testing of an individual's cattle. **PAC Number:** 70-045 **Related to PR#:** AAFC FPI 025 **TBS Registration:** 002378 **Bank Number:** AAFC PPU 046

Import Control Information Bank

Description: This bank contains information on plants and plant materials, such as species imported, origin, intended use, names of importers, and notices of refused entry. **Class of Individuals:** Persons wishing to import plants or plant materials. **Purpose:** To issue permits for import of plants or plant materials, to control entry and to enable the Plant Health Division to correspond with export and/or import permit holders on regulatory subject matters. **Consistent Uses:** To issue import permits, to compile plant-origin-destination profiles and to enable the Plant Protection Division to contact permit holders for regulatory enforcement purposes. **Retention and Disposal Standards:** These files are retained for 10 years. **PAC Number:** 69-005 **Related to PR#:** AAFC FPI 155 **TBS Registration:** 000892 **Bank Number:** AAFC PPU 115

Inspection and Registration — Processed Dairy Products

Description: This bank contains information on the condition of dairy premises, product quality, volumes and countries of origin of imported products. **Class of Individuals:** Dairy processors. **Purpose:** The purpose of this bank is to support the enforcement of the regulation of dairy products under the mandate of the Canada Agricultural Products Act and Regulations. **Consistent Uses:** The information is used in regulating the manufacturing, importation, exportation and marketing of dairy products and in the formulation of government policy. **Retention and Disposal Standards:** Records are retained for three years. **PAC Number:** 74-012 **Related to PR#:** AAFC FPI 060 **TBS Registration:** 000886 **Bank Number:** AAFC PPU 085

Inspection and Registration — Processed Products

Description: This bank contains documentation on safety, grading, packaging, and labelling of products as well as correspondence and information on food processors, packers, importers, wholesalers and producers (honey and maple). **Class of Individuals:** Processors, packers, importers, wholesalers and producers (honey and maple) of processed products. **Purpose:** The purpose of this bank is to aid enforcement of the Canada Agricultural Products Act and Regulations relating to the quality and safety of processed products, including honey and maple products. **Consistent Uses:** The information is used to assist in the maintenance of national quality standards and to assess compliance with

regulatory requirements. **Retention and Disposal Standards:** Records are retained for five to ten years. **PAC Number:** 73-009 **Related to PR#:** AAFC FPI 045 **TBS Registration:** 000888 **Bank Number:** AAFC PPU 095

Inspector/Grader Certificate & Application

Description: Information required for all inspectors in FP&I Branch to permit them to inspect under the various acts. **Class of Individuals:** Inspectors. **Purpose:** To permit inspectors to inspect agricultural commodities. **Consistent Uses:** Once the application form has been completed and approved, a card is presented to the individual so that he/she then becomes a registered establishment inspector. **Retention and Disposal Standards:** Application for certificates are kept until date of expiry. **Related to PR#:** AAFC FPI 076 **TBS Registration:** 002696 **Bank Number:** AAFC PPU 097

Investigations: Violations of Acts and Regulations — FPI

Description: The information contained in this bank consists of narrative reports which describe the action taken in a particular investigation. Coverage consists of persons and/or companies suspected of violating acts and regulations administered by the Food Production and Inspection Branch (FPI). **Class of Individuals:** The general public. **Purpose:** The purpose of this bank is to maintain records to assist in the enforcement of the acts and regulations administered by FPI. Narrative reports are collected under the authority of the Department of Agriculture and Agri-Food Act. Social insurance numbers are collected on narrative reports under the authority of the Department of Agriculture and Agri-Food Act. The social insurance number is required to properly identify individuals suspected of violating the Act. **Consistent Uses:** The information is used primarily to investigate alleged and known violations of acts and regulations administered by FPI. **Retention and Disposal Standards:** Records are destroyed five years after investigation is concluded. **PAC Number:** 86-001 **TBS Registration:** 001875 **Bank Number:** AAFC PPU 096

Licensing Agents and Inspection — Fresh Fruits and Vegetables

Description: This bank includes documentation on product grading, labelling and packaging as well as information on dealers and brokers. **Class of Individuals:** Dealers and brokers. **Purpose:** The purpose of this bank is to aid enforcement of the Canada Agricultural Products Act and Regulations relating to product quality and marketing of fresh fruits and vegetables. **Consistent Uses:** The information is used to assist in the maintenance of national quality standards and to assess compliance with regulatory requirements. **Retention and Disposal Standards:** Files are retained for twenty years. **PAC Number:** 73-009 **Related to PR#:** AAFC FPI 050 **TBS Registration:** 000887 **Bank Number:** AAFC PPU 090

Licensing of Swine Producers Feeding Garbage

Description: Information contained in the bank includes copies of permits issued to individuals showing name, address, location and number of swine on premises, building structure and size, number of swine arriving at the premises, number of swine leaving and the location where they were slaughtered. Files contain applications for all garbage feeders operating in Canada. **Class of Individuals:** All garbage feeders who have operated or are operating in Canada. **Purpose:** The purpose of this information bank is to support the prevention of the introduction of disease through the feeding of garbage to swine in accordance with the Health of Animals Act. **Consistent Uses:** The primary use of this bank is to monitor garbage feeding of swine and to license premises in Canada. **Retention and Disposal Standards:** Information is maintained in this bank for five years. **PAC Number:** 70-045 **Related to PR#:** AAFC FPI 030 **TBS Registration:** 000881 **Bank Number:** AAFC PPU 060

Salmonella and Food-Borne Disease

Description: Information contained in the bank relates to the identity and location of individuals/organizations of interest to officers of the Salmonella and Food-Borne Disease program. It includes their areas of expertise and special interest, membership/position in professional, scientific or industrial organizations or groups, participation in the branch program, and allocation to specific program mailing lists. **Class of Individuals:** Information relates to individuals who work in or have interests in salmonella or other food-borne disease problems. **Purpose:** The purpose of this information is to enable the branch program officers to identify and to contact the various individuals with interests in salmonella and other food-borne disease problems. It also enables grouping of individuals with similar areas of sub-interest or responsibility and allows modified mailing lists. **Retention and Disposal Standards:** Records are held for 20 years. **PAC Number:** 70-045 **Related to PR#:** AAFC FPI 025 **TBS Registration:** 001710 **Bank Number:** AAFC PPU 006

Seed Potato Crop Certification

Description: This bank contains information on the acreage of potatoes planted as well as the variety, source of the seed and handling procedures, the applicant's name, crop and year. **Class of Individuals:** Seed potato growers. **Purpose:** The purpose of this bank is to maintain a record of any person wishing to produce seed potatoes in Canada. **Consistent Uses:** The information is used to make a preliminary determination as to the acceptability of the applicant for crop inspection. **Retention and Disposal Standards:** These files are retained for five years. **PAC Number:** 69-005 **Related to PR#:** AAFC FPI 115 **TBS Registration:** 000891 **Bank Number:** AAFC PPU 110

Seed Quality

Description: This bank contains information on purity, labelling, advertising of seeds, registration of crop varieties and production data. Individuals involved in the

production, importation and marketing of seed are identified by name and address. **Class of Individuals:** Seed producers and growers. **Purpose:** The purpose of this bank is to monitor and to enforce compliance with the Seeds Act and Regulations respecting quality, labelling and advertising of seeds. **Consistent Uses:** To ensure that seed producers and growers are operating in compliance with the Act and Regulations. **Retention and Disposal Standards:** Files are retained for 20 years. **PAC Number:** 72-025 **Related to PR#:** AAFC FPI 105 **TBS Registration:** 000889 **Bank Number:** AAFC PPU 100

Survey of Seed Vendors

Description: The bank contains a list of all known vendors of seed in Canada, and the kinds (species) and volume of seed that they sell. **Class of Individuals:** Approximately 2,000 seed wholesalers and retailers across Canada (some companies, some individuals). **Purpose:** The information enables the Department to design a statistical sampling plan for more effective monitoring of seed sales under the provision of the Canada Seeds Act and Regulations. **Consistent Uses:** Information is used to compile a seed industry directory comprising the names and addresses of seed vendors. This directory is available to the general public. **Retention and Disposal Standards:** Data are retained for ten years. **PAC Number:** 86-001 **Related to PR#:** AAFC FPI 095 **TBS Registration:** 001837 **Bank Number:** AAFC PPU 101

Test Reports for Rabies

Description: Information in this bank relates to the identity and location of individuals or organizations whose animals are suspected of being infected with rabies. The information includes the number of specimens submitted, test results, number and identity of animals quarantined, the amount of indemnity paid and a certificate declaring the premises in question free of disease. **Class of Individuals:** Livestock, pet and zoo owners. **Purpose:** The data are collected for the purpose of disease control statistics, the prediction of disease, and for quarantine and indemnity. **Consistent Uses:** The information is used to control the disease and predict outbreaks of rabies, make payments of indemnity and to decide on treatment in the case of human contacts. **Retention and Disposal Standards:** Records are kept for a two-year period. **PAC Number:** 70-045 **Related to PR#:** AAFC FPI 025 **TBS Registration:** 002377 **Bank Number:** AAFC PPU 051

Traceback Information (PVYn)

Description: Name, address, telephone number, legal description of affected land, UTM Code of affected land, grower seed potato certification number, map of farm. **Class of Individuals:** Mainly potato producers. **Purpose:** For the purpose of tracing possible sources of PVYn infections on specific farms. **Consistent Uses:** This information will be used internally only. Policy development may be based on general information collected. **Retention and Disposal Standards:** Ten years. **PAC Number:** To be determined. **Related to PR#:** AAFC FPI 161 **TBS Registration:** 003137 **Bank Number:** AAFC PPU 014

Veterinary Certification/Investigation

Description: This bank contains reports and memos prepared by the regional director, reports by the regional veterinary supervisor, reports generated by district veterinarians, training records, files on accredited veterinarians and investigative reports. **Class of Individuals:** Veterinarians. **Purpose:** The purpose of this information bank is to document allegations of non-compliance with proper procedures for certifying livestock by accredited veterinarians for export purposes under the authority of the Health of Animals Act and Regulations. **Consistent Uses:** The information will be used to conduct investigations on the ethics of professional veterinarians and to ensure compliance with procedures so as to ensure the international credibility of Canadian export certification. **Retention and Disposal Standards:** Records are held for five years. **PAC Number:** 70-045 **Related to PR#:** AAFC FPI 005 **TBS Registration:** 001713 **Bank Number:** AAFC PPU 026

Market and Industry Services Branch

Advance Payments for Crops

Description: This bank contains information on applicants under the Advance Payment for Crops Act. This information includes bank reports, credit checks, producers' names and addresses, the amount of payment, the repayment schedule, judgements against defaulters, action taken by producer groups to obtain payment. **Class of Individuals:** Individual farm-product producers. **Purpose:** To ensure repayment of advances to producer groups who distribute funds to individual producers under the Advance Payment for Crops Act. **Consistent Uses:** (1) Information is used to ensure adherence to the terms and conditions of the Act and to take the necessary actions to ensure collection from defaulters (including sharing contents of files with private collection agencies, hired by Agriculture and Agri-Food Canada, under the Government's master standing offer. The collection agencies work as agents for Agriculture and Agri-Food Canada). (2) Information may also be used to garnish payments received by individuals under several Agriculture and Agri-Food Canada programs. This activity would be made under the Family Orders and Enforcement Assistance Act. (3) The information will also be used by the provinces to collect accounts receivable owing to the federal government from several federal agricultural programs under the Farm Income Assistance Initiative. **Retention and Disposal Standards:** These files are retained for six years after the payment of the loan. **PAC Number:** 78-001 **Related to PR#:** AAFC POL 255 **TBS Registration:** 000897 **Bank Number:** AAFC PPU 140

Agri-Food Development Agreements (ERDA)

Description: This bank contains information on clients' application forms including gross costs of development projects and amount of Agriculture and Agri-Food Canada assistance. Some files may contain farm data on amount of livestock, acres of crops and investment in facilities. **Class of Individuals:** Farmers, agri-business firms,

universities, research foundations and farm organizations.

Purpose: The agreements encourage regional development based on the agricultural and food production potential in both Nova Scotia (1988-93) and Newfoundland (1988-93). (Agreements with the following provinces have expired but files will be retained for the next 4-6 years: British Columbia, Saskatchewan, Manitoba, Quebec). Goals include resource conservation, improving sectoral productivity, exploiting export opportunities and encouraging development of secondary industries. This information is shared with the provincial departments of agriculture as they are a party to the agreement and to ensure that there is no overlap in funding. **Consistent Uses:** This bank is also used to record payments made to individuals, corporations, agencies, associations and marketing boards and to record contribution payments to eligible producers. Information may also be used to garnish payments received by individuals under several Agriculture and Agri-Food Canada programs. This activity would be made under the Family Orders and Enforcement Assistance Act. **Retention and Disposal Standards:** The information in this bank is retained for 4-6 years. They are then destroyed. **PAC Number:** 86-001 **Related to PR#:** AAFC MIS 496 **TBS Registration:** 002028 **Bank Number:** AAFC PPU 245

Agri-Food Testing Land Experimentation

Description: This bank contains information on applicants' organizational structure, size of farm, projects description and amount of contribution requested from Agriculture and Agri-Food Canada. **Class of Individuals:** Organizations, individuals or firms associated with agricultural production or the food or beverage industries, research and specialized educational institutions. **Purpose:** The information is used to determine the eligibility of applicants, the level of financial assistance and to carry out the program's objectives of agri-food development. **Consistent Uses:** The bank is used to record payments to Quebec farmers. Information may also be used to assess applicants' financial and managerial capabilities and to assess projected economic benefits to be derived from undertaking specific projects. Information may also be used to garnish payments received by individuals under several Agriculture and Agri-Food Canada programs. This activity would be done under the Family Orders and Enforcement Assistance Act. **Retention and Disposal Standards:** The files are retained for a minimum of three years. **Related to PR#:** AAFC MIS 490 **TBS Registration:** 002930 **Bank Number:** AAFC PPU 186

Agri-Food Trade 2000 (AFT 2000)

Description: This bank contains information on clients' names, addresses, organizational structures, project descriptions and other pertinent information. **Class of Individuals:** The primary clients are industry associations and alliances which represent a significant portion of a given industry sector. Individual firms are eligible but usually would receive support only when representing a broader industry interest. **Purpose:** This bank is used by departmental/branch personnel to make

informed/consistent decisions regarding the allocation of program dollars. **Consistent Uses:** The overall objective of AFT 2000 is to increase sales of Canadian agriculture, food and beverage products in domestic and foreign markets. The primary focus is to encourage export trade development that all supported activities will contribute either directly or indirectly to increasing market share for Canadian products. **Retention and Disposal Standards:** Files are stored for a minimum of three years. **TBS Registration:** 003929 **Bank Number:** AAFC PPU 370

Agricultural Apprenticeship Program

Description: This bank is no longer active but contained information on applicants' apprenticeship projects such as areas of interest, reason for training sessions, experience/knowledge to be gained, name of host farm where training is to take place and the level of assistance requested from Agriculture and Agri-Food Canada. **Class of Individuals:** Beginning young farmers or aspiring agricultural producers. **Purpose:** The information is used to determine the eligibility of the applicants, to establish the level of financial assistance, and to carry out the objective of the program which is to stimulate the human resource development. **Consistent Uses:** The bank is used to record payments to Quebec producers. Information is also used to assess applicants' managerial capabilities. Information is also used to garnish payments received by individuals under several Agriculture and Agri-Food Canada programs. This activity would be done under the Family Orders and Enforcement Assistance Act. **Retention and Disposal Standards:** Files are stored for a minimum of three years (1998). **Related to PR#:** AAFC MIS 484 **TBS Registration:** 002931 **Bank Number:** AAFC PPU 188

Agricultural Network Program

Description: This bank contains information on the applicants' enterprises, number of livestock and acres in production, main production and the enterprises' capacity to meet the requirements set by the call for tender. **Class of Individuals:** Agricultural producers. **Purpose:** The information is used to determine the applicants' eligibility and to carry out the program's objective which is to accelerate the transfer of technology to the farm. **Consistent Uses:** The bank is used to record payments to Quebec producers. Information may also be used to assess the applicants' managerial capability. Information may be used to garnish payments received by individuals under several Agriculture and Agri-Food Canada programs. This activity would be done under the Family Orders and Enforcement Assistance Act. **Retention and Disposal Standards:** The files are stored for a minimum of three years. **Related to PR#:** AAFC MIS 487 **TBS Registration:** 002938 **Bank Number:** AAFC PPU 251

Agricultural Products Board

Description: This bank contains vendor identification and location, production statistics, payment details, volume sold and purchased and documentation supporting volumes. It also contains purchase and sale agreements,

correspondence and reports. **Class of Individuals:** Producers, companies or agencies who meet the eligibility criteria for assistance. **Purpose:** Information in this bank is used to administer purchase and sale programs under the Agricultural Products Board Act. **Consistent Uses:** Information is also used to record purchase and sale transactions. Information may also be used to garnish payments received by individuals under several Agriculture and Agri-Food Canada programs. This activity would be made under the Family Orders and Enforcement Assistance Act. **Retention and Disposal Standards:** Records are retained for 10 years and are then transferred to the National Archives of Canada. **PAC Number:** 77-005 **Related to PR#:** AAFC MIS 590 **TBS Registration:** 002376 **Bank Number:** AAFC PPU 191

Animal Pedigree Act

Description: This bank contains information on the breed associations and clients' correspondence pertaining to the Animal Pedigree Act (APA) such as breed associations' names and addresses, telephone numbers, etc. **Class of Individuals:** Breed Associations. **Purpose:** This bank is used to monitor the breed associations and to store information on inquiries into the APA and the specific breed associations. **Consistent Uses:** This bank is used to ensure that the breed associations are operating in compliance with the APA. **Retention and Disposal Standards:** Files are retained for 10 years. **Related to PR#:** AAFC MIS 455 **TBS Registration:** 002939 **Bank Number:** AAFC PPU 252

Applications for Employment

Description: This bank contains information on individuals who have submitted written applications for employment as a research scientist, veterinarian agricultural officer or primary products inspector with Agriculture and Agri-Food Canada. The files contain letters, completed application forms, resumes, letters of reference, letters from Agriculture and Agri-Food Canada acknowledging receipt of applications. **Class of Individuals:** The bank contains personal information concerning individuals who are interested in securing employment with the Department of Agriculture and Agri-Food and who meet the basic requirements for the above-mentioned positions. **Purpose:** These records are used for referral when vacancies arise. **Consistent Uses:** To maintain current inventories within the Department. **Retention and Disposal Standards:** The information is updated regularly at the request of the applicant. The research Branch manages the inventory of applicants for research scientist positions, and the Food Production and Inspection Branch manages the inventories for veterinarian, agricultural officer and primary products inspector positions. Records are maintained on an active basis for varying periods according to the specific inventory, and they can be reactivated at the request of the applicant. **Related to PR#:** MIS **TBS Registration:** 002703 **Bank Number:** AAFC PPU 360

Atlantic Hog Assistance

Description: This bank is no longer active but contained Personal information on the applicant (name, address, phone number). Production information (# of eligible animals). **Class of Individuals:** Farmers. **Purpose:** To develop direct correspondence with the applicant regarding the application for assistance. To ascertain the eligibility of the applicant for assistance and determine the level of assistance. **Consistent Uses:** To identify and record repayments under the program. To provide correspondence regarding program, and to audit payments under program. **Retention and Disposal Standards:** All information will be maintained for the duration of the program and at least three years beyond. After that period all information will be destroyed. **Related to PR#:** AAFC MIS 490 **TBS Registration:** 003140 **Bank Number:** AAFC PPU 151

Atlantic Livestock Feed Initiatives (ALFI)

Description: This bank is no longer active but contained information on clients' application forms including gross costs of projects and the amount of Agriculture and Agri-Food Canada assistance. Some files may contain farm data on acres of crops and investment in facilities. ALFI is designed to develop a competitive and expanded livestock sector that is economically viable over the long term. **Class of Individuals:** Farmers, agri-business, universities, research institutions and farm organizations. **Purpose:** This information bank is used to make payments under the federal/provincial Livestock Feed Development Agreements with the Atlantic provinces to individuals, corporations, agencies, associations and marketing boards. **Consistent Uses:** The bank is also used to record payments to individuals, corporations, agencies, associations and marketing boards. Information may also be used to garnish payments received by individuals under several Agriculture and Agri-Food Canada programs. This activity would be made under the Family Orders and Enforcement Assistance Act. **Retention and Disposal Standards:** Files are retained for 6-7 years and then destroyed. **PAC Number:** 86-001 **Related to PR#:** AAFC MIS 496 **TBS Registration:** 002042 **Bank Number:** AAFC PPU 315

Canada/Alberta Agreement on Soil, Water and Cropping Research and Technology Transfer

Description: This bank is no longer active but contained information on clients' names, addresses, descriptions of projects, and federal, provincial and applicant contributions to approved projects. **Class of Individuals:** Agricultural producers, farm organizations, universities, colleges, research stations (agricultural). **Purpose:** This bank is used to assess and monitor projects submitted for support. **Consistent Uses:** This bank is used to monitor projects which have been approved for support including funding allocated through the Agreement. The bank is also used to compile information on the program in general for utilization in the preparation of reports. **Retention and Disposal Standards:** Files are retained for 6 years.

Related to PR#: AAFC MIS 490 **TBS Registration:** 002934 **Bank Number:** AAFC PPU 247

Canadian Establishments Federally Registered under the Canada Agricultural Products Act (Eggs and Poultry) and the Animal Protection Act (Hatchery), the Statistics Act (Eggs and Poultry) and Provincial Marketing Boards.

Description: This bank contains information prepared by establishment operators on their weekly activity i.e. slaughter, gradings, production, movements, set, hatch and disposition, inventories in cold storages on the first day of each month, producer prices set by provincial boards, and wholesale and retail prices from other sources. **Class of Individuals:** Egg grading stations, processed egg and poultry processing establishments, large hatcheries, cold storages, Provincial Marketing Boards. **Purpose:** The purpose of the bank is to provide an historical record by station of Canadian egg gradings, processed egg production, poultry slaughter, hatchery activity and cold inventories, and a provincial record of prices. **Consistent Uses:** Provincial information is published weekly for use by the Canadian industry. **Retention and Disposal Standards:** Information maintained in this bank for a period of four years after the current year or for two years following cancellation of registration. The records are then destroyed. **PAC Number:** 86-001 **Related to PR#:** AAFC MIS 460 **TBS Registration:** 002330 **Bank Number:** AAFC PPU 161

Canadian Importers and Exporters of Eggs, Processed Eggs, Poultry and Poultry Products

Description: This bank contains documentation prepared by federal inspectors on Canadian egg and poultry imports and exports. **Class of Individuals:** Import and export firms. **Purpose:** The purpose of this bank is to provide an historical record of egg, processed egg and poultry and poultry products entering and leaving Canada. **Consistent Uses:** The primary use of the bank is to accumulate, for weekly publication, a Canadian record of imports and exports of shell eggs, egg products, poultry and poultry products, for use by the Canadian poultry industry. **Retention and Disposal Standards:** Records are retained for a period of four years after the current year, then destroyed. **PAC Number:** 86-001 **Related to PR#:** AAFC MIS 460 **TBS Registration:** 002193 **Bank Number:** AAFC PPU 225

Canadian Registered Storages

Description: This bank contains information prepared by operators of registered cold and dried storages on inventories of frozen and dried processed eggs and frozen poultry and poultry products. **Class of Individuals:** Storage operators. **Purpose:** The purpose of this bank is to provide an historical record of stocks held in storage on the first day of each month in registered Canadian storages. **Consistent Uses:** The primary use of the bank is to accumulate, for monthly publication, a provincial and Canadian record of storage holdings for use by the Canadian egg and poultry industry. **Retention and**

Disposal Standards: Records are retained for a period of four years after the current year, then destroyed. **PAC Number:** 86-001 **Related to PR#:** AAFC MIS 460 **TBS Registration:** 002194 **Bank Number:** AAFC PPU 015

Co-operation Agreement on Agriculture (CAA)

Description: This bank is no longer active but contained information on clients' names, addresses, types of farms (livestock, crops, etc.); acres farmed, acres not farmed; gross farm sales by Statistics Canada grouping; program applied to and project description. The program is designed to improve competitiveness, self-reliance and long-term sustainability of farms in the province; identify and develop domestic and export market opportunities; encourage the development of production and processing activities; improve productivity and quality of agri-food products; and increase the contribution of the sector to the economy of Prince Edward Island and New Brunswick. The program focuses on these four sub-programs: market development and trade; innovative technology; business, entrepreneurship and organizational development, and land management and conservation.

Class of Individuals: Any individuals or companies, organized producer groups, corporations or cooperatives, universities, research foundations, farm/producer organizations, and government agencies involved in the agri-food industry in Prince Edward Island and New Brunswick. **Purpose:** This information bank is used to make payments under the federal/provincial Co-operation Agreements on Agriculture both in Prince Edward Island (1989-94) and New Brunswick (1989-94) to individuals, corporations, agencies, associations and marketing boards. **Consistent Uses:** The bank is used to record payments received by individuals, companies, organized producer groups, partnerships, corporations or cooperatives, and government agencies. Information may also be used to garnish payments received by individuals under several Agriculture and Agri-Food Canada programs. This activity would be made under the Family Orders and Enforcement Assistance Act. **Retention and Disposal Standards:** Files are retained for six years. **Related to PR#:** AAFC MIS 496 **TBS Registration:** 002694 **Bank Number:** AAFC PPU 326

Grape and Wine Adjustment Program

Description: This bank is no longer active but contained information on clients' names, addresses, land location, quota for grapes, surplus grapes, etc., for producer applicants wishing to remove grape vines from production. **Class of Individuals:** Grape producers in Ontario, British Columbia, Quebec and Ontario. **Purpose:** This information bank is used to make payments under the Grape and Wine Adjustment Program for the removal of grape vines in surplus to industry needs. **Consistent Uses:** This bank is also used to evaluate producer eligibility. **Retention and Disposal Standards:** Files are retained for seven years following program expiry. **Related to PR#:** AAFC MIS 488 **TBS Registration:** 002936 **Bank Number:** AAFC PPU 249

Human Resources Inventory — International Assignments — Public

Description: Contains personal data, education, areas of expertise, work experience, international involvement and language skills of individual applicants on dBase III Software and hard copy. **Class of Individuals:** Members of the public, i.e. individuals, listed consultants and firms. **Purpose:** To establish and maintain a current inventory of candidates from outside the department interested in assignments outside Canada with projects managed by Agriculture and Agri-Food Canada. **Consistent Uses:** Used as described in the Purpose section and names of identified, qualified candidates also provided to other departments, non-governmental organizations, institutions and private companies and firms; upon request; if consent received from candidate. **Retention and Disposal Standards:** Records are kept for a period of 2 years. **Related to PR#:** AAFC MIS 492 **TBS Registration:** 002697 **Bank Number:** AAFC PPU 250

Poultry Industry Production and Marketing

Description: This bank contains information on volume, price, market demand and sources of various poultry products and other information on production, processing and supply/demand situations. **Class of Individuals:** Wholesale, supermarkets and food service establishments and others involved in marketing and production of poultry and poultry products. **Purpose:** The information is used for the review and formulation of policies, programs and strategies aligned with the market segments which will contribute most to the long-term viability of the poultry industry. **Consistent Uses:** The information held in this bank is also used to develop a profile of the Provincial Poultry Production sector; to develop a profile of poultry processing in the province; and to develop a profile of the marketing of poultry products in the provinces. These profiles will provide critical information relating to the development of appropriate commodity-based strategies to producers, producer organizations and processors, and government. **Retention and Disposal Standards:** Information is held for three years from the date information is collected. **PAC Number:** 86-001 **Related to PR#:** AAFC MIS 495 **TBS Registration:** 001838 **Bank Number:** AAFC PPU 081

Records of Performance for Sheep, Beef Cattle and Swine

Description: This bank is no longer active but contains names and addresses of breeders enrolled in the programs. **Class of Individuals:** Breeders of livestock. **Purpose:** The purpose of this bank is to keep an inventory of names and addresses of producers of beef cattle, and sheep and swine, who participate in performance testing programs and who receive relevant performance information and genetic evaluations on their animals. **Consistent Uses:** Files are used to mail performance data to breeders, to solicit annual fee payments and to mail reports to participants. They also provide performance information on individual animals, together with the names and addresses of their owners,

to national breed associations and artificial insemination centres in Canada. **Retention and Disposal Standards:** Files are stored for as long as necessary to issue valid genetic evaluations. **PAC Number:** 71-013 **Related to PR#:** AAFC MIS 430 **TBS Registration:** 000899 **Bank Number:** AAFC PPU 150

Soil and Water Environmental Enhancement Program (SWEET)

Description: This bank is no longer active but contained the survey results from various research studies into socio-economic aspects of conservation tillage based on information gathered from individuals and groups in Ontario. **Class of Individuals:** Varies by survey but is primarily landowners, tenants, farm operators and rural organizations. **Purpose:** To meet two objectives of the Soil and Water Environmental Enhancement Program: to provide information on the adoption process for agricultural soil and water conservation techniques; to develop graduate students' expertise in the area of social and economic research on soil and water conservation issues. **Consistent Uses:** Information is used to improve aspects of government policy and program design and delivery for enhancing soil and water conservation systems. **Retention and Disposal Standards:** Files are retained for eight years. **PAC Number:** 86-001 **Related to PR#:** AAFC MIS 496 **TBS Registration:** 002034 **Bank Number:** AAFC PPU 275

Special Atlantic Livestock Initiative (SALI)

Description: This bank is no longer active but contained information on clients' names, addresses, production data and developmental projects. SALI is designed to increase efficiency and sustainability of the red meat industry in the Atlantic region. The program focuses on on-farm productivity improvement; industry support; custom service; and financial support. **Class of Individuals:** Any individual, corporation, partnership and/or cooperative currently producing hog and/or beef and/or sheep in the Atlantic region. **Purpose:** This information bank is used to make payments under SALI to individuals, corporations, agencies, associations and marketing boards. **Consistent Uses:** This bank is also used to record payments made to individuals, corporations, agencies, associations, and marketing boards. Information may also be used to garnish payments received by individuals under several Agriculture and Agri-Food Canada programs. This activity would be made under the Family Orders and Enforcement Assistance Act. **Retention and Disposal Standards:** Files are retained for 5 years. **Related to PR#:** AAFC MIS 455 **TBS Registration:** 002935 **Bank Number:** AAFC PPU 248

Tobacco Transition Adjustment Initiatives (TTAI)

Description: The bank contains information on client's names, addresses and quota information on tobacco producers in Ontario, Nova Scotia, Prince Edward Island and Quebec who have applied for assistance in terminating tobacco production. **Class of Individuals:** Tobacco producers in Ontario, Nova Scotia, Prince Edward Island and Quebec. **Purpose:** The information is

used to determine eligibility and make payments under the Tobacco Diversification Plan's Tobacco Transition Adjustment Initiatives. **Consistent Uses:** Same as in "Purpose". **Retention and Disposal Standards:** Files are kept for six years. **PAC Number:** 86-001 **Related to PR#:** AAFC MIS 496 **TBS Registration:** 002033 **Bank Number:** AAFC PPU 270

Policy Branch

Agriculture Labour Replacement Program

Description: This bank contains information on applicants' need for labour replacement in order that their aspiring or beginning farmers be able to attend a collegial course on farm business management. It also contains information on the level of assistance requested from Agriculture and Agri-Food Canada. **Class of Individuals:** Agricultural producers. **Purpose:** The purpose of the information is to determine the eligibility of the applicants, to establish the level of assistance and to carry out the objective of the program which is to improve the management skills of the young and beginning farmers. **Consistent Uses:** The bank is used to record payments to Quebec producers. Information may also be used to assess the applicants' managerial capability. Information may be used to garnish payments received by individuals under several Agriculture and Agri-Food Canada programs. This activity would be made under the Family Orders and Enforcement Assistance Act. **Retention and Disposal Standards:** The files are stored for a minimum of three years. **Related to PR#:** AAFC POL 487 **TBS Registration:** 002937 **Bank Number:** AAFC PPU 327

ASB Producer Files

Description: This bank contains producer identification, land location, production statistics, production claimed for subsidies, payments made to producers, and documents supporting production and sales. It may also contain additional information on producer eligibility for subsidies such as documents of incorporation, partnership and statutory corporate returns. **Class of Individuals:** Producers in all provinces who apply for programs. **Purpose:** The purpose of this bank is to administer deficiency payments to producers under the Agricultural Stabilization Act. **Consistent Uses:** To record payments made to producers and for audit and census purposes. Information will be released to provincial agricultural departments, agencies to issue provincial program payments to producers. The information will be used to mail information about new agricultural programs. Information may also be used to garnish payments received by individuals under several Agriculture and Agri-Food Canada programs. This activity would be made under the Family Orders and Enforcement Assistance Act. **Retention and Disposal Standards:** These files are retained for six years. **PAC Number:** 77-005 **Related to PR#:** AAFC FFP 595; 600 **TBS Registration:** 000906 **Bank Number:** AAFC PPU 190

Canadian Crop Drought Assistance Program

Description: This bank contains records of applications for drought assistance under the Canadian Crop Drought Assistance Program for the 1988 crop year. This information includes producer names, addresses and land locations, Canadian Wheat Board numbers, irrigation license numbers, and Irrigation District/Prairie Farm Rehabilitation project name. **Class of Individuals:**

Producers of all cultivated crops in areas determined by the Program to have significantly reduced yields as a result of the 1988 drought in Alberta, Saskatchewan, Manitoba and Ontario. **Purpose:** The information in this bank was compiled in order to facilitate the implementation of the program. It is used to determine the eligibility of producers for assistance and the level of assistance that they will receive under the program formula. It is also used to issue payments. **Consistent Uses:** (1) Information is used to determine the eligibility and the level of assistance to producers under the program formula, and to issue payments. (2) Data matching is done under Section 155 of the Financial Administration Act. The Minister may authorize retention of funds for indebtedness to recover funds owing under the following: the Advance Payments for Crops Act, the Farm Improvement Loans Act, the Agricultural Stabilization Act, and the Special Canadian Grains Act. The potential for this disclosure was outlined in both the Program Terms and Conditions and the Claim Forms. (3) Information may be used to garnish payments received by individuals under several Agriculture and Agri-Food Canada programs. This activity would be made under the Family Orders and Enforcement Assistance Act. (4) The information will be used by the provinces to collect accounts receivable owing to the federal government from several federal agricultural programs under the Farm Income Assistance Initiative.

Retention and Disposal Standards: Records are retained for six years after the date of application.

Related to PR#: AAFC GOB 820 **TBS Registration:** 002584 **Bank Number:** AAFC PPU 182

Canadian Rural Transition Program

Description: The bank contains information on clients' names, addresses, social insurance numbers, descendants' lists, as well as specific business criteria for making determination on eligibility. The case files are kept secured in Canada Employment Centre offices. **Class of Individuals:** Farmers who, by reasons of financial difficulty, have left or are leaving agriculture and are seeking assistance under the CRTP. **Purpose:** The application is the basis for determining the eligibility of an applicant to receive assistance under the Program, i.e. the Transition Grant and Supplementary Transition Assistance. Social insurance numbers (SINs) are collected by the CRTP under the authority of the Unemployment Insurance Act and are also required to determine applicants' eligibility, i.e., whether or not he/she is in receipt of unemployment insurance or social assistance. In addition, the SIN is the basis of identification for payment of eligible applicants by the Department of Supply and Services and is also of use in advising

Revenue Canada (Taxation) of any taxable benefits received under CRTP in a given tax year, and receipts are issued accordingly to the client. **Consistent Uses:** In cases of acceptance, information rests with Canada Employment Centre offices. In cases of rejection, information is transferred to Ottawa Human Resources Development Canada — CRTP Office/policy Agriculture and Agri-Food Canada where all rejections are reviewed, and in some instances, decisions to reject are overturned.

Retention and Disposal Standards: All files will be kept for a minimum of two years after the client's farming activities have ceased. Canada Employment Centres will keep all files for the duration of the program (until March 31, 1997) and will then forward them to national headquarters for archival purposes. **Related to PR#:** AAFC POL 496

TBS Registration: 002044 **Bank Number:** AAFC PPU 325

Farm Debt Review Board

Description: The bank contains information on farmers in financial difficulty and on insolvent farmers who have applied for a review under section 16 and section 20 of the Farm Debt Review Act. Specific information includes the applicant's name, address, Social Insurance Number (SIN), principal commodity, creditors, estimated liabilities and list of all assets. The case files are kept secured in the Board's offices. **Class of Individuals:** Farmers in financial difficulty and insolvent farmers. **Purpose:** The Farm Debt Review Act ensures that farm operators in financial difficulty, or facing a farm foreclosure, have access to an impartial third-party review of individual circumstances and possible financing or re-financing options. In order for the Board to conduct a proper review, accurate financial information is required of the applicant. A voluntary agreement between a farmer and his or her creditor(s) for a mutually satisfactory arrangement is sought. Social insurance numbers are collected on the Farm Financial Statement under the authority of the Farm Debt Review Act to conduct reviews of detailed financial information regarding solvent and insolvent farmers in order to arrive at financial agreements with creditors and to provide assistance in facilitating arrangements with creditors. **Consistent Uses:** Copies of the farmer's financial information are provided to the FDRB panel members for review. The information is used in evaluating the farmer's alternatives and in mediation discussions with the farmer's creditors. The financial information of farmers in the provinces of Saskatchewan and Manitoba may be provided on a voluntary basis to the Saskatchewan Farm Land Security Board and the Manitoba Farm Mediation Board respectively to assist in their farm debt review programs. **Retention and Disposal Standards:** All files will be kept for a minimum period of six years from the date the file closed. **PAC Number:** 86-001 **Related to PR#:** AAFC POL 496 **TBS Registration:** 001867 **Bank Number:** AAFC PPU 176

Farm Improvement and Marketing Co-operatives Loans Information

Description: This bank contains names and addresses of borrowers, the purposes and amounts of loans, and other

financial details when a claim for loss is submitted.

Class of Individuals: Farmers. **Purpose:** To facilitate the availability of intermediate and short-term credit to farmers for the improvement or development of farms. **Consistent**

Uses: To ensure the validity of loss claims. Information may also be used to garnish payments received by individuals under several Agriculture and Agri-Food Canada programs. This activity would be made under the Family Orders and Enforcement Assistance Act. The information will also be used by the provinces to collect accounts receivable owing to the federal government from several federal agricultural programs under the Farm Income Assistance Initiative. **Retention and Disposal Standards:** Records are retained for six years. **PAC Number:** 78-001 **Related to PR#:** AAFC FFP 465 **TBS Registration:** 000902 **Bank Number:** AAFC PPU 165

Farm Level Data Services

Description: This bank contains demographic information, financial information, (i.e. farm balance sheet, farm income statement, revenue and expense documents, etc.) and detailed information on the production facilities, practices and physical and biological performance characteristics of the farm enterprises. **Class of Individuals:** Grain and Oilseed Farmers, Horticultural Farmers, Hog Farmers and Beef Farmers. **Purpose:** To develop a database of farm level production financial information that will enhance the whole farm and enterprise levels financial and production data in the various provinces. **Consistent Uses:** The information in this bank will be used to monitor and evaluate the existing and new agricultural programs such as GRIP and NISA as well for policy analysis on other issues such as relating to economic and environmental sustainability questions. **Retention and Disposal Standards:** The information will be kept for a minimum of 20 years. **Related to PR#:** AAFC POL 369 **TBS Registration:** 003122 **Bank Number:** AAFC PPU 141

Farm Support and Adjustment Measures II (FSAM II)

Description: Information collected under FSAM II will include names, addresses, Canadian Wheat Board permit book numbers, crop insurance contract numbers, telephone numbers, acreage figures, and farm sales and revenue data. **Class of Individuals:** Agricultural producers and other entities who meet eligibility criteria. **Purpose:** This information would be collected to provide financial assistance to eligible applicants in the Prairie and Atlantic provinces. Information may also be used for the purpose of locating individuals in order to collect a debt owing to the Crown. **Consistent Uses:** The Government of Canada may use Canadian Wheat Board records, and/or Crop Insurance, Gross Revenue Insurance Plan, Net Income Stabilization Account, Special Canadian Grains Program, and Prairie Grain Advance Payments Administration records relating to the applicants' farming operations for 1989, 1990 and 1991 to verify the applicants' declarations. These records may also be used for program evaluation purposes. **Retention and Disposal Standards:** The retention and disposal schedules are currently under

review. **Related to PR#:** AAFC POL 805 **TBS**

Registration: 003141 **Bank Number:** AAFC PPU 184

Feed Freight Assistance Adjustment Fund

Description: The bank contains applicants' names, addresses, social insurance numbers, kind and cost of purchased equipment, and the amounts of grants approved for payment. **Class of Individuals:** Farmers and corporations. **Purpose:** To improve production and utilization of feed grain in Ontario by offering incentives for capital expenditures. **Consistent Uses:** To issue grants to individuals and corporations to partially offset their capital expenditures. **Retention and Disposal Standards:** Files are retained for 10 years. **PAC Number:** 77-005 **Related to PR#:** AAFC POL 368 **TBS Registration:** 000903 **Bank Number:** AAFC PPU 170

Freight Equalization Payment Records

Description: Records of payments effected by the Bureau according to the Livestock Feed Assistance Act and Regulations. **Class of Individuals:** Feed manufacturers and livestock producers located in grain deficient areas of Canada. **Purpose:** For program administration purposes and for statistical purposes related to domestic grain movements to eastern Canada and British Columbia. **Consistent Uses:** Financial account and statistical purposes. **Retention and Disposal Standards:** Six years. **PAC Number:** 74-008 **Related to PR#:** AAFC POL 805 **TBS Registration:** 003142 **Bank Number:** AAFC PPU 179

National Farm Business Management Program (NFBMP)

Description: This bank contains information on the NFBMP including information necessary to effectively deliver the various activities. NFBMP is an initiative funded by Agriculture and Agri-Food Canada. Implementation is generally through a provincial delivery organization which undertakes to have training materials developed, and training provided to eligible applicants. Program elements differ significantly between provinces. **Class of Individuals:** Farm producers participating in training courses. Contractors and consultants hired to develop materials and deliver courses. **Purpose:** This bank is used to identify and address the farm management needs in the agricultural sector and those contracted to undertake the development and delivery of farm business management courses. **Consistent Uses:** This bank is used to monitor farm management training and related services provided to producers. **Retention and Disposal Standards:** Files will be retained for the purpose of delivering the three-year initiative and for audit and evaluation purposes by the delivery organizations for periods specified in the agreements; after which, files will then be destroyed. **Related to PR#:** AAFC POL 487 **TBS Registration:** 002940 **Bank Number:** AAFC PPU 253

Net Income Stabilization Account (NISA)

Description: NISA is a voluntary stabilization program in which individual producers make deposits to an individual

account and contributions are matched by the federal and provincial governments. The producer is entitled to make account withdrawals when his net income is low.

Class of Individuals: Eligible Canadian producers.

Purpose: This information is collected on an annual basis to support producer and government contributions to individual NISA accounts. Portions of this account may be held with private financial institutions such as banks or credit unions. **Consistent Uses:** (1) In order to ensure that information submitted is consistent with information provided to Revenue Canada for income tax purposes, a link with the Social Insurance Number (SIN) or the Corporate Tax Number will be required. (2) Data obtained may be used for further verification or for program evaluation purposes. (3) Approval on the use of the SIN will be covered under the enabling Safety Net Legislation. (4) Data consisting of producers' NISA bank deposits, for example, will be exchanged with institutions with the producer's consent. (5) Names and addresses of producers may be used for distribution of material on new agricultural programs. (6) Information may be used to garnish payments received by individuals under several Agriculture and Agri-Food Canada programs. This activity would be made under the Family Orders and Enforcement Assistance Act. **Retention and Disposal Standards:** The retention and disposal schedules are currently under review. **Related to PR#:** AAFC POL 805 **TBS Registration:** 002929 **Bank Number:** AAFC PPU 183

Resource and Environmental Programs

Description: This bank contains information on clients' names, addresses, description of projects and level of assistance requested from Agriculture and Agri-Food Canada. Some files may contain farm data on the number of hectares in production, type of crops and manure handling facilities. The goals of these agreements are to define and implement the activities, programs, coordination processes, and levels of human, technical, and financial resource commitments by the federal and provincial governments to soil conservation. The overall purpose of these activities is the development and adoption of the most appropriate technology in soil resource management and use that is within practical economic limits and is compatible with the soil's capability, in order to sustain the long term productivity of the land. **Class of Individuals:** Dependent on the agreement, it may include individuals, groups of farmers, legally incorporated special interest groups, farm and agri-food organizations, conservation districts, universities, colleges, cooperatives, environmental groups, aboriginal groups, other provincial and municipal governments or agencies of these governments, provincial crown corporations, non-government corporations and financial institutions. **Purpose:** This information bank is used to determine the eligibility of the applicants, to establish the level of assistance, to carry out the objectives of the federal/provincial agreements on environmental sustainability under the National Soil Conservation Program, Environmental Sustainability Initiative, Land Management Assistance Program and the Green Plan in

the following provinces: Newfoundland, Prince Edward Island, Nova Scotia, New Brunswick, Quebec, Ontario and British Columbia, and to make payments to farmers, farm organizations, consultant firms and research institutions. **Consistent Uses:** This bank is used to record payments received by farmers, farm organizations, consultant firms and research institutions. Information may also be used to garnish payments received by individuals under several Agriculture and Agri-Food Canada programs. This activity would be made under the Family Orders and Enforcement Assistance Act. **Retention and Disposal Standards:** Files are retained for 3-6 years. **Related to PR#:** AAFC POL 495 **TBS Registration:** 002933 **Bank Number:** AAFC PPU 246

Special Canadian Grains Program

Description: This bank contains records of applications for income assistance (1986 and 1987) as a result of international trade subsidy wars. This information includes producer names, addresses and land locations, types of crops, number of acres planted and, where applicable, crop insurance numbers, Canadian Wheat Board numbers, irrigation license numbers and Irrigation District/Prairie Farm Rehabilitation project names. **Class of Individuals:** Producers of grains, oilseeds and specialty crops affected by the international subsidy war. **Purpose:** This information was collected in order to implement the program. It is used to determine the eligibility of producers for assistance and the level of assistance they will receive under the program formula. It is also used to issue payments. **Consistent Uses:** The information will be used by the provinces to collect accounts receivable owing to the federal government from several federal agricultural programs under the Farm Income Assistance Initiative. The information will be used to mail information about new agricultural programs. **Retention and Disposal Standards:** Records are retained for six years. **PAC Number:** 77-005 **Related to PR#:** AAFC POL 835 **TBS Registration:** 002375 **Bank Number:** AAFC PPU 181

Western Grain Stabilization Program

Description: The bank was used to administer stabilization payments to grain producers. It contains the grain receipts and levy payments of holders of permits issued by the Canadian Wheat Board in Manitoba, Saskatchewan, Alberta and British Columbia. **Class of Individuals:** Grain producers in Manitoba, Saskatchewan, Alberta and British Columbia. **Purpose:** Files in this bank were used to calculate and issue stabilization payments to participating grain producers. **Consistent Uses:** Disclosure: (1) On an individual request basis, account information supplied to Revenue Canada to assist in its investigations. (2) The four western provincial crown corporations (Manitoba Crop Insurance Corporation, Saskatchewan Crop Insurance Corporation and the Farm Income and Crop Insurance Branch of the British Columbia Ministry of Agriculture and Food requested use of our data bank. Information provided concerning specific producers' sales by grain type and tonnes (not sales value) and generally extends over a number of crop

years. The requests are ongoing and average perhaps one per week involving perhaps a dozen producers at most. In addition, we also provided computer tape files of our participants identified by permit number only while quoting the outstanding levy to be deducted from these accounts. This information was used in conducting audits of crop insurance claims and in pursuing fraudulent claims through the courts. The computer tape files used for matching participant to claimant in order to determine the amount of levy to be deducted from the claimant's indemnity payment (the provincial corporations were registered as designated purchasers under the WAGS Act). (3) Western Grain Transportation Agency: Grain sales information specific to producers in a specific area covering a ten year period was provided. This was an annual request. This information is used to establish compensatory amounts for the additional cost of transporting grain by truck as a result of rail line abandonment and the closure of grain elevators contiguous to it. As additional rail lines become eligible each year for removal from the network, more producers are affected by elevator closures. (4) Canada Grains Council: Historical data which are non-specific as to producer. The data are statistical in nature and used by the council for various analysis and studies. This was an annual request at one time but we have not received a request for the past two years. (5) Auditor General: Complete copies of our master file of name and addresses as well as our payout file. The information is requested annually. The information is used to verify the propriety and accuracy of stabilization payments. (6) RCMP: Detailed information specific to individuals is provided which would include name and address as well as grain sales for one or more years. The information is requested on an as needed basis (very infrequently). This information is issued to conduct criminal investigations. (7) Statistics Canada: Statistical information is provided on an ad hoc basis. Requests are very infrequent. The information is not producer specific and is used to conduct various analysis and studies. (8) Canadian Wheat Board (CWB) Payouts: Producer permit numbers, participant status and the amount of levy yet to be deducted is provided to the CWB once or twice a year. Conversely, each week the CWB furnishes WGSa with a computer tape of name and address changes and new permit number issues. The information enables the CWB to match participant files to their payment files in order to deduct the WGS levy from interim, adjustment and final payments. Information on the payout and the levy by producer is returned for processing to the WGS accounts. The CWB also forwards information weekly providing information about new permit book numbers and name and address which is used to update the WGS data base prior to levy refunds or stabilization payouts. (9) Revenue Canada: Statutory set-offs are received and recorded to intercept amounts owing to the Crown. Levy refunds and stabilization payments are matched to the file of set-offs and may confirmed amounts are diverted to Revenue Canada. (10) Family Orders and Agreements Enforcement Assistance Act: Monthly a computer tape file is received

from Justice Canada to perform a name and address match against the WGSa master file. The matching is carried out to identify payees against whom there is an outstanding summons. WGSa payments are intercepted and set aside for instructions from Justice as to the amount to be diverted. **Retention and Disposal Standards:** Records are held for 20 years. **PAC Number:** 77-005 **Related to PR#:** AAFC GOB 350 **TBS Registration:** 001715 **Bank Number:** AAFC PPU 180

Prairie Farm Rehabilitation Administration (PFRA)

Soil and Water Conservation Programs

Description: Programs and activities which involve the storage of personal information include the PFRA Shelterbelt Program, the Southwest Saskatchewan Irrigation Projects, the Irrigation Demonstration Program, the Community Pasture Program, the Soil and Water On-Farm Activities, including the Rural Water Development and Soil Conservation Programs. **Class of Individuals:** Prairie farmers **Purpose:** The information is used to administer programs on stabilizing and rehabilitating lands sub-marginal for cereal production and conserving and storing water on the drought-prone prairies. **Consistent Uses:** Information may also be used to garnish payments received by individuals under several Agriculture and Agri-Food Canada programs. This activity would be made under the Family Orders and Enforcement Assistance Act. **Retention and Disposal Standards:** Records are held for 53 to 66 years. **PAC Number:** 84-010 **Related to PR#:** AAFC PFA 510, 515, 520, 525, 530, 535, 540, 545, 550, 560. **TBS Registration:** 001719 **Bank Number:** AAFC PPU 220

Soil Conservation Survey of Attitudes

Description: This bank contains the results of surveys conducted in order to determine farmers' attitudes towards soil conservation, and to understand the limitations of soil conservation activities as perceived by farmers. **Class of Individuals:** Prairie farmers who have received technical, financial and material assistance from the Soil Conservation Program and prairie farmers whose farms are located in the vicinity of Prairie Farm Rehabilitation Administration groups. **Purpose:** The survey provides baseline data for future evaluations and the subsequent progression of soil conservation activities. This information is used to evaluate the level of satisfaction of farmers who received services under the Soil Conservation Program and the program's effectiveness, according to farmers, in sustaining soil resources. The results will be used to evaluate the existing programs and to develop future programs. **Consistent Uses:** Personal data will be cross-referenced with responses from the survey in order to assess the relative needs of certain target groups. The evaluation of the needs assessment may be used in revising existing programs as well as in the development of future programs. **Retention and Disposal Standards:** Records are

retained for five years. **Related to PR#:** AAFC PFA 560
TBS Registration: 002583 **Bank Number:** AAFC PPU 350

Research Branch

Inventory of Canadian Agri-Food Research

Description: This bank contains information on agricultural food, human nutrition, and Aquaculture and related biotechnology research projects under way in Canada. This includes research by federal and provincial governments, colleges, universities, corporations and private organizations. **Class of Individuals:** All persons conducting agricultural and food research. **Purpose:** To provide a current and retrospective inventory on research in agriculture and food and to produce a summary publication of person-year effort in agricultural research. **Consistent Uses:** The inventory is used to manage and allocate resources and to answer queries on agri-food research. **Retention and Disposal Standards:** These files are retained indefinitely. **PAC Number:** 71-055 **Related to PR#:** AAFC RBR 195, 200, 210, 215, 220, 225, 230, 240 **TBS Registration:** 000895 **Bank Number:** AAFC PPU 130

Visiting Fellowship Applications and Records

Description: This bank contains applications by and records about individuals seeking visiting fellowships in the department. It includes personal information about the applicants and details about their education and experience. **Class of Individuals:** Individuals who received their doctoral degrees in the natural sciences or engineering no earlier than than five years prior to the application deadline, or who expect to complete their doctoral degrees no later than 14 months following the application deadline **Purpose:** The information is used to assess and rank the applicants' qualifications against identified fellowship needs within the department. **Consistent Uses:** The information is used to select the best qualified persons for fellowships within the department. **Retention and Disposal Standards:** Unsuccessful files are retained for two years. Successful files are retained for five years. **Note:** The information is collected and distributed by the Natural Sciences and Engineering Research Council which administers this program on behalf of participating departments. **PAC Number:** 70-016 **Related to PR#:** AAFC RBR 195, 200, 210, 215, 220, 225, 230, 240 **TBS Registration:** 002288 **Bank Number:** AAFC PPU 137

Review Branch

Special Canadian Grains

Program 1987 Extension Evaluation

Description: The bank contains information about opinions and perceptions collected from participants of the Special Canadian Grains Program 1987 Extension. This information will be collected in two phases. Participants' perceptions of the financial and farm-level (production decisions, use of inputs) impacts of the SCG 1987 program as well as their opinions about the

Program and its improvements over 1986 will be collected in focus groups. **Class of Individuals:** Grains and oilseeds producers in Canada who received payments from the Special Canadian Grains Program 1987 Extension. **Purpose:** Information is compiled in order to evaluate the Special Canadian Grains Program 1987 Extension. The focus of the evaluation is the financial and farm level impacts of the SCGP 1987 as well as participants' perception of the Program. The information will be used to assess the effectiveness of the SCGP 1987 and, as part of the "Alternatives" issue of the evaluation, to determine how such programs, if required, may be improved in the future. **Consistent Uses:** Information collected will be used to design similar programs, if required, in the future. **Retention and Disposal Standards:** Information in this bank will be retained for six years from the date the information was collected. **PAC Number:** 86-001 **Related to PR#:** AAFC AEB 415 **TBS Registration:** 001856 **Bank Number:** AAFC PPU 240

Classes of Personal Information

General Correspondence and Enquiries

Personal information in this class relates to routine correspondence concerning the regulatory and licensing activities associated with meat inspection, pesticides, feeds and fertilizers, veterinary biologics and the humane transportation of animals. It also relates to general enquiries concerning agricultural research, market intelligence, food advisory information and financial assistance to marketing boards and co-operatives. The personal information contained in this class normally includes the name and address of the enquirer, but is neither arranged nor retrievable by personal identifiers. This form of personal information exists in a fragmented form throughout the subject files controlled by the Department and is normally retrievable only if specifics are provided concerning the subject and the date of the correspondence. The retention period for this class of personal information is controlled by the retention period of the files in which they are stored.

Ministerial Correspondence

This class of information contains correspondence received by the Departmental Secretariat from external organizations and individuals in the form of requests for information, complaints, opinions and other similar submissions related to a broad range of policy issues pertaining to Agriculture and Agri-Food Canada's activities, wherein some unsolicited personal information has been provided by the correspondent. This form of information would be retrievable only if specifics of name, date of communication and subject were to be provided; it is not arranged or retrievable by personal identifiers. The retention periods of these classes of personal information are controlled by the record schedules of the general subject files in which they are stored.

Other Programs and Agreements

This class reflects other programs and agreements not specifically covered under the other classes. These other programs and agreements are aimed at resolving specific problems of a limited nature or in specifically defined areas of the country. For example, Federal-Provincial Agreements such as those under the Agricultural and Rural Development Act (ARDA) are concerned with rural problems of unemployment and social disadvantages. Special ARDA agreements have been used as a vehicle to improve the economic circumstances of native people by providing financial and other assistance to create job opportunities. Personal information may be stored in administering programs falling within this class. This information may include financial, employment, demographic and educational data on individuals. Such data, however, would be stored as part of the general subject files where records are not normally retrieved by name of individual or other personal identifier. This form of personal information is normally retrievable only if specifics are provided concerning the name of the program concerned and the name of the specific project.

Manuals

- Administration Manual, Advance Payments for Crops Act
- Administration Manual, Agricultural Products Co-operative Marketing Act
- Agri-Food Safety Emergency Manual of Procedures
- Agri-Food Trade 2000 Procedures Manual
- Agriculture and Agri-Food Canada Forms Catalogue
- Agrifin Manual
- Authorized Establishment Manual
- Canadian Rural Transportation Program Implementation Manual
- Commodity Manual
- Dairy Plant Inspection Manual Requirements and Procedures
- Departmental Security Manual
- Departmental Specialty Store Catalogue
- Drafting Standards Manual
- Establishment Inspection Manual — Processed Products
- Farm Debt Review Board Implementation Manual
- Farm Improvement and Marketing Co-operatives Loans Manual
- Farm Improvement Loan Administration
- Farm Improvement Loans Manual (Amendment)
- Feed Freight Assistance Manual
- Feed Inspection Manual
- Feed Laboratory Analytical Methods
- Fertilizer Inspection Manual
- Fertilizer Laboratory Methods Manual
- Food & Agriculture Emergency Response System (FAERS) Manual
- Fresh Fruit Commodity Manual
- Fresh Vegetables Commodity Manual
- Grading Manual — Dairy Products
- Grain Grading Handbooks for Eastern Canada and for Western Canada
- Guidelines and Procedures, Crop Insurance Act
- Guidelines for Lenders, Farm Improvement and Marketing Co-operatives Loans
- Guidelines for Lenders, Farm Improvement Loans Act
- Human Resources Management Manual
- Information Handbook — *Listeria monocytogenes*
- Inspection and Trade Memoranda
- Inspection and Trade Memoranda
- Inspection Manual — Dairy Products
- Inspection Manual — Fresh Fruits and Vegetables
- Inspection Manual — Hatcheries
- Inspector's Guide — Licensing and Arbitration (Fresh Fruits and Vegetables)
- Inventory of Canadian Agri-Food Research Instructions Kit
- Laboratory Accreditation and Audit Protocol
- Laboratory Manual — Dairy Products
- Laboratory Manual for Pesticide Residue Analysis in Agricultural Products
- Licensing and Arbitration Manual
- Livestock Grading Manual
- Manual for Describing Soils in the Field
- Manual of Administrative Policy and Procedures
- Manuals of Procedures Relating to the Health of Animals Act
- Meat Hygiene Manual of Procedures
- Meat Inspection Act and Regulations
- Methods and Procedures for Testing Seed
- Microbiological Analysis of Frozen Vegetables
- Operational Manual (Race Track Supervision)
- Pari Mutuel Betting Supervision Regulations
- PFRA Policy and Procedure Manual
- PFRA Survey Manual
- Plant Pest Emergency Program Manual
- Plant Protection Directives, Act and Regulations
- Plant Virus and Antiserum Bank
- Precipitation and Evaporation Tables (1911-1981)
- Processed Egg Inspection Manual
- Processed Poultry Inspection Manual
- Product Inspection Manual — Processed Products
- Race Track Officer Training Manual

- Range Analysis Survey — Methods and Procedures
- Recommended Manufacturing Practices for Pasteurized/Modified Atmosphere Packaged/Refrigerated Food
- Records Management Manual
- Reference Listing of Accepted Materials and Equipment
- Regulatory Proposals and Regulatory Directives
- Safety Manual
- Seed Potato Inspectors' Manual
- Seed Potato Laboratory Manual
- Shell Egg Inspection Manual
- Ship, Mill and Elevator Inspection Manual
- Site Investigation — Evaluation and Design for Soil and Water Conservation Service Dams; PFRA Moose Jaw Depot
- Specialty Cheese Manual
- Stored Grain Pests
- The Official Grain Grading Guide
- Training Guides for Inspectors

Requests for further information about the Department and its various programs and functions may be directed to:

Public Information Requests Services
Corporate Services Branch
Agriculture and Agri-Food Canada
Sir John Carling Building
930 Carling Avenue
Ottawa, Ontario
K1A 0C5
(613) 759-1000

Reading Room

The library of this institution has been designated as a public reading room in accordance with Access to Information Act.

Canadian Agriculture Library
Reference Services, Room 169
Sir John Carling Bldg
930 Carling Avenue
Ottawa, Ontario
K1A 0C5
(613) 759-7068

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Atlantic Canada Opportunities Agency

Chapter 2

General Information

Background

The Atlantic Canada Opportunities Agency (ACOA) was created in June 1987 to increase opportunity for economic development in Atlantic Canada and, more particularly, to enhance the growth of earned incomes and employment opportunities in the region.

ACOA's head office is located in Moncton, New Brunswick. The Agency maintains regional offices in the capital cities of each of the four Atlantic provinces, as well as in Ottawa. Smaller area offices are located in various locations within the four provinces served. Each of the four regional offices, and the Ottawa office is headed by a Vice-President.

Responsibilities

The Atlantic Canada Opportunities Agency (ACOA) is a federal government economic development organization mandated to work with businesses, business organizations, provincial governments and other federal departments to help create more and better employment opportunities in Atlantic Canada.

Because new employment is the direct result of business growth, particularly among small-and medium-sized enterprises (SMEs), ACOA seeks to provide people with the encouragement, advice, access to capital and information and technology they need to start and expand their own businesses.

ACOA focuses on five main economic development areas:

- Entrepreneurship — because SMEs generate more than 90 per cent of all new jobs in Atlantic Canada.
- Trade — because every \$1-billion increase in exports creates 11,000 jobs.
- Innovation and Technology — because innovative companies are growing companies, and growing companies create jobs.
- Business Management Practices (BMP) — because formal BMP make companies more productive and resilient.
- Access to Capital and Information — because adequate access stimulates business start-ups, expansion and job creation.

Legislation

- Government Organization Act, Atlantic Canada 1987 (Part I)
- Small Business Loans Act
- Special Areas Act

Organization

ACOA delivers two main programs, the Business Development Program and the COOPERATION Program. Furthermore, the Agency is responsible for the delivery of various adjustment programs and the Canada Infrastructure Works Program as well as the coordination of the Canada Business Services Centres Initiative. The Agency also has advocacy and coordination functions for the Atlantic Region.

The ACOA Business Development Program provides assistance in the form of repayable contributions to small and medium-sized businesses in Atlantic Canada to start-up, expand, modernize and become more competitive. Non-profit organizations providing support to the business community may also qualify. The level of support available depends on the activity to be undertaken.

The COOPERATION program provides the policy framework and strategic plan for federal-provincial agreements. The COOPERATION program provides a powerful and flexible mechanism to coordinate federal efforts with those of the provinces and the private sector to assist in developing a variety of sectors of the Atlantic economy. Federal efforts under the program may be made through federal-provincial agreements or through direct federal initiatives.

ACOA's Adjustment activities focus on helping communities adjust to major downturns in local economic conditions. The Agency makes full use of coordination, policy and advocacy mandates to help bring about positive adjustment for affected communities and provinces. For example, ACOA is responsible, in Atlantic Canada, for delivering assistance to communities affected by base closures and reductions; downturn in fisheries; and the termination of the ferry service following the construction of the Fixed link.

ACOA, in concert with the Atlantic provinces, is responsible for the delivery of the Canada Infrastructure Works Program in the Atlantic region. The aim of the Program is to generate short and long-term employment, while enhancing economic productivity and environmental quality, and accelerating economic recovery. The Program will renew and enhance physical infrastructure across communities through the financial participation of federal, provincial and municipal levels of government.

The Canada Business Service Centre (CBSC) Initiative was introduced to improve business access to a wide range of information on government services, programs and regulations. There are currently 21 federal business departments and agencies participating in this initiative as well as other levels of government and non-governmental organizations. ACOA manages five centres in the four Atlantic Provinces.

The advocacy role means representing and promoting Atlantic interests to other government departments in Ottawa. A major target is influencing the design of national programs and policies and to ensure that Atlantic capabilities are understood and given fair consideration when major Crown procurements are contemplated.

The coordination role includes taking the lead on major government and sectoral issues in Atlantic Canada where the objectives of the Government are best achieved through the effective interaction of a number of federal departments, and in some instances, provincial governments and the private sector.

An Advisory Board, comprised of seven private sector members representing Atlantic provinces chaired by the President of ACOA offers advice and guidance on the policy directions of the Agency.

Regulations, Action Program — Eligibility of Projects, Action Program Project Summary Forms, Action Program Human Resource Development Element, Action Program Evaluation, Stacking of Assistance, Individual Projects by province, Source Development Fund, Study Element, Innovation Element, Market Promotion Element, Business Support Element, Action Loan Program. **Program Record Number:** ACO PRO 012

ACOA STRATEGIC PRIORITIES/CORPORATE PLAN

Description: Includes correspondence, reports, relating to the Agency's strategic priorities in various sectors such as entrepreneurship, trade & investment, innovation & technology, human resource development, investment support, procurement, diversification, etc., as identified in the whole business/corporate plan of the Agency. **Topics:** General. **Program Record Number:** ACO GEN 002

ADVOCACY

Description: Includes correspondence, reports, information relating to the Agency role in the Atlantic region to represent the interests of Atlantic Canada, marketing the region's priorities on such issues as trade, transportation, defense and procurement. **Topics:** Policy, General, Stora Forest Industries. **Program Record Number:** ACO PRO 009

ATLANTIC BASE CLOSURE ADJUSTMENT FUND/PROGRAM

Description: Includes correspondence relating to the establishment and administration of funds of the Base Closure Adjustment Program which is to assist communities in the Atlantic Region affected by the closure or reduction of military installations, to attach new economic activity, diversify the industrial base and generate employment opportunities in the Atlantic Region. **Topics:** Policy, General, Base Closure Adjustment Fund/Program — NB, Base Closure Adjustment Fund/Program — NFLD, Base Closure Adjustment Fund/Program — NS, Cornwallis Park Development Agreement, Base Closure Adjustment Fund/Program — PEI. **Program Record Number:** ACO PRO 021

ATLANTIC ENTERPRISE PROGRAM (AEP)

Description: Correspondence on the Atlantic Enterprise Program (AEP) which was developed to promote long-term economic growth in the Atlantic Region. AEP offered financial assistance to businesses across a wide range of industry sectors and complemented the assistance programs of the Department of Regional Industrial Expansion (DIE), such as the Industrial and Regional Development Program (IDP), the Small Businesses Loans Act (SBA) and the federal-provincial sub-agreements. **Topics:** General, New Brunswick, Newfoundland, Nova Scotia, Enterprise Cape Breton, Prince Edward, Island Individual projects. **Program Record Number:** ACO PRO 015

Information Holdings

Program Records

ACOA — GENERAL

Description: Includes general correspondence, reports, policies, relating to ACOA (the Agency). For Example, general information on the Agency, who is responsible for what at ACOA, reviews by the Federal Government on ACOA's programs, delegated program authorities, and information on the creation of the Agency and its initiatives. **Topics:** Policy, General, Delegated Program Authorities, Interim Authorities, ACOA Initiatives, Creation of ACOA, Savoie/Transition to Maturity, ACOA Program Review. **Program Record Number:** ACO GEN 001

ACOA ACTION PROGRAM

Description: Includes correspondence, policies, evaluations, individual projects relating to the Action Program which provides direct financial assistance to small and medium-sized businesses to increase competitiveness, expand sales, introduce new products or develop new businesses in selected industrial sectors. Eligible sectors include: aquaculture, business service industries (including services to primary sectors), commercial research and development facilities, manufacturing and processing, mining and tourism. **Topics:** Policy, General, Action Program Review/Statistical, AAP Payment Procedures Directives, Repayable Contributions, Project Processing Time, Recoveries, Action Program Benefits Monitoring, Action Program

BUSINESS DEVELOPMENT PROGRAM — GENERAL

Description: Includes correspondence, reports, policies, regulations, as well as individual projects, relating to ACOA's new Business Development Program which is designed to help set up, expand or modernize small and medium-sized enterprises. **Topics:** Policy, General, Payments, Loan Insurance Regulations and Individual projects. **Program Record Number:** ACO PRO 028

BUSINESS MANAGEMENT PRACTICES

Description: Includes correspondence, policies, reports, relating to the Business Management Practices Initiative which was established to build a broadly-based partnership to make the most efficient use of business management practices, and increase awareness of the benefits accruing from quality management which are essential to any company's success. **Topics:** Policy, General, Human Resource Development, Training. **Program Record Number:** ACO PRO 014

CANADA BUSINESS SERVICE CENTRES (CBCSs)

Description: Includes all correspondence, reports, committees, business plans, budget information, business plans, etc. relating to the establishment, implementation and the management of this initiative which is to provide information and assistance to the public as a "One Stop Shop" on various programs and services offered by federal, provincial and municipal partners, as well as private partners. **Topics:** Policy, General, New Brunswick, Newfoundland, Nova Scotia, Sydney, Prince Edward Island, Operations Management Committee, Atlantic Managers Committee, Managing Partners Committee, Information Management Committee /Technology Sub-Committee, Information Specialist Committee, Business Plans, Budgets, Evaluations, Information Management Products (BIS/FaxBack, Internet) Staffing, Communications Issues, Reports, Auditor General Reports. **Program Record Number:** ACO PRO 007

COMMUNITY DEVELOPMENT FUND

Description: Includes correspondence on the Community Development Fund is a program established to assist communities affected by the closure of fish plants in Newfoundland. **Topics:** General. **Program Record Number:** ACO PRO 023

COMMUNITY DIVERSIFICATION FUND (NEWFOUNDLAND OFFICE)

Description: Includes correspondence on the Community Diversification Fund is a program which was established to assist communities affected by the closure of CN Railway in Newfoundland. **Topics:** General. **Program Record Number:** ACO PRO 022

COMMUNITY FUTURES PROGRAM — GENERAL

Description: Includes correspondence and projects under the Community Futures Program which is to create linkages between community development organizations to achieve a more effective approach to business and economic development in local areas and improve service

to small business while reducing overlap and costs and contribute to job growth. **Topics:** Policy, General, and Individual Projects. **Program Record Number:** ACO PRO 029

CONSULTING ADVISORY SERVICE

Description: Includes policy, procedures, guidelines, correspondence and client project files relating to the Consulting Advisory Service. This service is provided by the Business Development Bank of Canada (BDBC) to provide, at ACOA's request and expense, contract services and administrative support in a limited number of cases where clients' needs cannot be met by consultants from the existing standing offer. **Topics:** Policy, General, ACOA Contracts. **Program Record Number:** ACO PRO 005

COOPERATION PROGRAM/ AGREEMENTS (Including ERDA's)

Description: Includes correspondence, reports, meetings, evaluations, federal/provincial framework, individual projects and individual federal/provincial agreements relating to the COOPERATION Program. This program was designed to create partnerships with the provinces and the private sector to assist in developing a variety of sectors of the Atlantic Economy. **Topics:** Policy, General, ERDA Meetings, Cooperation Meetings, Cooperation Program Task Force Meetings, Cooperation Program Advisory Committee Meetings, ERDA MC, Cooperation MC, Atlantic ERDA, Cooperation Program Communications, Atlantic Livestock Feed Initiatives (ALFI), Cooperation Program Evaluation, Federal/Provincial Framework, New Brunswick Cooperation Program (Individual projects listed in numerical order), the following agreements: Industrial Innovation & Technology, Industrial Development, Forest Renewal, Mineral Development, Fisheries Development, Tourism Development, Flood Damage Reduction, Economic Diversification, Agri-Food Development, Science and Technology, Transportation, Special Response, Cooperation Agreement on Cultural Development, Agreement on Urban Economic Development, Entrepreneurship & Human Resource Development, Travel Generators, Planning, Economic Development, NB Recreational Fisheries Development, Newfoundland Cooperation Program (Individual projects listed in numerical order), the following agreements: Agri-Food, Burin Peninsula Development Fund, Fisheries, Flood Damage Reduction Program, Forestry, Mineral Development, Ocean Industry Development, Rural Development, Science & Technology, Tourism Development, Industrial Development, Comprehensive Labrador Subsidiary Agreement, Transportation, Enterprise Network Cooperation, Urban Infrastructure, Environmental Improvement, Development Planning, Cultural Industries, Human Resource Development, Salmonid Enhancement/Conservation, Strategic Investment Industrial Development, Fishing Industry Development, Newfoundland Strategic Regional Diversification, Canada/Nfld Economic Renewal Initiative, Nova Scotia Cooperation Program (Individual projects listed in numerical order), the following agreements: Agri-Food, Halifax Metro Sub-Agreements, Forestry, Strait

of Canso, Tourism, Advanced Manufacturing Support, Technology Transfer and Industrial, Fisheries, Mineral Development, Industrial Development Opportunities, Sustainable Economic Development, Highway Improvement, Cultural Development, Economic Policy & Planning, Technology Development, Community Economic Development, NS People Skills, NS Municipal Infrastructure, NS Economic Diversification. Prince Edward Island Cooperation Program (Individual projects listed in numerical order), the following agreements: Agri-Food, Cultural Industries, Energy, Forestry, Industrial Development, Tourism, Transportation, Market Development, Strategic Technology Development, Sustainable Economic Development, Urban Economic Development, Human Resource Development, Primary Resource Development. Pan-Atlantic Cooperation Agreements: Pan-Atlantic Coordination & Research Initiatives (Individual projects listed in numerical order), Pan-Atlantic Aboriginal Partnership Agreement, International Business Agreement (Atlantic Trade Agreement), Atlantic Agri-Products Competitiveness Initiative, Canada-France Cooperation Agreement.

Program Record Number: ACO PRO 016

COORDINATION

Description: Includes correspondence, reports, information relating to the Agency role in the Atlantic Region to increase the effectiveness of all federally sponsored economic activities by coordinating these interests among federal, provincial and private-sector partners. **Topics:** Policy, General, Official Languages Act.

Program Record Number: ACO PRO 010

ENTREPRENEURSHIP PROGRAM

Description: Correspondence, reports, policies, forums, conferences, awards, publications, evaluations, relating to ACOA's initiative to promote entrepreneurship and small business development for the economic, social and cultural development of our country in partnership with private sector, provincial and territorial governments and the academic community. **Topics:** National Entrepreneurship Policy, Entrepreneurship, Entrepreneurship Reports, Pan-Atlantic Entrepreneurship Development Program, Entrepreneurship Proposals, Entrepreneurship Development Initiative (EDI), Young Entrepreneurs, Entrepreneurship Forums/Conferences/Showcases, Entrepreneurship Business Awards, Publications (including State Of Small Business), The Leading Edge, Women and Entrepreneurship, Shad Valley Program, Entrepreneurship Evaluation. **Program Record Number:** ACO PRO 006

FEDERAL/PROVINCIAL INFRASTRUCTURE PROGRAM

Description: Includes correspondence, agreements, reports, projects, relating to the Canada Infrastructure Program which was established to renew municipal infrastructure, improve the environment and enhance Canada's competitiveness while accelerating economic recovery through job creation. **Topics:** Policy, General,

NB Infrastructure Agreement, Newfoundland Infrastructure Agreement, NS Infrastructure Agreement, PEI Infrastructure Agreement. **Program Record Number:** ACO PRO 013

FISHERIES ALTERNATIVE PROGRAM (FAP)

Description: Includes correspondence, individual projects, and evaluation of the Fisheries Alternative Program. This program covers the Federal Response and financial assistance given to Communities after termination or disruption in their major economic circumstances as a result of the downturn in the Atlantic fishing industry.

Topics: Policy, General, Meetings/Conference Calls, CEDP/Fisheries Alternative Program, Fisheries Alternative Program Evaluation, Individual Projects. **Program Record Number:** ACO PRO 020

FIXED LINK REDEVELOPMENT INITIATIVE

Description: Includes correspondence, reports, and projects relating to the Tripartite Agreement between Canada and the provinces of PEI and NB. Funds provided by the Government of Canada are for the redevelopment of Borden, PEI and Cape Tormentine, NB, to offset adverse effects of termination of ferry service between Borden and Cape Tormentine. **Topics:** Policy, General, Cape Borden Redevelopment Program (PEI Projects), Cape Tormentine Area Redevelopment Program (NB Projects). **Program Record Number:** ACO PRO 019

GOVERNMENT PROCUREMENT

Description: Correspondence, committees, meetings, programs on ACOA's procurement strategy to increase long term economic activity in Atlantic Canada and to help successful companies leverage federal contracts into non-government markets. **Topics:** Policy, General, Atlantic Opportunities Program (AOP), Annual Strategic Acquisition Plan (ASAP) (Canadian Annual Procurement Strategy (CAPS), Atlantic Canada Supplier Development Program (ACSDP), Supplier Development Operations Committee, Access Small Business, CAATS Procurement (Canadian Automated Air Traffic Control System), Defense Procurement, Canadian Submarine Acquisition Project (CASAP), Armoured Vehicles, Canadian Patrol Frigates, Canadian Forces Supply System Upgrade (CFSSU), Tactical Command, Control and Communication System (TCCCS), Free Trade Agreement Procurement Provisions, Procurement Review Committee (PRC), Procurement Meetings, Studies on Procurement, Science & Technology Procurement Network, Western Supplier Development Program (WSDP). **Program Record Number:** ACO PRO 018

INDUSTRIAL REGIONAL DEVELOPMENT PROGRAM (IRDP)

Description: Includes correspondence and individual projects relating to the Industrial Regional Development Program (IRDP) established to promote regional industrial development through the support of private sector initiatives. The program provides financial assistance to business and non-profit organizations through contributions

or repayable contributions. **Topics:** General, Individual projects. **Program Record Number:** ACO PRO 017

INDUSTRIES — GENERAL

Description: Information dealing with all industry sectors within the Atlantic provinces which have been transferred to ACOA and with which ACOA has dealings. These sectors include: agriculture, defense, energy, environment, fisheries, forestry, manufacturing, mining, science and technology, health, geomatics, shipbuilding, tourism, trade and transportation. Three areas which stand out as major priorities for ACOA are Innovation and Technology, Tourism and Trade. **Topics:** General. **Program Record Number:** ACO IND 024

INNOVATION & TECHNOLOGY

Description: Includes correspondence on Innovation and Technology, a priority for ACOA to enhance small and medium-sized enterprise productivity and competitiveness by improving access to innovation and technology through programs designed to increase diffusion of best practice technology, foster the development and commercialization of technology-based tradeable goods and services, and develop regionally-strategic sectors. **Topics:** General, Space Program/Space Station, Networks of Centres of Excellence, Geomatics Industry, Communication & Information Technology, Meetings/Conferences. **Program Record Number:** ACO IND 025

POLICY, RESEARCH & DEVELOPMENT

Description: Includes correspondence, reports, studies, research, development policies, liaison with other federal/provincial departments, relating to the regional economy. **Topics:** Policy, General, Federal/Provincial Economic Development, Harmonization, Atlantic Economic Development, Aboriginal Economic Development, Strategy/Native Assistance, Micro Economic Action Plan, Small Communities and Rural Areas Economic Development, Studies General, ACOA Client Survey, Economic Study-Atlantic Region. **Program Record Number:** ACO GEN 003

PROGRAMS/AGREEMENTS — GENERAL

Description: Includes correspondence, reports, etc. relating to programs, initiatives, agreements and sub-agreements which ACOA is involved with through funding or support; these may be with other agencies, government departments (federal or provincial), groups or individuals. Also includes correspondence on the Program Evaluation general function of the Agency. **Topics:** Policy, General, New Brunswick, Fundy Trail Endowment Fund, Newfoundland, Nova Scotia, Pictou County Economic Development Fund, Preston and Area Development Fund, Cape Breton, DEVCO-IDD/ECBC Transition, ECB/ECBC Assessment, Proposed ECBC/ACOA Transition, Prince Edward Island, Venture Capital/Venture Loan Program (FBDB), Canada Community Investment Fund, ACF Equity Atlantic Inc., Seed Capital Fund, Workers' Investment Fund Inc., PEI Capital Inc. (Island Capital Inc.), Unsolicited Proposals, Major Projects Funding, Heritage Canada/Main

Street Program, Immigrant Investors Program, Unsolicited Proposals Program, Program Evaluations. **Program Record Number:** ACO PRO 004

PROGRAMS/AGREEMENTS — REPORTS

Description: Includes all reports (weekly, monthly, as well as annual reports) prepared for the Minister, the President and all Vice-Presidents on all ACOA Programs/Agreements. **Topics:** General, Weekly Reports, (Action Program, Business Development Program), Monthly Reports, Project Proposal Status Reports, Projects Approved/Accepted/Announced Reports, ACOA Annual Reports/Five-year Reports, Quarterly Reports (Action, FAP), Cooperation Program Reports, Cooperation Program Expenditures/Projections (Monthly Report), Fisheries Alternative Program (FAP) Reports, Quarterly Report (Loan Insurance, Action Loan & Contribution), Inventory of ACOA Programs, Infrastructure Program Reports, Advocacy & Coordination Activity Reports, EPAP Progress Reports, Quarterly Job Impact Reports, Project Processing Reports (Action, FAP, BDP). **Program Record Number:** ACO PRO 008

SMALL BUSINESS LOAN ACT PROGRAM (SBLA)

Description: Includes correspondence relating to the Small Business Loans, Act Program to encourage lenders in the private sector to increase, the availability of loans for the purpose of the establishment, expansion, modernization and improvement of small business enterprises. **Topics:** Policy, General, SBLA Program Review. **Program Record Number:** ACO PRO 011

TOURISM

Description: Includes correspondence, policies, seminars and conferences, agreements, projects relating to Tourism, a priority of ACOA to build the industry into a long-term generator of new jobs by helping industry organizations and private-sector operators become more efficient in their use of resources and by emphasizing a coordinated approach to international marketing, research and training. **Topics:** Policy, General, Canada/Atlantic Cooperation Agreements on Tourism Marketing, Coast of Difference, NB Tourism, Nfld. Tourism, NS Tourism, CB Tourism, PEI Tourism, International Tourism Marketing Agreement and initiatives), Atlantic Canada Tourism Partnership Management Committee, Marketing Advisory Committee, Tourism Canada, National Heritage Tourism Initiative, Air Transportation (Tourism), Canadian Tourism Commission, Meetings/Conferences. **Program Record Number:** ACO IND 026

TRADE

Description: Includes correspondence, publications, meetings, missions, trade shows relating to the ACOA trade initiative in the Atlantic, region to foster a cohesive approach to Atlantic trade development, promote Atlantic exports through incoming missions of foreign trade commissioners; develop a training strategy for SME and those providing assistance to SME. **Topics:** General, Import, Publications (Trade Winds), Interprovincial

Trade/Barriers, Free Trade, Internal Trade Agreement, Multilateral Trade Negotiations, Sydport International Trade Zone, General Agreement on Tariffs and Trade (GATT), Pre-Trader Demonstration Project, Trade-Services, Cross-Border Shopping, International Trade, Japan, Asia Pacific/Far East, Europe, New England/Boston Consulate, Mexico, Hong Kong, South America/Caribbean, Trade Shows/Forums/Fairs/etc., Trading Houses, Investment Promotion, Import Information System, Trade Team Canada. **Program Record Number:** ACO IND 027

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Administration

Building and Properties

Equipment and Supplies

Finance

Human Resources

Personal Information Banks

Staffing

Description: This bank contains general documentation related to specific positions as well as documentation related to performance in competitions. The information relates to applicants competing or being given consideration for a position. **Class of Individuals:** The information held includes application forms, resumé, appraisals, assessments, board reports, lists of eligible persons, conflict of interest declarations and agreements to undertake language training. **Purpose:** The purpose of this bank is to assess applicants for positions. Information is also used during competitions as well as for making appointments based on the eventual lists of eligible candidates. It may also be used at an appeal hearing or during an investigation. **Retention and Disposal Standards:** Records are retained for two years after the eligible list for a staffing action expires, or for two years after any other administrative action. Records are then destroyed. **TBS Registration:** 003357 **Bank Number:** ACO PPU 005

Manuals

- ACOA Action Program-Policy and Procedures Manual
- ACOA COOPERATION Program — Reference Guide

- ACOA Financial Coding Manual
- ACOA Security Policy Manual
- ACOA Telephone Directory
- Administrative Policy Manual
- Audit and Evaluation Manual
- Classification/Designation of Information Guide
- Employee Guide to Government Security
- Executive Information Series Directives
- Guidelines for the Preparation of Minister's Correspondence
- Guidelines for the Preparation of the President's Correspondence
- Subject Classification Guide
- Training Development Directory
- Visual Identify Manual

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Agency and its various programs and functions may be directed to:

Head Office

Atlantic Canada Opportunities Agency
644 Main Street, 3rd Floor
P.O. Box 6051
Moncton, New Brunswick
E1C 9J8
(506) 858-2271

Reading Room

The library at the Agency Head Office has been designated as a public reading room for the purposes of the Access to Information Act. The address is:

Library

Atlantic Canada Opportunities Agency
644 Main Street
3rd Floor
P.O. Box 6051
Moncton, New Brunswick

Atlantic Pilotage Authority Canada

Chapter 3

General Information

Background

As a result of recommendations made by the Royal Commission on Pilotage in Canada, the Pilotage Act was assented to by Her Majesty and the Governor-in-Council and proclaimed February 1, 1972.

The Pilotage Act created four pilotage regions with specific authorities, thereby replacing a large number of local pilotage districts. The four pilotage authorities — Atlantic, Laurentian, Great Lakes, and Pacific — are Crown corporations, responsible to Parliament through the Minister of Transport.

The Atlantic Pilotage Authority was incorporated in carrying out the Pilotage Act in 1972, and pursuant to the Financial Administration Act, (Schedule SC 1983-84, 31) was designated a Schedule III Part I Crown corporation.

Responsibilities

The role of the Authority is to establish, operate, maintain and administer, in the interest of safety, an efficient and economical pilotage service within its geographical boundaries — all coastal waters in the Atlantic region. As a further goal, the authority prescribes tariffs of pilotage charges that are fair, reasonable and consistent with providing revenues sufficient to permit the Authority to operate on a self-sustaining financial basis.

Legislation

- Atlantic Pilotage Regulations
- Authority By-Laws
- Canadian Shipping Act
- General Pilotage Regulations
- Pilotage Act

Organization

♦ Administration Division

This Division provides administrative and financial services as required to operate within accepted corporate business procedures.

♦ Operations Division

This Division provides pilotage services by assigning and dispatching qualified pilots to ships navigating within the boundaries of the Atlantic Pilotage Authority.

Information Holdings

Program Records

Pilotage Services

Description: Information on the provision of pilotage services within the Atlantic region. **Topics:** Dispatching records; pilotage licenses; tariff charges; assignments of pilots to vessels; accident reports; collective agreements; international shipping affairs. **Program Record Number:** APA OPD 005

Tariffs

Description: Information on the setting of tariffs for the provision of pilotage services; also the conduct and results of negotiations concerning the setting of tariffs in various districts throughout the region as well as results of National Transportation Agency of Canada hearings. **Program Record Number:** APA OPD 010

Personal Information Banks

Accounts Payable Files

Description: The purpose of this bank is to maintain information on the payment of accounts to firms or individuals for services or products provided to the Authority. Files contain the names and addresses of firms and individuals; and invoices for services rendered or products provided detailing the amount owing and any supporting documentation to substantiate the account. Original accounts payable vouchers, together with supporting documentation, are maintained in hard copy and are filed by date of payment. The retention period for original accounts payable vouchers, together with supporting documentation, is seven years. Access to this bank will require name, address and date of account. **Bank Number:** APA PPU 015

Accounts Receivable Files

Description: The purpose of this bank is to maintain information on sums of money owing to the Authority. Files contain the names and addresses of firms and individuals; details of the amount owing; and any supporting documentation to substantiate the account. Manual files exist to maintain hard copy documentation. The retention period is seven years. Access to this bank will require name and address. **Bank Number:** APA PPU 010

Application for Employment File

Description: The purpose of this bank is to maintain a record of information relating to applications for employment received from the general public. This bank contains completed application forms, letters and curricula vitae of persons interested in working for the

Authority. The retention period for this bank is ten years. Access to this bank will require name, address and date of birth. **Bank Number:** APA PPU 020

Register of Pilots

Description: The purpose of the bank is to retain a register of certificates and qualifications required under the Pilotage Act. This bank contains information on physical characteristics, licenses, certificates, and accidents of pilots. The retention period for this bank is indefinite. Access to this bank will require name and address. **Bank Number:** APA PPU 025

Service Contracts

Description: The purpose of this bank is to maintain information on the tenders and award of contracts for the supply of such services as land and water transportation. The files contain the list of prospective tenderers; the tenders submitted; an analysis of the tenders received; documentation leading to the award of the contract; and the signed contract between the contractor and the Authority. The files may be referred to for future contracts. The retention period for service contracts is ten years. Access to this bank will require the contract number and description of the service. **Bank Number:** APA PPU 005

Manuals

- Accident Reports and Investigations
- Accounting Procedures
- Administrative Directives
- Collective Agreements
- Dispatching Procedures
- Pilot License Register
- Pilotage Tariffs
- Service Contracts
- Working Rules

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Authority and its various programs and functions may be directed to:

Atlantic Pilotage Authority
Purdy's Wharf, Tower 1, Suite 1402
1959 Upper Water Street
Halifax, Nova Scotia
B3J 3N2
(902)426-2550

Reading Room

An area on the premises of this institution has been designated as a public reading room according to the provisions of the Access to Information Act. The address is:

Suite 1402
1959 Upper Water Street
Halifax, Nova Scotia
B3J 3N2

Atomic Energy Control Board

Chapter 4

General Information

Background

The Atomic Energy Control Board (AECB) was established in 1946 by the Atomic Energy Control Act.

Responsibilities

The AECB is the federal regulatory agency responsible for the control of health, safety, and national and international security aspects of prescribed nuclear energy substances and items, and nuclear facilities. The AECB is also responsible for the administration of the Nuclear Liability Act. The Board reports to Parliament through the Minister of Energy, Mines and Resources, and is a departmental corporation within the meaning and purpose of the Financial Administration Act.

Legislation

- Atomic Energy Control Act, R.S.C., 1985, chapter A-16
- Nuclear Liability Act, R.S.C., 1985, chapter N-28

Organization

The Board consists of five members. One of these members is appointed President and Chief Executive Officer of the AECB and is the only full-time member. Reporting to the President are the Secretariat, the Directorate of Reactor Regulation, the Directorate of Fuel Cycle and Materials Regulation, the Directorate of Research and Safeguards, the Directorate of Analysis and Assessment, and the Directorate of Administration.

◆ Secretariat

The Secretariat is responsible for the functions of Secretary to the Board, the dissemination of information to the public and the media, and administrative and scientific support to two independent advisory committees dealing with radiation protection and nuclear safety. It is also responsible for corporate planning, coordination of policy development, implementation of internal audit and program evaluation plans, as well as liaison with provincial, federal and international agencies, including the Minister's Office. Administration of the Nuclear Liability Act and compliance with the provisions of the Access to Information Act and the Privacy Act rest with the Secretariat. The Secretariat consists of the Office of the Secretary to the Board, the Office of Public Information, the Planning and Coordination Section, and the Advisory Committee Secretariat.

◆ Directorate of Reactor Regulation

The Directorate is responsible for the regulation of power and research reactors, heavy water plants and for

examining the qualifications of reactor operators. The Directorate consists of Power Reactor Divisions 'A' and 'B', Operator Certification Division, and the Studies and Codification Division.

◆ Directorate of Fuel Cycle and Materials Regulation

The Directorate is responsible for the regulation of uranium mines and mills, refineries, and conversion plants; nuclear fuel fabrication plants; radioactive waste management facilities; accelerators; and the use of radioisotopes. Additional responsibilities of the Directorate include the analytical laboratory facilities and compliance inspection services, and regulating the transport packaging of radioactive materials. The Directorate consists of the uranium Facilities Division, the Waste Management Division, the Compliance Services and Laboratory Division, and the Radioisotope and Transportation Division.

◆ Directorate of Research and Safeguards

The Directorate is responsible for the initiation and management of projects in the mission-oriented research and support program that is designed to provide information for use in the AECB's regulatory functions. The Directorate also administers the implementation of domestic and international nuclear materials safeguards programs, and the Canadian Safeguards Support Program. The Directorate consists of the Safeguards and Security Division, and the Research and Supports Divisions 'A' and 'B'.

◆ Directorate of Analysis and Assessment

The Directorate is responsible for the detailed review and assessment of the arguments submitted by licensees (primarily for reactors) to demonstrate the safety of their designs, the adequacy of their quality assurance, and the protection from radiation hazards threatening both workers and the environments. The Directorate is also responsible for the development of standards and guidelines for radiation protection. The Directorate consists of the Safety Evaluation Division, the Components and Quality Assurance Division, and the Radiation Protection Division.

◆ Directorate of Administration

The Directorate is responsible for the administration of the AECB's human, information, financial and physical resources. The Directorate consists of the Personnel Section, the Finance Section and the Information Management Section.

Information Holdings

Program Records

Accelerator Facilities

Description: Information on licensed accelerator and particle accelerator facilities in Canada. **Topics:** Main facility; neutron generator; drawings; safety reports; cyclotron; Tandem and Van de Graaff; Linac; Betatron. **Program Record Number:** AEB DFC 080

Associations, Societies and Institutions

Description: Information on professional organizations with which the AECB consults on matters related to nuclear energy. **Topics:** Standards-writing organizations — Canadian Standards Association, Canadian Nuclear Association, International Atomic Energy Agency. **Program Record Number:** AEB DRR 115

Atomic Energy Control Regulations

Description: The regulations pursuant to the Atomic Energy Control Act as they apply to prescribed substances and items, nuclear facilities, the appointment of inspectors and inspections of licensees, security of nuclear material and information related to the nuclear fuel cycle, radiological occupational health and safety of atomic radiation workers, the appointment of medical advisers, and protection of the public and the environment. **Program Record Number:** AEB SEC 235

Atomic Energy Legislation

Description: Information on the Atomic Energy Control Act and amendments. **Program Record Number:** AEB SEC 230

Atomic Energy of Canada Limited (AECL) — Licensing

Description: General licensing information on nuclear facilities operated by Atomic Energy of Canada Limited. **Topics:** Reactors — NRX, ZEEP, NRU, ZED 2, Whiteshell, Slowpoke. **Program Record Number:** AEB DRR 060

Canadian Uranium Policy

Description: The Canadian uranium policy on exports, anti-trust matters and nuclear energy. **Program Record Number:** AEB DRS 130

Committees

Description: Information on actions, proposals and recommendations of the Advisory Committee on Radiological Protection (ACRP) and the Advisory Committee on Nuclear Safety (ACNS). Information on committees in which AECB staff are active. **Program Record Number:** AEB SEC 025

Computer Codes

Description: Information on computer codes used in reactor operations. **Topics:** Atmospheric dispersion; reactor physics; thermal hydraulics; fuel behaviour; containment. **Program Record Number:** AEB DAA 100

Coordination and Planning

Description: Information on the Board's internal and external coordination and planning. **Program Record Number:** AEB SEC 205

Domestic Reactors

Description: Information on the reactors currently in use in Canada. **Topics:** License of the facility; fuel; operation; reactor operators; accountability and operational procedures; AECB officers; significant events and incident reports; systems and equipment, proposed changes approved; proposed changes for information; radiological protection; emergency procedures; safeguards; in-service inspections and quality assurance. **Program Record Number:** AEB DRR 055

Emergency Planning Activities

Description: Information on emergency planning activities. **Topics:** Federal procedures; coordination of federal, provincial and international procedures. **Program Record Number:** AEB SEC 210

Federal Departments

Description: General information on liaison with other federal departments and agencies. **Program Record Number:** AEB SEC 225

Foreign and Marine Reactors

Description: Reactors in the U.S.; marine reactors; foreign reactors outside the U.S. **Program Record Number:** AEB DRR 065

Foreign Governments

Description: Information on all foreign governments with which Canada has been or is involved in the nuclear field. **Topics:** International nuclear safeguards; export-import controls; various reports dealing with the preceding subjects from foreign countries. **Program Record Number:** AEB DRS 120

Fuel Processing Facilities

Description: Information on fuel processing facilities in Canada. **Topics:** Operation; licensing; compliance inspections; radiation exposure data. **Program Record Number:** AEB DFC 200

Health Physics

Description: Information on health physics and radiation protection, as well as radiation dose limits, personal dosimetry and instrumentation, protective clothing, radiation protection training and laboratory facilities and equipment. **Program Record Number:** AEB DAA 245

Heavy Water Plants

Description: Information on heavy water plants in Canada. **Topics:** Operations; licensing; compliance inspections; emergency procedures; quality assurance; standards and guides; safety assessments; new processes. **Program Record Number:** AEB DRR 195

Heavy Water Plants

Description: Information on the safety assessment of design and operation of heavy water plants. **Program Record Number:** AEB DRR 105

Inspections

Description: Information on inspections, field instrumentation and special investigations. **Program Record Number:** AEB DFC 180

Inspections

Description: Information on regular inspections of reactors in service, scheduling of up and down time and computer codes used in operation. **Topics:** Reactor-in-service inspections; materials; ferrous and non-ferrous metals, corrosion; wear and welding. **Program Record Number:** AEB DRR 045

International Organizations

Description: Information on international organizations in the nuclear energy field. **Topics:** International safeguards; export-import control; technical reports and reviews on nuclear reactors; safeguards; quality assurance; radioactive waste management; nuclear fuel development; international organizations — Organization for Economic Co-operation and Development, International Energy Agency, European Economic Community, International Atomic Energy Agency, Nuclear Energy Agency, and the Scientific Advisory Committee of the International Atomic Energy Agency. **Program Record Number:** AEB SEC 215

Licensing

Description: Information on licensing of reactors and sites by the AECB. **Program Record Number:** AEB DRR 035

Mining, Exploration and Prospecting

Description: Information on every uranium mining and milling facility in Canada. **Topics:** Operation; licensing; requirements; health and safety BF personal, environmental and workplace monitoring, personnel exposure data; compliance inspections; mining and milling. **Program Record Number:** AEB DFC 185

Nuclear Devices

Description: Information on manufacturers of nuclear devices. **Topics:** Luminous devices; watches; exit signs; cardiac pacemakers; smoke detectors; therapy units; static eliminators. **Program Record Number:** AEB DFC 165

Nuclear Liability

Description: Information on the Nuclear Liability Act and its association with the Canadian nuclear program; also insurance aspects of nuclear liability. **Program Record Number:** AEB SEC 220

Organization and Functions

Description: Information on AECB activities **Topics:** Organization of the Board; appointments; significant development reports; meetings of internal heads of directorates or sections; annual reports; Policy and Practices Manual; Administrative Policy and Procedures

Manual; and Minister's briefings. **Program Record Number:** AEB SEC 005

Orientation Facilities

Description: Information on foreign countries interested in purchasing CANDU reactors or technology. **Program Record Number:** AEB DOA 260

Particle Accelerators

Description: General information on particle accelerators. **Topics:** Courses and seminars; standards and guidelines; technical information; and relations with Health and Welfare Canada. **Program Record Number:** AEB DFC 075

Prescribed Equipment

Description: Information on general export control of prescribed equipment, such as nuclear reactors, fuel processing facilities, heavy water plants and nuclear accelerators. **Program Record Number:** AEB DRS 125

Prescribed Substances

Description: Information on prescribed substances, which are defined as radioactive isotopes of all elements and any substances containing such isotopes which are designated as capable of releasing atomic energy. The AECB maintains files on all licensed users and producers of radio-isotopes in Canada. **Topics:** Use; exports; policies; health precautions. **Program Record Number:** AEB DFC 155

Prescribed Substances — Accidents, Unauthorized Uses

Description: Information on situations where possible risk to workers and the public is caused by radioactive contamination and exposure. **Topics:** Contamination of jewellery and pottery; improper safeguards for radio-isotope use BF hospitals, pharmaceutical labs, research labs; transportation accidents; unauthorized uses of radioactive materials; contamination. **Program Record Number:** AEB DFC 175

Provincial Governments

Description: Information on dealings with the provincial governments and two territories. **Program Record Number:** AEB SEC 240

Public Information

Description: Information requests; Federal Identity Program; programs; policies and procedures; projects and publications; public opinion projects; editorial services; translation and revision. **Program Record Number:** AEB SEC 020

Quality Assurance

Description: Information on the overall design quality of nuclear facilities. **Topics:** Standards; manufacturers; manufacturer quality assurance programs. **Program Record Number:** AEB DAA 110

Radiation Protection

Description: Information on radiation protection for workers, public and environment affected by reactor facilities. **Program Record Number:** AEB DRR 040

Radioactive Waste Management Facilities

Description: Information on every radioactive waste management facility in Canada. **Topics:** Low-level storage; high-level storage; reactor wastes; uranium tailings; chemical treatment; licensing; maintenance; compliance. **Program Record Number:** AEB DFC 190

Reactor Codes and Standards

Description: Quality assurance codes and standards used in the design of nuclear facilities. **Topics:** Components; inspections; quality control; reliability and maintainability of concrete containment structures; protective systems and instrumentation; and seismic design requirements for CANDU. **Program Record Number:** AEB DAA 095

Reactor Operating Training

Description: Information on the training and examination of reactor operators according to AECB standards. **Topics:** Reactor Operating Training; Ontario Hydro, Hydro-Quebec, New Brunswick Electric Power Commission. **Program Record Number:** AEB DRR 070

Reactor Personnel

Description: Information on the training and examination of all reactor personnel. **Program Record Number:** AEB DRR 085

Reactor Safety

Description: Information on the development of safety requirements for nuclear facilities. **Topics:** Siting guides; reactor safety criteria. **Program Record Number:** AEB DRR 090

Reactors — General

Description: General information on the operation of reactor facilities. **Program Record Number:** AEB DRR 030

Regulatory Documents

Description: Research contract process (including reports); licensing guides and regulatory standards for the nuclear energy field and prescribed substances. **Topics:** Regulations safeguard; techniques; socio-economic impact analysis. **Program Record Number:** AEB DRS 255

Safeguards

Description: Policies and measures for the non-proliferation of nuclear energy. **Topics:** Domestic and international safeguards; costs; reference documents; instrumentation; inspection procedures; accountability; visits and inventories of nuclear facilities in Canada; safeguards inspectors; special studies on accountability for highly enriched uranium and non-destructive measurement devices. **Program Record Number:** AEB DRS 150

Safeguards Support Program

Description: Research and development contract reports and Safeguard Support Program Reports. **Program Record Number:** AEB DRS 250

Security

Description: Information on the physical security of nuclear facilities and personnel. **Program Record Number:** AEB DRS 140

Steam Rebuild Programs

Description: Information on improvements of original deficient steam generators in some of the reactors. **Topics:** 600MW, 500MW, and 850MW steam generator rebuild programs. **Program Record Number:** AEB DRR 050

Transportation

Description: Policies, regulations and practices for the safe handling and transportation of radioactive materials in Canada and abroad. **Topics:** Shipping regulations; labelling requirements for radio-isotopes; transportation of radioactive waste; liability insurance; regulations for all modes of transportation BF air, road, rail and marine; certificates; Canada Post Corporation; emergency procedures; accidents and standards; transportation of nuclear materials to and from foreign countries; endorsements from foreign countries for shipping containers; technical aspects of shipping, containers and testing programs. **Program Record Number:** AEB DFC 160

United Nations Disarmament Commission

Description: Information on the United Nations Non-Proliferation Treaty on Nuclear Weapons, international safeguards, international agreements and various United Nations committees on nuclear energy. **Program Record Number:** AEB DRS 145

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Audits

Budgets

Buildings

Buildings

Classification of Positions

Co-operation and Liaison

Employment and Staffing

Equipment and Supplies

Finance**Furniture and Furnishings****Human Resources****Occupational Health, Safety and Welfare****Office Appliances****Official Languages****Pensions and Insurance****Personnel****Procurement****Salaries and Wages****Staff Relations****Training and Development****Vehicles****Personal Information Banks****Access Request Data Bank**

Description: This bank contains the access request forms sent by individuals requesting access to their files, the replies to such requests and information related to their processing. It is used for processing access requests only, and to report on the number of access requests received annually. Files will be kept for two years.

Bank Number: AEB PPU 045

Applications for Employment

Description: This bank exists to maintain an inventory of applicants from the general public or the federal government for employment with the AECB. It includes correspondence, applications for employment, curricula vitae, interview data, and other personal information. This bank is used to identify applicants, their skills and abilities, work histories, and education, for possible future appointments to the Board staff. Records are retained in the bank for one year. **Bank Number:** AEB PPU 035

Examinations and Results

Description: This bank maintains a record of the examinations given to personnel at nuclear reactor facilities. Data include names of individuals, titles of examinations written and results in terms of success or failure. The AECB sets these examinations to ensure that the requirements for operating personnel are met. Records are retained in the bank for an indefinite period.

Bank Number: AEB PPU 015

Health and Safety Regulations: Inspection Officers

Description: This bank may contain data on nationality, age, sex, education and special training, and employment history, as well as correspondence with provinces leading to appointments. There may be sensitive material relating to federal-provincial negotiations for service. The purpose of the bank is to record information relating to the appointment of employees of provincial government departments or health institutes, to act as inspectors under the Atomic Energy Control Regulations. The bank

provides an up-to-date identification of individuals appointed as inspectors. Records are held during tenure of appointment, and for two years subsequently, after which they are sent to the National Archives of Canada.

Bank Number: AEB PPU 010

Health and Safety Regulations: Medical Advisers

Description: The bank contains names and details concerning present employment and area of jurisdiction, and communications between the AECB and parent agencies or the individuals prior to appointment. Individuals this bank relates to are all qualified medical officers, who may be from provincial government departments, hospitals, institutions, or the federal service. The purpose of the bank is to record information on the appointment of medical advisers to the AECB. The bank provides an up-to-date status of individual appointments by name. Records are retained during tenure of appointment, and for two years subsequently; they are then sent to the National Archives of Canada.

Bank Number: AEB PPU 005

Personal Service Contract Files

Description: The bank includes basic personal data, subject matter and terms of contract. The purpose of the bank is to maintain an accurate account of all payments made under personal service contracts. The primary use of the bank is to record negotiations between the AECB and the individuals concerned. Records will be retained in the bank for an indefinite period. **Bank Number:** AEB PPU 040

Reactor Operators and Training

Description: Data in this bank include a record of correspondence, details of education and experience, and basic personal information. The purpose of the bank is to provide an accurate and up-to-date record of all information on authorizations and appointments of individuals to designated positions at nuclear generating stations and research reactor sites. Records are maintained in the bank for an indefinite period.

Bank Number: AEB PPU 020

Reactor Personnel

Description: Data include summaries of the individual's examination results, copies of all authorizations issued for the appointment of the individual to designated positions, and correspondence specifically relating to the individual. The purpose of the bank is to maintain a record of each individual's examination and authorization for designated appointments at nuclear reactor facilities. Records are retained for an indefinite period. **Bank Number:** AEB PPU 025

Temporary Assistance Records

Description: Names, addresses, social insurance numbers, invoices or time sheets pertaining to the individual's period of employment with the AECB. The purpose of the bank is to maintain an inventory of all temporary assistance agencies and individuals as their

services are required by the Board. The primary use of the bank is to keep an accurate record of the agencies and terms of employment as required, on the basis of verification of accounts. Records are retained in the bank for three years. **Bank Number:** AEB PPU 030

Manuals

- AECB File Index
- AECB Information Classification and Designation Guide.
- AECB Official Languages Policies — Compendium
- AECB Policy Statements Manual
- Audit Manual
- EDP Operations Manual (version anglaise seulement)
- Financial Management Manual
- Multi-Year Operational Plan
- New Employee Information Booklet
- Nuclear Liability Operations Manual
- Operational Plan Framework
- Personnel Manual
- Style Manual

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for technical information and enquiries concerning the AECB's role, programs and services may be directed to:

Office of Public Information
Atomic Energy Control Board
P.O. Box 1046, Station B
Ottawa, Ontario
K1P 5S9
(613) 995-5894

Reading Room

In accordance with the Access to Information Act, the AECB's library and public documents room have been designated as reading rooms. They are located at:

270 Albert Street
Ottawa, Ontario.

Bank of Canada

Chapter 5

General Information

Background

The Bank of Canada is the country's central bank. It was incorporated in 1934 under the Bank of Canada Act. Under the preamble of the Act, unchanged since its inception, the Bank of Canada is required "to regulate credit and currency in the best interests of the economic life of the nation, to control and protect the external value of the national monetary unit and to mitigate by its influence fluctuations in the general level of production, trade, prices and employment, so far as may be possible within the scope of monetary action, and generally to promote the economic and financial welfare of the Dominion."

Overall responsibility for the management of the Bank is assigned to the Board of Directors, composed of the Governor, the Senior Deputy Governor and 12 directors. The Governor and Senior Deputy Governor are appointed for seven-year terms, the directors for three years. The Deputy Minister of Finance sits on the board as a non-voting member. The Governor is the Chief Executive Officer of the Bank and is responsible for the business of the Bank. As a practical matter, collective responsibility for the conduct of Bank affairs rests with the Governing Council, composed of the Governor, the Senior Deputy Governor and the four other Deputy Governors.

Responsibilities

The Bank of Canada's primary responsibilities can be grouped into four broad functions: developing and implementing monetary policy, providing central banking services, issuing bank notes, and managing the public debt.

Monetary policy is the Bank's primary function and most important responsibility. Monetary policy is concerned with managing the rate of monetary expansion in a way that is consistent with preserving the value of money. In terms of central banking services, the Bank plays an active role in Canada's payments clearing and settlement systems, operates deposit accounts for major financial institutions and for the federal government, and manages the government's foreign exchange reserves. The Bank also has sole responsibility for issuing Canada's bank notes, undoubtedly its best-known product. This responsibility involves note design (including features that enhance security against counterfeiting), as well as printing, distributing and eventually replacing bank notes. Coinage, on the other hand, is produced by the Royal Canadian Mint. Lastly, as the government's fiscal agent, the Bank advises the government on matters relating to the public debt, issues government debt, maintains

bondholder records, and makes payments on behalf of the government for interest and debt redemption.

Legislation

- Bank Act
- Bank of Canada Act
- Currency Act
- Financial Administration Act

Organization

♦ Corporate Services Departments

The Corporate Services Departments provide advice, information and internal services that support the management of the Bank. The Corporate Services departments are: Executive and Legal Services, Communications Services, Management Services (information, human resources and financial services), Infrastructure Services (facilities, office, protective and automation services) and Audit Services. Executive and Legal Services provide corporate secretary support to the Bank's Board of Directors and the management of the Bank. Communications Services provide publication and public information services, and manage the National Currency Collection and the Currency Museum. As part of the Management Services Department, Financial Services (formerly known as Comptroller's Department), is responsible for the internal financial functions of the Bank, statutory financial reporting requirements and administration of unclaimed bank balances.

♦ Department of Banking Operations

The overall responsibility of the Department is to provide efficient and effective service to other central banks, international financial organizations, the Government of Canada, chartered banks, trust companies and all other financial organizations. Its responsibilities include the security and administration of valuables such as gold, securities and currency. The Department also provides fiscal functions for which the Bank of Canada acts as an agent for the Government of Canada. These functions include the clearing of government obligations and receiving deposits for the account of the Government of Canada. The Department has agencies or branches in Vancouver, Calgary, Regina, Winnipeg, Toronto, Ottawa, Montreal, Saint John and Halifax.

♦ Department of Monetary and Financial Analysis

This department is responsible for monitoring and analyzing the behaviour of the chartered banks, other financial institutions and capital markets in response to monetary policy and other forces at work in the financial system.

◆ Financial Markets Department

This department has both operational and analytical duties. Its most important operational functions are to help implement monetary policy through its activities in financial markets, to conduct foreign exchange operations as fiscal agent for the government and the Bank, and to issue Government of Canada securities (principally treasury bills and marketable bonds) both domestically and abroad. Its analytical function is to monitor conditions in financial markets, forecast key financial flows and study relevant financial relationships. The results are communicated to the Bank's senior officers for their use in formulating monetary policy, advising the government on matters related to debt management, and influencing the development of capital market structures appropriate to Canadian needs.

◆ International Department

The principal responsibilities of this department include producing timely analysis of current and prospective economic developments in foreign countries, providing policy advice on issues addressed by international organizations such as the International Monetary Fund and the OECD, and undertaking studies on international financial markets and economic policy issues.

◆ Public Debt Department

This department is responsible for providing administrative support and services for Government of Canada securities. Its functions include: development and distribution of debt certificates; ongoing management of the debt register information; servicing the holders of debt instruments through account statements, interest payments, product information and other client service; and managing the distribution channels for Government Debt.

◆ Research Department

This department's primary responsibility is to assess the significance of current economic developments and prospects in Canada and to keep Bank management fully informed about them. An aspect of this responsibility is to seek further understanding of the operation of the economy and of public policies which are, or could be, employed in an attempt to regulate it.

domestic financial institutions; Government of Canada accounts; Government of Canada agencies; international financial institutions; dealers. **Access:** Files are arranged by organization. **Program Record Number:** BOC CSD 345

General Accounting Records

Description: Information on various accounts and accounting as prescribed by the Bank of Canada Act.

Topics: Accounting; Bank of Canada balance sheets; Bank of Canada notes — general, orders and deliveries, destruction, pricing; statements/reports; banks in liquidation — loan analysis; investment transactions; unclaimed bank balances. **Program Record Number:** BOC CSD 340

◆ Department of Banking Operations

Bank Note Research

Description: Information on all phases of bank note design, production, anti-counterfeiting measures, distribution and special studies on the automation of handling of bank notes. **Topics:** Special studies; committees and conferences; currency usage — technological developments; coin/note substitutions; bank note design — general, series and denominations, counterfeiting/simulations, security features, research, security research; bank note production; note flows issues; notes flows — studies/projects; automation.

Program Record Number: BOC DBO 285

Bank of Canada Notes,

Government Securities and Gold

Description: Information on the finishing, inventory and distribution of Bank of Canada notes and Government of Canada securities; the processing and destruction of used and mutilated bank notes; custodial responsibilities for gold. **Topics:** Accounting procedures; Bank of Canada notes — general, statements, bank note companies, orders/deliveries, shipments, transportation, inventory, redemption and disposal, destruction certificates — unissuable notes; coins — general, statements, mutilated, requisitions; securities; treasury bills; Canadian National Railway bonds; Government of Canada bonds; Canada Savings Bonds; client gold accounts arrangements and transactions. **Program Record Number:** BOC DBO 300

Canadian Institutions and Financial Sector Automation

Description: Information on the payment systems of major Canadian institutions. **Topics:** Chartered banks and savings banks; trust and mortgage loan companies; credit unions and caisses populaires; provincial government savings institutions; Canadian government departments and agencies; retailers; communications carriers; protocols. **Access:** Files arranged by company. **Program Record Number:** BOC DBO 301

Client Accounts

Description: Information on the maintenance of client accounts and related transactions. **Topics:** Accounts

Information Holdings

Program Records

◆ Corporate Services Departments

Confirmation of Balances and Statements of Gold and Securities Held in Safekeeping by the Bank of Canada

Description: Information on the custodial responsibilities of the Bank towards clients for the safekeeping of their gold or securities. **Topics:** Central banks; chartered banks;

related to the Government of Canada; Government of Canada agencies; chartered banks and non-banks; central banks and international financial institutions.

Program Record Number: BOC DBO 275

Domestic Payment System Developments and Implications

Description: Information on planning in the Canadian payments system as it evolves towards more electronic methods. **Topics:** Canadian clearing system; task forces and committees; Canadian Computer Communications Task Force; Working Group 3 study; payments system policy; Canadian Payments Association. **Program Record Number:** BOC DBO 302

Government Banking and Agency Operations

Description: Information on field operations of banking services to other central banks and fiscal agent services provided to the Government of Canada. **Topics:** See Government Banking and Fiscal Services, Client Accounts and Loans of or Guaranteed by the Government of Canada. **Program Record Number:** BOC DBO 290

Government Banking and Fiscal Services

Description: Information on general banking services to other central banks and to fiscal agent services provided for the Government of Canada. **Topics:** Bank Act security; Canadian Bankers' Association; clearing; government deposits; Interbank International Payments System; safekeeping of valuables; one dollar coin; Bank of Canada accounts at central banks; Bank of Canada gold accounts at central banks; external signing authorizations; Bank of Canada notes — general, operational procedures, enquiries/comments, pricing and ordering, design and release, circulation, counterfeit, redemption and disposal, bank note companies; coins — general, enquiries, specimen and commemorative coins; deposits and payments processed through client accounts; Canadian Payments Association — general, automated clearing settlement system (ACSS); agency operational procedures; cash overages and shortages; client services; Client Information Accounting Project (CIAP); automation — bank note handling systems; taxation — policies and procedures; transportation administration; transportation contracting; transportation security matters; closing of agencies' cash operations. **Program Record Number:** BOC DBO 270

Government of Canada Clearings and Redemptions

Description: Information on the processing of government items and the related payments or deposits to be made to or on behalf of the government. **Topics:** Balance and recapitalizations; government deposits; settlement enquiries; deposit letters; instructions to agencies; agents' daily summaries; government items for redemption (by agency). **Program Record Number:** BOC DBO 295

International Payments System Developments and Implications

Description: Information on international payments system automation research and planning. **Topics:** Bank for International Settlements (BIS); central banks; International Standardization Organization (ISO) — automation standards; Society for World-Wide Inter-bank Financial Telecommunications (SWIFT). **Program Record Number:** BOC DBO 303

Loans of or Guaranteed by the Government of Canada

Description: Information on loans issued by or guaranteed by the Government of Canada for which the Bank of Canada acts as manager of the public debt; also rules, regulations and transactions of each issue. **Topics:** Transfers and exchanges; transfer and guarantee of signatures on securities — resolutions; treasury bills; Canadian National Railways bond issues; Government of Canada bonds; instructions to agents on the delivery of Government of Canada loans, bond auctions and Canada Savings Bonds; interest payments and commission due on foreign borrowings; Revolving Standby Credit Facilities. **Program Record Number:** BOC DBO 280

Role of Banks in Providing Computer Services

Description: Research performed by the Canadian Computer Communications Task Force and the Interdepartmental Steering Committee on the electronic payments system. **Topics:** Task forces and committees; computer services offered by financial institutions; computer services bureaus. **Program Record Number:** BOC DBO 304

◆ Department of Monetary and Financial Analysis

Capital Markets

Description: Information and studies into the functioning of capital markets. **Topics:** Household credit; bond markets; equity markets; money markets; securitization. **Program Record Number:** BOC MFA 155

Chartered Bank Analysis

Description: Information on balance-sheet and income statements of chartered banks and descriptive and econometric analysis of the banking system. **Topics:** Canadian dollar assets; Canadian dollar liabilities; foreign currency business and operations abroad; earnings, expenses and balance of revenue; chartered bank operations through affiliates. **Storage Medium:** EDP systems. **Program Record Number:** BOC MFA 105

Consumer Credit Markets

Description: Information collected by the Bank of Canada or Statistics Canada on the consumer debt held by financial institutions; also analysis of consumer credit markets. **Topics:** Consumer credit and Household credit. **Program Record Number:** BOC MFA 125

Data Administration

Description: Documentation of data bases and requirements. **Topics:** Data base documentation; data requirements; data definitions; data adjustments; data sources; data operations manuals. **Program Record Number:** BOC MFA 165

Econometric Analysis and Background Studies

Description: Econometric models of financial markets, econometric analysis of the demand for financial assets, econometric investigation of financial-real linkages and general information on recent developments in econometric techniques. **Topics:** Econometric analysis of the monetary system; econometric models of markets; econometric models on financial-real linkages; technical support and special projects. **Storage Medium:** EDP systems. **Program Record Number:** BOC MFA 140

Financial Flows and Forecasting

Description: Information on the flow of credit between broad sectors of the economy; summary tables published semi-annually in the Bank of Canada Review. **Topics:** Financial flows matrix; credit market summary tables; sectoral analysis; financial markets projects. **Access:** Files arranged by subject, sector and financial instrument. **Program Record Number:** BOC MFA 135

Interest Rates

Description: Statistical information on market and administered rates in Canada and abroad; also analytical material related to interest rates, such as analysis of the term structure of real interest rates, and of the relationship between interest rates and inflation. **Topics:** Canadian financial institutions' interest rates; Canadian securities market interest rates; American interest rates; European interest rates. **Program Record Number:** BOC MFA 130

Monetary Policy

Description: Technical and econometric analysis of the role of monetary and credit aggregates as targets or indicators of monetary policy, as well as some analysis of the importance of international linkages in the conduct of monetary policy. **Topics:** Bank of Canada balance sheet data; instruments of monetary policy; targets and indicators of monetary policy; international aspects of monetary policy. **Program Record Number:** BOC MFA 100

Mortgage Market

Description: Information on mortgage loan approvals and mortgages outstanding held by financial institutions collected for the most part from published sources; also analysis of the mortgage market. **Topics:** Private institutional lenders; government lenders; new housing; existing housing. **Program Record Number:** BOC MFA 120

Non-bank Financial Intermediaries

Description: Balance-sheet data and analysis of the non-bank financial institutions. **Topics:** Trust and

mortgage loan companies; credit unions and caisses populaires; sales finance and consumer loan companies; affiliates of foreign banks; life insurance companies; Quebec Savings Bank; provincial savings offices. **Program Record Number:** BOC MFA 110

Regulatory Issues

Description: Reports and studies on regulatory issues. **Topics:** Deposit Insurance; ownership of financial institutions; statutory revisions; structure of financial institutions; Payments systems. **Program Record Number:** BOC MFA 160

Reviews and Comments on External Documents

Description: Reviews and/or comments on external documents received by the Bank of Canada. **Topics:** Documents from Department of Finance, Statistics Canada, The Conference Board of Canada, various other Canadian government departments, provincial governments, private sector organizations, American organizations (e.g. DRI, Conference Board), international organizations (e.g. IMF, OECD); academic publications or discussion papers. **Program Record Number:** BOC MFA 170

Surveys, Reports and Returns

Description: Information on the collection of financial data required for the monitoring and analysis of financial developments. **Topics:** Reports and returns — trust companies, mortgage loan companies, non-financial companies, sales finance and consumer loan companies, affiliates of foreign banks; credit unions. **Access:** Files arranged by company or organization. **Program Record Number:** BOC MFA 150

United States and other

Non-Canadian Financial Developments

Description: Information on American monetary policy and recent and projected financial developments in the U.S. and rest of world. **Topics:** United States — monetary policy and regulations, banking system; securities markets; thrift institutions and mortgage market; financial commentaries; financial data. **Program Record Number:** BOC MFA 145

♦ Financial Markets Department

Book-Entry Securities Systems Development

Description: Information on the studies, planning, development, coordination and implementation of book-entry securities systems. **Topics:** New issue distribution service (NIDS); Canada bond bring-on project (CBBO); Money Market System (MMS); risk containment and regulation; debt clearing service; other special projects. **Program Record Number:** BOC FMD 071

Capital Markets

Description: Reports of economic and financial developments in the Atlantic region of Canada; also activities of various organizations that relate to capital market developments of interest to the Bank of Canada. **Topics:** Capital market coverage; government regulatory

bodies; Canadian Depository for Securities; United States Securities Regulations; financial structure and regulation.

Program Record Number: BOC FMD 005

Chartered Bank Cash Management

Description: Information relating to the cash reserve management operations of the Bank of Canada and the chartered banks; the assets and liabilities of the Bank of Canada; and the characteristics of the institutional relationship within the clearing and settlement system.

Topics: Bank of Canada balance sheets; advances; underbought/overbought position; cash reserves; reserve requirements; clearings and payments system; Government of Canada deposits; note circulation; statements; compensation to financial institutions; chartered banks; direct clearing members of the Canadian Payments Association; Zero Reserve Operations; cumulative averaging. **Access:** Files arranged by subject and institution. **Program Record Number:** BOC FMD 035

Domestic Financing — General

Description: The release of general information outlining the terms and conditions of Government of Canada new loan issues. **Topics:** New loan and bond auction press releases; prospectuses; confirmation of offering; procedures; allotments; participation; statements.

Program Record Number: BOC FMD 050

Domestic Financing — Matured Government of Canada Loans and Canada Savings Bonds

Description: Historical statistical information on the administrative details of each Government of Canada loan operation; used as research material for current borrowing operations. **Topics:** Loans. **Access:** By name or maturity.

Storage Medium: Microfilm. **Program Record Number:** BOC FMD 055

Exchange Fund Account

Description: Information on the Minister of Finance's Exchange Fund Account, the principal repository of Canada's foreign exchange reserves. **Topics:** Legislation, transactions and accounting procedures; portfolio management; Exchange Fund Account reports. **Program Record Number:** BOC FMD 075

Foreign Exchange Market Analysis

Description: Information on developments in the Canadian and overseas exchange markets, trading in Canadian dollars against foreign currencies, the value of the major foreign currencies and exchange arrangements maintained by other major countries. **Topics:** Summary reports on exchange rates; statistical reviews and exchange rate development in overseas countries; exchange market settlement and accounting features; foreign exchange market surveys; forward market, swaps, currency futures and other financial innovations; statistical reports; external financing and takeovers; globalization of the foreign exchange market. **Access:** Arranged by institution and by subject. **Program Record Number:** BOC FMD 080

Foreign Exchange Transactions

Description: Information on foreign exchange bookkeeping and trading procedures of the Bank of Canada and transactions with other central banks. **Topics:** Transactions and procedures; portfolio management; reports; signing authorities; Bank of Canada foreign accounts. **Program Record Number:** BOC FMD 085

Foreign Financing — Government of Canada

Description: Legal documentation for Government of Canada foreign loans and standby credit facilities. Statistical information used to monitor and analyze developments in international capital markets. Statistical and administrative documentation of the procedures undertaken by the Government of Canada to borrow abroad. **Topics:** Foreign financing general; foreign banks; Exchange Fund Account; Government of Canada foreign loans issued; standby credit facilities; Canada bills; interest rate and currency swaps; Yankee Bond buyback program; foreign underwriters. **Access:** Files arranged by subject, by loan and issue date and by company. **Program Record Number:** BOC FMD 060

Government of Canada Cash Projections and Debt Management Planning

Description: Information on the management and forecasting of the Government of Canada's cash balances. Analytical studies to support debt management planning and initiatives are prepared. **Topics:** Federal budget and main estimates; government cash reports and forecasts; debt management issues. **Access:** Files arranged by subject. **Program Record Number:** BOC FMD 065

Government Sector Analysis and Forecasting

Description: Information on developments in the government sector. Projections of federal and provincial government revenues and expenditures are developed. **Topics:** Monitoring and forecasting using the Quarterly Projection Model; QPM-related and other special reports; program and policy analysis; provincial budget and borrowing analysis. **Access:** Files arranged by subject. **Program Record Number:** BOC FMD 066

Intervention

Description: Documents and papers prepared in Canada and in other major countries relating to the Working Group on Exchange Market Intervention. The group was established at the Versailles Summit of the Heads of State and Government, June 1982. **Topics:** Intervention studies. **Access:** Files arranged by subject and by country. **Program Record Number:** BOC FMD 090

Money Market Analysis and Primary Distribution

Description: Information on the general financial market activity of various institutions and organizations with particular emphasis on the money market arrangements (with Bank of Canada) and money market activity of banks and investment dealers. **Topics:** Industries and associations; Financial Research Foundation of Canada;

financial institutions; stock exchanges; insurance companies; mortgage companies; finance companies; non-financial institutions; primary distributors; jobber money market report; municipal financing; banker's acceptances; mergers of companies and organizations; brokers. **Access:** Files arranged by subject and company. **Program Record Number:** BOC FMD 010

Official International Reserves

Description: Information on Canada's foreign exchange reserves, including holdings of the Minister of Finance, the Receiver General and the Bank of Canada, as well as borrowings by the Canadian government in foreign currencies. **Topics:** Definitions and historical data; reports and statements on reserve status; Government of Canada foreign currency borrowings and loans; reserves — investments. **Program Record Number:** BOC FMD 095

Open Market Operations and Securities Market Analysis

Description: Statistical and analytical information relating to financial markets. **Topics:** Interest rates; treasury bills; comments on markets; purchase and resale agreements; stock averages and prices; short-term paper; client transactions; issues placements; bond prices, yields and quotes; securities transactions; holdings of securities; U.S. securities; Bank of Canada portfolio; provincial, corporate and municipal markets; interest rate futures. **Storage Medium:** Microfilm. **Program Record Number:** BOC FMD 030

Primary Distributors — Dealers

Description: Reports on the volumes of transactions in the Canadian securities markets — used to assess the level of activity in Canadian securities markets. **Topics:** Dealers by name. **Access:** Files arranged geographically and by company. **Program Record Number:** BOC FMD 020

Primary Distributors — Jobbers

Description: Information on the financial arrangements between the Bank of Canada and major Canadian investment dealers relating to money market activities. **Topics:** Jobbers. **Access:** By name. **Program Record Number:** BOC FMD 015

Primary Distributors — Statistics

Description: Detailed statistical information on the levels of participation by each Canadian investment dealer in each Government of Canada loan. **Topics:** Jobbers and dealers by name. **Access:** Files arranged by institution, company and region. **Program Record Number:** BOC FMD 025

Special Studies and Analysis

Description: Studies and analysis of the various techniques used to implement monetary policy, debt management and securities market strategy. **Topics:** Debt management analysis; cash reserve management studies; interest rate analysis; macroeconomics policy papers; capital market analysis; money market analysis; marketing

government debt; Canada Savings Bond analysis and surveys. **Program Record Number:** BOC FMD 070

◆ International Department

Bank for International Settlements (BIS)

Description: Information on meetings at the Bank for International Settlements (BIS), the work of various BIS committees and the provision of Eurocurrency statistics. **Topics:** Meetings — general; Group of Ten — Governors Meetings; Gold and Foreign Exchange Meetings; Concertation Meetings; Meetings of Computer and Databank Experts; Committee on Banking Regulation and Supervision; multilateral surveillance statistics meetings; Standing Committee on Eurocurrency Market; Eurocurrency statistics; bridge financing — credit facility. **Access:** Files arranged by subject, committee and country. **Program Record Number:** BOC INT 235

Contacts

Description: Information on various contacts of the Bank of Canada with institutions and organizations in the foreign exchange area in Canada and abroad, principally as an aid to monitoring and analyzing developments in exchange markets. **Topics:** Legislation and bank reporting; Canadian Bankers' Association; general brokerage services; Canadian chartered banks; central banks and monetary authorities; foreign commercial banks; governmental departments, agencies and Crown corporations; non-bank financial institutions. **Program Record Number:** BOC INT 200

Developments in Foreign Countries

Description: Information on current economic and financial developments in overseas countries, including relations with the International Monetary Fund (IMF), economic projections for major industrial countries, international comparisons, press reports on developments in overseas countries, international capital markets and international organizations. **Topics:** Projections by international organizations and the Bank of Canada; developments in various countries; Bank for International Settlements press summaries; Commonwealth of Independent States (CIS). **Access:** Files arranged by subject and country. **Program Record Number:** BOC INT 220

Developments in the United States

Description: Information on current economic and financial developments in the United States, and the economic outlook, including analysis of economic projections and studies produced by international organizations (IMF and OECD). **Topics:** Developments in the United States; projections by international organizations and the Bank of Canada. **Access:** Files arranged by subject. **Program Record Number:** BOC INT 221

Federal Organizations and Interdepartmental Committees

Description: Information on federal government organizations or interdepartmental committees that deal

with international economic questions in which the Bank has some involvement. **Topics:** Export Development Corporation (EDC); Canadian International Development Agency (CIDA); interdepartmental meetings; interdepartmental committees on economic relations with developing countries and assistant deputy ministers' sub-committee on economic relations; Department of Finance; Department of External Affairs; information technology sub-committee of the Privy Council Office (PCO). **Program Record Number:** BOC INT 215

Gold, Silver and Other Commodities

Description: Information on gold, silver and commodity market practices — statistics, legislation and official gold transactions. **Topics:** Gold legislation; gold operations; gold markets; export-import reports; silver legislation and oil. **Program Record Number:** BOC INT 205

International Developments

Description: Information on developments in international capital markets, in particular international banking, Eurocurrency and bond markets, external debt of overseas countries, deployment of oil exporter's surpluses and recycling and major commodities, including commodity agreements. **Topics:** International finance; international capital markets — recent developments and borrowing and recycling; commodities; inter-central bank arrangements. **Program Record Number:** BOC INT 210

International Monetary Fund (IMF)

Description: Information on the work and activities of the International Monetary Fund (IMF), issues discussed at IMF Executive Board meetings and at the Interim Committee. **Topics:** IMF General Account — drawings and repurchases, special drawing rights, general arrangements to borrow; Canadian consultations; International Monetary System and Reform Exercise; other lending facilities; annual meetings; amendments to articles of agreement. **Program Record Number:** BOC INT 230

International Organizations and Institutions

Description: Information on the activities of various international or regional economic organizations, in particular those aspects that relate to world economic or financial issues of interest to the Bank. **Topics:** International Bank for Reconstruction and Development (IBRD); European Economic Community (EEC); Commonwealth/Committees Meetings; Technicians of Central Banks of the American Continent (CEMLA); Summit Meetings; Group of Ten; Organization for Economic Co-operation and Development (OECD) International Co-operation and Policy Coordination; European Bank for Reconstruction and Development (EBRD); Asian Development Bank; other international organizations and institutions; structural reform and adjustment in eastern Europe; international assistance in response of gulf crisis. **Program Record Number:** BOC INT 225

♦ Public Debt Department

Accounting for the Public Debt

Description: Information on the recording, for reporting and controlling purposes, of activities for the period during which the securities are in existence. **Topics:** Accounting records and controls; financial reports. **Program Record Number:** BOC PDD 330

Administrative Arrangements with Financial Institutions

Description: Instructions and other data made available to financial institutions through which the Bank of Canada deals with bond owners in the normal course of business. **Topics:** Issue of Government of Canada loans and securities; maintenance of debt registers; retirement of debt; agents and distributors; Canadian Payments Association. **Program Record Number:** BOC PDD 335

Canada Savings Bonds

Description: Information on the planning, coordination and administration of the sale of Canada Savings Bonds; also reports/statistics on the monitoring and analysis of the results of the sale of these bonds. **Topics:** Canada Savings Bonds — general; automation; inquiries; materials — general, distribution, materials distribution centre and lists, inventory, production, transportation; Payroll Savings Plan — campaign, loans, organization, personnel, personnel remuneration, regions/territories, remittance schedules, sales; public service campaign; remittances; sales agents — general, arrangements, coordination, associations, fees and commissions, subagents; sales and redemption. **Access:** Files arranged by subject. **Program Record Number:** BOC PDD 340

Canada Savings Bonds —

Sales and Processing Agents

Description: The administrative arrangements between the Bank of Canada and eligible Canada Savings Bond (CSB) sales agents and processing agents. **Topics:** Sales agents and processing agents — companies, trust companies, trust companies — non-listed, schedule "B" banks; CSB sales agents other than trusts; processing agents other than trusts and banks. **Access:** Files arranged by company. **Program Record Number:** BOC PDD 345

Issue of Government of Canada Loans and Securities

Description: Information on the domestic and foreign borrowings by the Government of Canada for which the Bank of Canada acts as fiscal agent or participates as an advisory body. **Topics:** Treasury bills; Canadian National Railway bonds; Canada Savings Bonds; foreign loans; Government of Canada marketable bonds; government of Canada loans issued; real return bond issues. **Program Record Number:** BOC PDD 305

Maintenance of Debt Registers

Description: Information on procedures and individual security registers and their periodic updating. **Topics:** Transfer and exchanges; change of address; lost, stolen or destroyed coupons; lost, stolen or destroyed bonds;

bond registrations; bond processing; guarantee of signatures. **Storage Medium:** Microfilm. **Program Record Number:** BOC PDD 315

Payment of Interest

Description: Information on the various means and processes by which the contractual obligation to pay interest is discharged. **Topics:** Direct deposit; cheques; coupons; withholding tax; interest notices; interest cheque production; cash bonuses; adjustments; taxation. **Program Record Number:** BOC PDD 320

Production of Bonds

Description: Information on all phases necessary to obtain adequate supplies of securities and their distribution to the appropriate locations. **Topics:** Production reports; bond design; foreign issues; printing errors; bonds ordered and distributed; contracts with suppliers. **Program Record Number:** BOC PDD 310

Retirement of Debt

Description: Information on the process through which owners of Government of Canada securities are reimbursed at maturity or in accordance with the terms under which the securities were issued. **Topics:** Canada Savings Bonds; Government of Canada marketable bonds; treasury bills; war saving certificates; Canadian National Railways bonds; International Bank for Reconstruction and Development (World Bank) bonds; redemptions of foreign loans. **Program Record Number:** BOC PDD 325

◆ Research Department

Current Analysis and Economic Developments

Description: Interpretation of current economic data for Canada and analysis of the economic implications of current economic developments. **Topics:** Current analysis; National Accounts; Balance of Payments; economic cycles/indicators; conferences; consumption/saving; housing; investment/inventories/cost of capital; supply and demand for labour; wages; prices (inflation); direct taxes; indirect taxes and subsidies; foreign sector; financial sector; productivity; production functions; capacity utilization; economic potential; income distribution; regions of Canada; industries; rest of the world; Canadian farm sector; world energy markets; resource sector (other than farm and energy); demographics; world commodity markets; Canadian energy sector; exchange rates; interest rates. **Access:** Files are arranged by subject. **Program Record Number:** BOC RES 081

General Economic Analysis — Theory and Models

Description: Information on the structure and use of Bank of Canada econometric models, and other analysis of the structure and behaviour of economies. **Topics:** Theory; input/output; economic cycles/indicators; history; conferences; consumption/saving; investment/inventories/cost of capital; supply and demand for labour; wages; prices (inflation); direct taxes; indirect taxes and subsidies; foreign sector; financial sector; productivity; production

functions; capacity utilization; economic potential; income distribution; regions of Canada; industries; rest of the world; Canadian farm sector; world energy markets; resource sector (other than farm and energy); models in general; RDX2; RDXF; SAM; QPM; demographics; world commodity markets; Canadian energy sector; exchange rates; interest rates. **Access:** Files are arranged by subject. **Program Record Number:** BOC RES 086

Projections, Policy Simulations and Policy Analysis

Description: Economic projections for the Canadian economy, information on the use of econometric models for analysis of alternative macroeconomic policies, and other policy analysis. **Topics:** Staff projections; price and incomes policy; market structure policy; government expenditures and transfers; government finance; monetary policy; fiscal policy; exchange rates; interest rates. **Access:** Files are arranged by subject. **Program Record Number:** BOC RES 076

Quantitative Methods, Computer and Data (Sources and Methods)

Description: Descriptions of research methods, data construction and computer systems. **Topics:** Econometrics; programming and computer systems; time series analysis; input/output; National Accounts; Balance of Payments; demographics; general methodology. **Access:** Files are arranged by subject. **Program Record Number:** BOC RES 096

Reviews and Comments on External Documents

Description: Reviews and/or comments on external documents received by the Bank of Canada. **Topics:** Documents from Department of Finance, Economic Council of Canada, Statistics Canada, The Conference Board of Canada, various other Canadian government departments, provincial governments, private sector organizations, American organizations (e.g. DRI, Conference Board), international organizations (e.g. IMF, OECD); academic publications or discussion papers. **Access:** Files are arranged by subject. **Program Record Number:** BOC RES 091

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Audits

Budgets

Buildings

Buildings and Properties

Classification of Positions

Co-operation and Liaison

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Lands

Occupational Health, Safety and Welfare

Office Appliances

Official Languages

Pensions and Insurance

Personnel

Procurement

Salaries and Wages

Staff Relations

Training and Development

Utilities

Vehicles

Personal Information Banks

Access to Information and

Privacy Requests Data Bank

Description: This bank contains the access to information and personal information request forms or letters sent by individuals requesting access to files, the replies to such requests and information related to their processing, including copies of information that may have been disclosed and any record of subsequent complaints.

Class of Individuals: Canadian citizens, permanent residents of Canada, and individuals present in Canada.

Purpose: The information is used for processing requests; to assist in the preparation of annual reports, and to provide a record of all such requests received by the Bank. **Retention and Disposal Standards:** These files are held for two years after the last administrative use, then destroyed. **TBS Registration:** 001596 **Bank Number:** BOC PPU 030

Applications for Employment

Description: This bank contains all applications received from the general public requesting employment with the Bank of Canada. It includes completed application forms and self-identification information on employment equity group status, letters, curriculum vitae, complaints and other personal information. Individuals are identified by name. Applicant profiles are also maintained on a microcomputer. **Class of Individuals:** Individuals seeking employment. **Purpose:** The files are used in the selection of staff. **Retention and Disposal Standards:** Solicited and unsolicited applications which have been considered in a staffing process are to be kept for a period of two

years after the last administrative use. Complaint files are kept for five years following date of resolution, then destroyed. Unsolicited applications which have not been considered in a staffing process are kept for a period of one year, then destroyed. Information on the microcomputer is kept for a period of one year. **TBS Registration:** 001597 **Bank Number:** BOC PPU 035

Bond holder Enquiries and Estate Files

Description: This bank contains names, addresses, social insurance numbers and copies of legal documents that support the transfer of ownership of Government of Canada bonds and other correspondence between bond owners, or their authorized representatives, and the Bank of Canada. Included in the correspondence are requests for information on bonds presently or previously held; communications concerning errors in registration, lost bonds, coupons and interest cheques; requests for information concerning the terms and conditions of specific issues, and interpretations of the Domestic Bonds of Canada Regulations. **Class of Individuals:** General public. **Purpose:** The purpose of this bank is to store documents that support the transfer of ownership of Government of Canada bonds and other correspondence between bond owners, or their authorized representatives, and the Bank of Canada. The use of the social insurance number is a requirement under the Income Tax Act.

Consistent Uses: The information is used to respond to enquiries from bond owners, or their authorized representatives. **Retention and Disposal Standards:** Retention periods range from two years to indefinite. The lost bond records are held indefinitely if original bonds or coupons have not been located. Where the original bond or coupon has been located after a replacement has been issued, these records are destroyed two years after reimbursement to the Bank. However, if the original bond or coupon is located prior to replacement, records are immediately destroyed. The records relating to estate matters are held ten years on microfiche, and are then destroyed. (Authority — Destruction of Securities Regulations) **Note:** It is normally not necessary to make a formal request under the Privacy Act for your own personal information appearing in this register. Personal inquiries should be directed to Client Services, Public Debt Department. **Related to PR#:** BOC PDD 335 **TBS Registration:** 000072 **Bank Number:** BOC PPU 015

Canada Savings Bonds Surveys

Description: This bank contains information collected from the general public on their attitudes towards financial product attributes and to different combinations of these attributes. It also contains information on the public's ownership of various financial products (financial assets), the likelihood of purchase of new investment products and the amount of their purchase. **Class of Individuals:** General public. **Purpose:** The information is used for the purpose of determining the attitudes and reactions of the general public towards holding various investment instruments, including government debt instruments in particular. **Retention and Disposal Standards:** Records

are kept for a period of two years, then destroyed. **Related to PR#:** BOC SEC 040 **TBS Registration:** 001941 **Bank Number:** BOC PPU 040

Government of Canada Bond Registers

Description: This bank contains names, addresses, social insurance numbers or account numbers and a listing of bonds purchased and redeemed by individuals. **Class of Individuals:** General public. **Purpose:** The purpose of this bank is to maintain a record from which the liability of the Government of Canada in the form of direct or guaranteed securities can be established. Other purposes are to establish the status of individual bonds and to respond to enquiries from bond owners or their authorized representatives. The use of the social insurance number is a requirement under the Income Tax Act. **Consistent Uses:** This bank is used in the general administration of the government's debt and, in particular, to maintain a record of ownership of fully registered bonds as required by section 4 of the Domestic Bonds of Canada Regulations issued under the authority of the Financial Administration Act. The registers of fully registered bonds form the basis on which interest payments, either by cheque or by direct deposit, are made. The registers are also used to consult bondholders periodically to determine client needs and the level of satisfaction with services provided. **Retention and Disposal Standards:** Microfilm copies of these records are held indefinitely. (Authority — Destruction of Securities Regulations). **Note:** It is normally not necessary to make a formal request under the Privacy Act for your own personal information appearing in this register. Personal inquiries should be directed to Client Services, Public Debt Department. **Related to PR#:** BOC PDD 315 **TBS Registration:** 000070 **Bank Number:** BOC PPU 005

Personal Information Disclosed to Federal Investigative Bodies

Description: This bank has been established in accordance with subsection 8(4) of the Privacy Act in order to retain copies of requests received from authorized federal investigative bodies for personal information pursuant to paragraph 8(2)(e) of the Privacy Act, for the purpose of enforcing a law of Canada or a province or carrying out a lawful investigation. **Class of Individuals:** Individuals about whom requests for personal information have been received pursuant to paragraph 8(2)(e) of the Privacy Act from federal investigative bodies. **Purpose:** The purpose of this bank is to permit the Privacy Commissioner to review disclosures, investigate complaints and report on any abuse of this disclosure provision. The use of the social insurance number is a requirement under the Income Tax Act. **Consistent Uses:** This bank is used to service inquiries from federal investigative bodies. **Retention and Disposal Standards:** Records are retained for at least two years following the date on which a request is received and are then destroyed. **TBS Registration:** 001595 **Bank Number:** BOC PPU 025

Registers of Interest Paid and Accrued

Description: This bank contains names, addresses, social insurance numbers or account numbers of individuals to whom interest is paid, together with the listing of all market issues and Canada Savings Bonds held. The market issues interest payment data are filed by interest payment date and bond series. The data on Canada Savings Bonds interest payments is retained on microfiche and on a computer database. Details related to Income Tax Information Slips issued and mailed to holders of Compound Interest Canada Savings Bonds purchased in 1990 and subsequent years are held in this bank. In addition, this bank contains Direct Deposit Application Forms for interest payments by means of a direct deposit through a financial institution. Change of address notifications are also maintained in this personal information bank. These include letters and forms involving a change of the address to which interest payments must be forwarded or a change in respect of a direct deposit. **Class of Individuals:** General public. **Purpose:** The purpose of this bank is to maintain a record of interest paid, by cheque or direct deposit, to holders of fully registered Government of Canada marketable bonds and Canada Savings Bonds. The use of the social insurance number is a requirement under the Income Tax Act. **Consistent Uses:** The information is used to investigate and respond to enquiries from bond owners relating to interest payments. **Retention and Disposal Standards:** The market issues interest payment paper database is retained for six years if the cheque has been redeemed and twelve years if it is still outstanding. The Canada Savings Bonds Interest Payment data are retained on the computer database for one year after redemption and on microfiche for twelve years prior to destruction. The data on outstanding interest payments for market issues and Canada Savings Bonds are retained indefinitely in the database. The Direct Deposit Application Forms are recorded on microfilm and the paper form destroyed. The film is retained for fifteen years. The Change of Address notifications are retained in paper form for one year, then destroyed. **Note:** It is normally not necessary to make a formal request under the Privacy Act for your own personal information appearing in this register. Personal inquiries should be directed to Client Services, Public Debt Department. **Related to PR#:** BOC PDD 320 **TBS Registration:** 000071 **Bank Number:** BOC PPU 010

Unclaimed Bank Balance Inquiries

Description: This bank contains letters requesting verification of ownership of unclaimed bank balances as well as requests to purchase the Unclaimed Bank Balance file. Requests originate with individuals, chartered banks, trust companies, barristers and solicitors and tracing companies acting on behalf of their clients. **Class of Individuals:** General public. **Purpose:** The purpose of this bank is to process requests, to compile statistics and to maintain a record of the results of the verification process. **Consistent Uses:** The information is used to respond to inquiries from owners of dormant accounts,

or their authorized representatives in order to arrange for payments to rightful owners of these accounts. **Retention and Disposal Standards:** Positive responses to requests for verification of ownership are kept for seven years, then destroyed. Vouchers and supporting documentation regarding paid accounts under \$1000 are kept for 10 years; for paid accounts \$1000 and over, they are kept indefinitely. Negative responses to requests for verification of ownership are kept for three years, then destroyed. Records relating to the purchase of the unclaimed bank balance file are kept for a period of seven years, then destroyed. **Note:** Canadian chartered banks are required to transfer all deposit accounts, maintained in Canadian currency, that have been inactive for a period of ten years or more to the Bank of Canada, in accordance with Section 438 of the Bank Act. This also includes all instruments (bank drafts, certified cheques, deposit receipts, money orders and travellers' cheques) that remain on the books of the chartered banks after a period of ten years from the date of issue. The Bank of Canada acts as a custodian for unclaimed bank balances, responds to general inquiries and claims, and makes payments, without fees, to those persons entitled to receive the proceeds. Balances with a value of less than \$100.00 that remain unclaimed are kept for a period of twenty years, from the last date of transaction, then are transferred to the Receiver General for Canada. The accounts that represent a balance of \$100.00 or more are kept indefinitely, or until claimed. It is not necessary to make a formal request for access to the register of dormant accounts; personal inquiries may be directed to Unclaimed Balances Unit, Financial Services, Management Services Department, or access to the complete file on microfiche may be arranged by contacting an agency of the Bank of Canada. Agencies are located in Vancouver, Calgary, Regina, Winnipeg, Toronto, Ottawa, Montreal, Saint John and Halifax, and are open to the public from 09:00 to 15:00, Monday through Friday. As well, these records are available for sale, on a cost recovery basis, on microfiche and certain magnetic media. **Related to PR#:** BOC COM 340 **TBS Registration:** 000073 **Bank Number:** BOC PPU 020

Classes of Personal Information

General Correspondence and Enquiries

Correspondence received from external organizations and individuals including requests for information, complaints, comments and suggestions related to a broad range of policy issues pertaining to the Bank's activities.

The personal information contained in this class normally includes the name and address of the enquirer, however, this form of personal information exists in a fragmented form throughout the subject files and is normally retrievable only if specifics of name, subject and the date of communication are provided.

The retention periods of these classes of personal information are controlled by the record schedules of the general subject files in which they are stored.

Mailing Lists

This class contains correspondence and mailing lists which may contain personal information in the form of an individual's name, client number and, his or her home, business or mailing address.

The purpose of this class is to maintain standard lists for the mailing of publications, reports, press releases and other documentation on the Bank's activities and programs.

Paper records are kept for a period of three years, then destroyed. Records on the automated system are updated continuously as required and/or information is kept for two years on the system from the time a client becomes inactive.

Manuals

- Agency Procedures Manual
- Control of Data and Valuables Manuals
- Data Examination and Preparation Manuals
- Data Processing Clerical Manuals
- External Procedures Manuals
- Interim Procedures Circulars
- Records Retrieval Manual
- Sample Letter Catalogue
- Statistics Canada — Bank of Canada Commodity Trade Concordance
- Statistics Manuals

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Please note: Requests made to the Bank of Canada under the Access to Information Act must be accompanied by an application fee of \$5.00, made payable to the Bank of Canada.

It is normally not necessary to make a formal request under the Privacy Act for information relating to an individual's own bond holdings or unclaimed bank balances. Individuals who wish to inquire about their status with respect to Canada Savings Bonds or other Government of Canada bonds, may do so informally by writing directly to the Information Division, Public Debt Department. For Unclaimed Bank Balances, personal inquiries may be directed to the Payments Division, Comptroller's Department.

Requests for further information about the Bank and its programs, functions, and publications may be directed to:

Bank of Canada

234 Wellington Street

Ottawa, Ontario

K1A 0G9

General Information: (613) 782-7201

Publications: (613) 782-8248

Reading Room

In accordance with the Access to Information Act, an area on the premises of this institution has been designated as a reading room. The address is :

Bank of Canada

234 Wellington Street

Ottawa, Ontario.

Hours of operation are from 09:00 to 17:00, Monday through Friday.

For those individuals who wish to access files at the Bank's agencies, special arrangements will be made upon request.

Business Development Bank of Canada

Chapter 6

General Information

Background

The Business Development Bank of Canada (BDC), a Crown corporation, was continued from the Federal Business Development Bank by the Business Development Bank of Canada Act which came into force on July 13, 1995. The Federal Business Development Bank was established by the Federal Business Development Bank Act (proclaimed in force on October 2, 1975) to succeed the Industrial Development Bank (IDB), which was formed in 1944.

While the IDB was primarily concerned with the provision of financial assistance, the Federal Business Development Bank was created to promote and assist in the establishment and development of business enterprises in Canada by providing financial assistance, management counselling, management training, information and advice, with particular consideration to the needs of small and medium-sized businesses. The Bank provides small business enterprises with a single source from which both financing and information suited to their unique requirements may be obtained. A network of branches across Canada provide the full range of the Bank's services to small and medium-sized businesses located in each branch territory.

Responsibilities

The Bank is responsible for acting as a complementary lender, providing funds by means of loans and equity financing to small and medium-sized businesses. It often caters to the needs of firms that may be either too small, too far from commercial centres, or too specialized to be able to attract the attention of conventional lenders. Through its Venture Capital Division, the Bank is also responsible for providing equity capital in addition to, or in place of, loans and guarantees.

Legislation

- Business Development Bank of Canada Act

Organization

The Bank has a network of approximately eighty branches across Canada organized into seventeen areas reporting to a Head Office located in Montreal.

Corporate resources located in the field provide guidance to branches with respect to the application of established Bank policy and procedures. They also process financing applications for amounts exceeding branch limits. Each of the seventeen Vice Presidents and Area Managers is responsible for all management services and financial

services results in his/her area and for ensuring that the general public has access to the Bank's products and services.

The branches provide financing and management services to small and medium businesses located within their particular territory. Enquiries and applications for financing and managerial support are received by branch personnel and processed in accordance with established policy and procedure.

Furthermore, enquiries and applications may be received through the Business Service Centre located in Head Office.

The various services and departments of the Bank include the following:

Financial Services

♦ Emerging Markets/Venture Capital Division

Responsible for all equity and venture capital financing. Its role is to act as a catalyst in stimulating the formation of risk capital for companies with high growth potential. It ensures that all proposals satisfy Bank policy and are financially viable. This Division assesses, on a continuing basis, the adequacy and suitability of investment policy and procedures and, when necessary, recommends changes. The services of this Division include direct purchase of a minority ownership interest as well as syndication of proposals to other venture capitalists and financial institutions.

♦ Loans Division

Establishes and oversees policies and procedures with respect to the provision of financial assistance to small and medium-sized businesses. In addition, the Division oversees the management of the Bank's loan portfolio for the entire life of all accounts. Most financing is provided in the form of loans for such purposes as purchasing fixed assets, replenishing working capital, refinancing, and financing a change of ownership. Guarantees may be provided to other financial institutions to enable a business to obtain an adequate line of credit.

Head Office Departments

♦ Audit and Inspection Department

Conducts reviews of the Bank's systems of internal control.

♦ Controller's

Responsible for all financial planning, accounting and control in the Bank.

◆ Corporate Development

Responsible for formulating the Bank's strategic, corporate and operating plans, analysis of existing activities and corporate development. It also provides information on economic conditions and the impact of the Bank's activities upon the economy.

◆ Corporate Office

Provides the Bank with the strategic direction required to manage its activities and achieve its mandate and objectives.

◆ Government Relations

Responsible for the coordination of communications between the Bank and the Minister, the government and members of Parliament, and has responsibility for international relations.

◆ Human Resources and Administration

Provides the Bank with corporate-wide human resources management. It is also responsible for official languages, employment equity, payroll services and training services. It also provides overall administration support services including lease administration of the Bank's premises.

◆ Insurance

Responsible for insurance related to loan security and corporate insurance.

◆ Legal Services and Corporate Secretariat

Provides legal advice to the Bank in connection with its specific program activities and support departments. This department is also responsible for the Board of Directors, its Committees, and responses to Parliament.

◆ Loan Portfolio Risk Management

Provides an ongoing assessment of the quality of authorized loans and ensures credit risk is minimized.

◆ Ombudsman's Office

Resolves all customer complaints not solved at other levels.

◆ Public Affairs

This department is responsible for advertising and public relations to promote the role and activities of the Bank.

◆ Systems and Technology

Develops and maintains business systems (manual and computerized) to support the operational functions and management information needs of the Bank.

◆ Treasury

Responsible for funding of corporate cash requirements, monitoring of cash flow, deposit and investment of corporate funds and interest rate forecasts.

Management Services Division

The Management Services Division is responsible for promoting and assisting the establishment and

development of small business in Canada by providing management counselling, management training and financial and strategic planning services.

◆ Business Counselling and Planning Services

Counsels business persons on how to engage in small business in Canada through the facilities of the Counselling Assistance to Small Enterprises (CASE) program. Strategic Planning is a service designed to help businesses methodically plan each stage of their development. The Financial Planning service involves the Bank undertaking an analysis of business proposals and completing a report to be used by financial institutions and government agencies to properly assess the entrepreneur's application for funding. The Bank also assists companies in the procedures to become ISO certified.

◆ Business Mentoring

Offers training, counselling and networking opportunities in a dynamic group environment to groups of entrepreneurs over an extended period, usually for up to one year. Programs can be delivered to entrepreneurs in a local setting called "Community Business Initiatives", or customized to meet the needs of specific groups of entrepreneurs, such as manufacturers, women, aboriginals, or exporters. Participants receive instruction and exchange ideas in a variety of management topics.

◆ Business Training Service

Presents a continuing program of small business and management seminars to the general public across Canada. In conjunction with business associations and small businesses, the Service presents management seminars oriented toward specific industries to groups of business people. It also writes, publishes and distributes a series of adult education courses on small business management (owner/manager courses) for provincial and territorial ministries of education. Finally, it organizes and supports workshops, conferences, and clinics for the promotion of good management practices with small businesses. It also writes, publishes and distributes to the public a continuing series of booklets on small business topics.

◆ Marketing

Develops all financial products, and ensure that the Bank's products evolve with the needs of its customers.

◆ Special Initiatives

The Bank also delivers programs on behalf of other government departments to meet the needs of specific entrepreneurial groups. One such program is the administration of the Cultural Industries Development Fund (CIDF) on behalf of Heritage Canada. Through this fund the Bank provides loans and management counselling to firms operating in book and magazine publishing, film and video production, and sound recording. Another such initiative is the administration of the Student Business Loan program on behalf of Human Resources Development Canada. This program

encourages full-time students to start-up their own business during the summer months. The Bank assesses applications and provides guarantees of up to \$3,000 for loans extended by the commercial lending institutions involved in the program.

Information Holdings

Program Records

Accounts History File

Description: Statistical information on all (past and present, dormant and active) loans, guarantees, investments and customer accounts since 1944. **Topics:** Account number; date authorized; geographical code; industrial code; original maturity date; actual maturity date. **Access:** Files are arranged by customer number. **Storage Medium:** Computer tape. **Program Record Number:** BDBC FLS 030

Dead Loan File

Description: Statistical information on all loans. **Topics:** Account number; name; type of financing; zone codes; modifier; interest rate; statistical codes; authorization date; maturity date; gross authorized; net authorized and write-offs. **Access:** Files arranged by customer number. **Storage Medium:** Computer tape. **Program Record Number:** BDBC FLS 035

Investments and Venture Capital

Description: Correspondence, policy, procedures, and records related to the disbursement and monitoring of funds where there is an investment or venture capital factor. **Topics:** Enquiries; submission and authorization procedures; policy and procedures on superseding and supplementary proposals; disbursements; monitoring of investments; underwriting; mergers; acquisitions and divestitures; arrears; category classification; write-offs. **Program Record Number:** BDBC FLS 045

Loan Accounting and Processing System (LAPS)

Description: Database containing accounting and statistical information on Bank loans, investments and guarantees. The initial data are entered from the loan authorization form and are continually updated throughout the administration of a customer account. **Topics:** Customer identification — name, address, branch, industry code; category code and zone code; loan information — type of loan, loan category, investment, guarantee, disbursement, payment and write-off information. **Access:** Files arranged by customer number. **Storage Medium:** Computer disk or tape. **Program Record Number:** BDBC FLS 025

Loans, Guarantees and Other Types of Financing

Description: Information on submission and authorization procedures, disbursements and category classification, as well as policy, procedures and correspondence related to

other forms of financing. **Topics:** Superseding and supplementary credits; closely related accounts; leasing; interim bridge financing; conditional sales contracts; joint loans; disbursements; category classification and write-offs. **Program Record Number:** BDBC FLS 020

Management Counselling

Description: Information on policy matters governing the administration of CASE, accounting procedures and related correspondence. Information on policy matters and activities of financial and strategic planning services and related correspondence. **Topics:** Engagement of coordinators; contract administration; coordinator conferences; recruiting of counselors in each region; engagement of outside consultants; legal liability of counselors; type of assignments; counselor training; accounting and administration records; and the history of CASE. Evaluations of planning programs; conferences; activity reports; budgets; general administration. **Program Record Number:** BDBC MTS 055

Management Services

Description: Basic administrative records of management services, including publicity for the various services, budgets, costs, and financial statements; reports and operating statistics for each activity, as well as miscellaneous information, copyright data, and correspondence with other organizations. **Topics:** Complimentary letters; CASE and management training; budgets; operational plans; strategic overviews; accounting; auditors; sales tax; systems development; activity reports — head office, regional and branch statistical reports, evaluations and related correspondence; Small Business Week; Business Management Awards. **Program Record Number:** BDBC MTS 050

Management Training

Description: Information on audio-visual aids, courses, federal-provincial conferences and relations, joint seminars with other organizations, seminars, Small Business Institute program, training of trainers, management training workshops, research and development and graphic design. **Topics:** Audio-visual aids pertaining to joint seminars; workshops; owner-manager courses and advertising; owner-manager course development and curriculum; FBDB provincial conferences; joint seminars with other associations or organizations; seminar development; budgets; contracts; "Minding Your Own Business" (MYOB) Series; publications. **Program Record Number:** BDBC MTS 065

Policy

Description: Information on Bank lending policy and, in particular, its policy with respect to the availability of funds elsewhere, commitment and standby fees, prepayment indemnities, interest rates, and refinancing of funds. **Topics:** Availability of funds; change of ownership; types of business; commitment and standby fees; interest rates; refinancing; prepayment indemnity; working capital; credit bureaus. **Program Record Number:** BDBC FLS 010

Reports

Description: Activity reports prepared by Financial Services at Head Office, the areas and branches, including reports of accounts in arrears, equity investments, write-offs, and disbursements. **Topics:** Reports — from head office, areas and branches on accounts (over \$500,000) in arrears for more than one month; progressive reports; estimate of possible losses; equity investments report (monthly and semi-annually); summary of write-offs and review report; applications declined; report on operations; regional activity schedule; report analysis of accounts by category; report on loan authorizations; liability reports; loans partially disbursed; recoveries expected on accounts written off; monthly report on loans fully undisbursed; and semi-annual investment return reports. **Program Record Number:** BDBC FLS 005

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Audits

Budgets

Buildings

Buildings and Properties

Co-operation and Liaison

Equipment and Supplies

Finance

Furniture and Furnishings

Lands

Office Appliances

Procurement

Utilities

Vehicles

Personal Information Banks

Access to Information and

Privacy Requests Data Bank

Description: This bank contains the access to information and personal information request forms or letters sent by individuals requesting access to files, the replies to such requests and information related to their processing, including copies of information that may have been disclosed and any record of subsequent complaints. **Class of Individuals:** Individuals legally entitled to access. **Purpose:** The information is used for processing

requests; to assist in the preparation of annual activity reports, and to provide a record of all such requests received by the Bank. **Consistent Uses:** Compiling statistics for required reports. **Retention and Disposal Standards:** These files are held for six years after the last administrative use, then destroyed. **TBS Registration:** 003747 **Bank Number:** BDBC PPU 030

Applications for Employment

Description: This bank contains recruitment documents or applications for employment with the Bank and any related correspondence. This bank may also contain personnel or staffing interviews, psychological tests, test results and analysts' reports. **Class of Individuals:** Potential BDC employees. **Purpose:** To meet the human resources needs of BDC. **Consistent Uses:** Information may be transferred to an employee bank if the individual is offered and accepts employment. This information may also be used for research, planning, evaluation and statistical purposes. **Retention and Disposal Standards:** Retained a minimum of two years. However, unsolicited applications are destroyed after six months. **TBS Registration:** 003750 **Bank Number:** BDBC PPU 020

Board of Directors

Description: This bank contains correspondence relating to recommended nominees, the Order-in-Council appointing the member, a brief biography, statement of qualifications, statement of acceptances, oath of fidelity and secrecy and statement of conflict of interest. **Class of Individuals:** Candidates for appointment and appointees to the Bank's Board of Directors. **Purpose:** The purpose of this bank is to assist in the appointment of individuals as directors of the Bank and to record information regarding their services as directors, including declarations on conflict of interest. **Consistent Uses:** The information in this bank is used for reporting required for Treasury Board Secretariat and the Privy Council Office. **Retention and Disposal Standards:** Records are retained permanently for historical purposes. **TBS Registration:** 003754 **Bank Number:** BDBC PPU 095

Customer Surveys

Description: This bank contains responses obtained via questionnaires relating to customer knowledge of BDC products and/or satisfaction with its dealings with BDC and may include the customer's name, address and telephone number. **Class of Individuals:** Potential, current, and former BDC customers. **Purpose:** The information is used to obtain an accurate assessment of customer satisfaction and awareness. **Consistent Uses:** Compiling statistics for required reports. **Retention and Disposal Standards:** Records are kept for a period of 1 year, archived for 5 years then destroyed. **TBS Registration:** 003924 **Bank Number:** BDBC PPU 100

Loan Applications — Client Files

Description: This bank contains information relating to individuals who apply for different types of loans offered by the Bank under various programs. The information

consists of loan application forms, financial statements, appraisal reports, personal income and other client profile information, copies of correspondence relating to the repayment and ongoing administration of the loans and related legal documentation. **Class of Individuals:** Individuals who apply for loans. **Purpose:** The purpose of this bank is to determine eligibility for loans and the administration of loans. **Consistent Uses:** Information in this bank is used for statistical purposes, monitoring, loan renewals, collection and recovery administration. Information may be shared with entities used by BDC in collection and recovery proceedings. **Retention and Disposal Standards:** Records on approved loans are retained for 1 year from the date loan is fully repaid, and then archived for 10 years after which time they are destroyed. Files for abortive/cancelled loans or withdrawn/rejected applications are also kept for 1 year, and then archived for 10 years and finally destroyed. Written off accounts are kept five years after they've been written off and archived for another 10 years and then destroyed. **TBS Registration:** 003753 **Bank Number:** BDBC PPU 050

Mailing Lists

Description: This bank contains mailing lists with the names and addresses of individuals, firms, companies, groups, etc. **Class of Individuals:** Individuals, firms, companies, groups, etc. **Purpose:** To maintain standard lists of individuals, organizations, groups, businesses, etc., for the purpose of mailing publications, reports, newsletters, information bulletins and other documentation on BDC's activities, programs and marketing. **Consistent Uses:** The information is used to mail and distribute documentation to individuals on the mailing lists. **Retention and Disposal Standards:** Records are updated continuously and the information is kept until superseded. **TBS Registration:** 003755 **Bank Number:** BDBC PPU 045

Management Services' Participants

Description: This bank contains the names, addresses and telephone numbers of participants in seminars and workshops offered under the counselling, training and mentoring programs of BDC's Management Services Division. It may also contain company name, type of business, size of business and other company demographics. **Class of Individuals:** Individuals, organizations, firms, businesses, etc. **Purpose:** To maintain standard lists of individuals, organizations, firms and businesses for marketing purposes to mail information on current forthcoming events. The information may also be used for statistical purposes to provide analytical reports and have a better understanding of clientele profile. **Consistent Uses:** The information is used to mail and distribute documentation to participants. **Retention and Disposal Standards:** Records are updated continuously and information kept until superseded. **TBS Registration:** 003756 **Bank Number:** BDBC PPU 040

Regional Register of CASE Counselors

Description: This bank contains information on retired business and professional people who are registered as counselors under the CASE counselling program. The information consists of their name, address, telephone number, SIN number, areas of specialization, availability, travel restrictions and background. **Class of Individuals:** Retired business and professional people registered under FBDB's CASE program. **Purpose:** The data was compiled or obtained to provide information to coordinators on the qualifications and experience of counselors with a view to matching them with the needs of the applicant business. **Retention and Disposal Standards:** Records are retained for six years from the date they become inactive. **TBS Registration:** 003371 **Bank Number:** BDBC PPU 005

Requests from Federal Investigative Bodies

Description: This bank contains requests for personal information pursuant to paragraph 8(2)(e) of the Privacy Act by federal investigative bodies, the replies to such requests, the records of information disclosed, any correspondence with the Privacy Commissioner and all records relating to the processing of the requests. **Class of Individuals:** Individuals being investigated by federal investigative bodies. **Purpose:** The purpose of this bank is to meet the requirements of the Act. **Consistent Uses:** Compiling statistics relating to requests from federal investigative bodies. **Retention and Disposal Standards:** Files are retained for six years after their last administrative action and are then destroyed. **TBS Registration:** 003746 **Bank Number:** BDBC PPU 025

Temporary Help Agencies

Description: This bank contains a list of calls made for temporary help services. It includes the names of the agencies contacted, the name of the person referred, the hourly rate charges by the agency, the approximate duration of employment, the name of the contact person at the agency and a description of the selection criteria. **Class of Individuals:** Personnel referred by the agencies. **Purpose:** The purpose of this bank is to maintain a record of temporary help personnel. **Consistent Uses:** This bank is also used to back up financial records and invoices. **Retention and Disposal Standards:** The records are retained for two years for administrative purposes and then destroyed. **TBS Registration:** 003749 **Bank Number:** BDBC PPU 015

Classes of Personal Information

In the course of conduction the programs and activities of the Loans, Venture Capital and Management Services Divisions, some personal information may be accumulated within the Bank's client files. Information may include such items as an individual's name, age, marital status, employment or managerial history, or information relating to financial transactions in which the individual is or has been involved. This form of personal information is normally retrievable only if specific information concerning

the name of the enterprise or the number of the account concerned is provided.

Manuals

- BDC/FBDB Circular Manual
- CASE Operating Manual
- Environmental Risk Management Manual
- Legal — Miscellaneous Procedures Guides
- Modules and resource books for Credit Personnel Training Program (CPTP)
- Standard File Classification Manual
- Various guidebooks for new BDC products (e.g. patient capital, micro-business program, etc.)

Director, Communications
Business Development Bank of Canada
BDC Building
5 Place Ville Marie
Montreal, Quebec H3B 5E7
(514) 283-7515

Reading Room

In accordance with the Access to Information Act, an area in the Bank's Legal Services library at the premises listed below has been designated as a public reading room:

Head Office

BDC Building
5 Place Ville Marie
Montreal, Quebec H3B 5E7
(514) 283-5904

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Bank and its various programs and functions may be directed to:

Canada Council

Chapter 7

General Information

Background

The Canada Council was created by the Parliament of Canada in 1957 (Canada Council Act, 1957, RSC 1970 c-2, as amended). The mandate of the Canada Council (Section 8(1)) is "to foster and promote the study and enjoyment of, and the production of works in, the arts."

Responsibilities

The general policy objective of the Canada Council is to recognize, foster and promote excellence in the arts. Most of its grants are awarded through an extensive system of competitions judged by juries of practising artists and other independent specialists in the particular disciplines.

In addition to its mandate to foster and promote the arts, the Canada Council has been assigned responsibility for the Canadian Commission for the United Nations Educational, Scientific and Cultural Organization (UNESCO) (Subsection 8(2), Order-in-Council PC 1957-831), in conformity with the constitution of UNESCO.

The Council also administers the Killam program of scholarly awards and prizes, financed from a private legacy. The Public Lending Right Commission, which provides payments to Canadian authors for the public use of their works in Canadian libraries, operates under the aegis of the Council.

Legislation

- Canada Council Act

Organization

Arts Division

♦ Canada Council Art Bank

Rents a collection of contemporary Canadian works of art to federal government departments and agencies, public institutions, non-profit organizations and to the private sector. In March 1995, the Canada Council's Strategic Plan announced the Council's intention to terminate its involvement with the Art Bank. Until a decision is made about its future, the Canada Council will continue to administer the rental program.

♦ Dance Section

Responsible for support to professional Canadian dance companies, organizations and associations in ballet, modern and experimental dance. Its programs include the communications fund and grants to professional dance

companies, independent choreographers, professional schools, service organizations and independent dance.

♦ Explorations Program

Responsible for project grants which may introduce new approaches to creative expression, cross disciplines or fulfill specific needs in the development of the arts. Grants are provided to individuals, groups or nonprofit organizations for innovative projects, within or outside traditional arts disciplines, which contribute towards an understanding of Canada's cultural past or the development of its cultural future. This program will cease to operate as a separate program section after September 1995.

♦ Media Arts Section

Provides support to independent professional artists for the creation of works using conventional and/or new acoustic and visual technologies and their related media. It administers three production programs: film, video and audio, and computer-integrated media. It also administers a distribution and broadcast program. These programs are concerned with production and diffusion in multiple format media, including computer processing, visual and acoustic imaging and system control (via digital sampling and mastering), applied laser technology and optical storage. In addition to direct production assistance, support is available to non-profit media art organizations through operational, project funds. The Section is the repository of the Canada Council Film Collection.

♦ Music Section

Responsible for support to professional Canadian music companies, organizations and associations. Its programs include grants to orchestras, opera companies, choirs, other music groups and organizations, commissioning of Canadian compositions, aid to sound recording by Canadian producers and companies, and the communication fund.

♦ Theatre Section

Responsible for support to professional theatre companies, organizations and associations. Its programs include grants to theatre companies and the communications fund.

♦ Touring Office

Supports touring by professional Canadian performing artists, and the development of management for Canadian tours and artists and tour coordination and promotion. Its programs include touring grants, apprenticeship program, Concert Canada program, festival program, and cultural exchange.

◆ Visual Arts Section

Responsible for support to professional visual arts institutions and associations. Its programs include aid to artist centres; exhibition and programming assistance to art galleries; Canadian visiting artist program; artists studios in New York, Chalmers fund for the crafts; special project assistance; and the communications fund.

◆ Writing and Publishing Section

Responsible for support to publishing companies, organizations and associations, and for certain programs of support to writers. Its programs include block grants and project grants to book publishers; translation grants; aid to international translation; aid to periodicals; promotion and distribution of Canadian books and periodicals; promotion tours by authors; public readings program; literary prizes and awards; Canada-Scotland writers-in-residence exchange; and grants to national associations of writers, book publishers and periodical publishers.

Information Holdings

Program Records

Art Bank Data Bank

Description: The Art Bank databank is divided into two interlinked database systems: one which deals with the collection of works of art and the artists, the other, with the contractual part of the rental program. **Topics:** A) In addition to the hard copy records and files, information on all artists in the collection is maintained and includes: year of birth, year of death, sex, language (English or French), address and telephone number (if available). Information is also maintained on all works in the collection and includes: titles, execution date, medium, weight, dimensions, symmetry, signature and date location on work, date of purchase, price, jury members at time of purchase, rental value, physical location of the work and a history of the rented and exhibited works. B) Rental contracts are produced from the Contract database and link every rented work in the collection to a rental agreement. In addition to the hard copy records and files, information maintained includes: department name, contract (address and telephone number), location of each rented work (room, section, floor, building, street address), rental fee for each work and all factual information related to each contract. **Access:** Financial records and accounts receivable are maintained for all past and current rental agreements. **Storage Medium:** Computerized database **Program Record Number:** CAC ART 001

Art Bank Programs

Description: Correspondence, policy and information on the Art Bank. (The Art Bank programs have been cancelled since the Art Bank is being phased out over the

next three years, as of March 1, 1995.) **Topics:** Advisory selection committee; photography contracts; purchases; insurance; shipping; framing; repairs and restoration; sales of slides; large print commission program; Sculpture Park — Ottawa; reproduction slides; Art Bank Gallery; presentations and lectures; art gallery purchases; rentals to federal government departments; loans to art galleries for exhibitions; rentals to industries and corporations; sales of works; long-term loans to galleries; special purchase assistance; rentals outside the federal mandate; special projects, exhibitions, loans, rentals; Art Bank exhibitions. **Access:** Files arranged by subject for program files. All program files and case files, except art gallery purchases, are maintained by the Art Bank. **Storage Medium:** Paper case files. **Program Record Number:** CAC ARB 155

Artists' Directory

Description: Information on booking contacts, organizations, artists and touring activities; technical information, union affiliations and other information required by the Touring Office to produce its service publication, the Artists' Directory. **Topics:** Dance; music; opera and oratorio singers; theatre services; individuals or groups for publication. **Access:** Information arranged by subject. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC TOO 125

Arts Awards Case Files

Description: Case files for all grant and service programs administered by the Arts Awards Service with the exception of certain awards and prizes (see CAC ART 005) and cultural exchanges (see CAC ART 010). (The Arts Awards programs have been cancelled and support for individual artists will be integrated in the disciplinary sections.) **Topics:** Arts grants "A" and "B"; non-fiction writing; project grants; travel grants; visiting foreign artists. **Access:** Files arranged by applicant. **Storage Medium:** Computerized database. **Program Record Number:** CAC AAS 050

Arts Division — General File

Description: Correspondence and other information on the Arts Division, but not unique to a specific discipline or section. **Topics:** Policy; arts management and administration courses; appeal procedures; boards of arts organizations; new performing arts policy; Olympics 1976; patronage; Festival Canada; limitation of the number of grants to individuals; 1988 Olympic Games; Expo 86; Twenty Plus Five (Green Paper); Interdisciplinary Project Fund; Atlantic Project Fund; "Big 12" performing arts organizations; multimedia program; joint financing for the arts with business; television; consultant services; conferences, meetings, seminars; Opera Ballet Hall Corporation; Canada-U.S. Free Trade Agreement; arts education in Canada; arts and regionalism, status of the Artist; Joint initiative: Canada Council and Social Sciences and Humanities Research Council of Canada; Arts Advisory Policy; Historique, Structure; Arts Awareness;

General correspondence re: Devolution; eligibility for grants to inmates. **Access:** Information arranged by program, by subject. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC ART 015

Arts Grants "A" and "B"

Description: Correspondence, policy and information on the above grants, not related to the actual applications. (The Arts Awards programs have been cancelled and support for individual artists will be integrated in the disciplinary sections.) **Topics:** Policy, administrative issues. **Storage Medium:** Computerized database, paper case files. **Program Record Number:** CAC AAS 030

Arts Programs Data Bank

Description: In addition to the hard copy records and files, the electronic data bank of information is maintained on all individuals, group of individuals and organizations who apply for grants. **Topics:** This bank includes the applicant's name, address, sex, language of applications (English and French), title of project and amounts of money requested and awarded. Adjudication records and financial payment are maintained for each program. The bank is used for historical records of all applicants and to initiate and record payment transactions. Sections and programs included in this Data Bank are Arts Awards Service, Dance Section, Explorations Program, Killam Program, Media Arts Section, Music and Opera Section, Theatre Section, Touring Office, UNESCO, Visual Arts Section, Writing and Publishing Section. **Access:** Information arranged by applicant, by program. **Storage Medium:** Computerized database. **Program Record Number:** CAC ART 002

Awards and Prizes

Description: Correspondence and case files on the various awards and prize programs operated by the Canada Council. **Topics:** Molson Prizes; Canada Council Medal; Governor General's Awards; Massey Awards; Canadian Broadcasting Corporation and Canada Council Awards for Young Composers; Peter Dwyer Scholarships; Canada Council Translation Prizes; Canadian Broadcasting Corporation and Canada Council Awards for Choirs; Order of Canada; Canada Council Children's Literature Prizes; Canada-French Community of Belgium Literary Prize; Canada-Australia Literary Prize; Jules Léger Prize for New Chamber Music; Victor Martyn Lynch-Staunton Awards; Welsh-Canadian Writers' Exchange; Canada-Scotland Writers-in-Residence; Canada-Switzerland Literary Prize; Healey Willan Prize for Amateur Choirs; Jacqueline Lemieux Prize; Sylvia Gelber Foundation Awards; the McLuhan Teleglobe Canada Award; Virginia P. Moore Award; Jean-Marie Beaudet Award; Glenn Gould Prize; Canada-Italy Literary Exchange; J.B.C. Watkins Awards; Gershon Iskowitz Prize; Sir Ernest MacMillan Memorial Scholarship; The Duke and Duchess of York Prize in Photography; Petro-Canada Award for Media Arts; Japan-Canada Award; Ronald J. Thom Design Award; Joseph S. Stauffer

Prizes, Robert Fleming Award; Bell Canada Prize; Joan Lowndes Prizes; The John G. Diefenbaker Award; The Canada-Germany Research Award. **Access:** Files arranged by subject. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC ART 005

Correspondence

Description: General correspondence on the activities of the Explorations Program. **Topics:** Routine correspondence — ineligible project proposals, requests for information, requests for application forms. **Access:** Files arranged by subject, by region. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC EXP 065

Cultural Equity in the Arts

Description: Policy file, correspondence and other information on Cultural Equity in the Arts. **Topics:** Policy; artists' letters and response; Canada Council assistance programs; Canada Council members; cultural appropriation; data base — Canada Council visible minority; prospective jury members; racial equality — Council documents; successful application reports; funding for natives; Council juries; organizational apartheid by Jo-Anne Birnie Danzker (a keynote address 1990); Internship program; advisory committee for equity in the arts; native advisory committee; joint committee for native and racial advisory committees; Council documents racial equality; Federal government; members native advisory committee; international organizations; special events. **Access:** Files arranged by subject, by organization. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC ART 016

Cultural Exchanges

Description: Correspondence and case files on the Canada Council program of cultural exchanges. **Topics:** Program policy; U.S.S.R. cultural exchanges; Czechoslovakia cultural exchanges; cultural exchange conferences with U.S.A.; consultative committee on cultural exchanges program; Canadian Academic Centre in Italy; Interdepartmental Visits Panel; Australia; Bilateral Cultural Consultations with the United Kingdom; Austria; Queen's Fellowships; China Cultural Exchanges; Canadian-Mediterranean Institute; Federal Republic of Germany cultural exchange; Canada-France scientific exchange program; Cité internationale des arts; Canadian Cultural Institute in Rome; Institute of Art and Urban Resources, New York; Visiting Foreign Artists Program; Commission mixte culturelle. **Access:** Information arranged by subject. **Storage Medium:** Computerized database, paper case files. **Program Record Number:** CAC ART 010

Dance Case Files

Description: Case files for all grant and service programs of the Dance Section with the exception of the communications fund. **Topics:** Operating grants — large companies; operating grants — small companies;

services; training creation and diffusion; other grants.

Access: Files arranged by applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC DAS 060

Dance Programs

Description: Correspondence and information (not related to the actual applications) on the grant and service programs of the Dance Section; also communications fund case files. **Topics:** Policy; dancers' salary fund; grants for training in dance administration; support to presenters of independent dancers and choreographers; guest teachers program; Gulbenkian National Choreographic Summer School; Three Major Ballet Companies Subscription Series; media projects in Dance; communications fund. **Access:** Files arranged by organization, by program, by subject. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC DAS 055

Enquiries and Correspondence

Description: General enquiries on eligibility for grants under programs administered by the Arts Awards Service; also general correspondence. **Topics:** Routine correspondence — ineligible project proposals, requests for information, requests for application forms. **Access:** Files arranged by enquirer. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC AAS 025

Explorations Case Files

Description: Applications to the Explorations Program. (The Explorations program has been cancelled as of September 1995 and development support will be integrated in the disciplinary sections.) **Topics:** Exploration grants — scripts, craft workshops, biographies, slides and related art forms, popular writing, recordings, film, video, and audio experiments, community-based cultural animation projects and local and regional histories. **Access:** Files arranged by applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC EXP 070

Facilities Directory

Description: Information required by the Touring Office to produce its service publication, the Facilities Directory. **Topics:** Technical specifications, stage plans and other information on performing arts facilities. **Access:** Files arranged by province. **Storage Medium:** Computerized database. **Program Record Number:** CAC TOO 130

Film Case Files

Description: Case files for all film program grants and services of the Media Arts Section, with the exception of the communications fund. **Topics:** Film program — production grants, grants to organizations and special projects. **Access:** Files arranged by applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC MAS 085

Film Programs

Description: Correspondence and information (not related to actual applications) on the film programs of the Media Arts Section; also case files for the communications fund. **Topics:** Policy; film advisory committee; Canadian film internship program; Canadian independent short film showcase; communications fund; film collection. **Access:** Files arranged by subject and, for the communications fund, by applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC MAS 075

Grants for Representation in International Program Establishment (GRIPE)

Description: Policy file on the establishment and development of what was known as the Grants for International Representation Program. **Topics:** Policy. **Access:** Information arranged by subject. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC AAS 045

Juries and Assessors

Description: Correspondence and other information on the Canada Council system of peer evaluation which uses juries and assessors. **Topics:** Policy; list of assessors. **Access:** Files arranged by discipline. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC AAS 040

Jurors, Assessors and Advisors Data Bank

Description: This database includes the names of potential jury, peer committee members and/or assessors. **Topics:** The information included on each individual includes: address, sex, language (French or English, bilingual if applicable), home and/or business telephone number(s), status, art discipline and specialization(s), affiliation and historical records of services rendered. Sections and Programs included in this Data Bank are Arts Awards Service, Dance Section, Explorations Program, Media Arts Section, Music and Opera Section, Theatre Section, Touring Office, Visual Arts Section, Writing and Publishing Section. **Access:** Information arranged by individual, by program, by subject. **Storage Medium:** Computerized database. **Program Record Number:** CAC ART 003

Media Arts Case Files

Description: Case files for all grant and service programs of the Media Arts Section, with the exception of the Communications Fund and the Visiting Artist Workshop programs. **Topics:** Computer integrated media; Audio Production. **Access:** Files arranged by applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC MAS 175

Media Arts Programs

Description: Correspondence and information (not related to actual applications) on the grant and service programs of the Media Arts Section; case files for the Communications Fund; Visiting Artist/Workshop programs. **Topics:** Policy; Media Arts Distribution/Broadcast

Program. **Access:** Files arranged by subject and, for the Communications Fund and the Visiting Artist/Workshop programs, by applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC MAS 170

Music Case Files

Description: Case files for all grant and service programs of the Music and Opera Section, with the exception of the Communications Fund, the Instrument Bank and the Aid to Parallel Galleries Program. **Topics:** Music program; Canadian music program; artists-in-residence program.

Access: Files arranged by applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC MUS 100

Music Programs

Description: Correspondence and information (not related to actual applications) on the grant and service programs of the Music and Opera Section; also case files for the communications fund. Grants for Music in Alternate Spaces. **Topics:** Policy; auditions — École d'art lyrique de Paris, managerial training program for symphony organizations; choral organizations; national concert bureau; contemporary music festivals; National Music School; aid to Parallel Galleries Program; New Brunswick and symphony concerts; Canada's orchestras and the Canada Council; Association of Canadian Orchestras — policy program; hiring practices of Canadian orchestras; Canadian content of orchestras; community musicians program; air transport of musical instruments; instrument bank; Opéra au Québec; commissioning of Canadian composers; communications fund — opera; recording of Canadian music; communications fund — orchestras. The International Society for Contemporary Music Festival (ISCM); Grants for the Production of New works in Opera and Music Theatre; the small ensembles program. **Access:** Files arranged by subject and by applicant, the latter for the Communications Fund, the Instrument Bank and the Aid to Parallel Galleries Program. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC MUS 095

Non-fiction Writing, Travel and Project Cost Grants

Description: Correspondence, policy and information (not related to the actual applications) on the above grants. **Topics:** Policy. **Access:** Files arranged by subject. **Storage Medium:** Paper case files. **Program Record Number:** CAC AAS 035

Performing Arts Venture Capital Fund

Description: Correspondence, policy and case files on the performing arts venture capital fund, administered by the Touring Office. **Topics:** Performing arts venture capital fund — policy, related correspondence. **Access:** Files arranged by applicant. **Storage Medium:** Paper case files. **Program Record Number:** CAC TOO 120

Public Lending Right Commission Data Bank

Description: In addition to hard copy files on all PLR registrants, a data bank of information is maintained on each registrant. Information is taken from these data files to produce the cheques sent annually to eligible PLR registrants and to produce statistics for PLR's annual report. **Topics:** This information includes the registrant's name, address, telephone number, citizenship, language (English or French) and Social Insurance Number. Individual payment history summaries and lists of declared book titles are also maintained. In addition, a record is kept on each registered title. Recorded here are the title's category (fiction, nonfiction, etc.), its language (English, French, bilingual, other), eligibility, library search results, the amount paid in the current year and the status of the registrants (author, translator, etc.).

Access: Information arranged by individual, by subject, by category, by year. **Storage Medium:** Computerized database. **Program Record Number:** CAC ART 004

Service Contracts

Description: Information provided by the Touring Office to individuals, for the provision of tour promotion, publicity and co-ordination services to arts organizations. **Topics:** Project files containing correspondence, contracts, project reports and other information on service contracts. **Access:** Files arranged by project. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC TOO 135

Special Program of Cultural Initiatives

Description: Information on the Department of Communications' special program of cultural initiatives. **Topics:** Correspondence, policy information and other information. **Access:** Information arranged by subject, by year. **Storage Medium:** Paper case files. **Program Record Number:** CAC ART 020

Theatre Case Files

Description: Case files for all grant and service programs of the Theatre Section, with the exception of the Communications Fund and the professional development fund. **Topics:** Performing companies program; service organization program; schools and universities program; Travelling Drama Critics Award; special projects. **Access:** Files arranged by applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC THS 110

Theatre Programs

Description: Correspondence and information not related to actual applications on the grant and service programs of the Theatre Section; also case files for the communications fund. **Topics:** Policy; playwrighting; theatre for young audiences; Flying Squad Program; Group of Seven theatres fund; playwrights in residence program; production grants to independent theatre artists; Communications Fund; professional development fund; joint project of Ontario Arts Council and Canada Council (Theatre umbrella management group). **Access:** Files

arranged by subject and, for the Communications Fund and the professional development fund, by applicant.

Storage Medium: Computerized database and paper case files. **Program Record Number:** CAC THS 105

Touring Office Case Files

Description: Case files for all grant programs of the Touring Office with the exception of the performing arts venture capital fund. Contacts program; Travel Assistance for Presenters Program; Artists Workshops/Meetings Program; Presenters Workshops/Meetings; Pre-Tour Assistance Program. **Topics:** Touring grants — music, dance, theatre, other; apprenticeships; Concerts Canada; festivals. **Access:** Files arranged by applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC TOO 140

Touring Office Programs

Description: Correspondence and information (not related to actual applications) on the programs of the Touring Office; also case files for the Contacts program; Travel Assistance for Presenters program; Artists Workshops/Meetings Program; Presenters Workshops/Meetings and Pre-Tour Assistance Program. **Topics:** General correspondence; Contacts program; Travel Assistance for Presenters program; Artists Workshops/Meetings Program; Presenters Workshops/Meetings and Pre-Tour Assistance Program. **Access:** Files arranged by program, by organization, by year, by subject. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC TOO 115

Video Case Files

Description: Case files for all video programs and services of the Media Arts Section, with the exception of the communications fund. **Topics:** Video programs — individual grants, groups, tape and equipment fund, production grants, grants to organizations and special projects. **Access:** Files arranged by applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC MAS 090

Video Programs

Description: Correspondence and information (not related to actual applications) on the video programs of the Media Arts Section; also case files for the communications fund. **Topics:** Policy; colour studio access for artists project; videotape and equipment fund; communications fund. **Access:** Files arranged by subject and, for the communications fund, by applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC MAS 080

Visual Arts Case Files

Description: Case files for all grant and service programs of the Visual Arts Section, with the exception of the visiting artists program, the Communications Fund, the Jean A. Chalmers Fund for the Crafts, and special one-time assistance for professional development and

special assistance for Performance Art. **Topics:** Galleries program; other visual arts programs — grants to print workshops, artists-in-residence, special projects. **Access:** Files arranged by applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC VAS 150

Visual Arts Programs

Description: Correspondence and information (not related to actual applications) on the grant and service programs of the Visual Arts Section; also case files for the visiting artists program and the Communications Fund; the Jean A. Chalmers Fund for the Crafts; special one-time assistance for professional development; Special Assistance for Performance Art. **Topics:** Policy; exhibition at the Akademie der Künste, Berlin 1982; import charges and copyright on works of art; studio space for visual artists; crafts program; special purchase awards; Directors' Choice; Sydney Biennial — Australia; Représentation de l'art canadien contemporain à l'étranger; private galleries; visiting artists program; visiting artists an invitation to China; Sao Paulo Biennial — Brazil; Venice Biennial — Italy; Bradford Print Biennale — England; Spoleto Festival U.S.A.; Barbican Art Gallery; Galleria D'Arte Moderna, Commune di Bologna; Third Eye Centre, Glasgow. **Access:** Files arranged by subject, for the visiting artists program, the Communications Fund, the Jean A. Chalmers Fund for the Crafts, special one-time assistance for professional development, Special Assistance for Performance Arts, by applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC VAS 145

Writing and Publishing Case Files

Description: Case files for all grant and service programs of the Writing and Publishing Section, with the exception of the book purchase and donation program, literary prizes and awards and the literary cultural exchanges. National Book Festival; Promotion Tours Program for authors; Short Term Residencies; Literary Prize Purchase; Public Readings Program. **Topics:** Books program; promotion and distribution program; writer-in-residence program; associations program; conferences and research program; other programs. **Access:** Files arranged by applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC WPS 165

Writing and Publishing Programs

Description: Correspondence and information (not related to actual applications) on the grant and service programs, other than literary awards, prizes and cultural exchanges of the Writing and Publishing Section; includes the case files of the book purchase and donation program. National Book Festival; Promotion Tours Program for authors; Short Term Residencies; Public Readings Program. **Topics:** Policy; oeuvres de Robert Elle; programme de promotion des prix littéraires; aid to translation program; criticisms on literary grants; international translation program; compensation for library

use; book promotion and distribution; book purchase program; literary and arts periodicals; payment for public use. **Access:** Files arranged by subject and by applicant, the latter for the Book Purchase and Donation Program; the Book Publishers Promotion Fund; the literary prize purchase; National Book Festival; Promotion Tours Program for authors; Short Term Residencies and Public Readings Program. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** CAC WPS 160

Personal Information Banks

Access to Information and Privacy Bank

Description: This bank contains requests from individuals seeking information under the Access to Information Act, the Privacy Act, replies to such requests and information related to their processing. **Class of Individuals:** Canadian citizens, other individuals who have made requests under the Acts. **Purpose:** The bank is used to process requests of access to information and privacy and report the total number of requests processed. **Retention and Disposal Standards:** Records are maintained for two years following the last administrative action. **TBS Registration:** 003288 **Bank Number:** CAC PPU 145

Accession Cards

Description: The purpose of this bank is to keep track of all works in the art bank collection as well as to provide some basic information on the artists. It contains such data on purchased works as title, medium, size, signature on work, date of creation, jury members, date of purchase, history of location of work and rental fee. In addition, this bank contains basic information on the artist such as birth date and place, citizenship, his/her dealer and place of residence when work was purchased. **Class of Individuals:** Artists. **Purpose:** It is used to keep records of all works purchased by the art bank as well as their current location. In addition, it is used to provide statistical information on artworks and artists represented in the art bank collection and to compile information for the art bank catalogue. **Retention and Disposal Standards:** Records are kept permanently. **TBS Registration:** 003272 **Bank Number:** CAC PPU 055

Applications for Employment

Description: The bank is used to maintain an inventory of applications received from individuals seeking employment with the Canada Council. Records include both applications solicited for specific competitions and unsolicited applications. It includes covering letters, career résumés, letters of recommendation, and acknowledgement of applications. Résumés normally provide individual's name, social insurance number, address, personal characteristics, employment history, and other personal information. In the absence of a résumé, applicants submit a Public Service of Canada application for employment. **Class of Individuals:** Individuals — Canadian citizens or permanent residents.

Purpose: Applications are used to assist in filling available positions. **Retention and Disposal Standards:** Both solicited and unsolicited applications are kept for one year. **TBS Registration:** 003274 **Bank Number:** CAC PPU 090

Applications for Employment (outside the Council)

Description: The purpose of this bank is to maintain a record of applications from individuals for employment with UNESCO in Paris and elsewhere abroad (i.e. positions other than with the secretariat of the Canadian Commission). The bank contains names, addresses and requests. Applications including resume, covering letter, etc. are forwarded to the relevant office or body. Only copies of the covering letters which normally accompany applications are retained. **Class of Individuals:** Individuals — Canadian citizens or permanent residents. **Purpose:** Applications are used to assist in filling available positions. **Retention and Disposal Standards:** These copies are retained solely for record tracing purposes. Records are retained for five years, after which they are destroyed. **TBS Registration:** 003273 **Bank Number:** CAC PPU 110

Applications for Employment Search File

Description: The purpose of this bank is to maintain a record of applications made directly to the art bank (outside of regular Canada Council competitions). It contains applications from prospective employees and generally includes resumes, covering letters with addresses, etc. **Class of Individuals:** Individuals — Canadian citizens or permanent residents. **Purpose:** Applications are used to assist in filling available positions. **Consistent Uses:** After initial sorting, copies of applications are transferred to the personnel section of the Canada Council in case suitable positions arise. **Retention and Disposal Standards:** Copies of applications are maintained at the art bank for three years, after which they are destroyed. **TBS Registration:** 003275 **Bank Number:** CAC PPU 075

Art Bank Catalogue

Description: This bank is used to list all works purchased for the art bank collection. It lists all works in the collection, giving information on the artists, place and date of birth, as well as the title, medium, size and accession number of each work. **Class of Individuals:** Artists. **Purpose:** It is used for research purposes by the art bank. **Consistent Uses:** It is also used for research purposes by the general public. **Retention and Disposal Standards:** Records are updated on a yearly basis to account for changes in the collection. The catalogue is reproduced biannually. **TBS Registration:** 003276 **Bank Number:** CAC PPU 060

Art Bank Labels

Description: These labels are affixed to works of art. They contain the name, date and place of birth of the artist, as well as the title, medium, date of creation, and accession number of the work. **Class of Individuals:** Artists. **Purpose:** It is used to identify the works of art on

display. **Retention and Disposal Standards:** The labels are kept for as long as the work is in the art bank collection. **TBS Registration:** 003277 **Bank Number:** CAC PPU 040

Arts Grants Case Files

Description: Contains the name, address, phone number and curriculum vitae of each candidate applying for a grant. In addition, the files indicate the process of adjudication result (jury or assessment) and may include the comments (or a précis of the comments) of the jurors or assessors. Arts grants "A" and "B" files contain up to three confidential letters of appraisal, which are signed by an appraiser and sent directly to the Canada Council without being seen by the candidate. The files are organized alphabetically according to grant category. A card file containing the personal information and adjudication result is maintained separately and organized alphabetically. (The Arts Awards programs have been cancelled and support for individual artists will be integrated in the disciplinary sections.) **Class of Individuals:** Grant applicants. **Purpose:** Contains files of individuals who have applied to the Arts Awards Service for an arts grant in one of five categories: Arts grants "A" and "B", non-fiction writing, project grants and travel grants. The Bank is managed by the registration section of the service. **Consistent Uses:** Used in the adjudication process of grant requests and to provide data for statistical analysis of requests for individual grants. **Retention and Disposal Standards:** Information is retained for eight years (successful and unsuccessful applications). **PAC Number:** 83-038 **Related to PR#:** CAC AAS 030 **TBS Registration:** 000313 **Bank Number:** CAC PPU 005

Biographical Files

Description: The purpose of this bank is to collect biographical information on artists, including biographies sent by artists or their dealers, invitations to exhibition openings, newspaper clippings, etc. **Class of Individuals:** Artists. **Purpose:** It is used as research information by staff and the public. **Retention and Disposal Standards:** Records are kept permanently. **TBS Registration:** 003278 **Bank Number:** CAC PPU 050

Biographies UNESCO

Description: The purpose of the bank is to maintain a bank of selected biographical material on individuals who may be suited to serving UNESCO in some capacity (e.g. at international conferences or on related bodies). Most of the biographical material is obtained from suitable individuals applying for employment. In addition, UNESCO assembles biographies on its own or commissions biographies. Each file normally contains an individual's name, address, work history, educational background and date of birth. **Class of Individuals:** Individuals who may be suited to serving UNESCO in some capacity. **Purpose:** This bank is rarely utilized; occasionally the information is used to assist in choosing persons to participate in UNESCO-related activities, such as international

meetings, or to aid in the election of members to the UNESCO executive committee. The bank also provides addresses for the UNESCO mailing list. **Retention and Disposal Standards:** Records are kept permanently, or until the client wishes to be removed from the list. **TBS Registration:** 003279 **Bank Number:** CAC PPU 100

Communications Service Mailing List

Description: The Communications Service has direct responsibility for the management of this mailing list. The purpose of this mailing list is to compile and maintain addresses of individuals and organizations to whom selected Canada Council publications are sent. It contains names and addresses of individual artists, arts organizations, organizational contacts, government departments, universities and other organizations to whom publications are sent. In addition, each computer record contains a unique reference number, a code which identifies the discipline and area of interest, and a second code which specifies whether or not the individual is a client of the Council. **Class of Individuals:** Individual artists, members of arts organizations, government staff, university personnel. **Purpose:** The list is used to facilitate the sending of Canada Council publications to interested parties. The code which specifies whether or not the individual is a client of the Council is not included on the actual mailing labels. **Retention and Disposal Standards:** Files are revised on an ongoing basis. Names are added or deleted as programs change, or the status of recipients changes, etc. **TBS Registration:** 003280 **Bank Number:** CAC PPU 030

Computer-Integrated Media

Description: Computer-Integrated Media files of grant holders containing correspondence relating to the preliminary inquiry, the application with supporting data, the details of payment of the grant and the final report of the grant holder's success. **Class of Individuals:** Grant applicants. **Purpose:** Contains files of individuals who have applied for a Computer-Integrated Media Grant. **Consistent Uses:** The files are used in the adjudication process of grant requests. **Retention and Disposal Standards:** Files are retained for eight years (successful and unsuccessful applications). **PAC Number:** 83-038 **TBS Registration:** 001646 **Bank Number:** CAC PPU 120

Correspondence Files

Description: Correspondence, including addresses. **Class of Individuals:** Correspondents with Canada Council. **Purpose:** The bank contains correspondence from individuals who have not made formal application for a grant from the Arts Awards Service. It is maintained by the registry section of the Service. **Consistent Uses:** To maintain a record of all correspondence sent to the Arts Awards Service. **Retention and Disposal Standards:** Information is retained for three years, after which it is destroyed. **PAC Number:** 83-038 **Related to PR#:** CAC AAS 025 **TBS Registration:** 000316 **Bank Number:** CAC PPU 020

Damaged/Lost Works

Description: The purpose of this bank is to document lost or damaged art bank works. It contains condition reports, correspondence with clients, conservators, insurance adjusters, etc., as well as invoices and copies of cheques. **Class of Individuals:** Individual artists, conservators, insurance adjusters. **Purpose:** It is used to keep track of damaged works, restoration procedures, costs and payment and where works were lost or stolen. **Retention and Disposal Standards:** Records are kept permanently. **TBS Registration:** 003281 **Bank Number:** CAC PPU 065

Explorations Grants Case Files

Description: The files contain names, addresses, phone numbers and curricula vitae of candidates. There are up to three confidential letters of appraisal which are signed by an appraiser and sent directly to the Explorations Program without being seen by the candidate. In addition, the file contains a letter notifying the candidate of the result. In the case of a successful applicant, interim and final reports will be added to the file while the project is being carried out. The files are organized numerically. A card file containing the personal information and adjudication results is maintained separately and organized alphabetically. (The Explorations program has been cancelled and development support will be integrated within the disciplinary sections.) **Class of Individuals:** Explorations grant applicants. **Purpose:** The bank contains files of individuals who have applied for an explorations grant. The bank is managed by the registration section of the Explorations Program. **Consistent Uses:** The files are used in the adjudication process of grant requests and to provide data for statistical analysis of requests for individual grants. **Retention and Disposal Standards:** Files are retained for eight years (successful and unsuccessful applications). **PAC Number:** 83-038 **Related to PR#:** CAC EXP 070 **TBS Registration:** 000317 **Bank Number:** CAC PPU 025

Federal Investigative Body Requests

Description: This bank contains requests made by federal investigative bodies, as well as records of disclosure (if the request is granted), occasional legal opinions and related material. Individuals seeking access to this bank should provide their full name and address. To date, it contains a very limited number of requests. **Class of Individuals:** Individuals from whom information has been requested by federal investigative bodies. **Purpose:** The purpose of this bank is to ensure that requests by federal investigative bodies for personal information held by the Canada Council are properly authorized. **Consistent Uses:** The records are used to monitor and maintain a record of the information requested and/or provide it to federal investigative bodies, and to ensure compliance with the Privacy Act. The records may be viewed by the Privacy Commissioner or delegate when investigating complaints. **Retention and Disposal Standards:** Records in this bank are retained

for two years after their last administrative use, and are then destroyed. **PAC Number:** 85-001 **TBS Registration:** 001650 **Bank Number:** CAC PPU 140

Film/Holography Production Grants

Description: Film Production files of grant holders containing correspondence relating to the preliminary inquiry, the application with supporting data, the details of payment of the grant and the final report of the grant holder's success. The bank also contains applications for the abolished program (Holography Production Grants). **Class of Individuals:** Grant applicants. **Purpose:** Contains files of individuals who have applied for a Film/Holography Production Grant. **Consistent Uses:** The files are used in the adjudication process of grant requests. **Retention and Disposal Standards:** Files are retained for eight years (successful and unsuccessful applications). **PAC Number:** 83-038 **TBS Registration:** 001647 **Bank Number:** CAC PPU 125

Installation Works of Art Files

Description: It contains diagrams and forms, supplied by artists or their dealers, giving details on installation of works of art. **Class of Individuals:** Individual artists, dealers. **Purpose:** The purpose of this bank is to collect descriptive data on works purchased, especially on how works should be installed. The files are used to identify works and assist with their installation. **Retention and Disposal Standards:** Records are kept permanently. **TBS Registration:** 003282 **Bank Number:** CAC PPU 045

Izaak Walton Killam Memorial Prize

Description: The files contain names, addresses, phone numbers and curricula vitae of candidates, as well as up to 12 confidential letters of nomination and support sent directly to the Killam Program by assessors selected from a list offered by the nominators. The files are organized numerically. A card file containing the personal information is maintained separately and organized alphabetically. The bank is managed by the Killam Program office. **Class of Individuals:** Candidates who have been nominated for the Izaak Walton Killam Memorial Prize. **Purpose:** The files are used for the adjudication of the Prize. **Retention and Disposal Standards:** Files would be retained for eight years (successful and unsuccessful applications). **TBS Registration:** 002206 **Bank Number:** CAC PPU 160

Killam Research Fellowship

Description: The files contain names, addresses, phone numbers and curricula vitae of candidates, four letters of appraisal sent directly to the Killam Program by assessors selected by Killam staff, and two letters of appraisal sent directly to the Killam Program by referees nominated by the candidate. The files are organized numerically. A card file containing the personal information is maintained separately and organized alphabetically. The bank is managed by the Killam Program office. **Class of Individuals:** Applicants for a Killam Research Fellowship. **Purpose:** The files are used for the adjudication of the

Fellowship competition. **Retention and Disposal**

Standards: Files will be retained for eight years (successful and unsuccessful applications). **TBS Registration:** 002208 **Bank Number:** CAC PPU 155

National Commission Grants Case Files

Description: The purpose of this bank is to maintain a record of the application and assessment of associations or individuals applying for travel and/or project assistance under the National Commission Grants Program. This bank contains application letters, supporting data presented by the applicant, the project proposal, financial data, the decision of the Commission, and in the case of a funded project, financial and administrative data. **Class of Individuals:** Individuals — eligible or ineligible.

Purpose: It is used to maintain a record of the application and assessment of associations or individuals applying for travel and/or project assistance. **Retention and Disposal Standards:** Records are retained permanently. **TBS Registration:** 003283 **Bank Number:** CAC PPU 105

Paris Studios Program Case Files

Description: Candidate files containing letters of request and curricula vitae of candidates, as well as correspondence including addresses. **Class of Individuals:** Studio applicants. **Purpose:** The bank contains the requests by individual artists for access to artists' studios in Paris, France. The bank is maintained by the central registry section of the Canada Council. **Consistent Uses:** The information contained in the bank is used to evaluate the relative merit of the requests for access to artists' studios. **Retention and Disposal Standards:** Files are retained for eight years (successful and unsuccessful applications). **PAC Number:** 83-038 **Related to PR#:** CAC AAS 025 **TBS Registration:** 000315 **Bank Number:** CAC PPU 015

Performance Arts

Description: Performance Arts files of grant holders containing correspondence relating to the preliminary inquiry, the application with supporting data, the details of payment of the grant and the final report of the grant holder's success. **Class of Individuals:** Grant applicants. **Purpose:** Contains files of individuals who have applied for a Performance Arts Grant. **Consistent Uses:** The files are used in the adjudication process of grant requests. **Retention and Disposal Standards:** Files are retained for eight years (successful and unsuccessful applications). **PAC Number:** 83-038 **TBS Registration:** 001648 **Bank Number:** CAC PPU 130

Public Lending Right Commission Case Files

Description: This bank contains the names, addresses, phone numbers and citizenship of the applicants, plus supporting documentation for the book titles being registered. A computer print-out details the number of libraries the books were found in, the amount the books earned, and the authors's earnings for each year in the PLR Program. **Class of Individuals:** Canadian writers, editors, translators, illustrators, and photographers who

have applied for the PLR Program. **Purpose:** The files are used to verify that authors/contributors and titles are eligible under the criteria established by the Commission. **Retention and Disposal Standards:** Files will be retained for eight years (successful and unsuccessful applications). **TBS Registration:** 002207 **Bank Number:** CAC PPU 150

Purchase of Art Works from Artists Case Files

Description: The purpose of this bank is to maintain a record of applications to the art bank for the purchase of artworks from individual artists. It contains case files, including letters of application from individual artists, correspondence to and from artists, invoices, biographical data, information relating to artists' copyrights and artists' representatives and jury decisions. **Class of Individuals:** Individual artists. **Purpose:** It is used to keep records on applications by artists for purchases of their work, to ensure payment is made for each work purchased, and to accumulate information on all works purchased from one artist. **Retention and Disposal Standards:** Files are kept permanently for successful applications. Files on unsuccessful applicants are retained at the Council for three years, after which they are destroyed. **TBS Registration:** 003284 **Bank Number:** CAC PPU 035

Repurchase Program

Description: The purpose of this bank is to maintain a record of artists' requests to repurchase works of art originally sold to the art bank. Financial transactions are also recorded in these files. It contains letters of application from artists or their dealers, details of repurchase costs, invoices, correspondence to artists informing them of the art bank decision and copies of cheques. **Class of Individuals:** Individual Artists. **Purpose:** The bank contains records on the repurchase program and the number of works each artist has requested for repurchase. **Retention and Disposal Standards:** Records are kept permanently. **TBS Registration:** 003285 **Bank Number:** CAC PPU 070

The Canada-Germany Research Award Case Files

Description: The files contain letters of nomination of German scholars for the award, as well as addresses, phone numbers and curriculum vitae of the candidates. The files are organized numerically. The bank is managed by the Killam Program office. **Class of Individuals:** German scholars who have been nominated for the award. **Purpose:** The files are used for the adjudication of the awards. **Retention and Disposal Standards:** Files would be retained for eight years (successful and unsuccessful applications). **TBS Registration:** 003130 **Bank Number:** CAC PPU 165

The Canadian Painters and Canadian Sculpture Slide Sets

Description: The purpose of this bank is to maintain slide sets of Canadian contemporary artwork for sale to the public. Short biographies of the artists involved and descriptions of their works are included in order to identify

the slides. **Class of Individuals:** Individual artists.

Purpose: The slide sets are destined for sale to the general public, even though the main clients are libraries, universities and art galleries. **Retention and Disposal Standards:** Slides are kept until all sets are sold. **TBS Registration:** 003286 **Bank Number:** CAC PPU 080

The John G. Diefenbaker Award Case Files

Description: The files contain letters of nomination of German scholars for the award, as well as addresses, phone numbers and curriculum vitae of the candidates. The files are organized numerically. The bank is managed by the Killam Program office. **Class of Individuals:** German scholars who have been nominated for the award. **Purpose:** The files are used for the adjudication of the Award. **Retention and Disposal Standards:** Files would be retained for eight years (successful and unsuccessful applications). **TBS Registration:** 003131 **Bank Number:** CAC PPU 170

Touring Office Case Files

Description: Touring Office files of grant holders containing correspondence relating to the preliminary inquiry, the application with supporting data, the details of payment of the grant and the final report of the grant holder's success. **Class of Individuals:** Grant applicants. **Purpose:** Contains files of individuals who have applied to the Touring Office for a touring grant in one of the following categories: Apprenticeship Program; Concerts Canada Program; Festivals Program. **Consistent Uses:** The files are used in the adjudication process of grant requests. **Retention and Disposal Standards:** Files are retained for eight years (successful and unsuccessful applications). **PAC Number:** 83-038 **TBS Registration:** 001649 **Bank Number:** CAC PPU 135

UNESCO Mailing List

Description: The purpose of this bank is to maintain a record of individuals to whom UNESCO publications, including reports, press releases, and the minutes of meetings, may be sent. It contains addresses which normally include the individual's name, address, and telephone number as well as codes identifying disciplines of interest such as general education, adult education, natural sciences, social sciences, culture, communications, etc. It is used to identify individuals to whom UNESCO-related documents can be sent. **Class of Individuals:** Individuals. **Purpose:** This record is used to identify individuals to whom are sent UNESCO-related material. **Retention and Disposal Standards:** Information is held permanently, or until the client wishes to be removed from the list. **TBS Registration:** 003287 **Bank Number:** CAC PPU 095

Video/Audio Production Grants

Description: Video/Audio Production files of grant holders containing correspondence relating to the preliminary inquiry, the application with supporting data, the details of payment of the grant and the final report of the grant holder's success. **Class of Individuals:** Grant

applicants. **Purpose:** Contains files of individuals who have applied for a Video/Audio Production Grant.

Consistent Uses: The files are used in the adjudication process of grant requests. **Retention and Disposal Standards:** Files are retained for eight years (successful and unsuccessful applications). **PAC Number:** 83-038 **TBS Registration:** 001645 **Bank Number:** CAC PPU 115

Classes of Personal Information

Routine Correspondence and Other Subject Files

Personal information of a routine nature may be found in correspondence or other subject files. This information is generally not retrievable by personal name or other personal identifier and is normally not used for administrative purposes (e.g. requests for grant application forms or other correspondence not leading to an actual application).

Visiting Foreign Artists File

Personal information regarding artists may be contained in the visiting foreign artists files, which are organized alphabetically by institution, and are not retrievable by personal identifiers. Personal information includes name, address and curricula vitae of foreign artists invited to Canada.

Manuals

- Canada Council Policies Manual
- Chart of Financial Accounts
- Financial Policies and Procedures Manual
- Human Resources Manual

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Council and its programs and functions may be directed to:

Communications Services
Canada Council
350 Albert Street
Ottawa, Ontario
K1P 5V8
(613) 237-3400

Reading Room

In accordance with the Access to Information Act, the Council's library has been designated as a reading room. The address is:

350 Albert Street
Ottawa, Ontario.

Canada Deposit Insurance Corporation

Chapter 8

General Information

Background

The Canada Deposit Insurance Corporation (CDIC) was established in 1967 by the Canada Deposit Insurance Corporation Act. The Corporation's primary role is to provide, for the benefit of persons having deposits in a bank, trust or loan company, insurance against the loss of all or part of their deposits to a maximum of \$60,000 in the event of the failure of the member institution concerned. The definition of "deposit" may be summarized as moneys received by a member institution which it is obligated to repay on demand, or on a fixed or determinable date that is within five years from the date of the deposit. Deposits not payable in Canada or repayable in foreign currency are not insured. In the furtherance of its main function, the Corporation may, amongst other powers, acquire assets from, or make or guarantee loans or deposits to a member institution for the purpose of averting or reducing a threatened loss to the Corporation.

Responsibilities

The Corporation's primary role is set out above. The Corporation's secondary role includes examining the affairs of member institutions in order to obtain information for deposit insurance purposes (under legislation, the examination of member institutions is the responsibility of the Office of the Superintendent of Financial Institutions); acting as lender of last resort to provide short-term liquidation loans under specified authority; managing the acquired assets of member institutions; and reducing the risk of loss to the Corporation by guaranteeing loans or deposits with a member institution. In addition, the Corporation acts as curator of a bank, or liquidator or receiver of a member institution, and manages, monitors and invests a deposit insurance fund and other funds accumulated from the operations of the Corporation.

Legislation

- Canada Deposit Insurance Corporation Act
- CDIC Application for Deposit Insurance By-law
- CDIC Capital Management Standards By-law
- CDIC Credit Risk Management Standards By-law
- CDIC Deposit Insurance Policy By-law
- CDIC Discretionary Interest By-law
- CDIC Foreign Exchange Risk Management Standards By-law
- CDIC General By-law

- CDIC Interest Rate Risk Management Standards By-law
- CDIC Internal Control Standards By-law
- CDIC Joint and Trust Account Disclosure By-law
- CDIC Liquidity Management Standards By-law
- CDIC Premium Surcharge By-law
- CDIC Real Estate Appraisals Standards By-law
- CDIC Securities Portfolio Management Standards By-law

Organization

♦ Corporate Services

This division is composed of three departments: Human Resources, Information Systems, and Communications and Public Affairs. Internally, the division provides the diverse services, tools and environment necessary for Corporation staff to effectively and efficiently carry out their duties. Externally, the division provides specialized services to government, member institutions and the general public (e.g., 1-800 information lines, public awareness activities, payout support).

♦ Field Operations — Intervention Division

The primary functions of this division are to develop systems and procedures for the conduct of interventions and to manage the operations of a number of member accounts.

♦ Finance Division

The Finance Division is composed of two departments: Accounting, and Corporate Planning. The division is responsible for the accounting, planning and treasury activities of the Corporation.

♦ Insurance and Risk Assessment Division

The main responsibility of this division is the effective operation of the insurance, member relations and risk assessment functions of the Corporation. The division is also responsible for developing and establishing standards of sound business and financial practices, and determining practices that warrant a premium increase.

♦ Internal Audit and Consulting Services

This division is responsible for an independent examination and review of internal controls, policies and procedures; systems and practices; their application; and the extent to which they protect the interests of the Corporation. The internal auditor develops and maintains this function in accordance with provisions related to Crown corporations as outlined in the Financial Administration Act.

♦ Legal Services Division

This division is responsible for providing legal counsel and advice in all legal matters affecting the Corporation. It also performs administrative duties assigned to it by the Board of Directors.

Information Holdings

Program Records

Enquiries

Description: This program record includes correspondence relating to enquiries and queries from depositors or the general public. **Topics:** Generally related to deposit insurance limits and insurability of deposits. **Program Record Number:** CDI PRH 055

Member Institutions — Intervention

Description: This program record pertains to the intervention required when a member institution becomes insolvent, i.e., appointment of agents, wind-up process, payments to depositors, liquidation of assets and final dissolution. **Topics:** Agreements; disposal of assets; financial statements; loans; litigation; meetings; payments to depositors; and reports. **Program Record Number:** CDI FOI 050

Member Institutions — Monitoring

Description: This program record includes subjects relating to the monitoring of operations of members and the assessment of risk to the Corporation. **Topics:** Complaints; financial statements; regulatory reports; and the use of marks and signs in advertisements and on investment instruments. **Program Record Number:** CDI IRA 045

Member Institutions — Relations

Description: This program record includes subjects relating to the ongoing relations with members, the monitoring of the financial status of members and, in the case of members in financial difficulty, the investigation of alternatives to liquidation, i.e., liquidity loans, mergers, changes in management, etc. **Topics:** Applications for membership; policies and certificates of membership; borrowing and lending guidelines; premium administration; and regulatory reports. **Program Record Number:** CDI IRA 040

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Audits

Budgets

Buildings and Properties

Classification of Positions

Co-operation and Liaison

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Occupational Health, Safety and Welfare

Official Languages

Pensions and Insurance

Personnel

Procurement

Salaries and Wages

Training and Development

Utilities

Personal Information Banks

Applications for Employment

Description: This bank serves as a reference for any applications received from individuals seeking employment with the Canada Deposit Insurance Corporation. These requests usually consist of a letter and an attached curriculum vitae. **Class of Individuals:** Individuals seeking employment with the Canada Deposit Insurance Corporation. **Purpose:** These applications are considered as positions become vacant. Information is compiled so that it can be referred to when considering a candidate for a vacated position. **Consistent Uses:** The bank is also used to store information on these individuals. **Retention and Disposal Standards:** The records are retained for two years and are then destroyed. Unsolicited ones are kept six months and are then destroyed. **TBS Registration:** 002292 **Bank Number:** CDI PPU 015

Payments to Depositors

Description: This bank contains records of payments to insured depositors. **Class of Individuals:** The information relates to depositors of insolvent member institutions. **Purpose:** The purpose of this bank is to keep a record of payments made to insured depositors. **Consistent Uses:** This bank is also used to support payments made to depositors of insolvent members. **Retention and Disposal Standards:** The disposal criteria for this bank are to be established. **TBS Registration:** 003651 **Bank Number:** CDI PPU 005

Personal Services Contracts

Description: This bank contains contracts placed with the Canada Deposit Insurance Corporation, types of services rendered, lengths of contracts and money expended. The bank contains the contracts and supporting documents. **Class of Individuals:** Individuals hired under the personal contracts by the Corporation.

Purpose: The bank is used for accounting, reference and statistical purposes. **Retention and Disposal Standards:** The disposal criteria for this bank are to be established.

TBS Registration: 002291 **Bank Number:** CDI PPU 010

Manuals

- Management Policy Manual

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Corporation may be directed to:

Communications and Public Affairs
Canada Deposit Insurance Corporation
17th Floor, 50 O'Connor Street
P.O. Box 2340, Station D
Ottawa, Ontario
K1P 5W5
(613) 996-2081

Reading Room

In accordance with the Access to Information Act, a reading room is available. The address is:

Canada Deposit Insurance Corporation
17th Floor, 50 O'Connor Street
Ottawa, Ontario.

Canada Labour Relations Board

Chapter 9

General Information

Background

The original Canada Labour Relations Board (organized in 1948) was composed of members representing both management and labour appointed by the federal government to deal, on a part-time basis, with the orderly resolution of trade union representation disputes under the Industrial Relations and Disputes Investigation Act.

The Canada Labour Relations Board was established in its present form in 1972 by the amended Canada Labour Code (R.S.C. 1970, CL-1 as amended by S.C. 1972, c.18) and became effective March 1, 1973. The Board is a quasi-judicial body with statutory and regulatory powers pertaining to the administration of Part I of the Canada Labour Code dealing with industrial relations. The members of the Board are non-representational full-time appointees with experience and knowledge of industrial relations and labour law. As members of a non-partisan board they are prohibited from holding any other remunerated office or employment. In general, Part I of the Canada Labour Code charges the Board with a two-fold responsibility: that of granting, modifying and terminating bargaining rights, and that of resolving, through mediation or adjudication, complaints of unfair labour practice concerning violations of the Code by trade unions or employers.

Under Part II of the Code, the Board must, upon referral of a safety officer's decision, inquire into the circumstances giving rise to the decision and may confirm the decision or give any direction which the safety officer is entitled to give. The Board also rules on complaints by employees that they have been discriminated against or punished for exercising their rights in relation to safety.

Responsibilities

The Board's responsibility is set out above. On finding a violation of the Code, the Board is empowered to order reinstatement and compensation where appropriate. It is also empowered, upon application, to order employees to return to work in cases of illegal work stoppages and to attempt to resolve or adjudicate, where necessary, various other types of disputes that may arise under Part I of the Code.

Legislation

- Canada Labour Code (Parts I and II)
- Canada Labour Relations Board Regulations, 1978, SOR 78-499

Organization

The Board has a single program consisting of the administration and interpretation of certain sections of Part I and Part II of the Canada Labour Code. It is supported in this activity by investigation and mediation staff in offices located in Dartmouth, Montreal, Toronto, Winnipeg and Vancouver, as well as at its headquarters in Ottawa.

Information Holdings

Program Records

Acquisition and Termination of Bargaining Rights

Description: Case files concerning the acquisition by trade unions of the right to bargain collectively on behalf of employees in the federal jurisdiction, through certification as bargaining agent or by way of successor rights, and the termination of bargaining rights. **Topics:** Application for certification; application for declaration of single employer; application for revocation of certification; application for declaration of successor rights; application for declaration of sale of business; application for access to employer's premises for the purpose of soliciting union membership.

Program Record Number: CLR OPS 005

Change of Terms and Conditions of Employment

Description: Application by employers under federal jurisdiction for permission to alter the rates of pay, or any other terms or conditions of employment, or any rights or privileges of employees who are the subject of an application by a trade union to represent the employees in collective bargaining. **Program Record Number:** CLR OPS 035

Collective Bargaining and Collective Agreements

Description: Applications concerning the existence of disputed collective agreements or the identification of parties bound by a collective agreement; applications concerning the failure of an employer to comply with obligations under a collective agreement respecting any proposed technological change; applications for permission to give the employer notice to begin collective bargaining following a notice of technological change; references from the Minister of Labour directing the Board to inquire into disputes related to the settlement of first collective agreements, and to impose a first agreement on the parties if necessary; applications by individuals who, because of religious beliefs, object to joining a trade union or to paying regular union dues to a trade union (The Board may allow an exception for such individuals,

directing the amount normally paid for regular union dues to a registered charity chosen by the employee and the union.); applications by parties to a collective agreement to serve notice to bargain following the establishment of a portion of the public service as a Crown corporation and following certain determinations by the Board as to whether the agreement will remain in force, the expiration date if it does remain in force, whether the employees concerned constitute one or more units appropriate for collective bargaining, and which trade union shall be the bargaining agent for each unit. **Topics:** Determination of the existence of a collective agreement; revision of terms of collective agreement; technological change; leave to serve notice to bargain concerning technological change; provision of first agreement; religious objections; portion of the public service established as Crown corporation. **Program Record Number:** CLR OPS 030

Complaints of Unfair Labour Practice

Description: Complaints by persons or organizations that an employer, a trade union or a person has violated or failed to comply with provisions of the Canada Labour Code, Part I (Industrial Relations). **Topics:** Complaints related to failure to bargain in good faith, interference with the bargaining rights of a bargaining agent and changing of conditions of employment without the consent of the bargaining agent during the prescribed bargaining period; complaints alleging offenses by employers such as interference with the formation or administration of a union or the representation of employees by a trade union, or discrimination against employees for union membership, union activity or the exercise of their rights under the Code; complaints alleging violations by trade unions such as trying to force an employer to bargain collectively in respect of employees for whom the union is not the bargaining agent, bargaining with an employer in respect of employees with the knowledge that another organization is the actual bargaining agent, interference in the formation or administration of an employer's organization, unauthorized soliciting of employees during their working hours at their place of employment, requiring the termination of an employee for loss of union membership unless that loss of membership is the result of failure to pay the required union fees, the application of membership rules or disciplinary procedures in a discriminatory manner, and discriminating against a person in matters related to employment or union membership because a person has exercised certain rights or fulfilled specified obligations under the Code; complaints alleging failure of a trade union to represent fairly all employees in the bargaining unit, or failure to establish rules for the referral of members to employment and apply the rules fairly, and alleging failure of a trade union to provide certified financial statements of its affairs to the end of its last fiscal year to any of its members free of charge. **Program Record Number:** CLR OPS 010

Review and Enforcement of Orders

Description: Applications to amend or rescind previous Board decisions or to reconsider previous decisions;

requests to file Board orders in the federal court to give them the weight of an Order of the Court; or applications for the written consent of the Board to prosecute an offense under the Canada Labour Code. **Topics:** Application for review; filing of Board order in court; consent to prosecute. **Program Record Number:** CLR OPS 025

Safety of Employees

Description: Complaints by employees either alleging that their employer has imposed a penalty on them because they refused to work in accordance with their rights under Part II of the Canada Labour Code (Refusal to Work because of a Dangerous Situation, or requesting the Board to review a safety officer's decision concerning a refusal to work on grounds of a dangerous situation). **Program Record Number:** CLR OPS 020

Unlawful Work Stoppage

Description: Applications by an employer (in the case of a strike) or by a trade union (in the case of a lockout) for a declaration that the work stoppage is unlawful and for an order returning employees to work. **Topics:** Applications concerning unlawful strikes; applications in cases of unlawful lockout. **Program Record Number:** CLR OPS 015

Personal Information Banks

Religious Objections

Description: This bank contains applications by individuals who, because of religious beliefs, object to joining a trade union or to paying regular union dues to a trade union. The Board may order that a provision in a collective agreement requiring membership in a trade union or the payment of union dues as a condition of employment, not be applied to such employees. **Class of Individuals:** Individuals who work for companies under federal jurisdiction, as set out in the Canada Labour Code. **Purpose:** Personal information is obtained solely for the purpose of determining whether an employee's objections to membership in a trade union are based on true religious convictions. **Consistent Uses:** Religious objections may occasionally require a public hearing to determine complex issues which could affect the Board's decision regarding the objections. Such a hearing may result in the publication of a written judgement containing the facts surrounding the application and the Board's reasons for granting, or rejecting, the application. **Retention and Disposal Standards:** Simple cases (i.e., those that are withdrawn or settled without the holding of a public hearing) are kept for two years and then destroyed. Other cases are kept by the Board for 10 years, and then transferred to the National Archives of Canada for selective retention. **Related to PR#:** CLR OPS 030 **TBS Registration:** 002331 **Bank Number:** CLR PPU 015

Termination of Bargaining Rights

Description: This bank contains case files concerning applications for revocation of certifications which grant bargaining agents (unions) the right to represent employees in collective bargaining with employers in the

federal jurisdiction. **Class of Individuals:** Individuals who work for companies under federal jurisdiction, as set out in the Canada Labour Code. **Purpose:** Personal information is obtained solely for the purpose of determining whether an individual is a member of the bargaining unit and therefore entitled to express support for or against his or her bargaining agent. **Consistent Uses:** Applications for revocation may occasionally require a public hearing in order to determine complex issues which could affect the Board's decision and may result in the publication of a written judgement which will contain the facts surrounding the application and the Board's reasons for granting or rejecting it. **Retention and Disposal Standards:** Files are kept for five years for successful applications and three years for unsuccessful applications, then transferred to the National Archives of Canada for selective retention. Cases which are withdrawn are destroyed after two years. **PAC Number:** 84-015 **Related to PR#:** CLR OPS 005 **TBS Registration:** 000102 **Bank Number:** CLR PPU 010

Violations of the Canada Labour Code

Description: This bank contains case files concerning complaints by individuals that a section, or sections, of the Canada Labour Code, Parts I and II have been violated by their employer, their union, or another individual. Files may contain information on grievances, work records, or letters from unions or employers containing opinions or comments about individuals. **Class of Individuals:** Individuals who work for companies under federal jurisdiction, as set out in the Canada Labour Code. **Purpose:** Information about individuals is obtained solely for the purpose of bringing about a settlement to the dispute or, failing settlement, for the purpose of adjudication by the Board on the merits of the complaint. **Consistent Uses:** Information contained in case files, along with testimony given at public hearings may be published in written judgements which set out the Board's reasons for rejecting or upholding a complaint. Personal information is used solely for the purpose of settling or deciding on the merits of each case and is published solely for the purpose of explaining the Board's reasons for its decision and its policy in like cases.

Retention and Disposal Standards: Case files are kept for five years if successful and three years if unsuccessful, and are then transferred to the National Archives of Canada for selective retention. Settled cases are kept for the minimum two years required and then destroyed.

PAC Number: 84-015 **Related to PR#:** CLR OPS 010 **TBS Registration:** 000101 **Bank Number:** CLR PPU 005

Classes of Personal Information

Other Personal Information Banks

Other case files and subject files held by operational services may contain personal information such as names of individuals, addresses, position titles, job descriptions, salaries, and membership in a trade union. The information is not retrievable by personal identifiers and is collected solely for the purpose of administering the Canada Labour Code. All files are organized by file number and are maintained by operational services at headquarters in

Ottawa. Regional offices retain duplicate files for the purposes of investigation until the settlement of a case. Some personal information such as job descriptions and salaries may be published in written judgements where it is pertinent to the background of a case in which the Canada Labour Relations Board decides its decision requires formal elaboration. Such information is usually the result of testimony given at public hearings.

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Board and its various programs and functions may be directed to:

Head Office

C.D. Howe Building
240 Sparks Street
4th Floor West
Ottawa, Ontario
K1A 0X8
(613) 996-9466
Telex: (613) 053-4426

Atlantic Region

Queen Square, 6th Floor
45 Alderney Drive
Dartmouth, Nova Scotia
B2Y 2N6
(902) 426-7068

Central Region

One Lakeview Square
Suite 300
155 Carlton Street
Winnipeg, Manitoba
R3C 3H8
(204) 983-3145

Ontario Region

5th Floor East
1 Front Street West
Toronto, Ontario
M5J 1A5
(416) 973-3782

Quebec Region

Place de la Cathédrale
600 de Maisonneuve West
Suite 700 — 7th Floor
Montreal, Quebec
H3A 3J2
(514) 283-3258

Western Region

757 West Hastings Street
Room 410
Vancouver, British Columbia
V6C 1A1
(604) 666-6001

Reading Room

The Board's library has been designated as a reading room. The address is:

C.D. Howe Building
240 Sparks Street
4th Floor West
Ottawa, Ontario

Canada Lands Company Limited

Chapter 10

General Information

Background

The Canada Lands Company Limited has, by virtue of its letters patent of incorporation, the power to acquire, purchase, lease, hold, improve, manage, exchange, sell, turn to account or otherwise deal in or dispose of real or personal property or an interest therein. However, it has been used only to hold certain leasehold interests in two properties in London, England, and two properties on Indian reserves in Canada. The Corporation is also the sole or majority shareholder in four subsidiary corporations.

Organization

The Canada Lands Company Limited has no staff or budget. Its three directors are employees of Public Works and Government Services Canada.

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

For further information about the functions and activities of the Canada Lands Company Limited, please contact:

Director
Crown Corporations
Realty Services Branch
Room B-401, Sir Charles Tupper Building
Riverside Drive
Ottawa, Ontario
K1A 0M2
(613) 736-2220

Canada Mortgage and Housing Corporation

Chapter 11

General Information

Background

Canada Mortgage and Housing Corporation (CMHC) was incorporated as a Crown corporation in January, 1946, by an Act of Parliament. CMHC has authority to act for the Government of Canada in all matters prescribed under the Housing Acts, principally the National Housing Act (NHA). CMHC reports to Parliament through a designated Minister. Its affairs are managed by a board of directors consisting of the chairman of the board, the President, five members from the public at large and two members from the public service, all appointed by the Governor-in-Council.

Responsibilities

The functions of CMHC, as stated in the National Housing Act, are to promote the construction of new houses, the repair and modernization of existing housing, and the improvement of housing and living conditions. Some of these programs have been used to further government objectives such as economic growth, job creation and energy conservation. CMHC activities are grouped into four components: Government Programs, Administered Funds, Asset Administration and Services to Others.

Legislation

- Canada Mortgage and Housing Corporation Act
- Financial Administration Act
- National Housing Act and Regulations

Organization

◆ Finance, Corporate Services and Treasury Sector

This sector is responsible for the policies, procedures, standards and administration of the entire sphere of the business systems required to support the operational needs of the Corporation and financial processes. It includes Financial Planning, Financial Services, Administration, Information Technology, Corporate Security and Emergency Preparedness, Capital Markets, Treasury Operations and Pension Fund.

◆ Human Resources and Organizational Directorate

This directorate is responsible for developing and maintaining policies and procedures as well as providing advice and support within the entire sphere of human resources management. It includes Human Resources

Development and Organizational Effectiveness, Employee Relations, Compensation and Occupational Health and Safety and HR Advisory and Coordination Services.

◆ Insurance, Land and Asset Administration Sector

This sector consists of Insurance Products Division, Mortgage Insurance Operations Centre, Mortgage-Backed Securities Centre, Insurance Services and Risk Management, Professional Services and Standards and Land Management Division. This sector is responsible for the operation of the CMHC mortgage insurance program, the Mortgage-Backed Securities Program, for the disposal of all categories of real estate assets and for providing technical support through consultation and advisory services.

◆ Operations Audit Division

Performs comprehensive audits of functions, programs, systems and Federal/Provincial Agreements. In addition, conducts special audits involving non-profit and co-op sponsors, real estate fee managers and approved lenders.

◆ Policy, Research and Communications Sector

This sector consists of the Strategic Planning Division, the Social and Economic Policy and Research Division, the Technical Policy and Research Division, the Program Evaluation Division, the International Relations Division, Marketing and Public Affairs, the Market Analysis Centre and the Finance and Administration Unit.

It is responsible for Part IX of the National Housing Act, under which CMHC has a mandate to undertake and support research into housing conditions and the adequacy of existing accommodation and community planning, and to disseminate the results to consumers, industry, governments and others.

The Sector's activities also include strategic planning, research coordination, government liaison, policy development, program evaluation, corporate marketing and communications, forecasting economic and housing market trends, and facilitating information exchange and technology transfer in the international arena.

◆ Programs, General Counsel and Corporate Secretariat

This sector consists of the Direct Portfolio Operations Division, Delivery and Federal-Provincial Relations Division, Legal Division, Access to Information and Privacy Office, and the Corporate Secretariat.

Information Holdings

Program Records

Appraisals

Description: Correspondence and information on appraisal support services for CMHC program operations. **Topics:** Monitoring and evaluation of projects, maximum unit prices, land values and land services costs, monitoring basic rates; mobile homes; rehabilitation procedures and costs; appraisal training and workshops. **Access:** Files arranged by project. **Program Record Number:** CMH IAA 175

Auditing Services

Description: Information on the Annual Statutory Audit and other special audits contracted to the External Auditors. Information on the Special Examination carried out by the External Auditors at a frequency not greater than every five years. Information on audit policy, standards, practices and the results/opinions of audits conducted to assess the management, administrative and operating practices within CMHC and its delivery agents or provincial/territorial partners pertaining to their delivery of Federal/Provincial cost-shared social housing programs. **Topics:** External Audits; Special Examination Reports; and Internal Audits. **Access:** Within each topic, files are arranged by policy, general administration and audit entity. **Program Record Number:** CMH OAD 352

Canada Home Renovation Plan (CHRP) (Program terminated)

Description: Information on the administration and monitoring of the Canada Home Renovation Plan. **Topics:** Regulations; eligible areas, properties, property standards; financing and security; CMHC agents; applications and loan process; program interfaces; inspection requirements. **Access:** Individual loan case files. Program was terminated 12 July 1983. **Storage Medium:** EDP systems. **Program Record Number:** CMH PSO 095

CMHC Inspection Services

Description: CMHC internal inspections and external contracts (on a fee-for-service basis) to provide services such as housing inspections. **Topics:** Service to clients of CMHC programs; Canadian Home Insulation Program (CHIP); Home Warranty Program; Canadian General Standards Board; Canadian Standards Association; inspection training; technical bulletins; metric conversion; general engineering data on residential construction. **Access:** Files arranged by project. All MURB certificates have been transferred to the Department of National Revenue (Taxation). All files and applications have been retained at CMHC. CHIP Program was terminated December 31, 1986. **Program Record Number:** CMH IAA 350

Default Management

Description: Correspondence and information on the control of approved lenders' and CMHC direct-insured loans in arrears. **Topics:** Interventions to salvage projects; approved lenders' arrears reports; correspondence with approved lenders and CMHC field offices; monthly statistical arrears reports; transcript of accounts for centralized CMHC direct-insured loans. **Access:** Arrears reports arranged chronologically by lender. **Storage Medium:** Individual loan files are partly on EDP; transcript of accounts are on microfilm. **Program Record Number:** CMH IAA 120

Demonstration Projects

Description: Information on specific projects undertaken to test the feasibility and cost of particular new and alternative housing designs and concepts. **Topics:** Submissions and briefs; feasibility studies; planning and design; agreements; details of projects; selection of consultants; contracts. **Access:** By project or activity. **Program Record Number:** CMH PDR 235

Emergency Repair Program

Description: Information on the program which provides funds to clients living in housing that has serious health and safety hazards. **Topics:** Funding; repairs; native status; location. **Access:** By province and by individual files. **Program Record Number:** CMH PSO 051

Energy Conservation (Program terminated)

Description: Information and correspondence on CMHC programs prior to October 28, 1980, to assist home-owners in upgrading the insulation of older homes. **Topics:** Home Insulation Program (HIP), Canadian Home Insulation Program (CHIP), National Energy Program. **Access:** Files arranged by province. HIP was terminated December 31, 1981, and CHIP was terminated December 31, 1986. **Program Record Number:** CMH IAA 340

External Research

Description: Information and correspondence on grants in support of research on priority areas in the social, economic, legislative, environmental, physical or administrative aspects of housing. **Topics:** Program enquiries, administration, mailing lists and advertising; selection committee; program policies and planning. **Access:** Files arranged by activity. **Program Record Number:** CMH PDR 185

Federal-Provincial Housing

Description: Information on federal-provincial interdepartmental agreements, and other intergovernmental contractual agreements; information on the costs of public and social housing projects shared by federal-provincial partnership and provincially leased. **Topics:** Federal-provincial public housing agreements; rural and native housing agreements; miscellaneous agreements under various sections of the National Housing Act; interdepartmental agreements; management of projects;

inspections; rental scale study; rent supplement program; CMHC subsidies; individual public housing projects.

Access: Files arranged by province, municipality and project. **Program Record Number:** CMH PSO 050

Grant Assistance (program terminated)

Description: Information on grant assistance under the Canadian Home-ownership Stimulation Plan and the Canada Mortgage Renewal Plan. **Topics:** Applications; grant assistance; termination of assistance; statement of accounts; program statistical and analytical reports; applicant files, including the certification by the lender and the application. **Access:** Grant case files arranged by individual. Programs terminated December 31, 1983. **Program Record Number:** CMH IAA 140

Home Improvement Loan

Insurance Fund (program terminated)

Description: Administration of the Home Improvement Loans Fund. **Topics:** Lenders' reports of outstanding loans; bad debt collection reports; ledger cards (bad debts); contingent liability register. **Access:** By lender and borrower. Program terminated in 1986. **Program Record Number:** CMH IAA 130

Housing Advisory Documents

Description: Information on research and development of community and building standards and guidelines. **Topics:** Site planning; noise; grading and landscaping; insulation; internal space in dwellings; condensation, ventilation, vapour barriers and air quality; air barriers; windows, doors, walls and floors; moveable insulating devices; mobile homes; nursing homes; septic tanks; radon gas; wood foundations; fire ratings; wood framing techniques; shell housing; log houses; density of housing; leisure facilities; parking; facilities for disabled and elderly; housing access; home security. **Access:** By subject. **Program Record Number:** CMH PDR 195

Housing Authorities

Description: Information on the formation of housing authorities and appointment of members to boards responsible for administering federal-provincial low-rental housing projects. **Topics:** Federal representatives to nominating committees; formation of housing authority boards and membership; federal representatives on boards. **Access:** Files arranged by municipality. **Program Record Number:** CMH PDR 305

Housing Design

Description: Information on standards, guidelines, graphics, and presentations. **Topics:** Site planning; federal-provincial housing plans; audio-visuals on landscape; design for emergency accommodation; care facilities for the elderly. **Access:** Files arranged by project. **Program Record Number:** CMH IAA 165

Housing Survey Data

Description: Data acquired through regular Corporation surveys or from Statistics Canada reports. **Topics:**

Conventional lending, housing starts and completions; market absorption; apartment vacancies and rents; census and ad-hoc survey information. **Access:** Files arranged by geographic location and survey. **Storage Medium:** EDP systems. **Program Record Number:** CMH PDR 230

Housing Technology

Description: Information on technical and engineering services necessary to meet the current program delivery needs and objectives. **Topics:** Technical builders' bulletins; CMHC-prescribed standards of construction; Residential Rehabilitation Assistance Program monitoring. **Program Record Number:** CMH IAA 170

Housing Technology Incentives (Program terminated)

Description: Information and correspondence on grants to inventors and others to help pay for developing and testing innovative housing ideas and products. **Topics:** Program enquiries; policies, planning and administration; mailing lists and advertising; consultations; selection committee. **Access:** Files arranged by activity. Program terminated October 1995. **Program Record Number:** CMH PDR 200

Insurance Claims

Description: Information on insurance claims and documentation of individual claims against the Mortgage Insurance Fund. **Topics:** Individual insurance claim files; claim form; title documents, and other supporting documents such as transcript of mortgage account, copies of invoices, etc. **Access:** Files are arranged by docket. **Program Record Number:** CMH IAA 125

Interest Deferral (Program terminated)

Description: Information on the application for and approval of assistance for mortgage renewal by interest deferral. **Topics:** Applications; approvals; deferral arrangements; statements of account; termination of assistance; arrears reporting; retroactivity; applicant files, including certification by lender and application forms. **Access:** Files arranged by individual, certification number and Canada Mortgage Renewal Plan (CMRP) number. Program terminated December 31, 1983. **Program Record Number:** CMH IAA 135

International Housing Organizations

Description: International agreements and correspondence on bilateral and multilateral housing issues. **Topics:** Organization for Economic Co-operation and Development (OECD); United Nations Commission on Human Settlement (UNCHS); major UN conferences; international governmental and non-governmental organizations; special international projects. **Access:** Files arranged by international organization and country. **Program Record Number:** CMH PDR 310

Land

Description: Information on loans provided to municipalities and provincial agencies for the acquisition, planning and

servicing of land. This Program terminated on December 31, 1978. Information on CMHC planning, developing or redeveloping its lands that are under-utilized or surplus to its needs as well as lands owned in partnership with municipalities and provincial agencies on a cost-sharing basis to provide land for market and affordable housing. Information on CMHC offering its land development expertise on a fee and cost-recovery basis to other Federal departments and agencies with the objective of developing/disposing of lands within the Federal guideline of maximizing returns. **Topics:** CMHC-owned lands; federal-provincial land projects; redevelopment of veterans housing; managed land disposal and affordable housing. **Access:** Files arranged by project, province and municipality. **Program Record Number:** CMH IAA 085

Market Analysis (MAC)

Description: Information reports and analysis on local, provincial and national housing markets. **Topics:** Mortgage market; renovation market; national housing outlook, interest rate forecasts, housing markets by Census Metropolitan Area (CMA), economic forecasts. **Access:** Files arranged by CMA, or by quarter or annually on a national basis. **Program Record Number:** CMH PDR 101

Mortgage Administration

Description: Policy and correspondence on administration, monitoring and evaluation of loan activity under the National Housing Act. **Topics:** Mortgage documents; taxes; loan advances; statement of accounts; loan amortization and repayment; assistance reviews; rental reviews; mortgage renewals; mortgage sales; non-amortization of accounts; re-amortization of accounts; discharges; partial discharges; easements. **Access:** Files arranged by loan case file. **Program Record Number:** CMH IAA 115

Mortgage Rate Protection Program

Description: Documentation for administration of Mortgage Rate Protection Program (MRPP). **Topics:** Lender certification, claims application; benefit payment record; statistical and analytical reports. **Access:** The files are arranged by individual, lender certification number and MRPP number. **Program Record Number:** CMH IAA 160

Municipal Incentive Grants (Program terminated)

Description: Information on the encouragement of municipalities to develop land for modest-size, medium density housing units. **Topics:** Policy, procedures and enquiries; individual municipalities' requests for payments. **Access:** Statistical information arranged by province and municipality. This program was terminated December 31, 1978. **Program Record Number:** CMH IAA 080

Municipal Infrastructure (Program terminated)

Description: Information on loans and grants provided to municipal authorities for water and sewage treatment systems and related studies. **Topics:** Individual loan and grant applications; federal-provincial joint committees; program monitoring; regional sewerage and water plan

studies. **Access:** Statistical information arranged by province and municipality. This program was terminated in April, 1980. **Program Record Number:** CMH IAA 075

Neighbourhood Improvement Program (NIP) and Residential Rehabilitation Assistance Program (RRAP)

Description: Information on the administration and delivery of the Neighbourhood Improvement and Residential Rehabilitation programs. **Topics:** Inter-program impact; program development; property inventory; training seminars; rehabilitation standards; conversion studies; and renovations for the disabled. **Access:** Neighbourhood Improvement Program information is arranged by municipality and neighbourhood; Residential Rehabilitation Assistance Program, by individual case loan. NIP was terminated December 31, 1984 and the rental component of RRAP was terminated July 1989. Homeowner RRAP and RRAP for the Disabled were terminated December 31, 1993. However, both Programs were reinstated in February 1994 for a two-year period. Rental and Rooming House RRAP was reintroduced for 1994/95. In December 1995, a further extension to March 31, 1997 was granted for all RRAP lines. **Storage Medium:** EDP Systems. **Program Record Number:** CMH PSO 090

NHA Mortgage-Backed Securities

Description: Correspondence, documents, and information on the delivery, administration and monitoring of the MBS program. **Topics:** Issuer approvals; applications and approvals to issue mortgage-backed securities; monthly accounting reports; analytical reports; policies and procedures. **Access:** Records maintained by MBS pool and by issuer. **Storage Medium:** Manual files and EDP system. **Program Record Number:** CMH IAA 107

Non-Profit and Co-operative Housing

Description: Information on provincial, municipal and private non-profit corporations and Indian Bands operating rental housing projects for persons of low and moderate income, and co-operatives providing housing for their low and moderate-income members. Family Violence Initiatives: a) Project Haven — forgivable loans to community based non-profit groups and Indian Bands for projects providing emergency shelter to victims of family violence and their children. Program ended March 31, 1992. b) Next Step — forgivable loans to community-based non profit groups and Indian Bands for projects offering second-stage housing for victims of family violence when they leave emergency shelters. Program extended to March 31, 1996, with addition of component to rehabilitate existing shelters to meet health and safety standards. Program further extended to March 31, 1997. **Topics:** Federal-provincial agreements; subsidy assistance; monitoring and advertising; rental scales; charter approval; unit sales, sales tax rebates and exemptions; annual reporting; construction management and rehabilitation; housing acquisition techniques; insured loans; land lease; rural and urban native housing; proposal development funding; CROP. **Access:** Loan files arranged by province and locality as well as by loan files. The Federal

Cooperative Housing Program was terminated 31 December 1991 and the Non-Profit Program was terminated December 31, 1993. **Storage Medium:** Insured loans on microfilm. **Program Record Number:** CMH PSO 055

Program Evaluation

Description: Information relating to program evaluations of CMHC housing programs. **Topics:** Market housing programs including the Mortgage Loan Insurance Program; Social Housing programs including Non-Profit and Federal Co-operative Housing Programs, Public Housing Programs, Rent Supplement Program, On-Reserve Housing Program, Rural and Native Housing Program (RNH) and Residential Rehabilitation Assistance Program (RRAP). **Access:** Arranged by subject area. **Program Record Number:** CMH PDR 225

Programs Delivery

Description: CMHC's participation, from October 1980 to March 1986, with the Department of Energy, Mines and Resources in the delivery of federal assistance to upgrade the insulation of older homes. **Topics:** Administration and contractual arrangement with the Department of Energy, Mines and Resources; budget and program forecasts; activity reports. **Program Record Number:** CMH IAA 345

Rehabilitation Skills Training

Centre (RSTC) (Program terminated)

Description: Program terminated December 31, 1984. Course material is used for the training of RRAP Delivery Agents with courses delivered through Community Colleges. **Topics:** The RSTC provides technical skills training to inspectors, and covers topics such as inspection process, specification writing and cost estimating. **Access:** By subject. **Program Record Number:** CMH PSO 100

Research and Development Projects and Activities

Description: Information on research and development projects, activities and plans, and the distribution of resulting information. **Topics:** Housing needs supply and assistance; housing finance, mortgage and capital markets; rental housing, special needs, housing quality and technology, international housing issues, sustainable development, exchange of housing information and data acquisition with consumers, agencies and industries; research and activity plans and support. **Access:** By subject area and/or contractor. **Program Record Number:** CMH PDR 180

RNH Training Funds Program

Description: Studies and training in support of Rural and Native Housing (RNH) programs. **Topics:** Rural and Native Housing Task Force; native housing policy proposals; non-status Indian construction companies; information about sustaining grants; Rural and Native Housing Secondment Program, and training plans. **Access:** Files arranged by province. **Program Record Number:** CMH PSO 060

Rural and Native Demonstration Program

Description: This program involved the delivery of approximately 500 demonstration housing units. **Topics:** Correspondence; executed contracts; tender documentation and general information about the program's objectives and approval process. **Access:** Retrievable by project or activity. Program terminated in 1990. **Program Record Number:** CMH PSO 236

Rural and Native Housing Program

Description: The program subsidizes housing on a home ownership, lease-to-purchase or rental basis for households in rural and remote areas with population under 2500. **Topics:** Consultation with provincial/territorial authorities and native associations to identify rural and remote communities with housing needs. **Access:** Files arranged by province. Program terminated December 31, 1993. **Program Record Number:** CMH PSO 065

Scholarship and Awards Programs

Description: Information and correspondence on the provision of graduate scholarships in support of advanced education in housing and community planning or awards in housing-related areas. **Topics:** Applications; advertising; awards committee; program planning. **Access:** Files arranged by activity. This program was terminated in March 1995. **Program Record Number:** CMH PDR 190

Underwriting

Description: Correspondence and documents on the analysis, monitoring and evaluation of loans, mortgages and guarantees. **Topics:** Simplification of mortgage forms; claims risks and forecasts; underwriting policies and procedures; loan regulations; mortgage loan underwriting training; new developments in the mortgage insurance market; loan acquisition review; various types of mortgages. **Access:** Files arranged by loan case file. **Storage Medium:** EDP system. **Program Record Number:** CMH IAA 105

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Budgets

Buildings

Buildings and Properties

Classification of Positions

Co-operation and Liaison

Employment and Staffing

Equipment and Supplies

Finance**Furniture and Furnishings****Human Resources****Lands****Occupational Health, Safety and Welfare****Office Appliances****Official Languages****Pensions and Insurance****Personnel****Procurement****Salaries and Wages****Staff Relations****Training and Development****Utilities****Vehicles****Personal Information Banks****Access to Information and Privacy Requests**

Description: This bank contains the Access to Information and Privacy request files, the replies to such requests, and information related to their processing.

Class of Individuals: Individuals requesting access to records under the Access to Information Act and the Privacy Act. **Purpose:** The purpose of this bank is to process access requests only. **Retention and Disposal Standards:** Records are retained for three years following closure of the files. **Related to PR#:** CMH CRS 901 **TBS Registration:** 001931 **Bank Number:** CMH PPU 100

Board of Directors

Description: The bank contains correspondence relating to recommended nominees, the Order-in-Council appointing the member, a brief biography, statement of qualifications, statement of acceptances, oath of fidelity and secrecy and statement of conflict of interest. **Class of Individuals:** Candidates for appointment and appointees to the CMHC Board of Directors. **Purpose:** The purpose of the bank is to assist in the appointment of individuals as directors of CMHC and to record information regarding their services as directors, including declarations on conflict of interest. **Consistent Uses:** The information in this bank is used for reporting required for Treasury Board Secretariat and the Privy Council Office. **Retention and Disposal Standards:** Records are retained for two years after a director ceases to hold office. **Related to PR#:** CMH CRS 903 **TBS Registration:** 001930 **Bank Number:** CMH PPU 095

Canada Mortgage and Housing Corporation Directed Research

Description: This bank maintains data on candidates suitable for consideration to conduct CMHC-directed research in housing. The bank contains the following types of information: curricula vitae, references, letters

of evaluation, review and evaluation comments. **Class of Individuals:** Professionals, consultant firms and individuals, research and technical firms. **Purpose:** The purpose of this bank is to maintain an inventory of individuals capable of undertaking housing research.

Consistent Uses: The information contained in this bank is used to maintain statistics relating to research and development activity. **Retention and Disposal Standards:** Records are retained indefinitely and updated regularly.

Related to PR#: CMH PDR 180 **TBS Registration:** 001928 **Bank Number:** CMH PPU 080

Claims and Action By or Against CMHC

Description: This bank is established to record mandates to external legal counsel, legal opinions, decisions, information and judgements concerning claims by or against the Corporation. **Class of Individuals:** Legal counsel and individuals involved in legal actions. **Purpose:** The purpose of this bank is to effect satisfactory settlement of claims against or by CMHC or to present CMHC's case before the courts. **Consistent Uses:** The information contained in this bank is used to report to CMHC's Board of Directors, to auditors and to the minister responsible for CMHC. **Retention and Disposal Standards:** Records are retained for 10 years following the closure of the files, then transferred to the National Archives of Canada. **Related to PR#:** CMH CRS 903 **TBS Registration:** 001927 **Bank Number:** CMH PPU 075

Construction, Repairs, Maintenance Suppliers and Consultant Services Contracts

Description: The bank provides a complete record of all matters relating to the administration of each contract including the control of payments. Data contained in the bank includes requests for contracts of service from CMHC, tender or service forms, the contract and correspondence. **Class of Individuals:** Service contractees. **Purpose:** The purpose of this bank is to retain information on contractors, entrepreneurs, consultants and suppliers, as well as on the services they provide or products they supply or produce. **Retention and Disposal Standards:** Records are destroyed seven years after the lease is terminated or the premises are vacated, whichever is later. **TBS Registration:** 001926 **Bank Number:** CMH PPU 070

External Research

Description: This bank was created for administering the review of applications for funding under Part IX of the National Housing Act (NHA) and for the administration of funds to those approved for external research grants in areas related to housing policies and programs. It contains curricula vitae; research or work proposals; references; letters of evaluation and the review committee members' comments on each proposal, grant agreements and project reports. **Class of Individuals:** Persons interested in undertaking independent research on housing and related topics. **Purpose:** The purpose of this bank is to determine recipients of grants from applicants seeking financial support for independent research undertakings.

Consistent Uses: The information contained in this bank is used to announce grant recipients, administer grant agreements, and maintain statistics on program activities and applicants. The information may also be used in connection with appointments to the Selection Committee. **Retention and Disposal Standards:** Files are retained for six years after cessation of the project. **Related to PR#:** CMH PDR 185 **TBS Registration:** 001934 **Bank Number:** CMH PPU 115

Federal Housing Action Program System (FHAP)

Description: This bank maintains information on the current status of the Assisted Rental Program (ARP) accounts. **Class of Individuals:** Borrowers eligible to receive assistance under the ARP. **Purpose:** The purpose of this bank is to administer the ARP and to produce automated monthly cheques for eligible borrowers. **Consistent Uses:** The information is used to provide analysis and statistical reports. **Retention and Disposal Standards:** Direct loans files are retained for two years; multiples, rentals and condominium projects are retained for five years following full payment of mortgage. **Related to PR#:** CMH CRS 914 **TBS Registration:** 001914 **Bank Number:** CMH PPU 010

Home Improvement Loans Files

Description: The bank contains personal and financial characteristics pertinent to the obtaining of loans and to collection action. **Class of Individuals:** Applicants for home improvement loans. **Purpose:** The purpose of this bank is to create and maintain individual files on home improvement loan borrowers who have obtained loans from any designated accredited lending agency under the NHA for purposes of improving their housing units. **Consistent Uses:** The information in this bank is used for the issuance of the quarterly arrears report. **Retention and Disposal Standards:** Records are retained for two years following maturity or other termination of the loan; if the loan is secured by a promissory note, the file is retained for eight years. The quarterly arrears report is destroyed two years after the date of the report. Program terminated in 1986. **Related to PR#:** CMH IAA 130 **TBS Registration:** 001921 **Bank Number:** CMH PPU 045

Housing Policy and Research Information Distribution

Description: This bank is comprised of mailing lists containing the names and addresses of individuals and firms interested in obtaining acquisition lists and information on CMHC research publications. **Class of Individuals:** The information in the bank relates to persons, firms and agencies interested in long-term research and in CMHC research and development activities. **Purpose:** The information was obtained to mail information on library acquisitions and research publications to interested parties. **Retention and Disposal Standards:** Individual names are deleted upon request. These files are retained for two years. **TBS Registration:** 003683 **Bank Number:** CMH PPU 085

Housing Rehabilitation and Renovation

Description: The bank contains data on each applicant and property for NHA loans and grants for residential rehabilitation and renovation under the Residential Rehabilitation Assistance Program (RRAP), the Canada Home Renovation Plan (CHRP), the Rural and Native Housing Emergency Repair Program (ERP); the Home Adaptation for Seniors Independence (HASI) Program. HASI was a 2-year program which terminated on March 31, 1994 but then was re-introduced in April 1996 until March 31, 1997; Remote Housing Program (1994, April 1996 and March 1997); and "les maisons lézardées" to end March 31, 1997. **Class of Individuals:** Recipients of housing rehabilitation assistance. **Purpose:** The purpose of this bank is to assess applicants' eligibility and financial capability, and to administer loans and grants. **Consistent Uses:** The information in this bank is used for analytical and reporting purposes and to investigate cases of suspected fraud. **Retention and Disposal Standards:** Home-ownership — Documents received from local offices are retained on a microformat at the national office for two years following the term of the loan. In the local office, all loan documentation is retained for a period of six months following the issuance of a Certificate of Insurance, (not applicable for RRAP and ERP) and then transferred to the local National Archives of Canada facility for a period of seven years. HASI files are retained for 5 years after completion of project. **Related to PR#:** CMH IAA 345 **TBS Registration:** 001919 **Bank Number:** CMH PPU 035

Housing Surveys — List of Contacts

Description: This bank contains a list of contact persons, the contact's address, and telephone number. **Class of Individuals:** Contact persons who can provide responses to the surveys. **Purpose:** The list of contact persons is used to obtain structure data in order to monitor new constructions and housing market activity. **Retention and Disposal Standards:** The records are retained until superseded by the records of a new contact person. **TBS Registration:** 003136 **Bank Number:** CMH PPU 130

Housing Technology Incentives Program

Description: This bank was used to review applications for funding under the Housing Technology Incentives Program and to administer the funds to those approved. It contains: names, addresses, details of the proposals, grant agreements, requests for payments and project reports. **Class of Individuals:** Inventors and product developers, firms and individuals. **Purpose:** The purpose of this bank was to determine recipients of Housing Technology Incentives grants and to administer the grants. **Consistent Uses:** The information contained in the bank was used to announce grant recipients, administer agreements and maintain statistics on program activities. **Retention and Disposal Standards:** Records are destroyed five years after their submission to CMHC. **Related to PR#:** CMH PDR 200 **TBS Registration:** 001929 **Bank Number:** CMH PPU 090

Investigative Bodies

Description: This bank contains "Requests for Disclosure to Investigative Bodies" of CMHC case files (loans, grants, etc.) by federal/provincial investigative bodies covered by federal/provincial agreements under the Privacy Act.

Class of Individuals: Individuals named by investigative bodies. **Purpose:** The purpose of this bank is to assist in investigations by investigative bodies named in federal/provincial agreements under the Privacy Act.

Retention and Disposal Standards: Records are retained for five years following the request. **TBS**

Registration: 001933 **Bank Number:** CMH PPU 110

Investors Settlement System (ISS)

Description: The bank contains information, such as the administration fee code and investor name and code, to support remittances to investors for sold mortgages administered by CMHC. **Class of Individuals:** Investors for sold mortgages administered by CMHC. **Purpose:**

The purpose of this bank is to provide a business system which supports remittances to investors for sold mortgages administered by CMHC. **Retention and Disposal Standards:** Records are retained for five years after settlement. **Related to PR#:** CMH CRS 914 **TBS**
Registration: 001916 **Bank Number:** CMH PPU 020

Lawyers and Notaries Appointed Agents of CMHC

Description: This bank contains information on lawyers and notaries appointed by the government as agents of CMHC. **Class of Individuals:** Lawyers and notaries appointed to act as legal agents for CMHC. **Purpose:** The purpose of this bank is for internal accounting and monitoring of activity. **Consistent Uses:** The information contained in this bank is used for reports to the minister responsible for CMHC. **Retention and Disposal Standards:** Records are retained for seven years after appointment is terminated. **Related to PR#:** CMH CRS 903 **TBS**
Registration: 001925 **Bank Number:** CMH PPU 065

List of Landlords

Description: This bank contains the names and addresses of landlords from whom CMHC leases property, descriptions of the property and the amount of the rental. **Class of Individuals:** Entrepreneurs who rent property to CMHC. **Purpose:** The purpose of this bank is to establish a list of persons from whom the Corporation leases property/accommodation. **Retention and Disposal Standards:** Files are retained for seven years after termination of lease and then destroyed. **TBS**
Registration: 001924 **Bank Number:** CMH PPU 060

List of Potential Purchasers of Housing Projects

Description: This bank contains a record of information on individuals and companies interested in purchasing CMHC-owned projects. The data includes general mailing information and the necessary information in determining if an individual or company would be interested in a property that CMHC is proposing to sell (i.e. location and size of project). **Class of Individuals:** Individuals and companies submitting proposals for the purchase of

CMHC-owned real estate and those showing an interest.

Purpose: The purpose of this bank is to provide a source of interested buyers for CMHC housing projects. **Retention and Disposal Standards:** The records of potential investors are retained until they wish no further correspondence from CMHC. **Related to PR#:** CMH CRS 905, 906, 907 **TBS**
Registration: 001922 **Bank Number:** CMH PPU 050

Mortgage Rate Protection Plan

Description: This bank contains information on individuals applying for assistance under the Mortgage Rate Protection Plan (MRPP). **Class of Individuals:** Individuals holding a mortgage under the plan. **Purpose:** The purpose of this file is to administer MRPP loans. **Retention and Disposal Standards:** Records are retained for two years after the expiration of the contract. **Related to PR#:** CMH IAA 115 **TBS**
Registration: 001932 **Bank Number:** CMH PPU 105

National Housing Act (NHA) Insured Loans Files

Description: This bank is comprised of all loans made since 1961 under sections 8.1 (formerly 6), 57 (formerly 34.15), 15.1 (formerly 58) and 98 (formerly 59) of the NHA. Records include details on applicants, income, age, family composition, loan and property characteristics. Records also include information obtained through the process involved in the payment of a claim received due to a defaulting borrower such as financial information, legal documentation, income verification, credit reports, and verification of down payment. **Class of Individuals:** Applicants for NHA insured loans and defaulting borrowers. **Purpose:** The purpose of this bank is to document both the underwriting and claims payment processes. **Consistent Uses:** The bank is used for statistical purposes, for program evaluation, audit trails, and to investigate cases of suspected fraud. **Retention and Disposal Standards:** Home-ownership: In the local office, all loan documentation is retained for a period of six months following the issuance of a Certificate of Insurance and then transferred to the local National Archives of Canada facility for a period of seven years. Multiples, rentals and condominium projects: In the local office, all loan documentation is retained for a period of six months following the issuance of a Certificate of Insurance and then transferred to the local National Archives of Canada facility for a period of 15 years. Any claims received/paid containing a judgment are kept at the National Archives of Canada indefinitely. Documents received from local offices are retained on a microformat at the national office for two years following the life of the mortgage. Information is also maintained on an EDP system. **Related to PR#:** CMH IAA 115 **TBS**
Registration: 001920 **Bank Number:** CMH PPU 040

National Housing Act (NHA) Mortgage Assistance

Description: The Canadian Home-ownership Stimulation Plan (CHOSP) and the Canada Mortgage Renewal Plan (CMRP) files contain personal information provided by applicants on their financial and loan details, personal income, etc. These programs were terminated December 31,

1983. **Class of Individuals:** Home-owner applicants for CHOSP and CMRP. **Purpose:** The purpose of this bank is to determine eligibility for grant assistance and to administer CHOSP and CMRP programs. **Consistent Uses:** Information contained in this bank is used for analytical, reporting and research purposes. **Retention and Disposal Standards:** CMRP and CHOSP files are kept for 10 years after programs are terminated. **Related to PR#:** CMH IAA 140 **TBS Registration:** 001917 **Bank Number:** CMH PPU 025

National Housing Act (NHA)

Mortgage Loan Administration Files

Description: The NHA loan files include information such as employment, salary, dependents, financial statements, management capabilities, and copies of correspondence relating to the repayment and ongoing administration of the mortgage loan. **Class of Individuals:** Individual borrowers under the NHA. **Purpose:** The purpose of this bank is to determine eligibility and administer loans. **Retention and Disposal Standards:** Records are retained for two years after loan is terminated. **Related to PR#:** CMH IAA 115 **TBS Registration:** 001915 **Bank Number:** CMH PPU 015

NHA Mortgage Backed Securities Program

Description: Information on individual investors maintained by a central payer and transfer agent (CPTA) on behalf of the program and under contract to CMHC. **Class of Individuals:** Investors (institutional and retail, i.e. individuals) who own an NHA Mortgage-Backed Security. **Purpose:** The purpose is to record ownership of MBS certificates, permit payment to investors, allow dissemination of information to investors. **Retention and Disposal Standards:** Dormant 7 years following pay out of certificate or completion of all administration action. Records will be retained for a period of at least 10 years. **TBS Registration:** 003696 **Bank Number:** CMH PPU 125

Rural and Native Housing Program/Client Information

Description: The bank is used to maintain files on homeowner clients of CMHC's Rural and Native Housing Program. It contains personal and financial information, including client characteristics, and repayment patterns. **Class of Individuals:** Native and rural residents requiring housing assistance. **Purpose:** The purpose of this bank is to monitor and administer the program and its delivery. **Consistent Uses:** The information in this bank is used for statistical and accounting purposes and to monitor demographic changes. It is also used to investigate cases of suspected fraud. **Retention and Disposal Standards:** Direct loan files are retained for two years; multiples, rentals and condominium projects are retained for five years following full payment of mortgage. **Related to PR#:** CMH PSO 060 **TBS Registration:** 001918 **Bank Number:** CMH PPU 030

Scholarship Program and Awards Programs

Description: This bank was created for the administration and review of applications under Part IX of the National

Housing Act (NHA) for university scholarships or awards in housing-related areas. It contains the following: curricula vitae, research or work proposals, references, letters of evaluation, and the review committee members' comments on each proposal. Social insurance numbers are collected pursuant to the Income Tax Act. **Class of Individuals:** Individuals interested in pursuing graduate or postgraduate education in housing. **Purpose:** The purpose of this bank was to maintain an inventory of applicants seeking a scholarship award for graduate or postgraduate study or a CMHC housing award. **Consistent Uses:** The information in this bank was used to announce award winners, administer scholarship payments and maintain statistics on program activities and applicants. **Retention and Disposal Standards:** Records are retained for six years after cessation of the term of the projects. Program was terminated in March 1995. **Related to PR#:** CMH PDR 190 **TBS Registration:** 001923 **Bank Number:** CMH PPU 055

Tenant Information from Projects Subsidized by CMHC

Description: This bank was created for tenant information collected by CMHC in the limited situations where it is necessary to collect personal information on tenants residing in federally subsidized housing to audit, investigate or for statistical purposes by CMHC. This information consists of any record related to tenant income collected by the project. **Class of Individuals:** Individuals who are tenants in projects receiving subsidies from CMHC. **Purpose:** This information is used to determine and verify tenant income for the purpose of allocating subsidies either to the tenant or the project and investigate misuses of subsidies or fraud. **Consistent Uses:** The information is used for statistical, audit and investigative purposes. **Retention and Disposal Standards:** The records are kept for seven years. **TBS Registration:** 003296 **Bank Number:** CMH PPU 135

Classes of Personal Information

Program Evaluation

This class of personal information contains data collected specifically for CMHC program evaluation studies. The data are used to support program evaluation, policy analysis and program development. Included in this bank are data related to client surveys, dwelling surveys, industry surveys and data collected to measure the impact of programs. This bank is located at CMHC national office. Routine files are destroyed two years following completion of the evaluation. Files are retrievable by program evaluation study.

Manuals

- Administration Guide for NHA Insured Mortgage Loans
- Administrative Guide
- All about Housing: Information Products Catalogue (NHA 6827)
- Borrower Eligibility Guide

- CMHC Housing Awards: Guidelines and Application
- CMHC Mortgage Loan Insurance Handbook
- CMHC's Communications Compendium: How to Guide
- Compendium of Research
- Computer Centre Users' Guide
- Corporate Management Structure
- Counselling Handbook — Home-ownership
- Default Management and claims (Administrative Guide)
- Experts in Residence Program — Guidelines and Application
- External Research Program Guidelines
- Guidelines and Procedures Manual (30 volumes)
- Housing Research Quarterly
- Instrument of Delegation of Financial Signing Authorities
- Management Information Services Practices
- NHA Mortgage-Backed Securities — Information Kit for Issuers (NHA 6707)
- NHA Mortgage-Backed Securities Program Guide
- NHA Portfolio Insurance Program Guide
- Pension Fund Handbook
- Pension Fund Operations Manual
- Portfolio Management Training Manual for Mortgage Administration
- Professional Standards
- Residential Rehabilitation Assistance Program (RRAP)

- Security of Information Classification Guide
- Social Housing Forecasting Model User Handbook
- Subject Classification Guide

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

For further information about the Corporation and its activities, programs and publications may be obtained from:

Public Affairs Centre
Canada Mortgage and Housing Corporation
700 Montreal Road
Ottawa, Ontario
K1A 0P7
(613)748-4622 or 748-4613
Fax: (613)748-4097

Reading Room

The CMHC library has been designated as a public reading room according to the Access to Information Act. The library's address is:

Canadian Housing Information Centre
700 Montreal Road
Ottawa, Ontario.

Additional reading rooms are available at each Regional and Branch Office.

Canada-Newfoundland Offshore Petroleum Board

Chapter 12

General Information

Background

The Canada-Newfoundland Offshore Petroleum Board was established jointly by the Canada-Newfoundland Atlantic Accord Implementation Act, S.C. 1987, c.3 and by the Canada-Newfoundland Atlantic Accord Implementation Newfoundland Act, R.S.N. 1990, c.C-2.

Responsibilities

The Board administers as a regulatory body all petroleum activities within the Newfoundland Offshore area in accordance with the provisions of the Canada-Newfoundland Atlantic Accord Implementation Act as enacted by the Parliament of Canada and the Legislature of Newfoundland and Labrador, and other relevant legislation.

Legislation

- Canada-Newfoundland Atlantic Accord Implementation Newfoundland Act, R.S.N. 1990, c.C-2
- Canada-Newfoundland Atlantic Accord Implementation Act, S.C. 1987, c.3

Organization

The Board consists of seven members. Three members are appointed by the federal government, three by the provincial government and the chairman is appointed by both the federal and the provincial governments.

♦ The Executive Committee

The Executive Committee manages the day-to-day activities of the Board. It comprises the Chairman and all of the vice-chairmen.

♦ Administration Department

This Department provides administrative, financial, human resource, and computer services to the Board.

♦ Industrial Benefits Department

This Department provides advice to the Board concerning the administration of the Canada-Newfoundland benefits plan provisions of the legislation, including provisions related to domestic procurement, employment, project management, research and development, education and training, and employment equity.

♦ Legal Services Department

This Department is responsible for providing legal and land advice and services to the Board. Land matters include the issuance of exploration rights through calls for bids; and the administration of exploration, significant discovery and production licenses.

♦ Exploration Department

This Department is responsible for evaluating the undiscovered petroleum resource potential of the Board's area of jurisdiction; evaluating results of exploratory drilling; determining significant discovery areas; maintaining a geological and geophysical database and keeping well history reports; cuttings, cores and fluid samples.

♦ Operations and Safety Department

This Department is responsible for reviewing and providing recommendations regarding the approval of offshore petroleum exploration, development and production activities; reviewing operators' emergency response plans; monitoring offshore petroleum activities; conducting safety audits and inspections related to procedures, training and equipment; administering and making recommendations regarding the drafting of regulations, their standards and guidelines; monitoring any conditions of approval; coordinating with other agencies as required; and providing status reports of offshore petroleum activities.

♦ Environmental Affairs Department

This Department assesses environmental effects; administers and advises on environmental protection regulations and guidelines; establishes and monitors compliance with physical environment and effluent treatment requirements; coordinates with other environmental agencies; and reviews operators' environmental emergency contingency plans.

♦ Reservoir Engineering Department

This Department is responsible for oil and gas conservation; assessing discovered resources and productive capacities; administering regulations concerning exploitation schemes for depletion of oil and gas pools, reservoir data acquisition and production rate limitation; reviewing and providing recommendations on well evaluation programs, development plans for oil and gas fields, production accounting procedures and testing programs; maintaining the reservoir database; evaluating conservation and enhanced recovery projects; monitoring field performance; and conducting reservoir performance studies.

Information Holdings

Program Records

Administrative Services

Description: Information relating to the development and maintenance of policies, procedures, and systems required to support the general administration of the Board. Aspects include purchasing policy, the establishment and maintenance of the central library, central records, equipment inventory, word processing, central mail, and forms design and maintenance systems. Property management aspects such as leasing of office space and leasehold improvements and access to information requests are also included. **Program Record Number:** CNP ADM 010

Basin Analysis and Resource Evaluation

Description: Information relating to the results of geophysical and geological surveys and exploratory and development drilling, such as seismic, gravimetric, magnetic and geochemical surveys and well histories. This data includes well materials (cuttings, cores, and fluids) sampled during the drilling. **Program Record Number:** CNP EXD 051

Board Management

Description: Information relating to the internal organization and operations of the Board. Aspects include liaison with federal and provincial government departments; correspondence with oil and gas operators; records of Board, executive and management meetings; internal policies; memoranda of understanding; frontier lands regulatory framework; and other related general correspondence. **Program Record Number:** CNP EXE 005

Computer Services

Description: Information on the analysis, design, development, implementation and maintenance of software; and coordination between technical and administrative groups in planning for hardware/software acquisition. **Program Record Number:** CNP ADM 025

Corporate Files

Description: General information including annual reports, corporate brochures, etc. for oil and gas industry suppliers, contractors and consultants. **Program Record Number:** CNP CBD 035

Development and Production Activities

Description: Information relating to development and production activities including: operating licenses; engineering research, feasibility studies or experimental projects; development applications for offshore petroleum projects; status of development or production operation activities; diving program approvals; production operation authorization; certificates of fitness; and letters of compliance. **Program Record Number:** CNP OPS 065

Drilling Activities

Description: Information relating to exploration and development drilling activities including: Drilling Program Approvals, Authorities to Drill a Well, Final Well Reports (well history documents), and daily and weekly status of drilling operation activities. **Program Record Number:** CNP OPS 060

Environmental Matters

Description: Information relating to the protection of offshore operations from physical environmental risks, and to the protection of the environment from the effects of offshore oil and gas activities, including: offshore environmental assessments and reviews; environmental contingency planning; physical environmental data; environmental protection exercises; marine pollution incidents; and environmental research and development projects. **Program Record Number:** CNP EAD 075

Financial Services

Description: Information relating to financial administration budgeting and accounting systems, analysis of expenditures and revenue trends, payroll, fee collection, royalty collection and remittance, and the maintenance of financial accounting and reporting systems. **Program Record Number:** CNP ADM 015

Human Resource Services

Description: Information relating to human resource matters throughout the Board, including human resource planning, staffing, training and development; organization and classification; staff relations; medical insurance; pension plan; compensation and benefits; the development and direction of policies; correspondence; memoranda; reports; and statistics on general personnel activities within the Board. **Program Record Number:** CNP ADM 020

Industrial Benefits

Description: Information relating to the review, approval and implementation of Canada-Newfoundland benefit plans submitted by oil and gas operators. **Program Record Number:** CNP CBD 030

Land Management

Description: Information relating to the issuance and administration of oil and gas exploration and production rights on offshore Newfoundland and Labrador, including the terms and conditions of exploration, significant discovery and production licenses; registration of interests held and transferred; calls for nominations; calls for bids; security and bid deposits and allowable expenditure schedules; work expenditure reports; rental reports; and significant discovery declarations and areas. **Program Record Number:** CNP EXD 055

Legal Services

Description: Information relating to the Board's corporate procedures, financial security required by the operators, legislation affecting the Board, contract documents,

recommendations and advice respecting legal or policy matters, and the registration of documents relating to interests and instruments. **Program Record Number:** CNP LSD 040

Public Affairs

Description: Information relating to the Board's external communications including publications; media and public relations; and advertising, informational and educational programs and materials. **Program Record Number:** CNP PAD 045

Reservoir Engineering

Description: Information relating to discovered oil and gas resource estimates; results of the well evaluation program, including core analysis, well logs, fluid analysis and well test data; conservation and enhanced recovery projects, reservoir performance and productive capacity. **Program Record Number:** CNP RED 080

Safety Activities

Description: Information relating to the occupational health and safety of workers employed in the exploration, production, conservation, processing or transportation of petroleum, including procedures, training requirements and safety equipment; operations, inspections and audits on MODUs and standby vessels; accident/incident reports, investigations and analysis; contingency plans arising as a result of exploration, development and production activities; safety committee meetings; and exercises and drills. **Program Record Number:** CNP OPS 070

Personal Information Banks

Access Requests

Description: This bank contains information relating to formal access requests made pursuant to the Access to Information Act and the Privacy Act. **Class of Individuals:** Members of the public or employees of the Board who request access to personal information contained in the Board's records. **Purpose:** To maintain a record of requests under the Privacy Act and the Access to Information Act. **Consistent Uses:** The information is also used to respond to formal requests made under the Privacy Act and the Access to Information Act. **Retention and Disposal Standards:** Access requests are retained for 2 years and are then destroyed. **TBS Registration:** 002312 **Bank Number:** CNP PPU 015

Applications for Employment

Description: This bank serves as a reference for any applications received from individuals seeking employment with the Canada-Newfoundland Offshore Petroleum Board. These requests usually include a letter to which a curriculum vitae is attached. **Class of Individuals:** General public. **Purpose:** To maintain applications for prospective position openings. **Consistent Uses:** The

information is also used to evaluate employees for positions. **Retention and Disposal Standards:** The applications are retained for one year and are then destroyed. **TBS Registration:** 002311 **Bank Number:** CNP PPU 005

Personal Services Contracts

Description: This bank contains information relating to bidders' lists and individuals hired under personal service contracts by the Canada-Newfoundland Offshore Petroleum Board. The bank contains contracts placed, services rendered, length of contracts, money expended and the contracts and supporting documents. **Class of Individuals:** General public. **Purpose:** To maintain a record of contracts placed, services rendered, length of contracts, money expended and the contracts and supporting documents. **Consistent Uses:** The information is also used to control contracts issued. **Retention and Disposal Standards:** Records are transferred to a dormant file after two years, and destroyed after 10 years. **TBS Registration:** 002310 **Bank Number:** CNP PPU 010

Manuals

- Development Application Guidelines
- Drilling for Oil & Gas on Frontier Lands
- Emergency Response Plan
- Geophysical and Geological Guidelines

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Board and its various programs and functions may be directed to:

Access to Information and Privacy Coordinator
Canada-Newfoundland Offshore Petroleum Board
5th Floor, TD Place
140 Water Street
St. John's, Newfoundland
A1C 6H6
(709) 778-1464

Reading Room

The Board's library has been designated as a public reading room in accordance with the Access to Information Act. The library is located at:

Canada-Newfoundland Offshore Petroleum Board
TD Place, 140 Water Street St.,
3rd floor
St. John's, Newfoundland.

Canada-Nova Scotia Offshore Petroleum Board

Chapter 13

General Information

Background

The Canada-Nova Scotia Offshore Petroleum Board was established in 1990 as a joint federal-provincial agency pursuant to the federal Canada-Nova Scotia Offshore Petroleum Resources Accord Implementation Act and the provincial Canada-Nova Scotia Offshore Petroleum Resources Accord Implementation (Nova Scotia) Act. These Acts brought into law the principles established in a 1986 agreement between the federal government and Nova Scotia relating to offshore petroleum resources. The Board has offices in Halifax and Dartmouth, Nova Scotia.

Responsibilities

The Board's principle responsibilities include:

- 1) enhancement of safe working conditions for offshore petroleum activities.
- 2) protection of the environment during offshore petroleum activities.
- 3) management of offshore petroleum resources to ensure that operators provide for maximum economic recovery and avoid waste.
- 4) review of industrial benefits matters relating to petroleum activities offshore Nova Scotia so as to ensure that Canadians, with first consideration given to Nova Scotians, have a full and fair opportunity to participate on a competitive basis in the supply of goods and services to be used in any offshore petroleum activities.
- 5) issuance, in a controlled and fair manner, of licenses required to carry out petroleum exploration and development activities offshore Nova Scotia. The Board also maintains a public registry of licenses, curates samples and geological and geophysical information (which is made available to the public following a statutory confidentiality period), and compiles and develops information on petroleum resources within the Nova Scotia Offshore area.
- 6) collection, maintenance and distribution of offshore petroleum information to the petroleum industry, governments and the public in general.

Legislation

- Canada-Nova Scotia Offshore Petroleum Resources Accord Implementation (Nova Scotia) Act, S.N.S. 1987, c. 3.
- Canada-Nova Scotia Offshore Petroleum Resources Accord Implementation Act, S.C. 1988, c. 28.

Organization

The Board consists of five members who are appointed for fixed terms of office (The Board currently has three Board members with additional appointments pending). The Government of Canada and the Government of Nova Scotia each appoint two members, one of whom may be a civil servant in each case. The Chairman is jointly appointed by both governments. The Chairman also acts on a full time basis as Chief Executive Officer and is responsible for a staff of fourteen people.

◆ Offshore Petroleum Resources:

This Department is responsible for assessing discovered petroleum resources and evaluating the undiscovered petroleum resource potential of the Nova Scotia offshore area; recommending lands for disposition through calls for bids (including the terms and conditions of calls for bids and licenses); administering licenses under which oil and gas rights are held and maintaining a public registry of such licenses; evaluating the results of geological and geophysical surveys and exploratory and development drilling; determining areas to be designated as significant discoveries or commercial discoveries; archiving geological, geophysical and well history reports; and curating cuttings, cores and fluid samples.

◆ Offshore Operations:

This Department is responsible for providing recommendations regarding the approval of plans, programs and specific activities related to exploration, development or production; monitoring any conditions of approval; conducting audits and inspections related to procedures, training and equipment; certifying divers and other diving personnel; reviewing operators' emergency response plans; and monitoring and providing status reports on offshore petroleum activities. It is also responsible for conserving oil and gas resources; assessing the productive capacities of wells; monitoring field performance and conducting reservoir performance studies; and administering regulations concerning production schemes, production rate limitations and the acquisition of reservoir data.

◆ Environmental Affairs:

This Department assesses the environmental effects of offshore operations as well as risks to offshore operations arising from the physical environment. It administers and advises on environmental protection regulations and guidelines, establishes and monitors compliance with physical environment and effluent treatment requirements, coordinates with other environmental agencies, and reviews operators' environmental emergency contingency plans.

♦ Administration, Industrial Benefits and Legal:

This Department provides administrative, financial, human resources and legal services to the Board. The Department is responsible for the Board's external communications, including publications and public relations. The Department also administers statutory requirements concerning employment and industrial benefits plans.

Information Holdings

Program Records

Administration

Description: Information relating to office management and administration, including human resources, employee benefits, furniture and equipment, software, leases and leasehold improvements, purchasing, insurance, and travel. **Program Record Number:** NSO NSO 050

Benefits

Description: Information relating to statutory plans concerning industrial benefits and employment. **Program Record Number:** NSO NSO 025

Board Management

Description: Information relating to the organization and operations of the Board, including correspondence with Board members and ministers, liaison with federal and provincial government departments, memoranda of understanding with other agencies, Board by-laws, records of Board meetings, and related general correspondence. **Program Record Number:** NSO NSO 005

Environmental

Description: Information relating to the protection of offshore operations from physical environmental risks and to the protection of the environment from the effects of offshore oil and gas activities, including environmental assessments and reviews, environmental contingency plans, physical environmental data, environmental protection exercises, marine pollution incidents, and environmental studies and research. **Program Record Number:** NSO NSO 035

Financial

Description: Information relating to financial administration, including budgeting, payroll (including source deductions and remittances), asset inventories, bank statements, audit matters, royalty collection and remittance, and financial accounting and reporting. **Program Record Number:** NSO NSO 020

General

Description: Information relating to industry associations, standards associations, training organizations and other

training matters, consultants and general correspondence. **Program Record Number:** NSO NSO 060

Legal

Description: Information relating to opinions, recommendations or advice respecting legal or policy matters, financial security required from operators, access to information and privacy, and the collection and administration of royalties. **Program Record Number:** NSO NSO 010

Legislation, Regulations and Guidelines

Description: Information relating to federal and provincial legislation and regulations, guidelines and internal policies and procedures. **Program Record Number:** NSO NSO 015

Operations

Description: Information relating to exploration, development and production activities, including operating licenses, development plans, approvals of plans and programs, authorizations of specific activities, activity status reports, well files, certifying authorities and certificates of fitness, inspections and audits of operational procedures and equipment, directives, accident and incident reports, investigations, contingency plans, safety committee meetings, exercises and drills, and other matters relating to operations, occupational health and safety, evaluations of reservoir performance and productive capacity. **Program Record Number:** NSO NSO 030

Public Affairs

Description: Information relating to the Board's external communications, including publications and media and public relations. **Program Record Number:** NSO NSO 055

Resources

Description: Information relating to the results of geophysical, geological and well site surveys and exploratory and development drilling; geological and geophysical studies; estimates of discovered and undiscovered oil and gas reserves; and the Board's Core Storage and Laboratory facility. **Program Record Number:** NSO NSO 040

Rights Management

Description: Information relating to the issuance and administration of licenses for oil and gas exploration and production, including registry and title matters, calls for nominations, calls for bids, work deposits, allowable expenditure schedules, work expenditure reports, rental reports, and declarations of significant and commercial discoveries. **Program Record Number:** NSO NSO 045

Personal Information Banks

Certification of Diving Personnel

Description: This bank contains applications received from divers and other diving personnel seeking certification by the Board, as well as information regarding such certification

and related correspondence. Certain applications are supported by medical certificates. **Class of Individuals:** Individuals seeking certification as divers or other diving personnel. **Purpose:** To provide a record of the qualifications and experience of divers and other diving personnel for purposes of certification and to record such certifications. **Consistent Uses:** Information may be shared with other agencies or authorities that certify diving personnel in other jurisdictions. **Retention and Disposal Standards:** Information is retained in an individual's file until he or she reaches the age of 70 and is thereafter destroyed, provided that at least two years have elapsed since the last administrative action. **TBS Registration:** 003315 **Bank Number:** NSO PPU 005

Employment Applications

Description: This bank contains applications received from individuals seeking employment with the Board. These are usually in the form of letters to which curriculum vitae are attached. **Class of Individuals:** Individuals seeking employment. **Purpose:** Information may be used to fill vacancies. **Retention and Disposal Standards:** The applications are retained for six months and are then destroyed. **TBS Registration:** 003316 **Bank Number:** NSO PPU 010

Classes of Personal Information

Certain personal information may be collected which is not contained in the specific data banks described above; for example, information on personnel providing services to the Board or an operator (either directly or through a contractor) or personal information obtained through requests for information or offers of services. This information is stored as part of the general subject files, where records are not normally retrieved by an individual's name or other personal identifier. This personal information is normally retrievable only if specifics are provided concerning the subject matter. The retention periods for these classes of personal information are controlled by the records disposal schedules of the general subject files in which they are stored.

Manuals

- Emergency Response Plan
- Geophysical and Geological Programs in the Nova Scotia Offshore Area—Guidelines for Work Programs, Authorizations and Reports
- Guideline on the Issuance of Exploration Licenses
- Guidelines Respecting Financial Responsibility for Drilling in the Nova Scotia and Newfoundland Offshore Areas

- Industrial Benefits and Employment Plan Guideline
- Land Division Guideline

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Please note that under section 122 of the federal Canada-Nova Scotia Offshore Petroleum Resources Accord Implementation Act and section 121 of the provincial Canada-Nova Scotia Offshore Petroleum Resources Accord Implementation (Nova Scotia) Act, certain information provided to the Board is privileged and cannot be disclosed without the written consent of the person who provided it.

Requests concerning released well data, geological data, geophysical data and samples should be directed to:

Archive and Laboratory Supervisor, Core Storage and Laboratory
Canada-Nova Scotia Offshore Petroleum Board
201 Brownlow Avenue
Suite 27
Dartmouth, Nova Scotia
B3B 1W2
(902) 468-3994

Requests concerning the ownership of licenses and other registry matters should be directed to:

The Registrar
Canada-Nova Scotia Offshore Petroleum Board
6th Floor, TD Centre
1791 Barrington Street
Halifax, Nova Scotia
B3J 3K9
(902) 422-5588

Requests for other information may be directed to the manager of the appropriate department noted above, at the Board's Halifax office (address and phone number as above).

Reading Room

The Board has facilities for the examination of records by the public at both its Halifax and Dartmouth offices at the addresses noted above.

Canada Ports Corporation

Chapter 14

General Information

Background

Canada Ports Corporation was established in 1983 pursuant to the Canada Ports Corporation Act. This Act amended the National Harbours Board Act of 1936, the Government Harbours and Piers Act and the 1964 Harbour Commission Act. Reporting to Parliament through the Minister of Transport, Canada Ports Corporation is a Schedule III, Part II Crown Corporation under the Financial Administration Act.

Since 1983, pursuant to the Canada Ports Corporation Act, the ports of St. John's, Halifax, Saint John, Quebec, Montreal, Vancouver, and Prince Rupert have met the criteria of national and regional significance and financial viability and have been established as local port corporations. These too are Schedule III, Part II Crown Corporations.

Divisional ports, which are directly administered by the Canada Ports Corporation, are located in Belledune, New Brunswick; Saguenay, Baie des Ha! Ha!, Sept-Îles and Trois-Rivières, Quebec; Prescott and Port Colborne, Ontario; and, Churchill, Manitoba.

Responsibilities

Canada Ports Corporation is responsible for administering its ports under a common objective and ensuring that they meet the federal government's responsibility for national ports and the optimum deployment of resources. Canada Ports Corporation shares, with other marine components, the broad objective of attending to the development and operation of a safe and efficient national marine transportation system.

Canada Ports Corporation is also responsible for ensuring that ongoing maintenance, upgrading and major expansions to port facilities and infrastructure will provide enhanced services to port users, on a competitive and cost-effective basis, both for domestic and international customers.

Legislation

- Canada Ports Corporation Act, R.S.C. 1985, chapter C-9
- Canada Ports Corporation Administrative By-law, P.C. 1983-1653, 2 June 1983
- National Harbours Board Harbour Dues Tariff By-law, C.R.C. Vol. XII, chapter 1063 and as amended thereafter

- National Harbours Board Operating By-law, C.R.C., Vol. XII, chapter 1064
- National Harbours Board Pacific Harbour Dues Tariff By-law, C.R.C., Vol. XII, chapter 1082 and as amended thereafter
- Tenders and Works Contracts By-law, P.C. 1983-747, 11 March 1983

Organization

♦ President and Chief Executive Officer

The President and Chief Executive Officer of the Canada Ports Corporation, who is the Head of the Institution for the purposes of the Access to Information Act, exercises all the powers and responsibilities pertaining to this function under the Act in question.

♦ Corporate Services

This department is responsible for the formulation, development and implementation of the Canada Ports Corporation's long-and short-term strategies for the assessment of port and corporate projects; for the provision of information services to all ports and national office departments, including library services; for the provision of engineering services; and for the provision of all periodic management information reports pertaining to macro-economic, trade and transportation issues and the financial performance of the port system.

This department is also responsible for the management of financial activities by implementing policies and procedures for financial planning, analysis, accounting, reporting, control and Treasury and by directing preparation of Capital and Operating budgets and government agency submissions seeking authority to expense funds; for the management of personnel and industrial relations plans, policies and activities; and for the management of procurement services, acquisition and telecommunications.

♦ Legal Services/Realty

This department is a service centre for the provision of an extensive range of expert legal services and advice, as well as Insurance and land related services, to the Board of Directors of the Corporation, National Office, divisional Ports and some of the local port corporations.

♦ Operating Divisions

This department oversees grain operations at Prescott, Port Colborne and Churchill, and manages the Port of Belledune. It also provides expert advice to the Canada Ports Corporation's board of directors and senior management on operations and grain traffic.

◆ Ports Canada Police

The Ports Canada Police is a national police force established for the enforcement of federal and provincial laws that relate to the protection of persons and property situated on premises under the administration of the Canada Ports Corporation or a local port corporation.

Information Holdings

Program Records

Commercial Information

Description: Economic and business forecasts, commodity outlook and other commercial information.

Topics: Statistics; forecasts; commodities; containers; shipping lines; rates. **Program Record Number:** CPO COR 030

Corporate Plans

Description: Information on corporate plans. **Topics:** Guidelines; assessment; research; forecasts, data and cost analysis. **Program Record Number:** CPO COR 025

Engineering Services

Description: Information on the planning, design, construction and maintenance of Canada Ports Corporation's facilities. **Topics:** Construction supervision; consultant management; research; drafting; tenders; work orders; inspections; progress reports and payments. **Program Record Number:** CPO COR 010

Grain Operations

Description: Information on the activities of Canada Ports Corporation's grain elevators. **Topics:** Operation and maintenance; negotiations with lessees; charges; tariffs; scales; dust control; storage; inspections; infestation control; equipment. **Program Record Number:** CPO PPU 005

Investigations

Description: Information relating to criminal investigations and criminal operational intelligence. **Topics:** Incident summaries; crime trend analysis; criminal intelligence assessments; research; security consultation reports. **Program Record Number:** CPO POL 035

Marketing

Description: Information on marketing plans and market research; analysis of commercial and financial data necessary to develop marketing plans. **Topics:** Research; studies; surveys and reports; cost analysis; storage and packaging of goods; traffic; statistics. **Program Record Number:** CPO COR 020

Tariffs

Description: Information on the establishment of, and revisions to, tariffs. **Topics:** Charges; harbour dues; wharfage rates; remissions; submissions; notices. **Program Record Number:** CPO COR 015

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration and Management Services

Audits

Budgets

Buildings

Buildings and Properties

Classification of Positions

Employment and Staffing

Finance

Human Resources

Lands

Occupational Health, Safety and Welfare

Official Languages

Pensions and Insurance

Personnel

Salaries and Wages

Staff Relations

Training and Development

Utilities

Personal Information Banks

Access Request Data

Description: This bank contains requests from individuals seeking information under the Access to Information Act and the Privacy Act. **Class of Individuals:** General public.

Purpose: This bank processes access requests and reports the total number of requests processed.

Consistent Uses: This bank is used to report on the administration of the Access to Information and Privacy Acts. **Retention and Disposal Standards:** Records are retained for two years and then destroyed. **PAC Number:** 71-028 **TBS Registration:** 000257 **Bank Number:** CPO PPU 020

Applications for Employment

Description: This bank contains applications received from the general public for possible employment with the Canada Ports Corporation. **Class of Individuals:** General public. **Purpose:** Information may be used to fill vacancies in the Canada Ports Corporation for which applicants have suitable qualifications. **Consistent Uses:** The bank provides a record of the information used in staffing a position. **Retention and Disposal Standards:** Records are retained for six months and then destroyed. **PAC Number:** 71-028 **TBS Registration:** 000254 **Bank Number:** CPO PPU 005

Claims

Description: This bank contains information relating to settlements by and against the Crown. **Class of Individuals:** General public, companies and institutions of Canadian or foreign extraction. **Purpose:** This bank documents the settlement of claims by or against the Crown. **Consistent Uses:** This bank documents the legal settlements of all claims by or against the Crown. **Retention and Disposal Standards:** Records are retained for two years after the settlement and then destroyed. **PAC Number:** 71-028 **TBS Registration:** 000255 **Bank Number:** CPO PPU 010

Criminal Investigations and Incidents

Description: This bank contains information on individuals obtained during criminal investigations and incidents. **Class of Individuals:** General public. **Purpose:** The bank is used to investigate criminal offenses or incidents. **Consistent Uses:** The bank is used to enforce the provisions of the Criminal Code and other laws of Canada and the provinces. **Retention and Disposal Standards:** Not yet determined. **PAC Number:** 71-028 **TBS Registration:** 000259 **Bank Number:** CPO PPU 030

Criminal Operational Intelligence

Description: This bank contains information on individuals obtained during criminal intelligence operations. **Class of Individuals:** General public. **Purpose:** The purpose of the bank is to determine extent of criminal activities and identify those involved. **Consistent Uses:** The bank is used to enforce the provisions of the Criminal Code and other laws of Canada and the provinces. **Retention and Disposal Standards:** Not yet determined. **TBS Registration:** 000260 **Bank Number:** CPO PPU 035

Personal Service Contracts

Description: This bank contains the terms and conditions of individuals employed on contract to the Corporation. **Class of Individuals:** General public. **Purpose:** The purpose of this bank is to provide documentation and authorization for personal service contracts. **Consistent Uses:** This bank is used to record payment to individuals for income tax purposes as well as budgetary spending. **Retention and Disposal Standards:** Records are retained for six years after expiry of the contract and then destroyed. **PAC Number:** 71-028 **TBS Registration:** 000258 **Bank Number:** CPO PPU 025

Property Services

Description: This bank contains information on owners or tenants of properties. **Class of Individuals:** General public. **Purpose:** This bank is used to document property transactions between the Corporation and the general public such as leases, agreement, sale, exchange or purchase of lands. **Consistent Uses:** The information in this bank is used to document the decision process in property matters. **Retention and Disposal Standards:** Records are retained for five years, and then transferred to the National Archives of Canada for selective retention.

PAC Number: 71-028 **TBS Registration:** 000256 **Bank Number:** CPO PPU 015

Classes of Personal Information

In the course of conducting the programs and activities of the Corporation, categories of personal information may be accumulated which are not contained in the specific personal information banks described above. Such personal information includes: requests for information, expressions of public support, offers of services, and complaints. This information is stored as part of the general subject files, where records are not normally retrieved by name of individual or other identifier.

This form of personal information is normally retrievable only if specifics are provided concerning the subject matter, related program activity, as well as the date on which the information was received by the Corporation and the name of the person to whom it was addressed. The retention periods for these classes of personal information are controlled by the records disposal schedules of the general subject files in which they are stored.

Manuals

- Engineering Policies and Procedures
- Financial Management Policies and Guidelines
- Operational Procedures and Guidelines Manual
- Ports Canada Police Policies and Directives Manual
- Ports Canada Security Manual

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about Canada Ports Corporation and its various programs and functions may be directed to:

Information and Communications
Canada Ports Corporation
99 Metcalfe Street
Ottawa, Ontario
K1A 0N6
(613) 957-6787
Telex: 053-4127
Telecopier: 995-3501

Reading Room

Canada Ports Corporation's Legal Library has been designated under the Access to Information Act as a public reading room. The address is:

9th Floor
99 Metcalfe Street
Ottawa, Ontario.

Canada Post Corporation

Chapter 15

General Information

NOTE: THIS INSTITUTION IS NOT SUBJECT TO THE ACCESS TO INFORMATION ACT.

Background

Canada Post is a federal Crown corporation, established by the Canada Post Corporation Act on October 16, 1981. It collects, processes and delivers mail across Canada, and between Canada and more than 200 postal administrations around the globe. The Corporation delivers 11 billion messages and parcels annually to over 12 million addresses in rural and urban Canada. Products and services are marketed through a network of almost 19,000 retail points of access, more than 75% of which are operated by private businesses.

Canada Post, with over 53,000 full and part-time employees, has the third largest work force in Canada outside of government and, with approximately \$4 billion in annual revenue, is a large and important part of the transportation and communications sectors of the Canadian economy.

In the course of doing business, Canada Post maintains and uses records of employees, contractors, customers and others. The Corporation places a high value on the protection and propriety of such personal information: employee access to their records and customer confidentiality are longstanding traditions.

Organization

- ◆ Administration
- ◆ Chairman's Office
- ◆ Customer Service
- ◆ Finance
- ◆ Human Resources
- ◆ Mail Operations
- ◆ Northern Services
- ◆ Purchasing & Project Management
- ◆ Real Estate
- ◆ Retail Business
- ◆ Stamps & Philately

Information Holdings

Personal Information Banks

◆ Administration

Access Control Systems

Description: This bank contains identification card applications, temporary pass applications, photographs, visitor registers and occasional incident reports, as well as related correspondence. Limited information exists in automated form. The Card Access/Alarm System may also produce reports for incident investigation purposes and statistical analysis. Individuals seeking access to this bank should specify employment location and dates.

Class of Individuals: Canada Post employees and contractors who have authorized access to Corporation facilities. **Purpose:** The purpose of this bank is to control access to certain facilities, to provide for the security of Canada Post employees and property and anything in the course of post. **Consistent Uses:** The records are used to issue and cancel identification cards or building passes, and to maintain building security. **Retention and Disposal Standards:** Records are retained for two years after expiry of the cards and then destroyed. **TBS Registration:** 001340 **Bank Number:** CPC PPU 080

Investigative Body Requests — Public

Description: This bank contains requests made by investigative bodies, as well as records of disclosure (if the request is granted), occasional legal opinions and related material. Individuals seeking access to this bank should provide their full name and address. **Class of Individuals:** Individuals who are the subject of, or party to, lawful investigations. **Purpose:** The purpose of this bank is to ensure that requests by law enforcement agencies for personal information held by Canada Post are properly authorized. **Consistent Uses:** The records are used to monitor information requested and/or provided to law enforcement agencies, and to ensure compliance with the Privacy Act, the Canada Post Corporation Act and corporate policy. The records may be viewed by the Privacy Commissioner or delegate, when investigating complaints. **Retention and Disposal Standards:** Records in this bank are retained for two years after the year of their last administrative use (which is normally the decision to grant or refuse the request, or the processing of subsequent requests or complaints relating to the original request), and are then destroyed. **TBS Registration:** 001342 **Bank Number:** CPC PPU 090

Legal Affairs

Description: This bank contains information relating to potential and actual claims by or against the Canada Post Corporation, contracts and agreements, arbitrations, memoranda, opinions and advice and other legal matters involving the Corporation. Note that copies of opinions and other legal documents may also be stored in other personal information banks. **Class of Individuals:** Individuals involved in legal matters. **Purpose:** The purpose of this bank is to provide a record of legal opinions and advice to, as well as representation on behalf of, the Corporation. **Consistent Uses:** The records are used to provide legal opinions and advice to the Corporation and to represent the Corporation and protect its interests. **Retention and Disposal Standards:** Records are retained for ten years after the year of termination of the contract or disposal of the property and then destroyed. Claims by or against the Corporation, labour arbitrations and related legal matters are retained for twenty-one years after the year of settlement. Memoranda (citing authority), opinions and advice are retained permanently. **TBS Registration:** 002076 **Bank Number:** CPC PPU 110

Postal Related Crimes/Offenses

Description: This bank contains information gathered during investigations and contains details of the crime(s) committed. For example, information on employees involves such offenses as theft of mail or embezzlement. Information on members of the general public involves national or international crimes, burglary of post offices and street box equipment, forgery of money orders, theft of mail, the illegal transportation of explosives and other dangerous substances and mail vehicle robberies. In addition, intelligence is collected regarding individuals or firms suspected of using the mails for an illegal purpose. **Class of Individuals:** Canada Post employees, contractors and members of the general public who are suspected or known to be involved in the above-noted postal related crimes/offenses as defined by the Canada Post Corporation Act and Regulations, as well as Criminal Code offenses involving the mail. **Purpose:** The purpose of this bank is to help solve and reduce postal related crimes and offences and to provide for the security of the officers and employees of the Corporation, the property of the Corporation, and anything in the course of post. **Consistent Uses:** The records are used to support investigative and intelligence activities designed to solve or reduce crimes against Canada Post Corporation. Certain information may be shared with the security branches of other postal administrations, other federal investigative bodies, police agencies and, where warranted, with the Department of Justice for possible prosecution. **Retention and Disposal Standards:** Records are retained for ten years after completion of the investigation or judicial or quasi-judicial proceedings and then destroyed. Records of proceedings dealt with under subsection 41 of the Canada Post Corporation Act, when a prohibitory order has been issued, are retained for three years after the year the order ceases to have effect and

are then transferred to National Archives of Canada. If the records are judged by the National Archivist to be of historical/archival value, they are retained permanently.

PAC Number: 88-007 **TBS Registration:** 001341

Bank Number: CPC PPU 085

Reliability Checks

Description: This bank contains criminal record name checks and, if applicable, fingerprints, criminal records, recommendations, decisions, appeals and related correspondence. Limited information may exist in automated form as a data element of the Human Resource Information System (CPC PPE 804). Individuals seeking access to this bank should specify employment location and dates. **Class of Individuals:** Prospective and existing Canada Post employees and contractors who do not have security clearances, but who require reliability checks because of their ready access to mail, cash and other valuable assets. **Purpose:** The purpose of this bank is to help ensure the security of Canada Post employees and property and anything in the course of post.

Consistent Uses: The records are used to help determine an individual's reliability. Identifying information is disclosed to the RCMP in order to determine whether individuals have criminal records. **Retention and Disposal Standards:** Records are retained for five years after last administrative use (which is usually upon transfer, promotion or termination of employment), and then destroyed. If notified that a pardon has been granted, the criminal records in questions are destroyed immediately. **PAC Number:** 88-007 **TBS Registration:** 001339 **Bank Number:** CPC PPU 075

Security Clearances

Description: This bank contains such records as personal history forms, criminal record name checks and, if applicable, fingerprints, criminal records, summaries of RCMP and Canadian Security Intelligence Service (CSIS) investigations, adverse findings, appeals, updates, cancellations, pardons and related correspondence. Limited information may exist in automated form, as data elements of the Human Resource Information System (CPC PPE 804). Data elements include name and clearance level granted versus level required for the position and whether it is due for renewal. Individuals seeking access to this bank should specify employment location and dates. **Class of Individuals:** Canada Post employees and contractors who occupy or are about to occupy positions involving access to financial and other assets and sensitive information and systems. **Purpose:** The purpose of this bank is to help determine the suitability of employees and contractors being considered for or in security-risk positions. **Consistent Uses:** The records are used to determine the level of security clearance, and to support decisions on transfers, promotions and termination of employment. Monthly computer printouts are used to ensure the security clearance level of individuals is adequate for the positions they occupy. A notation of level of clearance may be attached to the Employee Personal File (CPC PPE 802).

Details of RCMP and CSIS investigations that may have taken place are stored in those agencies' security clearance banks. **Retention and Disposal Standards:** Paper records are retained for two years after termination of employment or contract and then destroyed. If notified that a pardon has been granted, the criminal records in question are destroyed immediately. **TBS Registration:** 001338 **Bank Number:** CPC PPU 070

◆ Chairman's Office

Board of Directors

Description: This bank contains such records as Orders-in-Council appointing directors, statements of qualifications, brief biographies, fees and expense documents and related correspondence. (Note that payment records also are stored in Accounts Payable, CPC PPU 060.) **Class of Individuals:** Existing and recently terminated members of Canada Post's board of directors. **Purpose:** The purpose of this bank is to support the nomination and remuneration of members of the board of directors. **Consistent Uses:** The records in this bank assist in the preparation of appointments to the board and in the administration of the affairs, business and activities of the board; they are also used with respect to payments and for other purposes pertaining to the board and its individual members. **Retention and Disposal Standards:** Records are retained for seven years after the fiscal year during which the director ceases to hold office and then destroyed. **PAC Number:** 88-007 **TBS Registration:** 002007 **Bank Number:** CPC PPU 105

◆ Customer Service

Corporate Correspondence

Description: This bank contains letters addressed or referred to the Minister, Chairman, President, and other executives of Canada Post Corporation, letters addressed to divisional Customer Service offices in the divisions, background material compiled in the preparation of responses and the responses. Certain information, such as the incoming letter, draft replies and an index, exists in automated form in the Corporate Correspondence System. Individuals seeking access to this bank should specify the family name and postal code used in the previous enquiry. (Note that stamp suggestions may also be stored in Designer References, CPC PPU 025.) **Class of Individuals:** Customers, employees, Members of Parliament, other elected officials and the general public. The records may contain personal information on other individuals, where they are the subject of the enquiry (e.g. regarding Canada Post Corporation employees and contractors). **Purpose:** The purpose of this bank is to support the preparation of responses to Divisional, Ministerial, Chairman, Presidential, and general Corporate enquiries pertaining to the operations and administration of Canada Post Corporation. **Consistent Uses:** The records are used to support the correspondence system; to monitor the preparation of responses; for reference purposes when subsequent or similar enquiries are received; for subsequent mailings, when new developments

warrant a follow-up letter to correspondents who have expressed an interest in particular subjects; and, to identify trends or patterns. **Retention and Disposal Standards:** Draft replies stored in automated form are deleted when the correspondence is signed. Paper records and the automated index are retained for seven years after the year of the response and then destroyed and deleted, respectively. Disposal of records retained in the subject files of organizational units drafting responses is governed by the retention schedules for those files. **PAC Number:** 88-007 **TBS Registration:** 002075 **Bank Number:** CPC PPU 030

Customer/Claims Service

Description: This bank contains such records as enquiries, complaints or claims, declarations (in the case of claims) and information relating to their processing. Certain information exists in automated form in the Customer Claims Information System (CCIS) and the Enquiry Management Information System (EMIS). Data elements include the names and addresses of the sender and addressee, the nature of the shortcoming (delay, loss, damage), the type and value of the item, any special services purchased (registry), dates of mailing and claim, insured amount, result of investigation and amount paid. Individuals seeking access to this bank should specify the date and subject matter of their previous enquiry, as well as the names and addresses of the sender and addressee on the article. **Class of Individuals:** Customers who have submitted enquiries or complaints to Customer Service regarding delayed, lost or damaged mail; or who have applied for indemnification. (Note that payment records also are stored in Accounts Payable, CPC PPU 060; that enquiries and complaints also are processed through the Corporate Correspondence system, CPC PPU 030; and that Risk Management Claims, CPC PPU 050, also includes claims records.) **Purpose:** The purpose of this bank is to support the preparation of responses to customer enquiries, as well as the resolution of complaints and claims regarding delayed, lost or damaged mail. **Consistent Uses:** The records are used to analyze and resolve complaints and claims regarding delayed, lost or damaged mail; to respond to enquiries about mail services, regulations and rates; for audit and market research purposes; and to identify trends or patterns. Certain information may be disclosed to Transport Canada, Revenue Canada (Customs and Excise), foreign postal authorities, law enforcement agencies and others, where necessary, to resolve the claim or enquiry. **Retention and Disposal Standards:** Records are retained for two years after last administrative use (which is normally the issue of a response to an enquirer or indemnification), and then destroyed. Disposal of payment records stored in Accounts Payable is governed by the retention schedule for that bank. **PAC Number:** 88-007 **TBS Registration:** 001331 **Bank Number:** CPC PPU 100

◆ Finance

Accounts Payable

Description: This bank contains such records as claims, authorizations, expense reports, receipts, cheque requisitions, advances, correspondence and other supporting documents relating to expenses being reimbursed, fees being paid, claims being settled and other payments. Records of transactions also exist in automated form in the Accounts Payable System.

Individuals seeking access to this bank should specify whether they are an employee, contractor or claimant and provide details of the payment such as location, type and dates. **Class of Individuals:** Canada Post employees claiming travel and hospitality expenses, relocation expenses, education course fees, isolation allowances and membership fees, or receiving rewards, ex gratia and other sundry payments; to suppliers of goods and services provided to the Corporation, including stamp designers and members of the Board of Directors; and to members of the general public, indemnified for claims made against the Corporation. (see Canada Post's contract and claims personal information banks for details). **Purpose:** The purpose of this bank is to support the Accounts Payable function, which is to make non-payroll payments in accordance with authorizations.

Consistent Uses: The records are used to substantiate and issue cheques for the above-noted expenses, fees, claims and other payments; and for planning, budgeting and audit purposes. Limited information is disclosed to Supply and Services Canada, to facilitate cheque issue.

Retention and Disposal Standards: Records are retained for six fiscal years following the fiscal year during which the expenses were incurred. **PAC Number:** 88-007 **TBS Registration:** 001337 **Bank Number:** CPC PPU 060

Financial Accountability Cases

Description: This bank includes such information as job titles, work locations, investigation reports on losses, occasional credit reports and statements by persons involved, legal advice concerning disposition of cases, the decisions of the committees and follow-up information (for example, concerning collection, payment, prosecution, garnishment, other legal proceedings). (Note that legal documents may also be stored in Employee Personal File, CPC PPE 802 and/or in Payroll, Benefits & Attendance, CPC PPE 815 and/or in Legal Affairs, CPC PPE 827/PPU 110). Individuals seeking access to this bank should specify their name and other information sufficient to identify the case, such as the date, location, type and amount of the loss. **Class of Individuals:** Canada Post employees, agents, contractors and others involved in actual or suspected losses of financial assets. **Purpose:** The purpose of this bank is to support committees on financial accountability, which review financial losses incurred by the Corporation, and to facilitate the collection of accounts due to the Corporation. **Consistent Uses:** The records are used to help correct situations that have led to financial losses within Canada Post, both in terms

of recovering funds and preventing future losses.

Retention and Disposal Standards: Records are retained for six fiscal years after the year of recovery or write-off of the debt or loss. Disposal of related records in the above-noted information banks is governed by the retention schedule for those banks. **PAC Number:** 88-007 **TBS Registration:** 001762 **Bank Number:** CPC PPU 065

Post Office Savings Bank

Description: Contains Post Office Savings Bank (1868-1969) unclaimed account information. Account holders wishing to confirm if funds remain on deposit in their name must forward a written request together with appropriate documentation, preferably the account passbook, which identifies the assigned account number. Legal authority is required by those acting on behalf of an account holder or an estate. **Class of Individuals:** Individuals having funds on deposit with Canada Post, either currently or within the past 15 years. **Purpose:** To support administration of the remaining 56,600 accounts currently outstanding in the Post Office Savings Bank, the value of which is 2.6 million dollars. **Consistent Uses:** Records of the Post Office Savings Bank are accessed monthly to facilitate the confirmation and withdrawal of funds by valid account holders or their legal representatives. Accounts having a balance of less than \$25.00 and no activity in relation thereto for a period of 30 years are transferred to the credit of the Receiver General for Canada. (Note that payment records are also stored in accounts payable, CPC PPU 060 and by Money Order Services.) **Retention and Disposal Standards:** Records are retained for a period of 15 years after the year an account is closed, whether by withdrawal or through transfer to the Receiver General for Canada. **PAC Number:** 88-007 **TBS Registration:** 001336 **Bank Number:** CPC PPU 055

Risk Management Claims

Description: This bank contains records regarding claims for damages involving property, liability and automobile accidents, legal opinions, settlement transactions and other correspondence related to motor vehicle and other accidents involving third parties. The records contain information on the parties involved, their insurers (if applicable) and the nature of the injury or loss (e.g., lost employment or salary). The records also concern losses due to fire and such crimes as robbery, break and enter, and vandalism. Limited information exists in automated form in the Risk Management Information System. Data elements include the names of the employee and claimant, the type of loss and settlement cost. Note that payment records are also stored in Accounts Payable, CPC PPU 060, that vehicle driver records (including records of accidents not involving third-party claims) are stored in Automotive Services (CPC PPE 825); that workers' compensation board claims are stored in Worker's Compensation Board Claims (CPC PPE 845); and that claims involving delayed, lost or damaged mail are stored in Customer Service (CPC PPU 030).

Individuals seeking access to this bank should specify details such as incident location and date. **Class of Individuals:** Canada Post employees involved in claims, such as drivers of Corporate vehicles and letter carriers, as well as on third parties making claims or from whom the Corporation is seeking damages. **Purpose:** The purpose of this bank is to support the resolution of Corporation and third-party claims. **Consistent Uses:** Records are used to determine liability for motor vehicle, fire and other accidents involving third parties; to approve damage settlements, such as payments by and to the Corporation (as postal vehicles are insured, claims are handled by outside adjusters); to support claims by Canada Post against insurance policies in force; and to facilitate loss control and other measures to prevent recurrences. Note that certain information may be provided to insurance companies, lawyers acting on behalf of either party and the police, to facilitate resolution of the claim. **Retention and Disposal Standards:** Records are retained for six years after the fiscal year during which the claim by or against the Corporation is settled, unless a minor was involved, in which case the records are retained for six fiscal years after the minor's age of majority (varies from province to province). **PAC Number:** 88-007 **TBS Registration:** 001335 **Bank Number:** CPC PPU 050

◆ Human Resources

Crown Debt Requests

Description: This bank contains requests from and responses to such federal institutions as Revenue Canada and Employment and Immigration, seeking to locate individuals owing Crown debts (e.g., overdue income tax, unemployment insurance overpayments, customs duties, defaulted student loans) or to whom Crown payments are due. This bank also contains the Social Insurance Number, which is provided, unsolicited, by the federal institutions. **Class of Individuals:** Individuals owing Crown debts, or to whom Crown payments are due, who are the subject of an inquiry. **Purpose:** The purpose of this bank is to ensure Canada Post can properly account for disclosures of this sort. **Retention and Disposal Standards:** Records are retained for two years after the year of response and then destroyed. **TBS Registration:** 002157 **Bank Number:** CPC PPU 120

Human Rights

Description: This bank contains confidential letters of complaint and interview notes with complainants, those alleged to have harassed or discriminated against the complainant and witness statements, as well as investigation and analysis reports and records of decisions taken. Background information varies with the complaint, but could include grievances, medical reports, personal appraisals and correspondence. Individuals seeking access to this bank should specify the name of the complainant and the location and date of the incident. **Class of Individuals:** Individuals submitting discrimination complaints and individuals alleged to have harassed or

discriminated against complainants. **Purpose:** The purpose of this bank is to support the resolution of allegations of discrimination based on prescribed grounds set out in the Canadian Human Rights Act, and allegations of personal harassment in the workplace.

Consistent Uses: The records are used to help determine whether or not harassment or discrimination has occurred (note that records of complaints are not stored on the complainant's Employee Personal File, CPC PPE 802); and to recommend and support management decisions on the transfer and discipline of employees or other corrective action, e.g., when the source of the complaint is a Canada Post policy or procedure. Individuals found to have harassed or discriminated may have a disciplinary notice to that effect placed on their Employee Personal File. Information may be disclosed in confidence to relevant Corporation officials and to the Canadian Human Rights Commission to facilitate resolution of the complaint. **Retention and Disposal Standards:** Records are retained for two years after the fiscal year of the last administrative use, which is usually resolution of the complaint. Individuals seeking access to this bank should specify the name of the complainant and the location and date of the incident. **TBS Registration:** 001761 **Bank Number:** CPC PPU 096

Official Languages Complaints

Description: This bank contains records documenting the nature, extent, scope and location of official languages complaints, as well as the results of complaint investigations and resolution. Note that the identity of complainants is protected under section 60 of the Official Languages Act throughout the investigation process. Therefore, unless complainants agree to reveal their identity or complaints are directly received by CPC, records are anonymous in nature. **Class of Individuals:** Individuals or groups who file complaints either directly with CPC or with the Commissioner of Official Languages (excluding official languages issues handled through Customer Service). **Purpose:** The purpose of this bank is to support the investigation and resolution of official languages complaints submitted by individuals or groups to the Commissioner of Official Languages or CPC and to retain an audit trail of actions taken. **Consistent Uses:** The records are used to report to external agencies responsible for the administration of the Official Languages Act and related regulations. They may also be disclosed to the Federal Court or other authorized third parties to facilitate the resolution of complaints, grievances or court action. **Retention and Disposal Standards:** Under development. **TBS Registration:** 003737 **Bank Number:** CPC PPU 125

Parking

Description: This bank contains permit applications for parking, and related correspondence about parking of motor vehicles on property owned or leased by Canada Post. Records of transactions also exist in automated form. Individual seeking access to this bank should specify employment location and dates. **Class of**

Individuals: Canada Post employees and contractors who have applied for or received CPC parking permits.

Purpose: The purpose of this bank is to support the control of parking at certain Canada Post facilities.

Consistent Uses: The records are used to control the issue and revocation of parking permits and the prosecution of parking violators (by the RCMP). The records may also be used to help coordinate car pools. Note that certain records are beyond CPC control as many employees have personal contracts with private parking lot operators. **Retention and Disposal Standards:** Records are retained for two years after the fiscal year in which the permit expired and then destroyed. **TBS Registration:** 001334 **Bank Number:** CPC PPU 045

Privacy Act Requests — Complaints

Description: This bank contains the request forms submitted by individuals seeking access to their files, the replies to such requests and information related to their processing, including exempt material and legal opinions. It also includes records of complaints to the Privacy Commissioner of Canada and their resolution, and exceptional third-party requests referred to privacy coordination staff for advice or decision. This bank also contains the Social Insurance Number of some applicants, only because the old Treasury Board request forms used by applicants contained a SIN field. Individuals seeking access to this bank should specify the approximate date(s) of the request(s) concerned. **Class of Individuals:** Canada Post employees, contractors and customers who have submitted formal access, correction or notation requests under the Privacy Act to the Corporate Privacy Coordinator or complaints to the Privacy Commissioner; or who are the subject of exceptional third-party requests. **Purpose:** The purpose of this bank is to support the processing of requests and complaints submitted by individuals under the Privacy Act, and to retain an audit trail of actions taken.

Consistent Uses: The records are used to process access, correction and notation requests, to respond to Privacy Commission complaints, and to report annually to Parliament on their disposition. Annotations regarding exemptions, corrections and disclosures to third parties may be attached to the records requested. Records may be disclosed to the Privacy Commissioner and to the Federal Court, to facilitate the resolution of complaints or court actions. **Retention and Disposal Standards:** Records are retained for two years after the year of last administrative use (which is normally the provision of records requested under the Privacy Act, the processing of correction or notation requests or the resolution of complaints), and then destroyed. **TBS Registration:** 001344 **Bank Number:** CPC PPU 115

Staffing and Employment

Description: This bank contains such records as solicited and unsolicited job applications, acknowledgement of receipt letters, staffing action requests, job descriptions, salary ranges, selection profiles, competition posters,

transfer requests, seniority, surplus, lay-off, re-call and eligibility lists, human resources inventory printouts, résumés of work experience and education, reference letters and checks, official languages knowledge examination papers, tests and results, lists of candidates, interview notes and rating board assessments, eligibility lists, offers of employment, notices to candidates of right to appeal, other appeal documents and related correspondence. This bank also contains the Social Insurance Number which will continue to be used as an employee identifier until such time as it can be replaced by a CPC employee numbering system. Individuals seeking access to this bank should specify the competition number and date, if applicable, as well as position title and location. **Class of Individuals:** Individuals who apply for or are hired to fill temporary and permanent positions with Canada Post Corporation.

Purpose: The purpose of this bank is to support internal staffing and external recruitment activities. **Consistent Uses:** Job applications are screened when vacancies arise. Staffing records are used to provide impartial information to match new and vacant positions to the most qualified candidates (notations of staffing decisions may be stored in the Employee Personal File, CPC PPE 802; in Payroll and Attendance, CPC PPE 815; and in the Human Resource Information System, CPC PPE 804); to assist in succession planning and career development; to conduct reference checks, provide feedback to candidates regarding their applications and job interviews; and to process complaints, appeals and grievances concerning appointments and promotions (see Grievances, CPC PPE 813). Limited personal information regarding seniority, surplus and layoffs may be posted, as well as provided to collective bargaining agents. Personal information about successful candidates may be disclosed to unsuccessful candidates under strict conditions of confidentiality, to better explain decisions taken. **Retention and Disposal Standards:** Records are retained for two years after last administrative use (which is usually the expiration of the appeal period for competitions), and then destroyed. Layoff/transfer lists are updated continuously and destroyed when superseded. **PAC Number:** 88-007 **TBS Registration:** 001343 **Bank Number:** CPC PPU 095

♦ Mail Operations

Delivery Control Systems

Description: This bank contains delivery records for signature services (Registered and Security Registered, Cash on Delivery, Insured Mail with Proof of Delivery, Priority Courier); change of address notifications/hold mail request forms; directories or lists of customers used to ensure the accurate delivery of mail and the provision of related services (e.g., Community Mailbox, Group Mailbox and Rural Mailbox Delivery and Electronic Mail) and related correspondence. These records contain such information on customers as name, fees paid, present address, previous address, effective dates, signature and proof of identity (when needed in picking up mail and

when filing a change of address notification). Limited account information exists in automated form in the Priority Courier Track and Trace and Electronic Mail systems. **Class of Individuals:** Customers who subscribe to, or receive, postal signature services, submit a redirection/hold mail application or reside in areas requiring name/address lists to effect postal delivery. **Purpose:** The records are used to support the provision of postal services, including signature mail services; to control the redirection or holding of mail; to ensure accurate mail delivery where delivery service has changed or is difficult; to facilitate the reconciliation and audit of accounts; and to respond to inquiries or claims (see also Customer Service, CPC PPU 030). Electronic name and address lists and related information received from volume mailers are used in creating and addressing Lettermail Plus and Admail Plus items for subsequent delivery. **Consistent Uses:** Redirection records are also used to correct the mailing lists of large volume mailers where such mailers are using a previous or incorrect address. Change of address notifications are automated for the purpose of producing new address labels. They are also used to update the System for Mail Address Redirection Techniques (SMART). Address information is also disclosed to government institutions under certain circumstances in order to facilitate the collection of Crown debts or the making of Crown payments (see CPC PPU 120 and CPC PPU 090). **Retention and Disposal Standards:** Signature service records are retained for a maximum of two years after the year of delivery and are then destroyed, with the exception of certain financial records, which are retained for seven years. As a convenience to customers, Electronic Mail data are retained under strict security for six months and are then deleted. Change of address/hold mail records are retained for six months after the termination of service and are then destroyed. Directories and lists are updated continuously and destroyed when superseded or obsolete. **TBS Registration:** 002558 **Bank Number:** CPC PPU 001

◆ Purchasing & Project Management

Purchasing Contracts

Description: This bank contains records relating to contracts, renewals and terminations and supporting documentation, which may include the request for proposal, price quotes, curriculum vitae, company résumé, records of experience, terms of reference, financial/insurance/bonding information, reliability checks and/or security clearance levels, and performance records. Individuals seeking access to this bank should provide their full name, the name of their company (if applicable), the Canada Post contract/proposal number, and the location of the procurement office. **Class of Individuals:** A limited number of individuals offering or providing professional services in management and information technology consulting, market research, health services, security, translation, labour arbitration, counselling and temporary help, as well as in architecture, engineering, construction, property appraisal, property

development and property management, including cleaning and maintenance; and equipment, supplies and other goods. (Note that payment records are stored in Accounts Payable, CPC PPU 060; that records on contractors providing mail or letter carrier transportation services are stored in Transportation Contracts, CPC PPU 035; and that records on retail postal outlet operators are stored in Retail Postal Outlet Contracts, CPC PPU 020).

Purpose: The purpose of this bank is to support the award and administration of Canada Post's procurement and certain other service contracts. **Consistent Uses:** The records are used to monitor performance or equipment supplied against requirements, so as to verify entitlements; and for evaluation and audit purposes. For example, the information may be collated and analysed to determine average costs by geographic areas and specialties. **Retention and Disposal Standards:** Tenders not awarded are retained for two fiscal years. Contracts awarded and supporting documentation are retained for six years after fiscal year of completion and non-renewal. **TBS Registration:** 003293 **Bank Number:** CPC PPU 031

Transportation Contracts

Description: This bank contains records relating to contracts, transfers, renewals and terminations and supporting documentation, including distance, stops, equipment used, costs and duration, financial/insurance/bonding information. Individuals seeking access to this bank should specify the name, dates and location of the service provided. **Class of Individuals:** Individuals owning businesses which have bid on or been awarded contracts for the carriage of mail or for the transportation of letter carriers. **Purpose:** The purpose of this bank is to support the award and administration of transportation contracts. **Consistent Uses:** The records are used to create source lists and otherwise perform the tender process; to administer contracts, including the monitoring of performance and the granting of adjustments; to facilitate budgeting and cost control; and to produce internal reports and responses to external enquiries. Note that the name of the successful tenderer may be disclosed to unsuccessful tenderers and others, upon award of the contract. **Retention and Disposal Standards:** Tenders not awarded are destroyed two years after the contract is awarded. Other records are retained for six years after termination and non-renewal of contract and then destroyed, except for registers of contracts, which are transferred to National Archives of Canada for selective retention. **PAC Number:** 88-007 **TBS Registration:** 001332 **Bank Number:** CPC PPU 035

◆ Real Estate

Real Estate Contracts

Description: This bank contains records relating to contracts, transfers, renewals and terminations and supporting documentation, including requests for proposal, price quotes, curriculum vitae, company résumé, records of experience, terms of reference,

financial/insurance/bonding information, reliability checks and/or security clearance levels, performance and payments records. Individuals seeking access to this bank should provide their full name, the name of their company (if applicable) and the Canada Post project and location. If the contract in question has been let via Government Services Canada, provide those details. **Class of Individuals:** Companies and a limited number of individuals offering or providing professional services in architecture, engineering, construction, property appraisal, brokerage services, property development and property management, including cleaning and maintenance. (Note that payment records are also stored in Accounts Payable, CPC PPU 060). **Purpose:** The purpose of this bank is to support the award and administration of Canada Post's real estate lease and property management contracts. **Consistent Uses:** The records are used to prepare source lists and select from among potential contractors and to monitor performance against requirements, so as to verify entitlements; and for evaluation and audit purposes. For example, the information may be collated and analysed to determine average costs by geographic areas and specialties. The information is shared with and often held by Canada Post's major procurement and real property management agents (e.g., Government Services Canada). **Retention and Disposal Standards:** Tenders not awarded are retained for two fiscal years. Contracts awarded and supporting documentation are retained for six years after fiscal year of completion and non-renewal. **TBS Registration:** 003291 **Bank Number:** CPC PPU 038

◆ Retail Business

Postal Office Boxes

Description: This bank contains post office box applications, including service particulars (e.g. primary delivery mode, rental periods and the redirection of mail), the names of agents or others authorized to receive mail and whether the post office box service is for private or commercial use. Other records include general enquiries, receipts and other payment records, audits and accounts of terminating services. Individuals seeking access to this bank should provide post office box location, number, and rental dates. **Class of Individuals:** Canada Post customers who have applied for or expressed interest in post office box services. **Purpose:** The purpose of this bank is to support the provision of post office box services. **Consistent Uses:** The records are used to provide the services requested and, if applicable, to facilitate the collection and refund of rent for post office boxes (receipts are forwarded to corporate head office to reconcile accounts); and for periodic audits and for market research. Certain information may be disclosed to law enforcement and other federal or provincial agencies, pursuant to lawful investigations. **Retention and Disposal Standards:** Post office box applications and related records are retained for two years after the termination of service and then destroyed. Waiting lists are updated continuously, as boxes become available. **PAC Number:**

88-007 **TBS Registration:** 001326 **Bank Number:** CPC PPU 005

Retail Postal Outlet Contracts

Description: This bank contains hardcopy and computerized records, including the terms and conditions of contracts with retail postal outlet operators and, from time to time, credit history reports. Individuals seeking access to this bank should provide full name, the retail postal outlet concerned and dates. **Class of Individuals:** Individuals and businesses contracted to provide a range of basic postal services to the public. (Note that payment records also are stored in Accounts Payable, CPC PPU 060). **Purpose:** The purpose of this bank is to administer a network of retail postal outlets. **Consistent Uses:** The records in it are used to administer the network of retail postal outlets, including the authorization of payment of commissions on sales; and for periodic audits and market research. **Retention and Disposal Standards:** Contracts awarded and supporting documentation are retained for six years after fiscal year of completion and non-renewal and then destroyed. Financial records are also retained for six years. Tenders not awarded are retained for two fiscal years. **PAC Number:** 88-007 **TBS Registration:** 001329 **Bank Number:** CPC PPU 020

◆ Stamps & Philately

Philatelic Customers

Description: This bank contains information in both paper and automated form such as customer enquiries and mailing lists, coded to include special areas of interest, as well as invoices, funds on deposit, credit card numbers, order history, survey responses, and other sales records. A list of former customers who have issued bad cheques is also retained. Individuals seeking access to this bank should provide as many details as possible, such as name, address, subject matter, dates and account numbers. **Class of Individuals:** Canada Post's national and international customers of philatelic products, sponsors of stamp clubs and those who have expressed an interest. **Purpose:** The purpose of this bank is to support the sale and distribution of philatelic products and related promotional material. **Consistent Uses:** The records are used to support philatelic sales and subscription services; to assist in the presentation of philatelic exhibits; to generate particular lists of customers/subscribers (for example, by area of interest); to control inventory; and for market research purposes, such as the identification of promotional needs and opportunities. **Retention and Disposal Standards:** Financial records are retained for six years after last administrative use, which is usually payment for and mailing of philatelic products. Mailing lists are updated continuously, verified annually and retained until superseded or obsolete (for example, when customers submit change-of-address cards or requests to be deleted from the list; or move, leaving no forwarding address). General correspondence is retained for two years.

PAC Number: 88-007 **TBS Registration:** 001327 **Bank Number:** CPC PPU 010

Stamp Subjects and Designer References

Description: This bank contains such information as subject suggestions and enquiries, general correspondence, résumés, samples of art work (usually reproduced on 35mm slides) and, if applicable, letters of commission. Individuals seeking access to this bank should provide name and date of previous submission. (Note that stamp subject suggestions may also be stored in Corporate Correspondence, CPC PPU 100, and payment records concerning commissioned designs are also stored in Accounts Payable, CPC PPU 060.) **Class of Individuals:** Canadian designers, artists, illustrators, photographers and others who have indicated their interest in being considered for a postage stamp design commission. **Purpose:** The purpose of this bank is to provide a visual reference file of the work of Canadian designers and artists who could be considered for a postage stamp design commission. **Consistent Uses:** The records are used to consider possible designers to be commissioned to submit design proposals for new stamp issues. **Retention and Disposal Standards:** Samples of work are returned to designers upon request. Records regarding rejected solicitations are retained for two years after the year of rejection and then destroyed. Records relating to commissioned stamp designers are retained for ten years and then transferred to National Archives of Canada. If the records are judged by the National Archivist to be of historical/archival value, they are retained permanently. **PAC Number:** 88-007 **TBS Registration:** 001330 **Bank Number:** CPC PPU 025

Stamp Vendors

Description: This bank contains the sales histories of stamp vendors, such as records of stamp purchases, amount of the discount and amount paid. Individuals seeking access to this bank should specify registered name and address as well as stamp sales details. **Class of Individuals:** Individuals and small businesses who have applied for or been granted a permit to purchase stamps at a discount for resale to the public. **Purpose:** The purpose of this bank is to support the sale of stamps via a network of stamp vendors. **Consistent Uses:** Vendors use permits to purchase stamps at a discount. **Retention and Disposal Standards:** Records are retained for two years after last administrative use and then transferred to National Archives of Canada. Information judged by the National Archivist to be of archival/historical value is retained permanently. The remainder is destroyed. **PAC Number:** 88-007 **TBS Registration:** 001328 **Bank Number:** CPC PPU 015

Change of Address

Description: This bank contains data drawn from change of address forms, including: a mover's previous and new address; the length and dates for which redirection services have been purchased; and records concerning participation in related services available to movers and

mailers. **Class of Individuals:** All subscribers to CPC's hold and redirection of mail services. Data on subscribers to CPC's permanent and temporary redirection services between January 1993 and April 1995 have been entered to a central data base (National change of Address or NCOA). NCOA is no longer being populated although mover data is still entered at mail redirection centres to the System for Mail Addressing and Redirection Techniques (SMART). **Purpose:** To facilitate the redirection of mail. **Consistent Uses:** To confirm service particulars and inform movers of address notification and other options; to produce letter carrier case cards and new address labels; to notify publishers having movers' name and old address of the new address; with mover consent, to update the mailing lists of other mailers, provided they have the movers' name and old address; to resolve complaints and enquiries; to contact movers on a mailer's behalf (without disclosing the new address); and for research, statistical and audit purposes. Change of address data may also be disclosed to law enforcement agencies conducting lawful investigations, and to certain federal government departments seeking to locate individuals owing a Crown debt or being owed a Crown payment (see CPC PPU 090 and CPC PPU 120, respectively). **Retention and Disposal Standards:** A retention/disposal schedule is under development. **TBS Registration:** 003294 **Bank Number:** CPC PPU 002

Classes of Personal Information

Administrative Records

Certain information provided by or about individuals may also be found in administrative records, such as general correspondence and organizational planning files, minutes of committee meetings, daily information books, library loan and distribution lists, fixed asset inventory control reports, general ledger reports, audit reports and evaluations, internal electronic mail (COSS) communications, video recordings and records relating to visits and conferences involving officials of other postal authorities. Several functions also provide advice on employee, customer or contractor matters concerning areas such as employee relations, labour relations, customer service, corporate security, occupational health and safety, etc. This information may exist in the form of personal notes, drafts, letters, case files and electronic mail messages, duplicates of which are often located in other personal information banks. Retention/disposal standards vary, but comply with a two-year minimum.

Corporate Security

This class includes information detailing complaints received by Corporate Security and the remedial action undertaken. The records are chronologically ordered and thus not retrievable by individual identifier. They include occurrence reports which contain the name, address and phone number of the complainant, the names of the other parties involved, the name of the investigating officer and details concerning causes and remedial action. Should the enquiry result in a suspicion of a postal-related crime

or offence, or a Criminal Code offence involving the mail, a file number is added to the occurrence report which is transferred to personal information bank Postal Related Crimes/Offences (see CPC PPU 085, or CPC PPE 824). Otherwise, the occurrence reports are retained for two years, at which time they are destroyed. The purpose of such retention is to allow for statistical analyses and quality control.

Marketing and Sales

This class includes Postage Meter Services and marketing information such as market research studies, various coded mailing lists, market surveys and test reports concerning householders and other Canada Post customers (for example, large volume mailers). The records include correspondence, reports, accounts of sales calls and other meetings with customers, which may contain the names, titles and business addresses of company representatives, as well as profiles of key executives. These records are used for business purposes only, namely to identify customer needs and perceptions and to help make marketing decisions related to product and service mix, price and promotion. Canada Post also temporarily retains certain records which may contain personal information under arrangements with customers and business partners. These records assist in the preparation of account development strategies for national and divisionally managed accounts. Such records are not under the Corporation's sole control and may not be accessed without the prior consent of the customer or business partner involved. Note also that claims and enquiries related to collection and delivery services have been indexed and described as a Canada Post information bank (see Customer Service, CPC PPU 030). Certain records are held in electronic form in the following systems: Cash Receipts, Money Order Information, Canadian Wildlife Service, National Accounts and Marketing Mailing List.

Undeliverable Mail

This class of records includes lettermail and parcels that, for any cause, cannot be delivered to the addressee or returned to the sender. This includes mail that cannot be delivered for legal reasons, and mail that is refused by the addressee or on which postage due is not paid by the sender on demand. The treatment of this mail is governed by the Undeliverable Mail Regulations. Undeliverable mail is opened to facilitate delivery to addressee or return to sender. Undeliverable admail is not returned to the sender or forwarded to an addressee unless it bears a specific

request for return or redirection to another address, or has obvious value. Undeliverable international mail is returned to the country of origin unless marked abandoned, in which case it is treated in the same manner as domestic mail. When undeliverable mail of no obvious value does not contain sufficient information to permit the mail to be returned to the sender or forwarded to the addressee, it is destroyed. Where the mail contains cash or merchandise the cash is deposited to the credit of Canada Post Corporation and the merchandise is sold or otherwise disposed of in a manner approved by the Corporation.

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Privacy Act.

Records contained within information banks are limited to relevant data subjects. For example, if an individual has never bid on nor been awarded a contract with Canada Post, no record of that individual would exist in the applicable contract bank.

To facilitate access and records retrieval, specific details should be provided, such as those outlined in individual bank descriptions.

If Canada Post exempts any information, the individual refused access is provided with the precise reasons for the exemption and the applicable appeal procedures.

Records are routinely destroyed when their useful life expires; thus, access requests for older records may prove unsuccessful. Individuals are encouraged to seek informal access to their records — employees via their supervisors, and customers, contractors and others via the Canada Post officials with whom they have been dealing.

Requests for further information about Canada Post Corporation and its various functions may be directed to:

Corporate Privacy Coordinator
Canada Post Corporation
2701 Riverside Dr
Suite N0643
OTTAWA ON
K1A 0B1
(613) 734-6872/1

Canadian Centre for Management Development

Chapter 16

General Information

Background

On April 14, 1988, the Prime Minister announced the creation of the Canadian Centre for Management Development (CCMD), dedicated to excellence in teaching and research into public sector management, enhancing public sector management capabilities, and promoting a strong corporate culture in the federal public service. On March 27, 1991, new legislation entitled Canadian Centre for Management Development Act (Bill C-34) received Royal Assent and the act was officially proclaimed on December 1, 1991.

The Act provides for the creation of a departmental corporation under the general direction of a Board of Governors. The composition of the Board is to be equally divided between public and non-public sector (academic and business) representatives. The Clerk of the Privy Council is the Chairman of the Board. Among other public sector representatives, the Secretary of the Treasury Board, the Chairman of the Public Service Commission and the Principal of the Centre are ex officio governors.

Responsibilities

The Centre focuses on the orientation, training and development of senior managers in the federal sector. It also has a capability to undertake public management research including the development of case histories of innovations or major initiatives to enhance and broaden the range of management courses offered by the federal government. There are two campuses in the National Capital Region (NCR). One of the NCR campuses is located at Gatineau (Touraine), Quebec; the other is situated in the historic Lasalle Academy on Sussex Drive in downtown Ottawa. Courses are also offered at existing facilities in most regions of Canada.

The primary clientele of the Centre includes all members of the Executive Group, Deputy Ministers, Senior Governor-in-Council appointees, managers from the Employment Equity groups at one level below the Executive Group and, managers selected for the Career Assignment Program, the Management Trainee Program and Interchange Canada.

Legislation

- Canadian Centre for Management Development Act

Organization

Office of the Principal

The Principal of the Centre is appointed by the Governor-in-Council and has the rank and status of a deputy minister. The Principal is the Chief Executive Officer of the Centre and has control over the form and content of programs, studies and publications of the Centre, the appointment of staff, the contracting for services and any other authority normally exercised by a deputy head pursuant to a statute or delegated authority. Since 1993, the Principal also has responsibilities related to Public Service Renewal. The other positions reporting to the Principal are listed below.

◆ Client Relations Group

The Client Relations group is responsible for the provision of customized learning programs to departmental clients to meet their present and future management development needs.

◆ Corporate Services

Corporate Services is responsible for the provision of all functions related to finance, administration, communications, evaluation, information management (including information services and informatics) as well as administrative support to the delivery of learning programs, including registration of participants.

◆ Executive Development Programs Group

The Vice-Principal, Executive Development Programs, is responsible for the provision of mandatory and residential management orientation courses and optional non-residential courses, seminars and workshops to members of the Executive Group at all levels, and the educational component of the Career Assignment Program (CAP) and of the Management Trainee Program (MTP).

◆ Human Resources Management

The Director, Human Resources Management is responsible for the provision of all functions related to the management of human resources at the Centre.

◆ Policy and Planning

The Director General, Policy and Planning, is responsible for strategic planning and policy development for the Centre.

◆ Research Group

The Vice-Principal, Research, is responsible for the conduct and publication of research projects on a wide range of public sector management issues and concerns, the management of contributions given to a variety of

management organizations and associations, the administration of a fellowship program for senior public and private sector officials and academics, and the operation of the Case Study program.

Information Holdings

Program Records

Best Practices Cases

Description: Includes subjects relating to the various best practices cases developed and/or used by CCMD faculty members. **Program Record Number:** CMD CMD 040

Case Study Program

Description: Includes subjects relating to the various case studies developed by the CCMD faculty members. **Program Record Number:** CMD CMD 035

Management Topics

Description: Includes any and all information with regards to management topics that are currently being researched by CCMD for either the development of future product offerings or for information purposes. **Program Record Number:** CMD CMD 045

Product Delivery — Advanced Management Program

Description: Includes subjects relating to product deliveries developed by CCMD for the Advanced Management Program. **Program Record Number:** CMD CMD 020

Product Delivery — Orientation

Description: Includes subjects relating to product deliveries developed by CCMD to help Federal Government executives orient themselves to their positions. **Program Record Number:** CMD CMD 010

Product Delivery — Programs Requiring Selection Process

Description: Includes subjects relating to product deliveries developed by CCMD that require a selection process. This includes records concerning Career Assignment Program, Overview of Government, Managing Government Agencies, and Management Trainee Program. **Program Record Number:** CMD CMD 015

Product Development and Delivery

Description: Includes original thinking and general subjects relating to product development and delivery operations and activities at CCMD. **Program Record Number:** CMD CMD 005

Research Program

Description: Includes subjects relating to the various research projects handled by CCMD. This includes records such as correspondence, reports, studies concerning agendas, projects, guidelines, planning, proposals not funded, research proposals and document review. **Program Record Number:** CMD CMD 030

Seminars, Workshops, Courses

Description: Includes subjects relating to seminars, workshops, courses offered by CCMD to Federal Government executives. This would include records such as correspondence, completed forms, evaluations, course materials, agendas, timetables. **Program Record Number:** CMD CMD 025

Personal Information Banks

Individual Data Base

Description: This information bank contains basic information on course and other training activities of the Centre, on actual and potential clients, on internal and external resources employed by the Centre and all persons with which CCMD has contact. **Class of Individuals:** Individuals, principally members of the executive category of the Canadian federal public service, who have contact with the Canadian Centre for Management Development. May also contain information on members of the private (business) sector or other levels of government who attend CCMD-sponsored activities. **Purpose:** The purpose of this information bank is to establish and maintain files on actual and potential clients in order to facilitate the registration of these individuals to CCMD activities, to have information available to determine our client profile to help in the development and delivery of products offered by the Centre. This information bank also permits the establishment and maintenance of distribution lists such as the list of departmental training coordinators to whom CCMD publicity material is sent in bulk. **Consistent Uses:** This information bank serves to identify potential clients and resources for activities offered by the Centre. **Retention and Disposal Standards:** The files are retained as long as the information is still valid. **TBS Registration:** 003329 **Bank Number:** CMD PPU 010

Registration Information System

Description: This information bank may contain basic information on participants and administrative data on training activities at the Canadian Centre for Management Development. **Class of Individuals:** Individuals, mainly members of the executive category of the federal public service, who have taken or are registered on a training activity sponsored by the Canadian Centre for Management Development. May also contain information on members of the private (business) sector or other levels of government who attend CCMD-sponsored activities. **Purpose:** This information bank exists to establish and maintain files on CCMD activities and participants to these training and development activities. **Consistent Uses:** The information bank provides data necessary for the delivery of training activities offered by the Centre. **Retention and Disposal Standards:** The files are kept for a two-year period following the end of the training activity, and are then forwarded to the Management Resource Centre which is responsible for the retention and disposal of records. **TBS Registration:** 003328 **Bank Number:** CMD PPU 005

Manuals

- CCMD Policy for Grants and Contributions
- CCMD Registration and Product Delivery Systems
- Copyright and the Trainer (PSC)
- Graphic Standards Manual
- Interchange Canada Directive
- Management Trainee Program Manual (PSC)
- The Manager's Deskbook
- Treasury Board Manuals

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about CCMD's various programs and functions may be directed to:

Canadian Centre for Management Development
Client Relations
P.O. Box 420, Station A
Ottawa, Ontario
K1N 8V4
Telephone: (613) 992-8059
FAX: (613) 947-3668

Reading Room

The Management Resource Centre, De La Salle campus, has been designated as a public reading room under the Access to Information and Privacy Acts. The address is:

Canadian Centre for Management Development
373 Sussex Drive
Room B-105
Ottawa, Ontario
K1N 8V4

Canadian Centre for Occupational Health and Safety

Chapter 17

General Information

Background

The Canadian Centre for Occupational Health and Safety (CCOHS) is a corporation under Schedule II of the Financial Administration Act, created by Parliament in April, 1978 to promote the rights of Canadians to a healthy and safe working environment. It is governed by a Council of Governors representing labour, employers and federal, provincial and territorial governments.

Responsibilities

CCOHS promotes a healthier and safer workplace by providing a free occupational health and safety inquiries service to Canadians in both official languages while achieving self-sufficiency through the revenue generating services as a not-for-profit organization. The revenue generating service includes publications, education/training packages, an online electronic service (CCINFOline) that connects users to CCOHS' computer in Hamilton via Internet or Datapac, and a CD-ROM service, CCINFODisc, consisting of a series of 7 CD-ROMs which are updated quarterly, containing material safety data sheets of trade name chemicals, and other chemical and general health and safety databases, a magnetic tape service, and a diskette service.

Five new specialized CD-ROMs are also offered containing full-text occupational health and safety and environmental legislation, complete with inherent print characteristics, and multimedia education/training packages. Another CD-ROM contains regulatory and advisory information on chemicals in the workplace and the environment.

Four membership options from \$100 to \$500 are offered to organizations which are interested in supporting the Canadian Centre for Occupational Health and Safety and promoting the fundamental right of all Canadians to a healthy and safe workplace.

Legislation

- Canadian Centre for Occupational Health and Safety Act
- Financial Administration Act, Schedule II

Organization

CCOHS is a corporation under Schedule II of the Financial Administration Act. Its policies are established by the Council of Governors, which consists of a chairman and 12 representatives from labour, employers' organizations, the Council and the federal, provincial and territorial governments. It meets three times a year in Hamilton.

The Chairman is appointed by federal Order-in-Council. The President and Chief Executive Officer supervises and directs the work and staff of the Centre.

The program is delivered on an inter-disciplinary project team system. There are four directorates through which the program is delivered.

Inquiries Service:

Responds directly to oral or written inquiries from the public.

Project Development and Coordination:

The market-driven project teams that constitute the driving force for the products and services offered by the Centre.

Operations Support:

Provides the support services and other services necessary for the effective operation of the Centre, and coordinates and monitors the national marketing and sales plan.

Comptroller:

Provides centralized planning and financial control.

Information Holdings

Program Records

CCINFO

Description: CCINFO is CCOHS' computerized information service which consists of four distinct modes of delivery — CCINFODisc and CCINFOline: a tape service and a diskette service; CCINFODisc — the delivery through CD-ROM (compact disc read-only memory); provides discs with data in the form of structured databases, full-text, and interactive, multimedia education/training packages; CCINFOline — the delivery through a telecommunications link to CCOHS' central computer via Internet or Datapac, provides access to databases; the tape service provides databases on magnetic tape for installation on other organizations' computers; the diskette service provides selected CCINFODisc and CCINFOline databases on diskettes.

There are three additional specialized CD-ROM discs containing information on education/training and legislation: 1) Two Education/Training discs consisting of multimedia training packages with sound, graphics,

animation, colour and interactive features; and, 2) Legislation Disc — containing the full text of Canadian occupational health and safety and environmental Acts and regulations. There is also a CD-ROM disc of databases devoted to regulatory and advisory information on chemicals in the workplace and the environment from the U.S., Canada and several other countries.

There are six chemical information databases which respond to the right to know about the hazards posed by chemicals in the workplace. They are: 1) MSDS Database — contains English material safety data sheets for trade-named products; 2) FTSS Database — contains French material safety data sheets for trade-named products; 3) CHEMINFO (Chemical Information) — supplies profiles on chemicals and their safe usage; 4) RTECS7 (produced by the National Institute for Occupational Safety and Health — NIOSH) — the Registry of Toxic Effects of Chemical Substances, an annual compendium of toxicity data extracted from the scientific literature by NIOSH; 5) REGULATORY INFORMATION ON PESTICIDE PRODUCTS identifies and describes products registered in Canada under the Pest Control Products (PCP) Act, and is produced by Agriculture and Agri-Food Canada; and, 6) TRANSPORT OF DANGEROUS GOODS (TDG)/HAZARDOUS MATERIALS (49CFR) — a database giving Canadian and U.S. regulatory information for the packaging and shipping of substances with recognized shipping names.

Five additional databases also contain chemical information: 1) PRIS (PEST MANAGEMENT RESEARCH INFORMATION SYSTEM) consists of five databases covering many aspects of pest control product development, from first introduction in Canada for research up to registration; produced by Agriculture and Agri-Food Canada; 2) CESARS (Chemical Evaluation Search and Retrieval System) contains information on chemicals of environmental concern; jointly produced by the Michigan Department of Natural Resources (MDNR) and the Ontario Ministry of the Environment (MOE); and, 3) DOMESTIC/NON-DOMESTIC SUBSTANCES LIST (DSL/NDL) lists substances manufactured or imported into Canada; in Canadian commerce, or used for commercial manufacturing purposes in Canada, under specific criteria, as well as substances not on the DSL, but believed to be in international commerce, although not yet in Canada; 4) CHRIS (Chemical Hazard Response Information System) contains emergency response and hazard information on chemicals; produced by the U.S. Coast Guard; and 5) New Jersey Hazardous Substance Fact Sheets with non-technical information on chemicals; produced by the New Jersey Department of Health.

Three databases describe people and organizations active in Canadian occupational health and safety, and current research projects in the field: 1) CANADIAN STUDIES is a directory of recent Canadian studies in the field of occupational health and safety; 2) RESOURCE ORGANIZATIONS lists Canadian organizations involved in the field of occupational health and safety; and, 3)

RESOURCE PEOPLE provides a directory of Canadians involved in the field of occupational health and safety.

Two other databases contain Canadian legal information on occupational health and safety: 1) CASE LAW, a collection of summaries of occupational health and safety cases and decisions from jurisdictions across Canada; and, 2) FATALITY REPORTS, a database containing information from reports on occupationally related fatalities.

Other databases accessible through CCOHS' electronic services:

— CANADIANA (OSH) is a bibliographic database of documents on occupational health and safety produced in Canada, by Canadians or on Canadian topics.

— ESSENTIALS provides references to publications selected as essential to answer questions on a specific topic in occupational health and safety.

— NOISE LEVELS is a direct-information database providing numerical data on noise levels relating to different types of machinery used for specific occupations in various industries.

— NON-IONIZING RADIATION LEVELS is a database containing non-ionizing radiation measurements of specific types of equipment used in a variety of industries and occupations.

— MINING INCIDENTS describes occupational health and safety incidents in mining; developed in co-operation with the Chief Inspectors of Mines Association.

— AIDSCAN for Health Care Workers, created by Ryerson Polytechnical Institute, is a bibliographic database of documents on working safely with AIDS patients; the physical and psychosocial aspects of care; and the educational or other special projects in which health care workers might be involved.

— DIRECTORY OF OCCUPATIONAL SAFETY AND HEALTH LEGISLATION IN CANADA lists occupational health and safety legislation as made available to the Federal-Provincial Relations Branch of Labour Canada, and is provided by Labour Canada.

— INRS-BIBLIOGRAPHIE, a French-language bibliographic database covering the international scientific and technical literature available on occupational health and safety; provided by the Institut national de recherche et de sécurité (INRS) in France.

— INET — RESEARCH PROJECTS (INRS), an international directory of research projects that are planned or currently underway on the prevention of occupational risks; produced by the Institut national de recherche et de sécurité (INRS) in France.

— INOR — ORGANIZATIONS (INRS), an international directory of organizations that conduct research on the prevention of occupational risk, whether or not this is their main activity; produced by the Institut national de recherche et de sécurité (INRS) in France.

— DIDS (Document Information Directory System), a listing of NIOSH (National Institute for Occupational Safety and Health) publications and reports which can be ordered from NIOSH, the US Government Printing Office (GPO) or the National Technical Information Service (NTIS); provided by NIOSH.

— STANDARDS AND DIRECTORIES is a directory of standards, certified products' directories, PLUS products and other miscellaneous information products published by the CSA (Canadian Standards Association).

— CIS/ILO was created by the Centre d'informations de sécurité et de santé au travail of the International Labour Office in Geneva. CIS/ILO is a bilingual, bibliographic database on publications in occupational health and safety worldwide.

— International Directory of OSH Institutions, produced by the Centre d'informations de sécurité et de santé au travail of the International Labour Office in Geneva, is a directory of key occupational health and safety organizations in 93 member states of the ILO.

— NIOSHTIC®, developed by the U.S. National Institute for Occupational Safety and Health, is a bibliographic database with international coverage of publications in occupational health and safety.

— MOLINDEX, produced by the Ontario Ministry of Labour Library, provides references to documents on occupational health and safety and other labour issues, from Canadian, American and Western European sources.

— Occupational Health and Safety Software Packages describes commercial computer software programs pertaining to occupational health and safety.

— NIOSH Manual of Analytical Methods, a widely used reference guide for sampling and analysis of chemical contaminants in air, and also for biological monitoring.

— NiPERA CAB (Current Awareness Bulletin), produced by the Nickel Producers' Environmental Research Association (NiPERA) in the U.S. to develop and disseminate information on any health or environmental effects that might be associated with the production and use of nickel, its compounds and alloys. **Program Record Number:** OHS ISS 055

Health and Safety Products and Services

Description: Information on a wide range of occupational health and safety topics, as well as environmental information, from a large variety of Canadian and other renowned, national and international sources. Information in the form of products, such as CCINFODisc and CCINFOLine, is made available throughout Canada.

Topics: Chemical, physical and toxicological properties of workplace chemicals; occupational diseases; employee health services; safe work practices, equipment, workplace design, ergonomics, accident statistics, disease statistics and occupational epidemiology, ionizing radiation,

non-ionizing radiation, light, temperature, pressure, noise and vibration, people, organizations and studies in the area of occupational health and safety. **Program Record Number:** OHS TES 010

Inquiries

Description: Answers questions on specific occupational health and safety matters. **Topics:** Chemical hazards, physical hazards, occupational diseases, biological hazards, psycho-social issues, occupational safety, ergonomics, occupational health and safety administration. **Program Record Number:** OHS IRS 041

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Audits

Budgets

Buildings and Properties

Classification of Positions

Co-operation and Liaison

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Occupational Health, Safety and Welfare

Office Appliances

Official Languages

Pensions and Insurance

Personnel

Procurement

Salaries and Wages

Staff Relations

Training and Development

Utilities

Vehicles

Personal Information Banks

Studies on Information Sources in Occupational Health and Safety

Description: The RESOURCE PEOPLE database contains information on people including their areas of

interest and activities in occupational health and safety.

Class of Individuals: Individuals who are active in the field of occupational health and safety. Includes people from labour, government, industry, educational institutions and professional associations. **Purpose:** This bank provides a centralized current information source on persons and activities in occupational health and safety in Canada. **Consistent Uses:** To be used at CCOHS to facilitate information provision services and by the public to improve communication and information sharing in occupational health and safety in Canada. **Retention and Disposal Standards:** Under review. **PAC Number:** 86-010 **TBS Registration:** 001608 **Bank Number:** OHS PPU 005

Manuals

- CCINFODisc Getting Started Manuals (IBM and MacIntosh)
- CCINFOline Getting Started Manual
- CCINFOline Training Manual
- Instructions for access to the OSH Exchange
- On-line User Instructions for CCINFOline
- On-screen User Instructions for CCINFODisc itself and its installation
- Specifications for Contributions of MSDS Data in Electronic Form for the MSDS Database
- Tape Service Manuals

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Centre and its various programs and functions may be directed to:

Inquiries Service
Canadian Centre for Occupational Health and Safety
250 Main Street East
Hamilton, Ontario
L8N 1H6
(905) 572-4400
1-800-263-8466
Facsimile: (905) 572-4500

Reading Room

Facilities in Documentation Resources have been designated as a public reading room in accordance with the Access to Information Act. The address is:

250 Main Street East
Hamilton, Ontario.

Canadian Commercial Corporation

Chapter 18

General Information

Background

A Crown corporation established in 1946, Canadian Commercial Corporation (CCC) is wholly owned by the Government of Canada. It reports to Parliament through the Minister of Public Works and Government Services.

Responsibilities

The Corporation's mandate is to assist in the development of trade between Canada and other nations. Its principal activity is to facilitate sales to foreign governments and international agencies on behalf of Canadian suppliers. In all transactions CCC assumes the role of prime contractor, undertaking to deliver a product or service to the foreign customer with a "back-to-back" obligation from a Canadian supplier. To achieve this task, the Corporation offers a wide range of export services to Canadian exporters, provides them with special access to foreign government markets and enhances their credibility by guaranteeing contract performance.

Legislation

- Canadian Commercial Corporation Act

Organization

The board of directors is comprised of Canadian business executives, public service officials and the President of the Corporation.

♦ Corporate Management Division

This unit is responsible for the development of corporate policy and planning, for communications and awareness activities, and for the provision of management information systems and data processing services within the Corporation.

♦ Finance and Resources Administration Division

This unit is responsible for ensuring sound financial management practices for all policies and programs of the Corporation; in addition, it oversees the human resources, administration and security requirements of the Corporation.

♦ Operations

Two distinct operational groups within CCC facilitate government-to-government export contracts; the United States Division and the Overseas Division. The latter

includes a section devoted to concluding sales with United Nations purchasing agencies and in projects funded by international financial institutions.

Information Holdings

Program Records

Canadian Commercial Corporation Files

Description: The Corporation's files contain information relating to contracts concluded with foreign governments and international agencies on behalf of Canadian suppliers. **Topics:** Exports; contracts; defense industry; developing countries; foreign governments; foreign trade; international trade; supplier listings and contracts; trade development; international financial institutions. **Program Record Number:** CCC CCC 005

Manuals

- Supply Policy Manuals (SSC)

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Corporation and its various programs and functions may be directed to the following:

Corporate Communications Officer
Canadian Commercial Corporation
11th Floor
50 O'Connor Street
Ottawa, Ontario
K1A 0S6
(613) 996-0034

Reading Room

In accordance with the Access to Information Act, an area on the premises of this institution has been designated as a public reading room. The address is:

50 O'Connor Street
11th Floor
Ottawa, Ontario.

Hours of operation are 09:00 to 17:00, Monday to Friday.

Canadian Cultural Property Export Review Board

Chapter 19

General Information

Background

The Cultural Property Export Review Board was established through the Cultural Property Export and Import Act on September 6, 1977. The duties of the Review Board as set out in section 20 of the Act are: pursuant to section 29, to review applications for export permits; pursuant to section 30, to make determinations respecting fair cash offers to purchase; and pursuant to section 32, to make determinations for the purpose of subparagraph 39(1)(a) (i.1) or 110(1)(b.1) of the Income Tax Act.

Administrative services to the Review Board are provided through the Department of Canadian Heritage by the Movable Cultural Property Secretariat. All Review Board files are housed with the Secretariat. The personal information they contain pertains to members of the Review Board, applications for grants, loans, cultural property export permits, appeals against the refusal of cultural property export permits and applications for the certification of cultural property submitted on behalf of donors or vendors. Application forms are filed by number but can be accessed through a manual cross-index or automated system containing the name of the appellant (in the case of an appeal) or the donor/vendor (in the case of certification). Review Board member files are maintained in alphabetical order.

Responsibilities

The Review Board is responsible for preserving in Canada significant examples of Canadian heritage in movable cultural property, reviewing applications for export permits, making determinations on fair cash offers to purchase and making determinations for the purposes of the Income Tax Act. It also provides advice to the Minister of Canadian Heritage on matters affecting the preservation in Canada of the heritage in movable cultural property. In particular, it recommends to the Minister, pursuant to section 35 of the Act, grants and loans to designated institutions and public authorities in Canada for the purchase of objects for which permits have been refused under the Act, or for the purchase of cultural property outside Canada that is related to the national heritage. The grants and loans are made out of monies appropriated annually by Parliament.

In reviewing an application for an export permit the Board must determine whether the object in question: is included in the Canadian Cultural Property Export Control List; is of outstanding significance by reason of its close association with Canadian history or national life, its aesthetic qualities or its value in the study of the arts or

sciences; is of such a degree of national importance that its loss would significantly diminish the national heritage.

Where the Review Board determines that the cultural property subject of an application meets the criteria of outstanding significance and national importance, and the cultural property qualifies for certification for income tax purposes, the Review Board issues a Cultural Property Income Tax Certificate to the designated institution or public authority making such an application. It should be noted that objects proposed for certification need not be included in the Canadian Cultural Property Export Control List. Thus objects less than 50 years old and made by a living person may qualify. It should also be noted that a certified cultural property subject of a Cultural Property Income Tax Certificate is exempt from tax on taxable capital gains when disposed of (by gift or sale) to an institution or public authority in Canada designated for the purpose and, in the case of a donation, also qualifies for the 100 percent tax credit provision under the Income Tax Act.

Legislation

- Cultural Property Export and Import Act

Organization

♦ Movable Cultural Property Secretariat

The Secretariat provides administrative services to the Review Board and coordinates the export control system.

Information Holdings

Program Records

Cultural Property Exports and Imports

Description: Information on the export of cultural objects in the "National Treasure" category and the transfer of ownership of such objects to public institutions through the provisions of grants and tax incentives as set out under the Cultural Property Export and Import Act.

Topics: Implementation of the Cultural Property Export and Import Act; export permits and control; expert examiners; permit officers; certification; designation; grants and loans; case files on illegal exports and imports; consultants and special advisors. **Program Record**

Number: CPE CPI 005

Personal Information Banks

Appeals

Description: An applicant for a cultural property export permit, whose permit is refused by an expert examiner, may appeal this decision to the Canadian Cultural

Property Export Review Board. The process of appeal, including the disposition of the relevant information, is set out in paragraph 22 of the Cultural Property Export and Import Act. The information in this bank includes the original permit application, the advice of the expert examiner and any other experts consulted, and administrative correspondence related to the conduct of the appeal. In each case, the information contained in an appeal file is shared with all interested parties. Files are kept for five years. **Class of Individuals:** Individuals seeking to export cultural property which is subject to control. **Purpose:** To determine if an export permit should be granted. **Retention and Disposal Standards:** Files are kept for 10 years. **PAC Number:** 79-002 **Related to PR#:** CPE CPI 005 **TBS Registration:** 000116 **Bank Number:** CPE PPU 005

Certification

Description: The purpose of this bank is to enable the Review Board to make determinations concerning the certification of cultural property for income tax purposes, and to issue cultural property income tax certificates. The application files contain information concerning the fair market value of objects being transferred to designated institutions by individual donors or vendors. Material held in the bank includes the application, appraisals, declaration of authenticity, information on provenance, significance of the object and, in the case of a sale, the purchase price. Copies of any advice from experts consulted and a copy of the tax certificate are also included. **Class of Individuals:** Individuals who donate or sell cultural property to designated Canadian cultural institutions. **Purpose:** Certification of cultural property for income tax purposes and the issuance of cultural property income tax certificates. **Retention and Disposal Standards:** Files are kept for five years. **PAC Number:** 79-002 **Related to PR#:** CPE CPI 005 **TBS Registration:** 000117 **Bank Number:** CPE PPU 010

Export Permits

Description: In accordance with section 4 of the Cultural Property Export and Import Act, an export permit must be obtained for cultural property which is more than 50 years old and made by a person who is no longer living. The permit application procedures are set out in the Act and the regulations. This bank contains original permit applications and supporting documentation, including correspondence and photographs. **Class of Individuals:** Applicants for export permits and general export permits. **Purpose:** The information was compiled in accordance with the regulations established under the Cultural Property Export and Import Act for export controls affecting cultural property. **Retention and Disposal Standards:** Files are retained for ten years and are then transferred to the National Archives of Canada. **Related to PR#:** CPE CPI 005 **TBS Registration:** 002588 **Bank Number:** CPE PPU 015

Permit Officers, Expert Examiners and Applications for Grants and Loans

Description: This bank contains information about individuals designated as permit issuing officers and expert examiners for the purposes of export controls affecting cultural property. Permit issuing officers are employees of Revenue Canada who issue the permits, while expert examiners are employees of curatorial institutions who make determinations about the objects being exported. Grants for the purchase of cultural property are available for objects for which export permits have been refused, or which are located outside of Canada and are related to the Canadian national heritage. **Class of Individuals:** Employees of Revenue Canada; museum curators; dealers and collectors of cultural property. **Purpose:** The information has been compiled to maintain listings of permit issuing officers and expert examiners responsible for ensuring the efficient operations of the export control system. Information about individuals selling cultural property is required to establish their eligibility for a grant. **Retention and Disposal Standards:** Files are retained for ten years and then transferred to the National Archives of Canada. **Related to PR#:** CPE CPI 005 **TBS Registration:** 002587 **Bank Number:** CPE PPU 020

Review Board Members

Description: Section 18 of the Cultural Property Export and Import Act establishes the Canadian Cultural Property Export Review Board and defines the composition of its membership. Information in this bank includes the curricula vitae of members, Orders-in-Council appointing them to the Board, correspondence, travel expense claims, press releases and articles by and about members. **Class of Individuals:** Members of the public; curators; dealers and collectors. **Purpose:** The information was compiled to determine the professional qualifications of individuals and their eligibility for membership on the Board. Some information is also used in the completion of travel documentation and for remuneration of members. **Retention and Disposal Standards:** Files are retained for ten years and are then transferred to the National Archives of Canada. **Related to PR#:** CPE CPI 005 **TBS Registration:** 002589 **Bank Number:** CPE PPU 025

Manuals

- Canadian Cultural Property Export Control List
- Cultural Property Export Guide
- Introduction to the Cultural Property Export and Import Act
- Regulations Respecting the Export from Canada of Cultural Property

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Board and its various programs and functions may be directed to:

Canadian Cultural Property Export Review Board
15 Eddy Street, 3rd Floor
Hull, Quebec
K1A 0M5
(819) 997-7761

Reading Room

The library of the Department of Canadian Heritage has been designated as a public reading room in accordance with the Access to Information Act. The address is:

15 Eddy Street, 3rd Floor
Hull, Quebec

Canadian Dairy Commission

Chapter 20

General Information

Background

The Canadian Dairy Commission was established by the Canadian Dairy Commission Act in October 1966. The Commission consists of a chairman, a vice-chairman and a commissioner, appointed by the Governor-in-Council. The positions of Vice-Chairman and Commissioner are part-time appointments. The Commission is accountable to Parliament through the Minister of Agriculture and Agri-Food.

Responsibilities

The objectives of the Commission are to provide efficient producers of milk and cream with the opportunity of obtaining a fair return for their labour and investment, and to provide consumers with a continuous and adequate supply of dairy products of high quality.

The Commission, under its authority to purchase and sell dairy products, supports the market price of major processed products, principally butter and skim milk powder. The Commission sets the national largest price for industrial milk, establishes the processor margin and determines the related product support prices for butter and skim milk powder.

The supply of industrial milk and cream is managed nationally through a Market Sharing Quota program administered under the National Milk Marketing Plan. The Commission, in chairing the Canadian Milk Supply Management Committee (CMSMC), directs the development of policy and coordinates the administration of the national quota and provincial market shares that are determined by the CMSMC.

The Commission exports surplus dairy products not needed for domestic consumption, including skim milk powder and whole milk products such as evaporated milk, cheese, whole milk powder and other specialty products.

The Commission makes subsidy payments to producers from funds provided by the government to moderate consumer prices. These payments are made on qualifying milk and cream shipments produced within a Market Sharing Quota system to meet domestic market requirements.

Legislation

- Canadian Dairy Commission Act
- Farm Income Protection Act

Organization

♦ Marketing Sections

These involve export activities, including the purchase, sale, transport and warehousing of dairy products not needed to meet Canadian domestic needs. It administers quota entitlements for the export of certain cheeses and a permit system to access milk at competitive prices.

♦ Policy Section

This provides analysis and advice on dairy-related issues.

♦ Subsidy Operations Section

This administers the payment of subsidies on qualifying shipments of industrial milk and cream to producers, and oversees the special class pooling system.

Information Holdings

Program Records

Administrative Policies

Description: The administrative policies for the entire Commission (currently under review). **Program Record Number:** CDC COM 015

Animal Feed Assistance

Description: Information on the use of a rebate to offset the domestic price of skim milk powder used in animal feed. **Access:** Files arranged chronologically by company. **Storage Medium:** EDP systems. **Program Record Number:** CDC MOP 035

Canadian Milk Supply Management Committee

Description: Information on the Commission's activities as chair of the Canadian Milk Supply Management Committee, which administers the federal-provincial agreement, the National Milk Marketing Plan. **Topics:** National industrial milk and cream supply management systems. **Access:** Files arranged chronologically, by subject and by province. **Program Record Number:** CDC COM 025

Commission Rules of Procedure

Description: Corporate rules of procedure describing how the Commission operates and the specific responsibilities of the three commissioners. **Program Record Number:** CDC COM 005

Domestic Dairy Product Innovation Program

Description: Information on milk provided to innovators to introduce to the domestic market new, made-in-Canada products containing milk ingredients. **Access:** Files

arranged by project and by companies. **Storage Medium:** EDP systems. **Program Record Number:** CDC POL 005

Inventories

Description: Inventories of dairy products held by the Commission. **Access:** Files arranged by product and warehouse. **Storage Medium:** EDP systems. **Program Record Number:** CDC MOP 020

Liaison with Federal and Provincial Agencies

Description: Communications, agreements, etc., between the Commission and federal and provincial departments and agencies, and representatives of the industry and interest groups. **Access:** Files arranged by subject, individual, and province. **Program Record Number:** CDC COM 010

Milk Bread Program

Description: Information on the use of skim milk powder used in breads meeting certain milk ingredient specifications. **Access:** Files arranged by company. **Storage Medium:** EDP systems. **Program Record Number:** CDC MOP 030

Optional Export Program

Description: Information on the additional production of milk, over the present quota volumes, for identified export market opportunities. **Access:** Files arranged chronologically by company. **Storage Medium:** EDP systems. **Program Record Number:** CDC INT 015

Plants and Provincial Agencies

Description: Listing of milk processing plants in Canada which receive shipments of industrial milk and cream, provincial milk marketing agencies and the records of milk shipments. **Access:** Files arranged by product, province and plant. **Program Record Number:** CDC SOP 040

Policy

Description: Information on policy development; consultations with other federal agencies and provincial, producer and processor agencies, boards and organizations, on income support, price stability, research on price determination, statistical data, reports, forecasts, trends, related correspondence. **Topics:** Milk and milk-product production, demand, sales, Market Sharing Quotas, stocks, federal target and support product prices. **Storage Medium:** EDP systems. **Program Record Number:** CDC PEC 030

Producers

Description: Information on each producer. **Topics:** Monthly milk production; Market Sharing Quotas; monthly subsidy payments; communications with either the producer or provincial agency. **Access:** Files arranged by individual and province. **Storage Medium:** EDP systems, microfilm. **Program Record Number:** CDC SOP 035

Production of Milk and Cream

Description: Information on industrial milk and cream production by producer, by province (and in some cases

by plant). **Access:** Files arranged by product, individual, and province. **Program Record Number:** CDC SOP 045

Purchases

Description: Tenders, negotiations and contracts of purchases of dairy products by the Commission. **Access:** Files arranged by product, country and company. **Storage Medium:** EDP systems. **Program Record Number:** CDC MOP 005

Sales

Description: Quotes, negotiations and contracts of dairy products marketed by the Commission. **Access:** Files arranged by product, country and company. **Storage Medium:** EDP systems. **Program Record Number:** CDC INT 005

Special Milk Classes

Description: Information on milk components accessed by processors at competitive prices for the manufacture of products for the domestic and export markets. **Access:** Files arranged chronologically by company. **Storage Medium:** EDP systems. **Program Record Number:** CDC INT 010

Subsidy Payments

Description: Information on payments made to producers for qualifying shipments of industrial milk and cream. **Access:** Files arranged by registration number sequence and province. **Storage Medium:** EDP systems, microfilm. **Program Record Number:** CDC SOP 055

Subsidy Rules

Description: Rules and regulations on the eligibility for and payment of subsidy to milk producers on their qualifying shipments of industrial milk and cream. **Program Record Number:** CDC SOP 050

Transport

Description: Tenders, negotiations and agreements for the truck, train and boat transport used by the Commission to move dairy products. **Access:** Files arranged by mode, commodity, country, province, company, and product. **Storage Medium:** EDP systems. **Program Record Number:** CDC MOP 015

Warehousing

Description: Tenders, negotiations and contracts for storage facilities used by the Commission to store dairy products across Canada. **Access:** Files arranged by province, port, company, and city. **Storage Medium:** EDP systems. **Program Record Number:** CDC MOP 010

Personal Information Banks

Milk Producers' Records

Description: The records contain farmland location, financial reports, production data, amount of subsidy paid and the production data. **Class of Individuals:** Milk producers. **Purpose:** This bank exists to register and furnish information and data necessary for payment of

federal dairy subsidies. **Consistent Uses:** This bank is used for the administration of the dairy policy and operation of the dairy program. **Retention and Disposal Standards:** These files are retained indefinitely. **TBS Registration:** 002997 **Bank Number:** CDC PPU 005

Classes of Personal Information

In the course of conducting the programs and activities of the Canadian Dairy Commission, categories of personal information may be accumulated which are not contained in the specific personal information banks described in this entry.

This form of personal information is normally retrievable only if requests include general background on the circumstances under which an individual contacted the Commission and an approximate time-frame. The retention period for these classes of personal information is controlled by the record schedules of the general subject files in which they are stored.

Manuals

- CDC Administrative Policy Manual (under review).

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Commission and its various programs and functions may be directed to:

Policy and Communications
Canadian Dairy Commission
1525 Carling Avenue
Ottawa, Ontario
K1A 0Z2
(613) 998-9490
(613) 998-4492 (fax)
Internet: <http://www.agr.ca/cdc>

Canadian Environmental Assessment Agency

Chapter 21

General Information

Background

In 1973, the Federal Cabinet established the Environmental Assessment and Review Process and made adjustments to it in 1977. In 1984, the process was strengthened and updated by the Environmental Assessment and Review Process Guidelines Order under the Government Organization Act.

In 1987, the Minister of the Environment conducted nation-wide consultations on a major reform of the federal environmental assessment process. The reform reached an important milestone in June 1992 with the passage through Parliament of the Canadian Environmental Assessment Act (Act). The Act was proclaimed in January 1995.

The Canadian Environmental Assessment Agency (the Agency) was created on December 21, 1994 to administer the federal environmental assessment process; it replaced the Federal Environmental Assessment Review Office (FEARO). The Agency reports directly to the Minister of the Environment. Its mission is to provide effective means of integrating environmental factors into federal planning and decision-making in a manner that takes into account public values and the goal of sustainable development.

Responsibilities

The Agency's main responsibilities are: to administer the federal environmental assessment process; to provide legal, procedural and policy advice to the Minister of the Environment on the Minister's responsibilities under the Act; to provide opportunities for public participation in the federal environmental assessment (EA) process and to promote sound environmental assessment practices.

Legislation

- The Canadian Environmental Assessment Act and its regulations: the Law List, Comprehensive Study List, Inclusion List and Exclusion list.

Organization

The Agency in June 1995 introduced a progressive and modern organizational model, self-directed work teams. The teams are as follows:

◆ Aboriginal Affairs

Provide advice on Aboriginal environmental assessment matters to the Agency and other clients in order to shape the federal approach to integrating environmental assessment requirements to comprehensive land claims

agreements, self government agreements and federal devolution initiatives; provide advice and support services to the federal administrator of the James Bay and Northern Quebec Agreement (JBNQA); and, manage the environmental assessment processes established under the JBNQA and related environmental assessment activities.

◆ Class Screening and Comprehensive Studies

Undertake and manage the Agency's responsibilities for comprehensive studies and class screenings; and advocate timely, efficient, high quality environmental assessments of projects.

◆ Client Liaison

Facilitate the consistent application of federal environmental assessment requirements through interdepartmental co-ordination and harmonization with environmental assessment processes of provinces and other jurisdictions. This team includes headquarters and regional staff. It provides the Agency's clients with information, advice and services to enhance the quality of environmental assessment and promote compliance with the Act and its regulations.

◆ Communications and Information Management

Provide value-added communications advice and specialized information management and informatics services to internal and external clients in support of the Agency's objectives; promote environmental assessment to the public; and manage a national public registry index system, an Internet site and a communications program which facilitate informed public participation in the federal environmental assessment process.

◆ Comptroller, Administration and Cost Recovery

Provide financial and administrative services to the Agency, including the development of mechanisms for cost recovery.

◆ Environmental Assessment Enhancement and International Affairs

Function as a centre of expertise on good environmental assessment practices and ensure that Canada's international environmental assessment interests and commitments are met. The mission includes continued enhancement of the Agency's environmental assessment knowledge base; the transfer of this knowledge, including training on the Act, to Agency clients; and, the development of processes, procedures and standards to advance the efficient practice of environmental assessment.

♦ Executive

Executive Provide overall strategic direction and management and set the context for the Agency's activities. This team also manages Parliamentary relations, ministerial services and correspondence.

♦ Human Resources

Provide professional advice and human resource services to all of the Agency's employees, develop the concept of semi directed work teams for the Agency, and assist in its implementation.

♦ Legal Services

Provide professional legal services to the Agency and effectively identify potential legal issues associated with the design and implementation of the Act and the environmental assessment regulatory framework.

♦ Public Reviews and Participant Funding

Develop and manage effective and independent public review and mediations to fulfill the Agency's mission. This team also manages the Participant Funding Program.

♦ Regulatory Development and Compliance Monitoring

Provide an effective legislative and regulatory framework for integrating environmental assessment into federal decision making; monitor and promote compliance within that framework; and explore alternatives to regulations to address problems.

Information Holdings

Program Records

Aboriginal Peoples

Description: Information on the contribution of the Agency and its predecessor, the Federal Environmental Assessment Review Office, to the negotiation and implementation of comprehensive land claims and self-government agreements and on the development of regulations under the Act concerning environmental assessment in relation to Indian Aboriginal Reserve Lands and Indian Band Funding. **Access:** Files are arranged by subject. **Program Record Number:** CEAA CEAA 100

Communications

Description: Correspondence, reports, memoranda, general enquiries and requests from individuals and organizations. **Topics:** general correspondence, annual reports, mailing lists, communications strategies, publications; audio-visual documents, speeches, exhibitions; news releases, environmental assessment panel reports. **Access:** Files are arranged by subject. **Program Record Number:** CEAA CEAA 105

Environmental Assessment Projects

Description: Information on projects undergoing assessment, including potential project candidates for assessment; project assessments being conducted by Responsible Authorities for which advice is sought from the Agency; and projects subject to class screening, comprehensive study and review by a mediator or panel. **Access:** Files are organized by project name and region. **Program Record Number:** CEAA CEAA 110

Federal/Provincial Agreements

Description: Information on federal provincial agreements for environmental assessment cooperation. **Topics:** Bilateral agreements with provinces on environmental assessment cooperation, CCME Environmental Harmonization, Agreement for Environmental Cooperation in Atlantic Canada, general correspondence, communiques. **Access:** Files are organized by subject area. **Program Record Number:** CEAA CEAA 115

International Agreements

Description: Information on international agreements or conventions on EA for which Canada is a signatory. **Topics:** UN Economic Commission for Europe Convention on EIA in a Transboundary Context, North American Agreement on Environmental Cooperation, bilateral environmental agreements. **Access:** Files are organized by subject. **Program Record Number:** CEAA CEAA 120

Legislative and Regulatory Development

Description: Information on the development and application of the 1984 Environmental Assessment and Review Process Guidelines Order, the Canadian Environmental Assessment Act and regulations under the Act, including related public consultations and activities of the Regulatory Advisory Committee. **Access:** Files are arranged by legislative and regulatory topic and related activities. **Program Record Number:** CEAA CEAA 125

Participant Funding Program

Description: Correspondence and applications relating to the Participant Funding Program **Access:** Records are kept by project. **Program Record Number:** CEAA CEAA 130

Policy Assessment

Description: Information on the development of the process for policy assessment at the federal level. **Access:** Files are organized by subject. **Program Record Number:** CEAA CEAA 135

Process Development

Description: Information on the development of processes, procedures and guidelines for the implementation of the Canadian Environmental Assessment Act. **Access:** Files are organized by subject. **Program Record Number:** CEAA CEAA 140

Professional and Non-Professional Services Contracts

Description: Information includes professional and non-professional service contracts and the selection process for consultants. **Topics:** Includes all aspects of professional services contracts; tender calls; requests for proposals and contract awards; contract claims and disputes. **Program Record Number:** CEAA CEAA 145

Public Registry

Description: Federal Environmental Assessment Index (FEAI). Lists every project for which an environmental assessment is conducted, regardless of whether the project undergoes a screening, comprehensive study, panel review or mediation. The FEAI provides a "one-window" access to information on the who, what, when, where and why of any environmental assessment conducted under the Act, regardless of the Responsible Authority. **Access:** on-line access through the Internet; microfiche and CD-ROM versions are available from libraries on the Depository Services Program. The information is available by province, responsible authority, ecological region and drainage basins and through a geographical information system. **Program Record Number:** CEAA CEAA 150

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Budgets

Classification of Positions

Co-operation and Liaison

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Official Languages

Personnel

Procurement

Salaries and Wages

Training and Development

Classes of Personal Information

General Correspondence

Correspondence received from external organizations and individuals in the format of requests for information,

complaints, comments and suggestions related to a broad range of issues pertaining to the Agency.

The personal information contained in this class normally includes the name and address of the enquirer. However, this information is fragmented throughout the subject files and is normally retrievable only if the name, subject and the date of correspondence is provided.

Information pertaining to environmental assessments may be disclosed to foreign, provincial or municipal governments as well as other interested parties unless the individual expressly requests confidentiality.

The retention periods of these classes of personal information depend on the record disposal schedules of the general subject files in which they are stored.

Mailing Lists

This class contains correspondence and mailing lists which may contain personal information in the form of an individual's name, mailing address at home or business.

The purpose of this class is to maintain standard lists for the mailing of publications, reports, press releases and other documentation on the Agency's activities and programs.

Records on the automated system are updated as required to take changes into account.

Ministerial Correspondence

This class of information contains correspondence addressed to the Minister and received by the Agency's correspondence unit from external organizations and individuals in the form of requests for information, complaints, opinions and other similar submissions related to a broad range of issues pertaining to the Agency's activities, wherein some unsolicited personal information has been provided by the correspondents. This type of information would be retrievable only if specifics of name, date of communication and subject are provided.

Information pertaining to environmental assessments may be disclosed to foreign, provincial or municipal governments as well as other interested parties unless the individual expressly requests confidentiality.

The retention period for these classes of information depends on the records schedules of the subject files in which the information is stored.

Manuals

- Financial Administration Guide — Federal Environmental Assessment Review Office
- Procedures Guide — Canadian Environmental Assessment Agency Procedures on class screening, comprehensive study, mediation, public registry, public review panels, participant funding program. (Draft)

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Agency's programs and functions may also be directed to the following addresses:

Canadian Environmental Assessment Agency
14th Floor
200 Sacré-Coeur Blvd.
Hull, Quebec
K1A 0H3
(819) 997-1000

Alberta Region

Suite 100, Revillon Building
10237 — 104 Street N.W.
Edmonton, Alberta T5J 1B1
(403) 422-7704
Internet e-mail: ceaaalta@oanet.com

Atlantic Region

Suite 1030, TD Centre
1791 Barrington Street
Halifax, Nova Scotia
B3J 3L1
(902) 426-0564
Internet e-mail: coulterw@fox.nstn.ca

Prairie Region

Suite 500, The Federal Building
269 Main Street
Federal Building
Winnipeg, Manitoba
R3C 1B2
(204) 984-2457
Internet e-mail: mcnaugh@mts.net

Western and Northern Region

P.O. Box 12071, Harbour Centre
Suite 1150, 555 West Hastings Street
Vancouver, B. C. V6B 4N5
(604) 666-2431
Internet e-mail: pscott@wimsey.com

Reading Room

In accordance with the Access to Information Act, an area on the premises of this institution has been designated as a public reading room.

Ground Floor
200 Sacré-Coeur Blvd.
Hull, Quebec
K1A 0H3

Canadian Film Development Corporation

Chapter 22

General Information

Background

The Canadian Film Development Corporation was established by an Act of Parliament (R.S.C. 1970, Chapter C-8) in March, 1967. The Corporation, publicly known as Telefilm Canada, is responsible for fostering the growth and development of the private sector of the Canadian film, television and video industry.

Responsibilities

Through Telefilm Canada, the Government of Canada provides support, as a partner, to the private sector for the production of film and broadcast material, either in the form of investment funds or through a variety of resources at the Corporation's disposal.

The Corporation meets the diverse needs of this industry through a wide range of funds. Support is available at all stages of the process, from screenplay development to final production and beyond to the distribution and marketing of the finished product in Canada and abroad.

Telefilm Canada is a Crown corporation that reports to Parliament through the Minister of Communications. It is headed by a board of directors and a chairman appointed by the Governor in Council. The Governor in Council, on the recommendation of the Corporation's board, also appoints an executive director who acts as chief executive officer and is responsible for day-to-day operations. Headquarters is located in Montreal with offices in Toronto, Vancouver, Halifax, Los Angeles, Paris and London.

Legislation

- Canadian Film Development Corporation Act, 1970, R.S.C. chapter C-8.

Organization

◆ Planning and Policies

This sector is responsible for the formulation of policies and strategies for the administration of Corporation funds. The sector is also responsible for establishing formal liaison with industry representatives and federal and provincial institutions, and for the evaluation of the cultural and industrial impact of Telefilm Canada policies.

◆ Production and Development

This sector has overall responsibility in the administration of the Feature Film Fund, the Canadian Broadcast Program Development Fund and the Interim Financing Fund. It co-ordinates decision-making and production and development activities among the Canadian offices of the Corporation.

◆ Financing and Administration

This sector is responsible for: financial planning; monitoring spending and commitments; financial tracking; personnel; computer systems; legal affairs; and contract management.

◆ Distribution, Marketing and International Affairs

This sector is responsible for the overall administration of marketing, distribution and export activities and funds, in order to promote the visibility and competitiveness of Canadian productions in Canada and abroad, and to foster growth in distribution-related sectors. The sector is also responsible, on behalf of the Minister of Communications, for the administration of Canada's co-production treaties with Algeria, Belgium, China, Commonwealth of Independent States, Czech and Slovak Republics, France, Germany, Hong Kong, Hungary, Israel, Italy, Mexico, Morocco, New Zealand, Romania, Spain, Switzerland, the United Kingdom, Yugoslavia, Argentina, Australia, Ireland and the Netherlands. It also evaluates applications made under these treaties and makes recommendations to the Minister regarding provisional and final approval.

◆ Research and Analysis

This sector is responsible for studies concerning the impact of the Corporation's various programs and the evolution of the industry in general, in order to promote better long term planning and ensure the Corporation's activities effectively meet the needs of the industry.

A Human and Material Resources sector and a Communications sector complete the organizational structure of the Corporation.

Information Holdings

Program Records

Co-production Treaties

Description: Information on the maintenance or renegotiation of current co-production treaties, relevant correspondence and negotiation of possible treaties and projects which have been submitted for official co-production status. **Topics:** Treaties; policies; projects. **Access:** Files arranged by country or project. **Program Record Number:** CFD PPO 010

Distribution and Marketing

Description: Information on transactions carried out under the Corporation's distribution and marketing funds, as well as on the distribution or marketing of films. **Topics:** Contracts; revenues; distributors; agents; territories; and

markets. **Access:** Files arranged by country, company, market or project title. **Program Record Number:** CFD DAM 025

Industry Support

Description: Information on transactions carried out under the Corporation's industry support fund. **Topics:** Festivals; markets; seminars and workshops. **Access:** Files arranged by event or organization. **Program Record Number:** CFD DAM 020

Policy and Planning

Description: Information on internal Corporation policy and the evaluation and response to policy planning of other government departments and agencies, as well as professional interest groups. **Topics:** Financial planning; internal policy and planning; federal government; general industry policy; industry relations. **Access:** Financial planning files arranged according to date; all other files arranged alphabetically by issue or organization. **Program Record Number:** CFD PPO 005

Production

Description: Information on transactions carried out under the Corporation's production fund. **Topics:** Readers' reports; contracts; financial information; subsidiary documents. **Access:** Submissions accepted or rejected, arranged by project title. **Program Record Number:** CFD PRO 015

Personal Information Banks

Application for Funds File

Description: The purpose of this bank is to maintain a record of the applications and assessment records of individuals applying for funding of projects by Telefilm Canada (CFDC). It contains the application form and supporting data presented by the applicant; the project proposal; financial data; critical assessments of the project and the decision of the Corporation. This information is used for future reference in decision-making processes. In the case of a funded project, financial and administrative data are maintained. These data are used as a basis for generalized industry statistics as well as for future reference. Information in the bank is retained indefinitely for projects that are funded, for proposals that do not receive funding information is retained for two years.

Bank Number: CFD PPU 005

Manuals

- Policy Manual — The Canadian Film Development Corporation

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

General information on current Corporation funds or activities may be obtained in person, by telephone, or by writing to one of the Corporation's offices listed below:

Montreal — Head Office

Telefilm Canada National Bank Tower
600 de la Gauchetière Street West, 14th Floor
Montreal, Quebec
H3B 4L8
(514) 283-6363

France

5, rue de Constantine
75007 Paris
France
Tel: (33-1) 44.18.35.30
Fax: (33-1) 47.05.72.76

Halifax

Telefilm Canada
Artillery Place
Suite 220
Halifax, Nova Scotia
B3J 1J2
(902) 426-8425

Toronto

Telefilm Canada
2 Bloor Street West, 22nd Floor
Toronto, Ontario
M4W 3E2
(416) 973-6436

Vancouver

Telefilm Canada
350 — 375 Water Street
Vancouver, British Columbia
V6B 5C6
(604) 666-1566

Reading Room

In accordance with the Access to Information Act, an area on the premises of the Corporation has been designated as a public reading room. The addresses of the Corporation's offices are listed above.

Canadian Heritage

Chapter 23

General Information

Background

The Department of Canadian Heritage was created on June 25, 1993, through the reorganization of the federal government. The Act to establish the Department of Canadian Heritage and to amend or repeal certain other acts was proclaimed on July 12, 1996. The Department's mission is to strengthen and celebrate Canada — its people and its land. We honour this commitment to Canadians by enhancing pride in Canada; contributing to Canada's economic growth and prosperity; protecting Canada's heritage; ensuring access to Canadian voices and Canadian spaces and encouraging participation in and contribution to Canadian Society.

Responsibilities

The Department of Canadian Heritage is responsible for promoting the development of a strong Canadian identity and common values among all Canadians and recognition of our natural and historical heritage, linguistic and multicultural identity, and achievements in sport, the arts and culture.

The Department of Canadian Heritage is responsible for protecting areas of natural and historical significance to the nation and promoting artistic development and Canadian heritage, promoting multiculturalism, official languages. Native culture, amateur sport, human rights, a sense of national identity and the full participation of all Canadians in Canada's development.

Legislation

* Upon the coming into force of the Department of Canadian Heritage Act, the responsibilities of the Minister under those Acts will be transferred to another member of the Queen's Privy Council.

** Upon the coming into force of the Department of Canadian Heritage Act, these Acts will be repealed.

*** Although, upon the coming into force of the Department of Canadian Heritage Act the powers of the Minister under the Department of State Act will become redundant, this Act will remain in force until the legislation creating the Department of Human Resources Development is enacted.

The following statutes are administered, in whole or in part, by the portfolio of Canadian Heritage:

- An Act to Incorporate the Jules et Paul-Emile Léger Foundation
- Broadcasting Act
- Canada Council Act

- Canadian Film Development Corporation Act
- Canadian Heritage Languages Institute Act (not in force)
- Canadian Multiculturalism Act
- Canadian Race Relations Foundation Act (not in force)
- Canadian Radio-television and Telecommunications Commission Act
- Corrupt Practices Inquiries Act *
- Cultural Property Export and Import Act
- Department of Canadian Heritage Act
- Department of State Act ***
- Department of Transport Act (Canals)
- Disfranchising Act *
- Dominion Controverted Elections Act *
- Dominion Water Power Act (Historic Canals and National Parks)
- Federal Real Property Act (Administrative responsibilities for certain canal regulations)
- Fitness and Amateur Sport Act
- Heritage Railway Stations Protection Act
- Historic Sites and Monuments Act
- Holidays Act
- Laurier House Act
- Lieutenant Governors Superannuation Act
- Mingan Archipelago National Park Act
- Museums Act
- National Anthem Act
- National Archives of Canada Act
- National Arts Centre Act
- National Battlefields at Québec Act
- National Film Act
- National Flag of Canada Manufacturing Standards Act
- National Library Act
- National Parks Act
- National Symbol of Canada Act
- Official Languages Act (Part VII)
- Persons of Japanese Ancestry Ex Gratia Payments Order
- Public Service Employment Act (Report to Parliament)
- Salaries Act (Lieutenant-Governors)
- Status of the Artist Act (Part II)
- Trade-marks Act (Prohibited marks-consents)

Organization

Deputy Minister's Office

Human Resources Branch

Reporting to the Deputy Minister, the Human Resources Branch provides services and advice to the Management Committee and to managers of the Department on all matters involving human resources management. Thus, the Branch ensures human resources services in the National Capital Region and provides functional guidance to the Human Resources Regional Office.

Legal Services Branch

Reporting to the Deputy Minister, the Legal services are provided by lawyers from the Department of Justice who offer program managers a wide range of services, including preparing opinions on the laws administered by the Department, drafting regulatory texts and giving advice on policies being developed.

Citizenship and Canadian Identity Sector

The Citizenship and Canadian Identity program encourages the use of the two official languages in Canadian Society and promotes their equal status; coordinates the cross-government commitment to multiculturalism; advances opportunities for Canadians of all ethnocultural background to participate in and contribute fully to Canadian society; and promotes voluntarism. It supports Aboriginal peoples in their efforts to resolve their particular issues. The program supports the advancement of Canadian studies; promotes young people's involvement in Canadian society; encourages Canadians to value sport and to participate; advises in matters of state protocol, manages state ceremonial and events and promotes Canadian symbols and institutions. It is responsible for increasing the awareness, knowledge and enjoyment of human rights and fostering compliance with Canada's human rights obligations. Parks Canada Investments also coordinates the department's Heritage Tourism Program through the Heritage Tourism Secretariat.

Citizens' Participation and Multicultural Branch

♦ Human Rights Directorate

Achieves its objectives through a program of support for education, research and development work by non-governmental organizations, as well as by operating a secretariat for the Federal-Provincial-Territorial Continuing Committee of Officials on Human Rights. The Committee chartered by the Department which provides a mechanism for liaison and consultation on matters relating to Canada's international human rights commitments. Coordinates the preparation of Canada's reports to the United Nations on human rights. The activities of the program include the provision of both financial aid and technical support to

non-governmental organizations concerned with human rights education, development and research, and assisting and coordinating the progress of human rights in Canada.

♦ Multiculturalism Directorate

Community Support and Participation (CSP):

The purpose of this program is to promote the integration and meaningful participation in Canadian life of members of ethnocultural communities. The program supports non-profit community-based organizations in their efforts to identify and address community concerns, including issues affecting special needs groups such as women, youth and seniors. The program consists of two components: 1) Citizenship and Community Participation (CCP): support is provided to immigrant-serving agencies, immigrant women's groups and other non-profit organizations for initiatives that assist first-generation Canadians (naturalized citizens) to participate as full and equal citizens; 2) Community Support: support is provided to national, regional and local organizations, that are representative of ethnocultural and visible minority communities to maintain a positive sense of community, and to identify and address community concerns, such as intergenerational/ cross-cultural understanding, and equality of opportunities to share the benefits and responsibilities of citizenship.

Heritage Cultures and Languages (HCL):

All Canadians benefit from the contribution to the cultural, artistic and academic sectors made by Canadians of diverse backgrounds. The main object of the HCL Program, therefore, is to promote the development and expression of heritage cultures and languages as an integral part of Canada's artistic, cultural and academic life.

The Creative and Cultural Expression component, the International Heritage Language component, and the Canadian Ethnic Studies component together promote equal access for artists of minority background, assist in the production of teaching material for the study of International heritage languages, encourage the scholarly study of Canada's multicultural society, and work with academic and cultural institutions to effect an environment more receptive and reflective of the pluralism of contemporary society.

Multiculturalism Secretariat — Cross-Government Commitment:

The Secretariat encourages and assists federal institutions to implement the Multiculturalism Policy of Canada, within their mandates, and monitors and reports on progress in this area.

Race Relations and Cross Cultural Understanding (RCU):

This program is designed to foster the elimination of racism and racial discrimination, to help Canadian institutions adapt to Canada's multicultural reality, to promote cross-cultural understanding, and to promote among Canadians and in

Canadian institutions an appreciation and acceptance of the principles of multiculturalism.

♦ Native Citizens Directorate

Co-ordinates and administers programs that serve organizations of Indians, Métis and Inuit. A consistent feature in all programs is that projects are community-based, initiated and managed by Aboriginal people. The Directorate provides assistance through the Aboriginal Friendship Centre Program, the Northern Native Broadcast Access Program, the Aboriginal Representative Organizations Program and the Aboriginal Women's Program. The Directorate also administers two Aboriginal Languages Agreements with the governments of the Northwest Territories and the Yukon.

Official Languages Support Programs Branch

The objectives of the Official Languages Support Programs Branch are to promote French and English in Canadian society; and to encourage the development of Francophone and Anglophone communities in minority situations.

The Branch is composed of three areas of intervention:

♦ Community Development

The Official Languages Support Programs Branch supports minority official-language communities in order that they may establish and maintain their own organizations enabling them to contribute fully to the economic, cultural and social aspects of Canadian life; and that they may profit fully from federal programs in all regions of the country through our coordination role undertaken with other federal institutions.

♦ Federal-Provincial Cooperation

Partnerships with the provinces and territories enable official-language minority communities to have access to education in their mother tongue; to manage their own schools and to receive provincial and territorial services in their own language. This cooperation also allows all young Canadians to have the opportunity to learn French or English as a second language.

♦ Promotion

The promotion component of the Branch endeavours to promote the bilingual character of our country as a fundamental part of our national identity by advocating the advantages and rewards brought forth by Canada's linguistic duality and by encouraging activities which foster mutual understanding between Francophones and Anglophones such as Summer Language Bursaries, Queen Elizabeth scholarships for second language study, etc. In addition, the Branch cooperates with the private and voluntary sectors so that they may offer French and English services to their clients and foster the recognition and use of both official languages.

Sport Canada Branch

To strengthen the unique contribution that sport makes to Canadian society, identity and culture, Sport Canada supports and promotes the achievement of high performance athletic excellence through fair and ethical means, and works with key partners to sustain the development of the Canadian sport system. Sport Canada's priorities are: Canada's high performance athletes and programs that directly support them; coaches and coaching development; delivery of services via national sport organizations and other mechanisms; increased access for women, athletes with disabilities and Aboriginal persons, and; initiatives contributing to the achievement of the government of Canada's broad social and economic objectives.

Corporate Services Sector

The Corporate Services Sector encompasses the Administrative Services, Information Management and Financial Management Branches. Corporate Services clients include the Minister and Deputy Minister's offices, and all sectors and programs at headquarters and in the regions.

Administrative Services Branch

The Branch's goal is to assist departmental organizations meet their operational objectives through the provision of value-added services and cost-effective solutions. Services provided by the Branch include: meeting and parking coordination, facilities planning and design, mail and courier, moving, and environmental services; goods and services contracting, assets management, disposal and recycling, fleet management and coordination, and warehousing; personnel, physical and technical/electronics security investigations, emergency/contingency plans, occupational health and safety assessments; access to information and privacy Acts administration; advice relating to diversity in the work place; and, shared services project management.

Financial Management Branch

The Financial Management Branch supports the Minister, Secretary of State, the Deputy Minister, Management Committee and provides services to all sectors. The Branch has three directorates: Financial Management Minister's Portfolio provides advice and supporting information to the Minister of Canadian Heritage and senior officials of the Department relating to the agencies and Crown corporations for which the Minister is responsible. The Financial Planning and Resource Utilization directorate provides information relating to a variety of subjects dealing with program resource requests and approval; financial management, financial reporting; corporate and strategic planning. The Accounting Services, Financial Policy and Systems directorate provides advice and information relating to the full spectrum of accounting operations; delegation of authorities; Financial systems,

policy and training; and operation of the department's financial management system.

Information Management Branch

The Branch is responsible for managing the innovative and strategic use of information as a corporate resource; for coordinating the sharing and integration of information resources to meet the Department's program delivery needs and objectives; for the enhancement of services and productivity improvement through the re-engineering of business plans and processes and the application of technology and for managing departmental investments in and use of technology; for the planning and definition of the Department's information management policy and its implementation through this organization.

Cultural Development and Heritage Sector

The Cultural Development sector promotes artistic development in Canada, increases public access to Canada's heritage and assists in the preservation of this heritage. This mandate includes stimulating access to Canadian arts and heritage products and services and developing their availability, as well as encouraging public participation in related cultural activities.

The sector formulates policies and develops programs for both arts and heritage and Canada's cultural and broadcasting industries. Support for organizations active in these areas is provided. It is also involved in the development of applications using new technologies and their impact on Canadian artistic activities. In fulfilling its mandate the sector works closely with federal arts, heritage, and broadcasting agencies — including the Canada Council, the National Arts Centre, Canada's national museums, the Canadian Broadcasting Corporation, and the Canadian Radio — Television and Telecommunications Commission. The sector advises the Minister on all matters related to its mandate.

Arts Policy Branch

The Arts Policy Branch is responsible for the formulation of policy and the development of programs in the field of the performing, visual, literary and media arts. The Branch also provides support to the Cultural Development and Heritage Sector by developing long-term strategic policies and analysis in areas which are of interest to the entire sector and by directing the conduct of arts research programs involving data collections and analysis. It is also involved in the development of applications using new technologies and their impact on Canadian artistic activities. As well, the Branch implements and delivers certain cultural support measures such as legislative proposals (e.g. the Status of the Artist) and proceeds to the designation of national arts organizations for the purpose of the Income Tax. The Branch, through the Cultural Initiatives Program promotes access to Canadian arts through support to Festivals.

Broadcasting Policy Branch

Formulates policies, proposes legislation and designs and administers programs in relation to the Canadian broadcasting system. It is responsible for ensuring that the Canadian broadcasting system contributes to the realization of Canadian social, cultural and economic objectives; enhancing the production and exhibition of Canadian television and radio programming and their availability to Canadians; and providing advice concerning the implementation of policies and programs by the independent agencies responsible for these activities including the Canadian Broadcasting Corporation (CBC), the Canadian Radio-television and Telecommunications Commission (CRTC) and TVNC. Provides advice in relation to responsibilities of the Minister under the Broadcasting Act with respect to the issuance of policy directions to the CRTC and the review of CRTC broadcasting decisions.

Canadian Conservation Institute

The mandate of the Canadian Conservation Institute is to promote the proper care and preservation of Canada's movable cultural heritage and to advance the practice, science and technology of conservation.

Canadian Heritage Information Network

CHIN's mission is "to broker effective access to Canadian and international heritage information for public education and enjoyment and for the collective benefit of Canadian museums". Through the Internet, CHIN assists the Canadian museum community in achieving a collective presence while striving to provide access to the widest possible private sector and public audience. Also through the Internet, CHIN offers access to three national inventories of museum collections, the Humanities and Natural Sciences databases, and a national inventory of Archaeological Sites, which contain information on over 25 million objects. Other reference databases containing time sensitive information relevant to the heritage community are available. CHIN represents the museum community in international projects related to access to heritage information and, through project partnerships, provides a technology assessment function in the areas of creating and managing electronic heritage information resources. CHIN's work in developing standards for museum documentation has been internationally recognized and has been extended to encompass a broader range of information types and the technical protocols necessary to exchange information in a widely distributed environment.

Cultural Industries Branch

Formulates national policies, proposes legislation, designs and administers programs in the fields of copyright, film and video, sound recording and publishing. It is responsible for supporting the cultural and economic development of the cultural industries in Canada; enhancing the production and distribution of Canadian films, video programs, sound

recording, books and periodicals and to ensure their availability to Canadians; ensuring, through the development of copyright policy and legislation, the legal recognition and protection of the economic and moral rights of creators and users and providing advice concerning the implementation of policies and programs by the cultural agencies which are consistent with the federal government's cultural policy and objectives.

Heritage Branch

Encourages and supports the preservation and the promotion of Canada's national cultural heritage. The Branch is responsible for the development of legislation and national policies that protect and ensure increased public access to the heritage of Canada. It maintains ongoing liaison with federal heritage agencies and with national heritage organizations. The Branch is responsible for the design and delivery of heritage programs such as the Museums Assistance Program, the International Exhibitions Programme and the Movable Cultural Property Program.

Parks Canada Sector

Parks Canada plays a leading role in federal government activities related to recognizing places representative of Canada's natural and cultural heritage. Parks Canada strives to encourage public understanding, appreciation and enjoyment of this heritage while ensuring that it is left unimpaired for future generations.

Architecture and Engineering Directorate

Provides real property management support services to Parks Canada HQ, Regions and field under a MOU with Public Works and Government Services Canada.

National Historic Sites Directorate

The National Historic Sites Directorate is responsible for Canada's national program of historical commemoration. The Directorate provides national leadership and direction to ensure the commemorative integrity of historic places of national and international significance through five main activity areas: National Historic Sites (including the Historic canals), Heritage Railway Stations, Federal Heritage Buildings, cultural World Heritage Sites, and Cultural Resource Management.

A large part of the planning and operational activities specific to National Historic Sites are the responsibility of the regional and field offices. This Directorate provides policy, planning and operational guidance as well as centralized services for historical, architectural and archaeological research expertise, the conservation of historic objects, and heritage presentation and public education.

Some key services and products include: development and interpretation of legislation and national policy for National Historic Sites, Historic Canals, Heritage Railway

Stations, Federal Heritage Buildings, cultural World Heritage Sites and Cultural Resource Management; provision of historical and architectural research; services in support of policies and programs related to archaeological resources on federal lands, marine archaeology, and Aboriginal heritage issues; provision of research and advice in support of conservation of historic objects and archaeological specimens; management and implementation of the Heritage Railway Stations Protection Act; management of the Federal Heritage Buildings Review Office, providing assistance to all government departments in the implementation of the Federal Heritage Buildings Policy, support to the Historic Sites and Monuments Board of Canada, the statutory body that advises the Minister of Canadian Heritage on historical commemoration; coordination of the Ministerial Plaquing Program; management of the National Cost-Sharing Program for National Historic Sites; Canadian Inventory of Historic Building; National Historic Site systems planning, management planning and business planning; delivery of national public education products and provision of curatorial, media design, collections management and interpretation advice.

Responsibilities of the Directorate are distributed among the following Branches: Strategic Operations; Policy, Legislation and Government Relations; Historical Services; Federal Archaeology Office; Historic Resource Conservation; and Heritage Presentation and Public Education. The Historic Sites and Monuments Board of Canada Secretariat and the Federal Heritage Buildings Review Office are also situated in this Directorate.

National Parks Directorate

Is generally responsible for the development, operation and coordination of policies and methods for the management of national parks and national marine conservation areas to maintain their ecological integrity in perpetuity while enabling their use and enjoyment by the public the management or participation in federal-provincial park fora, development of policies governing Parks Canada's participation in international projects, and for participation in and support of the Canadian Heritage Rivers System in cooperation with the provinces and territories.

Specific responsibilities include: development, review, interpretation, and implementation of policies, procedures, legislation, regulations and management directives governing all aspects of national parks and national marine conservation areas management; development and coordination of the national park management planning process and other related planning processes; coordination and development of volunteer, cooperative and partnership arrangements with individuals, non-profit organizations and the business sector; coordination of Parks Canada participation in Aboriginal Claims negotiation; planning and negotiation efforts aimed at completing the park systems by the identification, selection and establishment of new national parks and national marine conservation areas in unrepresented regions; development,

coordination of delivery of national functional training; coordination of the application of the Canadian Environmental Assessment Act and policies for the Department; development of information systems in support of resource conservation and protection; development, coordination and special operations in law enforcement; undertake studies in the field of ecosystem conservation and management; delivery of scientific advice to the Parks Canada Sector; representing the Sector, Department and Canada on various national and international programs related to the maintenance of ecological integrity, biodiversity and biosphere reserves; managing and coordinating the Directorate's involvement in inter- and intra-governmental initiatives; providing leadership in achieving the goal of completing Canada's networks of protected areas; and, upon request, providing technical advice and assistance on protected areas planning and management to other countries and to visitors from other countries.

Also manages and provides national direction for the following Parks Canada-wide programs: volunteer and cooperative arrangements with individuals and non-profit cooperating associations, fire management, ecological integrity and ecosystem management initiatives, visitor risk management and search and rescue.

Parks Canada Investments Directorate

Supports the strategic management of Parks Canada's Program and contributes to the effective management of its investment portfolio and related decision-making. Parks Canada Investments is involved in six business areas: (1) Strategic Business Planning to provide the strategic business planning capability, (2) Revenue Development to secure funding from various sources and oversee funding implications of business activity in order to advance Parks Canada's business plan in accord with the vision, (3) Investment and Risk Analysis to add value to and optimize financial and resource utilization decisions, (4) Investment Portfolio Management to facilitate the professional management and effective stewardship of Parks Canada's \$6 billion portfolio, (5) Business Partnerships to advance the business plan goals through partnerships and alliances with third parties, and (6) Reporting and Performance Measurement to ensure the implementation of effective accountability measures for decision makers.

◆ Heritage Tourism Secretariat

The department's Heritage Tourism Program represents one of several efforts being undertaken by the federal government to tap emerging trends in global tourism and improve Canada's position in the vast and growing international tourism market. The Heritage Tourism Program aims to contribute to the success of the national tourism initiative by acting as a catalyst for the development and marketing of new and unique tourism experiences based on the enjoyment, appreciation and celebration of heritage across Canada. The current

initiative is focused around the major products of the department and built upon partnerships in business and government; such as with the Canadian Tourism Commission, the travel industry and its associations, provinces, communities and non-profit organizations. Within the department, the Heritage Tourism Secretariat works closely with a network of heritage tourism partners in each region and in all sectors.

Regional Operations

A network of six regional offices manage and deliver the Department's programs and services in all regions of the country, following special attention on the specific needs and aspirations of each region. The regional offices provide technical assistance to regional, provincial and local organizations for all departmental programs delivered at regional levels.

Strategic Management Sector

The Strategic Management Sector is responsible for the development and implementation of strategies and instruments in order to manage the Canadian Heritage portfolio in a concerted fashion; to develop a vision and a focussed, coherent policy and planning framework; to set in place management mechanisms that will implement this policy and planning framework and promote the effective integration of vertical and horizontal issues across the Department and Portfolio; to provide the opportunity for the Department to define and stake out its unique role in matters of Canadian Identity.

Communications Branch

The Communications Branch promotes the policies, programs and services of the Department and ensures that the Department is visible, accessible and accountable to the public that it serves; it advises the Department's senior management, sectors and programs on all issues relating to communications with the public, client-groups and the media.

Corporate Review Branch

The Corporate Review Branch evaluates departmental policies, programs and services; it is responsible for independent reviews that help the Department make strategic decisions, improve its operations and fulfill its mandate.

International and Intergovernmental Affairs Branch

The Branch manages policies for all sectors of the Department in the context of bilateral and multilateral relations with other countries — such as negotiation of international agreements on audio visual co-productions, cultural cooperation and participation in organizations such as Unesco and the Council of Europe; it co-ordinates federal-provincial-territorial relations, as well as ministerial

and foreign delegation visits related to the Department's mandate.

International Expositions Branch

The International Expositions Branch administers the Canadian Government's International Expositions Program; it represents Canada on the Bureau international des expositions and organizes Canadian participation at those expositions.

La Banque internationale d'information sur les États francophones

La Banque internationale d'information sur les États francophones implements, upgrades and consolidates policies and national information systems of the member states of the Francophonie.

Strategic Planning and Policy Co-ordination Branch

The strategic Planning and Policy Co-ordination Branch co-ordinates the strategic planning process; develops corporate policies; interfaces with agencies and Crown corporations on strategic planning issues; it is also responsible for the Department's Cabinet, parliamentary and regulatory services, strategic research and information analysis. The Branch ensures that the priorities of the Department of Canadian Heritage are in line with those of the federal government, particularly with respect to policies, programs, regulations and acts, and ensures the co-ordination of intersectoral projects.

♦ Canadian Identity Directorate

Canadian Studies and Youth Program:

In order to encourage Canadians to learn about Canada, provides technical and financial assistance to individuals, organizations, private sector companies and corporations, and educational institutions working to advance the field of Canadian studies. Administers a series of funding programs to support the development of Canadian studies learning materials in a number of specific content areas considered to be underdeveloped or neglected in the field, and to encourage greater private sector funding of Canadian studies projects. Furthermore, the Program manages the TERRA NOVA initiative to assist in the financing and development of state-of-the-art Canadian learning and information products, including CD-ROMS and material for electronic networks, both in Canada and abroad. A number of publications are produced as well which provide information on current Canadian issues.

The Youth component of the program encourages and facilitates the participation of young people aged 14 to 19 years in Canadian society. The Open House Canada Program provides financial support to a number of national non profit organizations to cover the travel costs for student exchanges and national forums. Also

responsible for coordinating Canada's participation in the Commonwealth Youth Program.

Ceremonial and Canadian Symbols Promotion:

Co-ordinates the organization and the delivery of state ceremonies and activities such as royal visits, unveiling ceremonies and state funerals. It supports the role of the Crown in Canada through assistance to the offices of Lieutenant Governors and the provision of advice on the exercise of royal prerogatives. It also manages the Government of Canada gift bank and co-ordinates activities regarding the use of Parliament hill. It also provides advice on precedence, titles and flag etiquette. Further it is responsible for the planning and co-ordination of Canada Day celebrations across the country through 12 volunteer provincial/territorial Canada Day committees. An active part of all program components is the promotion of Canadian symbols. The program also oversees the flag program by distributing flags and other materials to members of the House of Commons and Senate.

Community Partnership:

Encourages active citizenship by supporting the participation of Canadians in community affairs. It provides technical and professional resources to national, regional and local voluntary organizations in carrying out citizenship activities. (Voluntary associations are non-profit, community-oriented and concerned with public issues.)

Information Holdings

Program Records

Parks Canada Sector

Environmental Protection

Description: Information on environmental protection in the National Parks, including pollution of air, land and water, as well as regulations, studies and reports compiled on environmental protection. **Topics:** General correspondence and reports on environmental protection; pollution and pollutants; regulations; reports and returns; surveys and studies; water supplies and wildlife. **Program Record Number:** CH CPS 040

Flora

Description: Information on botany, ecology and forestry management in the National Parks. **Topics:** General correspondence and reports; forest fires; regulations; silviculture; pest control; statistics; surveys and studies; timber cutting. **Program Record Number:** CH CPS 045

Health and Social Services

Description: Information on the various health and social services provided to people in a park. **Topics:** Health services for park visitors; ambulance, hospital and nursing stations; health and welfare services for Park residents by

the provincial governments. **Program Record Number:** CH CPS 050

Historical and Contemporary Technical References

Description: Plans, drawings, specifications, standards, guidelines and reports on historical and contemporary engineering and architectural projects in Parks. Also historical records and training material on historical preservation. **Topics:** Plans, drawings, specifications (contract, construction and manufacturing), standards and guidelines, "as-found" information, archaeological reports, feasibility studies, recording field notes, general information and training packages. **Storage Medium:** EDP and microforms. **Program Record Number:** CH CPS 030

Justice and Law Enforcement

Description: Information on justice and law enforcement in the National Parks, and the National Historic Sites and Historic Canals. **Topics:** Justice and law enforcement, crimes and violations, judicature, police services, reports and returns, statistics, surveys and studies. **Program Record Number:** CH CPS 055

Management Information and Outreach

Description: Information on the general management and operational responsibilities of the Parks Program. **Topics:** Policy and program activities; information exchange with foreign countries; hours of operation; levels of service; awards and honours; co-operative activities with public and private organizations; capital development projects and planning systems; operational planning; management improvement; central system program evaluation; public consultation and participation; general resources — inventories, management and research; park signs; general surveys and studies. **Program Record Number:** CH CPS 005

Mineral Resources

Description: Information on mining, oil and gas activities at or near Parks facilities. **Topics:** Mineral exploration and development; mineral claims; quarrying and various mining activities; oil and gas exploration and development; leases and permits. **Program Record Number:** CH CPS 065

National Historic Sites Programs

Description: Information on the commemoration of historic places of national and international significance, namely National Historic Sites, Heritage Railway Stations, Federal Heritage Buildings, and cultural World Heritage Sites. **Topics:** cultural resource management; designation; commemoration, protection and presentation of historic places; Historic Sites and Monuments Board of Canada; monuments and plaques; historic value; commemorative integrity, heritage character; Canadian Inventory of Historic Building; Canadian Register of Heritage Properties; Register of Federal Heritage Buildings; national historic sites; historic canals; world heritage sites; heritage legislation and policy; public participation; cost-sharing; standards and training; historic resource conservation;

historical, architectural and archaeological research; archaeology and artifacts; designation, inventory and recording of heritage buildings; heritage railway stations; cultural landscapes; management plans; business plans; systems planning; maintenance; conservation; preservation; restoration; modification; public education; interpretation; visitor reception centres; visitor activities; exhibits; publications; learning campaigns; collections; stewardship; thematic studies; aboriginal heritage; marine archaeology; outreach programs; monitoring; material culture research. **Program Record Number:** CH CPS 090

National Marine Conservation Areas

Description: Information on marine environments (both salt and fresh water) which merit protection because of their natural phenomena. **Topics:** General development and planning, surveys and studies. **Program Record Number:** CH CPS 060

National Parks

Description: Administration, management and control of National Parks. **Topics:** Archaeology and artifacts; camp sites; cemeteries; development and planning; management plans; interpretive planning; townsites planning; regional planning; ski areas; day use areas; interpretive services; nature trails; signs; talks and tours; special events; extension services; interpretive centres; permits to collect specimens; public participation; research; sports; statistics; surveys and studies; tourists and tourism accommodation, bath houses, hot springs, catering and restaurants, souvenirs and handicrafts. **Program Record Number:** CH CPS 075

Projects

Description: Information on engineering and architectural projects carried out in the Parks Canada Program, including the project authorization form, project brief, design data, cost estimates, management reports and project schedules. **Topics:** Planning; design; construction; operation; maintenance; equipment; services; utilities; facilities; claims and evaluation. **Program Record Number:** CH CPS 035

Public Use Database

Description: Information on the collection of data on attendance at National Parks and National Historic Sites, campground use at National Parks, vessel movement data on the Heritage Canals, archived vehicular and interpretive data. **Topics:** Monthly attendance data for National Parks and National Historic Sites, daily/monthly campground utilization at National Parks, daily/monthly vessel movement data at Historic Canals. Archived vehicular and interpretive data is available up to 1988-89 only. **Access:** These records are located at Parks Canada headquarters, regional offices and park offices. A report is issued annually and distributed widely (300 copies) to government agencies, universities and libraries. Monthly attendance reports (300 copies) are also distributed widely in a similar manner. **Storage Medium:** EDP Systems and hard copy. **Program Record Number:** CH CPS 020

Realty

Description: Information on the management of real property including National Parks, National Historic Sites, Historic Canals and Corridors, Admiralty, Dominion and Ordinance lands. Involves the acquisition of land by the Crown, the leasing, licensing and granting of concessions on Crown-owned land, the sale or disposal of Crown-owned land, and other related land matters.

Topics: General agreements; agreements for bridges and causeways; roads, walks and highways, wharves and docks; boundary lines and encroachments; freehold lands; lettings and concessions; franchises; lease of land; licenses of occupation; use of electric power, water power, surplus water; land acquisition; sale and disposition of land; utilities — land use, rental setting. **Access:** The general realty records are arranged by subject. Individual leases, licenses of occupation and concessions are arranged by location, the number of the lot and block and by the name of the individual. **Storage Medium:** EDP and microfiche. **Program Record Number:** CH CPS 010

Water Resources

Description: Information on the use of water resources in Parks Canada. **Topics:** Water levels; water rights; hydrological surveys; hydro power development within a park for park use only; hydrometric networks; regulations; reports; surveys and studies. **Program Record Number:** CH CPS 080

Wildlife

Description: Information on all types of wildlife in the National Parks and National Historic Sites, including the management and protection of wild animals, birds, fish and insects. **Topics:** Diseases; rare and endangered species; census; licenses and permits; hunting; regulations; surveys and studies; trapping; immobilization drugs; live capture; gifts of animals; preserves; furs and hides; fish culture; hatcheries and stocking; sport and commercial fishing in National Parks; insects and their control; use of insecticides. **Program Record Number:** CH CPS 085

Book Publishing Industry Development Program

Description: Separate files are maintained for each application under the program's components. Files contain information on the corporate and financial status of the book publisher or industry group involved. Financial statements, business plans and all correspondence are included on file. The program also maintains an automated data bank with financial information on each applicant.

Topics: Programs components — aid to publishers; aid to industry and associations, international marketing assistance. **Program Record Number:** CH ACI 250

Broadcasting Services Policy

Description: Information on the formation of policies, programs and strategies for the development and orderly evolution of all forms of radio and television broadcasting in Canada ensuring that Canadian cultural, social and economic objectives for the broadcasting industry and Canadian programming are realized. In policy

development for the Canadian Broadcasting Corporation (CBC) and other public broadcasters, private and independent radio and television networks and stations, as well as pay and specialty services. Information on policies, programs and proposal as well as new programming and other services to underserved areas due to their geographic location or other special needs of a cultural, social or material nature. Develop initiatives to respond to social concerns expressed by the public or by the industry such as access and the extension of services (e.g. closed captioning, multilingual broadcasting, service to northern Canadians via Television Northern Canada (TVNC) or program content (e.g. violence/sex role stereotyping/racism)

Topics: Planning for new technologies and the impact of increasing globalization in the communications section (eg. digital television, digital radio and global information infrastructure — global information society). Broadcasting (radio and television) — projects, reports, policies and programs; private conventional radio and television operators; Canadian Broadcasting Corporation; educational, campus, community and not-for-profit broadcasting; International Broadcasting services; new and specialized broadcasting services; pay television, alternative programming; broadcast program production; media violence; extension of services; northern and native communication; communications and the handicapped; multilingual broadcasting; digital radio, digital television — global information infrastructure, global information society. **Program Record Number:** CH BCI 026

Canadian Audio-Visual Certification Office

Description: An automated information bank containing information on applications from producers for certification of their film and video productions. A manual and automated filing system exists containing individual application forms and supporting documentation. Special requests for statistics are accommodated whenever possible. **Topics:** Number of certified productions by year as well as total budgets; percentages of Canadians performing key creative functions by year; percentages of remuneration paid to Canadians; individuals performing key creative functions; percentages of Canadian costs; location and time of shooting, as well as such confidential information as budgets, and audited statements. This class of documents contains personal information of creative personnel, such as name, address and citizenship. **Program Record Number:** CH ACI 255

Canadian Conservation Institute

Description: Information on the provision to Canadian museums of specialized conservation services, conservation research, strategic planning; technical design advice on building and renovation of heritage facilities; training through internships, publications and information services on the institute's conservation research projects and other related technical areas. **Topics:** Operations; fine arts and polychromes; textiles; works of art on paper; archaeology and ethnology; furniture and wooden objects; development planning and feasibility studies; architectural design; environmental standards and controls; collection

preservation; security; fire protection; conservation processes research; environment and deterioration research; analytical research services; photographic services, documentation; publications; internships; workshops and seminars; library. **Storage Medium:** 35-mm slides. **Program Record Number:** CH MHP 276

Canadian Cultural Agencies:

Performing, Visual and Literary Arts

Description: Correspondence, memoranda and reports relating to the Canada Council and National Arts Centre.

Topics: Canada Council — organization and legislation, programs and development, requests for information and assistance. National Arts Centre — facilities, organization and legislation, financial policy, resident companies.

Program Record Number: CH APP 232

Canadian Film and Video Production Tax Credit

Description: The refundable tax credit for eligible films and videos produced and owned by qualified taxable Canadian corporations will provide assistance of up to 12% of the cost of an eligible production. **Topics:** It will provide more direct return to product return to production companies and help encourage a more stable financing environment and long-term corporate development.

Program Record Number: CH FVP 200

Canadian Heritage Information Network

Description: Information on the development of comprehensive and meaningful standards for the documenting of museum collections, the provision of services designed to assist museums in managing their collections, the provision of services to assist museums and other heritage organizations in sharing information about their collections and other museological and heritage information, and advice on the application of Information Technology in museums. **Topics:** Policy; procedures; general correspondence; museum services; documentation; Fellowship Program; liaison data; artifact documentation for Canadian museums; research information pertinent to conservation, archaeology, material culture and museums; space; suppliers of material used in museums; bibliographic information pertinent to museum activities; Technology Assessment Internet presence.

Storage Medium: EDP systems; CD-ROM; CD-I.

Program Record Number: CH MHP 274

Canadian Studies and Youth Program

Description: Information relating to policies and programs aimed at young Canadians. **Topics:** Youth — general; Open House Canada; Commonwealth Youth Program; International Youth Year (1985); Youth Employment Programs — general. **Program Record Number:** CH CCP 065

Canadian Studies and Youth Programs

Description: Information concerning the activities of the Canadian studies component, as well as technical and financial support provided to individuals, organizations, private sector companies and corporations and

educational institutions aimed at promoting Canadian studies. **Topics:** Canadian studies — general; The Uniqueness of Canadian Society; The Media in Canadian Society, Canadian Comparisons; Canada in the World; Science in a Canadian Context; Print Learning Materials Development; Film and Audio-Visual Learning Materials Development; Computer-based and assisted Learning Materials Development; Open Learning Materials Development; Matching of Private Sector Support for Canadian studies; TERRA NOVA Initiatives; Publications pertaining to Canadian studies. **Program Record Number:** CH CCP 085

Ceremonial and Canadian Symbols Promotion

Description: Information concerning State Ceremonial activities and promotion of Canadian symbols. **Topics:** Protocol and Special Events — general; national anthem, Coat of Arms of Canada; ceremonies and celebrations; state funerals, Canada Day; promotion of Canadian symbols; flags, gifts offered by the Government of Canada; Governor General; Parliament Hill; provincial administrators; provincial speeches from the Throne; provincial statutes; lieutenant-governors; Royal Family; royal visits; use of the Crown as a symbol; legal use of the Canadian flag and other official symbols of the Crown; royal patronage; royal photographs; use of prefix royal; use of names of members of the Royal Family; salute and saluting; titles; anniversaries. **Program Record Number:** CH CPP 040

Community Partnership

Description: Information concerning technical, financial and professional assistance to national or local voluntary organizations; information on research undertaken and policies developed by the government to create innovative means to assist the voluntary sector; information on trends and research projects within the voluntary sector. **Topics:** Voluntarism — general; reports, research and studies; conferences, meetings and seminars. **Program Record Number:** CH CCP 060

Conservation

Description: Information on the conservation of artifacts to ensure preservation. **Topics:** Conservation reports and conservation. **Program Record Number:** CH MHP 273

Copyright Policy Development

Description: Information on the formulation and recommendation of departmental copyright policy and associated legislative development at departmental and inter-departmental levels. **Topics:** Criteria for copyright protection; subject matter of protection; economic and moral rights of copyright owners; ownership of copyright; exceptions to protection; term of protection; infringement of copyright and remedies; briefs and submissions relating to the above; copyright-based industries; interfacing of communications; copyright policies. **Program Record Number:** CH APP 245

Cultural Industries Development Fund (CIDF)

Description: Files relating to policy documents, MOUs, lists of firms and amounts awarded, and minutes of the Joint Committee of the CIDF are maintained. Information regarding the admissibility of specific firms is kept with the Federal Business Development Bank, which administers the CIDF on behalf of the Department. Client information is confidential and consists of annual reports, business plans, and financial statements. **Topics:** Federal Business Development Bank, Cultural Industries Development Fund. **Program Record Number:** CH ACI 230

Cultural Initiatives Program — Applications for Financial Support

Description: Information concerning projects for which financial support has been requested from the program. **Topics:** Management and strategic development of non-profit cultural organizations; festivals and special events; capital projects. **Program Record Number:** CH APP 265

Distribution Systems and Multimedia Policy

Description: Information on the formation of policies, programs and strategies to encourage and assist industrial development of Canadian cultural product and services in the broadcasting sector, particularly related to technologies such as cable television, direct-to-home satellites, wireless and many other new technologies and distribution systems including the convergence of technologies and services with the telecommunications industries and the development of new types of content. Develop initiatives through programs, regulatory and legislative mechanisms to address the needs of an ever increasing demand for the development of the various distribution systems and their contribution to the development of Canadian programming and of new and more diversified content in a multi-channel universe.

Topics: Cable television, convergence, telecommunications facilities and services, Direct-to-Home satellites, direct Broadcast Satellites, wireless broadband communications, Multimedia broadcasting, Information Highway, Information Society, access, Canadian and cultural content. **Program Record Number:** CH SMP 200

Film, Video and Sound Recording Policy and Programs

Description: Information on cultural industries in general, their markets, industry participants, related departmental and agency programs, industry associations and policy issues. **Topics:** Canadian ownership; imports and exports; distribution and production; federal cultural agencies — Telefilm Canada, National Film Board; foreign investment policies tax issues; tax credit issues; financial assistance; training and development. **Program Record Number:** CH ACI 221

Heritage Policy and Research

Description: Correspondence, memoranda and reports relating to heritage policy issues for the Government in the areas of museums Libraries and Archives and the

following cultural agencies: National Library of Canada, National Archives of Canada, National Gallery of Canada, and its affiliate the Canadian Museum of Contemporary Photography, Canadian Museum of Civilization, and its affiliate the Canadian War Museum, Canadian Museum of Nature, and the National Museum of Science and Technology and its affiliate the National Aviation Museum and the Agriculture Museum. **Topics:** Heritage; historical resources; libraries; museums; archives. **Program Record Number:** CH MHP 225

Human Rights

Description: Information concerning the policies and programs relating to human rights, as well as interdepartmental and international activities dealing with human rights. **Topics:** Human rights — general; Affirmative Action issues; Committees—Interdepartmental Committee on Human Rights (IDC); Committees—IDC—Federal government department and agencies—Correspondence; Court Challenges Program; Continuing Committee of Officials on Human Rights—General; Committees—Continuing Committee of Officials—Correspondence; International Aboriginal Issues; Commission on the Status of Women; Convention against Torture; Committees—General; Conferences/Seminars—General; Convention on the Elimination of All Forms of Discrimination against Women; Council of Europe; Ministerial Conferences on Human Rights; Non-governmental Organizations—General and Funding; Ministerial Conferences on Human Rights—Agenda Items; Ministerial Conferences—Correspondence; Decade for Women 1975-1984; Human Rights Day Reports; International Covenant on Civil and Political Rights; International Covenant on Economic, Social and Cultural Rights; Decade of Disabled Persons 1983-1992; International Years—General; International Bodies and Specialized Agencies; Multilateral Treaties and Other Documents—Monitoring Bodies and Canada's reports; Decade to Combat Racism and Racial Discrimination; International Convention on the Elimination of All Forms of Racial Discrimination; Rights of the Child; Teaching of Human Rights; Terms and Conditions in Government Contracts; Medical/Legal Issues with human rights implications; United Nations—General; General Assembly; U.N. Economic and Social Council; U.N.—Sub-commission on the prevention of discrimination and protection of minorities; U.N.—Commission on Human Rights; Voluntary Human Rights; Year Books on Human Rights. **Program Record Number:** CH CCP 035

International Exhibitions Program

Description: Separate files contain information concerning exhibitions visiting Canada from other countries and Canadian exhibitions touring abroad. Exhibition specifications and all correspondence are included on the file. **Topics:** International exhibitions; museums; galleries; archives; libraries. **Program Record Number:** CH IEP 201

Japanese Canadian Redress Secretariat

Description: Information concerning the verification of eligibility for payments, Canadian citizenship and pardons.

Topics: Japanese Canadians — general; payments.

Program Record Number: CH MUL 100

Movable Cultural Property — Policy and Activities

Description: This class of documents contains information about the departmental activities related to the Cultural Property Export and Import Act. It includes files on the administration of the Cultural Property Export and Import Act, the applications for designation of institutions and public authorities as eligible recipients of cultural property grants, loans and applications for grants and loans, and files on applications for export permits.

Topics: Purpose of the Act, amendments and associated instruments; policy concerning patriation of cultural objects, export and import control; case files of illegal imports and exports; designation of eligible institutions; cultural property grants and loans; export permits; cultural property export control; cultural property protection in other countries. **Program Record Number:** CH MHP 275

Multiculturalism

Description: Information concerning the application of the federal multiculturalism policy and program activities involving the multicultural nature of the country.

Topics: Multiculturalism Canada — general; program management; race relations and cross-cultural understanding — public education; institutional change; community advocacy; research and cross-cultural training; heritage cultures and languages — performing and visual arts; broadcasting; film and audio-visual projects; chairs of ethnic studies; fellowships; visiting lectureships; Canadian ethnic studies and research; promotion of heritage languages and resource development; community support and participation — English/French second language acquisition; immigrant women; community development; intercultural communications; multicultural centres; organizational development; communications — advertising and public relations activities; research and analysis for the Minister and for federal departments and agencies on the implication and application of multiculturalism as a federal policy. **Program Record Number:** CH MUL 050

Museum Assistance Program

Description: Separate files are maintained for each application under the program's components. Files contain information on the corporate and financial status of the museum, art gallery or related organization involved. Project specifications and all correspondence are included on the file. **Topics:** Assistance programs — aid to individual museums, art galleries, and related non-profit organizations for specific projects in public access and service, collections support, organizational and human resource development and aboriginal museum development; studies related to program performance.

Program Record Number: CH MHP 278

Native Citizens

Description: Information concerning grants, advice and the administration of four support programs dealing with social, cultural, political and economic issues and research offered to Aboriginal organizations. **Topics:** Native citizens — general; agreements and treaties; committees; conferences and meetings; communications; discrimination and human rights; education, health and welfare; employment; summer job corps; evaluation and review; friendship centres; funding; health services; housing; land claims; constitutional issues; laws; urban and migrating Aboriginal peoples; non-government native organizations; Aboriginal representative organizations; policy development; reports and studies; research; language retention; social and cultural development; sports; statistics and surveys; women; youth. **Program Record Number:** CH CCP 070

Official Languages — Federal-Provincial Cooperation

Description: Information pertaining to education in the language of an official language minority community and the teaching of the second official language. **Topics:** Development of bilingualism; Bilingualism and Biculturalism Royal Commission; information — program and publicity; Official Languages in Education; summer language bursary program; official language monitor program; correspondence with the public and educational institutions; private schools policy; elementary and secondary levels — federal-provincial conferences; post-secondary and teacher training levels; adult education language programs; specific projects and activities, cost-shared by province and territory; language research — general, conferences, co-operation with institutions and organizations, projects, general enquiries, relations with national and inter-provincial organizations; protocol of agreements between the government of Canada and the Council of Ministers of Education, Canada (CMEC) and bilateral agreements between the government of Canada and provincial/territorial governments, for minority-language education and second-language instruction. **Program Record Number:** CH ESP 030

Official Languages Communities

Description: Information on the services provided to official-language community groups, including funding to voluntary organizations, to establish and maintain their organizations. **Topics:** Development of institutional services for the minority; lobbying and promotion activities relating to access of minority groups to educational, health, sports, leisure and telecommunications services; maintenance and development of services provided directly by minority-community organizations. **Program Record Number:** CH OLP 025

Performing, Visual and Literary Arts Policy

Description: Correspondence, memoranda and reports relating to performing, visual and literary arts, crafts and design policy issues. **Topics:** Arts; performing arts; Status of the Artist Legislation and related initiatives; music; theatre; dance; taxation — Canadian artists, tax issues

and treatment of the artists; federal tax; tax expenditures; tax incentives and fiscal measures; visual arts; crafts; exhibitions and fairs; literary arts; cultural sector training; Native arts; arts and technologies and Arts marketing.
Program Record Number: CH APP 235

Promotion of Official Languages

Description: Information on the Promotion of Official Languages Program, which provides funding and technical support to organizations in the private sector and to provinces and territories. **Topics:** Promulgation and implementation of laws recognizing the equal status of both official languages; fostering a national awareness of linguistic duality; introduction of linguistic reforms within the activities carried out by organizations, voluntary and private sector establishments and the provinces and territories. **Program Record Number:** CH OLP 020

Publications Assistance Program (PAP)

Description: separate files are maintained for each request for registration made with regards to the Program available to periodicals, commercial newsletters, small community weekly newspapers and certain other weekly newspapers mailed in Canada for delivery in Canada. Eligible publications are receiving funded rates through Canada Post Corporation. **Topics:** files contain general information on the publisher and required documents regarding their eligibility under the PAP. This information is confidential and consists of financial information on the publisher, reports, documents required by the Department and correspondence with Canada Post Corporation.
Storage Medium: Files on this new program will be kept for 7 years. **Program Record Number:** CH PAP 200

Publications Distribution Assistance Program (PDAP)

Description: Separate files are maintained for each application under the program's components. Files contain information on the corporate and financial status of the book publisher, bookseller, distributor or industry group involved, project specifications and all correspondence. In addition, information regarding the admissibility of specific firms is kept. This information is confidential and consists of annual reports, business plans, and financial statements. **Topics:** Program Components: Distribution Assistance (Development of Canadian Distribution Network, Distribution Assistance — National Market), Marketing Assistance (Individual Firms, Co-operative Projects) and studies related to program performance. **Program Record Number:** CH ACI 251

Publishing Policy — English and French Language Markets

Description: Information on the formulation of policies and programs pertaining to the book trade and the magazine publishing industry in Canada. **Topics:** Book and periodical policy in Canada and in the international context; reports, statistics and studies; book publishing and related sectors; marketing and development of the publishing industry in Canada and internationally; periodical and newspaper publishers and publishing;

postal subsidy; print media and advertising; foreign investment; electronic publishing; associations, clubs and societies relevant to the publishing industry; conferences, task forces and study groups. **Program Record Number:** CH ACI 223

Regulatory Policy

Description: Information on the development of policies and legislative measures related to the regulation of broadcasting, specifically radio, television and broadcasting distribution. **Topics:** Legislation; regulations; directives to the CRTCC; appeals to the Governor-in-Council (section 28 of the Broadcasting Act). **Program Record Number:** CH BCI 036

Sound Recording Policy and Programs

Description: Information on the industry in general, its market, industry participants, related departmental and agency programs, industry associations and policy issues. **Topics:** Canadian ownership; imports and exports, copyright, customs and immigration; federal cultural agencies — Canadian Council and CRTCC; private sector consortium — FACTOR MUSICACTION CANADA; foreign investment policies; tax issues; financial assistance; training and development. **Program Record Number:** CH ACI 222

Sport Canada

Description: Description: Information on financial eligibility and technical support and services to national agencies and individuals to encourage, promote and develop activities directed towards the development of Canadian sport and the Canadian sport system. Also, information on developing a strategy to enhance the international dimensions of Canada's sport policy and relationships in order to assist in maintaining a high level of success and visibility in international sport as well as to ensure that Canada provides leadership in the national and international sport community. **Topics:** Topics: Business Plan; Canadian Sport and Fitness Administration Centre; National Sport Organizations (single sport); Multi-Sport Organizations; Canadian Olympic Association; Hosting Support Program, National Sport Organization and Multi-sport/multi-service Organization Contribution Support program; National Multi-Sport Development Centres; Athlete Assistance Program; Sport Funding and Accountability Framework; Funding and Accountability Framework for Athletes With Disabilities; Major Games and Sport events (Olympic Games; Canada Games; Pan-American Games; World University Games; Games of la Francophonie; Commonwealth Games; Arctic Winter Games; North American Indigenous Games); Anti-Doping Control Program; conferences; women in sport; sport publications and reports; sport policies and papers; research and evaluation papers; discussion papers; liaison with NSOs; violence in sport; sport sciences; future development of the Canadian sport system; Federal-Provincial-Territorial Sport Committee, and; International liaison. **Program Record Number:** CH FAS 415

Strategic Cultural Initiatives

Description: Information on policies, statistics, programs and proposals related to socio-economic conditions in the cultural sector, cross-sector policy issues and non-programming services including broadcasting and related communication technologies. **Topics:** Training and professional development, design, marketing, computer courseware and other new technological applications, trade negotiations, performing arts and visual arts.

Program Record Number: CH APP 215

The Arts and New Technologies Group

Description: supports new initiatives related to the arts and new technologies. **Topics:** The Group's mandate is: 1) to carry out development and harmonization work through arts organizations, private and public sector partnerships; and 2) to advise artists and cultural organizations in the development of innovative applications of new communications technologies for creating, producing, marketing and distributing works as well as managing information. **Program Record Number:** CH ACG 200

Personal Information Banks

Sport Canada Branch

Applications for Approved Research Projects

Description: This bank contains applications/proposals for research projects, project titles, biographical notes/information on the principal researcher and/or co-researcher, the budget, a detailed description of the project and correspondence relating to the application decisions, the approved budget and financial accounting reports. **Class of Individuals:** Personal information relates to each principal researcher and co-researcher who applies for consideration in the program. **Purpose:** The purpose of this bank is to support the administration of the Research Contribution Program and the financial accounting within Fitness and Amateur Sport. **Consistent Uses:** Use of this bank is consistent with the purpose specified in part 5. **Retention and Disposal Standards:** Records are retained in accordance with the schedule of general records disposal for central registry files (seven years) and are then destroyed. **TBS Registration:** 002737 **Bank Number:** CH PPU 235

Association Staffing

Description: This bank contains candidate applications for employment, curricula vitae, position descriptions, selection profiles, lists of candidates, rating assessments, including evaluation notes from staffing boards, offers of employment and other correspondence concerning staffing. Records in the bank contain a variety of personal information which may include age, sex, social insurance number, education levels, work experience and performance appraisals. It also contains correspondence on the work-related interests of the employee such as abilities, aptitudes, accomplishments and interests. **Class of Individuals:** Personal information relates to candidates

applying for positions of executive directors, technical directors, coaching and program personnel in national sport and recreation associations. **Purpose:** The bank provides a record of information used in staffing positions such as executive directors, technical directors, national coaches and/or other administrative positions within national sport and recreation associations. It is used by Fitness and Amateur Sport officers participating in hiring committees of national sport and recreation associations to determine eligibility of candidates. **Consistent Uses:** Use of this bank is consistent with the purpose specified in part 5. **Retention and Disposal Standards:** Records are retained in accordance with the schedule of general records disposal for central registry files (five years) and are then destroyed. **TBS Registration:** 002735 **Bank Number:** CH PPU 225

Athlete Assistance Program

Description: Contains information on federal financial assistance to top Canadian athletes. It includes biographical data, performance results and academic information on each recipient. **Class of Individuals:** Personal information related to top Canadian athletes. **Purpose:** The purpose of this bank is to maintain an inventory on eligible athletes receiving federal financing. **Consistent Uses:** Use of the bank is consistent with the purpose specified in part 5. **Retention and Disposal Standards:** Records are retained while recipients are in receipt of financial support, and in accordance with the schedule of general records disposal for Central Registry files — 5 years. **TBS Registration:** 002734 **Bank Number:** CH PPU 220

Personal Service Contracts

Description: This bank contains personal service contracts, invoices and records of payment for individuals under personal service contracts. It also includes job descriptions, as well as contract employee policies. **Class of Individuals:** Personal information relates to individuals under personal service contract to the Fitness and Amateur Sport Branch. **Purpose:** The purpose of this bank is to maintain an inventory of individuals under personal service contract, as well as records of payment. **Consistent Uses:** Use of this bank is consistent with the purpose specified in part 5. **Retention and Disposal Standards:** Records are retained six years after completion and non-renewal of contract; two years active and four years dormant. The records are then destroyed. **PAC Number:** 86-001 **TBS Registration:** 002736 **Bank Number:** CH PPU 230

Corporate Services Sector

Governor in Council & Ministerial Appointments database

Description: This bank contains recommendations and biographical information, as well as related correspondence, on persons recommended as nominees for appointment by the Governor in Council or the Minister of Canadian Heritage to organizations for which the Minister is

responsible. The bank also contains information on individuals appointed to these organizations. **Class of Individuals:** Personal information relates to candidates for appointment to various positions within various organizations for which the Minister is directly or indirectly responsible, as well as to the current appointees to these positions. **Purpose:** The purpose of this bank is to maintain an inventory of potential candidates for appointment to organizations for which the Minister is responsible. The bank also tracks the status of current appointees to these organizations. **Consistent Uses:** None. **Retention and Disposal Standards:** Active files are retained for six years then sent to the National Archives for selective retention. **TBS Registration:** 003698 **Bank Number:** CH PPU 058

Parks Canada Sector

Back Country Use Permits

Description: The bank contains information used to identify persons, vehicles and missing persons. **Class of Individuals:** National Park visitors. **Purpose:** To provide records for monitoring Park use and identifying vehicles left at trail heads or road sides in emergency cases such as missing persons or bear problems; to control back country sites and facilities; to protect visitors. **Consistent Uses:** Provides an analysis of use of the back country, statistics, planning and rehabilitation. **Retention and Disposal Standards:** One year after expiry or when obsolete. **PAC Number:** 78-001 **Related to PR#:** ENV CPS 020 **TBS Registration:** 001751 **Bank Number:** CH PPU 067

Campground Registration

Description: The bank contains listings of campgrounds and campers. **Class of Individuals:** Campers. **Purpose:** To control campground allocation, provide revenue accountability, statistics, future planning and aid to visitors. **Consistent Uses:** Statistics, revenue, maintenance decisions and future planning. **Retention and Disposal Standards:** Records are maintained for a period of five years. **PAC Number:** 72-010 **Related to PR#:** ENV CPS 020 **TBS Registration:** 001752 **Bank Number:** CH PPU 068

Collecting Permits

Description: Contains copies of collecting permits which have been issued to individuals (professionals) who require specimens for research purposes. **Class of Individuals:** Individuals (professionals) who require specimens for research purposes. **Purpose:** To allow a controlled amount of access to material which will help in research. **Consistent Uses:** None. **Retention and Disposal Standards:** Files are retained for five years. **PAC Number:** 72-010 **Related to PR#:** ENV CPS 075 **TBS Registration:** 001749 **Bank Number:** CH PPU 061

Compensation for Loss of Fishing Rights, Kouchibouguac National Park

Description: This bank contains copies of correspondence from individuals, the replies and official findings of the

arbitrator and data supporting the decision to allow or disallow claims for compensation. **Class of Individuals:** Fishermen resident in the Kouchibouguac area. **Purpose:** The purpose of this bank is to establish records of persons who have requested compensation for their loss of fishing privileges and income created by the establishment of Kouchibouguac National Park. **Consistent Uses:** It is used as a reference when new claims are received. **Retention and Disposal Standards:** Records are retained for approximately ten years. **TBS Registration:** 003376 **Bank Number:** CH PPU 050

Creel Census/Survey

Description: Information collected is not specific, i.e. name, address. It is generic i.e. sex, age group. Of interest to the National Parks for fish management purposes only. **Class of Individuals:** Private Sector. **Purpose:** For research, evaluation and stocking and/or limits for fishing season. **Consistent Uses:** Provides information on fish catches and species for statistical use, fish stocking programs or fishing limits. **Retention and Disposal Standards:** 10 years — National Archives of Canada selective retention. **PAC Number:** 72-010 **Related to PR#:** ENV CPS 085 **TBS Registration:** 001746 **Bank Number:** CH PPU 054

Duck Hunting — Point Pelee

Description: Information collected on duck hunting in Point Pelee. Activity terminated 1990. **Class of Individuals:** Duck hunters. **Purpose:** For duck management purposes. **Consistent Uses:** None. **Retention and Disposal Standards:** 10 years — National Archives of Canada selective retention. **PAC Number:** 72-010 **TBS Registration:** 001747 **Bank Number:** CH PPU 053

Eligible Status for Domestic

Wood Cutting and Rabbit Snaring

Description: The bank contains a list of names and addresses of persons eligible for resident status for domestic wood cutting and rabbit snaring within Gros Morne National Park. **Class of Individuals:** All heads of households within the area of the Gros Morne National Park. **Purpose:** The purpose of this bank is to establish a list of persons eligible to cut wood and snare rabbits within the Gros Morne National Park boundary for the granting of individual permits. **Consistent Uses:** The list will also be used in the development of the forest management plan for the park. **Retention and Disposal Standards:** Criteria for this bank are to be established in 1995 and will be set out in regulations to control wood cutting and rabbit snaring. **TBS Registration:** 003374 **Bank Number:** CH PPU 070

Fishing License

Description: This bank identifies license holders. **Class of Individuals:** National Park visitors (fishermen). **Purpose:** Information in this bank relates to the issuing of fishing licenses. It is used to identify license holders, to control the number of individuals fishing in the park, to restrict fishing to authorized areas, to support fish

management and to ensure revenue accountability.

Consistent Uses: Control use, set limits, set seasons, statistical purposes, fish stocking and Creel census/surveys.

Retention and Disposal Standards: Files are kept for one year after they expire or become obsolete. **PAC**

Number: 78-001 **Related to PR#:** ENV CPS 085 **TBS**

Registration: 001745 **Bank Number:** CH PPU 051

Hazardous Activities

Description: The bank contains information on persons involved in hazardous activities, defines location, activity, number in group, etc. **Class of Individuals:** Parks Canada visitors. **Purpose:** To provide a record of visitors in case of overdue registration. **Consistent Uses:** Provides up-to-date information on use, locations, frequency, accidents, loss, or missing persons. **Retention and Disposal Standards:** One year. **PAC Number:** 78-001 **TBS Registration:** 001753 **Bank Number:** CH PPU 069

Hut Permits

Description: The bank contains information used to identify permit holders and verify reservations. **Class of Individuals:** Visitors. **Purpose:** To maintain information relating to the use, periods of stay, numbers and length of season. **Consistent Uses:** To support decisions for maintenance, monitor and control use and ensure the safety of visitors. **Retention and Disposal Standards:** One year. **PAC Number:** 78-001 **Related to PR#:** ENV CPS 020 **TBS Registration:** 001750 **Bank Number:** CH PPU 066

Information Disclosures to Investigative Bodies

Description: This bank contains copies of privacy requests and replies as well as requests for disclosure to federal investigative bodies, forwarded by investigative bodies as listed in Schedule II of the Privacy Act under paragraph 8(2)(e) of the same Act. This bank also contains the replies to such requests and gives information related to their processing. It is used to verify the conditions of disclosure to federal law enforcement bodies which are stated in paragraph 8(2)(e) of the Privacy Act, and to report to the Privacy Commissioner on the number of access requests received annually. This bank is comprised solely of requests concerning persons for the purpose of law enforcement, and the replies to such requests. **Class of Individuals:** Individuals about whom requests for personal information have been received pursuant to paragraph 8(2)(e) of the Privacy Act from federal investigative bodies for the purpose of enforcing a law of Canada or a province, or carrying out a lawful investigation. **Purpose:** The purpose of this bank is to permit the Privacy Commissioner to review disclosures made under paragraph 8(2)(e) of the Privacy Act to federal investigative bodies for the purpose of enforcing a law of Canada or a province or for carrying out a lawful investigation. **Consistent Uses:** None. **Retention and Disposal Standards:** Records are retained for five years and are then destroyed. **Related to PR#:** ENV CPS 010 **TBS Registration:** 002326 **Bank Number:** CH PPU 072

Law Enforcement — Investigations

Description: Contains names and addresses of persons charged with offenses including details of investigation, litigation and court decisions. It includes information collected by Parks Canada employees and the R.C.M.P. during the course of investigations. **Class of Individuals:** Persons who are charged with offenses, officers who conduct investigation, lawyers, judges and witnesses involved in trials, if held. **Purpose:** For use in bringing offenders to justice; for Crown defense purposes; for compiling statistical information for reporting purposes; and for corrective action to prevent or reduce recurrence. **Consistent Uses:** None. **Retention and Disposal Standards:** Five years after which the information is transferred to the National Archives of Canada for selective retention. **PAC Number:** 72-010 **Related to PR#:** ENV CPS 055 **TBS Registration:** 001755 **Bank Number:** CH PPU 074

Mailing Lists

Description: Mailing lists with names and addresses. **Class of Individuals:** The private sector, government officials (MPs and MLAs) and associations. **Purpose:** For mailing of Management Plan Newsletters as part of the Management Planning Process. **Consistent Uses:** Used for public participation programs and public relations purposes. **Retention and Disposal Standards:** Six months or when superseded or reduced to machine operations. **PAC Number:** 78-001 **Related to PR#:** ENV CPS 005 **TBS Registration:** 001748 **Bank Number:** CH PPU 056

Realty Register Computer System

Description: This bank contains information on the various realty agreements with Parks Canada: the type of lease, license, permit, term, appraised land value, rent and/or fee chargeable under the agreement against the property. The bank also contains the land address, legal description, name and address of the document agreement holder, information on transactions, assignments and mortgages. **Class of Individuals:** Lease holders of Crown property. **Purpose:** The purpose of this bank is to maintain a registry of all such agreements for the administration of such lands. **Consistent Uses:** None. **Retention and Disposal Standards:** Records are maintained for the period during which the lease, permit, etc. is held. **TBS Registration:** 003377 **Bank Number:** CH PPU 045

Resource Use Permits

Description: The bank identifies resource use and/or harvest activities permitted under regulation. **Class of Individuals:** Visitors, researchers and residents. **Purpose:** To identify permit holders using Park resources, and set restrictions. Examples include hunting, wood cutting and grazing. **Consistent Uses:** Monitor and control activities, compile statistics, survey use and plan future changes. **Retention and Disposal Standards:** One year after expiry or when obsolete. **PAC Number:** 78-001 **TBS Registration:** 001754 **Bank Number:** CH PPU 071

◆ Canadian Identity Directorate

Order of Canada Nominees

Description: This bank contains recommendations and biographical information, as well as related correspondence, on persons recommended as nominees for an Order of Canada Award. **Class of Individuals:** Personal information on persons in sport or recreation who are nominated for the Order of Canada Award. **Purpose:** The purpose of this bank is to maintain an inventory of recommendations on persons in sport or recreation who may be nominated for an Order of Canada Award. The inventory of unsuccessful candidates is used for reconsideration of Award nominations. **Consistent Uses:** Use of this bank is consistent with the purpose specified in part 5. **Retention and Disposal Standards:** Records are retained for three years and are then forwarded to the National Archives of Canada. **TBS Registration:** 002738 **Bank Number:** CH PPU 240

Japanese Canadian Redress Applicant Inventory

Description: This bank contains personal information on individuals of Japanese ancestry such as name, sex, citizenship status, date and place of birth, parents' names, registration number of individual and/or parents, physical description, convictions, nature of discriminatory action taken by the Government of Canada and place of residence. **Class of Individuals:** Individuals who apply for Canadian citizenship, a pardon and/or a symbolic payment under the terms of the Japanese Canadian Redress Agreement. **Purpose:** The purpose of this bank is to maintain information relating to individuals who request and/or receive a grant of Canadian citizenship, a pardon and/or a payment under the terms of the Japanese Canadian Redress Agreement. **Consistent Uses:** Information will be shared with Public Works and Government Services Canada for the purpose of issuing payments; with Citizenship and Immigration Canada, provincial Registrars of Vital Statistics, provincial Offices of the Public Trustee and provincial Departments of Education for the purpose of verifying eligibility for redress. **Retention and Disposal Standards:** Records are retained for a period of ten years after payment. **Related to PR#:** MCC MUL 100 **TBS Registration:** 002314 **Bank Number:** CH PPU 055

Japanese Canadian Registration Record

Description: This bank contains personal information on individuals of Japanese ancestry, such as name, marital status, sex, year of birth, address, family and individual registration numbers and information on family members. **Class of Individuals:** Individuals of Japanese ancestry who were registered during World War II. **Purpose:** The information in this bank was compiled during World War II and was obtained from the National Archives of Canada. The listing will be used to assist with the verification of an individual's eligibility for a payment under the terms of the Japanese Canadian Redress Agreement, Canadian citizenship and/or a pardon. **Consistent Uses:** This bank will be linked with the Japanese Canadian Redress

Applicant Inventory bank (MCC PPU 055). **Retention and Disposal Standards:** Records are retained for a period of ten years after payment. **Related to PR#:** MCC MUL 100 **TBS Registration:** 002315 **Bank Number:** CH PPU 060

Sound Recording Development Program

Description: This class of documents contains separate files for each application for funding under the Sound Recording Development Program. **Class of Individuals:** Individuals and private firms. **Purpose:** This information is compiled to help manage the Sound Recording Development Program. **Consistent Uses:** This information is used to determine eligibility for funding under the program. **Retention and Disposal Standards:** Records are retained for seven years. Applications are kept with FACTOR Musicaction and Canada Council who administer this program for the Department. **TBS Registration:** 002073 **Bank Number:** CH PPU 052

Status of the High Performance Athlete in Canada Study

Description: This bank contains detailed information on the socio-economic and occupational conditions of Canadian high performance athletes. **Class of Individuals:** Information related to Canadian High Performance Athletes. **Purpose:** To develop policies and programs which are responsive to the needs of Canadian Athletes and the current realities of international sport. **Consistent Uses:** None. **Retention and Disposal Standards:** To be established. **Related to PR#:** HWC FAS 415 **TBS Registration:** 002955 **Bank Number:** CH PPU 232

Classes of Personal Information

In the course of conducting the programs and activities of the Department, categories of personal information may be accumulated which are not contained in the specific personal information banks previously described in this entry. Such personal information includes requests for information and publications, research proposals, expressions of public support, comments and suggestions, requests for funding and assistance, and complaints. This information is stored as part of the general subject files, the records of which are not normally retrievable by name of individual or other personal identifier. Specifics must be provided concerning the subject matter, related program activity, as well as the date on which the information was received by the Department and to whom it was addressed.

The personal information contained in these subject files is retained for the same period of time as the related subject information and is disposed of according to the appropriate subject records schedules.

Book Publishing Industry Development Program

This class of documents contains separate files for each application for funding under the Book Publishing Industry Development Program. Files contain information about the corporate and financial status of the book publishers or industry groups involved. Personal information retained could include citizenship, nationality and other personal

and financial information of company presidents, vice-presidents and shareholders. The information is used to determine eligibility for funding under the program. Files are retained for seven years and are then destroyed. (See also Program Records: COM ACI 250 — Book Publishing Industry Development Program).

Canadian Audiovisual Certification

This class of documents contains confidential personal information pertaining to the creative personnel employed in productions which have been submitted for certification to determine the eligibility of the production for the Canadian Film and Video Production tax credit program. It contains names, addresses, citizenship and remuneration paid. Information is not filed by personal identifier, but is filed with application forms for the production. Files are retained for five years and then transferred to National Archives of Canada for selective retention. (See also Program Records: COM ACI 255 — Canadian Audio-Visual Certification Office).

Canadian Heritage Information Network

This class of personal information is pertinent to the collection of museum objects by Canadian museums. The purpose of the information is to create a national inventory of museum collections and to assist museums in managing their collections. The documents may contain references to individuals with whom Canadian museums deal in relation to collecting. Also contained is basic biographical information on Canadian artists. The records are created and supplied by Canadian museums and are maintained as long as is necessary for their management. Contact information is also held about subscribers to CHIN products of services.

Cultural Initiatives Program

This class of personal information contains applications and supporting documentation submitted by cultural organizations seeking financial assistance for their activities. These documents may contain personal information pertaining to the directors and officers of cultural organizations including names and addresses. As well, the curriculum vitae of participants and/or expert advisors to the proposed activity may be held on file. This information is not filed by personal identifier but rather by cultural organization or activity title. This information was compiled to assess applications for funding of projects under the program including management development projects, capital projects and festivals and special events such as national conferences, etc. Records maintained by headquarters are retained for seven years, then transferred to National Archives of Canada for selective retention. Records are also maintained by the Department's Regional Offices which are responsible for the management of the Program.

Insurance Program for Travelling Exhibitions

This class of personal information contains applications and supporting documentation submitted by museums, galleries, archives and libraries seeking insurance

coverage for exhibitions which they organize and host. These documents contain the names and addresses of lenders of objects to the exhibition, as well as a description and the monetary value of the objects being loaned. This information is not filed by personal identifier, but rather by title of the exhibition. This information is used to determine the eligibility of the exhibition for insurance coverage through the program and for the preparation of insurance certificates for lenders to the exhibition. Records are retained for seven years, then transferred to the National Archives of Canada for selective retention. (See also Program Records: COM MHP 270 — Travelling Exhibitions: Applications for Insurance).

Labour Market Study

Part 1 of this bank contains personal information on approximately 1200 employees of the museums across Canada and responses to questions on training and professional development opportunities, employment conditions, educational and training background, and some demographic data. Part 2 contains data on 500 museums and responses to questions on the museums labour market such as number of full-time and part-time positions; number of people employed for each job and their classifications; current budgets, etc. Records will be kept for five years (plus two years dormant).

Manuals

- Access to Information and Privacy Acts Employee Guide
- Audit Manual
- Departmental Access to Information and Privacy Acts Policies and Procedures Handbook
- Departmental Information Collection and Public Opinion Research Policies Handbook
- Departmental Mail and Courier Services Policies and Procedures Handbook
- Employment Policy
- Financial Coding Manual
- Financial Management Guide
- Fire Safety Plan
- Grants and Contributions Manual
- Guide to Departmental Mail Services
- Historic Canal Directives
- Information Classification and Protection Guide
- Information Collection and Public Opinion Research Desk Guide
- Information Technology Security Handbook
- Maintenance Standards (Volumes 1 & 2)
- Management Directives
- National Historic Sites Directives
- National Parks Directives
- Parks Canada Guiding Principles and Operational Policies
- Personnel Security Guide

- Policies — Human Resources
- Security and Emergency Procedures Handbook
- Sign Manual
- State of the Parks — Profiles and Report
- The Departmental Policy on Informatics
- Trail Manual

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the department and its programs and activities may be directed to:

Reading Room

The Departmental Library has been designated under the Access to Information Act as a public reading room. Its address is:

Jules Léger Building
2nd Floor, 15 Eddy Street
Hull, Quebec

It is open weekdays from 08:00 a.m. to 4:30 p.m.

Departmental manuals are available for consultation in regional offices listed below. Manuals are also available at local offices in the regions — addresses and telephone numbers can be obtained from the appropriate regional office. A Telephone Device for the Deaf (T.D.D.) telephone number is available at certain regional offices.

Alberta Region

Canadian Heritage
Harry Hays Bldg.
220 — 4th Avenue Southeast
Room 552
Calgary, Alberta
T2P 3H8

(403) 292-4444

Atlantic Region

Canadian Heritage
Historic Properties
Upper Water Street
Halifax, Nova Scotia
B3J 1S9

(902) 426-8951

Ontario Region

Canadian Heritage
5160 Yonge Street
Suite 500
North York City Centre
North York, Ontario
M2N 6L9

(416) 954-0396

Pacific and Yukon Region

Canadian Heritage
300 West Georgia Street
Vancouver, British Columbia
V6B 6C6

(604) 666-2060

Prairie and Northwest Territories Region

Canadian Heritage
457 Main Street
Winnipeg, Manitoba
R3B 3E8

(204) 983-2630

Quebec Region

Canadian Heritage
Guy-Favreau Complex
200 René-Lévesque Boulevard West
West Tower, 6th Floor
Montreal, Quebec
H2Z 1X4

(514) 283-5797

Canadian Human Rights Commission

Chapter 24

General Information

Background

The Canadian Human Rights Commission was given its mandate with the passage in July, 1977 of the Canadian Human Rights Act. The Act became operative on March 1, 1978.

The Human Rights Tribunal was established in 1978 pursuant to the coming into force of the Canadian Human Rights Act.

Responsibilities

The Commission's program objective is to give effect to the principle that within the federal sphere every individual should have an equal opportunity to participate in Canadian life consistent with his or her duties and obligations as a member of the Canadian society.

Specifically, the Commission deals with complaints of discrimination in employment, in the provision of goods, services, facilities and/or accommodation customarily available to the general public and in the provision of commercial premises or residential accommodation, based on any of the ten prohibited grounds of discrimination enumerated in the Act: race, national or ethnic origin, colour, religion, age, sex, marital status, family status, disability, or conviction of an offense for which a pardon has been granted. The Commission also attempts to resolve cases through the conciliation/settlement process before considering the tribunal process.

The Canadian Human Rights Act prohibits discrimination in policies and practices affecting wages for male and female employees who are performing work of equal value. As a result, the Commission investigates complaints alleging inequities in wage-related practices.

The Commission also monitors annual reports filed by federally-regulated companies under the Employment Equity Act, and where appropriate, initiates compliance actions.

The Commission also has a statutory responsibility to discourage and reduce discriminatory practices through public education, liaison, and any other means it considers appropriate. This responsibility is discharged by producing promotional and educational materials, developing and conducting information programs to foster public understanding of the principles of equal opportunity enshrined in the Canadian Human Rights Act, and by working cooperatively with employers and service providers both at the headquarters and regional levels.

The Commission functions as an independent agency and reports to Parliament through the Minister of Justice.

The Human Rights Tribunal is a quasi-judicial entity established in 1978 under the Canadian Human Rights Act. The Human Rights Tribunal hears discrimination cases and determines whether there has been a breach of the Canadian Human Rights Act. The tribunal has jurisdiction over matters that fall under the legislative power of the Government of Canada. It inquires into complaints filed against federal departments, banks, airlines and other organizations regulated by the federal government. All cases must be referred to the Human Rights Tribunal by the Commission. Although the Human Rights Commission and the Tribunal were established under the same Act, the two organizations are separate and independent of one another.

Legislation

- Age Guidelines (SI/78-165, October 25, 1978)
- Canadian Human Rights Act (R.S., 1985, c. H-6)
- Canadian Human Rights Benefit Regulations (SOR/80-68, January 11, 1980; amendment: SOR/82-783, August 19, 1982; SOR/83-615, July 28, 1983; SOR/85-512, May 31, 1985)
- Customs and Excise Human Rights Investigation Regulations (SOR/83-196, February 25, 1983)
- Employment Equity Act (R.S., 1985, c.23 (2nd Supp.)).
- Equal Wage Guidelines (SOR/86-1082, November 18, 1986)
- Human Rights Tribunal Appeal Regulations (SOR/80-394, May 27, 1980; SOR/86-294, March 19, 1986)
- Immigration Guidelines (SI/80-125, July 23, 1980)
- Immigration Investigation Regulations (SOR/80-686, August 27, 1980)
- Protection of Personal Information Regulations (SOR/78-145, February 22, 1978)

Organization

The Commission is headed by the Chief Commissioner who has deputy minister status. In this role, he is supported by the Deputy Chief Commissioner who has assistant deputy minister status and the Secretary General. There are eight operational and administrative branches at headquarters: Executive Secretariat, Legal Services, Anti-Discrimination Programs, Employment and Pay Equity Programs, Communications, Policy and Planning, Corporate Services and Personnel Services. In addition to the aforementioned, there are also six regional offices and the Canadian Human Rights Tribunal.

The Human Rights Tribunal is headed by the President of the Human Rights Tribunal Panel, who is the principal administrator. The Panel consists of the President and

about one hundred part-time members. All Panel members are appointed by order of the Governor-in-Council upon recommendation by the Minister of Justice. All the tribunal's administrative activities are carried out by the Tribunal Registry.

◆ Anti-Discrimination Programs Branch

The Branch ensures that all complaints filed with the Commission, except those dealing with employment and pay equity, are investigated in accordance with the Canadian Human Rights Act. The Branch also provides a quality assurance function and conciliation services, trains staff involved in anti-discrimination activities, and monitors the complaints management process. This Branch now comprises two new units: the Complaints and Investigations Directorate receives inquiries and complaints in the national capital and investigates these complaints; and the Policy Complaints Unit investigates major policy-based complaints.

◆ Communications Branch

This Branch explains the role and activities of the Commission, fosters public understanding of the Act and human rights issues, and discourages discriminatory practices by means of information programs, contact with the media, and activities in the community. Publications include a vast array of products such as a human rights journal, new releases, speeches, brochures and posters all regarding human rights issues and most available in alternative formats. The Commission's human rights communications functions and activities are summarized in an annual report to Parliament.

◆ Corporate Services Branch

This Branch provides the Commission with support services in assets management, finance, informatics, information management, library, and editorial services. This Branch is also responsible for delivering the Commission's Access to Information and Privacy programs.

◆ Employment and Pay Equity Branch

This Branch provides policy advice to the Commission on employment and pay equity matters and offers educational programs to employers and community groups. The Employment Equity Directorate investigates and conciliates employment equity complaints and conducts joint reviews with employers. The Pay Equity Directorate investigates, negotiates, and conciliates equal pay complaints.

◆ Executive Secretariat Branch

This Branch is responsible for preparing executive correspondence, for coordinating briefing materials, and for providing support to the Commission's executive offices. It also plays an important role in the complaints processing system and in coordinating the Commission's strategic planning activities.

◆ Legal Services Branch

This Branch advises the Chief Commissioner, Commission members, and staff on all legal matters falling within the

jurisdiction of the Commission. To this end, legal officers represent the Commission in proceedings before Human Rights Tribunals or courts of law.

◆ Personnel Services Branch

This Branch provides the Commission with support services in pay and benefits, staffing, classification, official languages, staff relations, training and resource planning, and health and safety.

◆ Policy and Planning Branch

This Branch monitors domestic and international human rights issues of interest to the Commission and develops policy papers and positions for the Commission's approval.

◆ Regional Offices

The Regional Offices investigate all discrimination complaints except those dealing with employment and pay equity. They also work with community representatives, employers, and unions to inform the public of their rights under the Canadian Human Rights Act.

◆ Tribunal Registry

The Tribunal Registry is the main office of the Human Rights Tribunal responsible for its general administration. It handles the administration of the various tribunals set up under the Act and provides all services related to their operation. The Registry is composed of a registrar and support staff who are all public service employees.

Information Holdings

Program Records

Accounts Payable Records

Description: The purpose of these records is to maintain all information on the payment of accounts to the various suppliers of goods and services for the Human Rights Tribunal. **Topics:** Contracts, invoices. **Access:** Records filed alphabetically by supplier. **Program Record Number:** HRC AFP 080

Administrative Services

Description: Correspondence, memoranda, reports, statistics on the general administration, administrative policy and procedures, library management and operation of the Commission. **Topics:** Associations, directives, committees, conferences and meetings, records of proceedings, management systems, information management, informatics, security, short and long-term facilities management, telecommunications, material management requirements, maintenance and repairs. **Storage Medium:** Paper, diskettes, microfiche, photos. **Program Record Number:** HRC AFP 045

Employment Equity

Description: Information on employment equity policy, compliance procedures under the Employment Equity Act, and the Commission's review and analysis of employment equity data. **Storage Medium:** Paper and diskettes. **Program Record Number:** HRC EPE 060

Employment Systems

Description: Documentation, correspondence and information relating to employment policies and practices of federally-regulated and provincially-regulated employers; also CHRC policies on the application of the Canadian Human Rights Act to employment policies and practices (i.e. employment systems). **Topics:** Policies and procedures relating to employment systems in federal departments, Crown corporations and agencies, chartered banks, communication organizations, land transportation organizations, air transportation organizations, navigation organizations, manufacturing industries, employee associations and provincially-regulated employers. **Access:** Files arranged by organization. **Storage Medium:** Paper and diskettes **Program Record Number:** HRC CCB 020

Financial Services

Description: Correspondence, memoranda, reports, statistics on the financial management of all programs and activities within the Commission. **Topics:** Accounts, audits, budget, contracts, hospitality, grants, signing authorities, travel. **Storage Medium:** Paper, diskettes, microfiche. **Program Record Number:** HRC AFP 050

General Liaison

Description: Co-operative liaison on administrative and operational subject matters with various governments, agencies and institutions. **Topics:** Federal departments and agencies; international governmental organizations; provincial and territorial governments and agencies; provincial human rights commissions; universities, colleges, schools, and institutions. **Access:** Files arranged by organization. **Storage Medium:** Paper **Program Record Number:** HRC RPB 040

Human Rights/Discrimination/Amendments

Description: Information on human rights and discrimination of a general nature; Canadian Human Rights Act amendments; committees; conferences. **Topics:** Human rights; discrimination; Canadian Human Rights Act amendments, government and non-government organizations, accessibility standards, adaptation plans; committees — general, government and non-government organizations; conferences. **Access:** Files arranged by subject or organization. **Storage Medium:** Paper. **Program Record Number:** HRC CCB 015

Information Access and Privacy Coordination

Description: Information on support for, and coordination of, information planning, development, Access to Information and Privacy, and information collection activities. **Topics:** Information planning; national information

systems development; Access to Information and Privacy; information collection; informatics coordination. **Storage Medium:** Paper, computer diskettes, audio tapes, large print, photos etc. **Program Record Number:** HRC AFP 065

Information and Production

Description: Information on the administration of the Commission's public affairs and media relations. **Topics:** CHRC publications; advertising, displays, lectures, conferences and other community activities; miscellaneous enquiries, press clippings, press releases and mailing lists. **Storage Medium:** Paper, audio-visual, braille, large-print, oversized and other alternative formats. **Program Record Number:** HRC RPB 030

Legal Matters

Description: Information on the administration of legal matters, regulations and guidelines established for operational purposes; legal research on various subjects. **Topics:** Regulations and guidelines as identified under the Acts, laws, and regulations above, legal research on issues such as jurisdiction, judicial review, remedies, evidence, primacy; legal opinions by grounds of discrimination. **Access:** Files arranged by subject. **Storage Medium:** Paper, diskettes, audio-visual, photos. **Program Record Number:** HRC LEG 005

Official Tribunal Records

Description: The official records contain all information collected during hearings on cases referred to the Human Rights Tribunal. **Topics:** Stenographic notes, exhibits, submissions from counsel, authorities. These records may contain personal information, but given their nature, they are in the public domain, except in cases where the hearings or part of a hearing were held in-camera. **Program Record Number:** HRC LEG 075

Personnel Services

Description: Correspondence, memoranda, reports, statistics on general personnel management activities within the Commission. **Topics:** Appointments, official languages, classification, employment and staffing, health/medical services, insurance, resource planning, salaries/wages, performance appraisals, superannuation/pension plans, disciplinary measures, training/development, employment equity statistics and security authorization. **Storage Medium:** Paper, diskettes. **Program Record Number:** HRC AFP 055

Program Delivery

Description: Information on contacts and activities with employers, unions and non-governmental organizations; education programs; community and outreach activities, and CHRC meetings. **Topics:** Education programs; consulting activities; information programs. **Access:** Files arranged by organization and project. **Storage Medium:** Paper, diskettes. **Program Record Number:** HRC RPB 035

Research and Policy

Description: Reports by CHRC researchers and by contract organizations, survey questionnaires and data, background materials, and policy analysis in human rights. **Topics:** Surveys, research on various grounds of discrimination; discriminatory practices; international organizations and foreign governments; federal interdepartmental committees; provincial governments; relevant correspondence. **Access:** Files arranged by report title or subject matter. **Storage Medium:** Paper, diskettes. **Program Record Number:** HRC RPB 010

Special Programs and Arrangements

Description: Documentation, correspondence and information on special programs, plans or arrangements undertaken by federally-regulated employers or service providers; also CHRC policies on the application of the Canadian Human Rights Act to special programs and arrangements. **Topics:** Policies and procedures in CHRC, provincial human rights commissions, foreign governments and agencies, contract compliance, consultants; special programs related to employment including studies and research, federal departments, crown corporations and agencies, chartered banks, communications organizations, land transportation organizations, air transportation organizations, navigation organizations, manufacturing industries, employee associations; special programs related to services in federal departments, crown corporations and agencies, chartered banks. **Access:** Files arranged by organization. **Storage Medium:** Paper and diskettes. **Program Record Number:** HRC CCB 025

Tribunal Administrative Case Records

Description: Any documentation pertaining to the administration of tribunals, the various requests, administrative arrangements, correspondence between the Registry and the parties involved. **Topics:** Official notices, contracts (hotels, travel, etc.), correspondence between the parties, letters of appointment. **Program Record Number:** HRC AFP 070

Personal Information Banks

Access and Privacy Request Data

Description: This bank contains formal and informal requests sent by individuals seeking access to CHRC operational administrative information and/or personal information about themselves held by the Canadian Human Rights Commission, replies to such requests, and information related to request processing. **Class of Individuals:** General public; applicants. **Purpose:** This material is used for processing access and privacy requests only and for reporting on the number of such requests received annually. **Retention and Disposal Standards:** The information in this bank is retained for two years after the last administrative action and then destroyed in accordance with the Privacy Act. **PAC Number:** 86-001 **TBS Registration:** 001506 **Bank Number:** HRC PPU 015

Commission members

Description: The Commission has a biography on each person appointed as Commission member. These records contain or may contain personal information on members such as employer, address, national of ethnic origin, fee and travel expense claims, etc. The Commission is made up of two full-time members and up to six part-time members. **Class of Individuals:** Individuals appointed to the Panel by order of the Governor-in-Council. **Purpose:** This information is retained to help the Chief Commissioner in his role as Commission spokesperson and as publically available information regarding the Commissioners' background and experience relating to human rights matters. **Retention and Disposal Standards:** The information in this bank is retained for six years and then destroyed or transferred to the National Archives of Canada for archival purposes. **PAC Number:** 86-001 **TBS Registration:** 003414 **Bank Number:** HRC PPU 020

Complaints Received Under the Canadian Human Rights Act, Part III

Description: The files consist of complaints lodged by individuals or groups from March 1, 1978, to the present, dealing with discrimination on the grounds of race, national or ethnic origin, colour, religion, age, sex, sexual orientation, marital status, family status, disability, or conviction for an offense for which a pardon has been issued; complaints of discrimination concerning pensions and insurance; hate messages; equal pay, and with complaints received because of threats or intimidation subsequent to having filed a complaint of discrimination (section 59 of the Canadian Human Rights Act). They contain statements from individuals and groups, correspondence with and reports about complainants, respondents, and witnesses dealing with a complaint and other facts relevant to its investigation or conciliation. **Class of Individuals:** General public; complainants, respondents, witnesses. **Purpose:** The purpose of this bank is to record, enquire into and resolve complaints of discrimination in connection with the application of Part III of the Canadian Human Rights Act, and where necessary to provide evidence for the hearing of a complaint by a Human Rights Tribunal, the Federal Court of Canada and/or the Supreme Court of Canada. **Retention and Disposal Standards:** The information in this bank has a ten (10) year retention period and may then be transferred to the National Archives of Canada for archival purposes. **PAC Number:** 90-006 **Related to PR#:** HRC CCB 015 and HRC PPU 010 **TBS Registration:** 001504 **Bank Number:** HRC PPU 005

Litigation Files

Description: Information on legal proceedings of specific complaints of discrimination before a Human Rights Tribunal or a court of law, and legal opinions regarding specific complaints. **Class of Individuals:** General public; complainants, respondents, witnesses. **Purpose:** The information in this bank enables the Branch to carry out its mandate as legal advisor to the Commission and in

proceedings before Tribunals and the courts. **Retention and Disposal Standards:** Information in this bank is retained for 10 years and is then eligible for destruction or transferred to the National Archives of Canada for archival purposes. **PAC Number:** 90-006 **Related to PR#:** HRC LEG 005 **TBS Registration:** 001505 **Bank Number:** HRC PPU 010

Professional and Personal Service Contracts

Description: This bank contains basic data such as educational qualifications, employment history, appointment and termination dates, amount of fees paid and other allowable expenses and payments. **Class of Individuals:** General public; contractees. **Purpose:** The purpose of this bank is to maintain a record concerning professional and personal service contracts by individuals who contract with the Commission. The information is used in selecting qualified individuals to provide contractual services. **Retention and Disposal Standards:** The information in this bank is retained for six years and then destroyed or transferred to the National Archives of Canada for archival purposes. **PAC Number:** 86-001 **Related to PR#:** HRC AFP 055 and HRC AFP 045 **TBS Registration:** 001507 **Bank Number:** HRC PPU 025

Tribunal Panel Members' records

Description: The Tribunal Registry has a record on each person appointed to the Human Rights Tribunal Panel. These records contain or may contain personal information on members such as employer, address, national or ethnic origin, fee and travel expense claims, etc. **Class of Individuals:** Individuals appointed to the Panel by order of the Governor-in-Council **Purpose:** The material in the bank is retained to assist the President in carrying out the task of appointing individuals to the various tribunals and to assist the Registry in carrying out its mandate. **Retention and Disposal Standards:** The information in this bank is retained for six years and then destroyed or transferred to the National Archives of Canada for archival purposes. **PAC Number:** 83-010 **TBS Registration:** 003498 **Bank Number:** HRC PPU 030

Classes of Personal Information

Anti-Discrimination Programs Branch Files

In the course of conducting the programs and activities of the Anti-Discrimination Programs Branch, categories of personal information may be accumulated which are not contained in the specific personal information banks described in this entry. Such personal information may include an individual's race, national or ethnic origin, colour, religion, age or marital status, views or opinions of another individual about the individual, etc., which are stored as part of the general subject files, where records are not normally retrieved by name of individual or other personal identifier.

This form of personal information is normally retrievable only if specifics are provided concerning personnel/employment policies and practices or special programs, plans or arrangements undertaken by federally regulated employers or service providers, or concerning Commission policies relating to the application of the Canadian Human Rights Act to employment policies, practices and special programs/arrangements. The retention of this personal information class is governed by a records schedule negotiated with the National Archives of Canada.

Communications Branch

In the course of conducting the programs and activities of the Communications Branch, categories of personal information may be accumulated which are not contained in the specific personal information banks described in this entry. Such personal information may include an individual's race, national or ethnic origin, colour, religion, age or marital status, views or opinions of another individual about the individual, etc., which are stored as part of the general subject files, where records are not normally retrieved by name of individual or other personal identifiers.

This form of personal information is normally retrievable only if specifics are provided concerning research related to the communication duties and responsibilities of the Commission.

The retention of this personal information class is governed by a records schedule negotiated with the National Archives of Canada.

Enquiries Received Under the Canadian Human Rights Act, Part III

In the course of conducting the programs and activities of the Complaints Procedures Branch, categories of personal information may be accumulated and stored as part of the general subject files where records are not normally retrieved by name of individual or other personal identifier. Such personal information is accumulated in the course of responding to complaints of discriminatory practices and policies on various grounds which may or may not be prohibited by the Act. This form of personal information is retrievable only if specifics are provided concerning the nature of the complaint, the date, and the office to which it was addressed. The files are retained for two years from last administrative action, in accordance with the Privacy Act and then destroyed.

Policy and Planning Branch

In the course of conducting the programs and activities of the Policy and Planning Branch, categories of personal information may be accumulated which are not contained in the specific personal information banks described in this entry. Such personal information may include an individual's race, national or ethnic origin, colour, religion, age, sexual orientation or marital status, views or opinions of another individual about the individual, etc., which are stored as part of the general subject files, where records

are not normally retrieved by name of individual or other personal identifiers.

This form of personal information is normally retrievable only if specifics are provided concerning research related to the duties and responsibilities of the Commission, including policy analysis and development, surveys to monitor current public opinion, socio-economic studies of human rights problems, preparation and analysis of statistics, bibliographies, and other data.

The retention of this personal information class is governed by a records schedule negotiated with the National Archives of Canada.

Manuals

- Administrative Manual
- CHRC Compliance Manual
- CHRC Interpretation Manual
- The Commission and Legislative Authority Manual

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Canadian Human Rights Commission and its different publications may be obtained by contacting:

Communication Branch
Canadian Human Rights Commission
14th Floor, Place de Ville, Tower "A"
320 Queen Street
Ottawa, Ontario
K1A 1E1
(613) 995-9107

Further information about the Human Rights Tribunal may be obtained by contacting:

Human Rights Tribunal
473 Albert Street
Suite 900
Ottawa, Ontario
K1A 1J4
(613) 995-1707

Reading Room

In accordance with the Access to Information Act, an area on the premises of the institution and in each of its regional offices has been designated as a public reading room. The addresses are as follows:

Alberta and Northwest Territories Region

Highfield Place
10010-106 Street
Suite 308
Edmonton, Alberta
T5J 3L2
(403) 495-4040
FAX: (403) 495-4044
TTY: (403) 495-4108

Atlantic Region

Room 601
5657 Spring Garden Road
Halifax, Nova Scotia
B3J 3R4
(902) 426-8380
1(800) 565-1752
FAX: (902) 426-2685
TTY: (902) 426-9345

Canadian Human Rights Commission

13th Floor, Place de Ville, Tower A
320 Queen Street
Ottawa, Ontario
K1A 1E1
(613) 995-1151
FAX: (613) 996-9661
TTY: (613) 996-5211

Human Rights Tribunal

473 Albert Street
Suite 900
Ottawa, Ontario
K1A 1J4
(613) 995-1707
FAX: (613) 995-3484

Ontario Region

Room 175
1002 Bloor Street East
Toronto, Ontario
M4W 3R8
(416) 973-5527
FAX: (416) 973-6184
TTY: (416) 973-8912

Prairie Region

Room 501
200 Main Street
Winnipeg, Manitoba
R3C 1A8
(204) 983-2189
FAX: (204) 983-6132
TTY: (204) 983-2882

Quebec Region

Room 470
1253 McGill College Avenue
Montreal, Quebec
H3B 2Y5
(514) 283-5218
FAX: (514) 283-5084
TTY: (514) 283-1869

Western Region

Room 750
605 Robson Street
Vancouver, British Columbia
V6B 5J3
(604) 666-2251
FAX: (604) 666-2386
TTY: (604) 666-3071

Canadian International Development Agency

Chapter 25

General Information

Background

The Canadian International Development Agency (CIDA) supports the sustainable development in developing countries in order to reduce poverty and to contribute to a more secure, equitable and prosperous world.

Responsibilities

CIDA is the federal agency responsible for implementing Canada's Official Development Assistance (ODA) program and for administering most of the ODA budget. CIDA's aid program is administered through a number of aid delivery channels: bilateral (government-to-government) assistance, multilateral development institutions, and supports the development activities of non-governmental organizations (NGOs) and the private sector.

Legislation

- Department of Foreign Affairs and International Trade
- Financial Administration Act
- International Development (Financial Institutions) Continuing Assistance Act

Organization

The Agency is headed by the President who reports directly to the Minister of International Cooperation and Minister responsible for la Francophonie. The President is assisted by the Secretary General, nine vice-presidents and a director general. These branches are: Africa and Middle East, Americas, Asia, Central and Eastern Europe, Canadian Partnership, Multilateral Programs, Policy, Corporate Management and Personnel and Administration and Communications.

The Corporate Secretariat is responsible for the coordination of four executive committees and forums, the executive correspondence and requests received under the Access to Information and Privacy Acts. It is also responsible for the activities related to Parliamentary Relations.

♦ Africa and Middle East Branch

CIDA's Africa and Middle East Branch is headed by a Vice-President and is sub-divided in six divisions: West Africa, North Africa and Middle East, Eastern Africa, Central Africa and Pan-African Program, Southern Africa, and Strategic Planning and Management.

The policies and strategies developed for cooperation with Africa and the Middle East complement the foreign policy objectives and the specific mandate of CIDA, in

particular poverty reduction and the promotion of peace and security.

Central Africa:

The general objective of CIDA's programs in the region is to promote economic development, to protect the environment and to promote human rights and democratic development. The region includes eight countries.

Only Cameroon has a traditional bilateral program, which focuses on private sector development and management of forestry resources and the promotion of human rights and democratic development. A much smaller program in Gabon finances a project of technical education and another in rural electrification.

A Canada Fund for Local Initiatives is active in each country to help support the realization of small-scale projects.

Eastern Africa:

The general objective of CIDA'S programs in the Eastern Africa region is to promote regional security and peace, through our support to basic human needs and by promoting democratic development and the protection of human rights. The region consists of sixteen countries and includes Eastern Africa, the Great Lakes area and the Horn of Africa.

A large part of CIDA's activities in the region consist of humanitarian assistance and food aid for those populations affected by food shortages or conflicts, more particularly in Rwanda, Burundi and the Horn of Africa.

A Canada Fund for Local Initiatives is active in each country to help support the realization of small-scale projects.

North Africa and Middle East Region:

CIDA's program in this region supports three themes: economic reform, environmental sustainability, and capacity building.

Sustainable development is an engine for building social stability and political harmony, contributing directly to the efforts made to reach a lasting peace in the region. To promote sustainable development in the region, the Canadian aid program aims to support the transition to an economy based on market forces as well as an equitable distribution of wealth; to strengthen the capacity of key public institutions; to promote the sustainable management of natural resources, especially water; to help support newly empowered segments of civil society meet new social, political and economic challenges; and to favour the emergence and consolidation of mutually beneficial partnerships between Canada and the region.

Southern Africa Region:

Southern Africa is composed of 11 countries, of which, Malawi, Mozambique, South Africa, Zambia and Zimbabwe constitute the main countries of concentration for CIDA's Southern Africa Program. Some of the region's major development challenges include: the environment, poverty, education, health (especially HIV/AIDS), the status of women, population migration, good governance, democratic development, human rights, regional cooperation and economic reform.

CIDA's priorities for the region are to allocate 35% of its budget to basic human needs, particularly targeting women and children; to encourage economic reform and employment creation by supporting the region's private sector; to protect the environment and promote sound management of the natural resource base; to provide direct support for democratic development, good governance and human rights; to support regional cooperation and security through regional programming; to place special emphasis on HIV/AIDS with particular emphasis on its impact on women; and responding to humanitarian needs, including food shortages caused by drought.

Strategic Planning and Management:

The Strategic Planning and Management Division provides advice and managerial support to the Branch in the identification, planning and implementation of programs and projects; and coordinates corporate studies and exercises on behalf of the Branch. The Division also provides technical expertise in certain sectors.

Strategic planning Unit elaborates and evaluates the policies, programs and systems which allow the Branch to fulfill its mandate and provides advice and support to the Branch in regard to the Agency's development priorities. The unit is responsible for performance review and results-based management and undertakes strategic planning functions for some technical sectors.

Strategic management responsibilities and services include the planning and management of human resources; informatics systems and information management and ensures that such systems are coherent and consistent with other branches in the Agency. The Unit is also responsible for the financial analysis and management, including internal audit; program allocations and budgets; contracting; work plans; and other management and administrative systems.

West Africa Region:

West Africa is a region of rapid population growth. Despite the vitality and adaptability of its people, this region will probably remain imbalanced for an extended period, and will be subject to great political, economic, social and environmental tension for several decades to come. To deal with this problem, the regional policy

framework for West Africa (covering nine multi-year bilateral programs, one subregional program, and seven other non-program countries) pursues the objective of helping to reduce poverty among the people of the region by supporting social and economic change to a better balance between population, economics and the environment.

Socially, the poverty reduction strategy aims to facilitate greater community ownership of the development process, primarily through support for basic education (with emphasis on girls), combatting AIDS, improved access to drinking water, and supporting the strengthening of civil society, the rule of law, and decentralization.

Economically, the strategy focuses on increasing people's incomes through support for the economic development of urban communities, the professionalization of agriculture in rural communities, increased trade between urban and rural areas (both nationally and regionally), and greater access to regional infrastructure services that are essential to economic activities.

Environmentally, the strategy emphasizes fishery resource protection and management, water resource management and, general support for the countries of the region in implementing the International Convention to Combat Desertification.

◆ Americas Branch

The Americas Region consists of 35 developing countries which three program delivery and administrative purposes are organized by the Branch into the Caribbean, Central America including Haiti and Cuba, South America and Policy, Planning and Strategic Management. The region is highly diverse geographically, developmentally, and with respect to resource distribution. CIDA supports development activities that promote a politically stable, economically liberal and socially equitable region, free of environmental degradation.

Sectors of involvement include agriculture, economic development, environment, forestry, fisheries, transport, energy, health, education and telecommunications. Activities in the area of social development, institutional support, and industrial co-operation can be funded through Bilateral, Multilateral, and Partnership channels and implemented by Non-Governmental Organizations (NGOs), Non-Governmental Institutions (NGIs), and private enterprise. CIDA also supports small development projects in almost all countries through the Canada Fund for Local Initiatives (CFLIs).

Caribbean Program: comprises the Commonwealth Caribbean, Haiti and Cuba:

The Commonwealth Caribbean program assists the Caribbean countries in becoming more competitive in an increasingly liberalized global economy, and in strengthening their environmental management capabilities. The program emphasizes support to projects

that promote regional cooperation and integration to increase self-reliance, and that facilitate access to other regional markets. The Program focuses primarily on the countries of Jamaica, Guyana and the Eastern Caribbean islands of Grenada, St. Vincent and the Grenadines, Dominica, St. Lucia, Antigua, Barbuda, St. Kitts/Nevis, and Monserrat. There are also small bilateral programs in Trinidad and Tobago, Barbados and Belize, with the CFLI in the British Virgin Islands, Anguilla, and the Dominican Republic.

In addition to food aid, the Haiti program aims to ensure electrical production as well as to consolidate democracy and strengthen civil society.

The Cuba program aims to provide assistance to the ongoing economic reform process.

Central America Program:

CIDA's current bilateral program covers Honduras, Nicaragua, Costa Rica, Guatemala and El Salvador. Panama and Mexico receive assistance through the Canada Fund for Local Initiatives. The program is targeted toward the poorest countries and also supports the establishment of a lasting peace in countries emerging from prolonged civil conflicts. The objectives of the program are to support poverty alleviation through income-generating activities and the development of a more productive private sector; and to promote human rights and democratic development. Sustainable development of natural resources remains a principal objective as well.

Policy, Planning and Strategic Management:

The Policy, Planning and Strategic Management Division provides support and guidance to the Branch regarding the Agency's major development priorities which are basic human needs, environment, women in development, human rights, democracy and good governance, infrastructure and private sector development.

This Division also provides technical expertise and managerial support to the Branch in the identification, planning and implementation of programs and projects. Services include human resource planning, information systems, financial analysis and risk assessment, performance review, management by result, contracting, management and administration systems.

South America Program:

The principal activities are to assist in the alleviation of poverty; to support the recovery of key sectors of the economy; to assist in strengthening the capacities of the key institutions; and to support the cooperative efforts of Latin American Institutions. The program concentrates its bilateral activities in the poorest countries of Ecuador, Peru, and Bolivia. Other countries receiving development assistance are Brazil, Colombia and through the regional program to Argentina, Chile, Uruguay, and Paraguay.

♦ Asia Branch

The principal role of Asia Branch is to plan, develop and implement Canada's aid program in Asia and to match Canadian capabilities with recipient country needs.

Under the direction of a vice-president, Asia Branch comprises seven geographic programs. Each program is responsible for the management of aid development cooperation activities with one or several recipient countries, as well as the relationship of these programs with Canadian interests.

The complexities and evolving differences within Asia have meant that Asia Branch has had to adapt its programs to these changing realities. Asia Branch therefore provides support along the full range of development continuum, from direct grassroots poverty reduction to helping governments improve economic and social policy frameworks, to helping Asian private sectors access expertise and technology from the Canadian private sector. In specific countries where economic growth is strong, Asia Branch is re-orienting its programs away from a traditional focus on direct poverty reduction to one that sets the stage for mutually beneficial economic co-operation. In doing so, special attention is given to promoting sustained growth with equity, and to reinforce national and regional security through support to better governance, democratic development and human rights activities.

The program is composed of projects throughout Asia under implementation by contractors, consultants, non-governmental organizations (NGOs) and individual cooperants. There are approximately 260 ongoing projects, concentrated in basic human needs (health and family planning, nutrition, water and sanitation), in agriculture, rural development, environment, energy, institution building, human resources development, and social development.

Geographic Program Divisions:

Program Divisions are responsible for the management of cooperation activities with one or more recipients as well as the relationship of these programs with Canadian interests. The Program Divisions are: Indochina (including Vietnam), Thailand, Malaysia Division, Indonesia, Philippines and the South Pacific Division, Asean and Regional Program Division, India, Sri Lanka and Nepal Division, Bangladesh Division, Pakistan and Afghanistan Division, and China Division.

Policy and Planning Division:

The Policy and Planning Division provides guidance to the branch in concert with Agency corporate functions. The division's three main areas of activities are policy development, communications and stakeholder relations. Policy research, leading to the identification of issues and development of policy, is the basis for the formulation of coherent strategic plans, which are linked to the agenda of Policy Branch the Agency's planning system. The

division also develops and implements consultation and communications strategies with Canadian stakeholders.

Technical and Strategic Management Division:

The division provides technical expertise and managerial support to the branch in the identification, planning and implementation of programs and projects. Technical expertise is available in various disciplines. The division provides technical expertise and management services to the branch in the identification, planning and management of programs and projects. Technical expertise is provided in the areas of financial management, contracting and contracting management, management systems and practices, human resource management and information technology and management.

Services include human resource planning, information systems, financial analysis and risk assessment, contracting, management systems and administration.

♦ Canadian Partnership Branch

The Canadian Partnership Branch (CPB) manages CIDA's relationships with the myriad of Canadian groups and firms involved in development activities worldwide. The mandate of CPB is to encourage the formation of partnerships between organizations in Canada and developing countries. These partners, who have common interests, implement mutually beneficial projects within a perspective of sustainable development.

The programs of the Canadian Partnership Branch respond to initiatives from outside CIDA, in accordance with Canada's foreign policy priorities. CIDA's partners, supported by CPB, assume full responsibility for implementing their projects. The excellence of their work constitutes CPB's main concern. The funds available to CPB represent approximately 16 percent of CIDA's resource envelope.

The Canadian Partnership Branch deals directly with communities of organizations and individuals, both in Canada and abroad. Partners generally fall into the following categories: private businesses and associations, educational institutions, non-governmental development organizations (NGOs), organizations specializing in sending volunteers abroad, Crown corporations and provincial organizations, municipalities, professional associations, co-operatives, unions, environmental groups.

The Canadian Partnership Branch is divided into four specialized divisions to deal with its diverse partners. The Industrial Co-operation Program Division, the Institutional Co-operation Division, the Non-Governmental Organizations (NGO) Division, and the Policy, Strategic Planning and Operations Division.

Industrial Cooperation Program Division:

This division provides financial support to projects proposed by Canadian businesses and implemented by private-sector partners in developing countries. In this

way, the business communities of developing countries gain access to the technologies, capital and skills that are urgently needed to strengthen their economic and industrial growth.

The projects are carried out in a context of sustainable development and are mutually beneficial. Depending on the scope of the activities or the geographic preference of a business, the proposals are handled by the Division's Africa, Americas or Asia Bureaus, which operate within the same criteria.

Institutional Cooperation Division:

The Institutional Cooperation Division seeks to ensure effective participation by Canadian institutions and associations wishing to become involved in international development. Financial support is provided to multi-year programs and projects proposed by Canadian universities, colleges, cooperatives, associations and unions, and implemented by partner institutions in developing countries. The division is also responsible for technical cooperation, notable scholarship programs and programs for foreign trainees in Canada.

The division's activities are organized into the following three programs: Educational Institutions Program; Cooperatives, Unions and Professional Associations, and Membership Program; and Scholarships, Environment and Institution Program.

The Educational Institutions Program focuses on the overseas development-related activities of Canadian post-secondary institutions, providing support through two distinct channels: the Universities Program and the Colleges and CEGEP Program.

The Cooperatives, Unions and Professional and Membership Associations Program encourages activities in developing countries of Canadian cooperatives and unions, through a distinct Cooperative Program and a Unions Program.

The Professional and Membership Associations Program (PMAP) supports the initiatives of a variety of Canadian professional groups to improve the capacities of similar organizations in developing countries. With new information technologies, there is considerable scope for increased professional volunteer input. Some potential exists for private sector commercial spin-offs. It also supports Canadian institutions working in the areas of public-sector capacity building including the municipal level, and in governance and human rights. Overall objectives are to promote democratic development, to improve the capacity of developing country governments to develop policies favouring equitable and sustainable economic growth, and to facilitate regional cooperation. The program also supports the Election Assistance Program including partners like Elections Canada, Foreign Affairs and CIDA to support activities focusing on the improvement of the electoral process in developing countries.

The Scholarships, Environment and Institution Program promotes the capacity building of developing countries to impact on democratic development, the rule of law and human rights, the environment and the full participation of women as equal partners. The program supports the development of international partnerships and the internationalization of Canadian culture, values and expertise; the internationalization of Canadian Environmental NGOs' knowledge and expertise to strengthen the environmental capacity of developing countries to promote change towards ecologically sound development; the administration of various fellowship and awards programs financed by CIDA, as well as regulations and policies governing the selection and recruitment of technical assistance co-operant/experts and in-country trainees.

International NGO and Professional and Membership Associations Program:

The INGO program has a strong policy and operational focus on civil society and governance. The program supports global networks of national and local non-governmental organizations involved in development issues of importance to Canada, including human rights, democracy, the environment and population. The INGO program is a major "knowledge" contributor to CIDA in these areas. Canadian organizations that are members of INGO networks benefit through information exchanges.

Non-Governmental Organizations Division:

CIDA especially encourages the implementation of projects and programs whose objective is community development, by establishing partnerships between Canadian NGOs and NGOs in developing countries. In this perspective, the Non-Governmental Organizations Division provides a portion of the funding needed to carry out the NGOs' programs and projects. Various mechanisms are in place for funding activities abroad, including programs, projects, programs for sending volunteers, transition funds, and International NGOs (INGOs).

Support is also provided to global networks of national and local NGOs interested in development issues that are important to Canada, notably human rights, democracy, the environment, and population.

Policy, Strategic Planning and Operations Division:

This Division performs various functions essential to the internal management and operation of the Canadian Partnership Branch.

Policy and Strategic Planning area develops and evaluates the policies, programs and systems that allow the Canadian Partnership Branch to fulfill its mandate. It also provides social issues/thematic guidance in areas such as HRD, WID and the Environment. It is also responsible for results-based management and performance review for the Branch.

The management operational functions of the branch comprise technical services, financial management, information management, human resource development, and administration.

External relations and consultations unit plans, co-ordinates and organizes CIDA's consultations and is one of the Agency's chief intermediaries with stakeholders in development communities, partners, and other departments. This unit is one of CIDA's major interfaces with stakeholders, partners and other government departments, scheduling, coordinating and organizing stakeholder consultations on behalf of all operational CIDA branches. It is also responsible for ensuring the link with Canada's regions by answering information requests received through the Canada Business Service Centres, for which each province has created a 1-800 information line. The unit also maintains contact with local NGOs, with service groups active in development, and with universities and other local partners through the consultation process.

♦ Central and Eastern Europe

Information on bilateral technical cooperation program, regional cooperation programs, humanitarian assistance program, multilateral program, Peace and Democracy program, Information on Project Review Committee; global and country budget allocations; information material for the public; evaluation reports on the program, documents to central agencies.

Central and Eastern Europe Division:

This division administers Canada's technical cooperation program with the countries of central and eastern Europe. Full-scale cooperation programs are being implemented in the Czech Republic, Estonia, Hungary, Latvia, Lithuania, Poland, Romania and Slovakia. For each of these countries, Canada has a specially tailored technical cooperation program, which concentrates Canadian cooperation in a limited number of sectors, matching Canada's comparative advantage with the priority needs of recipient countries. Priority sectors in these countries include private-sector development, management education, and good governance and democratic development. The remaining countries in Central and Eastern Europe, the non-program countries, are eligible for responsive Canadian technical cooperation projects. For initiatives that address the common needs of two or more countries, regional technical cooperation programs have been established.

The program focuses on human resource development, institution building and the provision of policy advice. In addition to large-scale training projects, there are several "People-to-People" initiatives intended to respond to Canadian volunteers interested in assisting the region.

Former Soviet Union Division:

The Former Soviet Union Division administers Canada's technical cooperation program with the countries of the

former Soviet Union. Full-scale cooperation programs are being implemented in Russia, Ukraine and Kazakhstan. Other countries of the former Soviet Union are also eligible for project funding on a responsible basis and through regional programs which address the priorities of a number of countries in the former Soviet Union.

The program builds on Canadian expertise and common experiences in response to the priorities in the recipient country. In private sector development, the program focuses on linking the economies of the region with the global economy, creating an enabling environment for the region. Democratic development initiatives include strengthening of democratic institutions, development of professional public service, and supporting good governance. The program is also involved in the revitalization of key sectors of the economy, such as energy and agriculture, through privatization and restructuring, and legal and regulatory reform. Drawing on Canada's common experiences, the former Soviet Union Division is also contributing to projects which assist in aboriginal and economic development in the north, and the environment. Countries in the former Soviet Union are also eligible for assistance through the Canadian Nuclear Safety Initiative, which seeks to enhance nuclear safety through improved operation of nuclear plants, regulatory assistance and utility management.

Policy, Planning and Multilateral Division:

The Division is responsible for activities with multilateral organizations. These include United Nations organizations and the Red Cross, which provide humanitarian assistance throughout the region, and international financial institutions such as the World Bank and the European Bank for Reconstruction and Development (EBRD), that manage trust funds dedicated to the project planning activities of these banks; and other multilateral organizations such as the Organization for Economic Cooperation and Development (OECD) and Organization for Security and Cooperation in Europe (OSCE).

The division is also responsible for making recommendations on, and carrying out, a wide range of branch support functions: strategic and sectoral planning, program allocations and budgeting, corporate planning, performance review, personnel management/staffing, professional development/training, branch operations and administration.

◆ Communications Branch

As the Agency's responsibility centre for Communications, the Branch ensures the delivery of a range of support to CIDA in achieving the communications objectives of policies and programs, assessing major issues and determining CIDA's response.

The Branch comprises two programs:

Corporate, which informs Canadians about CIDA's programs and activities, and provides communications

support to the Minister, Secretaries of State and the President. It develops and implements communications strategies, monitors and assesses public opinion through media analysis and public opinion polling, and produces and delivers information products and services.

The Communications Branch's Development Information Program works in partnership with non-governmental and private sector organizations to provide Canadians with information on development issues with a special emphasis on the important role and unique contribution Canadians make in developing countries. The Program is built on establishing sustainable partnerships with organizations and firms that specialize in public awareness and have access to communications vehicles across television, radio, print and new media.

◆ Corporate Management Branch

The mandate of the Branch is divided in two main parts: promote continuous improvement in management within CIDA and provide a range of efficient, effective and client-driven support services. The Branch provides financial and information management advice, related systems and services, informatics and telecommunications support services, performance review services and legal services (available through the Department of Justice). The Branch is also responsible for developing and applying contracting and procurement policies, and for ensuring the integrity and effectiveness of these processes. It also plays a key role in acquiring expertise, establishing mechanisms, and adopting new practices in areas such as results-based management, corporate planning, and information management.

Finance and Contracting Division:

Finance is responsible for a number of Agency financial services. It develops, interprets, and maintains Agency financial management practices and systems; provides specialized contract and contribution audit services; operates a financial risk assessment unit; and investigates allegations or suspicions of losses of money. Finance also provided a number of additional services including resource management; corporate planning; financial reporting; budgetary control; financial training; accounting operations; accounts verification services and information support, and Financial Management Advisor (FMA) services to services branches. Finance also co-ordinates relations with the Department of Foreign Affairs and International Trade (DFAIT) regarding the Agency's representation in the field.

Contracting Management is responsible for developing, devising and disseminating appropriate contracting policies, processes, reporting mechanisms and quality assurance systems necessary to demonstrate and ensure the integrity and transparency of CIDA contracting activities. Contracting Management consists of the following three groups: Strategic Policy and Information Management, External Business Relations and Corporate Contracting Operations.

Information Management and Technology Division:

Responsible for providing corporate informatics support services associated with information management, information technology and office automation. As with most organizations today informatics represents a significant strategic investment for CIDA in its internal, domestic and international operations. As an organization, IMTD is charged with maximizing the benefits of information spending within CIDA and providing high quality services to the program delivery and administrative branches.

Legal Services Division:

Provides a full array of client driven legal services to CIDA. It provides legal advice, drafting services for all legal documents, negotiation support and ensures proper representation and instructions in all litigation matters. It provides proactive services in the area of identifying legal trends, analyzing court cases which affect the Agency, presenting seminars and workshops on areas of law to increase the Agency's legal awareness. It participates as part of CIDA's committees, task forces, review functions and ensures timely input to policy decisions. It is headed by a General Counsel who responds to the President directly and reports to the Department of Justice.

Performance Review Division:

Responsible for the evaluation and internal audit functions. It prepares a corporate-level performance review plan and reports on the results of reviews, evaluations, internal audits and synthesis studies to the Performance Review Committee (Executive Committee with Observers from the Office of the Auditor General (OAG) and Treasury Board). It sets the standards for performance assessment at the branch level. PRD liaises with Treasury Board, other donors on matters related to evaluation and audit. It is responsible for co-ordinating audit activities performance within the Agency by the OAG. A results based management section provides coordination to the implementation of results based management across the Agency.

◆ Multilateral Programs Branch

CIDA funds for multilateral programs are channelled through United Nations agencies and other international development organizations. In addition, CIDA and the Department of Finance provide funds to international financial institutions such as the regional development banks and the World Bank. While the organizations are responsible for the administration of projects, Canada participates in their governing bodies to ensure that the organizations are operating within appropriate policy and operational guidelines, and that they meet Canada's interests and those of the international community as a whole. The Multilateral Branch is composed of the following five divisions.

Financial Institutions Division:

The division develops and maintains the international financial institutions as mechanisms for development financing. It provides guarantees (i.e., callable capital) to facilitate the recycling of private capital resources for development financing, and in so doing multiplies the availability of such financing. The funds are provided to regional development banks. The division participates with other departments in the management of Canadian assistance provided through the Department of Finance to the World Bank Group. It ensures that the international financial institutions (IFIs) are managed in a manner to maintain their credit worthiness in the international capital markets. The division is also responsible for the management of Canadian assistance provided to the Global Environment Facility, the Multilateral Fund for the Implementation of the Montreal Protocol, and the International Fund for Agricultural Development. The division fosters policies within the IFIs that are consistent with the developmental and humanitarian principles of Canadian foreign policy.

Food Aid Centre:

The centre is responsible for the CIDA food aid program, programs related to the Consultative group for international Agricultural Research and issues related to nutrition. The centre manages Canada's input to the World Food Program of the United Nations; develops food aid policy; prepares and processes submissions seeking project approval from the food aid budget; co-ordinates the planning of food aid activities in consultation with other operating branches; initiates discussions on and participates in the process of food aid budget reallocations, in consultation with other operating branches during the fiscal year. The centre provides a co-ordinating role within CIDA in policy and program discussions concerning food aid with Treasury Board, the department of Foreign Affairs, Agriculture Canada, Finance, the World Food Program, the Canadian Wheat Board, the Canadian Dairy Commission and food producers and processors. The centre provides professional services to the Agency in the field of nutrition and co-ordinates the Agency's input to the Food and Agriculture Organization, and the International Grain Council.

International Humanitarian Assistance Program:

The division provides financial support for efforts to alleviate human suffering caused by natural and human-caused disasters abroad. This assistance is normally channelled through international institutions and Canadian and international non-governmental organizations involved in such work. Grants are given in support of the programs of three major institutions: the United Nations High Commissioner for Refugees, the United Nations Relief and Work Agency for Palestinian Refugees, and the International Committee of the Red Cross. Grants are also given in support of special appeals launched by these and other humanitarian institutions,

including Canadian NGOs specializing in relief work. To ensure the flexibility needed by an emergency response mechanism, a policy of providing largely untied international human assistance program grants is maintained.

Management and Planning Division:

The division is responsible for Branch management processes and issues, work planning, resources and budgetary allocations, computerized systems, information management and administrative services and for coherence and consistency with other branches on these issues.

Multilateral Technical Co-operation Division:

The Division is responsible for promoting Canadian development policies in, and supporting the efficient and effective operation of multilateral technical cooperation organizations involved in enhancing the human and institutional capabilities of developing countries. These organizations include UN bodies such as the UN Development Program, UNICEF, the World Health Organization and the UN Fund for Population, plus institutions of the Commonwealth and the Francophonie.

◆ Personnel and Administration Branch

Provides advice, strategies, programs, systems and services in the areas of human resources management, administrative services and security to assist the Agency in accomplishing its mission. This includes guidance and support to management and staff to develop and maintain a qualified, productive and sustainable workforce and an enabling work environment that promotes continuous learning and organizational effectiveness.

Administrative and Security Services Division:

provides the Agency with full service in these two sectors. It specifically involves telecommunications, office and work place organization, storage, maintenance and repair of physical property, audio visual services, procurement, room reservation system, graphics and desktop publishing services, production of administrative notices and bulletins, mail services, and general security. The Division also provides internal management services, such as planning allocation, information and data processing.

Continuous Learning Division:

meets corporate needs and chiefly support development, delivery, validation and evaluation of in-house and external training activities and programs. The Division also manages the Learning Centre as well as official and foreign languages training.

Corporate Personnel Operations Division:

handles the operational and corporate needs of the Agency that must be met.

The Division handles the development of human resources operational programs and policies, EX

classification and staffing competitions to meet corporate needs, workforce adjustment, counselling services, union-management relations, employment equity, official languages, compensation and benefits, occupational safety and health, and temporary help (employment agency personnel).

Human Resources Services Centres:

The two Human Resources Services Centres are responsible for providing personnel related branch specific operational needs.

A Human Resource Advisor is assigned to one or two Agency branches. The Advisor is responsible for providing or obtaining the required strategic and operational services (liaison, advice, assistance, brokerage), both at management and employee level.

Personnel services are offered in the following fields of expertise: staffing, classification, organizational analysis, human resources planning, official languages, training plans, staff relations and employment equity.

Strategic Policy and Planning Division:

ensure that the human resources and administration policy framework is consistent, complete and appropriate, and develops policies as needed. The Division is responsible for programs intended to mobilize employees, manage employee performance and create a supportive work environment including co-ordinating the Human Resources Strategy, the Employee Survey and other initiatives that form part of the Renewal Plan.

The Division is responsible for co-ordinating the branch strategic and work planning processes, for directing the development and implementation of human resource information systems for the Personnel and Administration Branch.

The Assignments Management Centre:

is responsible for the annual posting and the annual reintegration/ deployment exercises, liaison with field representatives, secondments, spousal employment assistance, internal and external assignments, leave, and career development programs.

◆ Policy Branch

Policy Branch's mandate is twofold: (1) to provide advice to the Minister and the President of CIDA on long-term and immediate development policy and strategic issues; and (2) to formulate and maintain the corporate development policy base for the Agency within the general context of CIDA's mission and Canada's foreign policy.

Chief Economist and Economic Development and Poverty Reduction Division:

The Division is responsible for contributing to the Agency's policy base on economic development and poverty reduction including private-sector development in

developing countries. It provides advice to the President, senior management and program branches on economic and poverty issues related to Official Development Assistance and contributes to CIDA's inputs into Canadian positions at the World Bank and International Monetary Fund Executive Board meetings. The Division also represents the Agency at interdepartmental and international fora addressing economic issues regarding developing countries including trade and debt. The Division houses specialists in telecommunication/information and in transportation / infrastructures services.

Environment and Natural Resources Division:

The Division functions as an integrated unit promoting and supporting Agency efforts in environment and natural resource management and is responsible for the formulation and implementation of environmental policy and legislation (Canadian Environmental Assessment Act) and sector guidelines in agriculture, forestry, fisheries, water, and energy. The Division provides analysis and advice on environmental sustainability and natural resources issues to CIDA's programs, senior management and the Minister. It also provides support by developing procedures, technical guides, training programs, and other tools to assist CIDA's operational branches to comply with environmental assessment legislation, regulations, standards, policies and international commitments. The Division also monitors and advises the Agency on environmental assessment compliance, through the Environmental Assessment and Compliance Unit (YEA), and on issues involving scientific and technical knowledge and practice. The Division represents the Agency in national and international fora addressing environmental and natural resources sustainability in developing countries and corporate level scientific and technical questions.

International Development Information Centre:

The Centre is responsible for managing the development information resources of the Agency. These resources services include the data used in reporting to international organizations and in CIDA's Annual Report, Corporate Memory, the Technical Cooperation Data Unit, sectoral documentation and substantial collections of monographs, reference works, periodicals, CD-ROMs, archival material and World and Asian Development Bank(s) documents. In addition to the general information requests, the Centre's services include loans, inter-library loans, purchases of volumes or periodicals, bibliographic services and external databases.

Policy Branch -Policy Directorate:

The Policy Directorate is responsible for the Strategic Planning and Policy Coordination Divisions and the International Development Information Centre.

Policy Coordination Division:

The Division promotes consistency and coherence in development policy at all levels in CIDA through dialogue

and information exchange with CIDA's other branches. It is also responsible for relations with other donor countries and with Crown Corporations involved in Official Development Assistance (ODA) such as the International Development Research Centre (IDRC), International Centre for Human Rights and Democratic Development (ICHRDD), and International Institute for Sustainable Development (IISD). On behalf of the Agency, the Policy Coordination Division responds to urgent requests from the Minister and the President of CIDA.

Political and Social Policies Division:

The Division is responsible for articulating CIDA's policy and good practices on political, social and cultural aspects of development including basic human needs, human rights, democratic development, good governance, social dimensions and public sector reform. The division houses specialists in education, health and population who are responsible for maintaining a policy framework in their areas and for providing corporate information as well as strategic and tactical advice. The Division is also responsible for encouraging more effective development programs through its focus on enhancing the ability of developing countries to identify and address their own problems (the concept of capacity development).

Strategic Planning Division:

The Division is responsible for matters relating to the Official Development Assistance (ODA) budget eligibility, the Agency's corporate planning cycle and longer term strategic analysis and planning and for relations with the Organization for Economic Cooperation and Development's Development Assistance Committee and Development Centre.

Women in Development (WID) and Gender Equity Division:

The Division is responsible for the development of CIDA's WID Policy; the development of mechanisms to incorporate gender-specific issues into CIDA's management and planning systems; participation in conferences on WID; management of information on WID and Gender Equity issues, particularly the lessons drawn from WID policy implementation in developing countries; and contribution to Agency knowledge base on WID and Gender Equity.

Information Holdings

Program Records

♦ Africa and Middle East Branch

Algeria

Description: CIDA's program in Algeria supports human resources development, transfer of skills and economic

cooperation. Algeria will also benefit from the Private Sector Development fund for the Maghreb, (which aims to support the expansion of the private sector in the region, thus allowing it to increase its productivity and improve the entrepreneurial environment). Algeria also benefits from the Institutional Strengthening Program (which aims at supporting training institutions which focus on private sector needs). **Program Record Number:** IDA BFM 116

Angola

Description: Canadian assistance to Angola has been limited to food aid, humanitarian assistance, assistance with de-mining and support channelled through the regional program. **Program Record Number:** IDA BFA 128

Benin

Description: The central theme of the CIDA program in Benin is support to economic growth mainly through the development of the private sector. The major initiatives in this area are directed at improving the economic and legal environment, the development of a cooperative network, and support to women entrepreneurs. CIDA is also providing assistance for the management reform of the office of the President. Benin is also benefiting from regional projects, such as l'Institut supérieur panafricain d'économie coopérative and the "SIDA" project against AIDS. CIDA also supports the activities of several NGOs in Benin, as well as small projects through the Canada Fund. **Program Record Number:** IDA BFF 326

Botswana

Description: Because of Botswana's favourable economic situation, CIDA's bilateral assistance to Botswana is being phased out. Botswana will continue to benefit from CIDA's multi-country (regional) projects and the Canada Fund for Local Initiatives (CFLI). **Program Record Number:** IDA BFA 200

Burkina Faso

Description: CIDA intends to focus its bilateral programming on support for the non-mechanized and urban private sector so that they truly contribute to growth, and on empowering groups and individuals to contribute to their own development through basic education (especially for girls), social communication, and administrative decentralization. Regional approaches will be favoured for environmental issues and opening up remote areas (roads and telecommunications). CIDA also supports several NGOs, as well as small projects through the Canada Fund. **Program Record Number:** IDA BFF 960

Burundi

Description: The political instability prevailing in Burundi makes the planning of development interventions difficult. The several hundred thousand Rwandan refugees on Burundian soil constitute an urgent problem that must be resolved before development assistance can be resumed. Canadian assistance in the short term will consist of

support for the peace process, humanitarian aid and support for local NGOs in human rights and democratic development initiatives. **Program Record Number:** IDA BFG 224

Cameroon

Description: The assistance program in Cameroon emphasizes private-sector support to assist the recovery of the Cameroonian economy (Yaounde business creation centre, the promotion of agricultural exports, small business support, job training). It also focuses on forest environment sector support to help the country to conserve and manage its considerable forest resources with a view to sustainable development. Other activities are also being implemented in the areas of energy and democratic development. **Program Record Number:** IDA BFL 232

Cape Verde

Description: The CIDA program in Cape Verde supports small projects financed by the Canada Fund, as well as NGO projects. **Program Record Number:** IDA BFF 251

Central African Republic

Description: Several small-scale projects are financed by the Canadian Fund for Local Initiatives (CFLI): rural development, health care, and education. The Central African Republic is also eligible for various regional programs or projects, including: (a) the Francophone Africa Technological Institution Building Program (PRIMTAF); (b) the AIDS program; (c) the Institut africain d'informatique (IAI) institutional support project; (d) the integrated management program for foreign executives (PIMCE). **Program Record Number:** IDA BFC 945

Chad

Description: This program is basically limited to Canadian Fund for Local Initiatives (CFLI) and to NGO projects. Chad is also eligible for various regional programs or projects, including: (a) the Francophone Africa Technological Institution Building Program (PRIMTAF); (b) the Institut africain d'information (IAI) institutional support project; (c) the Pan-African Co-operative Training Centre (CPFC) support project; (d) the integrated management program for foreign executives (PIMCE). **Program Record Number:** IDA BFL 274

Comoros

Description: The program for Comoros includes a small Canada Fund for Local Initiatives, assistance for scholarships at Canadian colleges and universities through "les bourses de la francophonie" and technological support for educational and training institutions, which is provided through the regional Francophone Africa technological institution building program. Comoros is also eligible for assistance through Canadian NGOs, institutions and private businesses from CIDA's, Partnership Branch. **Program Record Number:** IDA BFK 288

Congo

Description: CIDA's program consists of numerous small-scale projects financed through the Canada Fund for Local Initiatives (CFLI). In addition, two institutional support projects relate to staff training in the public service and in specialized schools. **Program Record Number:** IDA BFC 294

Djibouti

Description: Canada contributes to the implementation of small-scale projects through the Canada Fund for Local Initiatives. **Program Record Number:** IDA BFH 332

Egypt

Description: CIDA seeks to assist Egypt to promote sustainable development and encourages a mutually beneficial partnership between Canada and Egypt. The two major themes of the program are reform (transition to a market economy and a participative society) and the environment (sustainable resource management). Assistance focuses on capacity building, notably through the transfer of Canadian technology. Key projects aim at integrated land and water resource development, the protection and development of the Nile, the establishment of an industrial adjustment service, improved Agency management and training in electrical engineering, the implementation of an environmental information system, and employment creation in the small and medium business sector. **Program Record Number:** IDA BFM 344

Equatorial Guinea

Description: This country benefits from the Canadian Fund for Local Initiatives. **Program Record Number:** IDA BFL 437

Eritrea

Description: Activities are being implemented in two main areas: food security and good governance. The Canada fund for Local Initiatives (CFLI) supports the implementation of small-scale projects. **Program Record Number:** IDA BFH 371

Ethiopia

Description: Activities are being implemented in two main areas: food security and human rights/ good governance/ democratic development. The Canada Fund for Local Initiatives supports the implementation of small-scale projects. **Program Record Number:** IDA BFH 362

Gabon

Description: The program is being reduced. Technical cooperation consists mainly of a shared-cost technical and scientific training project, which is about to terminate. Economic cooperation, basically consisting of a parallel CIDA/EDC line of credit, is ongoing. A rural electrification project is currently being implemented by means of this line of credit. Gabon also benefits from the Canada Fund for Local Initiatives (CFLI). **Program Record Number:** IDA BFL 386

Gambia

Description: The bilateral activity in Gambia is to support small projects through the Canada Fund. CIDA also supports NGO activities in the country. **Program Record Number:** IDA BFF 390

Ghana

Description: The oldest and largest CIDA program in Africa, initiated in 1958, the primary objective of the CIDA-Ghana program is to assist the Government of Ghana achieve its goal of poverty reduction. Over the past decade, this has largely been through support to structural adjustment and economic reform. Canada has also been involved in initiatives in support of the democratic process, NGO and private sector activities, as well as small projects through the Canada Fund. The program is now in transition, seeking a new balance between macro-economic programming, poverty targeted projects and private sector-trade related programming. **Program Record Number:** IDA BFF 400

Guinea

Description: Programming primarily supports rural development, the development of infrastructures, especially in the energy sector, as well as a training and development program. Initiatives in support of democratic development have also been financed by the program. In addition, CIDA support NGO activities, as well as small projects through the Canada Fund. **Program Record Number:** IDA BFF 436

Guinea-Bissau

Description: The CIDA program in Guinea-Bissau supports small projects financed by the Canada Fund, as well as NGO activities. **Program Record Number:** IDA BFF 746

Ivory Coast

Description: The three main programming themes are support for the development of agricultural cooperatives, rural development, assistance to the private sector. In the future, CIDA envisages continuing to maintain bilateral initiatives while favoring an increased number of regional initiatives that can benefit the Ivory Coast. CIDA also supports NGO activities, as well as small projects through the Canada Fund. **Program Record Number:** IDA BFF 500

Jordan

Description: Major projects in Jordan relate to Balance of payments support (line of credit), institutional reform (vocational training and water) as well as institutional cooperation (scholarship program, income generation for women) and Canada Fund projects. **Program Record Number:** IDA BFM 516

Kenya

Description: The main areas of intervention are human resource development, education, agriculture, and rural development. One important new initiative is a project

encouraging gender equity. Support is also provided to rural development and community health care programs, as well as well as to an AIDS program. Several local organizations and community groups are supported through the Canada Fund for Local Initiatives. **Program Record Number:** IDA BFK 524

Lesotho

Description: CIDA's current assistance program concentrates on human resource development. One scholarship fund is currently in operation. Benefits through the regional program focus on agriculture, energy and human resource sectors. **Program Record Number:** IDA BFA 554

Liberia

Description: Canada does not have a bilateral program or a Canada Fund with Liberia. Canada has contributed several million dollars in humanitarian and food aid to the region since the beginning of the civil war. It is anticipated that a Canada Fund will be reestablished when the civil war ends. **Program Record Number:** IDA BFF 558

Madagascar

Description: Assistance for small projects is provided through the Canada Fund for Local Initiatives and number of scholarships for studies at Canadian colleges and universities are provided through "les bourses de la francophonie". Madagascar also receives some assistance through Canadian NGOs, institutions and private businesses from CIDA's Partnership Branch and receives support for educational and training institutions through the regional Francophone Africa technological institution building program. **Program Record Number:** IDA BFK 590

Malawi

Description: Malawi is one of the target countries for CIDA in Southern Africa. Programming priorities include basic human needs, education, health, AIDS/HIV, human rights, good governance, support for women, economic reform, private sector support and the environment. Malawi also realizes significant benefits from CIDA multi-country (regional) projects for the Southern Africa region. **Program Record Number:** IDA BFA 594

Mali

Description: In recent years, the main areas of intervention have been support for structural adjustment, economic reform and the private sector, grassroots development and the environment, infrastructure development, human resource development, food balance and considerable support for the democratic process. A multi-year food aid program has been established to support Mali's balance of payments. Mali benefits from several regional bilateral projects, including regional telecommunications project, the Senegal River Development Organization, and the AIDS program. The bilateral program will focus on the internalization of reforms, administrative modernization and human

resource development, particularly through basic education. Administrative decentralization and social communication will also be favoured. CIDA also funds several NGO activities, and small projects through the Canada Fund. **Program Record Number:** IDA BFF 608

Mauritania

Description: The current program in Mauritania consists essentially of numerous small projects financed through the Canada Fund for Local Initiatives project (Canada Fund) and of regional programs and projects: the Institutional Capacity Building in Technology Program of Francophone Africa (PRIMTAF); the support to the Organization for the Development of the Senegal River Valley project (OMVS); and the support to the Presidency of the sub-Regional Commission on Fisheries; as well as francophonie scholarships. A poverty reduction project using a line of credit mechanism to generate a counterpart fund for local development activities was approved in May 1996. **Program Record Number:** IDA BFF 636

Mauritius

Description: Assistance to Mauritius is currently being provided through scholarships to Canadian universities administered under the francophone program, and from regional programming. Small projects are supported through the Canada Fund. **Program Record Number:** IDA BFA 640

Middle East Regional

Description: a) Regional projects: CIDA funds two projects in support of the Middle East Peace Process. The Canadian Fund for Dialogue and Development encourages contact and promotes cooperation on key development issues, through seminars, conferences and workshops. The Experts and Advisory Services Fund supports Canada's participation in the Multilateral Negotiations of the Peace Process. b) Lebanon: A bilateral project provides support to the Lebanese Government to develop strategies and policies for the reform of the public administration. A second project provides technical assistance to the taxation reform process. **Program Record Number:** IDA BFM 591

Morocco

Description: CIDA's program in Morocco focuses on transfer of technology, human resources and development and Economic Cooperation. Morocco also benefits from the Private Sector Development Fund for the Maghreb (which aims to support the expansion of the private sector in the region, thus allowing it to increase its productivity and improve the entrepreneurial environment), and the Institutional Strengthening Program (which aims to support training initiatives which focus on private sector needs). **Program Record Number:** IDA BFM 660

Mozambique

Description: Programming priorities include basic human needs, education, social sector support for economic

reform, good governance, transport sector, food aid and the environment. Mozambique also receives significant benefits from CIDA's multi-country projects for the region. Mozambique is the co-ordinator of the Southern Africa Development Community's transportation and communications program, and has received considerable assistance in the rehabilitation of its ports and railway lines. It also receives management and training assistance in the transport sector. **Program Record Number:** IDA BFA 664

Namibia

Description: Canadian development assistance focuses on agricultural development, with Phase II of the Canada-Namibia (CANAMCO) project continuing to help raise the productivity of subsistence farmers in the country's northern region and to provide institutional support to key departments and officials within the Government of Namibia. Energy, agriculture and human resource development receive assistance under the regional program. **Program Record Number:** IDA BFA 850

Niger

Description: In recent years, the main objective of the CIDA program has been to support Niger's development efforts in macro-economic balance, human resource development and rural development. CIDA also provided support to the development of the democratic process. Over the next few years, CIDA intends to focus its bilateral program on empowering human resources (especially women), by supporting education for girls and by the strengthening of democratic society. The agri-food sector will be the nexus for supporting the private sector in order to contribute to growth. Environment and food aid security issues will be considered by the regional component and the multilateral program, respectively. Niger also benefits from the regional telecommunications project and the AIDS program. CIDA funds NGOs in Niger, as well as many small projects through the Canada Fund. The execution of the bilateral program has been suspended since the "Coup" in January 1996. **Program Record Number:** IDA BFF 700

Nigeria

Description: Canada's bilateral aid program with Nigeria was terminated in 1995 in response to continued deterioration of economic and political circumstances. However, Nigeria benefits from the financing of small projects through the Canada Fund. The country will be the largest recipient of assistance from the \$2.2 million Democratic Development Fund (DDF) for Nigeria, Sierra Leone and the Gambia. The DDF is intended to support and encourage democratic activities in those countries. **Program Record Number:** IDA BFF 702

Organization pour la mise en valeur du fleuve Sénégal (OMVS)

Description: OMVS is a regional agency created in 1972 to develop the resources of the Senegal River basin,

shared by Mali, Mauritania and Senegal. The program focuses on agriculture, navigation and energy. Canada has supported this program to conduct studies prior to the building of dams; to procure, transport and install water inlet and outlet facilities for the Manantali dam and to implement the training and maintenance program for these facilities; to conduct technical studies on management of the waterway, harbours and ports of call of the Senegal River; and to conduct studies of the power transmission system. In cooperation with other donors, CIDA and the Canadian Industrial Sector are finalizing their participation in the installation of power generation equipment and to the planning of transmission lines to Bamako, Dakar and Nouakchott. **Program Record Number:** IDA BFF 900

Pan-African Program

Description: Provides assistance mainly to regional institutions, especially in the fields of agricultural research, training and human resource development. The program also promotes assistance for the regional implementation of projects that meet national needs. **Program Record Number:** IDA BFT 806

Regional Program (West Africa)

Description: CIDA has supported several regional projects and activities in West Africa to complement national initiatives, which are concentrated in the following areas: support for policy dialogue and a better external aid coordination, implementation of activities supporting a greater regional integration, the strengthening of regional institutions and the regional implementation of initiatives that meet specific national imperatives. Three examples are: (i) a major initiative to fight AIDS in seven West African countries; (ii) support harmonizing the national legislation on credit and saving unions for Vemba countries; (iii) projects to support the development of strong civil societies. Several Canadian NGOs, institutions, and universities are associated with this regional program. In the future, CIDA intends to rely more on potential complementarities of the development process of each of the countries in West Africa. **Program Record Number:** IDA BFF 039

Republic of South Africa (RSA)

Description: The primary focus of CIDA's program in South Africa is good governance and democratic development. Projects stem from two Canadian commitments: the provision of policy support to help "level the playing field" during the transition to democracy and the provision of assistance in the training of black South Africans for positions of authority in the public sector. Programming priorities include good governance, human resource development, civil society and economic development. **Program Record Number:** IDA BFA 842

Rwanda

Description: In addition to the provision of humanitarian assistance to prisoners and displaced persons, Canada is now actively supporting the social reconstruction of the

country and the reorganization of the judicial system, through various UN organizations and Canadian NGOs and institutions. **Program Record Number:** IDA BFG 778

Sao Tomé and Príncipe

Description: This country benefits from the Canadian Fund for Local Initiatives (CFLI). **Program Record Number:** IDA BFL 874

Senegal

Description: In addition to bilateral assistance, CIDA's program in Senegal also supports NGOs, institutions and the private sector. It has recently focused on strengthening the private sector, protecting natural resources (especially forestry and fishing), developing human resources, and maintaining financial and economic balance. Over the next few years most activities in these areas will be consolidated or reshaped to meet program objectives related to social development, especially formal and informal basic education and administrative decentralization. Senegal also benefits from regional projects and small projects which are financed through the Canada Fund. **Program Record Number:** IDA BFF 808

Seychelles

Description: Seychelles benefits from a Canada Fund for small projects, scholarships through "les bourses de la francophonie", support for educational and training institutions through the regional Francophone Africa technological institution building program and some benefits from the regional Ocean Development Program. **Program Record Number:** IDA BFK 812

Sierra Leone

Description: This country benefits from the financing of small projects through the Canada Fund as well as from the Democratic Development Funds (DDF). The DDF is intended to support and encourage democratic activities in Nigeria, Sierra Leone and the Gambia. Canada has also contributed several million dollars to this country in humanitarian food aid since the beginning of the civil war. **Program Record Number:** IDA BFF 820

Somalia

Description: CIDA provides emergency assistance to populations affected by conflict, and finances the implementation of small-scale projects through the Canada Fund for local initiatives. **Program Record Number:** IDA BFH 838

Southern African Development Community (SADC)

Description: Canada has supported SADC since 1983. Since regional integration and cooperation are goals shared by SADC and CIDA a significant portion of projects in CIDA's Southern Africa Program have been multi-country (regional) projects. However, of the total Southern African Program, only 2-3 per cent of program

funding consists of direct assistance to SADC. **Program Record Number:** IDA BFA 050

Sudan

Description: CIDA provides emergency assistance to populations affected by conflict and finances the implementation of small-scale projects through the Canadian Fund for Local Initiatives (CFLI). **Program Record Number:** IDA BFH 876

Swaziland

Description: CIDA's assistance program focuses on human resource development and natural resource management. Two projects currently help strengthen the government's pool of trained personnel in areas related to national development. Swaziland also enjoys significant benefits from CIDA multi-country projects for the region, and from projects supported through the Canada Fund for Local Initiatives (CFLI). **Program Record Number:** IDA BFA 888

Tanzania

Description: CIDA's assistance program in Tanzania focuses on transportation, small enterprise development, and poverty alleviation. Major projects include the reorganization of the railway system to improve the transportation of agricultural products, support to micro entrepreneurs, and social development projects, particularly related to communication and education, family planning, mapping, and land use. As a member of SADCC, Tanzania is eligible for the Southern Africa regional program for project implementation. Apart from the provision of equipment and materials, projects usually include a significant technical assistance and training component. **Program Record Number:** IDA BFK 902

Togo

Description: This country benefits from the financing of small projects through the Canada Fund. **Program Record Number:** IDA BFF 918

Tunisia

Description: CIDA's main activities in Tunisia are shared-cost training, institutional support projects and support for small business. Institutional and industrial cooperation are important aspects of the program in Tunisia. Tunisia also benefits from the Private Sector Development Fund for the Maghreb (which aims to support the expansion of the private sector in the region, thus allowing it to increase its productivity and improve the entrepreneurial environment), and from the Institutional Strengthening Program (which aims to support training institutions that focus on private-sector needs). **Program Record Number:** IDA BFM 934

Turkey

Description: Assistance to Turkey is limited to small projects, funded through a Canada Fund for Local Initiatives. **Program Record Number:** IDA BFM 938

Uganda

Description: The program supports the health care and democratic development sectors. In the health sector, funds are provided to implement health care planning and delivery projects, including an AIDS education component and the purchase of pharmaceuticals. Through Canadian NGOs and institutions, Partnership Branch supports a number of small-scale rural development projects. This country benefits also from the Canada Fund for Local Initiatives (CFLI). **Program Record Number:** IDA BFK 942

West Bank and Gaza

Description: A new bilateral program is focusing on improving daily living conditions and building-up Palestinian institutional capacity. Projects include support for community groups, refugee housing, youth centres, technical assistance, water planning and management, democratic development as well as general operating costs of the Palestinian Authority. **Program Record Number:** IDA BFM 492

Yemen

Description: This country benefits from the Canada Fund which is administered by the Canadian Embassy and is intended to finance small projects providing technical, economic, educational, cultural or social development assistance to the local populations. **Program Record Number:** IDA BFM 986

Zaire

Description: CIDA suspended its co-operation program with this country in February 1993. Only small-scale local and community initiative projects are supported by CIDA. **Program Record Number:** IDA BFC 295

Zambia

Description: Canadian assistance to Zambia focuses on support for economic reform, human resource development, agriculture and environmental and natural resources management. Zambia is also a key beneficiary of several multi-country projects in the energy, transportation, human resource, forestry and agriculture sectors. **Program Record Number:** IDA BFA 994

Zimbabwe

Description: CIDA's sectors of concentration in Zimbabwe are natural resource management, human resource development and support to economic reform. Under the regional program, substantial benefits have been derived in the transportation, energy and human resource sectors, and in HIV-AIDS training and education. **Program Record Number:** IDA BFA 766

◆ Americas Branch

Bolivia

Description: Canada's development assistance program to Bolivia has become more active. New projects such as food aid and lines of credit provide balance of payments support and generate counterpart funds, which support

projects aimed at increasing employment, productivity, and income of the poor, as well as providing social services. The fund has become the country's primary vehicle for addressing the high social costs of austerity measures imposed by economic structural adjustment programs. CIDA is also supporting country focus programs with Canadian NGOs that support improved social programs such as potable water and sanitation, and complement the substantial NGO activity in Bolivia. A health project with UNICEF to improve the quality of primary health care is operational. **Program Record Number:** IDA BMS 192

Brazil

Description: The objective of CIDA's bilateral program is to respond to Brazilian developmental priorities by supporting cooperation between Brazilian and Canadian institutions in key sectors. While drawing on Canadian technical and institutional capacities and experience, the program is focused on the themes of environmental technology transfer, social sector reform, and public sector reform. **Program Record Number:** IDA BMS 204

Caribbean Region

Description: Canada's bilateral assistance to the Caribbean Region involves projects with regional institutions such as the Caribbean Community (CARICOM) and the University of the West Indies (UWI), as well as projects of a regional nature including core and non-core countries. Major projects include the UWI Institutional Strengthening, Caribbean Regional Oceans and Fisheries and CARICOM Institutional Strengthening Projects. **Program Record Number:** IDA BMB 017

Colombia

Description: The objective of CIDA's bilateral program is to assist Colombia to alleviate poverty by promoting social and economic development of the poorest sectors; to assist Colombia to meet its human resource development needs and strengthen institutional capacity; and to contribute to sustainable development, particularly in the area of environmental protection. The major delivery instruments are umbrella human resources development projects for training, technical assistance, and technology transfer and, institutional cooperation and non-governmental supported projects in health, community development, human rights. **Program Record Number:** IDA BMS 286

Costa Rica

Description: CIDA's objectives are to assist the country in its economic modernization efforts and to support sustainable development of its natural resources. Ongoing projects include conservation and socio-economic development of the Arenal Conservation area, and a new program supporting the modernization of the country's productive sector is also underway. **Program Record Number:** IDA BML 302

Cuba

Description: CIDA is considering opening a bilateral program in Cuba to provide assistance to the ongoing economic reform process. **Program Record Number:** IDA BML 310

Eastern Caribbean (Leeward and Windward Islands)

Description: The following Eastern Caribbean Islands comprise this program unit: Anguilla, Antigua, Barbuda, British Virgin Islands, Dominica, Grenada, Montserrat, St. Kitts and Nevis, St. Lucia and St. Vincent, and the Grenadines. CIDA's program focuses on human resources development and institutional strengthening, economic and social infrastructure such as water supply and sanitation. Major projects now in the implementation stage include Canada Training Awards (all islands), the Eastern Caribbean Economic Management Program, the Small Project Implementation Facility, Water and Sanitation project in Dominica and the Eastern Caribbean Education Reform Project. **Program Record Number:** IDA BMB 253

Ecuador

Description: The bilateral program for Ecuador has been expanded significantly to help Ecuador to alleviate poverty and to achieve the structural adjustment goals of economic stabilization and recovery. Major projects include food aid; the Human Resources Development project which will provide scholarships and/or training in Canada over a five-year period; and lines of contribution to provide Canadian oil and gas, telecommunications equipment and commodities; and a corresponding counterpart fund for in-country development projects targeting the poor. **Program Record Number:** IDA BMS 342

El Salvador

Description: The program's objectives are to support the country's social reconstruction for peace through support for human rights and democratic institutional development. The program also assists in poverty alleviation through support to income generating activities with displaced persons and ex-combatants. A Social Reconstruction program finances these activities. The Canada Fund for Local Initiatives supports small-scale projects. **Program Record Number:** IDA BML 350

Guatemala

Description: The main focus of the bilateral program is on poverty alleviation to support disadvantaged groups, especially indigenous and women, and democratic development and human rights. A major program of support to community cooperatives provides training and promotes income-generating activities among impoverished populations and re-integration of refugee populations and persons displaced by the conflict. A Democratic Development Fund supports dialogue among many elements of civil society, to promote peace and strengthens human rights through legal support in cases

where it is needed. **Program Record Number:** IDA BML 432

Guyana

Description: CIDA's program has been primarily focused on forestry and fisheries and small-scale local assistance using counterpart funds. New projects have been launched in support of social infrastructure using counterpart funds to strengthen the financial management capacities of the Government of Guyana. Future activities are being considered in human resource development for economic competitiveness and strengthening capacities of Guyanese NGOs. **Program Record Number:** IDA BMB 440

Haiti

Description: CIDA resumed its development assistance program after democratic government was restored in Haiti. (Humanitarian assistance to this country was maintained during the period of military repression). The current program is designed to consolidate democracy (training for the policy force and judicial staff, and technical assistance to the government and civil society, especially co-operatives). Several reconstruction and job creation projects were quickly put in place such as rebuilding schools and clinics, cleaning streets, repairing small scale infrastructures, and distributing basic agricultural implements to farmers. The CIDA program also aims to ensure the production of electrical power and to slow down environmental degradation. **Program Record Number:** IDA BMH 444

Honduras

Description: The main objectives of the program are poverty alleviation and natural resources management. Programs supported in natural resources management include a forestry-based program which promotes employment generation for local communities and sustainable management of the hardwood forest increased agricultural diversification and rural employment creation are the objectives of a project in the Guayape Valley. An energy program is improving the efficiency and distribution of electrical supply to poor populations, and the upkeep of the systems benefits numerous communities. A line of credit program provides funding for housing, water supply systems, education and women and small business projects. The Canada Fund for Local Initiatives supports many projects, including human rights and women's projects. **Program Record Number:** IDA BML 448

Jamaica

Description: CIDA's main objectives in Jamaica are in the areas of environmental management and improved competitiveness for the Jamaican economy. In the first category are institution strengthening projects with the Forestry Department and the National Environmental Agency and a Green Fund. To improve economic competitiveness, there are projects supporting export promotion, credit facilities for small business and

improvements to monetary policy. **Program Record Number:** IDA BMB 504

Nicaragua

Description: CIDA's main objectives are economic reconstruction through re-activation of the agricultural productive sector, and poverty alleviation. A line of credit project provides local funds for many poverty alleviation projects. An agricultural development program, targeted toward small and medium size producers and their associations, will begin in 1996. Projects in potable water supply and sanitation are targeted toward rural communities. The Canada Fund for Local Initiatives supports many projects which improve living conditions of the poorest. **Program Record Number:** IDA BML 696

Peru

Description: CIDA's bilateral priorities include support for poverty reduction initiatives and assistance to ongoing programs of economic and social reforms. These priorities are closely related. Poverty reduction is currently being addressed through a variety of initiatives. First and foremost is the jointly managed Peru-Canada Fund which uses counterpart funds, generated from lines of credit for oil and gas, mining and telecommunications equipment and from food aid to promote productive activities that benefit the poor. In addition, CIDA's bilateral program is supporting poverty reduction projects implemented by Canadian NGOs such as potable water and sanitation projects and support to agricultural cooperatives. Support for structural reforms includes assistance to a consortium of national economic and social policy research institutes to better address Peru's economic and social problems. Support is also being given to the World Bank's Energy Management Assistance Program which assists the Peruvian Government in the privatization and deregulation of the oil and gas sector. **Program Record Number:** IDA BMS 730

♦ Asia Branch

Association of South East Asian Nations(ASEAN) and Southeast Asia Regional Program

Description: The program is concentrated on human resource development and institutional support. This covers projects in fisheries and forestry, renewable energy and marine environment. There is an increasing focus on short-term training and scholarships, particularly in areas of technology and public sector management. CIDA's Regional program in Southeast Asia seeks to promote regional cooperation and integration through technical cooperation, awareness building and networking. Priority areas of cooperation include: environment, science and technology, marine resources, strengthening of the private sector, governance and human rights, gender equity, regional security, with a strong focus on human resources development. Programs are designed to complement CIDA's bilateral programs in Southeast Asia through initiatives that focus on regional organizations (e.g. ASEAN, APEC) and regional institutions which allow a

relatively broad influence through targeted investments. **Program Record Number:** IDA BSD 149

Bangladesh

Description: Major areas of activity for CIDA's program in Bangladesh include rural development, water management, rail transportation, women's programs, health and population, democratic development as well as provision of food aid, fertilizers and industrial commodities(for rural electrification and primary education). Specific project activities include assistance to women's groups for health care, family planning and income generation; financial and technical support for the rural development programs of major non-governmental organizations, water resources management in the northeast, and ongoing assistance to Bangladesh railways. **Program Record Number:** IDA BSD 170

Burma (Myanmar)

Description: The Canadian aid program was interrupted in 1988. Only two programs were maintained: the program of humanitarian assistance for refugees at the borders with Thailand and Bangladesh and the program to control iodine deficiency. CIDA suspended its regular assistance program in 1988. The humanitarian assistance program for refugees along the borders of Thailand and Bangladesh has been maintained. **Program Record Number:** IDA BSD 220

Cambodia

Description: Canadian assistance to Cambodia consists largely of humanitarian and community-based projects funded mainly through the Canada Fund and with NGOs. In addition, there are small, tactical activities in the areas of respect for human rights and public administration reform. The objectives of CIDA's program in Cambodia are as follows: to provide humanitarian assistance and food aid through contributions to multilateral institutions, and to help build the capacities of people and institutions. This second activity is carried out through a bilateral program implemented by a consortium of Canadian non-governmental organizations. Areas of priority are health, basic education and food production. **Program Record Number:** IDA BSD 228

China

Description: CIDA's development cooperation program with China emphasizes three main themes: (a) developing economic cooperation between China and Canada with special attention to the support of China's ongoing economic reforms in those areas necessary for the development of a socialist-oriented market economy, and the promotion of economic linkages;(b) promoting environmental sustainability with special emphasis on global issues such as global warming, the promotion of economic cooperation in environmental goods and services and the transfer of environmental impact assessment technology; (c) increasing China's capacity to improve governance, respect for human rights and democratic development processes. In addition, the

program supports gender equity and poverty reduction in all aspects of its activities. **Program Record Number:** IDA BSD 282

India

Description: CIDA's assistance to India emphasizes the environment, support for economic and social reform and private sector cooperation. Current major projects include: an India-Canada Environmental Facility; a power transmission enhancement system; a major drainage project in the State of Rajasthan; food aid in the form of canola oil to support the development of oilseed processing cooperatives in India. A series of institutional linkages involving the Indo-Canadian Shastri Institute, the Association of Canadian Community Colleges and the Conference Board of Canada, provide opportunities for policy dialogue and institutional cooperation. **Program Record Number:** IDA BSD 468

Indonesia

Description: Canada's assistance program to Indonesia promotes sustainable development by (a) promoting the application of environmental policies, (b) broadening participation in economic development with a special focus on women's participation, (c) increasing the role of the private sector in Indonesian development, and (d) promoting Human Resource Development and long term relationships with Canada and in the region. Current major projects include: a large environmental program, co-operation in financial sector reform, institutional linkages between McGill University, Simon Fraser University and Indonesian Universities, a women's support program, water sector projects and Canadian-Indonesian NGO linkages. **Program Record Number:** IDA BSD 472

Malaysia

Description: Canadian aid to Malaysia focuses mainly on developing industrial and business linkages between the private sectors of the two countries. Canadian assistance to Malaysia is currently developing toward a relationship of economic cooperation based on the pursuit of common interests. Its two key objectives are to help Malaysia to manage its development sustainability, and to encourage efforts by Canadians to establish long term relations with Malaysia and Southeast Asia. Areas of priority are the development of infrastructure services, the environment and human resources training. **Program Record Number:** IDA BSD 600

Nepal

Description: The focus of the Canadian program in Nepal is on human resource development, institution building in water and energy planning management, and civil aviation. Current projects include health sector development, institution building for national water and energy resources planning and community-based rural development through NGO channels. **Program Record Number:** IDA BSD 676

Pakistan

Description: CIDA's assistance program in Pakistan focuses on (a) environment and natural resource management, through support for the preparation and implementation of the National Conservation Strategy, and measures to integrate environmental issues with economic planning; (b) energy development, through design and implementation of sustainable energy resource management policies and through power generation and transmission works; and (c) social and economic development (education and training, health services, community development, public sector fiscal and administrative reform). The program emphasizes linking economic development and partnership in sectoral initiatives. Special consideration is given to integrating gender issues in the program. Major areas of activities for CIDA's program in Pakistan include: a) social sector development including health, education, and community development; b) energy development, through the design and implementation of sustainable energy resource management policies and through power generation and rehabilitation works, and c) environment and natural resource management through the strengthening of Pakistani institutions involved in the implementation of Pakistan's National Conservation Strategy. CIDA is also a lead donor in the area of Women in Development and gender equity which is promoted at the program and project level. CIDA's program in Pakistan continues to evolve and will promote a transition from a donor-recipient relationship to one of partnership based on mutual interests. **Program Record Number:** IDA BSD 714

Philippines

Description: The new country program will have three main thrusts: (a) promoting responsible governance at the national and local levels; (b) promoting the factors that build the Philippines private-sector capabilities in the areas of mutual interest; (c) strengthening the capacity of democratic institutions and non-governmental and peoples' organizations. Key themes such as environment, gender equity, basic needs and infrastructure services will be addressed. In the interests of being responsive at different levels, part of the program is directed at national-level institutions and part targeted to the three geographic regions: Western Visayas, Southern Mindanao, and the Autonomous Region of Muslim Mindanao. **Program Record Number:** IDA BSD 734

Regional Institutions

Description: CIDA's Asia Regional Institutions Program supports both inter-governmental and non-governmental institutions with particular emphasis on regional education and management of public policy issues. **Program Record Number:** IDA BSD 148

South Asia Regional

Description: The program addresses regional objectives which include: 1) to promote intra-South Asian contacts and co-operation among governments and civil societies as a contribution to regional understanding, stability,

democratic development and good governance; and 2) to contribute to the achievement of bilateral country objectives related to capacity building of local organizations to empower people to meet basic human needs and to promote sustainable development and good governance. **Program Record Number:** IDA BSD 154

South Pacific

Description: Canada's South Pacific aid development cooperation program is directed towards the strengthening of key regional organizations in the ocean resource management sector. Emphasis is placed on human resource development, involving training and technical support in the key areas of fisheries and off-shore mineral exploration. In addition, support is being channelled to small-scale projects through the Canada Fund for Local Initiatives. CIDA also funds Canadian non-governmental organizations and institutions as well as Canadian private-sector initiatives in the region.

Program Record Number: IDA BSD 844

Sri Lanka

Description: The objective of the Sri Lanka program is to focus on the root causes of the current conflict. It aims:

(a) to support key institutions which can play a role in economic reform and in promoting human rights and democratic values; (b) to promote community-level economic activity and employment among those whose unfulfilled aspirations have fuelled the conflict; (c) assist in providing basic social services through multilateral organizations or non-governmental organizations; and (d) as circumstances permit, to assist in the reconstruction and rehabilitation. More than 70 per cent of the bilateral program disbursement is being channelled through non-governmental organizations. **Program Record**

Number: IDA BSD 270

Thailand

Description: Canadian aid to Thailand has two strategic goals: to strengthen Thailand's capability in managing its development in a sustainable fashion and reinforce broader Canadian efforts to foster a long-term relationship with Thailand and the region. Programming priorities include: infrastructure services, private sector development, democratic development, social and gender equity as well as natural resources and environmental management. Canada's program of assistance to Thailand is currently developing toward a relationship of economic cooperation based on the pursuit of common interests. Its two key objectives are to help Thailand to manage its development sustainability and to encourage efforts by Canadians to establish long-term relations with Thailand and Southeast Asia. Areas of priority are infrastructure services, private sector development, human resources training, and social equity measures, natural resources management and the environment.

Program Record Number: IDA BSD 906

Viet Nam

Description: CIDA is currently developing a long term ODA program with Viet Nam. Activities are focussed on building the capacity of institutions involved in the reform process, integration of social equity, reduction of poverty, promotion of sustainable development, support for the emerging private sector, technical assistance in sectors of interest to Canada, and promotion of elements of a civil society. The bilateral program began in 1993 and now includes several projects in the following areas: support for the economic and administrative reform process, policy development and human resources training. The Canadian Partnership Branch also contributes financially to numerous projects implemented by Canadian non-governmental organizations, educational institutions and businesses that have established cooperation programs with their Vietnamese counterparts. **Program Record Number:** IDA BSD 975

♦ Canadian Partnership Branch

Canadian Sector

Description: Information on Canadian private sector organizations, participating in the Industrial Cooperation Program. **Program Record Number:** IDA SVP 110

Developing Countries

Description: Information on countries served by the Industrial Co-operation Program. **Program Record Number:** IDA SVP 260

Institutional Co-operation

Description: Information on organizations seeking financial support from the Division. **Topics:** Associations, clubs, institutes, societies, colleges, universities, co-operatives, labour organizations, training hospitals — organization structure, mandate, financial situation; contacts and correspondence with developing countries; subsidized projects — organization, type of assistance, grant amount. **Program Record Number:** IDA SVP 250

International Non-governmental Organizations (INGOs)

Description: Information and correspondence on INGOs, projects, CIDA grants, and political conditions within developing countries or regions where the projects are located. **Program Record Number:** IDA SVP 245

International Organizations

Description: Information on international financing institutions, aid agencies and regional institutions. **Program Record Number:** IDA SVP 265

Non-governmental Organizations (NGOs)

Description: Information on non-governmental organizations dealing with CIDA's NGO Division and seeking CIDA financial assistance for their development programs and projects in developing countries. **Topics:** Organizational structure and mandate; financial situations; board members; constituency for each NGO. **Program Record Number:** IDA SVP 220

Professional and Membership Associations Program

Description: Information on all projects for which a CIDA contribution has been approved. **Topics:**

Non-governmental organizations, description of project, budget and requested CIDA contribution; organizational files on organizations; Management for Change Operation Manual. **Program Record Number:** IDA SVP 235

Student and Trainee Services

Description: Project implementation, standards. **Topics:**

Scholarships, awards, support services. **Program Record Number:** IDA RVP 815

◆ Central and Eastern Europe

Central and Eastern Europe

Description: Information on bilateral technical cooperation program, regional cooperation programs, humanitarian assistance program, multilateral program, Peace and Democracy program, Information on Project Review Committee; global and country budget allocations; information material for the public; evaluation reports on the program, documents to central agencies.

Topics: Policy and plans; country programs; project proposals; project approval documents, contribution agreements, contracts, organizational charts, statistics, reports, budget, minutes, guidelines, terms and conditions, government and departmental regulations.

Program Record Number: IDA RVP 285

◆ Corporate Management Branch

Contracting Management Division

Description: Files arranged by name of firms/individuals engaged under service contracts; Contract Reporting System (a repository of service contract information); Electronic Contracting Policy Handbook-Policies and procedures relating to procurement of goods; Arrangements and agreements between CIDA and Consulting and Audit Canada; Reports and Studies relating to quality assurance standards, policy interpretation and decisions; Files arranged by selection number; Minutes of Contract Management Council meetings; Models from the Contract Preparation System; Standard Terms and Conditions; Open Bidding System; Invitation to Prequalify (IPQ) tracking and reporting; Adhoc reports on contracting; Files arranged by contracting reference subject (file series CC1000 to CC8500).

Program Record Number: IDA GMD 510

Cooperant Services

Description: Administration standards, data bank.

Topics: Co-operant selection and support, roster of experts. **Program Record Number:** IDA GMD 813

◆ Multilateral Programs Branch

African Development Bank and Fund

Description: Information on the African Development Bank (AfDB) and its concessional arm, the African Development Fund (AfD), which are the major regional financial aid institutions in Africa. The Bank/Fund Group

represents an important source of development finance, especially for the poorest developing member countries, and acts as a unique mechanism for policy dialogue, technical support and institutional development, and has a special capacity for playing an important role in the coordination and coherence of development efforts in the continent. **Topics:** Administration; budget; Board of Directors; Canadian line of credit; Canadian technical assistance; recruitment of Canadian personnel; evaluation; financial statements; payments and encashments; loans; operations; pipelines; general policies; financial policies; procurement; establishment and organization; Canadian accession; Board of Governors; constituency; replenishment of resources and other related topics. **Program Record Number:** IDA MVP 195

Asian Development Bank and Fund

Description: Information on the Asian Development Bank (ASDB), which together with its concessional Asian Development Fund (ASDF), is the major regional financial institution serving the countries of Asia and the South Pacific, in particular the poorest countries of the region where a large part of the world's population lives. **Topics:** Administration; finance; ordinary capital operations; concessional funds operations; technical assistance.

Program Record Number: IDA MVP 210

Canadian and International NGOs and United Nations Institutions Specialized in Humanitarian Assistance

Description: Information on operations and Canada's funding of organizations and institutions responsible for providing assistance to victims of natural and man-made disasters, including refugees. **Topics:** United Nations High Commissioner for Refugees; UN Relief and Works Agency for Palestinians in the Near East; Office of the United Nations Disaster Relief Coordinator; International Committee of the Red Cross; League of Red Cross and Red Crescent Societies; NGOs and umbrella organizations. **Program Record Number:** IDA MVP 217

Canadian Food Aid Policy and Programs

Description: Information on the policy of CIDA and of other government departments related to Canadian food aid. **Topics:** Budget; distribution channels; procurement; Multi-Year Operational Plan (MYOP); main estimates; CIDA food aid policy. **Program Record Number:** IDA MVP 180

Caribbean Development Bank

Description: Information on the Caribbean Development Bank (CDB), which is a major financial development institution serving the Commonwealth Caribbean. **Topics:** Administration; finance, ordinary capital operations; Special Fund operations; Caribbean region in general; Caribbean Division. **Program Record Number:** IDA MVP 205

Committees

Description: Information on Canadian participation in international committees for the pursuit of Canadian

foreign policy. **Topics:** Federal interdepartmental committees; federal-provincial committees; international committees; UN committees. **Program Record Number:** IDA MVP 140

Commonwealth Countries

Description: Information on Canada's funding and administration of Commonwealth programs as well as participation in meetings of heads of government, Commonwealth finance ministers, the board of representatives of the Commonwealth Fund for Technical Cooperation and the board of directors for the Commonwealth of Learning. **Topics:** Commonwealth countries; Commonwealth Secretariat. **Program Record Number:** IDA MVP 145

Conferences

Description: Information on annual and special-purpose international meetings in which Canada participated. **Program Record Number:** IDA MVP 125

Consultative Group on International Agricultural Research (CGIAR)

Description: Information on meetings concerning policy and overall financial support for the international agricultural research centres supported by the CGIAR. **Topics:** Annual International Centres Week, technical meetings and reports; economic and social development; farm cropping systems; agricultural research. **Program Record Number:** IDA MVP 155

Countries Receiving Food Aid

Description: Information on countries receiving Canadian bilateral food aid. **Topics:** Angola; Bangladesh; Ecuador; Egypt; Ethiopia; Ghana; Haiti; India; Mali; Mozambique; Pakistan; Rwanda; Peru; Sudan; Zaire. A complete list is available on request. **Program Record Number:** IDA MVP 165

Disaster Preparedness and Prevention

Description: Information on projects funded by Canada and aimed at providing support to disaster prone countries so as to help them to better prepare for disasters. **Topics:** Health Sector preparedness project for Central American and Latin American countries; WHO preparedness project; Federation of the Red Cross Preparedness Projects. **Program Record Number:** IDA MVP 219

Disaster Relief

Description: Information on the type of response provided by Canada in cases of natural and human caused disasters. **Topics:** Countries affected; population affected; type of disaster; projects funded; costs. **Program Record Number:** IDA MVP 218

Food Aid Commodities Basket

Description: Information on food aid commodities supplied by Canada within its Food Aid Program. **Topics:** Commodities: fish; skim milk powder; vegetable oil;

wheat; wheat flour; beans; peas; lentils; corn. **Program Record Number:** IDA MVP 170

Global Environment Facility

Description: Information on the GEF, which is the principal financial mechanism for the Climate Change and Biodiversity Conventions. The GEF finances the incremental costs of activities in the areas of ozone depletion, global warming, biodiversity protection and the pollution of international waters. **Topics:** Participants Assembly deliberations, pilot phase evaluation, replenishment, operations. **Program Record Number:** IDA MVP 153

Inter-American Development Bank

Description: Information on the Inter-American Development Bank (IDB), and its concessional arm, the Fund for Special Operations (FSO). The IDB is the major regional financial aid institution in Latin America and Caribbean region. The Bank represents an important source of development finance for its member countries, including its poorer members, and acts as a unique mechanism for policy dialogue, technical support and institutional development, and has a special capacity for playing a coordinating role in the overall development effort on the region. **Topics:** Administration; finance, operations of seventh General Resource Increase (1990-1993); Fund for Special Operations; regional institutions; other funds. **Program Record Number:** IDA MVP 200

International Fund for Agricultural Development (IFAD)

Description: IFAD was established in 1977 to fund programs and projects to increase food production, reduce undernourishment and alleviate rural poverty in developing countries. **Topics:** IFAD constitution and organization; analysis of needs of developing countries; operations; finance; geographic and social programs; reporting documentation; annual meeting. **Program Record Number:** IDA MVP 216

International Grain Council (IGC)

Description: Information on Canadian participation in IGC. **Topics:** Food Aid Convention. **Program Record Number:** IDA MVP 190

Multilateral Fund for the Implementation of the Montreal Protocol (MFMP)

Description: Information on the MFMP, which is the financial mechanism for the implementation of the Montreal Protocol on Substances that deplete the Ozone Layer. The MFMP finances specific and identifiable needs of developing countries that comply with the Montreal Protocol. This work has a finite time frame (the year 2010) and an attainable, measurable goal (elimination of the production and consumption of ozone depleting substances (ODS) in developing countries). **Topics:** replenishment, negotiations, Conference of the Parties. **Program Record Number:** IDA MVP 216

Other International Food and Agricultural Organizations

Description: Information on international organizations with an interest in food aid. **Topics:** World Food Program (WFP) Food and Agriculture Organization (FAO); International Food Policy Research Institute (IFPRI); Organization for Economic Co-operation and Development (OECD). **Program Record Number:** IDA MVP 175

Private Investments and Incentives

Description: Information on Canada's participation in international meetings aimed at developing incentives for industry and labour to invest in developing countries. **Topics:** Canadian and international companies and corporations. **Program Record Number:** IDA MVP 130

Producer Groups — Food and Commodities

Description: Information on producer groups supplying commodities to Canada's Food Aid Program. **Topics:** Canadian Dairy Commission; Canadian National Millers' Association; Canadian Wheat Board; Canola Crushers of Western Canada; Association of Bean Growers; Canadian Association of Fish Exporters; Ontario Wheat Producers. **Program Record Number:** IDA MVP 160

United Nations and International Agencies

Description: Information on Canada's funding and administration of the development programs of the United Nations. **Program Record Number:** IDA MVP 120

World Bank Group

Description: Information on the World Bank, which is seen by many as one of the leading international development institutions, given the size and scope of its programs. The Bank acts as a forum for the discussion of key development policy issues and implements innovative assistance projects and programs in sectors such as rural development and agriculture, and water supply and sanitation. **Topics:** Information on the constitution and organization of the International Bank for Reconstruction and Development (IBRD); analysis of the needs of less-developed countries; operations, finances; IBRD-IDA (International Development Association) geographic programs; sectors; reporting documentation; annual meetings; IDA; International Finance Corporation (IFC); Multilateral Investment Guarantee Agency (MIGA). **Program Record Number:** IDA MVP 215

World Food Program (WFP)

Description: Information on the policies and programs of the WFP and Canada's participation. **Topics:** Documents related to sessions of the WFP governing body and Canadian pledges to the WFP. **Program Record Number:** IDA MVP 185

◆ Policy Branch

Agriculture

Description: Corporate level policy, guidelines, studies and advice in the agricultural disciplines including

desertification. **Topics:** Land and water development; crops; livestock; agricultural support services and rural development; agriculture policy; agro-industries. **Program Record Number:** IDA YST 535

Education

Description: Corporate level policy, guidelines, studies and advice. **Topics:** Formal and non-formal education and training. **Program Record Number:** IDA YST 536

Energy

Description: Corporate level policy, guidelines, studies and advice. **Topics:** Management of energy resources; hydro electric power generation; power transmission and distribution of electricity; oil and gas; mines; energy conservation. **Program Record Number:** IDA YST 537

Forestry and Conservation

Description: Corporate level policy, guidelines, studies and advice. **Topics:** Watershed management, eco-system management, forest management, reforestation, social forestry, forest search, wild life and protected area management, forest product processing industries, marketing and forest economics. **Program Record Number:** IDA YST 540

Health and Population

Description: Corporate level policy, guidelines, studies and advice. **Topics:** Demography; family planning and nutrition; public health; control of water and airborne diseases; epidemiology; parasitology; management of health and population facilities. **Program Record Number:** IDA YST 541

Marine resources and Oceans

Description: Corporate level policy, guidelines, studies and advice. **Topics:** Marine resources management and development including ocean, coastal and inland resources (living and inanimate), fisheries, aquaculture, primary, secondary and tertiary development, and capacity building. **Program Record Number:** IDA YST 539

Telecommunications and Electronics

Description: Corporate sectoral policy, guidelines, studies and advice. **Topics:** Management of telephony, data, broadcasting and electronic navigation systems; remote sensing; associated technical assistance. **Program Record Number:** IDA YST 544

Transportation and Facilities

Description: Sectoral policy, corporate level guidelines, studies and advice. **Topics:** Management of Intermodal and unimodal transportation; air, surface and marine transportation. **Program Record Number:** IDA YST 545

Water and Sanitation

Description: Corporate level policy guidelines, studies and advice. **Topics:** Multi-purpose water resources development and management for industrial, commercial

and consumer use including water, sanitation and irrigation. **Program Record Number:** IDA YST 546

Personal Information Banks

◆ Canadian Partnership Branch

Applicants for and Holders

of CIDA Awards for Canadians

Description: This data bank contains a record of Canadians who have applied for or been granted an award under the CIDA Program for Canadians. The file includes personal and professional references, proposed program of study and career intentions. Individuals may access their file. The information relates to Canadians who have applied for or been granted an award. This bank is used to select candidates for awards and for scholarship holders. These files are maintained by CBIE (Canadian Bureau for International Education) for two years for review of applications and for 5 years for file of granted awards. **Class of Individuals:** Canadiens ayant reçu des bourses **Purpose:** Data bank containing records of Canadians who have applied or have been granted an award under CIDA Program for Canadians. **Retention and Disposal Standards:** These files are maintained by the CBIE (Canadian Bureau for International Education) for two years for review of applications and for 5 years for file of granted awards. **TBS Registration:** 003418 **Bank Number:** IDA PPU 015

◆ Central and Eastern Europe

Consultant Data Base

Description: This data bank contains names, addresses and telephone numbers of consultants (firms, organizations or individuals) as well as an adequate description of their resources, professional expertise, specialization, general experience and language capabilities. Files are updated on a regular basis. The purpose of the data bank is to provide the contract selection authorities with information on the qualifications and experience of individuals and firms wishing to obtain contracts to undertake activities with regard to countries in transition within Central and Eastern Europe. **Class of Individuals:** Consultants **Purpose:** Database to provide description of consultants resources, professional expertise, specialization, general experience **Retention and Disposal Standards:** To be determined **TBS Registration:** 003741 **Bank Number:** IDA PPU 285

◆ Corporate Management Branch

Accounts Payable and Receivable

Description: Accounting data on commitments, payments and receivables are available from the accounting system maintained by the Agency. The purpose of this data bank is to monitor and control all payments as well as recoverable items. **Class of Individuals:** employees, suppliers, companies, consultants, etc. **Purpose:** Information needed to initiate and control payments. **Retention and Disposal Standards:** Files are kept for a

period of six years after the termination of a contract.

TBS Registration: 003422 **Bank Number:** IDA PPU 020

Consultants Registration Data Bank (No. 7510)

Description: This data bank contains names, addresses and telephone numbers of consultants (firms, organizations or individuals) as well as an adequate description of their resources, professional expertise, specialization, general experience and language capabilities. Files were updated on a regular basis until the introduction of CIDA's new selection process in September 1994. The purpose of the data bank was to provide the contract approval authorities with information on the qualifications and experience of individuals and firms wishing to obtain contracts to undertake CIDA projects in developing countries. This data bank has not been used or maintained since September 1994. **Class of Individuals:** consultants **Purpose:** Data bank to provide description of consultants resources, professional expertise, specialization, general experience. **Retention and Disposal Standards:** To be determined **TBS Registration:** 003417 **Bank Number:** IDA PPU 010

The Human Resources Inventory of Persons Serving or Prepared to Serve Overseas as cooperant

Description: The record consists of personal data, academic qualifications and experience. This data bank is used as a current inventory of individuals with appropriate skills wishing to participate in CIDA projects, as well as those presently on assignment overseas with CIDA. The information is used to maintain and to build a computer inventory enabling CIDA to respond to needs of developing countries. The inventory is also used to identify suitable candidates who have expressed a desire to serve with international development organizations. The completed form is kept for two years and the staffing file is kept for 35 years and after last correspondence the computer inventory is kept for two years. **Class of Individuals:** cooperants **Purpose:** Data bank used as a current inventory to respond to needs of developing countries. **Retention and Disposal Standards:** The completed form is kept for five years and the staffing file is kept for 35 years, the inscriptions in the computer inventory are updated on request. **TBS Registration:** 003421 **Bank Number:** IDA PPU 005

Manuals

- Criteria, Terms and Conditions
- Directives for Branches and Divisions (describes the operational procedures of sections)
- Geographic Programs Road Map
- Guidelines on Procurement (Goods and Associated Services) for Canadian Executing Agencies
- Handbook 10 — Multilateral Programs (Food Aid Programming Issues)
- Handbook 12 — Contracting for Services
- Handbook 13 — Institutional Co-operation and Development Services

- Handbook 14 — Non-Governmental Organizations
- Handbook 4 — Financial Management, AIDIS Coding Manual, AIDIS End User Manual, CIDA's Financial Standards for Local Costs
- Handbook 5 — Administrative Services, Administrative Bulletins, Administrative Notices
- Handbook 6 — Occupational Safety and Health
- Handbook 7 — Security
- Handbook 9 — Personnel (Organization Charts)
- Main Estimates
- Management of Bilateral Project Evaluations 1991
- Memoranda to Cabinet: A Drafter's Guide
- Orders-in-Council for Crown Corporations
- Policy Compendium Issues (Human Rights, Nuclear Energy, etc.)
- Policy Manual Issues (derived from statements by Ministers, Central Agencies and the President's Committee, on topics such as Volume, Allocation, Eligibility, Sectoral Issues)
- Reports by Development Banks (World Bank, Inter-American Development Bank, African Development Bank, etc.)
- Reports by the Development Assistance Committee (DAC)
- Standards for Bilateral Project Evaluations

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Public Inquiries

Communications Branch

Canadian International Development Agency

Place du Centre, 200 Promenade du Portage

Hull, Quebec

(Mail: Ottawa, Ontario K1A 0G4)

(819) 997-5006

Reading Room

In accordance with the Access to Information Act, representatives from the Access to Information Unit may arrange for a reading room for requesters who may wish to examine records on CIDA premises. Arrangements will be done keeping in mind all physical security measures in place. Requesters may contact an advisor at (819) 997-0849.

Canadian International Trade Tribunal

Chapter 26

General Information

Background

Bill C-110, which established the Canadian International Trade Tribunal (CITT) and dissolved the Canadian Import Tribunal, the Tariff Board and the Textile and Clothing Board, received Royal Assent on September 13, 1988. Sections of the Canadian International Trade Tribunal Act establishing the corporate structure of the CITT were proclaimed on September 15, 1988. Sections of the Act giving the CITT operational responsibilities and dissolving the Canadian Import Tribunal, the Tariff Board and the Textile and Clothing Board came into force on December 31, 1988. Pursuant to the NAFTA Implementation Act, on January 1, 1994, the Tribunal became Canada's bid challenge authority in respect of federal government procurement as contemplated under Article 1017 of NAFTA. The Tribunal succeeds the Procurement Review Board of Canada in this capacity. The Tribunal also acts as the bid challenge authority under the Agreement on Internal Trade (AIT) and the World Trade Organization Agreement on Government Procurement (WTO).

Responsibilities

The CITT is a quasi-judicial tribunal responsible for conducting dumping and countervailing injury inquiries, making inquiries and carrying out recommendations as directed and considering import safeguard complaints by domestic producers. It is empowered to deal with appeals from decisions of the Minister or the Deputy Minister of National Revenue involving mainly customs and excise matters. The Tribunal receives and decides complaints from Canadian, American and Mexican suppliers who believe that the procurement process conducted by the Canadian Federal Government was not carried out in accordance with NAFTA, as well as complaints under the AIT and the WTO. The Tribunal also conducts investigations into requests from Canadian producers for tariff relief on imported textile inputs used in their production operations.

Legislation

- Canadian International Trade Tribunal Act, 1988
- Customs Act (R.S.C., 1985, C-54)
- Excise Tax Act (R.S.C., 1985, C-E14)
- Special Import Measures Act (R.S.C., 1985, C-515)

Organization

The Tribunal consists of a Chairman, two vice-chairmen, and not more than six other permanent members to be appointed by the Governor in Council. The Governor in Council may also appoint up to five temporary members,

as required. The Tribunal is supported by the Research Branch, the Legal Services Branch, the Procurement Review Division and the Secretariat.

Information Holdings

Program Records

Administration Files

Description: General subjects and information relating to the administrative responsibilities of the Canadian International Trade Tribunal. **Topics:** Administration; buildings and properties; equipment and supplies; finance and personnel. **Program Record Number:** CTT CTT 005

General Counsel Files

Description: Information relating to legal advice, interpretation, research and assistance on the legislation or regulations, legal precedents and international agreements relevant to the responsibilities of the Tribunal. **Topics:** Rules and regulations; legal advice and opinions; legal precedents; agreements and acts and legislation. **Program Record Number:** CTT CTT 020

International Trade Research Files

Description: Information relating to the design, management, direction, implementation and timeliness of research and investigations undertaken in connection with Tribunal responsibilities. **Topics:** Statistical research; statistical database design and systems; economic research, economic models and project management. **Program Record Number:** CTT CTT 015

Operational Files

Description: General subjects and information relating to the operational responsibilities of the Canadian International Trade Tribunal, including specific economic, trade, and tariff studies and hearings, hearings on appeals and investigations of procurement complaints. **Topics:** References under the Canadian International Trade Tribunal Act by the Governor in Council on any economic, trade or commercial matter, including injury to Canadian producers of goods and services, or by the Minister of Finance on any tariff-related matter; serious injury complaints by Canadian goods producers under the Canadian International Trade Tribunal Act; appeals of decisions by the government — in particular the Minister or Deputy Minister of National Revenue — under the Customs Act, the Excise Tax Act and the Special Import Measures Act; and in response to other acts of Parliament or related regulations including references, anti-dumping and countervailing injury inquiries, public interest determinations, reviews and importer rulings of

anti-dumping and countervailing duty cases under the Special Import Measures Act. **Program Record Number:** CTT CTT 010

Procurement Review Division Files

Description: Documentation on investigations of procurement complaints. **Topics:** These documents include complaint documents, submissions, evidence and exhibits provided by the parties and interveners. **Program Record Number:** PRB CTT 011

Personal Information Banks

Personal Services Contracts

Description: This bank contains a copy of each personal services contract, amendments and relevant correspondence. It may include personal resumes. **Class of Individuals:** Persons employed by the Tribunal under a personal services contract. **Purpose:** The purpose of this bank is to maintain a record of personal services contracts. **Consistent Uses:** This bank is also used to back up financial records and invoices. **Retention and Disposal Standards:** The records are retained for six years after comprehensive audit and then destroyed. **TBS Registration:** 002553 **Bank Number:** CTT PPU 010

Temporary Help Agencies

Description: This bank contains a list of calls made for temporary help services. It includes the names of the agencies contacted, the name of the person referred, the hourly salary charged by the agency, the approximate duration of employment, the name of a contact person at the agency and a description of the selection criteria. It also includes correspondence concerning individual terms of employment of a duration greater than eight weeks. **Class of Individuals:** Personnel referred by the agencies. **Purpose:** The purpose of this bank is to maintain a

record of temporary help personnel. **Consistent Uses:** This bank is also used to back up financial records and invoices. **Retention and Disposal Standards:** The records are retained for one year after comprehensive audit and then destroyed. **TBS Registration:** 002552 **Bank Number:** CTT PPU 005

Manuals

- Procurement Review Process — A Descriptive Guide
- Textile Reference Guide

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Tribunal and its functions may be directed to:

The Secretary
Canadian International Trade Tribunal
333 Laurier Avenue West
Ottawa, Ontario
K1A 0G7
(613) 993-3595

Reading Room

In accordance with the Access to Information Act, an area on the premises of this institution has been designated as a public reading room. The address is:

Standard Life Building
333 Laurier Avenue West
Ottawa, Ontario.

Canadian Museum of Civilization

Chapter 27

General Information

Background

The Canadian Museum of Civilization Corporation (CMCC), a Crown Corporation, was established in 1990 by the Museums Act.

The CMCC comprises the Canadian Museum of Civilization (CMC) and its affiliate: The Canadian War Museum (CWM).

The Corporation has two public facilities: The CMC located at 100 Laurier Street, Hull, Quebec and the CWM at 330 Sussex Drive, Ottawa, Ontario.

Responsibilities

The purpose of the Corporation, as defined in the Act, is: "to increase, throughout Canada and internationally, interest in, knowledge and critical understanding of and appreciation and respect for human cultural achievements and human behaviour by establishing, maintaining and developing for research and posterity a collection of objects of historical or cultural interest, with special but not exclusive reference to Canada, and by demonstrating those achievements and behaviour, the knowledge derived from them and the understanding they represent."

Legislation

- The Museums Act (Statutes of Canada 1990, Chapter 3)

Organization

♦ CANADIAN WAR MUSEUM (CWM)

The CWM is an affiliate of the CMC. The purpose of the CWM is "to share in the remembrance of, and serve as a memorial to, those Canadians lost in, or as a result of, war; to examine the war and war-related history of Canada and its effect upon Canada and Canadians; and to document Canada's continuing commitment to peacekeeping and the maintenance of international security".

The CWM comprises: Office of the Director, Public Programmes Division, and Collections and Research Division.

♦ COLLECTIONS AND INFORMATION ACCESS BRANCH

This Branch maintains, preserves and makes accessible to users the collections of the CMC and related information. The Branch comprises: Conservation and Technical Services Division, Collections Management Services, and Information Management Services Division.

♦ DIRECTORATE

This Directorate is responsible for the direction of the CMCC and the management of all its activities, resources and outputs. The Directorate comprises: Office of the Executive Director, Corporate Secretariat, Audit and Evaluation, and National Services and Outreach.

♦ EXHIBITIONS AND PROGRAMMES BRANCH

This Branch plans, develops, manages and evaluates a balanced programme of permanent, temporary and travelling exhibitions and interpretive programmes (live interpretation, special events and education) in support of the CMC's purpose and objectives. The Branch comprises: Exhibitions and Design Division, Public Programmes Division, Children's Museum, and National Postal Museum.

♦ MUSEUM SERVICES BRANCH

This Branch is responsible for the provision of management and financial services. These include materiel and facilities management, as well as personnel, protection and informatics services. Furthermore, this Branch plans, establishes and manages commercial programmes. The Branch comprises: Office of the Managing Director, Human Resources Division, Financial and Administrative Services, Commercial Enterprises Division, and Hosting and Facilities Management Services Division.

♦ PUBLIC AFFAIRS BRANCH

This Branch is responsible for the CMCC's public image, media and public relations, promotional activities and materiel, advertising, internal communications, official ceremonial events, liaison between the CMCC, government, the community at large and the business world as well as fundraising. In addition, this Branch is responsible for the marketing activity of the CMCC, the coordination of CMC volunteers and the liaison with the Association of Friends of the CMC. The Branch comprises: Communications Division and Development Division.

♦ RESEARCH BRANCH

This Branch undertakes research programmes designed to add to the collections of the CMC and to the level of knowledge relating to the analysis of regional cultural entities that make up our heritage and through investigation of the processes that have served to define the Canadian cultural experience. The Branch comprises: Publishing Group, Archaeological Survey of Canada, Canadian Ethnology Service, Canadian Centre for Folk Culture Studies, and History Division.

Information Holdings

Program Records

♦ CANADIAN WAR MUSEUM (CWM)

Exhibitions

Description: Information on permanent, special and travelling exhibitions. **Topics:** Policy; planning and openings; texts; security; permanent galleries; proposed exhibitions; five history presentations. **Program Record Number:** CMC MCD 560

♦ DIRECTORATE

Exhibitions

Description: Information on permanent, travelling and special exhibitions. **Topics:** Policy; permanent exhibitions; openings; credits; planning and scheduling; international, travelling, special and temporary exhibitions; proposed exhibitions. **Program Record Number:** CMC MCA 470

♦ EXHIBITIONS AND PROGRAMMES BRANCH

Exhibitions

Description: Information on permanent, travelling, special and temporary exhibitions. **Topics:** Policy; exhibit planning; insurance; publicity; films, texts, labels; Brockville documents; proposed exhibitions. **Program Record Number:** CMC MCH 650

National Postal Museum — Exhibitions

Description: Information on permanent, travelling and special exhibitions. **Topics:** Policy; exhibit planning; proposed exhibitions. **Program Record Number:** CMC MCI 676

Public Programmes — Research

Description: Research on artifacts for the benefit of government and the general public. **Topics:** Research policy. **Program Record Number:** CMC MCG 665

♦ RESEARCH BRANCH

Archaeological Survey of Canada — Exhibitions

Description: Information on permanent, travelling and special exhibitions. **Topics:** Policy; exhibit planning; proposed exhibitions; travelling exhibitions; Ethnology Hall; Canada before Cartier — Ontario prehistory; Discovery Train; Inuit Community exhibits; foyer cases; International Museums Day; EXPO '86. **Program Record Number:** CMC MCB 500

Canadian Centre for Folk Culture Studies — Exhibitions

Description: Information on permanent, temporary, travelling and special exhibitions. **Topics:** Policy; exhibit planning and proposed exhibitions; Outdoor Museum; Orientation Hall; procedures for implementation; musical instruments. **Program Record Number:** CMC MCC 530

Canadian Ethnology Service — Exhibitions

Description: Information on permanent, travelling and special exhibitions. **Topics:** Policy; exhibit planning; proposed exhibitions; permanent exhibitions at the Victoria Memorial Museum; Orientation Hall; temporary exhibitions; invitations to openings; requests and enquiries. **Program Record Number:** CMC MCF 620

History Division — Exhibitions

Description: Information on permanent, travelling and special exhibitions. **Topics:** Policy; exhibit planning; special exhibition halls during the renovation of the Victoria Memorial Museum building; proposed exhibitions; travelling exhibitions; mobile exhibits; Discovery Train. **Program Record Number:** CMC MCE 590

Collections and Acquisitions

Description: Information on artifacts and their acquisition through purchases, gifts, donations and exchanges.

Topics: Policy; Potlatch Collection; donations to collections; collections for sale; Cowan Collection and the C.G.I.; documentation of the Canadian Ethnology Service collections. **Program Record Number:** CMC MCF 625

Collections and Acquisitions

Description: Information on artifacts and their acquisition through purchases, donations, exchanges and as gifts.

Topics: Artifacts used in postal communications and in stamp production, e.g. uniforms, mail processing equipment, scales and balances, post office counter equipment, forms and ledgers, novelty items with postal theme, architectural components, personal writing implements. **Program Record Number:** CMC MCI 677

Collections and Acquisitions

Description: Information on artifacts and their acquisition through purchases, gifts, donations and exchanges.

Topics: Policy; cookbooks; the Curatorial Package. **Program Record Number:** CMC MCH 655

Collections and Acquisitions

Description: Information on artifacts and their acquisition through purchases, gifts, donations and exchanges.

Topics: Policy; boundaries of acquisition between the Canadian Museum of Civilization and the Museum of Science and Technology; exchanges of artifacts for exhibitions; rejections of purchases; gifts and donations. **Program Record Number:** CMC MCE 595

Collections and Acquisitions

Description: Information on artifacts and their acquisition through purchases, gifts, donations and exchanges.

Topics: Policy; purchase of artifacts by year; offer to museum to purchase; musical instruments; enquiries about artifacts; inventories. **Program Record Number:** CMC MCC 535

Collections and Acquisitions

Description: Information on artifacts and their acquisition through purchases, gifts and donations, and exchanges.

Topics: Policy; acquisitions — Army, Navy, Air Force, miscellaneous (tattoo); war art; Cafcap; exchanges of artifacts. **Program Record Number:** CMC MCD 565

Collections and Acquisitions

Description: Information on artifacts and the policy for their acquisition through purchases, gifts, donations and exchanges. **Topics:** Policy; acquisitions and information on artifacts; casts and replicas; totem and house posts; rock art; petroglyphs; PWNHC repository. **Program Record Number:** CMC MCB 505

Collections and Acquisitions

Description: Information on artifacts and their acquisition through purchases, gifts, donations and exchanges. **Topics:** Policy; prints and drawings; collections. **Program Record Number:** CMC MCA 475

Conservation

Description: Information on the conservation of artifacts to ensure their preservation for future use and research. **Topics:** Policy; research on deterioration of artifacts due to poor environmental conditions of buildings. **Program Record Number:** CMC MCF 630

Conservation

Description: Information on the conservation of artifacts to ensure their preservation for future use and research. **Topics:** Research policy. **Program Record Number:** CMC MCH 660

Conservation

Description: Information on the conservation of artifacts to ensure their preservation for future use and research. **Topics:** Policy; internships for training; research on deterioration of artifacts due to the poor environmental condition of buildings. **Program Record Number:** CMC MCE 600

Conservation

Description: Information on the conservation of artifacts to ensure their preservation for future use and research. **Topics:** Policy; research on the deterioration of artifacts due to poor environmental condition of buildings. **Program Record Number:** CMC MCC 540

Conservation

Description: Information on the conservation of artifacts to ensure their preservation for future use and research. **Topics:** Policy; research on the deterioration of artifacts due to poor environmental conditions; research into the best methods of conservation. **Program Record Number:** CMC MCD 570

Conservation

Description: Information on the conservation of artifacts to ensure their preservation for future use and research. **Topics:** Policy; storage. **Program Record Number:** CMC MCB 510

Conservation

Description: Information on the conservation of artifacts and collections of artifacts to ensure their preservation for future use and research. **Topics:** Crozier collection; Peruvian collection; Inuit prints. **Program Record Number:** CMC MCA 480

Loans

Description: Information on incoming and outgoing loans for exhibitions, government residences and buildings. **Topics:** Policy; loans — in progress, final and rejected; Prime Minister's residence; Parliament Buildings, East Block; Pearson Building and other government buildings. **Program Record Number:** CMC MCE 615

Loans

Description: Information on incoming and outgoing loans for exhibitions. **Topics:** Requests for loans; lists of forthcoming loans; approvals or rejections; loan to Cape Mudge — rattles. **Program Record Number:** CMC MCF 645

Loans

Description: Information on incoming and outgoing loans for exhibitions, government residences and buildings. **Topics:** Policy; condition reports; insurance; loans. **Program Record Number:** CMC MCH 675

Loans

Description: Information on incoming and outgoing loans for exhibitions, public buildings, heritage organizations and institutions, publishers, and other museums. **Topics:** Loan of objects used in postal communications and stamp production (for details on objects see CMC MCI 677). **Program Record Number:** CMC MCI 679

Loans

Description: Information on incoming and outgoing loans for exhibitions, government residences and buildings. **Topics:** Policy; loans to other museums, divisions, and displays; loans from other museum divisions, museums, galleries or individuals. **Program Record Number:** CMC MCC 555

Loans

Description: Information on incoming and outgoing loans for exhibitions, Armed Forces messes, government buildings, schools, theatrical groups, publishers, other museums, as well as other educational institutions. **Topics:** Policy; loans — in progress, final, rejections; war art. **Program Record Number:** CMC MCD 585

Loans

Description: Information on incoming and outgoing loans for exhibitions. **Topics:** Policy; recovery of loans and collections (by name). **Program Record Number:** CMC MCB 525

Loans

Description: Information on incoming and outgoing loans for exhibitions, government residences and buildings.

Topics: Policy; school loans program; Pearson Building; Prime Minister's residence; insurance; fine art in leased buildings. **Program Record Number:** CMC MCA 495

Publications

Description: Research publications and manuscripts, as well as book reviews and theses. **Topics:** Permission to publish; Mercury series; Oracle series; Popular series; Activity series; Indian Art; Canadian Studies report; Canada's Visual History; Odyssey series; Facsimile series; Jenness Tribal series. **Program Record Number:** CMC MCF 640

Publications

Description: Research publications and manuscripts, as well as book reviews and theses. **Topics:** Policy; Canadian War Museum publications; Activity series; Mercury series; copyright policy; Odyssey series; Pitselook publications; scientific publications; guide books; brochures; Vis-à-vis; popular publications; Canadian Studies report; the Victoria Memorial Museum Building Map; Colouring Book; Teacher's Guide; Who We Are, What We Do; Oracles; Visual History; Across Canada. **Program Record Number:** CMC MCG 670

Publications

Description: Information on various publications, manuscripts, catalogues. **Topics:** Historical series; Mercury series; Canada's Visual History; exhibition catalogues. **Program Record Number:** CMC MCD 580

Publications

Description: Research publications, manuscripts, book reviews and theses. **Topics:** Mercury series; Oracles; Urban Biography series; Canada's Visual History; Urban History Review. **Program Record Number:** CMC MCE 610

Publications

Description: Research publications, manuscripts, book reviews and theses. **Topics:** Policy; inventories; fact sheets; Canadian Studies reports; Canada's Visual History; Music for Many a Year; Mercury series; Oracle series; Popular series. **Program Record Number:** CMC MCC 550

Publications

Description: Research publications and manuscripts; also book reviews and theses. **Topics:** Mercury series; Oracles; Canada's Visual History; gallery guides; popular series; video and film production; Edukits. **Program Record Number:** CMC MCB 520

Publications

Description: Information on research publications, manuscripts and book reviews. **Topics:** Policy; Tenth Anniversary Book; Guidebook — Victoria Memorial Museum; bilingualism in publications; Oracles; book reviews; Canadian Museum of Civilization — National Museum of Natural Sciences brochure; the Canadian Museum of Civilization tourist brochure. **Program Record Number:** CMC MCA 490

Research

Description: Research on artifacts or the collection of artifacts as well as historical research for the preparation of exhibition storylines, educational programming and publications. **Topics:** Postal communications and stamp production. **Program Record Number:** CMC MCI 678

Research

Description: Research on artifacts for the benefit of government and the general public, as well as historical research for the preparation of storylines. **Topics:** Policy; material research. **Program Record Number:** CMC MCD 575

Research

Description: Research on artifacts for the benefit of government and the general public. **Topics:** Policy; research proposals; reports of completed research projects. **Program Record Number:** CMC MCE 605

Research

Description: Research on artifacts for the benefit of government and the general public. **Topics:** Policy; unsolicited proposals; Canadian register of research and researchers in the social sciences; staff research program — five year projection. **Program Record Number:** CMC MCF 635

Research

Description: Information on research on artifacts for the benefit of government and the general public. **Topics:** Policy; research plans and programs; field notes; culinary anthropology; archives; ethnomusicology; visual anthropology. **Program Record Number:** CMC MCC 545

Research

Description: Research on archaeology and artifacts for the benefit of government and the general public. **Topics:** Policy; research proposals; foreign research; reports of completed research projects; Northern Oil and Gas Action Plan; underwater archaeology. **Program Record Number:** CMC MCB 515

Research

Description: Research information on artifact collections. **Topics:** Log cabin — arsenic report; Ginsberg report; rock art — petroglyphs; environment and deterioration. **Program Record Number:** CMC MCA 485

Personal Information Banks

♦ COLLECTIONS AND INFORMATION ACCESS BRANCH

Collections

Description: This bank contains complete information pertaining to the collection of objects by the CMC. It contains information such as name, address, correspondence, evaluation report, income tax and insurance forms, contract and all pertinent information

involving an individual who is selling or giving an object to the CMC for inclusion in its collections. **Class of Individuals:** Individuals with which the CMC deals, in relation to collecting. **Purpose:** To maintain complete information about objects collected or collectable by the CMC. The information is classified by objects. **Consistent Uses:** Determination of value of objects for income tax or insurance purposes. **Retention and Disposal Standards:** Retained indefinitely or until a transfer schedule has been established and approved by National Archives of Canada. **PAC Number:** 86-001 **TBS Registration:** 003453 **Bank Number:** CMC PPU 005

◆ DIRECTORATE

Individual Requests Under the Privacy Act

Description: This bank contains formal requests made by individuals under the Privacy Act for access to personal information about them, the replies to such requests and all records relating to their processing. If applicable, includes information on exemptions claimed, complaints received, reports and recommendations of the Privacy Commissioner and litigation in the Federal and Supreme Courts. **Class of Individuals:** Individuals requesting information under the Privacy Act. **Purpose:** For processing such requests and for compiling statistics relating to them. **Consistent Uses:** Compiling statistics relating to requests from federal investigative bodies. **Retention and Disposal Standards:** Two years after last action, then sent to National Archives of Canada for archival or historical retention. **PAC Number:** 86-001 **TBS Registration:** 003457 **Bank Number:** CMC PPU 030

Requests Under the Access to Information Act

Description: This bank contains formal requests made under the Access to Information Act by individuals for access to records, the replies to such requests and all records relating to their processing. If applicable, includes information on exemptions claimed, interventions of third parties, complaints received, reports and recommendations of the Information Commissioner and litigation in the Federal and Supreme Courts. **Class of Individuals:** Individuals requesting information under the Access to Information Act. **Purpose:** To process requests. **Consistent Uses:** Compiling statistics relating to the administration of the Act. **Retention and Disposal Standards:** Two years after last action, then sent to National Archives of Canada for archival or historical retention. **PAC Number:** 86-001 **TBS Registration:** 003454 **Bank Number:** CMC PPU 020

◆ MUSEUM SERVICES BRANCH

Contract Files

Description: This bank contains a record of all personal and professional service contracts entered into by the CMCC. It contains information such as the request for service by the manager, the original contractual document, and reasons for not authorizing payment if the terms of the contract have not been met. Active files are kept within the Contracts Management Section. **Class of**

Individuals: Information relates to individuals from the private sector under contract for services to CMCC.

Purpose: For contracting services. **Consistent Uses:** Maintaining information on all service contracts entered into by CMCC. **Retention and Disposal Standards:** Six fiscal years, then destroyed. **PAC Number:** 86-001 **TBS Registration:** 000377 **Bank Number:** CMC PPU 010

Requests from Federal Investigative Bodies

Description: This bank contains requests for personal information made pursuant to paragraph 8(2) of the Privacy Act by federal investigative bodies, the replies to such requests, the records of information disclosed, any correspondence with Privacy Commissioner and all records relating to the processing of the requests. **Class of Individuals:** Individuals being investigated by federal investigative bodies. **Purpose:** To meet the requirements of the Act. **Consistent Uses:** Compiling statistics relating to requests from federal investigative bodies. **Retention and Disposal Standards:** Two years after last action, then sent to National Archives of Canada for archival or historical retention. **PAC Number:** 86-001 **TBS Registration:** 003456 **Bank Number:** CMC PPU 025

Classes of Personal Information

Some CMCC files summarized in the program records contain personal information which is not used in a decision-making process directly affecting the individual to whom it relates. Examples are inquiries about CMC and CWM collections, programmes and services, information and advice given to individuals by CMC and CWM employees about museums and museum objects, and information about individuals in documents relating to grants or proposed grants to institutions.

These classes of personal information are kept on the relevant subject files and are not identifiable by name of the individual or other personal identifier. To retrieve these classes of personal information, the CMCC would need as much detail as possible about the subject matter, the geographic location and the time the information would have come to the CMC or the CWM.

The retention periods for these classes of personal information are the same as those for the subject files containing the information.

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Canadian Museum of Civilization Corporation and its various programmes and functions may be directed to:

Public Affairs Branch
Canadian Museum of Civilization
100 Laurier Street
P.O. Box 3100, Station B
Hull, Quebec
J8X 4H2
(819) 776-7160
(819) 776-7187 (Facsimile)

Reading Room

LIBRARY

Canadian Museum of Civilization
100 Laurier Street
P.O. Box 3100, Station B
Hull, Quebec
J8X 4H2
Canadian War Museum
330 Sussex Drive
Ottawa, Ontario
K1A 0M8

Canadian Museum of Nature

Chapter 28

General Information

Background

The Canadian Museum of Nature (CMN), a Crown Corporation, was established in 1990 by the Museums Act, and reports to parliament through the Minister of Communications. It is administered by its own directorate under the authority of a Board of Trustees. The President is the Chief Executive Officer of the CMN.

Responsibilities

The purposes of the Corporation, as defined in the Act, are to establish and maintain for research and posterity a collection of natural history objects, with special but not exclusive reference to Canada, and to use the collection, the knowledge derived from it and the understanding it represents, to increase throughout Canada and internationally, interest, knowledge, appreciation and respect for the natural world.

Legislation

- The Museums Act, 1990

Organization

♦ Business Enterprises

This programme is responsible for the coordination and implementation of a wide range of revenue-generating and cost savings programmes aimed at delivering a positive profit picture for the CMN. These objectives are fulfilled through various operations such as: Commercial Operations which includes the Boutique, and Visitor, Rental, Parking and Food services; Professional Services and Product Development which is responsible for coordinating revenue contracts in response to outside requests for Museum scientific, technical and professional expertise; Marketing and Communications division which is responsible for all museum advertising and promotion, media and public relations, market research and planning, and consultant to projects throughout the museum; Publishing division which is responsible for disseminating information about the CMN, its research, activities and results through popular and scientific publications; Community Relations division which is responsible for President's Events, Community Events, Volunteers, and Resource Development such as fund-raising and membership.

♦ Capital Projects

This programme is responsible for negotiating the custody transfer of the museum's facilities from the GSC to the CMN; the planning and development of new accommodations and outgoing coordination of services

for the management of current accommodations for the CMN; providing professional, technical and administrative advice and services on accommodation matters; and integrating museum priorities into accommodation planning for the achievement of the museum's mandate.

♦ Directorate

The Directorate includes the President's, Executive Vice-President's, Vice-President's and Chief Operating Officer's offices, and the Board of Trustees. Their responsibilities are to provide overall direction, guidance and management of operational and administrative divisions within the Museum.

♦ Environmental Issues

This programme is responsible for the direction of research, Museum involvement in science policy development, intergovernmental affairs, science information coordination and dissemination, national and international network development, and the development of Traditional Knowledge in the Museum. The main elements of the programme that seek to focus activity and create its sense of coherence are the Centres of Knowledge. These Centres form six general interest blocks through which all projects approved by the programme are funded; the Canadian Centre for Biodiversity, Polar Studies, Inter-American Studies, Planetary Evolution, Humans and Nature, and Science and Society.

♦ Governance

This programme enables the Museum to be governed by the Board of Trustees by establishing an efficient and effective secretariat, monitoring and evaluating museum activities, establishing the corporate image and profile, and encouraging new ideas and initiatives.

♦ National Heritage

This programme is responsible for the care and development of the national collections in natural history, their use in the interests of Canada and other nations, and leadership in the care and management of, and research on collections care. The Programme is a recognition that collections are important to Canadians, the CMN's collections responsibilities go beyond the walls of the Museum and that different types of expertise lead to excellence in collections care and research.

♦ Public Programmes

This programme is responsible for the evaluation, development and maintenance of programmes and activities to increase knowledge and appreciation for the natural world using the CMN's collection of natural history objects and specimens through exhibit-based programmes and a wide variety of modern educational media.

Information Holdings

Program Records

Acquisitions and Collections

Description: Detailed information on the acquisition and collection of artifacts and specimens through purchases, gifts, donations and exchanges. **Topics:** Collections Management Policy, general correspondence, tax receipt information for gifts and donations; Canadian Cultural Property Review Board recommendations and examination reports; Nature Art Collection, W.D. Paul and Duesberry Duck Decoy Collections, National Herbarium Collection, National Mineral Collection, Pinch Mineral Collection, collections of zoological and paleobiological specimens.

Access: The information is classified by objects.

Program Record Number: CMN NSA 005

Conservation

Description: Detailed information on the conservation and preservation of artifacts and specimens; prevention of their deterioration through care and maintenance; provision of suitable physical environments for storage; preservation and preparation methods; proper handling and packaging procedures for their transportation; and various conservation projects. **Topics:** Collections Management Policy and general correspondence; analysis, examinations, inspections, restoration; Shaw Woods Forest Preserve; Coral Reefs. **Program Record Number:** CMN NSA 010

Exhibitions

Description: Detailed information on permanent, travelling, temporary and international exhibitions including exhibit planning and proposed exhibits. **Topics:** Public Programming and Exhibits policies, general correspondence on exhibit planning and design; Plant Life; Animal Life; Animals in Nature; Birds in Canada; Mammals in Canada; Earth Hall; Life through the Ages; Viola MacMillan Mineral Gallery; Wolves and Humans; Creepy Critters; Dinomagica; Monarca. **Program Record Number:** CMN NSA 025

Loans

Description: Detailed information on incoming and outgoing short and long term loans to other museums, individuals and educational institutions for research, exhibition and education purposes. **Topics:** Loans Policy and general correspondence; loan approvals; voucher specimens; transportation procedures. **Program Record Number:** CMN NSA 020

Marketing and Communications

Description: General information on the museum's business operations and revenue generating programmes. **Topics:** Marketing and Advertising Policies; professional services; public and media relations; visitor services; rental services; boutique operations; volunteer programme; membership programme; fund-raising and co-venture

projects; Whale Model (Canlands) Project. **Program Record Number:** CMN NSA 035

Publications

Description: Detailed information on the museum's scientific and trade publications. **Topics:** Publications Policy and general correspondence; co-publishing; distribution and sales; royalties; copyrights and reproduction rights; book reviews; Syllogeus publications; Natural History Notebook series; Flora of Canada; Handbook on Canadian Mammals; Famous Mineral Localities of Canada; Squirrels of Canada; Birds of Canada; A Vanished World — Dinosaurs of Western Canada; The Dinosaurs of North America. **Program Record Number:** CMN NSA 030

Research

Description: Detailed information on scientific research in various fields such as Earth Sciences, Paleobiology, Mineralogy, Vertebrate and Invertebrate Zoology, Botany; various research projects, field expeditions and notes. **Topics:** Research Policy and general correspondence; Arctic Research and Weather Station (Polar Bear Pass) Tertiary Forest Project; Canada/China Dinosaur Project; Polar Continental Shelf Project; Biodiversity Research. **Program Record Number:** CMN NSA 015

Personal Information Banks

Collections

Description: This bank has complete information on names and addresses of individuals pertaining to the collection of objects by the Canadian Museum of Nature. **Class of Individuals:** Individuals with which the Canadian Museum of Nature deals, in relation to collecting. **Purpose:** To maintain complete information about objects collected or collectable by the Canadian Museum of Nature. **Consistent Uses:** Determination of the value of objects for income tax or insurance purposes. **Retention and Disposal Standards:** Operational records; no disposal schedules have been applied. **Related to PR#:** CMN NSA 005 **TBS Registration:** 003412 **Bank Number:** CMN PPU 005

Contract Files

Description: This bank contains records of all personal and professional service contracts entered into by the Canadian Museum of Nature. The records contain information such as the request for service, the original contractual document, contract payments and reasons for not authorizing payments if the terms of the contract have not been met. Active files are kept within the Contracts Management Section. **Class of Individuals:** Information relates to individuals from the private sector under contract for services to CMN. **Purpose:** For contracting services legal and financial references. **Consistent Uses:** To maintain information on all service contracts entered into by CMN. **Retention and Disposal Standards:** Six fiscal years after termination or cancellation of contract, then destroyed. **PAC Number:** 86-001 **TBS Registration:** 000377 **Bank Number:** CMN PPU 010

Donations and Contributions

Description: This bank contains personal information such as names and addresses of donors as well as financial information on donations of museological objects or financial contributions towards the acquisition of museological collections. **Class of Individuals:** General public and private sector businesses and corporations. **Purpose:** To maintain financial information dealing with the issuance of income tax receipts. **Consistent Uses:** Pursuant to the Income Tax Act, documentation is used to support annual individual or corporate tax returns. **Retention and Disposal Standards:** Records are retained for statistical purposes for six years and then destroyed. **Related to PR#:** CMN PPU 005 **TBS Registration:** 003413 **Bank Number:** CMN PPU 015

Individual Requests under the Access to Information Act

Description: Contains formal requests made under the Access to Information Act by individuals for access to records, the replies to such requests, and all records relating to their processing. If applicable, includes information on exemptions claimed, interventions of third parties, complaints received, reports and recommendations of the Information Commissioner and litigation in the Federal and Supreme Courts. **Class of Individuals:** Individuals requesting information under the ATI Act. **Purpose:** To process such requests. **Consistent Uses:** Compiling statistics relating to the administration of the Act. **Retention and Disposal Standards:** Two years after last administrative use or request action completed, then destroyed. **PAC Number:** 86-001 **TBS Registration:** 001680 **Bank Number:** CMN PPU 020

Individual Requests under the Privacy Act

Description: Contains formal requests made under the Privacy Act by individuals for access to personal information about themselves, the replies to such requests, and all records relating to their processing. If applicable, includes information on exemptions claimed, complaints received, reports and recommendation of the Privacy Commissioner and litigation in the Federal and Supreme Courts. **Class of Individuals:** Individuals requesting information under the Privacy Act. **Purpose:** To process such requests. **Consistent Uses:** Compiling statistics relating to the administration of the Act. **Retention and Disposal Standards:** Two years after last administrative use or request action completed, then destroyed. **PAC Number:** 86-001 **TBS Registration:** 001680 **Bank Number:** CMN PPU 030

Requests from Federal Investigative Bodies

Description: Contains requests for personal information made pursuant to paragraph 8(2)(e) of the Privacy Act by federal investigative bodies, the replies to such requests,

the records of information disclosed, any correspondence with the Privacy Commissioner and all records relating to the processing of the requests. **Class of Individuals:** Individuals being investigated by federal investigative bodies. **Purpose:** To meet the requirements of the Privacy Act. **Consistent Uses:** Compiling statistics relating to requests from federal investigative bodies. **Retention and Disposal Standards:** Two years after last administrative use or request action completed, then destroyed. **PAC Number:** 86-001 **TBS Registration:** 000379 **Bank Number:** CMN PPU 025

Manuals

- Administration Policy
- Collections Management Policy
- Conservation Policy
- Print Publication Policy
- Public Programming Policy

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Museum, its branches, and its various programs and functions may be directed to:

Communication Division
Canadian Museum of Nature
8th floor, 222 Nepean Street
P.O. Box 3443, Station D
Ottawa, Ontario
K1P 6P4
(613) 990-6416

Reading Room

The Museum's library has been designated, under the Access to Information Act, as a public reading room. The library's address is:

Library
Canadian Museum of Nature
2086 Walkley Road
Ottawa, Ontario.

Canadian Polar Commission

Chapter 29

General Information

Background

In September 1985 the Minister of Indian Affairs and Northern Development commissioned a study group to investigate the state of Canadian polar science. Based on extensive consultations with Canada's northern research community, government departments, and non-government organizations, the study group produced its report, *Canada and Polar Science*, in March 1987. Among its recommendations was a call for the creation of "a national body ...to provide ongoing contact, at a senior level, between the federal government and those concerned with Canadian polar science and research".

Professor Thomas Symon's report *The Shield of Achilles*, which became the draft of a mandate for the Canadian Polar Commission, expressed concern that a new commission not duplicate the efforts of other institutions, but "...build upon, complement and support the work of the many diverse existing Canadian institutions, programmes, and organizations that are dedicated to the development of polar knowledge".

As a result of these efforts, the Canadian Polar Commission provides a new focus for polar scientific research in Canada. Through active interchange with industry, the private sector, governments, aboriginal people and other northern residents, the academic community, and interest groups, the Commission can reinforce Canada's existing role and reputation in the polar world.

Responsibilities

The mandate of the Commission is to monitor and to assess the state of polar knowledge in the country, and to foster the development of this important part of Canadian science. To this end, the Commission's role is to help determine scientific priorities with regard to polar regions, encourage Canadian organizations to support development and dissemination of knowledge of these regions, advise the minister responsible (Minister of Indian and Northern Affairs) on polar matters, disseminate information about polar research, and foster international cooperation regarding the sharing of polar knowledge.

It is within the mandate of the Commission to sponsor conferences, seminars and other activities, provide financial support for studies and the publication of reports, recognize achievements in polar science, support and encourage in various ways the endeavours of those who are involved in polar research.

Legislation

- Canadian Polar Commission Act (February 1991)

Organization

The Canadian Polar Commission is made up of 7 members, selected for their skills and merits. These members, who form the Board of Directors of the Commission, cannot be full-time employees in the Public Service of Canada. They are appointed for three year terms. Sub-committees have been established on science and the environment, international affairs, communications and the Canadian Polar Information Directory. The Polar Commission itself can call on others, especially northerners, to sit on these committees.

The Canadian Polar Commission offices are located in Ottawa and Yellowknife.

Information Holdings

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Budgets

Buildings and Properties

Classification of Positions

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Official Languages

Pensions and Insurance

Personnel

Procurement

Salaries and Wages

Staff Relations

Training and Development

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Commission may be directed to:

Canadian Polar Commission
Suite 1710, Constitution Square
360 Albert Street
Ottawa, Ontario
K1R 7X7
Tel: (613) 943-8605
Fax: (613) 943-8607

Yellowknife Office

Northern Visitors' Centre
10-4807, 49th Street
Yellowknife, N.W.T.
X1A 3T5
Tel: (403) 920-7401 or 920-7098
Fax: (403) 873-3654

Canadian Radio-television and Telecommunications Commission

Chapter 30

General Information

Background

The Canadian Radio-television and Telecommunications Commission (CRTC) is an independent public authority established by Parliament in 1968 as a successor to the Board of Broadcast Governors, an earlier regulatory agency. Its mandate was to regulate and supervise all aspects of the Canadian broadcasting system. These responsibilities were enlarged in 1976 by the Canadian Radio-television and Telecommunications Commission Act, under which the Commission assumed responsibility from the Canadian Transport Commission for regulating telecommunications carriers within federal jurisdiction. On 4 June 1991 the new Broadcasting Act was proclaimed in force. On 25 October 1993 the new Telecommunications Act was proclaimed in force to replace the Railway Act and the National Telecommunications Powers and Procedures Act.

Responsibilities

In broadcasting matters, the CRTC regulates both the public and private broadcasting sectors. It has the power to *inter alia*, issue, renew, amend, suspend or revoke licences and to set any conditions of licence it feels necessary. With respect to federally-regulated telecommunications carriers, the CRTC has the power to ensure that the rates charged by the carriers are just and reasonable, and not unjustly discriminatory. The Commission's approval is also required for traffic and certain other types of agreements and capital stock issues. In order to fulfil its mandate, the CRTC collects a variety of information pertaining to applicants and existing licensees operating programming, distribution or network undertakings (cable, radio and television) across the country. Financial and operating information is collected on a yearly basis in Annual Returns. Programming on radio and television is monitored: television stations, including pay television and specialty services, must submit detailed program logs monthly and radio stations must submit their program logs upon request. In the course of the public hearing process, information concerning the applications being heard is made available for public examination, as outlined in the CRTC Rules of Procedure. These public files include the text of any intervention made by anyone wishing to express his/her views with respect to particular applications or issues raised in public hearings. In telecommunications hearings, interrogatories and other more formal practices may be carried out, as outlined in the CRTC Telecommunications Rules of Procedure. The Commission receives complaints and enquiries from specific individuals or corporations,

or interest group regarding both broadcasting and telecommunications. Copies of complaints relating to broadcasting matters are forwarded to the licensee concerned for comment and placed on a file available for examination by the public. In Public Notice 91-90 dated 30 August 1991, the Commission announced its support for the Canadian Broadcast Standards Council (the CBSC), which will administer industry standards and codes of conduct. In Public Notice 92-22 the Commission also endorsed the Cable Television Standards Council (CTSC) which will administer Cable Service Standards.

Legislation

- Broadcasting Act (1991)
- Cable TV Regulations (1986)
- Canadian Radio-television and Telecommunications Act (1976)
- Pay Television Regulations (1990)
- Radio Regulations (1986)
- Specialty Services Regulations (1990)
- Telecommunications Act (1993)
- Television Broadcasting Regulations (1987)

Organization

Broadcasting Sector

The broadcasting component is composed of three functional activities — Broadcast Analysis, Broadcast Planning and Broadcast Technology and Distribution.

Broadcast Analysis, Broadcast Planning and Broadcast Technology and Distribution

These Branches are responsible for content policy development, as well as operational compliance, monitoring and analysis of applications. They provide advice on operational, policy and regulatory aspects of the radio, television and cable industries, assist in determining priorities and plans regarding future broadcasting developments and monitor new developments in broadcasting and cable technology. Marketing, financial and ownership data related to the industry and the analysis of that data is also conducted.

Secretary General

This office is the official point of contact between the Commission and the public. It coordinates Commission activities commonly used by both the broadcasting and telecommunications sectors.

Corporate Services, Review and Renewal

This Division performs internal audits (financial, compliance, operational), conducts special reviews and evaluates program relevance and effectiveness. It also studies and reviews regulatory reform. It is the focal point for the Auditor General audits and annual reports. It also provides services to both the Telecommunications and Broadcasting sectors, as well as coordination in the area of Finance, Human Resources and Informatics.

Finance and Management Services Branch

This Branch provides financial, program planning, management and administrative services to ensure the most effective operation of the Commission and the most cost-effective and efficient development and use of new services and facilities. It collects broadcast licence fees and helps to formulate licence fee regulations. The Branch also coordinates informatics activities throughout the Commission, maintains a library for communications industry information in Canada and administers the Commission's records management, mail room and fleet management services.

Human Resources, Official Languages and Security

This Division advises the Chairman and staff on all aspects of the administration of human resources, including classification, staffing, pay and benefits, staff relations and special program administration, including employment equity and training and development. The division is also responsible for the administration of the CRTC's security function.

Secretariat Operations and Licensing Branch

This Branch oversees the Planning and Scheduling Section for the Commission, the Decisions and Proceedings Division, and the Licensing Sector of the broadcasting sector. The Branch also exercises functional direction over the four regional offices with respect to activities in the broadcasting and telecommunications sectors and to federal-provincial relations. The Branch also administers the Access to Information Act and the Privacy Act.

◆ Regional offices

They are four in number and provide an official CRTC presence in the various regions of Canada to advise headquarters of the concerns of interested parties in their region. They provide information to provincial governments, the industry, the academic community and the public.

Legal Directorate

The CRTC's general counsel and staff provide legal services to the Commission concerning the interpretation and implementation of the CRTC Act, the Telecommunications Act and the Broadcasting Act, statutory instruments

made pursuant to these acts, and related federal and provincial legislation. Counsel advise the Commission of the legal implications of policy matters, conduct questioning, and advise on procedural matters at public hearings. They also make recommendations and carry out legal proceedings instituted by or against the Commission.

Public Affairs Division

The Public Affairs Division formulates and evaluates communications strategies, policies and initiatives and is responsible for all public relations activities, as well as for the production and distribution of CRTC publications. The Division also responds to briefs, letters, written inquiries and complaints received by the Commission and coordinates the referral of complaints to the Canadian Broadcast Standard Council (CBSC) and the Cable Television Standards Council (CTSC) in the case of issues covered by industry standards administered by the Councils.

Telecommunications Directorate

The principal mandate of this Directorate is to ensure that the rates charged by the carriers under federal jurisdiction are just and reasonable and not unjustly discriminatory. The Directorate assesses tariffs and agreements filed for approval by carriers, assists the Commission in the development of regulatory policies, decisions and orders and identifies and analyzes regulatory issues stemming from individual applications or major hearings.

Competition, Social and Convergence Policy

This Branch analyzes and provides advice to the Commission on competition and market structure, current and future regulatory issues, convergence issues, service quality and socio-economic aspects of regulatory issues.

Decisions and Operations Branch

This Branch plans and coordinates telecommunications staff agendas, receives and schedules applications, advises applicants on proper procedure, and formulates, drafts and edits public notices, orders and decisions issued by the Commission. The Branch is also responsible for analysis and advice to the Commission on the rates and costs of tariffed services, for the resolution of complaints and inquiries from subscribers and competitors of the federally-regulated carriers and for liaison with the independent telephone companies.

Financial Analysis Branch

This Branch is concerned with the financial aspects of rate regulation and the carriers' inter-corporate activities, statistical analysis, accounting and auditing carrier activities. The Branch is also responsible for the implementation of inquiries into carrier costs, for assessing the carriers' construction programs and for advice to the Commission

regarding the depreciation, renewal and amortization of carrier equipment.

Information Holdings

Program Records

Advertising Practices

Description: Information on media advertising practices that contravene CRTC policy and regulations. **Topics:** Children's advertising; food and drugs; balanced programming; commercial production; beer, wine and cider advertising. **Access:** Files arranged by sector and subject. **Program Record Number:** CRT LEG 150

Annual Returns — Broadcasting

Description: This bank contains a record of financial and ownership information relating to individuals and/or companies authorized under the Broadcasting Act to own and operate broadcasting undertakings in Canada. **Topics:** Ownership and financial information. **Access:** Files are arranged alphabetically by licensee. **Storage Medium:** Computer media and hardcopy. **Program Record Number:** CRT BRO 205

Applications (Existing Licences) — Broadcasting

Description: Information contained in applications for the amendment or renewal of AM, FM (Campus, Community, Native and Ethnic Radio), TV, Cable, Pay and Specialty Services licences, as well as information contained in any other applications for required Commission authorizations in respect of broadcasting licences. **Topics:** Ownership, financial, programming and other operational information. **Access:** Files arranged by type; AM, FM (Campus, Community, Native and Ethnic Radio), TV by call sign, cable in numerical order and other systems in alpha-numerical order. **Program Record Number:** CRT BRO 185

Applications (New Licences) — Broadcasting

Description: Information contained in new applications for obtaining a broadcasting licence, as well as information by the Commission for authorization of broadcasting licences. **Topics:** Ownership, financial, programming and other operational information. **Program Record Number:** CRT BRO 190

Applications — Telecommunications

Description: Information on telecommunications proceedings from the application to the final decision. **Topics:** Applications; interventions; interrogatories; public hearing transcripts; exhibits; public notices; decisions. **Access:** Files arranged by applicant, subject and Public Notice number or Decision number. **Program Record Number:** CRT TEL 125

Broadcast Interventions

Description: Information on interventions received by the CRTC to support, oppose or modify an application. A computerized data base retains information relative to a number of different hearings and/or notices simultaneously. **Topics:** Name, address and telephone number of intervener (or agent); text of intervention; and related correspondence. **Access:** Files with originals are arranged by call sign or by individual licence in numerical order. Books with copies of interventions are arranged by date and location of public hearing and applicant name. Computerized information is accessed by applicant, interventions or interventions index. **Program Record Number:** CRT SEC 050

Broadcasting Decisions

Description: The complete text of all broadcasting decisions rendered by the Commission. Broadcasting decisions and policy public notices from 1984 to the present are accessible on a computerized data base. Records of decisions related to applications including abstracts where appropriate. **Topics:** Textual information of the broadcast decisions and policy public notices. **Access:** Paper files arranged by decision number or by public notice number. The computerized data base has full text search. Records of decisions are accessed by decision number and application number. **Storage Medium:** Computer media and hardcopy. **Program Record Number:** CRT BRO 055

Broadcasting Technical Database

Description: Technical information on operating television and radio stations. **Topics:** Coverage areas; frequency; power; other technical parameters. **Access:** Files arranged by location and licensee. **Storage Medium:** Computer media and hardcopy. **Program Record Number:** CRT BRO 100

Cable Signal Carriage

Description: Information concerning cable operators and the signals they are authorized to distribute. **Topics:** Demographics, radio, television and pay television signals carried, rates, subscribers. **Access:** Computerized data base access is by licensee and cable undertaking identifier. **Storage Medium:** Computer media and hardcopy. **Program Record Number:** CRT BRO 195

Canadian Program Recognition

Description: Information on programs that have been certified as Canadian content since 1960. **Topics:** Program title, duration, production data, type of recognition (Canadian, Special Recognition, Dubbed). **Access:** File arranged in alpha-numeric order by company name or individual name. Computerized data base accessible by title or by Canadian Program Recognition identifier. An updated list is published annually. **Storage Medium:** Computer media and hardcopy. **Program Record Number:** CRT BRO 180

Commission Proceedings

Description: Information on Commission proceedings dealing with broadcasting and telecommunications matters. **Topics:** Public notices; decisions; circular letters; telecommunications orders; and public hearing transcripts. **Access:** Files arranged by date, and location of public hearing. **Storage Medium:** Public hearing transcripts available on microfilm (1968-1981) and hardcopy, as well as on computer media. **Program Record Number:** CRT SEC 080

Correspondence on Broadcasting

Description: Correspondence regarding broadcasting between broadcasters, cable operators, the public and the Commission. Computerized data base registers, tracks and reports on correspondence from receipt to completion. **Topics:** Complaints, program schedules; applications, equipment surveys; community programming on cable; correspondence on programming in general including political broadcasts and advertising. **Access:** Files arranged by call sign (broadcasting) and by individual licence in numerical order. Computerized data base accessed by originator name, originator company, area of origin and undertaking identifier. **Program Record Number:** CRT BRO 045

Correspondence on Telecommunications Regulation

Description: Correspondence between the regulatory agencies and independent telephone companies and other telecommunications carriers. **Topics:** Tariffs; agreements; general regulations; related correspondence. **Access:** Files arranged by carrier or company. **Program Record Number:** CRT TEL 130

Inquiries and Complaints — Telecommunications

Description: Inquiries and complaints dealing with services provided by federally-regulated telecommunications carriers. A computerized data base registers, tracks and reports on complaints and inquiries from receipt to completion. **Topics:** Quality of telephone service; construction programs. **Access:** Files arranged by telecommunications carrier or complainant. Computerized information is accessed by client and by letter. **Program Record Number:** CRT TEL 120

Legal Advice on Broadcasting Issues

Description: Information on internal legal advice on major issues of concern to the Commission in the area of broadcasting. **Topics:** Educational broadcasting; political broadcasts; multilingual and religious broadcasting; communications satellites; pay television; balance in programming; unauthorized broadcasting; obscenity. **Program Record Number:** CRT LEG 145

Legal Correspondence on Broadcasting

Description: Information on legal issues involving correspondence between a licensee and the CRTC, as well as advice given to the licensing branch on legal matters. **Topics:** Complaints; share transfers; regulation; commercial deletion and substitution; non-compliance.

Access: Files arranged by medium and licensee name. **Program Record Number:** CRT LEG 140

Licensing Application Support

Description: Information on the processing status of all applications submitted from the broadcasting industry from receipt to disposition either by approval or denial, as well as the proposed date for scheduling to a public hearing. **Topics:** Applicants, application type, category, proposal and scheduling information. **Access:** Information accessed by public hearing date, applicant name and by application identifier. **Storage Medium:** Computer media and hardcopy. **Program Record Number:** CRT SEC 110

Ownership of Broadcasting Undertakings

Description: Information on the ownership history of radio, television and cable television undertakings. Computerized data base maintains information about the ownership structure of all companies and individuals involved in the Canadian broadcasting industry. Current information is retained until superseded. **Topics:** Principal shareholders and holdings; control; multiple ownership; administrators and executives; and security companies. **Access:** Files arranged by company name including holding companies. Computerized data base accessed by owner or corporate name with full cross-indexing to identify shareholders and directors. **Program Record Number:** CRT BRO 025

Prosecution Cases

Description: Case documentation, court documents and correspondence during litigation. **Topics:** Canadian content violations; unlicensed systems; excessive commercialism; offensive programming; and other violations of regulations. **Access:** Files arranged by medium and licensee. **Program Record Number:** CRT LEG 155

Radio — Broadcasting Profile

Description: General information relating to individuals and/or companies authorized to operate radio broadcasting undertakings (AM or FM) in Canada. **Topics:** Call sign; licensee name; band; format; address and telephone number; region; language; frequency; power of transmission; system number; licensee number; affiliation; first year of operation; market; class; general manager; program director; expiry date; audience information. **Access:** Files arranged by call sign. **Program Record Number:** CRT BRO 165

Radio — Canadian Talent Development Contribution

Description: Canadian talent development contribution commitments for radio broadcasters in Canada. **Topics:** Commitments by call signs, by province, by region, by ownership; national statistics. **Access:** Files arranged by company name in alpha-numerical order. **Program Record Number:** CRT BRO 170

Radio Music Hits

Description: A record of all Canadian selections and any selection that appeared on a recognized Canadian and/or American chart (Billboard 100, Billboard AC). A

computerized data base maintains a past and present musical selections from recognized charts. **Topics:** Musical selection and authors. **Access:** Files are arranged by charts. The computerized data base is accessed by title or by author. **Storage Medium:** Computer media and hardcopy. **Program Record Number:** CRT BRO 175

Statistical Information

Description: Information on all aspects of broadcasting and telecommunications in Canada. **Topics:** AM and FM radio; television and cable television; advertising; licensing; programming; pay television; telephone services; telecommunications carriers; communications satellites; demographics. **Program Record Number:** CRT BRO 085

Tariff Applications

Description: Information on tariff applications and agreements by federally-regulated telecommunications common carriers. **Topics:** Tariff applications; rates; new tariff items and revisions. **Access:** Files arranged by carrier and tariff number. **Program Record Number:** CRT TEL 135

Technical Briefs

Description: Technical information supplied to the Commission in the form of engineering briefs concerning the establishment of new, or modifications to existing, radio and television stations. **Topics:** Applicant name, station identification; location of transmitter; population and households covered by signal; channel frequency and power; signal interference; other relevant engineering data. **Access:** Files arranged by applicant. **Storage Medium:** Computer media. **Program Record Number:** CRT BRO 030

Telecom Decisions Text

Description: The complete text of all Telecom decisions rendered by the Commission. Telecom decisions, letter decisions and public notices from 1984 to the present are accessible on a computerized data base. **Topics:** Textual information of the Telecom decisions and public notices. **Access:** Paper files arranged by decision number or public notice number. The computerized data base has full text search. **Storage Medium:** Computer media and hardcopy. **Program Record Number:** CRT TEL 140

Telecommunications Issues

Description: Information on legal issues involving federally-regulated telecommunications carriers. **Topics:** Rates; tariffs; interconnection; equity issues; toll sharing; agreements. **Access:** Files arranged by telecommunications common carrier. **Program Record Number:** CRT LEG 160

Television Advertising Logs

Description: Information on commercial messages, public service announcements, program promotions and station identification messages. **Topics:** Date and time of broadcast, duration, title of commercial announcement or message. **Access:** Files arranged by station, by year and

month. **Storage Medium:** Computer media and hardcopy. **Program Record Number:** CRT BRO 110

Television Program Logs

Description: Information on television programs. **Topics:** Date and time of broadcast; duration; program title; production source; country of origin; program category; other data obtained from station logs. **Access:** Computerized logs are arranged by station identifier, month and year. Hardcopy logs are arranged by station identifier, month and year. **Storage Medium:** Computer media and hardcopy. **Program Record Number:** CRT BRO 105

Undertakings

Description: Key information of all broadcasting undertakings approved by the Commission. **Topics:** Licensee, undertaking type, call sign, class of licence, period of licence. **Access:** Information accessed by licensee and by undertaking identifier. **Storage Medium:** Computer media and hardcopy. **Program Record Number:** CRT BRO 200

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Audits

Budgets

Buildings

Buildings and Properties

Classification of Positions

Co-operation and Liaison

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Occupational Health, Safety and Welfare

Office Appliances

Official Languages

Pensions and Insurance

Personnel

Procurement

Salaries and Wages

Staff Relations

Staff Relations

Training and Development

Utilities

Vehicles

Personal Information Banks

Access Request Data Bank

Description: This bank contains the access request forms sent by individuals, the replies to such requests and information related to their processing. **Class of Individuals:** Members of the public who have made access requests to the Commission. **Purpose:** The bank is used for processing access requests only, and to report on the number of access requests received annually.

Retention and Disposal Standards: Records are retained for two years and then destroyed. **PAC Number:** 86-001 **TBS Registration:** 001820 **Bank Number:** CRT PPU 060

Annual Returns — Broadcasting

Description: This bank contains financial information on individuals and/or companies authorized under the Broadcasting Act to own and operate broadcasting undertakings in Canada. **Class of Individuals:** Members of the public who have a financial interest in a broadcasting undertaking. **Purpose:** For use in the preparation of financial analysis comments for the various public hearings held across the country with respect to the granting of licences for broadcasting undertakings; compiling and analyzing data on the broadcasting industry such as growth trends and industry performance; the assessment of annual licence fees to be paid by the licensees.

Consistent Uses: None **Retention and Disposal Standards:** Records are retained for twelve years and then destroyed. **PAC Number:** 82-037 **TBS Registration:** 003716 **Bank Number:** CRT PPU 015

Applicants File — Broadcasting

Description: This bank contains information on the identification of applicants for broadcasting licences, investment (equity and/or securities) held by an applicant in a broadcasting undertaking and any other holdings, as well as explanations of the organization, service information, capital costs and facilities and financial operations information. **Class of Individuals:** Members of the public who are part of an application filed for a broadcasting licence **Purpose:** To provide information on individuals and/or companies, partnerships, and individuals doing business under a registered name, relative to obtaining, renewing and amending a licence for a broadcasting undertaking in order to process the application. **Consistent Uses:** None **Retention and Disposal Standards:** Records are retained for fifteen years and then destroyed **PAC Number:** 91-021 **TBS Registration:** 003718 **Bank Number:** CRT PPU 035

Broadcast Correspondence Files

Description: This bank contains comments, enquiries and complaints covering a wide range of topics, most of which are related to the Broadcasting Act and regulations

under the Act. **Class of Individuals:** Members of the general public who wish to submit comments, enquiries and complaints to the Commission and broadcasting licensees. **Purpose:** The purpose of this bank is to maintain a record of correspondence with licensees, including AM and FM radio, television, cable, specialty services and pay television concerning programming matters such as logs, tape recordings of programs, commercials and Canadian content issues. **Consistent Uses:** None **Retention and Disposal Standards:** Records are retained for fifteen years and then destroyed. **Note:** This bank will be integrated into Complaints and Representation File Bank in the next edition of Info Source. **PAC Number:** 91-021 **TBS Registration:** 003723 **Bank Number:** CRT PPU 020

Complaints and Representation File

Description: This bank contains the name, address, as well as other personal details provided, of the person or agent, if any, lodging a complaint or wishing to make a representation to the Commission on any matter within its jurisdiction, as well as details on the nature of the complaint or representation. **Class of Individuals:** Members of the general public who file a complaint or make enquiries to the Commission and broadcasting licensees. **Purpose:** To maintain a record of any person who wishes to lodge a complaint with or make any representation to the Commission with respect to any matter that is not directed to any application before the Commission. Copies of many of the complaints are placed on a file available for examination by the public. **Consistent Uses:** None **Retention and Disposal Standards:** Records are retained for fifteen years and then destroyed. **Note:** This bank will be combined with Broadcast Correspondence File next year. **PAC Number:** 91-021 **TBS Registration:** 003722 **Bank Number:** CRT PPU 005

Complaints, Inquiries and Briefs — Telecommunications

Description: This bank contains all information relevant to the submission, processing, investigation and disposition of complaints and inquiries from customers or users of telecommunications services furnished by the telecommunications companies under the Commission's jurisdiction. The bank contains all correspondence between the Commission and the telecommunications company against which the complaint or inquiry is directed on such matters as quality of service, rates and charges, credit and collection practices. **Class of Individuals:** Members of the general public who submit complaints or enquiries to the Commission **Purpose:** To maintain a depository for case files on telecommunications complaints and inquiries for the purpose of resolving disputes or responding to inquiries. **Consistent Uses:** None **Retention and Disposal Standards:** Records are retained for ten years and then destroyed **PAC Number:** 85-002 **TBS Registration:** 003717 **Bank Number:** CRT PPU 025

Interveners File — Broadcasting

Description: This bank contains personal information such as the name, address and telephone number of persons who are interested in a specific application and who have filed with the Commission an intervention for the purpose of supporting, opposing or modifying an application. **Class of Individuals:** Members of the general public who file an intervention in opposition or support of an application for a broadcasting licence. **Purpose:** To maintain a record of those individuals who intervene on behalf of an application before the Commission to be used in the decision-making process. Interventions are public documents and are retained on a file available for examination by the public. **Consistent Uses:** None. **Retention and Disposal Standards:** Records are retained for fifteen years and then destroyed. **PAC Number:** 91-021 **TBS Registration:** 003719 **Bank Number:** CRT PPU 040

Legal Directorate

Description: A record of prosecutions and investigations conducted by the Legal Directorate, as well as some of the material upon which such prosecutions and investigations were contemplated or carried out. It also provides a record of cases in which the Commission is involved in some other material relevant to such cases. **Class of Individuals:** Counsel of the Legal Directorate of the Commission and counsel from other departments or private firms. **Purpose:** To provide a record of legal opinions and advice given to the Commission by the Legal Directorate and other sources and to retain some of the material upon which such advice was given. **Consistent Uses:** None. **Retention and Disposal Standards:** In process. **PAC Number:** In progress. **TBS Registration:** 003720 **Bank Number:** CRT PPU 045

Ownership Profiles — Broadcasting

Description: This file contains the name of the individual ownership shareholding, together with the location and type of undertaking (cable, AM, FM or TV) of those individuals authorized under the Broadcasting Act to own and operate broadcasting undertakings in Canada. **Class of Individuals:** Members of the public who have shares in a broadcasting undertaking. **Purpose:** For use in the preparation of ownership comments for the various public hearings held across the country with respect to the granting of licences for broadcasting undertakings. **Consistent Uses:** None. **Retention and Disposal Standards:** Records are retained for fifteen years and then destroyed. **PAC Number:** 91-021 **TBS Registration:** 003715 **Bank Number:** CRT PPU 010

Personal Service Contracts

Description: A record of individuals who have been engaged under contract by the Commission. It contains basic data such as social insurance number, educational qualifications, employment history, appointment and termination dates, amount of fees paid and other allowable expenses and payments. **Class of Individuals:** Members of the public who are eligible for personal service contracts. **Purpose:** To maintain a record to determine taxable

income and to issue the T-4 Supplementary for income tax purposes and as source data for personnel required to carry out special studies from time to time. **Consistent Uses:** None. **Retention and Disposal Standards:** Files are retained for six fiscal years and then destroyed. **PAC Number:** 86-001 **TBS Registration:** 003721 **Bank Number:** CRT PPU 050

Manuals

- Access to Information and Privacy Procedures Manual
- Departmental File Classification Manual
- Deployment Policy
- Licensing Procedures Manual
- Security Policy Manual
- Staffing Information Manual

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

General information can be obtained either in person, by telephone or by writing to CRTC headquarters at:

National Capital Region

Central Building
Les Terrasses de la Chaudière
1 Promenade du Portage
Hull, Quebec

General Information on the Commission may also be accessed on Internet at <http://www.crtc.gc.ca>

Please specify the appropriate CRTC service and floor:

Library, 2nd Floor
Phone: (819) 997-2429

Public Examination Room
2nd Floor
Phone (819) 997-2429

Public Affairs, 5th Floor
Phone: (819) 994-0423
Telecopier: (819) 994-0218

The CRTC maintains four regional offices to establish the Commission's presence across the country. These offices provide a link between the head office and the licensees, federally-regulated carriers, provincial and municipal governments, local groups, and the public.

In addition to searching out areas needing new broadcasting services, and assessing existing services, the regional offices are available to provide general information and assistance to the public, applicants, interveners, federally-regulated carriers and licensees in the regions.

Atlantic Region

Director General
Canadian Radio-Television and Telecommunications
Commission
Bank of Commerce Building
1809 Barrington Street, Suite 1007
Halifax, Nova Scotia
B3J 3K8

Phone: (902) 426-7997
TDD: (902) 426-6997
Telecopier: (902) 426-2721

Midwest Region

Director General
Canadian Radio-television and Telecommunications
Commission
Kensington Building
275 Portage Avenue
Suite 1810
Winnipeg, Manitoba
R3B 2B3

Phone: (204) 983-6306
TDD: (204) 983-8274
Telecopier: (204) 983-6317

Pacific Region

Director General
Canadian Radio-television and Telecommunications
Commission
Suite 1380
800 Burrard Street
Vancouver, British Columbia
V6Z 2G7

Phone: (604) 666-2111
TDD: (604) 666-0778
Telecopier: (604) 666-8322

Quebec Region

Director General
Canadian Radio-television and Telecommunications
Commission
Place Montreal Trust
1800 McGill College Avenue, Suite 1920
Montréal, Québec
H3A 3J6

Phone: (514) 283-6607
TDD: (514) 283-8316
Telecopier: (514) 283-3689

Reading Room

The Commission's library has been designated under the Access to Information Act as a public reading room. The address is:

National Capital Region

Central Building
Les Terrasses de la Chaudière
1 Promenade du Portage, 2nd Floor
Hull, Quebec

Canadian Security Intelligence Service

Chapter 31

General Information

Background

The Canadian Security Intelligence Service (CSIS) has operated pursuant to the Canadian Security Intelligence Service Act, since its inception in 1984.

Responsibilities

CSIS collects, analyzes and retains information and intelligence respecting activities that may on reasonable grounds be suspected of constituting threats to the security of Canada, and reports to and advises the Government of Canada in relation to these matters.

The Service also plays a role in providing security assessments to departments of the Government of Canada (in accordance with section 13 of the CSIS Act and government security policy) and may provide security assessments to the government of a province or any department thereof, any police force in a province, and to the government of a foreign state or institution thereof or an international organization of states or institutions thereof when a security clearance is a required condition of employment. As well, CSIS may advise any Minister of the Crown on matters relating to the security of Canada, or provide any Minister of the Crown with information relating to security matters or criminal activities that is relevant to the exercise of any power or the performance of any duty or function by that Minister under the Citizenship Act or the Immigration Act. It may also conduct such investigations as are necessary in order to provide security assessments or advice to Ministers.

Further, CSIS may, in relation to the defence of Canada or the conduct of international affairs, assist the Minister of National Defence or the Minister of Foreign Affairs and International Trade, within Canada, in the collection of information or intelligence relating to the capabilities, intentions or activities of any foreign state or group of foreign states or any person other than a Canadian citizen or permanent resident, or corporation.

Legislation

- Canadian Security Intelligence Service Act

Organization

The Director, under the direction of the Minister, has the control and management of CSIS and all matters connected therewith. The Director General, Secretariat has the responsibility to support the activities of the Director and senior management. The Deputy Director has general responsibility for the human resources, administration and finance, information management,

internal security and internal review programs. The Deputy Director also has general responsibility for management services and technical and scientific services. Responsibility for operation programs such as counter-terrorism, counter-intelligence and security screening are divided between the Assistant Director Requirements and Assistant Director, Intelligence Production.

Information Holdings

Program Records

Corporate Management

Description: Information relating to human resources, administration and finance, information management, internal security, and internal review programs; management services and technical and scientific services. **Topics:** Activities relating to policy, planning and coordination of matters prepared for the Director and senior management, including the development and maintenance of CSIS policy manuals, directives and external agreements; activities relating to planning, organizing and coordination of the personnel services program, including recruiting, staffing, classification, compensation and benefits, staff relations, career management, occupational safety and health services, and the employee's association; activities related to the receipt, control and expenditure of public funds; activities related to the management of information holdings; activities relating to the security of information, personnel, facilities and other classified assets; activities related to the development of security related equipment; and activities related to employee compliance with CSIS policies, directives and agreements. **Access:** By subject matter. **Storage Medium:** Hardcopy and/or EDP systems. **Program Record Number:** SIS DDS 040

Disclosure/Policy and Research —

Access to Information and Privacy Acts

Description: This class of records contains CSIS policy and procedures relating to formal access requests, correction requests, annotation demands, complaints and preparation for court proceedings in the administration of the Access to Information Act and the Privacy Act (ATIP). **Topics:** Records relating to the annual updating of Info Source; consultations with other government departments regarding the processing of formal requests under ATIP; policies and procedures regarding exemption application, disclosure of records; statistics regarding the processing of formal requests; and both internal and external reports prepared on the ATIP program within CSIS. **Program Record Number:** SIS DDS 035

Intelligence Production

Description: Information relating to intelligence analysis and production, operational support, human sources and the security screening programs. **Topics:** Activities under these programs include disclosures of information to authorized recipients under Section 19 of the CSIS Act, including the coordination of CSIS responses to government institutions requesting assistance in preparing threat or risk assessments; activities relating to the maintenance of overall control and accountability for special operations involving the execution of powers under a federal court warrant; activities relating to the management of human sources; activities supporting the government's citizenship and immigration programs. **Access:** By subject matter. **Storage Medium:** Hard copy and/or EDP systems. **Program Record Number:** SIS DDS 015

Requirements

Description: Information relating to counter-terrorism and counter-intelligence programs, and regional operational activities in respect to these programs; information relating to the identification and development of the government's operational requirements, the results and evaluations. Public safety is the primary requirement. **Topics:** Organizations and groups engaged in past, current and projected threats to the security of Canada as defined in the CSIS Act; briefly, activities relating to espionage or sabotage that is against or is detrimental to the interests of Canada; or, activities directed toward or in support of such activity; foreign influenced activities within or relating to Canada that are detrimental to the interests of Canada, and are clandestine or deceptive, or involve a threat to any person; activities within or relating to Canada directed toward or in support of the threat or use of acts of serious violence against persons or property for the purpose of achieving a political objective within Canada or a foreign state; and, activities directed toward undermining by covert unlawful acts, or directed toward or intended ultimately to lead to the destruction or overthrow by violence of the constitutionally established system of government in Canada. **Access:** By topic, group or organization. **Storage Medium:** Hardcopy, EDP or microfiche. **Program Record Number:** SIS DDS 010

Scientific, Technical and Operational Support

Description: Information on matters affecting the administration, policy, statistics and management functions regarding scientific/forensic, technical, electronic and telecommunications support to CSIS investigations, through the provision of equipment and expertise in the field of audio, data, imagery or physical surveillance. **Topics:** Research, development and acquisition of technical equipment; multilingual translation services; the procedures and documentation necessary to the obtaining of warrants, pursuant to sections 16, 21, 22 and 23 of the Canadian Security Intelligence Service Act, and their execution. There may be limited information regarding security engineering and security systems. **Access:** By project or topic. **Storage Medium:** Microfilm,

hardcopy and EDP systems. **Program Record Number:** SIS DDS 030

Secretariat

Description: Information relating to ministerial liaison, external review and liaison, corporate committees, correspondence and Parliamentary affairs, internal and external national communications programs, and the Access to Information and Privacy Act (ATIP) program. **Topics:** Activities relating to liaison with the Security Intelligence Review Committee, the Office of the Inspector General, Parliamentary committees or commissions; CSIS meetings held internally, interdepartmentally and internationally; ministerial correspondence, including housebook cards; media and public relations; disclosures/policy related to the administration of the Access to Information Act and the Privacy Act. **Access:** By subject matter. **Storage Medium:** Hardcopy and/or EDP systems. **Program Record Number:** SIS DDS 045

Personal Information Banks

Access Request Records

Description: This bank contains personal information on individuals who have submitted a formal request under the Privacy Act or Access to Information Act for access to information originally obtained or prepared by CSIS. Documents include access and correction requests, notations, consultations with other government institutions or third parties; exemptions, exclusions, disclosures, complaints, documents prepared for Court, and pertaining to the processing of the request. **Class of Individuals:** Individuals or authorized agents who have submitted a "Personal Information Request Form" or an "Access to Information Request Form" to a federal or provincial institution. **Purpose:** To process Personal Information Request Forms and requests under the Access to Information Act. **Consistent Uses:** Personal information may be used for the management of CSIS, research, audit, planning, evaluation and statistical purposes and to meet its legal reporting requirements. **Retention and Disposal Standards:** As a requirement of the Privacy Act Regulations, information is retained until all avenues of legal appeal have been exhausted with a minimum retention of two years. **PAC Number:** 85-001 **TBS Registration:** 001681 **Bank Number:** SIS PPU 020

Canadian Security Intelligence Service Investigational Records

Description: This bank contains personal information on identifiable individuals whose activities are suspected of constituting threats to the security of Canada; on identifiable individuals who are or were being managed as confidential sources of information; on identifiable individuals no longer investigated by CSIS but whose activities did constitute threats to the security of Canada and which still meet the collection criteria stipulated in section 12 of the CSIS Act, and on identifiable individuals the investigation of whom relate to the conduct of the international affairs, the defence of Canada or any state

allied or associated with Canada or the detection, prevention or suppression of subversive or hostile activities. **Exempt Bank Status:** This bank has been designated as an exempt bank by Order-in-Council No. 14 (CSIS) dated 26 November 1992. **Class of Individuals:** Individuals suspected of espionage or sabotage against Canada or the interests of Canada; individuals involved in foreign influenced activities within or relating to Canada that are clandestine or deceptive or involve a threat to any person; individuals involved in activities within or related to Canada directed toward the use of serious acts of violence to achieve a political objective within Canada or a foreign state; or individuals whose activities are directed toward the unlawful covert undermining, or the overthrow by violence, of the constitutionally established government system in Canada; or any other activities described in the definition of "threats to the security of Canada" at section 2 of the CSIS Act; individuals identified relating to national security, the defence of Canada or the conduct of the international affairs of Canada; and individuals who are confidential sources of information. **Purpose:** Collected under section 12 of the CSIS Act with respect to threats to the security of Canada; under section 15 concerning the collection of information for the purpose of providing advice pursuant to section 14; and under section 16 concerning the collection of information or intelligence relating to the capabilities, intentions or activities of foreign states and certain persons. **Consistent Uses:** CSIS may only disclose information it obtains if it does so in accordance with the controls of subsection 19(2) of the CSIS Act. First, it may disclose information for the purposes of the performance of its duties and functions under the CSIS Act or the administration or enforcement of that Act, or as required by any other law. The Service may thus disclose personal information to the Government of Canada, for example, as part of its duty to report, and give advice, thereto in relation to activities suspected of constituting threats to the security of Canada. Secondly, where the information in its possession may be used in the investigation or prosecution of an alleged contravention of the law, or where it relates to the conduct of Canada's international affairs or to the defence of Canada, then it may be disclosed to the appropriate police officials and Attorney General; to the Minister of Foreign Affairs and International Trade and to the Minister of National Defence, respectively. Thirdly, information may be disclosed where, in the opinion of the Minister, disclosure to any Minister of the Crown or person in the Public Service of Canada is essential in the public interest and that interest clearly outweighs any invasion of privacy that could result from the disclosure. Pursuant to section 13 and 14 of the CSIS Act, CSIS may also disclose information in the preparation of a domestic or foreign security assessment, or in providing advice under the Citizenship Act, or Immigration Act. Personal information may also be disclosed to the Inspector General and the Security Intelligence Review Committee. All linkages are in compliance with Privacy Act provisions. Information in this bank may also be used for audit, research, planning, evaluation and statistical purposes. **Retention and Disposal Standards:**

Information in this bank may be retained from two years to twenty years after the last action, subject to the retention and disposal schedules approved by the National Archivist. When files have been designated as historical, they may be transferred to the custody and control of the National Archives of Canada. **PAC Number:** 82-013 **TBS Registration:** 002872 **Bank Number:** SIS PPU 045

Canadian Security Intelligence Service Records

Description: This bank consists of information on individuals who came to the attention of the former RCMP Security Service while carrying out its responsibilities pertaining to informing the government of national security concerns. This bank may also contain information on individuals who incidentally came to the attention of CSIS as a result of carrying out its mandate under section 12 and/or section 16 of the CSIS Act. This bank may contain information on individuals mentioned in reports related to probable unauthorized disclosure of, or unauthorized access to, classified information or assets. **Class of Individuals:** Defectors, human sources or individuals, the nature of whose actions or activities caught the attention of CSIS or of its predecessor, the former RCMP Security Service, while investigating: espionage or sabotage against Canada detrimental to the interests of Canada; individuals involved in foreign influenced activities within or relating to Canada that were clandestine or deceptive or involved a threat to any person; individuals involved in activities within Canada that were directed toward the use of serious acts of violence to achieve a political objective within Canada or a foreign state; or individuals whose activities that were directed toward the unlawful covert undermining, or the overthrow by violence, of the constitutionally established government system in Canada; individuals, other than Canadians or permanent residents, whose capabilities, intentions or activities regarding the defence of Canada or the conduct of international affairs are inimical to the interests of Canada. **Purpose:** Collected or obtained by the former RCMP Security Service and retained by CSIS under section 12 concerning threats to the security of Canada or under section 16 concerning the collection of information relating to the capabilities, intentions or activities of foreign states and certain persons. **Consistent Uses:** CSIS may only disclose information if it does so in accordance with the controls of subsection 19(2) of the CSIS Act. First, it may disclose information for the purposes of the performance of its duties and functions under the CSIS Act or the administration or enforcement of that Act, or as required by any other law. The Service may thus disclose personal information to the Government of Canada, for example, as part of its duty to report and give advice to the government regarding activities suspected of constituting threats to the security of Canada. Secondly, where the information in the Service's possession may be used in the investigation or prosecution of an alleged contravention of the law, or where it relates to the conduct of Canada's international affairs or to the defence of Canada, then the information may be disclosed to the appropriate police officials and to the Attorney General, the Minister of Foreign Affairs and International Trade, and

the Minister of National Defence, respectively. Thirdly, information may be disclosed where, in the opinion of the Minister, disclosure to any Minister of the Crown or person in the Public Service of Canada is essential to the public interest and that interest clearly outweighs any invasion of privacy that could result from the disclosure. Pursuant to sections 13 and 14 of the CSIS Act, CSIS may also disclose information in the preparation of a domestic or foreign security assessment, or in providing advice under the Citizenship Act or the Immigration Act. Information in this bank may also be used to assist foreign and domestic agencies, on request, through agreements established under section 17 of the CSIS Act. (See Classes of Personal Information at the end of this Chapter) Personal information may also be disclosed to the Inspector General and to the Security Intelligence Review Committee. This bank may be used as a source of information or for linking with other information sources for the purposes of fulfilling CSIS's legislated mandate. All linkages are in compliance with the provisions of the Privacy Act. This information may also be used for audit, research, planning, evaluation and statistical purposes.

Retention and Disposal Standards: Information in this bank is under continuous review and files are disposed of in accordance with the retention and disposal schedules as approved by the National Archivist. When files have been designated as historical, they may be transferred to the custody and control of the National Archives of Canada. **PAC Number:** 82-013 **TBS Registration:** 000837 **Bank Number:** SIS PPU 015

Complaints Against CSIS or Its Employees

Description: This bank contains complaints communicated to CSIS, the Security Intelligence Review Committee (SIRC) or the Office of the Solicitor General of Canada against CSIS or its employees, and any record generated to resolve such complaints that is under CSIS control. In addition to the requirements indicated on the Personal Information Request form, individuals must provide the location where the complaint was reported and the nature of the complaint to retrieve the information of interest for processing. **Class of Individuals:** Individuals involved in complaints against CSIS or its employees. **Purpose:** To determine the validity of complaints and to record any corrective measures taken, including recommendations for disciplinary or misconduct proceedings. **Consistent Uses:** Used in disciplinary and misconduct processes under the CSIS Act. The SIRC or the Inspector General may also use information in this bank to conduct investigations of CSIS. Information in this bank may also be used for the management of CSIS, research, audit, planning, evaluation and statistical purposes. **Retention and Disposal Standards:** A minimum of two years after the last documentation on the individual complaint file. However, letters of complaint may be destroyed after one year. **TBS Registration:** 002762 **Bank Number:** SIS PPU 035

CSIS Candidates

Description: This personal information bank contains recruitment documents or applications for employment with CSIS and any related correspondence. This bank may also contain personnel or staffing interviews, polygraph tests, psychological tests, test results, analysts' reports and security assessment advice. **Class of Individuals:** Potential CSIS candidates. **Purpose:** To meet the administrative and/or operational needs of CSIS. **Consistent Uses:** Information may be transferred to an employee bank if the individual is offered and accepts employment. The candidate's skills may be assessed and, if deemed suitable, may be invited to serve in a capacity other than the position or level of initial interest. Some information in this bank may be used to verify attempts to infiltrate CSIS. This information may also be used for research, audit, planning, evaluation and statistical purposes. **Retention and Disposal Standards:** Retained a minimum of two years. However, unsolicited applications are destroyed after six months. **PAC Number:** 78-001 **TBS Registration:** 000839 **Bank Number:** SIS PPU 025

Security and Integrity of Government Property, Personnel and Assets

Description: This bank contains personal information on individuals in contact with CSIS whose actions have raised concern about the security and integrity of government property, personnel or assets. This bank may contain letters, notes, facsimile copies, contact reports and related correspondence, and access control data that has been used in a decision-making process. **Class of Individuals:** Individuals of concern regarding the security and integrity of government property, personnel or assets and CSIS employees who were the object of such actions. **Purpose:** This information was compiled as an aid to internal security investigations of alleged breaches of security or in relation to the safety and integrity of government property, personnel and assets. **Consistent Uses:** Information may be disclosed to the accredited police agency having local jurisdiction of an incident. Information in this bank may be matched with information from other CSIS personal information banks for the purpose of preserving the security of Canada or CSIS internal security. All linkages are in compliance with the provisions of the Privacy Act. **Retention and Disposal Standards:** The records in this bank are retained for a period of five years, ten years for access control data, and then disposed of in accordance with the schedule approved by the National Archivist. **TBS Registration:** 003632 **Bank Number:** SIS PPU 055

Security Assessments/Advice

Description: This bank contains personal information on individuals who are or have been the subject of a request for a security assessment for pre-employment/employment with federal government departments and the private sector working under federal government contracts, when a security clearance is a required condition of employment. This includes information obtained during internal quality control investigations.

Similar records are held in respect to security assessments required by a provincial government, a foreign state, or an international organization of states. This bank may also contain criminal records, credit bureau results, security analysis, security assessments and investigative reports, related correspondence and a notation of the level of security clearance granted. In addition, this bank may hold information on persons subject to security assessment or advice relative to the Citizenship Act or Immigration Act. **Class of Individuals:** Persons for whom CSIS was asked to provide a security assessment or advice for pre-employment/employment, including contract and company personnel working under federal government contracts; CSIS employees; and individuals who would seek admittance or to remain lawfully in Canada; and individuals seeking citizenship. By virtue of the screening process, personal information may be held on individuals who are not themselves the subject of the security assessment. **Purpose:** Collected under section 15 of the CSIS Act to provide security assessments pursuant to section 13 or advice pursuant to section 14 of the Act. **Consistent Uses:** Pursuant to sections 19(2), 13 and 14 of the CSIS Act, CSIS may disclose information or may match information in the preparation of a domestic or foreign security assessment or in providing advice pertinent to the Citizenship Act or Immigration Act or where the information relates to the conduct of the international affairs of Canada, to the Secretary of State for External Affairs, or where the information is relevant to the defence of Canada, to the Minister of National Defence. It may also be used for data matching. All linkages for the purposes of conducting lawful investigations in matters which may on reasonable grounds, be suspected of constituting threats to the security of Canada and in other lawful investigations are in compliance with the provisions of the Privacy Act. In addition, information may be provided to the Inspector General and the Security Intelligence Review Committee, the Federal Court and the Supreme Court. This information may also be used for research, audit, planning, evaluation and statistical purposes. **Retention and Disposal Standards:** Information in this bank may be retained from two years to twelve years from the last updating, and then disposed of subject to the Retention and Disposal schedules approved by the National Archivist. When files have been designated as historical, they may be transferred to the custody and control of the National Archives of Canada. **PAC Number:** 82-013 **TBS Registration:** 000835 **Bank Number:** SIS PPU 005

Self Protection Activity

Description: This bank contains personal information on individuals in contact with CSIS with a view of providing services directly or through contract to CSIS. The information may include the individual's name, any aliases and other personal identifiers. Under subsection 16(2) of the Privacy Act, CSIS consistently responds to all applicants in a manner that neither confirms nor denies the existence of personal information in this bank, regardless of whether or not personal information about

the applicant exists in this bank. **Class of Individuals:** Individuals in contact with the Service. **Purpose:** The purposes for which the information in this bank was recorded is in support of CSIS's counter intelligence program. The information will allow CSIS to better protect itself from infiltration by hostile foreign services and others whose interests are inimical to the interests of Canada.

Consistent Uses: Information in this bank may be used in support of CSIS's counter intelligence program. Information in this bank may also be used for audit purposes. **Retention and Disposal Standards:** Information in this bank will be retained for a minimum of ten years, and destroyed when considered to be of no further value. **Related to PR#:** SIS DDS 010 **TBS Registration:** 003297 **Bank Number:** SIS PPU 050

Unlawful Conduct Investigations

Description: This bank contains allegations, investigational reports, interviews, reports to the Solicitor General of Canada and related correspondence collected, pursuant to subsection 20(2) of the Canadian Security Intelligence Service (CSIS) Act, of activities by CSIS employees who may have acted unlawfully in or outside the performance of the duties and functions of the CSIS under the CSIS Act. **Class of Individuals:** Individuals involved in investigations conducted under subsection 20(2) of the CSIS Act. **Purpose:** To determine the validity of allegations of unlawful conduct by CSIS employees and to take appropriate corrective measures if required.

Consistent Uses: Information in this bank may be disclosed to the Attorney General of Canada, the relevant law enforcement agency when necessary, and to the Security Intelligence Review Committee or Inspector General for review or to meet reporting requirements. Information in this bank may be used in disciplinary and conduct processes under the CSIS Act. This information may also be used for the management of CSIS, research, audit, planning, evaluation and statistical purposes.

Retention and Disposal Standards: Personal information in this bank will be kept for a minimum of five years. The National Archives of Canada is required to be consulted before disposal of this information. **PAC Number:** 86-001 **TBS Registration:** 002761 **Bank Number:** SIS PPU 040

Classes of Personal Information

In the course of carrying out the daily investigative activities and functions of the Canadian Security Intelligence Service, personal information may be accumulated such as in the security assessments and crisis management programs which are not described in the specific personal information banks. This information is not used for an administrative purpose affecting an individual, and can include names, addresses and other identifying data in a record. Such information is only retrievable if full specifics are provided concerning the subject matter. The retention period for this form of information is in accordance with the retention and disposal schedules approved by the National Archivist.

Unsolicited opinions or requests for information are received by the Service. This information is not used for an administrative purpose, other than to respond in some instances to the originator. This correspondence is stored in a file associated with the subject matter, and is disposed of in a manner authorized by the National Archivist.

Some interview clips on video cassettes purchased from the Public Service Commission are being used to help English and French speaking CSIS employees to prepare for oral interaction tests conducted as an administrative measure in support of the CSIS official languages program. The personal information in the cassettes is not being used for an administrative purpose respecting any of the individuals presented in the videos.

Manuals

- Administration Manual
- Human Resources Manual
- Immigration Screening Profiles Manual
- Operational Manual
- Security Policy Manual
- Security Screening Procedures Manual

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Date and place of birth must be included in any request made under the Privacy Act to verify that it is you, and not someone else, asking for the information.

Reading Room

The Solicitor General's reading room contains records supplied by CSIS under the Access to Information Act. The address is:

Access to Information and Privacy Co-ordinator
Solicitor General Canada
1st Floor
Sir Wilfrid Laurier Bldg.
340 Laurier Ave. West
Ottawa, Ontario
K1A 0P8

Canadian Space Agency

Chapter 32

General Information

Background

The Act of Parliament establishing the Canadian Space Agency was proclaimed into force on December 14, 1990. The Canadian Space Agency was created from divisions of the former Ministry of State for Science and Technology (MOSST), the National Research Council of Canada (NRC), the Department of Communications (DOC) and Energy Mines and Resources (EMR).

Responsibilities

The mandate of the Canadian Space Agency is to promote the peaceful use and development of space, to advance the knowledge of space through science and to ensure that space science and technology provide social and economic benefits for Canadians.

Legislation

- The Canadian Space Agency Act.

Organization

Communications

◆ Communications

The Directorate develops and implements a communications and public affairs, strategic plan to support the Canadian Space Program and to respond to public interest. This plan is based on a detailed environmental analysis which is also produced by the Directorate. A key role of the Directorate is to provide advice to the Minister, the Agency's senior management, and program managers on communications issues. In carrying out its functions, the Agency produces and distributes information and promotional materials to the media and to the general public, and monitors media coverage of the Canadian Space program. The Directorate provides an essential communications support to the Agency as a whole.

Corporate Services

◆ Administration

The Administration Directorate plans, develops, and implements programs in the areas of management, maintenance and construction of facilities, telecommunications and security. This Directorate also maintains an up-to-date inventory of assets, manages custom clearances as well as the contracts and procurement services. It also advises management on all matters related to these areas.

◆ Audit and Evaluation

The Directorate of Audit and Evaluation is accountable for providing Agency management with an independent capability to perform audits that are consistent with Agency and Central Agency policies, responding to Agency priorities, and enhancing the efficiency, effectiveness and economy of operations; and for providing essential input to the Agency's processes of policy/program formulation and resource allocations; also assists in carrying out evaluations of those programs/activities.

◆ Finance

The Finance Directorate is responsible for the development, direction and coordination of financial policies, procedures, systems and controls within the Canadian Space Agency; for ensuring that the requirements for acquisition, control and disbursement of funds, budgets and financial assets are met; for coordinating and preparing the Multi-Year Operational Plan and Estimates; for maintaining accurate financial and management accounting records; and for designing and producing corporate financial reports for all Agency activities.

◆ Human Resources

The Human Resources Directorate is responsible for the planning, development and implementation of sound, pro-active and progressive policies and personnel programs, needed to successfully locate, recruit, develop, effectively utilize and retain high calibre scientific, technical and support staff to meet the growing demands for space technology expertise within the Canadian Space Agency. The Directorate's activities focus on classification, staffing, compensation, staff relations, training and development and human resources planning. These activities assist managers in assuming their personnel management responsibilities. In addition, the Human Resources Directorate is responsible for the interpretation, application and monitoring of federal government official languages policies and programs within the Canadian Space Agency as well as for adapting these policies to the Agency's operational context.

◆ Information Management

The Information Management Directorate is responsible for ensuring that the Agency is provided with efficient and effective integrated systems to support operations, research, administration and management needs, and plans, develops, and implements programs in the areas of information technologies, management of information holdings and library services. It also coordinates the Access to Information and Privacy requests.

◆ Ottawa Office

The Ottawa Office is primarily responsible for facilitating the day-to-day interactions of the Canadian Space Agency with the Minister's office. This office coordinates the parliamentary liaison activities and is the custodian of Cabinet documents.

◆ Saint-Hubert Project Office

The Saint-Hubert Project Office is responsible for the delivery of the Agency's Headquarters in Saint-Hubert within the approved project definition, budget and established target dates. It supervises all of the project activities, from project definition to project delivery and evaluation following implementation.

Executive Offices

The President is the chief executive officer of the Canadian Space Agency. He is assisted by the Executive Vice-President, the Vice-President, Human Space Flights, the Director General, Radarsat, the Vice-President, Research and Applications, the Vice-President, Policy Coordination and Government Relations and the Vice-President, Corporate Services. A Director, Legal Services, a Director, Communications and an Audit and Evaluation Committee are part of the executive offices.

Human Space Flights

◆ Canadian Astronaut Program Office

The Canadian Astronaut Program maintains and trains astronauts to encourage and support the development and execution of Canadian scientific and technological programs in space, including the international Space Station Program. Canada's Astronauts collaborate with industry, universities and other institutes to undertake research that can be classified in two broad categories; space life sciences related to the adaptation of humans to microgravity, and applied sciences research to develop hardware and experiments to fly in microgravity. This type of research contributes to the development of new medical techniques and treatments, contributes to the advancement of space exploration, and may lead to the development of new products and processing techniques.

◆ Space Station Program Office

The Canadian Space Station Program Office is responsible for management and control of Canada's participation in the international Space Station project, as it relates to design, development, construction, testing, operation, utilization and evolution of the Mobile Servicing System (MSS) as well as technology transfer and intellectual property. This includes the Strategic Technologies in Automation and Robotics (STEAR) program for development within Canada's industry and research community of advanced technology capabilities to support evolution of the MSS. The User Development Program (UDP) supports the development of Canadian universities and industry as users of Space Station.

Policy Coordination and Government Relations

◆ External Relations

The Directorate provides strategic direction, support and expertise to the Agency in the areas of international and federal/provincial relations. It identifies opportunities for cooperation and opens doors to the Canadian space industry in the international market. Working in conjunction with a range of foreign space agencies, industries and international organizations to expand support for Canada's expertise in space, the Directorate manages the Agency's international cooperation MOUs and is the focal point for the Agency's relations with other federal government departments.

◆ Policy

The Directorate coordinates, analysis and develops policy initiatives, strategies and priorities respecting Canada's participation in national and international space programs. It serves as the focal point for coordinating space activities, coordinates the implementation of Canada's Long Term Space Plan and assesses progress made towards achieving Canada's space policy objectives.

RADARSAT

◆ RADARSAT Program Office

This office is responsible for managing the design, development, construction, testing and operation of the RADARSAT remote sensing satellite system in cooperation with several provincial governments, the private sector (Radarsat International), NASA and NOAA. The RADARSAT system is Canada's first remote sensing satellite system and will utilize a synthetic aperture radar to produce map-like images of the earth regardless of weather conditions. The images will have scientific and commercial applications in the monitoring of natural resources and environmental monitoring.

Research and Applications

◆ David Florida Laboratory

The Canadian Space Agency's David Florida Laboratory (DFL) is Canada's national facility for the environmental testing and integration of space systems, subsystems and components. On a fee for service basis, the DFL offers the Canadian space industry testing for their space products in the areas of thermal (thermal vacuum, thermal balance), structural (vibration, modal, static load), and RF (antenna, communications, payload, RF/EMC) verification. Additional facilities and services available through the DFL include large cleanroom highbay integration and storage areas, expert consultation on test programs, and test technology development for modern spacecraft. On a non-interference basis, and as schedules permit, testing and test support is also provided to Canadian non-space as well as foreign space and non-space companies. The

facilities and services available through the DFL are unique in Canada.

◆ Space Mechanics

The Space Mechanics Directorate conducts a program of research and technology development within the Space Agency in four key technology areas: computer and intelligent systems, dynamics research, applied mechanics research and control systems.

◆ Space Optics

The Space Optics Directorate conducts research and development in three technology areas: remote sensing applications, spectroscopy applications and optical and electro-optical instrumentation.

◆ Space Science Program

This Division is responsible for planning, coordinating and implementing the space science activities in Canada. The Space Science Program supports five broad areas of research: astronomy, solar-terrestrial relations, the atmosphere, materials sciences in microgravity and space life sciences. The Program provides support to the Canadian science community by providing opportunities to participate in quality national and international programs through the development and provision of state-of-the-art scientific instruments and facilities. Almost all of the instrument development is contracted out to Canadian industry.

◆ Space Systems

The Space Systems Directorate conducts research and development in four key technology areas: spacecraft systems analysis, RF technology, digital technology and space systems applications.

◆ Space Technology

The Space Technology Branch conducts a program of research and development through three Directorates; Space Mechanics, Space Systems and Space Optical Technology. Also with the Branch is a R & D Contracts Office, with responsibility for technology transfer and intellectual property overview, as well as Earth Observation and Satellite Communication Program Offices responsible for liaison with national and international agencies involved in technology development.

Canadian Astronaut Program Office

Description: Information, correspondence, memoranda and technical material related to IML-1 Mission, CANEX-2 flight and other CAP microgravity projects, international and national agreements and all technical research and development within the program. **Topics:** Policy and agreements, general correspondence, finance, shuttle operational flights, public relations and speaking engagements, equipment, training, technical definitions, engineering and scientific studies and evaluations and scientific working groups. **Program Record Number:** SPA OPE 020

Communications

Description: Correspondence, reports, memoranda, general enquiries and requests from individuals and organizations. **Topics:** General correspondence; Annual Reports; Industry directories; mailing lists; communications strategies; publications; A/V documents; speeches; exhibitions. **Program Record Number:** SPA EXT 100

Contracts Administration

Description: The files contain general correspondence, proposals and evaluations, contracts and purchase orders with industry. **Topics:** Correspondence, contracts for professional services and purchase orders for materials, equipments and supplies. **Program Record Number:** SPA COR 200

David Florida Laboratory — Administration

Description: Correspondence; reports and memoranda pertaining to policies, contracts, test programs, program forecasts, and other administrative matters as they pertain to the overall operation of the laboratory. **Topics:** Policy; general correspondence; enquiries; visits/tours; facility use and loan agreements; co-operation and liaison with industry, federal departments, universities, associations, international organizations, and countries; technical support; resource documents; conferences and seminars; buildings and properties; equipment and supplies; and facility documents. **Program Record Number:** SPA APP 050

David Florida Laboratory — Operations

Description: Correspondence and documents on the operation of the individual test facilities (i.e. thermal vacuum, vibration, radio frequency). **Topics:** Environmental testing/ space simulation; thermal vacuum (infrared testing, outgassing studies, helium leak detection); (structural vibration, modal analysis, shock testing, static load, seismic block); radio frequency (passive intermodulation measurements, electromagnetic interference/compatibility, radar cross section measurements, spherical near field measurements); mass properties measurements (vertical and horizontal axis measurement systems); integration facilities (high bays, class 100,000 clean rooms); quality assurance; Data acquisition/data processing systems; enquiries and results of various subsystem and spacecraft level testing. **Program Record Number:** SPA APP 055

Information Holdings

Program Records

Audit and Evaluation

Description: Correspondence, reports, memoranda. **Topics:** Audit and Evaluation. **Program Record Number:** SPA COR 241

Economic Studies

Description: Studies, reports, analysis. **Topics:** Potential economic spin-offs of major space-related projects.

Program Record Number: SPA EXT 105

EDP Operations

Description: Information on the operation of the Division.

Topics: Administration and Scientists requirements; strategies, standards, policies, computer hardware/software, data communication, office automation, database management, EDP training, computer maintenance, technical support, computer needs, system development, internal and external EDP Professional consultants, future planning. **Program Record Number:** SPA COR 220

European Space Agency

Description: Correspondence, reports, memoranda, general enquiries and requests from industries relevant to Canada's membership in the European Space Agency.

Topics: General correspondence, Statutes, Annual reports, Council deliberations; ESRIN; financial data, reports of committees, progress reports on projects, contracts to Canadian firms, etc. **Program Record Number:** SPA EXT 110

Executive Vice-President

Description: Working papers, memoranda and correspondence with federal and provincial government departments, universities and industry on policy and planning; correspondence, memoranda and reports on the administration and operation of the Agency. **Topics:** General administration, speeches. **Program Record Number:** SPA EVP 010

Facilities Management and Telecommunications

Description: In accordance with Treasury Board and CSA policies, provide facilities and telecommunications service to all CSA employees. **Topics:** Processing requests regarding office disposition services, telephone system services, vehicle fleet management, parking management and forms management. **Program Record Number:** SPA COR 210

Federal/Provincial Relations

Description: Correspondence, reports, memoranda, general enquiries and requests from provinces. **Topics:** General correspondence, Annual Reports of Provincial Organizations, documents on provincial space activities and programs; Feasibility studies. **Program Record Number:** SPA EXT 115

Human Resources

Description: Correspondence, reports, memoranda, general enquiries. **Topics:** Organization charts, job descriptions, position classifications, staffing, planning, training, development, equity, redeployment, competition posters. **Program Record Number:** SPA COR 215

Industrial Development

Description: Correspondence, reports, memoranda, general enquiries and requests from industries and scientific organizations pertaining to economic and financial aspects of private organizations. **Topics:** General correspondence; Annual reports; Industry directories; regional conferences.

Program Record Number: SPA EXT 120

International Relations

Description: Correspondence, reports, memoranda, general enquiries and requests from industries and foreign organizations relevant to Canada's space cooperation activities with foreign countries and organizations. **Topics:** Foreign Agencies Annual reports, documents on foreign space activities and programs; NASA; NASDA; [etc]; Cooperation arrangements and MOUs; minutes of steering committees, Panels, working and ad hoc groups meetings. **Program Record Number:** SPA EXT 125

International Space University

Description: Correspondence, reports, course catalogues, memoranda, general enquiries and requests from individuals, relevant to Canada's contribution to the International Space University activities. **Topics:** General correspondence, Annual reports, Canada's contributions, Canadian ISU foundation; ISU permanent campus; ISU summer sessions. **Program Record Number:** SPA EXT 130

Inventory Control, Customs and Excises

Description: Coordination and steering of programs for Life Cycle Material Management of assets throughout the Agency. **Topics:** Statistics on Agency assets, movement of material on international level, recording and documenting capital acquisitions through crown research projects and grants establishing up to date information on equipment used throughout the Agency. **Program Record Number:** SPA COR 225

Ottawa Office

Description: The files contain ministerial and parliamentary relations information. **Topics:** Ministerial memoranda, memoranda to Cabinet, Treasury Board Submissions, Written and Oral Anticipated Questions, Correspondence. **Program Record Number:** SPA COR 205

Policy

Description: Correspondence, reports, briefs, memoranda, general enquiries and requests pertaining to Canadian space activities. **Topics:** General correspondence; space policy; Long Term Space Plan; programs. Canadian Space Program. **Program Record Number:** SPA EXT 140

President's Office

Description: Information on visits, lectures, gifts, interviews, correspondence with the Minister, outside enquiries on selection of staff, agenda, minutes and documents pertaining to executive committee and

managers' meetings. **Topics:** General Administration, speeches, audits. **Program Record Number:** SPA PRE 005

Radarsat

Description: Information on the management of Canadian participation in the RADARSAT Program; the provision of international and national agreements and all technical research and development within the RADARSAT Program. **Topics:** Configuration management, equipment — RADARSAT Studies, ground systems, simulation facility, tests and integration, remote sensor development, solar arrays — technology, working groups, panel meetings and document reviews, regional development and technology exploitation. **Program Record Number:** SPA OPE 025

Records Management

Description: Information on the planning, development and implementation of records and of information systems and programs including related services. **Topics:** Records classification, records retention schedules, equipment relating to records, retrieval and reference services, mail and messenger services, review and analysis of forms, requests under the Access to information and privacy legislation. **Program Record Number:** SPA COR 240

Regional Distribution

Description: Correspondence, reports, memoranda, and data on the regional distribution of Canadian Space Program expenditures. **Topics:** General correspondence, regional distribution of space and space-related contracts and expenditures; industry team; Government team; SPAR MOU; data base. **Program Record Number:** SPA EXT 145

Saint-Hubert Project

Description: Correspondence, reports, acquisition programs. **Topics:** All acquisitions for furniture and equipment, definition of all programs which will be located in Saint-Hubert and the total budget for the Saint-Hubert Project. **Program Record Number:** SPA COR 230

Security

Description: The security Division is responsible for administering the Canadian Government Security Policy for the various programs of the Canadian Space Agency. It has responsibility to develop policies and procedures in the areas of access control, physical security, security of personnel, security of material, security of information technology, security of informatics and communications security. **Topics:** Data files are kept on the following topics; PROTECTED personnel information in support of the security clearance program, general investigation reports and general correspondence documents.

Program Record Number: SPA COR 235

Space Agency Forum

Description: Correspondence, reports, memoranda, general enquiries and requests from individuals, national and international organizations relevant to the Space

Agency Forum activities. **Topics:** General correspondence, reports of the Space Agency Forum, Hand-out for distribution; preparation and organization of meetings, participation in working groups. **Program Record Number:** SPA EXT 135

Space Mechanics

Description: Correspondence, memoranda, and working papers: general directorate correspondence; DOC — Agency; EMR — Agency MOUs and correspondence. **Topics:** current research activities include: dependable processing systems, knowledge based systems, control of large space structures, robot controls, sensor technology, materials & structures, thermal control technology, composite materials, dynamics/test of space structures, robot dynamics, and in-orbit characterization of SSRMS. **Program Record Number:** SPA APP 060

Space Optics

Description: Correspondence, memoranda, working papers and reports related to projects. **Topics:** general directorate correspondence; CRC. NRC and NSERC-Agency MOUs correspondence concerning current research activities: far infrared laser, bolometric detectors, detector passive cooling techniques, laser range finder, optical data processing techniques, optical fibre system elements, optical sensors for remote sensing, selective optical coatings, 3D-vision systems, object recognition systems. **Program Record Number:** SPA APP 080

Space Science Program

Description: Information, correspondence, memoranda and reports related to project planning, program forecasts, the management of the Space Science and User Development Programs, international and national agreements and all technical research and development within individual projects. **Topics:** Policy; general correspondence enquiries; administration and operation of the Division; visits; lectures; papers and talks; publicity; financial records; agreements and memoranda of understanding; co-operation and liaison with associations, international organizations and countries; public relations and speaking engagements; licences and permits; security; seminars; committees; finance, shuttle operational flights, equipment, training, technical definitions; engineering studies; satellite projects; space science program Development and planning group; Canadian Auroral Network for the Observation of Plasmas in the Upper Atmosphere and Space (CANOPUS) Data Analysis Network; Suprathermal Ion Mass Spectrometer (SMS); Viking Ultra Violet Imager; Waves in Space Plasmas (WISP); Wind Imaging Interferometer (WINDII); Ultra Violet Auroral Imager (UVAI); FREJA Cold Plasma Analyser (CPA); RADIOASTRON Radio Astronomy Program; Far Ultra Violet Spectroscopic Explorer; Measurement of Pollution in the Troposphere (MOPITT); General Excitation Mechanisms in Nightglow (GEMINI); Thermal Plasma Analyser (TPA); Observation of Electricfield Distribution in the Ionospheric Plasma: a Unique Strategy (OEDIPUS); Optical

Spectrograph and Infrared Imaging System (OSIRIS) for the ODIN satellite; Biocosmos; Balloon Anisotropy Measurement (BAM); Cosmic Ray Balloon Experiment (CRAYBEX); International Microgravity Laboratories (IML) I and II; Aquatic Research Facility (ARF); Torso Rotation Experiment (TRE); Neurolab/ (Visuo-Motor Coordination Facility) (VCF); Cosmic Background Radiation; Microgravity processes in Fluid Dynamics, Chemistry, Crystal Growth, Metals and Alloys, Glasses and Ceramics, Biotechnology and Combustion; Radiation Biology; Human Physiology; Canadian Float Zone Furnace (CFZF); Canadian Advanced Protein Crystallization Apparatus (CAPCA); Large Motion Isolation Mount (LMIM); Spacebound microgravity conferences; Canadian Space Agency Rocket (CSAR) Program; Parabolic Aircraft (T-33, Falcon & KC-135); Protein Crystallization. **Program Record Number:** SPA APP 065

Space Station Program Office

Description: Information on the management of Canadian participation in the Space Station Program; the provision of the Mobile Servicing System, including international and national agreements, all technical research and development within the Space Station Program, technology transfer agreements, licences and patents. Administrative, financial and technical information on all aspects of the STEAR program; STEAR contract proposals (commercial confidential), feasibility study reports delivered under contract. **Topics:** Configuration management, space robotics, Space Station studies, space assembly and maintenance systems, simulation, ground control facilities, materials in space, remote sensor development, solar arrays, NASA working groups, panel meetings and document reviews, regional distribution, technology diffusion, industrial development. **Program Record Number:** SPA OPE 030

Space Systems

Description: Correspondence, memoranda, working papers and reports related to projects. **Topics:** General correspondence including administration, personnel and finance; spacecraft systems group, RF technology group, digital technology group, high-temperature superconductive multiplexer, power transistor development, Solid-state power amplifier (X-Band), digital modular (X-Band), passive intermodulation (PIM), radiation damage to gallium arsenide, space qualification of GaAs devices, satellite system modelling, remote sensing microwave instruments, dual-polarization antenna, smallsat cost reductions. **Program Record Number:** SPA APP 075

Space Technology

Description: Information, correspondence, memoranda and reports related to project planning, program forecasts, the management of Space Technology, international and national agreements and all technical research and development within individual projects. The holdings of the branch office include administration, personnel and finance for the Branch. There are also general files on committees, international cooperation and agreements,

contracts, inter-departmental coordination and ESA programs. **Program Record Number:** SPA APP 070

Vice-President — Research and Application

Description: information, correspondence and working papers on visits, presentations and meetings with federal and provincial governments, private industry and universities along with international agencies. **Topics:** General administration, speeches, audits. **Program Record Number:** SPA RVP 010

Personal Information Banks

Corporate Services

Access to Information and Privacy Requests

Description: This bank contains requests submitted by individuals seeking access to information under the Access to Information Act and the Privacy Act; replies to such requests; and information relating to their processing.

Class of Individuals: Individuals submitting requests for access to information under the Access to Information Act and the Privacy Act. **Purpose:** The information is used to process formal access requests in accordance with the Access to Information Act and the Privacy Act.

Consistent Uses: This bank is also used to report to Treasury Board and to Parliament on access requests received under the Access to Information Act and the Privacy Act; to verify the identity of requesters; and for purposes of consultations with other government institutions. **Retention and Disposal Standards:** 2 years after request is finalized. **TBS Registration:** 003061 **Bank Number:** CSA PPU 020

Contracts between CSA and individuals

Description: The files contain correspondence, proposals, contracts, financial transactions and interim and final reports. **Class of Individuals:** Members of the general public who submit proposals for work to be performed for the Canadian Space Agency. **Purpose:** To negotiate and award contracts, to provide information on payments of contracts and to administer contracts. **Retention and Disposal Standards:** 6 years **TBS Registration:** 003062 **Bank Number:** SPA PPU 015

Employment Applications

Description: This bank contains information on candidates available for employment at the Canadian Space Agency when vacancies arise. Records contain letters, completed application forms, applicant resume comments of interviewers, and letter of reference. **Class of Individuals:** Members of the general public who apply for employment at the Canadian Space Agency. **Purpose:** To select candidates for vacancies at the Canadian Space Agency. **Retention and Disposal Standards:** 2 years if considered, 6 months if not considered. **TBS Registration:** 003063 **Bank Number:** SPA PPU 025

Reliability/Security Investigation File

Description: This bank contains personal data, such as criminal records, investigative report, credit check reports, related correspondence, notations of the level of security clearance and reliability check granted, and Security Clearance Review Board documentation. **Class of**

Individuals: Members of the general public and some members of other government departments who have applied for a pre-employment/ employment security clearance or reliability check. **Purpose:** The purpose of this bank is to maintain investigative documentation necessary to assess an individual's loyalty and reliability to Canada from a departmental security clearance viewpoint in order to grant access to classified material and to assess reliability as a condition of employment and for permitting access to designated information or valuable assets. **Consistent Uses:** The information in this bank may be used in matters which, on reasonable grounds, may be suspected of constituting threats to the security of Canada or the Canadian Space Agency; information may also be used in other lawful investigations. **Retention and Disposal Standards:** 5 years **TBS Registration:**

003064 **Bank Number:** SPA PPU 030

♦ Space Station Program Office

Stear Program

Description: The records in this bank are used to support proposals for industrial R&D contracts (commercial confidential) to cover the costs of salaries and other costs for company and university research staff engaged in approved projects. **Class of Individuals:** Directly-related employees of companies that submit bids for R&D

contracts through this program. **Purpose:** To confirm the value of the R&D contract supported if the proposal is accepted. **Retention and Disposal Standards:** 6 years **TBS Registration:** 003059 **Bank Number:** SPA PPU 005

♦ Space Science Program

Space Science

Description: This bank contains information on Committee Membership, information regarding names, affiliations, and primary disciplines of all individuals nominated for service on Advisory Committee. **Class of Individuals:** Employees of the Institution and members of its Advisory Committee. **Purpose:** The purpose of this bank is to maintain general information on individuals who are not

employed by the institution but who serve on its Advisory Committee. **Retention and Disposal Standards:** 5 years **TBS Registration:** 003060 **Bank Number:** SPA PPU 010

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Department and its various programs and functions may be directed to the following address:

Canadian Space Agency
Director of Communications
6767 route de l'Aéroport
Saint-Hubert, Québec
J3Y 8Y9
(514) 926-4342

Media Enquiries

Saint-Hubert: (514) 926-4343

The departmental library is part of our Information Management Directorate. It contains a wide variety of documents including reference books, monographs, technical and annual reports, standards, periodicals and government documents. This facility is located at:

6767 route de l'Aéroport
Saint-Hubert, Québec
J3Y 8Y9
(514) 926-4903

Reading Room

The Canadian Space Agency has designated an area as public reading room under the Access to Information Act. This room is situated in Saint-Hubert, Quebec.

Canadian Space Agency
Headquarters
Library
6767 route de l'Aéroport
Saint-Hubert, Québec
J3Y 8Y9

Canadian Transportation Agency

Chapter 33

General Information

Background

The Canadian Transportation Agency (CTA) is a quasi-judicial tribunal which replaces the National Transportation Agency as a result of the proclamation of the Canada Transportation Act on July 1, 1996.

Responsibilities

The mandate of the CTA is that of an economic regulator and decision-maker with respect to transportation services under federal jurisdiction. Its responsibilities include the issuance of licences to air carriers and railways, a dispute resolution power over various air, rail and marine transportation rate and service matters, and the determination of the annual maximum rate scale for western grain movements. The CTA continues to have powers to remove undue obstacles to the mobility of travellers with disabilities in the federally regulated transportation network.

Legislation

- Aeronautics Act
- Canada Transportation Act
- Coasting Trade Act
- Energy Supplies Emergency Act
- Excise Tax Act
- Expropriation Act
- National Energy Board Act
- Pilotage Act
- Railway Relocation and Crossing Act
- Railway Safety Act
- Shipping Conferences Exemption Act, 1987
- St. Lawrence Seaway Authority Act

Organization

The CTA consists of a Chairman, Vice-Chairman and up to five other full-time and three part-time members.

The Agency comprises two program branches, Rail and Marine Transportation, and Air and Accessible Transportation, as well as two support branches, Corporate Management, and Legal Services, Secretariat and Communications.

♦ Air and Accessible Transportation Branch

The Branch protects the interests of consumers and carriers by ensuring that air carriers operating to, from and within Canada meet certain minimum economic requirements. To this end, it administers an air carrier

licensing system, international air agreements and international air tariffs. The Branch is also responsible for ensuring that undue obstacles to the mobility of persons with disabilities are removed from federally regulated transportation services and facilities. Regional Field Investigators, responsible for the enforcement of CTA regulations and licence conditions, are located in Vancouver, Edmonton, Winnipeg, Toronto, Montreal and Moncton.

♦ Corporate Management Branch

The Branch is responsible for the design and operation of the CTA's management processes and related information systems; it also provides comprehensive services in support of CTA programs in the areas of informatics, records management, human resources, library, finance, planning and administration. It is responsible for developing and implementing personnel, finance, administration, information technology and official languages policies, systems and programs that will assist the CTA. It also ensures that the management of human resources and financial matters is in accordance with policies established by the central agencies.

♦ Legal Services, Secretariat and Communications Branch

Legal Services is responsible for providing legal advice to CTA members and staff. It acts as the Agency's legal representative at meetings, conferences, public hearings, inquiries, and, when required, at judicial proceedings in the Federal and Supreme Courts. Its other responsibilities include drafting and processing regulations in accordance with government policy. The Secretariat is responsible for recording CTA decisions and orders; and editing, reviewing, producing and issuing decisions, orders, reports and notices. It also plans CTA meetings, administers public hearings and inquiries, coordinates all translation services and produces the quarterly ministerial briefing notes. Communications provides information to the public and the news media on CTA decisions and orders, as well as on the programs administered by the CTA. It also maintains parliamentary liaison, coordinates ministerial and executive correspondence and produces the Annual Report.

♦ Rail and Marine Branch

The Branch is responsible for the following legislation and related CTA functions as they affect the rail and marine modes: the Canada Transportation Act, the Railway Safety Act, the Coasting Trade Act, the Shipping Conferences Exemption Act, 1987, the Pilotage Act and the St. Lawrence Seaway Authority Act.

With respect to the rail mode, the Branch administers various dispute resolution provisions relating to disputes

between railways and other affected parties such as shippers, municipalities, utility companies, landowners and other railways. It also inspects railway accounting and operating statistics systems to ensure compliance with the law, sets the annual maximum rates for the transportation of western grain and undertakes railway costing activities as required under the Canada Transportation Act.

With respect to the marine mode, the Branch manages applications, complaints and investigations affecting various marine activities within federal jurisdiction.

Information Holdings

Program Records

Accessible Transportation

Description: Information relating to the regulation of, and complaints related to transportation facilities, equipment and services provided within Canada to travellers with disabilities. **Topics:** Co-operation and liaison with associations and various levels of government; committee and conference participation; service complaints; regulations on fares and conditions of carriage; accessibility standards; aircraft accessibility data; public awareness and education programs; procedural and attitudinal training; monitoring programs; and various studies, surveys and projects.

Program Record Number: CTA AIR 061

Agreements, Tariffs and Enforcement

Description: Information relating to the negotiation of international air agreements; the regulation of international air tariffs; the enforcement of CTA regulations and licence conditions; and to the investigation of illegal operations by air carriers. **Topics:** Compliance; bilateral and multilateral agreements and negotiations; commercial agreements; conventions and protocols; scheduled and non-scheduled tariffs; special permissions; industry complaints; general schedules; charter agreements; foreign air transport regulations; civil air relations between Canada and foreign countries; investigation reports on possible illegal activities by air carriers; and referrals to RCMP for investigations.

Program Record Number: CTA AIR 096

Atlantic Region Freight Assistance Program

Description: Information relating to the administration of freight subsidy programs applicable to the Atlantic Region. Note that effective July 1, 1996 the CTA has no responsibility for the Atlantic Region Freight Assistance Program and these program records will be disposed of in accordance with their Retention and Disposal Standards. **Topics:** Subsidy claims including waybills and other supporting documentation; desk and field audits; and payments pursuant to the Maritime Freight Rates Act and the Atlantic Region Freight Assistance Act and Regulations. **Program Record Number:** CTA MAR 183

Audits

Description: Information relating to audits and analysis for the determination of rates, railway subsidies and rail network rationalization. **Topics:** Railway annual reports; railway audit manuals; audits of passenger-related services; comprehensive system review; special costing; schedule "A" directives; and specific costs. **Program Record Number:** CTA RAI 156

Industry Monitoring and Analysis

Description: Information relating to the comprehensive coverage of changes in structure, service levels, prices and competition in all modes of the transportation industry under federal jurisdiction, and the assessment of related effects on transportation users. Note that effective July 1, 1996 the CTA has no responsibility for Industry Monitoring and Analysis and these program records will be disposed of in accordance with their Retention and Disposal Standards. **Topics:** Annual reviews of transportation in Canada including supporting surveys and data bases, for example: specific air, marine and motor vehicle surveys; commercial travellers, freight forwarders, and port terminal operators surveys; shippers surveys covering all modes of transportation; scheduled air services data; air tariff information; liner trade data; and rail traffic information. **Program Record Number:** CTA IMA 111

Licensing and Charters

Description: Information relating to the administration of the licensing system for Canadian and foreign air carriers. **Topics:** Applications for licences or modifications to licences; applications for international charter flight permits; Canadian ownership; financial evaluation; terms and conditions on licences; liability insurance; operating certificate; suspension or cancellations; discontinuance or reduction of services; computer reservation systems; and investigations of service complaints on discontinuance of domestic service. **Program Record Number:** CTA AIR 080

Marine

Description: Information relating to the administration and enforcement of legislation governing marine activities under federal jurisdiction. **Topics:** Shipper and carrier complaints; coasting trade licences; pilotage authorities; pilotage tariffs; St. Lawrence Seaway Authority; Canadian ship database; marine associations data bank; operations and rates investigations; and Shipping Conferences Exemption Act tariffs and service contracts. **Program Record Number:** CTA RAI 081

Rail and Marine Complaints

Description: Information relating to economic disputes between carriers and users; competitive access provisions; tariffs; and mediation services and arbitration support.

Topics: Dispute investigations; competitive line rates; interswitching; fares, rates, and conditions of carriage; track usage; rail freight traffic data; discontinuance of services; level of service; freight and express tariffs; joint

tariffs; rail connections; rail liability; and arbitration cases.

Program Record Number: CTA RAI 021

Rail Infrastructure

Description: Information relating to applications and complaints concerning the construction and modification of rail lines, highway/railway crossings, farm crossings, and other rail infrastructure. **Topics:** Crossing files; modification of subdivision name/mileage; noise, vibration and pollution complaints; connections and interchange; drainage; fencing; relocation of railway lines; right of way; spurs, sidings and lead tracks; environmental issues; and railway licensing and certificates of fitness. **Program Record Number:** CTA RAI 062

Rail Rates and Cost Development

Description: Information relating to the management of rate programs that determines appropriate compensation for rail services provided by eligible transportation companies. **Topics:** Railway unit costs and service units; rates costing; railway costing manuals; historical railway price indices; maximum grain price indices; maximum grain rate scales; traffic workloads; cost of capital rates; depreciation rates, uniform classification of accounts guidelines; and net salvage value determinations. **Program Record Number:** CTA RAI 121

Rail Rationalization

Description: Information relating to the evaluation of proposals to rationalize rail networks. Note that effective July 1, 1996 the CTA has no responsibility for Rail Rationalization and these program records will be disposed of in accordance with their Retention and Disposal Standards. **Topics:** Railway costs and revenues; applications for abandonment of rail lines and removal of stations; branch line and passenger subsidy programs; branch line rehabilitation; conveyance of railway lines; and track determinations. **Program Record Number:** CTA RAI 176

Secretariat Services

Description: Information relating to Agency decisions, orders, reports and notices; the administration of Agency meetings, public hearings and inquiries; and the coordination of translation services. **Topics:** Agency orders, decisions, applications for review of orders and decisions; minutes of Agency meetings; and transcripts and exhibits of Agency public hearings and inquiries. **Program Record Number:** CTA SEC 006

Trucking and Commodity Pipeline

Description: Information relating to the administration and enforcement of legislation governing trucking and commodity pipeline activities under federal jurisdiction. Note that effective July 1, 1996 the CTA has no responsibility for Trucking and Commodity Pipelines and these program records will be disposed of in accordance with their Retention and Disposal Standards. **Topics:** Newfoundland Roadcruiser Bus Service tariffs and complaints; specific merger and acquisition proposals;

and applications to construct and operate commodity pipelines. **Program Record Number:** CTA MAR 082

Personal Information Banks

Access Requests

Description: This bank contains the access requests submitted by individuals seeking access to CTA information or personal information pursuant to the Access to Information Act and the Privacy Act, the replies to such requests, and information related to their processing. This bank may contain personal information in the form of an individual's name; his or her home, business or mailing address or telephone number; and identifying numbers. **Class of Individuals:** Individuals who have requested access pursuant to the Access to Information Act or Privacy Act. **Purpose:** To process access requests and report on the number of access requests received annually. **Retention and Disposal Standards:** Files are retained for two years after resolution. **PAC Number:** 86/001 **TBS Registration:** 000322 **Bank Number:** CTA PPU 040

Air Service Licence Applications

Description: This bank contains a record of air service licence applications for use in granting or denying licence authorities under the Canada Transportation Act. The bank contains applications and interventions in support or opposition thereto. The bank may contain personal information relating to the applicant or other parties of record in the form of an individual's name; his or her home, business or mailing address or telephone number; nationality; age; identifying numbers; and financial information. **Class of Individuals:** Applicants and intervenors in the licensing process. **Purpose:** For granting or denying licences under the Canada Transportation Act. **Retention and Disposal Standards:** Files are held for ten years following the cancellation of the licence. **PAC Number:** 95/023 **Related to PR#:** CTA AIR 080, 096 **TBS Registration:** 000320 **Bank Number:** CTA PPU 015

Atlantic Region Freight Assistance Subsidies

Description: This bank contains information regarding federal assistance made to certified carriers — truck, water and rail — who offer lower transportation rates to manufacturers, shippers and producers within the select territory and from points within the select territory to points in Canada west of the select territory, under the Atlantic Region Freight Assistance Act and Regulations. This bank may contain personal information in the form of an individual's name; his or her home, business or mailing address or telephone number; claim identifying numbers; and financial information relating to the claims. Note that effective July 1, 1996 the CTA has no responsibility for Atlantic Region Freight Assistance Subsidies and the records in this bank will be disposed of in accordance with the Retention and Disposal Standards outlined below. **Class of Individuals:** Trucking companies, railways and water carriers who applied for assistance under the Atlantic Region Freight Assistance Act and

Regulations. **Purpose:** To administer the Atlantic Region Freight Assistance Program, establish the eligibility of claims and the certification of subsidies, as well as for accounting and statistical purposes. **Consistent Uses:** To comply with requests from federal investigative bodies. **Retention and Disposal Standards:** Records are destroyed seven years following payment. **PAC Number:** 95/025 **Related to PR#:** CTA MAR 183 **TBS Registration:** 001864 **Bank Number:** CTA PPU 038

Complaints Regarding Services Provided to Persons with Disabilities

Description: This bank contains a record of investigations of complaints concerning the possible existence of undue obstacles to the travel of persons with disabilities under the Canada Transportation Act and the Aeronautics Act. This bank may contain personal information in the form of an individual's name; his or her home, business or mailing address or telephone number; medical condition; age; and marital status. **Class of Individuals:** Individuals involved in the investigations of complaints. **Purpose:** To determine whether or not undue obstacles to the travel of passengers with disabilities exist and, if so, to determine the appropriate action. **Retention and Disposal Standards:** Records are destroyed ten years after the complaint is resolved. **PAC Number:** 95/023 **Related to PR#:** CTA AIR 061 **TBS Registration:** 002154 **Bank Number:** CTA PPU 033

Enforcement

Description: This bank contains information relating to the enforcement of Agency regulations and licence conditions, and investigation of possible infractions or alleged illegal operations by air carriers. Enforcement activities may involve communication with other government departments, including the RCMP and the Department of Justice. This bank may contain, depending on the nature of the investigation, personal information in the form of an individual's name; his or her home, business or mailing address or telephone number; investigation details; and views or opinions of another individual about the individual. **Class of Individuals:** Individuals involved in possible infractions and occasionally information relating to the plaintiffs. **Purpose:** To determine whether or not there have been infractions and, if so, to determine the appropriate action. **Retention and Disposal Standards:** Files are held for two years following the completion of the investigation, except those for advance booking charters, which are held for ten years. **PAC Number:** 95/023 **Related to PR#:** CTA AIR 096 **TBS Registration:** 000319 **Bank Number:** CTA PPU 010

Mailing Lists

Description: This bank contains mailing lists which may contain personal information in the form of an individual's name and his or her home, business or mailing address. **Class of Individuals:** Individuals in the general public and business community with an interest in transportation. **Purpose:** To notify interested parties of applications and proposed acquisitions made to the CTA, changes in

regulations, policies or other matters relating to CTA programs; to solicit comments; mailing of surveys and data forms; and the distribution of orders and decisions, the Annual Report, press releases, and other information of interest. **Retention and Disposal Standards:** Mailing lists are destroyed when superseded or obsolete. **PAC Number:** 86/001 **TBS Registration:** 002926 **Bank Number:** CTA PPU 046

Mergers and Acquisitions

Description: This bank contains information on all modes of transportation regarding proposed mergers and acquisitions of Canadian transportation undertakings. This bank may contain personal information in the form of an individual's name; his or her home, business or mailing address or telephone number; nationality; and financial information. Note that effective July 1, 1996 the CTA has no responsibility for Mergers and Acquisitions and the records in this bank will be disposed of in accordance with the Retention and Disposal Standards outlined below. **Class of Individuals:** Individuals submitting applications regarding proposed mergers or acquisitions. **Purpose:** To review proposed acquisitions. **Retention and Disposal Standards:** Records relating to Air and Rail matters are destroyed ten years after merger or acquisition is completed, and Marine and Trucking records after seven years. **TBS Registration:** 003734 **Bank Number:** CTA PPU 030

Northern Marine Licensing

Description: This bank contains applications for authority to operate a resupply service on Lake Athabasca, along the Mackenzie River and in the Western Arctic Region, including interventions in support of and opposition thereto. This bank may contain personal information in the form of an individual's name; his or her home, business or mailing address or telephone number; identifying numbers; financial information; and views or opinions of another individual about the individual. Note that effective July 1, 1996 the CTA has no responsibility for Northern Marine Licensing and the records in this bank will be disposed of in accordance with the Retention and Disposal Standards outlined below. **Class of Individuals:** Individuals submitting licence applications. **Purpose:** To maintain a record of water carrier licence applications for use in determining licence eligibility. **Retention and Disposal Standards:** Records are destroyed seven years after last action. **TBS Registration:** 003733 **Bank Number:** CTA PPU 025

Requests from Federal Investigative Bodies

Description: This bank contains requests for personal information made pursuant to paragraph 8(2)(e) of the Privacy Act by federal investigative bodies (named in Schedule II of the Act) for the purpose of enforcing a federal or provincial law or for carrying out a lawful investigation. It also contains the replies to such requests, the records of information disclosed, any correspondence with the Privacy Commissioner and all records relating to the processing of the requests. This bank may contain

personal information in the form of an individual's name; his or her home, business or mailing address or telephone number; financial information; and identifying numbers.

Class of Individuals: Individuals about whom requests for personal information from federal investigative bodies have been received pursuant to paragraph 8(2)(e) of the Privacy Act. **Purpose:** To permit the Privacy Commissioner to review disclosures made under paragraph 8(2)(e) of the Privacy Act to federal investigative bodies for the purpose of enforcing a law of Canada or of a province or for carrying out a lawful investigation. **Retention and Disposal Standards:** Records are retained for two years after last action. **PAC Number:** 86/001 **TBS Registration:** 001863 **Bank Number:** CTA PPU 045

Classes of Personal Information

The general subject files of the Canadian Transportation Agency contain a certain amount of personal information relating to general correspondence, complaints and enquiries. The personal information contained in this class may include the name; home, business or mailing address or telephone number; and personal opinions or views of the individual, but is not arranged by personal identifiers. This form of personal information is normally retrievable only if specifics are provided concerning the subject and the date of the correspondence. The purpose of this bank is to maintain information relating to general correspondence, complaints and enquiries concerning the various functions of the CTA. The retention period for this class of personal information is controlled by the records schedules of the general subject files in which they are stored.

Manuals

- A Practical Guide for New Canadian Entrants
- Activities Manual for International Air Regulatory Policy and Agreements
- Application Guide for a Licence or for Amendment to a licence to Operate a Domestic Air Service
- Charter Authorization Guide
- CN and CP Costing Manuals
- Complaints Investigation's Orientation Manual
- Field Investigator's Handbook

- Financial Requirement Guide
- Guidelines for the Preparation of International Charter Permit Applications
- Guidelines for the Preparation of International Licence Applications
- International Air Tariffs Administrative Procedures Manual
- Manual of Procedures on the Processing of International Charter Permit Applications
- Manual of Procedures on the Processing of International Licence Applications
- Rail Infrastructure Procedures Manual
- Uniform Classification of Accounts Manual

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information on the CTA's publications, major decisions and rulings, and on its various programs and functions may be directed to:

Communications and Secretariat Directorate
Canadian Transportation Agency
Jules Léger Building
15 Eddy Street
Hull, Quebec
(Mail: Ottawa, Ontario
K1A 0N9)
Tel: (819) 997-0344
Fax: (819) 953-8353

Reading Room

The CTA's library has been designated under the Access to Information Act as a public reading room. The address is:

Library
17th Floor, Jules Léger Building
15 Eddy Street
Hull, Quebec

Canadian Wheat Board

Chapter 34

General Information

NOTE: THIS INSTITUTION IS NOT SUBJECT TO THE ACCESS TO INFORMATION ACT.

Background

The Canadian Wheat Board was established by the Canadian Wheat Board Act in 1935 to provide for the orderly marketing of wheat, and since 1949, oats and barley, produced in the area designated by the Act. The marketing of oats was removed from the Board in 1989.

Responsibilities

The Board is obliged by law to purchase wheat and barley offered to it for sale by producers at an elevator or railway car and to pay an initial payment for same in accordance with the Act. The Board is then obliged to market this grain in interprovincial and international markets. The surplus proceeds received upon sale and available for distribution are divided equitably among the producers delivering grain to the Board after the initial payment made to the producers and the Board's operation costs are deducted. The Board is also responsible for administering a delivery system which is designed to maximize marketing opportunities, as well as allocate delivery opportunity among producers wishing to deliver grain for sale in interprovincial and international markets to elevators and railway cars. The Board also administers the Prairie Grain Advance Payments Act pursuant to which advance payments are made to producers undertaking to deliver wheat and barley as quotas permit.

Information Holdings

Personal Information Banks

Grain Growers Advance Payment Records

Description: This bank is used to record the value of cash advances issued and refunds received from grain growers under the Prairie Grain Advance Payments Act. The information gathered is used to monitor the grower's repayment of monies advanced. Repayment is conditional on the grower's delivery and sale of grain as soon as he or she is able to do so. The information is shared with grain companies that act as agents of the Board in making and collecting cash advances. The information is summarized and controlled as required by the federal government. As of August 1990, it will be shared with those lending institutions specified by the producer which have a lien against the grain. Files are retained for three

years following the retirement of the advance payment. **Bank Number:** CWB PPU 010

Grain Growers Delivery Records

Description: This bank contains a record of each producer certificate issued to a grower by a grain company, in payment for the grower's delivery of grain to the Board account. The growers delivery records are the basis for equitable distribution of profits realized from the sale of grain by the Canadian Wheat Board. The information produces statistical statements by district(s), grain company and type of grain. It is shared with Revenue Canada, Employment and Immigration Canada for unemployment insurance purposes and provincial crop insurance organizations. Files are retained for seven years. **Bank Number:** CWB PPU 015

Grain Growers Payment Records

Description: This bank contains a record of each payment made to grain growers which resulted from an increase in the price paid for grain or from the profits realized from the sale of grain by the Canadian Wheat Board. The information is maintained to control the value of payments and monitor bank cashings, lost cheques, uncashed cheques, etc. Statements are produced showing the value of payments by district(s), kind of grain, date of issuance, date of bank clearance and for other control purposes. Information in this bank is shared with Revenue Canada, Agriculture Canada's NISA and GRIP programs, and in the case of non-resident producers with their representatives in Canada and the Bank of Montreal. Files are retained for seven years. **Bank Number:** CWB PPU 005

Grain Growers Permit Records

Description: This bank is used to establish and maintain a record of each grain growers' entitlement to market grain under the quota system. Under the authority of the Canadian Wheat Board Act, this bank may contain the Social Insurance Numbers of those producers who chose to supply them. The permit book application information is used to monitor and record grain growers' delivery and sale of grain to Board Account and verify grain growers' entitlement for Cash Advances under the Prairie Grain Advance Payments Act. The grower's name and address are used to distribute profits realized from the sale of grain. Summary statements are produced by district(s) and type of grain for planning purposes. Information in this bank is used to prepare voters' lists for Canadian Wheat Board Advisory committee elections. Various components of the information are also shared with Revenue Canada, Agriculture Canada (including its Special Canadian Grains Program, the Drought Assistance Program and its NISA and GRIP programs), Canada Customs for inter-provincial and export licences,

provincial crop insurance organizations, various other provincial institutions, departments and related commodity groups and universities for consistent use and statistical research purposes and the grain companies who are agents of the Board. Files are retained for seven years.
Bank Number: CWB PPU 020

For further information about the policies and activities of the Board, please contact:

Corporate Communications
The Canadian Wheat Board
P.O. Box 816
Winnipeg, Manitoba
R3C 2P5
(204) 983-3421

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Privacy Act.

Citizenship and Immigration

Chapter 35

General Information

Background

The federal government has administered immigration matters since Confederation. The Department of Citizenship and Immigration (CIC) was created by an Act of Parliament which received Royal Assent on June 23, 1994. This brought together the Citizenship Registration and Promotion component of the Department of Canadian Heritage (previously with the former Department of Multiculturalism and Citizenship); the Immigration Policy, Selection and Enforcement components of the former Department of Public Security (previously with the department of Employment and Immigration); and the Immigration Settlement component of the former Department of Human Resources and Labour (previously part of Employment and Immigration). The Department reports to Parliament through its Minister, who is also responsible for the independent Immigration and Refugee Board (IRB). The IRB functions are separate from those of the Department. CIC's authority stems from the Immigration Act and the Citizenship Act. These provide the Deputy Minister, the Minister and Governor-in-Council with the discretionary authority required to develop and implement responsive and flexible policies and programs.

Responsibilities

The Department of Citizenship and Immigration Act establishes a specific federal mandate for the Minister of Citizenship and Immigration. This mandate includes all matters relating to the administration of the programs and policies of immigration and citizenship that are not assigned by law to other departments, branches or agencies of government.

The Department of Citizenship and Immigration Canada's mission is to build a stronger Canada by deriving maximum benefit from the global movement of people; managing access to Canada; defining membership in Canadian society; and protecting refugees at home and abroad.

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access to Canada; defining membership in Canadian society; and protecting refugees at home and abroad.

The department consists of three main sections: Service Lines, Program Delivery, and Strategic Support. Each section is composed of a number of branches whose Directors General report directly to the Deputy Minister and are guided by an Associate Deputy Minister and two Assistant Deputy Ministers (Operations and Partnerships). The Associate Deputy Minister works closely with the Deputy Minister to set departmental priorities and to develop strategies to meet these goals. The ADM, Operations' role is to ensure that departmental processes are well designed and maintained, and that the various branches work together harmoniously towards a common purpose. The ADM, Partnerships makes sure that CIC takes full advantage of partnerships with other departments, central agencies, provincial governments, other national governments, international agencies and the private sector. Another important function of the position is to ensure that CIC remains client oriented in all its activities.

Legislation

- Citizenship Act, R.S.C. 1985, c. 29, as amended, and Regulations
- Department of Citizenship and Immigration Act, S.C. 1994, c. 31
- Immigration Act Fees Regulations, SOR / 86-64, as amended
- Immigration Act, R.S.C. 1985, c. I-2, as amended, and Regulations
- Indochinese Designated Class (Transitional) Regulations SOR/90 — 627, as amended
- Indochinese Designated Class Regulations, SOR/78-931, as amended
- Political Prisoners and Oppressed Persons Designated Class Regulations, SOR/82 -977, as amended
- Refugee Claimants Designated Class Regulations SOR/90-40, as amended
- Self-Exiled Persons Class Regulations, SOR/78-933, as amended

Organization

Departmental Support

This group is responsible for providing specialized support in leading the Department as it fulfils its broader role in the governance of Canada to support the Minister the Deputy Minister and those charged with the overall management of the Department and to provide services to branch heads.

◆ Case Management Branch

The Case Management Branch directs the development of strategies and procedures for the efficient management and coordination of cases, including the resolution of cases which have security, organized crime, criminal and potentially serious public policy dimensions and which require a representation to the Minister's personal attention/or for which special exemption is sought from the provisions of the Immigration Act and Regulations

◆ Finance and Administration

The Finance and Administration Branch provides services, advice and information to departmental management concerning the financial and administrative management of the Department's policies and programs. This includes: providing financial policies and systems; providing accounting services; managing the loan portfolio; developing cost recovery/revenue generation plans; developing resource management plans for reallocating departmental funds or securing funding approval through central agencies to fund departmental programs; providing financial analysis and reporting services; providing facilities and real property asset management services; and supplying materiel, contracting and security services.

◆ Human Resources Branch

The Human Resources Branch provides a full range of personnel services such as staffing and classification, official languages, pay and benefits, training and development services to staff, as well as management of staff relations, professional conduct, employee assistance, workforce adjustment, workforce analysis, human resources planning, awards and diversity management programs. This branch also develops, disseminates and monitors human resources policies and programs.

◆ Information Management and Technologies Branch

The Information Systems and Technologies Branch is responsible for the design, development, implementation and maintenance of all of Citizenship and Immigration Canada's automated systems which are utilized for the effective delivery of the departmental information holdings and ensures the integrity and accuracy of those holdings. The branch establishes and administers all policies and standards related to information technology. Finally, the branch is responsible for the effective introduction and innovative use of all information technology solutions for the department

◆ Ministerial and Executive Services

The directorate consists of Briefings and Parliamentary Affairs and Ministerial Enquiries and Public Rights Administration. It is responsible for support and coordination services to the office of the Minister, Deputy Minister and Associate Deputy Minister. The branch is also responsible for the management of access to information, privacy and human rights activities.

◆ Public Affairs Branch

The role of the Public Affairs Branch is to provide services which will meet the communications needs of the Minister, Deputy Minister, the department and its clients. The Branch informs the public, in both official languages, about departmental policies, programs and services, alerts key audiences to major new initiatives, and provides advice and guidance to the Minister and senior departmental officials on all aspects of public affairs activities. Public Affairs is divided into six units: Media Relations, Service Line Communications, Departmental Communications, Research and Analysis, Departmental Publishing Services, and the Director General's Office. The key functions carried out by these units include media relations; speechwriting for the Minister and senior departmental officials; communications advice and planning; community education and outreach; internal departmental communications; public environment research; program-related communications assistance; and departmental publishing.

Public Affairs is also responsible for the maintenance and upkeep of the departmental Internet Web Site. The Web Site gives access to a wide variety of departmental publications, and includes answers to frequently asked questions.

◆ Strategic Policy Planning and Research Branch

SPPR ensures Departmental policies, program and management processes are integrated and focused on the public interest and are connected to the broad government agenda.

The Federal-Provincial Relations, Partnerships and Projects component: establishes frameworks and acts as the Department's focal point for federal-provincial relations and other strategic partnerships; manages existing immigration agreements; establishes negotiating frameworks; manages federal-provincial negotiations for immigration agreements; manages negotiations of memorandums of understanding on the exchange of information with provinces, regional governments and municipalities; and supports other branches in federal-provincial consultation exercises.

The Policy component: establishes policy frameworks for the Department; provides strategic policy advice and briefings for the Minister and the Deputy Minister on government-wide and Department-wide issues; and provides leadership in the development of Departmental processes to prioritize policy issues.

The Planning and Review component: leads the development of Departmental planning and review processes and products; provides advice and support to Service Lines on the review of new and existing programs and activities; and develops planning and accountability processes.

The Research component: provides support to policy and program development; develops and manages the

Departmental Research plan; and is the Departmental focal point for the development and contracting of research.

The Regulatory Affairs component: supports other branches in the development of regulations and legislation; and is the focal point for relations with central agencies on regulatory and legislative issues.

Program Delivery

Program delivery will be responsible for the delivery of CIC programs.

♦ Departmental Delivery Network

The Departmental Delivery Network (DDN) provides integrated program management and delivery on a product line basis in Canada. It ensures that CIC's seven centralized points of service have access to management and program support.

DDN includes a small NHQ structure (including Support Services and Program Services Divisions), three central processing centres (Case Processing Centres are in Vegreville, Alberta (CPCV), in Mississauga, Ontario (CPCM), and in Sydney, Nova Scotia (CPCS)), and four Ottawa-based central points of service (Interim Federal Health Program; Immigration Health Services; Immigration Warrant Response Centre; and Query Response Centre).

The Support Services Division is responsible for developing national systems for Information Management, Information Technology, Human resources practices, finance and administrative matters.

The Program Services area manages the major projects and initiatives of which DDN has the lead. It supports the other branches of CIC with the aspects of their projects that require service delivery expertise. Under the Program Services area, the Citizenship Services Division is responsible for issues relating to the delivery of the Citizenship program, and is the principal contact for issues related to CPCS. The Immigration Services Division provides advice, guidance and operational instructions on the immigration program to CPCM AND CPCV.

CPCV opened for full processing on April 1, 1994 and is responsible for:

- 1) Visitor Extensions; 2) Student Authorizations; 3) Employment Authorizations; 4) Minister's Permit Extensions; 5) Immigrant Applications for Landing in Canada; and 6) Right of Landing Fee (ROLF) Loans.

CPCM is the national case processing centre for all immigration Family Class sponsorships and is responsible for reviewing undertakings to determine eligibility of sponsors; assessing the financial information provided by sponsors and determining whether or not they will be able to meet their obligations; providing administrative support from Family Class sponsorships, i.e., liaison with Le Ministère des Affaires internationales de l'Immigration et des Communautés Culturelles (MAICC), respective

provincial adoption ministries, ministries of social services, and issuances of appeal notices to sponsors.

CPCS has operated since 1984 and provides direct service to 31 citizenship courts across Canada. Through the Citizenship Registration System (CRS), the staff administers the Citizenship Act while serving as a citizenship card production centre.

The Interim Federal Health (IFH) Program which was known as the Non-Insured Health Benefit Program under Health Canada. Transferred to CIC on April 1, 1995, IFH is designed to cover emergency and essential health care costs for refugee claimants, refugees and others under immigration control.

The Immigration Health Services Division is responsible for centralized medical processing in North America; developing medical assessment guidelines; maintaining quality assurance standards; and providing statistical and qualitative medical information.

The Immigration Warrant Response Centre is responsible for upholding the Memorandum of Understanding between CIC and the Canadian Police Information Centre (CPIC) Services, Royal Canadian Mounted Police.

The Query Response Centre is responsible for all requests for verification of admission records of immigrants and visitors to Canada; all enforcement cases for Immigration; inquiries; and court cases.

♦ International Region

The International Region is responsible for the delivery of Canada's immigration programs at Canadian missions abroad. This includes immigrant selection, non-immigrant processing, immigration medical services, interdiction, and reporting and liaison. The International Region plays a lead role in international migration, and in the co-ordination of the Department's international activities, particularly with respect to relations with Foreign Affairs and International Trade (DFAIT), other countries, and multilateral organizations. The International Region comprises eight divisions — Asia and the Pacific, Africa and the Middle-East, Western Hemisphere, Europe, Resource Management, Personnel, Operational Co-ordination and Overseas Medical Services. The International Region is responsible for the delivery of all program activities at Canadian diplomatic missions around the world. This includes all operational aspects of immigration programs such as delivery of annual immigration levels; management of immigration, visitor and refugee programs abroad; ensuring a consistent approach across geographic regions; and co-ordination and liaison with other departments and agencies such as Canadian Security Intelligence Service (CSIS), Health Canada and the RCMP. The International Region ensures that the delivery of the program is consistent with Canadian law and policy and with Canada's bilateral and multilateral foreign policy interests.

Resource Management is responsible for the maintenance of an operational structure through the allocation of financial and human resources to ensure global immigration is consistent with levels established by Cabinet. This includes those resources in the DFAIT financial base that support the immigration program.

Operational Co-ordination is responsible for the development and dissemination of statistical information relevant to international operations, communications policies and activities with missions abroad, managing overseas mission reconfiguration, promotion and recruitment, and issues of global interest within the International Region.

In consultation with the departmental Human Resources and Finance and Administration Branches, the Personnel Division is responsible for the management of human resources relating to the International Service.

Overseas Medical Services identifies immigrants, refugees, and certain classes of visitors who are medically unfit for admission to Canada, for those applicants applying at missions abroad. In association with Health Canada (Occupational and Environmental Health Services), it ensures that federal employees and dependants are medically fit for posting abroad and helps them to remain healthy while serving abroad.

The four geographic branches are responsible for managing the delivery of immigration, visitor and refugee programs at missions within their respective territories.

Service Line Management

This Group is responsible for policy development, program design and direction for CIC's core Service Lines. Service line branch heads are accountable to the Deputy Minister for all Service-line related issues, up to but not including program delivery. Each branch is responsible for policy development, program design and direction of one of the four core CIC Service Lines.

♦ Enforcement Branch

The Enforcement Branch directs the development of strategies, policies, procedures and guidelines to support the implementation of the enforcement component of the Immigration Program and to monitor enforcement operations to ensure consistency.

♦ Integration Branch

The role of the Integration Branch is to develop policy and programs that define and promote membership in Canadian society by: maintaining an enduring federal role in the decentralized delivery of settlement and integration services; articulating the rights and responsibilities of persons in Canada; and granting and enhancing the role of Canadian citizenship. The Integration Branch oversees the delivery of programs under its jurisdiction through a network of national partnerships, as required. The Integration Branch is composed of three divisions:

Citizenship Program, Citizenship Policy, Education and Promotion, and Settlement Programs.

♦ Refugees Affairs

Refugees Affairs is composed of the Asylum, Resettlement, International Liaison and Refugee Program Support Divisions. They are responsible for the management of refugee policy, programmes and targets; liaison with the Immigration and Refugee board (IRB); representing the interests of CIC and the Government on international migration issues; and coordinating reporting and liaison activities.

♦ Selection

The Selection Branch is responsible for the development of policy, program design and procedural guidance affecting the selection of people to Canada both immigrants and non-immigrants. The goal is to develop policy with an operational perspective, provide procedural guidance to the field both nationally and internationally, and feed back field perspectives into the policy-making process.

The Social Policy and Programs Division is responsible for development of policy and programs for the social benefit of Canada including the new sponsorship criteria, issues relating to the family class, Humanitarian and Compassionate reviews of the live-in caregiver program and special classes of immigrants who may be landed in Canada.

The Economic Policy and Program Division is responsible for developing policy and designing programs for the economic benefit of Canada including the selection criteria for skilled workers, for all non-immigrant programs including foreign students and temporary workers, for returning residents and for provincial nominees. The Division is also responsible for international agreements (e.g. NAFTA, GATS, etc.) concerning temporary entry of business persons.

The Immigration Health Policy Division is responsible for the legislation on medical inadmissibility. It is also responsible for developing immigration health policy in consultation with federal and provincial partners and for maintaining liaison on immigration health issues with federal government departments, other immigrant receiving countries, and with international organizations.

The Business Immigration Division is responsible for ensuring regulatory controls and requirements are met with regard to the current entrepreneur and investor programs and for the design of the Business Immigration Program.

The Service Line Support Division is responsible for the establishment of branch objectives and monitoring of branch policy and program performance, for the development of the annual immigration plan for production of annual statistics and management reports including levels and mix information, and for ensuring compliance with program guidelines and directives.

Information Holdings

Program Records

◆ Finance and Administration

Emergency Preparedness

Description: Information on legislation, policies, correspondence and contingency plans relating to local, regional, national or international emergency preparedness and response that affects CIC operations and its public role. **Topics:** Policy, legislation, publications and manuals concerning emergency preparedness and response and the administration of emergency preparedness within organizations; committee reports, briefs, submissions and plans of action on international or interdepartmental liaison and response measures; regional contingency plans in the event of disasters; references for other departments' emergency preparedness and response plans, as well as those of their committees and agencies; NATO liaison and wartime planning; specific response plans for localized disasters; training courses and civil and military exercises. **Storage Medium:** Paper and micro-computer diskette **Program Record Number:** CIC FIN 050

Management Data

Description: Computerized information systems of a financial, personnel, administrative and operational performance measurement nature. **Topics:** correspondence; rapports d'activités; comptes rendus des réunions. On peut avoir accès à l'information conservée dans les systèmes TED grâce aux titres suivants : Comptes recevables — Programme d'immigration — système permettant de comptabiliser, de contrôler et de facturer les prêts accordés à ce titre aux immigrants : Système d'information financière (SIF) — situation financière des engagements, des avances et de l'ensemble des postes de dépense à caractère non salarial qui existent à CIC; données, pour l'année à ce jour, visant les finances, les équivalents à temps plein (ETP) et la mesure du rendement; informations sur les employés servant à produire certains relevés touchant le personnel de même que des rapports destinés à la direction et aux organismes centraux. **Storage Medium:** Magnetic tapes and paper. **Program Record Number:** CIC SYS 555

Security

Description: Information on policies, correspondence and protective procedures relating to departmental security, its implementation and administration; information on cooperation with the RCMP, CSIS, CSE and provincial bodies. **Topics:** Policies and procedures on personnel, physical communications and administrative security; RCMP-CIC cooperation; CSIS-CIC cooperation; CSE-CIC cooperation; minutes of meetings; briefs, reports, plans. **Storage Medium:** Paper and Microcomputer Diskette. **Program Record Number:** CIC FIN 055

◆ Information Management and Technologies Branch

Technical Support

Description: Information on the architecture, development and design of hardware, software, database, information centre, administration of data, EDP Policy, Training, EDP Standards, and contracts. **Topics:** Correspondence; tenders and contracts; security of EDP installations; computer activity reports; systems and programming standards; software master control programs and utilities for all systems, EDP policy, database studies, schedules and information, EDP standards and contracting documentation. **Storage Medium:** Magnetic tapes and paper. **Program Record Number:** CIC SYS 560

◆ Ministerial and Executive Services

Access to Information and Privacy

Description: Policy and guidelines on Access and Privacy; statistical and other non-personal information provided by requesters in their access requests. **Topics:** Policies and procedures for each component of the legislation; background on legal interpretations of access rights; statistical data on requests and complaints; documents concerning jurisprudence and records of policy decisions made by the Information and Privacy Commissioners; appeals and decisions; CIC policies, procedures and measures encouraging access generally; administrative costs of public rights management. **Storage Medium:** Paper and magnetic media. **Program Record Number:** CIC SEC 040

Briefing, Cabinet and Parliamentary Affairs

Description: briefing notes/books; Question Period cards and transcripts; Parliamentary Returns, written questions, petitions, motions, requests for documents and written responses for Parliamentary Committees; departmental briefing system; guidelines for drafting briefing notes and Cabinet documents. **Topics:** anticipated questions in the House of Commons and recommended responses, transcripts of Question Period proceedings, Parliamentary Correspondence and replies, House motions, petitions, document requests and replies and monitoring of Parliamentary Committee activity; requests for briefings and replies; information on upcoming events involving the CIC Minister, Deputy Minister and officials. **Storage Medium:** Paper and computer disks. **Program Record Number:** CIC SEC 046

Human Rights

Description: Information on policies, guidelines, complaints and other human rights matters. **Topics:** Policies and procedures relating to implementation of legislation; reports on the human rights field; background information relating to complaint activities. **Storage Medium:** Paper and magnetic media. **Program Record Number:** CIC SEC 045

Ministerial Enquiries Unit

Description: Guidelines on the handling of ministerial correspondence; statistical reports related to the tracking and control of ministerial correspondence and the research and resolution of telephone and correspondence inquiries and the reporting on the number and content of such inquiries. **Topics:** Processing and routing of Minister's and Deputy Minister's correspondence, formatting and presentation of documents; volume of correspondence, production and average turn-around times; design specifications and instructions for use of the Ministerial Correspondence System and other custom-designed office automation systems used. **Storage Medium:** Paper and magnetic media. **Program Record Number:** CIC SEC 031

♦ Public Affairs Branch

Communications

Description: Activity reports; internal communiques; departmental newsletters; working documents; discussion papers; Deputy Minister messages; and internal memoranda. **Topics:** Highlights of media coverage and community outreach activities across Canada; organizational structure and consolidation project; renewal issues; communications strategies; information technology issues; policy and program initiatives; special events; workplans; departmental and government-wide initiatives; key human resources announcements; status of ongoing projects; unit's human resources and budgetary/financial issues. **Storage Medium:** Printed, and electronic mail. **Program Record Number:** CIC SPP 618

Departmental Publication Services

Description: Activity reports; internal and external correspondence; current departmental publications; internal memoranda; contracts; distribution records; mailing lists; publication components. **Topics:** Status of ongoing projects; general correspondence; public inquiries; printing and personal service contracts; distribution plans for publications and videos; warehousing of printing components for all published material; unit's human resources and financial/ budgetary issues. **Storage Medium:** Printed, electronic mail and disks. **Program Record Number:** CIC SPP 620

Media Relations

Description: Ministerial speeches; special ministerial correspondence such as messages and greetings; personal service contracts; activity reports; minutes of meetings; lists of departmental press releases; and internal memoranda. **Topics:** Policy and program related issues; special events; status of ongoing projects; unit's human resources and financial/budgetary issues. **Storage Medium:** Printed, disk, and electronic mail. **Program Record Number:** CIC SPP 621

Research and Analysis

Description: Summaries of the day's news (print and broadcast); media surveys on noteworthy issues; public environment reports; computerized slide presentations;

weekly analysis of ethnic media and Internet; communications strategies; departmental publications; activity reports; internal memoranda; briefing notes; talking points; action plans; press releases; press clippings; tapes and transcripts; memoranda to the Minister and Deputy Minister; personal and other service contracts; minutes of meetings; surveys and polls on public attitudes towards CIC policies, programs and services. **Topics:** Immigration and Citizenship issues; departmental policy and program initiatives; survey data and related analysis used for the development of communications strategies; procedures, production arrangements, scheduling, revisions and distribution of departmental publications and pamphlets; status of ongoing projects; branch Access to Information issues; unit's human resources and financial/budgetary issues. **Storage Medium:** Printed, electronic mail, and electronic files. **Program Record Number:** CIC SPP 623

Service Lines Communications

Description: Activity report; calendar of upcoming ministerial events; communications strategies; action plans; scenarios; press releases; media advisories; talking points; departmental communications; articles; internal and external correspondence; memoranda to the Minister and others; minutes from Minister's Communications meetings; work plans; audio visual material; and procedures. **Topics:** Departmental policy and program initiatives; public education and outreach; procedures; unit's human resources and financial/budgetary issues. **Storage Medium:** Storage Medium: Electronic file, printed, CD, videos, internet and electronic mail. **Program Record Number:** CIC SPP 623

Surveys

Description: Surveys on public attitudes towards CIC policies, programs and services. **Topics:** Survey data and related analysis used for the development of communications strategies. **Storage Medium:** Paper. **Program Record Number:** CIC PAF 616

♦ Strategic Policy Planning and Research Branch

Admission of Persons to Canada

Description: Information on policies and programs concerning the admission of persons to Canada. **Topics:** Policies and programs for the orderly and planned admission of immigrants according to component groups (economic, social and humanitarian immigrants) and of visitors including tourists, temporary workers and foreign students. Related background information including labour market and demographic data. Policies on federal-provincial relations concerning immigration. Description of selection criteria and processing systems. **Storage Medium:** Paper. **Program Record Number:** EIC IMM 120

Cabinet Briefings

Description: Cabinet documents, briefing notes; cabinet business lists, timetables and agendas; departmental briefing system; guidelines for drafting briefing notes and cabinet documents. **Topics:** Analysis of cabinet documents and advice to the Minister, information on CIC's planned cabinet activities, weekly timetables of meetings of Cabinet Committees, Cabinet Committee agendas. **Storage Medium:** Paper and computer diskettes. **Program Record Number:** CIC SPP 630

Census

Description: Statistical surveys relating to CIC programs. **Topics:** Statistical surveys developed for collecting census data on CIC programs and activities for Statistics Canada. **Storage Medium:** Magnetic tapes. **Program Record Number:** CIC SPP 625

Electronic Data Processing (EDP) Systems

Description: Information on systems used for gathering or amending statistics and other types of information necessary for the operational support of the immigration function and programs. The Immigration Group uses an integrated clerical, manual and EDP system to deliver its programs and services. The following sub-systems support the various immigration programs and services. These sub-systems may support several of the information banks already described; the numbers of the corresponding banks are given in all instances. All sub-systems (except the Settlement Management Information System) are linked to each other, either by a full computer connection (interface), or by information enabling a physical check of the systems. Therefore, actions in one sub-system can and do effect the smooth running of others. Approximately 25 separate immigration forms, with a total volume of 1,000,000 documents, are processed through these sub-systems each year. (1) Immigration Data System Overseas (IDSO) (EDP-1); Immigration Data System Canada (IDSC) (EDP-2); IDSO and IDSC maintain a record of all applications for permanent resident status either at an overseas office or from within Canada. The systems produce information in support of the recruitment and selection function. The data are case-oriented and can only be accessed by providing the case serial number recorded on the landing record. Files, including microfilm records, date back to 1975. Records are kept for 15 years. (EIC PPU 225) (2) Landed Immigrant Data System (EDP-3): The Landed Immigrant Data System retains a permanent record of the granting of permanent residence to individuals. Its main purpose is to create an official name index which is used by immigration officers in Canada and abroad to verify an individual's status in Canada as well as legal proof of residence for citizenship, Old Age Security, Family allowance, welfare, etc. In addition, information from the system is the sole source for all the Departments' reports on permanent residents. (EIC PPU 230) (3) Visitor Data System (EDP-4): The Visitor Data System retains a permanent record of all persons who have been granted permission to enter or remain in Canada for a temporary

period either as a visitor, student, temporary worker or permit holder. Its main purpose is to create an official name index which is used by immigration officers in Canada to verify an individual's status in Canada. In addition, information from this system is the sole source for all the Department's reports on visitors and Minister's permit holders. Data to produce the annual report to Parliament on Minister's permits are retained in this system. (EIC PPU 285; 290; 295; 300) (4) Enforcement Information Index (EII) (EDP-5): See EIC PPU 265 for details. (5) Enforcement Data System (EDS) (EDP-6): (See EIC PPU 270 for details.) (6) Field Operational Support System (FOSS) (EDP-7): FOSS is designed to provide relevant information in order to allow status checks by field offices via computer terminal. It is the basic support of the inland and port of entry function. The feeder systems are: Enforcement Information Index (EII); Enforcement Data System (EDS), the Landed Immigrant Data System, and Visitor Data System (VIDS). FOSS output is available through an on-line terminal system in Canada. (7) Settlement Management Information System (SMIS): It is used throughout Settlement as the basic tool for management of programs and services. Provides Settlement information on the contracted non-governmental organizations for monitoring the effectiveness of Settlement services as well as a record of adjustment assistance granted to newcomers in need. The information is used in the administration of financial assistance loans. Settlement programs are: Immigrant Settlement and Adaptation Program (ISAP) (PPU 250), the Host Program (PPU 226) and the Adjustment Assistance Program (AAP) (PPU 235), and Language Instruction for Newcomers to Canada (LINC). A set of EDS (EIC PPU 270) and EII (EIC PPU 265) cases produced on microfiche is distributed to posts (embassies and consulates) abroad. (8) The Computer-Assisted Immigration Processing System (CAIPS) is an automated processing system which has replaced the processing of paper files in some visa offices abroad. Information is entered from the application forms submitted by applicants for immigrant and visitor visas, returning residence permits and student and employment authorizations. Information taken from documents submitted in support of these applications can also be entered into CAIPS. The information is used in the processing of the applications. Data from applications for student and employment authorizations are downloaded to FOSS and are available to officers at the port of entry. Data are normally retained on the CAIPS system at the mission which dealt with an application for a period of two years from the date of the last administrative action, and basic file data, such as the name of the applicant and the kind of visa applied for, can be retained indefinitely. The visa officer has the option of retaining the complete file for a longer period. (9) The STAIRS (Stand-Alone Immigration Reporting System) is designed to capture immigration and visitor information from non-CAIPS immigration missions overseas in a timely, accurate and automated manner, to produce operational reporting functionality to the mission, and to transmit this operational data to HQ for further processing in IDSO and

ISIS. There are at present seventeen STAIRS missions overseas. STAIRS enables the users to; Search by applicant and/or dependent in an automated case index; Print non-immigrant visas; Track by case status; Produce MOR (Monthly Operational Report), Key Indicators, and Operational Reports such as Pending (Bring Forward) and Cases Overdue, Wordperfect mail, merge and export capabilities to other software; Allows automatic conversion of full database to CAIPS at the time of CAIPS implementation. **Topics:** Information systems generally; standard statistical coding for completing forms; information-gathering systems (employment clearance, occupation, transportation tables, native language); data systems (immigrants processed abroad and in Canada, permanent residents, monthly program reports, special information retrieval procedures, foreign nationals visiting Canada). **Storage Medium:** Microfilm, magnetic tape or disk. **Program Record Number:** CIC IMM 110

Evaluation of Immigration Programs

Description: Information on the efficiency and effectiveness of the Immigration Program. **Topics:** Studies and projects on the assessment of the overall impact of the Immigration Program including an evaluation of refugee programs; examination of visa officer and port-of-entry officer decision-making processes; evaluation of the business immigration program and its impact on the Canadian economy; evaluation of the effectiveness of Primary Inspection Line (PIL); information on immigration recruitment and selection activities and subsequent labour market performance by class. **Storage Medium:** Paper and magnetic tapes. **Program Record Number:** EIC SPP 665

General

Description: Policy and correspondence on general citizenship, immigration and demographic activities. **Topics:** Association briefs and submissions, including suggestions and proposals for amending policies and/or procedures; federal-provincial relations regarding immigration policies and procedures, such as reciprocal agreements, exchange of information or amendments to policies and procedures **Storage Medium:** Paper. **Program Record Number:** EIC IMM 065

Planning

Description: Strategic Policy, Planning and Research provides organizational leadership of the Departmental planning and accountability processes **Topics:** Records on the planning cycle such as the Planning, Reporting and Accountability Structure (PRAS), Business Plan and Outlook, operational planning, work plans, priority setting, Deputy Minister's management accords, Departmental Management Committee retreats, corporate committee meetings, and planning conferences including agendas, records of decisions, and documentation concerning corporate planning will be maintained **Storage Medium:** Mixture of hard copy and electronic storage on disks and the network. **Program Record Number:** CIC SPP 665

Research and Analysis

Description: Strategic Policy, Planning and Research conducts research and provides information and advice to support policy and program development in the areas of immigration and citizenship. Research reports, surveys, studies, working papers, statistical tables, data and other information related to international migration, immigration control and security concerns, the impacts of immigration on Canadian society and culture and integration of immigrants in Canada and the impacts of legal and illegal immigration on the Canadian economy, labour force, labour market and population and on the adjustment and integration of immigrants in Canada. Correspondence, specifications, contracts and other files related to the administration and the production of research. **Storage Medium:** Mixture of hard copy on paper and electronic storage on computer disks, tapes and diskettes **Program Record Number:** CIC SPP 619

Working Papers — Internal Audit

Description: Support and reference documents used by auditors in compiling assignment reports. **Topics:** Program guides for conducting assignments, testing results, information relating to observations, findings, conclusions and recommendations for corrective action. **Storage Medium:** Microcomputer Diskette or Paper. **Program Record Number:** CIC IAB 010

◆ Departmental Delivery Network

Immigration Health Services Records

Description: information on the assessment of prospective immigrants and refugees to Canada by direct medical examinations or assessments of medical examinations to ensure compliance with the Immigration Act and Regulations; provision of, or arrangement for, medical and hospital care for certain groups under the provisions of the Immigration Act, Department of Health and Welfare Act and various Orders-in-Council; and provision of medical advice to the Department on all matters pertaining to the health of immigrants and certain classes of visitors to Canada **Topics:** Immigrant Co-operation and liaison; medical examination of immigrants and refugees; diseases in immigrants; passed cases; Immigration Medical Review Board; lion medical records **Storage Medium:** Database, paperfiles **Program Record Number:** HWC MSB 236

◆ International Region

Immigration Health Program Records

Description: information on the assessment of prospective immigrants and refugees to Canada by direct medical examinations or assessments of medical examinations to ensure compliance with the Immigration Act and Regulations; provision of, or arrangement for, medical and hospital care for certain groups under the provisions of the Immigration Act, Department of Health and Welfare Act and various Orders-in-Council; and provision of medical advice to the Department on all matters pertaining to the health of immigrants and certain

classes of visitors to Canada. **Topics:** Co-operation and liaison; medical examination of immigrants and refugees; diseases in immigrants; passed cases; Immigration Medical Review Board; Immigration medical records.

Storage Medium: Database, paperfiles. **Program Record Number:** HWC MSB 235

International Service

Description: Information on matters relating to Canadian immigration services. **Topics:** Policy, plans and programs; reports and statistics; treaties and agreements; organizations and conferences; legislation and regulations; visits; adoption; asylum; employment authorizations; bonds and security deposits; briefs and submissions; citizenship; complaints; data system; death of immigrants; demography; education and training; enforcement; examinations; exclusion and removal; federal-provincial relations; identification and travel documents; illegal entry; marriage and divorce; military personnel; Minister's permits; posts and posts' operations; recruitment and selection; convention and other refugees and designated classes; organizations including IMO, UNHCR and UNRWA; voluntary agencies refugee centres and re-establishment; humanitarian assistance; religious groups and sects; settlement; special events; terrorism; transportation; accommodation; repatriation of immigrants; emigration; research projects. **Storage Medium:** Paper. **Program Record Number:** EAC JFB 022

◆ Enforcement Branch

Enforcement

Description: General correspondence relating to enforcement activities. **Topics:** Policies and procedures concerning the inadmissibility and arrest or detention and removal of persons in violation of the Immigration Act and Regulations; escape from custody by those detained or arrested; co-operation amongst law enforcement agencies in matters related to enforcement provisions of the Immigration Act and Regulations; sharing of information with the United States Immigration and Naturalization Service under an agreement or an arrangement. **Storage Medium:** Paper. **Program Record Number:** EIC IMM 075

Enforcement Intelligence

Description: Information on improperly documented arrivals at Canada's major airports. **Topics:** Exchange of intelligence information about illegal migration trends, migrant profiles and travel patterns. **Storage Medium:** Magnetic tape. **Program Record Number:** EIC IMM 105

Examination of Persons — Visitors and Immigrants

Description: Information on the conduct of immigration examinations. **Topics:** Policies and procedures relating to the examination of potential immigrants and other persons seeking entry to Canada; project methodology regarding transit studies (passenger traffic at Canadian airports); medical examinations of persons applying for admission to Canada; "security" and "criminal" screenings for clearance of persons seeking temporary admission.

Storage Medium: Paper. **Program Record Number:** EIC IMM 095

Exclusion and Removal

Description: Information on the policy and correspondence relating to the examination of persons refused entry to Canada or ordered to be removed. **Topics:** Procedures related to immigration inquiries; discussion of appeals and litigation submitted to the Immigration Appeal Board and Federal Court of Canada; Enforcement Information Index: ("Lookout Index" for alerting examining officers of inadmissible persons who might attempt to enter or remain in Canada; inadmissible classes of immigrants; background material regarding immigration enquiries; matters relating to the Special Advisory Board, such as the examination of criminal intelligence reports concerning immigrants involved in criminal or subversive activities.

Storage Medium: Paper. **Program Record Number:** EIC IMM 080

Grounds for Admissibility or Removal

Description: Information on guidelines and procedures pertaining to grounds for removal and admissibility.

Topics: General correspondence and other documents relating to policies and procedures pertaining to grounds for removal and admissibility, port of entry cases, inland cases, criminal offenses cases, eluding enquiry cases, admission by misrepresentation or improper means cases; general correspondence and other documents relating to employment and student authorizations.

Storage Medium: Paper. **Program Record Number:** EIC IMM 150

Identification and Travel Documents

Description: Information concerning documents required to identify and admit persons who want to enter Canada.

Topics: Description of regulations concerning identification and travel documents (such as passports, visas, crew lists and manifests, identification documents, immigration forms IMM-1000); and standards and procedures governing the validation or endorsement of such documents. **Storage Medium:** Paper. **Program Record Number:** EIC IMM 070

◆ Integration Branch

Citizenship Policy, Education and Promotion

Description: Information concerning citizenship policy, citizenship legislation, citizenship promotion and education, community partnerships. **Topics:** new citizenship legislation; procedures and tools to support Citizenship Courts; community partnerships; citizenship testing; development of supporting tools for settlement; development and distribution of orientation and educational materials **Storage Medium:** Paper and magnetic tapes **Program Record Number:** CIC CCP 046

Citizenship Program

Description: Information concerning citizenship legislation, promotion, policies and procedures relating to the granting of, and the issuing of, proof of Canadian citizenship.

Topics: Application of citizenship legislation; claims to Canadian citizenship; status of Canadian citizens and recognition of British subjects; citizenship by naturalization; acquisition of Canadian citizenship; certificates of citizenship; residence requirement; prohibitions; violation and penalty for offence against acts or regulations; hearings; Citizenship Judges; Citizenship Courts; oaths and declarations; loss of citizenship and exemptions; resumption of citizenship; statelessness; dual nationality; Citizenship Act; Citizenship regulations; authority of Governor in Council; repeal of Naturalization Act and Canadian Nationals Act; manuals; documentary evidence; citizenship appeals; applications and notices. **Storage Medium:** Paper and magnetic tapes **Program Record Number:** CIC CCP 045

Settlement Programs

Description: Policy, operations and correspondence on programs designed to help immigrants adjust to Canadian life. **Topics:** General settlement counselling and orientation assistance; adjustment assistance for accommodation, clothing, medical services, emergency assistance; language training; co-operation with and funding for Service Providers Organizations (SPOs); reception, and counselling services in Canada and abroad. **Storage Medium:** Paper and magnetic tapes. **Program Record Number:** EIC IMM 130

♦ Refugee Affairs

Refugee Determination

Description: Policies, procedures and general information on the process of making a claim for refugee status in Canada and its disposal by the competent authority. **Topics:** Policies and procedures related to the documentation and control of persons claiming refugee status in Canada, the terms and conditions of their stay in Canada, including welfare, legal aid and employment and the procedures for judicial review. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** EIC IMM 151

Refugees and Displaced Persons

Description: Policies, procedures and general information on the admission of refugees and displaced persons. **Topics:** Policies and procedures on emergency situations in which large numbers are displaced from their homes or homeland because of war, revolution or natural disaster; descriptions and policy on promises and guarantees for sponsorship, medical aid and settlement programs by churches, organizations, institutions or individuals on behalf of refugees; background on information exchange programs between CEIC and Canadian, provincial or international governments and agencies, such as the International Institute of Humanitarian Law, the United Nations High Commissioner for Refugees and the Immigration and Refugee Board. **Access:** Files are arranged by subject, country, organization or group. **Storage Medium:** Paper and magnetic tapes. **Program Record Number:** EIC IMM 125

Transportation

Description: Information on the transportation of persons to, from or within Canada. **Topics:** Transportation by air, land or sea; companies' obligations for the provision of examination facilities; payment of detention, removal and medical costs; administration fees, deposit of security for persons conveyed in companies' vehicles; responsibilities and regulations of travel agencies. **Access:** Files are arranged by subject, company, committee or organization. **Storage Medium:** Paper. **Program Record Number:** EIC IMM 085

♦ Selection

Business Immigration

Description: General correspondence, Regulations, Guidelines, policies and procedures relating to the administration of the Immigrant Investor, Entrepreneur and Self-Employed Programs. Project files for proposals submitted under the Immigrant Investor Program, including copies of private placement offerings, monitoring records and reports, correspondence, marketing materials, etc. **Topics:** Policies and procedures relating to the admission of persons under the Entrepreneur/Investor/Self-Employed categories. Monitoring and statistical information regarding volume of persons admitted, funds invested, general use of funds, destinations of Business immigrants, etc. **Storage Medium:** Paper and magnetic media. **Program Record Number:** CIC IVR 002

Immigrant, Visitor and Refugee Programs

Description: Information concerning regulatory requirements related to the extension of temporary admission to Canada, and the acquisition of permanent residence from within Canada. **Topics:** Policies and procedures, including operations memoranda for the information and direction Immigration officers and staff in Canada, related to the determination of applications for extensions of temporary entry of students, workers and tourists to Canada; applications for permanent residence by visitors and Convention refugees in Canada; and, the sponsorship of relatives form abroad. The development and provision of kits containing eligibility and fee calculation information and forms for application extension of temporary status, for permanent residence, or the sponsorship of relatives from abroad. **Storage Medium:** paper and magnetic media. **Program Record Number:** CIC IVR 001

Immigration Health Program Records

Description: information on the assessment of prospective immigrants and refugees to Canada by direct medical examinations or assessments of medical examinations to ensure compliance with the Immigration Act and Regulations; provision of, or arrangement for, medical and hospital care for certain groups under the provisions of the Immigration Act, Department of Health and Welfare Act and various Orders-in-Council; and provision of medical advice to the Department on all matters pertaining to the health of immigrants and certain

classes of visitors to Canada. **Topics:** Co-operation and liaison; medical examination of immigrants and refugees; diseases in immigrants; passed cases; Immigration Medical Review Board; Immigration medical records.

Storage Medium: Database, paperfiles **Program Record Number:** HWC MSB 237

Records of Entry

Description: Vital statistics (surname, given names, date of birth, Canadian port of entry) of all persons entering Canada as permanent residents and certain categories of visitors that must be documented (See also EIC PPU 230 Permanent Resident Data System). **Topics:** Definition of a permanent resident; of a Canadian citizen; of a deemed resident; of a returning resident; of an unregistered child born abroad of Canadian parents; of a visitor; of a student authorization; of employment and employment authorization; of a ministerial permit; of a ship deserter; of deportation (removal orders, deportation orders, departure notices, confirmations of departure). **Access:** Master index files arranged by surname; ships' names and by port of entry. **Storage Medium:** Microforms. **Program Record Number:** EIC IMM 115

Special Events — Entry to Canada

Description: Information on how to conduct examinations, and on the admission and control of persons seeking temporary entry to attend or participate in special events taking place in Canada. **Topics:** Policies and procedures on special events generally; championships and contests; the Commonwealth Games; expositions; the Olympic Games. **Storage Medium:** Paper. **Program Record Number:** EIC IMM 090

Immigrant Loans Program

Description: immigrant loans are designed to help immigrants adjust to Canadian life and to gain access to the labour market **Topics:** General settlement assistance; assistance loan, clothing, medical examinations, Right of Landing Fee, emergency assistance; immigrant loans provided to those persons accepted as immigrants **Storage Medium:** Paper and electronic files. **Program Record Number:** EIC IMM 131

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Audits

Budgets

Buildings

Buildings and Properties

Classification of Positions

Co-operation and Liaison

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Occupational Health, Safety and Welfare

Office Appliances

Official Languages

Pensions and Insurance

Personnel

Procurement

Salaries and Wages

Staff Relations

Training and Development

Utilities

Vehicles

Personal Information Banks

◆ Case Management Branch

Immigrant Case File

Description: This file may contain some or all of the following types of information: Applications for permanent resident and refugee status, assessments by immigration officers, details concerning health, past criminality, financial status, education and professional experience, removal documentation and Minister's permits, including computer based information (FOSS, E-Mail). The file may contain information on an immigrant's earlier status in Canada (e.g. employment authorization information, etc.) It may include all or part of the same information regarding any other person named in the application. It may include information on the sponsor and information from EIC PPU 290. It may also include the name and address of bond depositor, sum deposited, terms and conditions imposed, name of person signing bond, name of persons bonded, terms and condition which they must meet, and acknowledgment of terms and conditions. Persons seeking access to this information bank must supply their date of birth, approximate date of entry into Canada and port of entry. **Class of Individuals:** Persons accepted or refused admission to Canada as permanent residents; Convention refugees, visitors, immigrants, permit holders; persons seeking approval of criminal rehabilitation; persons seeking landing under 38(1) of the Immigration Act; persons declared a danger to the public by the Minister under the Immigration Act; persons under enforcement action in Canada; persons who are the object of litigation before courts or administrative tribunals in matters relating to immigration. **Class of Individuals:** Persons accepted or refused admission to Canada as

permanent residents, Convention refugees, visitors, immigrants, permit holders; persons seeking approval of criminal rehabilitation; persons seeking landing under 38(1) of the Immigration Act; persons declared a danger to the public by the Minister under the Immigration Act; persons under enforcement action in Canada; persons who are the object of litigation before courts or administrative tribunals in matters relating to immigration.

Purpose: This personal information relates to the immigrant's applications for permanent resident status and subsequent decisions for which purpose the bank was established. Information is used in the administration and enforcement of immigration legislation, as required.

Consistent Uses: The information may be disclosed to the Canadian Security Intelligence Service (CSIS) and the Royal Canadian Mounted Police (RCMP) for the purpose of conducting security reviews or investigations related to immigration legislation. Uses also include the sharing of information with Solicitor General Canada, CSIS, the Department of Justice and the RCMP where these uses are pursuant to the law. Information may also be disclosed to various foreign governments, law enforcement bodies and detaining authorities with respect to the administration and enforcement of immigration legislation. Information may be disclosed to medical practitioners for the purpose of providing medical services to newly arrived immigrants being held in detention centres as well as to the Canadian Council of Engineers for the assessment of engineering qualifications. Some information may also be disclosed to transportation companies within the terms of their responsibilities under immigration legislation. It may also be disclosed to provincial authorities for assessment under the terms of an agreement (i.e. Canada-Quebec Accord) or arrangement. Other uses may include the sharing of information with the Insurance and Employment programs of Human Resources Development, the Immigration and Refugee Board, Foreign Affairs International Trade Canada, Health Canada, Veterans Affairs Canada as well as with provincial or municipal government departments under the terms of an agreement (i.e., Malton Neighbourhood Services) responsible for assisting immigration settlement for the purpose of administering their programs (e.g. social welfare, education, and human resource planning) and for research purposes. Information may also be shared with Statistics Canada and Foreign Affairs International Trade Canada for statistical and planning purposes. It may also be shared with foreign governments such as U.S. immigration authorities under the terms of an agreement or arrangement in order to conduct a lawful investigation or administer or enforce any law. It may also be shared with CIC Financial Services to assist in the recovery of financial assistance that was provided to the individual. It may be provided to private sector research firms for the purposes of planning, statistics, research and evaluation.

Information obtained from, Foreign Affairs International Trade Canada, Health Canada, the Immigration and Refugee Board (that contained in IRB PPU 105 (Immigration Appeal Board Records), IRB PPU 110 (Immigration Appeal Division Records) and IRB PPU 115

(Convention Refugee Division Records)), IRB PPU 145 (Research Director, Claim Specific Information, CSIS, the RCMP and some provincial government departments may be matched for the purpose of administering or enforcing the immigration legislation and to administer and conduct the appeals process under the Immigration Act.

Retention and Disposal Standards: Paper records are normally retained 2 years after the last administrative action. Information on persons known or suspected of engaging in activities contrary to the Immigration Act is retained 5 years after the last administrative action. Information on controversial cases, such as those involving organized crime, is retained 10 years after the last administrative action. Upon expiry of the retention period, some of these records will be preserved by the National Archives of Canada for archival purposes.

Contact: National Headquarters Files; Director General, Case Management Branch; Regional files: Manager, Canada Immigration Centre where the file is held (consult telephone directory for address) **Related to PR#:**

EIC IMM 120 **TBS Registration:** 001972 **Bank Number:** EIC PPU 225

Immigration Security and Intelligence Data Bank

Description: This bank may include information gathered by Canadian or foreign investigative bodies or law enforcement agencies on persons whose entry would be dangerous to Canadian security. **Class of Individuals:**

Persons seeking admission to Canada, as well as those already in Canada as visitors, permanent residents of Canada and Minister's Permit holders who are known to engage or are suspected of engaging in activities contrary to the Immigration Act. **Purpose:** Information may be used in refusing entry to Canada or in expelling persons from Canada. It may also be used for internal audit purposes.

Consistent Uses: The information may be disclosed to the Canadian Security Intelligence Service (CSIS) and the Royal Canadian Mounted Police (RCMP) for the purpose of conducting security reviews or investigations related to immigration legislation. Uses also include the sharing of information with Solicitor General Canada, CSIS, the Department of Justice and the RCMP where these uses are pursuant to the law. Information may also be disclosed to various foreign governments, law enforcement bodies and detaining authorities with respect to the administration and enforcement of immigration legislation. Information may be disclosed to medical practitioners for the purpose of providing medical services to newly arrived immigrants being held in detention centres as well as to the Canadian Council of Engineers for the assessment of engineering qualifications. Some information may also be disclosed to transportation companies within the terms of their responsibilities under immigration legislation. It may also be disclosed to provincial authorities for assessment under the terms of an agreement (i.e. Canada-Quebec Accord) or arrangement. Other uses may include the sharing of information with the Insurance and Employment programs of Human Resources Development, the Immigration and Refugee Board, Foreign Affairs International Trade

Canada, Health Canada, Veterans Affairs Canada as well as with provincial or municipal government departments under the terms of an agreement (i.e., Malton Neighbourhood Services) responsible for assisting immigration settlement for the purpose of administering their programs (e.g. social welfare, education, and human resource planning) and for research purposes. Information may also be shared with Statistics Canada and Foreign Affairs International Trade Canada for statistical and planning purposes. It may also be shared with foreign governments such as U.S. immigration authorities under the terms of an agreement or arrangement in order to conduct a lawful investigation or administer or enforce any law. It may also be shared with CIC Financial Services to assist in the recovery of financial assistance that was provided to the individual. It may be provided to private sector research firms for the purposes of planning, statistics, research and evaluation. Information obtained from, Foreign Affairs International Trade Canada, Health Canada, the Immigration and Refugee Board (that contained in IRB PPU 105 (Immigration Appeal Board Records), IRB PPU 110 (Immigration Appeal Division Records) and IRB PPU 115 (Convention Refugee Division Records)), IRB PPU 145 (Research Director, Claim Specific Information, CSIS, the RCMP and some provincial government departments may be matched for the purpose of administering or enforcing the immigration legislation and to administer and conduct the appeals process under the Immigration Act. **Retention and Disposal Standards:** Information regarding known and suspected terrorists is retained for 50 years unless the information is deleted by the originating office. Information regarding persons known to have committed war crimes or crimes against humanity is retained for 50 years. **Contact:** Director General, Case Management Branch (See Key Addresses at beginning of the book) **Related to PR#:** EIC IMM 105 **TBS Registration:** 002369 **Bank Number:** EIC PPU 260

◆ Finance and Administration

General Accounts Receivable System

Description: This bank contains information relating to accounts receivable, other than immigration loans which are owed by individuals to the department and the Receiver General for Canada. It is a computerized record of accounts receivable and all the transactions affecting those accounts. Each record contains the name, address, age, sex, marital status, Social Insurance Number and preferred language of the debtor, together with information relating to the reason for, the amount and the repayment method of the account receivable. **Class of Individuals:** All individuals who have received overpayments under Citizenship and Immigration Canada programs, other than Immigration Transportation loans, or who have accounts receivable. **Purpose:** This information was compiled and obtained to facilitate the collection of accounts receivable in compliance with Treasury Board directives on maintaining records of all such accounts owed to Canada. Information is also collected in compliance with the requirements of

the Public Accounts of Canada and with various directives from the Auditor General of Canada and the Receiver General for Canada. **Consistent Uses:** Accounts receivable are reported in the Public Accounts of Canada. This bank is matched with the individual income tax return (RCT PPU 005) to trace individuals and pursue the collection of debts due to the Crown. It may be provided to private sector research firms for planning, statistics, research and evaluations. **Retention and Disposal Standards:** Statements, vouchers, ledgers and registers on paper are retained for six years. Magnetic tape records are retained for three years. **Contact:** Director, Financial Policy and Systems Directorate (See Key Addresses at beginning of the book) **TBS Registration:** 002403 **Bank Number:** CIC PPU 164

Immigration Program Accounts Receivable (IPAR)

Description: This bank includes the following information: Name, address, telephone number and Social Insurance Number of individuals against whom administrative fees have been levied or to whom loans have been made and also includes amounts paid, repaid and outstanding, credit reports, tracing actions, and comments of immigrants and collecting officers and related correspondence. Information is duplicated in part on the Transportation, Admissibility and Assistance Loans Programs file. **Class of Individuals:** Immigrants, Convention Refugees or Designated Classes and new arrivals without sufficient assets to pay transportation costs, establish admissibility (medical costs overseas) or who are in need of financial assistance upon arrival and have received transportation, admissibility or assistance loans. **Purpose:** This bank is used to record and monitor the collection of outstanding loans and administrative fees. It is a computerized record of loans and administrative fees. A manual file is also maintained on overpayment recovery activity. **Consistent Uses:** This bank is also matched with the Individual Income Tax Return (RCT PPU 005) in order to trace individuals and pursue the collection of debts due to the Crown. Information from this bank may be used by Citizenship and Immigration Canada for purposes of research, planning, evaluation, statistics and internal audit and may be provided to private research firms for this purpose. It may also be shared with Private Collection Agencies for collection purposes. **Retention and Disposal Standards:** The files are retained for 6 fiscal years after the loan is repaid. **Contact:** Director, Financial Policy and Systems Directorate (See Key Addresses at beginning of the book) **Related to PR#:** CIC FIN 060 **TBS Registration:** 002760 **Bank Number:** EIC PPU 435

Service Contracts

Description: The bank may contain information on the qualifications and work experience of the contractor, letters of reference, details of the contract and assessments of the contractor's performance. **Class of Individuals:** Individuals and/or companies who have signed service contracts with Citizenship and Immigration Canada. **Purpose:** to document individuals and/or companies who have signed service contracts with Citizenship and

Immigration Canada. The information may be used to determine terms of payment, contract extension or renewal, and in other decisions pertaining to the contract.

Consistent Uses: Information may be used for internal audit purposes. It may be provided to private sector research firms for planning, statistic, research and evaluations. **Retention and Disposal Standards:** Files are destroyed six fiscal years after termination of contract.

Contact: At National Headquarters: Director, Facilities and Materiel Management, National Office (See Key Addresses at beginning of the book) or Regional Chiefs of Materiel Management (See addresses at the end of the Citizenship and Immigration Canada chapter). **PAC Number:** 86-001 **TBS Registration:** 001785 **Bank Number:** CIC PPU 425

♦ Human Resources Branch

Application for Employment

Description: Information in the bank includes curricula vitae and related correspondence of persons interested in working for Citizenship and Immigration Canada and requests for transfers from employees of other departments within the federal government. **Class of Individuals:** All applicants for employment with CIC are identified in the bank. **Purpose:** to maintain a record of applications for employment with CIC. **Retention and Disposal Standards:** These records are retained for a period of six months.

Contact: Director, Policy and Programs, Human Resources, National Office (See key addresses at beginning of the book) or Regional Human Resources Managers (See addresses at the end of the Citizenship and Immigration Canada chapter). **TBS Registration:** 001970 **Bank Number:** CIC PPU 420

♦ Ministerial and Executive Services

Access to Information Requests

Description: This bank contains Access Request Forms sent by individuals pursuant to the Access to Information Act, the replies to such requests and information related to their processing. Information on exclusions or exemptions claimed or on complaints handled may also be included, as well as information on right of access by virtue of being a Canadian citizen, permanent resident or in a class created by order-in-council. Requests for immigration records may include immigration-related correspondence containing immigration file numbers, date and country of birth and status in Canada. **Class of Individuals:** Canadian citizens, permanent residents and other persons approved by the Governor in Council who have requested access to information held by CIC, as well as persons who have authorized Canadian citizens or permanent residents to request access to their personal information on their behalf. **Purpose:** Information in this bank is used to process requests under the Access to Information Act and to report on the number and type of requests received, fees collected, administration costs and complaints handled. **Consistent Uses:** Information may be used for internal audit purposes. It may be provided to private sector research firms for planning,

statistics, research and evaluations. **Retention and Disposal Standards:** These request files are retained for two years after the last administrative action. **Contact:** Director, Public Rights Administration, National Office (See Key Addresses at beginning of the book). **Related to PR#:** CIC SEC 040 **TBS Registration:** 002393 **Bank Number:** CIC PPU 410

Human Rights Case Files

Description: This bank contains copies of documents, correspondence and other forms of communication or information relating to complaints filed by individuals under the Canadian Human Rights Act against Citizenship and Immigration Canada and with the Canadian Human Rights Commission. **Class of Individuals:** Persons who have filed complaints against CIC under the Canadian Human Rights Act. **Purpose:** The information was collected in order to retain copies of all material relevant to complaints so that they may be analyzed and resolved. **Consistent Uses:** Summary complaint data are used for management information purposes, and depersonalized individual case data are used for training purposes. It may be provided to private sector research firms for planning, statistics, research and evaluations. **Retention and Disposal Standards:** The files are retained for two years after the last administrative action. **Contact:** Director, Public Rights Administration, National Headquarters (See Key Addresses at beginning of chapter). **Related to PR#:** CIC SEC 045 **TBS Registration:** 002394 **Bank Number:** CIC PPU 415

Information Disclosures to Investigative Bodies

Description: The bank contains copies of requests for disclosure of personal information in letter form or Treasury Board form 350-56 (83/2) (Request for Disclosure to Federal Investigative Bodies) submitted to CIC under paragraph 8(2)(e) of the Privacy Act by investigative bodies listed in Schedule II of the Privacy Regulations. This bank also contains the replies to such requests and particulars related to their processing. **Class of Individuals:** Persons under investigation by federal or provincial investigative bodies pursuant to law. **Purpose:** The bank is used to record disclosures of personal information to federal law enforcement bodies under paragraph 8(2)(e) of the Privacy Act and to report to the Privacy Commissioner on the number of these requests. **Retention and Disposal Standards:** These files are retained for two years after the administrative action. **Contact:** Director, Public Rights Administration, National Office (See Key Addresses at beginning of the book.) **Related to PR#:** CIC SEC 040 **TBS Registration:** 002373 **Bank Number:** CIC PPU 400

Privacy Act Requests

Description: This bank contains the Personal Information Request Forms sent by individuals requesting access to their files, the replies to such requests and information related to their processing. Information on exemptions claimed or on complaints handled may also be included. **Class of Individuals:** Individuals who apply under the

Privacy Act for their personal file. **Purpose:** information in this bank is used for processing requests for personal information made under the Privacy Act and to report on the access requests and complaints handled annually. **Consistent Uses:** The information may be used for internal audit purposes. It may be provided to private sector research firms for planning, statistics, research and evaluations. **Retention and Disposal Standards:** Files are kept for 2 years after the last administrative action. **Contact:** Director, Public Rights Administration, National Office (See Key Addresses at beginning of chapter) or Regional Privacy Advisor (See the addresses at the end of the Citizenship and Immigration Canada chapter). **PAC Number:** 85-001 **TBS Registration:** 001783 **Bank Number:** CIC PPU 405

♦ Strategic Policy Planning and Research Branch

Social Assistance Recipients

Description: This bank contains monthly data on a sample of provincial social assistance recipients. Included are data describing the basic characteristics of the applicants, i.e., age, sex, family status, number of dependents, as well as case-related information such as total benefit and other sources of income. **Class of Individuals:** Individuals who receive or have recently received social assistance benefits under provincial laws. **Purpose:** Information is used for carrying out studies, analysis and evaluations to ensure that federal citizenship and immigration policies and programs are developed and implemented in a manner consistent with national economic and social goals. **Consistent Uses:** The information may be provided to private sector research firms under contract to CIC for the purposes of planning, statistics research and evaluation. **Retention and Disposal Standards:** The information is kept for 25 years after the last official use in order to undertake longitudinal analysis and studies. **Contact:** Director General, Strategic Research and Analysis, National Office (see the key addresses at the beginning of the book). **Related to PR#:** CIC SPP 650 **TBS Registration:** 002398 **Bank Number:** CIC PPU 383

♦ Departmental Delivery Network

Application and Assessment for Canadian Citizenship

Description: This bank contains a record of persons who have been issued a certificate of Canadian citizenship or naturalization, a certificate of renunciation of Canadian citizenship or a letter reflecting the information contained in citizenship records or of persons whose birth abroad has been registered with the Canadian government. It also contains a record of persons whose Canadian citizenship has been revoked. This bank contains personal information such as the name, sex, date and place of birth and physical description of individuals on whom a record is kept. Historical records are available from 1854 however, they are incomplete prior to 1918. Those seeking access to these records must provide the name and date and place of birth and, where applicable

and obtainable, the number of the Canadian citizenship or naturalization certificate which was issued. **Class of Individuals:** Individuals who have applied for naturalization in Canada, Canadian citizenship or renunciation of Canadian citizenship, whose birth abroad has been registered with the Canadian government or who have requested a letter clarifying their Canadian citizenship status as well as individuals whose Canadian citizenship has been revoked. **Purpose:** This bank is maintained in order to determine the Canadian citizenship status of individuals and to facilitate the processing of applications for or proofs of Canadian citizenship. **Consistent Uses:** For the purpose of administering the Citizenship Act and Regulations and other related Acts and for the purpose of confirming the Canadian citizenship status of individuals, information may be shared with Immigration, the Royal Canadian Mounted Police, the Canadian Security and Intelligence Service for the purpose of conducting security reviews or investigations related to immigration legislation, Elections Canada, Foreign Affairs and International Trade Canada the Federal Court of Canada and the Immigration Program. Lists of the names and addresses of new Canadian citizens (with written consent) may be supplied to Lists of the names and addresses of new Canadian citizens may be supplied to the Prime Minister of Canada, to respective members of Parliament for the sole purpose of sending congratulatory letters. Confirmation of Canadian citizenship status may be provided to The Chancellery in support of nominations to the Order of Canada. Confirmation of Canadian citizenship status may also be provided to the spouses, children and other relatives of naturalized Canadian Citizens in order to assist them in acquiring a benefit. Information on individuals deceased more than 20 years may also be disclosed for genealogical research purposes. **Retention and Disposal Standards:** These records are retained on microfilm indefinitely. **Contact:** For case files, the contact is: Adviser, Special Categories, Case Processing Centre (CPC), Citizenship and Immigration Canada, P. O. Box 7000, Sydney, Nova Scotia, B1P 6V6. **TBS Registration:** 003584 **Bank Number:** MCC PPU 050

Foreign Student Records and Case File

Description: This bank may contain information such as name, birth date, country of citizenship, sex, marital status, accompanying family members, nature and duration of studies, name and address of institution being attended and availability of funds. The file may also contain details concerning health and criminality, the name and address of bond depositor, sum deposited, terms and conditions imposed, name of person signing bond, name of persons bonded, terms and condition which they must meet, and acknowledgement of terms and conditions. Depending upon circumstances of issue, information may be held at a Canada Immigration Centre, the Case Processing Centre, Vegreville, Alberta and/or at posts abroad and may be found in EIC PPU 295 and 300. Persons seeking access to this bank must supply their name, date of birth and approximate date of temporary admission to Canada. **Class of Individuals:**

Persons temporarily in Canada who are lawfully engaged in any recognized academic, professional or training course. **Purpose:** The purpose of this bank is to record information pertaining to persons temporarily in Canada who are lawfully engaged in any recognized academic, professional or training course, information issued in the administration and enforcement of immigration legislation with respect to the length and purpose of stay in Canada.

Consistent Uses: The information may be disclosed to the Canadian Security Intelligence Service (CSIS) and the Royal Canadian Mounted Police (RCMP) for the purpose of conducting security reviews or investigations related to immigration legislation. Uses also include the sharing of information with Solicitor General Canada, CSIS, the Department of Justice and the RCMP where these uses are pursuant to the law. Information may also be disclosed to various foreign governments, law enforcement bodies and detaining authorities with respect to the administration and enforcement of immigration legislation. Information may be disclosed to medical practitioners for the purpose of providing medical services to newly arrived immigrants being held in detention centres as well as to the Canadian Council of Engineers for the assessment of engineering qualifications. Some information may also be disclosed to transportation companies within the terms of their responsibilities under immigration legislation. It may also be disclosed to provincial authorities for assessment under the terms of an agreement (i.e. Canada-Quebec Accord) or arrangement. Other uses may include the sharing of information with the Insurance and Employment programs of Human Resources Development, the Immigration and Refugee Board, Foreign Affairs International Trade Canada, Health Canada, Veterans Affairs Canada as well as with provincial or municipal government departments under the terms of an agreement (i.e., Malton Neighbourhood Services) responsible for assisting immigration settlement for the purpose of administering their programs (e.g. social welfare, education, and human resource planning) and for research purposes. Information may also be shared with Statistics Canada and Foreign Affairs International Trade Canada for statistical and planning purposes. It may also be shared with foreign governments such as U.S. immigration authorities under the terms of an agreement or arrangement in order to conduct a lawful investigation or administer or enforce any law. It may also be shared with CIC Financial Services to assist in the recovery of financial assistance that was provided to the individual. It may be provided to private sector research firms for the purposes of planning, statistics, research and evaluation. Information obtained from, Foreign Affairs International Trade Canada, Health Canada, the Immigration and Refugee Board (that contained in IRB PPU 105 (Immigration Appeal Board Records), IRB PPU 110 (Immigration Appeal Division Records) and IRB PPU 115 (Convention Refugee Division Records)), IRB PPU 145 (Research Director, Claim Specific Information, CSIS, the RCMP and some provincial government departments may be matched for the purpose of administering or enforcing the immigration legislation and to administer and conduct the

appeals process under the Immigration Act. **Retention and Disposal Standards:** Information is kept at CIC/CPC offices for the duration of the student's temporary stay or for 2 years from the date of last action on the case, whichever is longer. At posts abroad the period of retention is 2 years from last action. Machine readable records are kept 15 years; microfilms are kept 65 years. **Contact:** Director, Case Processing Centre, Vegreville, Alberta/Manager, Canada Immigration Centre (consult telephone directory for address). **Related to PR#:** EIC IMM 115 **TBS Registration:** 001983 **Bank Number:** EIC PPU 290

Immigration Medical Records

Description: This bank contains some or all of the following types of information: applications, medical reports, medical examination findings, medical and psychological assessments, laboratory reports or x-rays. Persons seeking access to this bank must supply their full name (including alias), date of birth and date and place of medical examination. **Class of Individuals:** Persons applying for permanent and temporary residence in Canada — visitors, students, temporary workers and persons in Canada on a Minister's Permit. **Purpose:** The bank is used to access the medical status of persons applying for permanent and temporary residence in Canada or for refugee status. The information is used in the administration and enforcement of the Immigration Act. **Consistent Uses:** Information may be used internally for program management purposes and for purposes of research, planning, evaluation, statistics and for internal audit purposes. Other uses may include the sharing of some information with the departments of Health Canada and Foreign Affairs and International Trade Canada as well as with provincial/territorial government departments responsible for assisting in immigration settlement and for the purposes of administering their public health program or enforcing the immigration legislation. **Retention and Disposal Standards:** Records are retained according to the various assessment categories. **Contact:** Supervisor, Medical Applications Unit, Immigration Health Services at National Headquarters (see beginning of the book for key addresses). **TBS Registration:** 002723 **Bank Number:** EIC PPU 010

Permanent Resident Data System

Description: Records in this bank contain such data as demographics, birth date and place, citizenship, language, accompanying family members, passport number and country of issue, immigration category, country of last permanent residence, education, intended occupation, money in possession on arrival in Canada, destination address and person willing to help, assisted passage warrant number, visa or letter of pre-examination data, authorization number, office of issue and date, medical data, admission date and other information pertaining to admission. To access this bank the individual's name at entry, date of birth and year of entry are required. To access data concerning landing years 1919 to 1921 additional information is required, such as country of birth,

port of entry, vessel (if applicable) and names of accompanying family members. Landing records prior to 1919 are in the custody and control of the National Archives of Canada. **Class of Individuals:** Persons who have been granted permanent residence in Canada.

Purpose: The purpose of the bank is to record the legal permanent admission of immigrants to Canada. It is used to verify the right of persons to remain in Canada.

Consistent Uses: The information may be disclosed to the Canadian Security Intelligence Service (CSIS) and the Royal Canadian Mounted Police (RCMP) for the purpose of conducting security reviews or investigations related to immigration legislation. Uses also include the sharing of information with Solicitor General Canada, CSIS, the Department of Justice and the RCMP where these uses are pursuant to the law. Information may also be disclosed to various foreign governments, law enforcement bodies and detaining authorities with respect to the administration and enforcement of immigration legislation. Information may be disclosed to medical practitioners for the purpose of providing medical services to newly arrived immigrants being held in detention centres as well as to the Canadian Council of Engineers for the assessment of engineering qualifications. Some information may also be disclosed to transportation companies within the terms of their responsibilities under immigration legislation. It may also be disclosed to provincial authorities for assessment under the terms of an agreement (i.e. Canada-Quebec Accord) or arrangement. Other uses may include the sharing of information with the Insurance and Employment programs of Human Resources Development, the Immigration and Refugee Board, Foreign Affairs International Trade Canada, Health Canada, Veterans Affairs Canada as well as with provincial or municipal government departments under the terms of an agreement (i.e., Malton Neighbourhood Services) responsible for assisting immigration settlement for the purpose of administering their programs (e.g. social welfare, education, and human resource planning) and for research purposes. Information may also be shared with Statistics Canada and Foreign Affairs International Trade Canada for statistical and planning purposes. It may also be shared with foreign governments such as U.S. immigration authorities under the terms of an agreement or arrangement in order to conduct a lawful investigation or administer or enforce any law. It may also be shared with CIC Financial Services to assist in the recovery of financial assistance that was provided to the individual. It may be provided to private sector research firms for the purposes of planning, statistics, research and evaluation. Information obtained from, Foreign Affairs International Trade Canada, Health Canada, the Immigration and Refugee Board (that contained in IRB PPU 105 (Immigration Appeal Board Records), IRB PPU 110 (Immigration Appeal Division Records) and IRB PPU 115 (Convention Refugee Division Records)), IRB PPU 145 (Research Director, Claim Specific Information, CSIS, the RCMP and some provincial government departments may be matched for the purpose of administering or enforcing the immigration legislation and to administer and conduct the

appeals process under the Immigration Act. **Retention and Disposal Standards:** Records are maintained on magnetic tape as well as on microfilm images of source documents and are kept for 65 years. **Contact:** Manager, Canada Immigration Centre (consult telephone directory for address) **Related to PR#:** EIC IMM 115 **TBS Registration:** 001974 **Bank Number:** EIC PPU 230

Temporary Worker Records and Case File

Description: The bank may contain information such as name, birth date, country of citizenship, sex, marital status, accompanying family members, address in Canada, personal qualifications, experience, nature of work, name and address of employer and Social Insurance Number. The file may also contain details concerning health and criminality and information from EIC PPU 290 name and address of bond depositor, sum deposited, terms and conditions imposed, name of person signing bond, name of persons bonded, terms and condition which they must meet, and acknowledgement of terms and conditions. Persons seeking access to this bank must supply their date of birth and approximate date of temporary admission to Canada. **Class of Individuals:** Persons temporarily in Canada who are employed. **Purpose:** to record information pertaining to persons temporarily in Canada who are employed. Information may be used in the administration and enforcement of immigration legislation with respect to the length and purpose of the visitors' stay in Canada as well as any conditions governing the nature and locale of their employment. **Consistent Uses:** The information may be disclosed to the Canadian Security Intelligence Service (CSIS) and the Royal Canadian Mounted Police (RCMP) for the purpose of conducting security reviews or investigations related to immigration legislation. Uses also include the sharing of information with Solicitor General Canada, CSIS, the Department of Justice and the RCMP where these uses are pursuant to the law. Information may also be disclosed to various foreign governments, law enforcement bodies and detaining authorities with respect to the administration and enforcement of immigration legislation. Information may be disclosed to medical practitioners for the purpose of providing medical services to newly arrived immigrants being held in detention centres as well as to the Canadian Council of Engineers for the assessment of engineering qualifications. Some information may also be disclosed to transportation companies within the terms of their responsibilities under immigration legislation. It may also be disclosed to provincial authorities for assessment under the terms of an agreement (i.e. Canada-Quebec Accord) or arrangement. Other uses may include the sharing of information with the Insurance and Employment programs of Human Resources Development, the Immigration and Refugee Board, Foreign Affairs International Trade Canada, Health Canada, Veterans Affairs Canada as well as with provincial or municipal government departments under the terms of an agreement (i.e., Malton Neighbourhood Services) responsible for assisting immigration settlement for the purpose of administering their programs (e.g. social

welfare, education, and human resource planning) and for research purposes. Information may also be shared with Statistics Canada and Foreign Affairs International Trade Canada for statistical and planning purposes. It may also be shared with foreign governments such as U.S.

immigration authorities under the terms of an agreement or arrangement in order to conduct a lawful investigation or administer or enforce any law. It may also be shared with CIC Financial Services to assist in the recovery of financial assistance that was provided to the individual. It may be provided to private sector research firms for the purposes of planning, statistics, research and evaluation.

Information obtained from, Foreign Affairs International Trade Canada, Health Canada, the Immigration and Refugee Board (that contained in IRB PPU 105 (Immigration Appeal Board Records), IRB PPU 110 (Immigration Appeal Division Records) and IRB PPU 115 (Convention Refugee Division Records)), IRB PPU 145 (Research Director, Claim Specific Information, CSIS, the RCMP and some provincial government departments may be matched for the purpose of administering or enforcing the immigration legislation and to administer and conduct the appeals process under the Immigration Act. The information may also be shared with workers' compensation boards or Unemployment Insurance. **Retention and Disposal**

Standards: Paper records are maintained for 2 years after last administrative action at CIC offices. Employment authorizations are microfilmed and retained for 15 years at Immigration Headquarters. At posts abroad, the period of retention is 2 years after last administrative action. **Contact:** Director, Case Processing Centre, Vegreville/Alberta, Manager, Canada Immigration Centre (consult telephone directory for address) **Related to PR#:** EIC IMM 115 **TBS Registration:** 001984 **Bank Number:** EIC PPU 295

Visitor Case File

Description: Records in this file may include the following information: Name, date and country of birth, country of citizenship, marital status, address in Canada and abroad, accompanying family members, details concerning health, past criminality, details of financial status, length of authorized stay and date of original entry, name and address of bond depositor, sum deposited, terms and conditions imposed, name of person signing bond, name of persons bonded, terms and condition which they must meet, and acknowledgement of terms and conditions. Information is held at the Case Processing Centre, Vegreville, Alberta, Canada Immigration Centres and at Immigration headquarters in Ottawa in cases receiving specific further consideration. Persons seeking access to this information bank must supply their date of birth, approximate date of permit and office of issue. **Class**

of Individuals: Visitors to Canada. **Purpose:** to record information pertaining to documented visitors to Canada, except those authorized to participate in employment or training under section 10 of the Immigration Act. Information may be used in the administration and enforcement of immigration legislation. **Consistent Uses:**

The information may be disclosed to the Canadian Security Intelligence Service (CSIS) and the Royal

Canadian Mounted Police (RCMP) for the purpose of conducting security reviews or investigations related to immigration legislation. Uses also include the sharing of information with Solicitor General Canada, CSIS, the Department of Justice and the RCMP where these uses are pursuant to the law. Information may also be disclosed to various foreign governments, law enforcement bodies and detaining authorities with respect to the administration and enforcement of immigration legislation. Information may be disclosed to medical practitioners for the purpose of providing medical services to newly arrived immigrants being held in detention centres as well as to the Canadian Council of Engineers for the assessment of engineering qualifications. Some information may also be disclosed to transportation companies within the terms of their responsibilities under immigration legislation. It may also be disclosed to provincial authorities for assessment under the terms of an agreement (i.e. Canada-Quebec Accord) or arrangement. Other uses may include the sharing of information with the Insurance and Employment programs of Human Resources Development, the Immigration and Refugee Board, Foreign Affairs International Trade Canada, Health Canada, Veterans Affairs Canada as well as with provincial or municipal government departments under the terms of an agreement (i.e., Malton Neighbourhood Services) responsible for assisting immigration settlement for the purpose of administering their programs (e.g. social welfare, education, and human resource planning) and for research purposes. Information may also be shared with Statistics Canada and Foreign Affairs International Trade Canada for statistical and planning purposes. It may also be shared with foreign governments such as U.S. immigration authorities under the terms of an agreement or arrangement in order to conduct a lawful investigation or administer or enforce any law. It may also be shared with CIC Financial Services to assist in the recovery of financial assistance that was provided to the individual. It may be provided to private sector research firms for the purposes of planning, statistics, research and evaluation. Information obtained from, Foreign Affairs International Trade Canada, Health Canada, the Immigration and Refugee Board (that contained in IRB PPU 105 (Immigration Appeal Board Records), IRB PPU 110 (Immigration Appeal Division Records) and IRB PPU 115 (Convention Refugee Division Records), IRB PPU 145 (Research Director, Claim Specific Information, CSIS, the RCMP and some provincial government departments may be matched for the purpose of administering or enforcing the immigration legislation and to administer and conduct the appeals process under the Immigration Act. **Retention and Disposal Standards:** Paper records are kept normally for 2 years after the last administrative action. Information on persons known or suspected of engaging in activities contrary to the Immigration Act is retained 5 years after the last administrative action. Microfilmed records are kept for 65 years. **Contact:** Director, Case Processing Centre, Vegreville, Alberta Manager, Canada Immigration Centre (consult telephone directory for address). **Related**

to **PR#:** EIC IMM 115 **TBS Registration:** 001982 **Bank Number:** EIC PPU 285

◆ International Region

International Service:

Overseas Immigration Case Files

Description: The bank contains information on persons who apply at posts abroad for permanent resident visitor, temporary worker or student status, returning resident or minister's permits or persons who attempt or are suspected of seeking to enter Canada illegally or counsel or assist any persons seeking to enter Canada by any means. Records in the bank may contain some or all of the following: applications; certificates of birth, death, marriage, divorce, separation, adoption, and education; employment experience and references; statements of assets and bank, trust, and brokerage statements; property holdings; business investments, and medical reports. Persons seeking access to this information bank should provide their date of birth and, if possible, their Visa Office file number. **Class of Individuals:** Individuals who have applied for permanent resident, visitor, temporary worker or student status or returning resident or minister's permit at posts abroad. Individuals who are known to or are suspected of seeking to enter Canada illegally or participating in illegal entry activities. **Purpose:** The information contained in this bank may be used in the administration and enforcement of immigration legislation. The bank serves as a repository for detailed and summary documentation on those persons wishing to visit or immigrate to Canada and persons involved in the facilitation thereof. **Consistent Uses:** The information may be disclosed to the Canadian Security Intelligence Service (CSIS) and the Royal Canadian Mounted Police (RCMP) for the purpose of conducting security reviews or investigations related to immigration legislation. Consistent uses may include sharing information with Citizenship, Foreign Affairs International Trade Canada, the Immigration and Refugee Board, the Department of Justice, Revenue Canada Taxation, the Solicitor General and departments of provincial governments with an input into Immigration Affairs, the United Nations High Commissioner for Refugees, and the International Organization for Migration (OIM). **Retention and Disposal Standards:** Applications for Permanent Residence only (C&I form IMM8) for those persons who have received an Immigrant Visa and Record of Landing (C&I form IMM1000) since 1988 are retained for a period of 65 years. All other paper records are normally destroyed two years after the last administrative action. Information on persons known or suspected of engaging in activities contrary to the Immigration Act is destroyed five years after the last administrative action. Information on controversial cases, such as those involving organized crime, is destroyed ten years after the last administrative action. **Contact:** Manager, Canada Immigration Centre (consult telephone directory for address) **TBS Registration:** 000344 **Bank Number:** EAC PPU 015

◆ Enforcement Branch

Enforcement Data System

Description: The bank contains information from the enforcement records of persons who have come under examination at a port of entry or at an inland Canada Immigration Centre. The bank includes a report from an immigration officer and a record of the inquiry, appeal and removal process. Records may include name, address, birth date, country of birth, enforcement action undertaken (i.e. a report, arrest, inquiry or removal under the Immigration Act), and the date and place of each event in the process. The deportation order is available on persons subject to the removal process from January 1, 1973. Some of the information may be duplicated in the Immigrant Case File (EIC PPU 225) and the Permanent Resident Data System (EIC PPU 230). Persons seeking access to this information must supply their name, date of birth, approximate date of entry to Canada and port of entry. **Class of Individuals:** Persons who have been subject to the enforcement provisions of the Immigration Act of Canada. **Purpose:** The purpose of this bank is to help determine the admissibility of persons to Canada or the right of persons to remain in Canada, and to produce statistical reports in support of the enforcement function. **Consistent Uses:** Information is also used by the Department of Human Resources Development (HRD) and by the Immigration Appeal Division, IRB. Other uses may include sharing information with CSIS, the Department of Justice, Solicitor General Canada and other divisions of the Immigration and Refugee Board (IRB). Information may be shared between the Immigration Appeal Division of the IRB (PPU IRB 110) and Adjudication (PPU IRB 140). Information may be shared with Immigration, the Royal Canadian Mounted Police, the Canadian Security and Intelligence Service for the purpose of conducting security reviews or investigations related to immigration legislation. Information is shared with Statistics Canada for statistical purposes and with Foreign Affairs International Trade Canada for statistical and planning purposes. It may also be used for internal audit purposes. It may be provided to private sector research firms for planning, statistics, research and evaluations. The information is also shared with Revenue Canada (Customs and Excise) to aid the officers at the Primary Inspection Line (PIL) in referring persons to Immigration for further examination. **Retention and Disposal Standards:** Paper records are normally retained two years after the last administrative action. Information on persons known or suspected of engaging in activities contrary to the Immigration Act is retained five years after the last administrative action. Information on controversial cases, such as those involving organized crime, is retained ten years after the last administrative action. Automated information is retained for 15 years and microfilmed information is retained for 50 years at Immigration headquarters in Ottawa. **Contact:** Manager, Canada Immigration Centre (consult telephone directory for address) **Related to PR#:** EIC IMM 095 **TBS Registration:** 001980 **Bank Number:** EIC PPU 270

Enforcement Information Index System

Description: This bank contains summary information gathered by Canadian or foreign law enforcement agencies or investigative bodies on persons whose entry and re-entry to Canada would be dangerous to Canadian security. **Class of Individuals:** Persons seeking admission to Canada, and some permanent residents of Canada.

Purpose: This bank is used for identifying the above individuals and subjecting them to a more detailed immigration examination. Information may be used for internal audit purposes. **Consistent Uses:** Information may be shared with Revenue Canada (Customs and Excise), International Trade Canada, the Immigration and Refugee Board, Foreign Affairs, RCMP and CSIS to facilitate the examination and to conduct criminal and security investigations related to immigration legislation. It may be shared with foreign governments such as the USINS pursuant to an agreement or arrangement in order to conduct a lawful investigation or administer or enforce any law. It may be provided to private sector research firms for the purposes of planning, statistics, research and evaluation. **Retention and Disposal Standards:** Automated and microfilmed information is retained for 50 years in the case of known or suspected terrorists; information regarding all other individuals is kept for 10 years. **Contact:** Director General Enforcement Branch (See Key Addresses at beginning of the book) **Related to PR#:** EIC IMM 075 **TBS Registration:** 002370 **Bank Number:** EIC PPU 265

Minister's Permit Case File

Description: The bank serves as a repository for detailed and summary documentation on permit holders. It may include the following types of information: Applications for permanent residence and visitor visas; assessments by immigration officers; immigration inquiry and removal documentation; financial documentation; name, date and place of birth; citizenship; address in Canada and abroad; date and place of issue and validity of permit; details on the person's inadmissibility grounds; details on the person's present or earlier status; and information from EIC PPU 290. Persons seeking access to this information must supply their date of birth, approximate date of permit issuance and office of issue. **Class of Individuals:** Inadmissible persons according to the Immigration Act, who are allowed to come into or remain in Canada for humanitarian or national interest reasons. **Purpose:** The purpose of this bank is to record information pertaining to permit holders. Information may be used in the administration and enforcement of immigration legislation. **Consistent Uses:** The information may be disclosed to the Canadian Security Intelligence Service (CSIS) and the Royal Canadian Mounted Police (RCMP) for the purpose of conducting security reviews or investigations related to immigration legislation. Uses also include the sharing of information with Solicitor General Canada, CSIS, the Department of Justice and the RCMP where these uses are pursuant to the law. Information may also be disclosed to various foreign governments, law enforcement bodies and detaining authorities with respect to the administration

and enforcement of immigration legislation. Information may be disclosed to medical practitioners for the purpose of providing medical services to newly arrived immigrants being held in detention centres as well as to the Canadian Council of Engineers for the assessment of engineering qualifications. Some information may also be disclosed to transportation companies within the terms of their responsibilities under immigration legislation. It may also be disclosed to provincial authorities for assessment under the terms of an agreement (i.e. Canada-Quebec Accord) or arrangement. Other uses may include the sharing of information with the Insurance and Employment programs of Human Resources Development, the Immigration and Refugee Board, Foreign Affairs International Trade Canada, Health Canada, Veterans Affairs Canada as well as with provincial or municipal government departments under the terms of an agreement (i.e., Malton Neighbourhood Services) responsible for assisting immigration settlement for the purpose of administering their programs (e.g. social welfare, education, and human resource planning) and for research purposes. Information may also be shared with Statistics Canada and Foreign Affairs International Trade Canada for statistical and planning purposes. It may also be shared with foreign governments such as U.S. immigration authorities under the terms of an agreement or arrangement in order to conduct a lawful investigation or administer or enforce any law. It may also be shared with CIC Financial Services to assist in the recovery of financial assistance that was provided to the individual. It may be provided to private sector research firms for the purposes of planning, statistics, research and evaluation. Information obtained from, Foreign Affairs International Trade Canada, Health Canada, the Immigration and Refugee Board (that contained in IRB PPU 105 (Immigration Appeal Board Records), IRB PPU 110 (Immigration Appeal Division Records) and IRB PPU 115 (Convention Refugee Division Records)), IRB PPU 145 (Research Director, Claim Specific Information, CSIS, the RCMP and some provincial government departments may be matched for the purpose of administering or enforcing the immigration legislation and to administer and conduct the appeals process under the Immigration Act. **Retention and Disposal Standards:** Paper records are retained for two years after cancellation or expiry of permit. Machine readable files are kept for 15 years; microfilmed files for 65 years. **Contact:** Manager, Canada Immigration Centre (consult telephone directory for address) **Related to PR#:** EIC IMM 115 **TBS Registration:** 001985 **Bank Number:** EIC PPU 300

Remote Area Border Crossing Permit (RABC) Program

Description: This bank will contain the name, date of birth, citizenship or resident status, address, indication as to whether the applicant has ever been convicted of a criminal or narcotics offence, contravention of Immigration, customs or Fish & Game laws, reason for entering Canada and phone numbers. **Class of Individuals:** Citizens and permanent residents of Canada, in addition to citizens

and permanent residents of the United States who are frequent travellers between Canada and the United States and who apply for a RABC permit to facilitate entry to Canada. **Purpose:** This personal information relates to the applicants' request for a Remote Area Border Crossing Permit and will be used to determine his/her eligibility.

Consistent Uses: U.S. applicants will have personal data checked against Immigration and Customs Enforcement data banks to establish whether adverse information exists which would affect their admissibility to Canada. Persons who admit to criminal convictions on their applications may necessitate further checks with U.S. Authorities and/or Canadian police data banks. The names and data of Canadian applicants will be checked by Revenue Canada (Customs and Excise) to establish whether any narcotics convictions or smuggling offenses exist. **Retention and Disposal Standards:** Files are kept 5 years after last administrative action. **Related to PR#:** EIC IMM 115 **TBS Registration:** 002922 **Bank Number:** EIC PPU 115

◆ Integration Branch

Adjustment Assistance Program (AAP)

Description: This bank may include the following types of information: Name, date and place of birth, mother tongue, authorization number (visa, transportation or admissibility number), address, Social Insurance Number, occupation, marital status, financial situation, date and port of arrival, name of spouse and number of dependents, date and amount of cheque, payee and purpose. Access to this bank may be gained by providing place of birth, date of birth and place and date of admission to Canada. **Class of Individuals:** Newcomers to Canada who require financial assistance, which they receive under the AAP, until they have sufficient income to meet their needs or for one year, whichever comes first. **Purpose:** The bank serves as a record of adjustment assistance granted to newcomers in need. The information is used in the administration of financial assistance loans. **Consistent Uses:** Information in this bank may be used by Citizenship and Immigration Canada for purposes of research, planning, evaluation, statistics, internal audit and control, and may be provided to private sector research firms for this purpose and for Management Information System purposes. It may also be shared with Statistics Canada for census purposes. This information may be shared with provincial welfare agencies for the purpose of determining entitlement to provincial programs. It may also be disclosed to provincial authorities for assessment under the terms of an agreement (ie. Canada-Quebec Accord) or arrangement in order to conduct a lawful investigation or administer or enforce any law. **Retention and Disposal Standards:** Information is kept for 6 fiscal years. **Contact:** Manager, Manager, Canada Immigration Centre (consult telephone directory for address) **Related to PR#:** EIC IMM 130 **TBS Registration:** 001975 **Bank Number:** EIC PPU 235

Host Program

Description: This bank may contain names and other information pertaining to individual members or representatives of groups involved in the Host Program. Access to this bank may be gained by providing the name and address of the group. **Class of Individuals:** Members of voluntary non-government organizations who provide assistance to newly arrived refugees. **Purpose:** The purpose of this bank is to provide a list of the Host Program groups. Some of the information may be duplicated at headquarters and used for monitoring purposes. The information may be used in decisions regarding the effectiveness of the Program. **Consistent Uses:** The information may be used for internal audit purposes. It may be provided to private sector research firms for the purposes of planning, statistics, research and evaluation. **Retention and Disposal Standards:** Information on members or representatives of Host Program groups is kept for 6 fiscal years after termination of the contract. **Contact:** Manager, Canada Immigration Centre (consult telephone directory for address) **Related to PR#:** EIC IMM 130 **TBS Registration:** 001973 **Bank Number:** EIC PPU 226

Immigrant Settlement and Adaptation Program (ISAP)

Description: The bank may contain names, addresses and other information pertaining to individual members or representatives of Service Provider Organizations (SPO's) and clients enrolled with these SPO's' job-finding clubs. The clubs help find employment for newly arrived immigrants. Access to this bank may be gained by providing the name and address of the organization. **Class of Individuals:** Members or representatives of voluntary non-government organizations that provide immigrant settlement and adaptation services for the Commission on a contract basis and participating members of these organizations' job-finding clubs. **Purpose:** The purpose of this bank is to provide a list of the contracted non-government agencies and their job-finding clubs. Some of the information may be duplicated at headquarters and used for monitoring purposes. The information may be used in decisions regarding the terms of such contracts, their renewal and the evaluation of services provided to the newly arrived immigrants on a complimentary basis. **Consistent Uses:** Information may be used for internal audit purposes. It may be provided to private sector research firms for the purposes of planning, statistics, research and evaluation. **Retention and Disposal Standards:** Information is held for six fiscal years. **Contact:** Manager, Canada Immigration Centre (consult telephone directory for address). **Related to PR#:** EIC IMM 130 **TBS Registration:** 001978 **Bank Number:** EIC PPU 250

Language Instruction for Newcomers to Canada (LINC)

Description: This bank may include the following information: full name, date of birth, country of origin, mother tongue and other spoken and written languages, an ID number (IMM1000, Ministerial Permit, FOSS number or SIN), occupation before coming to Canada,

current occupation, available days and day periods for class attendance, and access to child minding during class hours. **Class of Individuals:** newcomers who are eligible for language training, and immigrants and refugees selected abroad and who need English or French language training before arrival to Canada.

Purpose: This data bank helps CIC to draw a profile of LINC/CLIC clientele, to assess their training needs in one of Canada's official languages and needs for child minding during class hours. Data also serves in managing programs and services, ensuring accountability and efficient allocation of LINC/CLIC contributions. **Consistent Uses:** Information in this bank may be used by CIC for purposes of planning, programs and services evaluation and monitoring, auditing and monitoring of programs and services, research, and collection of statistics. Information in this data bank may be shared with such partners as Revenue Canada, employers (to assist them in taking their responsibility under the UI Act), provincial and municipal governments and contracting SPOs to whom the information relates. **Retention and Disposal**

Standards: the retention period for LINC files is six fiscal years after the end of the last contract. **Contact:** Manager, Canada Immigration Centre (see telephone book for address). **Related to PR#:** EIC IMM 130 **TBS Registration:** 003768 **Bank Number:** CIC PPU 500

◆ Refugees Affairs

Immigrant Loans Programs

Description: This bank may contain the following information: Name, birth date, visa number, loan warrant number, undertaking to repay assistance number, address, telephone numbers at home and at work, Social Insurance Number, occupation, name and address of employer, marital status, date and port of arrival, name of spouse and number of dependents, status and amount of outstanding loan and credit reports of the individual's financial status, and payee and purpose. Access to this bank may be gained by providing name and date of birth or loan warrant number **Class of Individuals:** Only individuals who have received transportation, admissibility or assistance loans are identified. They are immigrants in need of relocation, who do not have disposable assets for themselves or their families to pay the cost of their transportation to Canada, or from their port of arrival to their final destination in Canada; or to pay the Right of Landing Fee; Convention Refugees or Designated Classes to establish their admissibility to Canada (medical costs overseas); and those newcomers in need of financial assistance who are not eligible for adjustment assistance contributions. **Purpose:** This bank serves as a record of the amount of loans issued and repaid and is used for the administration of the programs. **Consistent Uses:** The information is used by the CIC for management information purposes and for purposes of research, planning, internal audit, evaluation and statistics and may also be provided to private sector research firms for these purposes. The information is shared with the Foreign Affairs and International Trade Canada (Passport).

Retention and Disposal Standards: The information is kept for 6 fiscal years after the loan has been repaid.

Contact: Senior Advisor, Resettlement Division, National Headquarters (see key addresses at the beginning of the book). **Related to PR#:** EIC IMM 130 **TBS Registration:** 001986 **Bank Number:** EIC PPU 305

Refugee Claim Tracking System

Description: This bank contains some or all of the following information: Name, date of birth, citizenship, country of last permanent residence, sex, details of enforcement action taken, passport or travel documents, marital status, dates for the various stages of the refugee determination process and the decisions of the Minister's delegate and the Special Review Committee. Individuals seeking access to this information must supply their name, date of birth and the approximate date when the refugee claim was made. **Class of Individuals:** Individuals who, during the course of the inquiry stage of the enforcement process, have claimed that they are Convention Refugees, and individuals in Canada with valid visitor status who have requested that they be considered as Convention Refugees. **Purpose:** The short-term purpose of this bank is to establish the extent of the refugee claims backlog. The long-range purposes are to maintain a record of the processing stages to establish where backlogs are likely to occur in the future, and to provide information on individuals who make refugee claims and on their progress through the refugee claim process. **Consistent Uses:** The information in this bank is also used by the Immigration and Refugee Board, the Post Claims Review Committee, and the federal courts. It also may be used for internal audit purposes. It may be provided to private sector research firms for planning, statistics, research and evaluations as well as with Statistics Canada for census purposes. The information in this bank may be matched with IRB PPU 115 (Convention Refugee Division Records) to administer and conduct the appeals process under the Immigration Act and to provide information used to schedule and track cases and to report statistics on such cases. **Retention and Disposal Standards:** Manual records are retained at Canada Immigration Centres for up to five years. Database records are maintained for 15 years at headquarters in Ottawa. **Contact:** Manager, Canada Immigration Centre (consult telephone directory for address) **Related to PR#:** EIC IMM 151 **TBS Registration:** 002360 **Bank Number:** EIC PPU 320

Sponsors of Refugees and Other Special Classes — Constituent Groups of National Organizations

Description: The bank contains the group's name and address, a letter of approval from the national organization, and the number and names of refugees whom the group wishes to sponsor. Information relating to these sponsorships may be held at the local Canada Immigration Centre or at regional or national headquarters. Access may be gained by providing the name and address of the sponsoring group. **Class of Individuals:** Members of constituent groups of national or major organizations that have signed a master agreement with

the Minister of Employment and Immigration to sponsor Convention Refugees and members of special classes designated by the Governor in Council under section 6(4) of the Immigration Act, 1976. **Purpose:** to maintain a record of the applications by members of constituent groups of national organizations or other major organizations. **Consistent Uses:** The information is used internally for statistical purposes. It may also be provided to private sector research firms for the purposes of planning, statistics, research and evaluation. **Retention and Disposal Standards:** Sponsorship agreements are kept for 5 years after termination of sponsorship or for 2 years after the cancellation of sponsorship agreements. **Contact:** Director, Refugee Programs Division at National Headquarters (see key addresses at beginning of book), Regional Inland Manager (consult addresses at the end of this chapter), Manager Canada Immigration Centre (consult telephone directory for address). **Related to PR#:** EIC IMM 130 **TBS Registration:** 001987 **Bank Number:** EIC PPU 310

Sponsors of Refugees and Other Special Classes — Local Groups and Incorporated Organizations

Description: The bank contains the names and addresses of members of the sponsoring group or organization; information on financial resources available to support their sponsorship application; experience in assisting refugees or immigrants; and the number or names of refugees whom the group wishes to sponsor. In the case of groups of individuals, information includes the members' occupations and citizenship. Information relating to these sponsorships may be held at the local Canada Immigration Centre or at regional or national headquarters. Access may be gained by providing the name and address of the sponsoring group. **Class of Individuals:** Local groups of five or more individuals and incorporated organizations that sponsor Convention Refugees and members of special classes designated by the Governor in Council under section 6(4) of the Immigration Act. **Purpose:** The purpose of this bank is to maintain a record of applications by local groups of five or more individuals and incorporated organizations. **Consistent Uses:** Information is used internally for statistical purposes and for internal audit. It may be provided to private sector research firms for planning, statistics, research and evaluations. Information may also be provided to provincial authorities involved in the administration of the program. **Retention and Disposal Standards:** Sponsorship agreements are kept for 5 years after termination of sponsorship or for 2 years after the cancellation of sponsorship agreements. **Contact:** Manager, Canada Immigration Centre (consult telephone directory for address) **Related to PR#:** EIC IMM 130 **TBS Registration:** 001988 **Bank Number:** EIC PPU 315

♦ Selection

Entrepreneur Monitoring Information System (EMIS)

Description: This bank contains application and landing information for each entrepreneur landed in Canada with

terms and conditions. This information is taken from the IMM8 and IMM 1000 and is downloaded into the bank from the Immigration Data System (LIDS). Monitoring information and business information is also available in the bank and this information is manually input by immigration officers in CIC's or RHQ. **Class of Individuals:** The bank contains information on immigrants who have been issued a conditional visa under the Entrepreneur Category. **Purpose:** The bank records the entrepreneur's compliance with his/her terms and conditions of landing. **Consistent Uses:** The information may be disclosed to the Canadian Security Intelligence Service (CSIS) and the Royal Canadian Mounted Police (RCMP) for the purpose of conducting security reviews or investigations related to immigration legislation. Uses also include the sharing of information with Solicitor General Canada, the Attorney-Generals of the provinces, CSIS, the Department of Justice, and the RCMP where these uses are pursuant to the law. Information is also shared with the provinces for the purpose of assisting in business counselling and monitoring. Some information may be disclosed to provincial authorities for assessment under the terms of an agreement (i.e. Canada Quebec accord). Information may also be disclosed to foreign governments, various law enforcement bodies and detaining authorities with respect to the administration and enforcement of immigration legislation. **Retention and Disposal Standards:** Automated information is kept for 15 years. **Contact:** Director, Business Immigration, National Office (See key addresses at the beginning of the book). **TBS Registration:** 003334 **Bank Number:** EIC PPU 296

Guarantors of Assisted Relatives

Description: Data on the guarantor include name, date of birth, sex, marital status, citizenship, address, telephone number, labour force status, status in Canada, occupation, annual revenue and debts. Data on the immigrant being assisted include name, relationship to guarantor, date and place of birth, marital status and citizenship. Details concerning health and past criminality may also be included. The bank may contain all or some of the same information on dependents of the immigrant who are included in the application. Information may form part of the immigrant case file (EIC PPU 225). Individuals may gain access to this bank by providing their date and place of birth. The information may be held at a Canada Immigration Centre or at a post abroad, or both. **Class of Individuals:** Canadian citizens or permanent residents who apply to sponsor members of the Family Class for admission to Canada. **Purpose:** This bank exists to record information pertaining to a Canadian citizen's or permanent resident's application for the purpose of assisting relatives to come to Canada. Information is used in the administration and enforcement of immigration legislation. **Consistent Uses:** The information may be disclosed to designated provincial authorities for assessment under the terms of a formal agreement. The information is also used internally for Management Information System purposes and for research, planning, evaluation and statistics and may be provided to private

sector research firms for this purpose. It may also be used in investigations by the RCMP or other law enforcement bodies in cases pursuant to the law. Other uses include sharing the information with federal, provincial and municipal departments and agencies such as Foreign Affairs International Trade Canada, Health Canada, workers' compensation boards and social welfare and education departments to administer their programs. **Retention and Disposal Standards:** Information is normally kept for 2 years after termination of sponsorship. Machine readable information is held for 15 years. **Contact:** Manager, Canada Immigration Centre (consult telephone directory for address) **Related to PR#:** EIC IMM 120 **TBS Registration:** 001977 **Bank Number:** EIC PPU 245

Investment Monitoring and Information System (IMIS)

Description: This bank contains information on proposals submitted under the Immigrant Investor Program. Information related to the amount of money invested, the number of jobs created, and the number of investors, along with their names and birthdates is maintained.

Class of Individuals: This bank contains information regarding persons seeking admission to Canada and who satisfy the criteria of an investor as detailed in the Immigration Act. **Purpose:** to ensure compliance of offering memoranda with Regulations and Guidelines of the Immigrant Investor Program, and to ensure that the immigrant investor has satisfied the program guidelines before they are issued visas. **Consistent Uses:** The information may be disclosed to the Canadian Security Intelligence Service (CSIS) and the Royal Canadian Mounted Police (RCMP) for the purpose of conducting security reviews or investigation related to immigration legislation. Uses also include the sharing of information with Solicitor General Canada, the Attorney-Generals of the provinces, CSIS, the Department of Justice, and the RCMP where these uses are pursuant to the law. Information is also shared with provincial governments for the purposes of monitoring, as the program is jointly administered by provincial and federal governments. Some information may be disclosed to provincial authorities for assessment under the terms of an agreement (i.e. Canada Quebec accord). Information may also be disclosed to foreign governments, various law enforcement bodies and detaining authorities with respect to the administration and enforcement of immigration legislation. **Retention and Disposal Standards:** Automated information is kept for 15 years **Contact:** Director, Business Immigration, National Office (See key addresses at the beginning of the book). **TBS Registration:** 003335 **Bank Number:** EIC PPU 297

Returning Resident Permit Case File

Description: This bank may include the following types of information: Name, date of birth, country of birth, sex, marital status, citizenship, date and place of landing in Canada, permanent address in Canada, telephone number as well as reason for and length of absence from Canada. Persons seeking access to this bank must

supply their date of birth, approximate date of permit issuance and office of issue. **Class of Individuals:** Permanent residents who, according to the Immigration Act, have valid reasons for remaining outside Canada for extended periods of time. **Purpose:** to record information which will facilitate the return to Canada of a permanent resident. The information is used in the administration and enforcement of immigration legislation. **Consistent Uses:** The information may be disclosed to the Canadian Security Intelligence Service (CSIS) and the Royal Canadian Mounted Police (RCMP) for the purpose of conducting security reviews or investigations related to immigration legislation. Uses also include the sharing of information with Solicitor General Canada, CSIS, the Department of Justice and the RCMP where these uses are pursuant to the law. Information may also be disclosed to various foreign governments, law enforcement bodies and detaining authorities with respect to the administration and enforcement of immigration legislation. Information may be disclosed to medical practitioners for the purpose of providing medical services to newly arrived immigrants being held in detention centres as well as to the Canadian Council of Engineers for the assessment of engineering qualifications. Some information may also be disclosed to transportation companies within the terms of their responsibilities under immigration legislation. It may also be disclosed to provincial authorities for assessment under the terms of an agreement (i.e. Canada-Quebec Accord) or arrangement. Other uses may include the sharing of information with the Insurance and Employment programs of Human Resources Development, the Immigration and Refugee Board, Foreign Affairs International Trade Canada, Health Canada, Veterans Affairs Canada as well as with provincial or municipal government departments under the terms of an agreement (i.e., Malton Neighbourhood Services) responsible for assisting immigration settlement for the purpose of administering their programs (e.g. social welfare, education, and human resource planning) and for research purposes. Information may also be shared with Statistics Canada and Foreign Affairs International Trade Canada for statistical and planning purposes. It may also be shared with foreign governments such as U.S. immigration authorities under the terms of an agreement or arrangement in order to conduct a lawful investigation or administer or enforce any law. It may also be shared with CIC Financial Services to assist in the recovery of financial assistance that was provided to the individual. It may be provided to private sector research firms for the purposes of planning, statistics, research and evaluation. Information obtained from, Foreign Affairs International Trade Canada, Health Canada, the Immigration and Refugee Board (that contained in IRB PPU 105 (Immigration Appeal Board Records), IRB PPU 110 (Immigration Appeal Division Records) and IRB PPU 115 (Convention Refugee Division Records), IRB PPU 145 (Research Director, Claim Specific Information, CSIS, the RCMP and some provincial government departments may be matched for the purpose of administering or enforcing the immigration legislation and to administer and conduct the

appeals process under the Immigration Act. **Retention and Disposal Standards:** Information is kept for 2 years after the issuance of the permit. **Contact:** Manager, Canada Immigration Centre (consult telephone directory for address) **Related to PR#:** EIC IMM 120 **TBS Registration:** 002371 **Bank Number:** EIC PPU 275

Sponsors of Immigrants

Description: Data on the sponsor include name, date of birth, sex, marital status, citizenship, status in Canada, address, telephone number, labour force status, occupation, identification number, annual revenue and debts. It may contain all or some of the above information on any other individual who may support the sponsorship. Data on the immigrant being sponsored include name, relationship to sponsor, date, place, and country of birth, marital status, address and may include details on health and past criminality. It may contain all or some of the same information regarding any dependents of the immigrant who are included in the application. A copy of the information may form part of the immigrant case file (EIC PPU 225). Individuals may gain access to the bank by providing the date and place of their birth. The information may be held at the Mississauga Case Processing Centre, a Canada Immigration Centre or at a post abroad, or all.

Class of Individuals: Canadian citizens or permanent residents who apply to sponsor the admission to Canada of members of the Family Class. **Purpose:** to record information pertaining to a Canadian citizen's or a permanent resident's application to sponsor immigrants coming to Canada. Information is used in the administration and enforcement of immigration legislation.

Consistent Uses: The information is used internally for Management Information System purposes and for purposes of research, planning, evaluation and statistics. It may be used in investigations by CSIS, the RCMP, or other law enforcement bodies where these uses are pursuant to law. Other uses include sharing the information with federal, provincial and municipal departments under the terms of a formal agreement in order to conduct a lawful investigation or to administer or enforce any law and social agencies such as Foreign Affairs International Trade Canada, Health Canada, workers' compensation boards, and social welfare and education departments for the purpose of administering their programs. It may be used for internal audit purposes. It may be provided to private sector research firms for planning, statistics, research and evaluation. The information on the immigrant may also be shared with foreign governments such as the U.S. Immigration Service pursuant to an agreement or an arrangement in order to conduct lawful investigations or administer or enforce any law. The information in this bank may be matched with that in IRB PPU 105 (Immigration Appeal Board Records) and IRB PPU 110 (Immigration Appeal Division Records) to administer and conduct the appeals process under the Immigration Act. **Retention and Disposal Standards:** Information is normally held for 2 years after termination of sponsorship. Machine readable information is held for 15 years. **Contact:** Manager, Canada Immigration Centre (consult telephone

directory for address), Manager, Mississauga Case Processing Centre (consult back of this chapter for address). **Related to PR#:** EIC IMM 120 **TBS Registration:** 001976 **Bank Number:** EIC PPU 240

Classes of Personal Information

♦ Ministerial and Executive Services

Ministerial Inquiry & Correspondence Services

Copies of internal and external correspondence addressed to or handled by the Minister's and Deputy Minister's offices, as well as information related to its processing. Letters written by the general public or their representatives, and by MPs, private sector and other governmental and non-governmental organizations may include enquiries, requests, advice and opinions on the policies and programs of CIC and on the handling of individual cases. They may also deal with individuals employed by CIC and questions on quality of service. Memos and other briefing documents may deal with the same topics and individuals. Information is retrievable by personal identifier, including names of individuals and file numbers, from the automated Ministerial correspondence System containing processing and control data and from paper files containing copies of actual documents. The personal information contained in this correspondence is not used for an administrative purpose.

Ministerial Inquiry Unit

Receives telephone and fax queries from MP's, Senators, NGO's, lawyers, consultants, the media and the general public. This includes requests for ministerial intervention, and compliments, complaints, comments and questions on specific cases, legislation, procedures, ministerial announcements and quality of service. Liaises with Minister's Office staff on ministerial intervention requests from MP's, lawyers, NGO's and members of the general public, particularly when requests are deemed by MIU to have significant political implications. Liaises with Case Management Branch, International Service Sector, and other departmental officials in responding to representations. Liaison with International Service on quality of service issues affecting MIU.

♦ Public Affairs Branch

Public Affairs

Distribution mailing lists, both on paper and computer disks, which include individuals, as well as groups and media, who receive various departmental and branch publications, notably press releases and speeches, or who are targeted to receive promotional/educational or information materials (e.g. NCW and other campaigns) or key documents or legislation (e.g. Annual Immigration Plan). This information is not retrievable by personal identifier.

♦ Integration Branch

Immigration/Citizenship

Letters written by the general public asking for information on the programs and responses to these letters are

placed on CIC program files and are not retrievable by personal identifiers. They may, however, contain personal information. Any questions relating to CIC's programs and services are referred to the appropriate program group and letters from the public will be found in the relevant program files. Mailing lists may be found by consulting the Public Affairs Branch.

Manuals

- Access to Information Manual (EIC)
- Appraisal Handbook
- Casual Employment Manager's Guide
- Citizenship Registration Manual
- Community Relations Handbook
- Computer Operations — Policy and Procedures — Systems and Procedures (EIC)
- Designated Medical Practitioners Handbook
- Directory of NHQ Offices (EIC)
- Directory of NHQ Services (EIC)
- EIC Office Design Guide
- EIC Readiness Plan
- Emergency Planning Guidelines (EIC)
- Enforcement (EC)
- Escort officer's Guide
- Examination Directives (EX)
- Facilities Management Policy and Procedures Manual (EIC)
- Family Portraits
- Financial Coding Manual (CIC)
- Guide for the Operational Review of a Canada Immigration Centre
- Human Rights Manual (EIC)
- Immigration Coding Manual (IH)
- Immigration Control (IC)
- Immigration Cost Recovery and Key Document Management Handbook
- Immigration Data (ID)
- Immigration Manual (IE)
- Immigration Manual (IL)
- Immigration Manual (IS)
- Immigration Reference (IR)
- Immigration Settlement (IT)
- Informatics Policy (EIC)
- Inland Processing (IP)
- Investigator's Guide
- Mail Services Policy and Procedures Manual (EIC)
- Mail Transport and Courier (EIC)
- Materiel Management Policy and Procedures Manual (EIC)

- Medical Officers — Guidelines for Designated Medical Practitioner (DMP) Program
- Medical Officers Handbook
- Medical Officers Handbook for Designated Medical Practitioners
- Moveable Assets Inventory System — Operations Manual (EIC)
- On-line Manuals
- Overseas Processing (OP)
- PeopleSoft Users Manual
- Performance Measures Reference Manual (EIC)
- Port of Entry (PE)
- Privacy Manual (EIC)
- Public Affairs Handbook
- Recorded Information Management Policy and Procedures Manual (EIC)
- Reporting and Liaison Handbook
- Security Policy and Procedures Manual (EIC)
- Service and Administration (SA)
- Signage Improvement (EIC)
- Subject File Classification (EIC)
- Technical and Design Guide (for boardroom 326) (EIC)
- Telework Handbook
- Training and Education Allowance Guide
- Transportation Directives (TD)
- Workforce Adjustment Guide

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

The bulk of personal information collected by CIC is held locally and access has been decentralized wherever possible. The full addresses for regional access are identified in the preceding pages, with the exception of local and district office addresses. These are listed in telephone directories. Access requests for personal information should be directed to the point of contact identified in each personal information bank.

Requests for further information about the Department and its various programs and functions may be directed to:

Public Affairs Branch
 Citizenship and Immigration Canada
 365 Laurier Avenue West
 19th floor, Journal Tower South
 Ottawa, Ontario
 K1A 1L1
 Fax:(613) 941-7080

or the Department's Internet Web Site which can be found at <http://cicnet.ingenia.com>. The Web Site gives

access to a wide variety of departmental publications, and includes answers to frequently asked questions.

For regional office and local Citizenship Courts numbers, check your telephone directory's blue pages, or call Public Affairs at: (613) 954-9019.

Questions about the policies and procedures of Citizenship and Immigration Canada relating to the Privacy Act may be directed to the appropriate Regional Office listed under "Organization" at the beginning of this chapter or to the Public Rights Administration Division at the following address:

Public Rights Administration
Citizenship and Immigration Canada
Journal Tower North
300 Slater Street, 3rd Floor "Section D"
Ottawa, Ontario
K1A-1L1
(613) 957-6522

Atlantic Region

Atlantic Regional Office
1875 Brunswick Street
Halifax, Nova Scotia
B3J 2G8

Tel: (902) 426-6167
Fax: (902) 426-7987

Case Processing Centre Sydney
47 Dorchester Street
P.O. Box 7000
Sydney, Nova Scotia
B1P 6V6

Tel: (902) 564-7825
Fax: (902) 564-2421

British Columbia and Yukon Territory

British Columbia and Yukon Territory Regional Office
1188 West Georgia Street
Weststar Building, Suite 1800
Vancouver, British Columbia
V6E 4A2

Tel: (604) 666-8485
Fax: (604) 666-1927

Ontario Region

Ontario Regional Office
4900 Yonge Street, 5th Floor
North York, Ontario
M2N-6A8

Tel: (416) 954-7857
Fax: (416) 954-7837

Case Processing Centre Mississauga
Robert Speck Parkway, Suite 650
Mississauga, Ontario
L4Z 1S1

Tel: (905) 803-7371
Fax: (905) 803-7392

Prairies/N.W.T. Region

Prairies/N.W.T. Regional Office
25 Forks Market Rd
Johnston Terminal Building
Winnipeg, Manitoba
R3C 4S9

Tel: (204) 983-2428
Fax: (204) 984-2017

Case Processing Centre Vegreville
6212 — 55th Avenue
Alberta, Vegreville
T9C 1W5

Tel: (403) 632-8000
Fax: (403) 632-8100

Quebec Region

Quebec Regional Office
715 Peel Street, 3rd Floor
Montréal, Quebec
H3C 4H6

Tel: (514) 283-0655
Fax: (514) 496-2060

Reading Room

National Capital Region

Departmental Library
Place du Portage, Phase IV
140 Promenade du Portage, 1st Floor
Hull, Quebec

Regional Offices

Please see addresses under "Additional Information" above

Copyright Board Canada

Chapter 36

General Information

Background

The Copyright Board was created by a series of amendments to the Copyright Act which were proclaimed on February 1, 1989. It also has attributions under the Free Trade Agreement Implementation Act. It replaced the Copyright Appeal Board which had been responsible since 1935 for approving annual tariffs respecting the public performance of music. The Copyright Board is designated as a department in accordance with and for the purposes of the Financial Administration Act.

Responsibilities

The Copyright Board is an independent administrative tribunal which has five principal attributions:

approve tariffs for the retransmission of distant radio and television signals;

approve tariffs for the performance or the communication by telecommunication of musical or dramatico-musical works;

arbitrate disputes on copyright fees between licensing bodies representing classes of copyright owners and users of their works;

rule on applications for non-exclusive licences to use published works of unlocatable copyright owners; and set compensation, under certain circumstances, for formerly unprotected acts in countries that later joined the Berne Convention, the Universal Convention or the Agreement establishing the World Trade Organization.

The Board reports annually to Parliament through the Minister of Industry.

Legislation

- Act to amend the Copyright Act, S.C. 1988, c. 15
- Act to implement the Agreement establishing the World Trade Organization (Bill C-57, 1994, S.C., C.47)
- Act to implement the Free Trade Agreement between Canada and the United States of America, S.C. 1988, c. 65
- Copyright Act, R.S.C. 1985, c. C-42

Organization

The Board consists of a chairman, a vice-chairman and a maximum of three other members, all appointed by the Governor in Council. The Chairman, who must be a judge of a superior, county or district court, directs the work of the Board and apportions its work among the members of the Board. The Vice-Chairman, who is the Chief Executive

Officer of the Board, supervises and directs the work of the Board's staff. The senior staff of the Board consists of the General Counsel and the Secretary to the Board.

Information Holdings

Program Records

Accounts Payable Files

Description: These files contain records of payments, and financial details thereof; and account numbers of individuals or firms which supply goods and services.

Topics: Suppliers of goods and services to the Copyright Board. **Program Record Number:** CBC SEC 040

Copyright Board Records

Description: These records contain the documentation related to the processing of a tariff proposal or licence application before the Copyright Board, including all the papers, submissions, evidence and exhibits provided by the parties and intervenors before, during and after the hearing. Decisions of the Board, the reasons for the decisions, notices of any proceedings before a higher court and decisions of the higher courts also form part of the record. **Topics:** Collecting bodies, performing rights societies and licensing bodies representing copyright owners and users of works protected by copyright who have submitted a tariff proposal to the Board or applied for a licence to set copyright fees or to authorize the use of a published work for which the copyright owner is unlocatable. **Program Record Number:** CBC SEC 035

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Budgets

Buildings

Classification of Positions

Employment and Staffing

Equipment and Supplies

Furniture and Furnishings

Human Resources

Occupational Health, Safety and Welfare

Official Languages

Personnel

Procurement

Salaries and Wages

Staff Relations

Training and Development

Personal Information Banks

Applications for Employment

Description: This bank contains information on individuals who have submitted an unsolicited application for employment to the Copyright Board. The files contain information that might include applications, résumés, letters of reference and letters acknowledging receipt of the applications. **Class of Individuals:** Individuals interested in securing employment with the Board. **Purpose:** These records are consulted when employment vacancies arise. **Consistent Uses:** To be identified. **Retention and Disposal Standards:** These records are kept for two years and then destroyed. **TBS Registration:** 003001 **Bank Number:** CBC PPU 010

Copyright Appeal Board Records

Description: The record contains the documentation related to the processing of any action before the former Copyright Appeal Board including all the papers, submissions, evidence and exhibits provided by the parties in the action before, during and after the hearing. Decisions of the Board, the reasons for the decisions, notices of proceedings before a higher court and decisions of higher courts also form part of the record. **Class of Individuals:** Users of copyrighted musical works who have filed objections to proposed statements of royalties for the public performance of music, performing rights societies, representing owners of copyright in musical works, which filed proposed statements of royalties before the Copyright Appeal Board. **Purpose:** This bank records the proceedings before the former Copyright Appeal Board. **Consistent Uses:** To be identified. **Retention and Disposal Standards:** These records are retained for an indeterminate period. **TBS Registration:** 003066 **Bank Number:** CBC PPU 020

Letters of Comment

Description: This bank contains the letters of comment the Board receives from the public concerning its decisions. **Class of Individuals:** Individuals and organizations who have addressed letters of comment to the Copyright Board. **Purpose:** These records enable the Board to measure the impact of its decisions. **Consistent Uses:** To be identified. **Retention and Disposal Standards:** Letters are retained for a period of two years and are then destroyed. **TBS Registration:** 003065 **Bank Number:** CBC PPU 015

Privacy and Access Request Data Bank

Description: The bank contains both formal and informal requests sent by individuals seeking access to information pursuant to the Access to Information Act and the Privacy Act, replies to such requests and information relating to their processing. **Class of Individuals:** Individuals who submit requests for information under the Access to Information Act and under the Privacy Act. **Purpose:** These records are used to process access requests, and to prepare the annual report to the Treasury Board Secretariat. **Consistent Uses:** To be identified. **Retention and Disposal Standards:** These records are retained for two years after the last administrative action and are then destroyed. **TBS Registration:** 003000 **Bank Number:** CBC PPU 005

Professional and Personal Services Contracts

Description: This bank contains the contracts entered into, types of services rendered, length of contracts, money expended, the social insurance number of individuals on contract, the actual contracts and supporting documents. **Class of Individuals:** Individuals hired under personal service contracts by the Department. **Purpose:** The bank is used for accounting, reference and statistical purposes. **Consistent Uses:** To be identified. **Retention and Disposal Standards:** Files are retained for six years and are then destroyed. **TBS Registration:** 003067 **Bank Number:** CBC PPU 025

Classes of Personal Information

Copyright Board Information Distribution

Files are kept on individuals and organizations to whom decisions, publications and other material generated by the Copyright Board are distributed. The specific information filed comprises: name, address, telephone number and official language preference of the individual or organization, and the material that is distributed. The information pertains to individuals who have requested information and to persons involved in the field of copyright designated by the Copyright Board for receipt of decisions, publications and other material generated by the Board. This bank serves for distribution, analytical and statistical purposes. Names and records are stored electronically on permanent distribution lists which are updated as the need arises.

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Board and its various activities, programs and publications may be directed to:

Administrative Officer
Copyright Board Canada
56 Sparks Street
Suite 800
Ottawa, Ontario
K1A 0C9
(613) 952-8621
(613) 952-8630 (Facsimile)

Reading Room

The Board's library has been designated under the Access to Information Act as a public reading room. The address is:

56 Sparks Street
Suite 800
Ottawa, Ontario.

Correctional Investigator Canada, The

Chapter 37

General Information

Background

The Office of the Correctional Investigator was established by Part II of the Inquiries Act. The office investigates complaints from inmates as defined in the Penitentiary Act and reports upon problems of inmates that fall within the responsibility of the Solicitor General of Canada and meet the following conditions:

- (1) The subject matter of the complaint concerns an incident occurring within twelve months of the lodging of the complaint;
- (2) The complainant has taken, in the opinion of the Commissioner, all reasonable steps to exhaust legal or administrative remedies;
- (3) The subject matter of the complaint does not involve the preparation of material for consideration by the National Parole Board.

The Commissioner need not investigate if the subject matter of a complaint has previously been investigated, or in the opinion of the Commissioner, a person complaining has no valid interest in the matter.

Responsibilities

The Correctional Investigator investigates and reports on the problems of inmates that come within the responsibility of the Solicitor General of Canada (other than those problems raised on complaint in certain defined circumstances). Investigations are undertaken at the request of the Solicitor General, on the Investigator's own initiative, or upon complaint from or on behalf of inmates as defined in the Penitentiary Act.

Legislation

- Parole Act and Regulations
- Penitentiary Act
- Penitentiary Service Regulations
- Prison and Reformatories Act

Organization

The Office of the Correctional Investigator comprises three secretarial/administrative positions, a director of investigations and five investigators.

Information Holdings

Program Records

Inmate Affairs — Case Files

Description: All correspondence on individual inmate complaints; also reports of interviews with complainants.

Access: Files arranged chronologically with an alphabetical index and cross-reference system.

Program Record Number: CIC CIN 030

Inmate Affairs — General Topics

Description: Information on certain policies of the Correctional Service of Canada; press clippings on the Correctional Service of Canada. **Topics:** Policy on inmate disciplinary boards; claims against the Crown; inmate pay; family visiting. **Program Record Number:** CIC CIN 025

Inmate Affairs — Special Enquiries and Reports

Description: Special reports compiled on the initiative of the Office or at the request of the Solicitor General of Canada. **Topics:** Enquiry at Millhaven into an incident in 1975; incident at Dorchester in 1980. **Program Record Number:** CIC CIN 020

Inmate Affairs — Statistics and Reports

Description: Information and statistics to be included in Annual Report. **Access:** Files arranged by year of report. **Program Record Number:** CIC CIN 015

Penal Institution Organizations, Committees and Groups

Description: Information on contact with inmate committees and other groups both inside and outside the institutions. **Access:** Files arranged by institution or name of group, and by region. **Program Record Number:** CIC CIN 010

Penal Institutions

Description: Correspondence advising institutions of visits by the Office of the Correctional Investigator; also requests for information on the administration of that institution. **Access:** Files arranged by institution and by region. **Program Record Number:** CIC CIN 005

Personal Information Banks

Complaints

Description: A file is maintained on each inmate who has complained to the office and contains correspondence and interview reports with the complainant as well as information concerning action taken. All information is collected for the purpose of dealing with and attempting to resolve matters of complaint and reporting problems to

the Solicitor General of Canada on an annual basis. Information is kept for a period of two years after completion of an investigation. **Bank Number:** CIC PPU 005

Classes of Personal Information

The class contains personal information which does not qualify as a bank of information but has reached the Office of the Correctional Investigator as a result of such activities as visits by Correctional Investigator staff to institutions, requests for particulars concerning administrative policies at all levels, minutes of meetings with various inmate committees, or with institutional and volunteer groups. When requesting access, an individual must provide the name of the institution about which information is requested and the approximate date of the event prompting the information held.

Manuals

- Commissioner's Directives
- Institutional Standing Orders
- Regional Instructions

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Office and its various programs and functions may be directed to:

Office of the Correctional Investigator
P.O. Box 2324, Station D
Ottawa, Ontario
K1P 5W5
(613) 990-2692

Reading Room

The Office of the Correctional Investigator shares a public reading room with other agencies of the Solicitor General. The address is:

340 Laurier Avenue West
Ottawa, Ontario.

Correctional Service of Canada

Chapter 38

General Information

Background

In 1977, the Correctional Service of Canada (CSC) was formed through the amalgamation of the Canadian Penitentiary Service and the National Parole Service.

Responsibilities

Mission: The Correctional Service of Canada, as part of the criminal justice system, contributes to the protection of society by actively encouraging offenders to become law-abiding citizens, while exercising reasonable, safe, secure and humane control. The CSC provides programs for offenders from pre-admission until the expiration of their sentence. In order to carry out its responsibilities, the Correctional Service creates files to hold information on prisons, organizations and occurrences that affect or may affect it in the carrying out of its mandate. Information must be collected from and shared with numerous sources, such as the community at large, with special consideration for victims of crime, as is explained in the section "General Information on Data Matching", at the end of this chapter.

Legislation

- Corrections and Conditional Release Act, SC 1992, c. C-20
- Criminal Code RSC, 1985, c. C-46
- Criminal Law Amendment Act, 1976
- Criminal Records Act RSC, 1985, c. C-47
- Prison and Reformatories Act RSC, 1985, c. P-20
- Young Offenders Act RSC, 1985, c. R-1

Organization

Audit and Investigations Sector

This sector is responsible to the Commissioner for the convening of Investigations at the National level as well as for the conduct of operational audits. This includes the development of policy and standards as well as the training of investigators for Investigations convened at the National, Regional and Operational Unit levels. The Sector is also responsible for the implementation of The Security Policy of The Government of Canada within the Correctional Service of Canada.

Commissioner Office

This Commissioner, under the direction of the Solicitor General, manages and guides the policies and programs of the Correctional Service of Canada. Included in this

office is a Senior Deputy Commissioner who supports the Commissioner in the management and direction of the Service.

Communications and Corporate Development Sector

This sector is responsible for the development and formulation of a comprehensive corporate policy framework, strategic planning and international development, and for research, program evaluation, and communications.

♦ Communications Branch

The Communications Program is implemented by all managers and all employees of the Service. Communications support is provided nationally by the National Headquarters Communications Division and by five Regional Communications branches. Reporting to the Assistant Commissioner, Communications and Corporate Development, the Communications Branch is responsible for preparing strategic communications plans to support corporate objectives, and preparing and carrying out operational plans that support the strategic plan. The Branch has three separate components that work to carry out the communications function. Media Affairs deals with the media on a daily basis responding to inquiries and providing background data to media on government announcements and initiatives. Creative Services is responsible for all publications, exhibits and periodicals such as "Let's Talk" and "Forum". It is also responsible for the management of the Public Inquiries Program and ensures the provision of administrative functions to the whole of Communications Branch. The Public Participation and Consultation component educates the general public and creates links to the community by working with victims groups, provincial and municipal officials and, other community based organizations.

♦ Policy Planning and International Development Branch

Responsible for developing a corporate policy framework, reviewing all policy proposals and for liaison on correctional policy issues with the agencies of the Ministry of the Solicitor General and with other external groups involved in the criminal justice system. Also responsible for strategic planning to assist the Service to identify and respond to emerging issues, opportunities and trends in its internal and external environment and to assess the Service's performance in key areas to determine opportunities for improvement. In addition, develops a framework for international development and cooperation between CSC and other correctional jurisdictions.

♦ Program Evaluation and Information Analysis Branch

Responsible for the provision of program evaluation and information review and analysis within guidelines established by the Central agencies and as directed by the Commissioner. The branch provides the Commissioner and the Executive Committee (EXCOM) with advice and information to improve program effectiveness and enhance the Service's ability to meet its Mission. Evaluation studies are conducted which are diverse in scope and duration ranging from the mandate and processes of a major activity or program to a specific program support activity. The branch is also responsible for analyzing information to ensure the Solicitor General, the Commissioner, and EXCOM are aware of issues and trends relative to the management and operation of the correctional program and its mandate.

♦ Research and Statistics Branch

Responsible for coordinating and executing policy and program-related research activities for the Correctional Service of Canada. The Division advises on the development of regional research plans and develops ongoing and active relationships with corrections related agencies and academic research centres. As well, the Division disseminates research findings and offender statistics through reports, briefs and the production and distributions of a quarterly research magazine.

Corporate Management Sector

The Sector provides finance and administrative services that are common to all government departments.

♦ Finance Branch

Responsible for the financial administration of the Correctional Service of Canada and its systems of financial planning, control, reporting and evaluating. The Senior Full-Time Financial Officer provides functional direction to Responsibility Centre Managers and their financial staff at Headquarters and in the five Regional offices and through them, to each institution and parole office.

♦ Information Technology Branch

Responsible for the functional direction and control of Information Management Planning, policy systems and administration, data and computer operations, applications development and maintenance, EDP Security and Information Holdings and Technology Planning.

♦ Operational Planning and Resource Analysis Division

Responsible for developing, implementing and coordinating the Correctional Service of Canada's corporate planning and accountability systems. This division is also responsible for coordinating the development of the Corporate Operational Plan, the Multi-Year Operational Plan, the Long Range Accommodation Plan and the Expenditure Plan. As a component of the accountability framework, Operational

Planning is also responsible for developing, implementing and maintaining an executive information system.

♦ Technical Services Branch

This branch is accountable for proposing and establishing program priorities, performance standards, budgetary levels, funding strategies and operational policies for the technical services function, which directly affects all staff and inmates of the Correctional Service of Canada and which covers the functions of facilities planning, construction and maintenance, property leasing fire safety, non-EDP electronics systems and devices development, installation, maintenance, material management, administration and food services.

Correctional Programs and Operations Sector

♦ Case Management and Community Corrections Division

Responsible for policy development, planning, operational monitoring and evaluation of the Case Management, Sentence Management, Community Facilities and International Transfers.

♦ Chaplaincy Division

Responsible for policy development, monitoring and evaluation of chaplaincy services.

♦ CORCAN Special Operating Agency

Responsible for the policy development, monitoring and evaluation of operations, corporate development, engineering, marketing and sales of products manufactured by offenders.

♦ Correctional Programs Division

Responsible for the policy development and planning of Education, Personal Development, Substance Abuse, Family Violence and Federal-Provincial Relations.

♦ Health Care Services Division

Responsible for developing policies, program objectives and standards for the provision of medical, psychiatric, psychological, dental, surgical and nursing care to offenders.

♦ Institutional Operations Division

Responsible for policy development, planning, operational monitoring and evaluation of the Unit Management, Correctional Operations and Internal Security.

♦ Planning and Information Division

Responsible for the coordination of planning and resource allocation, including financial administration and systems development, as they relate to the sector, as well as management information.

Executive Services Sector

This sector is responsible for daily liaison with the office of the Solicitor General; the preparation of executive correspondence for the Commissioner and Solicitor General; the development of policies and the final level of the inmate grievance system; responses to the Correctional Investigator and the Canadian Human Rights Commission; and administers the Privacy Act and the Access to Information Act.

◆ Access to Information and Privacy Division

Responsible for administering the Access to Information Act and Privacy Act for the CSC. It processes requests submitted under the legislation, handles complaints lodged with the Information and Privacy Commissioners and responds to informal enquiries. The Division also provides advice and guidance to CSC officials on matters which concern the legislation.

◆ Correspondence and Ministerial Liaison Division

Responsible for coordinating, preparing and vetting all matters relating to the preparation of executive correspondence to the Commissioner, Minister's Office, other Members of Parliament, Senators, and assemblies.

◆ Inmate Affairs Branch

Responsible for providing advice to senior management on matters pertaining to the treatment of inmates and overall Inmate affairs. This branch also represents the Correctional Service of Canada in its dealings with the Correctional Investigator and with the inmate Human Rights Commissioner complaints. The division is also involved in the coordination of the Independent Chairperson.

◆ Native and Female Offenders Division

Responsible for the policy development, monitoring and evaluation of programs for native and female offenders.

Legal Services Sector

This sector provides a variety of in-house legal services to the Commissioner and staff of the Correctional Service of Canada in connection with matters relating to the operation of the Service.

Personnel and Training Sector

The Personnel and Training Sector is responsible for the provision of services in the personnel and staff training and development areas that are common to all government departments. It comprises six divisions which have the following responsibilities:

◆ Corporate Classification and Staffing

Responsible for Corporate classification and staffing activities including national policies, reviews, monitoring, standards, non-delegated activities, national recruitment strategies, Management Cadre.

◆ Employee Relations

Responsible for the Employee relations activities including staff grievances, exclusions, adjudications, collective bargaining, Labour Management relations. Also, responsible for the national policy, coordination, advice, monitoring, reporting and training in the areas of Compensation and Occupational Safety and Health.

◆ Human Resources Programs

Responsible for National policy coordination, advice, monitoring, reporting, training and program development for the following programs: Employee Assistance program, Anti-Harassment and Anti-Discrimination, Awards and Honours, Employment Equity, Workforce Adjustment, Official languages, Human Resources Planning, including national systems development and maintenance.

◆ Management Services

Responsible for services internal to the Personnel and Training sector including operational planning, budget planning and control, administrative services, automated systems development and maintenance.

◆ Personnel Services, NHQ

Responsible for personnel services for NHQ including classification, staffing, official languages, pay and benefits, exclusions.

◆ Staff Training and Development

Responsible for the design, delivery, validation and evaluation of national training and development programs including the Correctional Career management program.

◆ Atlantic Region

Four major correctional institutions, three parole districts, and a Regional Headquarters, located in Moncton, New Brunswick, make up the main components of the Atlantic Region of the Correctional service of Canada.

Close to 1100 inmates are incarcerated in the Region's institutions, while over 1000 offenders are supervised through 12 area parole offices. In addition, the Service operates two community correctional centres, and contracts with a number of community residential facilities located throughout the Region.

The Atlantic Region employs close to 1100, and is administered by a Deputy Commissioner and an Assistant Deputy Commissioner.

◆ Ontario Region

The Ontario Region has eleven correctional institutions, three district offices and twenty-two community offices and a centre for correctional learning.

The administration and management of all Ontario institutions and parole districts are the responsibility of the Regional Deputy Commissioner, assisted by an Assistant Deputy Commissioner (operations), an Assistant Deputy Commissioner (special projects) and a Director, Communications and Executive Services.

♦ Pacific Region

The Pacific Region has eight correctional institutions, four parole districts, nine area parole offices and one community correctional centre. A staff college situated in Mission provides training for staff.

A Regional Deputy Commissioner, assisted by an Assistant Deputy Commissioner and a Director Executive Services are responsible for the administration and management of all Pacific facilities.

♦ Prairies Region

The Prairies Region encompasses Manitoba, Saskatchewan, Alberta, Northwest Ontario and Northwest Territories. The Region has five institutions, two farm institutions, one Regional Psychiatric Centre, two District offices, eleven community parole offices and two community correctional centres. Parole supervision in Alberta is managed through the Alberta Solicitor General. The Regional Staff College coordinates and delivers staff training in the Region.

A Regional Deputy Commissioner, an Assistant Deputy Commissioner and an Assistant Deputy Commissioner Aboriginal Issues and Special Projects are responsible for the administration and management of Prairies Region institutions and community supervision.

♦ Quebec Region

The Quebec Region has a Regional Headquarters, two District Offices, eleven correctional institutions, five Community Correctional Centres and fourteen Parole Offices. A College in Laval provides Staff Training.

A Regional Deputy Commissioner assisted by an Assistant Deputy Commissioner, a Director of Communications and Executive Services, a Director of Personnel, Administration and Financial Services, a Director in charge of CORCAN operations for Eastern Canada, and five regional administrators of Health Services, Technical and Information Services, Community and Institutional Operations, Correctional Programs, Operational and Financial Planning are responsible for the management and the administration of all the facilities in the Quebec Region.

Information Holdings

Program Records

Audit and Investigations Sector

Audits

Description: Information on the internal audit program within the Correctional Service of Canada including management of the audit function. **Topics:** Operational audit reports; annual audit reports; annual and long range internal audit plans; internal audit programs and questionnaires. **Program Record Number:** CSC IGS 125

Inquiries, Commissions, or Committees Addressing Correctional Issues

Description: Records on various correctional issues, originated by Boards of Inquiry, Commissions, or Committees. **Topics:** Canadian Committee on Corrections — Mr. Justice Ouimet; Commission of Inquiry into the Non-medical use of Drugs; Correctional Planning Committee; Doukhobor problems; Fauteux Committee; Joint Committee of the Senate and House of Commons 1965; Justice and Legal Committee of the Senate and House; Provost Commission on the administration of Justice; inquiry of Joseph E. Nuss into the presentation of live entertainment performances at Archambault Institution and other federal Institutions; Report of The Correctional Investigator on Allegations of Mistreatment of Inmates at Archambault; murders and assaults in the Ontario Region; Pepino Inquiry (Feb. 1988); A Follow Up To The Pepino Inquiry (May 1990); Creating Choices (Task Force on Federally Sentenced Women — April, 1990); Task Force on Aboriginal Peoples In Federal Corrections (1990-91); Report of the Panel Appointed to Review The Temporary Absence Program For Penitentiary Inmates (Pepino Inquiry III — March, 1992); Task Force on Violence In Federal Institutions (1992). **Program Record Number:** CSC IGS 140

Investigations

Description: Records on investigations convened by the Commissioner of Corrections, the Deputy Commissioners of the Regions, or the Heads of Operational Units concerning the administration of the Correctional Service of Canada. The lessons learned and best practices identified during these investigations contribute to achieving our mission. **Topics:** The report resulting from each investigation. This includes: the convening order with terms of reference; the facts pertaining to the situation being investigated, as detailed in a chronology; the issues developed during the investigation; the findings of the board members; and the recommendations made on the basis of these findings. **Program Record Number:** CSC IGS 135

Security Policy of the Government of Canada

Description: Information necessary to grant Reliability Status or Security Clearances to individuals who require access to designated or classified information and assets in our possession in order that they are safeguarded in an appropriate manner. Also information concerning the administration of the Security Policy as initiated by the Treasury Board Secretariat and applied to the Correctional Service of Canada. **Topics:** Consent to Disclose Personal Information; names, date of birth, place of birth, address, and sex of employees; criminal records, where applicable, background information provided by employees; results of investigations authorized as part of The Security Screening/Reliability Check process; records of the granting of Reliability Status and Security Clearances; security organization and administration standards; physical security standards; information technology security standards; and

Personnel screening standards. **Program Record Number:** CSC IGS 145

Communications and Corporate Development Sector

Operations

Description: Information on the operations of CSC in general. **Topics:** Youth Services crime prevention; relocation of federal female offenders. **Program Record Number:** CSC ERB 130

Research

Description: Research, including public opinion research, into medical, psychiatric, psychological and socio-behavioral matters, and into matters involving the operation and delivery of correctional programs and services generally, such as, but not limited to, food services, education, employment, social and cultural development and visiting programs. **Topics:** Research Advisory Council; medical; psychiatric; psychological; nursing, etc. **Program Record Number:** CSC ERB 145

Corporate Management Sector

Accommodation

Description: Information on all aspects of accommodation. **Topics:** Accommodation — offers of space, planning and requirements, office, staff colleges, staff housing, armouries, warehouses; contingency planning; recreation and training areas — baseball diamonds, handball and tennis courts, outdoor hockey arenas, shooting ranges, swimming pools; reports and statistics — cell accommodation reports, monthly project reports, departmental accommodation utilization reports, accommodation status reports. **Program Record Number:** CSC AIS 050

Buildings

Description: Information on building administration and upkeep. **Topics:** Buildings — floor directives, bulletin boards, elevator services, use of facilities; alterations and repairs — requisition for services, Public Works Canada; handicap access; damages; maintenance — hygiene and sanitation, painting program, spring and fall clean-up, preventive maintenance, roofs; maintenance standards. **Program Record Number:** CSC AIS 055

Buildings — Fire Safety

Description: Information on all aspects of fire safety. **Topics:** Fire safety awards program and fire prevention week; fire investigation reports; fire protection agreements; fire protection engineering standards; fire tests; automatic sprinklers alarm system; fire loss analysis; Regional Fire Commissioners' inspection reports; Correctional Service of Canada fire inspection reports. **Program Record Number:** CSC AIS 060

Electronics and Telecommunications — General

Description: Information on the provision of electronics and telecommunications services. **Topics:**

Communications — Government Telecommunications Agency (GTA), call code cards, intercom and public address, automated office communications; radio and television — control radio, inmate radio and television (departmental and personal), two-way radio; telephone — directories, emergency directories, installations and relocations, commercial and departmental telephone system, long distance, intercity direct lines, conference telephone; teletype and Telex — facsimile, telegraphs. **Program Record Number:** CSC AIS 030

Electronics and Telecommunications — Security

Description: Information on the acquisition and installation of security electronics equipment. **Topics:** Integrated Communication and Control System — sub-systems, acceptance test procedures, standard operation procedures (SOP), main communication and control posts (MCCPs); institutional detection and alarm call system — closed-circuit television (CCTV) switching logic, fire-smoke alarm, nurse call system, intrusion detection, STELLER system; electric-field fencing; Digital Automatic Video Intrusion Detection (DAVID), personal portable alarm, panic alarm, inmate cell call; technical surveillance — counter-technical intrusion inspection. **Program Record Number:** CSC AIS 035

Engineering, Architecture and Properties

Description: Information on buildings and properties, accounting and inventories, real property, including acquisition and disposal, and the environmental protection program. **Topics:** Buildings and properties — signage program, heritage buildings and properties, photographs; accounting and inventories — Central Real Property Inventory, site plans; acquisition of real property — leases, expropriation, mobile trailers, proposed new sites; disposal of real property — notification of excess Crown property, report of surplus lands and buildings; environmental protection program — air pollution, water pollution, noise control, environmental assessment and review process, clean-up program. **Program Record Number:** CSC AIS 045

Equipment

Description: Information on the acquisition of equipment. **Topics:** Equipment on loan; photographic equipment; farm equipment and machinery; kitchen equipment; laundry equipment; physical education and recreation; audio-visual; fire safety; electronic equipment inventory; micrographic equipment products data; health care equipment; drugs and medical devices; inventory of fire fighting equipment; boiler equipment; data processing equipment — computers, computer terminals; maintenance and repairs — office appliances, electronics equipment, electronics maintenance test equipment, preventive maintenance; films, nursing equipment; office appliances — calculating machines, tape recorders, typewriters, photocopying equipment, word processors. **Program Record Number:** CSC MAB 100

Facilities Planning

Description: Information on the planning of facilities.

Topics: Public awareness program; five-year construction program; accommodation standards; accommodation program 1980-90; chapel; community release centres; female accommodation; health care centres; maximum, medium and minimum security; psychiatric centres; reception centres; special handling units; workshops; construction systems and materials — materials, plumbing, heating, ventilation, water supply, sewage and garbage disposal system and equipment, kitchen planning and equipment, cell design and furnishing; security and control systems — electric locking, manual locking and communication systems, security windows, screens, grills and barriers, perimeter security systems. **Program Record Number:** CSC AIS 040

Food Services

Description: Information on the supply of foodstuffs and the administration of the Food Services Program. **Topics:** Foods — canned goods, fruits and vegetables, meat, fish and poultry, dairy products (includes milk, butter, cheese and eggs); Food Services Program — ration scale, menu sheets, messing, Christmas bags, religious diets, Food Service Manual, Diet Manual, ration control system, food testing, recipes, approved product list. **Program Record Number:** CSC AIS 090

Health Care Equipment

Description: Information on the acquisition of health care equipment. **Topics:** Dental, optical, physiotherapy, first aid kits; X-ray facilities and equipment; psychiatric and health care centres — beds (other than cell, dormitory, and household), X-ray, operating room; drugs and medical devices — narcotics and controlled drugs, inspections by the Department of National Health and Welfare; drug formularies; drug utilization reviews; monitored drug distribution systems; patient compliance and counselling; pharmaceutical services. **Program Record Number:** CSC MAB 105

Heating

Description: Information on the provision of heating utilities. **Topics:** Heating fuels — coal, diesel oil, fuel oil; natural gas; propane gas; wood; heating plants — boiler and furnace, monthly performance statements, boiler and pressure vessel inspection, boiler feed water treatment, boiler tune-up retrofit program, buried piping and tunnels, heating plant equipment; heating ventilation and air conditioning — heat distribution system, monitoring and control systems, ventilation, building environment control. **Program Record Number:** CSC AIS 075

Information Management Projects

Description: Information on information management projects, security, computer hardware, software and communications. **Topics:** Project correspondence, minutes, reports, budgets and expenditures, contracts, system documentation, computer hardware, software and

communications, EDP security. **Program Record Number:** CSC IMS 010

Institutional Services

Description: Information on the procurement services required by institutions. **Topics:** Clothing — officer clothing, inmate clothing, report on stock of cloth for officers' uniforms; furniture and furnishings — kitchen smallware; cell and dormitory — beds and bedding, lighting fixtures, lockers, chairs, sofas; household — beds and bedding, chesterfields, chairs, sofas; office — filing cabinets and security shells, desks, tables and chairs, drapes and venetian blinds, wall furnishings (pictures, plaques). **Program Record Number:** CSC MAB 110

Lands

Description: Information on the administration of lands. **Topics:** Lands — burial grounds and cemeteries, quarries, trespassing; concessions — easement, rights-of-way, cattle grazing privileges, lease-backs; letting of farmland; development — site grading and levelling, farm land, reforestation; fencing, walls and towers; flood control; parking areas; roads, streets, sidewalks — snow removal. **Program Record Number:** CSC AIS 065

Materiel Management

Description: Information on overall materiel management. **Topics:** Equipment and supplies — agreements and guarantees; accounting and inventories — boards of survey, overages and shortages, stock-taking; electronic equipment inventory; catalogues, manuals, price lists — office equipment and supplies, tool and equipment manual; Technical Services Manual; disposal and surplus — condemnation, transfer of material to other government departments, write-offs; drawings and specifications — buildings; industrial drawings; Canadian General Standards Board. **Program Record Number:** CSC MAB 095

Procurement

Description: Regulations, instructions and procedures relating to procurement. **Topics:** Procurement — purchasing and requisitioning procedures and methods, Supply and Services Canada customer manual, scale of issue — drugs and medical devices, medical supplies; tender lists; contracts; local purchase orders; requisitions; standing offer agreements. **Program Record Number:** CSC MAB 115

Recorded Information

Description: Records on the development and implementation by Records Management of a plan to organize the Department's recorded information as well as the related procedures, work instruments, and systems; and records on the development and approval of retention and disposal schedules for the Department's recorded information. **Topics:** Records Classification and Scheduling Plan for administrative records, dealing with distribution, improvement writing group, administration generally, buildings and properties, equipment and supplies, finance, personnel, operations in general,

programs for offenders, inmate education, training and employment, security, health care and medical services; Offender Records System — Working Group; personnel records system; records procedures; Parker Plan; retention and disposal schedules; accessions and disposal authorizations — historical records, statistics; personnel records; offender records — pardons.

Program Record Number: CSC MAB 122

Supplies

Description: Information on the purchase of supplies.

Topics: Badges, emblems, crests, flags; training aids; supplies; returnable containers; building materials — hardware and paint, lumber and plywood, electrical, plumbing and pipefitting; cleaning and personal hygiene supplies — institutional cleaning supplies, inmate hygiene supplies; forms; requisitions; heating and cooking fuels — coal, furnace or stove oil, gas; motor fuels — gasoline, diesel fuel, oil and lubricants, antifreeze; shop supplies — cloth textiles, leather and bindings, hardware and paints, lumber and plywood, metals, welding supplies and chemicals; stationery. **Program Record Number:** CSC MAB 120

Technical Services

Description: Information on the management of technical services, including major construction projects and the provision of electronic and telecommunication services.

Topics: Construction — codes and regulations; contracts — projects, individual contracts, design change requests; institutional performance specifications — educational facilities; programs — Accelerated Construction Program, capital projects authorization and implementation system. **Program Record Number:** CSC AIS 025

Utilities

Description: Information on the provision of utilities.

Topics: Utilities — refrigeration; conservation of energy — reports, solar energy, wood and waste products (biomass); electric power — electrical distribution system, emergency stand-by generators, flood-lighting; garbage disposal — garbage dumps, incinerators; water and sewage — filtration and water treatment plant, plumbing systems, water mains, sewers and drains; water towers and reservoirs; wells; bacteriological examination of water and milk. **Program Record Number:** CSC AIS 070

Vehicle Parking

Description: Information on vehicle parking. **Topics:** Regulations; application; permits. **Program Record Number:** CSC AIS 085

Vehicles

Description: Information on the management and provision of government-owned vehicles. **Topics:** Fleet management information system; credit card system; licence registration and insurance; maintenance and repairs; operating standards; recall notices; technical bulletins; Propane Conversion Program; vehicle

entitlement; procurement; use of government vehicles; leasing. **Program Record Number:** CSC AIS 080

Correctional Programs and Operations Sector

Academic Training

Description: Information on schools, university training, extramural courses. **Topics:** Correspondence courses; special education; university degree program; computer-assisted learning; native studies. **Program Record Number:** CSC OPB 170

Agriculture

Description: Information on the operation of CSC institutional farms, the management of animals, cannery operations and storage of fruits and vegetables, slaughter of cattle and the storage and distribution of meat. **Topics:** Agribusiness — enterprise operations — dairy, poultry, beef, pork, grain and forage, field vegetables, greenhouses, trout, abattoirs, processing, storage, transportation; inspection — dairy, eggs, meat and abattoir, horticulture, trout; reports. **Program Record Number:** CSC OPB 155

Benefits, Incentives and Pay Administration Plan

Description: Information on inmate pay, work incentives and the pay administration plan. **Topics:** Incentives; Inmate Employment and Pay Administration Plan — operations, classification and compensation, overtime, Inmate Employment Board reports, Inmate Employment and Pay Administration Manual, monitoring, regional budgets, position placement guidelines, conference calls minutes and agendas, forfeiture of pay for damages; classification and compensation; pay plan system — employment and pay information system, attendance, inmate pay procedures, education and training, pay plan, automated document processing pay plan, inmates on construction projects, hourly pay planning framework, newsletter/staff bulletin, zero pay, enhancement to inmate pay reports; Special Handling Units; post-release — bonding, employment services for ex-offenders, employers of ex-offenders. **Program Record Number:** CSC OPB 220

Case Management

Description: Information on the development of a program plan for the offender. **Topics:** Pre-sentence and post-sentence report; classification of inmates — classification questionnaire, cascading of inmates, individual program planning. **Program Record Number:** CSC COB 230

Chaplaincy

Description: Information on programs designed for the spiritual well-being of inmates. **Topics:** Interfaith Committee on Chaplaincy; special diets; chaplaincy — Protestant, Roman Catholic, relations with diverse faith groups, retreats, Chaplain's Manual. **Program Record Number:** CSC OPB 265

Citizens' Advisory Committee

Description: Information on policy formulation, planning, minutes and recommendations of the Citizens' Advisory Committee. **Topics:** Draft CD 216; memberships and appointments; national conference of Citizens' Advisory Committees (CACs) — constitution; minutes, recommendations; progress reports; National Executive Committee of CACs — minutes; newsletters; orientation program for CAC, pamphlet. **Program Record Number:** CSC OPB 285

Community Relations and Special Projects

Description: Information on working relationships with private sector agencies such as the John Howard and Elizabeth Fry societies for services such as parole supervision and residential services. **Topics:** Associations — aftercare services contracts; grants to aftercare agencies. **Program Record Number:** CSC OPB 280

Community Residential Centres

Description: Information on the operational aspects of community correctional centres and community residential centres for parole supervision; includes provincial liaison. **Topics:** Guidelines for community residential centres (CRCs); directory; report on CRC usage; national standards for CRC Task Force; use of Parole Board seal; annual evaluation of CRC and agencies; community assessment and parole supervision — provincial. **Program Record Number:** CSC OPB 290

Contingency Emergency Plans

Description: Information on action to be taken in the event of any emergency causing a disruption of the institutional routine and operational activity. **Topics:** Civilian assistance; military assistance; RCMP assistance; emergency response teams; riots and major disturbances; bomb threats; procedures during labour disputes; Operations Centre, National Headquarters; crisis management development. **Program Record Number:** CSC COB 355

Contraband and Dangerous Substances

Description: Information on the entry into or discovery of unauthorized material in institutions, and the control of dangerous substances within the institution. **Topics:** Dangerous substances; drugs; searches (inmates, staff, visitors); body cavity searches; butane lighters; gerbil drug detection; reports and bulletins from other agencies; statistics; tool control; weapons; shaving razors. **Program Record Number:** CSC COB 360

Dental Services

Description: Information on dental laboratories and dental care provided to offenders. **Topics:** Dental service, dental laboratories. **Program Record Number:** CSC HCB 330

Discipline, Punishment, Segregation

Description: Information on segregation, dissociation and disciplinary measures to be taken in order to maintain

institutional routine. **Topics:** Bulletins and publications — foreign jurisdictions; corporal punishment; dissociation; study groups; recording and reporting system; sensory deprivation; use of force; segregation; segregation — monthly administrative review; independent chairpersons — workshops, appointments, resignations, fees; offence and punishment summary reports. **Program Record Number:** CSC COB 365

Education and Training

Description: General information on programs designed to improve the inmates' occupational skills. **Topics:** Academic and vocational training contracts; teachers; educational testing — academic and vocational; development plan; evaluation; calendar of studies; privatization. **Program Record Number:** CSC OPB 165

Emergency Planning

Description: Information on policies and plans for the continuation of the Department's operations in the event of an emergency. **Topics:** Accommodation; national shelter program; nuclear survival; snow emergencies; training; Exercise Wintex; Exercise Bold Step 82; nominated officials; circulars, publications, reports. **Program Record Number:** CSC COB 370

Female Offenders

Description: Information on special programs to meet the needs of the female offender. **Topics:** Relocation of female offenders; provincial co-operation — administration of Prison for Women, Elizabeth Fry societies, federal female inmate relocation project-advisory group, federal-provincial Committee on the Female Offender, National Advisory Committee on the Female Offender, National Planning Committee on the Female Offender, female accommodation, programs for female offenders; women in conflict with the law. **Program Record Number:** CSC OPB 310

Health and Hygiene

Description: Information on general matters pertaining to the cleanliness and physical well-being of inmates. **Topics:** Accident reports — inmate; barbering; bathing; change room; laundry; occupational therapy; hygiene and sanitation. **Program Record Number:** CSC HCB 325

Hostage Taking

Description: Information on the management of hostage-taking situations. **Topics:** Guidelines for the behaviour of hostages; services to families of hostages; statistics. **Program Record Number:** CSC COB 385

Industries

Description: General information on industrial operations. **Topics:** Automation; Industries Operation Manual; privatization; proposals; warehouses. **Program Record Number:** CSC OPB 190

Information and Intelligence

Description: Information on activities considered to have intelligence significance in the maintenance of good order within the institutions; also intelligence information of benefit to law enforcement and correctional agencies.

Topics: Inmate witnesses from foreign jurisdictions; interrogations; organized crime; organized crime — Quebec; profiles — inmate; staff labour problems; special cases; terrorism; threats and demonstrations; fictitious records; bulletins, publications — bulletins and reports from law enforcement agencies, inmate newsletters, radical books and publications, security bulletins, SINTREP — daily and weekly, summaries, synopses, evaluations. **Program Record Number:** CSC COB 410

Inmate Employment

Description: Information on general matters pertaining to the employment of inmates both within the institution and externally while they are serving a sentence. **Topics:** Work assignment boards — grading; internal employment; external employment; employment proposals; master job inventory; work sector guidelines/work standards; inmate requirement per program; monthly reports on employment of inmates; inmate labour on construction projects; performance measurement indicators; Inmate Employment Branch Manual; employment strategy; Special Handling Unit. **Program Record Number:** CSC OPB 150

Inmate Population Management

Description: Information on procedures for managing the inmate population. **Topics:** Escorting of inmates; transportation of inmates by air; protective custody units; special handling units; weekly reports; dangerous offenders — most dangerous, weekly report, murderers, sex offenders. **Program Record Number:** CSC COB 390

Institutional Library Service

Description: Information on the administration of institutional library services. **Program Record Number:** CSC OPB 180

Living Unit

Description: Information on regulations and implementation of the living unit program. **Topics:** Therapeutic community; living unit and human relations — “The Owl Grid/la Grille Hibou”, living unit class proposal. **Program Record Number:** CSC COB 235

Marketing

Description: Information on the development of markets and products. **Topics:** Marketing; market development — cataloguing of products, development and distribution of literature, after-sales service, non-customer complaints, product promotion — showroom, product coding, promotional aids; product development — product costing, price change requests, drawings and specifications, packaging, safety, identification; Correctional Service of Canada products; furnishings; maintenance equipment; modular housing; Post Office

equipment; recreation and sports equipment; services; specialized equipment; storage and packaging of products; aids for the handicapped; container — waste. **Program Record Number:** CSC OPB 195

Medical Services

Description: Information on the medical care of inmates. **Topics:** Medical insurance for parolees and ex-inmates; medical statements; Medical Services Manual; Nursing Manual; nursing; Canadian Hospital Directory; immunization of inmates; drug abuse testing; transsexuals; reception; chest X-rays; contagious and communicable diseases — acquired immune deficiency syndrome (AIDS); hunger strikes; privatization. **Program Record Number:** CSC HCB 335

Native Offenders

Description: Information on special programs to meet the needs of the native offender. **Topics:** Associations; native offenders — Inuit, placement in camp locations, Burwash Native People's Project, alcohol and drug abuse by natives, Native Crime and Justice Commission; native counselling service — Ontario, Alberta; Native Courtworker Services of Saskatchewan; spirituality; Native Brotherhood. **Program Record Number:** CSC OPB 315

Operational Security

Description: Information on general operational security of the institutions. **Topics:** Inmate count; patrol dogs; Dress and Deportment Manual; institutional security requirements for construction projects; supervision of inmate leisure time activities; Security Manual; flying over institutions; preservation of evidence at the scene of a crime; shift briefing; duty rosters; post analysis; team concept; security post in health care centres; surveillance of inmates in community hospitals; hand-over of security posts; inmate movement control. **Program Record Number:** CSC COB 350

Operations and Quality Control

Description: Information on quality control, quantitative and qualitative performance in district offices and application of policy and procedures in the region. **Topics:** Quality control and procedure review — audits, board complaints, concerns and comments, inmate enquiries and issues on case management, community enquiries and issues on case management, warrant control records; quality control checklists; NPS Procedures Manual — proposed amendments, Case Management Manual. **Program Record Number:** CSC COB 255

Policy and Procedures

Description: Information on the development of general policies and procedures. **Topics:** Co-operation and liaison with the provinces — deportation; Case Management Manual. **Program Record Number:** CSC COB 240

Policy and Procedures — Community Release

Description: Information on the development of policies and procedures in preparation for community release.

Topics: Community Correctional Centre directors' conference; Inmate Manual; community banking; parolee assistance loan fund; deportation; parole — parole offices as releasing institutions, medical insurance for parolees and ex-inmates; programs — Case Management Manual, Chapter 17. **Program Record Number:** CSC COB 245

Preventive Security

Description: Information on preventive security. **Topics:** Security in the Public Service — Cabinet Document 35; building security — access control, fire and emergency evacuation, locks, locking devices and issuing of keys; protection services — Corps of Commissioners; identification — ID cameras, inmates, parolees, staff, damage, loss and recovery, fingerprinting, inmate name tags. **Program Record Number:** CSC COB 400

Production Program

Description: Information on manufacturing, inventory control, quality control and quality assurance of products manufactured by CSC. **Topics:** Production control — inventory of manufactured goods, advance orders, purchase of materials, order forms, manufacturing authorities, notice of shipment; manufacturing program; inspections — inspection plans, in-process inspections, final inspection, customer complaints; guarantees and warranties. **Program Record Number:** CSC OPB 205

Programs

Description: Information on parole supervision programs. **Topics:** Private homes; services to families of offenders; Square One. **Program Record Number:** CSC OPB 295

Provincial Liaison and Accreditation

Description: Information on liaison with provincial governments on accreditation and issue of trades certificates, work placement. **Program Record Number:** CSC OPB 175

Psychiatric Services

Description: Information on psychiatric care of inmates. **Topics:** Certification procedures for admission; sex offender treatment. **Program Record Number:** CSC HCB 340

Psychological Services

Description: Information on psychological programs testing and treatment of inmates. **Topics:** Drug addiction; psychological testing; mentally and behaviourally disordered inmates; dance therapy. **Program Record Number:** CSC HCB 341

Release

Description: Information on case preparation for release and case supervision after release. **Topics:** Absences — temporary, festive season, escorted, unescorted; discharge; parole — release of inmates, community

release, temporary detainees, post-release programs — temporary absence, day parole, parole, mandatory supervision, signing authority for warrants, Case Supervision Procedures Manual; pre-release programs — intensive pre-release project, temporary absence, day parole, parole, mandatory supervision, Case Preparation Procedures Manual. **Program Record Number:** CSC COB 250

Reports and Statistics

Description: Administrative reports and statistics on industrial operations. **Topics:** Inspection reports — quarterly; progress reports on industrial orders; progress reports on clothing and footwear; reports of industrial capacity; sales reports; program situation report; monthly activity report; late delivery reports; work shortage reports. **Program Record Number:** CSC OPB 210

Sales

Description: Information on the sale of products made by inmates. **Topics:** Marketing sales; product samples; authorized individuals; federal departments and agencies; municipal governments; non-profit organizations; provincial departments and agencies; religious organizations; schools. **Program Record Number:** CSC OPB 200

Security

Description: General information on the security of institutions. **Topics:** Correctional officer field notebook; key control; monthly security briefing; penitentiary officer's handbook; Security Branch issues book; Security Manual; tool control; classification of CSC institutions. **Program Record Number:** CSC COB 345

Security Equipment

Description: Information on the selection and use of security equipment. **Topics:** Restraint equipment; scale of issue; regional and institutional emergency response teams; theft or loss of security equipment; Security Equipment Manual; contraband screening — X-ray, metal detectors, narcotics identification kits; concealed person detectors; integrated communication and control system — subsystem, acceptance test procedures, standard operation procedures; main communication and control posts; institutional detection, alarm and call systems — closed-circuit television (CCTV) switching logic, fire/smoke alarm, nurse call system, intrusion detection, STELLER system, electric field fencing, Digital Automatic Video Intrusion Detection (DAVID), personal portable alarm, panic alarm, inmate cell call; technical surveillance — counter technical intrusion inspection; weapons — registration of firearms, ammunition, rifles and revolvers, riot tear gas, shields. **Program Record Number:** CSC COB 375

Sentence Administration

Description: Documentation of inmates on admission and release, computation of sentences and remission. **Topics:** Admissions — admission of foreign nationals;

Sentence Administration Manual; documentation of inmates received from provincial prisons; scar notification; interpretation of sentence; auditing of inmate files; numbering of inmates; fingerprinting and photographing of inmates; expiration of sentence; earned remission; statutory remission; discharge; death — suicides; recidivism; pre-sentence report; post-sentence report.

Program Record Number: CSC COB 260

Shops

Description: Information on the organization, administration and layout of industrial shops. **Topics:** Shops — wood, paint, metal, textile, automotive, print.

Program Record Number: CSC OPB 215

Social and Community Programs

Description: Information on special programs to meet the needs of specific groups of offenders and on the administration of financial programs. **Topics:** Finance — community banking, monetary assistance to inmates and dependents, parolee assistance loan fund, trust fund, welfare fund, travelling and living expense allowance, allowances to offenders; recreation deductions; hospital and medical services insurance plan; benefits entitlement; programs — long-term offenders, regional reception centre, diversion, contact, husband and wife offenders, senior citizen offenders, sexual offender program.

Program Record Number: CSC OPB 275

Social and Cultural Development

Description: Information on programs designed to enhance the inmate's social, cultural and physical development. **Topics:** Associations; entertainment — concerts and shows put on by inmates, hobby craft, movies and television, sports activities put on by visiting athletes, concerts put on by visiting groups; physical education and recreation — physical fitness testing, standards, minimum security institutions, medium and maximum security institutions, inter-institutional sports day, exceptional people's olympiad; social development programs — transcendental meditation, resource exchange project, life skills, long-term offender project (SSEAP); social and cultural development — draft CD.

Program Record Number: CSC OPB 320

Special Projects

Description: Information on special projects for the development of programs. **Topics:** Brantford community team; Correctional Employment Related Services (CER); outreach project; Converse; symposium of community resources; coordinated computerized Community Residential Centre (CRC) programs; program evaluation; Citizen Action Group (Hamilton) employment project; Volunteer inmates; Exdelta Inc.; Family Visiting Programs; HELP Program — Frontier College; life skill project — Prairies; inmate employment service — Prince Albert film; parolees — supervision cases — John Howard Society of Metropolitan Toronto. **Program Record Number:** CSC OPB 300

Surveys and Reviews

Description: Information on inadequacies in security operations. **Topics:** Security functional review. **Program Record Number:** CSC COB 380

Transfers

Description: Information on the actual movement of inmates or groups of inmates. **Topics:** Insane inmates; transfer to community correctional centres (CCCs) and community residential centres (CRCs); transfer between regions; transfer to and from provinces; international transfer to and from Canada; international transfers of provincial inmates; institutional monthly flow charts; monthly report on inmate population movement.

Program Record Number: CSC COB 395

Visits and Correspondence

Description: Information on inmate visitors, the censorship and monitoring of inmate correspondence, reading material, telephone communication. **Topics:** Censorship; conjugal visits; correspondence and gifts; telephone communication; visiting; screening of visitors.

Program Record Number: CSC COB 425

Vocational Training

Description: Information on commercial training, manufacturing trades, small business courses. **Topics:** Commercial courses; apprenticeship and training; pre-employment training; horticultural training; computer technology and data processing; cooking and hospitality occupations; micrographic; word processing; correspondence courses. **Program Record Number:** CSC OPB 185

Volunteers

Description: Information on the general public and inmates as volunteers. **Topics:** Awareness program; Hamilton volunteer project; insurance; policy development; recognition of volunteers as sponsors in temporary absence and pre-release; Family Visiting Project — accommodation, female offenders, international programs, review board, statistics, steering committee and consultation group, comments from outside organizations; handbook for volunteers, volunteer conference, federal/provincial seminar on volunteers in corrections, Ontario Ministry of Correctional Services volunteer programs. **Program Record Number:** CSC OPB 305

Work Opportunities

Description: Information on the administration of work opportunities for inmates. **Topics:** Inmate labour on construction projects; joint ventures — projects involving private sector; forestry — tree nursery reforestation; Employment and Immigration Canada economic growth component; firms operated by inmates — maintenance, food services, laundry, stores. **Program Record Number:** CSC OPB 225

◆ Correctional Programs Division

Incidents

Description: Information on incidents in institutions. **Topics:** Assaults; contraband; death; fires; major incidents; statistics — summaries, double-bunking related incidents; escapes and recaptures — escapes from escort, plans apprehended, Operation Earthworm, reports, statistics, studies; Operation Focus; Operation Garrot; Operation Depart; Injuries — accidental, attempted murder, attempted suicide, self-inflicted; use of force — gas, physical, weapons. **Program Record Number:** CSC COB 405

Executive Services Sector

Access to Information and Privacy

Description: Records on the implementation of the regulations governing access to the Department's recorded information on the following: policies, procedures, interpretations and regulations relating to the privacy of and access to the Department's recorded personal and administrative information. **Topics:** Personal Information Index; Access Register; statistics; decentralization; recentralization; reading rooms administration; Privacy Commissioner's enquiries — complaints; preparation for compliance; procedures; collection of information; delegation of authority; disclosure — under paragraph 8(2)(m) of the Privacy Act, exemptions; time limits; language; reading rooms; investigative bodies; review of the Access to Information Act and the Privacy Act. **Program Record Number:** CSC MAB 121

Books and Publications

Description: Information on the management of books and publications, including their printing, binding, editing, compilation, sale and distribution. **Topics:** Books and publications — printing and binding, editing, sale and distribution, requests for publications; individual — compilation, printing, distribution; Let's Talk, prison newspapers, Public Affairs Courier, Social Developer, Monday Morning Highlights, Inside/Outside, Telecom, Intercom. **Program Record Number:** CSC CML 015

Correspondence Management

Description: Information on policy and procedures in the handling of correspondence. **Topics:** Correspondence management — channels of communication, preparation of Treasury Board submissions and ministerial correspondence; signing authorities other than financial; processing of Commissioner's and Senior Deputy Commissioner's mail; inmate and anonymous correspondence; parliamentary matters — questions asked in the House of Commons, Minister's house book, ministerial enquiries, employment enquiries, liaison with Minister, Cabinet submissions, Minister's issues book and Personnel Branch input. **Program Record Number:** CSC CML 005

Enquiries

Description: Enquiries made by members of the public, press or Parliament on the Correctional Service of Canada. **Topics:** Requests to interview officials or offenders; correspondence received on persons thought to be offenders or awaiting transfer to an institution. **Note:** Access requests for information on persons awaiting transfer to an institution should be made under the Privacy Act. All other access requests for this bank should be made under the Access to Information Act. **Program Record Number:** CSC CML 020

Information Services

Description: Information on the administration of public affairs and relations. **Topics:** Address, mailing and distribution lists; biographies — media relations, press clippings, press releases, photographs, radio and television broadcasts, slides and films, corpus, administrative index, list of coming events; advertising — newspapers, magazines, periodicals, radio and television; articles, bulletins, guides, newsletters and manuscripts for publication; COMREP, exhibitions and fairs — displays and models; lectures and lecturers — Solicitor General, CSC personnel, officials of other government departments and agencies, Speakers Bureau. **Program Record Number:** CSC CML 010

Inmate Affairs

Description: Information on the management of inmate affairs. **Topics:** Welfare — counselling; inmate organizations; legal affairs — legal aid; ombudsman; inmate rights and responsibilities; administrative boards; United Nations general principles for persons in prisons; inmate affairs — inmate participation in management; inmate committees; grievances — individual grievances, group grievances, reports, Grievance Manual, Inmate Manual. **Program Record Number:** CSC OPB 270

Personal Information Banks

◆ Access to Information and Privacy Division

Access and Privacy Requests

Description: This bank is located at National Headquarters. It contains the information request applications under the Privacy Act and Access to Information Act for records held by the Correctional Service of Canada (CSC), and the replies to such requests and information related to the processing of such requests. The bank holds requests for consultations from other Government Institutions. The bank also holds Requests for Corrections, replies to requests for Corrections and related documents, information on complaints and related information. It is emphasized that when requesting access to this bank, in addition to the other data indicated on the standard Personal Information Request form or on the Access to Information form, individuals must give their full name and DOB; with regard to Privacy requests, offenders and ex-offenders will also give their FPS numbers. **Class of Individuals:** Individuals who, pursuant to the Privacy Act or Access to Information

Act, seek to access information held by the CSC.

Purpose: To provide background documentation to assist in the resolution of complaints under the Privacy Act and Access to Information Act; also, for research, statistical and evaluation purposes. **Consistent Uses:** The bank is used for processing access requests and to report on the number of Privacy and Access requests annually. No data matching occurs. The information can also be used for research, statistical and evaluation purposes. **Retention and Disposal Standards:** Records are held for two years following the last use for administrative purposes; they are then destroyed. **Related to PR#:** CSC MAB 121 **TBS Registration:** 003907 **Bank Number:** CSC PPU 130

Admission and Discharge

Description: This bank contains admission and discharge records and data on the personal effects of incoming and outgoing offenders. It also encompasses any operational information in this area that may be required by the CSC to carry out its mandate under the relevant statutes.

Class of Individuals: Individuals who are or have been incarcerated in a federal institution. **Purpose:** To compile an inventory listing of an offender's cell and stored effects, monies and securities, valuables and other important documents. **Consistent Uses:** This bank assists in the processing of claims against the Crown and in accessing the personal effects of inmates. Data matching occurs with law enforcement agencies and provincial authorities. Details on data matching are found under the heading of "Additional Information". **Retention and Disposal Standards:** Records are retained until the offender attains 70 years of age or five years after the last warrant expiry date, whichever is longest. Historical records are transferred to the National Archives of Canada. **PAC Number:** 71-023 **Related to PR#:** CSC COB 230 **TBS Registration:** 001075 **Bank Number:** CSC PPU 025

Case Management — Community Bank

Description: Since 1991, as a result of the shift of case preparation from the community to the institutions, the CSC gradually introduced a major change to its case management records system. The existing three-bank case management records system, CSC PPU 035 Case Management — Institution "A", CSC PPU 040 Case Management — Institution "B", CSC PPU 030 Case Management — Community was phased out; as a result of the conversion the CSC has one, single ACTIVE case management bank called "CSC PPU 042 Case Management Bank". (a) ALL the records filed before the conversion on the CSC PPU 030 Case Management Community Bank remain active and are transferred to the new "CSC PPU 042 Case Management Bank", (b) the name CSC PPU 030 Case Management — Community Bank disappears; (c) ALL case management records, be they generated from then on in the institution or in the community, are filed on the one, single case management bank CSC PPU 042 Case Management Bank. Therefore, all the CSC PPU 030 Case Management — Community records that were held on the former CSC PPU 030 Case Management — Community Bank are now all on the CSC

PPU 042 Case Management Bank. In order to access a CSC PPU 030 Case Management Community Bank the requester merely has to request the CSC PPU 042 Case Management Bank and he will thus get all his former CSC PPU 030 Case Management — Community records. Status of CSC PPU 030 Case Management Community Bank for offenders who were under CSC jurisdiction BEFORE the conversion of the case management records system and who DID NOT return under CSC jurisdiction: The CSC PPU 030 Case Management Community Bank remains inactive. This bank remains accessible under the Privacy Act. Status of CSC PPU 030 Case Management Community Bank for offenders who were under CSC jurisdiction BEFORE the conversion but who came back under CSC jurisdiction AFTER the conversion of the case management records system: The CSC PPU 030 Case Management Community Bank is: (a) retrieved from Archives, (b) the name CSC PPU 030 Case Management Community is deleted, (c) the content of this former CSC PPU 030 Case Management — Community Bank is reactivated and the file is renamed CSC PPU 042 Case Management Bank. From then on, the CSC continues filing ALL case management records on the offender on this one, single, CSC PPU 042 Case Management Bank, be they generated in the community or in the institution." This bank contains records and data on an offender's release programs as well as background information on his/her incarceration. It may contain information provided under expressed or implied confidentiality related to the offender's conduct, such as routine police reports, community investigations and information of a general investigative nature. This bank also encompasses any operational information in this area that may be required by the CSC to carry out its mandate under the relevant statutes. **Class of Individuals:** Individuals who are or have been incarcerated in a federal institution; or individuals who belong(ed) to a provincial jurisdiction but whose case preparation and supervision are (were) effected by the Correctional Service of Canada (Example: provincial offenders serving time in Nova Scotia). **Purpose:** To provide documentation to assist in the decision-making process for parole. **Consistent Uses:** This bank is used in the preparation of penitentiary placement and release. Information may be shared with victims or potential victims of offenders, private after-care agencies, government institutions at all levels including foreign governments for the administration of matters such as transfers, employment, education, corrections, health care and social services; for the purposes of applying the Immigration Act; and for monitoring and/or investigating recipients of social benefits such as educational, social welfare and unemployment insurance benefits allocated by municipal, provincial or federal departments; the information may also be shared with accredited domestic and foreign law-enforcement and investigative agencies in the administration or enforcement of the law and in the detection, prevention or suppression of crime generally. Data matching occurs with law enforcement agencies and provincial authorities. Details on data matching are found under the heading of "Additional Information". **Retention**

and Disposal Standards: Records are retained until the offender reaches 70 years of age or until five years after the last warrant expiry date, whichever is longest. Historical records are transferred to the National Archives of Canada. **PAC Number:** 71-023 **Related to PR#:** CSC COB 230 **TBS Registration:** 001076 **Bank Number:** CSC PPU 030

Case Management — Institution “A” Bank

Description: Status of CSC PPU 035 Case Management — Institution “A” Bank: Only those individuals who served time under the jurisdiction of the CSC BEFORE the conversion of the case management records system that was gradually introduced since 1991, can have information on the CSC PPU 035 Case Management — Institution “A” Bank. At the time of the conversion of the case management records system, CSC PPU 035 Case Management — Institution “A” was rendered inactive. The CSC PPU 035 Case Management — Institution “A” Bank remains accessible under the Privacy Act. This bank contains sensitive information on all offenders incarcerated in federal institutions. It may contain information provided under expressed or implied confidentiality related to the offender's conduct, such as routine police reports, community investigations and information of a general investigative nature. This bank also holds any operational information in this area that may be required by the CSC to carry out its mandate under the relevant statutes.

Class of Individuals: Individuals who are or have been incarcerated in a federal institution. **Purpose:** To assist in the case management process for the offender. **Consistent Uses:** This bank is used in the decision-making process for determining the type of institution in which an offender should be incarcerated and the type of custody; the type and number of escorts required in cases of temporary absences or transfer; plans and progress in regard to preparation for release. Information may be shared with victims or potential victims of offenders, private after-care agencies, government institutions at all levels including foreign governments for the administration of matters such as transfers, employment, education, corrections, health care and social services; for the purposes of applying the Immigration Act; and for monitoring and/or investigating recipients of social benefits such as educational, social welfare and unemployment insurance benefits allocated by municipal, provincial or federal departments; the information may also be shared with accredited domestic and foreign law enforcement and investigative agencies in the administration or enforcement of the law and in the detection, prevention or suppression of crime generally. Data matching occurs with law enforcement agencies and provincial authorities. Details on data matching are found under the heading of “Additional Information”. **Retention and Disposal Standards:** Records are retained until the offender reaches 70 years of age or until five years after the last warrant expiry date, whichever is longest. Historical records are transferred to the National Archives of Canada. **Note:** Since 1991, as a result of the shift of case preparation from the community to the institutions, the CSC gradually introduced a major change to its case management records system. The

three-bank case management records system, CSC PPU 035 Case Management — Institution “A”, CSC PPU 040 Case Management — Institution “B”, CSC PPU 030 Case Management — Community was gradually phased out; since the time of the conversion the CSC has one, single ACTIVE case management bank called “CSC PPU 042 Case Management Bank”. **PAC Number:** 71-023 **Related to PR#:** CSC COB 230 **TBS Registration:** 001077 **Bank Number:** CSC PPU 035

Case Management — Institution “B” Bank

Description: Status of CSC PPU 040 Case Management — Institution “B” Bank: Only those individuals who served time under the jurisdiction of the CSC BEFORE the conversion of the case management records system that was gradually introduced since 1991, can have information on the CSC PPU 040 Case Management — Institution “B” Bank. At the time of the conversion of the case management records system, the CSC PPU 040 Case Management — Institution “B” Bank was rendered inactive. The CSC PPU 040 Case Management — Institution “B” Bank remains accessible under the Privacy Act. This bank contains applications submitted by an offender as well as notifications given to an offender on case management and financial matters. It also holds any operational information in this area that may be required by the CSC to carry out its mandate under the relevant statutes. **Class of Individuals:** Individuals who are or have been incarcerated in a federal institution. **Purpose:** To record requests and events of short-term significance, such as escorted temporary absence permit, performance notice, application for transfer (inmate), etc. **Consistent Uses:** This bank is used in the day-to-day management of offenders. Information may also be shared with victims or potential victims of offenders; information may also be shared for the purposes of applying the Immigration Act; and for monitoring and/or investigating recipients of social benefits such as educational, social welfare and unemployment insurance benefits allocated by municipal, provincial or federal departments; the information may also be shared with accredited domestic and foreign law enforcement and investigative agencies in the administration or enforcement of the law and in the detection, prevention or suppression of crime generally. Data matching occurs with law enforcement agencies and provincial authorities. Details on data matching are found under the heading of “Additional Information”. **Retention and Disposal Standards:** Records are retained until the offender reaches 70 years of age or until five years after the last warrant expiry date, whichever is longest. Historical records are transferred to the National Archives of Canada. **PAC Number:** 71-023 **Related to PR#:** CSC COB 230 **TBS Registration:** 001078 **Bank Number:** CSC PPU 040

Case Management Bank

Description: Since 1991, as a result of the shift of case preparation from the community to the institutions, the CSC gradually introduced a major change to its case management records system. The existing three-bank case management records system, CSC PPU 035 Case

Management — Institution “A”, CSC PPU 040 Case Management — Institution “B”, CSC PPU 030 Case Management — Community was phased out; as a result of the conversion the CSC has one, single ACTIVE case management bank called “CSC PPU 042 Case Management Bank”. (a) ALL the records filed before the conversion on the CSC PPU 030 Case Management Community Bank remain active and are transferred to the new “CSC PPU 042 Case Management Bank”, (b) the name CSC PPU 030 Case Management — Community Bank disappears; (c) ALL case management records, be they generated from then on in the institution or in the community, are filed on the one, single case management bank CSC PPU 042 Case Management Bank. Therefore, all the CSC PPU 030 Case Management — Community records that were held on the former CSC PPU 030 Case Management — Community Bank are now all on the CSC PPU 042 Case Management Bank. In order to access a CSC PPU 030 Case Management Community Bank the requester merely has to request the CSC PPU 042 Case Management Bank and he will thus get all his former CSC PPU 030 Case Management — Community records. Status of CSC PPU 030 Case Management Community Bank for offenders who were under CSC jurisdiction BEFORE the conversion of the case management records system and who DID NOT return under CSC jurisdiction: The CSC PPU 030 Case Management Community Bank remains inactive. This bank remains accessible under the Privacy Act. Status of CSC PPU 030 Case Management Community Bank for offenders who were under CSC jurisdiction BEFORE the conversion but who came back under CSC jurisdiction AFTER the conversion of the case management records system: The CSC PPU 030 Case Management Community Bank is: (a) retrieved from Archives, (b) the name CSC PPU 030 Case Management Community is deleted, (c) the content of this former CSC PPU 030 Case Management — Community Bank is reactivated and the file is renamed CSC PPU 042 Case Management Bank. From then on, the CSC continues filing ALL case management records on the offender on this one, single, CSC PPU 042 Case Management Bank, be they generated in the community or in the institution”. This bank contains records, data and sensitive information on all offenders under the responsibility of the Correctional Service of Canada, such as release programs, applications submitted by an offender as well as notifications given to an offender on case management and financial matters. It may contain information provided under expressed or implied confidentiality related to the offender's conduct, such as routine police reports, community investigations and information of a general investigative nature. This bank also holds any operational information in this area that may be required by the CSC to carry out its mandate under the relevant statutes. **Class of Individuals:** Individuals who are or have been incarcerated in a federal institution. **Purpose:** To provide documentation to assist in the case management process for the offender and the decision-making process for parole and to record requests and events of short-term significance, such as escorted temporary absence permit, application for transfer (inmate),

etc. **Consistent Uses:** This bank is used in the day-to-day management of offenders and in the decision-making process for determining the type of institution in which an offender should be incarcerated and the type of custody; the type and number of escorts required in cases of temporary absences or transfer; plans and progress in regard to preparation for release. Information may be shared with victims or potential victims of offenders, private after-care agencies, government institutions at all levels including foreign governments for the administration of matters such as transfers, employment, education, corrections, health care and social services; for the purposes of applying the Immigration Act; and for monitoring and/or investigating recipients of social benefits such as educational, social welfare and unemployment insurance benefits allocated by municipal, provincial or federal departments. The information may also be shared with accredited domestic and foreign law-enforcement and investigative agencies in the administration or enforcement of the law and in the detection, prevention or suppression of crime generally. Data matching occurs with law enforcement agencies and provincial authorities.

Retention and Disposal Standards: Records are retained until the offender reaches 70 years of age or until five years after the last warrant expiry date, whichever is longest. Historical records are transferred to the National Archives of Canada. **PAC Number:** 71-023 **Related to PR#:** CSC COB 230 **TBS Registration:** 003195 **Bank Number:** CSC PPU 042

Claims

Description: This bank contains records on claims by and against the Crown, and debt due to and against the Crown, including the nature of the claim and settlement transactions which may result. **Class of Individuals:** Individuals who are or have been incarcerated in a federal institution. **Purpose:** To document all claims involving the Crown and federal offenders. **Consistent Uses:** This bank is used to assess the validity of claims and to determine monetary settlements for compensation. **Retention and Disposal Standards:** Records are retained for two years if the claim is under \$1,000, and six years if the claim is over \$1,000. **Note:** In addition to the requirements specified on the Personal Information Request Form, individuals requesting information concerning them in this bank must specify the institution and the approximate date, and any other identifying data that would help to expedite the processing of their request. **PAC Number:** 71-023 **Related to PR#:** CSC IGS 135 **TBS Registration:** 002675 **Bank Number:** CSC PPU 120

Discipline and Dissociation

Description: This bank contains discipline and dissociation records and data on disciplinary measures taken against the offender as a result of breaches of institutional regulations, or irregularities. It also encompasses any operational information in this area that may be required by the CSC to carry out its mandate under the relevant statutes. **Class of Individuals:** Individuals who are or have been incarcerated in a federal institution. **Purpose:** To document

disciplinary measures taken against an offender.

Consistent Uses: To assist in the decision-making process for parole, temporary absence and transfer applications as well as in sentence calculation. Data matching occurs with provincial authorities. Details on data matching are found under the heading of "Additional Information".

Retention and Disposal Standards: Records are retained until the offender reaches 70 years of age or until five years after the last warrant expiry date, whichever is longest. Historical records are transferred to the National Archives of Canada. **PAC Number:** 71-023 **Related to PR#:** CSC COB 345, 365 **TBS Registration:** 001079 **Bank Number:** CSC PPU 045

Education and Training

Description: This bank contains education and training records and data created while an offender is incarcerated in an institution. It also encompasses any operational information in this area that may be required by the CSC to carry out its mandate under the relevant statutes.

Class of Individuals: Individuals who are or have been incarcerated in a federal institution. **Purpose:** The purpose of this bank is to document the education and training progress of an offender. **Consistent Uses:** The information is used to monitor an offender's education and training progress. Information may be shared with the private sector, other federal departments/agencies, and provincial, regional and municipal levels of government in areas such as corrections, social services, health, education and employment. Data matching occurs with provincial authorities. Details on data matching are found under the heading of "Additional Information". **Retention and Disposal Standards:** Records are retained until an offender reaches 70 years of age or until five years after the last warrant expiry date, whichever is longest. Historical records are transferred to the National Archives.

PAC Number: 71-023 **Related to PR#:** CSC OPB 165 **TBS Registration:** 001080 **Bank Number:** CSC PPU 050

Employer Programs

Description: This bank contains records on individuals, groups, agencies or firms who are involved in creating work opportunities for offenders, such as joint venture projects, or who wish to obtain goods produced and/or services provided by offenders. **Class of Individuals:** Individuals who are involved in creating work opportunities for offenders. **Purpose:** It is used to manage offender employment programs, to record their expenditures and revenues, to market offender goods and services and to provide statistical and other data about these programs. No data matching occurs. **Retention and Disposal Standards:** Retained for ten years. Historical records are transferred to the National Archives of Canada. **Note:** In addition to the requirements specified on the Personal Information Request Form, individuals requesting information concerning them in this bank must provide the approximate dates and the institution or the region where they offered their services, and any other identifying data that may expedite the processing of their request.

PAC Number: 71-023 **Related to PR#:** CSC OPB 225 **TBS Registration:** 003349 **Bank Number:** CSC PPU 095

Employment

Description: This bank contains records and data on an offender's employment within the institution and the community. It also encompasses any operational information in this area that may be required by the CSC to carry out its mandate under the relevant statutes.

Class of Individuals: Individuals who are or have been incarcerated in a federal institution. **Purpose:** To evaluate and assess an offender's progress and employability.

Consistent Uses: To monitor and evaluate employment and pay progress. Information may be shared with the private sector, other federal departments/agencies, provincial, regional and municipal levels of government in areas such as corrections, social services, health, education and employment. Data matching occurs with provincial authorities. Details on data matching are found under the heading of "Additional Information". **Retention and Disposal Standards:** Records are retained until the offender reaches 70 years of age or until five years after the last warrant expiry date, whichever is longest. Historical records are transferred to the National Archives of Canada. **PAC Number:** 71-023 **Related to PR#:** CSC OPB 150 **TBS Registration:** 001081 **Bank Number:** CSC PPU 055

International Transfers

Description: This bank, located at National Headquarters, contains applications for transfer, offender personal data, family data, citizenship data, case histories, offence data, sentence data, warrant(s) of committal and confirmation of judgement. It may also contain other documents specifically required by individual countries. **Class of Individuals:** Canadians who are or have been incarcerated abroad or foreign offenders who are incarcerated in a Canadian federal institution and have requested a transfer to their country of origin. **Purpose:** To provide documentation in order to assist and support the decision-making process for international transfers. **Consistent Uses:** This bank is used to prepare international transfer cases. Information may be shared with the Department of Justice, Department of External Affairs and Employment and Immigration. **Retention and Disposal Standards:** The records are retained until the offender reaches 70 years of age or until five years after the last warrant expiry date, whichever is longest. Historical records are transferred to the National Archives of Canada. **PAC Number:** 71-023 **TBS Registration:** 002681 **Bank Number:** CSC PPU 125

Offender Grievances

Description: This bank, at the different levels, contains complaints and grievances presented by offenders; receipt notices and replies by management; testimony by witnesses; legal opinions; investigation and analysis reports; and all correspondence about grievances. **Class of Individuals:** Individual who are or have been incarcerated in a federal institution and who have presented a grievance. **Purpose:** The purpose of this bank is to

record information used in the grievance process through all levels. **Consistent Uses:** Information contained in this bank is used exclusively to accommodate and, where possible, resolve grievances through all levels in the grievance process. No data matching occurs. **Retention and Disposal Standards:** The records are disposed of two years following the resolution of grievances. Historical records are transferred to the National Archives of Canada. **Note:** Since this bank does not follow the offender during his/her incarceration, in addition to the requirements specified on the Personal Information Request Form, individuals requesting information concerning them in this bank must provide the location where the grievance was filed (Institution, Regional Headquarters or National Headquarters), and any other identifying data that would help to expedite the processing of their request. **PAC Number:** 71-023 **Related to PR#:** CSC OPB 270 **TBS Registration:** 001584 **Bank Number:** CSC PPU 082

Offender Health Care

Description: This bank contains records on an offender's health care within the federal institution and the community; the treatment provided and related information. The records within the bank are segregated into three dockets, namely, medical and surgical, dental, and psychiatric. The dockets contain health care histories, test results and interpretations, X-rays, treatment provided and related information. Information filed on the psychiatric docket includes, although not exclusively, all documentation prepared on an offender to determine if there is a requirement to transfer the subject to a psychiatric treatment centre. **Class of Individuals:** Individuals who are or have been incarcerated in a federal institution. **Purpose:** The bank is used for treatment purposes, and to record and respond to offender health care problems such as illness or injury. **Consistent Uses:** Certain health care records are used for research, evaluation and training purposes, but no decisions directly affecting the individual result from these uses. Information may also be shared with the private sector in the areas of health and social services, and with the public sector in the areas of health and social services, the Employment Accident Compensation Program and corrections. Data matching occurs with NPB and provincial authorities. Details on data matching are found under the heading of "Additional Information". **Retention and Disposal Standards:** Records are retained until the offender reaches 70 years of age or until five years after the last warrant expiry date, whichever is longest. **NOTE:** However, with respect to X-ray films, these records are retained, at the institution of taking, for a minimum of five calendar years. Historical records are transferred to the National Archives of Canada. **PAC Number:** 71-023 **Related to PR#:** CSC HCB 325, 330, 335, 340, 341 **TBS Registration:** 003345 **Bank Number:** CSC PPU 060

Offender Information

Description: This bank, located at National Headquarters, contains administrative records of a general nature and background information on all aspects of an offender's

incarceration in a federal institution. It may contain copies of correspondence retained on other offender banks at the institutional level. **Class of Individuals:** Individuals who are or have been incarcerated in a federal institution and have written correspondence to the Minister or the Commissioner. **Purpose:** To provide documentation to assist in the decision-making process for sentence administration, institutional program planning and release on parole. **Consistent Uses:** This bank is used to prepare ministerial correspondence and to assist in processing claims against the Crown. **Retention and Disposal Standards:** Records are retained for five years after last action is complete. Historical records are transferred to the National Archives of Canada. **PAC Number:** 71-023 **Related to PR#:** CSC HCB 325, 330, 335, 340, 341; CSC OPB 150, 165; CSC COB 230, 260, 345, 365, 400, 425; CSC IAD 270 **TBS Registration:** 002674 **Bank Number:** CSC PPU 115

Open Competitions

Description: This bank contains information on individuals who have responded to an open competition notice posted by the Correctional Service of Canada. The information includes requests to staff a position, all information regarding the position, all advertising data, all applications and interview data on applicants, screening and selection board reports, offers of appointment and appointment documents. **Class of Individuals:** Individuals who have responded to an open competition notice posted by Correctional Service Canada. **Purpose:** It is used to staff positions through open competitions on referral from applicant inventory, and for statistical studies. **Consistent Uses:** Data matching occurs with previous employers (federal, provincial and private) and law enforcement agencies. Details on data matching are found under the heading of "Additional Information". **Retention and Disposal Standards:** Records are disposed of two years after the date of selection of applicant by the competition board. Historical records are transferred to the National Archives of Canada. **Note:** In addition to the requirements specified on the Personal Information Request Form, individuals requesting information concerning them in this bank must specify the competition number the approximate date and location, and any other identifying data that would help to expedite the processing of their request. **PAC Number:** 71-023 **TBS Registration:** 003350 **Bank Number:** CSC PPU 100

Pardons

Description: When an offender is granted a pardon under the Criminal Records Act, all personal information banks pertaining to that individual are segregated from the regular records holdings and are not disclosed for any purpose unless the pardon is revoked and the records are returned to the regular records holdings. **Class of Individuals:** Individuals who have been incarcerated in a federal institution or supervised by a federal parole office and have been granted a pardon. **Purpose:** The purpose of this bank is to store and protect records of pardons granted under the Criminal Records Act. **Consistent**

Uses: These records cannot be used for any purpose. No data matching occurs. **Retention and Disposal Standards:** The records are normally retained until the offender reaches 80 years of age. Historical records are transferred to the National Archives of Canada. **Note:** In addition to the requirements specified on the Personal Information Request Form, individuals requesting information concerning them in this bank must provide the approximative date, a copy of their pardon certificate, and any other identifying data that would help to expedite the processing of their request. **Related to PR#:** CSC HCB 325, 330, 335, 340, 341; CSC OPB 150, 165; CSC COB 230, 260, 345, 365, 400, 425; CSC IAD 270 **TBS Registration:** 002121 **Bank Number:** CSC PPU 110

Personal Information Disclosed to Federal Investigative Bodies

Description: In accordance with subsection 8(4) of the Privacy Act, this bank, located at National Headquarters, has been established to retain copies of requests received from, and replies to such requests with related details, to authorized federal investigative bodies for personal information pursuant to paragraph 8(2)(e) of the Privacy Act, for the purpose of enforcing a law of Canada or a province, or carrying out a lawful investigation. **Class of Individuals:** Individuals about whom requests for personal information have been received pursuant to paragraph 8(2)(e) of the Privacy Act from federal investigative bodies for the purpose of enforcing a law of Canada or a province, or carrying out a lawful investigation. **Purpose:** The purpose of this bank is to permit the Privacy Commissioner to review disclosures, and the number thereof, that are made under paragraph 8(2)(e) of the Privacy Act to federal investigative bodies for the purpose of enforcing a law of Canada or a province or for carrying out a lawful investigation. **Consistent Uses:** Information contained in this bank may be shared with federal investigative bodies such as, but not exclusively, the RCMP, the Intelligence Division of the Department of National Revenue (Customs and Excise), the Security Section of the Passport Office in the Department of External Affairs, etc. No data matching occurs. **Retention and Disposal Standards:** Records are retained for two years after completion of the request. **Related to PR#:** CSC CML 020 **TBS Registration:** 001582 **Bank Number:** CSC PPU 089

Personal Services Contracts

Description: This bank contains records on individuals who have entered into a personal services contract with Correctional Service of Canada. It includes the duties, length of service, remuneration and any other approved terms and conditions deemed necessary. **Class of Individuals:** Individuals who have entered into a personal service contract with Correctional Service of Canada. **Purpose:** To compile all relevant information regarding personal services contracts. It is used to monitor and evaluate performance and to verify entitlements. **Consistent Uses:** Data matching occurs with previous employers (federal, provincial and private) and law

enforcement agencies. Details on data matching are found under the heading of "Additional Information". **Retention and Disposal Standards:** Records are disposed of six fiscal years after completion of the contract. Historical records are transferred to the National Archives of Canada. **Note:** In addition to the requirements specified on the Personal Information Request Form, individuals requesting information concerning them in this bank must specify the number of the contract of interest to them, and any other identifying data that would help to expedite the processing of their request. **PAC Number:** 71-023 **TBS Registration:** 003351 **Bank Number:** CSC PPU 105

Preventive Security Records

Description: This bank contains records, such as incident reports, police intelligence reports, criminal profiles, modus operandi and any other data related to incidents. **Class of Individuals:** Individuals who provided information or are involved or implicated in incidents. **Purpose:** To prevent, reduce and control various incidents. These records are also used to assist in the classification, transfer and special handling of dangerous offenders. **Consistent Uses:** The information may also be shared with accredited domestic agencies in the administration or enforcement of the law and in the detection, prevention or suppression of crime generally. **Retention and Disposal Standards:** The records are retained until the offender reaches 70 years of age or until five years after the last warrant expiry date, whichever is longest. Historical records are transferred to the National Archives of Canada. **PAC Number:** 71-023 **Related to PR#:** CSC COB 345, 400 **TBS Registration:** 002685 **Bank Number:** CSC PPU 065

Psychiatric Treatment Centres

Description: This bank contains psychiatric records and some medical information pertaining to offenders treated in psychiatric treatment centres. The federal psychiatric treatment centres are: the Regional Treatment Centre at Dorchester Penitentiary (Atlantic Region), the Mental Health Regional Unit at Archambault Institution (Quebec Region), the Regional Treatment Centre (Ontario Region), the Regional Psychiatric Centre (Prairie Region), and the Regional Psychiatric Centre (Pacific Region). **Class of Individuals:** Individuals who are, or have been, incarcerated in a federal psychiatric treatment centre. **Purpose:** This bank is used for treatment purposes and to record offenders' mental health problems. **Consistent Uses:** The information recorded in this bank can be used for the transference of the offender to a provincial psychiatric treatment centre after the last warrant expiry date. Information may also be shared with the private sector, and with the public sector in the areas of health, social services and corrections. Data matching occurs with NPB, law enforcement agencies and provincial authorities. Details on data matching are found under the heading of "Additional Information". **Retention and Disposal Standards:** Records are retained until the offender reaches 70 years of age or until five years after the last warrant expiry date, whichever is longest. Historical records are transferred to the National Archives of

Canada. **Note:** Since this bank does not follow the offender during his/her incarceration, in addition to the requirements specified on the Personal Information Request Form, individuals requesting information concerning them in this bank must specify the federal psychiatric facility where the treatment was administered, and any other identifying data that would help to expedite the processing of their request. **PAC Number:** 71-023 **Related to PR#:** CSC HCB 340 **TBS Registration:** 001585 **Bank Number:** CSC PPU 061

Psychology

Description: This bank contains psychological records and data such as psychological assessments, test results, and correspondence related to the offender's treatment.

Class of Individuals: Individuals who are or have been incarcerated in a federal institution. **Purpose:** To provide a psychological profile of an offender and to determine any treatment required. **Consistent Uses:** The bank assists in individual program planning, classification of an offender and transfers; and allows the monitoring of psychological treatment received. Information may be shared with the private sector, other federal departments and other levels of government in the areas of corrections and social services, health care, education and employment. Data matching occurs with law enforcement agencies and provincial authorities. Details on data matching are found under the heading of "Additional Information". **Retention and Disposal Standards:** Records are retained until the offender reaches 70 years of age or until five years after the last warrant expiry date, whichever is longest. Historical records are transferred to the National Archives of Canada. **PAC Number:** 71-023 **Related to PR#:** CSC HCB 341 **TBS Registration:** 001082 **Bank Number:** CSC PPU 070

Sentence Administration

Description: This bank contains records and data related to the administration of an offender's sentence such as records of earned remission, sentence computation and transfer warrant. It also encompasses any operational information in this area that may be required by the CSC to carry out its mandate under the relevant statutes.

Class of Individuals: Individuals who are or have been incarcerated in a federal institution. **Purpose:** To ensure that policies and procedures on the administration of the offender's sentence are followed. **Consistent Uses:** To control the administration and legal documents pertaining to an offender's incarceration. Information may be shared with victims or potential victims of offenders, private after-care agencies, government institutions at all levels including foreign governments for the administration of matters such as transfers, employment, education, corrections, health care and social services; for the purposes of applying the Immigration Act; and for monitoring and/or investigating recipients of social benefits such as educational, social welfare and unemployment insurance benefits allocated by municipal, provincial or federal departments; the information may also be shared with accredited domestic and foreign

law-enforcement and investigative agencies in the administration or enforcement of the law and in the detection, prevention or suppression of crime generally. Data matching occurs with law enforcement agencies and provincial authorities. Details on data matching are found under the heading of "Additional Information". **Retention and Disposal Standards:** Records are retained until the offender reaches 70 years of age or until five years after the last warrant expiry date, whichever is longest. Historical records are transferred to the National Archives of Canada. **PAC Number:** 71-023 **Related to PR#:** CSC COB 260 **TBS Registration:** 001083 **Bank Number:** CSC PPU 075

Visits and Correspondence

Description: This bank contains records and data on an offender's visits and correspondence, such as applications for participation in visiting programs, declaration of common-law union, and listing of visitors. **Class of Individuals:** Individuals who are or have been incarcerated in a federal institution and individuals who have visited or corresponded with them. **Purpose:** To administer the offender's visits and correspondence privileges. **Consistent Uses:** To control the entrance of visitors or contraband into an institution. Information may be shared with victims or potential victims of offenders, private after-care agencies, other government institutions at all levels including foreign governments for the administration of matters such as transfers, employment, education, corrections, health care and social services; the information may also be shared with accredited domestic and foreign law-enforcement and investigative agencies, the NPB and provincial authorities in the administration or enforcement of the law and in the detection, prevention or suppression of crime generally. **Retention and Disposal Standards:** Records are retained until the offender reaches 70 years of age or until five years after the last warrant expiry date, whichever is longest. Historical records are transferred to the National Archives of Canada. **Note:** In addition to the requirements specified on the Personal Information Request Form, individuals requesting information concerning them in this bank must include the name, FPS number and place of incarceration of the inmate whom they wish to visit or correspond with, and any other identifying data that would help to expedite the processing of their request. **PAC Number:** 71-023 **Related to PR#:** CSC COB 425 **TBS Registration:** 001084 **Bank Number:** CSC PPU 080

Volunteers

Description: This bank contains records of individuals offering their services on a voluntary basis. Information includes addresses, telephone numbers, biographies, resumes, and security clearances. **Class of Individuals:** Individuals (excluding offenders) who are, or have been, volunteers. **Purpose:** It is used to maintain an inventory of volunteers, and to monitor and control volunteers' activities and achievements. No data matching occurs. **Retention and Disposal Standards:** Retained for ten years. Historical records are transferred to the National Archives of Canada. **Note:** In addition to the requirements specified on the Personal Information Request Form,

individuals requesting personal information contained in this bank must provide the approximate dates and the institution where they worked as volunteers, and any other identifying data that may expedite the processing of their request. **PAC Number:** 71-023 **Related to PR#:** CSC OPB 305 **TBS Registration:** 003348 **Bank Number:** CSC PPU 090

Classes of Personal Information

Administrative Inquiries

This group is a class of records on incidents involving offenders, staff or members of the public regarding injury sustained while on institutional property, damage or loss of personal property or departmental property and other unusual incidents such as fires which might result in a claim against the Crown. Individuals seeking access to these records must provide the location and the approximate date the inquiries was held. This class of records is used to process claims for and against the Crown, to establish the nature and length of disabilities and to determine the need for establishing or revising internal operating procedures. Records are retained for ten years. Historical records are transferred to the National Archives of Canada.

Appreciation, Complaints, Inquiries

This group is a class of records generated by members of the public, or staff such as: letters of appreciation, complaints and general inquiries. The data contained in this class of records is only used for an administrative purpose in cases where a complaint or inquiry is acted upon. Individuals seeking access to these records must provide the approximate date that the letter of appreciation, complaint or inquiry was initiated. These records are retained for two years. Historical records are transferred to the National Archives of Canada.

Complaints and Criticisms

This group is a class of records on complaints or criticisms received from staff regarding conditions of employment, including those from the Human Rights Commission and the Anti-discrimination Branch of the Public Service Commission. Individuals seeking access to these records must provide the approximate date the complaint or criticism was lodged. This class of records is used to record information dealing with complaints or criticisms involving staff and to make specific decisions to resolve the matter. Records are retained for three years.

Correctional Investigator Inquiries Records

This group is a class of records on inquiries initiated by the Correctional Investigator. This class of records is used to analyze and make action recommendations resulting from these inquiries conducted by the Correctional Investigator. These records are retained for ten years. Historical records are transferred to the National Archives of Canada. Data matching occurs with the correctional investigator.

Disciplinary Court Hearings

This group is a class of records on disciplinary court hearings. For each session of disciplinary court, audio recordings are made which contain the transcripts of the hearings on offenders who have been charged while incarcerated in an institution. This class of records is used to make decisions on the discipline of offenders resulting from the disciplinary court and during the grievances process. Individuals seeking access to these records must provide the name of the institution in which they were charged and the date of the disciplinary hearing. It should be noted, however, that records may be obtained by the offender by sending a written request directly to the warden of the institution where the disciplinary hearing occurred. These records are retained for two years following the suspension of all actions relating to the disciplinary hearing. No data matching occurs.

Information Services — Inquiries

This group is a class of records on miscellaneous inquiries received from the public, outside organizations and other government departments on services performed by the Department. This class of records is used to respond to requests to interview employees and offenders from external sources such as the media and provincial organizations. Individuals seeking access to these records must provide the approximate date that the inquiry was initiated. These records are retained for two years. Historical records are transferred to the National Archives of Canada.

Institutional Security Threats

This group is a class of records on national and international groups, organizations and criminals. This class of records is used to provide background information on threats to institutional security and to provide reports on all security investigations to aid in preventing recurrences. Individuals seeking access to these records must provide the location and approximate date of the threat or investigation. These records are retained for ten years. Historical records are transferred to the National Archives of Canada. Data matching occurs with NPB, law enforcement agencies and provincial authorities.

Ministerial Inquiries

This group is a class of records on inquiries received from the public, outside organizations and other government departments on parliamentary matters, and material agendas and decisions of Cabinet. This class of records is used to prepare ministerial correspondence resulting from these inquiries. Individuals seeking access to these records must provide the subject matter, and approximate date the inquiry was initiated. Records are retained for five years. Historical records are transferred to the National Archives of Canada.

Research/Public Opinion Research

This is a class of records generated by research subjects, offenders or staff who participate in research, including public opinion research, on a vast spectrum of topics, conducted in the Correctional Service of Canada.

Retrievable records consist of data gathering instruments such as, but not exclusively, questionnaires containing personal information that reveals the identification of the research subject. Data contained in this class of records are not used for administrative purposes. Individuals seeking access to these records must provide the title of the research project in which they participated, the location and date of the research, and any other data that may help to identify and locate the personal information they are seeking. No data matching occurs.

Security Inquiries

This group is a class of records on serious security incidents. For each incident, the report includes the inquiry terms of reference, facts gathered and recommendations. This class of records also encompasses police reports and intelligence assessments and is used to provide documentation to prevent recurrences of security incidents. Individuals seeking access to these records must provide the type of incident, the location and approximate date of the incident. These records are retained for ten years. Historical records are transferred to the National Archives of Canada. Data matching occurs with NPB, law enforcement agencies and provincial authorities.

Special Investigations

This group is a class of records on special investigations performed by the Audit and Investigations Sector on a wide variety of operational matters. The reports of the investigations include the terms of reference, facts gathered and recommendations. This class of records is used to resolve incidents involving offenders or employees and to correct, where required, methods of operation and internal procedures. Individuals seeking access to these records must provide the investigation, the location and approximate date it was initiated. Records are retained for five years. Historical records are transferred to the National Archives of Canada.

Manuals

- Case Management Manual
- Corcan Code Book
- CSC Directives
- CSC Guidelines
- Forms Catalogue
- Grievance Manual
- Inmate Pay Manual
- Medical and Health Care Services Manual
- Records Classification and Scheduling Plan
- Records Management Manual
- Sentence Administration Manual

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

General Information on Data Matching

Data Matching — Data Sharing

Within the mandate of the Correctional Service of Canada (CSC) is the requirement to share data with other areas of the Criminal Justice Community, to ensure that offenders are appropriately managed in a safe, secure and humane environment, and to ensure the safety of the offender, other inmates, staff and the community at large. Also, in order to accomplish its mandate the Service needs the information provided by other agencies and therefore a match is conducted.

Data Matching with Law Enforcement Agencies (Municipal, provincial, international, federal police forces or another law enforcement body).

Following an offender's sentence to a federal institution, the CSC requests a Criminal record (history information from the Royal Canadian Mounted Police (RCMP)). This information is used to assess the impact of the offender's criminal behaviour and to alert staff who will deal with the offender. CSC also requests a police report from the arresting and/or investigating law enforcement body in order to have a full understanding of the events surrounding the offence.

Following a sentence to a federal institution in the case of foreign nationals, the CSC must also request from the Inland Service (Immigration) that they provide it with information that is relevant to the administration of the offender's sentence and to the case management process.

When an offender is released to the community, there is a requirement to notify the RCMP and/or the local police force, and, when necessary, the Inland Service (Immigration), of the offender's address and the terms and conditions of the release. If an offender violates conditions of release, or is considered to be a risk, CSC will issue a warrant for the apprehension of the offender. The warrant is transmitted to the local police and entered into the Canadian Police Information Centre (CPIC) system, and also the police are requested to locate and apprehend the offender.

Data Matching with the National Parole Board

CSC data is matched with the National Parole Board (NPB). With the implementation of the OMS (Offender Management System) there will be one integrated system to meet the demand of both agencies.

While it is CSC's responsibility to manage the offenders for the duration of their sentence, it is the NPB's responsibility to assess the offender's progress during incarceration, their risk to society, and their potential for successful reintegration into the community as

law-abiding citizens. CSC information is shared with NPB pertaining to all aspects related to the offender prior to and during incarceration. Once NPB has assessed the information, and through a hearing process, makes their decision, CSC is notified. If the Board makes a decision to conditionally release an offender, it is CSC staff who are responsible for the supervision and control of the offender in the community and keeping NPB informed of the offenders' progresses.

Data Matching with Provincial Authorities

An offender who is sentenced to a period of incarceration of two years or more, will serve this sentence under the jurisdiction of CSC. Offenders sentenced to less than two years will serve their sentence under a provincial jurisdiction. However, the Service is doing the case preparation and supervision for some provinces who do not have a Parole Board.

Often an offender will have a history of provincial incarceration, further, prior to sentencing the offender could be held in a provincial institution or a remand centre. Information collected during any period of incarceration is deemed significant and sometimes crucial to the successful management of that offender. It is for these reasons that information is routinely shared and matched between CSC and provincial authorities which include also the Boards of Education and Hospitals.

Information is also requested from the sentencing judge and could also be requested from the Crown Attorney, from Provincial Parole and Probation Services. This information is used by CSC in the management of the offender and decision-making process.

Method of Data Matching/Sharing

In the preceding descriptions of CSC data matching/sharing, every effort is taken to ensure that the data matched/shared concerns the same individual. In order to validate that the different agencies are dealing with the same individuals, a number of personal identifiers are matched.

These included Name, Sex, Date of Birth, Finger Print Series Number (FPS) and in some cases physical features.

CSC may conduct or assist others in conducting ad hoc data matching for the purpose of the enforcement of any law (i.e. Criminal Code).

Atlantic Region

Correctional Service of Canada
Terminal Plaza, 2nd Floor
1222 Main Street
Moncton, New Brunswick
H1C 1H6

Headquarters

Public Affairs Division
The Correctional Service of Canada
340 Laurier Avenue West
Ottawa, Ontario
K1A 0P9

(613) 992-8420

Ontario Region

Correctional Service of Canada
440 King Street West
P.O. Box 1174
Kingston, Ontario
K7L 4Y8

Pacific Region

Correctional Service of Canada
32560 Simon Avenue
P.O. Box 4500
Clearbrook, British Columbia
V2T 5L7

Prairies Region

Correctional Service of Canada
2313 Hanselman Place
P.O. Box 9223
Saskatoon, Saskatchewan
S7K 3X5

Quebec Region

Correctional Service of Canada
3 Place Laval, 2nd Floor
Laval, Quebec
H7N 1A2

Reading Room

In accordance with the Access to Information Act, an area on the premises of this institution has been designated as a public reading room. The address is:

340 Laurier Avenue West
Ottawa, Ontario.

Reading rooms are also available at each of the regional headquarters mentioned above.

Defence Construction Canada

Chapter 39

General Information

Background

Defence Construction (1951) Limited is a Crown corporation as defined in Part VII of the Financial Administration Act and listed in Schedule III Part 1 to Schedule 2, to that Act. The company is the contracting and supervisory agency for the major military construction and maintenance projects required by the Department of National Defence. The company is also known as Defence Construction Canada. It reports to Parliament through the Minister of Public Works and Government Services.

Responsibilities

The corporation is the contracting and supervisory agency for the major military construction and maintenance projects required by the Department of National Defence. Its principal functions are to obtain tenders, award and administer contracts.

Legislation

- Defence Production Act

Organization

Office of the President

The overall operations of the corporation are directed by the President.

◆ Finance and Administration Division

This Division is managed by a Vice-President who is an officer of the company and Secretary-Treasurer. This Division is responsible for all financial and administrative support functions. Several sections are part of this Division. They are: Accounting Services, Information Services Group, Administrative Services and Contract Services which include contracting for both consulting and construction services.

◆ Human Resources Division

This Division is responsible for the overall management of Human Resources.

◆ Operations Division

This Division is headed by a Vice-President/Chief Engineer who is also an officer of the Company. The Operations Division oversees all construction contract management, the activity which makes up the Company's main business. The Division's function spans activities from contract award through the end of the warranty period. Four Regional Directors, who report to the Vice-President Operations are responsible for construction and consultant contract

operations at approximately 35 Site Offices on major Canadian Forces installations.

Information Holdings

Program Records

Administration of Construction and Maintenance Contracts

Description: Information on contracts for construction and maintenance. **Topics:** Request for contract from National Defence; tender forms and associated documents; contracts; change orders; progress claims; correspondence; shop drawing approvals; equivalent product certificates; completion and final payment forms. **Access:** Files arranged by location and type of project (construction or repairs and maintenance). **Program Record Number:** DCC OPR 005

Consultant Contracts

Description: Information on consultant contracts. **Topics:** Request for contract from National Defence; correspondence on contract negotiations; contracts; change orders; progress claims. **Program Record Number:** DCC OPR 010

Personal Information Banks

Construction and Maintenance Contracts

Description: This bank contains records and data relating to the administration of each contract. The data includes requests for contracts from the Department of National Defence, tender forms and associated documents, contracts, change orders, progress claims, correspondence, shop drawing approvals, substantial and final completion forms. **Class of Individuals:** Construction companies who have been awarded a construction or maintenance contract. **Purpose:** This bank is used as a reference so that all transactions between the contractor and Defense Construction (1951) Limited are carried out in accordance with the terms of the contract. **Consistent Uses:** The information is to be used for the purpose outlined in the Purpose of the bank. No matching activities are applicable. Information may be disclosed with adequate proof of identification and/or authority. **Retention and Disposal Standards:** Inactive records are retained for seven years and are kept at a regional federal records centre. The federal records centre retains, maintains and disposes of these records. **TBS Registration:** 003786 **Bank Number:** DCC PPU 005

Consultant Contract Records

Description: This bank contains records and data relating to the administration of each contract. The data includes requests for contracts from the Department of National Defence, correspondence on contract negotiations, contracts, change orders, progress claims and other correspondence. **Class of Individuals:** Consulting companies who have been awarded a contract. **Purpose:** This bank is used as a reference so that all transactions between the consultant and Defence Construction (1951) Limited are carried out in accordance with the terms of the contract. **Consistent Uses:** The information is to be used for the purpose outlined in the Purpose of the bank. No matching activities are applicable. Information may be disclosed with adequate proof of identification and/or authority. **Retention and Disposal Standards:** Inactive records are retained for seven years and are kept at a regional federal records centre. The federal records centre retains, maintains and disposes of these records. **TBS Registration:** 003785 **Bank Number:** DCC PPU 010

Manuals

- Defence Construction Canada Administration Manual
- Defence Construction Canada Human Resources Policy and Administration Manual

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the corporation and its various programs and functions may be directed to:

Defence Construction Canada
Place de Ville, Tower B
112 Kent Street, 17th Floor
Ottawa, Ontario
K1A 0K3
(613) 998-9539

Reading Room

In accordance with the Access to Information Act, an area on the premises of this institution has been designated as a public reading room. The address is:

Administrative Services Section
112 Kent Street, 17th Floor
Room 1744
Ottawa, Ontario

Department of Finance Canada

Chapter 40

General Information

Background

The Department of Finance was formed in 1867 and received legislative authority pursuant to section 14 of the Financial Administration Act.

Responsibilities

The Department is responsible for advice to the federal government on the economic and financial affairs of Canada. It oversees all government initiatives affecting the economy and monitors external factors that may have a bearing on domestic economic performance. The Department's most visible output is the federal budget. The Minister of Finance's budget speech reviews the government's accounts and presents fiscal projections for the coming years, including expenditures, revenues, tax changes and debt levels. In addition, the Minister is responsible for the management of the Consolidated Revenue Fund.

Legislation

- Bank Act, S.C. 1991, c. 46
- Bank of Canada Act, R.S.C. 1985, c. B-2
- Bills of Exchange Act, R.S.C. 1985, c. B-4
- Bretton Woods & Related Agreements Act, R.S.C. 1985, c. B-7
- Canada Deposit Insurance Corporation Act, R.S.C. 1985, c. C-3
- Canada Development Corporation Reorganization Act, S.C. 1985, c. 49
- Canada Pension Plan, R.S.C. 1985, c. C-8
- Canada-Nova Scotia Offshore Petroleum Resources Accord Implementation Act, S.C. 1988, c. 28
- Canadian International Trade Tribunal Act, R.S.C. 1985, c. C-18.3
- Canadian Payments Association Act, R.S.C. 1985, c. C-21
- Canadian Wheat Board Act, R.S.C. 1985, c. C-24
- Cooperative Credit Associations Act, S.C. 1991, c. 48
- Currency Act, R.S.C. 1985, c. C-52
- Customs Excise Offshore Application Act, R.S.C. 1985, c. C-53
- Customs Tariff, R.S.C. 1985, c. C-54.01
- Debt Servicing and Reduction Account Act, R.S.C. 1985, ch. 18
- Diplomatic Service (Special) Superannuation Act, R.S.C. 1985, c. D-2
- European Bank for Reconstruction and Development Agreement Act, R.S.C. 1985, c. E-13.5
- Excise Act, R.S.C. 1985, c. E-14
- Excise Tax Act, R.S.C. 1985, c. E-15
- Federal-Provincial Fiscal Arrangements and Federal Post Secondary Education and Health Contributions Act, R.S.C., 1985, c. F-8
- Federal-Provincial Fiscal Revision Act, S.C. 1964-65 c. 26
- Financial Administration Act, R.S.C. 1985, c. F-11
- Financial Institutions Depositors Compensation Act, 1985, Ch. 51
- Fisheries Improvement Loans Act, R.S.C. 1985, c. F-22
- Garnishment, Attachment and Pension Diversion Act, R.S.C. 1985, c. G-2
- Income Tax Act, S.C. 1970-71-72, c. 63
- Income Tax Conventions Interpretation Act, R.S.C. 1985, c. I-4
- Insurance Companies Act, S.C. 1991, c. 47
- Interest Act, R.S.C. 1985, c. I-15
- International Development (Financial Institutions) Assistance Act, R.S.C. 1985, c. I-18
- Maritime Provinces Additional Subsidies Act, S.C. 1942-43, ch.14
- Newfoundland Additional Financial Assistance Act, R.S.C. 1985, c. N-23
- Office of the Superintendent of Financial Institutions Act, R.S.C. 1985, c. F-11.3
- Pension Benefits Standards 1985, R.S.C. 1985, c. P-7.01
- Prince Edward Island Subsidy Act, S.C. 1912, c. 42
- Proceeds of Crime (Money Laundering) Act, S.C. 1991, c. 26
- Provincial Subsidies Act, R.S.C. 1985, c. P-26
- Public Utilities Income Tax Transfer Act, R.S.C. 1985, c. P-37
- Special Import Measures Act, R.S.C. 1985, c. S-15
- Spending Control Act, S.C. 1992, c. 19
- St. Lawrence Seaway Authority Act, R.S.C. 1985, c. S-11
- Trust and Loan Companies Act, S.C. 1991, c. 45
- Winding-up Act, R.S.C. 1985, c. W-11

Organization

Access to Information and Privacy Division

This division is responsible for administering the Access to Information Act and the Privacy Act for the Department. It processes requests submitted under the legislation, handles complaints lodged with the Information and Privacy Commissioners and responds to informal enquiries. The Division also provides advice and guidance to departmental officials on matters which concern the legislation.

Canada Investment and Savings

Canada Investment and Savings is a Special Operating Agency within the Department of Finance responsible for the retail component of the government's debt obligations, including the strategy, management and performance. The Agency was created in August 1995 and the name was changed from "Canada Retail Debt Agency" to "Canada Investment and Savings" in September 1996 to better reflect the agency's mandate. The Agency has three main functions:

◆ Marketing Services

Conceptualizes the government's overall marketing and communication strategy for the retail debt program (e.g. Canada Savings Bonds), develops and implements marketing plans and advertising campaigns for existing and new products, and monitors performance.

◆ Product Management

Maintains existing instruments and develops and introduces new retail debt products.

◆ Sales and Distribution

Manages the delivery network for the family of retail debt products, develops new partnerships with financial institutions, explores and pilot tests new distribution channels including electronic alternatives and ensures easier access to existing Government of Canada retail debt products.

Co-managed with the Treasury Board Secretariat

Crown Corporations and Agencies and Privatization

◆ Crown Corporations Policy

Provides a focal point for the government's relations with Crown corporations by advising generally on the financial requirements and on the development of policy and legislation for Crown corporations and their subsidiaries.

◆ Privatization

Plays a central role in the privatization of federal Crown corporations, other corporate holdings and government services that no longer require government ownership or direct delivery to fulfill a public policy role. The process

itself is complex, requiring consideration of a broad spectrum of public policy, financial and employee concerns to ensure the longer term viability of the entity/service in the private sector and fair treatment of the employees. The rigorous five stage process followed consists of initial analysis, in-depth review, legislation where required, preparation for sale, an implementation of the sale. Following these steps ensures full consideration of all factors and allows for decisions to be taken at appropriate intervals.

Consultations and Communications Branch

This branch is responsible for managing a comprehensive communications and consultations program for the Department.

◆ Communications Policy and Strategy Division

Role is to research and write major ministerial speeches, prepare departmental communications plans and strategies for economic initiatives, and monitor and analyze media coverage of economic affairs.

◆ Public Affairs and Operations Division

Prepares announcements and departmental publications, carries out media and public relations programs. The Division also is responsible for planning and managing consultations with Canadians and private sector as a permanent feature of the economic policy development process. It is responsible for departmental correspondence and provides coordinating expertise and service in the areas of official conferences and meetings.

Corporate Services Branch

The role of this branch is to assist the Deputy Minister of Finance and the Secretary of the Treasury Board in the management of the internal administration of their organizations and to provide financial, personnel, administrative and management advice to branches and divisions. The Branch consists of six divisions: Administrative Services; Informatics Services; Financial Services; Human Resources; Security Services; and Systems Integration and Process Re-engineering Division.

Economic and Fiscal Policy Branch

This branch analyzes and appraises all factors affecting Canada's economic and financial situation and recommends measures to meet the requirements of appropriate fiscal policies. It also analyzes and forecasts the financial requirements of the government of Canada.

◆ Economic Analysis and Forecasting Division

This division has primary responsibility for monitoring and analyzing current Canadian economic conditions and the economic outlook and for the preparation of quarterly economic forecasts for the Canadian (national and

provincial) and U.S. economies and annual economic forecasts for the other G-7 economies. It also prepares assessments of the impact of policy measures and other events on the Canadian economy. In addition, the division produces the quarterly publication entitled "Economy in brief".

◆ Economic Development Policy Secretariat

The major role of this secretariat is to provide analytical support for and policy advice to the Minister of Finance on a wide range of economic, fiscal, and financial issues related to the microeconomic policies of government.

◆ Economic Studies and Policy Analysis Division

The division has primary responsibility for anticipating major policy issues the government of Canada is likely to face, develops tools to analyze these issues, prepares analysis and research of the highest calibre and, based on all this, recommends policy advice in both macroeconomic and structural areas. The technical work undertaken in the division is made public in the form of a working paper series.

◆ Fiscal Policy Division

This division has primary responsibility for advising on fiscal policy and analyzing the financial requirements of the Government of Canada and for monitoring and analyzing the developing fiscal positions of other levels of government. It also has primary responsibility for analysis and advice on the government's fiscal framework and co-ordinating the department's advice to the Minister on overall spending priorities. The division plays a key role in the budgetary process, and is responsible for the preparation of the Fiscal Monitor, as well as several budgetary papers. In addition, the division reviews the form and content of the Public Accounts and other statements for which the Minister has responsibility under the Financial Administration Act.

Federal-Provincial Relations and Social Policy Branch

This branch is responsible for providing policy advice on federal-provincial fiscal arrangements and social policy programs.

◆ Federal-Provincial Relations Division

This division is responsible for the federal government's major transfers to provinces and territories. It also provides advice on all matters pertaining to the federal-provincial fiscal arrangements, including the Canada Health and Social Transfer, Equalization, Stabilization and Territorial Financing.

◆ Social Policy Division

Advises on the development of the federal government's social policies as well as on specific proposals in the major functional areas of labour market, income security and socio-economic programs.

Financial Sector Policy Branch

This branch provides policy analysis and advice with respect to the financial sector.

◆ Financial Markets Division

Provides policy analysis and recommendations with respect to the management of the federal government's borrowing program, the public debt and debt issues in both domestic and foreign markets, borrowing policy relating to Crown corporations, loan guarantees, analysis and policy advice on matters respecting the exchange rate, international reserves and balance of payments, and trends and developments in Canada's financial markets.

◆ Financial Sector Division

Develops and analysis policies applicable to Canada's financial institutions. Among its responsibilities, this Division leads work on issues related to development of policy to ensure the proper regulation of the sector. This includes consideration of legislative changes to be considered by Ministers, considerations of precedent-setting transactions and developing policies on such matters as clearing and settlement, payments systems and deposit insurance. Also, the Division leads work on federal-provincial issues as well as international relations and negotiations, business financing and consumer issues, and industry analysis. Finally, the Division will be responsible for developing and seeking passage of the 1997 package of legislative reforms.

International Trade and Finance Branch

This branch has the responsibility for international trade, investment and economic and financial co-operation; the Canadian Customs Tariff and other legislation governing imports; the international monetary system, defence programs, international development assistance and export financing.

◆ International Economic Relations Division

Assists in the development of Canada's policies on international trade and investment by monitoring and evaluating the international trading environment and making recommendations on import policy issues.

◆ International Finance and Economic Analysis Division

Responsible for all aspects of international monetary, financial and development issues, as well as defence issues. Provides analysis and policy advice on international economic policy coordination.

◆ Tariffs Division

Responsible for tariff policy. Investigates and conducts economic assessments on proposals for amendments to the Customs Tariff and related matters and participates in international trade negotiations.

Tax Policy Branch

This branch undertakes analysis of and makes recommendations on tax policy issues and prepares appropriate legislation for passage through Parliament.

♦ Business Income Tax Division

Undertakes economic and quantitative analysis of tax policy issues in the areas of corporate, business and resource sector taxation.

♦ Federal-Provincial Taxation Group

Policy and administrative responsibility for the federal-provincial income tax collection agreements and analysis of issues in the federal-provincial tax relations.

♦ Intergovernmental Tax Policy Group

Policy and administrative responsibility for the federal-provincial income tax collection agreements and analysis of issues in the area of federal-provincial tax relations. Reviews Indian taxation and develops federal policy on Indian taxation in the context of self-government.

♦ Personal Income Tax Division

Responsibility for economic and quantitative analysis of personal income tax matters, including tax issues that have a bearing on the government's economic and social policy objectives. Seeks to develop policies in the area of personal income taxation that are consistent with the government's overall policy objectives.

♦ Sales Tax Division

Analyzes and develops federal sales and excise tax policy.

♦ Tax Legislation Division

Provides policy advice from a legal and structural perspective on income tax related issues applicable to persons, corporate entities and tax-exempt entities and drafts amendments to the income tax law required to implement budget proposals and other tax policy statements.

Information Collection Policy Administration

Description: Records relating to the administration of the Treasury Board policy on information collection and departmental procedures for administration of the policy.

Program Record Number: FIN AIP 010

Information Collection Review Files

Description: Records on proposed departmental information collections, including collection notices, plans, notices of approval and registration and related correspondence. **Program Record Number:** FIN AIP 015

♦ Crown Corporations Policy

Crown Corporations Policy

Description: Information on generic policy and program issues impacting Crown corporations. Generic issues related to budgets, estimates, financing of Crown corporations. **Topics:** Annual Report to Parliament, Corporate Governance Guidelines. **Program Record Number:** FIN CCD 535

Privatization

Description: General information on privatization and topics not covered in specific program records. **Topics:** Communications; fiscal and revenue consideration; foreign government privatization — United Kingdom, United States; horizontal issues; implementation plans; work plans and programs; official languages; post privatization review; provincial government privatization; public service privatization. **Program Record Number:** FIN PRI 775

Privatization Cases of Crown Corporations and Government Holding

Description: Information on the privatization of Crown Corporations, including annual reports, corporate plans, prospectuses and privatization issues. **Topics:** Annual reports-corporate plans and prospectuses; communications issues; corporate organization; employees concerns; financial issues; financial advisors; holding companies; legal issues; legislative process; memoranda to cabinet/cabinet discussions; official languages; policy; post-privatization review; project development. **Program Record Number:** FIN PRI 780

Consultations and Communications Branch

General Information on the Department of Finance

Description: General information on the Department of Finance, including publications and other public material produced for the Department and/or Minister of Finance; letters from individuals to the Minister of Finance and Minister of State (Finance). **Topics:** Economic policy; federal budgets; publications; speeches. **Program Record Number:** FIN CAC 030

Information Holdings

Program Records

Access to Information and Privacy Division

Access to Information and Personal Information Requests

Description: Information concerning requests for access to departmental records under the Access to Information Act and the Privacy Act; replies to such requests and relevant information related to their processing. Information is used to process requests and for statistical purposes.

Program Record Number: FIN AIP 005

Corporate Services Branch

Canadian Pension Plan Investment Fund

Description: Information on the investment of excess monies in the Canada Pension Plan as provided by the Canada Pension Plan Act. **Topics:** Forecasts of funds available; purchase of securities; interest on operating balance; audit confirmations; consolidation of provincial debentures; reports to the Canada Pension Plan Advisory Committee. **Program Record Number:** FIN ADM 785

Loans

Description: Information on the authorization and transactions of major loan programs of the Department of Finance and other miscellaneous loans. **Topics:** Authority for loans; repayment schedules and payments received; federal-provincial employment; Municipal Development and Loan Board; special development; Winter Capital Project Fund; foreign countries. **Program Record Number:** FIN ADM 790

Public Debt

Description: Information on the authorization of borrowings and transactions related to said borrowings. Borrowings are categorized as Government of Canada marketable bonds; Canada Savings Bonds; treasury bills; Canada Bills; swaps; Canada Pension Plan Bonds and foreign currency borrowing. **Topics:** Unmatured debt; accrued interest; interest due and outstanding; interest expense; matured debt; redemption of interest and principal; annuities; claims; deposit and trust accounts; marketable bonds; non-marketable bonds; securities investment account. **Program Record Number:** FIN ADM 795

Salary Forecasting System (SAL)

Description: Managerial tool to forecast and cost salaries for decision making, includes; classification, employee status, position number, employee number and organization for Finance and the Treasury Board Secretariat. **Program Record Number:** FIN ADM 787

War Claims

Description: Information related to individual (Canadian) claims for losses or damages resulting from World War I, World War II and Halifax Explosion; and the corresponding settlement/compensation. **Topics:** International Claims — Custodian; Release of Assets; Trading with the Enemy — Foreign Countries; Bonds and Debts — Nationalization; Foreign Claims Settlements — War Claims — Reparations; Germany; Italy; Japan; Compensation for Losses Inside Japan (article 15); Compensation for Losses Outside Japan — WW11; Adjudication and Compensation in Canada — World War 1 Claims, Funds and Assets Transferred from Custodian — World War 1 Halifax 1917 Explosion. **Program Record Number:** FIN ADM 796

Economic and Fiscal Policy Branch

Business Sector Analysis Section

Description: Information and analysis of past and present economic conditions in the business sector in Canada. **Topics:** Business investment; wages and prices; national accounts; productivity; business cycles; financial positions of business. **Program Record Number:** FIN EAD 127

Canadian Forecast Section

Description: Information on short — and medium — term forecasts for the Canadian economy, current economic analysis and short-term forecast of the provincial economies. **Topics:** Forecasts, forecast comparisons, IMF, OECD. **Program Record Number:** FIN EAD 133

Demand and Labour Analysis

Description: Information and analysis of past and present economic conditions in the household sector in Canada. **Topics:** Gross domestic product; investment; personal expenditure; housing markets; business and consumer confidence; household financial positions; labour markets; labour market policies. **Program Record Number:** FIN EAD 123

Economic Analysis

Description: General information on analysis of past, present and future economic conditions in Canada. **Topics:** Canadian economy — reports, tax reform: conferences, meetings and seminars; economic analysis — economic bulletins; monetary policy evaluation; economic forecasting — foreign economy; Organization for Economic Cooperation and Development (OECD); regional and sectoral analysis. **Program Record Number:** FIN EAD 110

Economic Studies and Policy Analysis

Description: General information on the work of the Division: conducts academic-level applied research on key emerging structural and macroeconomic issues with a view to help develop policy. **Topics:** Social security reform; environment policy; The North American Free Trade Agreement; multilateral trade negotiations; economics of agricultural policies; business subsidies; regional disparities; demographic changes; economics of the environment; tax reform; labour market adjustment; competitiveness; productivity; general equilibrium models (GET; dynamic macro GE; environment — economy; intermod); OECD EPC/WP1; OECD structural surveillance. **Program Record Number:** FIN EAD 100

Energy and Resource Policy

Description: General information of the energy and resources policies of Canada and other countries. **Topics:** General series. **Program Record Number:** EDP 496

Expenditure Analysis and Forecasting Section

Description: Information on federal government expenditure planning, the forecasting of the government's expenditure framework, the fiscal implications of the federal budget and the government's main estimates

drawn up in conjunction with the Treasury Board of Canada. **Topics:** Budgets; expenditures and estimates; fiscal forecasts; government expenditure pressures.

Program Record Number: FIN FPD 055

Financial Modelling

Description: Subject headings related to the various aspects of financial modelling and policy analysis on the economies of Canada and the United States. In particular, these include data and material on macro policies, domestic and international financial flows, and financial models and forecasts for the two economies. **Topics:** Interest rates; money demand; general research.

Program Record Number: FIN FPD 058

Food Policy

Description: Information on food policy and the food industry. **Topics:** General series; conferences, committees, and meetings; studies. **Program Record Number:**

FIN EAD 130

Forecasting and Model Development

Description: Information on the forecasting of the short-and-medium-term quarterly performance of the Canadian, US and other G-7 economies. **Topics:** Canadian economy — reports; conferences, meetings and seminars; economic analysis — economic bulletins; economic forecasting — G-7 economies; Organization for Economic Cooperation and Development (OECD); International Monetary Fund (IMF); regional and sectoral analysis; United States economic development. **Program Record Number:** FIN EAD 130

International Economic Analysis (IEA)

Description: Provides analysis and policy advice on international economic policy coordination. **Topics:** Conferences and Meetings — Economic Summits; Group of Seven Finance Ministers; Organization for Economic Cooperation and Development (OECD); International Monetary Fund (IMF); APEC Finance Ministers; North American Financial Group. **Program Record Number:** FIN IEA 703

Macro Analysis Section

Description: Conduct research studies on macroeconomic issues with a view to help develop policies. **Topics:** Reform of the Canada Pension Plan and its economic consequences; relationship between real interest rates and economic growth; economic impacts of lower deficits and debts; inflation targets; dynamic macro GE model; reduced-form macro model; real cost of funds in Canada; dynamic model of investment, savings and financial flows; growth models; and competitiveness and productivity issues. **Program Record Number:** FIN EAD 137

Monetary Policy and International Trade

Description: Information and analysis of macroeconomic issue and policies. **Topics:** Economic growth; unemployment; productivity; investment and saving; competitiveness; balance of payments; merchandise

trade; international capital flows; monetary policy and conditions; exchange rates; interest rates; monetary and credit aggregates; monetary policy; inflation; business cycles. **Program Record Number:** FIN EAD 120

Policy Analysis and Model Development

Description: Information on macroeconomic model development and analysis of policy measures. **Topics:** Econometric modelling; consumption models; investment models; inventory models; trade models; balance of payment models; exchange rate models; expectations models; comparative models; analysis of possible policy changes. **Program Record Number:** FIN EAD 135

Provincial and Local Government

Forecasting and Analysis Section

Description: Information on the ongoing fiscal situation and prospects of the provinces and their local governments.

Topics: Fiscal forecasts; inter-governmental fiscal relations; provincial and local fiscal situation and outlook; cyclically adjusted budget balances. **Program Record Number:**

FIN FPD 060

Regional and Sectoral Analysis

Description: Information on studies and analysis of past, present and future developments in specific sectors of the national economy and in provinces or regions of Canada.

Topics: Regional and sectoral analysis; provinces, regions, industries and sectors; labour market developments.

Program Record Number: FIN EAD 125

Revenue Analysis and Forecasting Section

Description: Information on federal government revenue planning, the forecasting of the government's revenues, and the fiscal implications of the federal budget. **Topics:**

Budgets; revenues and taxes. **Program Record Number:** FIN FPD 057

Structural Analysis Section

Description: Conduct research studies on structural and sectoral issues to help develop structural policy. **Topics:** Social security reform; environment policy; tax reform; income distribution; economic effects of North American free trade and multilateral trade liberalization; industrial subsidies; economies of scale; government support programs for agriculture; GET model; regional disparities; general equilibrium microeconomic models; tax incidence; interprovincial trade barriers; structural adjustment.

Program Record Number: FIN EAD 136

United States Economic Analysis Forecasting Section

Description: Information on economic developments in the United States and other G-7 economies and their likely impact on Canadian trade and other important economic variables. **Topics:** Econometric models; private sector forecasts; business cycle analysis; model simulations and forecasts of trade and economic conditions in the United States and other G-7 economies. **Program Record Number:** FIN EAD 115

◆ Economic Development Policy Secretariat

Agriculture

Description: General information on the development of agricultural policies in Canada and the allocation of federal financial resources through certain programs. **Topics:** General series dairy industry; farm financial assistance; federal-provincial and regional issues; general farm lobbies; grains and oilseeds — general, Canadian Wheat Board, Western Grain Stabilization Act; horticulture and special crops; international issues; marketing; poultry and eggs; red meat; resource base issues; spending levels/pressures/cuts; stabilization and support. **Program Record Number:** FIN EDD 480

Corporate Analysis

Description: Information on issues affecting the corporate sector and industrial corporations in Canada, including institutional issues and policies, and economic and financial issues. **Topics:** General series; economic issues; financial issues; industrial corporations. **Program Record Number:** FIN EDD 520

Economic Development

Description: General information on the development of sectors of the economy. **Topics:** General series; Board of Economic Development Ministers; economic councils; regulatory policies. **Program Record Number:** FIN EDD 430

Electrical Power

Description: Information on the development of policies on hydro-electrical power, electricity trade and specific projects. **Topics:** General series; Lower Churchill Development Corporation; projects. **Program Record Number:** FIN EDP 390

Energy

Description: General information on energy and energy policy, except oil and gas. **Topics:** General series; Canada-United States relations; conferences, committees and meetings; conservation programs; natural gas — general series, Arctic islands liquified natural gas; pipelines — general series, Deputy Minister's Committee, gas; relations with foreign companies; relations with provinces; renewable energy programs; substitution programs; Task Force on Northern Oil Development. **Program Record Number:** FIN EDP 425

Environment

Description: General information on the environment, including implementation of the Green Plan; all forms of air, industrial and water pollution; international relations; tax and fiscal issues; water as a Canadian resource; international negotiations and agreements; environmental assessment; and specific projects, committees and studies dealing with water management in all regions of Canada. **Topics:** General series; air, environment and the economy; Environment Canada — general series; historical sites; international relations; national parks; national wildlife; pollution — general series, industrial;

research programs; environmental assessment; water — international, (general series, International Joint Commission, pollution), national (general series, pollution), regional (general series), Prairies, pollution); weather. **Program Record Number:** FIN ERP 405

Fisheries and Oceans

Description: General information on the harvesting and processing of fish and seafood, including reviews, associations, crown investments, federal-provincial relations, international relations, legislation, regulations, and subsidy and support programs as well as information on ocean issues. **Topics:** General series; Department of Fisheries and Oceans; oceans; policy and programs — Atlantic; policy and programs — Pacific; regulation; relations; resource and industry; subsidy and support programs tax and fiscal policy issues; Canadian Coast Guard. **Program Record Number:** FIN EDP 495

Forestry

Description: Information on forestry policy and the forest industry, including pulp and paper. **Topics:** General series; industrial development — forest products. **Program Record Number:** FIN EDD 521

Industrial Development

Description: Information on government policies and programs to promote industrial development and related issues, including government procurement of goods and services. **Topics:** General series Companies; government procurement; major projects; programs; sectors — general series aerospace (general series Canadair, deHavilland Aircraft of Canada Limited), automotive, shipbuilding; small business. **Program Record Number:** FIN EDD 435

Minerals

Description: General information on mining and mine reclamation and the production and marketing of minerals, including reviews, associations, missions to other countries, federal and provincial legislation, specific minerals. **Topics:** General series; acts and regulations; coal; commodities; companies; gold; policy review; task forces uranium — general series, industries, legislation, production and sales; fiscal/tax issues. **Program Record Number:** FIN ERP 410

Northern Development

Description: General information on various aspects of development in the Northwest and Yukon Territories related to natural resource development, including energy accords. **Topics:** General series; Advisory Committee; Inuit and Indians; financial support; resources — general series, land claim issues, minerals, oil and gas; senior policy committee on northern resource development projects; transportation — general series, roads. **Program Record Number:** FIN EDP 415

Nuclear Power

Description: General information on electrical power generated by nuclear means: includes the Atomic Energy

Control Act and Board, liability in case of accidents, building of nuclear reactors in Canada, sale of nuclear reactors and heavy water plants to foreign countries, production and sale of heavy water in Canada. **Topics:** General series; Atomic Energy Advisory Panel; domestic projects; foreign relations; non-proliferation and safeguards; heavy water — general series, plants; liability; research and development program; research and technology. **Program Record Number:** FIN EDP 395

Oil and Gas

Description: Information on oil and gas policy, including initiatives and legislation, federal-provincial negotiations, particular energy projects (e.g., tar sands plants, Hibernia, Upgraders) and proceedings of intergovernmental and interdepartmental committees. **Topics:** General series; downstream petroleum industry — general series, exports; heavy oil and tar sands; imports; industry — general series, Dome Petroleum; macro issues; sector and policy; taxation issues. **Program Record Number:** FIN EDP 420

Policy Planning and Coordination

Description: General information on policy development and financial resource management issues related to the operations of the Cabinet Committee on Economic and Regional Development (CCERD). **Topics:** General series; Cabinet Committee on Economic and Regional Development; economic and regional development envelope; prosperity. **Program Record Number:** FIN EDD 432

Regional Development

Description: Information on policies and programs to promote the development of the economies of Canada's regions, and on issues related to such measures. **Topics:** General series; economic and regional development and general development agreements; programs; regions — Atlantic (general series, New Brunswick, Newfoundland, Nova Scotia, Prince Edward Island), Northern, Ontario, Quebec, Western (general series, Alberta, British Columbia, Manitoba, Saskatchewan). **Program Record Number:** FIN EDD 450

Science and Technology

Description: Information on the development of policies on scientific and technological innovation activities in Canada and the federal government's programs for encouraging these activities. **Topics:** General series; granting councils and related programs; space program; technological innovation and related programs. **Program Record Number:** FIN EDD 460

Telecommunications

Description: Information on the development of policies on telecommunications, including radio and television, cablevision, licences, satellites and cellular phone services. **Topics:** General series; industry; radio and television; regulations and services; satellites. **Program Record Number:** FIN EDD 465

Transportation

Description: Information on the development of policies on air, surface and marine transportation systems in Canada. **Topics:** General series; air mode; general series, airports; Canadian Transport Commission; civil aviation; harbours and ports; highways and roads; highways and bridges; marine mode; motor vehicle; rail mode; shipping; urban. **Program Record Number:** FIN EDD 470

Federal-Provincial Relations and Social Policy Branch

Canada Health and Social Transfer

Description: Information on the level of federal transfers to provinces and territories in support of health, social assistance and post-secondary education. **Topics:** Tax transfer; cash transfer; Canada Assistance Plan (CAP); Established Programs Financing (EPF); health; Canada Health Act; post-secondary education. **Program Record Number:** FIN SPD 307

Conferences, Committees and Meetings

Description: Information, such as agendas, briefing material, background papers, summary notes of discussions and statements on conferences, committees and meetings pertaining to federal-provincial relations. **Topics:** Continuing Committee, subcommittees, equalization, fiscal arrangements; interprovincial; ministers of finance and provincial treasurers; conferences, committees and meetings — Continuing Committee of Officials (CCO); Territorial Formula Financing. **Program Record Number:** FIN FPR 235

Equalization

Description: Information on the development and implementation of formulae for the equalization of provincial revenues. **Topics:** Formulae (1967-72, 1972-77, 1977-82, 1982-87, 1987-92, 1992-94, 1994-99); tax bases; representative tax system; five province standard; renewal. **Program Record Number:** FIN FPR 210

Established Programs

Description: Information on certain aspects of established programs such as Quebec Youth Allowances, contracting-out and others. Information on the main components of these programs, namely medical, hospital insurance and post-secondary education, is to be found under other classes. **Topics:** Quebec; financing. **Program Record Number:** FIN FPR 215

Federal-Provincial Relations

Description: General information on federal-provincial relations and topics not covered in specific program records. **Topics:** Bills; provincial fiscal stabilization program; inter-governmental liaison for fiscal and economic matters; national unity; conditional grants and shared cost programs; Northwest Territories and Yukon — financial matters; Public Utilities Income Tax Transfer Act (PUITTA); statutory subsidies. **Program Record Number:** FIN FPR 200

Fiscal Arrangements

Description: Information on the development leading up to fiscal arrangements for programs such as the Canada Health and Social Transfer, Equalization, and Established Program Financing. **Topics:** Tax Rental Agreements; Tax Sharing Agreements; Equalization and Tax Collection agreements; revenue guarantees; Fiscal Arrangements and Established Programs Financing; unconditional transfers; block funding; contracting-out arrangements; Quebec abatement. **Program Record Number:** FIN FPR 205

Income Security

Description: Information on the development of policies relating to income security programs. **Topics:** Canada Assistance Plan (CAP); child benefits; elderly benefits; government annuities programs; pensions — Canada Pension Plan (CPP) — benefits, financing, Pension Benefits Standards Act (PBSA), public service pension; retirement income policy, veterans. **Program Record Number:** FIN SPD 296

Labour Markets

Description: Information on policies and programs relating to the labour market. **Topics:** Canada Employment and Immigration Commission — immigration, job creation and training programs, unemployment insurance program, financing, Department of Labour; labour adjustment; status of women; youth program. **Program Record Number:** FIN SPD 301

Social Policy

Description: General information on the development of social policies. **Topics:** General social research and policy. **Program Record Number:** FIN SPD 290

Socio-economic Development

Description: Information on the development of policies relating to socio-economic programs. **Topics:** Communications and culture; disability; education — post-secondary education, students; health — Health and Welfare Canada; housing — Canada Mortgage and Housing Corporation, corporate finance, mortgage insurance, mortgage market, programs; justice — acts and regulations; multiculturalism; native programs and activities — claims and master agreements, financial; official languages; Solicitor General; Secretary of State; sports/fitness. **Program Record Number:** FIN SPD 306

Financial Sector Policy Branch

Balance of Payments

Description: Information on Canada's balance of payments. **Topics:** Reports; policies; capital flows; forecasts; United States. **Program Record Number:** FIN FMD 180

Bank of Canada

Description: Information on the administration, policies and programs of the Bank of Canada. **Topics:** Currency reserves; director and officer appointments; financial

matters; returns to the Minister of Finance. **Program Record Number:** FIN FMD 155

Bonds and Securities

Description: Information on the development of policies on the issue and cancellation of Government of Canada bonds and treasury bills. **Topics:** Debt management policies; bonds; cancellation of securities; Government of Canada bonds; perpetual bonds 3% — 1936; real return bonds; securities deposit matters; treasury bills; wartime loans and bonds. **Access:** Information on specific Government of Canada bonds is filed by date of issue. **Program Record Number:** FIN FMD 165

Canada Savings Bonds

Description: General information on the development of policies on the sale and redemption of Canada Savings Bonds and specific issues related to Canada Savings Bonds and the sale of Government of Canada securities to the retail public. **Topics:** Advertising; series; Canada Investment and Savings; legal matters and claims. **Program Record Number:** FIN FMD 170

Capital Markets

Description: General information on the federal government's involvement in capital markets. **Topics:** Capital markets; financial markets — money, bond and equity. **Program Record Number:** FIN FMD 145

Clearance and Settlement Issues

Description: General information on issues related to payments and clearance and settlement systems. **Topics:** Clearing and settlement, retail and wholesale payments systems, cross border payments, corporate governance, technological developments, electronic funds transfer (EFT), large value transfer system (LVTS), FX netting systems, Canadian Depository for Securities (CDS). **Program Record Number:** FIN FID 152

Financial Developments

Description: General information on financial developments in Canada. **Topics:** Financial markets — Canada; interest rates — analysis and forecasts. **Program Record Number:** FIN FMD 190

Financing Abroad

Description: Information on the borrowing of money in other countries by the federal, provincial and municipal governments and by Canadian corporations. **Topics:** Government projects financing; Government financing in Japan, the United States, Germany, and Switzerland; provincial, municipal and corporate direct and guaranteed financing bond issues — domestic and foreign. **Program Record Number:** FIN FMD 175

International Issues

Description: Information on issues related to participation by Canadian financial institutions foreign markets and foreign financial institutions participation in the Canadian market. **Topics:** North American Free Trade Agreement,

Multilateral Trade Negotiations, Organization for Economic Cooperation and Development, and bilateral discussions.

Program Record Number: FIN FSP 155

Official International Reserves and Exchange Rates

Description: Information on official reserves, the external value of the Canadian dollar and associated policies.

Topics: The Currency Act; Official International Reserves; Exchange Fund Account; gold; Canadian dollar (including issues relating to legal tender status of coinage) — analysis reports; international financial and exchange markets.

Program Record Number: FIN FMD 185

Other Issues

Description: Information on policies related to Canada's financial institutions and markets. **Topics:** Future policy development of the sector, industry profiles, directors' liability, environmental liability, small business financing, derivatives, mortgage markets, investment rules, consumer issues, industry consultations, relations and analysis, and the Interest Act. **Program Record Number:** FIN FSP 157

Regulatory Policy Issues

Description: Information on Canada's financial institutions, including banks, trust companies, loan companies, insurers, as well as the development of policies relating to financial institutions and federal deposit insurance.

General information on the structure and operation of the financial services industry and related statutes and regulations. **Topics:** Financial sector reform, ownership, foreign entry, troubled financial institutions, confidentiality/disclosure, Financial Institutions Supervisory Committee, deposit insurance/policyholder protection, insurance networking, regulatory approvals and interventions, industry consultations, relations and analysis. **Program Record Number:** FIN FID 150

Record Number: FIN FID 150

International Trade and Finance Branch

Agreements

Description: Information on the negotiations and the development of policies on international agreements between Canada and other countries, and between other countries. **Topics:** Automotive agreement; cultural, air, shipping and miscellaneous agreements with other countries; miscellaneous agreements between other countries. **Program Record Number:** FIN IER 565

Anti-Dumping

Description: Information on anti-dumping actions taken, including statements of reasons, decisions and Panel (either WTO or NAFTA) review of those decisions. **Topics:** Canadian — commodities, cases other than steel, cases steel; international — European Economic Community (EEC), United States of America (USA), Canadian negotiating position regarding anti-dumping in international agreements (WTO, NAFTA, proposed FTAA). **Program Record Number:** FIN IER 551

Canada — United States and Mexico Trade Agreement

Description: Information on the development and implementation of policies affecting trade relations between Canada, the United States and Mexico. **Topics:** North American Free Trade Agreement (NAFTA). **Program Record Number:** FIN IER 557

Canada — United States Trade Agreement

Description: Information on the development and implementation of policies affecting trade relations between Canada and the United States. **Topics:** FTA: Anti-trust and business acquisition; chapter 18, chapter 19 panel; contingency protection; customs and tariffs; sectoral matters — agriculture, automotive and aerospace, energy and the environment; mining and metals. **Program Record Number:** FIN IER 553

Canada-U.S. Free Trade Agreement (FTA), North American Free Trade Agreement (NAFTA)

Description: Information on tariff reductions, accelerated tariff reductions, rules of origin, special safeguard provisions. **Program Record Number:** FIN TAR 695

Canadian and Foreign Countries Trade

Description: General information and reports on trade relations with foreign countries, including information on the negotiation and content of trade agreements. **Topics:** Contingency protection; customs and tariffs. **Program Record Number:** FIN IER 552

Canadian Development Assistance

Description: General information on the financial programs and other developmental assistance given to foreign countries by Canada and the federal government agencies that administer these programs. **Topics:** Bilateral and multilateral allocations; Canadian International Development Agency (CIDA); Canadian International Development Board; committees; conferences and meetings; energy crisis and aid; financing; private sector; reviews. **Program Record Number:** FIN IFD 620

Canadian Development Assistance Programs

Description: Information on the Canadian government's programs of developmental assistance to specific foreign countries. **Topics:** Central and Eastern Europe; Africa — Commonwealth, francophone; Caribbean; export market development; Latin America; Middle East; South and Southeast Asia — Association of Southeast Asian Nations — India — Pakistan, projects. **Program Record Number:** FIN IFD 625

Commonwealth Relations

Description: Information on the administration, programs and policies of the British Commonwealth and its organizations and the Canadian government's position on these policies and programs. **Topics:** Commonwealth; committees; developmental assistance; Commonwealth Fund for Technical Co-operation; meetings and conferences of Commonwealth officials; meetings — heads of government, ministers, finance, food production and

rural development, trade and economic; Commonwealth organizations; Commonwealth Secretariat — administration, committees, education, education liaison, finance; Commonwealth Telecommunications Council — world development. **Program Record Number:** FIN IFD 630

Defence Programs

Description: Information on the Canadian government's defence policies and programs. **Topics:** Defence budget and funding arrangements; defence budget and funding practices in other countries; defence agreements with other countries; procurement; international competitive bidding; North Atlantic Treaty Organization (NATO) — agreements, conferences and meetings, committees, defence expenditures, Group of Fourteen, financing, civil and military budgets. **Program Record Number:** FIN IFD 618

Economic, Political and Statistical Reports

Description: Information on international economic and financial activity. **Topics:** Japan; Germany, France, the United Kingdom; Italy; other industrialized OECD countries; the European Union; emerging market economies and the states of central and eastern Europe, as well as Russia and some other states of the former Soviet Union.

Program Record Number: FIN IFD 610

European Union

Description: Information on the development and implementation of trade policies affecting trade relations between Canada and the EU. **Topics:** Agriculture policy; external economic relations; policies; tariff and trade issues; 1992/foreign countries. **Program Record Number:** FIN IER 554

Export Finance Programs

Description: Information on the administration, policies and programs of the Export Development Corporation and the Credit Grain Sales Program of the Canadian Wheat Board, set up by the federal government to facilitate and develop trade between Canada and other countries. **Topics:** Export Development Corporation; board of directors; committee of directors; countries; long-term export financing and financing projects; insurance and investment; Nuclear Power Projects Committee; postwar loans and guarantees — countries, China; Canada Account, Credit Grain Sales Program of the Canadian Wheat Board, and Agri-Food Credit Facility. **Program Record Number:** FIN IFD 635

Foreign Ownership and Control in the Canadian Economy

Description: Information on the extent of foreign ownership and control in sectors of the Canadian economy and policies in this area. **Topics:** Foreign ownership and control of the Canadian economy — industries; international policies. **Program Record Number:** FIN IER 555

Francophone Relations

Description: Information on meetings held inside and outside Canada. **Topics:** Meetings. **Program Record Number:** FIN IFD 633

General Agreement on Tariffs and Trade (GATT)

Description: Information on the preparation of detailed positions on tariff matters, customs valuation and the conduct of negotiations on multilateral trade issues under the GATT/WTO. **Topics:** Multilateral trade negotiations; Article XXVIII renegotiations; consolidations of, and rectifications and modifications to, Canada's GATT/WTO schedule on tariff bindings. **Program Record Number:** FIN TAR 690

General Agreement on Tariffs and Trade (GATT)/WTO

Description: Information on the development of policies, negotiations, decisions, reports, meetings on the General Agreement on Tariffs and Trade (GATT). **Topics:** Articles of Agreement; GATT and United Nations Conference on Trade and Development (UNCTAD) Centre; administration; committees and conferences; Kennedy Round — 1963; Tokyo Round of Multilateral Trade Negotiations — 1973; Multilateral Trade Negotiations (Uruguay) 1986. **Program Record Number:** FIN IER 570

International Economic and Financial Relations

Description: General information on international economic and financial relations, including conferences and meetings. **Topics:** Financial relations with other countries (G-24); Economic Summit, G7, APEC Finance Ministers, Western Hemisphere Finance Ministers. **Program Record Number:** FIN IFD 605

International Economic Relations

Description: Information on the conduct and formulation of specific trade policies. **Topics:** Agreements — GATT; WTO; FTA; NAFTA; APEC; Chilean Access; FTAA; automotive agreement, relations with other countries; Canadian customs and tariffs; Canadian International Trade Tribunal (CITT) — references/studies; commodities — textile- footwear, foreign countries; conferences, committees and meetings — bilateral trade and economic relations; countervailing duties — Canadian, international, United States; economic sanctions; emergency surtaxes; international commodity groups; foreign direct investment, countries, review process; relations with FAITC; Special Import Measures Act — subsidies; countervail; antidumping; government procurements; safeguards measures; Services. **Program Record Number:** FIN IER 550

International Energy Matters

Description: Information on the development of policies on international relations, projects and organizations dealing with energy. **Topics:** International energy conferences; International Energy Agency (IEA); Organization of Petroleum Exporting Countries (OPEC). **Program Record Number:** FIN IER 585

International Financial Institutions

Description: General information on the administration, policies and programs of international financial institutions and the Canadian government's position on their policies and programs. **Topics:** International Centre for Settlement of Investment Disputes (ICSID); Multilateral projects; International Bank for reconstruction and Development, Inter-American Development Bank, African Development Bank, Asian Development Bank, European Bank for Reconstruction and Development, International Finance Corporation, Multilateral Investment Guarantee Agency, The World Bank. **Program Record Number:** FIN IFD 640

International Financial Institutions — Individual Institutions

Description: Information on the administration, policies and programs of individual institutions and the Canadian government's position on these policies and programs. **Topics:** African Development Bank (AFDB); African Development Fund (AFDF); Asian Development Bank (ASDB); Asian Development Fund (ASDF); Caribbean Development Bank (CDB); European Bank for Reconstruction and Development (EBRD); Inter-American Development Bank (IDB); International Bank for Reconstruction and Development (IBRD); International Development Association (IDA); International Finance Corporation (IFC); Multilateral International Guarantee Agency. **Program Record Number:** FIN IFD 645

International Monetary Fund (IMF)

Description: Information on the administration, operation and policies of the International Monetary Fund and Canada's participation in it. **Topics:** Bretton Woods Institutions — annual meetings; policy; administration; directors; financial operations (including the Enhanced Structural Adjustment Facility); facilities of the IMF; gold; quotas; special drawing rights; interim committee; Group of Ten; international liquidity; publications and reports; relations with Canada and other countries. **Program Record Number:** FIN IFD 600

International Organizations

Description: Information on the policies, programs and reports on the activities of international organizations and the Canadian government's position on their policies, programs and reports. **Topics:** International satellite communications; Organization of American States (OAS) — conferences and meetings. **Program Record Number:** FIN IFD 650

International Organizations

Description: Information on the administration, operations and policies of international organizations dealing with international economic relations. **Topics:** United Nations; European Communities; Euratom; European Free Trade Area; European Coal and Steel Community; European Economic Community; common markets; free trade areas; North Atlantic Free Trade Area; Organization for Economic Co-operation and Development (OECD) — administrative matters, financial matters, general policy matters,

committees and agencies; World Trade Organization; APEC. **Program Record Number:** FIN IER 560

International Programs

Description: General information on programs and events in developing countries. **Topics:** Payments made in respect of multilateral debt reduction agreements; Paris Club. **Program Record Number:** FIN IFD 615

International Trade

Description: General information on the conduct of trade between Canada and other countries. **Topics:** Trade of other countries; trade reports on Canadian trade with other countries (i.e. TPRM); trade with individual countries. **Program Record Number:** FIN IER 575

Military Assistance

Description: Information on the development of policies on the provision of military assistance, including training and material, to foreign countries and the administration of programs to provide this assistance. **Topics:** Programs. **Program Record Number:** FIN IFD 655

Multilateral Co-ordination of Aid and Financing

Description: Information on international programs and projects to furnish financial and other help to countries to develop their industries and resources. **Topics:** Development assistance programs — inter-governmental groups, United States of America; Organization for Economic Co-operation and Development (OECD) — Development Assistance Committee (DAC), Development Centre, meetings, private flow, programs, working parties and groups, Export Credits Group, documents; OECD Arrangement on Guidelines for Officially supported Export Credits; Practices of other export credit agencies. **Program Record Number:** FIN IFD 660

Organization for Economic Cooperation and Development (OECD)

Description: Information on the activities of the OECD, including reports, analysis and positions of Canada with respect to activities of specific committees. **Topics:** Conferences, committees and meetings — Economic and Development Review Committee, Economic Policy Committee, Executive Committee in special session, Trade Committee, CIME, CMIT; MAI. **Program Record Number:** FIN IER 556

Other Import Measures

Description: Other information on the importation of goods into Canada, including information on the preferential treatment accorded to some countries, specific commodities, customs tariffs and duties and the government agencies which administer these tariffs and duties. **Topics:** International Commodity Group; Canadian customs; Canadian customs tariff; Canadian International Trade Tribunal; generalized system of preferences — country files. **Program Record Number:** FIN TAR 595

Special Import Measures

Description: Information on measures in respect of the importation of subsidized or dumped goods. **Topics:** International anti-dumping; international countervailing duties; Special Import Measures Act; emergency surtaxes; Canadian International Trade Tribunal; commodities; Canadian countervailing duties; low-cost imports; quota consultations; commodities — textiles, by country.

Program Record Number: FIN IER 590

Tariff Items

Description: Information such as representations from industry for specific changes in rates of duty for individual tariff items or classes of items, rules of origin, concessionary codes, harmonized system. **Program Record Number:** FIN TAR 700

Tariffs

Description: General information on the development of policies on customs tariffs and trade, including acts, legislation and agreements. **Topics:** Tariff policy; tariff simplification; bilateral and multilateral trade agreements; surtaxes; remissions and drawbacks; preferences for developing countries; marking of imported goods; Canadian International Trade Tribunal; Orders in Council.

Program Record Number: FIN TAR 675

United Nations

Description: Information on the administration, policies and programs of the United Nations and the Canadian government's position on these policies and programs. **Topics:** Economic Commissions — Europe, committees; United Nations General Assembly committees — ad hoc, economic and social, Fifth Administrative and Budgetary — sessions; United Nations High Commissioner for Refugees (UNHCR); International Economic Order; Law of the Sea and Ocean Dumping Convention; peacekeeping operations; programs; United Nations Secretariat; Security Council; specialized agencies; financial operations.

Program Record Number: FIN IFD 665

United Nations Agencies

Description: Information on the administration, policies and programs of the agencies of the United Nations and the Canadian government's position on these policies and programs. **Topics:** United Nations Conference on Trade and Development (UNCTAD) — administration, committees and conferences, financial operations; UNCTAD Trade and Development Board — Permanent Committee, sessions, transfer of technology; United Nations Development Programs (UNDP) — administration, financial operations, Governing Council; Economic and Social Council (ECOSOC) — commissions, standing committees, Science and Technology; subsidiary bodies — Trans-national Corporation; United Nations Educational, Scientific and Cultural Organization (UNESCO); United Nations Environmental Program (UNEP) — Governing Council; Food and Agricultural Organization (FAO) — administration, committees, conferences and meetings, Council, financial operations, publications; United Nations Fund; United

Nations Fund for Africans; United Nations Fund for Drug Abuse Control (UNFDAC); United Nations Fund for Population Activities (UNFPA); United Nations Industrial Development Organization (UNIDO) — administration, conferences and meetings, Industrial Development Board; Inter-governmental Maritime Consultative Organization (IMCO) — administration, committees; International Atomic Energy Agency (IAEA) — administration, conferences and meetings, financial operations, nuclear power; United Nations International Children's Emergency Fund (UNICEF); International Civil Aviation Organization (ICAO); International Fund for Agricultural Development (IFAD); International Labour Organization (ILO) — administration, conferences, financial operations, governing body, programs; International Telecommunications Union (ITU); Pan-American Health Organization (PAHO); United Nations Relief and Works Administration (UNRWA); Universal Postal Union (UPU); World Food Program (WFP) — committees; World Health Organization (WHO) — administration, financial operations; World Health Assembly; World Meteorological Organization (WMO) — administration; World Tourism Organization (WTO) — transformation.

Program Record Number: FIN IFD 670

Tax Policy Branch

Budgets

Description: Information on the preparation and tabling of the federal government's budget. **Topics:** Bills; background technical and policy information; Minister's briefing notes; enquiries. **Program Record Number:** FIN TPD 710

Excise Taxes and Duties

Description: Information on the excise taxes and duties charged on goods and services. **Topics:** Air transportation; alcohol; tobacco products (including Tobacco Tax Evaluation, 1993); tobacco smuggling; energy; jewellery (including Jewellery Tax Evaluation, 1993); oil export tax; split-run tax; and Excise Act Review. **Program Record Number:** FIN STD 755

Goods and Services Tax

Description: Information on the operation of the GST. **Topics:** GST treatment of various sectors (including non-profit and charitable organizations); small business issues (including compliance costs); Federal-Provincial issues (harmonization; reciprocal tax agreements); administrative issues (filing; remittances); general operational issues cash flow; compliance/documentation requirements; import and export issues; industry specific issues; quantitative analysis; environmental issues; application of GST to Indians; sales tax reform (alternatives to GST). **Program Record Number:** FIN STD 747

Income Tax

Description: General information on the federal Income Tax Act, including material dealing with the development of policies, briefs and submissions from companies, groups and associations, and proposals from the public.

Topics: Assessments, payments and returns; oil, gas and mining resource projects; briefs and submissions; capital gains and losses; charities; deferred and other income arrangements; excess profits tax; office or employment; partnerships; pensions and retirement plans; political contributions; resources — forestry, oil and gas oil sands; trusts — estates and gifts. **Program Record Number:** FIN TPD 715

Income Tax on Business and Property

Description: Information on the federal income tax on business and property, including material dealing with the development of policies and proposals from companies, groups, associations and individuals. **Topics:** Deductions; land and real estate; special cases. **Program Record Number:** FIN TPD 720

Intergovernmental Taxation

Description: information on policy development and administration of Federal-Provincial Income Tax Collection Agreements and the Reciprocal Taxation Agreements; analysis of issues in the area of federal-provincial tax relations; and policy development and negotiations on Indian taxation. **Topics:** Federal-Provincial Tax Agreements; Indian tax exemption; Indian government tax powers. **Program Record Number:** FIN TPB 768

International Taxation

Description: Information on all aspects of international taxation such as tax agreements with other countries and international organizations, taxation matters within other countries, privileges and immunities. **Topics:** Canadian tax agreements with other countries; Canadian tax agreements with the United Kingdom and the United States; international organizations; privileges and immunities within other countries and within the United States; Canada-U.S. comparisons. **Program Record Number:** FIN TPD 731

Non-resident Taxes

Description: Information on the federal income tax on persons, businesses and corporations that are not residents of Canada, including material dealing with the development of policies and proposals from companies, groups, associations and individuals. **Topics:** Business and corporations; personal; withholding tax. **Program Record Number:** FIN TPD 725

Personal Income Tax

Description: Information on federal personal income taxes, including material dealing with the development and evaluation of tax measures and policies. **Topics:** Tax structure; tax expenditures (exemptions, deductions, credits); income maintenance; employment; education; capital gains; retirement savings; charitable donations; special groups (seniors, children, people with disabilities). **Program Record Number:** FIN TPD 730

Refunds, Credits and Remissions

Description: Information on the refund and remittance of overpayments of federal excise and sales taxes. **Program Record Number:** FIN STD 750

Remissions of Taxes

Description: Information on the remission of taxes, corporation income taxes, personal income taxes and all other forms of federal taxes, except sales and excise taxes. **Program Record Number:** FIN STD 735

Tax Evaluations

Description: Information on evaluation of selected tax measures and on consultations and discussions on the subject of tax expenditures. **Topics:** Committees; conferences; meetings; seminars; publications. **Program Record Number:** FIN TPB 770

Tax Policy

Description: General information on tax policy and legislation, including reports from Revenue Canada-Taxation, statistics, Royal Commission reports and studies on the subject of taxation. Information on Indian taxation in the context of self-government. **Topics:** Royal Commission on Taxation — briefs and submissions, reports and studies; White Paper on Tax Reform — studies; tax expenditures; conferences. **Program Record Number:** FIN TPD 705

Taxes on Corporations and Shareholders

Description: Information on the federal income tax on corporations and shareholders, including material dealing with the development of policies and proposals from companies, groups, associations and individuals. **Topics:** Deductions; investment income; reorganizations; securities; special rules (banking); white paper on tax reform — 1987. **Program Record Number:** FIN BIT 765

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Audits

Budgets

Buildings

Buildings and Properties

Classification of Positions

Co-operation and Liaison

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Lands

Occupational Health, Safety and Welfare

Office Appliances

Official Languages

Pensions and Insurance

Personnel

Procurement

Salaries and Wages

Staff Relations

Training and Development

Utilities

Vehicles

Personal Information Banks

Access Request Files

Description: This bank contains requests under the Access to Information Act submitted by individuals to access records under the control of the Department of Finance, the replies to such requests and any other information relevant to the processing of the requests.

Class of Individuals: Individuals who have exercised their rights under the Access to Information Act. **Purpose:** This information is compiled to process requests submitted under the Access to Information Act. **Consistent Uses:** The information may also be used to compile statistics in relation to reporting requirements or in relation to studies on developing trends on the use of the legislation.

Retention and Disposal Standards: Information is retained for two years from the date of the most recent action on file, after which the records are destroyed.

PAC Number: 86-001 **TBS Registration:** 001888

Bank Number: FIN PPU 020

Applications for Employment

Description: This bank serves as a reference for any applications received from individuals seeking employment with the Department of Finance. These requests usually consist of a letter to which a curriculum vitae is attached and which contain such information as the name, address, education and experience of the individual. **Class of Individuals:** Individuals seeking employment with the Department of Finance. **Purpose:** Applications retained for vacant positions within the Department. **Consistent Uses:** The bank is also used to store information on these individuals. **Retention and Disposal Standards:** The records are retained for two years and are then destroyed.

PAC Number: 86-001 **Related to PR#:** FIN ADM 920

TBS Registration: 001886 **Bank Number:** FIN PPU 010

Goods and Services Tax (GST)

Description: Names and addresses of individuals and companies requesting information from the Department of Finance regarding the Goods and Services Tax (GST); includes information on the type of documentation requested and the data source of the request (GST Infoline, direct mail campaign). **Class of Individuals:** Primarily people representing Canadian businesses; also members of the general public requesting general information on the GST. **Purpose:** In the course of processing information requests, the names and addresses of companies or individuals are recorded as well as the pamphlets they have requested. When new information becomes available that pertains to an individual's request, updated material can be sent to that individual on a selective basis.

Retention and Disposal Standards: Files are retained

for six years and then destroyed. **Related to PR#:**

FIN STD 745 **TBS Registration:** 002757 **Bank Number:** FIN PPU 025

Minister's Correspondence

Description: This bank contains letters addressed to the Minister of Finance and Secretary of State (International Financial Institutions). It relates to individuals who write to Minister of Finance and Secretary of State (International Financial Institutions). **Class of Individuals:** Individuals wishing to comment on the issues of the day. **Purpose:** Letters are sent to the Minister and the Secretary of State (International Financial Institutions). **Retention and Disposal Standards:** Files are retained for five years and then destroyed. **TBS Registration:** 003545 **Bank Number:** FIN PPU 030

Personal Services Contracts

Description: This bank contains the contracts placed, types of services rendered, length of contracts, money expended, the social insurance number of individuals on contract, the actual contracts and supporting documents.

Class of Individuals: Individuals hired under personal service contracts by the Department. **Purpose:** The bank is used for accounting, reference and statistical purposes.

Retention and Disposal Standards: Files are retained for six years and are then destroyed. **PAC Number:** 86-001

Related to PR#: FIN ADM 914 **TBS Registration:** 001885 **Bank Number:** FIN PPU 005

Privacy Request Files

Description: This bank contains requests submitted under the Privacy Act, the replies to such requests and any other information relevant to the processing of the requests. **Class of Individuals:** The general public or employees of the Department who have exercised their rights of access under the Privacy Act. **Purpose:** This information is compiled to process requests submitted under the Privacy Act and to allow individuals to exercise their rights under the legislation. **Consistent Uses:** The bank is used in the compilation of statistics in relation to reporting requirements of the Privacy Act or in relation to studies on the developing trends of requests submitted under the Act. **Retention and Disposal Standards:**

Information is retained for two years from the date of the most recent action on file, after which the records are destroyed. **PAC Number:** 86-001 **TBS Registration:** 001887 **Bank Number:** FIN PPU 015

Classes of Personal Information

In the course of conducting the programs and activities of the Department of Finance, personal information may be accumulated which is not contained in the specific information banks described in this entry. This information exists in a fragmented form throughout the subject files of the Department. This information is stored as part of the general subject files, where records are normally retrieved by the name of the individual or other personal identifier. This form of information is retrievable only if specifics are provided concerning the subject matter, related departmental activity, as well as the date at which the information was received by the Department and to whom it was addressed.

The personal information contained in the subject files is retained for the same period of time as the related subject information and disposed of according to the appropriate record schedules.

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Department of Finance and its programs and functions may be directed to:

Information Services
Department of Finance
140 O'Connor Street
Ottawa, Ontario
K1A 0G5
(613) 992-1573

Reading Room

Access to Information and Privacy Office
21st Floor, East Tower
L'Esplanade Laurier
140 O'Connor Street
Ottawa.

Finance and Treasury Board Library
11th Floor, East Tower
L'Esplanade Laurier
140 O'Connor Street
Ottawa

Department of Justice Canada

Chapter 41

General Information

Background

The Department of Justice was created by the Department of Justice Act, passed at the first session of Parliament of the Dominion of Canada, and assented to on May 22, 1868. This Act outlined the responsibilities of the Minister of Justice and the Attorney General of Canada and, with a few exceptions, these responsibilities have remained substantially unchanged.

Responsibilities

The work of the Department consists of litigation, provision of legal advice and opinions to the federal government of Canada on a very wide range of matters, and the drafting of legislation, contracts and other legal documents. The Department is also concerned with the legal aspects of developing government policy, and prepares recommendations or reviews recommendations, for reform of the law. To carry out these responsibilities, the Department uses federal Statutes and Regulations. In addition, contributions are made to provide financial assistance to organizations conducting studies and legal research and to other law-related programs. A limited number of grants is made in connection with legal education, and cost-sharing agreements are entered into with provincial and territorial governments in connection with young offenders, compensation of victims of violent crimes, legal aid in criminal cases for adults and young offenders, native court worker programs, and access to legal information. The Department also undertakes research in support of policy initiatives and other departmental responsibilities.

The role of the Federal Department of Justice does not include the provision of legal services or advice to members of the public.

Legislation

- Access to Information Act, R.S.C (1985), c. A-1
- Annulment of Marriages (Ontario) Act, R.S.C. 1970, c. A-14
- Bills of Lading Act, R.S.C. (1985), c. B-5
- Canada Evidence Act, R.S.C (1985), c. C-5
- Canada Prize Act, R.S.C. 1970, c. P-24
- Canada-United Kingdom Civil and Commercial Judgments Convention Act, R.S.C. (1985), c. C-30
- Canadian Bill of Rights, S.C. 1960, c. 44, Part I
- Canadian Human Rights Act, R.S.C. (1985), c. H-6
- Canadian Laws Offshore Application Act, 1990, c. 44
- Commercial Arbitration Act, R.S.C. (1985), c. 17 (2nd Supp.)
- Competition Tribunal Act, R.S.C. 1985, c. 19 (2nd Supp.) Part I
- Contraventions Act, S.C. (1992), c. 47
- Criminal Code, R.S.C. (1985), c. C-46
- Crown Liability Act, R.S.C. (1985), c. C-50
- Department of Justice Act, R.S.C. (1985), c. J-2
- Divorce Act, R.S.C. (1985), c. 3 (2nd Supp.)
- Escheats Act, R.S.C. (1985), c. E-13
- Extradition Act, R.S.C. (1985), c. E-23
- Family Orders and Agreements Enforcement Assistance Act, R.S.C. (1985), c. 4 (2nd Supp.)
- Federal Court Act, R.S.C. (1985), c. F-7
- Firearms Act, S.C. (1995), c. 39
- Foreign Enlistment Act, R.S.C. (1985), c. F-28
- Foreign Extraterritorial Measures Act, R.S.C. (1985), c. F-29
- Fugitive Offenders Act, R.S.C. (1985), c. F-32
- Garnishment, Attachment and Pension Diversion Act, R.S.C. (1985), c. G-2
- Identification of Criminals Act, R.S.C. (1985), c. I-1
- International Sale of Goods Contracts Convention Act, S.C. 1991, c. 13
- Interpretation Act, R.S.C. (1985), c. I-21
- Judges Act, R.S.C. (1985), c. J-1
- Law Commission of Canada Act, S.C. (1996), c. 9
- Marriage (Prohibited Degrees) Act (1990) c. 46
- Mutual Legal Assistance in Criminal Matters Act, R.S.C. (1985), c. 30 (4th Supp.)
- Narcotic Control Act, R.S.C. (1985), c. N-1
- Official Languages Act, R.S.C. (1985), c. 31 (4th Supp.)
- Official Secrets Act, R.S.C. (1985), c. O-5
- Postal Services Interruption Relief Act, R.S.C. (1985), c. P-16
- Privacy Act, R.S.C. (1985), c. P-21
- Revised Statutes of Canada, 1985 Act, R.S.C. (1985), c. 40 (3rd Supp.)
- Security Offences Act, R.S., c. S-7
- State Immunity Act, R.S.C. (1985), c. S-18
- Statute Revision Act, R.S.C. (1985), c. S-20
- Statutory Instruments Act, R.S.C. (1985), c. S-22
- Supreme Court Act, R.S.C. (1985), c. S-26
- Tax Court of Canada Act, R.S.C. (1985), c. T-2

- Tobacco Restraint Act, R.S.C. (1985), c. T-12
- United Nations Foreign Arbitral Awards Convention Act, R.S.C. (1985), c. 16 (2nd Supp.)
- Young Offenders Act, R.S.C. (1985), c. Y-1

Organization

Deputy Minister's Office

The Office provides essential support services to the Deputy Minister. It ensures appropriate communication and liaison on policy and operational issues between the Deputy Minister and the Minister's Office, the Department and other government departments and agencies.

Canadian Unity Group

The Canadian Unity Group includes the Office of the Associate Deputy Minister and provides assistance to the Government of Canada with respect to Canadian unity and other constitutional issues.

Civil Law and Corporate Management Sector

The Civil Law and Corporate Management Sector includes the Office of the Associate Deputy Minister and has dual roles and responsibilities.

The Sector is responsible for litigation involving the federal government in the province of Quebec and for providing legal services with respect to real property and commercial law in Quebec. The Sector is also responsible for promoting and protecting the bilingual and bijuridical nature of Canada's justice system, advising on the overall direction and management of all departmental interactions with the province of Quebec, for coordinating the participation of the Department in the 'Comité d'experts sur la coopération juridique et judiciaire pour la Francophonie', and for evaluating the impact of the new Civil Code of Quebec on federal practises.

The Sector also provides administrative support services related to finance, human resources, accommodation, security, telecommunications, computer systems, information management and all other logistical elements common to government departments. The Sector is also responsible for legal and other contracts, management reviews, internal audits, family law assistance systems, the Central Registry of Divorce Proceedings as well as corporate services, including the Access to Information and Privacy Office, the Departmental Library, and Corporate Legal Training.

Access to Information and Privacy Office

The Office is responsible for administering the Access to Information Act and the Privacy Act for the Department of Justice. It processes requests submitted under the legislation, handles complaints lodged with the Information and Privacy Commissioners and responds to informal

enquiries. The Office also provides advice and guidance to Justice officials on matters which concern the legislation.

Civil Code Section

The Civil Code Section is responsible for bringing the administration of the federal government into line with the new civil law of Quebec. The Section has a mandate to harmonise federal statutes that impact on the private law with the civil law of Quebec in order to ensure improved application of federal legislative policy in the province. In addition, the Section provides a civil law research and consultation service and is involved in policy development and training as well as in preparing and revising information manuals on the civil law of Quebec that may have an impact on federal activities in the province.

Civil Litigation and Real Property (Quebec) Section

The Section is responsible for litigation involving the federal government in the province of Quebec (except in districts within the jurisdiction of the Montreal Court of Appeal) and for real property law matters in Quebec.

The Commercial and Property Law (Quebec) Section has general responsibility for providing functional direction to all lawyers in property law matters, and for providing policy and legal advice to federal departments and agencies in matters of property law.

Corporate Management Policy, Systems and Services Directorate

The Directorate consists of seven divisions: Planning and Resources Management Division; Financial Management Division; Contracts and Material Management Division; Facilities Management Division; Protective Services Division; Family Law Assistance Section; and Contraventions Project. The Directorate provides support services to departmental officials, managers and employees in the areas of strategic resourcing, finance, administration, special programs, and security. The Directorate is involved in the following main activities: providing research management services (e.g., financial planning and strategic resourcing analysis and advice, operational planning, development of strategies, identification of required level of resources); developing, implementing and maintaining financial policies and systems and providing accounting services and administrative services; managing material and contracting services, as well as maintaining related systems and providing facilities management; managing special programs (e.g. the Family Orders and Agreements Enforcement Assistance Unit, the Central Registry of Divorce Proceedings, the Garnishment Registry and the Contraventions Project); providing physical, personnel, contract, communications and informatics security/protective advice, training and services (including business resumption planning), and implementing corporate protective programs in these areas.

Francophonie and Visitors Programs

The Office of the Coordinator for the Francophonie is responsible for departmental and interdepartmental coordination of Department of Justice activities and initiatives relating to the Francophonie. This involves coordinating legal and judicial cooperation in francophone countries in order to ensure that it meets the objectives and priorities adopted by Canada in this regard. The Office is also responsible for coordinating initiatives taken to promote bilingualism and bijuralism within the Department and in its partners such as the implementation of Part VII of the Official Languages Act designed to promote the growth of official language minorities in Canada.

The Office is also responsible for coordinating the Visitors and Professionals Interchange Program, which is designed to facilitate and promote exchanges of professional skills between the Department of Justice and its partners. In particular, it gives employees the opportunity to participate in external projects of great interest to the Department and enables it to invite professionals whose expertise or views are not available within the Department to accept short-term assignments to the Department.

Human Resources Directorate

The Directorate is responsible for managing, developing, implementing, and advising on a wide variety of human resources management programs and policies, including those in the areas of staffing, classification, staff relations, compensation, employment equity, human resources planning, training and development, and official languages.

Information Management Directorate

The Information Management Directorate (IMD) consists of six divisions: Application Division, Informatics Services Division, Library Services Division, Planning and Communications Division, Project Support and Administration Division, and Records Services Division. IMD is responsible for providing information technology (corporate networks, hardware, applications, systems and services), library and records services to the Department of Justice.

Internal Audit

The mandate of the Internal Audit Section is to conduct an independent assessment of key programs, operations and systems, and to provide objective information on the adequacy of the management framework in place, in terms of the cost-effectiveness of program delivery activities and internal operations, the economical and efficient use of resources, the protection of these resources, the integrity and utility of information, and compliance with statutes and policies.

Legal Education Division

The Division coordinates central legal training activities in the Department. Its clients include employees from the

Department and from client departments. The Division also offers special training to other groups as needed, including training for standing legal agents and for magistrates in Haiti.

Office of Conflict Resolution

The mandate of the Office of Conflict Resolution is to implement the departmental policy on harassment in the workplace. The Office is responsible for administering formal harassment complaints, for resolving informal conflicts through mediation and conciliation and for providing advice and training to managers and employees on appropriate workplace behaviour. In addition, the Office is responsible for reporting on the nature of the complaints and conflicts, and for presenting its recommendations to the Deputy Minister and senior management on conflict prevention and resolution.

Quebec Regional Office

The Quebec Regional Office provides legal services to federal government departments and agencies working in Quebec, especially in civil litigation matters in the territory within the jurisdiction of the Quebec Court of Appeal sitting at Montreal, and in taxation and criminal cases throughout the province of Quebec with the exception of the National Capital Region,. The Office consists of the Civil Litigation Section, the Criminal Prosecutions Section, the Tax Litigation Section, the Administration Section and the Human Resources Section.

Renewal Secretariat

The Renewal Secretariat is responsible for assisting managers in improving the effectiveness and efficiency of the Department's activities and operations. It oversees and facilitates the implementation of the Choices for the Future Task Force Reports and Program Review recommendations; and facilitates and manages change in the Department.

The Secretariat is responsible for: monitoring and coordinating, on behalf of the Deputy Minister, the implementation of the Choices for the Future and Program Review summary plans and all detailed workplans; coordinating and monitoring an Investment Fund; providing management support services; directing and coordinating business process reengineering projects; and providing general corporate support to the Civil Law and Corporate Management Sector.

Communications and Executive Services Branch

The Communications and Executive Services Branch is responsible for managing and conducting all aspects of the Department's communications, both internally and externally. It coordinates material for Question Period, as well as briefing notes on Supreme Court decisions and other matters. It takes care of the logistics of the Minister's participation in Cabinet and standing parliamentary

committees. In consultation with various sectors, the Branch also prepares replies to correspondence addressed to the Minister.

Director General's Office

The Director General's Office is responsible for the overall direction and administration of the Branch. It also organizes departmental corporate events and special projects and coordinates the Branch's communications initiatives on firearms.

Executive Services Division

The Executive Services Division has two main areas of responsibilities: Parliamentary Affairs and Cabinet Affairs.

Parliamentary Affairs is responsible for the coordination of the legislative process for Justice Bills; the preparation of responses to Parliamentary Committees' reports; Justice related items on Private Members' Business; Question Period; the preparation of responses to petitions and to questions raised in the House and in the Senate that require written responses; and lateshows. Parliamentary Affairs also has a coordination and advisory role with respect to appearances before Parliamentary Committees.

Cabinet Affairs is responsible for the coordination and provision of advice on Cabinet approval of Justice policy initiatives; the coordination and/or preparation of appropriate briefing material for the Minister for Cabinet and Cabinet Committee meetings; and the receipt, circulation and return of Cabinet documents to the Privy Council Office.

Ministerial Correspondence Unit

In consultation with departmental officials, the Ministerial Correspondence Unit prepare replies to correspondence addressed to the Minister.

Operations and Ministerial Services Division

The Operations and Ministerial Services Division provides front-line service to the public (inquiry lines and distribution of publications), manages federal-provincial-territorial communications and networks, and coordinates communications with other government departments. The Division also makes arrangements for advertising or wide-ranging communications programs, including the preparation of material for the news media, exhibits, videos, and feature articles. It provides distribution plans and compiles the public information material required when bills are introduced. The Division also operates various public and interest group outreach programs, including the Department's Speaker's Bureau.

The Division has daily contact with the media and the public and prepares news releases, updates on court cases, summaries of departmental achievements, and other educational and information materials for the news media and general and specialized publics. It monitors

public response to proposed legislation or existing policies and keeps subject files of news clippings and transcripts.

Planning, Advice and Programs Communications Division

The responsibilities of the Planning, Advice and Programs Communications Division are divided into three areas: communications planning, communications advice, and program communications. Activities include communications research, environmental analysis, and the preparation of communications plans for Memoranda to Cabinet and communications strategies for a wide array of internal and external initiatives.

Publishing and Corporate Communications Division

The Publishing and Corporate Communications Division assists sectors in planning and implementing their publications and projects. This Division provides expert services and advice in areas such as publishing, writing, editing and design, and producing publications. It is also responsible for internal communications products including the departmental newsletter, *Inter Pares*, and does focus-group testing for external communications products.

Legal Operations Sector

The Legal Operations Sector includes the Office of the Associate Deputy Minister, Legal Operations. The Sector is responsible for the delivery of legal services to clients, including legal advisory and litigation services and, in collaboration with the Legislative Services Branch, legislative services. The Sector amalgamates a diverse range of areas in the Department of Justice legal practice, from public to criminal law, to litigation, business, finance and aboriginal law, to name a few.

The Sector serves government departments and agencies in the National Capital Region and all regions of Canada through its network of Regional Offices, except the Quebec Regional Office. It is organized along portfolio lines and client services grouped together under the following main areas: Civil Litigation, Specialized Legal Advisory Services, Criminal Law, Tax Law Services, Citizenship and Immigration Law, Aboriginal Affairs, Business Group, Central Agency Group, Regulatory Group, and the Regional Offices.

The Associate Deputy Minister, Legal Operations, is responsible for the coordination, planning and supervision of all Sector services provided to government clients.

Aboriginal Affairs

This portfolio includes the Office of the Assistant Deputy Attorney General, Aboriginal Affairs. The portfolio carries out a wide range of responsibilities in relation to Aboriginal matters: it advises the government on broad issues that are not the responsibility of any one program department (eg. Metis and non-status Indians); it develops positions

on legal policy issues such as management of the Crown's fiduciary obligations; it coordinates native litigation on behalf of the Crown; it provides legal advice to the Department of Indian Affairs and Northern Development (DIAND); and it leads and manages the Government's Aboriginal Justice Initiative.

◆ **Aboriginal Justice Directorate**

The Aboriginal Justice Directorate administers the government's Aboriginal Justice Strategy, which has been developed to help implement justice programs in Aboriginal communities, particularly communities wanting to negotiate self-government arrangements. The Strategy has two major objectives. The first is to respond to the aspirations of Aboriginal people to assume greater responsibility for the administration of justice in their community. The second is to help reduce the rates of crime and incarceration among Aboriginal people.

◆ **Legal Services Unit of Indian Affairs and Northern Development**

This Legal Services Unit is divided into several legal teams. Specific Claims (East and West) works with DIAND to implement the government's Specific Claims Policy; provides legal advice and representation at negotiating tables; represents the federal Crown on matters before the Indian Specific Claims Commission; and provides legal and policy advice on client driven initiatives such as the development of an independent claims commission. The Federal Treaty Negotiations Office provides legal support to DIAND in the negotiations of claims by British Columbia First Nations, submitted under the Comprehensive Land Claims Policy, and supports the government in its dealings with the British Columbia Treaty Commission and the Treaty Negotiation Advisory Committee. Indian Affairs and Self-Government provides legal support to DIAND in relation to the administration of the Indian Act, in the negotiations of self-government agreements, in policy development and administrative issues related to the operations of DIAND. Comprehensive Claims and Northern Affairs provides support to DIAND in the implementation of the Comprehensive Land Claims Policy (with the exception of British Columbia) and support to DIAND in fulfilling their responsibilities in the North.

◆ **Native Law Section**

This Section coordinates Aboriginal law matters in the Department in order to ensure consistency of legal advice, early identification and consideration of emerging Aboriginal law issues and the presentation of a uniform view on Aboriginal law issues to other departments and agencies.

Business Group

The Business Portfolio includes the Assistant Deputy Minister, Business Law, and 15 on-site legal services units: Atlantic Canada Opportunities Agency, Canadian Commercial Corporation, Canadian Heritage, Canadian International Development Agency, Canadian Space

Agency, Federal Office of Regional Development (Quebec), Foreign Affairs and International Trade, Industry Canada, National Defence, National Research Council, Natural Resources Canada, Public Works and Government Services, Royal Canadian Mint, Transport Canada and Western Economic Diversification. It also includes the Property Law Unit and four Secretariats.

The Business Portfolio is the departmental center of expertise for business and trade law (both international and interprovincial), as well as communication law. Moreover, the Portfolio provides legal opinions and advice on legislation, regulations, negotiates agreements and conducts litigation in selected areas. In addition to providing advice on the specific legislation, policy and programs of each of its clients, the common thread of business law runs through their activities and covers an important part of the advice provided by the Business Group.

The Property Law Unit provides property law advice not only to portfolio clients, but also to all other clients of the Department of Justice. The Commercialization Coordination Secretariat, Electronic Commerce Secretariat, Intellectual Property Secretariat and Maritime Law Secretariat coordinate work in their respective areas of activity.

Central Agency Group

The Central Agency Group is composed of the Office of the Assistant Deputy Minister and five Legal Services Units providing on-site legal services to central agencies in their respective areas of activity. Two legal services units serve the Department of Finance: General Legal Services and the Tax Counsel Division. In addition, one unit serves each of the following clients: the Office of the Superintendent of Financial Institutions, Treasury Board and the Public Service Commission.

Citizenship and Immigration

The Citizenship and Immigration Portfolio provides legal services to the Department of Citizenship and Immigration (the client department). This portfolio includes the Office of the Assistant Deputy Attorney General, the Legal Services Unit of the Department of Citizenship and Immigration, the War Crimes Section, and the Immigration Sections of the Regional Offices that provide services to the client department.

The portfolio provides legal advice on operations and on policy and program development; represents the Minister of Citizenship and Immigration in all immigration and citizenship proceedings before Canadian courts (including citizenship revocation and deportation proceedings); coordinates the delivery of litigation services by the Department of Justice's Regional Offices; and helps ensure that uniform legal positions are taken across the country.

◆ **War Crimes Section**

The War Crimes Section is responsible for prosecuting Criminal Code offences involving war crime and crimes against humanity committed outside Canada; litigating

citizenship and deportation cases where wartime activities or crimes against humanity committed abroad have fraudulently been hidden; advising immigration officials in cases involving allegations of war crimes or crimes against humanity; and advising and providing direction to the RCMP in war crimes and crimes against humanity investigations.

Civil Litigation

The Section includes the Office of the Assistant Deputy Attorney General, Civil Litigation, and comprises two areas:

◆ Civil Litigation Section

This Section, along with its counterparts in the Regional Offices, is responsible for the conduct of all litigation by or against the federal government, except tax and criminal litigation. The practice ranges across many areas of law, including administrative, admiralty and maritime, constitutional, contract, employment, environment, human rights, immigration, language, native law and trade law. Civil litigation services are provided to virtually every federal department and agency. Counsel appear before all levels of provincial and federal courts and before many federal administrative tribunals and international panels, such as NAFTA panels. Civil litigation counsel also advise on potentially litigious issues and are involved in finding policy and legislative solutions to problems that have come, or may come, before the courts.

Civil litigation services are provided by departmental litigation counsel and, in appropriate cases, by private sector lawyers appointed as agents of the Attorney General of Canada and working under the instruction of departmental litigation counsel.

◆ General Counsel Group

This group consists of senior lawyers with broad experience and expertise in the conduct of major litigation. They handle particularly complex and high profile litigation having significant financial and operational implications for the Crown.

Client-Driven Services and Portfolio Support Secretariat

This unit provides the administrative, financial and research support for the Client-Driven Services initiative. This is the primary vehicle of the Department of Justice for delivering high-quality and cost-effective service to client departments and agencies. The aim is to develop and implement, in partnership with clients, a management framework for allocating resources based on a joint planning and resource allocation process on a three-year cycle.

Criminal Law

The Criminal Law Branch is comprised of the Office of the Assistant Deputy Attorney General, the Criminal Law Section, the Office of National Strategy for Drug Prosecutions, and the National Security Group. Criminal

litigation services are provided to the Government of Canada by prosecutors located in Prosecution Groups in the five Regional Offices and by private sector lawyers hired as agents, on a standing or ad hoc basis, and working under the instructions of departmental counsel.

The Assistant Deputy Attorney General is the senior legal adviser in the Criminal Law Branch on matters relating to the enforcement or administration of the criminal law, and is accountable to the Associate Deputy Minister (Legal Operations Sector), the Deputy Attorney General and the Attorney General of Canada.

The Assistant Deputy Attorney General exercises responsibility over all prosecutions conducted on behalf of the Attorney General of Canada and provides functional direction, advice and assistance generally in areas related to criminal law. The Assistant Deputy Attorney General also plays a leadership role in the conduct of criminal litigation and is responsible for the position advanced by the Attorney General or the Minister of Justice in criminal litigation, including all criminal cases to be heard by the Supreme Court of Canada.

◆ Criminal Law Section

This section consists of the Criminal Prosecutions Group for Ottawa/Hull, the International Assistance Group, as well as a group of criminal law lawyers (including the Supreme Court of Canada Criminal Appeals Coordinator and Environmental Prosecutions Coordinator).

The Section assists in the exercise of functional responsibility for criminal litigation in the Department, coordinates with the Litigation Committee any criminal cases before the Supreme Court of Canada in which the Queen in Right of Canada is a party or intervenor, and advises on criminal law and federal enforcement policy and programs.

The Ottawa/Hull Prosecutions Office handles all federal criminal proceedings at the trial level in the National Capital Region. It also supervises federal prosecutorial activities undertaken by private sector lawyers as agents in Northern and Eastern Ontario and Western Quebec.

The International Assistance Group handles extradition and rendition requests, develops extradition policy and negotiates extradition treaties. It discharges the Minister's duties under the Mutual Legal Assistance in Criminal Matters Act and related treaties.

The Criminal Law Section also coordinates the work of the Northern Flying Squad in the Northwest Territories and the Yukon. The squad members (experienced prosecutors from regional offices and Headquarters) supplement the work of Crown counsel in the northern offices.

◆ Integrated Anti Drug Profiteering (IADP) Units

Set up in April, 1992 under a five year pilot project funded by Treasury Board, IADP Units are staffed by Justice counsel, police investigators, forensic accountants and administrative support employees. Operating under

Memoranda of Understanding, IADP counsel report directly to the Prosecutions Group Head in the Regional Office. IADP Units are located in Montreal, Toronto and Vancouver, and housed at RCMP headquarters in each of these cities.

Investigators and prosecutors in these units are working as members of Atask forces@ on proceeds of crime and money laundering investigations. In addition to providing an on-call advisory service to the police and other members of the units on a wide variety of issues related to the conduct of their investigations, IADP counsel also supervise the initial drafting of court applications for special search warrants and restraining orders and draft any submissions needed to obtain the approval of the Attorney General for the giving of undertakings.

◆ National Security Group

This group is responsible for advising the Assistant Deputy Attorney General (Criminal Law) on national security and intelligence matters.

◆ Office of National Strategy for Drug Prosecutions

This office has the primary responsibility for providing assistance and direction on all drug and proceeds of crime investigations, wiretap issues and prosecutions as well as management of the law with respect to these areas and certain related matters. Its main task is to support Regional Office counsel in the development of a strategic approach to the drug prosecution work of the Department. It develops drug and proceeds of prosecution policy, liaises with government agencies about drug prosecutions and provides input on policy issues from prosecutors to those responsible for the development of legislation on substantive criminal law and procedure.

Dispute Resolution Project

The mandate of the Dispute Resolution Project is to promote, encourage and implement dispute resolution mechanisms (conciliation, mediation, arbitration, etc.), other than litigation, in the federal government. It provides legal advice both within the Department of Justice and to all other federal departments and agencies. The Project is also involved in developing government policies to ensure that resolution mechanisms are applicable as part of those policies. Training of Department of Justice employees is an intrinsic part of the Project's mandate. Finally, to improve knowledge of this area, the Project's members prepare general and technical information documents and increase the awareness of other departments and agencies, non-governmental organizations and the public about the advantages and disadvantages of these mechanisms.

Regional Offices

Four Regional Offices report to the Associate Deputy Minister: the Atlantic Regional Office, located in Halifax; the Ontario Regional Office, located in Toronto; the Prairies and Northwest Territories Region, with regional

offices in Winnipeg, Saskatoon, Edmonton and Yellowknife and sub-offices in Calgary, Iqaluit, Inuvik and Rankin Inlet; and the British Columbia and Yukon Regional Office, with regional offices in Vancouver and Whitehorse.

The Regional Offices represent the client departments in civil and criminal matters, and provide a wide variety of legal services.

Regulatory Group

The Regulatory Portfolio is composed of the Head of Regulatory Group Portfolio and fifteen Legal Services Units providing on-site legal services to government clients whose mandates have in common a strong regulatory or public safety element. These units include: Agriculture and Agri-Food, Atomic Energy Control Board, Canadian Environmental Assessment Agency, Canadian Security Intelligence Service, Communications Security Establishment, Correctional Services, Environment Canada, Fisheries & Oceans, Health Canada, Human Resources Development, National Parole Board, Royal Canadian Mounted Police, Solicitor General, Statistics Canada, and Veterans Affairs.

Specialized Legal Advisory Services

This section provides specialized legal advisory services and litigation support in the areas of constitutional and administrative law, information and privacy law, international law and human rights law.

◆ Constitutional and Administrative Law Section

The principal function of this section is to provide legal advice and litigation support on all matters of constitutional, administrative law and Crown law, including the interpretation of statutes and regulations. The Section has developed particular expertise in issues related to Crown liability, Crown agencies, cost recovery under the Financial Administration Act, the machinery of government, commissions of inquiry, Parliamentary law and privileges, and the National Defence Act.

Another important aspect of the work of the Section is legal education, including educational activities and seminars conducted in conjunction with the Canadian Centre for Management Development (CCMD).

◆ Human Rights Law Section

The Human Rights Law Section provides legal advisory services and litigation support on matters relating to the Canadian Charter of Rights and Freedoms, the Canadian Human Rights Act and the Canadian Bill of Rights.

Included within the Section is the Official Languages Law Group which provides legal advice on language rights issues arising out of the Constitution Act 1867, the Charter, the Official Languages Act and the language rights provisions of the Criminal Code. The Group also supports litigators at all levels of courts and develops and

coordinates the position of the Government in language rights cases.

The Section also provides services in the area of international human rights law — advising and assisting in negotiating and developing Canada's position on international human rights instruments, negotiating provincial and territorial support for new human rights instruments, and developing and drafting Canada's position on complaints to the various United Nations and Organization of American States complaint bodies.

Legal education in all the above areas is an important aspect of the Section's work. It organizes conferences, seminars, prepares case briefs on Supreme Court of Canada Charter decisions, publishes a twice yearly review of Supreme Court Charter decisions and participates actively in the educational programs of CCMD.

◆ Information Law and Privacy Section

The Information Law and Privacy Section's primary role is to provide legal interpretation and advice relating to the Access to Information and Privacy (ATIP) Acts. The Section also provides litigation support on ATIP issues and legal advisory services on policy development in the area. The Section has a continuing legal education role in conducting annual seminars for Justice lawyers, providing legal updates to the ATIP community, training at CCMD and as requested by other departments. It works closely with the Treasury Board Secretariat in supporting their policy responsibilities under the ATIP legislation. The Section also participates in and supports a variety of special projects that relate to information law and privacy within the government.

◆ Office of the Coordinator, International Issues and Activities

The Office of the Coordinator, International Issues and Activities, provides leadership and coordination in international law matters and ensures the consistency of the Department's legal advice on international law. It is responsible for international litigation and for the establishment of special litigation teams, legal education in the area of international law and advising the Department and Minister on the filing of briefs before foreign courts.

The Office works with the Canadian International Development Agency and the Department of Foreign Affairs and International Trade to provide technical legal assistance abroad and to promote the Department's legal technical assistance capabilities and experience.

Tax Law Services

The Tax Law Services Portfolio includes the Office of the Assistant Deputy Attorney General and serves Revenue Canada in all aspects of its legal needs across the country. The Portfolio has functional responsibility for litigation and advice nationally in respect of fiscal matters

relating to income tax, the GST and FST, other excise tax matters, collections, excise, customs

◆ Headquarters Tax Litigation Section

This Section is responsible, on behalf of the Minister of Revenue Canada, for all civil appeals from income tax assessments or concerning Part IX of the Excise Tax Act (GST), as well as certain appeals under the Canada Pension Plan, the Unemployment Insurance Act and the Petroleum and Gas Revenue Tax Act. It is responsible for the conduct of litigation on behalf of Revenue Canada in all areas and regions of the country.

◆ Revenue Canada Legal Services Unit

The Legal Services Unit provides legal services and advice, on behalf of the Attorney General of Canada, to Revenue Canada officials in matters relating to the Income Tax Act, the Excise Tax Act, the Excise Act, the Customs Act and Customs Tariff, and related legislation. The Unit coordinates the delivery of legal services across the country and is also responsible for the legal aspects of Revenue Canada's operations and policies.

Legislative Services Branch

The Legislative Services Branch includes the Office of the Chief Legislative Counsel. The Branch provides a range of services, including the provision of legal advice, relating to the drafting, editing and publishing of government legislation and regulations, ensuring quality and compliance with the Charter of Rights and Freedoms, the Canadian Bill of Rights, the Statutory Instruments Act and the recognition of the provisions of the Civil Code. Access to the laws of Canada is ensured through the updating and consolidation of federal statutes and regulations in accordance with the Statute Revision Act and publication in accordance with the Publication of Statutes Act.

The outputs of the Legislative Services Branch include drafting of bills and government motions to amend bills, examination of regulations, publication of Parts II and III of the Canada Gazette, the Table of Public Statutes, the Table of Acts and Responsible Ministers, the Annual Statutes of Canada, CD-ROM and Internet access to the consolidated statutes and regulations, certification of bills and regulations and publication of consolidations of statutes and regulations.

Legislation Section

The Legislation Section is responsible, by Cabinet authority, for the drafting of all Government bills. The drafters of the Section draft bills authorized by Cabinet, the Government House Leader or another authority. They also draft the motions to amend that the responsible Minister may propose during the consideration of the bill by Parliament. At the stage of policy development, drafters provide advice on both formal and substantive legal questions to the departmental officials responsible for preparing legislative proposals.

The Chief Legislative Counsel acts for the Minister of Justice in certifying the consistency of the government bills with the Canadian Declaration of Rights and the Canadian Charter of Rights and Freedoms. The Section is responsible for reviewing each bill for such consistency.

The Tax Counsel Division to the Department of Finance includes a satellite unit of drafters of the Legislation Section located on the premises of that department. That unit works on tax bills and other legislative initiatives of that department.

Legislative Editing and Publishing Services

Legislative Editing and Publishing Services comprises the Legislative Editing Office, Automation Services and Database Management Services (formerly the Statute Revision Commission).

The Legislative Editing Office provides French and English editing services and paralegal support to the drafters of both the Legislation Section and the Regulations Section. It drafts proclamations and commissions for review by legal officers of the Regulations Section. It reviews all government bills as they proceed through Parliament to ensure that each reprint of the bill accurately reflects amendments made to it by parliamentary committees. It also maintains the only complete up-to-date indexed set of master copies of federal statutes and regulations, and has the additional responsibility of preparing and overseeing the printing of the "Assented to" Acts, the Table of Public Statutes and Table of Acts and Responsible Ministers, the Table of Private Acts, Part III of the Canada Gazette and the annual volumes of the Statutes of Canada. The Office also produces the consolidations of the Constitution Acts 1867 to 1982. It is the only government office responsible for all official publishing of Acts of Parliament following Royal Assent, as well as for related reference tables.

The Automation Services Unit is the centre of all photocomposition coding and publishing activities for draft government bills prepared by the Legislation Section, and for the publications of the Legislative Editing Office and Database Management Services. The Unit is also responsible for maintaining the Legislation Composition and Publication System network and the Interleaf network, and supporting the users thereof. It also maintains the database for Statutes and Regulations.

The Database Management Services maintains the database of consolidated federal Acts and regulations, which is used to produce office consolidations and CD-ROMs of the consolidated Statutes and Regulations of Canada.

National Program for the Integration of both Official Languages in the Administration of Justice (POLAJ)

The National Program for the Integration of Both Official Languages in the Administration of Justice is a joint program of the Department of Canadian Heritage and

Justice Canada, in which the federal Translation Bureau is also involved. Its objective is to contribute to promoting and enhancing the administration of justice in both official languages so as to enable Canadians to exercise their rights in the two official languages. All organizations interested in the administration of justice in both of Canada's official languages are represented in the Steering Committee of POLAJ.

Regulations Section

The Regulations Section (formerly known as the Privy Council Office Section) examines regulations on behalf of the Clerk of the Privy Council to advise as to whether certain legal and drafting criteria set out under the Statutory Instruments Act are met. It also provides the services of a lawyer to advise the Assistant Clerk of the Privy Council Office (Orders in Council) on legal and drafting matters concerning orders in council, proclamations and other statutory instruments. The Section works very closely with the Regulatory Affairs Branch of the Treasury Board in order to ensure that the processing of regulations is done in an orderly way and to establish, when necessary, government priorities. There are currently two satellite units — one each at the Departments of Transport and of Health.

Policy Sector

The Sector plans, develops and implements government justice policies dealing with criminal, family, international, administrative, information and privacy, and human rights law; judicial affairs, regulatory compliance, crime prevention, sentencing, conviction review, gender equality and multiculturalism. Special projects within the Sector include the Canadian Firearms Centre, the Child Support Initiative, the Self-Defence Review and the National Crime Prevention Council. In addition, the Sector provides policy, planning and coordination capability within the Department. This includes the management of the Department's substantive policy agenda and the management of key relationships with the Department's policy partners in the federal government, the provinces and territories, and non-governmental organizations. The Sector is responsible for research and development, public legal education and information, coordinating the Department's consultation process and administering and managing cost-shared programs (Criminal Legal Aid, Young Offenders, Native Courtworker), and grants and contribution funds. The Sector also supports the establishment of the new Law Commission of Canada.

Canadian Firearms Centre

The Canadian Firearms Centre replaces the former Firearms Control Task Force, which was originally set up to develop and implement Bill C-17. The Canadian Firearms Centre will develop and implement the new firearms licensing and registration system required by the Firearms Act (Bill C-68) which received Royal Assent on December 5, 1995. The Centre also has responsibility for the overall coordination

and management of the Canadian Firearms Program, involving its federal partners (the Royal Canadian Mounted Police, Revenue Canada, the Solicitor General of Canada, and the Department of Foreign Affairs and International Trade), provincial and territorial governments, law enforcement agencies and other organizations across the country.

Child Support Interim Team

The Team is responsible for implementing, monitoring and communicating legislative changes to Canada's child support system, including the introduction of federal guidelines to establish fairer and more consistent child support payments, and stronger enforcement procedures to help provincial and territorial enforcement agencies ensure that family support obligations are respected. The Team also manages the provision of financial assistance to the Provinces and Territories to help them deal with the expected increase in applications to vary child support orders as a result of the legislative changes.

Consultation Division

The Consultation Division prepares consultation plans; advises on appropriate consultation strategies; re-writes consultation papers to improve their readability and accessibility to lay people; edits consultation papers in English and French; ensures that translations of consultation papers are accurate; prepares distribution lists; analyzes consultation responses; advises on who should attend small consultation meetings; contacts experts in cities across Canada and invites them to attend consultation meetings in their city; assists in the preparation of a list of groups who should appear before a Parliamentary Committee to comment on a bill; and undertakes appropriate follow up where necessary, including evaluations of consultations.

Corporate Policy Group

The Group provides policy planning, coordination, integration, and liaison services to the Department. Through the work of its three divisions, namely the Law and Policy Division, the Federal-Provincial Relations and External Liaison Division, and the Law Reform Division, the Corporate Policy Group supports the Department's policy functions. It provides support for the management of the policy agenda, including strategic advice, policy coordination, planning and priority setting. It develops tools and elaborates approaches to enhance policy development and integration. It manages key relationships with the Department's policy partners in the federal government, the Provinces and Territories, and national non-government organizations. It provides strategic advice, coordination, and secretariat support to a range of committees and other policy forums. The Group supports the establishment of the new Law Commission of Canada and the development of mechanisms to ensure liaison between the Government and the Commission.

Criminal Conviction Review Group

The Criminal Conviction Review Group assists the Minister of Justice in the assessment of section 690 Criminal Code applications. These applications originate from persons who allege that they were wrongly convicted of indictable offenses. Section 690 of the Criminal Code confers a discretionary power on the Minister of Justice to provide a remedy in the form of a new trial or appeal hearing.

Criminal Law Policy Section

The Section identifies and analyzes emerging criminal justice issues, and develops and implements government policies dealing with criminal justice. It monitors the development of the criminal law, advises the Minister and senior officials and proposes amendments to the Criminal Code and related statutes. The Section consults on an ongoing basis with provincial and territorial officials responsible for criminal justice and, as needed, with international and non-governmental organizations for the purposes of identifying emerging issues, sharing information and coordinating activities in areas of mutual interest.

Family, Children and Youth Section

The Section identifies and analyzes emerging legal and related issues relating to the family, children and youth, and develops policies and legislation to address them with respect to the criminal law, family law and related Charter issues. The Section monitors the development of the law, provides advice to the Minister and senior officials and proposes changes, where necessary, to the statutes for which the Minister is responsible in these areas.

National Crime Prevention Council Secretariat

The Secretariat supports the National Crime Prevention Council, coordinates the National Strategy on Community Safety and Crime Prevention and facilitates the work of the Federal-Provincial-Territorial Working Group on Community Safety and Crime Prevention. This integration of responsibilities helps to ensure that the efforts of the National Crime Prevention Council, the Secretariat and the federal, provincial and territorial governments are harmonized and complementary.

Programs Directorate

The Programs Directorate contributes to the development of a fair and accessible Canadian justice system. It provides advice and financial assistance to provincial/territorial governments, community-based organizations, national voluntary agencies and individuals and undertakes and supports public legal education and information activities. The Directorate also administers and manages the Cost-Shared Programs (Criminal Legal Aid, Young Offenders, Native Courtworker) and Grants and Contribution Programs.

Public Law Policy Section

The Public Law Policy Section deals with the development of private international law through support for international organizations such as the Hague Conference on Private International Law, UNCITRAL and UNIDROIT. As well, the Section manages the Minister's responsibility for judicial affairs and is carrying reform projects in areas such as information and administrative law and the Canadian Human Rights Act.

Research, Statistics and Evaluation Directorate

The Directorate is responsible for assisting departmental policy-makers and decision-makers by bringing a multi-disciplinary approach to the identification, tracking and analysis of current and emerging corporate and sectorial issues; the production and dissemination of quality research findings and statistical information; and the development of innovative justice projects and programs.

Sentencing Team

The Sentencing Reform Team is responsible for identifying and analyzing issues relating to the sentencing of adult offenders in Canada. It proposes amendments to the Criminal Code and related statutes on sentencing matters. The Team's work focuses on assisting in the development and encouragement of alternatives to incarceration for low-risk offenders.

Social Policy Section

The Section ensures that the administration of justice is consistent with national priorities and that the justice system is inclusive, fair, rational and efficient. It initiates, formulates and monitors policies, especially in the areas of gender equality, racial equality and multiculturalism.

Information Holdings

Program Records

LINKING STATEMENT

The Department of Justice files are maintained based on the subject content of the record or case name and/or details of the case using a central file classification system. Each Regional Office, Legal Services Unit and Headquarters Section classifies records according to the subject matter or case name. Generally the records can be categorized into four main groups: Administrative, Legal Operational, Legal Policy and Program Development. As a rule information is retrieved by name, subject, statute or term. Requesters need only describe the record they wish to access. If the request pertains to a specific case, the location indicating where the case took place is also required.

Administration — Communications and Executive Services Branch

Description: This class of documents contains news releases, updates to court cases, summaries of departmental achievements, and other educational and information materials, speeches, briefing notes, Question Period material, ministerial correspondence, and Cabinet, Parliamentary and other relevant documents. **Topics:** Various subject matter related to administrative, operational and policy issues. **Program Record Number:** JUS COM 001

Administration — General

Description: Headquarters, Legal Service Units, Regional Offices each may have administrative records of a policy and/or routine matters pertaining to employees of the Department and pertaining to services that support the administration and operation of the Department. Most of these records are covered by the descriptions contained in the STANDARD PROGRAM RECORDS. Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents. **Program Record Number:** JUS ADM 001

Administration — Information Management Technology

Description: This class of documents contains information management plans, technological specifications for hardware equipment and software applications, contracts and service agreements, studies, reports and supporting correspondence and documentation. **Topics:** Requirements and proposals for various operational support systems. **Program Record Number:** JUS IMT 001

Legal Operational — Civil Proceedings

Description: Information on an aggregate set of records pertaining to legal matters which come under the jurisdiction of the Department. **Topics:** Legal opinions, requests to institute civil and legal proceedings, by or against the Crown or its agents or servants in a court of law or in any administrative board or tribunal; collection files; property and commercial documents, agreements of all kinds, waivers, licences and formal contracts and court documents, e.g. statements of claim, defence statements, reasons for order, motions, etc. **Program Record Number:** JUS CVL 001

Legal Operational — Legal Advice

Description: Information providing legal advice to any department or agency of the Government of Canada. **Topics:** Formal opinions, by memorandum of law or letter; guides relating to the provision of legal advice, correspondence requesting legal opinions, and memoranda and correspondence providing legal advice. **Program Record Number:** JUS LGL 001

Legal Operational — Legislation

Description: Records pertaining to instructions for drafts of legislation. **Topics:** Background papers and treatises; memoranda to Cabinet; drafts of all Bills presented to

Parliament; regulations, orders, proclamations and commissions. **Program Record Number:** JUS LGS 001

Legal Operational — Property

Description: Information relating to the acquisition of real property by purchase or expropriation, and the disposition of real property. **Topics:** Various agreements, leases, deeds, licences, title abstracts, letters patent, and related correspondence and memoranda. **Program Record Number:** JUS PRP 001

Legal Policy

Description: Information on legal policy and policy development. **Topics:** Memoranda of law and facts, briefing notes, memoranda to Cabinet, consultation documents with non-governmental organizations, provinces, other countries and special interest groups, consultants' reports and studies, research material, communication strategies and supporting correspondence. **Program Record Number:** JUS POL 001

Program Development

Description: Information related to grants and contributions. **Topics:** Public legal education initiatives, legal aid, agreements with the provinces, programs for aboriginal peoples, program for law students, young offenders. **Program Record Number:** JUS PGM 001

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Administration

Administration and Management Services

Audits

Budgets

Buildings and Properties

Classification of Positions

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Occupational Health, Safety and Welfare

Office Appliances

Official Languages

Personnel

Procurement

Salaries and Wages

Staff Relations

Training and Development

Vehicles

Personal Information Banks

Access Request Data Bank

Description: This bank contains information on requests sent by individuals to obtain access to departmental records under the Access to Information Act and the Privacy Act, the replies to such requests, and information related to their processing. **Class of Individuals:** Individuals who requested access to records under the Access to Information Act and the Privacy Act. **Purpose:** The information in this bank is used to process requests and to report on the activities of the Access to Information and Privacy Office. **Retention and Disposal Standards:** Material is retained for two years after the last action on file. **PAC Number:** 86-001 **TBS Registration:** 001659 **Bank Number:** JUS PPU 090

Allan Memorial Institute

Depatterned Persons Assistance Plan

Description: This bank contains information on individuals who have made application for an ex-gratia payment of \$100,000 and who were former patients of Dr. Ewen Cameron at the Allan Memorial Institute and underwent a treatment termed 'depatterning'. The Plan was established by Order in Council (P.C. 1992-2302, 16 November 1992) and terminated on January 31, 1994. This bank contains application forms identifying the individual information, release forms authorizing the release of medical records, medical records to the extent required to substantiate the depatterning treatment and other administrative documentation. **Class of Individuals:** Individuals who have made applications for payment under the criteria set out in the Order in Council. **Purpose:** The information was used in the administrative and operation activities required to carry out the Government of Canada's responsibilities under the Order in Council. **Retention and Disposal Standards:** Records are retained for a period of 21 years. **TBS Registration:** 003497 **Bank Number:** JUS PPU 135

Applicant Inventory Files

Description: This bank contains information on members of the general public who have exercised an interest in employment with the Department of Justice. Complete files include application forms giving educational and personal histories, interview appraisal forms, letters of reference, correspondence and memoranda. **Class of Individuals:** Members of the general public who have expressed an interest in employment with the Department of Justice. **Purpose:** The information in this bank is used to assess individuals for positions that are to be filled through open competitions. **Retention and Disposal Standards:** Material is retained for one year. **PAC Number:** 86-001 **TBS Registration:** 001658 **Bank Number:** JUS PPU 085

Central Registry of Divorce Proceedings

Description: This bank contains information obtained from the Registration of Divorce Proceeding Forms received from the various divorce registries in respect of the petitioner and the respondent, and includes all relevant personal information. **Class of Individuals:** Persons who filed for divorce in a Canadian court either in their individual capacity or through the lawyers who represent them.

Purpose: The information in this bank is maintained for the purpose of informing the District Registrar of the various court offices of the existence or otherwise of pending divorce applications, thus settling jurisdictional issues pursuant to section 3 of the Divorce Act, 1985. In addition, statistical information is provided to Statistics Canada for the purpose of compiling marriage and divorce statistics for publication. Lastly, address information of the individuals or their lawyers is collected for the purpose of facilitating the mandatory pension credit-splitting legislation for spouses who divorce, a program which is administered by Human Resources Development under section 55.1 of the Canada Pension Plan. **Retention and Disposal Standards:** Textual records are retained for five years after divorce registration and machine-readable records are maintained actively for the life of the Divorce Registry program or for the length of time the Divorce Registry is administered by the Department of Justice. **TBS Registration:** 002274 **Bank Number:** JUS PPU 005

Civil Litigation

Description: This bank contains information on legal proceedings of a civil nature in which the Crown in right of Canada, a crown agency or a Crown servant is a party, including proceedings before administrative boards and tribunals. It includes pleadings, briefs, appeal books, transcripts and statements of evidence, writs, opinions and related correspondence. The greater part of the information contained in the bank is obtained from other federal institutions, as authorized by sub-section 8(2)(d) of the Privacy Act. Considering the sources of the personal information compiled, the social insurance number (SIN) is in some cases part of the information transmitted by institutions authorized to collect it, such as in some cases involving Revenue Canada. **Class of Individuals:** Persons who have been involved in civil litigation involving the Federal Government. **Purpose:** The material in this bank is retained to enable the Department to carry out its duties as legal advisor to the federal government. **Retention and Disposal Standards:** Civil litigation files are retained for 21 years after cessation of the legal action; records pertaining to other legal matters are retained for 30 years; and material of precedent value is retained for 35 years after a legal opinion is rendered and the file is closed. Files will be microfilmed and the copy kept permanently at the Department of Justice. **PAC Number:** 88-002 **TBS Registration:** 002704 **Bank Number:** JUS PPU 010

Family Orders and Agreements Enforcement Assistance

Description: This bank contains information on individuals who are in default of family support orders or agreements.

It contains identifying information, as well as applications for tracing such persons submitted by provincial authorities and for the garnishment of federal payments to them. It also contains records of federal payments to such individuals that have been diverted in compliance with a garnishee summons. The application form includes a request for the social insurance number (SIN) of the person being traced or garnished. Since the form is part of the Regulations, this is a use authorized by statute and regulation. The Family Orders and Agreements Enforcement Assistance Act and regulation also provide for the search of designated federal data banks for matching and compiling data. The designated banks are from the Department of National Health and Welfare — Canada Pension Plan — Record of Earnings, Retirement Benefits, Survivors Benefits, Social Insurance Number Validator, Computer Master Data, Disability Benefits and International Social Security-Domestic and Foreign Benefits — Computer Master Benefit Data (NHW PPU 140, 146, 147, 150, 155, 166 and 170); and from the Canadian Employment and Immigration Commission — Record of Employment, Benefit and Overpayment Master file (PAYDAC File) and Social Insurance Number Registration (EIC PPU 385, 180 and 390). **Class of Individuals:** Individuals who are in default of family support orders or agreements, or who are in violation of a custody or access rights. **Purpose:** This is the administrative and operational activity required to carry out the Government of Canada's responsibilities under the Family Orders and Agreements Enforcement Assistance Act. **Consistent Uses:** Information is used to obtain addresses for use by authorized organizations and to comply with legal garnishee summonses served on the Crown. **Retention and Disposal Standards:** Information is retained at the Family Orders and Agreements Enforcement Assistance Unit Office for a period of 30 calendar years. **Related to PR#:** JUS PPR 030 **TBS Registration:** 001870 **Bank Number:** JUS PPU 125

Garnishment Registry

Description: This bank contains information on civil servants and contractors who are subject to garnishment of wages and other remunerations under the Garnishment, Attachment and Pension Diversion Act. It contains identifying information, financial information on the nature and amount of debts owed, the employment status of the civil servant or contractor, court orders, garnishment summonses and administrative documentation. **Class of Individuals:** Civil servants and contractors who are subject to garnishment of wages and other remunerations under the Garnishment, Attachment and Pension Diversion Act. **Purpose:** To fulfill the Department of Justice's responsibility to administer the Garnishment, Attachment and Pension Diversion Act. **Retention and Disposal Standards:** The records are retained for 21 years. **TBS Registration:** 003914 **Bank Number:** JUS PPU 150

Grants and Contributions Fund

Description: This bank contains information on grants and contributions made or considered by the Department

concerning requests or projects submitted for financial assistance. The Grants and Contributions Fund incorporates departmental priorities upon which funding is based. The bank contains information which assists the Department in awarding contributions to individuals, private or government organizations who have submitted requests for financial assistance; correspondence, memoranda and reports on approved projects. Personal information include the name, address, telephone and facsimile numbers of the applicant; curriculum vitae, background, accomplishments, financial statements; declaration of any actual or potential conflict of interest; information on students' academic records and students' letters of interest on applying to the program. **Class of Individuals:** Individuals and members of private and government organizations who have submitted requests for financial assistance. **Purpose:** The information is used to evaluate the merits of proposals and to monitor the progress of funded projects. **Retention and Disposal Standards:** The records are retained for ten years after the project has been superseded, rejected or completed. **TBS Registration:** 003918 **Bank Number:** JUS PPU 165

Information Disclosed Pursuant to Paragraph 8(2)(m) of the Privacy Act

Description: This bank contains copies of personal information disclosed pursuant to paragraph 8(2)(m) of the Privacy Act, as well as internal memoranda and correspondence with the Privacy Commissioner. **Class of Individuals:** Individuals whose personal information have been disclosed because the public interest in disclosure clearly outweighed any invasion of privacy that could have resulted from the disclosure, or because the disclosure clearly benefited the individual to whom the information relates. **Purpose:** The bank is used to record disclosures of personal information under paragraph 8(2)(m) of the Privacy Act and to report on the number of these requests. **Retention and Disposal Standards:** Material is retained for two years after the last action on file. **TBS Registration:** 003910 **Bank Number:** JUS PPU 145

Information Disclosed to Investigative Bodies Pursuant to Paragraph 8(2)(e) of the Privacy Act

Description: This bank contains copies of requests for disclosure of personal information submitted under paragraph 8(2)(e) of the Privacy Act by investigative bodies listed in Schedule II of the Privacy Regulations. This bank also contains the replies to such requests and particulars related to their processing. **Class of Individuals:** Individuals about whom requests for personal information have been received from federal investigative bodies pursuant to paragraph 8(2)(e) of the Privacy Act. **Purpose:** The bank is used to record disclosures of personal information to federal law enforcement bodies under paragraph 8(2)(e) of the Privacy Act and to report on the number of these requests. **Retention and Disposal Standards:** Material is retained for two years after the last action on file. **TBS Registration:** 003909 **Bank Number:** JUS PPU 140

Information on Federally Appointed Judges

Description: This bank contains information on federally appointed judges, including lists of current federally appointed judges, of current and future vacancies, of women judges, of judicial appointments, elevations and transfers, and press releases issued to announce appointments and elevations of judges. **Class of Individuals:** Federally appointed judges **Purpose:** The information is compiled to provide statistical information for court profiles; to assess the adequacy of judicial resources and predict vacancies; to monitor the representation of women in the federal judiciary; and to provide advice to the Minister. **Retention and Disposal Standards:** The records are updated several times a year. **TBS Registration:** 003916 **Bank Number:** JUS PPU 160

Lawyers Appointed Agents of the Minister of Justice

Description: This bank contains information on lawyers appointed as agents of the Minister of Justice to assist client departments. The bank contains information on these lawyers. **Class of Individuals:** Lawyers appointed as agents for the Minister of Justice. **Purpose:** Records in this bank are used for internal accounting and to monitor status of cases. **Retention and Disposal Standards:** Records are retained for 10 years. **PAC Number:** 88-002 **TBS Registration:** 001655 **Bank Number:** JUS PPU 025

Prosecution and Related Criminal Matters

Description: The bank contains information on prosecutions for infractions of federal statutes within the jurisdiction of the Attorney general of Canada, extradition, rendition and mutual legal assistance cases. It includes briefs and pleadings at both trial and appeal stages, subpoenas and other writs, transcripts of evidence and related memoranda of law, summaries, statements, affidavits, memoranda, reports and correspondence. The information is compiled from a number of sources both Canadian and foreign. **Class of Individuals:** Canadians, persons present in Canada, and persons who have been the subject of prosecution, extradition, rendition, mutual assistance, or mercy of the Crown proceedings. **Purpose:** The material in this bank is used for the purpose of enforcing or administering federal statutes as well as for the implementation of Canada's obligation under the Extradition Act and the Fugitive Offenders Act and the Mutual Legal Assistance in Criminal Matters Act. **Retention and Disposal Standards:** Records are retained for 30 years, unless there is material of precedent value, in which case the file is kept for 35 years after a legal opinion is rendered and the file is closed. Files will be microfilmed and the copy kept permanently in the Department of Justice. **PAC Number:** 88-002 **TBS Registration:** 002705 **Bank Number:** JUS PPU 015

Prosecution of Young Offenders

Description: This bank contains information on prosecutions under the Criminal Code only in the Yukon and Northwest Territories, and for infractions of other federal statutes throughout Canada. It includes briefs and pleadings at both trial and appeal stages, subpoenas and

other writs, transcripts of evidence and related memoranda of law, summaries, statements, memoranda and correspondence. The information is compiled from a number of sources, both Canadian and foreign. **Class of Individuals:** Canadians and persons present in Canada who have been the subject of prosecution for an offence alleged to have been committed by a person between the ages of 12 and 18 at the time of the offence and dealt with in Youth Court. **Purpose:** The material in this bank is used for the purpose of enforcing federal statutes.

Retention and Disposal Standards: Records are retained for varying periods depending on the circumstances. See subsection 45(1), paragraphs (a) to (f) of the Young Offenders Act, RSC 1985, Chapter Y 1 for the criteria and limits. **TBS Registration:** 003004 **Bank Number:** JUS PPU 130

Security Investigations

Description: This bank contains investigative reports and correspondence with respect to security incidents, allegations and threats against employees of the Department of Justice. **Class of Individuals:** Current or former employees and members of the public. **Purpose:** The information is compiled to carry out necessary investigations and may be shared with other federal Departmental Security Officers and/or police departments.

Consistent Uses: The information is used to inform the Deputy Minister of security incidents, allegations and threats against employees. **Retention and Disposal Standards:** Records are retained for five years. **TBS Registration:** 003915 **Bank Number:** JUS PPU 155

Service Contractors Inventory

Description: This bank contains information on the qualifications and experience of firms and individuals wishing to obtain contracts or already contracting with the Department. Information includes contracts, questionnaires, requisitions for service contracts, evaluations of contractors performance, geographic location of the firms, and pertains to ownership principals and associates, resources, professional competence, fields of expertise, experience and language capabilities. **Class of Individuals:** Firms and individuals wishing to obtain contracts or already contracting with the Department. **Purpose:** The information in this bank is used to assist in awarding contracts. **Retention and Disposal Standards:** Records are deleted from the bank six months after the firm or individual has failed to re-apply for inclusion. **PAC Number:** 86-001 **TBS Registration:** 001660 **Bank Number:** JUS PPU 095

Classes of Personal Information

Mailing List

This class of personal information contains the names and addresses of individuals who are on mailing lists to receive publications and other publicly available materials relating to departmental activities. The lists are used by all sectors of the Department and are updated on a continuing basis. The correspondence and request cards from individuals used for amending addresses and to add

or delete individual names on mailing lists are retained until the mailing lists have been amended.

Management Effectiveness Survey (Upward Feedback)

This class of information contains employees' attitudes regarding management effectiveness with regards to work supervision, communications, training and development. The results of questionnaires serve as a catalyst to improve management practices within work units. Information from the questionnaires without respondent identifier is retrievable by location (client department) except for units having five employees or less, in which case the information is available by groups of three units without respondent identifier.

Subject Files and Routine Correspondence

Some departmental programs and activities result in the accumulation of personal information which is not contained in the personal information banks described above. This personal information is stored within subject files described as part of department's Information Holdings where records are not normally retrieved by the name of the individual or other personal identifier. This form of personal information consists of enquiries concerning particular justice matters, as well as requests for publications and reports. The information is normally retrievable only if specifics are provided concerning the subject matter. Retention of this form of personal information is controlled by the records schedules of the subject files in which the information is stored.

Manuals

- A Guide to Records Management Services
- A Guide to the Making of Federal Acts and Regulations
- Access to Information and Privacy Administrative Procedures Manual
- Business Resumption Planning Guide
- Charter Checklists (December 1995)
- Civil Litigation Desk Book
- Computer Security Guide
- Contracting for Services Manual
- Correspondence Manual
- Crown Counsel Policy Manual
- Department of Justice Desk Book
- Departmental Emergency Book
- Designing Administrative Tribunals
- Dispute Resolution Reference Guide
- Filing Amicus Curiae Briefs in U.S. Courts
- Financial Coding Manual
- Financial Management Manual
- Guide canadien de rédaction législative française
- Guide to Personnel Management
- Language Litigation Summary

- Legal Services Desk Book
- Legislation Desk Book
- Manual on the Drafting of Regulations In English
- Practical Guides to a Number of Statutes and Regulations
- Procedures Manual — Central Registry of Divorce Proceedings
- Procedures Manual — Family Orders and Agreements Enforcement Assistance Unit
- Records Information Management System (RIMS) — User's Guide
- Records Information Management Systems (RIMS) — Administrator's Guide
- Records Management User's Manual for Departmental Legal Services Units
- Salary Management System Manual
- Security Manual
- Semi-Annual Review (Human Rights in the Supreme Court of Canada)
- Tax Litigation Desk Book
- Treasury Board Submissions Guide

Communications and Executive Services Branch
1st Floor, Justice Building
239 Wellington Street
Ottawa, Ontario
K1A 0H8
(613) 957-4222

Regional Offices

There are five regional offices: the Atlantic Regional Office, located in Halifax; the Quebec Regional Office, located in Montreal; the Ontario Regional Office, located in Toronto; the Prairies and Northwest Territories Region, with regional offices in Winnipeg, Saskatoon, Edmonton and Yellowknife and sub-offices in Calgary, Iqaluit, Inuvik and Rankin Inlet; and the British Columbia and Yukon Regional Office, with regional offices in Vancouver and Whitehorse.

Reading Room

National Capital Region

Room 34, Ground Floor, Justice Building
239 Wellington Street
Ottawa, Ontario
K1A 0H8

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

The headquarters of the Department is located in Ottawa where additional information about the Department may be obtained:

Environment Canada

Chapter 42

General Information

Background

The Department of the Environment was established by the Government Reorganization Act, 1970-71-72, ch. 42 on June 10, 1971. The Department, also known as Environment Canada, has as its primary duties renewable resources management and the protection of Canada's air, water and land resources. The departmental organization has three principal services: Environmental Protection which concentrates on response strategies and behavioral changes to prevent pollution of air, land and water ecosystems; Environmental Conservation which focuses on sustainable development of environmental resources; and Atmospheric Environment which focuses on integrated monitoring, atmospheric sciences, and services to Canadians.

Responsibilities

The primary objective of the Department of the Environment is to preserve and enhance the quality of the environment for the benefit of present and future generations of Canadians. Departmental programs are designed to promote the establishment or adoption of objectives and standards relating either to environmental quality or pollution control, to ensure the wise management and use of renewable resources and to provide Canadians with environmental information in the public interest. As well, the department ensures that new federal projects, programs and activities are assessed early in the planning process for potentially adverse effects on the environment.

Legislation

- Alice Arm Tailings Deposit Regulations
- Arctic Waters Pollution Prevention Act
- Asbestos Mines and Mills Release Regulations
- Canada Water Act
- Canada Wildlife Act
- Canadian Environment Week Act
- Canadian Environmental Protection Act
- Chlor-Alkali Mercury Release Regulations
- Chlorobiphenyls Regulations
- Chlorofluorocarbon Regulations
- Contaminated fuels Regulations
- Department of the Environment Act
- Department of the Environment Omnibus Amendment Order
- Export and Import of Hazardous Wastes Regulations
- Federal Mobile PCB Treatment and Destruction Regulations
- Federal Real Property Act
- Fisheries Act
- Game Export Act
- International River Improvements Act and Regulations
- Lac Seul Conservation Act
- Lake of the Woods Control Board Act
- Masked Name Regulations
- Meat and Poultry Products Plant Liquid Effluent Regulations
- Metal Mining Liquid Effluent Regulations
- Migratory Birds Convention Act
- Migratory Birds Regulations
- Migratory Birds Sanctuary Regulations
- Mirex Regulations
- National Round Table on the Environment and the Economy Act
- National Wildlife Week Act
- Navigable Waters Protection Act
- New Substances Notification Regulations
- Ocean Dumping Regulations
- Omnibus Amendment Order
- Omnibus Order 1993-1
- Ozone-depleting Substances Products Regulations
- PCB Waste Export Regulations
- Pest Control Products Act
- Petroleum Refinery Liquid Effluent Regulations
- Phosphorus Concentration Regulations
- Polybrominated Biphenyls Regulations
- Polychlorinated Terphenyls Regulations
- Potato Processing Plant Liquid Effluent Regulations
- Pulp and Paper Effluent Regulations
- Pulp and Paper Mill Defoamer and Wood Chip Regulations
- Pulp and Paper Mill Effluent Chlorinated Dioxins and Furans Regulations
- Resources and Technical Surveys Act
- Secondary Lead Smelter Release Regulations
- Storage of PCB Material Regulations
- Toxic Substances Export Notification Regulations
- Transportation of Dangerous Goods Act
- Vinyl Chloride Release Regulations
- Weather Modification Information Act and Regulations

- Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act
- Wildlife Areas Regulations

Organization

Atmospheric Environment Service

The Atmospheric Environment Service (AES) delivers, with the regions, the Atmospheric Environment Program (AEP). The AEP's main activities include services related to monitoring and forecasting air quality, weather, climate and ice conditions, and to monitoring of water quantity and quality. The AEP also carries out research in the atmospheric sciences to improve our understanding of the atmosphere and to help Canadians understand how they affect the environment.

While the regions are responsible for observation and most service production and delivery, the AES is responsible for the AEP's overall direction and standards, for some specialized services like ice services, for support services — for example, super-computing, forecast guidance, and climatological and hydrological data archiving — and for most atmospheric research. The AES is also responsible for international affairs, including relations with the World Meteorological Organization, program plans and performance measurement. It contributes, through its science and activities such as science assessment, to the development of the department's policies dealing with the atmospheric environment.

The Atmospheric Environment Service consists of four directorates that strive toward providing Canadians — as citizens, clients or decision makers — with enough information to enable them to make wise decisions about their health, their safety and the environment.

♦ Canadian Meteorological Centre (CMC)

The Centre is responsible for the national telecommunications and data management systems and for the national meteorological and environmental predictions. CMC also co-ordinates national and international support to environmental emergencies. The Centre gathers, archives, analysis and disseminates data related to climate, stratospheric ozone, ultraviolet radiation, air quality and water quantity and quality. The Canadian Meteorological Centre plays a primary role in technology transfer to regions. Some of the clients for this specialized information include Transport Canada, National Defense, other government departments and agencies, several airlines, the media and many private companies.

♦ Climate and Atmospheric Research Directorate (CARD)

As AES' main scientific research component, CARD's overall objective is to advance scientific knowledge and understanding of the atmosphere as an integral and integrating component of Canadian and global

ecosystems in support of policy and services. Scientific research is carried out at a number of locations in Canada in order to: support weather and ice services; study the earth's climate system; improve understanding of the transport, dispersion, chemical transformations and deposition of pollutants in the atmosphere; and develop awareness of and possible adaptive responses to the relationship of weather, climate and air quality to social and economic activities.

Science Subvention Program — The AES Science Subvention Program provides research grants to eligible staff at Canadian universities. Within the domain of the atmospheric and related sciences, the Program's objectives are to ensure the existence of (a) an adequate supply of university graduates trained in areas of strategic importance, (b) a broad knowledge base to support the climate and atmospheric environment science and technology needs, and (c) academic centres of excellence for priority areas. Decision criteria for approval of submissions include scientific excellence and relevance to the Atmospheric Environment Program objectives.

♦ National Weather Services Directorate (NWSD)

The NWSD is responsible for ensuring that Canadian weather, water and ice monitoring and service delivery components of the Atmospheric Environment Program (AEP) are carried out according to national standards; that national clients such as the DND and the aviation industry receive services that are of demonstrable quality, in a business-like fashion; and that investments are made which enable the AEP to respond to changing technologies and priorities and to take advantage of scientific advances.

♦ Policy, Program and International Affairs Directorate

The Policy, Program and International Affairs Directorate (PPID) provides a wide range of services supporting the Atmospheric Environment Service (AES) and the Atmospheric Environment Program (AEP) of Environment Canada in areas of corporate accountability, services to management, policy development and advice, international affairs, science assessment, science-policy integration, and business planning and development.

Corporate Secretariat

Ministerial Briefing, Ministerial Planning and Scheduling, Cabinet Liaison, Parliamentary Relations, Management Board Secretariat.

Corporate Services

Administration, Corporate Management & Review, Finance and Systems & Informatics.

♦ Administration

Information Management (Access to Information and Privacy, Information Holdings and Library); Material

Management; Facilities and Security; Local Shared Support Services; National Capital Region Operations.

◆ **Corporate Management and Review**

Operational Planning and Program Integration, Corporate Accounting and Review, Audit and Evaluation.

◆ **Finance**

Financial Planning and Resource Analysis; Financial Policy, Systems and Accounting; Service Financial Advisors.

◆ **Systems and Informatics**

Information Planning and Coordination, Client Services, Applications Development, Communications Network and Product Evaluation and Capacity Planning.

Environmental Conservation Service

The Environmental Conservation Service (ECS) is responsible for communicating to Canadians what is necessary to sustain ecosystem health and biodiversity in Canada. The Service is organized into four areas: Canadian Wildlife Service, Ecosystem Conservation, Ecosystems and Environmental Resources, and State of the Environment.

◆ **Canadian Wildlife Service**

Endangered Species, Migratory Birds Conservation, Wildlife Trade, Wildlife Toxicology, Wetlands and Habitat Conservation, Cooperative Wildlife Management, Managing North American regional wildlife issues with the United States and Mexico.

Biodiversity Convention Office — Coordination of Canada's response to the Convention on Biological Diversity including national coordination of the development of a Canadian Biodiversity Strategy and follow-up to the Strategy including coordination of the federal report on implementation and coordinating Canada's contribution in international meetings to advance implementation of the Convention.

◆ **Ecosystem Conservation**

Ecosystem Science, Science Policy, Conservation Strategies, Flagship Program, National Guidelines and Standards of Environmental Quality, National Water Research Institute, National Hydrology Institute, Guidance on Developing Ecosystem Health Indicators and Objectives.

◆ **Ecosystems and Environmental Resources Directorate**

The Ecosystems and Environmental Resources Directorate has the following responsibilities: conserve and promote the wise use of water, under the authority of the Canada Water Act, International Rivers Improvement Act and the Federal Water Policy; departmental lead on national sustainable development reporting and ecosystem initiatives; directs the development of unique interpretive electronic tools to integrate scientific information for the resolution of environmental problems; apply socio-economic approaches to environmental resource conservation

policies and directs a variety of national, federal/provincial and international initiatives; provides strategic policy and planning advice to Environmental Conservation Service executive management. The Key Issues are: Sustainability of Fresh Water, Green Corps Program, National Conservation, Knowledge Integration, Environmental Economics and Strategic Policy and Planning.

◆ **State of the Environment**

State of the Environment Reports, Ecological Applications Research.

Environmental Protection Service

The EPS mandate focuses on assessment and management of risk associated with national and international environmental matters. The range of activity includes issue, strategy and policy development, development and implementation of environmental protection options, through to research and technology advancement to support a healthy and prosperous Canadian environmental industry.

The priorities of EPS consist of toxic substances management, national and international air issues, Canadian water quality, as well as prevention and preparedness related to the environmental aspects of emergency situations.

The pollution prevention approach and ecosystem management guide activity in regional offices and at headquarters, and strongly link EPS to the federal government's sustainable development agenda.

A large part of the EPS mandate involves carrying out environmental protection obligations under the Canadian Environmental Protection Act and the Fisheries Act. EPS activity includes assuring compliance with over 35 regulations dealing with industrial discharges, product and use bans for toxics, toxic substances management, permitting for ocean discharges and for international transport of hazardous materials, etc.

Efficient and effective management of environmental problems means involving all interested parties in developing and implementing solutions. EPS works with other governments, industry, non-government organizations and others to accomplish its mandate. Engaging Canadians to take responsibility for the environmental impact of their activity is another important element of EPS work. Agreements with industry sectors to reduce their impact on the environment provide for accelerated environmental protection results.

The Service is organized into five areas: Air Pollution Prevention, Environmental Technology Advancement, National Programs, Regulatory Affairs and Program Integration and Toxics Pollution Prevention.

◆ **Air Pollution Prevention**

Global Air Issues, Transboundary Air Issues, Pollution Data, Transportation Systems, Oil, Gas & Energy.

◆ Environmental Technology Advancement

Environmental Technology Centre, Technology Transfer Office, Clean Technologies, Environmental Industries, Burlington Environmental Technology Office, National Facilities Management.

◆ National Programs

Enforcement, Environmental Assessment, Environmental Emergencies.

◆ Regulatory Affairs & Program Integration

Strategic Operations, Regulatory and Economic Assessment, North American Agreement on Economic Cooperation/Canadian Office, Canadian Environmental Protection Act Office.

◆ Toxics Pollution Prevention Office

Commercial Chemicals Evaluation, Hazardous Waste, National Office of Pollution Prevention.

Human Resources Directorate

◆ Classification and Staff Relations

Classification; Policies, Procedures and Guidelines.

◆ Human Resources

Management and Development

Human Resource Planning, Staffing and Work Force Adjustment, Continuous Learning, Official Languages.

◆ Personnel Services (NCR)

Service to all NCR Clients, Management of the Executive Group.

National Round Table on the Environment and the Economy

The National Round Table was created in 1988 in response to the Report of the World Commission on Environment and Development (Brundtland Commission) and the recommendations of the National Task Force on the Environment and the Economy. In 1994, the Prime Minister proclaimed the National Round Table on the Environment and the Economy Act, which established the organization as a departmental corporation, reporting directly to the Prime Minister and mandated by the Parliament of Canada. In addition to its advisory role to the Prime Minister on issues relating to environment and the economy, the National Round Table plays a special catalytic role in Canada and abroad by attempting to reach a consensus among competing economic and environmental interests concerning sustainable development.

Policy & Communications

Policy and Communications focuses on developing a coherent policy and communications agenda and linking departmental and ministerial priorities to the broader government-wide agenda. It provides advice on the

general conduct of policy and communications, including the strategic handling of major issues and subjects that are of particular interest to the Minister, the department and government. Policy and Communications is organized in five groups reporting to the Assistant Deputy Minister: Communications and Consultations Directorate, Federal-Provincial Relations Branch, International Affairs Branch, Planning and Coordination Directorate and Policy and Economics Directorate.

◆ Communications and Consultations Directorate

The Communications and Consultations Directorate plays a key role in providing strategic advice to the Minister and the department and in linking the department with its stakeholders, NGOs, and Canadian public.

◆ Federal-Provincial Relations Branch

The Federal-Provincial Relations Branch is the departmental focal point for federal-provincial-territorial and Aboriginal relations.

◆ International Affairs Branch

The International Affairs Branch provides departmental leadership in multi-lateral, multi-issue fora (e.g. CSD, UNEP, OECD, APEC); provides policy and strategic advice on international relations and trends as they pertain to the environment; ensures coherence and consistency in the department's international activities.

◆ Planning and Coordination Directorate

Planning and Coordination Directorate oversees the development and implementation of Environment Canada's policy function.

◆ Policy and Economics Directorate

Policy and Economics Directorate focuses on policy development with an economic perspective.

Information Holdings

Program Records

Atmospheric Environment Service

AES Headquarters — Projects Research

Description: Information on computerized and man-machine mix system; module component development and design; research data set development; also implementation and joint projects with forecast operations. **Topics:** Systems design for automated weather forecasting procedures; creation of research data sets for weather forecasting research. **Program Record Number:** ENV AES 400

AES HQ — Projects

Description: Information on satellite activity reports; data collection platforms; SDL Staffing; SDL GOES; Meso-met Network; Wind Energy Field Studies investigations.

Program Record Number: ENV AES 370

Canadian National Climate Archive

Description: The National Climatological Archive (NCA) contains data sufficient to define the general climate of Canada. Data are retained in three forms — paper, microform and digital. This archive contains the majority of the long-term atmospheric data collected in Canada. The Digital portion of the archive contains data from about 6000 stations from all provinces and territories of Canada. About half are currently active stations. Data covers the period from 1840 to present **Topics:** Climatological data; data elements include temperature and precipitation, plus a number of other meteorological parameters such as winds, humidity, solar radiation, snow depth and soil temperature. Elements include monthly means daily climate parameters, and hourly elements. The archive also contains upper air information, ozone measurements, and air quality data. **Access:** On-line interactive access to National Climate database; also computer print-outs, copies of original paper documents, microfiche, digital diskette and CD-ROM through DOE regional climate services offices and DOE AES Headquarters — Climate Information Branch. **Storage Medium:** Computer database, microfiche, paper documents. **Program Record Number:** ENV AES 550

Communications — Computing Services

Description: Information on communications and communications-programming. **Program Record Number:** ENV AES 470

Communications — Facsimile

Description: Information on Satellite Data Laboratory Operations. **Program Record Number:** ENV AES 330

Contracts — Satellites

Description: Information on contracts for satellite systems; also contracts for satellite research and development — TOVS, RAINSAT, ICE STATUS. **Access:** Files are arranged by subject and company. **Program Record Number:** ENV AES 335

Data Acquisition

Description: Information on land surface networks; upper air and aerological networks; Voluntary Observing Ship Program; remote sensing; environmental network. **Topics:** Station classification; site information; meteorological and aerological instruments; codes; data standards; data reduction; quality assurance; equipment supply; development and testing; lists of ships participating; satellite ground stations; APT, weather radar; signal processing; data transmissions; maintenance; plans and policies. **Program Record Number:** ENV AES 440

Hemispheric Observations

Description: Observed data, hemispheric surface synoptic reports. **Storage Medium:** Coded forms.

Program Record Number: ENV AES 450

Ice

Description: Information on regional ice models.

Program Record Number: ENV AES 410

Ice Observing Programs — Reconnaissance

Description: Information on verification of satellite data.

Program Record Number: ENV AES 340

Instruments

Description: Information on Aeromet Facility Instrumentation. **Program Record Number:** ENV AES 345

Marine Meteorology

Description: Information on TOVS. **Program Record Number:** ENV AES 355

Meso-meteorology

Description: Information on the Meso-met Network. **Topics:** Operation; data. **Program Record Number:** ENV AES 360

Meteorological Research

Description: Major areas of activity include numerical modelling of the atmosphere for the purpose of developing new weather forecast techniques and improving existing ones (from short to extended range); data assimilation and satellite meteorology with a focus on the development of techniques for both quantitative application of operational satellite data to weather forecasting and making use of new satellite data; and atmospheric processes research studies of the interactions of airborne pollutants with clouds and precipitation, and the utilization of Doppler radar to recognize severe weather and its precursors. **Program Record Number:** ENV AES 460

Meteorology Aviation

Description: Information on the Rockcliffe STOL Project. **Program Record Number:** ENV AES 350

Meteorology Training

Description: Information on professional training, development, technical training in meteorology. **Program Record Number:** ENV AES 475

Oil and Gas Exploration and Development

Description: Information on oil spill trajectories, freezing spray, ice and sea state models. **Program Record Number:** ENV AES 415

Radar

Description: Information on the MOT Radar and Satellite System. **Program Record Number:** ENV AES 375

Research — Air Quality

Description: Information on air quality, pollutant transport, dispersion, chemical transformations, and deposition, monitoring and the development of measurement techniques, modelling, and field and laboratory experimental studies. **Topics:** Acid rain, toxic chemicals, photochemical smog, stratospheric ozone, and greenhouse gases.

Program Record Number: ENV AES 315

Research — Climate

Description: Information on climate, circulation modelling (numerical modelling of the general circulation of the atmosphere, ocean, land surface, and cryosphere) with special interest on modelling ocean circulation, the middle atmosphere, and regional-scale systems; climate processes research conducted into the study of the energy and water cycle, surface processes, precipitation-runoff relationships, and climate-cryosphere interactions; and climate variability and change, and extreme and anomalous events. **Program Record Number:** ENV AES 455

Research — Environmental Adaptation

Description: Information on research to improve understanding of socio-economic and ecosystem impacts of atmospheric change and to generate knowledge that will help to improve decision-making and facilitate adaptive response; integrated climate impact assessment at regional (Mackenzie Basin, Great Lakes) and national (Canada Country Study) scales is an important focus. **Program Record Number:** ENV AES 325

Research Management and Policy

Description: Information on meteorological, environmental adaptation and other related environmental and technological research and development; research and related support activities of a general management nature — decisions and large-scale agreements; special problems of the Arctic; training for research and grants for research outside the federal government which support the objectives and policies for climate and atmospheric research in Canada; mechanisms for better transfer of technology to and from government research laboratories; contracting-out of federal research and development; relationship with the Canadian Space Program. **Topics:** Agreements; treaties; studies; committees; plans and programs; fellowships; training and development; grants; unsolicited proposals; contracting; technology transfer. **Program Record Number:** ENV AES 310

Satellites

Description: Information on satellite programs. **Topics:** Cost recovery; staffing the SKL; ICS Submission; AES Satellite Programs; SDL Operations; NOSS; SURSAT. **Program Record Number:** ENV AES 380

Telecommunications

Description: Operational, alpha-numeric and facsimile information distributed by dedicated or dial-up communication lines. **Topics:** Facsimile contracts; installations; procedures; traffic; teletype contracts —

installations, procedures, traffic; computer aspects.

Program Record Number: ENV AES 425

Water Quality Management Data

Description: Information and interpretive reports on the quality of surface and ground waters based on analysis of samples; information on field surveys and analytical methods, and on quality control; water quality guidelines for protection of uses, and water quality objectives for specific surface waters. It does not include water quality objective work done under specific international or federal-provincial basin studies, nor research related to water quality management. **Topics:** Water pollution, water quality surveys, analytical methods, quality of surface waters, rain water and snow water quality, water quality guidelines, water quality monitoring programs. **Access:** Files are arranged by subject, geographic location and title in the national and regional ENVIRODAT databases.

Storage Medium: On-line in the national and regional ENVIRODAT database. **Program Record Number:** ENV AES 185

Weather Forecast

Description: Digital information about global observational data sets (1992-present), digital gridpoint information about global analysis (1983-present) and forecasts (selected fields for last 5 years), and about regional analysis and forecasts (selected fields for last 5 years), of heights, temperature and moisture on mandatory pressure levels (currently 16 levels from 1000-10 hPa) as well as several surface based fields. The information is kept online in real time and then a subset is stored on digital tape. There are digital archives of weather maps and bulletins issued as well as historical records of verification statistics. There are microfilms of weather maps (1957-March, 1994) of hemispheric analysis of the surface, 850, 700, and 500 hPa levels. **Storage Medium:** microfilm, digital tapes.

Program Record Number: ENV AES 445

Weather Service

Description: Information on RAINSAT. **Program Record Number:** ENV AES 385

Weather Service — Air Transportation

Description: Information on MOT Radar and Satellite System. **Program Record Number:** ENV AES 390

♦ Canadian Meteorological Centre (CMC)

Water Quantity Management Data

Description: Information on data collection, hydrometric and water levels, and sediment, as well as the management and operation of data systems and interpretive reports. These data include data collection under specific international or federal-provincial water management or planning studies and data collection carried out as an integral part of other studies. **Topics:** Gauging stations, international gauging stations, gauging stations inventory, hydrometric investigations, hydrologic interpretive and analytical reports, sediment links to water quality, sediment surveys, remote sensing, watershed

research, tidal gauge records, tidal surveys. **Access:** Files are arranged by subject, geographic location, river and site location with interactive online access to the national databases HYDAT AND HYDEX. **Storage Medium:** Computer print-outs, microfiche, CD-ROM, online database. **Program Record Number:** ENV AES 200

Weather Services

Description: Information on policies, standards, procedures and guidance concerning the provision of weather services. **Topics:** General weather services; public weather services; air, marine and road transportation; agriculture; forestry; climatology; preflight weather information service; radio and television broadcasting; scientific support units; weather service outlets. **Program Record Number:** ENV AES 435

◆ National Weather Services Directorate (NWSD)

AES HQ — Forecast Project

Description: Information on the development of forecast methods and techniques for AES forecast systems (including models — numerical, statistical). **Topics:** Weather prediction methodology; snow squall modelling; ice and sea state models; oil spill trajectory models; freezing spray; investigations. **Program Record Number:** ENV AES 420

Contracts — Sea State

Description: Information on contracts for ice, oil spills on water and sea state models. **Access:** Files are arranged by subject and company. **Program Record Number:** ENV AES 405

Data Acquisition Networks

Description: Information on atmospheric environment operations, overall policy, operational performance, procedures and standards. **Program Record Number:** ENV AES 480

Forecast Procedures

Description: Information on meteorological models, procedures and techniques in support of forecast production. **Topics:** Maps, charts and forms; satellite operations; plans and programs. **Program Record Number:** ENV AES 430

Ice Information, Consultation and Advice

Description: Information on ice forecasting and services, ice research, climatology and Observing Program (reconnaissance). **Program Record Number:** ENV AES 465

Instruments and Instrument Systems

Description: Information on instruments; general, humidity, evaporation, precipitation, marine, physical phenomena, pressure, radiation, atmospheric sounding wind. **Program Record Number:** ENV AES 485

Environmental Conservation Service

Ecosystem Health Objectives and Indicators

Description: Information on integration of social, environmental and economic factors in developing objectives and indicators to enhance, protect and sustain the health of Canadian ecosystems. **Topics:** Ecosystem approach; a framework for developing ecosystem health goals, objectives and indicators; tools for Ecosystem-Based Management. **Program Record Number:** ENV ECS 495

Environmental Quality Guidelines

Description: Information on national science-based guidelines/standards for soil quality, water quality, sediment quality and tissue quality to protect and sustain terrestrial and aquatic ecosystems and their beneficial uses; scientific assessments of toxic substances; national protocols.

Topics: Environmental effects of toxic substances; National environmental quality guidelines and protocol publications including Canadian Water Quality Guidelines for the protection of raw drinking water quality, recreational water quality, aquatic life, livestock water, and irrigation water; Sediment Quality Guidelines for the protection of aquatic life and wildlife; Tissue Quality Guidelines for the protection of aquatic life and wildlife; and soil quality guidelines for agricultural, residential/parkland, commercial and industrial land uses; guidance for setting site-specific environmental quality objectives; ecological risk assessment guidance. **Program Record Number:** ENV ECS 490

Federal Policy on Wetland Conservation

Description: Outlines roles and responsibilities of federal agencies, commitments of federal government and strategies to promote wetland conservation nationally and internationally. Information to federal departments on the development and assessment of federal projects affecting wetlands; analysis and advice to provincial and territorial jurisdictions with regard to wetland policy development; implementation of national and international wetland programs with partner agencies; interaction with North American Waterfowl Management Plan; provision of advice to the public, Parliament and Minister on the Policy and other initiatives affecting wetland conservation; development of selection criteria for Ramsar sites; publications and public and scientific events on wetland and habitat conservation. **Topics:** Biodiversity conservation, Ministerial enquiries; Ramsar Convention on Wetlands of International Importance; policy advice to federal agencies. **Program Record Number:** ENV ECS 500

◆ Canadian Wildlife Service

Aboriginal People

Description: Information and correspondence on native harvest surveys comprehensive land claims and self government issues. **Topics:** Hunting and fishing rights, treaties, acts and regulations, Council of Yukon Indians, Inuit, Naskapi, Western Arctic Inuit — COPE, Nunavut, Gwich'in and Sahtu Dene/Metis, Labrador Inuit, Innu Nation of Labrador, Makivik Offshore, Nisga'a Treaty

(B.C.). **Access:** Files are arranged by name of individual.

Program Record Number: ENV ECS 115

Biodiversity

Description: Information on biodiversity conservation and the sustainable use of biological resources. **Topics:** Wide range of biodiversity related issues including Agenda 21, agriculture, biotechnology, business and economy, ecosystems, education, extinction, fisheries, forestry, wildlife and endangered species, genetic resources, parks and protected areas, population and consumption, and sustainable development. Also, includes national and international biodiversity strategies. **Access:** Files are arranged by subject and listed by author or subject. Available at the Biodiversity Convention Office (BCO).

Program Record Number: ENV ECS 125

Canada-United States and Interjurisdictional Waters

Description: Information on all activities carried out under specific Canada-United States agreements or arrangements such as investigative, control, or surveillance board activities for the International Joint Commission (IJC) or in other bilateral Canada-United States situations; studies and implementation programs under formal federal-provincial arrangements; and Canada-United States activities on shared river basins. Policy development, data collection and research carried out as a part of any of these formal arrangements are included as well as specific federal-provincial agreements required to carry out Canada's obligations in boundary waters (the Canada-Ontario Agreement on Great Lakes Water Quality) and interprovincial arrangements (Prairie Provinces Water Board). Information is also available on water use for selected years and geographic areas. **Topics:** Great Lakes connecting channels, Great Lakes programs and studies, International and Interprovincial Waters, Prairie Waters, Lake of the Woods, Ottawa River Regulations. **Access:** Files are arranged by subject and project title; many reports are referenced in AQUAREF. **Program Record Number:** ENV ECS 175

Ecological Applications Research

Description: Information on production of nationally consistent spatial data on the ecological characteristics and resource potentials of land; dissemination of scientific knowledge, methods and techniques used for surveying and classifying land, including wetlands, by ecological characteristics, for assessing resource potentials, impacts and hazards and for increasing knowledge of land and land/water process linkages of concern in environmental management; the assessment of potential climate change of the vegetative and land base of Canada and consequent implications for resource management. **Topics:** General correspondence; public awareness; inter-regional projects; wetlands and acid rain sensitivity; climate change; Canada Committee on Ecological Areas. **Program Record Number:** ENV ECS 160

Habitat Conservation

Description: Information on habitat strategies, plans and data banks; research on habitat impacts from exotic species and land use changes; resource strategies and methodologies to monitor habitat changes/impacts; provision of land management services and advice to federal departments and agencies responsible for administering federal lands located in the provinces or specially dedicated lands located in the territories. National co-ordination of Ramsar Convention and delivery of Federal Policy on Wetland Conservation. **Topics:** Habitat planning and management; resource management data; federal land data; public awareness; policy research and coordination; Canada Committee on Ecological Areas. **Program Record Number:** ENV ECS 165

Migratory Bird Surveys

Description: Information on the biometry of migratory birds. **Topics:** Migratory game birds hunting permits; waterfowl harvest; breeding bird surveys; data processing. **Program Record Number:** ENV ECS 105

National Wildlife Areas and Migratory Bird Sanctuaries

Description: Public information and correspondence at all levels, concerning policies and regulations that govern land use activities on these designated nationally significant wildlife habitat areas. Activities also include development of selection criteria for both terrestrial and marine areas, consultation and development of management plans, progress reporting and scientific research and data collection. **Topics:** Agreements; policy; regulations; rentals; leases. **Program Record Number:** ENV ECS 135

North American Waterfowl Management Plan (NAWMP)

Description: Information on the development, coordination and planning of the North American Waterfowl Management Plan (NAWMP). **Topics:** Joint ventures; implementation agreements (multilateral, internal); NAWMP committees; long-term and annual work plans; funding arrangements; communications and general correspondence. **Program Record Number:** ENV ECS 173

Ornithology

Description: Information on all aspects of migratory birds and their conservation. **Topics:** Migratory Birds Convention Act and Regulations; waterfowl hunting; North American Waterfowl Management Plan; bird banding programs; reports, studies and surveys; sanctuaries; migratory bird sanctuaries regulations; damage to crops by birds; Canadian Landbird Conservation Strategy; songbirds, seabirds, shorebirds; permits; research on species; use of birds; waterfowl kill statistics; waterfowl populations; breeding ground survey; breeding bird survey; brood production survey; waterfowl status reports. Correspondence with provinces, United States Fish and Wildlife Service; Mexico; and states on migratory birds and their conservation. **Access:** Files are arranged by

name and geographic locations. **Program Record Number:** ENV ECS 120

Technology Development — Waste Water

Description: Information on development and demonstration of waste water pollution control technology; technology development assistance programs; technical training; technology transfer; program planning and evaluation. **Topics:** Program planning and evaluation; technology assistance program; waste water technology. **Access:** Files are arranged by company. **Program Record Number:** ENV ECS 265

Transboundary Wildlife

Description: Public information and correspondence on the proposal by the Republican Party in the U.S. to explore for and develop potential oil and gas reserves in the sensitive coastal plain of the Arctic National Wildlife Refuge in northeast Alaska. Such development would seriously impair the calving grounds of the Porcupine caribou herd which is shared by Canada and the U.S. and a vitally important resource for Aboriginal peoples in Yukon and the Mackenzie Delta, N.W.T. In response, Canada has urged the U.S. to accord wilderness status to the Arctic coastal plain in the Refuge, thereby protecting it from development. **Program Record Number:** ENV ECS 575

Water Management Research

Description: Information on water resource research, including the study of the impact of pollutants and nutrients on the environment, sediment composition, water quality and quantity modelling, groundwater quality and sub-surface disposal of wastes, pathways, fate and effects of pollutants, dispersion and energy transfer processes in lakes and rivers, urban runoff processes, ice dynamics, hydrological forecasting, groundwater movement, biological and chemical techniques for water quality analysis, snow and ice hydrology, glacier dynamics, sociological, geographical, legal, institutional and economic aspects of water management. Also included is research management and coordination and research contracts. **Topics:** Ground water; ground water quality; ice river breakup; new analytical techniques; improved methodologies for aquatic studies; predictive technique on models for toxic substances; pathways and fate of pollutants; hydraulic variables; drought; floods; climatic changes; restoration of lakes and polluted waters. **Access:** Files are arranged by subject and location. Most information is readily available. **Storage Medium:** Microfiche, magnetic tapes, computer printouts, punchcards. **Program Record Number:** ENV ECS 205

Water Pollution Programs

Description: Information on federal/provincial programs; pollution control in coastal areas; International Joint Commission programs; data management; Arctic water programs coordination; program evaluation; interservice and interagency program coordination; program planning and development. **Topics:** Program coordination and

evaluation; coastal zone; data management and analysis. **Program Record Number:** ENV ECS 270

Wildlife Management

Description: Information on the federal participation and responsibilities in the management of wildlife. **Topics:** Endangered species conservation; endangered species legislative development; Committee on the Status of Wildlife in Canada; Recovery of Nationally Endangered Wildlife; Endangered Species Recovery Funds; transboundary wildlife; Porcupine Caribou Management Agreement; Convention on the International Trade in Endangered Species (CITES); Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act (WAPPRIITA); Canada Wildlife Act; Conservation of Arctic Flora and Fauna; Humane Trapping; Federal-Provincial Agreements; Correspondence on wildlife conservation issues. **Program Record Number:** ENV ECS 150

Wildlife Toxicology

Description: Information and advice on the impacts of pesticides and pest control practices, environmental contaminants and other toxic substances on birds, other vertebrates wildlife (but not fish) and their habitats and foods (biodiversity). **Topics:** Predicting and evaluating wildlife effects of toxic substances for support of environmental conservation and protection policy and regulation development. Research to establish cause-effect relationships for toxic substances and wildlife, to identify toxic substances in the environment and their sources, to develop and apply assessment methodology including modelling and tests methods, and to select indicators of environmental quality and health of wildlife. Wildlife disease issues and federal contact point with the Canadian Cooperative Wildlife Health Centre. Biomonitoring of contaminant trends and effects in wildlife in Arctic, Great Lakes-St. Lawrence, Marine and other Canadian ecosystems. Laboratory services for measurement of selected contaminant residues, biomarkers and bioassays and quality assurance management. National Specimen Bank of more than 30,000 frozen specimens (mainly birds) dating from around 1970 and specimen preservation technology. National database of toxic chemicals residues in wildlife (primarily birds). Contaminants in waterfowl and wildfoods for human consumption. **Access:** By subject. **Program Record Number:** ENV ECS 145

◆ Ecosystem Conservation

Flood Damage Reduction

Description: Information on all activities under federal-provincial flood damage reduction agreements, including flood risk mapping, construction of physical flood control works, and flood forecasting. It also includes policy development, data collection (hydrometric and other data collection programs and research for many water management purposes) carried out as an integral part of these agreements, and guidelines or methodology development and information programs directly in support of the program. **Topics:** Floods, flood damage reduction,

floodplain mapping, designations. **Access:** Files are arranged by subject, geographic location, annual reports and project title; a FDRP database is being developed; many reports are referred to in AQUAREF. **Program Record Number:** ENV ECS 180

Sustainable Development

Description: Program for implementation of sustainable development within the government; development of federal conservation strategy; coordination of federal-provincial links on conservation strategy development; coordination of intergovernmental activity on land policy and program matters; development of sectoral resource management policies (e.g. wetlands); assessment of impact of federal programs on environment and economy; analysis of links between environment, resources, and economic development; development of means to build environmental criteria into economic development policies and programs; assessment of the value of environmental resources; analysis of success stories of sustainable development as models for further implementation; application of sustainable development criteria to federal land transactions; development of means of measuring success towards sustainable development. Responsibilities include provision of scientific and technical advice to other departments, other countries and international organizations with respect to sustainable development implementation. **Topics:** Sustainable development; wetlands policy; federal policy on land use; environment/economy integration; federal program impact; Sustainable Development newsletter; success stories of sustainable development. **Program Record Number:** ENV ECS 155

♦ Ecosystems and Environmental Resources Directorate

Canadian Biodiversity Strategy

Description: Supporting documentation for Canadian Biodiversity Strategy and the Convention on Biological Diversity. **Topics:** Reports on ten expert focus groups convened to provide input into the CBS; a compilation of recommendations relevant to biodiversity already being undertaken by governments; an inventory of current government policies, programs and legislation relevant to biodiversity; and preparatory documentation for the drafting of the convention on Biological Diversity. **Access:** Available at the Biodiversity Convention Office. **Storage Medium:** Inventory information is available on computer database. **Program Record Number:** ENV ECS 130

Valuation of Natural Capital

Description: General information related to valuing natural capital; documentation related to the Environment Valuation Reference Inventory, which is a storehouse of valuation studies that is capable of matching the results of previous valuation studies with current policy requirements; reports on the 1987 and 1991 survey on the Importance of Wildlife to Canadians; municipal and industrial water demand surveys. **Topics:** Natural capital valuation, socio-economic indicators of sustainability, Environmental

Valuation Reference Inventory, valuing biodiversity, Importance of Wildlife to Canadians, water uses and pricing, benefits transfer. **Program Record Number:** ENV ECS 585

♦ State of the Environment

State of the Environment Reporting

Description: Information and interpretive reports on significant conditions and trends related to environmental components (air, water, land and wildlife) and human activities (agriculture, forestry, fisheries, etc.) to meet the requirements of the Federal Environmental Quality Policy Framework and Canadian Environmental Protection Act; development, assessment and recommendations for selecting and utilizing key environmental indicators; development and dissemination of scientific methods and techniques for State of the Environment (SOE) Reporting, identifying emerging issues; development of methods and guidelines for storage, manipulation and transfer of environmental data vis-à-vis the SOE centralized database and referral system. **Topics:** General correspondence; SOE publications; state of the environment data; scientific methods; indicator research; inter-regional projects; publication guidelines and a wide range of land, water and other resource data. **Storage Medium:** Files, maps, EDP systems. **Program Record Number:** ENV ECS 167

Environmental Protection Service

Abatement and Compliance

Description: Information on inventories of pollution and cost of abatement; state of the art of pollution abatement by industry; regulations development; policy and technical advice on enforcement programs; energy-related studies. **Topics:** Pulp and paper industry; mining and metallurgical processes; petroleum and industrial organic chemicals, inorganic chemical processes; toxic chemicals emission/release controls; control options, evaluation of their costs and effectiveness; municipal waste and miscellaneous industries; power generation. **Access:** Files are arranged by subject. **Program Record Number:** ENV EPS 260

Atmospheric Issues

Description: Information on atmospheric issues including climate change, stratospheric ozone, acid rain, smog, hazardous air pollutants; information on emissions; international emissions reduction protocols; national control options and strategies. **Topics:** Climate change; stratospheric ozone, acid rain, hazardous air pollutants. **Access:** Files are arranged by issue. **Program Record Number:** ENV EPS 570

Chemical Process Sources

Description: Information on industrial pollution; producers, emissions, products, guidelines and codes and regulations. **Topics:** Chemical products; pulp, paper and wood products; forest products operations; pulp and paper processes; textiles and related manufacturers; woods and allied products manufacturing; polymers and plastics; surface

coating industry. **Access:** Files are arranged by subject.

Program Record Number: ENV EPS 220

Combustion Sources

Description: Studies and surveys; assessments; questionnaires; analytical reports; information on emissions and emission controls; investigations; codes and regulations. **Topics:** Interdepartmental programs; thermal power-fuel; thermal power-fuel processing and storage; combustion systems; internal combustion engine; emission calculation and analysis; emission data; air quality surveillance; air quality criteria; incinerators; investigations, studies and surveys. **Program Record Number:** ENV EPS 235

Contaminants Control

Description: Information on predicting and evaluating environmental effects of new chemical substances and new products of biotechnology; determining amounts and end uses of chemicals in Canadian commerce; regulating substances which pose a significant threat to human health or the environment; and coordinating tests of chemicals for environmental effects. **Topics:** Studies and surveys; chemical elements and compounds; Canadian Environmental Protection Act; ecological risk assessment of priority substances; assessment reports for priority substances; notices; mandatory reporting; automated information system; pesticides programs — re-evaluation, research and trade information; non-chemical pesticides; mercury program. **Access:** Files are arranged by subject, company and product. **Program Record Number:** ENV EPS 275

Data Analysis

Description: Information on air pollutants; emissions source categories; industrial questionnaires; studies and surveys and air pollution costs; National Pollutant Release Inventory (NPRI). **Topics:** Emission inventory; air pollutants, source categories; effects of regulations; socio-economic impact studies and surveys; industrial, geographical; economy impact studies and surveys — pollutants; analytical concepts and methodology — statistical and economic. **Program Record Number:** ENV EPS 240

Planning, Assessment and Policy

Description: Information on the development of an environmental protection strategy; program, planning and systems development; socio-economic analysis of proposed major regulations. **Topics:** Program planning system development; activity program development; operational plans; activity management reports; policy development and analysis; program evaluation; program development; organization and systems project; economics; socio-economic program; socio-economic impact analysis — Canadian Environmental Protection Act, Fisheries Act. **Access:** By subject. **Program Record Number:** ENV EPS 290

Pollution Prevention

Description: Information on pollution prevention programs and activities; voluntary agreements; pollution prevention planning guidelines. **Topics:** Pollution prevention: A Federal Strategy for Action; Pollution Prevention Coordinating Committee; agreements and memoranda of understanding with industry and governments. **Access:** Files are arranged by subject. **Program Record Number:** ENV EPS 565

Program Activity Structures and Objectives

Description: Information on systems for strategic and operational planning and program evaluation, management practices and controls, performance measurement, priority settings, resource-based economic strategy and perspective, socio-economic and scientific development studies, implementation of integrated programs. **Topics:** Program activity structures and objectives, surveys and studies, Improvements in Management Practices and Controls (IMPAC), toxic substances, Co-operative Projects with Industry (COPI), Pilot Industry Laboratory Program, Shore Zone Program, Biomass. **Program Record Number:** ENV EPS 100

Program Coordination

Description: Information on regulations development; monitoring of agreed implementation schedules, program evaluation. **Topics:** Acts and legislation; regulations enforcement; federal/provincial programs; international activities; (eg. NAFTA/NAAEO); international organizations; intradepartmental activities. **Program Record Number:** ENV EPS 245

Technology Advancement

Description: Information on clean technologies; technology R&D, demonstration and advancement; technology transfer and outreach; Canadian Environmental Industry Strategy (CEIS); Canadian environmental industry; CEIS initiatives. **Topics:** Environmental technology R&D and demonstration programs; conferences and seminars promoting clean technologies; national and international technology transfer programs; CEIS initiatives in Canada and foreign countries. **Access:** Files are arranged by subject and program. **Program Record Number:** ENV EPS 560

Transboundary Air Issues

Description: Information on Canada's domestic, bilateral and international air issue initiatives (Acid Rain, Smog and Hazardous Air Pollutants) including committees, negotiations, controls and effects. **Topics:** Canada's joint federal-provincial abatement programs, federal-provincial meetings and reports; Canada-U.S. bilateral negotiations, and general information on U.S. initiatives; international negotiations, conventions and protocols. **Program Record Number:** ENV EPS 555

◆ Air Pollution Prevention

Federal Activities

Description: Information on the regulation of emissions/effluents from federal departments and agencies as well as on waste management at these institutions; information on the evaluation of federally funded pollution control projects; demonstration of technology at federal facilities.

Topics: Federal projects; clean-up program; methods, techniques and refinements. **Access:** Files are arranged by company, subject and project. **Program Record Number:** ENV EPS 295

Fuels

Description: Information on fuel types, composition and use. **Topics:** Fuel producers; types; composition; resources marketing and processing; pollution investigations; pollution control equipment, and regulations on fuels and components of fuels; guidelines development; synthetic fuels, gasoline; natural gas; coal; petroleum producers; petroleum marketing; energy conservation; sulphur and by-products; fuels and fuel additives composition; fossil fuel resources. **Access:** Files are arranged by subject, company and product. **Program Record Number:** ENV EPS 230

Mining, Mineral and Metallurgical Industries

Description: Information on mining and metallurgical production, refining, and smelting operations and the significance of such processes in terms of pollution; mineral, and metallurgical industry studies; and emission control requirements such as codes and regulations.

Topics: Mining operations and processes; mineral products processing; primary metallurgical industries; secondary metallurgical industries. **Access:** Files are arranged by subject. **Program Record Number:** ENV EPS 225

Toxic Chemicals

Description: Information on toxic chemical management.

Topics: Inventory; guidance manual for ecological risk assessments of Priority Substances; assessment of existing substances for toxicity; control throughout the life cycle of toxic chemicals; regulations; guidelines; codes of practice. **Program Record Number:** ENV EPS 300

Waste Management

Description: Inventory of commercial and industrial hazardous wastes; technology development; studies and surveys; handling, storage and environmentally safe transportation; disposal of industrial and hazardous wastes; inventory sources and amounts of hazardous wastes; regulations development. **Topics:** Wastes and types; waste management and disposal; waste management systems — disposal; resource recovery and reclamation, materials; information and training; solid waste information retrieval system, inventory; regulations; guidelines and codes of practice; consultation services; technical evaluation.

Access: Files are arranged by subject, company and project. **Program Record Number:** ENV EPS 280

◆ Environmental Technology Advancement

Mobile Sources

Description: Information on vehicle emission data; test methods and procedures; test results; studies and surveys; standards and regulations. **Topics:** Abatement programs; engineering and evaluation; fugitive emissions; fleet programs; mobile source compliance; special studies.

Access: Files are arranged by subject, company, and project. **Program Record Number:** ENV EPS 215

Surveillance

Description: Information on national air pollution surveillance monitoring equipment; monthly and annual summary reports; source testing methods. **Topics:** National air pollution surveillance; operations and maintenance; equipment testing and evaluation; source testing procedures; studies, surveys and investigations; specific pollutants. **Access:** Files are arranged by subject, location and specific pollutants. **Program Record Number:** ENV EPS 250

Technology Development — Pollution Abatement

Description: Information on development and demonstration of pollution abatement technology (DPAT); instruction in air pollution control; pollution analysis and instrument testing. **Topics:** Development and demonstration of pollution abatement technology; educational activities; chemistry files. **Access:** Files are arranged by subject and company. **Program Record Number:** ENV EPS 255

◆ National Programs

Enforcement

Description: Information on enforcement of CEPA, pollution prevention provisions of the Fisheries Act, Migratory Birds Convention Act 1994, Migratory Birds Regulations, Canada Wildlife Act, Wild Animal and Plant Protection and Regulation of International and Interprovincial Trade Act. **Topics:** General correspondence; authority to purchase migratory birds; authority to issue permits; payments of fines; Migratory Birds Conviction List, report convention; legal fee; Enforcement Legal Activities Report; appointment of enforcement officers; protocol with U.S.A.; enquiries and questions; Indians and Inuit; treaties and letters of understanding with foreign governments.

Program Record Number: ENV EPS 110

Environmental Emergency

Description: Information on legislation, policies, procedures, and science and technologies pertaining to environmental aspects of accidental spills and releases; international activities such as conventions and agreements; reporting and alerting procedures; Pollution Incident Reports and analysis of trends in accidents statistics; research and development; countermeasures and spill cleanup technologies. **Topics:** Emergency prevention; preparedness and response advice; international organizations and agreements; Major Industrial Accidents Council of Canada (MIACC); Federal Committee on Environmental Emergencies (FCEE); spill containment and

countermeasures; analysis/prediction and fate and effects of pollutants; research and development projects. **Access:** Files are arranged by subject and project. **Program Record Number:** ENV EPS 285

Policy & Communications

Consultations

Description: Information on the public consultation policies, practices and activities of the department.

Access: Environmental Consultations Calendar and other reports. **Program Record Number:** ENV PAC 545

♦ Communications and Consultations Directorate

Communications

Description: Information on communications in general and on communications programs. **Program Record Number:** ENV PAC 530

♦ Federal-Provincial Relations Branch

Federal-Provincial Relations Branch

Description: Information on the broad policy aspects of the relationship between the federal and provincial/territorial governments on environmental issues, and the relationship between Environment Canada and Aboriginal Peoples.

Topics: Harmonization; the Canadian Council of Ministers of the Environment; Interprovincial Barriers to Trade; Self Government Agreements, Comprehensive and Specific Land Claims. **Access:** Files arranged by subject.

Program Record Number: ENV PAC 505

♦ International Affairs Branch

International Affairs Branch

Description: Information on broad policies and strategies relating to DOE's international relations, key international organizations, meetings and agreements dealing with the environment. **Topics:** International and Bilateral Agreements, and International Multilateral Institutions. **Access:** Files arranged by subject. **Program Record Number:** ENV PAC 540

♦ Policy and Economics Directorate

Environment Economy

Description: Information on departmental policies, programs, economic instruments and activities related to the integration of environmental considerations into economic decision-making; associated information on reports, events, announcements, expertise and other agency involvement; and related information from expert groups within the Organization for Economic Co-operation and Development (OECD) and other international fora.

Topics: Sustainable development; strategic directions, action plans and activities carried out by the department; amendments to the Auditor General Act creating a Commissioner of the Environment and Sustainable Development and requiring departmental sustainable development strategies; making trade and environment

policies mutually compatible; report of Task Force on Economic Instruments and Barriers and Disincentives to Sound Environmental Practices; OECD Reports which are available for public distribution. **Access:** Files are arranged by subject. **Program Record Number:** ENV PAC 515

Program Evaluation

Description: Evaluation frameworks, assessments and studies of departmental components, and related data.

Topics: Departmental components that have been subject to one or more of these evaluation activities.

Access: Reports are classified by evaluation component.

Storage Medium: Hardcopy. (In many cases, only single copies exist.) **Program Record Number:** ENV PAC 520

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Audits

Budgets

Buildings

Buildings and Properties

Classification of Positions

Co-operation and Liaison

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Lands

Occupational Health, Safety and Welfare

Office Appliances

Official Languages

Pensions and Insurance

Personnel

Procurement

Salaries and Wages

Staff Relations

Training and Development

Utilities

Vehicles

Personal Information Banks

Access Request Data Bank

Description: Contains the access request forms sent by persons requesting access to their files, the replies to such requests, and information relating to their processing.

Class of Individuals: Individuals making Access to Information Act and Privacy Act requests. **Purpose:** To process access requests only, and to determine the number of access requests received annually. **Retention and Disposal Standards:** Two years. **PAC Number:** 78-001 **TBS Registration:** 001401 **Bank Number:** ENV PPU 075

Applications for Research Grants Science Subventions

Description: Each entry in the bank contains information of the following type: educational, financial support for research, new scientific concepts, personal statement of productivity, third party evaluation of the entry. All assessments and grants are processed at Atmospheric Environment Service headquarters from Canadian university faculty members. **Class of Individuals:** Faculty members of Canadian universities in the environmental sciences or faculties related thereto. **Purpose:** To provide information used in the annual selection of research proposals to be funded by this institute. **Consistent Uses:** To assess research proposals in order to award research funds. **Retention and Disposal Standards:** Records kept less than five years, usually two years. **PAC Number:** 78-001 **Related to PR#:** ENV AES 310 **TBS Registration:** 001394 **Bank Number:** ENV PPU 010

Applications for Studentship in Meteorology or Atmospheric Science

Description: To provide personal and academic information used in the annual selection for the provision of studentships at Canadian universities. **Class of Individuals:** Canadian citizens and permanent residents who have graduated with 3.5 courses in physics and 4.5 courses in mathematics with a 66% average. **Purpose:** To assess potential recipients of studentships. **Consistent Uses:** To assess applications for AES Studentships. **Retention and Disposal Standards:** Two years. If the applicant becomes an employee, the application is placed on his/her personnel file. **PAC Number:** 78-001 **Related to PR#:** ENV AES 310 **TBS Registration:** 001397 **Bank Number:** ENV PPU 025

Applications for Visiting Fellowship Awards

Description: Contains information of the following types: demographics, employment, educational, personal references, third party evaluations. All assessments are processed at Atmospheric Environment Service headquarters. Awards are granted to visiting fellows for their work at headquarters. **Class of Individuals:** Generally restricted to environmental scientists who have recently completed their doctoral degrees. **Purpose:** To provide personal and academic information used in the annual selection of visiting fellows to this institute.

Consistent Uses: To assess potential candidates for visiting fellowship awards. The file is shared with NSERC. **Retention and Disposal Standards:** Records are kept for less than five years, usually for three years. **PAC Number:** 78-001 **Related to PR#:** ENV AES 310 **TBS Registration:** 001395 **Bank Number:** ENV PPU 015

Employment Applications

Description: This bank can contain employment applications, personal information and curricula vitae from people wishing to work for the Department. All the applicants are registered in the bank. It is established on a regional basis, by service. The bank is used to hold information sent by the candidates. **Class of Individuals:** Anyone applying for employment with the Department. **Purpose:** The information contained in this bank is used for staffing purposes. **Consistent Uses:** Limited to present and future staffing purposes. **Retention and Disposal Standards:** Maximum five years, normally destroyed after two years. **PAC Number:** 78-001 **TBS Registration:** 001402 **Bank Number:** ENV PPU 080

Information Disclosures to Investigative Bodies

Description: This bank contains copies of privacy requests and replies as well as requests for disclosure to federal investigative bodies, forwarded by investigative bodies as listed in Schedule II of the Privacy Act under paragraph 8(2)(e) of the same Act. This bank also contains the replies to such requests and gives information related to their processing. It is used to verify the conditions of disclosure to federal law enforcement bodies which are stated in paragraph 8(2)(e) of the Privacy Act, and to report to the Privacy Commissioner on the number of access requests received annually. This bank is comprised solely of requests concerning persons for the purpose of law enforcement, and the replies to such requests. **Class of Individuals:** Individuals about whom requests for personal information have been received pursuant to paragraph 8(2)(e) of the Privacy Act from federal investigative bodies for the purpose of enforcing a law of Canada or a province, or carrying out a lawful investigation. **Purpose:** The purpose of this bank is to permit the Privacy Commissioner to review disclosures made under paragraph 8(2)(e) of the Privacy Act to federal investigative bodies for the purpose of enforcing a law of Canada or a province or for carrying out a lawful investigation. **Retention and Disposal Standards:** Records are retained for five years and are then destroyed. **TBS Registration:** 002326 **Bank Number:** ENV PPU 072

Post-graduate Fellowships

Description: Post-graduate fellowships are intended to provide financial assistance to Canadian citizens and permanent residents who are enrolled in an MSc or PhD program in Meteorology and Atmospheric Services. **Class of Individuals:** Canadian citizens and permanent residents who have completed undergraduate degrees. **Purpose:** To provide information in the annual selection of candidates for post-graduate fellowship awards. **Consistent Uses:** Primary use is to assess fellowship

applications. **Retention and Disposal Standards:**

Records kept less than five years, usually two years. **PAC**

Number: 78-001 **Related to PR#:** ENV AES 310 **TBS**

Registration: 001396 **Bank Number:** ENV PPU 020

University Research Support Fund

Description: Contains evaluations of proposals submitted by researchers at Canadian universities for funding consideration through the Canadian Wildlife Service. This bank contains an outline of projects, names of professors and personal data. **Class of Individuals:** Researchers at Canadian universities. **Purpose:** To evaluate and select proposals submitted by Canadian university researchers.

Retention and Disposal Standards: The unfunded project files are kept for two years. Approved project files are retained for six years after completion of project.

PAC Number: 78-001 **TBS Registration:** 001399

Bank Number: ENV PPU 035

Water Resources Research Support Program (WRRSP)

Description: Contains project details including research plan, state-of-the-art reviews, budgetary requirements, progress reports, project reviews and assessments as well as personal data such as name and curriculum vitae. All files relate to scientists at Canadian universities. This bank is established and maintained at headquarters and is restricted to management personnel and research scientists within the directorate, but is occasionally extended to the service level, other services of the Department and to other departments. **Class of Individuals:** Scientists at Canadian universities. **Purpose:** To evaluate proposals submitted by researchers at Canadian universities for funding consideration. **Retention and Disposal Standards:** Unfunded project files are retained for two years, then discarded; funded project files are retained for six years after completion of project. The program was discontinued in 1986. Bibliographic data on funded reports are stored in Environment Canada's WATDOC. **PAC Number:** 78-001

Related to PR#: ENV ECS 205 **TBS Registration:** 001398

Bank Number: ENV PPU 030

Wildlife Permit Information

Wildlife Permit Information

Description: This bank contains information gathered from permits required by Act of Convention for the purposes of protecting, managing, and regulating the use of certain species of birds or animals and their habitat. Specific information includes the Migratory Birds Permit information, which is gathered under the legal requirements of the Migratory Birds Convention Act such as avicultural permits, taxidermists permits, scientific permits, migratory bird damage permits, airport permits, elderdown permits, Cap Tourmente hunting permits, Migratory Birds Convention Act permits; banding and collecting permits, migratory game birds hunting permits and special permits. Information gathered by permits for the import, export or transit of endangered species is also contained in this database. **Class of Individuals:** Information such as names, addresses, telephone numbers, occupations, and type of permit requested are kept on individuals applying for

Migratory Birds Permits in the five Canadian regions (Pacific and Yukon, Western and Northern Ontario, Quebec, and Atlantic Regions); information on banding permits and Migratory Game Bird Hunting Permits is kept at Headquarters. **Purpose:** To compile information under the Migratory Birds Convention Act if any enquiries are made for legal or other purposes. **Retention and Disposal Standards:** Retention of 15 years; active for five years and dormant for ten years, then transferred to the National Archives of Canada for selective retention. **PAC Number:** 84-024 **Related to PR#:** ENV EPS 110 **TBS Registration:** 001393 **Bank Number:** ENV PPU 005

Classes of Personal Information

General Correspondence and Enquiries

Personal information is also stored within subject files where records are not normally retrieved by the name of the individual or other personal identifier. This form of personal information consists of requests for publications, reports etc. and enquiries for information of a general nature. It is normally retrievable only if specifics are provided concerning the subject matter. Retention of this form of personal information is controlled by the records schedules of the subject files in which the information is stored.

Ministerial Correspondence

This class of information contains correspondence addressed to the Minister and/or the Deputy Minister and received by the Departmental Correspondence Unit from external organizations and individuals in the form of requests for information, complaints, opinions and other submissions related to a broad range of policy issues pertaining to Environment Canada's activities, wherein some unsolicited personal information has been provided by the correspondent. This form of information would be retrievable only if specifics of name, date of communication and subject were provided; it is not arranged or retrievable by personal identifiers. The retention period of these classes of personal information are controlled by the records schedules of the subject files in which the information is stored.

Manuals

- Abstracting Recorded Precipitation — AE209
- Analytical Methods Manual (for water quality)
- AQUAREF (database of references to scientific and technical literature on water resources)
- Canadian Wildlife Service Sign Manual
- CITES Identification Guide — Birds
- CITES Identification Guide — Crocodilian
- CITES Reports
- Climatological Studies — AE222
- Conversion Tables
- DREF (on-line reference to water resource numerical databases)
- Evaporation — AE016

- Federal Guidelines for the National Flood Damage Reduction Program
- Guidelines for Joint Federal-Provincial River Basin Planning Projects
- Guidelines for Wildlife Policy in Canada
- Hydrodynamic Model Documentation Manual
- Hydrologic Design Methodologies for Small Scale Hydro at Ungauged Sites
- Hydrometric Surveys — Field and Office Manual (approximately 45, including manuals on HYDAT — a database for stream flow and water level data)
- Manual for Port Meteorological Officers (MANPORT) — AE004
- Manual of Climatological Observations
- Manual of Inspection — Land Stations — AE010
- Manual of Marine Weather Observing (MANMAR) — AE003
- Manual of Presentation Standards and Procedures (MANPRES) — AE008
- Manual of Procedures for Recovering Cost of Providing Specialized Services and Products (MANREV) — AE020
- Manual of Standard Procedures for Issuing Aviation Forecast Bulletins, Advisories and Messages (MANAIR) — AE005
- Manual of Standard Procedures for Public Weather Services (MANPUB) — AE006
- Manual of Surface Weather Observations (MANOBS) — AE001
- Manual of Upper Air Observations (MANUPP) — AE002
- Manual of Word Abbreviations (MANAB) — AE205
- Meteorological Radiofacsimile Broadcast for Mariners
- Meteorological Stations in Canada (METSTAT) — AE215
- Migratory Birds — Bird Banding Manual
- Monograph Series
- NAQUADAT — Guide to Interactive Retrieval (for water quality data)
- Progress Notes Series
- Sampling for Water Quality
- Scientific and Technical Publications Report Series
- Small-Hydropower Handbook for British Columbia
- Snow Surveying — AE208
- Soil Temperature — AE015
- Solar Radiations
- Storm Rainfall in Canada — AE230
- Sunshine — AE012
- Supplementary Aviation Weather Observations — AE009
- Transactions of Federal-Provincial Wildlife Conferences

- Users' Guide — Automatic Weather Station Reports — AE017
- Water Management Model Manual
- Water Use Analysis Model Manuals
- Wind — AE207

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Department's programs and functions may also be directed to the following addresses:

Atlantic Region

Environment Canada
Queen's Square, 15th Floor
45 Alderney Drive
Dartmouth, Nova Scotia
B2Y 2N6
(902) 426-7231

Atmospheric Environment Service

Environment Canada
4905 Dufferin Street
Downsview, Ontario
M3H 5T4
(416) 739-4826

Canadian Meteorological Centre

Environment Canada
Suite 300
2121 Trans Canada Highway
North Service Road
Dorval, Quebec
H9P 1J3
(514) 421-4601

Electronic Communications

Access to Environment Canada's Green Lane
on the Information Highway
(Address: <http://www.ec.gc.ca>)

Environmental Conservation Service

Communications
Place Vincent Massey, 9th floor
351 St. Joseph Boulevard
Hull, Quebec
(Mail: Ottawa, Ontario K1A 0H3)
(819) 994-0011

Environmental Protection Service

Communications
Place Vincent Massey, 12th floor
351 St. Joseph Boulevard
Hull, Quebec
(Mail: Ottawa, Ontario K1A 0H3)
(819) 997-6555

General Enquiries

Inquiry Centre
Place Vincent Massey, 1st floor
351 St. Joseph Boulevard
Hull, Quebec
(Mail: Ottawa, Ontario K1A 0H3)
(819) 997-2800
Toll Free Number: 1-800-668-6767
Facsimile: (819) 953-2225
Internet: <http://www.ec.gc.ca>

Ontario Region

Environment Canada
4905 Dufferin Street
Downsview, Ontario
M3H 5T4
(416) 739-4994

Pacific and Yukon Region

Environment Canada
224 West Esplanade
North Vancouver, British Columbia
V7M 3H7
(604) 666-2737
(604) 666-5900

Prairie and Northern Region

Environment Canada
4999 — 98 Avenue
Edmonton, Alberta
T6B 2X3
(403) 951-8600

Quebec Region

Environment Canada
1141 Route de l'Église, 7th floor
P.O. Box 10100
Ste-Foy, Quebec
G1V 4H5
(418) 648-7204

Reading Room**National Capital Region**

Departmental Library
Place Vincent Massey, 2nd Floor
351 St. Joseph Boulevard
Hull, Quebec
K1A 0H3
(819) 997-1767

Export Development Corporation

Chapter 43

General Information

NOTE: THIS INSTITUTION IS NOT SUBJECT TO THE ACCESS TO INFORMATION ACT.

Background

The Export Development Corporation (EDC) was established on October 1, 1969, by the Export Development Act. The Export Development Corporation is an agent of Her Majesty in right of Canada and is a Crown corporation whose shares may be owned only by Canada. It is accountable for its affairs to Parliament through the Minister for International Trade. Both the Export Development Corporation and its predecessor, the Export Credits Insurance Corporation which commenced operations in 1944, were created to facilitate and develop trade between Canada and other countries.

The Corporation achieves this through a wide range of insurance, guarantee and financing services not normally provided by the public sector.

The Export Development Corporation's services are provided to help Canadian exporters who offer products competitive in price, quality, delivery and service, to compete internationally.

Standards: Records retained for two years or until such time as the individual has had the opportunity to exercise all his or her rights under the Privacy Act. **TBS**

Registration: 000150 **Bank Number:** EDC PPU 005

Classes of Personal Information

In the course of conducting the programs and activities of the Export Development Corporation, categories of personal information not used for administrative purposes may be accumulated. Such personal information includes, among other things, general biographies of individuals in relation to EDC's program services. This form of personal information is retrievable if specifics are provided concerning transactions filed e.g. names of exporters, countries and projects, rather than by name of individual or other personal identifier.

Other information provided by or about individuals may also be found in corporate record holdings such as information services, telecommunications, accounts payable and library files. This class of personal information is retrievable only if the requester identifies, in sufficient detail, the subject of the information that they wish to access. The retention periods are controlled by the record schedules of the general subject files in which they are stored.

Information Holdings

Personal Information Banks

Access Request Data Bank

Description: This bank contains the access request forms sent by individuals requesting information about themselves held by the Corporation; the replies to such requests and information related to their processing.

Class of Individuals: Current and former employee and the general public. **Purpose:** For processing access requests only and to report on the number of requests received annually. Records are maintained in chronological order under the name of the individual requesting the information. **Retention and Disposal**

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Privacy Act.

Requests for further information about the department and its programs and activities may be directed to:

Privacy Coordinator
Export Development Corporation
151 O'Connor Street
P.O. Box 655
Ottawa, Ontario
K1P 5T9
(613) 598-2899

Farm Credit Corporation Canada

Chapter 44

General Information

Background

The Farm Credit Corporation was established in 1959, under the Farm Credit Act, as a Crown agency reporting to Parliament through the Minister of Agriculture. Its objective was to provide long-term mortgage credit to farmers and to syndicates of farmers. The Farm Credit Corporation Act became law in April, 1993, repealing the Farm Credit Act and the Farm Syndicates Credit Act. The Farm Syndicates Credit Act remains open until such time as all advances are repaid by the Corporation or otherwise forgiven or written off.

Responsibilities

The Corporation makes and administers farm loans under the authority of the Farm Credit Corporation Act to enhance rural Canada by providing specialized and personalized financial services to farming operations, including family farms, and to those businesses in rural Canada, including small and medium-sized businesses, that are related to farming. As well, it administers programs as directed by the federal government.

Legislation

- Farm Credit Corporation Act
- Farm Syndicates Credit Act (Section 8.1)

Organization

In order to fulfil its mandate, the Corporation has established a network of offices to serve the farming community. Corporate headquarters is located in Regina. Regional offices are located in Edmonton, which serves Alberta, British Columbia and the Yukon; Regina, which serves Saskatchewan and Manitoba; Guelph, which serves Ontario; and Moncton, which serves Quebec and the four Atlantic provinces. The regional offices are functionally organized into a lending services group, including all field operations, and a loan administration group. In addition to the four regional offices, the Corporation has 100 district and field offices.

Corporate headquarters is organized into six component groups.

◆ Agri-Land

Agri-Land is responsible for managing FCC's land holdings in Saskatchewan. It manages and markets FCC's land holdings in Saskatchewan through tenant search and selection, lease negotiations, land and rental valuations, appraisals, environmental assessments and land sales.

◆ Controllers Office and Treasurer's Office

These offices are responsible for the overall financial management of the Corporation including financial risk management, funding, cash management, corporate accounting, financial reporting and internal controls. They also ensure that the financial provisions of the Farm Credit Corporation Act and the financial management provisions of the Financial Administration Act are effectively employed by the Corporation.

◆ Corporate Management Services

Corporate Management Services directs, controls, and advises on management information, communications, administrative and human resources services.

◆ Executive Office

This Office consists of the Chairman and the President. The Chairman is a member of the Board of the Corporation. The President is a member of the Board of the Corporation and exercises all of the powers and duties of the Chairman in his absence. As Chief Executive Officer, he directs the business of the Corporation and exercises all other powers and duties as may be assigned to him by the Chairman or the Board of the Corporation.

◆ Lending and Marketing

Lending — responsible for the Corporation's overall lending activities, which include lending and administration of loans, client services and regional operations,

Policy Approval and Training — responsible for approval of lending and for loans in excess of regional limits and administration exceptions,

Loan Administration and Systems Design — responsible for administration of accounts and maintenance of monitoring systems,

Approval and Environmental Management — responsible for quality of appraisals and environmental policy and control,

Marketing — responsible for developing new products and services to meet clients needs.

◆ Office of the Chief Economist

This office consists of:

Portfolio Management — responsible for loan monitoring, economic information and corporate planning, research and development.

Corporate Audit — responsible for a comprehensive audit plan. It performs regular regional loan portfolio audits and conducts periodic reviews of systems and practices related to corporate office and regional operations. It is

also responsible for the coordination of all audit activities: internal audits, external financial attest audits and special examinations.

Information Holdings

Program Records

Access to Information and Privacy Activities and Policies

Description: Information on Farm Credit Corporation policies and procedures regarding the Access to Information Act and the Privacy Act. **Topics:** Policy, procedures and report files; access to information and privacy information bank files, updates to Info Source; liaison with other government departments; policies and procedures governing access to and release of information and the application of exemptions; statistics and activity reports. **Access:** Files arranged by subject. **Storage Medium:** Paper. **Program Record Number:** FCC ADM 130

Advisory Services

Description: Information on Advisory Services policy, procedures, reports and meetings. **Topics:** Correspondence; supervision policy and procedures. **Access:** Files arranged numerically by subject. **Storage Medium:** Paper. **Program Record Number:** FCC ADM 030

Agricultural Statistics and Economics

Description: Statistical data, reports, forecasts, trends, related correspondence. **Topics:** Agricultural statistics and economics; economic and market outlook; agricultural statistics and economic data from the 1981 census; interest rate trends; farm product prices; farm income and costs; forecasting on Farm Credit Corporation lending; land values; financial markets; financial instruments; quota values. **Access:** Files arranged numerically by subject. **Storage Medium:** Paper and publications. **Program Record Number:** FCC ADM 045

Ancillary Agreements

Description: Information on rulings, reports, directives, forms, procedures, contracts and instructions related to ancillary agreements. **Topics:** Correspondence; grazing leases; installment postponements; advisory service agreements; re-amortization agreements; waiver and consent; mortgage postponement; provincial interest rebates; monthly and irregular payment schedules; marketing board agreements. **Access:** Files arranged by subject and province. **Storage Medium:** Paper. **Program Record Number:** FCC ADM 100

Chattel Mortgages

Description: Information on chattel mortgage matters, including directives, rulings, legal actions, procedures and

reports. **Topics:** Policies; correspondence; administration; monitoring; renewals and releases. **Access:** Files arranged by subject and province. **Storage Medium:** Paper and computer. **Program Record Number:** FCC ADM 115

Collections

Description: Information on collection matters including policies, directives, rulings, reports, instructions, legal procedures, statistics, taxes, acquisition and disposition of property, analysis, estimates. **Topics:** Policies; correspondence; collection procedures; taxes on security; arrears reports; foreclosures, power of sale, quit claims; bankruptcies; legal action reports; recovery action summaries; forecasts of losses. **Access:** Files arranged by subject and province. **Storage Medium:** Paper. **Program Record Number:** FCC ADM 105

Conferences

Description: Working papers, reports and correspondence on conferences. **Topics:** Research conferences, FCC and non-FCC; federal-provincial relations. **Access:** Files arranged numerically by subject and province. **Storage Medium:** Paper. **Program Record Number:** FCC ADM 065

Farm Appraisal System

Description: Correspondence, copies of reference material, aerial photographs and instructions on the Corporation's appraisal process. **Topics:** Aerial photographs; appraisals — other departments and agencies. **Access:** Files arranged numerically by subject. **Storage Medium:** Paper. **Program Record Number:** FCC ADM 020

Farm Credit Act and Farm Credit Corporation Act Loan Administration

Description: Information on policy, rulings, fire insurance, forms and procedures, disbursements, notices, statements, security, legal opinions, suspense and liaison related to administration of loans under the Farm Credit Act and the Farm Credit Corporation Act. **Topics:** Correspondence; policy; fire insurance; new loan documentation; Commodity-based loans; Shared Risk Mortgage loans; retained funds; installment notices; activity statements; retired and assumed loan documentation; collateral security; partial interest, surface leases and easements; legal opinions; suspense funds disbursements; liaison. **Access:** Files arranged by subject and province. **Storage Medium:** Paper and computer. **Program Record Number:** FCC ADM 090

Farm Credit Corporation Act Lending Policy

Description: Policy directives, rulings and reports on the Farm Credit Corporation Act lending policy. **Topics:** Correspondence; guidelines; specialized enterprises; two-province loans; capital restrictions; low-income farmers; Indian loans; Commodity-based loans; Shared Risk Mortgage loans; Department of Regional Economic Expansion (DREE); capital monitoring; eligibility; vertical

integration; Hutterites and Mennonites; co-operatives; corporations; partnerships; security, production and marketing; purposes, amount, terms and conditions of loans; interest rates; appraisal fees; forms and procedures; loan monitoring; flood risk areas. **Access:** Files arranged numerically by subject. **Storage Medium:** Paper. **Program Record Number:** FCC ADM 010

Farm Syndicates Credit Act

Description: Information on rulings, legal opinions, security, disbursement, identification and administration related to the Farm Syndicates Credit Act. **Topics:** Correspondence; policies; new loan documentation; loan administration. **Access:** Files arranged by subject and province. **Storage Medium:** Paper. **Program Record Number:** FCC ADM 085

Financial Model

Description: Information on the financial model used to develop interrelated balance sheet, income statement and financial ratios. **Access:** Files arranged by subject. **Storage Medium:** Paper and computer. **Program Record Number:** FCC ADM 075

Identification of Accounts

Description: Information on directives and reports concerned with the identification of accounts. **Topics:** Correspondence; change of field, district and geographic areas; change of address and account numbers. **Access:** Files arranged by subject and province. **Storage Medium:** Paper and computer. **Program Record Number:** FCC ADM 120

Indian Loans

Description: Information on loans to native people, including directives, instructions, rulings and reports. **Topics:** Agreements, policies, administration; recoveries from the Department of Indian and Northern Affairs. **Access:** Files arranged by subject and province. **Storage Medium:** Paper. **Program Record Number:** FCC ADM 125

Legislation, Organization and Policy

Description: Information on legislative drafts and amendments, organizational changes, policy development, and system procedures development. **Topics:** Farm Credit Corporation Act — interest rate and regulations; acts and regulations amendments; conferences (general, regional, agricultural and appraisal); policy and procedural manuals; annual reports; committees — general, advisory, executive, standing and senior management; appeal boards; circulars; Task Force on Agriculture; agents and solicitors; management audits. **Access:** Files arranged numerically by subject and by province. **Storage Medium:** Paper and computer. **Program Record Number:** FCC ADM 005

Life Insurance

Description: Life insurance studies, tenders, operations, rulings, interpretations, reports and claims. **Topics:**

Correspondence; life insurance program; Equitable Life, Metropolitan Life; life insurance reports; outstanding death claims. **Access:** Files arranged by subject and province. **Storage Medium:** Paper. **Program Record Number:** FCC ADM 095

Operational Staff Training

Description: Policy, instructions and general correspondence on operational staff training. **Topics:** Operational training policy; operational training — British Columbia, Alberta, Saskatchewan, Manitoba, Ontario, Quebec, the Atlantic Region; special training; appraisal training; case studies and demonstrations; appraisal courses (ASFMRA); loan counselling and farm management. **Access:** Files arranged by subject and province. **Storage Medium:** Paper. **Program Record Number:** FCC ADM 025

Projects and Reports

Description: Information on reports, marketing boards, and quotas; correspondence. **Topics:** Farm Survey Report; Study of Marketing Boards; study of credit for low income farmers; 1971 Arrears Analysis Report; Manitoba Survey; 1980, 1984 and 1992 Farm Survey Reports. **Access:** Files arranged numerically by subject. **Storage Medium:** Paper and publications. **Program Record Number:** FCC ADM 070

Real Estate Mortgages

Description: Information on directives, rulings, instructions and documentation concerning real estate security. **Topics:** Policies; correspondence; partial discharges; assumption of mortgage; exchange of security; leasehold and equity mortgage. **Access:** Files arranged by subject and province. **Storage Medium:** Paper. **Program Record Number:** FCC ADM 110

Research

Description: Research reports, studies, data and correspondence. **Topics:** Research projects; farm financial surveys; surveys — financial conditions; loan risk analysis; farm accounting; farm management initiatives. **Access:** Files arranged numerically by subject. **Storage Medium:** Paper. **Program Record Number:** FCC ADM 050

Research Policy

Description: Information on policy, meetings, farm management, projects and training. **Topics:** Research coordination system; research meetings; Rural Adjustment Program; program development; Canadian farm management committee; financial management and analysis course. **Access:** Files arranged numerically by subject. **Storage Medium:** Paper. **Program Record Number:** FCC ADM 040

Statistical Information and Programs

Description: Statistical information, new program developments, listing of computer programs, loan summary and review coding, reports, summaries and

correspondence. **Topics:** Coding instructions; purpose of loans; appraisal; budget analysis; national lending summary; application and appraisal workload; federal farm credit statistics; documentation; standing committee; advisory committee; characteristics of borrowers; Loan Review Board summaries; annual report; terminal special reports; bankruptcies; branch appeal board reports; number of accounts; financial and lending data; selected statistics programs; Farm Financial survey. **Access:** Files arranged numerically by subject. **Storage Medium:** Paper. **Program Record Number:** FCC ADM 055

Technical Information

Description: Technical information and reports for subject areas not previously specified. **Topics:** Canadian Socio-economic Information Management (CANSIM); monthly and quarterly production runs — AGDATA (commodity prices, bond market). **Access:** Statistics Canada (codes available at FCC). **Storage Medium:** Statistics Canada computer. **Program Record Number:** FCC ADM 060

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Administration and Management Services

Audits

Budgets

Buildings and Properties

Employment and Staffing

Finance

Human Resources

Official Languages

Pensions and Insurance

Personnel

Procurement

Training and Development

Personal Information Banks

Access to Information and Privacy Requests

Description: This bank contains formal requests made by individuals under the Privacy Act and the Access to Information Act, the replies to such requests and information relating to their processing. **Class of Individuals:** Individuals requesting access to information under the Privacy Act and the Access to Information Act. **Purpose:** This information is used for processing requests made under the Privacy Act and the Access to Information Act. **Consistent Uses:** This information may be used for research, statistical, program monitoring and evaluating purposes; it is also used in response to a

complaint filed by an individual to the Privacy or Information Commissioner, or in defence against a court action. **Retention and Disposal Standards:** The records are retained for two years after all actions have been completed. **Related to PR#:** FCC ADM 130 **TBS Registration:** 003007 **Bank Number:** FCC PPU 035

Applications for Employment

Description: This bank contains recruitment documents or applications for employment with FCC and any related correspondence. This bank may also contain personnel or staffing interviews, psychological tests, test results and analysts' reports. **Class of Individuals:** Potential FCC employees. **Purpose:** To meet the human resources needs of FCC. **Consistent Uses:** Information may be transferred to an employee bank if the individual is offered and accepts employment. This information may also be used for research, planning, evaluation and statistical purposes. **Retention and Disposal Standards:** Retained a minimum of two years. However, unsolicited applications are destroyed after six months. **Related to PR#:** FCC ADM 920 **TBS Registration:** 003008 **Bank Number:** FCC PPU 015

Farm Survey

Description: Size of operation, assets, liabilities, capital purchases, capital expenditures, income expenses, age, number of families on the farm. **Class of Individuals:** Canadian farmers chosen from sample frame possessed by Statistics Canada to represent 95% of Canadian farms. **Purpose:** To analyze and formulate farm policy and to determine market share as well as validate data collected from other sources by Statistics Canada. **Consistent Uses:** The information in this bank is used for statistical purposes. **Retention and Disposal Standards:** Information is retained for one year and then destroyed. **Related to PR#:** FCC ADM 070 **TBS Registration:** 003123 **Bank Number:** FCC PPU 040

Loan and Lease Administration — Client Files

Description: This bank contains information relating to farmers who have loans under the Farm Credit Corporation Act, the Farm Credit Act and the Farm Syndicates Credit Act and farmers who lease property from FCC. The information consists of loan documentation, loan assumptions, loan renewals, financial statements and arrangements, leases, property management and administration, legal documentation and copies of correspondence relating to the ongoing administration of FCCA, FCA and FSCL loans and FCC properties. **Class of Individuals:** Farmers who have loans under FCCA, FCA and FSCL and tenants of FCC properties. **Purpose:** The purpose of this bank is to administer and monitor client loans and FCC properties. **Consistent Uses:** Information in this bank is used for statistical purposes, monitoring, renewals, collections and legal recovery actions, Loan Review Board and Farm Debt Review Board hearings and the general administration of loan accounts and properties. **Retention and Disposal Standards:** Records on approved loans

are retained for a maximum period of 32 years, or two years from the date the loan is fully repaid, whichever is the lesser period, and then destroyed. Files for abortive/cancelled loans, or withdrawn/rejected applications are kept a maximum of two years from date of such action and then destroyed. **Related to PR#:** FCC ADM 090 **TBS Registration:** 003006 **Bank Number:** FCC PPU 010

Loan Applications — Client Files

Description: This bank contains information relating to individuals who apply for loans under the Farm Credit Corporation Act and information relating to individuals who applied for loans under the Farm Credit Act and the Farm Syndicates Credit Act. The information consists of loan application forms, financial statements, farm appraisal reports, personal income and other client profile information, client appeals, copies of correspondence relating to the repayment and ongoing administration of FCCA, FCA and FSCA loans and related legal documentation. **Class of Individuals:** Farmers who apply for loans under FCCA and those who applied for loans under FCA and FSCA. **Purpose:** The purpose of this bank is to determine eligibility for loans and the administration of loans. **Consistent Uses:** Information in this bank is used for statistical purposes, monitoring, loan renewals, collection and recovery administration and Loan Review Board and Farm Debt Review Board hearings. **Retention and Disposal Standards:** Records on approved loans are retained for a maximum period of 32 years, or two years from the date the loan is fully repaid, whichever is the lesser period, and then destroyed. Files for abortive/cancelled loans, or withdrawn/rejected applications are kept a maximum of two years from date of such action and then destroyed. **TBS Registration:** 003937 **Bank Number:** FCC PPU 005

Loan Review Board

Description: This bank contains information relating to individuals whose loan applications under the Farm Credit Corporation Act, Farm Credit Act and the Farm Syndicates Credit Act have been declined and reviewed by the Loan Review Board. The information consists of Loan applications, financial information and the rulings of the Loan Review Board. **Class of Individuals:** Individuals for whom a loan application has been declined. **Purpose:** The purpose of this bank is to provide a record of the review process for declined loan applications. **Consistent Uses:** This bank is used for monitoring and statistical purposes. **Retention and Disposal Standards:** This information is retained for two years and then destroyed. **Related to PR#:** FCC ADM 090 **TBS Registration:** 003290 **Bank Number:** FCC PPU 045

Loan Review Board Members

Description: This bank contains information relating to individuals who are members of the Loan Review Board. The information consists of biographical data. **Class of Individuals:** Individuals who are members of the Loan Review Board. **Purpose:** The purpose of this bank is to

collect background information on Loan Review Board members. **Consistent Uses:** None. **Retention and Disposal Standards:** This information is retained throughout the appointment period and destroyed 3 years after the end of the appointment. **Related to PR#:** FCC ADM 090 **TBS Registration:** 003650 **Bank Number:** FCC PPU 050

Mailing Lists

Description: This bank contains mailing lists with the names and addresses of individuals, academic and farming organizations, firms, companies, groups, etc. **Class of Individuals:** Individuals, academic and farming organizations, firms, companies, groups, government officials, etc. **Purpose:** To maintain standard lists of individuals, organizations, groups, businesses, etc. for the purpose of mailing publications, reports, newsletters, press releases and other documentation on FCC's activities, programs and marketing. **Consistent Uses:** The information is used to mail and distribute documentation to individuals on the mailing lists. **Retention and Disposal Standards:** Records are updated continuously and the information is kept up to when superseded. **Related to PR#:** FCC ADM 903 **TBS Registration:** 003009 **Bank Number:** FCC PPU 020

Requests from Federal Investigative Bodies

Description: This bank contains requests for personal information pursuant to paragraph 8(2)(e) of the Privacy Act by federal investigative bodies, the replies to such requests, the records of information disclosed, any correspondence with the Privacy Commissioner and all records relating to the processing of the requests. **Class of Individuals:** Individuals being investigated by federal investigative bodies. **Purpose:** The purpose of this bank is to meet the requirements of the Act. **Consistent Uses:** Compiling statistics relating to requests from federal investigative bodies. **Retention and Disposal Standards:** Files are retained for two years after their last administrative action and are then destroyed. **Related to PR#:** FCC ADM 130 **TBS Registration:** 003010 **Bank Number:** FCC PPU 025

Temporary Help Agencies

Description: This bank contains a list of calls made for temporary help services. It includes the names of the agencies contacted, the name of the person referred, the hourly rate charged by the agency, the approximate duration of employment, the name of the contact person at the agency and a description of the selection criteria. **Class of Individuals:** Personnel referred by the agencies. **Purpose:** The purpose of this bank is to maintain a record of temporary help personnel. **Consistent Uses:** This bank is also used to back up financial records and invoices. **Retention and Disposal Standards:** The records are retained for two years for administrative purposes and then destroyed. **Related to PR#:** FCC ADM 920 **TBS Registration:** 003011 **Bank Number:** FCC PPU 030

Manuals

- Farm Credit Corporation Act Policy and Procedures — Lending
- Farm Credit Corporation Act Policy and Procedures — Loan Administration

Ontario Region Office

201-450 Speedvale Avenue West
Guelph, Ontario
N1H 7G7

(519) 821-1330

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

The public may direct enquiries for information about the Corporation and its policies and procedures to:

Communications
Farm Credit Corporation
1800 Hamilton Street
(P.O. Box 4320)
Regina, Saskatchewan
S4P 4L3
(306) 780-8100

Eastern Region Office

Suite 230
1133 St. George Boulevard
Moncton, New Brunswick
E1E 4E1

(506) 851-6595

Prairie Region Office

900-1801 Hamilton Street
Regina, Saskatchewan
S4P 4L5

(306) 780-5610

Western Region Office

Suite 1550, 10250-101 Street
Edmonton, Alberta
T5J 3P4

(403) 495-4488

Reading Room

The Corporation's boardrooms and libraries have been designated under the Access to Information Act as public reading rooms. They are located in the head office and regional offices at the addresses listed above under Additional Information.

Federal Office of Regional Development (Quebec)

Chapter 45

General Information

Background

The Federal Office of Regional Development (Quebec) (FORD(Q)) was established by Order in Council on June 13, 1991. It had already been operating as a separate entity within Industry, Science and Technology Canada in the area of regional development in Quebec. Since January 1996, FORD-Q has been part of the Industry Portfolio.

Changes to the organizational structure are currently underway, and are broadly reflected in this document. The detailed version will be completed when Info Source is next updated.

Responsibilities

FORD(Q)'s mandate is to promote economic development in areas of Québec where low incomes and slow economic growth are prevalent or where opportunities for productive employment are inadequate. To this end, it emphasizes long-term economic development and sustainable employment and income creation. FORD(Q) concentrates its efforts on small and medium-sized business and the development and enhancement of entrepreneurship.

Legislation

- Atlantic Enterprise Loan Insurance Regulations with regard to projects in the Province of Quebec (P.C. 1986 1107, P.C. 1991 1114, P.C. 1993 1671)
- Department of Industry Act regarding regional economic development in the Province of Quebec (P.C. 1995 1536, P.C. 1995 1537)
- Enterprise Development Regulations with regard to contributions and projects in the Province of Quebec (C.R.C. 1969 P.C. 1991 1113, P.C. 1993 1671)
- Industrial and Regional Development Act regarding activities in the Province of Quebec (P.C. 1991 1118, P.C. (1993 1671)
- Small Business Loans Act (P.C. 1991-1116, 1993-1671)
- Special Areas Act regarding activities in the Province of Quebec (P.C. 1991 1118, P.C. 1993 1671)

Organization

The Federal Office of Regional Development — Quebec is headed by the Deputy Minister, assisted by two Assistant Deputy Ministers responsible for Operations and Strategy and Policy and Liaison. FORD-Q also has a Director General responsible for Communications, the Secretariat and Document Management, a Director General for

Information Management and Technology Development and a Director General responsible for Resource Management (human, financial and administrative).

♦ Communications, Secretariat and Document Management

This branch is responsible for advising the Minister and the Secretary of State, FORD-Q senior management and program managers on matters relating to communications. It prepares and implements a strategic communications plan and manages a public relations and promotional program intended to gain the desired visibility for FORD-Q's accomplishments.

The branch provides information for the media and organizes public events with regard to projects to which FORD-Q has contributed. In addition, it produces publicity messages, ensures FORD-Q participation in fairs and seminars and produces publications on FORD-Q programs and services, co-ordinates regional communications activities and provides strategic opinion with regard to promotional activities; it maintains ties with federal organizations, government and the private sector.

This branch is also responsible for the administrative mechanisms set up to respond efficiently to the needs of Parliament, the Minister, the Secretary of State, the Deputy Minister and senior management. It co-ordinates the preparation of an integrated set of briefings for the various activities in which the Minister, the Secretary of State and the Deputy Minister are called upon to participate, and prepares submissions to Treasury Board and Memoranda to Cabinet and forwards them for the Minister's approval. The duties of the branch include enforcement of the Access to Information Act and the Privacy Act, and the management of ministerial correspondence. Other functions, which are concentrated in the Hull office, include Parliamentary relations.

♦ Information Management and Technology Development

This branch is responsible for designing, developing and implementing integrated information management systems.

♦ Operational Support

This branch provides operational support. More specifically, it ensures the consistency of business plans, the interpretation of terms and conditions for the delivery of programs as well as financial planning. It also ensure that FORD-Q, the Community Futures Development Corporations (CFDCs) and the Community Economic Development Corporations (CEDCs) work together.

♦ Operations

The Operations Sector is responsible for planning, conceiving and the delivery of FORD-Q's programs and

services in the regions, notably its new IDEA-SME Program. Two branches and a network of 13 regional offices carry out the various activities in this sector.

♦ Regional Offices

As part of the federal government's Program Review, FORD-Q's activities have been completely redirected. In April 1995, a new program, IDEA-SME, was to facilitate the breakthrough of small businesses to the year 2000. The IDEA-SME Program focuses on three activity components: Innovation, Research and Development, and Design; Market Development and Exporting; and Entrepreneurship and Development of the Business Climate. The SMALL BUSINESS ACCESS CENTRE is the new concept through which FORD-Q delivers federal programs and services in Quebec.

Regional Offices in the various regions of Quebec are the nerve centres for delivery of FORD-Q programs and services. They play an active role in setting departmental priorities and policies and in adapting programs and services to the regional context. FORD-Q teams working in the regions represent the Department in dealings with local bodies and hold consultations in the community on specific issues. The regional offices enable the federal government to publicize its regional economic development policies and activities.

FORD-Q also administers the Community Development Program (CDP), which is centred around 55 Community Futures Development Corporations (CFDCs), the CFDC network in Quebec and eight Community Economic Development Corporations (CEDCs).

♦ Resource Management (human, financial and administrative)

The Resource Management Branch provides advice and counsel with regard to budgetary planning, and is responsible for the annual updating of reference levels, Part III of the Principal Budget and the Public Accounts. It ensures liaison with the Auditor General of Canada, and is responsible for the management of all administrative and technical services.

This branch offers a whole range of services for managers and employees, as well as professional services, in co-operation with its partner, Public Works and Government Services Canada (PWGSC), with regard to classification and staffing, manpower and employment equity planning, training and development, work relations, official languages, remuneration and fringe benefits. The branch also offers access to a career assistance and orientation program.

The Resource Management Branch is also responsible for providing the Deputy Minister and senior management with advice and recommendations on the implementation of major programs, policies, and initiatives which affect FORD-Q personnel. These programs and policies reflect Central Agency policies and guidelines.

The Branch also facilitates strategic and operational changes, formulates recommendations in several sectors of responsibility and ensures that all employees are treated equitably.

♦ Strategic Activities and Product Development

This branch is responsible for designing and setting up programs and services and regional strategic activities that respond to the needs of FORD-Q clientele as well as to the concerns of the department and the government. In order to address Quebec's needs, the branch equally proposes regional strategic activities that support measures put forward by the federal government.

♦ Strategy and Liaison

This branch defines FORD-Q's orientations in terms of regional development in Quebec and is responsible for program evaluation. It also ensures joint federal-provincial activities in Quebec. The branch prepares partnership strategies with a variety of federal, provincial and private stakeholders in the area of regional development. Lastly, it analysis the status of and trends in the economy of Quebec and its regions.

The Strategy and Liaison Branch also defends and promotes Quebec's interests at the federal level with regard to the preparation of national policies and initiatives. In addition, the branch works to maximize industrial and regional spinoff in Quebec from government contracting and major government projects. It is responsible for monitoring free trade issues at the international (Gatt-WTO Agreement), continental (NAFTA) and national (Domestic Trade Agreement) levels.

The Strategy and Liaison Branch is responsible for the ongoing improvement of services and for evaluating client needs.

Information Holdings

Program Records

Access to Information and Privacy

Description: policy and guidelines on Access and Privacy; statistical and other information. **Topics:** Policies and procedures for each component of the legislation; background on legal interpretations of access rights; statistical data on requests and complaints; documents concerning jurisprudence. **Access:** Files arranged by subject. **Program Record Number:** FRQ ACC 005

Analysis of policies, programs and projects

Description: subjects related to the policies, programs and projects of the various federal bodies and departments likely to have an impact on economic and regional development in Quebec. **Topics:** Agriculture Canada, Canadian Space Agency, Communications Canada,

Treasury Board, Human Resources Development Canada, Environment Canada, Finance, Industry Canada, Heritage Canada, Fisheries and Oceans, Natural Resources Canada, Transport Canada etc. **Access:** by subject **Program Record Number:** FRQ DPL 005

Canada-Quebec Economic and Regional Development Agreement and Related Agreements

Description: subjects related to the regional and economic development initiatives carried out by the Department and other departments concerned with regional and economic development in Quebec, and the resultant subsidiary agreements. **Topics:** Economic and Regional Development Agreement (ERDA), subsidiary agreements and consultation and harmonization agreements. **Access:** by subject. **Program Record Number:** FRQ DPL 050

Communications

Description: subjects related to discussions, recommendations and documents pertaining to major issues and FORD-Q's promotional and advertising plans, promotion and public relations program records. **Topics:** communications in general; publications; news clippings; press releases; speeches; audiovisual material; speech writers; exhibitions. **Access:** by subject. **Program Record Number:** FRQ COM 005

Economic Analysis

Description: subjects related to the economic situation and trends in Quebec and its regions, key economic development sectors and the main challenges the Quebec economy will have to face in the future. **Topics:** Quebec community profiles; report on the Quebec economy; economy of the Central Regions; economy of the Resource Regions; development of Montreal; models of community development; research and development; innovation; tourism. **Access:** by subject and classification code. **Program Record Number:** FRQ DPL 040

Free Trade

Description: files related to international trade liberalization agreements (GATT-WTO, NAFTA) as well as on the elimination of inter-provincial trade barriers within Canada (domestic trade). Contains studies on their impact on the economy and on Quebec regional development. **Topics:** GATT-WTO NAFTA, domestic trade barriers. **Access:** by subject. **Program Record Number:** FRQ DPL 020

General

Description: subjects related to the participation of Liaison and Federal Contracting branches in meetings, committees and task forces, and in the organization of special events connected with regional and economic development in Quebec. **Topics:** minutes, agenda, files. **Access:** by subject. **Program Record Number:** FRQ DPL 015

International Relations

Description: files pertaining to FORD-Q's relations with international bodies which, in the course of their activities, operate explicitly in the field of regional development. (OECD, CEC, etc.) **Topics:** Includes topics related to regional development, originating in both the public and the private sectors in Canada; OECD, etc. **Access:** by subject. **Program Record Number:** FRQ DPL 030

Policy Analysis

Description: subjects related to Cabinet Committee decisions on economic development policy, strategic analysis of a political and economic nature, information and notes to the minister for Cabinet meetings, analysis of policies proposed by various federal departments, and existing federal policies and programs, particularly from the standpoint of their impact on Quebec regional development. **Topics:** impact of federal policies and programs on regional development in Quebec. **Access:** by subject. **Program Record Number:** FRQ DPL 055

Policy Development

Description: subjects related to options open to the Minister responsible for FORD(Q) concerning the federal government's regional development strategy in Quebec, the development of resultant policies and programs, and funding options for initiatives under the various strategies. **Topics:** Federal participation in regional development assistance programs; federal regional development strategy in Quebec in the short and medium term. **Access:** by subject and classification code. **Program Record Number:** FRQ DPL 035

Program Evaluation

Description: subjects relating to evaluation of the effectiveness, efficiency, strengths, weaknesses and validity of federal regional development programs in Quebec, and lessons to be learned from them. **Topics:** Evaluation report on FORD-Q's regional development assistance programs. **Access:** by subject and classification code. **Program Record Number:** FRQ DPL 045

Promotion of International Trade

Description: subjects related to the promotion of exports, market activities and investment outside Quebec that could benefit the Quebec economy, especially small and medium-sized businesses in the context of the globalization and continental regionalization of markets. **Topics:** Technology transfer, foreign markets, etc. **Access:** by subject. **Program Record Number:** FRQ DPL 025

Regional and Industrial Spinoffs

Description: subjects related to the industrial and regional spinoffs from major government projects and other major government acquisitions that could have an impact on regional and economic development in Quebec. **Topics:** Major government projects and other major acquisitions, federal policy on industrial and regional

spinoffs. **Access:** by subject. **Program Record Number:** FRQ DPL 010

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Administration

Audits

Budgets

Buildings and Properties

Equipment and Supplies

Finance

Furniture and Furnishings

Office Appliances

Procurement

Salaries and Wages

Vehicles

Personal Information Banks

Access Requests Data Bank

Description: This bank contains the access request forms sent by individuals requesting access to the Department's records under the Access to Information Act, the replies to such requests, and information related to their processing. **Class of Individuals:** Individuals requesting access to FORD-Q's records under the Access to information Act. **Purpose:** The purpose of this bank is to process and retain access requests, and to report on the number of requests received annually. **Consistent Uses:** Contents of this bank may be disclosed to the Access to Information Commissioner during the investigation of complaints from individuals requesting information. **Retention and Disposal Standards:** Records are retained for two years from the date of the last administrative action, after which they are destroyed. **TBS Registration:** 003225 **Bank Number:** FRQ PPU 005

Agreement Advisory Committees

Description: This data bank contains the minutes of meetings, committee judgments, biographical data and letters of nomination, acceptance, refusal and resignation from members. **Class of Individuals:** Individuals submitting opinions to the ministers responsible for the Canada/Quebec Subsidiary Agreement on the Economic Development of the Regions of Quebec. **Purpose:** This data bank was set up to keep all the minutes of committee meetings, committee judgments and information and correspondence on members' status for reference and historical purposes. **Retention and Disposal Standards:** Files are kept for a five year period after which they are transferred to the National Archives of Canada for

selective retention. **TBS Registration:** 003228 **Bank Number:** FRQ PPU 020

Assistance Program for Disadvantaged Areas (APDA)

Description: This bank contains project applications for funding assistance under the Assistance Program for Disadvantaged Areas (APDA). These applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's project recommendation and implementation documentation. **Class of Individuals:** Businesses and non profit organizations submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Assistance Program for Disadvantaged Areas (APDA), for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for three years, from the date of the last administrative action, after which they are transferred to the National Archives of Canada for three years. **TBS Registration:** 003233 **Bank Number:** FRQ PPU 045

Assistance Program for Industrial Infrastructure (APIF)

Description: This bank contains project applications for funding assistance under the Assistance Program for Industrial Infrastructure. These applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's project recommendation and implementation documentation. **Class of Individuals:** Municipalities and regional county municipalities (RCMs) submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Assistance Program for Industrial Infrastructure, for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for three years, from the date of the last administrative action, after which they are transferred to the National Archives of Canada for three years. **TBS Registration:** 003249 **Bank Number:** FRQ PPU 095

Assistance Program for Major Regional Facilities (APMRF)

Description: This bank contains project applications for funding assistance under the Assistance Program for Major Regional Facilities. These applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's project recommendation and implementation documentation. **Class of Individuals:** Non profit organizations, municipalities, intermunicipal boards, regional county municipalities (RCMs) and federal or provincial parapublic agencies submitting applications for funding assistance from the Department. **Purpose:**

The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Assistance Program for Major Regional Facilities, for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for three years, from the date of the last administrative action, after which they are transferred to the National Archives of Canada for three years. **TBS Registration:** 003248 **Bank Number:** FRQ PPU 090

Assistance Program for Research Establishments (APRE)

Description: This bank contains project applications for funding assistance under the Assistance Program for Research Establishment (APRE). These applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's project recommendation and implementation documentation. **Class of Individuals:** Businesses and non profit organizations submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Assistance Program for Research Establishment (APRE), for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for three years, from the date of the last administrative action, after which they are transferred to the National Archives of Canada for three years. **TBS Registration:** 003232 **Bank Number:** FRQ PPU 040

Assistance Program for Tourist Attractions and Infrastructure (APTAI)

Description: This bank contains project applications for funding assistance under the Assistance Program for Tourist Attractions and Tourist Infrastructure projects. These applications consist of the description, costs, financing, and analysis; and the Department's project recommendation and implementation documentation. **Class of Individuals:** Municipal agencies and non profit organizations submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Assistance Program for Tourist Attractions and Tourist Infrastructure projects, for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for three years, from the date of the last administrative action, after which they are transferred to the National Archives of Canada for three years. **TBS Registration:** 003250 **Bank Number:** FRQ PPU 100

Canada/Quebec Subsidiary Agreement on Tourism Development

Description: This bank contains project applications for funding assistance under the Canada/Quebec Subsidiary Agreement on Tourism Development. These applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's project recommendation and implementation documentation. **Class of Individuals:** Touristic enterprises submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Canada/Quebec Subsidiary Agreement on Tourism Development for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for three years, from the date of the last administrative action, after which they are transferred to the National Archives of Canada for three years. **TBS Registration:** 003230 **Bank Number:** FRQ PPU 030

Enterprise Development Program (EDP)

Description: This bank contains project applications for funding assistance under the Enterprise Development Program (EDP). These applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's project recommendation and implementation documentation. **Class of Individuals:** Commercial and non-Commercial businesses submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Enterprise Development Program (EDP), for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for three years, from the date of the last administrative action, after which they are transferred to the National Archives of Canada for three years. **TBS Registration:** 003243 **Bank Number:** FRQ PPU 065

Federal Procurement Assistance Program (PFAP)

Description: This bank contains project applications for funding assistance under the Federal Procurement Assistance Program (PFAP). These applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's project recommendation and implementation documentation. **Class of Individuals:** Businesses submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Federal Procurement Assistance Program, for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for three

years, from the date of the last administrative action, after which they are transferred to the National Archives of Canada for three years. **TBS Registration:** 003240 **Bank Number:** FRQ PPU 050

Housing Program for Southwest Montreal (HPSM)

Description: This bank contains project applications for funding assistance under the Housing Program for Southwest Montreal (HPSM). These applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's project recommendation and implementation documentation. **Class of Individuals:** Non profit organizations submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Housing Program for Southwest Montreal (HPSM), for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for three years, from the date of the last administrative action, after which they are transferred to the National Archives of Canada for three years. **TBS Registration:** 003251 **Bank Number:** FRQ PPU 105

IDEA-SME Program

Description: This bank contains project applications for funding assistance under the IDEA-SME Program. These applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's project recommendation and implementation documentation. **Class of Individuals:** Touristic enterprises, commercial and non-commercial businesses, non profit organizations, business in the manufacturing and processing sectors, municipalities and other institutions, intermunicipal boards, regional county municipalities (RCMs) and federal or provincial parapublic agencies, according to the programs. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for three years, from the date of the last administrative action, after which they are transferred to the National Archives of Canada for three years. **TBS Registration:** 003774 **Bank Number:** FRQ PPU 130

Industrial Recovery Program for East End Montreal (IRPEEM)

Description: This bank contains project applications for funding assistance under the Industrial Recovery Program for East End Montreal. These applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's project recommendation and implementation documentation. **Class of Individuals:** Businesses submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank

is to retain a record of all requests for and inquiries about funding assistance under the Industrial Recovery Program for East End Montreal, for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for three years, from the date of the last administrative action, after which they are transferred to the National Archives of Canada for three years. **TBS Registration:** 003244 **Bank Number:** FRQ PPU 070

Industrial Recovery Program for Southwest Montreal (IRPSM)

Description: This bank contains project applications for funding assistance under the Industrial Recovery Program for Southwest Montreal. These applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's project recommendation and implementation documentation. **Class of Individuals:** Businesses and non profit organizations submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Industrial Recovery Program for Southwest Montreal, for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for three years, from the date of the last administrative action, after which they are transferred to the National Archives of Canada for three years. **TBS Registration:** 003245 **Bank Number:** FRQ PPU 075

Innovation Assistance Program (IAP)

Description: This bank contains project applications for funding assistance under the Innovation Assistance Program (IAP). These applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's project recommendation and implementation documentation. **Class of Individuals:** Businesses in the manufacturing and processing sectors submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Innovation Assistance Program (IAP), for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for three years, from the date of the last administrative action, after which they are transferred to the National Archives of Canada for three years. **TBS Registration:** 003231 **Bank Number:** FRQ PPU 035

Manufacturing Productivity Improvement Program (MPIP)

Description: This bank contains project applications for funding assistance under the Manufacturing Productivity Improvement Program (MPIP). These applications consist

of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's project recommendation and implementation documentation. **Class of Individuals:** Businesses and non-profit organizations submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Manufacturing Productivity Improvement Program (MPIP), for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for three years, from the date of the last administrative action, after which they are transferred to the National Archives of Canada for three years. **TBS Registration:** 003246 **Bank Number:** FRQ PPU 080

Montreal Development Fund Program (MDFP)

Description: This bank contains project applications for funding assistance under the Montreal Development Fund Program (MDFP). These applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's project recommendation and implementation documentation. **Class of Individuals:** Commercial and non-commercial businesses and other organizations submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Montreal Development Fund Program (MDFP), for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for three years, from the date of the last administrative action, after which they are transferred to the National Archives of Canada for three years. **TBS Registration:** 003252 **Bank Number:** FRQ PPU 110

Personnel Services Contracts

Description: This data bank contains information on contract proposals, the nature of the services provided, contract length, amounts spent and the contracts themselves with the appropriate support documents. **Class of Individuals:** Persons hired by FORD-Q under temporary service contracts. **Purpose:** The information was compiled to keep accounts, reference data and statistics. **Retention and Disposal Standards:** The records are retained for a one-year period following the end of the contracts and destroyed thereafter. **TBS Registration:** 003227 **Bank Number:** FRQ PPU 015

Regional Development Program for Québec (RDPQ)

Description: This bank contains project applications for funding assistance under the Regional Development Program for Québec. These applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's project recommendation and

implementation documentation. **Class of Individuals:** Businesses and non-profit organizations, municipalities and other institutions submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Regional Development Program for Québec, for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for three years, from the date of the last administrative action, after which they are transferred to the National Archives of Canada for three years. **TBS Registration:** 003458 **Bank Number:** FRQ PPU 125

Salmon Economic Development Program

Description: This bank contains project applications for funding assistance under the Salmon Economic Development Program. These applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's project recommendation and implementation documentation. **Class of Individuals:** Non-profit organizations submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Salmon Economic Development Program, for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for three years, from the date of the last administrative action, after which they are transferred to the National Archives of Canada for three years. **TBS Registration:** 003242 **Bank Number:** FRQ PPU 060

Service procurement contracts

Description: This file was created to register information on the procure of services in accordance with Treasury Board directives as well as the service procurement policy and the internal directive. **Class of Individuals:** Persons hired by service contract with FORD-Q, either in Montreal or in the Regional Offices. **Purpose:** This bank contains information essential for contract management, monetary disbursements, collection of accounts receivable and departmental administrative and account activities. Moreover, this bank facilitates the selection, verification and evaluation of consultants. **Retention and Disposal Standards:** The records are retained for a six month period and destroyed thereafter. **TBS Registration:** 003226 **Bank Number:** FRQ PPU 010

Special Assistance Program for the Development of the Montreal Region

Description: This bank contains project applications for funding assistance under the Special Assistance Program for the Development of the Montreal Region. These applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's

project recommendation and implementation documentation. **Class of Individuals:** Businesses and non profit organizations submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Special Assistance Program for the Development of the Montreal Region, for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for three years, from the date of the last administrative action, after which they are transferred to the National Archives of Canada for three years. **TBS Registration:** 003253 **Bank Number:** FRQ PPU 115

Support Program for Fashion Design (SPFD)

Description: This bank contains project applications for funding assistance under the Support Program for Fashion Design (SPFD). These applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's project recommendation and implementation documentation. **Class of Individuals:** Businesses and non profit organizations submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Support Program for Fashion Design (SPFD), for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for three years, from the date of the last administrative action, after which they are transferred to the National Archives of Canada for three years. **TBS Registration:** 003241 **Bank Number:** FRQ PPU 055

Support Program for Regional Development Activities (SPRDA)

Description: This bank contains project applications for funding assistance under the Support Program for Regional Development Activities. These applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's project recommendation and implementation documentation. **Class of Individuals:** Businesses and non-profit organizations submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Support Program for Regional Development Activities, for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for three years, from the date of the last administrative action, after which they are transferred to the National Archives of Canada for three years. **TBS Registration:** 003459 **Bank Number:** FRQ PPU 120

Support Program for Technology Development Assistance Centres

Description: This bank contains project applications for funding assistance under the Support Program for Technology Development Assistance Centres. These applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's project recommendation and implementation documentation. **Class of Individuals:** Non profit organizations submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Support Program for Technology Development Assistance Centres, for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for three years, from the date of the last administrative action, after which they are transferred to the National Archives of Canada for three years. **TBS Registration:** 003247 **Bank Number:** FRQ PPU 085

Classes of Personal Information

In the course of FORD-Q programs and activities, personal information may be accumulated which is not contained in the specific information banks described in this entry. This information exists in a fragmented form in FORD-Q's files, and is stored in the general subject files, which are not normally retrieved by the name of the individual or other personal identifier. This type of information is retrievable only if specifics are provided concerning the subject matter, related departmental activity, and the date on which the information was received by the Department and the name of the person to whom it was addressed. The personal information contained in these files is retained for the same period of time as the related subject information and disposed of according to the appropriate records schedules.

Manuals

- Access to Information and Privacy Act
- Administrative Policy
- Approval and signing authority for human resources
- Cabinet Memoranda
- Corporate policy on Human Resources
- Document Classification
- Financial Administration
- Guide to Program Administration
- Health and Safety
- Personnel Management
- Submission to Treasury Board and the Governor in Council

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

The Canada Business Service Centre (CBSC) Initiative was introduced to provide Canadian firms with easier access to a wide range of information on government services, programs and regulations. There are currently 21 federal business departments and agencies participating in this initiative, as well as other levels of government and non-governmental organizations.

Three regional development agencies and Industry Canada are designated as federal managing partners and are responsible for the development and management of the CBSCs in their areas. The Atlantic Canada Opportunities Agency (ACOA) manages the centres in the four Atlantic Provinces; the Federal Office of Regional Development — Quebec manages the centre in Quebec, Industry Canada manages the centre in Ontario and the Department of Western Economic Diversification manages the centres in the four western provinces.

A national CBSC Secretariat provides centralized support to the network of regional CBSCs and promotes development of the CBSC initiative. The Secretariat is located at Industry Canada. Guidelines for operation of the Secretariat are issued by the Assistant Deputy Ministers' Managing Partners Committee, with representatives from ACOA, WD, IC and FORD-Q. It acts as the secretariat for the Managers Operating Committee, the Information Management Committee and the Information Specialists Committee. It also coordinates the dissemination of information from participating federal business departments.

FORD-Q and Info Entrepreneurs Agreement
Documentation Centre

The Info Entrepreneurs' Documentation Centre contains a large number of publications books, periodicals and government documents) and reference works in the fields of regional development, business and commerce. The Centre is open to the business community and the staff of FORD-Q and other federal institutions. The address is:

Info Entrepreneurs
Documentation Centre
5 Place Ville Marie
Plaza Level, South side
Suite 12500
Montreal Quebec
Tel: (514) 496-4636
fax: (514) 496-5934

Request for further information about the Department and its various programs and functions may be directed to:

Abitibi-Temiscamingue Office

906 5th Avenue
Val d'Or, Quebec
J9P 1B9

(819) 825 5260
1-800-567-6451

Administrative Offices

800 Place Victoria Tower
Suite 3800
P.O. Box 247
Montréal, Québec
H4Z 1E8

(514) 283-6412

Eastern Townships Office

Andrew Paton Place
65 Belvedere Street North
Suite 240
Sherbrooke, Quebec
J1H 4A7

(819) 564 5904
1-800-567-6084

Hull Office (Liaison)

Place du Portage — Phase II
165 Hôtel de Ville Street
P.O. Box 1110, Postal station B
Hull, Quebec
J8X 3X5

(819) 997 8299

Laval, Laurentians and Lanaudière Office

Tour du Triomphe II, Suite 204
2540 Daniel-Johnson Boulevard
Laval, Québec
H7T 2S3

(514) 973-6844
1-800-430-6844

Lower St Lawrence-Gaspé-Magdalen Islands Office

General Trust of Canada Building
2 St Germain Street East, Suite 310
Rimouski, Quebec
G5L 8T7

(418) 722 3282
1-800-463-9073

Mauricie-Bois-Francis Office

Place du Centre
150 Marchand
Suite 502
Drummondville, Québec
J2C 4N1

(819) 478-4664
1-800-567-1418

Montérégie Office

Complexe Saint-Charles
Suite 411
1111 Saint-Charles Street West
Longueuil, Québec
J4K 5G4

(514) 928-4088
1-800-284-0335

Montreal Island Office

800 Place Victoria Tower
Suite 3800
P.O. Box 247
Montreal, Quebec
H4Z 1E8

(514) 283-2500

North Shore Office

701 Laure Boulevard, 2nd Floor
P.O. Box 698 Suite 202B
Sept-Îles, Quebec
G4R 4K9

(418) 968 3426
1-800-463-1707

Northern Quebec Office

800 Place Victoria Tower
Suite 3800
P.O. Box 247
Montreal, Quebec
H4Z 1E8

(514) 283-5174
1-800-561-0633

Outaouais Office

259 St Joseph Boulevard
Suite 202
Hull, Quebec
J8Y 6T1

(819) 994 7442
1-800-561-4353

Quebec City-Chaudière-Appalachians Office

905 Dufferin Avenue, 2nd Floor
Quebec City, Quebec
G1R 5M6

(418) 648 4826
1-800-463-5204

Saguenay-Lac-Saint-Jean Office

170 St Joseph Street South
Suite 203
Alma, Quebec
G8B 3E8

(418) 668 3084
1-800-463-9808

Trois Rivières Office

Immeuble Bourg du Fleuve
25 des Forges Street, Suite 413
Trois-Rivières, Québec
G9A 2G4

(819) 371-5182
1-800-567-8637

Reading Room

FORD-Q has designated a space in the Info Entrepreneurs premises as a public reading room under the Access to Information Act. The address is:

Info Entrepreneurs
5 Place Ville Marie
Plaza Level, South side
Suite 12500
Montreal Quebec

tel: (514) 496-4636
fax: (514) 496-5934

Fisheries and Oceans Canada

Chapter 46

General Information

Background

The Constitution Act, 1867 gives Parliament jurisdiction over "Sea Coast and Inland Fisheries." The Fisheries Act, 1868 was enacted in accordance with this responsibility. The Fish Inspection Act of 1914 provides jurisdiction over interprovincial and international trade of fish and fish products. The Department of Fisheries and Oceans Act, 1978 — 79 established the Department and provides jurisdiction over sea coast and inland fisheries, fishing and recreational harbours, hydrography and marine sciences and the coordination of policies and programs of the Government of Canada respecting oceans. The Canadian Coast Guard, formerly a part of Transport Canada, merged with the former DFO on April 1, 1995. The Constitution Act, 1982, the Canada Shipping Act, the Arctic Waters Pollution Prevention Act, the Navigable Waters Protection Act, the Department of Transport Act and the National Transportation Act are the principal Acts governing marine transportation activities. Legislative authorities concerning the integration of Coast Guard were finalized last year.

Responsibilities

While other government departments contribute to the management of Canada's water-based activities, the primary focus of Fisheries and Oceans is on water and the resources it contains. It has responsibility for all matters respecting oceans not by law assigned to any other department. The scope of this responsibility and the extent to which it is exercised by the federal government have been determined by judicial interpretation, agreements with provinces and the evolution of public policy.

Specific responsibilities include:

- Administration of inspection programs to meet national standards for quality and safety of domestic and imported fish and seafood products along with commercial fishing, recreational small craft harbours and fishing vessel insurance programs.

- Conservation, protection, control, enforcement and fisheries resource management to ensure economic and ecological interests in Canadian oceans and inland waters are protected.

- Development and administration of fish marketing initiatives in support of international fisheries negotiations along with industrial renewal and economic and policy analysis.

- Provision of operational policies and programs for the use of water transportation interests contributing to safe,

efficient and economical conduct of marine activities, protection of the marine environment in Canadian waters and, where appropriate, undertaking development, operation and maintenance of specific elements of the marine transportation system.

- Scientific research respecting primary production, physical oceanography and limnology (studies in freshwater), hydrographic surveying and charting, environmental issues and the behaviour and effects of marine contaminations, and administration of various Federal acts, regulations and policies designed to conserve and protect the aquatic habitat.

Legislation

- Aboriginal Communal Fishing Licences Regulations
- Alberta Fishery Regulations
- Arctic Waters Pollution Prevention Act
- Atlantic Fisheries Restructuring Act
- Atlantic Fishery Regulations, 1985
- British Columbia Sport Fishing Regulations
- Canada Shipping Act
- Canadian Environmental Assessment Act
- Coastal Fisheries Protection Act
- Coastal Fisheries Protection Regulations
- Department of Fisheries and Oceans Act
- Fish Health Protection Regulations
- Fish Inspection Act
- Fish Inspection Regulations
- Fish Toxicant Regulations
- Fisheries Act
- Fisheries and Oceans Research Advisory Council Act
- Fisheries Development Act
- Fisheries Improvement Loans Act
- Fisheries Improvement Loans Regulations
- Fisheries Prices Support Act
- Fishery (General) Regulations
- Fishing and Recreational Harbours Act
- Fishing and Recreational Harbours Regulations
- Fishing Vessel Insurance Regulations
- Foreign Vessel Fishing Regulations
- Freshwater Fish Marketing Act
- Great Lakes Fisheries Convention Act
- International Pacific Halibut Convention Regulations
- International Pacific Halibut Convention Regulations
- Kenney Dam and Skins Lake Spillway Orders and Regulations

- Management of Contaminated Fisheries Regulations
- Manitoba Fishery Regulations, 1987
- Marine Mammal Regulations
- Maritime Provinces Fishery Regulations
- Navigable Waters Protection Act
- Newfoundland Fishery Regulations
- Northern Pacific Halibut Fisheries Convention Act
- Northwest Territories Fishery Regulations
- Ocean Data and Services Fees Order — SI/86-133
- Ontario Fishery Regulations, 1989
- Pacific Fishery Management Area Regulations
- Pacific Fishery Regulations
- Quebec Fishery Regulations
- Saskatchewan Fishery Regulations
- Territorial Sea and Fishing Zones Act
- Territorial Sea Geographical Coordinates Order
- Yukon Territory Fishery Regulations

Organization

There are six major Activities under the departmental mandate which are administered by Assistant Deputy Ministers who are responsible for broad policy formulation, strategic and operational planning, resourcing, scientific and technical services and functional guidance to the Regional Directors General who provide for Program delivery.

♦ ADM, CORPORATE SERVICES

The ADM, Corporate Services provides executive direction, coordination, corporate administrative services and human resource planning in support of Program objectives and is responsible for:

- Access to Information and Privacy — provides Departmental focal point for responding to requests under the Access to Information Act and the Privacy Act.
- Corporate Review, Evaluation and Audit — assesses the appropriateness and strength of the management framework and makes suggestions for improvement; evaluates policies and programs and makes recommendations for their confirmation, modification or discontinuation. Focal point for Auditor General Audits and Quality Services to the Public Initiative.
- Finance and Administration — provides advice and services in areas of resource management, financial planning, accounting and reporting, policy and systems, contracting and procurement, asset and facility management (not including Small Craft Harbours) and administration.
- Information Management and Technology Services — provide advice and services in the areas of application development, information and data management (including libraries), computer processing and telecommunications.

- Personnel — provides human resource management, advice and services for human resource planning, classification and organization, staffing and workforce adjustment, executive resourcing, staff relations, compensation, training and development, employment equity, official languages, occupational health and safety, incentive/merit awards, employee assistance program, career counselling, and personnel management information systems.

- Strategic Policy and Planning — provides corporate policy development and coordination, strategic planning, economic analysis in support of policy development, market analysis and intelligence, federal/provincial relations, legislative and regulatory review.

♦ ADM, FISHERIES MANAGEMENT

The ADM, Fisheries Management is responsible for:

- International Affairs — advances Canada's international fisheries interests in conservation and trade and includes negotiation and administration of international fisheries treaties and agreements, representation of Canada's position regarding fisheries trade policy, settlement of boundary disputes and market-access questions and participates on international scientific and conservation fisheries commissions.

- Aboriginal Affairs — develops Native fisheries policies, administers the Aboriginal Fisheries Strategy in British Columbia, the Atlantic provinces and Arctic Canada, negotiates fishing provisions of land claims settlements in British Columbia, the Northwest Territories, Newfoundland and Quebec offshore, implements land claims of the Tungavik Federation of Nunavut and the Council of Yukon Indians and negotiates Aboriginal self government.

- Assistance to the Sealing Industry Program — coordination of the annual seal hunt.

- Atlantic Fisheries and Pacific Region Licensing Appeal Boards — hear appeals from fishermen dissatisfied with departmental licensing decisions and consider general problems on licensing policy.

- Conservation and Protection — ensures compliance with legislation, policies and programs in support of conservation and sustainable fisheries resources through educational and enforcement programs in both tidal and non-tidal waters except in Ontario, Quebec and the Prairie Provinces. Fishery management plans, policies, programs and regulations ensure self-generation of stocks and fair distribution of harvestable surpluses.

- Licensing — provides a means for regulating participation in and access to the fishery to ensure that the harvest remains within conservation limits and promotes economic viability.

- Resource Management and Development — including Salmonid Enhancement Program — contributes to the stability and viability of fishing and fishing related industries, including more cost effective exploitation of the fisheries

resource, productivity and quality related improvements to fish harvesting and processing practises, new product development, introduction of more selective harvesting technology and value-added processing technology.

◆ ADM, INDUSTRY SERVICES

The ADM, Industry Services is responsible for:

- Inspection — assures value, wholesomeness and marketability of fish products for both domestic and export consumption including: development and promotion of appropriate product and process standards that contribute to the achievement of acceptable quality, safety and identity of fish and fish products; assurance of compliance through the National Fish Inspection Program under the Fish Inspection Act and Regulations as well as the Management of Contaminated Fisheries Regulations.

- Newfoundland Bait Program (NBP) — unique to Newfoundland, the NBP was established in 1934 and operates under the authority of the Terms of Union between Newfoundland and Canada and provides fishers with a source of affordable bait.

- Small Craft Harbours — management and upkeep of harbours to provide clients with safe and accessible harbour facilities to launch and moor vessels and from which to undertake fishing operations and recreational boating.

◆ ADM, MARINE SERVICES/COMMISSIONER, CANADIAN COAST GUARD

The ADM, Marines Services and Commissioner, Canadian Coast Guard, develops and operates the national marine transportation system and is responsible for:

- Coast Guard College — prepares Officer Cadets for a career in the Coast Guard, provides training in search and rescue, marine traffic regulation, radio operations, marine engineering, navigation, shipboard management and provides residential facility for conferences and meetings in the Maritimes.

- Icebreaking and Arctic Operations — provides, operates and maintains icebreaking ships, facilities and services, manages a program of ice management, coordinates an annual Eastern Arctic Sealift, supports other government departments and agencies in safe and efficient movement of marine traffic in ice-infested waters, supports other government marine related activities, including sovereignty in the Canadian Arctic and operates a program to minimize the effect of flooding caused by ice jams on the upper St. Lawrence River.

- Marine Navigation System — provides, operates and maintains a system of short and long range aids to navigation, waterways development, maintenance and protection, vessel traffic services and safety and public correspondence communications.

- Marine Technical and Support Services — provides marine users with support to aids to navigation, search and rescue operations, civil construction and

modernisation of Coast Guard facilities, design, development, acquisition and repair of electronic, communications, navigation network, radar and computer systems and coordination of matters dealing with environmental issues.

- Rescue and Environmental Response — provides marine search and rescue capability within the Canadian area of responsibility as defined under International Maritime Organization agreements and in Canadian waters of the Great Lakes and St. Lawrence system, promotes safety to the marine public in order to minimize loss of life and injury as well as the loss of property, provides emergency planning and environmental response to clean up pollution from shipping incidents, on a cost recovery basis and carries out a monitoring and oversight role as part of the joint Industry/Government partnership on spill response.

◆ ADM, POLICY

The ADM, Policy is responsible for:

- Economic and Policy Analysis — responsible for economic and policy reviews of major departmental issues and programs in the fisheries and oceans sector; management of international trade issues and provision of trade policy advice; recreational fisheries and aquaculture policies; functional guidance to the national departmental statistics program; management of the IRIS project; development of an oceans policy for Canada; management of Atlantic income replacement adjustment programs including the Plant Workers Adjustment Program, the Northern Cod Early Retirement Program, and the Atlantic Groundfish Strategy; and policy advice on the Employment Insurance Program.

- Strategic Planning and Liaison — responsible for strategic policy, planning and Cabinet affairs, legislative review, federal/provincial relations, sustainable development policy coordination, Official Languages Act Part 7 implementation, new DFO client relations development, MOU negotiations for fish inspection transfer to the new agency; and gender equality issues.

◆ ADM, SCIENCE

The ADM, Science ensures the highest standard of scientific information for use in developing policies, regulations and legislation regarding oceans and aquatic life and is responsible for:

- Fisheries and Oceans Science — provides a reliable scientific basis for the conservation of marine and anadromous fishery resources, for aquaculture and for protecting the health of wild and cultured fish stocks in oceans and coastal waters, supporting fisheries management, integrated resource management, offshore development, climate prediction, marine services, coastal engineering, defence and shipping.

- Habitat Management and Environmental Science — design, development and coordination of policies, plans and programs related to the protection and conservation

of aquatic habitats; investigation and monitoring of chemical and physical conditions which affect the quality of the aquatic environments; compiling information in support of sustained economic utilization of Canada's renewable aquatic resources; and monitoring the management of the quality of the aquatic habitat.

- Hydrography — enhances the safety and efficiency of navigation for vessels operating in Canadian waters by undertaking field surveys to measure water depth, bottom morphology, bottom type and composition, tides, water levels, near surface currents, sound velocity and turbidity. Accurate charts and navigational publications of Canadian and adjacent international waters are compiled and published.

◆ OFFICE OF THE DEPUTY MINISTER

In accordance with section 3 of the Department of Fisheries and Oceans Act the Deputy Minister of Fisheries and Oceans is the deputy head of the Department. The Office of the Deputy Minister develops, coordinates and implements the Departmental Program consistent with the powers, duties and functions outlined in section 4 of the Act and provides administrative support to Crown Corporations for which the Minister has responsibility. Program objectives are carried out by one Senior Assistant Deputy Minister (SADM) and six Assistant Deputy Ministers (ADMs) located at headquarters in Ottawa, Ontario and five Regional Directors General (RDGs) located across the country. In addition to the SADM, ADMs and RDGs, the Director General, Communications and General Counsel also report directly to the Deputy Minister.

- Communications — develops communications strategies and supports the initiatives of all sectors as well as scientific publications.

- General Counsel — Department of Justice legal services attached to DFO provide legal counsel on all matters touching the operation and administration of the Department.

- The Freshwater Fish Marketing Corporation — advice and analysis of issues relating to the operations and mandate of this Crown Corporation are provided to the Minister on an ongoing basis. The Department coordinates on an annual basis the development and approval by Treasury Board and the Department of Finance of the corporate plan and operating and capital budgets of this Corporation.

◆ OFFICES OF REGIONAL DIRECTORS GENERAL (RDG)

Regional Directors General are responsible for Program Delivery in meeting the mandate of the Department under the functional guidance of the Deputy Minister, the SADM and the ADM's. Each Region is described hereunder:

- Central and Arctic Region — encompassing Alberta, Saskatchewan, Manitoba, Ontario and the Northwest Territories, it contains about 67% of Canada's freshwater

and seven of the 14 largest lakes in the world, emphasizing cleanup and preservation initiatives in the Great Lakes. It accounts for about 60% of Canada's recreational fishing which exceeds the commercial fishery catch. Some 50% of the commercial catch is marketed through the Freshwater Fish Marketing Corporation. In marine transportation it directly supports shipping with nearly 200 icebreaking responses, over 1,300 search and rescue responses and it maintains nearly 5,900 navais. It provides primary marine pollution response North of 60 as well as the Eastern Arctic Sealift which resupplies coastal communities in the Arctic. Search and rescue services in the Great Lakes are provided to the highest concentration of pleasure craft in the country and the Region supports commercial marine traffic to the North American heartland.

- Laurentian Region — encompassing the Province of Quebec, it covers more than 6,000 kilometres of coastline. Fisheries sector includes over 5,000 fishermen, nearly 1,800 vessels, nearly 100 processing plants and over 380 small craft harbours. A marine science research centre, the Maurice-Lamontagne Institute, Mont-Joli, provides fisheries, oceanographic and hydrographic research. A modern fish inspection centre, the René Poirier Laboratory near Montreal, is the second largest point of entry and export of fish in Canada. DFO manages the entire marine fishery in the region except for anadromous and catadromous species which are managed by the Province. In marine transportation it directly supports shipping with nearly 500 icebreaking responses, over 1,200 search and rescue responses and it maintains more than 2,550 navais. It ensures year-round access to the Port of Montreal and other ports on the St. Lawrence. Due to its icebreaking program, it safeguards susceptible water-side communities which may experience the effects of floods.

- Maritimes Region — encompassing the three Maritime provinces, it borders on the Gulf of St. Lawrence, the Scotia Shelf and the Bay of Fundy. This vast ecological and socio-economic complex is the source of livelihood for some 1,000 small communities in the Gulf of St. Lawrence and the region's commercial fishery includes some 16,000 fishermen, 5,800 vessels, 300 active harbours and over 350 processing plants. In marine transportation it directly supports shipping with over 500 icebreaking responses, over 1,300 search and rescue responses and it maintains nearly 6,600 navais.

- Newfoundland Region — comprising the Province of Newfoundland and Labrador along with adjoining marine waters, the economic and social complexion of this region is dominated by the fishery with the entire population holding a deep sense of the vital importance of the fishing industry. Traditionally, cod has been the dominant species in the commercial groundfish fishery however since the various moratoria on cod and other groundfish species, shellfish (crab, lobster, scallops) have gained prominence. A small but growing high-tech oceans science and technology industry is evident. In marine transportation it directly supports shipping with nearly 300 icebreaking

responses, over 400 search and rescue responses and it maintains nearly 1,000 nav aids.

- Pacific Region — comprising British Columbia and the Yukon, it is entrusted with managing and protecting marine fish stocks and Pacific salmon and covering 105 river systems in B.C., as well as three northern transboundary rivers, the Stikine, Taku and Yukon. This region supports major commercial, recreational and aboriginal fisheries and a large segment of Canada's oceans science and technology industry. In marine transportation it directly supports shipping with over 2,200 air and marine search and rescue responses and it maintains more than 1,950 nav aids.

◆ SENIOR ASSISTANT DEPUTY MINISTER

The Senior Assistant Deputy Minister (SADM) is responsible for managing all aspect of the Department, in cooperation with the Deputy Minister, through a "single box concept". The SADM has direct responsibility for:

- The Project Management Team — which plans and monitors the progress of all issues relating to Transition, i.e. meeting Program Review targets and the merger of the "former" Department of Fisheries and Oceans and the Canadian Coast Guard. Specifically:
 - addressing areas of overlap in programs/responsibilities within the Department
 - bringing a greater corporate perspective to the management of DFO and reducing intersectoral and regional/headquarters concerns
 - increasing the focus on management of people within DFO by strengthening internal communications, implementing upward feedback, redesigning Training and Development, and broadening the awards program.
- Director of Employee Transition — who
 - addresses issues affecting employees of the Department that are specifically related to the merger of Coast Guard and Fisheries and Oceans and the impacts of Program Review
 - plays an integral part in the Department's National Advisory and Regional Advisory Committee structure
 - conducts special projects for the SADM including those related to internal communications and manager/employee relations.

Information Holdings

Program Records

◆ ADM, CORPORATE SERVICES

Corporate Review

Description: Review. **Topics:** Information on studies conducted on management challenges, results and other

dimensions of performance of internal programs, policies, operations, systems and practises. **Storage Medium:** Computer and paper files. **Program Record Number:** DFO CRP 210

Evaluation

Description: Program evaluation. **Topics:** Information relating to program evaluation policies, mandate, objectives and guidelines. Preparatory studies and evaluation studies of the relevance, success and cost-effectiveness of internal departmental programs and activities. **Storage Medium:** Computer and paper files. **Program Record Number:** DFO CRP 220

Internal Audit

Description: Internal Audit. **Topics:** Information on the efficiency, economy and effectiveness of internal management policies, practises and controls: improvements required. **Storage Medium:** Computer and paper files. **Program Record Number:** DFO CRP 215

◆ ADM, FISHERIES MANAGEMENT

Aboriginal Affairs

Description: Information on aboriginal policies, programs and issues. **Topics:** Aboriginal issues and programs; land claims. **Program Record Number:** DFO MAN 320

Enforcement

Description: Conservation and protection of fisheries, surveillance and enforcement records. **Topics:** Offshore, inshore and inland surveillance and enforcement activities. **Program Record Number:** DFO MAN 305

Fisheries Development and Support Programs

Description: Mandates, studies, projects, reports, marketing, technological development, administration, statistics, criteria, guidelines, audits and other related information concerning development, support and adjustment initiatives throughout the fishing industry. **Topics:** Atlantic Fisheries Development Programs, Atlantic Groundfish Vessel Support Program, Atlantic Fisheries Adjustment Program, Quebec Federal Fisheries Development Program, Federal/Provincial Cooperation Agreements on Fisheries Development for the Atlantic Provinces, Northern Shrimps Selectivity, Deep Water Fishing Program, Review of Fishing Gear Technology Program, Commercial Salmon Licence Retirement Program along with any new initiatives of a similar nature. These Programs and records cover areas such as underutilized species, habitat enhancement, aquaculture, native fisheries, economic and commercial development, marketing and market development (national and international). **Access:** Records generally arranged chronologically by subject, contract number, geographical area, species/category. **Storage Medium:** Some on EDP data base; others hard copy. **Program Record Number:** DFO MAN 330

Fisheries Licence Appeal Board

Description: Information on fisheries licences (Atlantic and Pacific). **Topics:** Appeals from fishermen dissatisfied with departmental licensing decisions; licensing policy; recommendations to the Minister. **Program Record Number:** DFO MAN 310

Fisheries Operations

Description: Management of the fisheries, including habitat resource rehabilitation. **Topics:** Resource management; allocation and regulations development; licensing; native affairs — food fisheries; land claims and agreements; employment; band by-laws. **Program Record Number:** DFO MAN 315

Structural Adjustment Programs

Description: Information on advice and analysis of issues relating to the operations and mandate of the Freshwater Fish Marketing Corporation (FFMC) and the Canadian Saltfish Corporation (CSC). **Topics:** Corporate Plans, and Operating and Capital Budgets of the CSC and FFMS Corporations. **Program Record Number:** DFO MAN 325

◆ ADM, INDUSTRY SERVICES

Fish Inspection

Description: Inspection of fish products in export (including interprovincial trade) and import for safety and quality; inspection of industry Quality Management Program. **Topics:** Product inspection and certification for export; monitoring of industry processing and grading practices; inspection of fish harvesting, handling, transportation, storage and processing facilities; development and testing of grade standards; development and enforcement of regulations. **Access:** Records are arranged numerically by subject. **Storage Medium:** Records in paper, micrographic and EDP format. **Program Record Number:** DFO IND 405

◆ ADM, MARINE SERVICES/COMMISSIONER, CANADIAN COAST GUARD

Aids to Navigation — Marine

Description: Information on the establishment, operation and maintenance of visual, audio and electronic aids to navigation. **Topics:** Buoys; lights; beacons; fog signals; radio communication systems; notices to shipping; notices to mariners; site acquisitions; buildings; structures; service contracts and vessel traffic services. **Access:** By subject and geographic site. **Storage Medium:** EDP systems and microfilm. **Program Record Number:** DFO CCG 105

Ice Operations

Description: Information on icebreaking, ice reporting, ice escorting and the International Ice Patrol. **Topics:** Icebreaking; ice reporting; reconnaissance; ice escorting; International Ice Patrol; methods and equipment. **Program Record Number:** DFO CCG 130

Navigable Waters — Obstructions

Description: Information on obstructions in navigable waters. **Topics:** Obstructions in navigable waters; wrecks and derelicts. **Access:** Files arranged by subject, individual, company, geographic location, waterway, type of obstruction or names of derelicts or wrecked vessels. **Storage Medium:** Microfilm, computerized data bank, lists and files. **Program Record Number:** DFO CCG 135

Navigable Waters — Protection

Description: Information on policies, regulations, applications and approvals for industrial and other construction plans affecting navigable waters. **Access:** Files arranged by subject, individuals or companies, waterway, geographic location, type of building or work. **Storage Medium:** Microfilm, files, computerized data bank. **Program Record Number:** DFO CCG 140

Pollution

Description: Information on rules, regulations and policies for the prevention of pollution in inland waters and at sea. Includes emergency plans and operations concerning the clean-up of oil or other pollutants. **Topics:** Rules; regulations; policies; plans; operations; reports; methods and equipment. **Program Record Number:** DFO CCG 150

Recreational Boating and Seamanship

Description: Information on recreational boating and seamanship. **Topics:** Navigation, seamanship, collision regulations, speed of vessels, anchorages, schools, apparatus and equipment. **Program Record Number:** DFO CCG 145

Search and Rescue — Coast Guard

Description: This class covers information relating to search and rescue operations, and lifesaving stations. **Topics:** Lifesaving stations; search and rescue; equipment and supplies. **Access:** Files arranged by subject, and geographically by name of lifesaving station. **Program Record Number:** DFO CCG 155

Ships — Canadian Government

Description: Information on the operations, functions and services performed by the Canadian Coast Guard fleet, such as northern supply operations, support to aids to navigation, icebreaking and search-and-rescue. This includes the Polar Icebreaker Vessel Project and information on the operation and maintenance of Canadian Coast Guard vessels, such as acquisitions and supplies. **Topics:** Purchases; charters; sales; services; northern transportation; accidents and damage claims; fuel; movements; provisioning; repairs and inspections. **Access:** Files arranged by subject and name of Coast Guard vessel. **Program Record Number:** DFO CCG 165

Small Vessel Safety

Description: Information on inspection, regulations and procedures, including small vessel inspection, and capacity and horsepower plates. **Topics:** Regulations;

procedures; small vessel inspection; and capacity and horsepower plates. **Access:** Files arranged by subject and names of small vessels. **Program Record Number:** DFO CCG 170

Telecommunications and Electronics — Marine

Description: Information on the life cycle management including establishment, design, procurement of equipment, operation and maintenance of stations and systems that provide communications and electronic navigation aids to the Canadian Coast Guard and marine transportation. **Topics:** Life cycle management establishment; construction; operation; maintenance; services; buildings; site acquisitions; concessions and leases; apparatus; supplies; equipment; utilities; security and frequencies. **Access:** Files arranged by subject, geographical location and name of Coast Guard ship. **Storage Medium:** EDP systems and microfilm. **Program Record Number:** DFO CCG 200

Waterways

Description: Information on water levels and gauges, St. Lawrence waterways and the Canso Canal. **Topics:** Water levels and gauges; St. Lawrence waterways; Canso Canal; and St. Lawrence ship channel. **Program Record Number:** DFO CCG 106

◆ ADM, POLICY

Atlantic Groundfish Strategy (TAGS)

Description: Information is used by HRD to assess eligibility of applicants for income support benefits and by DFO to assess eligibility for licence or early retirement benefits under TAGS. Information on the Commercial Fishing Licence Database includes such fields as name, address, SIN, FIN and VIN. **Program Record Number:** DFO POL 505

Economic and Policy Analysis

Description: Information on policies, programs and activities in the areas of socio-economic analysis and policy development. **Topics:** Socio-economic analysis and policy; statistics; bank of information relating to Survey of Atlantic Fisheries, 1984. **Program Record Number:** DFO POL 510

Federal-Provincial Relations

Description: Information on federal-provincial-territorial consultations, deliberations, strategies and negotiations adopted by the Department. **Topics:** Economic and regional development agreements; frameworks for federal-provincial consultation and liaison; federal-provincial-territorial economic and constitutional issues. **Program Record Number:** DFO POL 515

◆ ADM, SCIENCE

Canadian Environmental Assessment Act Public Registry

Description: The Public Registry contains all records produced, collected and submitted with respect to any

environmental assessment project undertaken by the Department. **Topics:** Reports relating to assessments; comments filed by the public in relation to the assessment; any records prepared by the responsible authority for the purposes of Section 38; any records produced as the result of the implementation of any follow-up program; any terms of reference for a mediation or a panel review; and any documents requiring mitigation measures to be implemented. **Program Record Number:** DFO SCI 625

Fisheries and Oceans Science

Description: Records relate to the acquisition of the knowledge base and provision of scientific advice in the management and development of fisheries and other renewable and non-renewable marine resources. **Topics:** Resource assessment; aquaculture and resource development; physical and chemical oceanography; marine ecology; freshwater ecology; university subventions; ocean engineering and technology transfer. **Program Record Number:** DFO SCI 610

Habitat Management and Environmental Science

Description: Information on habitat management, protection, enforcement, restoration and development; development of oceans and oceans resource conservation and protection initiatives; federal environmental assessment; scientific research on fish habitat, changes to or destruction of fish habitat and chemical contamination of fish habitat. **Topics:** Habitat protection, operations, planning, restoration and development; resource rehabilitation and enhancement; carrying capacity of fish habitat; physical alteration of fish habitat; toxic chemicals; contaminants; Marine Protected Areas, Marine Environmental Quality, and Integrated Coastal Zone Management. **Program Record Number:** DFO SCI 605

Hydrographic Service

Description: Bathymetric data; navigational charts — surveys, chart production; geophysical-hydrographic surveys; tides, currents and water levels; sailing directions; ocean mapping; navigation. **Topics:** Navigation charts — surveys, production; tides, currents, and water levels; sailing directions; ocean mapping; navigation. **Storage Medium:** Full size graphics, micrographics and EDP format. **Program Record Number:** DFO SCI 615

◆ OFFICES OF REGIONAL DIRECTORS GENERAL (RDG)

Arctic and Inland Fisheries

Description: Information on policy and program coordination and delivery in the Arctic and Inland Fisheries sector of the Department. **Topics:** Marine mammal stock assessment and surveys; inland fisheries management; fish habitat disruption; conservation of fishery and marine mammal resources in the Northwest Territories. **Program Record Number:** DFO RDG 055

Fisheries Resource Allocation

Description: Management, conservation, protection, enhancement and allocation of all species of the fisheries resource throughout Canada. **Topics:** Resource management, allocation, licences, registration of fishers and vessels, quota monitoring, stock enhancement, observer programs, test fisheries, consultation and negotiation, Aboriginal Fisheries Strategy, Salmonid Enhancement Program, regulation amendments, habitat rehabilitation, MOU's and agreements, Advisory Boards and Committees. **Access:** Records stored by Region, numerically and by subject. **Storage Medium:** Records in paper, microfiche, and EDP format. **Program Record Number:** DFO RDG 060

Sector Management (Seals and Marine Mammals)

Description: Information on the Atlantic coast seal hunt and marine mammal conservation issues and activities. **Topics:** Seal catch statistics and seal licensing policy, development projects under the Assistance to the Sealing Industry Program; bycatch of marine mammals, recreational observation of marine mammals, strandings, live capture and export of marine mammals; and laws and regulations pertaining to marine mammals. **Program Record Number:** DFO RDG 065

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Budgets

Buildings

Buildings and Properties

Classification of Positions

Co-operation and Liaison

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Lands

Occupational Health, Safety and Welfare

Office Appliances

Official Languages

Pensions and Insurance

Personnel

Procurement

Salaries and Wages

Staff Relations

Training and Development

Utilities

Vehicles

Personal Information Banks

◆ ADM, CORPORATE SERVICES

Manuscript Reviews

Description: A list of qualified referees (specialists in a variety of disciplines along with records of the receipt, review and appraisal of manuscripts selected for publication or rejected. **Class of Individuals:** A list of qualified referees (specialists in a variety of disciplines). **Purpose:** To record receipt, review and appraisal of manuscripts selected for publication or rejection, and to maintain a list of qualified referees. **Retention and Disposal Standards:** Retention standards are to be established. **TBS Registration:** 003613 **Bank Number:** DFO PPU 020

Personal Information Disclosed to Federal Investigative Bodies

Description: In accordance with subsection 8(4) of the Privacy Act and pursuant to paragraph 8(2)(e), this bank is comprised of copies of requests received from authorized federal investigative bodies along with all records relating to the processing of those requests. **Class of Individuals:** Individuals about whom requests for personal information have been received pursuant to paragraph 8(2)(e) of the Privacy Act from federal investigative bodies for the purpose of enforcing a law of Canada or a province or carrying out a lawful investigation. **Purpose:** The purpose of this bank is to permit the Privacy Commissioner to review disclosures made under paragraph 8(2)(e) of the Privacy Act. **Consistent Uses:** Compiling statistics relating to requests from federal investigative bodies. **Retention and Disposal Standards:** Two years after last administrative action. **PAC Number:** 85-001 **TBS Registration:** 001741 **Bank Number:** DFO PPU 085

Requests Made Under the Access to Information and Privacy Acts

Description: This bank contains request forms sent by individuals to the Department under the Access to Information Act and Privacy Act along with replies, all records related to processing, exemptions claimed, intervention of third parties, complaints received and reports and recommendations of the Information or Privacy Commissioners. **Class of Individuals:** All applicants, under the Access to Information or Privacy Acts, for information controlled by the Department of Fisheries and Oceans. **Purpose:** The purpose of this bank is to store information about requests made under the Access to Information Act or Privacy Act. **Consistent**

Uses: Compiling statistics relating to the administration of the Acts. **Retention and Disposal Standards:** Two years after last administrative action. (Note: This retention period has not yet been definitively established by National Archives of Canada.) **PAC Number:** 85-001 **TBS Registration:** 001740 **Bank Number:** DFO PPU 080

◆ ADM, FISHERIES MANAGEMENT

Commercial Fishing Licence Databases

Description: The bank contains an alphabetical listing of Atlantic and Pacific licensed commercial fishermen along with fishing vessel profiles. Key data elements are name, address, homeport, fishing category (full-time or part-time), fees paid, vessel name, vessel specifications, species licence entitlements, individual quotas, and DFO identification number. **Class of Individuals:** Commercial fishermen. **Purpose:** To develop fishing plans, provide for vessel safety inspections and provide a database of information in cases of emergency. **Consistent Uses:** This bank may be used as a source of information for other banks such as Surveys (DFO PPU 075), Catch and Effort Data (DFO PPU 055), Fishing Vessel Insurance Plan (DFO PPU 015). For the purposes of enforcement and conducting investigations in accordance with Canadian fisheries legislation, data from Catch and Effort (DFO PPU 055) and DFO Violations (DFO PPU 060) are linked with this bank. Data from this bank is also shared with various provincial departments under s. 8(2)(f) of the Privacy Act, for the purposes of administering a law or conducting a legal investigation. **Retention and Disposal Standards:** Retention standards are to be established. **TBS Registration:** 003621 **Bank Number:** DFO PPU 010

DFO Violations

Description: The bank contains enforcement data on individuals/companies, including detailed information on offenses committed under Canadian fisheries legislation. **Class of Individuals:** Individuals, with or without a fisherman's licence, and companies. **Purpose:** Activities surrounding enforcement and conduct of investigations in accordance with Canadian fisheries legislation. **Consistent Uses:** To compile various statistics to determine compliance and surveillance levels, to have an historical database to help identify problem areas for planning purposes. Data is also linked with Catch and Effort (DFO PPU 055) and Commercial Fishery Licence Databases (DFO PPU 010). **Retention and Disposal Standards:** The length of retention is to be established with Departmental Records Manager and National Archives of Canada. **TBS Registration:** 003620 **Bank Number:** DFO PPU 060

Fisheries Improvement Loan Program

Description: The bank contains individual loan files on borrowers with defaulted outstanding loans that have been guaranteed under the Program. **Class of Individuals:** Information relates to fishermen with loans guaranteed under the Fisheries Improvement Loans Program. **Purpose:** The purpose of the bank is to administer the

Fisheries Improvement Loans Program and to safeguard the interest of the Crown by collecting outstanding debts. **Retention and Disposal Standards:** Undetermined. **TBS Registration:** 003617 **Bank Number:** DFO PPU 035

Fisheries Prices Support Board Programs

Description: The Board ceased operations on March 31, 1996. Information on the purchase, sale or disposal of fishery products; the provision of deficiency payments to fishers or fish producers on specific species. Information includes: company name, head of company, address, processing licence number, historical levels of production, amounts paid to companies, warehousing and handling information, costs, origins, and destination of the subject products. **Class of Individuals:** Fishermen and Fish Producers. **Purpose:** The procurement of fish products on behalf of CIDA to meet the needs of bilateral and world food-aid programs. **Retention and Disposal Standards:** Undetermined. **TBS Registration:** 003619 **Bank Number:** DFO PPU 005

Fishing Licence Appeal Boards

Description: Information on Canadian fishers appealing Departmental decisions respecting the issue, reissue and transfer of fishing licences along with decisions respecting fishers' categorization and registration of vessels (Atlantic and Pacific). **Class of Individuals:** Canadian Fishermen. **Purpose:** Process appeals sought by fishers through the appropriate licence appeal board. **Retention and Disposal Standards:** Undetermined. **TBS Registration:** 003618 **Bank Number:** DFO PPU 090

◆ ADM, INDUSTRY SERVICES

Consumer Complaint Database

Description: Information on complaints received from consumers and buyers of fish products including name, address and phone number of the complainant, details of the complaint and results of the investigation. **Class of Individuals:** Consumers and buyers of fish products. **Purpose:** To record particulars of complaints from consumers and buyers of fish products and investigations related thereto. **Consistent Uses:** In cases of suspected food poisoning, other data including illness symptoms are stored. **Retention and Disposal Standards:** Permanent retention. **TBS Registration:** 003623 **Bank Number:** DFO PPU 095

Fishing Vessel Insurance Plan — Insured Vessels

Description: Contains names and addresses of owners of insured vessels; descriptions of insured vessels; and details of premiums paid and indemnities paid. **Class of Individuals:** Vessel owners. **Purpose:** To determine whether applicants are eligible to have their vessels insured under the plan; to record details of insured vessels and all other necessary data on premiums, indemnities and claims made against the plan. **Retention and Disposal Standards:** The records are retained for 15 years. **TBS Registration:** 003629 **Bank Number:** DFO PPU 015

Harbour Managers

Description: Information on Harbour Managers, who may also be designated as Enforcement Officers, appointed by the Minister in accordance with the Fishing and Recreational Harbours Act, namely: name, address, identification number, badge number, date of appointment (and cancellation) and remuneration. They provide on-site management, collect revenue and enforce the Act and Regulations. **Class of Individuals:** Harbour Managers.

Purpose: Active and historic information from this bank is required for administration purposes. **Consistent Uses:** The information is used for audit purposes and occasionally for Court action, resulting from clients disobeying directions or not paying for berthing or other services.

Retention and Disposal Standards: The retention and disposal information in this bank is to be determined with departmental records management and the National Archives of Canada. **TBS Registration:** 003624 **Bank Number:** DFO PPU 070

Real Property Records

Description: This bank contains leases, licences and agreements issued in accordance with the Fishing and Recreational Harbours Act and Regulations, for the occupancy and use of harbour property. Names, addresses, telephone numbers, description of property, length of term, rental and other considerations are included. **Class of Individuals:** The information contained in this bank relates to governments, Harbour Authorities, commercial entities and private individuals. **Purpose:** The information in the bank is used for administrative purposes, to ensure controlled use of harbour property, accounting records and audit purposes. **Retention and Disposal Standards:** Records are retained in accordance with departmental records management and the National Archives of Canada. **TBS Registration:** 003630 **Bank Number:** DFO PPU 065

♦ ADM, MARINE SERVICES/COMMISSIONER, CANADIAN COAST GUARD

Canadian Emergency Beacon Registry

Description: This information forms a register of 406 MHz emergency beacon numbers, the names, addresses and contact phone numbers of the beacon owners, and a brief description of the vehicle on which the beacon is carried. **Class of Individuals:** The general public, private corporations and public agencies that must or have voluntarily registered their ownership of an emergency beacon. **Purpose:** To provide information to organizations which participate in the search for and rescue of persons in distress in Canada and worldwide. **Consistent Uses:** Should any foreign search and rescue authority receive an alert from a Canadian registered beacon, a description of the vehicle to which the beacon is registered will be passed to that authority upon request. Personal information will be used only by the Canadian search and rescue authorities. **Retention and Disposal Standards:** Information is retained indefinitely or until the beacon is destroyed or registered to a new owner. **PAC Number:**

77-018 **Related to PR#:** DOT MSR 185 **TBS**

Registration: 002301 **Bank Number:** DFO PPU 050

Record of Canadian Coast

Guard Command Certificates

Description: This information forms a register of the Command Certificates of Competency issued by the Canadian Coast Guard. It is maintained under the authority of Canadian Coast Guard Fleet Order No. 530. This file contains names, social insurance numbers, birth dates, date and place of examination, date of issue, names of examiners and certificate numbers issued to date. **Class of Individuals:** Personnel who have obtained the qualification, generally middle to senior navigation officers or commanding officers. **Purpose:** The bank is a record of the number of Command Certificates issued to date and to whom they have been issued. **Retention and Disposal Standards:** Files are transferred to the National Archives of Canada after 50 years. **PAC Number:** 77-018 **Related to PR#:** DOT MSS 200 **TBS Registration:** 001052 **Bank Number:** DFO PPU 115

Record of Canadian Coast Guard Watchkeeping Certificates and Operations Endorsement

Description: This bank contains names, dates of examination, issue, birth and certificate numbers of Coast Guard watch keeping certificates of competency and operations endorsements issued by the Canadian Coast Guard. **Class of Individuals:** Relates to personnel who have acquired the necessary sea service and have subsequently successfully passed examinations. **Purpose:** To record the number of certificates issued to date and to whom they have been issued. **Retention and Disposal Standards:** Files are transferred to the National Archives of Canada after 50 years. **PAC Number:** 77-018 **Related to PR#:** DOT MSS 200 **TBS Registration:** 001051 **Bank Number:** DFO PPU 120

♦ ADM, POLICY

Statistics Program

Description: The Department collects commercial fishing "Catch and Effort Data" from commercial fish buyers and vessel skippers. The information identifies individual vessel and commercial buyers, species sold/purchased, amount paid, area of catch and fishing effort where applicable, on a trip by trip basis. The operational and statistics system is decentralized, operating from regional offices. **Class of Individuals:** Commercial fish buyers and vessel skippers. **Purpose:** Stock assessment and quota monitoring purposes. **Consistent Uses:** In support of enforcement and the conduct of investigations in accordance with Canadian fisheries legislation, data is linked with the Commercial Fishing Licence Databases (DFO PPU 010) and DFO Violations (DFO PPU 060). Also used for economic analysis and program evaluations of various segments of the fisheries and for fisheries management purposes as authorized by the Fisheries Act and other related acts of Parliament. Some personal information maintained in the bank is shared with the Nova Scotia

Department of Fisheries with the written consent for disclosure from the individual to whom the information relates. In all the above areas, data are produced in reports containing no personal information. However, personal information is maintained in the bank. **Retention and Disposal Standards:** Information in this bank will be held indefinitely due to the historical value of the information. **TBS Registration:** 003622 **Bank Number:** DFO PPU 055

Surveys

Description: From time to time, surveys are carried out by the Department to obtain information. These surveys include: Commercial Fishing Registration and Licensing Data; Domestic Quota System; Permis-pêcheur-bateau; Commercial Fishing Licence Data; Tidal Water Sport Fishing Licence Data; Licensing; Foreign Licensing and Surveillance System Flash; Aquaculture Licence; Complaint Control System; Import Rejection; Plant Capacity Survey; Vessel Performance Studies; Angler Diary; National Survey of Recreational Fisheries; Coûts et revenus des entreprises de Pêche; Costs and Earning Survey of Commercial Fishermen; Survey of Pacific Costs and Earnings; Fisherman's Income Survey; Atlantic Canada Sport Catch Data. Other similar surveys may occur as the need arises. Information may be gathered through the Catch and Effort Data (DFO PPU 055) and Commercial Fishing Licence Database (DFO PPU 010) banks. Much of this data is published in a statistical format, with all personal identifiers removed. For example, Newfoundland region publishes annually its "Costs and Earnings" Survey; and the Pacific region publishes the "Recreational Mail Surveys". **Class of Individuals:** Information in this bank relates to clients of departmental fisheries programs and sports fishermen. **Purpose:** The information is compiled to provide the Department with the statistical or economic information it requires to manage its programs effectively. **Retention and Disposal Standards:** The length of retention varies with each survey. **TBS Registration:** 003626 **Bank Number:** DFO PPU 075

◆ ADM, SCIENCE

Fish Health Officials

Description: The bank contains applications for recognition as Fish Health Officials under the Fish Health Protection Regulations and lists of recognised Fish Health Officials, with specimen signatures. **Class of Individuals:** Applicants include federal and provincial government employees as well as persons in the private sector in Canada, and from other countries. The bank relates to professionals with specific education and experience in fish disease diagnostics. **Purpose:** By comparison with specimen signatures, to verify authenticity of Fish Health Certificates that are required before import permits can be issued for importation or transfer between provinces of cultured salmonoid fish. **Consistent Uses:** Basis for decision to accept or reject applicants requesting recognition as Fish Health Officials along with a record of qualified individuals. **Retention and Disposal Standards:**

Personal files of Fish Health Officials (FHO's) and master copies of lists of FHO's will be held in a central registry in the Fisheries and Oceans Science Directorate. FHO files will be destroyed five years after cancellation as an FHO. **TBS Registration:** 003615 **Bank Number:** DFO PPU 040

Requests for Oceanographic Data

Description: This bank contains data concerning requests for services and information. **Class of Individuals:** Information relates to government departments, universities, consultants, general public, construction firms, publishing houses and regional offices. **Purpose:** The bank is used as a measure of services to the public, to evaluate the type of service required by users, and to trace requests when necessary. **Retention and Disposal Standards:** Request forms destroyed after two years; summary statistics undetermined. **TBS Registration:** 003627 **Bank Number:** DFO PPU 045

Manuals

- A practical Guide to the Fisheries Act
- Arctic Marine Emergency Plan
- Calendar of Courses — Sydney
- Canadian Aids to Navigation System
- Canadian Shellfish Sanitation Program — Manual of Operations
- Careers — Canadian Coast Guard
- Cartographic Standing Orders
- CCG Careers: Engineering Technician, Electronics Technician; Marine Traffic Regulator, Professional Engineer, Radio Operator
- Chemical Methods
- Conservation and Protection Administration
- Conservation and Protection Operations
- Decision Framework for the Determination and Authorization of Harmful Alteration, Disruption or Destruction of Fish Habitat (November 8, 1994)
- Departmental Fleet Instructions and Guidelines
- Directive on the Issuance of Subsection 35(2) Authorizations — CEAA (May 25, 1995)
- Diving and Shipwrecks
- Employees Guide on Work Force Adjustment
- Facilities Inspection
- Finance and Materiel Management Manual
- Fish Health Protection Regulations — Manual of Compliance
- Fish Products Inspection Manual — Policy and Procedures
- Fish Products Standards and Methods
- Fishery Officer's Guide for Habitat Management and Protection
- Fishing and Recreational Harbours Administrative Instructions

- Foreign Observer Program Operations Manual
- Forms Management Manual
- Good Manufacturing Practices (GMP) — Crab Processing
- Good Manufacturing Practices (GMP) — Lobster Processing
- Good Manufacturing Practices (GMP) — Shrimp Processing
- Guide for Diving Safety
- Guide to Helicopter/Ship Operations
- Guide to the Implementation of CEAA by DFO's Habitat Management and Environmental Science Directorate (January 1995)
- Habitat Conservation and Protection Guidelines
- Harbour Managers Manual
- Helicopter Maintenance Engineer Shipboard Manual (TP- 4986)
- Hydrographic Tidal Manual
- Index to Notices to Mariners (annual)
- Information Management Manual — Vol 1 — Policy
- Information Management Manual — Vol 2 — Standards and Procedures
- Inspection Memoranda of Understanding and Agreement
- International Code of Signals
- Joint Canada/US Marine Pollution Contingency Plan
- Laboratory Manual for Chemistry
- Laboratory Safety
- Lake Classification Inspection Procedures Manual — Central and Arctic Region
- List of Lights, Buoys and Fog Signals (4 Vol: Atlantic, Newfoundland, Inland, Pacific)
- List of Wrecked Vessels
- Marine Forecast Reports
- Metal Can Defects Manual
- National Marine Emergency Plan
- National Search and Rescue Manual
- National Training Plan: Coast Guard Emergencies
- Navigable Waters Application Guide
- New Canadian Buoyage System
- Notices to Mariners (annual) — (TP-0390)
- Notices to Mariners (weekly) — (TP-0136)
- Observer Program Training Manual — Newfoundland Region
- Observers' Field Manual Domestic/Foreign Fishing Vessels
- Occupational Health and Safety Manual
- Occupational Health and Safety Manual — Pacific Region
- Pacific General Ships' Orders
- Personnel — Policy and Procedures
- PISCES 1 Manual
- PISCES 1 Standard Operations Procedures
- PISCES IV Manual
- PISCES IV Standard Operations Procedures
- Radio Aids to Marine Navigation
- Records Management Manual
- Security Manual
- Service Standards, Canadian Hydrographic Service
- Standard Marine Navigational Vocabulary
- Standard Procedures for Bacteriological Analysis
- Summary of (T) and (P) Notices to Mariners (annual)
- Survey Standing Orders
- Users Guide — Marine Environmental Data Service

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Department and its functions may be directed to:

Head Office
Communications Directorate
Ottawa, Ontario
K1A 0E6
Telephone: (613) 993-0999
Fax: (613) 990-1866

DFO Internet Address: www.ncr.dfo.ca
CCG Internet Address: www.ccg.org
CHS Internet Address: www.chshq.dfo.ca
MEDS Internet Address: www.meds.dfo.ca
ICOIN Internet Address: web.meds.dfo.ca

Telecommunications Devices for the Hearing Impaired (TDD)

General Enquiries
Telephone: (613) 941-6517 (D)

Central and Arctic Region

501 University Crescent
Winnipeg, Manitoba
R3T 2N6

Telephone: (204) 983-5000
Fax: (204) 983-6285

Internet address for the Canada Centre for Inland Waters:
www.cciw.ca
 Internet address for the Bayfield Institute:
csx.cciw.ca/dfo/dfo-home.html
 Internet address for CHS, Central & Arctic:
csx.cciw.ca/dfo/chs/chs-home.html
 Internet address for CCG, Yellowknife:
www.ssimicro.com/~coastguard

Laurentian Region

104 Dalhousie Street
 Quebec, Quebec
 G1K 7Y7

Telephone: (418) 648-4158
 Fax: (418) 648-4758

Internet address for Laurentian: www.qc.dfo.ca

Maritimes Region

P.O. Box 550
 Halifax, Nova Scotia
 B3J 2S7

Telephone: (902) 426-2581
 Fax: (902) 496-1544 or 426-7827

Internet address for Maritimes: www.maritimes.dfo.ca
 Internet address for St. Andrews Biological Station:
www.maritimes.dfo.ca/st_andrews
 Internet address for CCG College: www.cgc.ns.ca
 Internet address for Gulf Fisheries: www.gfc.dfo.ca
 Internet address for Bedford Institute, Habitat Ecology:
hed.bio.dfo.ca
 Internet address for Bedford Institute, Coastal
 Oceanography: <http://142.2.2.178>
 Internet address for CHS, Atlantic: indfs1.bio.ns.ca

Newfoundland Region

P.O. Box 1300
 St. John's, Newfoundland
 A1C 6H8

Telephone: (709) 772-4423
 Fax: (709) 772-2156

Pacific Region

555 West Hastings Street
 Vancouver, B.C.
 V6B 5G3

Telephone: (604) 666-3545
 Fax: (604) 666-3450

Pacific Communications Internet address:
www.pac.dfo.ca/comm
 Institute of Ocean Sciences Internet address:
www.ios.bc.ca
 CHS, Pacific Internet address: www.ios.bc.ca/ios/chs
 CCG, Pacific Internet address: www.ios.bc.ca/ios/ccg
 Acoustical Oceanography Research Group Internet
 address: pinger.ios.bc.ca
 Habitat and Enhancement Internet address:
www.pac.dfo.ca/heb
 Pacific Biological Station Internet address:
www.pbs.dfo.ca/pbs

Reading Room

The Department's libraries have been designated under the Access to Information Act as reading rooms. They are the main repository for publications, reports and studies pertinent to the management of the fisheries and oceans of Canada. They are information resource centres where access forms are available and assistance can be obtained to ascertain if the information requested is already available in the public domain and does not require formal access procedures. The addresses of departmental regional libraries are:

Central and Arctic Region

Freshwater Institute Library
 501 University Crescent
 Winnipeg, Manitoba
 R3T 2N6

Telephone: (204) 983-5170
 Fax: (204) 983-6285

Headquarters Region

Department of Fisheries and Oceans
 Library Services
 200 Kent, 10th Floor
 Ottawa, Ontario
 K1A 0E6

Telephone: (613) 993-2950
 Fax: (613) 990-4901

Laurentian Region

Maurice Lamontagne Institute Library
 850 Route de la Mer
 P.O. Box 1000
 Mont-Joli, Quebec
 G5H 3Z4

Telephone: (418) 775-0551
 Fax: (418) 775-0542

Maritimes Region

Bedford Institute of Oceanography Library
P.O. Box 1006
Dartmouth, Nova Scotia
B2Y 4A2

Telephone: (902) 426-3683
Fax: (902) 496-1544 or 426-7827

Halifax Fisheries Library
1707 Lower Water Street
P.O. Box 550
Halifax, Nova Scotia
B3J 2S7

Telephone: (902) 426-7160
Fax: (902) 426-1862

Gulf Fisheries Center, Maritimes Region Library
343 Archibald Street
P.O. Box 5030
Moncton, New Brunswick
E1C 9B6

Telephone: (506) 851-6264
Fax: (506) 851-7732

Maritimes Region Biological Station Library
Brandy Cove Road
St. Andrews, New Brunswick
E0G 2X0

Telephone: (506) 529-8854 ext. 5909
Fax: (506) 529-5862

Newfoundland Region

Coast Guard College Library
P.O. Box 4500
1190 Westmount Road
Sydney, Nova Scotia
V1P 6L1

Telephone: (902) 564-3660
Fax: (902) 564-3672

Northwest Atlantic Fisheries Center
P.O. Box 5667
St. John's, Newfoundland
A1C 5X1

Telephone: (709) 772-2022
Fax: (709) 772-2156

Pacific Region

Pacific Biological Station Library
Hammond Bay Road
Nanaimo, B.C.
V9R 5K6

Telephone: (604) 756-7071
Fax: (604) 756-7053

West Vancouver Laboratory Library
4160 Marine Drive
West Vancouver, B.C.
V7V 1N6

Telephone: (604) 666-4813
Fax: (604) 666-3497

Institute of Ocean Sciences Library
9860 West Saanich Road
P.O. Box 6000
Sidney, B.C.
V8L 4B2

Telephone: (604) 363-6392
Fax: (604) 363-6749

Fisheries Management Regional Library
401-555 West Hastings Street
Vancouver, B.C.
V6B 5G3

Telephone: (604) 666-3851
Fax: (604) 666-3450

Foreign Affairs and International Trade Canada

Chapter 47

General Information

Background

The Department of Foreign Affairs and International Trade had its origin in an Act of Parliament in 1909 to deal with Canada's relations with other governments within the British Empire and with foreign states. In 1981, the foreign operations of the immigration service were brought into the Department. In January 1982, there was a fundamental reorganization to include the foreign trade policy and international trade component of the Industry, Trade and Commerce Department. In 1992 the Immigration component of the foreign service was transferred to Employment and Immigration Canada and certain other non-core functions, such as the World Exhibition program and international sport program, were transferred to other departments. In 1993 the Investment Development Division of Investment Canada was merged with the Department.

The Department of External Affairs Act, which came into force on December 7, 1983, sets forth the mandate of the Department and provides for three ministers, the Secretary of State for External Affairs (SSEA), a Minister for International Trade, and a Minister for External Relations. Since June 25, 1993 there has been no appointment to the latter position. The name of the Department was subsequently changed to External Affairs and International Trade Canada. In the fall of 1993 the Department assumed its present title, the Department of Foreign Affairs and International Trade (DFAIT), and the SSEA's title became Minister of Foreign Affairs. Two new Secretaries of State were appointed, for Latin America and Africa, and for Asia-Pacific.

Responsibilities

The Department of Foreign Affairs and International Trade has the primary responsibility for the promotion and protection of Canada's interests abroad and the conduct of Canada's relations with other countries. Its main functions are: to ensure the effective representation of Canada in other countries and in international organizations including close contact, communications and negotiation with other governments and organizations through Canada's extensive network of representatives abroad; to evaluate information about political, economic and other developments likely to affect Canada's interests; to give advice to the government, often in consultation with other departments, on the formulation and implementation of policies and programs with international dimensions; to ensure the coordination of the external aspects and application of national policies for export trade promotion, defence and security,

development assistance, immigration, and cultural and scientific exchanges; to reflect to peoples abroad the bilingual and multi-cultural character of Canadian society; and to provide protection and assistance to Canadian citizens abroad.

Legislation

- Asia-Pacific Foundation of Canada Act, R.S.C. 1985, chapter A-13
- Canada-United States Free Trade Agreement Implementation Act, S.C. 1988, chapter 65.
- Canadian Commercial Corporation Act, R.S.C. 1985, chapter C-14
- Canadian Institute for International Peace and Security Act, R.S.C. 1985, chapter C-18
- Cultural Property Export and Import Act, R.S.C. 1985, chapter C-51
- Department of External Affairs Act, R.S.C. 1985, chapter E-22
- Export and Import Permits Act, R.S.C. 1985, chapter E-19
- Export Development Act, R.S.C. 1985, chapter E-20
- Food and Agriculture Organization of the United Nations Act, R.S.C. 1985, chapter F-26
- Foreign Enlistment Act, R.S.C. 1985, chapter F-28
- Foreign Extraterritorial Measures Act, R.S.C. 1985, chapter F-29
- Foreign Missions and International Organizations Act, S.C. 1991, chapter 41
- Forgiveness of Certain Official Development Assistance Debts Act, S.C. 1987, chapter 27
- Fort-Falls Bridge Authority Act, S.C. 1970-71-72, chapter 51
- Geneva Conventions Act, R.S.C. 1985, chapter G-3
- International Boundary Waters Treaty Act, R.S.C. 1985, chapter I-17
- International Development (Financial Institutions) Assistance Act, R.S.C. 1985, chapter I-18
- International Development Research Centre Act, R.S.C. 1985, chapter I-19
- Meat Import Act, R.S.C. 1985, chapter M-3
- Mutual Legal Assistance in Criminal Matters Act, S.C. 1988, chapter 37
- Privileges and Immunities (International Organizations) Act, R.S.C. 1985, chapter P-23
- Privileges and Immunities (North Atlantic Treaty Organization) Act, R.S.C. 1985, chapter P-24

- Prohibition of International Air Services, R.S.C. 1985, chapter P-25
- Rainy Lake Watershed Emergency Control Act, S.C. 1939, chapter 33
- Revolving Funds Act, R.S.C. 1985, chapter R-8
- Roosevelt Campobello International Park Commission Act, S.C. 1964-65, chapter 19
- Skagit River Valley Treaty Implementation Act, S.C. 1984, chapter 11
- Softwood Lumber Products Charge Act, S.C. 1987, chapter 15
- Special Economic Measures Act, S.C. 1992, chapter 17
- Special Import Measures Act, R.S.C. 1985, chapter S-15
- State Immunity Act, R.S.C. 1985, chapter S-18
- Territorial Sea and Fishing Zones Act, R.S.C. 1985, chapter T-8
- Treaties of Peace (Italy, Romania, Hungary and Finland) Act, S.C. 1948, chapter 71
- Treaties of Peace (Japan) Act, S.C. 1952, chapter 50
- United Nations Act, R.S.C. 1985, chapter U-2

Organization

Department headquarters in Ottawa supervises missions abroad, and provides service to the public and support to Ministers and the Prime Minister in the area of foreign policy and trade policy. Within Canada, the international trade centres co-managed by the Department and the Department of Industry support the Government's export promotion program.

Autonomous agencies:

Reporting to Parliament through the Minister of Foreign Affairs include the Foreign Claims Commission, the International Boundary Commission, the International Joint Commission, the Roosevelt Campobello International Park Commission, the Canadian International Development Agency, the International Development Research Centre, and the Asia-Pacific Foundation. With government-wide restructuring, the Export Development Corporation has been added to the responsibilities of the Minister of Foreign Affairs and Ministerial responsibility has been delegated to the Minister for International Trade.

Headquarters organization:

The Department's headquarters in Ottawa is organized into the Office of the Under-Secretary and 10 branches, each under an assistant deputy minister, which supervise the work of the Department on a geographic, functional or administrative basis. Each branch is divided into bureaux under directors general; the bureaux in turn are separated into divisions, each under a director. Most branches also have divisions and work units that do not form part of bureaux.

International Organizations:

Canada has permanent missions to a number of international organizations. There are missions to the United Nations (UN) in New York and in Geneva, the latter also accredited to the Secretariat of the General Agreement on Tariffs and Trade (GATT) and to the five UN specialized agencies located there. There are permanent representatives to the International Atomic Energy Agency (IAEA) in Vienna; to the UN Centre for Human Settlements (HABITAT) and to the UN Environment Program (UNEP), both in Nairobi; and to the Food and Agriculture Organization (FAO) in Rome. There is also a permanent delegation to the UN Educational, Scientific and Cultural Organization (UNESCO) in Paris. There are permanent missions to the North Atlantic Treaty Organization (NATO) in Brussels; to the European Communities (EC), also in Brussels; to the Organization for Economic Co-operation and Development (OECD) in Paris; and to the Organization of American States (OAS) in Washington. There is a representative to the International Civil Aviation Organization (ICAO), with headquarters in Montreal.

Missions abroad:

There are at present 110 Canadian Diplomatic Missions abroad (including Embassies, High Commissions, Consulates-General and Consulates), a figure which may vary slightly from time to time. Many ambassadors and high commissioners are accredited to several countries in the same region. There are, in addition, 47 Honorary Consuls in cities with no other resident Canadian representative. Full details may be found in the publication *Canadian Representatives Abroad*, issued annually. 112 countries have diplomatic missions in Ottawa and another 41 have non-resident accreditation. In addition, a number of countries have consulates in principal cities throughout Canada.

♦ Office of Protocol

The Chief of Protocol is the principal point of contact between the Government of Canada and foreign representatives accredited to Canada. The Office of Protocol is responsible for the accreditation and appointment of foreign diplomatic and consular representatives to Canada, and that of Canadian heads of diplomatic and consular missions to other countries. The Office manages all questions of privileges and immunities in respect to both foreign representatives in Canada and Canadian representatives abroad, as set out in the *Foreign Missions and International Organizations Act*, the *State Immunity Act* and the *Vienna Conventions on Diplomatic Relations*. The Office plans, organizes and manages state and official visits to Canada and participates in the logistical planning and conduct of travel abroad by the Governor General, the Prime Minister, the Minister of Foreign Affairs and the Minister for International Trade. The Office also organizes, arranges, and manages official hospitality functions for ministers and senior officials of

this and other departments at Foreign Affairs Headquarters and at 7 Rideau Gate, the official Government guest house.

◆ Office of the Senior Coordinator for Federal-Provincial Relations

Works closely with the provinces and territories, providing an information service which keeps them abreast of international developments affecting their interests and ensuring that those interests are taken into account in decisions reached by the Department. The Office assists provinces wishing to open or close international offices and coordinates the co-location of provincial trade and/or immigration offices in Canadian missions abroad. In addition, it acts, when necessary or appropriate, as point of contact for provincial requests for departmental services.

◆ Office of the Under-Secretary

The Under-Secretary, as Deputy Minister, bears general responsibility for departmental policy and objectives. An Associate Deputy Minister works in tandem with the Deputy Minister in day-to-day management of the department. There is also a Deputy Minister for International Trade who has general responsibility for the trade and investment development functions of the department. There are special ambassadors, based in Ottawa, for Fisheries Conservation, the Environment and Sustainable Development, for Disarmament, and for Air Negotiations.

Communications Bureau

The Communications Bureau consists of the Media Relations Office, the Foreign Policy Communications Division, the Trade Communications Division, and the Corporate Communications Division. The Bureau is responsible for increasing awareness and understanding of Canada's foreign policy and trade agenda, and of the department's role in shaping and carrying out that agenda; ensuring quality communications advice to ministers and officials on issues, events and initiatives; ensuring Canadian and foreign media, as well as other groups and individuals, receive timely and accurate information; providing the department with environmental research data to assist in understanding public opinion; liaising with the Privy Council Office communications secretariat; promoting public understanding of the historical background of Canadian foreign relations; and managing an internal communications program.

Geographic Branches

For the conduct of external relations, the world has been divided into five geographic branches, each under an assistant deputy minister. These are: Africa and the Middle East; Asia and the Pacific; Europe; Latin America and the Caribbean; and the United States. Each branch is divided into sub-regional bureaux, with further separation into divisions. The responsibilities and activities of the

geographic bureaux have much in common and are best described together.

Each bureau is responsible for the management and coordination of the full range of relations with its region. Included are the operations of the Canadian posts abroad in its area, their guidance and instruction, and the provision and allocation of resources to them. Under the Department of External Affairs Act, a head of mission is defined as responsible for the management and direction of the mission and the supervision of the official activities of the various Canadian departments and agencies in the country in question.

The work of the geographic bureau is generally distributed into two types of divisions: relations and trade development. The relations divisions handle bilateral political and economic relations; country, sub-regional and regional analysis and policy; and, where appropriate for developing countries, aid relations. Trade development divisions, which are the main point of contact for the Canadian business community, handle export promotion and country-specific market access and trade policy issues. Most of them undertake tourist promotion in conjunction with Tourism Canada, fairs and trade missions, and some are concerned with investment development and science and technology. Some branches also have divisions or units dealing with regional or specialized issues. Within the Europe branch there is a Bureau dealing with bilateral technical assistance, and humanitarian and multilateral assistance, to Central and Eastern Europe and the former Soviet Union.

Policy Staff

The Staff performs a variety of policy related functions. It has a responsibility for analysis, evaluation, and formulation of policy options, and provides advice to senior management in both the political/security and the trade/economic policy areas. The Staff provides support for the department's management of relations with Parliament and the Cabinet system. It is also responsible for coordination of Canadian participation in the G-7 Summit process.

Corporate Services Branch

This Branch provides central administrative support services for the Department's headquarters operations and the 150 missions and offices worldwide. These services include resource planning and management, financial, administrative and personnel services, information storage and retrieval, informatics development and support, audit and evaluation and physical resources (real property) services.

◆ Client Services Bureau

The Bureau provides personnel and financial administration services to employees and responsibility centres. It comprises six divisions: Financial Services; Foreign Service Directives and Travel; Compensation Services;

Staff Relations; Data Management; and Administrative Services. The Bureau also includes a Services Centre which provides one stop shopping to employees across the entire range of services offered by the Bureau.

◆ Information Resources Bureau

The Bureau is responsible for the Ministry's data banks and electronic and conventional registries. It supports the production, retrieval and delivery of timely information to staff and outside clientele. It is also responsible for mail management, diplomatic courier and messenger services for the Ministry and all the departments with officers abroad.

◆ Information Systems Bureau

The Bureau provides secure and unclassified communication services for voice, electronic mail, fax, data and telex for all Government of Canada communications abroad. Its new SIGNET system provides secure global communications to all departmental employees at missions, headquarters and wherever they travel abroad. The implementation of this system continues and by 1995 will provide interconnection to some 8,000 Canadian and locally-engaged staff through personal computers.

◆ Office of the Inspector General and Internal Audit and Evaluation

This division ensures the full accountability of ministerial employees and efficient operation of management systems and policies.

◆ Physical Resources Bureau

The Bureau is a Special Operating Agency which is responsible for the Department's Long Range Capital Program directed at increasing Crown ownership of properties abroad, and for the provision of chanceries and official residences through lease, purchase or design and construction. In addition, the bureau is responsible for interior design services for accommodation abroad; the acquisition of all materiel assets; and supervision of maintenance of overseas government properties. It performs a number of administrative functions relating to staff quarters at missions, and administers the Department's collection of Canadian works of art.

Human Resources Development Bureau

The Bureau, which is concerned with the whole range of the Department's human resources, handles recruitment, training and development, staffing and service abroad, appraisal and promotion. Some headquarters personnel are classified as non-rotational but many of the Branch's functions relate specifically to the operations of the Foreign Service, such as assignment to missions outside Canada. One division is responsible for all aspects of the Department's official languages program. The Branch is also responsible for personnel policy and planning.

◆ Resource Planning and Management Secretariat

This unit provides corporate resource planning and management services, including resource allocation, salary and position control and management, financial analysis and reporting and support to senior management through the Program Management Board of the Department.

◆ The Canadian Foreign Service Institute

The Institute plans and delivers training and development programs to departmental employees. The programs are designed to facilitate career development and improve the effectiveness of employees in the delivery of the department's programs in Canada and internationally. They include training in official and foreign languages and a broad range of work-related skills. Organizational Development services are also provided to assist the Department in managing change. A research function also exists.

International Business Development Branch

◆ International Business Programs Bureau

The bureau is responsible for development and implementation of policies on market intelligence. Through its four divisions, it advises on the creation and management of international business programs and services, international technology services and fairs and missions. The bureau creates and maintains productivity and performance tools for efficient delivery and measurement of these programs and services. It is responsible for all aspects of the Program for Market Development (PEMD), World Market Trade Fund (WMTF), the Investment Development Program (IDP) and the Technology Inflow Program and the Going Global Investment Program (GGIP). It manages the gathering and dissemination of information and intelligence on R&D collaboration and foreign technology acquisition. It also coordinates and manages the Investment Counsellor/Science and Technology officer networks abroad. It works with the Export Development Corporation (EDC) and the Canadian banking community to enhance export financing and provides input on EDC's corporate plan and priorities. It formulates policies on international arrangements for officially supported export credits (OECD consensus) and advises the Minister for International Trade on the management of the Canada Account. It assists Canada's business community to improve Canada's share of procurement at International Financial Institutions and advises on related policy issues. It develops and manages the department's trade informatics applications including the World Information Network (WIN) Exports, the Trade Planning and Tracking Systems and tools to manage the Fairs and Missions Program. It develops the department's Market Intelligence and Information policy as well as supports its computerized systems. It develops and manages an international business program for Canada's tradable services. It formulates policies for and participates in bilateral air

services negotiations and maritime and surface access negotiations. It manages a matchmaker/trading house program, sovereignty access program (air, maritime) and economic sanctions (transportation services only).

◆ Investment Bureau

The Bureau is the focal point for the Federal Government's efforts to attract international business investment to Canada and to assist Canadian companies in expanding through international investments and investment partnerships. Through its three divisions, it monitors and analyzes investment trends and perceptions of Canada as an investment site. It assists Canadian companies in finding international investment partners and in accessing international sources of capital. It supports the efforts of CEOs of Canadian subsidiaries of multi-nationals seeking to attract manufacturing and R&D mandates to Canada. It supports the posts' corporate liaison program and responds to enquiries regarding opportunities in Canada. It prepares company-specific briefs which make the case for investing in Canada and, with provincial and municipal governments, assists potential investors in defining their requirements, in visiting potential sites and meeting with potential partners. It introduces sources of international venture capital to Canadian opportunities and works with the CEOs of Canadian subsidiaries to attract manufacturing and R&D mandates to Canada. It assists Canadian companies in finding investment partners. Such opportunities are brought to the attention of international investors through a full suite of communication approaches including multi-media technology. It monitors and analyzes investment trends, competitor programs, and perceptions of Canada. It creates and disseminates a variety of promotional materials. It provides investment briefings to media specialists and publicizes Canadian investment success stories.

◆ Trade Commissioner Service Strategic Planning Division

The division engages in medium to long term strategic planning for international business development. It works with other divisions to evaluate existing programs, to generate new programs and services and to develop alternate methods to deliver TCS services. It provides analysis and advice on the allocation of international business development resources within the department and across government to develop more focus and complementarity.

◆ Trade Planning and Operations Bureau

The Bureau, through its two divisions and two secretariats, builds partnerships to bring international business opportunities to Canada and to help Canadians compete in world markets. It establishes and manages the dialogue within the federal government to coordinate and rationalize resources dedicated to international business development. It represents sectoral interests in international business development program issues. It manages the consultative process which develops the

strategic direction of the International Trade Business Plan (ITBP), including the coordination of the sector strategies. The ITBP is the strategic partnership between the private sector and all federal government departments active in support of Canada's international business development objectives abroad. The bureau liaises with and provides functional direction to the TCS both at home and abroad. It develops guidelines on the TCS roles, responsibilities, standards and levels of service. It manages the Canada Export Awards Program, and export awareness and preparedness programs as well as the Centre for International Business Studies Program. It manages, coordinates and is the secretariat for the International Trade Advisory Committees (ITAC) and the Sectoral Advisory Groups on International Trade (SAGIT), including interdepartmental liaison. It prepares and disseminates globally aggregated market information reports and capability guides and publishes Global Market Opportunity Reviews.

Legal and Consular Affairs Branch

In addition to the Bureaux listed below, the Legal and Consular Affairs Branch includes a unit of the Department of Justice which provides general legal services to the Department and is the central authority for the administration of the Hague Convention on the Civil Aspects of International Child Abduction.

◆ Consular Affairs Bureau

The Consular Affairs Bureau has the sole responsibility for the assistance and protection of Canadian citizens abroad, the provision of training to Consular staff, the operation of an information program aimed at travelling Canadians and all other related Consular services including passport services provided under the umbrella of the Consular Policy Division and the Consular Operations Division. Both Divisions are under the direction of the Director General, Consular Affairs Bureau and coordination is effected by the Strategic Planning Section. The Consular Affairs Bureau sets overall policy and ensures the application of the Vienna Convention on Consular Relations and related multilateral and bilateral agreements to its consular activities.

◆ Legal Affairs Bureau

Economic Law Division:

Provides advice on subjects such as investment, transport and communication, energy, intellectual property, extraterritoriality, science and technology, and services. It is also concerned with the protection of Canadian citizens' property interests abroad and with claims arising from the nationalization of property by foreign governments.

Legal Advisory Division:

Provides services related to private international law, such as the authentication of Canadian certificates and documents, and advice on sovereign immunity, recognition of states, protection of Canadian citizens, and diplomatic

and consular privileges and immunities. The Treaty Section of this division provides advice on the drafting of treaties and treaty-making procedures and it maintains a registry of all Canadian treaties and international arrangements. The Bureau houses an extensive international law library.

Legal Operations Division:

Handles legal issues related to the UN and other multilateral institutions, peace and security, human rights and humanitarian law, maritime boundaries, the environment, fisheries and the law of the sea.

Trade Law Division:

The Trade Law Division, a combined unit of the Department of External Affairs and the Department of Justice, has responsibility for domestic and international aspects of trade law. Specifically, the division is responsible for legal interpretation of existing bilateral and multilateral trade agreements, legal advice on the negotiation and conclusion of new trade agreements, coordination of drafting legislation and regulations to implement trade treaty obligations into domestic law, legal aspects of Free Trade Agreement (FTA) Chapter 18 panels and their equivalent in NAFTA, dispute settlement panels under the General Agreement on Tariffs and Trade (GATT), and panels under Chapter 19 of the FTA (antidumping and countervailing duty cases) and their NAFTA equivalent.

◆ Office of the Coordinator for Environmental Assessment and Stewardship

This unit is responsible for ensuring that the Department is in compliance with the requirements of the Canadian Environmental Assessment Act, the Canadian Environmental Protection Act, and the government Guidelines on policies, programs, and stewardship.

◆ Office of the Coordinator, Access to Information and Privacy Protection

This unit, headed by a Coordinator, is responsible for the Department's compliance with the Access to Information and Privacy Acts.

◆ Passport Office

The Passport Office is a semi-autonomous Special Operating Agency which manages its own financial and personnel matters. Its administrative headquarters is located in Hull. The agency operates issuing offices (mail and personal service) in Hull and personal service counters at nearly 30 regional offices across Canada. It also develops, promulgates, and monitors entitlement and issuance policies and procedures for application within Canada as well as at missions abroad.

Political and International Security Affairs Branch

◆ Cultural Affairs and Higher Education

This Bureau promotes Canada abroad and helps achieve foreign policy and trade objectives, by assisting Canadian

scholars and artists in pursuing international interests and by facilitating the export of cultural products and education services. It is responsible for the Canadian Commonwealth Scholarship and Fellowship Programme, the Government of Canada Awards Programme and educational exchange programmes with the USA and China. It coordinates international tours by Canadian performing artists, writers and film directors, as well as the Cultural services provided by Canadian missions abroad.

◆ International Organizations Bureau

This Bureau coordinates Canada's participation in the United Nations and most of the specialized agencies and institutions that form part of the UN system. It is also responsible for coordinating Canada's multilateral relations with Commonwealth countries and Canada's role and activities in la Francophonie. It ensures effective monitoring of human rights, women's equality and social development issues world- wide and the integration of these considerations into all relevant aspects of bilateral and multilateral relations.

◆ International Security, Arms Control and CSCE Affairs Bureau

This Bureau is responsible for the coordination and preparation of policy proposals on cooperative security and defence aspects of Canada's foreign policy, including peacekeeping, NATO, NACC, the CSCE and other regional and global organizations. It coordinates bilateral defence relations with the USA through NORAD, as well as other bilateral defence relations with the countries of Central and Eastern Europe, the former Soviet Union, Latin America and Asia. It is also responsible for the preparation of policy on arms control and non-proliferation issues and the development of verification methodologies and confidence- building measures.

◆ Security and Intelligence Bureau

This Bureau is responsible for providing timely and critical intelligence on world events. The Operations and Intelligence Centre provides information to the public and the Department on a 24 hour basis. The Bureau also conducts a programme of interviews to obtain first-hand knowledge from Canadian residents about foreign countries. The Security Division is responsible for providing protection for Canadian personnel and their families and government premises and information.

Trade and Economic Policy Branch

◆ Economic Policy Bureau

The Bureau is responsible for the development and coordination of policy on international economic, environment and energy issues. It consists of the International Economic Relations Division, the Environment Division, the Services, Investment and Intellectual Property Division, the Energy and Nuclear Affairs Division, and the Economic Relations with Developing Countries Division.

♦ Export and Import Controls Bureau

This Bureau is responsible for policy development, and implementation and administration of import and export control measures under the authority of the Export and Import Permits Act and Regulations. The Bureau consists of the Import Controls I Division (textiles and clothing), the Import Controls II Division (agricultural goods, steel and other commodities) the Export Controls Division (military, nuclear and strategic goods), and the Administration and Data Processing Division.

♦ Trade Policy Bureau

This bureau consists of the Agriculture and Resource Trade Division, the Tariffs and Market Access Division, the Multilateral Trade Institutions Division, the Trade Remedies Division and the Technical Barriers and Regulations Division. The Bureau is responsible for the development and implementation of trade policy, including the coordination and management of Canada's participation in the General Agreement on Tariffs and Trade and the North American Free Trade Agreement, and inter alia providing government support to Canadian exporters with respect to foreign antidumping, countervail and safeguard actions affecting Canadian trade interests.

Information Holdings

Program Records

Agri-Food, Fish and Resource Products

Description: This class covers information relating to the production and marketing, nationally and internationally, of agricultural, fish, processed food, forest, mining and chemical products, including world market situations and the general economic development of such Canadian industries. Also included is the Bureau's involvement with various associations and organizations from the public and private sectors and other countries; trade missions, visits, trade fairs, grants, loans and contributions and programs designed to assist the industries in the development and marketing of their products. **Topics:** Agricultural products; feed; fresh fruits and vegetables; pulses; seeds; tobacco; livestock; meat; dairy products; grocery products; baked goods; beverages; processed foods; fur products; international commodities; lumber; plywood; panel products; timber frame construction; log homes; pulp and paper; ferrous and non-ferrous metals; peat moss; ceramic materials; metal powders; asbestos; potash; chemical fertilizers; pesticides; pharmaceuticals; biological reagents; synthetic resins; polymers; industrial chemicals; adhesives. **Program Record Number:** EAC TFB 035

Bureau of Assistance for Central and Eastern Europe

Description: Information on humanitarian assistance program; bilateral technical assistance program; Canadian Nuclear Safety Initiative, regional cooperation programs,

multilateral program, Peace and Democracy program. Information on Project Review Committee; global and country budget allocations; information material for the public; evaluation reports on the program, documents to central agencies. **Topics:** Policy and plans; country programs; project proposals; project tracking forms; contribution agreements; contracts; organizational charts; statistics; reports; budget; minutes; guidelines; terms and conditions; government and departmental regulations. **Program Record Number:** EAC RZD 026

Capital Projects and Secondary Industries

Description: Information on international financing activities, Canada Account financing, capital projects, countertrade and the Cost Recoverable Technical Assistance Program; federal policies and issues relating to international marketing and export trade development for transportation, machinery and consumer goods sectors; and information on the development of export marketing strategies for particular foreign country markets. **Topics:** Capital projects; Program for Export Market Development (PEMD); co-operation and liaison; foreign market intelligence; federal/provincial governments; associations; Canadian Commercial Corporation; investment; Export Development Corporation; export financing; international financial institutions; OECD; Cost Recoverable Technical Assistance; visits; countertrade; equipment — environmental, secondary and service industries, agriculture, construction, resource, heating, air conditioning, refrigeration, automotive, energy, marine systems, urban and rail systems; consumer products — clothing, fur apparel, footwear, textiles, jewellery, giftware, musical instruments, cultural industries, houseware, hardware, toys and games, sporting goods, furniture, appliances, medical equipment, health care products, educational equipment. **Program Record Number:** EAC TFB 040

Consular Operations

Description: Information on Canadian consular operations. **Topics:** Policy and plans; reports and statistics; treaties and agreements; organizations and conferences; legislation and regulations; fee collection and control; services on behalf of other countries; services as a protecting power; registration of nationals; emergency evacuation; government benefits and services; assistance to nationals; assistance through local authorities; offenses, arrests, detentions, conscription of Canadians abroad; merchant vessels and seamen, commercial aircraft and airmen, military personnel, veterans; social security; prisoners of war and civilian internees; death and estates, reunification of families; and visa registration. **Program Record Number:** EAC JFB 024

Consular Policy

Description: Information on Canadian consular policy matters. **Topics:** Policy and plans; reports and statistics; treaties and agreements; organizations and conferences; legislation and regulations; extension of services abroad; social security plans; immigration and citizenship matters;

enquiries and complaints; consular conventions and understandings; diplomatic and official visas; coordination of consular programs for posts abroad; consular training; honorary consuls; shipping and seamen; transfer of offenders; deportation; and travel industry. **Program Record Number:** EAC JFB 023

Defence Programs and Advanced Technology

Description: This class covers information concerning the policies and procedures on the industrial and trade aspects of high technology and defence programs. **Topics:** Marine Products and Services; onboard electronics; aircraft; civilian and military aircraft components systems; missile systems; avionics; space-based radar; satellites; 'Build to print'; security; nuclear, biological, and chemical (NBC) defence products; cold weather clothing; vehicles; weapons and ammunition; aircraft R & O and finishing; civilian and defence applications for computer-based technologies, telecommunications/datacommunications; remote sensing; industrial process controls; electronic components; geological and geophysical instrumentation; test and laboratory equipment; electronics; Canada/U.S. Defence Production and Development Sharing Agreements; Research, Development, and Production Agreements with European countries; Defence Economic Relations; Access to U.S. Defence Procurement; Assistance to Canadian companies in defence-related exports; North American Defence Industrial Base Organization; NATO industrial Advisory Group and other infrastructure procurement; Bilateral science and technology (S&T) agreements; liaison with U.N. Agencies, OECD, IASA, and other multilateral organizations on science; space policy collaboration and marketing; international aspects of S&T related to telecommunications, information technologies, advanced industrial materials, Arctic science and biotechnology; international activities on large science projects; administration of the 'Technology Inflow Program'. **Program Record Number:** EAC TFB 046

Economic and Trade Law

Description: Information on legal aspects of Canada's international economic relations, trade and commodity agreements and related matters. **Topics:** Trade agreements including GATT, FTA, and NAFTA; commodity agreements; constitutional law; dispute settlement including Chapters 18 and 19 of the FTA and their NAFTA equivalents; international organizations; investment; industrial policy; commercial arbitration; energy issues; jurisdictional issues; sanctions and boycotts; transport and communications; bankruptcy issues; foreign claims; international development; international finance. **Program Record Number:** EAC JFB 015

Export Development Programs and Services

Description: Information on issues in the international marketing area. Also included is information on federal government programs of export education assistance to business and to export education activities and international business studies at Canadian universities; information on the activities of the federal government in

gathering and disseminating market intelligence; information on the development of export marketing strategies for particular foreign country markets; federal government incentive and assistance programs related to export marketing; information on the activities of trading houses; information on international transportation services; information on the development of export marketing strategies. **Topics:** International marketing strategy, co-operation and liaison; export marketing education; foreign market intelligence; export marketing planning and co-operation; market strategy; programs; Export Trade Month, Export Awards Program, trading houses; policies and plans in the field of transport; activities related to export development; trade development; International Trade Centres; trade information systems including WIN Exports and PEMD. **Program Record Number:** EAC TFB 032

Federal-Provincial Relations

Description: Information on provincial involvement in international activities; provincial or federal-provincial implications for Canadian relations with foreign countries and groupings; the national unity issue as it affects Canada's foreign policy and international relations; provincial aid projects under CIDA; and visits abroad by provincial representatives as well as visits of foreign representatives to the provinces. **Topics:** Policy; surveys and reports; treaties and agreements; organizations and conferences; legislation; flow of information to the provinces; visits abroad of provincial personalities; foreign visitors to Canadian provinces; provincial representatives abroad; foreign representatives in Canadian provinces; implications for Canadian relations with other countries and groupings; agriculture; aid; consular affairs and visas; cultural affairs; economic affairs; finance and trade; education; health; human environment; immigration; information and public relations; labour; natural resources; science; social affairs; telecommunications; tourism; transportation; emergency plans; civil defence. **Program Record Number:** EAC JFB 003

Geographic Branches

Description: Information on the formulation and conduct of Canadian policy; the supervision of operations of the diplomatic and consular posts and Canada's relations with the country in which it is situated; bilateral matters involving diplomatic missions of other countries in Canada and policy advice; information on the activities of the branches and involvement with the public and private sectors in Canada's trade relations; the drawing up and negotiating of bilateral and multilateral agreements, acts, regulations, quotas and standards pertaining to Canada's foreign trade. **Topics:** Policy and background; trends in global, international and national affairs; Canadian external policy and relations; foreign policy trends; internal policy trends; guidance to heads of post; reports and statistics; periodic reports; tour reports; treaties and agreements; organizations and conferences; laws; national historical outlines; nationalism; visits; neutralism and non-alignment; peace and non-aggression; intervention and

non-intervention; dependent territories; colonialism and imperialism; interim control and surveillance; independence and recognition; political asylum; government, constitution, head of state, cabinet, legislature, judiciary, administration; national symbols; church-state relations; political parties, non-party groups and elections; provincial and municipal affairs; communism; internal security forces; political prisoners; subversion and espionage; demonstrations and riots; coups d'état; disputes and incidents; economic relations; development assistance; bilateral environmental and energy questions; transboundary issues such as pollution; trade relations; exchange of information for the trade sector; international trade relations; associations; clubs and societies; commodities; companies; conferences, seminars, conventions and meetings; committees; co-operation and liaison; economic and political conditions; environmental conditions; international organizations; statistics; taxation and taxes; tourism; transportation; acts, legislation and regulations, food and drug regulations; marketing and labelling acts; standards; agreements; customs and tariffs; financing and aid; Canadian banks and financial institutions; Canadian Commercial Corporation; contracts and tenders; development banks; foreign banks and financial institutions; government financing and aid; investment; international trade; assistance to exporters and importers; Canadian capabilities and competitiveness; Canadian trade; industrial co-operation; joint ventures; international economic boycotts; programs; selective identification systems for multilateral project opportunities; overseas projects; third country operations; fairs and exhibitions; missions and visitors. **Program Record Number:** EAC FGB 025

Legal Advice and Treaties

Description: Information on questions of public and private international law and treaties. **Topics:** Policy and plans; reports and statistics; judicial and legal services; authentication and notarial acts; diplomatic and consular privileges and immunities; consular questions; sovereign immunity; recognition of states and governments; provincial participation in international arrangements; passport matters; defence regulations; security matters; transfer of offenders; narcotics control; transborder police operations; immigration legislation and regulations; extradition; childnapping; private international law; maintenance orders; letters rogatory; depositions; service of documents; treaty negotiation, interpretation, registers, procedures and publication. **Program Record Number:** EAC JFB 020

Legal Operations

Description: Information on maritime legal questions, international legal activities in the environmental field and UN legal issues. **Topics:** Territorial and high seas matters; fishing zones; fisheries agreements; fishing treaties; fisheries regulations; fisheries exports; international fisheries commissions; fish stocks; maritime boundaries; transboundary fish stocks; fisheries negotiations; fisheries conservation; marine scientific research; marine

mammals; Law of the Sea; continental shelf; international seabed area; compensatory financing; transfer of technology; Arctic sovereignty; deep-seabed enterprise; seabed authority; nickel production; marine environmental protection and preservation; marine vessel traffic agreements; navigation safety; admiralty law; marine pollution control; bonding arrangements; oil and hydrocarbon exploration; transportation regulations; environmental law; environmental relations; offshore mining and drilling; air pollution control; weather modification; aviation organizations; outer space law; international space committees; space agreements and treaties; human rights; refugees; terrorism; hijacking; disarmament; direct broadcast satellites; nuclear-powered satellites; International Law Commission. **Program Record Number:** EAC JFB 010

Multilateral Trade Negotiations

Description: Information on multilateral trade agreements, principally the negotiation of a round of multilateral trade negotiations under the GATT. **Topics:** GATT; investment issues; procurement; provincial involvement; reports and statistics; multilateral trade negotiations; conferences; issues; markets; regions; sectors. **Program Record Number:** EAC OMT 002

Office of Protocol

Description: Information on the accreditation and appointment of foreign and Commonwealth representatives to Canada and of Canadian representatives to other countries; diplomatic privileges and immunities; and the planning, organization and management of state and official visits to Canada. **Topics:** Diplomatic representation; policy and plans; reports and statistics; treaties and agreements; organizations and conferences; legislation and regulations; status and precedence; accreditation; privileges and immunities; privileges and immunities abroad; Canadian representatives abroad — heads of post, consular officers, special envoys; foreign representatives in Canada — heads of post, political advisors, military advisors, commercial advisors, special advisors; consular officers and trade commissioners; provincial representatives abroad; diplomatic and consular lists; ceremonials; honours and awards; hospitality and security of foreign diplomatic missions in Canada. **Program Record Number:** EAC XDX 005

Passport Office

Description: Information on the issue of passports to Canadian citizens in Canada and abroad, Certificates of Identity and United Nations Refugee Convention travel documents. **Topics:** Policy and plans; reports and statistics; treaties and agreements; organizations and conferences; legislation and regulations; surveys and studies; information activities; public relations; legal affairs and services; citizenship; visa and travel; fee collection and control; passport accountability, application, eligibility, issue, validation and amendment, and categories; emergency certificates; certificates of identity; control lists; lost or stolen passports; denial of services; fraudulent

activities; security precautions. **Program Record Number:** EAC JFB 215

Policy Staff

Description: Information on policy studies, research, analysis, and advice in a broad range of political, economic, and trade-related subjects; information and briefings for parliamentary visits, associations, conferences, and committees; information on coordination of Canada's participation in the G-7 Summit process. **Topics:** Policy and background; political evaluations, briefings, analysis, papers, research, trade statistics, economic analysis, policy papers, parliamentary conferences and committees, foreign and trade policy reviews. **Program Record Number:** EAC EAC 006

Trade Development Policy and Liaison

Description: Information on policies and special issues on trade development that are generally non-recurring, as well as details of liaison activities with provincial governments, other government departments, and private sector associations. Financial records relating to the International Trade Development Branch are also included in this class. **Topics:** Reports on federal/provincial consultations; briefing books for consultations, ministerial and non-ministerial federal-provincial meetings; provincial export assistance summary; Branch financial records; reports and statistics; private sector associations; trade development; evaluation of actual or potential trade development programmes and activities. **Program Record Number:** EAC TFB 030

◆ Investment Development (information formerly held by Investment Canada)

Academic Relations

Description: Information on awards, scholarships, fellowships and exchange programs offered by the Canadian government to nationals of certain countries; teaching, historical research and relations with foreign and Canadian academics and universities. **Topics:** Policy and plans; reports and statistics; treaties and agreements; organizations and conferences; legislation and regulations; exchange of persons; visits; universities; education associations; awards, scholarships, fellowships; assistance to research scholars; teaching, research and publications. **Program Record Number:** EAC IFB 150

Agriculture and Commodity Policy

Description: Information on international aspects of agricultural trade policy and international commodity agreements. **Topics:** Agricultural industry; food and agriculture; commodity trade; policy and plans; organizations and conferences; treaties and agreements; schools and training; visits. **Program Record Number:** EAC EFB 070

Arms Control and Disarmament

Description: Information on various issues involved in formulating advice and recommendations on policy and positions intended to control the arms race. **Topics:** Policy

and positions; reports and statistics; public opinion in Canada, public opinion abroad; treaties and agreements; international organizations and conferences; legislation and regulations; measures; plans and proposals; control and verification; cut-off, use and transfer of fissionable material; reduction and elimination of nuclear weapons and nuclear weapons carriers; reduction and elimination of chemical and biological warfare (CBW) weapons; reduction of conventional armaments and armed forces; military budgets; foreign bases; transition from stage to stage of disarmament; outer space; reduction of the risk of war; disengagement and denuclearized zones; comprehensive test ban and disarmament studies and research; suspension of nuclear tests — policy and positions, treaties and agreements; organizations and conferences, plans and proposals; nuclear war; legal aspects; economic and social consequences; publication of the periodic Disarmament Bulletin. **Program Record Number:** EAC IFB 125

Arts Promotion

Description: Information on the promotion of cultural relations between Canada and other countries and on international cultural policy issues and programs. **Topics:** Policy and plans; reports and statistics; treaties and agreements; organizations and conferences; legislation and regulations; international sports; visits; fairs and exhibitions; cultural centres; cultural information; book presentations; libraries and literature; architecture; visual arts; performing arts; culture and history. **Program Record Number:** EAC IFB 155

Canadian Foreign Service Institute

Description: Information on training and professional development programs, including needs analysis, curricula, course materials, resource information and general research. **Topics:** Current policy and practice in the work of entry-level and junior officers, supervisors, heads of mission, and administrative and technical staff; foreign and official language training; leadership training; management of training budgets and training facilities; distance learning; training in other foreign service institutes. **Program Record Number:** EAC CFS 173

Commonwealth

Description: Information on Canada's participation in Commonwealth programs and activities. **Topics:** Policy; development; membership; Prime Ministers' meetings; inter-governmental conferences; organization of Royal visits; Queen and Royal Family; Commonwealth ministerial meetings and conferences; functional co-operation and the activities of non-governmental organizations. **Program Record Number:** EAC IFB 120

Defence Relations

Description: Information on the development and coordination of Canadian foreign and defence policies on issues such as NATO, NORAD and other North American defence issues. **Topics:** Policy and plans; reports and statistics; treaties and agreements; organizations and

conferences; visits; Canadian forces, foreign forces, defence authorities; visiting forces; bilateral relations — Canada; bilateral relations — other countries; armed forces — policy and procedures, coordination personnel, navy, army, air force, paramilitary forces, uniforms and insignia, decorations, colleges and courses, commemorations and war graves, welfare and entertainment, non-military activities; conventional and nuclear armaments; peacekeeping; defence research; military information; air defence systems; manoeuvres and exercises; bases, installations and related activities; arms sales; military transportation; communications; aircraft clearances; military assistance; mobilization for war; outer space; service attachés; civil defence and emergency planning. **Program Record Number:** EAC IFB 130

Domestic Communications

Description: Information on activities and materials used to inform Canadians about Canada's foreign policy, international relations and the role of the Department of Foreign Affairs and International Trade. **Topics:** International affairs awareness; issue and program support; communications plans and strategies; reports and statistics; agreements; visits; requests for information on foreign policy; distribution and special mailings; Foreign Affairs publications; publications of non-governmental organizations; Annual Report; ministerial speeches; news feature articles; videos; news radio features; ethnic, smaller regional and weekly press; UN model conferences; departmental speakers; press/information kits; study tours of multilateral institutions in Europe; International Drug Awareness Campaign. **Program Record Number:** EAC BCD 170

Economic Relations with Developing Countries

Description: Information on international economic development, Canada's policy on development assistance and North-South issues. **Topics:** Policy, plans and programs; reports and statistics; treaties and agreements; organizations and conferences; legislation; international coordination; project assistance; non-project assistance; technical assistance; food aid; export development; relief; volunteer services. **Program Record Number:** EAC EFB 090

Energy and nuclear

Description: Information on questions and negotiations concerning conventional and new forms of energy; international energy policy coordination; nuclear energy, safeguards, international nuclear energy policy coordination, and economic and commercial development. **Topics:** Policy and plans; reports and statistics, co-operation and agreements; organizations and conferences; legislation and regulations; visits; electricity; petroleum and natural gas; conservation; coal; energy research and development; IAEA co-operation; technical assistance and information; research and development; safeguards; export and import; safety standards. **Program Record Number:** EAC EFB 100

Environment

Description: Canadian and international policy and activities in the field of the environment. **Topics:** Policy and plans; reports and statistics; treaties and agreements, organizations and conferences, including the United Nations Conference on Environment and Development, and subsequent events; legislation and regulations; visits; environmental research and development; protection of nature; wildlife and aquatics; prevention of diseases; social development; pollution; pollution by industry; pollution by radiation; land spoliation; air, water and transportation pollution. **Program Record Number:** EAC EFB 095

Export and Import Controls

Description: Information relating to government administration of the Export and Import Permits Act and any other related acts and regulations; the Bureau's activities and involvement with individual companies in the area of export and import quotas and market restraints; the Bureau's activities in the control of imported and exported commodities; and its dealings with concerned government and non-government agencies. **Topics:** Legislative acts; consultations and negotiations, country agreements, permits and certificates, violations and prosecutions; companies; general correspondence; commodities; policy, injurious imports; textiles, clothing, footwear; agricultural products; electronic products; other exported items; associations and general economic data; countries; government departments and agencies; associations, statistics and correspondence. **Program Record Number:** EAC EFB 110

External Communications

Description: Information materials on Canada sent to other countries, including information on Canadian government policies and programs. **Topics:** Policy and plans; reports and statistics; conferences and seminars; visitors to Canada programs; requests for information; foreign press; Foreign Affairs publications; exhibits and displays; films, recordings, transcripts, radio and television programs; promotion and publicity; timely information to posts; and celebrations of anniversaries and international events. **Program Record Number:** EAC BCD 175

Foreign Intelligence

Description: Information on foreign political and economic intelligence from other federal departments and agencies, the public media, foreign governments, international organizations and other sources that provides a basis for policy formulation on matters affecting Canada's interests. **Topics:** Political affairs; policy and plans; reports and studies; agreements; organizations and conferences; legislation and regulations; visits; international associations and private organizations; release of information; foreign political parties; foreign personalities; special clearances; liaison arrangements; interviews with Canadian residents. **Program Record Number:** EAC IFB 135

GATT Affairs

Description: Information on the coordination and management of Canada's participation in the General Agreement on Tariffs and Trade. **Topics:** Policy; charter; relations; membership; secretariat; financing; public relations; documents; sessions; council meetings; multilateral trade negotiations. **Program Record Number:** EAC EFB 065

Industrial Trade Policy

Description: Information on trade relations and policies as they relate to domestic industrial policy. **Topics:** Transportation equipment including the importation of automobiles, capital and consumer goods, pharmaceutical and health care products. **Program Record Number:** EAC EFB 075

International Economic Relations

Description: Information on international and domestic studies; trends and conditions; discussions on international economic policy and relations; macro-economic questions; OECD activities and Economic Summits; East-West multilateral economic issues, including Basket II of the Conference on Security and Co-operation in Europe and the Economic Commission for Europe. **Topics:** Policy and plans; reports and statistics; treaties and agreements; organizations and conferences; legislation and regulations; visits; budgets; industrial organizations; regional economic groupings; scientific and technical co-operation in industry; joint ventures. **Program Record Number:** EAC EFB 080

International Finance

Description: Information on international finance and monetary matters, the activities of public and private agencies in the field of banking, investment and insurance. **Topics:** Policy and plans; reports and statistics; treaties and agreements; organizations and conferences; legislation and regulations; visits; taxation; public debt; currency; foreign exchange and payments; capital movements; banking; gold; insurance; domestic investment; foreign investment; control of assets; companies and co-operatives; industrial property; multinational enterprises. **Program Record Number:** EAC EFB 085

Investment — General

Description: General information pertaining to investment development, promotion and services activities; general and specific projects committees, conferences, meetings, communications and plans relating to responsibilities carried on by the Investment Bureau. **Topics:** Market Guides; Parinvest; Infoplace Data Base; success stories; Domestic and International Development Plans; Federal, Provincial, Municipal and International government programs Brokerage/Matchmaking; Alternative Canadian Buyers; Information and Training Sessions; Information Dissemination/Data Base; Contact List/Networking; Seminars, Conventions; Policies and Procedures; Canadian Edge; Canadian apparel. **Program Record Number:** INV DEV 180

Investment — Marketing

Description: General correspondence and information regarding communications and advertising at the foreign and domestic levels. **Topics:** Communications strategies for Canada and abroad; general and sector targeted advertisements in business magazines, trade journals, periodicals and newspapers; publicity through exhibits, fairs and displays; Investment Canada and investment development program publications; speeches; contracts; external publications; international advertising campaign. **Access:** Files arranged by name of publication and subject. **Program Record Number:** INV DEV 195

Investment Co-operation and Liaison — Federal

Description: Consultations with other federal departments and agencies on matters pertaining to investment and the development and promotion of investment. **Topics:** Procedures for consultation with other federal departments; federal industrial and economic policies and initiatives; departmental responses concerning the Investment Canada Act; views on federal initiatives that may affect investment or Investment Canada activities. **Access:** Files arranged by department or agency. **Program Record Number:** INV DEV 165

Investment Co-operation and Liaison — General

Description: Co-operation and liaison activities of an administrative nature with outside organizations, municipalities, corporations, companies, agencies and universities. **Topics:** Proceedings; minutes; annual reports; financial statements and membership fees relating to associations, clubs, federations, etc. **Access:** Files arranged by organization. **Program Record Number:** INV DEV 174

Investment Co-operation and Liaison — Provincial

Description: Consultation with the provinces and territories on matters pertaining to the development and promotion of investment. **Topics:** Procedures for consultation with the provinces and territories; provincial and territorial laws and regulations affecting investment; provincial and territorial industrial and economic policies and objectives. **Access:** Files arranged by province or territory. **Program Record Number:** INV DEV 170

Investment Promotion — Programs

Description: Information on promotional program activities throughout the world. **Topics:** General; promotional plans and activities in markets in Europe, U.S.A., Pacific Rim, Latin America, Middle East, as well as other areas of the world; specific projects in geographic intelligence service; financing and alliances; promoting investment in Canada's telecommunications, microelectronics and medical devices industries; R&D tax credits and interprovincial competition for investment. **Access:** Files arranged by geographic area or project. **Program Record Number:** INV DEV 200

Investment Promotion — Prospecting

Description: Information and correspondence pertaining to the promotion of investment in Canadian high-technology

sectors. **Topics:** General; associations and companies in the area of industrial materials; studies and specific projects in advanced industrial materials; biotechnology industry; environmental technology; information technology; Canadian laser based opto-electronics industry; geomatics; First Choice software, Italian plastics, Japanese subsidiaries in Canada; ocean industry; food packaging.

Access: Files arranged by association or company name, specific issue, material or project. **Program Record Number:** INV DEV 202

Investment Promotion — Specific Events

Description: Correspondence relating to the general administration of events; requests for participation by the Minister and officials of Investment Canada in conferences, seminars, trade fairs and visits of foreign delegates in which investment in Canada is a topic, including speeches by the Minister and senior Investment Canada officials.

Topics: General; calendars and special events; inventory of speakers; speaking engagements; missions and incoming visits in Canada, U.S.A., Europe, Pacific Rim; Middle East, as well as other areas of the world. **Access:** Files arranged by geographic area and subject. **Program Record Number:** INV DEV 205

Investor Services — Inquiries

Description: Correspondence, work in progress, memoranda, etc., relating to specific inquiries from Canadian, European, American, Pacific Rim and Middle Eastern companies and companies in the rest of the world. **Topics:** Canadian investment opportunities; how to do business in Canada; financial incentive programs; access to capital or transfers of technology. **Access:** Files arranged primarily by name of company and location or by topic. **Program Record Number:** INV DEV 185

Library Services

Description: Ensures that externally generated information relevant to the Department is accessible to its personnel and the general public; the Department's collection contains more than one million volumes and government documents. **Topics:** Reference/research (manual and automated); lending service (including interlibrary loans); routing and/or distribution of periodicals, documents and newspapers; on-line research service to access literature and statistical data from databases; newspaper clipping service; acquisitions of, and cataloguing support for, library materials in Canada and overseas. **Program Record Number:** EAC MCB 172

Resources Policy

Description: Information on trade relations and policies as they relate to resources industries; information on export markets and marketing strategies for these industries. **Topics:** Coal and petrochemicals, metals and minerals, industrial materials and forest products. **Program Record Number:** EAC EFB 105

Security

Description: Information on matters concerning the physical security of documents, communications and buildings, and the safety of personnel both at posts abroad and in Ottawa; personnel security; and issues affecting national security. **Topics:** Policy and coordination; reports and information; treaties and agreements; legislation and regulations; visits; arrangements; conferences and delegations; assistance to foreign missions; the Vienna Conventions; passport security; immigration security; foreign intelligence activities in Canada; vulnerability; exchange and release of information; travel by Canadian residents; threat assessments; foreign representatives in Canada; visitors; communications services; documents and information; violations; inspections; education and training; responsibility of staff; guard protection; personnel debriefing; physical, technical, equipment, security clearances. **Program Record Number:** EAC IFB 140

Summit and Francophone Affairs

Description: Information on the multilateral aspects of Canada's relations with French-speaking countries; formulation and implementation of Canadian policy; coordination of the Canadian contribution to their aid programs; establishment and coordination of Canadian participation in various meetings of an official or semi-official nature; intergovernmental conferences; international associations and private organizations.

Topics: Policy and plans; reports and statistics; treaties and agreements; orientation and development; admission and participation; attitudes of non-member countries; international conferences; secretariat; intergovernmental agencies and private associations; programs and activities; documentation and studies; aid and development; culture and information; science and technology; education and youth exchange. **Program Record Number:** EAC IFB 121

Trade Communications

Description: Information on programs and activities to promote exports and to inform the export business community about policies and programs for the development of overseas markets. **Topics:** Export information; regional and provincial programs; conferences, conventions and meetings; federal programs, Canada Export Trade Month program; evaluations, associations, organizations and institutes; promotion; media relations; private companies; government departments and agencies; communications; advertising; trade fairs. **Program Record Number:** EAC BCD 176

Trade Policy

Description: Information on international trade, customs tariffs, international trade conferences, trade promotion, policies and restrictions, trade agreements, export controls, strategic controls and embargoes, trade in services. **Topics:** Policy and plans; reports and statistics; treaties and agreements; organizations and conferences; legislation and regulations; visits; tariff negotiations; customs; low-cost imports; surplus disposal; subsidies;

anti-dumping; countervail; safeguards; quantitative restrictions; state trading; commercial relations; trade promotion; trade fairs; tourism; quarantine regulations; strategic trade controls. **Program Record Number:** EAC EFB 060

United Nations Affairs

Description: Information on the organization, structure, administration, relations and sessions of the United Nations; including the Security Council, the General Assembly, and other UN organizations such as the United Nations Educational, Scientific and Cultural Organization (UNESCO), the International Labour Organization (ILO), Food and Agriculture Organization (FAO), World Health Organization (WHO), International Civil Aviation Organization (ICAO), United Nations Centre for Human Settlements (HABITAT), United Nations Environment Program (UNEP), International Telecommunications Union (ITU), Universal Postal Union (UPU), and International Maritime Organization (IMO); information on Canada's participation in United Nations human rights, status of women, minorities and race relations, social and humanitarian activities. **Topics:** Policy and programs — UN Charter, relations with countries, specialized agencies, inter-governmental organizations, non-governmental organizations, membership; Secretariat — finance and administration, privileges and immunities, public relations, documentation, personnel; General Assembly — rules and procedures, sessions, agenda, delegations, credentials, general committee, main committees, Canadian statements; Security Council — rules of procedure, delegations, membership, reports to General Assembly; Economic and Social Council — sessions; United Nations system, including above agencies; policy; plans; programs; international, national and non-governmental human rights organizations and conferences; reports and statistics; international coordination; promotion of human rights; status of women; minorities and race relations; drugs and crime prevention; human rights violations; treaties and agreements; multilateral human rights matters and policies. **Program Record Number:** EAC IFB 115

Personal Information Banks

Access Request Data Bank

Description: This bank contains the access request forms sent by individuals requesting access to departmental records and personal information, the replies to such requests, and information related to their processing. It is used for processing access requests only, and to report on the number of requests received annually. **Class of Individuals:** Canadian citizens, landed immigrants, or others authorized by Order-in-Council who have made requests under the Access to Information Act or the Privacy Act. **Purpose:** The purpose of this bank is to store information related to requests made under the Access to Information Act and the Privacy Act. **Retention and Disposal Standards:** Records are retained for two years after completion of the request. **TBS Registration:** 000348 **Bank Number:** EAC PPU 035

Consular Affairs — Assistance to Canadians

Description: This bank contains information on Canadians resident in foreign countries registered with a Canadian mission, and Canadians who sought or received assistance from a Canadian mission who have been arrested or detained abroad, or if Consular Affairs advice or assistance has been sought to obtain visas, permits, etc. of foreign countries. The information is in the form of applications, registration cards, memoranda, correspondence, reports and telegrams. The information is received from the individuals themselves, Canadian and foreign agencies, and international organizations. **Class of Individuals:** Canadians resident in foreign countries who have registered with the nearest Canadian mission; Canadians who have sought or received assistance from Canadian missions; Canadians who have been arrested or detained abroad. **Purpose:** The information contained in this bank is used to provide consular assistance to Canadian nationals abroad. It may be used, where necessary, to contact, protect, rescue or evacuate registered Canadians and their family members. **Consistent Uses:** This information may also be used for the development of consular policy and the preparation of advice to missions abroad. **Retention and Disposal Standards:** Information on registration of nationals and completed individual consular cases may be retained on departmental files up to five years; other information may be retained up to 15 years then transferred to the National Archives of Canada. **TBS Registration:** 000343 **Bank Number:** EAC PPU 010

Consular Affairs — Citizenship

Description: This bank includes topics such as registration of births abroad, dual nationality, loss, retention, acquisition, or extension of citizenship, marriage, divorce, and other family-related aspects of citizenship; organizations and conferences; liaison with other government departments and agencies in Canada. Information is in the form of memoranda, reports, correspondence with the public and other government agencies, as well as application forms. **Class of Individuals:** Individuals who have dealt, outside Canada, with the Canadian citizenship authorities through consular services or the Department of Foreign Affairs and International Trade, or have claimed Canadian citizenship for themselves or their dependants. **Purpose:** The purpose of this bank is to retain administrative correspondence relating to the assistance rendered by consular officials to Canadians and to those claiming Canadian citizenship on their own behalf or on behalf of a dependant. **Retention and Disposal Standards:** Information may be retained on departmental files up to 10 years, then transferred to the National Archives of Canada. **TBS Registration:** 000342 **Bank Number:** EAC PPU 005

Investment — Mailing Lists

Description: Information in this bank is provided by individuals interested in receiving publications and investment information created by the former Investment Canada. This information includes individual's name, identification number, address and telephone number,

language preference and, where applicable, company name and position held. **Class of Individuals:** Business community, individual investors and students interested in receiving publications and investment information created by the former Investment Canada, other government departments and agencies and the private sector.

Purpose: The information in this bank is used to provide individuals with copies of publications requested which originate with the former Investment Canada. **Consistent Uses:** This bank is also used for bulk mailing of publications and information created by Investment Canada.

Retention and Disposal Standards: Records are retained for six months or when superseded or converted to machine operations. **PAC Number:** 86-001 **Related to PR#:** INV DEV 195 **TBS Registration:** 002768 **Bank Number:** INV PPU 030

Investment: Cases

Description: Information in this bank is provided by investors when inquiring about possible services to be provided. This information includes the name, address, telephone number, description of the investor's business activities, description of the project to be undertaken, province of interest, size of proposed investment and the name and telephone number of any intermediaries.

Class of Individuals: Business community and individual investors seeking to invest in Canada either by acquiring a Canadian business or by establishing a new business.

Purpose: The information in this bank is used to identify and assist investors with similar investment intentions.

Consistent Uses: This bank is also used for compiling statistics for use in the preparation of reports to the Minister and to assist in the preparation annual reports.

Retention and Disposal Standards: Records are retained for fifteen years from last action and are then destroyed.

PAC Number: 91-017 **Related to PR#:** INV DEV 185 **TBS Registration:** 002766 **Bank Number:** INV PPU 005

Investment: Contracts

Description: This bank contains information such as the request for service by the manager, the original contractual document and reasons for not authorizing payment if the terms of the contract have not been met. Active files were normally kept within the Financial and Administrative Services Section of Investment Canada, but further information may be contained in files of the Bureau. **Class of Individuals:** Individuals from the private sector under contract for services to the Bureau. **Purpose:** The purpose of this bank is to keep a record of all personal and professional service contracts entered into by the Bureau. The bank is used to administer contracting services, to make payments when required, to collect receivables, and to perform accounting and administrative functions. The information is also used to facilitate the selection of consultants and to carry out auditing and evaluation.

Retention and Disposal Standards: Records are retained for six fiscal years and are then destroyed. **PAC Number:** 86-001 **TBS Registration:** 002556 **Bank Number:** INV PPU 010

Passport Office — Certificates of Identity and Refugee Travel Documents

Description: This bank contains applications for certificates of identity and refugee travel documents. Individual records include the application form and an alphabetical and numerical index to facilitate file retrieval; demographic and personal characteristics which describe the applicant, his children and custody arrangements; nationality status, and immigration status in Canada. Information contained in the bank is in the form of application forms and correspondence with other federal departments and agencies. Persons seeking access to this information bank should provide their date of birth.

Class of Individuals: Individuals who are residents of Canada but not Canadian citizens and who cannot obtain passports from their countries of origin. **Purpose:** The purpose of this bank is to store completed applications for certificates of identity and refugee travel documents submitted by those residents of Canada who are not Canadian citizens and who cannot obtain passports from their countries of origin. The application forms are used to establish the identity of applicants and their entitlement to a travel document in accordance with Canadian and international conventions. **Consistent Uses:** Consistent use may include disclosure to police agencies in order to determine whether a false statement has been made which could lead to a prosecution under the Criminal Code. **Retention and Disposal Standards:** Hard copy records are retained for two years; microfilm records are retained indefinitely. **TBS Registration:** 000346 **Bank Number:** EAC PPU 025

Passport Office Control Files

Description: This bank contains information on persons whose requests for passport services might be subject to denial or restriction. The information is in the form of a master control list, reports, memoranda, correspondence, telegrams and application forms. Information is supplied by individuals applying for a passport or assistance abroad, by federal, provincial and municipal agencies and security authorities, by Foreign Affairs and International Trade missions abroad and by foreign governments and international organizations. Persons seeking access to this information bank should provide their date of birth.

Class of Individuals: Individuals who are the subject of a ministerial denial; who have fraudulently acquired Canadian citizenship; who have fraudulently acquired or misused a passport, or have lost more than one passport; who have applied on behalf of, but do not have legal custody of, a child; who have submitted fees for service in some form of NSF or fraudulent cheque; or who are wanted by Canadian law enforcement agencies.

Purpose: The purpose of this bank is to assist passport and consular officials in determining whether an individual applicant is the subject of a ministerial denial; has lost or fraudulently acquired Canadian citizenship; has fraudulently acquired or misused a passport, or lost more than one passport; applied on behalf of, but does not have legal custody of, a child; has submitted fees for service in some form of NSF or fraudulent cheque; or is wanted by

Canadian law enforcement agencies. **Consistent Uses:** Consistent use may include disclosure to police agencies in order to determine whether a false statement has been made which could lead to a prosecution under the Criminal Code. **Retention and Disposal Standards:** Records are retained in the bank up to 10 years, except for cases of special interest which are retained for an indefinite period. **TBS Registration:** 000345 **Bank Number:** EAC PPU 020

Passport Offices — Regular and Official Travel Passports

Description: This bank contains applications for regular and official travel passports. Individual records include the application form and an alphabetical and numerical index to facilitate file retrieval. The data content of each record includes demographic information and the personal characteristics of family and children, custody provisions concerning children, and address and employment information concerning the applicant's guarantor. Most of the information is supplied by the applicant. Some is received from local, provincial or federal authorities. Persons seeking access to this information bank should provide their date of birth. **Class of Individuals:** Canadian citizens and government employees who have applied for regular or official travel passports. **Purpose:** The purpose of this bank is to store completed applications for regular and official travel passports submitted by Canadian citizens and government employees travelling on official business, on their own behalf and on behalf of their dependants. Information on the application forms is used to verify the identity and citizenship of an applicant, to confirm entitlement to a Canadian passport and to enable the Consular Bureau to provide assistance where necessary to travelling Canadians. **Consistent Uses:** Consistent use may include disclosure to police agencies in order to determine whether a false statement has been made which could lead to a prosecution under the Criminal Code. **Retention and Disposal Standards:** Hard copy records are retained in the bank for 10 years; microfilm records are retained indefinitely. **TBS Registration:** 000347 **Bank Number:** EAC PPU 030

Personal Information Disclosed to Federal Investigative Bodies

Description: In accordance with subsection 8(4) of the Privacy Act, this bank has been established to retain copies of requests received from authorized federal investigative bodies for personal information pursuant to paragraph 8(2)(e) of the Privacy Act, for the purpose of enforcing a law of Canada or a province or carrying out a lawful investigation. **Class of Individuals:** Individuals about whom requests for personal information have been received pursuant to paragraph 8(2)(e) of the Privacy Act from federal investigative bodies for the purpose of enforcing a law of Canada or a province or carrying out a lawful investigation. **Purpose:** The purpose of this bank is to permit the Privacy Commissioner to review disclosures made under paragraph 8(2)(e) of the Privacy Act to federal investigative bodies for the purpose of enforcing a law of

Canada or a province or carrying out a lawful investigation. **Retention and Disposal Standards:** Records are retained for two years after completion of the request. **TBS Registration:** 000349 **Bank Number:** EAC PPU 040

Spousal Employment Data

Description: This bank contains information submitted by spouses (of employees of the Department of Foreign Affairs and International Trade) who are actively seeking employment in Canada and abroad. Information is in the form of a résumé or a completed Spousal Employment Profile form. Information includes name, address, telephone number, citizenship, education, employment experience, and career interests. Information is filed under the employee's social insurance number until it is replaced by an alternative designator. Information in this bank is in common with that in DEA/PSE 901, Employee Personnel Record. **Class of Individuals:** Spouses of Foreign Affairs employees who seek employment at home and abroad. **Purpose:** The purpose of the bank is to assist spouses of departmental employees in finding work in the Ottawa/Hull area or abroad. When job opportunities arise, a search is made to find suitably qualified candidates. **Consistent Uses:** This bank is used to provide names, telephone numbers, and employment experience to employers, on request. **Retention and Disposal Standards:** Records are retained ten years, unless updated or deleted by the person concerned. Records are deleted in the event of divorce, separation, retirement or departure of the employee from the Department. **TBS Registration:** 002506 **Bank Number:** EAC PPU 045

Classes of Personal Information

In the course of conducting the programs and activities of the Department of Foreign Affairs and International Trade, categories of personal information may be accumulated which are not contained in the specific information banks described in this entry. This information exists in a fragmented form throughout the subject files of the Department, which are described in the program records. This information is stored as part of the general subject files, where records are not normally retrieved by name of individual or other personal identifier. This form of information is retrievable only if specifics are provided concerning the subject matter, related departmental activity, the date on which the information was received by the Department and to whom it was addressed. The personal information contained in these subject files is retained for the same period of time as the related subject information and is disposed of according to the appropriate subject record schedules. The classes of personal information listed below describe particular program records which, because of their nature, are more likely to contain personal information.

International Security, Arms Control and CSCE Affairs Bureau

Personal information may be held by the bureau concerning individuals who have been involved in defence activities with NATO, NORAD, or other international defence or

arms control organizations, or in conferences or visits. Personal information might include personal biographies, tours of defence colleges, military travel and training, and nominations of Canadians for positions in international defence organizations concerned with defence or arms control. Persons seeking access should specify the subject of the enquiry, country and/or city, contacts, incident, conference/organization, visits, dates and circumstances which may have led to the establishment of a record.

Legal Affairs Bureau

The Legal Affairs Bureau handles a number of important functions including multi-lateral legal issues, peace and security law, humanitarian law, economic law, trade agreements, treaties, international law and individual legal and legal-protocol cases. Personal information held by the bureau may include enquiries from Canadian residents seeking advice about international and foreign legal systems, and claims by Canadian citizens for possible support by the Canadian government. Other personal information would relate to specific issues handled by the bureau. Persons seeking access must specify the subject of the enquiry, as well as country and/or city, legal firm, contacts, incidents, dates and circumstances which may have led to the development of a record.

Office of Protocol

The Office of Protocol is responsible for the administration of protocol-related programs of the Department and for the development of policies and procedures which direct the administration of protocol programs. Personal information in this class would pertain to individuals who have participated in, or have been the subject of protocol-related activities within programs such as accreditation and ceremonies, privileges and immunities, government hospitality, protection of foreign representatives and foreign decorations, and awards and honours to Canadians. Persons seeking access should specify the subject of the enquiry, country and/or city, contacts, incident, visits, conference, delegation, dates and circumstances which may have led to the establishment of a record.

Manuals

- Appraisals and Promotion Systems
- Export and Import Permits Act Handbook
- Finex Manual
- Foreign Service Directives
- Handbook of Import and Export Commodity Codes
- Locally Engaged Staff
- Manager's Guide
- Manual of Consular Instructions
- Manual of Correspondence and Communications
- Manual of Financial Management
- Manual of management of goods
- Manual of Materiel Management Property Management Manual

- Manual of Post Administration
- Manual of Procedures
- Manual of Protocol
- Manual of Regulations
- Manual of Security Instructions
- Notices to Exporters
- Notices to Importers
- Passport Office Administrative Procedures Manual
- Passport Office Operating Procedures Manual
- Passport Office Policy and Examining Practices Manual
- Records Classification Guide
- Security Classification Guide
- Summary of Canada's Bilateral Restraint Arrangements — Textiles and Clothing

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Information may also be obtained from the addresses listed below:

Historical Section

Corporate Communications Division
C-2, Pearson Building
(613) 992-4349
Hours 09:00 to 17:00 weekdays

Information Centre

Main Floor, Pearson Building
(613) 944-4000 or
1-800-267-8376
Hours 09:00 to 16:30 weekdays
Fax Link Interactive Fax Service (613) 944-4500

Media Relations Office

A-2, Pearson Building
(613) 995-1874
Hours 8:30 to 18:00 weekdays

Reading Room

The library of this institution has been designated as a public reading room under the Access to Information Act. The address is:

Main Floor, Lester B. Pearson Building
125 Sussex Drive
Ottawa, Ontario.
(613) 992-6150

Hours 10:00 to 17:00 weekdays

Freshwater Fish Marketing Corporation

Chapter 48

General Information

Background

The Freshwater Fish Marketing Corporation (FFMC) was established in 1969 under the authority of the Freshwater Fish Marketing Act. The Act gives the FFMC the exclusive right to interprovincial and export trade in designated products of the freshwater fisheries supplied from the three Prairie Provinces, the Northwest Territories, and part of northern Ontario. The FFMC is a federal crown corporation listed under Schedule III, Part I of the Financial Administration Act.

Responsibilities

The objectives of the Corporation are: to market fish in an orderly manner; to increase returns to fishermen; and to promote markets and export trade in fish.

The Act requires the FFMC to purchase all legally caught fish offered for sale by licensed fishermen, subject to price and terms and conditions of purchase (including quality provisions). It must conduct its operations on a self-sustaining financial basis without appropriations by Parliament.

Legislation

- Freshwater Fish Marketing Act (1969)

Organization

The FFMC is managed by an eleven member board of directors composed of a Chairman, a President, one director for each participating province/Territories and four other directors. The Chairman and the President are appointed by the Governor-in-Council. The remaining directors are appointed by the Minister of Fisheries and Oceans with the approval of the Governor-in-Council. Directors from a participating province are appointed on the recommendation of the Lieutenant Governor in Council of the participating province. The board of directors is supported and advised by an Advisory Committee composed of fifteen members appointed by the Governor-in-Council.

Information Holdings

Program Records

Fishing Statistics

Description: Case files on fish species; fish grade; catch value (weight). **Program Record Number:** FWF 005

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Audits

Budgets

Buildings

Buildings and Properties

Classification of Positions

Co-operation and Liaison

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Lands

Occupational Health, Safety and Welfare

Office Appliances

Official Languages

Pensions and Insurance

Personnel

Procurement

Salaries and Wages

Staff Relations

Training and Development

Utilities

Vehicles

Personal Information Banks

Fishermen's Records

Description: This bank contains data on purchases of fish made by the Corporation from fishermen in the areas it serves. The data include the quantity and monetary values of fish purchased from each fisherman. **Class of Individuals:** Fishermen from whom the Corporation has purchased fish. **Purpose:** This information is used for administrative and statistical purposes and as a basis for distribution of additional payments to fishermen as part of the Corporation's responsibilities. **Retention and**

Disposal Standards: Information is retained for seven years and is then destroyed. **Related to PR#:**
FWF FWF 005 **TBS Registration:** 002566 **Bank**
Number: FWF PPU 005

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Corporation may be directed to:

Freshwater Fish Marketing Corporation
1199 Plessis Road
Winnipeg, Manitoba
R2C 3L4
(204) 983-6600

Reading Room

In accordance with the Access to Information Act, an area on the premises of this institution has been designated as a public reading room. The address is:

1199 Plessis Road
Winnipeg, Manitoba

Great Lakes Pilotage Authority Canada

Chapter 49

General Information

Background

As a result of recommendations made by a Royal Commission on Pilotage in Canada, the Pilotage Act was assented to by Her Majesty and the Governor-in-Council and proclaimed in force February 1, 1972.

The Pilotage Act created four pilotage regions with specific authorities, thereby replacing a large number of local pilotage districts. The four Pilotage Authorities — Atlantic, Laurentian, Great Lakes and Pacific — are Crown corporations, responsible to Parliament through the Minister of Transport.

The Great Lakes Pilotage Authority, Limited was established and incorporated in 1972 as a subsidiary of The St. Lawrence Seaway Authority. For the purpose of the Financial Administration Act, the Authority is a Crown corporation specified in Schedule III, Part I of that Act.

Responsibilities

The role and objectives of the Authority are to establish, operate, maintain and administer, in the interest of safety, an efficient and economical pilotage service within its geographical boundaries; all waters in the Province of Quebec south of the northern entrance to St. Lambert Lock and all Canadian waters in and around the provinces of Ontario and Manitoba. (Pilotage in the international waters within the boundaries is shared with United States of America Pilot Corporations, which are under the direction of the United States Coast Guard, as agreed under a memorandum of arrangements between Canada and the United States.) Further, the Authority prescribes tariffs of pilotage charges that are to be fair, reasonable and consistent with providing revenues sufficient to permit the Authority to operate on a self-sustaining financial basis.

Legislation

- Authority By-Laws
- Canada Shipping Act, RSC, 1985, c. S-9
- General Pilotage Regulations
- Great Lakes Pilotage Regulations
- Memorandum of Arrangements between the Minister of Transport, Canada and the Secretary of Transportation, U.S.A.
- Pilotage Act, RSC, 1985, c. P-14

Organization

The Great Lakes Pilotage Authority, Limited consists of a chairman and six members appointed by the Governor in

Council. The Authority's head office is located in Cornwall, Ontario. The Eastern District operations office is located at headquarters and the Western District operations office in St. Catharines, Ontario. All management, administrative, personnel, financial contracts and purchasing services are provided by the Cornwall office. The Authority is a Crown corporation, responsible to Parliament through the Minister of Transport.

♦ Administration Branch

This Branch provides administrative and financial services as required to operate within accepted corporate business procedures.

♦ Operations Branch

This Branch provides pilotage service by assignment, and dispatches qualified pilots to ships navigating within the boundaries of the Great Lakes Pilotage Authority, Limited.

Information Holdings

Program Records

Pilotage Services

Description: Information on provision of pilotage services within the Great Lakes region. **Topics:** Dispatching records; pilotage licences; tariff charges; assignments of pilots to vessels; collective agreements; international shipping affairs. **Program Record Number:** GLP OPE 005

Tariffs

Description: Information on setting of tariffs for the provision of pilotage services; also conduct and results of negotiations on setting of tariffs in various districts throughout the region as well as results of Canadian Transport Commission hearings. **Program Record Number:** GLP OPE 010

Personal Information Banks

Accounts Payable Files

Description: The purpose of this bank is to maintain information on the payment of accounts to firms or individuals for services or products provided to the Authority. Files contain the names and addresses of firms and individuals, invoices for services rendered or products provided, detailing the amount owing and any supporting documentation to substantiate the account. Original accounts payable vouchers, together with supporting documentation, are maintained in hard copy and are filed by date of payment. The retention period for original accounts payable vouchers, together with supporting

documentation, is seven years. Access to this bank will require a name, address and the date of the account.

Bank Number: GLP PPU 020

Accounts Receivable Files

Description: The purpose of this bank is to maintain information on monies owing to the Authority. Files contain the names and addresses of firms and individuals, details of the amount owing and any supporting documentation to substantiate the account. Manual files exist to maintain hard copy documentation. The retention period is six years. Access to this bank will require a name and address. **Bank Number:** GLP PPU 015

Application for Employment File

Description: The purpose of this bank is to maintain a record of information relating to applications for employment received from the general public. This bank contains completed application forms, letters and curricula vitae of persons interested in working for the Authority. The retention period for this bank is two years. Access to this bank will require a name, address and date of birth. **Bank Number:** GLP PPU 025

Procurement

Description: The purpose of this bank is to maintain information on quotations/tenders received and the award of orders for the purchase or supply of commodities, materials and equipment. Files contain a requisition describing the items to be purchased, quotations/tenders received, an analysis of the prices received, documentation leading up to the award of an order, delivery follow-up and correspondence on price escalation. The files may be used as research for future purchases. The retention period for purchase orders and supporting documentation is five years. Access to this bank will require purchase order number and the name and address of supplier. **Bank Number:** GLP PPU 010

Register of Pilots

Description: The purpose of this bank is to retain a register of certificates and qualifications for pilots required under the Pilotage Act. This bank contains information on physical characteristics, licences, certificates and pilots' accidents and incidents. The retention period for this bank is yet to be determined. Access to this bank will require a name and address. **Bank Number:** GLP PPU 030

Service Contracts

Description: The purpose of this bank is to maintain information on the tenders and award of contracts for the

supply of such services as land transportation and water transportation. The files contain the list of prospective tenderers, the tenders submitted, an analysis of the tenders received, documentation leading to the award of the contract and the signed contract between the contractor and the Authority. The files may be referred to for future contracts. The retention period for service contracts is ten years. Access to this bank will require the contract number and description of the service. **Bank Number:** GLP PPU 005

Manuals

Administration

- Accounting Procedures
- Administrative Directives
- Pilotage Tariffs

Operations

- Collective Agreements
- Dispatching Procedures
- Pilot Licence Register
- Service Contracts
- Working Rules

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Authority and its functions may be directed to:

Great Lakes Pilotage Authority, Limited
202 Pitt Street, 2th Floor
P.O. Box 95
Cornwall, Ontario
K6H 5R9
(613) 933-2991

Reading Room

In accordance with the Access to Information Act, an area on the premises of this institution has been designated as a public reading room. The address is:

202 Pitt Street East
2nd Floor
Cornwall, Ontario

Hazardous Materials Information Review Commission

Chapter 50

General Information

Background

The Hazardous Materials Information Review Commission was established by the Hazardous Materials Information Review Act, assented to on June 30, 1987 and proclaimed on October 1, 1987. The Commission is part of the Workplace Hazardous Materials Information System (WHMIS) which requires that information about the hazards of materials produced or sold in Canada or used in Canadian workplaces be provided by suppliers to employers and, in turn, by employers to employees.

Responsibilities

The mission of the Commission is to ensure the balance between industry's right to protect confidential business information and workers' right to know about the hazardous materials they are exposed to and their corresponding preventive health and safety measures.

To achieve its mission, the Commission carries out the following mandate under the authority of the Hazardous Materials Information Review Act: it makes decisions concerning the validity of claims for exemption on the basis that the information is confidential business information; it makes decisions on the compliance of labels and material safety data sheets of products related to a claim; and it convenes independent boards to hear appeals on the Commission's decisions and orders.

Legislation

- Hazardous Materials Information Review Act Appeal Board Procedures Regulations (SOR/91-86, January 7, 1991)
- Hazardous Materials Information Review Act, S.C. 1987, c. 30 (Part III)
- Hazardous Materials Information Review Regulations (SOR/88-456, August 25, 1988; amendment: SOR/88-510, September 29, 1988; SOR/89-288, June 1, 1989; SOR/91-419, June 20, 1991; SOR/93-234, May 11, 1993)

Organization

The Commission is headed by a President, appointed by the Governor-in-Council, and is governed by a Council of Governors consisting of members nominated by representatives of the federal, provincial and territorial governments and suppliers, employers and workers. The Council is responsible for recommending, to the Minister of Health, regulatory changes to the Commission's fee structure and procedures for the screening of claims and procedures to be followed by appeal boards. The

Commission is organized around two principal activities: reviewing and making decisions on claims for exemption and administering an independent appeals process. These activities are carried out through three organizational units: The Operations Branch, which includes claims registration, application assessment, management services and communications; the Compliance Branch, which is responsible for reviewing the claims for exemption and making decisions on their validity, as well as deciding whether labels and material safety data sheets comply with WHMIS requirements; and the Appeals Branch, which is responsible for convening independent, tripartite appeal boards to hear appeals on decisions or orders of screening officers filed by claimants and affected parties, in addition to providing administrative support to appeal boards.

Information Holdings

Program Records

Accommodation and Buildings

Description: Information relating to the acquisition of accommodation. **Topics:** Purchase or rental of existing buildings; office floor plans; moving arrangements; fire prevention; utilities; requests for new accommodation. **Program Record Number:** HMI ADM 500

Acts and Legislation

Description: General information relating to the legislative process, regulatory development and review, delegation of authority, legal advice and opinions and material specific to the Hazardous Materials Information Review Act (HMIRA) and related regulations. **Topics:** Federal Regulatory Process, policies and Annual Plan; correspondence and supporting documentation related to the development and amendment of HMIRA and HMIR Regulations and HMIRA Appeal Board Procedures Regulations; correspondence concerning amendments to the Hazardous Products Act, Controlled Products Regulations, Canada Labour Code and provincial/territorial Occupational Safety and Health Acts and related legal opinions. **Program Record Number:** HMI ADM 040

Administration

Description: General subjects and information relating to administrative responsibilities of the Hazardous Materials Information Review Commission. **Topics:** Access to Information and Privacy requests and materials; communications strategies and projects; organizational charts; Memoranda of Understanding between the Commission and other federal/provincial governments; security matters, including policies relating to physical and

personnel security; translation requirements and requests for service; conferences, meetings and seminars on administrative issues, including HMIRC management meetings; Council of Governors meetings and related correspondence. **Program Record Number:** HMI ADM 100

Appeals

Description: Information concerning the activities and functions of the Appeals Branch. **Topics:** Policies and procedures for the establishment of appeal boards; lists of potential appeal board members and chairpersons, by province; hearing room accommodation; physical and personnel security requirements for hearings, enhanced reliability security checks on board members; and Branch administration and budget information. **Program Record Number:** HMI APP 010

Compliance Branch

Description: Information concerning the activities of the Compliance Branch. **Topics:** Policies and procedures related to the review of claims for exemption and decision-making criteria; Issue Resolution Sheets. **Program Record Number:** HMI COM 030

Equipment and Supplies

Description: Information relating to purchasing, procurement, planning and inventory of office equipment and supplies. **Topics:** Suppliers' information and catalogues; DSS procurement and requisition; maintenance and repair of equipment and furniture; inventory of supplies; office furniture and furnishings. **Program Record Number:** HMI ADM 550

Financial Management

Description: Information relating to all aspects of finance. **Topics:** Budgets; accounting; financial statements and reports; multi-year operational plans; directives and guidelines; contracts and contractor records; cost-recovery; revenue processing; Treasury Board submissions and decisions; travel and transportation allowances and expenses. **Program Record Number:** HMI ADM 700

Information Resource Management

Description: Information relating to the management of information. **Topics:** Library services; mail, messenger and telecommunications services; forms management; records and correspondence management; and information systems development and implementation. **Program Record Number:** HMI ADM 800

Organizations — Governmental and Non-Governmental

Description: General information relating to federal and provincial organizations and private sector groups and professional associations responsible for or involved in issues concerning occupational safety and health, chemical products manufacturing, etc. **Topics:** Organizations and associations concerned with

occupational health and safety. **Program Record Number:** HMI ADM 050

Personnel Management

Description: Information relating to the administration of personnel management activities. **Topics:** Staffing; staff relations; pay and benefits; classification of positions; person- year allotments; job descriptions; official languages; and training and development. **Program Record Number:** HMI ADM 900

Registry/Application Assessment

Description: Information concerning the activities of the Registry and Application Assessment Sections. **Topics:** Claim registration and procedures; Notice of Filing in the Canada Gazette; policies regarding the withdrawal of claims; policies and procedures relating to the review of MSDSs and labels by Health Canada; procedures for the transmittal of claims to Health Canada and receipt of advice documents; inter-departmental meetings; and general correspondence to all claimants. **Program Record Number:** HMI OPR 020

Workplace Hazardous Materials Information System (WHMIS)

Description: Information of both a general and specific nature related to WHMIS activities, at the federal and provincial/territorial level, including tripartite committees on which the Commission sits as a member. **Topics:** General WHMIS publications and instruction manuals produced by the provincial OSH agencies, federal departments or private publishing firms; Interdepartmental WHMIS Coordinating Committee; Current Issues Committee and related sub-committees; WHMIS Exclusion Review Committees; international harmonization initiatives; WHMIS contact list (provincial and federal); WHMIS Policy Issue Sheets; WHMIS Reference Manual. **Program Record Number:** HMI ADM 060

Personal Information Banks

Appeal Board Member Nominees

Description: This bank contains names, addresses, work experience, qualifications (curricula vitae), reliability check results and availability status pertaining to each nominee. Information is organized according to province, role of the member on the board (i.e. chairperson, industry or worker representative) and relevant Act under appeal (Hazardous Products Act or Canada Labour Code). **Class of Individuals:** Potential appeal board nominees, including chairpersons and industry and labour representatives, for appeals relating to the Hazardous Products Act and Canada Labour Code, as recommended by the nominating organization or provincial/federal minister. **Purpose:** This bank provides a list of potential chairpersons and board members and relevant selection criteria to facilitate their appointment to tripartite boards to hear appeals of decisions or orders of screening officers of the Commission. **Consistent Uses:** Information in the bank may be used to determine appointments to appeal

boards. **Retention and Disposal Standards:** The files on individuals are retained for two years after the termination of their nomination as potential appeal board chairperson or member. **TBS Registration:** 002882 **Bank Number:** HMI PPU 020

Requests for Access to Information and Privacy

Description: This bank contains request forms received by the Commission for access to information, including personal information, requests for corrections, answers to requests, results of consultation with other departments, and information related to their processing. **Class of Individuals:** General public. **Purpose:** The purpose of this bank is to answer requests received under the Access to Information Act and the Privacy Act, and to prepare annual reports in accordance with the provisions in these acts. **Consistent Uses:** The information may be transmitted to other departments for consultation purposes. **Retention and Disposal Standards:** The files are arranged in numerical order, by request number. The records are retained for two years. **TBS Registration:** 002880 **Bank Number:** HMI PPU 005

Manuals

- Appeals Branch Manual
- Classification and Designation Guide
- Compliance Manual and Screening Guidelines
- Quality Assurance Manual

- Registry Policy and Procedures Manual
- Security Policy Manual

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Commission and its activities may be directed to:

Hazardous Materials Information Review Commission
200 Kent Street
Suite 9000
Ottawa, Ontario
K1A 0M1
(613) 993-4331
(613) 993-4686 (Facsimile)

Reading Room

In accordance with the Access to Information Act, an area on the premises of this institution has been designated as a public reading room. The address is:

200 Kent Street
Suite 9000
Ottawa, Ontario.

Health Canada

Chapter 51

General Information

Background

The Department of Health was created in June 1993 and assumed those responsibilities of the previous Department of National Health and Welfare which focus on the health, safety and well being of the people of Canada.

Responsibilities

The Department of Health is generally responsible for all matters relating to the promotion or preservation of the health and well being of the people of Canada over which the Parliament of Canada has jurisdiction, and in particular for: 1) the administration of various Acts of Parliament (see list below); 2) investigation and research; 3) the inspection and medical care of seamen, and the provision of medical services for, and in conjunction with, the Canadian Coast Guard Service; 4) the supervision of public health aspects of railway, water and all other forms of transportation; 5) the enforcement of regulations of the International Joint Commission relating to public health; 6) the promotion and conservation of the health of public servants and other government employees; 7) the collection, publication and distribution, of information relating to public health, improved sanitation, and industrial conditions affecting the health and lives of Canadians; and 8) co-operation with provincial authorities with a view to coordinating efforts made to preserve and improve the public health of the people of Canada. More detailed information on the operations of this Department is given in the Program Records section of Info Source.

Legislation

- Aeronautics Act, Orders-in-Council concerning public service health, immigration health, Emergency Services and Civil Aviation Medicine
- An Act to amend the Auditor General Act (Bill C-83)
- Atomic Energy Control Regulations
- Broadcasting Act
- Canada Health Act
- Canada Labour Code (Part II)
- Canada Labour Code (Part IV)
- Canada Water Act
- Canadian Centre on Substance Abuse Act
- Canadian Environment Protection Act
- Canadian Environmental Assessment Act
- Canadian Environmental Protection Act
- Department of Health Act

- Emergencies Act
- Emergency Preparedness Acts (1988)
- Excise Tax Act
- Federal-Provincial Fiscal Arrangements and Federal Post-Secondary Education and Health Contributions Act, 1977
- Feeds Act
- Financial Administration Act
- Fitness and Amateur Sport Act, 1961 and associated regulations
- Food and Drugs Act and Regulations
- Hazardous Products Act and Regulations
- Immigration Act
- Indian Health Policy of 1979 and Order-in-Council of 1954 (health services to the Yukon Territory)
- Indian Health Regulations
- Narcotic Control Act and Regulations
- National Health and Welfare Act
- New Substances Notification Regulations
- Patent Act Amendment Act (C-91)
- Pest Control Products Act
- Potable Water Regulations for Common Carriers
- Public Works Health Act
- Quarantine Act and Regulations
- Queen Elizabeth II Canadian Research Fund Act
- Radiation Emitting Devices Act and Regulations
- Section 91 of the Constitution Acts, 1967 and 1982
- Tobacco Products Control Act and Regulations
- Tobacco Sales to Young Persons Act and Regulation
- Transport of Dangerous Goods Act

Organization

National Forum on Health

The Forum, chaired by the Prime Minister and vice-chaired by the Minister of Health, is comprised of 24 citizens, volunteers, professionals and consumers, who are knowledgeable about health and the health care system. The mandate of the Forum is to: inform Canadians and advise government on innovative ways to improve the health of the population; carry out a dialogue with Canadians about health and the health care system in order to ascertain their views and obtain their commitment to renewal; and identify priorities for the future and develop consensus for change.

Departmental Secretariat

The Departmental Secretariat is responsible for coordinating the management of the interface between the executive and political levels of the Department; preparing the Minister for Question Period; liaising and coordinating services between the Deputy Minister and the Regional Directors General; directing the process of ministerial correspondence; coordinating executive corporate decision-making process, plans and priorities as well as providing a focal point for major corporate initiatives.

Corporate Services Branch

Provides services to the Department in the areas of planning and financial administration, human resources, information management, internal audit and assets management.

◆ Assets Management Directorate

The role of this Directorate is to support all aspects of physical assets management, occupational health and safety, and security issues within the Department of Health. The Directorate is responsible for the provision of a wide range of business services in support of departmental programs, including those associated with material acquisition and utilization, custodial and leased property acquisition, maintenance and disposal, building and tenant services, occupational health and safety, and security. In addition, the Directorate develops and maintains policies, systems and procedures governing the management of these business services within the Department of Health.

◆ Departmental Planning and Financial Administration Directorate

The focus of this Directorate is the management of Departmental resources. Its role is to implement, interpret and administer the regulations, policies and processes associated with resource planning and utilization and financial administration across the Department. Directorate activities include the provision of direct services such as financial planning, accounting, functional and advisory assistance to managers, and financial systems support and training to all branches.

◆ Human Resources Directorate

The role of this Directorate is to support the effective management of the Department's human resources. To this end, it provides advice and a wide range of programs and services to support managers in the identification, acquisition, development, and deployment of employees. Through its programs and services, the Directorate's goal is to ensure values which promote fairness, recognize competence and encourage opportunities for growth and development. The Directorate also has a continuing and critical role in ensuring integrity and good judgement in the application of legislation and policies concerning the management of human resources.

◆ Information Management Services Directorate

The mandate of the Information Management Services Directorate (IMSD) is to provide the Department with a range of Information Management and Information Technology Services. These services include: computer network, telecommunications and computer centre operations and support; co-ordination and advisory services with respect to informatics and information management planning and development; information policy and security; Internet; the development of guidelines and standards on electronic data processing, telecommunications and office automation; records and document management and operations; and library services.

In addition to these Department-wide services, IMSD provides line management functions for regional libraries, records management, and informatics.

◆ Internal Audit Directorate

The role of Internal Audit is to ensure that the Department has an independent review of its operations, activities, systems and functions which support the delivery of departmental programs to make sure that they are conducted in an economic, efficient and effective manner. Internal Audit coverage, objectives and scope, as well as follow-up on findings, and the monitoring of the implementation of approved appropriate action is overseen by a Departmental Audit and Evaluation Committee.

◆ Office of the Senior Assistant Deputy Minister

The Office of the Senior Assistant Deputy Minister (SADM) is responsible for providing overall direction to CSB Directorates, for coordinating Branch input to departmental initiatives, and for liaising with the offices of the Deputy Minister, Assistant Deputy Ministers and Regional Directors General on a range of issues. The SADM provides guidance and advice to senior management on the management and administration of the Department.

◆ Special Projects Directorate

This Directorate provides support to the Senior Assistant Deputy Minister by undertaking special projects as required to monitor, assess and adjust Departmental programs, including Corporate Service activities. Working closely with Departmental managers and with central agencies, these projects include the development of program service measures and the monitoring and reporting of progress made relative to plans.

Health Promotion and Programs Branch

The responsibilities of the Branch are to develop, promote and support measures designed to preserve and improve the health of Canadians by encouraging and assisting them to adopt a way of life that enhances their physical, mental and well-being.

◆ Health Promotion and Programs Branch Regional Offices (HPPBROs) Atlantic, Quebec, Ontario, Manitoba / Saskatchewan, Alberta / NWT, British Columbia / Yukon)

The Regional Directors continue to report to the Assistant Deputy Minister. The six HPPBROs represent the Health Promotion and Programs Branch in the regions. They act as a source of expertise and information to community groups, NGOs and other levels of government on health promotion and seniors issues, provide information and advice to headquarters on regional issues, and deliver a variety of programs. PPBRO staff deliver grant and contribution programs at the local and provincial/territorial level on behalf of the Population Health Directorate. These include Health Promotion Contribution Program, the AIDS Community Action Program, the Community Support Program of Canada's Drug Strategy, the Community Action Program for Children, the Community Action Initiatives of the Tobacco Demand Reduction Strategy, the Canada Prenatal Nutrition Program and the Aboriginal Head Start Program. As well, "Nobody's Perfect", a parenting skills development program for disadvantaged single mothers, is delivered in conjunction with provincial/territorial governments using contracts initiated and monitored by HPPBROs. HPPBRO staff also deliver contribution programs at the local and provincial/territorial levels on behalf of the Division of Aging and Seniors (former Seniors Directorate) through New Horizons.

◆ Management Planning and Coordination Directorate

This directorate provides Branch level planning coordination and administration functions for the Health Promotion and Programs Branch and is divided into three main areas: coordination functions, administration and regional support services.

Planning and coordination functions include: correspondence, parliamentary relations, briefing notes, Treasury Board submissions, Memoranda to Cabinet, Departmental Planning, the Government planning cycle, Main Estimates and special projects.

Administration functions include: coordination of Access to Information requests, operations of the Management Services Section, informatics services, and Grants and Contributions processing.

Regional Support Services is responsible for meeting the requirements of the Regional Directors on day-to-day matters related to administration, communications, resources and liaison with the Assistant Deputy Minister.

◆ Population Health Directorate

The Directorate develops and implements programs which promote health and encourage the avoidance of health risks. Its focus encompasses health issues (family violence prevention; tobacco; alcohol; and other drug use; and AIDS), the special needs of certain population groups (families; children and youth; women; seniors).

Major program activities include, knowledge/information dissemination, program development, research, promotion of best practices, provision of policy and expert content advice, intersectoral consultation/coordination/negotiation and funding community based projects which advance health and well-being. PHD is responsible for coordination of strategies.

HIV/AIDS Prevention and Community Action Programs:

Programs provide leadership for the development of national prevention policies, strategies and initiatives to stop the transmission of HIV. The AIDS Community Action component of this Program provides national leadership in Government efforts to support community-based action against HIV/AIDS Care, Treatment and Support Program takes a multi-disciplinary approach to care, treatment and support. This Program builds and enhances capacities to respond to the changing needs of persons living with HIV/AIDS their caregivers family and friends.

The Childhood and Youth Division:

Serves as a centre of expertise, leadership and coordination within the federal government and the Department of Health for issues, activities and programs concerning children and youth. Its mandate is to monitor and improve the broad determinants of health and well-being that influence the state of childhood and youth in Canada. To achieve its mandate, the Division delivers programs in areas such as child development, children's mental health, parent support and coordinates the Community Action Program for Children, Canada Prenatal Nutrition Program and Aboriginal Head Start.

The Division of Aging and Seniors:

Provides federal leadership in areas pertaining to aging and seniors. Serves seniors and aging Canadians as a centre of expertise and catalyst for change by providing advice, information, education, research and innovative programming in partnership with other sectors. Provides operational support to the National Advisory Council on Aging, administers the New Horizons: Partners in Aging program and manages the Seniors Independence Research Program. It is understood that in executing all of its roles and responsibilities inherent to its mandate, the Division will ensure the meaningful participation of seniors in federal decisions and activities that affect them.

The Family Violence Prevention Divisions and Tobacco Reduction Division:

Develop and promote non-legislative approaches to address the health consequences of violence and of tobacco use. Through the National Clearinghouse on Family Violence it develops and distributes video and print material to frontline workers, policy makers, health care professionals and individuals.

Efforts on tobacco are focussed on preventing young Canadians from using tobacco through prevention

programs and on supporting, through cessation and education programs, those population groups who are the major users of tobacco, including adolescent girls, women, francophones and aboriginals. The Division works with employers, schools, health care professionals as well as with NGOs.

The HIV/AIDS Policy and Coordination Office (APCO):

Is responsible for providing strategic advice on the implementation of the National AIDS Strategy as a whole and ensuring effective and coordinated implementation of the Strategy.

The HIV/AIDS Policy, Coordination and Programs Division:

Groups the functions of the HIV/AIDS Policy and Coordination Office (HAPCO), the HIV/AIDS Prevention and Community Action Programs (HAPCAP) and the HIV/AIDS Care, Treatment and Support Program (HACTSP).

The Substance Abuse and Coordination Division:

Represents the focal point within the Department for prevention initiatives around alcohol & other drugs issues.

Oversees the implementation, coordination, and evaluation of Canada's Drug Strategy.

Initiatives are related to reducing the harm caused by alcohol and other drugs to individuals, families and communities. These activities include research, information dissemination, community action, prevention programs; policy development, national coordination and international cooperation.

The division also administers the national component of the Health Promotion Contribution Program (HPCP) and coordinates activities with the regional components of the program. HPCP provides time-limited funding to non-profit voluntary organizations for innovative health promotion projects that advance renewal of Canada's health system.

The division also coordinates grants and contributions and policy matters which cross the Directorate.

♦ Research and Program Policy Directorate

The Research and Program Policy Directorate (RPPD) guides and supports knowledge development and evidence-based decision-making that maintains and improves the health of Canadians. RPPD integrates Health Canada's extramural research capacity with program policy, planning, intergovernmental relations, social marketing and health promotion development functions.

The Directorate acts as a department-wide resource providing expertise through peer review of extramural research, expertise in contracts and contributions, social marketing, partnership development, and expertise in health promotion for strategic initiatives. Specializing in

the analysis and dissemination of information, the Directorate provides strategic analysis that influences both policy and program decision-making within the Health Promotion and Programs Branch.

♦ Systems for Health Directorate

The Systems for Health Directorate works with provincial and territorial governments, national non-governmental organizations including national voluntary health organizations and the academic community to ensure and improve capacity for preventive health practices, disease prevention, and healthy living.

Health Protection Branch

The purpose of HPB is to protect Canadians against current and emerging health risks. Threats to health arise from environmental or industrial hazards, communicable diseases, unsafe food, licit and illicit drugs, medical devices and other consumer products.

The Branch plays a role in ensuring the safety of food, drugs, cosmetics, chemicals, medical or radiation-emitting devices and other hazardous consumer and industrial products. HPB activities also include environmental health and disease surveillance. In assessing and managing these public health risks, the Branch: conducts regulatory field visits to food and drug manufacturing plants; conducts pre- and post-market evaluations of products; oversees the removal of unsafe products from the marketplace; negotiates agreements concerning hazardous materials in the workplace; undertakes research and provides national reference and diagnostic laboratory services; and carries out surveillance and investigation of disease outbreaks.

The Branch has offices and laboratories in Ottawa and in five regional locations. There are also twenty district offices.

♦ Drugs Programme

The Drugs Programme's mission is to assure that drugs available in Canada are safe, effective and of high quality. This is done by assessing their benefits and risks effectively, continually, and in a timely manner (benefit/risk assessment); by managing their risks appropriately (risk management); and by developing and disseminating information that encourages their optimal use (information management). The Drugs Programme also acts to reduce harm and manage risk to health associated with drugs of abuse, by supporting the investigational, evidence, and intelligence needs of drug enforcement at both the national and international levels.

The strategic objectives are to ensure that Canadians have timely access to effective medicines, are never put at undue risk by drugs available on the Canadian market, and are fully informed about the risks and benefits of those drugs. This will be done by fostering a regulatory climate in which Canadian scientists, health professionals, and industry may continue to make significant contributions to world medicine; by creating a drug regulatory

environment that facilitates the high standards of health and safety Canadians have come to expect; by ensuring that the drug regulatory system in Canada does not become a barrier to the introduction of effective medicines into the Canadian market or place Canadian industry at a competitive disadvantage in the world standards, and to ensure that they are met in Canada; by setting internationally competitive service standard in all aspects of work and by striving to meet and surpass them; by working to ensure that drugs contribute to an efficient and affordable health care system in Canada; and by providing national and international leadership in drug regulation from a science-based foundation of intelligent programming, constructive partnerships, full and open communications, and a productive organization.

The ultimate Programme results will be: timely, comprehensive, evidence-based decisions, information, and action on the safety, effectiveness, and quality of drugs manufactured and/or used in Canada and the continuous monitoring and control of both legal and illegal drugs on the Canadian market. The results will have a positive impact on Canadian society by ensuring that: Safe, effective, high quality drugs are accessible to and used appropriately by Canadians; a drug regulatory regime conducive to drug product development exists in Canada; and the availability and use of drugs subject to abuse is reduced. Ultimately, this will have the effect that Canadians will be healthier and the Canadian health care system will be more cost effective.

◆ Environmental Health Directorate

The function of the Environmental Health Directorate is to protect the public from health and safety hazards associated with chemicals in the environment, radiation, medical devices, consumer products, tobacco and hazardous materials in the workplace, and thereby improve the well-being of Canadians. To achieve this, the Directorate investigates, monitors and assesses the health risks arising from both natural and technological hazards and then provides Canadians with health and safety information. The Directorate's work revolves around main project areas involving such subjects as environmental pollutants, tobacco, electromagnetic fields (e.g. power lines), medical devices including inspection of medical device manufacturing plants, drinking water, air quality and product safety and emergency response to major nuclear accidents affecting Canada — all issues of great concern to the public.

The Directorate is responsible for the development and implementation of programs for radiation safety and medical devices under the Radiation Emitting Devices Act, the Food and Drugs Act and the Canada Labour Code. The prohibition or regulation of hazardous consumer products, and the development of mandatory labelling and material safety data sheet requirements to inform workers of the hazards associated with products used in the workplace are done under the Hazardous Products Act. The potential risks of environmental chemicals are evaluated under the Canadian Environmental

Protection Act. The Directorate is responsible for the administration of tobacco legislation. The Directorate is also responsible for coordinating the federal response to a major nuclear accident affecting Canada under the Federal Nuclear Emergency Response Plan.

◆ Food Directorate

The Food Directorate's function is to protect and improve the health and well-being of the Canadian public by defining, advising on and managing risks and benefits associated with the food supply. Areas of work include food additives, agricultural chemicals, veterinary drugs, chemical and microbiological contaminants, nutrients, novel foods and food components and food processes, such as irradiation.

The Directorate is responsible for the development of appropriate policies, procedures, regulations and guidelines directed towards the achievement of a high standard of safety and nutritional quality of foods. These responsibilities are carried out through coordinated programs of scientific research, evaluation, analytical and regulatory activities under the authority of the Food and Drugs Act and Regulations.

◆ Laboratory Centre for Disease Control Directorate

The Laboratory Centre for Disease Control (LCDC) carries out national disease surveillance, risk assessment and control of diseases of national importance and concern. This is achieved through monitoring, investigating and managing risks to the health of Canadians, and protecting the public from health risks posed by microbial, foodborne, drug-related and environmental hazards. LCDC is Canada's only national public health and disease control agency and its activities are fundamental to the protection of the health of Canadians.

Expert surveillance and investigative abilities in microbiology, epidemiology and disease prevention and control ensure significant national leadership in public health. LCDC is the hub of specifically created national networks which include more than 7,000 professionals across Canada; the first line of public health defence. These professionals include scientific staff of public health laboratories, acute care hospitals, research agencies, public health units and epidemiologists. LCDC is leading the Department in strengthening the public health intelligence network across Canada to address national and international demands including new and emerging diseases and other disease areas requiring more focus.

◆ Office of Scientific and Regulatory Affairs

The Office of Scientific and Regulatory Affairs provides support to the branch executive and to the program directorates in the areas of policy development, strategic and operational planning, informatics and information management. It establishes and refines mechanisms and processes for identifying and setting program priorities for cost recovery initiatives. The office manages common

program support services e.g. library services; implementation of revenue generation activities and service standards; and coordination of Branch Program Review Action Plan Projects.

Medical Services Branch

The objective of the Branch is to promote, improve and preserve the health of Canadian citizens whose care, by legislation or custom, is the responsibility of the Department. The clients are the Inuit and status Indians, all residents of the Yukon, federal public servants, international travellers, civil aviation personnel, and disaster victims.

◆ First Nations and Inuit Health Programs Directorate

Responsible for ensuring the provision of community health services to First Nations living on reserve and to the Inuit. This includes health and health promotion programs such as health educator, immunization, nutrition counselling, alcohol, drug and solvent abuse counselling, and dental health; and diagnostic and treatment services and dental treatment when these are not otherwise available from provinces or territories.

Also responsible for the National Longitudinal Aboriginal Survey, First Nations and Inuit component of the Brighter Futures Initiative, the Canada Drug Strategy Initiative, Tobacco Demand Reduction Strategy and the Canada Prenatal Nutrition Program.

Direct program delivery is through eight regions across the country.

◆ Health Advisory Services Directorate

Responsible for the provision of services and advice in the fields of civil aviation medicine, emergency services and provides medical advice to Human Resources Development.

◆ Non-Insured Health Benefits Directorate

Manages the Non-Insured Health Benefits which provide a limited number of health-related goods and services to Inuit, Innu and registered Indians.

◆ Occupational and Environmental Health Services Directorate

Provides environmental health services to First Nations and occupational health nursing, medicine and employee assistance services to the Public Service. In addition, OEHS provides a range of regulatory services and health services to visiting VIPs. Provides medical advice on policy aspects of the immigration program to Citizenship and Immigration.

Responsible for the provision of services and advice in the fields of civil aviation medicine, emergency services and provides medical advice to Human Resources Development.

◆ Program Policy, Transfer Secretariat and Planning Directorate

Supports the transfer of resources for community health programs to First Nations and Inuit communities south of the 60th Parallel and provides support to the transfer of health services to the Yukon Territorial Government. It also provides assistance, advice and coordination on policy issues, the Branch planning cycle, audits and evaluations, informatics and executive services.

Pest Management Regulatory Agency

To protect human health and the environment by minimizing the risks associated with pest control products, while enabling access to pest management tools, namely, these products and sustainable pest management strategies.

Policy and Consultation Branch

The Branch provides advice and support to the departmental executive and to program branches in the areas of policy development, communications, evaluations, intergovernmental affairs, information and strategic planning via research on health, well being, income and economic policy issues. Policy proposals are developed and analyzed, and recommendations are made to the Deputy Minister and the Minister.

◆ Communications Directorate

The Directorate is responsible for public awareness of the Department's objectives and programs and for development of departmental communications objectives and plans. It also provides communications advice, coordinates departmental communications activities, conferences, ministerial speeches and media relations and provides audio-visual services in support of the Department's communications responsibilities.

◆ Health Policy and Information Directorate

The Directorate initiates and coordinates research and analysis of health policy issues in support of the policy development responsibilities of the Department.

The Information Access and Coordination Division coordinates corporate activities related to the collection and dissemination of information, including the administration of the Access to Information Act and Privacy Act.

◆ Intergovernmental Affairs Directorate

Oversees the operations of: the Health Insurance Division, which administers the Canada Health Act, and the provision of advice to the Minister on matters pertaining to the interpretation of the Act; and the Federal — Provincial Relations Division, which coordinates and provides strategic advice on federal — provincial — territorial relations within Health Canada.

◆ International Affairs Directorate

The Directorate has responsibility to initiate, coordinate and monitor departmental policies and strategies and activities in the international health field.

◆ Management Services Directorate

This Directorate develops and provides management services in support of priority setting and planning, coordinates operations across the Branch, provides leadership in the management of cross-branch projects, problem solving and management of change. It also coordinates Branch input to the various Departmental corporate requirements and leads Branch management in the adoption of a culture that will integrate sound management principles and a client-based approach to the policy development process.

◆ Strategic Planning and Review Directorate

Responsible for the development and coordination of a corporate strategic planning process and for providing functional advice and support for the development of strategic planning process at the branch level.

◆ Women's Health Bureau

Coordinates, monitors and advises on, and promotes development of departmental policies and programs, to effectively address women's health needs and concerns; develops strategic plans for women's health; responsible for program selection and funding of Women's Health Centres of Excellence including proposals and agreements.

Information Holdings

Program Records

◆ Information Management Services Directorate

Departmental Library Services

Description: Library holdings in the Finance Building.

Topics: Full range of health topics. **Program Record Number:** HCan 001 025

◆ Internal Audit Directorate

Internal Audit Directorate

Description: Information on the operations of the Health Canada Internal Audit unit and audit reports on Health Canada activities, systems and functions. **Topics:** Audit policy, audit plans, audit programs and methodologies, audit findings, audit reports, Departmental Audit and Evaluation Committee. **Program Record Number:** HCan 001 005

◆ Population Health Directorate

Aboriginal Head Start Initiative

Description: Implementation of an early intervention strategy which addresses the needs of young Aboriginal children 0-6 living in urban centres and large northern communities. Projects will be locally controlled and administered by Aboriginal non-profit organizations with programs designed to meet the spiritual, emotional, intellectual and physical needs of the child. **Topics:** Culture and Language, Education, Health promotion, Nutrition, Social support programs, Parental involvement. **Program Record Number:** HCan 005 430

Canada Prenatal Nutrition Program

Description: Information on contributions to community groups and agencies to support community based programs and services for prenatal nutrition programs. **Topics:** Prenatal Nutrition, Prenatal Care, Lifestyle Counselling. **Program Record Number:** HCan 005 435

Children's Mental Health and Youth

Description: Address children's mental health issues from a prevention and early intervention perspective. The primary focus is on children from birth to six years of age whose life circumstances place them at risk for mental health problems. At risk conditions include socioeconomic, cultural, family and environmental factors. The program administers a grants and contribution program to fund initiatives that contribute to the advancement of a national children's mental health agenda. **Program Record Number:** HCan 005 440

Childhood and Youth

Description: Information on child development, parenting, national and international non-government organizations working on behalf of children and families, information on Child Development Initiative, its programs and other federal and provincial programs related to children. **Topics:** Media literacy, child abuse, discipline, active living, parent support and education, family violence, international treaties dealing with children, etc. **Program Record Number:** HCan 005 336

Community Action Program for Children

Description: Information on contributions to community groups and agencies to support community based programs and services for at risk children 0 to 6 years and their families; information and reports on evaluation of CAPC-funded projects. **Topics:** Parent training and support, child injury, child development, child health, prevention of abuse and neglect. **Program Record Number:** HCan 005 337

Division of Aging and Seniors

Description: Focal point for information and centre of expertise on federal programs, services and organizations of benefit to seniors; files on the distribution of funds under the New Horizons, Partners in Aging program and the Seniors Independence Research Program. **Topics:** Advice, education, information, policy development,

liaison and partnerships; program development; operations; aging related diseases; issues of aging and the health and well-being of seniors. **Program Record Number:** HCan 005 259

Division of Aging and Seniors — National Advisory Council on Aging

Description: Information on subjects related to the quality of life of older Canadians. **Topics:** Policy statements; reports; writings in gerontology; discussion papers; newsletter. **Program Record Number:** HCan 005 095

Family and Child Health

Description: Information on children's health and safety, parenting and projects designed to support healthy family functioning. **Topics:** Prenatal and postpartum health, breast-feeding and infant care; child development; safety; parent support and education. **Program Record Number:** HCan 005 275

Health Promotion Contribution Program

Description: The Health Promotion Contribution Program (HPCP) provides time-limited funding to non-profit, voluntary organizations for innovative health promotion projects that advance renewal of Canada's health system. Direct involvement of communities in defining their concerns and carrying out health promotion activities is a central strategy of the HPCP. **Topics:** Self-care, mutual aid and the creation of healthy environments; special health needs of groups, including women, children and youth, seniors and the disabled; and support for health promotion policy implementation. **Program Record Number:** HCan 005 330

HIV/AIDS Care, Treatment and Support Program

Description: The National AIDS Strategy undertakes activities in five main areas: support for professional and non-professional education and training; psychosocial/quality of life support and research initiatives; model program development, demonstration and evaluation; the National HIV/AIDS Treatment Information network; and the Canadian HIV Clinical Trials Network. **Program Record Number:** HCan 005 290

HIV/AIDS Policy and Coordination Office

Description: Responsible Federal centre for the coordination of AIDS initiatives. **Topics:** Information on federal initiatives under the National AIDS Strategy; records of support/liaison with the Federal/Provincial/Territorial and National Advisory Committees on AIDS; policy development and issue analysis; submissions to Parliamentary committees. **Program Record Number:** HCan 005 218

HIV/AIDS Prevention and Community Action Programs

Description: Information on sexual behaviour change research; education and prevention programs; national consultations; coordinating networks (with provinces and non-governmental organizations); and national strategies on selected populations (e.g., women, ethnocultural

groups, and aboriginal populations). **Topics:** AIDS education and prevention related to at-risk behaviours. **Program Record Number:** HCan 005 334

National Clearinghouse on Family Violence

Description: National resource centre providing information and resource materials on violence against children, women and seniors to front-line workers, policy-makers, researchers and community groups across Canada. **Topics:** all aspects of prevention, treatment, training and research related to family violence. **Program Record Number:** HCan 005 313

Office of Alcohol, Drugs, and Dependency Issues

Description: Prevention initiatives around alcohol and other drugs issues; prevention programs for target populations (women, out-of-the-mainstream youth, Aboriginal People, Seniors, Driving While Impaired Offenders) research; public awareness and information, partnerships, national coordination (with provinces, and non-governmental organizations), international cooperation and community action initiatives. **Topics:** Canada's Drug Strategy; Canada's Alcohol and Other Drugs Survey; Harm Reduction; Alcohol and Other Drugs Issues. **Program Record Number:** HCan 005 320

Tobacco

Description: Information on smoking protection, prevention and cessation research, programming and social marketing activities funded through the federal Tobacco Demand Reduction Strategy and on inter-sectoral collaboration with provinces and voluntary health agencies. **Topics:** The "Break Free" media campaign, smoking in the workplace, school smoking policies, teen smoking cessation, smoking behaviour of Canadians, and "Break-Free All Stars". **Program Record Number:** HCan 005 315

♦ Research and Program Policy Directorate

National Health Research and Development Program (NHRDP)

Description: Information (i.e. final reports, statistics and manuals) on contributions in support of health research activities. **Topics:** population health; health impact of public policies; renewal and restructuring of the health system; research on new methodologies and research on transfer and uptake of knowledge. **Program Record Number:** HCan 005 335

♦ Systems for Health Directorate

Breast Cancer

Description: Information on Canadian Breast Cancer Network; Information Exchange Pilot Projects; National Forum; National Committee for the Canadian breast cancer screening initiative; Clinical Practice guidelines for the care and treatment of breast cancer; Professional Education and Breast Cancer Strategy; and the Canadian Breast Cancer Research Initiative. **Program Record Number:** HCan 005 260

Cardiovascular Diseases

Description: Information on cholesterol; professional education; and heart health initiative. **Program Record Number:** HCan 005 265

Cardiovascular Health

Description: Implementation of the Federal-Provincial Strategy on the Prevention and Control of Cardiovascular Disease, including policy development and the promotion and support of heart health programs and demonstration projects in collaboration with provincial jurisdiction and the voluntary, professional, scientific and private sectors. **Topics:** Coalition building and management; research on prevention policy; coalition and dissemination of heart health interventions, children and youth, disadvantaged groups, social marketing, integrated approaches to CVD prevention at the community level, prevention and control of elevated blood cholesterol and high blood pressure. **Program Record Number:** HCan 005 332

Comprehensive School Health

Description: Information on a Comprehensive School Health (CSH) framework and related models and tools. **Topics:** Comprehensive School Health, Student Health Model, Health of Youth, Health Behaviours in School-Aged Children, CSH Web Site, Indicators of Health and Learning, School as a healthy setting. **Program Record Number:** HCan 005 267

Disease Prevention

Description: Information on diseases, medical conditions and research; and public health policy. **Program Record Number:** HCan 005 295

Environments for Health

Description: Information on improving natural and built environmental conditions for better health including, information on the Healthy Environment Program and the Active Living and the Environment Program. **Topics:** Built environments, natural environments, population health, air quality, water quality, transportation, urban planning, ultra-violet radiation, gardening, active living, pesticides, urban sprawl, Healthy Environment Program, Active Living and the Environment Program, recreation, housing, safe and accessible environments. **Program Record Number:** HCan 005 268

Health Human Resources

Description: Information on strategies and targets for supply and training of physicians, criteria for accessing postgraduate medical training programs in the United States, and general planning activities related to other health human resources. **Program Record Number:** HCan 005 285

Healthy Living and Environments

Description: Information on financial and technical support and services to provinces/territories, national organizations, communities and individuals to maintain and improve the health and well-being of Canadians

through improved personal health practices (active living, fitness, nutrition, healthy environments, sexual and reproductive health, mental health promotion, and work and education health promotion). **Topics:** Provincial/federal and local/regional liaison; educational institutions; national organizations; strategic plans, models and policies; research; planning and evaluation; discussion papers; target-group-specific leadership initiatives; equity and access; financial and technical support to services and programs; ParticipACTION; fitness target groups — youth, older adults, employees and persons with a disability; Campbell's Survey on Well-Being; Canadian Fitness and Lifestyle Research Institute; professional development practicum; fitness leadership; Federal — Provincial — Territorial Fitness Committee; Active Living Canada; consultations with the physical activity milieu; sexual and reproductive health; National Plan of Action for Nutrition; Workplace Health System Model; healthy environments (social, natural and built); Comprehensive School Health Model; Sexual and Reproductive Health Framework; Infertility Prevention Framework; and Mental Health Promotion. **Program Record Number:** HCan 005 420

Mental Health

Description: Information on mental health services and disorders. **Topics:** Psychology; suicide; children's mental health; family violence; mental health nursing; social work; mental diseases; hospitals, clinics, community centres; consultations with provincial governments, national voluntary agencies; national professional organizations and manuscripts (Canada's Mental Health). **Program Record Number:** HCan 005 270

Mental Health Promotion Unit

Description: Information on the concept and applications of a mental health promotion approach in a variety of health and social contexts such as: mental health, mental diseases, suicide prevention, substance use, children and youth mental health, poverty. **Program Record Number:** HCan 005 273

Nutrition

Description: In collaboration with partners, implementation of "Nutrition for Health: An Agenda for Action" which serves as a national framework to promote the nutritional health of Canadians. Information on initiatives to public and professionals to increase awareness and adoption of healthy eating practices which include eating a variety of food, eating less fat and salt and reaching and maintaining a healthy body weight. **Topics:** Canada's Guidelines for Healthy Eating; Canada's Food Guide to Healthy Eating; Focus on Preschoolers; the Vitality initiative, and preconception and prenatal nutrition guidelines. **Program Record Number:** HCan 005 310

Quality Care

Description: Information on visions for quality in health care, case studies/inventories of quality initiatives and planning approaches, in the acute care and long

term/continuing care and primary care sectors. **Program Record Number:** HCan 005 305

Sexual and Reproductive Health

Description: Information on initiatives for the public and the professional sectors, including awareness and the promotion of sexual and reproductive health, and infertility prevention. **Topics:** STDs, teen and unwanted pregnancies, delayed childbearing, infertility prevention, causal factors and effects of infertility, and sexual violence. **Program Record Number:** HCan 005 308

Voluntary Health Sector

Description: Information on the terms and conditions for the Grants to National Voluntary Health Organizations (NVHOs) Program, and selected information on strategies and evaluations related to effective operation of NVHOs. **Program Record Number:** HCan 005 311

Workplaces

Description: Information on a comprehensive Workplace Health System framework and related models. **Topics:** Workplace models; needs assessment instruments; workplace health and environment issues; the Corporate Challenge and the Corporate, Small and Farm Business Health Models; the needs of various employee populations. **Program Record Number:** HCan 005 326

♦ Drugs Programme

Biological Drugs

Description: Information on the licensing of biological drugs. **Topics:** Licensing; testing; plant inspections; submissions; plant master files. **Program Record Number:** HCan 003 145

Cosmetics

Description: Information on imports, exports, regulations and rulings as well as files on contamination, additives, preservatives, adverse reactions, sale and enquiries regarding product safety, availability and ingredients. **Topics:** Cosmetics; additives; preservatives; contamination; cosmetic notification. **Program Record Number:** HCan 003 135

Human Prescription Drugs

Description: Files on the safety, efficacy, quality and control of prescription drugs and their availability. **Topics:** Submissions and evaluations on new drugs, investigational new drugs, emergency drugs, drug identification number submissions, and health hazard evaluations. **Program Record Number:** HCan 003 130

Narcotics, Controlled and Restricted Drugs

Description: Contains information on the medical and scientific use of psychoactive drugs; misuse and abuse; monitoring of distribution; diversion of legal drugs. **Topics:** Co-operation and liaison with provincial licensing bodies and professional associations; purchase records of hospitals, licensed pharmaceutical companies, provincially registered practitioners, pharmacists; analysts and

researchers, transportation and shipment; illicit use and trafficking; hospitals and penitentiaries; military medical establishments; enforcement investigating and prosecution; United Nations Narcotic Commission; legal agents; co-operating liaison with other federal and provincial departments, foreign countries, educational institutions; prescribing of narcotics and controlled drugs by foreign-registered health professionals in Canada, nurse practitioners, podiatrists and others. **Program Record Number:** HCan 003 150

Nonprescription Drugs

Description: Information on applications for the registration of proprietary medicines. Also, a review of the safety, efficacy and quality of other over-the-counter drugs and their availability. **Topics:** Disinfectants; vitamins; minerals; advertising; labelling; drug identification numbers. **Program Record Number:** HCan 003 125

Psychoactive Drugs

Description: Information on the development of control policies regarding the social use of psychoactive drugs (cannabis), by working with other federal and provincial departments to develop legislation to minimize illegal use of psychotropic drugs. **Topics:** Cannabis, psychoactive drugs — monitoring of use and attitudes, health effects, and legislation. **Program Record Number:** HCan 003 160

Regulatory Control of Schedule C Drugs

Description: Regulatory control of Schedule C drugs (radiopharmaceuticals, radionuclide generators, kits for the preparation of radiopharmaceuticals) distributed in Canada. **Topics:** Licensing, laboratory testing, plant inspections, nuclear medicine department inspections, quality control, submissions, plant master files and research. **Program Record Number:** HCan 003 215

Suspected Adverse Drug Reactions

Description: Information volunteered in confidence on suspected adverse drug reactions and on alerting and surveillance programs. **Topics:** Suspected adverse drug reactions. **Program Record Number:** HCan 003 175

♦ Environmental Health Directorate

Chemical Hazards

Description: Information on hazards associated with chemicals and microbiological agents in the environment. The quality of the indoor and ambient environment. **Topics:** Air; drinking and recreational water; Great Lakes — St. Lawrence — Arctic; UFFI; industrial chemicals (PCBs, waste sites, dioxins); pest control products; environmental pollutants; acid rain; ozone levels. **Program Record Number:** HCan 003 190

Consumer and Clinical Radiation Hazards

Description: Files on the testing and evaluation of X-ray and non-ionizing radiation emitting devices; consumer products that emit radiation; occupational exposure and surveys. **Topics:** X-rays; non-ionizing radiation (including ultrasound, VDTs, electromagnetic fields, lasers,

ultraviolet); radiation medicine; radiobiology. **Program Record Number:** HCan 003 210

Environmental Assessment Public Registry

Description: Information on projects carried out by Health Canada and which have undergone an environmental assessment consistent with the requirements of the Canadian Environmental Assessment Act. **Topics:** Nursing Stations, Facility Transfers. **Program Record Number:** HCan 003 203

Environmental Radioactivity Hazards

Description: Information on levels of man-made and natural radioactivity in the environment, on resulting human exposures, on measurement of internal exposures to workers and segments of the general population, and on preparedness to respond to major nuclear accidents. **Topics:** Environmental radioactivity, Federal Nuclear Emergency Response Plan, National Calibration Reference Centres for Bioassay and In-Vivo Monitoring, National Radioactivity Monitoring Network, radon, uranium, Chernobyl (effects on Canada), Great Lakes, Arctic, guidelines, drinking water, waste management, environmental impact assessment. **Program Record Number:** HCan 003 205

Medical Devices

Description: Inventory of Medical Devices in the Canadian Market Place; files on the safety and effectiveness of new devices; the development of essential performance and safety standards; labelling; and correction or regulatory action in response to evidence of device failures. **Topics:** Device recalls and problems, performance and test data; class studies; standards; notification; media broadcast scripts; interactions with provincial departments and associations; advisory committees; coroners' inquests; regulations; international transactions; premarket review and clinical studies. **Program Record Number:** HCan 003 195

Occupational Radiation Hazards

Description: Information on the measurement of occupational exposure of workers to ionizing radiation and studies involving all types of ionizing and non-ionizing radiation which affect the health of the population of Canada. **Topics:** National Dose Registry. **Program Record Number:** HCan 003 200

Product Safety

Description: Information on compliance, enforcement and testing, statistical data and programs within product safety. **Topics:** Standardization control; correspondence; liaison with associations; societies; institutions; boards; councils; commissions; committees; Hazardous Products Act and Workplace Hazardous Materials Information system (WHMIS) legislation; injury data and statistics; Canadian accident injury reporting and evaluation (CAIRE); labelling; publicity projects; regulations; reports; Hazardous Products Program Review. **Program Record Number:** HCan 003 070

Tobacco

Description: Files on the development of control policies regarding tobacco products, their use, and health effects, by working co-operatively with other federal departments, provincial departments and interest parties on the development of legislation (including enforcement), practices. **Topics:** Tobacco — monitoring of use and attitudes, health effects, chemical properties, economics, sale, and legislation. **Program Record Number:** HCan 003 155

◆ Food Directorate

Chemical Safety

Description: Information on the development of legal standards to ensure food safety. This involves research evaluation of chemicals and food additives, as well as related factors in foods. **Topics:** Food additives; chemical contaminants; mycotoxins; paralytic shellfish poisoning; packaging materials; incidental additives; natural food components. **Program Record Number:** HCan 003 110

Food Regulatory, International and Inter-Agency Affairs

Description: Files on the development of regulatory policy; regulatory review and reform; liaison with industry; international and domestic food standards; import surveillance strategy and processing of regulatory amendments. **Topics:** Codex Alimentarius; food standards; food ingredients. **Program Record Number:** HCan 003 105

Microbial Hazards

Description: Files on the microbial hazards in the food supply, including the development of a methodology to determine the type and extent of microorganisms and extraneous matter in foods; the evaluation of the significance of contamination; the setting of standards for microbial quality of foods; and the promotion of voluntary compliance by manufacturers. **Topics:** Microorganisms; microbial toxins; contaminated foods; food poisoning; extraneous matter; submission; potentially hazardous foods. **Program Record Number:** HCan 003 115

Nutrition

Description: Information on the regulations respecting the nutritional qualities of foods, including research and evaluation; the voluntary compliance by manufacturers with standards; and the promotion of consumer selection and use of food that takes account of all nutritional considerations. **Topics:** Nutrients; nutritional quality of food; nutritional surveillance. **Program Record Number:** HCan 003 120

Veterinary Drugs

Description: Information on the safety and effectiveness of veterinary drugs available in Canada; the cause and treatment of animal diseases; adverse reactions; drug residues in food derived from livestock; medicated feeds requests; and authorization for research, testing and treatment. **Topics:** Veterinary medicines; chemotherapy of

animal disease; medication of livestock feeds; advertising; labelling. **Program Record Number:** HCan 003 140

♦ Laboratory Centre for Disease Control Directorate

Bureau of Surveillance and Field Epidemiology

Description: This Bureau was created in September 1995 to support Directorate, Branch and Departmental surveillance activities by monitoring the health status of Canadians and associated health determinants and to provide infrastructure support for LCDC, Branch and Departmental surveillance programs. The Bureau consists of the Director's Office and four Divisions: Field Epidemiology Training Program/Emergency Response; Data Development Division; Sentinel Health Unit Surveillance System and the Surveillance Development Division. **Topics:** The activities of the Bureau are diverse and involve both national and international data collection and information exchange. **Program Record Number:** HCan 003 108

Cancer

Description: This Bureau conducts and is developing cancer surveillance programs which address issues across the "lifecycle" of cancer ranging from the underlying causes of cancer to access to palliative care. The outcome of these programs will provide measurement tools needed to assess needs, priorities and progress in cancer prevention and control. By creating and strengthening Canadian information and intelligence on determinants of cancer, impact and trends, and population access to effective interventions for prevention and early detection and treatment. The bureau assists decision-makers in the formulation of policies for the adoption and diffusion of effective cancer prevention and control practices in Canada. The Bureau encourages and participates in multi-agency and multi-discipline activities to support actions needed to improve national cancer surveillance systems, facilitates and supports development of a clearly defined national cancer prevention and control agenda including goals, objectives and strategies, including recommendations for action based on new research/surveillance findings. **Program Record Number:** HCan 003 100

Cardio-respiratory Disease & Diabetes

Description: Through national surveillance, risk assessment, disease prevention and control, this Bureau conducts various national surveillance activities to reduce mortality, morbidity and disability due to cardiovascular disease and diabetes in Canada. Through a coordinated and concerted effort with its many public health partners, the Bureau is committed to achieve common goals and strategies for the effectiveness and efficiency of cardio-respiratory disease and diabetes prevention and control in Canada. **Program Record Number:** HCan 003 102

Chronic Disease Epidemiology

Description: This Bureau monitors and analyzes the full spectrum of Canada's vital and health statistics and publishes frequent, timely reports on patterns of disease, hospitalization, injury and death. The Bureau conducts research to investigate the occurrence and causes of injuries and major chronic diseases, using the results to provide policy makers and public health officials with scientific guidance. **Topics:** Cancer, diseases and injuries of adults and children, aging-related diseases (such as Alzheimer's) and disease control. **Program Record Number:** HCan 003 165

Communicable Disease Epidemiology

Description: This Bureau conducts national surveillance and other special studies on infectious diseases as well as outbreak investigations. The information resulting from all these activities is used by LCDC advisory committees and disseminated nationally to assist in the development and evaluation of prevention and control programs. **Topics:** Surveillance of nationally notifiable infectious diseases, outbreak investigations, sexually transmitted diseases, field epidemiology training, vaccine preventable diseases, hepatitis and special pathogens, tropical health and quarantine and HIV/AIDS. **Program Record Number:** HCan 003 166

HIV/AIDS and STDs

Description: This Bureau conducts national surveillance and research of the epidemiology and laboratory science related to HIV/AIDS & STD; directed at identification and quantification of risks, assessment of proposed prevention strategies and the evaluation of existing surveillance, prevention and control activities. Investigation of HIV and STD disease outbreaks are carried out as well as development of recommendations for their control. The bureau guarantees the quality of HIV testing in Canada, introduces new HIV testing technology and develops guidelines/recommendations for HIV & STD control in Canada through the coordination of a network of scientific experts, public health officials and advisory committees/working groups. **Program Record Number:** HCan 003 131

Infectious Diseases

Description: Surveillance for and research on the epidemiology of infectious diseases directed at identification and quantification of risks, assessment of proposed prevention strategies and evaluation of existing surveillance, prevention and control activities. Coordination of a network of scientific experts, public health officials and advisory committees/working groups to develop guidelines/recommendations for selected topics and to investigate diseases of provincial and/or national importance. **Topics:** Immunization, foodborne, waterborne and enteric diseases, nosocomial and occupational infections, respiratory diseases and bloodborne pathogens. **Program Record Number:** HCan 003 132

Microbiology

Description: This Bureau provides provincial public health laboratories and tertiary care hospitals with state-of-the-art diagnostic and investigative laboratory services related to infectious diseases. Its National Laboratories in Ottawa and National Centres located across the country form a nation-wide public health laboratory network thereby providing access to a comprehensive range of quality laboratory services for all programs organized through the World Health Organization (WHO) and the Pan American Health Organization (PAHO) provide laboratory health care and services to the international community. The Bureau is scheduled to relocate to Winnipeg in the Fall of 1997.

Topics: Enteric pathogens, tuberculosis, immunology, viral oncology, sexually transmitted diseases, and bacteriology.

Program Record Number: HCan 003 180

National HIV/AIDS Laboratories

Description: The National HIV/AIDS Laboratories for human immunodeficiency virus (HIV) and human T-cell lymphotropic viruses (HTLV-I and II) include the National Laboratory for HIV Reference Services (provides provincial laboratories with a national diagnostic and expert reference laboratory), the National Laboratory for HIV Genetics (carries out viral strain surveillance), the National Laboratory for HIV Pathogenesis (develops HIV vaccine in animal models) and the National Laboratory for Analytical Cytometry (technology development and quality control for Canadian Clinical Trials Network). **Topics:** The detection of HIV infection, particular HIV strains and the measurement of changes in the immune system related to HIV infection; studies on the pathogenesis of HIV infection and HIV vaccine development. **Program**

Record Number: HCan 003 185

Office of Special Health Initiatives

Description: This Office provides program development for new or re-emerging public health issues through developmental and initial administrative support functions.

Topics: Global health intelligence, global and regional electronic information highways, HIV/AIDS, emerging pathogens, migration health and enforcement of the Quarantine Act. **Program Record Number:** HCan 003 170

Reproductive and Child Health

Description: This Bureau, in partnership with many stakeholders (government agencies, non-governmental organizations, academic centres, international agencies) conducts national surveillance of selected child health issues including data collection, analysis and response. Response activities include information dissemination, policy development, prevention/intervention programs and targeted epidemiologic risk assessment studies. **Topics:** Reproductive, perinatal and infant health, and child injury (including maltreatment). **Program Record Number:** HCan 003 172

◆ First Nations and Inuit Health Programs Directorate

Indian and Northern Health Services

Description: Information on operations of health programs and services provided to or arranged for First Nations, Inuit and northern residents. **Topics:** Community health services, including treatment and public health activities; alcohol, drug and solvent abuse programs; dental services; environmental health and surveillance; native involvement; administration. **Program Record Number:** HCan 004 220

◆ Occupational and Environmental Health Services Directorate

Emergency Services

Description: Files on the functions of this planning and coordinating body for cases of national emergency. Central capability is achieved by interdepartmental orientation and the continual updating of the national emergency health plan; coordination with the plans of other departments is effected through Emergency Planning Canada and the Privy Council Office; coordination with provincial plans is achieved through an annual federal-provincial meeting. Ongoing training and education programs provide for implementation of changes and continuity. Co-ordinate and support First-Aid training in the N.C.R. **Topics:** Establishment, development and maintenance of national capability to provide essential health services in an emergency; establishment and maintenance of a stockpile of health supplies as part of the Department's responsibility for war planning and for assistance to provincial and municipal governments; development and operation of training courses on emergency planning and survival. Programs to ensure office procedures are followed and accounting practices are maintained. **Program Record Number:** HCan 004 255

Occupational and Environmental Health Services

Description: Information on occupational health programs in the public service, including environmental surveillance of workplaces, medical examinations of public servants and other persons, counselling and advisory services, the Employee Assistance Program and first aid and emergency treatment. **Topics:** Environmental surveillance: methods, requirements, reports of inspections carried out; medical examinations: pre-employment and periodic, examinations for superannuation purposes, techniques, requirements, special examinations; correspondence relating to individuals, including medical review board proceedings; counselling and advisory services — Employee Assistance Program: policies, training, techniques, conferences, first aid and emergency treatment. **Program Record Number:** HCan 004 225

Pest Management Regulatory Agency

Pest Control

Description: Relates to pest control programs, diseases and parasites of plants and animals, control methods for specific pests, test data. **Topics:** Policies and general information on pest control; adjuvants; hard surface antimicrobials; industrial slime; invertebrate and vertebrate pests; materials preservation; plant diseases; water bodies; weed and plant growth control; wood preservation.

Program Record Number: HCan 006 081

Pesticide Research Groups

Description: Permits to conduct research on new or registered products. **Topics:** Policies and general information on research permits and submissions.

Program Record Number: HCan 006 082

Pesticide Statistics

Description: Relates to numerical information about the number of registered pesticides, submissions, and uses. **Topics:** Policies and general information on statistics and product developments and registrations; and uses.

Program Record Number: HCan 006 083

♦ Communications Directorate

Communications Strategy and Planning

Description: Information on the Departments' policies and programs, and on issues concerning the public environment within which the Department operates.

Topics: Strategic Communications Plan; Operational Communications Plan; communications strategies; communications plans for Cabinet memoranda; media analysis reports; environmental scans. **Program Record Number:** HCan 002 087

Media and Public Relations

Description: News releases, speeches, inquiries from media and general public, production and distribution of departmental publications, conferences and special events. **Topics:** All departmental programs and activities.

Access: Most information is already in the public domain, available on request from headquarters and five regional communications offices. **Program Record Number:** HCan 002 088

♦ Health Policy and Information Directorate

Health Information

Description: Descriptive and quantitative information on Canadian and some international conditions and programs in the health sector. **Topics:** Determinants of health; health status; hospital services; medical care services; health personnel; health expenditures. **Program Record Number:** HCan 002 089

Health Policy

Description: Information on research undertaken on health issues and on analysis of proposed initiatives in the health field. **Topics:** Hospital care; medical care; cost containment; health regulations; health policy; seniors;

health financing. **Program Record Number:** HCan 002 085

Information Access and Coordination

Description: Information on support for, and coordination of, information planning, development, Access to Information and Privacy, and information collection activities. **Topics:** Information planning; national information systems development; Access to Information and Privacy; information collection; informatics coordination. **Program Record Number:** HCan 002 092

♦ Intergovernmental Affairs Directorate

Federal-Provincial-Territorial Liaison

Description: Information on the Department's interaction with other levels of government and other departments.

Topics: Federal-provincial territorial conferences of health ministers, federal-provincial conferences of Health Ministers and Deputy Ministers, intergovernmental committees and co-operation and liaison with federal and provincial government departments. **Program Record Number:** HCan 002 060

Health Insurance

Description: Information on the Canada Health Act, including the Canada Health Act Annual Report; transfer payments; and aspects of the Canadian health care system. **Topics:** Accessibility; portability; universality; comprehensiveness; public administration; extra-billing; user charges; reciprocal billing; additional benefits programs; extended health care services; and the Health Insurance Supplementary Fund. **Program Record Number:** HCan 002 259

♦ International Affairs Directorate

International Health

Description: Information on international relations by country and by organization. **Topics:** World Health Organization (WHO) and WHO fellowships; Pan-American Health Organization (PAHO); the Commonwealth; bilateral and tripartite agreements; Advisory Committee on International Health Affairs; interdepartmental relations in the international relations field; miscellaneous committees, conferences and meetings. **Access:** By country and by organization. **Program Record Number:** HCan 002 040

International Information

Description: Documentation on other countries and organizations in the fields of health; exchange of information between Canada and international organizations; personnel exchanges and visits between Canada and other countries. **Access:** By country and by organization. **Program Record Number:** HCan 002 065

♦ Women's Health Bureau

Women and Health

Description: Information on health issues of concern to women, and on environmental factors that affect their well-being. **Topics:** Mental health; drug abuse; tobacco

use; reproductive health concerns; medical services for women; women's roles; economic status of women.

Program Record Number: HCan 002 331

Women's Health Bureau

Description: Information on health issues of concern to women. **Topics:** Policies; reports; committee meetings and conferences; Centres of Excellence for Women's Health; International Women Health and Development (WHD) issues; socioeconomic determinants of health; gender and workplace health; family health; family violence; reproductive health; adolescent health; visible minority women; rural women; women and AIDS; women's health research; pensions and income security; poverty; aging; women with disabilities; work and family responsibilities; aboriginal women. **Program Record Number:** HCan 002 015

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Classification of Positions

Employment and Staffing

Human Resources

Official Languages

Pensions and Insurance

Personnel

Salaries and Wages

Staff Relations

Training and Development

Personal Information Banks

Corporate Services Branch

Personal Service Contracts

Description: This bank contains personal service contracts, invoices and records of payment for individuals under personal service contracts. It also includes job descriptions, as well as contract employee policies **Class of Individuals:** Personal information relates to individuals under personal service contract to the Fitness Division. **Purpose:** The purpose of this bank is to maintain an inventory of individuals under personal service contract, as well as records of payment. **Consistent Uses:** Use of this bank is consistent with the purpose specified in part 5. **Retention and Disposal Standards:** Records are retained six years after completion and non-renewal of contract; two years active and four years dormant. The records are then destroyed. **PAC Number:** 86-001 **TBS Registration:** 002736 **Bank Number:** HCan PPU 230

Service Contracts With Individuals

Description: This bank contains information on the background and experience of contractors, expected

results, budgetary commitments and payment methods.

Class of Individuals: Only persons under contract with the Health Programs and Services Branch and past contractors are included. **Purpose:** The bank is used in the day-to-day administration of the contracts. **Retention and Disposal Standards:** The information is retained for six years after completion and non-renewal of contract; two years active and four years dormant. At the end of this period, the files are destroyed. **PAC Number:** 86-001 **TBS Registration:** 000039 **Bank Number:** HCan PPU 085

♦ Human Resources Directorate

Complaints

Description: Language complaint files document the nature, extent and scope of the complaints investigated. **Class of Individuals:** Individuals who file complaints with the Commissioner of Official Languages and the Department. Files are number coded and are not identifiable by the names of complainants. **Purpose:** The information in these files is used to investigate conclusions made for the Commissioner of Official Languages in the performance of his or her duties pursuant to the Official Languages Act. **Consistent Uses:** In accordance with the requirements of the Official Languages Act, to reply to the Commissioner on matters which he or she considers to be of significance arising out of the investigations and audits conducted in the performance of his or her statutory mandate. **Retention and Disposal Standards:** The records are retained for two years after the date of the last documentation and are then destroyed. **PAC Number:** 86-001 **TBS Registration:** 002744 **Bank Number:** HCan PPU 280

Language Training File

Description: This bank contains personal data, aptitude and placement test results. **Class of Individuals:** Non-public servants who have gone through the language training testing process in anticipation of a non-imperative staffing action. **Purpose:** The bank exists in accordance with Section 5(b) of the Public Service Employment Act to record information relating to the person's knowledge of and ability to learn the second official language prior to language training. **Consistent Uses:** The bank provides information on language training data and on the person's proficiency in, and ability to learn the second official language. **Retention and Disposal Standards:** Records are kept for two years after the date of the last documentation are then destroyed. **PAC Number:** 86-001 **TBS Registration:** 002899 **Bank Number:** HCan PPU 275

Personnel Selection Files

Description: This bank contains requests to staff, job descriptions, statements of qualifications, official languages input forms, competition notices, application forms or résumés, selection rating guides, board reports, letters of reference, eligibility lists, all other documents used in establishing candidates' order of merit, and notification of appointment. **Class of Individuals:** Non-public servants who are being considered for

appointment to the department. **Purpose:** This bank exists in accordance with section 10 of the Public Service Employment Act to record and provide information related to any process of personnel selection with or without competition. **Consistent Uses:** This bank is used to staff positions and to provide related documentation for PSC Investigations as a result of the selection process. The information on under-represented groups is used for statistical purposes by the Public Service Commission, Treasury Board and the Department. **Retention and Disposal Standards:** Records are retained for two years after the eligibility list expires or two years after it has been used for administrative purposes, and then destroyed. **PAC Number:** 86-001 **TBS Registration:** 002743 **Bank Number:** HCan PPU 265

Second Language Evaluation (SLE) Test Requests and Results

Description: This bank contains basic personal information, second language evaluation requests and records of result forms. Individuals completing a Personal Information Request Form are asked to quote their social insurance number. **Class of Individuals:** Non-public servants who have taken the second language evaluation examination. **Purpose:** This bank exists in accordance with Sections 16 and 20(1) of the Public Service Employment Act to record second language evaluation (SLE) scores and language assessment results for the purpose of appointment. **Consistent Uses:** This bank is used to provide information on second language evaluation assessments; to provide information for general personnel management purposes; and to provide information for research and statistical purposes. For research purposes, the information may also be linked to other information banks. The bank is also used to feed the "SLE database" at the Personnel Applications Centre (PAC) SSC. That information is then available on-line and by telephone enquiry. **Retention and Disposal Standards:** Computerized records are retained for two years after they are superseded or become obsolete. Hard copy files are retained for two years. Records are then destroyed. **PAC Number:** 86-001 **TBS Registration:** 002898 **Bank Number:** HCan PPU 270

♦ Research and Program Policy Directorate

Applications for Contributions Files

Description: This bank contains a full description of the research to be undertaken as well as the curriculum vitae of each person responsible for the execution of health-related scientific activities approved under the National Health Research and Development Program (NHRDP), the assessment made by members of the Review Committee and the external reviewers and the internal evaluation by departmental officers. **Class of Individuals:** Persons involved in health research are included in this bank. **Purpose:** The purpose of the bank is to administer the review of applications for funds from the National Health Research and Development Program for research projects, conferences, and related scientific

activities and to administer the approved funds. In future, it may be used in the internal evaluation of NHRDP activities. **Consistent Uses:** The information gathered in this bank is used only for the assessment of the scientific merit of the research proposals, and the suitability of research personnel to carry out proposals submitted under the National Health Research and Development Program information is shared with the Medical Research Council. **Retention and Disposal Standards:** Records are held for one to six years. At the end of this period, the files are destroyed. Final report files are held for 50 years at the National Archives of Canada. **PAC Number:** 74-007 **TBS Registration:** 000043 **Bank Number:** HCan PPU 105

Committee Files

Description: This bank contains the curriculum vitae, education, address, employment and publications of each member of the advisory and review committees. **Class of Individuals:** Canadian health researchers, health professionals and some representatives of interest groups promoting health research are included in this bank. **Purpose:** The purpose of this bank is to record the background of each member of the advisory and review committees for the purpose of verifying, if requested, that the membership is representative of the health research community. **Consistent Uses:** The information gathered is used only for obtaining internal departmental approval of committees memberships. **Retention and Disposal Standards:** Records are held for one to five years. At the end of this period, the records are destroyed. **PAC Number:** 86-001 **TBS Registration:** 000044 **Bank Number:** HCan PPU 110

Personnel Award Application Files

Description: This bank contains the address, marital status, health-related employment history, educational background, publications, research and career plans, confidential assessments of candidates and letters of reference, and review committee members' comments on the application. **Class of Individuals:** Health researchers — Canadian or landed immigrant, university graduates or applicants for graduate studies planning to begin or continue careers in health research are included in this bank. **Purpose:** The purpose of this bank is to administer the review of applications for funds from the National Health Research and Development Program for training, career development, and career support and to administer the approved funds. In future it may be used in the internal evaluation of the awards program. **Consistent Uses:** The information gathered in this bank is used only for the assessment of candidates in carrying out their proposals under the National Health Research and Development Program (NHRDP). **Retention and Disposal Standards:** Records are held for one to six years. At the end of this period, the files are destroyed. Final report files are held for 50 years at the National Archives of Canada. **PAC Number:** 74-007, 74-007(Amend 1) **TBS Registration:** 000042 **Bank Number:** HCan PPU 100

◆ Systems for Health Directorate

Order of Canada Nominees

Description: This bank contains recommendations and biographical information, as well as related correspondence, on persons recommended as nominees for an Order of Canada Award. **Class of Individuals:** Personal information on persons in sport or recreation who are nominated for the Order of Canada Award. **Purpose:** The purpose of this bank is to maintain an inventory of recommendations on persons in sport or recreation who may be nominated for an Order of Canada Award. The inventory of unsuccessful candidates is used for reconsideration of Award nominations. **Consistent Uses:** Use of this bank is consistent with the purpose specified in part 5. **Retention and Disposal Standards:** Records are retained for three years and are then forwarded to the National Archives of Canada. **TBS Registration:** 002738 **Bank Number:** HCan PPU 240

Research Projects Funding Inventory

Description: The Directorate provides contributions to the Canadian Fitness and Lifestyle Research Institute to: generate and disseminate knowledge regarding physical activity; manage and administer the Research Contributions Program through which basic and applied research is undertaken; and to provide authoritative information for program and policy development. The file contains a record of the contribution agreement, minutes of the Institute's board meetings and detailed description of the program, approved budget and financial accounting reports. **Class of Individuals:** Personal information relates to each principal researcher and co-researcher who applies for consideration in the program. **Purpose:** The purpose of this bank is to support the administration of the Research Contribution Program and the financial accounting within Fitness Division. **Consistent Uses:** Use of this bank is consistent with the purpose specified in part 5. **Retention and Disposal Standards:** Records are retained for 3 years within the Directorate and then sent to Public Archives. **TBS Registration:** 002737 **Bank Number:** HCan PPU 235

◆ Drugs Programme

Amphetamine Control

Description: This bank contains diagnostic and other information from physicians on the perceived medical needs, for designated amphetamines. **Class of Individuals:** Only patients for whom designated amphetamines have been prescribed for non-listed conditions are included. **Purpose:** The purpose of this bank is to regulate the non-approved uses of a restricted class of drugs. The bank is part of the monitoring of the use of this class of drugs in conformity with the Food and Drugs Act and Regulations. **Consistent Uses:** Information from this bank may be released to provincial licensing authorities of the health professions. **Retention and Disposal Standards:** Practitioner files are kept two years after the death of a doctor; five years after the last correspondence or last record of registration if there is no history of drug-related

problems; and ten years after the last correspondence or last record of registration if there is a history of drug-related problems. **TBS Registration:** 002731 **Bank Number:** HCan PPU 065

Drug Investigation (Users and Distribution) Files

Description: This bank contains information on people investigated under the Narcotic Control Act and Regulations, and the Food and Drugs Act and Regulations. It consists of reports prepared by the police departments, the Department of Justice, the Department of the Solicitor General, Crown counsel and lawyers on investigations concerning persons involved in illicit drug use and distribution. It also consists of information received as a result of the monitoring of drug importation, manufacture, distribution and prescription. It includes the following personal information: name, address, date of birth, fingerprints, sex, occupation, drug involvement, and details of the investigation, arrest and the case disposition, details of drugs, things, and assets seized and their disposition. **Class of Individuals:** In addition to the requirements indicated on the Record Access Request Form, requesters must provide their full name, date of birth and place of birth. In cases where the information is deemed to be of a sensitive nature enquirers will be required to provide appropriate identifying information about themselves at the time of access to ensure the personal information relates to them and not to another person of similar name and description.

Purpose: The purpose of this bank is to ensure that the use of narcotic and controlled drugs is limited exclusively to medical and scientific purposes, to prevent diversion of those drugs to other uses and to facilitate administration of asset disposal. The information in the bank is used in making decisions in enforcing the regulations concerning the prescribing and dispensing of narcotic and controlled drugs by practitioners and pharmacists and the receipt and use of such drugs by individuals; in making decisions in connection with the disposition of drugs and things (including money, conveyances and other assets) seized under the authority of the Narcotic Control Act and Food and Drugs Act; to prepare statistics (drug use and conviction) for health planning purposes, on drug use in Canada and in the preparation of the annual report required by the United Nations Narcotic Commission. This information is not used for any administrative purpose and is not retrievable by the name of the individual. **Consistent Uses:** Information from this bank may be released to provincial licensing authorities of the health professions. **Retention and Disposal Standards:** Cannabis cases where assets or other drugs are seized are kept five years from the date of final disposition or last correspondence, whichever is later. Cannabis cases with international implications which are reported to the United Nations are kept until a final report is submitted to that organization. Such reports are kept for two years after the last action taken. Offence reports dealing with cannabis-only offenses, where no assets are seized, are kept for only two months or until the information has been extracted on a depersonalized basis and recorded for statistical

purposes. Reports are then destroyed. **TBS Registration:** 002729 **Bank Number:** HCan PPU 055

Methadone Program

Description: This bank contains the names and addresses of practitioners authorized to purchase, prescribe or dispense methadone. **Class of Individuals:** Practitioners.

Purpose: It is used to monitor those physician practices where methadone is administered, including the treatment of narcotic addicts and to develop a profile of narcotic addiction treatment in Canada. **Consistent Uses:**

Information from this bank may be released to provincial licensing authorities of the health professions. **Retention and Disposal Standards:** Practitioner files are kept two years after the death of a doctor, five years after the last correspondence or last record of registration if there is no history of drug-related problems, and ten years after the last correspondence or last record of registration if there is a history of drug-related problems. **TBS Registration:** 002730 **Bank Number:** HCan PPU 060

Practitioner and Pharmacist Files

Description: This bank contains information concerning the prescription, utilization and distribution of narcotic and controlled drugs by practitioners and pharmacists. It also contains reports prepared by Drugs Directorate inspectors and enforcement agencies concerning the misuse and abuse of drugs by health professionals, and investigations with respect to such diversion. **Class of Individuals:** Practitioners and pharmacists. **Purpose:** It is used in connection with drug control programs to ensure that narcotic and controlled drugs are used for legitimate medical purposes and are not diverted to illicit avenues.

Consistent Uses: It is also used as a basis to make decisions concerning notifications to pharmacists and licensed narcotic and controlled drug dealers not to supply narcotic and/or controlled drugs on the strength of orders issued by certain pharmacists and orders and prescriptions issued by certain practitioners. It also serves as a basis for decisions concerning prosecution action relating to health professionals. Information from this bank may be released to provincial licensing authorities of the health profession. Information may also be provided to provincial licensing authorities in the health professions.

Retention and Disposal Standards: Practitioner files are retained for two years after death; if retired or lapsed registration, for five years with no history of drug-related problems; and for ten years with a history of drug-related problems. Pharmacist files are retained for two years after death; if currently registered for five years after last correspondence if retired or lapsed registration; and for ten years after the last date of correspondence or registration. **TBS Registration:** 002728 **Bank Number:** HCan PPU 050

Purchase Records of Hospitals, Licensed Pharmaceutical Companies, and Provincially-Registered Practitioners and Pharmacists

Description: This bank contains purchase records of narcotics and controlled drugs made by licensed

pharmaceutical companies and hospitals as well as currently registered practitioners and pharmacists who are entitled to purchase and/or prescribe narcotic and controlled drugs under the "Narcotic Control Act" and "Food and Drugs Act". **Class of Individuals:** Pharmacists, physicians, dentists, veterinarians, hospitals and licensed pharmaceutical companies. **Purpose:** This bank is used to ensure that licensed narcotic and controlled drugs dealers supply narcotic and controlled drugs only to authorized persons. **Consistent Uses:** It is also used to monitor and assess purchases of narcotics and controlled drugs made by pharmacists, physicians, dentists, veterinarians, hospitals and licensed pharmaceutical companies. Information from this bank may be released to provincial licensing authorities of the health professions. **Retention and Disposal Standards:** Records on individual cases are held for two years for pharmacy sales reports and for three years for licensed dealers sales reports. **TBS Registration:** 002727 **Bank Number:** HCan PPU 045

Record of Researchers

Description: This bank provides a list of persons authorized to use, and who are using narcotic, controlled and restricted drugs in research work. **Class of Individuals:** It is primarily concerned with scientists and medical and paramedical professionals. **Purpose:** The bank is used to ensure that persons using narcotic, controlled and restricted drugs for research purposes have been authorized to do so. **Retention and Disposal Standards:** Records on individual cases are held for ten years. **TBS Registration:** 002726 **Bank Number:** HCan PPU 040

Service Contracts

Description: This bank includes contract details with individuals and institutions, financial data related to contracts, and certificates of satisfactory performance. **Class of Individuals:** Only persons under contract to the Health Protection Branch are included. **Purpose:** This bank is used primarily to control commitment of funds and to ensure that payments are made in accordance with contract terms. The information is used to monitor contracts of personal service and to make decisions regarding timing and amount of payments in relation to the contract terms and satisfactory performance. **Consistent Uses:** Use of this bank is consistent with the purpose specified in section 5. **Retention and Disposal Standards:** The information is retained for six years after completion and non-renewal of contract; two years active and four years dormant. At the end of this period the files are destroyed. **PAC Number:** 86-001 **TBS Registration:** 002732 **Bank Number:** HCan PPU 070

♦ Environmental Health Directorate

Canadian Accident Injury Reporting and Evaluation

Description: This bank includes the chart number, institution, age of victim, admittance date, number of days hospitalized, injury nature and cause, place of occurrence, disposition and product involved. Formerly CCA PPU 025.

Class of Individuals: General public. **Purpose:** The bank was established to assist in setting of priorities and is used for internal and external data retrievals and regular publications. **Retention and Disposal Standards:** Records are retained for a minimum of two years. **TBS Registration:** 002110 **Bank Number:** HCan PPU 025

Case-Control Study on Passive Smoking and Lung Cancer

Description: This bank contains demographic information, and information on exposure to passive smoking, familial history of cancer, personal history of respiratory illness, occupational history, diet, environmental exposures, residential history, and radon exposure for about 735 non-smoking lung cancer cases and about 735 controls, across Canada. This bank also contains demographic information on personal smoking history, and a brief occupational history for about 38,000 smoking lung cancer cases across Canada. **Class of Individuals:** Individuals diagnosed with primary lung cancer between January 1, 1995 to December 31, 1996 (approx.) Controls matched to non-smoking lung cancer cases. **Purpose:** To examine the relationship between exposure to environmental tobacco smoke and the incidence of lung cancer, adjusting for the effects of other potential risk factors including occupation, diet, and environmental radon. To estimate incidence of smoking among lung cancer cases in Canada. **Consistent Uses:** None **Retention and Disposal Standards:** Information will be retained indefinitely. **TBS Registration:** 003662 **Bank Number:** HCan PPU 038

Child Acute Respiratory Effects Study

Description: This bank contains information on the respiratory illnesses and pulmonary function measures and the ages of 100 females aged 7 to 15 years attending a girl guide camp (Camp Kiawa) in July and August of 1986. Data on parental smoking habits, education and other home characteristics were collected. Allergy test and heart rate monitoring results are also recorded. **Class of Individuals:** Girls aged 7 to 15 years who attended Camp Kiawa from July 1 to August 10, 1986. **Purpose:** The purpose of this bank is to examine the relationship between transported air pollution and respiratory health in children. **Consistent Uses:** There will be no disclosure of any personal information from this data bank. Only summary statistics and conclusions drawn from the study will be publicly disclosed. There will be no linking and matching of information in this data bank with any other information or data bank presently existing. **Retention and Disposal Standards:** Information will never be destroyed. **TBS Registration:** 001822 **Bank Number:** HCan PPU 036

Fish & Wildlife Nutrition Project in Areas of Concern

Description: This bank contains information about Ontario anglers and consumers of aquatic wildlife in Areas of Concern. These groups may not be licensed or may otherwise be outside the mainstream due to language or ethnicity. Information includes name, address, telephone

number, gender, marital status, country of birth, fish and aquatic wildlife consumption patterns, household income and language spoken in the home. **Class of Individuals:** Ontario anglers and consumers of fish and aquatic wildlife in selected geographic locations... **Purpose:** The purpose of this bank is to conduct research into fish and aquatic wildlife consumption patterns among high risk Ontario anglers and consumers... **Consistent Uses:** Information may be used to contact these individuals in follow-up surveys. **Retention and Disposal Standards:** Records are retained for 10 years after the last contact. **Related to PR#:** HCan 003 190 **TBS Registration:** 003677 **Bank Number:** HCan PPU 079

Health Effects of Molds in Homes

Description: This bank contains information collected since 1991 about adults and children resident in approximately 500 dwellings in Wallaceburg, Ontario, including name, address, telephone number, age, sex, general health data of the child, blood and mucous samples, audio recordings of nocturnal coughing, dust, mold and mildew samples and general description of the home itself. **Class of Individuals:** Residents of selected Wallaceburg, Ontario households who agree to participate in the study. **Purpose:** To conduct research into indoor air quality and molds and their impact on childhood illness. **Consistent Uses:** Non-personal information will be shared with Canada Mortgage and Housing Corporation, co-sponsors of the study. Information may be used to contact these individuals in follow-up surveys. **Retention and Disposal Standards:** Records are retained for 100 years after collection. **TBS Registration:** 003889 **Bank Number:** HCan PPU 083

National Dose Registry for Occupational Exposures

Description: This bank currently includes information on occupational exposures to radiation. Records are kept on an individual basis and include, in addition to personal identifying information, cumulative radiation exposures and a record-by-record account of the entries into the Registry. Exposure records are inputted from the National Dosimetry Service, organizations that have their own radiation monitoring programs, and some commercial dosimetry processors. Persons requesting records should include their SIN on their request form. **Class of Individuals:** Occupationally-exposed radiation workers. **Purpose:** To keep records for individuals whose occupational radiation exposure has been monitored. The records are used for epidemiological and statistical studies, informational purposes, and in support of the regulatory control of occupational radiation exposures. **Consistent Uses:** The Registry is designed and used primarily for epidemiological and informational purposes. It is also used to advise the individual, his or her employer and the appropriate regulatory authorities when the record shows that the maximum permissible dose has been exceeded or is about to be exceeded, as described in relevant provincial Acts, the Canada Labour Safety Code or Treasury Board recommendations for federal employees, and the Atomic Energy Control Act. Information is

supplied to federal and provincial authorities responsible for the control of occupational radiation exposure and may be used in subsequent litigation relating to matters of non-compliance by industry. Upon request, it is also supplied to the Workers' Compensation Board in relation to a compensation claim. **Retention and Disposal Standards:** Kept for 80 years. **PAC Number:** 72-004 **Related to PR#:** HWC EHD 200 **TBS Registration:** 000038 **Bank Number:** HCan PPU 080

St. Lawrence Vision 2000 Health Components Project

Description: Contains information about persons tested or interviewed pursuant to a number of research projects in the Health Component of the St. Lawrence Vision 2000 program. May include name, address, marital status, sex, language, date of birth, education, income and employment data, food and nutritional profiles, immigration data, health data, alcohol and cigarette consumption, reproductive and fertility histories, and certain very specific recreational activities. Samples may include hair, blood, urine, semen and placental tissue. **Class of Individuals:** Only contains information about persons residing in the immediate vicinity of the St. Lawrence River in Quebec who have been interviewed by investigators. **Purpose:** To conduct epidemiological research; to assess health risks associated with certain behaviours and environmental agents; to contribute to future health promotion activities. **Consistent Uses:** None. There may be longitudinal surveys of some respondents. **Retention and Disposal Standards:** Personal information and identifiable samples will be retained from five to ten years, then destroyed. Non-personal information will be retained indefinitely. Some information gathered by clinics or hospitals may be retained on the individual's medical file with that institution. **TBS Registration:** 003890 **Bank Number:** HCan PPU 084

♦ Laboratory Centre for Disease Control Directorate

Canadian Childhood Surveillance and Control Program

Description: This bank contains information about Canadian children under age 20 years diagnosed with cancer from 1995 on. Data will be collected via questionnaire and clinical chart review. Information includes name, address, telephone number of the patient and the parent or legal guardian and the gender, health insurance number and biological specimens of the child. **Class of Individuals:** Canadian children aged 20 or less at time of diagnosis with cancer as identified via pediatric oncology centres or via provincial cancer registries, and who agree to participate in study. **Purpose:** To conduct research into childhood cancer to facilitate development of prevention and control strategies, and to assess risk factors and to minimize long-term consequences. **Consistent Uses:** None. Information may be used to contact these individuals in follow-up surveys. **Retention and Disposal Standards:** Records are retained for 100 years after collection. **Related to PR#:** HCan 003 165 **TBS Registration:** 003787 **Bank Number:** HCan PPU 082

Canadian Communicable Disease Surveillance System (CCDSS)

Description: This bank contains information provided by provincial health departments relating to socio-demographic characteristics of the patients, diagnostic information and treatment history. **Class of Individuals:** the information relates to individuals with a diagnosed occurrence of a notifiable communicable disease. **Purpose:** The purpose of this bank is to provide a database to monitor the incidence of notifiable communicable diseases for use in epidemiological studies in support of disease control and prevention measures. **Retention and Disposal Standards:** The files are retained for 100 years. **TBS Registration:** 003150 **Bank Number:** HCan PPU 078

Canadian Hospitals Injury Reporting and Prevention Program

Description: This bank contains information provided by injured persons and by the hospitals at which they were seen. The following personal information is in the bank: the hospital name, injured person's medical record number, date of birth, sex and postal code and the first three letters of the individual's family name. In addition there is information on the circumstances in which the injury occurred, the nature of the injury and how the case was handled in the emergency room. **Class of Individuals:** The information relates to individuals who seek care for injuries at one of the 10 pediatric and 3 general hospitals that participate in the program. **Purpose:** The purpose of this bank is to provide an ongoing surveillance of the circumstances in which injuries occur and, by making the information available to those who develop and evaluate injury prevention programs, to make an important contribution to reducing the number and severity of injuries in Canada. **Consistent Uses:** A postal code conversion program developed at Statistics Canada will permit linkage of CHIRPP data with grouped census data and analysis of the associations of sociodemographic factors with injuries. **Retention and Disposal Standards:** The files are retained for 100 years. **TBS Registration:** 003452 **Bank Number:** HCan PPU 066

Great Lakes Health Effects Cohort Study

Description: This bank contains basic personal information, fish consumption patterns, household income, current employment information (kind of work, exposures in the workplace), and medical history data on a sample of Ontario Sport Fish Licence holders (1988) and their families. **Class of Individuals:** Phase I: 1988 Ontario Sport Fish Licence holders (approximately 1,000,000). Phase II: A sub-sample of Phase I, plus adult and child members of their household (approximately 100,000 records). Phase III: Further data on a sub-sample of Phase II. **Purpose:** The data were collected for research and statistical purposes as part of a study designed to investigate the adverse health effects of Great Lakes water pollution as bio-concentrated by fish. **Consistent Uses:** The data collected were intended to be used to determine the risk of cancer, adverse reproductive outcomes (for example, birth defects) and

mortality from certain causes associated with consumption of fish caught in the Great Lakes Basin. The individuals identified in the data bank may be followed up over time by linkage to cancer incidence, congenital anomaly or mortality registries. However do to budgetary and other constraints this may not be done. **Retention and Disposal Standards:** Information is retained for 30 years. **TBS Registration:** 002789 **Bank Number:** HCan PPU 076

Ontario Farm Family Health Study

Description: Information collected from 2000 farm families, including name, address, race, colour, religion, age, sex and country of birth. **Class of Individuals:** Ontario Farm Families. **Purpose:** Information is collected for use in a study designed to assess potential exposures on the farm. **Consistent Uses:** Research and Statistical Purposes. **Retention and Disposal Standards:** To be determined. **Related to PR#:** HC HPB 165 **TBS Registration:** 002953 **Bank Number:** HCan PPU 037

Pesticide Biological Monitoring Pilot Study

Description: Contains the name, address, socio-economic status, racial origin, religion, sex, country of birth, sample date, sample type (urine, semen, etc.), farm characteristics, chronic disease and accident histories of farm families participating in this study. **Class of Individuals:** Ontario farm families selected from the previous Ontario Farm Family Health Study (Personal Information Bank HCan-PPU-037 refers). **Purpose:** Obtain measures of pesticide levels and to assess effects on general health and male reproductive health, obtain data on chronic diseases and injuries; assess other risks to health. **Consistent Uses:** Epidemiological research only. In some instances, study information may be released to participant's family physicians with consent. **Retention and Disposal Standards:** Records will be retained indefinitely. **Related to PR#:** HCan 003 190 **TBS Registration:** 003847 **Bank Number:** HCan PPU 035

Student Lung Health Survey

Description: This bank contains information provided by students (ages 5 to 19) and their parents/guardians. The following personal information is in the bank: the parent's name, postal code, education, income, the student's name, date of birth, and sex. In addition, there is information on the student's lung health and the factors that may affect it. **Class of Individuals:** The information relates to students (and their parent/guardian) attending selected schools within the jurisdiction of nine volunteer public health units. The public health units are part of the Sentinel Health Unit Surveillance System (SHUSS). **Purpose:** The purpose of this bank is to collect enhanced surveillance data on current asthmatic and asthma-like students. Information will be collected on asthma prevalence, incidence, severity, clinical treatment, patience compliance, environmental control measures, asthma education, risk factors, health care utilization, and demographic and socioeconomic factors. This is needed for the planing and implementation of effective asthma control programs/measures in Canada. **Consistent Uses:**

Respondents may be contacted in the future to provide other health related information. This information may be shared with other programs within Health Canada. The public health units will retain the original questionnaires and data for their own health services delivery. **Retention and Disposal Standards:** The files are retained for 100 years. **Related to PR#:** HCan 003 165 **TBS Registration:** 003671 **Bank Number:** HCan PPU 081

The Canadian Congenital Anomalies Surveillance System (CCASS)

Description: The bank contains information on incidence of birth defects in Canada. Participating provinces provide machine-readable information including the date of birth, sex, nature of birth defects, municipality of residence and a provincial registration number. The system was initiated in 1966. **Class of Individuals:** All infants born in participating provinces and diagnosed as having a birth defect in the first year of life since 1973. **Purpose:** The purpose of this data bank is to provide a database to monitor the incidence of birth defects in Canada and to serve as a register for epidemiological research studies. **Consistent Uses:** Use of this bank is consistent with the purpose specified in section 5. **Retention and Disposal Standards:** To date no individual records have been discarded. It is expected records will be retained for 100 years from birth date. Requests for information which might identify individual persons are directed to the province in question where original documents are retained. **TBS Registration:** 002733 **Bank Number:** HCan PPU 075

♦ Office of Scientific and Regulatory Affairs

Extraordinary Assistance Plan

Description: Contains applications, correspondence, medical records of applicants who became infected with the AIDS virus (HIV) who received blood or blood products: and to living thalidomide victims who were born in Canada and whose mothers took thalidomide during the first trimester of pregnancy. **Class of Individuals:** Individuals or agents of their estates who have applied for benefits. **Purpose:** To determine eligibility and administer financial benefits to HIV — infected persons and thalidomide victims. **Consistent Uses:** Information contained in these files is used to determine eligibility for receipt of financial benefits only. **Retention and Disposal Standards:** The HIV — files are retained for 20 years and the thalidomide files for 100 years. **TBS Registration:** 003663 **Bank Number:** HCan PPU 039

♦ First Nations and Inuit Health Programs Directorate

Bursary and Scholarship Application Files

Description: This bank contains the name, address, age, marital status, career plans, annual income, telephone numbers, educational background, letters of reference of applicants. **Class of Individuals:** Aboriginal students planning to begin or continue careers in health sciences. **Purpose:** To administer the review of applications for

funds from the Indian and Inuit Health Careers Program for academic training and to administer the approved funds. **Consistent Uses:** The information gathered in this bank is used only for the assessment of candidates by the Selection Committee. **Retention and Disposal Standards:** Retention for a minimum of two years after students have completed their studies. Files are then destroyed. **TBS Registration:** 003451 **Bank Number:** HCan PPU 006

Methylmercury Levels in Canadian First Nations and Inuit Peoples

Description: Contains the name, band number, family number, sex, birth date, region, community, sample date, sample type (blood, hair, etc.), contaminant type and level, school achievement and teacher's evaluation, necrologic test, pregnancy. **Class of Individuals:** First Nations/Inuit peoples residing in communities, especially fish eaters, women of childbearing age, school children and fishing guides. The testing is done on voluntary basis. **Purpose:** Monitoring of Mercury levels in First Nations/Inuit people to assess exposure. **Consistent Uses:** Information may be shared with native communities and N.W.T. public health authorities, in regard to their residents only, for the administration of their environmental health programs. The provinces do not have access to the data file. Within the Canadian Federal Government, access to the data file is limited to the Research and Development, Environmental Contaminants Division of the Medical Services Branch of Health Canada. **Retention and Disposal Standards:** Records will be retained indefinitely. **Related to PR#:** HCan 004 220 **TBS Registration:** 003672 **Bank Number:** HCan PPU 021

Ontario Regional Health Information System

Description: This bank contains information about Status and non-Status First Nation residents of MSB Ontario Region who access health services on-reserve or at MSB health facilities off-reserve. Information includes name, address, gender, marital status, date of birth, Band registration number, resident status, Ontario health card number, immunization status as well as data pertaining to reportable diseases, mortality, medication, medication allergy/adverse reaction, test/exam and public health education. Information is maintained in a highly secure limited access computer system. **Class of Individuals:** Status and non-Status First Nation people living on-reserve, and those who live off-reserve but access health services on-reserve. **Purpose:** The purpose of this bank is to standardize and increase the reliability of health information, facilitate case management, and improve program planning and service delivery capabilities. **Consistent Uses:** Information is used for service delivery, reporting and information requirements, and program planning, evaluation, research and disease surveillance. Where permitted by legislation, information may be exchanged with the Public Health Branch of Ontario Ministry of Health for compilation of statistics and the Department of Indian Affairs and Northern Development for status verification. **Retention and Disposal**

Standards: Records are retained for 25 years after the last service contact and/or 10 years after the death of the client. **Related to PR#:** HCan 004 220 **TBS Registration:** 003675 **Bank Number:** HCan PPU 007

◆ Non-Insured Health Benefits Directorate

Health Information and Claims Processing System

Description: This bank contains information recorded manually by regional offices and electronically in the Health Information Claims Processing System about registered Indians, Innu and recognized Inuit eligible for non-insured health benefits, including name, address, date of birth and registration numbers, information relating to payment claims and payments for non-insured health benefits including dental services, drugs, medical supplies and medical equipment; and information relating to prescriber, practitioner, provider and prescription details. **Class of Individuals:** Registered Indians, Innu and recognized Inuit currently enrolled in a provincial or territorial health insurance plan and who continue to meet residency requirements for provincial/ territorial medicare coverage. **Purpose:** The purpose of this bank is to maintain a record of all individuals eligible to receive non-insured health benefits; to facilitate client access to benefits to which they may be entitled; to facilitate claims processing and payment process; and to provide statistical information such as benefit utilization, and to ensure utilization is in compliance with program directives. **Consistent Uses:** Information is used internally for program management purposes including research, statistics, planning and evaluation; information is collected by the contractor who administers the claims system for audit purposes; information may also be exchanged with goods and services providers to verify eligibility; information may be exchanged with provincially-registered practitioners, pharmacists, and their respective health professional licensing bodies, and with the Bureau of Drug Surveillance, Health Protection Branch, to ensure compliance with program management policies on medical necessity. **Retention and Disposal Standards:** Records will be retained for 25 years after the last payment. **TBS Registration:** 003219 **Bank Number:** HCan PPU 016

Medical Services Branch Status Verification System

Description: This bank contains information about registered Indians, Innu and recognized Inuit eligible for non-insured health benefits, including name, address, sex, marital status, date of birth, registration numbers, residence status, health care number (where available) and eligibility for non-insured health benefits. **Class of Individuals:** Registered Indians, Innu and recognized Inuit currently enrolled in a provincial or territorial health insurance plan and who continue to meet residency requirements for provincial/territorial medicare coverage. **Purpose:** The purpose of this bank is to maintain a record of all individuals who are or have been eligible to receive non-insured health benefits; to facilitate client access to benefits to which they may be entitled and to facilitate claims processing and payment process.

Consistent Uses: Information is used internally for program management purposes including research, statistics, planning and evaluation; information may be provided to the contractor administering the claims system for payment and audit purposes; information may also be exchanged with goods and services providers to verify eligibility; information may be exchanged with provincial health facilities, DIAND, provincial medical insurance plans, and provincial or municipal welfare agencies to verify eligibility and compile statistics.

Retention and Disposal Standards: Records will be maintained in accordance with relevant DIAND policies and procedures and other sources. **TBS Registration:** 003220 **Bank Number:** HCan PPU 017

◆ Occupational and Environmental Health Services Directorate

Technical Support Files

Description: This bank contains environmental health surveillance reports, as well as results of tests performed on biological samples (blood, hair, urine) of individuals. Persons seeking access to this bank must supply their full name, the date the sample was submitted and the community where tested. Natives should provide their Band Number. **Class of Individuals:** Exposed Native populations and federal government employees.

Purpose: This bank is used to monitor the health of individuals exposed to a wide variety of environmental and occupational hazards such as dust, mercury, PCB's, arsenic and lead. **Consistent Uses:** Information may be used internally for program management purposes and for purposes of research, planning, evaluation, statistics and for internal audit purposes. Information may also be shared with provincial/territorial departments of health.

Retention and Disposal Standards: Records are retained for ten years. Upon expiry of the retention period, some of these records will be preserved by the National Archives of Canada for archival purposes and the remainder destroyed. **Related to PR#:** HWC MSB 225

TBS Registration: 002722 **Bank Number:** HCan PPU 005

◆ Program Policy, Transfer Secretariat and Planning Directorate

Federal Hospital Files

Description: This bank contains some or all of the following types of information: Admission and separation records, medical history, laboratory tests and reports, diagnostic services reports, requisitions, doctor's orders, nursing notes, counselling notes, accounting statements, x-rays, operating room reports, pharmacy, social services and other patient and treatment and services records. The records are accessible through the hospital administrator or superintendent. Persons seeking access to this bank must supply their full name, date of birth and location of the record sought. **Class of Individuals:** Status Indians, Inuit and residents of the Yukon. **Purpose:** The purpose of this bank is to maintain records for departmentally operated hospitals for inpatients and outpatients.

Consistent Uses: Information may be used internally for

program management purposes and for purposes of research, planning, evaluation, statistics and for internal audit purposes. Information may also be exchanged with provincial health facilities, provincial and private medical insurance plans, and provincial and municipal health agencies. **Retention and Disposal Standards:** Records are retained for 25 years after the last entry in the file.

Upon expiry of the retention period, some of these records will then be preserved by the National Archives of Canada for archival purposes and the remainder destroyed. **TBS Registration:** 002724 **Bank Number:** HCan PPU 015

Federal Nursing Stations and Health Centres Files

Description: This bank contains treatment and preventative nursing services records. **Class of Individuals:** Status Indians, Inuit and residents of the Yukon. **Purpose:** The purpose of this bank is to maintain records for departmentally operated nursing stations and health centres files. **Consistent Uses:** Information may be used internally for program management purposes and for purposes of research, planning, evaluation, statistics and for internal audit purposes. Information may also be exchanged with provincial health facilities, provincial and private medical insurance plans, and provincial and municipal health agencies. **Retention and Disposal Standards:** Records are retained for 25 years after the last entry in the file. Upon expiry of the retention period, some of these records will then be preserved by the National Archives of Canada for archival purposes and the remainder destroyed. **TBS Registration:** 002725 **Bank Number:** HCan PPU 020

Policy and Consultation Branch

Conference and Forum Nominees

Description: Contains recommendations, applications, references, curriculum vitae and/or financial claims of persons applying or selected to participate in Health Canada organized conferences and fora where registration is limited or selective. **Class of Individuals:** Persons who have applied for or been nominated to participate in Health Canada organized conferences or fora, including those who are selected. Does not include persons who attend conferences or fora where registration and selection are unlimited or by lottery. **Purpose:** To evaluate and select delegates to conferences and fora from applications received on the basis of criteria established by the organizing program area; to process any travel claims or honorariums for selected delegates; to maintain an inventory of interested persons. **Consistent Uses:** None. **Retention and Disposal Standards:** Information about unsuccessful applicants will be retained for two years after the date of the event, and information about successful applicants will be retained for ten years after the last administrative action. **TBS Registration:** 003887 **Bank Number:** HCan PPU 265

♦ Communications Directorate

The Canada Volunteer Award

Description: This bank was established in 1979 for the administration of the review of nominations for the Canada Volunteer Award. It contains a nomination form and a statement about the volunteer services rendered by, and the education, training, occupation and work experience of, each nominee for this award, together with the names, addresses, telephone numbers and signatures of the sponsors of each nominee. **Class of Individuals:** Only persons nominated for this award are included.

Purpose: The information is used for the annual conferral of this award and for the preparation of press releases about the recipients. **Consistent Uses:** The forms and statements are used only by the members of the National Selection Committee and the staff of the Awards Secretariat of Health Canada. **Retention and Disposal**

Standards: These records are kept for three years for successful candidates and two years for unsuccessful nominees. A sample of these records is retained by National Archives. **TBS Registration:** 002740 **Bank Number:** HCan PPU 250

♦ Health Policy and Information Directorate

Request for Information Pursuant to 8(2)(e) of the Privacy Act

Description: This bank records all requests submitted to the Department pursuant to paragraph 8(2)(e) of the Privacy Act by an investigative body specified in the regulations to the Act for the purpose of enforcing any law of Canada or a province or carrying out a lawful investigation. **Class of Individuals:** The information relates to those individuals who are being investigated by an investigative body specified in the regulations of the Privacy Act. **Purpose:** This information serves to record such requests and disclosures pursuant to paragraph 8(2)(e) of the Privacy Act. **Retention and Disposal Standards:** Requests submitted to the Department by an investigative body are retained for two years as specified in paragraph 7(a) of the Privacy regulations and then destroyed. **PAC Number:** 86-001 **TBS Registration:** 002741 **Bank Number:** HCan PPU 255

Requests for Information Submitted under the Provisions of the Access to Information and the Privacy Acts

Description: This bank records all requests by applicants for information under the control of the Department pursuant to the Access to Information Act and the Privacy Act. **Class of Individuals:** The information relates to those individuals who have a right to request information pursuant to section 4 of the Access Act and subsection 12(1) of the Privacy Act. **Class of Individuals:** The information relates to those individuals who have a right to request information pursuant to section 4 of the Access Act and subsection 12(1) of the Privacy Act. **Purpose:** This information is compiled for the purposes of the administration of the Access to Information Act and the Privacy Act. **Consistent Uses:** None. **Retention and**

Disposal Standards: The records are retained for a minimum of two years then destroyed. **PAC Number:** 86-001 **TBS Registration:** 002742 **Bank Number:** HCan PPU 260

♦ International Affairs Directorate

World Health Organization Fellowship Program (WHO)

Description: This bank contains information on only successful applicants for World Health Organization (WHO) fellowships, either Canadian health professionals undertaking short-term studies abroad or foreign health professionals coming to study in Canada. Fields of studies are environmental health, health care systems, or in medical, para-medical or other health related fields. Contents include the curriculum vitae of each professional, educational and employment history, study programs and reports prepared by Canadian fellows.

Class of Individuals: Successful applicants for the WHO fellowships. **Purpose:** To monitor the progress of each fellow during the course of his or her program and advise WHO on the degree of success achieved by foreign fellows in Canada and to disseminate reports prepared by Canadian fellows to various governmental and non-governmental associations on request. The names of the candidates, the nature and the amount of fellowship may be published. **Consistent Uses:** Information is shared with Canadian or foreign universities, hospitals, professional organizations or health departments. **Retention and Disposal Standards:** Records on Canadian applications are held for four years and then sent to National Archives of Canada, while records on foreign applications are held for three years, and are then destroyed. **TBS Registration:** 002739 **Bank Number:** HCan PPU 245

Manuals

- 50-60 Bed Emergency Hospital
- A Guide to Green Government
- Acceptable methods
- Additional Provincial/Territorial Services Information Exchange
- Administrative Guidelines
- Advanced Treatment Centre
- Advisory Committee on Institutional and Medical Services (A.C.I.M.S.) Hospital Claims Manual
- Analytical Methods and Techniques for Colours in Foods
- Analytical Methods for Pesticide Residues in Foods
- Analytical Methods for the Regulatory Analysis of Foods
- B.F.M.M. Financial Coding Manual
- Bacteriology of Tuberculosis
- Because They're Young: Active Living for Canadian Children
- Blood Collection and Blood Component Manufacturing
- Blood Donor Pack
- Blood Shadow Depot

- Branch Directives
- Canadian Drug Identification Code
- Canadian Environmental Protection Act Human Health Risk Assessment for Priority Substances
- Casualty Collecting Unit
- Casualty Simulation
- Chemistry and Manufacturing: New Drugs
- Clinical Guidelines for Medical Services Personnel
- Code of Practice — General Principles of Food Hygiene for Use by the Food Industry in Canada
- Coding Manual
- Community Health Nursing (Saskatchewan region)
- Compendium of Analytical Methods — Vol. 1 — Official Methods of Microbiological Analysis for Foods
- Compendium of Analytical Methods — Vol. 2 — HPB Methods of Microbiological Analysis for Foods
- Compendium of Analytical Methods — Vol. 3 — Laboratory Procedures of Microbiological Analysis for Foods
- Compendium of Analytical Methods — Vol. 4 — Detection of Extraneous Matter in Foods
- Completing an Application for Registration as a Proprietary Medicine
- Conduct and Analysis of Bioavailability and Bioequivalence Studies — Part "A"
- Conduct of Clinical Investigations
- Consumer Drug Advertising
- Course Manual — Emergency Health/Social Services Planning (Community)
- Course Manual — Hospital Emergency Planning
- Course Manual — Special Care Facility Emergency Planning
- Departmental Security Manual of Health Canada
- Design Guidelines — Part 1, Space and Furnishings
- DFS Procedures
- Diagnostic Reference Testing of Selected Viruses
- Diagnostic x-ray equipment compliance and facility survey
- Direction for Use of Estrogen-Progestin Combination Oral Contraceptives (OCs)
- Disinfectants: Preparation of Application for Drug Identification Number
- Dose — Response Information To Support Drug Registration (ICH)
- Drug Distribution Manual
- Emergency Blood Services
- Emergency Clothing Manual
- Emergency Food Services Manual
- Emergency Hospital (Operating Manuals)
- Emergency Lodging Manual
- Emergency Planning Manual for Special Care Facilities
- Emergency War Surgery (NATO)
- EMS Self-Assessment Guide
- Family Violence in Canada: A Call to Action
- Family Violence Initiative Fact Sheet on Health and Welfare's Role
- Federal Family Violence Initiative — Information on Project Funding by Health and Welfare Canada
- Financial Management Manual (volumes 1 to 3)
- Fitness Directorate Contribution Program Administrative Guidelines for 1995-96
- Food, Drug, Cosmetic and Medical Device Projects to Be Conducted During Program Year
- Foreign Service Directives
- Framework for Research on Active Living
- General principles for labelling and advertising claims that relate to the nutrition recommendations
- Glossary of Terms
- Good Manufacturing Practices, 3rd Edition
- Guide for the labelling of drugs for veterinary use
- Guide for the Preparation of Plant Master Files and Imported Drug Submissions
- Guide to Federal Services for Senior Citizens
- Guide to Immunization for Canadians
- Guide to the Preparation of a Submission Pursuant to Part V of the Medical Devices Regulations — 84-EHD-107
- Guidelines for Developing a Pesticide Toxicology DataBase
- Guidelines for developing pesticide residues data in foods as consumed
- Guidelines for Evaluation of Safety and Efficacy of Antimastitis Intramammary Infusion Drugs
- Guidelines for Evaluation of Safety and Efficacy of Teat Dip Formulations
- Guidelines for health information programs involving the sale of foods
- Guidelines for incidental additive submissions
- Guidelines for Infection Control
- Guidelines for Safe Use of Ultrasound: Part II — Industrial and Commercial Applications -Safety Code 24
- Guidelines for Submissions under Section 17 of the Canadian Environmental Protection Act
- Guidelines for the Development of Efficacy Data to Support the Use of Animal Drugs in Feeds
- Guidelines for the nomenclature and classification of externally visible defects in metal containers of canned foods
- Guidelines for the Notification and Testing of New Substances: Chemicals and Polymers

- Guidelines for the Preparation of Toxicity and Residue Submissions for the Human Safety of Veterinary Products Intended for Use in Food Producing Animals
- Guidelines for the Production, Distribution, Retailing and Use of Refrigerated Prepackaged Foods with Extended Shelf Life
- Guidelines for the Safe Use of Ultrasound Part I: Medical and Paramedical Applications — Safety Code 23
- Guidelines for Working with the Private Sector
- Guidelines on nutrition labelling
- Hazardous Products Act Reference Manuals
- Health Promotion Contributions Program Guide for Applicants
- Health Protection and Drug Laws
- Homeopathic Preparations: Application for Drug Identification Numbers
- Hospital Disaster Supplies
- Human Immunodeficiency Virus (HIV) and Acquired Immune Deficiency Syndrome (AIDS)
- Inspection of Biologics Manufacturers
- Inspection Procedures for Food Plant Inspectors
- Labelling of Cosmetics
- Labelling of Drugs for Human Use
- Laboratory Biosafety Guidelines
- Laboratory Guidelines for Serotyping and Biotyping *Campylobacters*
- Laboratory Methods for *Neisseria Gonorrhoea*
- Laboratory Methods for the Diagnosis of Legionnaire's Disease
- Laboratory Quality Assurance Standards
- Limits of Exposure to Radiofrequency Fields at Frequencies from 10 kHz — 300 GHz — Safety Code 6
- Management of Emergency Delivery
- Manufacture and Testing of Biologics Production by Recombinant DNA Technology
- Menopause
- Minister's Steering Committee on Active Living Report
- Moving Through the Years: A Blueprint for Action
- National Alcohol and Drug Treatment Centres — Design 2 costs guidelines
- National Enforcement Reports (Prosecutions, Recalls, Imported Products Unacceptable for Sale in Canada, Seizures forfeited)
- National Health Research and Development Program Career Awards Guide
- National Health Research and Development Program Projects Guide
- National Health Research and Development Program Training Awards Guide
- Native Alcohol Abuse Program (Manitoba region)
- NIHB PROGRAM DIRECTIVES
- Nurses' Drug Classification System
- Nursing Manual — a reference book for Indian Health Nurses (Atlantic, Manitoba, and Saskatchewan regions)
- Occupational Health Assessment Guide
- Occupational Health Nursing Manual
- Ontario Region — Procedures for Conducting Compliance Activities
- Oral Contraceptives (1994)
- Organization Chart Manual
- Patient Care in Flight
- Personal Services: Psychosocial Planning for Disasters
- Plasmid Biology and Recombinant DNA Methodology
- Preparation of Human New Drug Submissions
- Preparation of Investigational New Drug Submissions
- Preparation of Veterinary New Drug Submissions
- Product Master Files
- Product Monographs
- Product Recall Procedures
- Program Guidelines
- Protocols for Identification of *Neisseria* Species
- Public Service Health Manual for Environmental Health Officers
- Radiation Protection in Computed Tomography Installations — Safety Code 31
- Radiation Protection in Dentistry — Recommended Safety Procedures for Use of Dental X-Ray Equipment — Safety Code 30
- Radiation Protection In Mammography — Safety Code 33
- Radiation Protection in Veterinary Medicine — Recommended Safety Procedures for Installation and Use of Veterinary X-Ray Equipment — Safety Code 28
- Radiation Safety Codes
- Radiation Safety for Baggage X-Ray Inspection Systems
- Reference Guide of Funding Sources for Health Research in Canada
- Regional Interim Directives Manual (Manitoba region)
- Registration and Inquiry Manual
- Regulatory and Quarantine
- Requirement for the Safe Use of Baggage X-Ray Inspection Systems — Safety Code 29
- Requirements for Industrial X-ray Equipment Use and Installation — Safety Code 27
- Resources Catalogue (Quebec region)
- Safety Requirements and Guidance for Analytical X-Ray Equipment — Safety Code 32
- Sanitation and Environmental Health Manual for CHR's

- School Health Curriculum
- Seniors Independence Program Guide for Applicants
- Ship's Nurse Manual
- South Zone Field Administrative Manual (Manitoba Region)
- Stability Testing of new Drug Substances and Products (ICH)
- Staphylococcal Phage Typing of Staphylococcal Microorganisms
- Stress Management Manual
- Studies in Support of Special Populations: Geriatrics (ICH)
- SVS Guide
- Terms and Conditions for Grants to National Voluntary Health and Social Service Organizations
- The Lot by Lot Testing and Release Programme
- Toxicological Evaluation
- Traditional Herbal Medicines
- Training Manuals (Course material) — Workplanning & Budgetting — Travel — Lotus Notes Basic Training
- Transfer Payment Procedures Manual
- Tuberculosis Manual
- Viral, Rickettsial, Chlamydial, Mycoplasma Reagents
- Working Actively Together: Canada's Blueprint Toward Active Living in the Workplace
- X-ray equipment in medical diagnostic part a: recommended safety procedures for installation and use — Safety Code 20A

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Enquiries concerning the various programs and activities of the Department, as well as Program Records and Personal Information Banks, should be addressed to the appropriate responsibility centres.

General departmental and branch information is provided by the Communications Directorate in Ottawa. Contact may be made in person, by telephone or by writing to the Communications Directorate, Health Canada. The Communications Directorate publishes a Directory of Publications, available from headquarters. The Directory is also available in school libraries.

HPB — Ottawa

Food Directorate
Director, Food Regulatory
International & Inter-agency Affairs Division
Food Directorate, HPB
Health Canada
Ottawa, Ontario
K1A 0L2

Director, Office of Tobacco Control
Environmental Health Directorate
Health Canada
Jeanne Mance Building
Tunney's Pasture
Ottawa, Ontario
K1A 0K9

Chief, Disease Surveillance and Risk Assessment
Laboratory Centre for Disease Control
Health Protection Branch
Health Canada
Ottawa, Ontario
K1A 0L2

Assistant Director
Bureau of Drug Surveillance
Health Canada
Room 306, Jackson Building
122 Bank Street
Ottawa, Ontario
K1A 1B9

Director, Bureau of Chemical Hazards
Environmental Health Directorate
Health Canada
Ottawa, Ontario
K1A 0L2

Director, Product Safety Bureau
Environmental Health Directorate
Health Canada
Place du Portage, 17th Floor
50 Victoria Street
Hull, Québec
K1A 0C9

Director, Medical Devices Bureau
Environmental Health Directorate
Health Canada
Tunney's Pasture
Ottawa, Ontario
K1A 0L2

Director, Radiation Protection Bureau
Environmental Health Directorate
Health Canada
775 Brookfield Road
Ottawa, Ontario
K1A 1C1

Chief, Biostatistics and Computer Applications Division
 Environmental Health Directorate
 Health Protection Branch
 Health Canada
 Tunney's Pasture
 Ottawa, Ontario
 K1A 0L2

HPPB — Ottawa

Health Promotion and Programs Branch
 (Including information on the Health Research Programs)
 Director
 Management Planning and Coordination Directorate
 Health Promotion and Programs Branch
 Health Canada
 Ottawa, Ontario
 K1A 1B4

MSB — Alberta Region

Indian Health Services
 Medical Services Branch
 Health Canada
 Suite 730
 Canada Place
 9700 Jasper Avenue
 Edmonton, Alberta
 T5J 4C3

MSB — Atlantic Region

Occupational and Environmental
 Health Services
 Medical Services Branch
 Health Canada
 Suite 402, 1557 Hollis Street
 Halifax, Nova Scotia
 B3J 3V4

Indian & Inuit Health Services
 Medical Services Branch
 Health Canada
 Suite 634, Ralston Building
 6th floor
 1557 Hollis Street
 Halifax, Nova Scotia
 B3J 1V6

MSB — Manitoba Region

Indian Health Services
 Medical Services Branch
 Health Canada
 Room 500, 303 Main Street
 Winnipeg, Manitoba
 R3C 0H4

MSB — Ontario Region

Occupational and Environmental
 Health Services
 Bonaventure Building
 4002A1
 Medical Services Branch
 Health Canada
 Ottawa, Ontario
 K1A 0L3

Indian Health Services
 Emerald Plaza
 6103A
 Medical Services Branch
 Health Canada
 Nepean, Ontario
 K1A 0L3

MSB — Ottawa

Jeanne Mance Building
 1910-D
 Executive Services
 Medical Services Branch Secretariat
 Health Canada
 Tunney's Pasture
 Ottawa, Ontario
 K1A 0L3

MSB — Pacific Region

Occupational and Environmental
 Health Services
 Medical Services Branch
 Health Canada
 Suite 515, Federal Building
 757 West Hastings Street
 Vancouver, British Columbia
 V6C 1A1

Indian Health Services
 Medical Services Branch
 Health Canada
 Suite 540, Federal Building
 757 West Hastings Street
 Vancouver, British Columbia
 V6C 3E6

MSB — Prairie Region

Occupational and Environmental
 Health Services
 Medical Services Branch
 Health Canada
 264, 9777 — 102 Avenue
 Edmonton, Alberta
 T5J 4G9

MSB — Quebec Region

Occupational and Environmental
Health Services
Medical Services Branch
Health Canada
Room 202, 2nd Floor
East Tower, Guy Favreau Complex
200 René Lévesque Blvd., West
Montreal, Quebec
H2Z 1X4

Indian Health Services
Medical Services Branch
Health Canada
Room 202, 2nd Floor
East Tower, Guy Favreau Complex
200 René-Lévesque Blvd. West
Montreal, Quebec
H2Z 1X4

MSB — Saskatchewan Region

Indian Health Services
Medical Services Branch
Health Canada
1911 Broad Street
Regina, Saskatchewan
S4P 1Y1

MSB — Yukon Region

Indian and Northern Health Services
Yukon Region
Medical Services Branch
Health Canada
Yukon Manor, No. 2 Hospital Road
Whitehorse, Yukon Territory
Y1A 3H8

National Forum on Health

Marie E. Fortier
Executive Director
National Forum on Health
P.O. Box 2798, 4th Floor
200 Kent Street
Ottawa, Ontario
K1P 6H4

Ottawa

Communications Directorate
Brooke Claxton Building, 12th Floor
Tunney's Pasture
Ottawa, Ontario
K1A 0K9
(613) 957-2991

Human Resources Directorate
Corporate Services Branch
Room 259, Jeanne Mance Building
Tunney's Pasture
Ottawa, Ontario
K1A 0K9

PMRA — Ottawa

Pest Management Regulatory Agency
Ottawa, Ontario
K1A 0Y9
tel: (613) 952-5330 ext 4488
fax: (613) 998-13112
toll free 1-800-267-6315

RDG — Atlantic Region

Mr. Don Ferguson
Regional Director General
Suite 634
1557 Hollis Street
Halifax, Nova Scotia
B3J 3V4

RDG — Atlantic Region — Human Resources

Human Resources
1557 Hollis Street
Ralston Building
Suite 700
Halifax, Nova Scotia
B3J 3V4

RDG — Ontario Region

Mr. Bill Pascal
Regional Director General
4th Floor
25 St Clair Avenue East
Toronto, Ontario
M4T 1M2

RDG — Ontario Region — Human Resources

Human Resources
200 Town Centre Court
Suite 1131
Scarborough, Ontario
M1P 4X8

RDG — Pacific/Western Region

Mr. Garth Corrigan
A/Regional Director General
Room 710 — Canada Place
9700 Jasper Avenue
Edmonton, Alberta
T5J 4C3

RDG — Pacific/Western Region — Human Resources

Human Resources
1911 Broad Street,
Regina, Saskatchewan
S4P 1Y1

Human Resources
757 West Hastings Street
Suite 540
Vancouver, British Columbia
V6E 3E6

Human Resources
303 Main Street
Room 101
Winnipeg, Manitoba
R3C 3G7

Human Resources
9700 Jasper Avenue
Suite 705
Edmonton, Alberta
T5J 4C3

RDG — Quebec Region

Ms. Lucie Myre
Quebec Regional Director General
Guy Favreau Complex
Suite 202 — Tour Est
200 boul. René Lévesque ouest
Montreal, Quebec
H2Z 1X4

RDG — Quebec Region — Human Resources

Human Resources
Guy Favreau Complex
East Tower, 2nd Floor
200 René Lévesque Blvd West
Montréal, Québec
H2Z 1X4

Tunney's Pasture, Ottawa

Access to Information and Privacy Centre
Brooke Claxton Building
Room 941C
(613) 954-8744

Reading Room

In accordance with the Access to Information Act, the departmental libraries have been designated as public reading rooms. They are located at Tunney's Pasture, Ottawa.

Tunney's Pasture, Ottawa

The Laboratory Centre for Disease Control Library
Health Protection Branch
Laboratory Centre for Disease Control Building
(613) 957-1362

The Environmental Health Library
Health Protection Branch
Environmental Health Centre
(613) 957-1725

The Banting Research Centre Library
Health Protection Branch
Sir Frederick G. Banting Research Centre
(613) 957-1028

Health Canada Departmental Library
Finance Building
(613) 957-1546

Historic Sites and Monuments Board of Canada

Chapter 52

General Information

Background

The Historic Sites and Monuments Board of Canada grew out of the interplay of disparate elements of public opinion concerned with heritage preservation and government policy before the First World War. A growing heritage movement encouraged the government to preserve and develop sites with important historical associations. At the same time, the government was looking to extend its national parks system from the west into the east and the idea of creating historic parks around significant historic structures was conceived. The War delayed the introduction of a government program to identify and preserve Canadian historical heritage; however, in 1919, James B. Harkin, the Commissioner of Dominion Parks, suggested that "An Advisory Board for Historic Site Preservation" be established, and the Historic Sites and Monuments Board of Canada was born.

The Board was given a statutory base for its operations through the Historic Sites and Monuments Act of 1953.

Responsibilities

The Historic Sites and Monuments Board of Canada has the statutory responsibility to advise the Minister of Canadian Heritage and, through him or her, Parks Canada on the commemoration of nationally significant aspects of Canada's past, including the designation of national historic sites. When forwarding a positive recommendation to the Minister, the Board will also advise with respect to an appropriate level of Program involvement with the subject of commemoration — that is, by the erection of a bilingual or trilingual plaque, by entering into a cost-sharing agreement with a third party in order to preserve or interpret a site, or, more rarely, when resources are of exceptional quality or rarity and associated with themes of particular significance, by acquisition and development as major a national historic site.

The Board also advises the Minister on the designation of heritage railway stations and other matters relating to the implementation of the Heritage Railway Stations Protection Act.

Normally, the Board meets in plenary two times a year to consider submissions from the general public, heritage organizations, provincial and municipal governments, and others regarding matters of possible national significance. The various committees which it has established to expedite its work, such as the Cultural Communities Committee, the Built Environment Committee, the Inscriptions Committee and the Heritage Railway Stations Committee, meet as required.

Legislation

The following statutes provide for the operation of the Historic Sites and Monuments Board of Canada:

- Heritage Railway Stations Protection Act
- Historic Sites and Monuments Act

Organization

At full strength the Historic Sites and Monuments Board of Canada has 16 members: two members from the provinces of Ontario and Quebec and one member from each of the other provinces and the two territories. The National Archivist and a representative of Canada's National Museums also sit on the Board.

Provincial and territorial members of the Board are appointed by Order in Council (normally for three or five years). They must be resident in the province or territory that they represent, serve on a part-time basis, and are eligible for reappointment. The Chairperson is appointed by the Governor-in-Council from among the members of the Board.

Located in Hull, Quebec the Historic Sites and Monuments Board of Canada Secretariat, in the National Historic Sites Directorate of Parks Canada, provides administrative support to the Board.

Information Holdings

Program Records

Historic Sites Minutes

Description: Information relating to the deliberations and the activities of the Historic Sites and Monuments Board of Canada. **Topics:** Board Minutes — the record of the Board's deliberations and those of its Committees since its inception; Board policies, criteria and operational guidelines; research papers and studies — prepared to assist the Board in its deliberations; narrative agendas; heritage railway station reports, heritage character statements for heritage railway stations; plaque inscriptions; correspondence relating to current Board submissions; correspondence relating to Board meetings and agendas and general correspondence. **Program Record Number:** HSM HSM 005

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Board and its activities may be directed to:

Secretariat
Historic Sites and Monuments Board of Canada
5th Floor
25 Eddy Street
Hull, Quebec
K1A 0M5

Reading Room

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Human Resources Development Canada

Chapter 53

General Information

Background

This department was created in November 1993 and consists of various components from Employment and Immigration, Health and Welfare, Labour, Secretary of State and literacy programs from the Department of Multiculturalism and Citizenship, with ten regions corresponding to the ten provinces. The Department of Human Resources Development was established by Bill C-11, The Department of Human Resources Development Act, which came into force on July 12, 1996.

Responsibilities

The Department's broad objectives are: helping Canadians prepare for, find and keep work, thereby promoting economic growth and adjustment; assisting Canadians in their efforts to provide security for themselves and their families, thereby preventing or reducing poverty among Canadians; and promoting a fair, safe healthy, stable, cooperative and productive work environment that contribute to the social and economic well-being of all Canadians. The Department is responsible for labour market adjustment, national labour-related activities, employment training and employment insurance programs, post-secondary education payments, student loans, social development programs, income security and the national literacy program.

Legislation

- Canada Assistance Plan Act
- Canada Labour Code — Part I (Industrial Relations) — Part II (Occupational Safety and Health) — Part III (Labour Standards)
- Canada Pension Plan — Parts II and III
- Canada Student Financial Assistance Act
- Canada Student Loans Act
- Canadian Centre for Occupational Health and Safety Act
- Corporations and Labour Unions Returns Act (Section 16)
- Corrections and Conditional Release Regulations (Part I — Compensation for Death or Disability)
- Department of Human Resources Development Act
- Employment Equity Act
- Employment Insurance Act
- Fair Wages and Hours of Labour Act
- Family Orders and Agreements Enforcement Assistance Act (Part I)

- Federal-Provincial Fiscal Arrangements Act
- Government Annuities Act
- Government Annuities Improvement Act
- Government Employee Compensation Act
- Hudson Bay Mining and Smelting Co., Limited Act
- Labour Adjustment Benefits Act
- Maintenance of Railway Operations Act, 1995
- Merchant Seamen Compensation Act
- Non-smokers' Health Act
- Old Age Security Act
- Status of the Artist Act (Part II)
- Unemployment Assistance Act
- Vocational Rehabilitation of Disabled Persons Act
- Wages Liability Act

Organization

♦ Corporate Services

The objective is to provide executive direction, policy development and management support services to the Department. It is pursued through: the design and implementation of appropriate policies and programs; the rendering of timely and ongoing strategic communications advice; the provision of administrative, financial and human resources services; and the development, placement and maintenance of a variety of automated systems.

Areas of specialized expertise have been established at both the regional and national level to address specific operational needs of the various program groups. The activity components include:

Strategic Policy:

This group has broad responsibility for developing and maintaining statistical information banks which may be used for research, evaluation, policy and program analysis, and development in support of the various HRDC programs and services. The data are frequently extracted from the personal information banks and they may be supplemented by questionnaires, surveys or other research tools. These data may be of interest to several groups within HRDC. The group is also responsible for Intergovernmental Relations.

Systems Group:

This group is responsible for the development and operation of the manual and electronic data processing systems required to execute HRDC's programs.

Communications:

The Communications Group plans, coordinates and implements HRDC activities in the areas of external and internal communications, public opinion research, promotion, information, media relations, publishing and advertising. The Group informs the public, in both official languages, about HRDC policies, programs and services related to Insurance, Human Resources Investment, Labour and Income Security.

Financial and Administrative Services:

This group provides financial, administrative, operational and management support services to HRDC's operations in NHQ and in the regions to ensure accountability, control and security, and is responsible for the administration of the Privacy, Human Rights and Access to Information Acts.

Human Resources Services:

This group provides a full range of personnel services to HRDC.

International Affairs:

HRDC maintains relations with a large number of international organizations including the Organization for Economic Cooperation and Development (OECD), the United Nations and its organisms, as well as the International Labour Organization (ILO). Above all else, this group has the role of coordinating all the international activities of the Department.

National Council of Welfare:

The National Council of Welfare was established by the Government Organization Act, 1969 as a citizens' advisory body to the former Minister of National Health and Welfare. Since the reorganization of federal government departments in 1993, the council advises the Minister of Human Resources Development. Its mandate is to advise the Minister on matters pertaining to welfare. The council consists of 21 members drawn from across Canada and appointed by the Governor in Council. All are private citizens and serve in their personal capacities rather than as representatives of organizations or agencies.

♦ Human Resources Investment Branch

The overall objective of this branch is to develop and support the productive use of labour market resources in Canada, in cooperation with other government departments and the private sector, respecting the principles of equity in employment and ensuring the protection of public funds, in order to promote the effective and efficient functioning of the Canadian labour market. These activities include: labour market information; counselling and labour exchange tools through a network of Human Resource Centres of Canada; job creation programs; sectoral partnerships; employability and social partnerships; support to persons with disabilities; student assistance and education support; youth programs; literacy; strategic initiatives; and federal-provincial cost shared arrangements including: Program for Older Worker

Adjustment (POWA); Vocational Rehabilitation of Disabled Persons (VRDP); the wind down of the Canada Assistance Plan (CAP), which was replaced by the Canada Health and Social Transfer (CHST); aboriginal programs; and the National Adoption Desk.

Cost Shared Programs Directorate:

The Cost Shared Programs Directorate is responsible for the administration of three main sub-activities: Cost Shared Programs, Child Care Program and National Adoption Desk.

The Cost Shared Programs division administers, in cooperation with the provinces and territories, four specific programs: Canada Assistance Plan (CAP); Vocational Rehabilitation of Disabled Persons (VRDP); Alcohol and Drug Treatment and Rehabilitation (ADTR); and Federal-Provincial Agreements to Enhance the Employability of Social Assistance Recipients; and two main demonstration projects: New Brunswick Works (NB Works) and Deinstitutionalization.

The Canada Assistance Plan (CAP) is a cost-sharing program through which Canada contributes to the eligible costs incurred by provinces, territories and municipalities of providing social assistance, welfare services of a preventive, supportive or rehabilitative nature, and work activity projects intended to improve the employability of persons who have employment difficulties.

The Vocational Rehabilitation of Disabled Persons Act (VRDP) is a cost-sharing program through which Canada pays 50 per cent of the costs incurred by the provinces in providing a comprehensive program for the vocational rehabilitation of persons with physical and mental disabilities. The Act also enables Canada to make direct contributions to individuals and non-governmental organizations for vocational rehabilitation research.

The Alcohol and Drug Treatment and Rehabilitation Agreement (ADTR) is a cost-sharing program which is a component of Canada's Drug Strategy, announced in May 1987. This Agreement enables the federal government to share with the provinces in the costs of establishing and enhancing alcohol and drug treatment programs. ADTR contributions complement the VRDP program, part of which provides financial assistance to provincial programs that support alcohol and drug treatment and rehabilitation in a vocational context.

Deinstitutionalization Initiatives:

The Deinstitutionalization Initiatives are the largest single element of the 1991-96 National Strategy for the Integration of Persons with Disabilities. Federal funding is being provided for development of community based alternatives for persons with intellectual disabilities who are now in institutions or unstable community settings. Each project involves a partnership between federal and provincial governments and national and provincial associations.

Education Support Branch:

The Branch administers post-secondary education payments to the provinces and territories under the Federal-Provincial Fiscal Arrangements Act and the Federal Post-Secondary Education and Health Contributions Act (1977). It co-operates with the Department of Foreign Affairs and International Trade in ensuring the effectiveness of Canada's participation in international educational activities. It conducts research and analysis related to the development of national education issues. It develops responses to questions from institutions related to education, from Parliament and from the public. It works with Statistics Canada to collect statistics concerning education, maintains a database on information relevant to education, consults with federal and provincial departments, and produces an annual report to Parliament on Federal and Provincial Support to Post-Secondary Education in Canada.

National Adoption Desk:

The National Adoption Desk provides services related to International adoptions to provinces and territories. The Desk's primary activities are the development of new and maintenance of existing Inter-country Adoption Programs; coordination of inter-country adoption cases on behalf of the provinces and territories; and coordination of information and inter-country adoption matters among the provinces/territories, other federal departments and foreign authorities. The Desk represents all provinces and territories except Quebec which manages its own relations with other provinces and foreign countries through the Secrétariat à l'adoption internationale du Québec.

National Literacy Secretariat:

Was established on a permanent basis in 1988 as part of a national literacy strategy to help ensure that Canadians have the literacy skills necessary to participate fully in the social and economic life of Canada. The Secretariat acts like a catalyst and facilitator in this national effort to make Canada a more literate society. It directs its efforts to forging more cooperative relationships with a variety of partners — all the provinces and territories, voluntary organizations, business and labour — in support of five types of activities: developing learning materials; improving co-ordination and information-sharing; increasing public awareness; improving access and outreach; and supporting research. The Program has four funding streams. Through its federal/provincial/territorial stream, it sponsors projects which are directed to regional or local needs. Through its national funding stream, it supports projects in partnership with a variety of non-governmental and voluntary organizations, both literacy and non-literacy groups and business and labour organizations. Broadly speaking, initiatives with these groups address an issue or concern of relevance to literacy in Canada from a national perspective. Through the Atlantic Groundfish Strategy (TAGS) funding stream, it sponsors projects that are directed to regional or local needs of TAGS recipients. And, through its Literacy Corps funding stream, it

encourages the training of volunteer tutors who in turn offer literacy training to youth in their respective communities. The National Literacy Program does not fund the provision of direct, on-going literacy services.

National Welfare Grants Division:

National Welfare Grants Division (NWG) is a National Social Research and Development Program that develops and promotes knowledge and resources which address significant social development issues facing Canadians and Canadian society. NWG provides project contribution funds to non-profit organizations to address priority social development issues which contribute significantly to the social and economic well-being of Canadians. National Welfare Grants Fellowships are available for full-time study leading to advanced diplomas or doctoral degrees in social welfare or a closely related field. Grants are provided to national voluntary social service organizations as partial funding for national office activities.

Status of Disabled Persons Secretariat:

Promotes collaboration and partnership among federal and provincial governments, business, labour, academics, service providers, and persons with disabilities in order to achieve equal access, economic integration and full participation for person with disabilities. It plans and co-ordinates initiatives of the federal government, such as the National Strategy for the Integration of Persons with Disabilities, the review of federal legislation, and provides advice and support to federal departments and agencies regarding policy initiatives which impact on Canadians with disabilities. It also provides information to all Canadians through the Canadian Clearinghouse on Disability Issues.

Strategic Initiatives Program:

The Strategic Initiatives Program, established in May 1994, is a key element of social security reform. It provides a unique mechanism for governments working in partnership to take action in high priority areas of employment, learning, income support and services. Projects selected under the SI Program are funded on a 50/50 basis with the province or territory, and will last two, three or four years depending on the nature of the initiative. A limited amount of Strategic Initiatives funding has been allocated to address the particular needs of Aboriginal peoples. Initiatives aim at addressing the needs of children and families; supporting the school to work transition; enabling working adults at risk of long term dependency to contribute as productive members of society; and ensuring that persons who experience barriers to employment and full participation in community living can achieve equality, independence, and full participation. Initiatives will also provide opportunities for program coherence and integration to reduce the jurisdictional and structural barriers; demonstrate ways of reducing reliance on government assistance; and demonstrate innovative approaches to job creation.

◆ Income Security

The Income Security Program (ISP) aims to promote and strengthen the income security of targeted groups of Canadians by developing, administering and delivering programs for seniors, persons with disabilities, survivors, families with children, and migrants.

ISP is composed of a single activity, Income Security, which is responsible for the administration and delivery of Old Age Security, including Guaranteed Income Supplement and Spouse's Allowance, and Canada Pension Plan benefits (responsibility for the Child Tax Benefit and Children's Special Allowances was transferred to Revenue Canada on August 28, 1995).

International Benefits Directorate:

This directorate is responsible for the negotiation and administration of international Social Security agreements.

Old Age Security Program (OAS):

This program consists of the following benefits payable under the Old Age Security Act: the Old Age Security Basic Pension, the income-tested Guaranteed Income Supplement (GIS) for pensioners who have little or no income, and the income-tested Spouse's Allowance for spouses, between the ages of 60 and 65, of pensioners in receipt of the GIS, as well as the Widowed Spouse's Allowance for spouses between 60 and 65 who are widowed and who meet the established income test and legal residence in Canada criteria.

Program Delivery Services Directorate:

Functions carried out are: Client Service Delivery Network Support, Client Inquiries, Program Learning Services, Rules Base Maintenance, Data Reconciliation, and Disability Tax Credit. Functions include the determination, through the evaluation of an applicant's medical history and profile, of eligibility for Canada Pension Plan (CPP) disability benefits; the processing, maintenance and reassessment of disability accounts, as well as appeals administration; the processing of dual accounts (those workers whose contributions were made to both the CPP and the Quebec Pension Plan); the maintenance of the Records of Earnings file consisting of all CPP earnings and contributor information on some 20 million Canadians; operational and administrative support; and resolution of problems and preparation of responses to ministerial enquiries on Income Security Programs issues

Programs Directorate:

This Directorate, through six divisions, provides a number of services to the Branch including: Canada Pension Plan program direction; Old Age Security program direction; the assessment of the risk of monetary error and fraud and recommendation for preventive and corrective measures; planning and information analysis; performance measurement; maintenance of program statistics; systems management to support all aspects of benefit delivery; special projects and maintenance of the legacy application

systems including the Old Age Security System, the Canada Pension Plan System, and the International Agreements System that currently support the delivery of programs.

The Canada Pension Plan (CPP):

A compulsory, contributory social insurance program which provides a measure of protection to Canadian workers and their families against the loss of earnings due to death, disability or retirement.

◆ Insurance Branch

This program, which includes management of the Employment Insurance Fund, provides temporary income support to unemployed workers who qualify for benefits under the Employment Insurance Act. Main activities include: claims processing and pay, which includes all functions supporting that activity; Investigation and Control; policy analysis and development, including the provision of guidance to the Regions on benefit processing, payment and appeals; and centralized operations such as Annuities and Social Insurance Numbers.

The branch develops and executes legislation, policies, programs and systems with respect to benefit payments including regular, sickness, maternity and parental employment insurance benefits, benefits paid to claimants referred to approved training, benefits paid to claimants in approved work sharing and job creation projects, and labour adjustment benefits. The group also represents the Commission in submitting or contesting appeals with respect to decisions concerning such benefits.

National Services:

National Services is responsible for the administration of the Social Insurance Number (SIN), by maintaining a database of all SINs issued in Canada which is used to validate SIN data, produce reports and micrographics; the Canadian Government Annuities Program, by producing payments, related financial, actuarial and administrative reports, and using T4 slips for annuity contracts in payment and a database for contracts not yet in payment; and using information from the Record of Employment, Report on Hirings, and other HRDC control systems to identify fraud and abuse of insurance programs.

◆ Labour

Labour's objective is to promote and sustain stable industrial relations and a safe, fair and productive workplace within the federal labour jurisdiction; and more generally, to collect and disseminate labour and workplace information and to foster constructive labour-management relations.

The main activity components include Industrial Relations, Labour Operations, Federal Workers' Compensation, and Legislation, Policy, Research and Management. The program administers the Canada Labour Code, which encompasses labour management relations, occupational safety and health, and labour standards as they apply

to all federally-regulated employees and their employers across Canada. Labour collects and disseminates labour and equity-related statistics and information, and also coordinates the Department's relations with provincial/territorial governments, international organizations and the Canadian private sector (ie — employee and employer organizations) on labour-related issues.

External Cooperation:

External Cooperation coordinates the department's relations with provincial/territorial governments, international organizations and the Canadian private sector (i.e. employee and employer organizations) on labour-related issues. It also analyzes labour-related policies and legislation and their social and economic impact, and provides policy advice. As part of its functions, External Cooperation provides secretariat services to the Canadian Association of Administrators of Labour Legislation (CAALL), manages Canada's participation in the International Labour Organization (ILO), coordinates the department's involvement in other international labour activities, and maintains an information base on Canadian labour law.

Federal Mediation and Conciliation Service:

The Federal Mediation and Conciliation Service consists of the Operations Division, the Legislation, Research and Policy Division and the Labour-Management Partnerships Program. The Service is responsible for the administration of the dispute resolution provisions of the Canada Labour Code.

Federal Workers Compensation Service:

Responsible for the administration of compensation programs for work-related injury for federal employees, penitentiary inmates and merchant seamen.

Labour Standards and Workplace Equity Policy:

Develops legislation, regulations and policies with respect to labour standards and workplace equity to encourage workplaces which are fair, productive and equitable, primarily in the federal jurisdiction.

Legislative Research and Policy, FMCS:

Carries out a variety of activities intended to ensure the maintenance of an appropriate framework for collective bargaining in the federal jurisdiction and assists in the resolution and prevention of industrial disputes by providing research support. The branch has responsibility for legislative initiatives undertaken by FMCS and for advising senior officials, other Labour programs and other government departments on a wide variety of departmental and government initiatives concerned with industrial relations issues. Branch members analyze labour board and court decisions and monitor client views and legislative developments in the federal and other jurisdictions to assess their implications for labour relations. Staff members also conduct research on current and emerging industrial relations problems and issues and

provide expert analysis and advice to assist in the formulation of dispute resolution strategies.

Merchant Seamen Compensation Board:

The Merchant Seamen Compensation Board administers the Merchant Seamen Compensation Act, which provides workers' compensation coverage for seamen who are employed on vessels registered in Canada and who are not covered by a provincial workers' compensation act. The Board reports to the Minister of Labour and secretariat services are provided by the Federal Workers' Compensation Service.

Occupational Safety and Health and Fire Prevention:

Develops policies and programs to promote safe and healthy working conditions through the application of Part II of the Canada Labour Code (Occupational Safety and Health) and administration of the federal fire prevention program. It also provides industrial safety and hygiene engineering; laboratory services; and fire protection services to the Treasury Board (as the employer) such as building plan reviews, standards development, fire inspections and investigations.

Office of the North American Agreement on Labour Cooperation:

The Office is responsible for implementing the North American Agreement on Labour Cooperation and coordinating Canada's participation in the Commission for Labour Cooperation. The mandate includes working with U.S. and Mexican counterparts in compiling and exchanging information, responding to enquiries and concerns from the Canadian public, and supporting a federal-provincial Governmental Council, as well as a private sector advisory body. The Office also coordinates and supports Canadian participation in the trinational and tripartite Cooperative Work Program which is developed and carried out on an annual basis. The Cooperative Work Program includes initiatives in the areas of occupational safety and health, employment and job training, productivity and quality and labour law/worker rights.

Operations Program:

The Operations Program consists of the Labour Standards and Workplace Equity Branch, the Occupational Safety and Health and Fire Prevention Branch, and the Program Services and Systems Branch. The Program is responsible for implementing policies and delivering services designed to foster the economic and social well-being of working Canadians, for administering the labour standards and occupational safety and health provisions of the Canada Labour Code and other legislation under the Department's labour jurisdiction, and minimizing fire risks to life and property in the federal environment.

Operations, FMCS:

Provides neutral third-party assistance to labour and management to resolve disputes arising during the negotiation of collective agreements by appointing

conciliation officers, conciliation commissioners or boards and mediators under Part I of the Canada Labour Code. It also helps improve ongoing relationships and the communication channels between labour and management through a preventive mediation program that provides information, advice, training, facilitation and grievance mediation, and the general labour relations climate through the appointment of Industrial Inquiry Commissions. Responsible for effecting the ministerial appointment of grievance arbitrators under collective labour agreements, of adjudicators to hear complaints of alleged unjust dismissal made by non-unionized employees and the appointment of referees with respect to wage recovery appeals. The Branch also maintains an inventory of all arbitration/adjudication decisions. These decisions are analyzed, indexed and summarized in scope note format in the monthly publication, Arbitration Services Reporter.

Workplace Information Directorate:

Collects, analyses, publishes and disseminates information relating to industrial relations and labour. Particular consideration is placed on information pertaining to major collective bargaining settlements (those that cover 500 or more employees), including developments in negotiated wage adjustments and the incidence of various provisions in collective agreements. Information on work stoppages due to strikes and lock-outs, labour organizations and union constitutions is also available. As well, the Directorate maintains a computerized database containing information on major agreements and an extensive library of collective agreements from all jurisdictions in Canada. The Directorate provides direct service to clients seeking information on the above matters. The Directorate also retains copies of non-confidential labour union returns received under the Corporations and Labour Unions Returns Act (CALURA). These are available for public viewing.

♦ Youth Affairs Group

The Youth Affairs Group is the organizational branch of HRDC which supports the office of the Minister of State for Youth by providing a broad advisory and coordination role for youth issues and concerns.

Information Holdings

Program Records

♦ Corporate Services

Access to Information and Privacy

Description: Policy and guidelines on Access and Privacy; statistical and other non-personal information provided by requesters in their access requests. **Topics:** Policies and procedures for each component of the legislation; background on legal interpretations of access rights; statistical data on requests and complaints;

documents concerning jurisprudence and records of policy decisions made by the Information and Privacy Commissioners; appeals and decisions; HRDC policies, procedures and measures encouraging access generally; administrative costs of public rights management. **Storage Medium:** Paper and magnetic media. **Program Record Number:** HRDC FAS 040

Annuities

Description: Systems supporting administration of the Canadian Government Annuities program. **Topics:** Correspondence; status reports. Information held in EDP systems is organized under the following title: Annuities Program — produces payments, T4 slips for annuity contracts in payment, related financial, actuarial and administrative reports; data bank for contracts not yet in payment — includes premium collection, related reports and micrographic listings. **Storage Medium:** Magnetic tapes and paper. **Program Record Number:** HRDC SYS 545

Audits — Internal Audit Bureau

Description: Policies, procedures and guidelines for the conduct of assignments. **Topics:** IAB Audit Manual. **Storage Medium:** Microcomputer diskette or paper. **Program Record Number:** HRDC IAB 015

Benefit Pay (Insurance)

Description: Information on systems supporting administration of the unemployment insurance benefit program. **Topics:** Correspondence, status reports. The following is available on EDP System: Benefit Pay Systems — processes applications for claims under the Unemployment Insurance Act and Regulations; processes the claimants' report cards, produces unemployment insurance payments; updates status of claims; maintains a history of overpayments; produces daily, monthly and quarterly statistical reports on operations, overpayments and unemployment insurance fund accounting; produces T4U/TP4U showing benefits paid and income tax deducted for each claimant; supports associated enquiry functions in local and regional offices. **Storage Medium:** Magnetic tapes and paper. **Program Record Number:** HRDC SYS 515

Canadian Occupational Projection System (COPS)

Description: Development of occupational labour market supply and demand related analyses and projections in the light of historical, current, and projected labour market conditions, using survey and other data gathered for these purposes. **Topics:** Analysis of economic, industrial and occupational employment growth projections and their implications; analysis of trends and projections in enrollments and graduations from educational and training institutions and subsequent labour market experiences of graduates. **Storage Medium:** Paper. **Program Record Number:** HRDC SPP 635

Computer Operations

Description: Development and application of policies, standards and procedures for EDP installations. **Topics:** Policies and standards; training plans; EDP operational procedures; call reports, operational emergencies, computer back-up by EDP installation; contingency plans; security; correspondence with suppliers, service bureaus; liaison with regional computer centres concerning site hardware specifications, production software, related operational support; reports on EDP and associated clerical processing operations. **Storage Medium:** Magnetic tapes and paper. **Program Record Number:** HRDC SYS 565

Control (Insurance)

Description: Information on systems supporting HRDC control activities. **Topics:** Correspondence, status reports. Information held in EDP systems is organized under the following titles: Record of Employment Systems, Overpayment Detection Systems, Employer-Registration (Premium Reduction) Program, Tracking HRDC Debtors from Employment Records, Comparison of Claimant Data to Detect Possible SIN and Unemployment Insurance Abuse, Micrographic Listings for the General Control of Unemployment Insurance Programs, Record of Offenses, Benefit Pay Extracts, Statistics, Merges, Microfiche, Document Control System, Comprehensive Tracking System, Family Orders and Agreements; Tracking System, Employer Master File System. **Storage Medium:** Magnetic tapes and paper. **Program Record Number:** HRDC SYS 525

Corporate Framework

Description: Activities associated with intra-departmental development and coordination of short-term planning and other corporate requirements. **Topics:** Management framework coordination or development of Strategic Planning and inputs into the Departmental Planning Process, including strategic directions for corporate priorities over three to five years; operational plans, operating contracts and budgets; performance measures and resource allocation; monitoring of corporate and program/service/regional activities; analysis of the Departmental Planning Process. **Storage Medium:** Paper and magnetic tapes. **Program Record Number:** HRDC SPP 645

Data Development

Description: Information on projects associated with developing HRDC program data sources. **Topics:** Studies and projects on the development and maintenance of existing data systems for research and analysis purposes such as the Longitudinal Labour Force File and its component files, the National Job Bank files, Labour Force Survey and Supplementary Surveys files, description of co-operative agreements on information exchange and on developing new labour market databases with other federal departments/agencies and provinces. **Storage Medium:** Paper and magnetic tapes. **Program Record Number:** HRDC SPP 650

Emergency Planning

Description: Information on legislation, policy, correspondence and contingency plans relating to local, regional, national or international emergencies that affect HRDC emergency support operations. **Topics:** Policy, legislation, publications and manuals concerning emergency planning, national emergencies (as outlined in the Emergencies Act 1988) and administration of emergency planning organizations; committee reports, briefs, submissions and plans of action on international or interdepartmental liaison and measures; regional emergency plans; specific plans (local office) for localized disasters (natural or technological); training courses and exercises. **Storage Medium:** Paper. **Program Record Number:** HRDC FIN 050

Employment Client Services

Description: Information on systems supporting administration of Employment Client Services. **Topics:** Correspondence, status reports. Information held in EDP systems is organized under the following titles: Canada Mobility Program, Canadian Classification and Dictionary of Occupations, Canadian Jobs Strategy Participant Follow-up Survey Information, Client/Claimant Index, National Job Bank. **Storage Medium:** Magnetic tapes and paper. **Program Record Number:** HRDC SYS 510

Employment Development

Description: Information on systems supporting the administration of employment development programs and related programs of the Canadian Jobs Strategy. **Topics:** Correspondence; status reports. Information held in EDP systems is organized under the following titles: Jobs Development, Innovations, Community Futures, Challenge Program, Coordinating Group Contracts, Canada Community Development, Canada Community Services, Canada Works — 1984, Canada Works/Young Canada Works, Career-Access, Employment for the Disadvantaged, Employment Tax Credit Program, Job Corps, Local Employment Assistance Program, Local Employment Assistance Development, New Employment Expansion and Development Program, Portable Wage Subsidy Program, Summer Career-Access 1984, Summer Employment/Experience Development 1985. **Storage Medium:** Magnetic tapes and paper. **Program Record Number:** HRDC SYS 500

Employment Training

Description: Information on systems supporting administration of Employment Training programs and related programs of the Canadian Jobs Strategy. **Topics:** Correspondence; status reports. Information held in EDP systems is organized under the following titles: Job Entry, Skill Shortages, Skill Investment, CJS Direct Purchase Training Option, Coordinating Group Trainees, Course Purchase Notice Information, Critical Trades Skills Training, Institutional Training/Unemployment Insurance Payment Immigration Loans Cross-match System, Institutional Training Wait-Listed Clients, National Industrial Training Program, National Institutional Training, Youth Training

Option and Job Entry Information, General Accounts Receivable — HRDC Programs, Follow-up Survey Information (Training Programs). **Storage Medium:** Magnetic tapes and paper. **Program Record Number:** HRDC SYS 505

Federal-Provincial and Aboriginal Relations

Description: Information, briefings, policy and analysis of legislation and programs administered by HRDC; policy, guidelines and strategies on federal-provincial and aboriginal relations and coordination. **Topics:** Analysis of federal-provincial and federal-aboriginal relations and strategies; negotiation of bilateral and multilateral agreements on legislated issues and programs; analysis of reports and briefs from parliamentary committees, task forces, other levels of government, NGOs and aboriginal organizations on issues related to HRDC's mandate or that of other federal departments; analysis of federal-provincial and federal-aboriginal repercussions of Cabinet documents and proposed agreements on a variety of social and economic issues; background analysis and briefings on domestic and international issues and relations; analysis of decisions and input into Canadian declarations in international fora, such as the OECD. **Storage Medium:** Magnetic tapes and paper. **Program Record Number:** HRDC SPP 035

Forms Policy, Planning and Development

Description: information on policies and procedures supporting the national Forms program, and national form development. **Topics:** Historic form files reflecting the most current development information, Forms program correspondence, project information, directives, procedures, general letters, statistical information, and planning documents. The National Forms Database captures detailed information on national, regional and NHQ forms. **Storage Medium:** Microcomputer files and diskettes, Decrina forms design equipment files, automated forms database, and hard copy paper files. **Program Record Number:** HRDC SYS 575

General

Description: Analyses and assessments of HRDC policies. **Topics:** Overall development of policies; analysis of plans and programs; assessment of policies for Benefit and Labour Market Programs. **Storage Medium:** Paper. **Program Record Number:** HRDC SPP 620

Human Rights

Description: Information on policies, guidelines, complaints and other human rights matters. **Topics:** Policies and procedures relating to implementation of legislation; reports on the human rights field; background information relating to complaint activities. **Storage Medium:** Paper and magnetic media. **Program Record Number:** HRDC SEC 045

Information About HRDC's Programs and Policies

Description: Information on HRDC's programs, policies and services. **Topics:** Labour market; employment

insurance; youth; service delivery; human resources investment; labour; income security; and international affairs. **Storage Medium:** 90% paper, 5% electronic and 5% video. **Program Record Number:** HRDC PAF 615

Information Systems

Description: Information on the development and implementation of advanced technology systems.

Topics: Research and correspondence on advanced technological systems; operational problems, performance reports on the employment and insurance on-line systems; Treasury Board submissions; correspondence with users on software requirements for CHOICES (Computerized Heuristic Occupational Information and Career Exploration Systems). Information held in EDP systems is organized under the following title: National Employment Services System (NESS) — provides, via computer terminals, data on jobs available, employers, job seekers, referrals to employment and related data. **Storage Medium:** Magnetic tapes and paper. **Program Record Number:** HRDC SYS 535

Innovations

Description: Policies, guidelines and correspondence on Innovations. **Topics:** Policies and guidelines for implementing the Innovations program; description of Innovations program; reports. **Storage Medium:** Paper. **Program Record Number:** HRDC SPP 301

Internal Audit

Description: Information available on policies and procedures related to the planning of audits. **Topics:** Audit universe, risk assessment, summary of assignment resource expenditures, annual audit plan with summary descriptions of assignments, and guidelines for audit planning. **Access:** Paper or diskettes. **Storage Medium:** Microcomputer Diskette or Paper. **Program Record Number:** HRDC IAB 005

Internal Audit Bureau

Description: Support and reference documents used by auditors in compiling assignment reports. **Topics:** Final report, Audit Program guides for conducting assignments. **Storage Medium:** Microcomputer Diskette or Paper. **Program Record Number:** HRDC IAB 010

International Affairs

Description: Information on projects associated with Canada's involvement in the activities of the OECD, mainly those of the Direction of Education, Employment, Labour and Social Affairs (DEELSA), in the activities of other international organizations (e.g. G8, European Union, etc...), as well as informal meetings between various countries. **Topics:** Studies and projects addressing the analysis of papers and the preparation of briefing material for various meetings, notably the meeting of the OECD Employment, Labour and Social Affairs Committee (ELSA); revision of the Inventory of Federal Employment and Human Resource Development Measures; analysis and briefings on papers and reports prepared for various

international meetings and encounters. **Storage Medium:** Paper and computer diskettes. **Program Record Number:** HRDC SPP 660

Learning, Employment and Labour Policy

Description: Analysis, assessment and development of learning, employment and labour strategies and policies in light of current and forecast skills needs; technological, structural, trade, and demographic changes; adjustment needs of various groups and adequacy of existing programs; the structure and operation of Canada's labour market; and the organization of work. **Topics:** Analysis of labour market skills needs of individuals and employers; role of skills standards and recognition of prior learning and foreign credentials in labour mobility; role of technology in learning; support initiatives to articulate measurable, national learning goals. Development/improvement of surveys to measure learning and adjustment programming outcomes; analysis of target groups' participation in adjustment programming and effectiveness of programming; improvement of school-to-work transitions; support for career development and life-long learning to enable workers to adjust to changing skill needs. Analysis of labour market trends (structural, cyclical, seasonal), their impact on workers (unskilled/skilled/older workers/youth/members of designated groups, etc.) and related labour market policy and adjustment programming; role of community economic development and job creation programs; examination of distribution of work (paid and non-paid) and innovative workplace practices and their impact on organization of work and types of work, level and adequacy of wages and benefits, skills demands; analysis and policy development related to labour standards, occupational safety and health, industrial relations, and workplace organization. **Storage Medium:** Paper **Program Record Number:** HRDC SPP 705

Liaison and Procedures (Insurance)

Description: Information on clerical procedures in CECs and regional computer centres. **Topics:** Correspondence; policy and systems changes that impact on clerical procedures; reports of visits to regions; training plans for new or revised procedures and ongoing training requirements. **Storage Medium:** Magnetic tapes and paper. **Program Record Number:** HRDC SYS 520

Macro-Evaluation

Description: Evaluation activities at the macro level to support strategic policy development analysis, and to assess the socio-economic impacts of government's large expenditure programs in terms of achievement of broad government policy objectives and coherence in terms of interaction with other programs and policies. **Topics:** Evaluation at the macro level, including efficiency and effectiveness considerations of government's large expenditure programs such as Unemployment Insurance, Old Age Security, Canada Pension Plan and Human Resources Investment Fund. **Storage Medium:** Paper

and electronic storage. **Program Record Number:** HRDC SPP 657

Management Data

Description: Computerized information systems of a financial, personnel, administrative and operational performance measurement nature. **Topics:** Correspondence; status reports; minutes of meetings. Information held in EDP systems is organized under the following titles: Human Resources Development Canada Information System — financial position of commitments, advances, overall non-salary items within HRDC; year-to-date financial, person-month, performance measurement information; employee information that allows production of certain personnel reports and reports for management and central agencies. Regional Personnel Services System (RPSS) — contains details of organization, positions, salaries and personnel. **Storage Medium:** Magnetic tapes and paper. **Program Record Number:** HRDC SYS 555

Micro Evaluation

Description: Information on the efficiency, effectiveness and economy of specific demonstration programs/projects. **Topics:** Studies and projects on the assessment of specific ventures designed to explore income security, job creation, employment services, human resource development, and education. These include the evaluation of the efficiency and effectiveness of: each Strategic Initiative Project approved under the Strategic Initiatives Program; Youth Service Canada; Learning Initiatives; and Youth Internship; and, demonstration programs/projects for the employment disadvantaged. **Storage Medium:** Paper and electronic storage. **Program Record Number:** HRDC SPP 659

Program Evaluation and Monitoring

Description: Information on the efficiency and effectiveness of HRDC programs. **Topics:** Studies and projects on assessment of overall effectiveness of HRDC programs. These include the evaluation of the Canada Pension Plan, the formative evaluation of the Atlantic Groundfish Strategy (TAGS), the Sectoral Partnership Initiative, the Canada Student Loan Program, the Older Workers' Adjustment Program (POWA) and studies and documents relating to the Service Outcome Measurement System (SOMS) such as Intervention, Provider and Outcome modules. **Storage Medium:** Paper and electronic storage. **Program Record Number:** HRDC SPP 665

Regional Relations

Description: Information on projects associated with regional economic services. **Topics:** Liaison with regional economic services and national conferences; projects relating labour market information and local planning strategies. **Storage Medium:** Paper and magnetic tapes. **Program Record Number:** HRDC SPP 655

Research

Description: Policy research. **Topics:** Reports and studies, not related to particular policies and programs, addressing labour market, income security, workplace innovations and human capital investment issues.

Storage Medium: Paper. **Program Record Number:** HRDC SPP 630

Security

Description: Policy guidelines and general correspondence on security and co-operation with the RCMP, CSIS and provincial bodies. **Topics:** Policies and procedures on personnel, physical, communications, EDP and administrative security; RCMP-HRDC co-operation; CSIS-HRDC co-operation; minutes of meetings; briefs, reports, plans. **Storage Medium:** Paper. **Program Record Number:** HRDC FIN 055

Social Insurance Number Registration

Description: Systems supporting administration of the SIN program. **Topics:** Correspondence; status reports. Information held in EDP systems is organized under the following title: Social Insurance Number Registration Program — data bank of all SINs issued in Canada, used to validate SIN data; produces reports and micrographics. **Storage Medium:** Magnetic tapes and paper. **Program Record Number:** HRDC SYS 540

Strategic Planning

Description: Projects associated with Commission/ Department preparation of medium and long term plans. **Topics:** Ongoing scanning of broad long-term socio-economic developments to assess their potential impact on human resources, labour market, income maintenance, social development; identification of broad strategic options to address gaps between current departmental operations and environmental trends; and preparation of a planning environment assessment document. **Storage Medium:** Paper, magnetic tapes, slides and video. **Program Record Number:** HRDC SPP 640

Support (Insurance)

Description: Information on support for the implementation of Employment and Benefit EDP systems, including micrographics. **Topics:** Correspondence; evaluation reports. **Storage Medium:** Magnetic tapes and paper. **Program Record Number:** HRDC SYS 530

Surveys

Description: Surveys on public attitudes towards HRDC policies, programs and services. **Topics:** Survey data and related analyses which may also be used for the development of communications strategies. **Storage Medium:** Paper and magnetic tapes. **Program Record Number:** HRDC PAF 616

Unemployment Insurance Program Impact Analysis

Description: Information on the analysis of the effects of unemployment insurance on claimants, their dependants,

the unemployed and the economy in general. **Topics:** Analysis of income protection for unemployed persons not receiving unemployment insurance benefits; studies of the extent to which the Unemployment Insurance Program facilitates labour market adjustments; assessment of financial hardships experienced by unemployment insurance benefit exhaustees; studies of the unemployment insurance economic stabilization effects; assessment of the utility and appropriateness of unemployment insurance coverage for various groups of labour force participants. **Storage Medium:** Paper and computer diskettes. **Program Record Number:** HRDC SPP 695

Unemployment Insurance Program

Policy Development and Analysis

Description: Information on the analysis and development of unemployment insurance policy to accommodate changing socio-economic and other influences affecting the labour market. **Topics:** Studies and projects on the assessment of the economic and labour market effects of unemployment insurance; consideration of the adequacy of unemployment insurance benefit levels in relation to such factors as family composition and size, multiple-income families and different income classes; assessment of labour market efficiencies in light of such influences as an experience rating system; examination and forecasting of unemployment insurance benefit expenditures and revenues; analysis of the maternity benefit program; assessment of the developmental uses of unemployment insurance including training, job creation and work sharing. **Storage Medium:** Paper and computer diskettes. **Program Record Number:** HRDC SPP 690

♦ Human Resources Investment Branch

Allowances and Programs

Description: Information and correspondence on various institutional training programs. **Topics:** Applicability of criteria, policies and procedures on training allowances and programs; correspondence on National Training, Basic Job Readiness and Basic Training for Skills Development. **Storage Medium:** Paper and magnetic tapes. **Program Record Number:** HRDC CJS 325

Child Care

Description: information on provincial day care statistics, (Status of Day Care in Canada) and on current policies and research in the field. Information and files on the distribution of Child Care Visions (CCV) project funding. **Topics:** Quality of child care; child care for aboriginal children, special needs children, children of parents who work shiftwork or part-time, children from minority cultural backgrounds, children in rural communities and other settings requiring flexible models of care; children who require short-term or emergency care, school-age children, infants, and employer supported child care; child care training and professional development, applied research, program resource materials, and needs assessments. **Program Record Number:** HRDC SSP 399

Community Futures

Description: Policies, guidelines and correspondence on Community Futures program. **Topics:** Policies and guidelines for implementing the Community Futures program; description of Community Futures program; reports. **Note:** All records have been transferred to the various federal regional development agencies. **Storage Medium:** Paper and magnetic tapes. **Program Record Number:** HRDC CJS 303

Cost Shared Programs

Description: Information on the administration of the Canada Assistance Plan, Part I and Part III, Vocational Rehabilitation of Disabled Persons Act, Alcohol and Drug Treatment and Rehabilitation Agreements; shareability of provincial and territorial program initiatives; changes and costs; and accountability for annual transfer payments. **Topics:** Grants and welfare organizations; social assistance; vocational rehabilitation of disabled persons; research in vocational rehabilitation; welfare services and work activity; alcohol and drug treatment and rehabilitation. **Program Record Number:** HRDC SSP 395

Employability Improvement Program (from July 1991)

Description: General information on the nine components and special initiatives on the development of policies and strategies concerning front-line Human Resources Centres of Canada (HRCC) services available to HRDC worker clients. It builds on many of the former programs available under the Canadian Jobs Strategy, as well as Employment Services. **Topics:** Literacy Corps; Employment Counselling; Project-Based Training; Job Opportunities; Purchase of Training/Income Support; Youth Initiatives; Employment Assistance and Outreach; Mobility and Delivery Assistance; Portable Wage Subsidy Component; Employment Bonus Component. **Storage Medium:** Paper and magnetic tapes. **Program Record Number:** HRDC EMP 288

Employment Benefits and Support Measures

Description: Policies, guidelines, correspondence and project information for employment benefits and support measures. **Topics:** Policies, guidelines, project descriptions and implementation costs. **Storage Medium:** Paper and magnetic tapes. **Program Record Number:** DRHC IRH 293

Foreign Worker Policy

Description: Policies and correspondence relating to employment of foreign workers. **Topics:** Employer recruitment of foreign workers; recruitment and utilization of foreign workers in specific occupational groups; employer's name and address; job and salary offers; locations of employment; demographic data. **Storage Medium:** Paper. **Program Record Number:** HRDC EMP 385

HRCC Employer Order

Description: Information includes employer's name and location, wages offered, duties, experience required, referrals and results. **Topics:** Employers' requests to

the Human Resources Centre of Canada (HRCC) for staff and any actions taken; statistics and internal audits; administration of the Employment Insurance Act. **Storage Medium:** Paper, magnetic tapes and microforms. **Program Record Number:** HRDC EMP 417

Industrial Adjustment Service

Description: Correspondence, information and agreements on assessment and minimization of specific human resource problems. **Topics:** Documentation on specific problems such as plant expansion, results of technological changes, low productivity, plant closure and layoffs. **Storage Medium:** Paper, magnetic tapes and microfiche. **Program Record Number:** HRDC EMP 340

Information and Special

Initiatives Program (from July 1991)

Description: General information on the four components and special initiatives on the development of policies and strategies concerning labour market benefits to bring together front-line HRCC services available to all HRDC clients. **Topics:** Labour Market Information; Service Needs Determination, Labour Exchange; Labour Market Research and Special Labour Market Initiatives components of the Innovations Program. **Storage Medium:** Paper and magnetic tapes. **Program Record Number:** HRDC EMP 287

Institutional Training

Description: Information and correspondence on criteria applicability, institutional training, allowances and agreements with institutions. **Topics:** Policies and procedures on applicability of criteria; background on Training Allowances and Apprenticeship Training under the Employment Insurance Act; background on Basic Training, Job Readiness and other programs; description and results of all training programs; and reports and documentation on institutional training generally. **Storage Medium:** Paper and magnetic tapes. **Program Record Number:** HRDC CJS 320

Labour Market Adjustment Program (from July 1991)

Description: General information on the development of policies and strategies by encouraging employers to assume primary responsibility concerning skills and human resource adjustment in the workplace by combining new and existing options. **Topics:** Human Resource Planning, Employer Client Services, Foreign Worker Recruitment Activities, Agricultural Employment Services, Workplace-Based Training, Work Sharing, Re-training, Employment Equity, the Industrial Adjustment Service and Labour Market Adjustment Grants. **Storage Medium:** Paper and magnetic tapes. **Program Record Number:** HRDC EMP 289

National Aboriginal Management Board Secretariat

Description: Task force reports, briefing notes/binders and speaking notes; Aboriginal employment and training statistics, lists of national Aboriginal training and employment projects; lists of members on the National

Aboriginal Management Board (NAMB) and its sub-committees; correspondence from and to members of the NAMB to the NAMB co-chairpersons and/or Co-chairpersons of sub-committees; minutes, terms of reference, policy papers, operational procedures, mandate and record of decisions of the NAMB and/or any of its sub-committees; program information on Aboriginal employment and training initiatives, Pathways to Success documents, employment equity reports related to Aboriginal people. **Topics:** Information notes and analysis of HRDC general initiatives; documentation on the management of the quality of service to the public and correspondence, information notes and documents on partnerships and transitions. **Storage Medium:** Paper and computer disks. **Program Record Number:** HRDC EMP 048

National Adoption Desk

Description: Consultation and information services in the area of international adoptions. **Topics:** All of the above services. **Program Record Number:** HRDC SSP 405

National Literacy Secretariat

Description: Information relating to illiteracy in Canada and the social, cultural and economic factors underlying it. **Topics:** Grants and contributions, federal-provincial-territorial arrangements, voluntary organizations, reports and studies, other government departments, collection of data and information on literacy as it affects communities, business, labour, educational institutions and governments, social action, promotion, liaison with international institutions. **Program Record Number:** HRDC CCP 090

National Welfare Grants

Description: Information on grants and contributions to develop and promote research and resources addressing significant social development issues in Canadian society. **Topics:** Research projects on selected priority areas; National Welfare Fellowships; special projects; and national voluntary social service organizations. **Program Record Number:** HRDC SSP 410

Occupational and Career Information

Description: Classification of occupations in the labour market. **Topics:** Analysis of information concerning occupations, trades, crafts and professions; preparation and distribution of publications such as the series on Occupational Trade Analyses, and the National Occupational Classification (NOC). Computerized Career information systems such as CHOICES and INTEROPTIONS. **Storage Medium:** Paper and magnetic tapes. **Program Record Number:** HRDC EMP 455

Office of Learning Technologies

Description: Information in the area of learning technologies to promote their effective use; to support assessment, research and testing; and to increase the availability and sharing of information on Canadian initiatives, key players and significant developments. **Topics:** Contributions, research projects and studies

on selected priority areas; pilot projects; studies on the changing needs of adult learners and of HRDC target groups; information about best practices and innovative learning opportunities, distance education, lifelong learning and about national and international commissions, committees, conferences, UNESCO and OECD as they relate to the use of technologies for learning. **Storage Medium:** Paper and electronic format (hard disk, CD-ROM and Internet). **Program Record Number:** HRDC OLT 467

Older Worker Adjustment

Description: Correspondence and general information relating to the administration of the Labour Adjustments Benefits (LAB) Program, the Program for Older Worker Adjustment (POWA), the Plant Workers' Adjustment Program (PWAP) and the Income Supplement Program for Older Workers (ISPOW); documents pertaining to the certification of layoffs by the Labour Adjustment Review Board and other administrative data pertaining to all four programs. **Access:** Files arranged by subject. **Storage Medium:** Paper **Program Record Number:** HRDC OWB 141

Outreach

Description: Information on personalized employment-related services supported by community-based agencies. **Topics:** General correspondence; project files containing descriptions of clientele and activities, estimate of human and financial resources, analysis and evaluation by the Regional Review Committee, approval of continued funding; activity, statistical and financial reports. **Storage Medium:** Paper and magnetic tapes. **Program Record Number:** HRDC EMP 440

Post-Secondary Education Support

Description: Information on the federal government's education support policies and programs, including fiscal arrangements and communication with the provinces and territories, post-secondary educational institutions and non-government organizations; information on Canada's participation in international educational activities. **Topics:** Education — general; acts, bills, legislation and regulations; adult education; centres of specialization; commissions, committees, conferences, OECD, UNESCO; education policy, accountability, equalization, organizations; financing and funding — general; educational grants; established programs financing; Federal-Provincial Fiscal Arrangements Act; provincial-territorial liaison (by province and territory); federal liaison (other departments); international liaison; research; studies and surveys; statistics; student summer employment. **Program Record Number:** HRDC ESP 005

Services to Employers

Description: Information on the development of policies and programs to support the management of services to employers. **Topics:** Correspondence on the identification of human resource needs of employers; systems and guidelines supporting the labour exchange services; development of training needs analysis of CEC staff;

training policies and contractual arrangements for the development of the competency-based training program; guidelines on the management of services to employers; guidelines on the utilization of labour market information.

Storage Medium: Paper and magnetic tapes. **Program Record Number:** HRDC EMP 445

Status of Disabled Persons

Description: Information relating to federal initiatives and information on integration and accessibility issues.

Topics: Current disability-related information is available on a variety of topics such as: Employment, Transportation, Legislation, Recreation, Access Technologies, International Issues, Education, Housing, Human Rights, Communications, Federal Programs on Disability.

Program Record Number: HRDC CCP 080

Student Assistance

Description: Information on assistance to students at the post-secondary level through the Canada Student Loans Program. **Topics:** Student Assistance — general; Canada Student Loans (C.S.L.); legislation; federal-provincial co-operation on student assistance correspondence; publications; reports and returns; forms; financial; statistics; procedures; committees; studies; C.S.L. criteria; scheduling; guaranteed loans full and part-time studies; interest relief; aid to disabled students. **Program Record Number:** HRDC ESP 010

Summer Employment/Experience Development and Work Orientation Workshops

Description: Policies, guidelines, documentation and correspondence on SEED and WOW. **Topics:** Operational guidelines for implementing SEED and WOW; internships documentation; correspondence relating to summer student employment programming generally. (All similar records pertaining to the former summer student components of Career-Access and Canada Works.)

Storage Medium: Paper and magnetic tapes. **Program Record Number:** HRDC CJS 415

Training

Description: Information on the development of legislation, regulations, national strategies and policies relating to employment training programs. **Topics:** Negotiations and agreements at the federal-provincial level; policies and procedures concerning training agreements; courses and certifications; course evaluations and costs; background on training and employment development. **Storage Medium:** Paper and magnetic tapes. **Program Record Number:** HRDC CJS 295

Youth Employment

Description: Information on employment programs to meet the needs of youth. **Topics:** Development of national policies, strategies and guidelines related to the employment of youth, e.g. Youth Internship, Youth Service Canada, Canada Employment Centres on Campus, Canada Employment Centres for Students, and Specialized Youth

Units. **Storage Medium:** Paper, magnetic tapes and microforms. **Program Record Number:** HRDC EMP 460

Youth Projects

Description: Information and correspondence on training programs for disadvantaged youth. **Topics:** Policies and guidelines on youth training projects such as Youth Training Options; contracts with employers who provide training centres for disadvantaged youth; description and results of training projects relating to youth employability and/or reintegration into the labour market. **Storage Medium:** Paper and magnetic tapes. **Program Record Number:** HRDC CJS 315

◆ Income Security

Agreements — International Social Security

Description: Information on Canada's objectives in social security agreements; process for developing and implementing social security agreements; model provisions for agreements and administrative arrangements; provisions of specific agreements and how they affect eligibility for Canadian and foreign benefits. **Topics:** Social security agreements in effect and administrative arrangements related to them by country; correspondence concerning agreements in effect or under negotiation; model provisions and explanatory notes. **Access:** By country. **Storage Medium:** Paper. **Program Record Number:** HRDC ISP 350

Canada Pension Plan Advisory Board

Description: Information on the Board's administrative aspects. **Topics:** Appointments to the Advisory Board; sub-committee and committee reports. **Storage Medium:** Paper. **Program Record Number:** HRDC ISP 360

Canada Pension Plan Benefit Administration

Description: Information on the record of earnings and contributions of Canada Pension Plan (CPP) contributors; contributor information program; entitlement, payment level and maintenance data of dual contributors; division of earnings; credit splitting; child rearing drop-out, assignments and also information on the determination of disability (medical) for purpose of administering the CPP disability benefit program. **Topics:** Internal memoranda, directives, procedures and guidelines on documentation, adjudication, entitlement, processing, maintenance and historical information on the medical aspects of the CPP. For individual applicant and beneficiary records, application should be made under the Privacy Act. **Storage Medium:** On-line, magnetic tape and paper. **Program Record Number:** HRDC ISP 380

International Operations

Description: Information on the development and implementation of administrative arrangements and operational accords related to International Social Security Agreements; the entitlement, payment and maintenance of International Agreement Accounts for Old Age Security (OAS) and Canada Pension Plan (CPP) benefits. **Topics:** Administrative arrangements and operational accords;

internal memoranda, directives, procedures and guidelines on the documentation, adjudication, entitlement, processing and maintenance of accounts for the OAS and CPP programs; International Social Security booklets. For individual applicant and beneficiary records, application should be made under the Privacy Act. **Access:** By country. **Storage Medium:** Paper. **Program Record Number:** HRDC ISP 390

Policy and Legislation

Description: Information on the review and update of legislation; review and analysis of policy. **Topics:** Historical and background documents; public and private pension plans; social security review; Canada Pension Plan/Quebec Pension Plan; Income Security statutory legislation. **Storage Medium:** Paper. **Program Record Number:** HRDC ISP 340

Policy Interpretation and Application

Description: Information on legislative interpretations and related administrative policy; public information programs; federal-provincial agreements. **Topics:** Income Security Programs legislative interpretations and related administrative policies and directives; information programs and advertising; annual reports; federal-provincial agreements. **Storage Medium:** Paper. **Program Record Number:** HRDC ISP 365

Program Coordination and Maintenance

Description: Information on EDP programs and systems used in the administration of Income Security Programs, and information on administrative aspects of applications program coordination for Old Age Security and Canada Pension Plan benefit delivery. **Topics:** Internal memoranda, directives, procedures and guidelines on regional operations; design specifications and operating instructions for the application systems. **Storage Medium:** On-line, magnetic tape and paper. **Program Record Number:** HRDC ISP 370

Regional/NHQ Operations — Client Accounts

Description: Information on the entitlement, payment and maintenance of accounts for the Old Age Security and Canada Pension Plan benefits; appeals administration; and assessment of risk of monetary fraud. **Topics:** Internal memoranda, directives, procedures and guidelines on the documentation, adjudication, entitlement, processing and maintenance of: accounts, appeals administration, reports, studies, surveys, and manuals. For individual applicant and beneficiary records, application should be made under the Privacy Act. **Storage Medium:** On-line, magnetic tape and paper. **Program Record Number:** HRDC ISP 375

Statistics and Trends Analysis

Description: Research information used in program review and development. **Topics:** Data sources and statistical information; studies; surveys, reports on public and private pension plans; client sample surveys; disability

protection survey. **Storage Medium:** Paper. **Program Record Number:** HRDC ISP 345

Systems Development

Description: Information on the design of the Income Security Programs Old Age Security, Canada Pension Plan and International Agreements application systems and information related to the telecommunications system. **Topics:** Systems studies; projects; reports and recommendations; EDP telecommunications system; client and payment data. **Storage Medium:** On-line, magnetic tape and paper. **Program Record Number:** HRDC ISP 355

◆ Insurance Branch

Actuarial Services

Description: Policy, legislation and actuarial criteria for determining financial parameters and analyzing the experience under the Insurance and Government Annuities Programs. **Topics:** Actuarial Services policy and correspondence; operations of the Annuities Program; budgets, expenses and administration; contributions; premium reductions and benefits; cost estimates and premium revenue estimates; Employment Insurance Act and Regulations (assessment and development of amendment proposals to the Insurance Program); statistical reports and labour force data; interface of Insurance Program with guaranteed income proposals; other agencies (briefs, views and proposals from public and private sectors); background on the Society of Actuaries and miscellaneous internal working files; public relations and special briefings; supplementary survey from Statistics Canada; Supplemental Unemployment Benefit plans; Wage Loss Registration (WLR) plans (planning, development, operational activities and employer appeals under the registration program of qualified WLR plans); program evaluation. **Storage Medium:** Paper. **Program Record Number:** HRDC INS 160

Ancillary Policies on Benefit Entitlement

Description: Policies and correspondence on issues not classified under program record numbers HRDC INS 190, HRDC INS 200, or HRDC INS 265. **Topics:** Work-sharing; job creation; courses of instruction; adjustment and re-employment assistance options; entitlement to benefits for teachers; overpayments resulting from retroactive decisions. **Storage Medium:** Paper. **Program Record Number:** HRDC INS 195

Annuities Program

Description: Information on the administration of Canadian government annuities contracts. **Topics:** Correspondence on legislative and regulatory proposals; operational procedures; activity, statistical, actuarial and financial reports; surveys, and studies on the program. **Storage Medium:** Paper. **Program Record Number:** HRDC NSB 605

Appeals Directorate

Description: Policies, procedures and correspondence on the national appeals system. **Topics:** Policies and programs relating to appeals to the Board of Referees, the Umpire and the Federal Court of Appeal; procedures for each level of the appeal process; appeal files containing documentation relating to the point at issue; files containing decisions handed down; analyses of the Umpire's decisions; publication and distribution of Umpire's decisions and Federal Court of Appeal decisions; individual requests for guidance; activity reports. **Storage Medium:** Paper. **Program Record Number:** HRDC INS 210

Automated Earnings Reporting System

Description: System used by employers to transfer information to the Commission by cartridge, computer tape or diskette. **Topics:** General information on the computerized subsystem; problems and revisions of the subsystem; testing procedures; systems interface with the computer group; reports on possible overpayments of insurance benefits. **Storage Medium:** Magnetic tapes. **Program Record Number:** HRDC INS 245

Benefit Entitlement

Description: Policies and correspondence concerning entitlement. **Topics:** Eligibility for benefits; dependency; interruption of earnings; antedating of an application; week of unemployment; earnings; voluntarily leaving an employment; misconduct; labour disputes; refusal of employment; availability; making application in the prescribed manner; inmates of prisons; residence outside Canada; sickness; maternity; parental; fishermen; other benefits (such as supplemental unemployment benefits); retroactivity of decisions; financial penalties under section 38 of the Employment Insurance Act. Note: For more information on the above, consult the Digest of Benefit Entitlement Principles. **Storage Medium:** Paper. **Program Record Number:** HRDC INS 190

Briefs and Representations

Description: Information on recommendations for change in the Insurance Program and legislation. **Topics:** Views and reaction to Employment Insurance legislation; briefs on amendments to programs or legislation received from interested parties. **Storage Medium:** Paper. **Program Record Number:** HRDC INS 170

Claims Analyses

Description: Case files containing recommendations and decisions on complex or unique situations. **Topics:** Legislative and regulatory basis for the area of concern; background and jurisprudence; relevant data of the case; decisions and rationale. **Storage Medium:** Paper. **Program Record Number:** HRDC INS 200

Control Management Services

Description: Information on overall planning, operational monitoring, coordination and analysis of activities and strategies. **Topics:** Consultations on national and regional

goals and objectives; operational planning and monitoring activities; project outlines; general matters dealing with control of abuse and fraud; analysis of operational statistics; prosecutions and fines; monetary penalties under section 33 of the Act; criminal activities. **Storage Medium:** Magnetic tape or disk. **Program Record Number:** HRDC INS 220

Control Policy

Description: Policies, recommendations, correspondence on investigations, prosecutions, administrative penalties, exchanges of information and co-operation in the area of investigations and control. **Topics:** Analysis of problems and issues; analysis of institutional and operational impact; policies regarding investigations and prosecutions; also includes policies and strategies in areas such as agriculture, fishing and fur trapping; policies governing the conduct of investigators, the exchange of information with federal departments, the provinces or law enforcement agencies; liaison with internal organizational components. **Storage Medium:** Paper. **Program Record Number:** HRDC INS 215

Control Programs

Description: Procedures, correspondence on control programs, investigations and prosecutions. **Topics:** Correspondence, publicity, evaluations on control programs such as Report on Hirings; guidelines, procedures, correspondence on investigations; authority of investigators; third-party or anonymous information; liaison with law enforcement agencies; warning letters and financial penalties provided for under section 33 of the Unemployment Insurance Act; guidelines and procedures for prosecutions; operational and statistical reports. **Storage Medium:** Paper. **Program Record Number:** HRDC INS 225

Control Programs — Payment of Employment Insurance Benefits

Description: Information on guidelines and strategies for the development of control programs. **Topics:** Voluntary disclosures; research projects; control program proposals; approved programs and lead-gathering initiatives for detecting and preventing fraud and abuse; control programs for certain industries, occupations or special classes, e.g. agriculture, fur trapping, forest fire fighters, multiple Insurance benefit claims, undeliverable T4Us, Record of Employment. **Storage Medium:** Paper. **Program Record Number:** HRDC INS 230

Control Programs — Social Insurance Numbers

Description: Information on the development of controls for the Social Insurance Number (SIN). **Topics:** General correspondence; discussion papers; enquiries; investigations concerning the issuance of SINs to immigrants who are not permanent residents; issuance of distinctive SINs (commencing with a "9") to persons who are not landed immigrants or Canadian citizens; persons with multiple SINs; possible fraud involving SINs of deceased persons, fraudulent SINs and cancelled SINs;

misuse of another person's SIN; transmission of questionable SINs. **Storage Medium:** Paper and computer files. **Program Record Number:** HRDC INS 235

Coverage and Premium Policy

Description: Policies on the insurability of employment. **Topics:** Correspondence on the insurability of employment; policy and legislative proposals; operational guidelines; agreements on the insurability of certain classes of workers; matters dealing with formal coverage rulings; insurability appeal; liaison with Revenue Canada Taxation (RCT); minutes of HRDC/RCT Operation Committee; review of RCT rulings; minutes of other committee meetings; activity reports. **Storage Medium:** Paper. **Program Record Number:** HRDC INS 175

Forms Development

Description: Information on the development and control of forms. **Topics:** Individual files containing requests for creating or revising forms; certifications; related correspondence. **Storage Medium:** Paper. **Program Record Number:** HRDC INS 275

Inquiries

Description: Inquiries to the Minister and senior Commission officials. **Topics:** Guidelines for handling inquiries; individual files showing the receipt, processing and preparation of replies; analyses of correspondence received; reports. **Storage Medium:** Paper. **Program Record Number:** HRDC INS 155

Insurance Management Services

Description: Information on planning, monitoring and analysis of unemployment insurance activities and strategies. **Topics:** Operation planning activities; operations assessment and monitoring; trend analyses and other reports of workload; resource utilization; productivity; quality and speed of service; reports on operational impact of proposals for legislative, policy and procedural change. **Storage Medium:** Paper. **Program Record Number:** HRDC INS 280

Insurance Program Services

Description: Operational policies and procedures on the delivery of insurance services and programs; project files including statistics on a variety of claimant service issues. **Topics:** Procedures and guidelines pertaining to claims for benefit, back-dating of claims, fishing benefits, work-sharing arrangements, assignment of benefits, processing of appeals, claimant interview program, advance payment of benefits and recovery of overpaid benefits; information on operating policies pertaining to sickness and maternity claims; disclosure of Insurance information; guidelines, procedures, directives to employers and regulatory proposals with respect to the records of employment; legislative and regulatory proposals and policy for the Social Insurance Number and Government Annuities programs; impact of postal disruptions on claims processing; monitored payment of benefits; representatives of claimants; transfer of claims; development and update

of the Agent Letter System; coordination of the claimant services officer function and the Employment Insurance Telecentre and the operational role for the automated voice response enquiry system; liaison with union hiring halls; guidelines on processing claims enquiries; hiring and remuneration of Insurance contract agents; liaison with claimant groups, union and industry representatives; activity reports; and correspondence with both national and regional responsibility centres on topics related to claimant services such as operational guidelines, project activity and levels of performance. **Storage Medium:** Paper and microcomputer disks. **Program Record Number:** HRDC INS 260

Insurance Quality Services

Description: Information on operating policies and procedures pertaining to the review of claim files for the Comprehensive Tracking System. **Topics:** Correspondence with the Human Resources Centres of Canada (HRCCs), with the regions and with the Auditor General's Office; guidance to the HRCCs on the review of claim files; annual reports and analysis; copies of claim files reviewed; and guidelines for the quality monitoring of claims. **Storage Medium:** Paper. **Program Record Number:** HRDC INS 285

Interstate Benefits

Description: Coordination of the interstate benefit activity and other special arrangements. **Topics:** Correspondence with Systems and regions; correspondence with individual states of the United States; reciprocal agreements; liaison with U.S. Railroad Retirement Board, United Kingdom Department of Health and Social Service; operational procedures; activity reports. **Storage Medium:** Paper and microcomputer disks. **Program Record Number:** HRDC INS 265

Labour Adjustment Benefit

Description: Information on the administration of the Labour Adjustment Benefit Program. **Topics:** Policies, procedures and correspondence pertaining to labour adjustment benefits; activity reports. **Storage Medium:** Paper. **Program Record Number:** HRDC INS 185

Manual and Automated Report on Hirings

Description: System used by employers to report hirings, manually, by printout, or by computer (Centralized Payroll System). **Topics:** General information on the manual and automated subsystems; problems and revisions of the subsystem; guidance to participating employers; interface with Systems. **Storage Medium:** Magnetic tapes and paper. **Program Record Number:** HRDC INS 240

Policy and Legislation Development

Description: Policies, draft legislation, correspondence related to the Insurance Program and other benefits provided by HRDC. **Topics:** Legislative reviews, procedures, information and policy papers on eligibility for sickness, maternity and adoption benefits; entitlement to benefit; payments to certain classes of individuals, such as

students and teachers; deceased or incapacitated claimants; monies considered as earnings for benefit purposes; payment of benefits to persons undertaking approved training or involved in job creation or work-sharing programs; coverage and insurability of employed workers.

Storage Medium: Paper. **Program Record Number:** HRDC INS 165

Premium Reduction Program

Description: Information on policies and audits pertaining to premium reduction. **Topics:** Legislative and regulatory proposals; policy statements; appeals; development of an approach to auditing employers; the granting of unemployment insurance premium reductions to employers. **Storage Medium:** Paper. **Program Record Number:** HRDC INS 205

Record of Employment

Description: Information on the processing of the third copy of the Record of Employment (ROE). **Topics:** Procedures, guidelines and correspondence on the processing of the third copy of the ROE forms and maintaining the ROE information bank. **Storage Medium:** Paper. **Program Record Number:** HRDC NSB 595

Record of Employment (ROE)

Description: Information on the processing of Record of Employment forms. **Topics:** Procedures, guidelines and correspondence on processing ROE forms and maintaining the ROE information bank. **Storage Medium:** Paper, magnetic tapes and microfilm. **Program Record Number:** HRDC INS 270

Release of Information

Description: Information on the release or exchange of SIN information. **Topics:** Guidelines and correspondence on the release of SIN information to individuals, other government departments or agencies; exchange of information and data with other government departments. (Details on the release of SIN information may be obtained by referring to the Personal Information Index.) **Storage Medium:** Paper. **Program Record Number:** HRDC NSB 590

Social Insurance Number Registration

Description: Information on applying for Social Insurance Numbers. **Topics:** Correspondence on legislative and regulatory proposals; processing SIN applications; supporting documentation (proof of identity) for Canadian citizens, permanent residents and individuals who are neither Canadian citizens nor permanent residents; replacement SIN cards; amendments to SIN records; mass registration; internal control and issuance of individual SINs; production and activity reports; quality of service survey and other studies on the SIN program. **Storage Medium:** Paper. **Program Record Number:** HRDC NSB 585

Supplemental Unemployment Benefits

Description: Information on the administration of the Supplemental Unemployment Benefit program. **Topics:** Policies and guidance; approval of Supplemental Unemployment Benefits plans; activity reports. **Storage Medium:** Paper and microcomputer disks. **Program Record Number:** HRDC INS 180

Tax Inquiries (T4U/TP4U)

Description: Requests for information on the T4U/TP4Us. **Topics:** Procedures for handling inquiries; issuing duplicate T4U/TP4Us; general correspondence. **Storage Medium:** Paper. **Program Record Number:** HRDC NSB 600

Unemployment Insurance

Premium Reduction Program

Description: Information on the granting and control of unemployment insurance premium reductions for employers with qualified salary replacement plans. **Topics:** Operational procedures; employer files containing applications, documentation, enquiries, complaints, appeals and audit reports; general enquiries; internal control of quality; mainframe computer database of employers with qualified or non-qualified salary replacement plans; operational and statistical reports and quality of service surveys and reports. **Storage Medium:** Paper, magnetic tapes and electronic micro-computer disk. **Program Record Number:** HRDC NSB 610

♦ Labour

Acts, Regulations and Legislation

Description: Information on representations, revision, development and amendments of Part III of the Canada Labour Code (Labour Standards) and the Fair Wages and Hours of Labour Act. **Topics:** Labour Standards — general; representations (for or against) the Code; rules and regulations of the Code; hours of work extension orders; interpretation coverage by industry; enquiries on the Code by industry; ministerial orders; enquiries outside the Canada Labour Code by province; posting of notice of the Code; studies complaints, investigations, shared-cost program projects, and enquiries. **Access:** Files arranged by industry, province and subject. **Storage Medium:** Paper. **Program Record Number:** HRDC ERC 180

Adjudication (Part III)

Description: Information on the appointments of adjudicators under the Canada Labour Code (Unjust Dismissal). **Topics:** Requests for the appointment of adjudicators to hear unjust dismissal complaints under — Part III of the Canada Labour Code. **Access:** Files arranged by individual and company. **Storage Medium:** Paper. **Program Record Number:** HRDC ASB 045

Arbitration (Part I)

Description: Information on arbitration under Part I of the Canada Labour Code (Industrial Relations). **Topics:** Arbitration — general; cases under Part I of the Canada Labour Code (Industrial Relations); studies and surveys; awards. **Access:** Files arranged by province, union and

company. **Storage Medium:** Paper **Program Record Number:** HRDC ASB 040

Collective Agreements

Description: All collective agreements under federal jurisdiction and agreements under provincial jurisdiction covering 100 or more employees. **Access:** Files arranged by bargaining unit and employer. **Storage Medium:** Microfiche for older agreements, paper files and computer tapes. **Program Record Number:** HRDC BLI 155

Collective Bargaining

Description: Information on major collective bargaining settlements including developments on negotiated wage adjustments and provisions in collective agreements. **Topics:** Collective bargaining; negotiated wage changes; provisions in collective agreements. **Access:** Files arranged by bargaining unit and employer. **Storage Medium:** Computer and microfiche. **Program Record Number:** HRDC BLI 160

Compensation

Description: Information on compensation legislation and coverage for federal employees and penitentiary inmates who make claims as a result of an accident or other work-related injury. **Topics:** Terms and conditions to establish coverage; legislation; provincial arrangements; special compensation arrangements for coverage of non-federal employees while on assignments with the Crown; Workers Compensation Board Claim Data. **Access:** Most files are compiled in machine-readable files. Files arranged by departments and agencies, subjects and provinces. **Storage Medium:** Paper **Program Record Number:** HRDC OSH 235

Complaints

Description: Information on complaints, investigations and prosecutions under Part III of the Canada Labour Code (Labour Standards). **Topics:** Complaints; legal options; investigations; reports and prosecutions against individuals, groups and companies; inspection procedures. **Access:** Files arranged alphabetically by individuals and companies. Files are located at regional offices. **Storage Medium:** Paper **Program Record Number:** HRDC ERC 185

Conciliation and Mediation

Description: Information on requests for conciliation or mediation assistance under Part I of the Canada Labour Code (Industrial Relations). **Topics:** Notices of Dispute, requests for conciliation and appointments of conciliation officers, commissioners and mediators. **Access:** Files arranged by bargaining unit. **Storage Medium:** Paper **Program Record Number:** HRDC MCB 050

Conferences and Committees

Description: Information on conferences and committees in which the Women's Bureau participates. **Topics:** Minutes; proceedings of conferences; committees; conventions; commissions; International Women's Year and meetings.

Access: Files arranged by subject. **Storage Medium:** Paper **Program Record Number:** HRDC WBA 005

Conferences and Meetings

Description: Information on activities between federal and provincial/territorial governments in the labour field, organization, arrangements and other secretariat services for the Canadian Association of Administrators of Labour Legislation, conferences and related matters. **Topics:** Minister's meetings; annual meeting; meetings of the executive. Standing committees include: Statistics and Research; Women in Employment; Occupational Safety and Health; Labour Relations and Labour Standards. **Storage Medium:** Paper **Program Record Number:** HRDC FPR 120

Conferences, Committees, Meetings

Description: Information on international conferences, committees and meetings in the labour field. **Topics:** Conferences — International Labour Organization annual conferences, preparatory and specialized international conferences, regional conferences. Committees — ILO Governing Body and its committees, industrial committees, Committee of Experts on the Application of Conventions and Recommendations. Meetings — Specialized meetings of experts; tripartite, international meetings. **Access:** Files arranged by conferences, committees, meetings. **Storage Medium:** Paper **Program Record Number:** HRDC IRB 085

Consent to Complain

Description: Requests for ministerial consent to make complaints to the Canada Labour Relations Board alleging unfair labour practices. **Topics:** Requests for ministerial consent to complain to the Canada Labour Relations Board under Part I section 97 (3) of the Canada Labour Code (bargaining-related complaints). **Access:** Files arranged by bargaining unit. **Storage Medium:** Paper **Program Record Number:** HRDC MCB 060

Corporations and Labour Unions Returns Act (CALURA)

Description: Copies of the non-confidential part of labour unions returns for public viewing. **Topics:** General; names of unions and organizations; names and addresses of unions; names of officers; number of members; names and addresses of employers with whom union has collective agreements. **Access:** Files arranged by union name. **Storage Medium:** Paper **Program Record Number:** HRDC BLI 150

Employment Equity —

Data Development and Systems Analysis

Description: Specifications for information requirements, systems, forms and reports for the mandatory Employment Equity Programs administered by HRDC; development and distribution of data on the labour market representation and distribution of the designated groups; provision of advice on occupational coding. **Topics:** Employment Equity Data Report, Employment

Equity Computerized reporting System, Federal Contractors Computerized System, Post-Secondary Education Data Report; general correspondence.

Storage Medium: Paper, diskettes, CD-ROM. **Program Record Number:** HRDC LAB 481

Employment Equity — Equity Advisory Services

Description: General information and correspondence pertaining to employment equity; guidance, training and tools for regional HRDC employment equity consultants; information-sharing with national employer, labour, professional and designated group organizations; employment equity program promotion and communications. **Topics:** Correspondence related to federal employment equity programs; briefing notes; information on how to develop and implement good employment equity programs; technical training manuals for regional consultants; publications for the general public and specific audiences (employers, organized labour, and designated group organizations); regional consultants' quarterly reports. **Storage Medium:** Paper and electronic. **Program Record Number:** HRDC LAB 496

Federal Contractors Program for Employment Equity

Description: Information on the implementation of employment equity by contractors with 100 employees or more and who seek to provide goods and services to the federal government. **Topics:** Guidelines for contractors; directorate operational plans and studies; review and assessment reports; ministerial communiques; general correspondence; merit awards in recognition of special efforts; goals and objectives of the Federal Contractors Program. **Storage Medium:** Paper and magnetic tapes. **Program Record Number:** HRDC LAB 484

Federal Contracts

Description: Information on federal contracts by department or agency to individuals and companies. **Topics:** General policy; labour conditions by federal departments and agencies. **Access:** Files arranged alphabetically by departments and agencies. Files located at regional offices. **Storage Medium:** Paper **Program Record Number:** HRDC ERC 190

Federal Industries

Description: Information on labour, industrial relations and collective bargaining with particular reference to the federal jurisdiction. **Topics:** Industrial relations issues and developments, collective agreement negotiations and disputes; federal industries — air and truck transport, railways, shipping and ferries, banks, Crown corporations, St. Lawrence Seaway, feed and flour mills, grain elevators, mining, pipelines, broadcasting, telephones and cable systems, and port operations. **Access:** Files arranged by industry and company, by union, and by subject. **Storage Medium:** Paper **Program Record Number:** HRDC TSO 030

Fire Commissioner of Canada

Description: Information on the responsibility of the Fire Commissioner of Canada for fire safety in and around government of Canada property. **Topics:** Fire protection; fire emergency organization; fire orders and drills; inspections and investigations; promotion and development of fire safety; fire loss statistics and reports; false alarms; fire safety equipment standards and engineering services. **Access:** Files and reports are located in headquarters and regional offices. **Storage Medium:** Paper **Program Record Number:** HRDC PPF 270

General Inquiries on Labour Relations

Description: Correspondence and general documentation on labour relations topics. **Topics:** Industrial labour conditions and labour relations — general; strikes and lock-outs; government departments and agencies; trade unions; individual unions; revitalization program railway passenger services (VIA Rail); air transport; banking; fishing; grain elevators; highway transport or trucking; mining and processing; radio and television; railways; shipping; stevedoring and longshoring; telephone and telegraph system; construction; shipbuilding; pulp and paper; metal trades; petroleum products; musicians; textiles; fur industry; meat packing industry; safety, seniority, pensions, hours of work, wages, dismissal. **Access:** Files arranged by industry, union and subject. **Storage Medium:** Paper **Program Record Number:** HRDC MCB 065

Hours of Work

Description: Information on hours of work, exemptions and related matters. **Topics:** Hours of work — general; policy; interpretations; application and reports; averaging; regulations; modification; studies, surveys; liaison — internal and provincial committees; individual industry exemptions. **Access:** Files arranged by industry, province and country. **Storage Medium:** Paper **Program Record Number:** HRDC ERC 195

Industrial Inquiry Commissions, Boards, Task Force on Labour Relations

Description: Information on Labour Relations — independent committees established to enquire into specific topics under Part I of the Canada Labour Code (Industrial Relations). **Topics:** Proceedings of the Task Force on Labour Relations (committee minutes, studies, briefs, Cabinet discussions, final recommendations); proceedings of various industrial inquiry commissions and boards established under the Canada Labour Code (minutes, submissions, reports and recommendations). **Access:** Files arranged by subject. **Storage Medium:** Paper **Program Record Number:** HRDC MCB 070

Inquiry Commissions

Description: Information on inquiry commissions pertaining to Part III of the Canada Labour Code (Labour Standards). **Topics:** Inquiry commissions generally; by subject; by industry; by section of the Code. **Access:** Files arranged by subject and by industry. **Storage**

Medium: Paper **Program Record Number:** HRDC ERC 200

International Centre for Advanced Technical and Vocational Training

Description: Information on the Department's international labour activities. **Topics:** Board meetings; information; bulletins; financial; Canadian membership on staff and advisory board; fellowship training (individual trainees). **Access:** Files arranged by individual, subject. **Storage Medium:** Paper **Program Record Number:** HRDC IRB 090

International Institute for Labour Studies

Description: Information on the Department's international labour activities. **Topics:** Canadian fellowship program (this program no longer accepts applications); financial; African regional seminar. **Access:** Files arranged by subject. **Storage Medium:** Paper **Program Record Number:** HRDC IRB 095

International Labour Organization

Description: Information on the Department's international labour activities involving the International Labour Organization (ILO). **Topics:** ILO — general; constitution; staffing; press releases; financial; reports; statistics; surveys; publications. **Access:** Files arranged by subject and sessions. **Storage Medium:** Paper **Program Record Number:** HRDC IRB 100

International Labour Organization — United Nations

Description: Information on the Women's Bureau involvement in the International Labour Organization (ILO), the Organization for Economic Co-operation and Development (OECD), and the United Nations, with regard to women in the labour force. **Topics:** ILO — general; Government of Canada (Article 22) to ILO; Eighth Conference of American States — Members of ILO; meetings of the Deputy Minister on ILO questions; ILO — meetings, conference sessions; United Nations — general; General Assembly of the United Nations (by session); International Committee on Human Rights; Economic and Social Council; Commission on Status of Women (by session, population, commission, conferences); and OECD (various committees). **Access:** Files arranged by subject. **Storage Medium:** Paper **Program Record Number:** HRDC WBA 010

International Services

Description: Information on the Department's international labour activities, including gathering of information. **Topics:** Sources of information by country, labour counselors. **Access:** Files arranged by country. **Storage Medium:** Paper **Program Record Number:** HRDC IRB 105

Jurisprudence

Description: Labour board and court decisions impacting on the interpretation of the Canada Labour Code, Part I. **Access:** Files arranged by style of cause and section

number of the Code. **Storage Medium:** Paper **Program Record Number:** HRDC LSP 035

Labour Law Documentation

Description: Information on labour statutes, bills, acts, regulations, publications, reports which facilitate labour research. **Topics:** Bills; Regulations; Revised Statutes and Gazettes for all jurisdictions in Canada; Canadian and American Labour Law Reports; publications on labour legislation; and special reports. **Storage Medium:** Paper **Program Record Number:** HRDC FPR 121

Labour Union Information

Description: Information on union membership, union constitutions and directory information on labour organizations. **Topics:** Union membership; union constitutions; labour organizations. **Access:** Files arranged by union name. **Storage Medium:** Paper **Program Record Number:** HRDC BLI 171

Labour-Management Partnerships Program (Projects)

Description: Information on financial contributions which support joint labour-management initiatives fostering more innovative, productive and cooperative workplaces. **Topics:** New industrial relations and approaches; joint labour-management initiatives, labour-management seminars and conferences. **Access:** Files arranged by organization. **Storage Medium:** Paper **Program Record Number:** HRDC LOS 135

Laws and Regulations — Safety and Health

Description: Information on safety legislation, standards and regulations. **Topics:** Revisions, developments, amendments, interpretations and jurisdictional matters under Part II of the Canada Labour Code (Occupational Safety and Health); development of safety regulations; federal and provincial legislation, standards and regulations. **Access:** Files arranged by topic, province and country. **Storage Medium:** Paper **Program Record Number:** HRDC OSH 240

Layoffs and Terminations

Description: Information on layoffs and terminations. **Topics:** Group and individual termination — general; interpretations; application policy; unjust dismissal — general and interpretations; redundancy and layoff program — general. **Access:** Files arranged by industry and subject. **Storage Medium:** Paper **Program Record Number:** HRDC ERC 210

Leave and Pay

Description: Information on general holidays, maternity, bereavement, sick leave, vacation with pay, severance and garnishment pay. **Topics:** Holidays — interpretations; substitution with or without collective agreement, by industry and federal departments; maternity leave, bereavement, and sick leave — interpretations by industry; vacations with pay — interpretation, waive vacation for year of employment, approving vacation for year of employment; severance pay — general;

garnishment — interpretations and policy. **Access:** Files arranged by industry and subject. **Storage Medium:** Paper **Program Record Number:** HRDC ERC 215

Liaison and Public Relations

Description: Information on Women's Bureau liaison with other organizations on women in the labour force. **Topics:** Liaison with provinces, departments, countries, associations and organizations; public relations — general; publications requested and received; suggestions and representations; complaints; and publicity. **Access:** Files arranged by subject, province, department and country. **Storage Medium:** Paper **Program Record Number:** HRDC WBA 015

Marion V. Royce Memorial Grants Program

Description: The Marion V. Royce Memorial Grants Program provides grants for special projects that focus on the improvement of the situation of women in their pursuit of equity in the workplace. Grants are awarded to promote the study of workplace and related issues which have an impact on women, to defray the costs of conferences and seminars, and to publish materials dealing with women's workplace issues. **Access:** By fiscal year. **Program Record Number:** HRDC WBA 016

Occupational Safety and Health

Description: Information on the reporting and investigation of hazardous occurrences and work-related injuries; investigations relating to the application of Part II of the Canada Labour Code dealing with occupational safety and health matters; also complaints and enquiries by individuals and employers. **Topics:** Hazardous occurrences — general reports of fatalities; employers' annual hazardous occurrence reports; investigations, complaints and enquiries relating to occupational safety and health. **Access:** Most files are located in regional offices by industry and employer. **Storage Medium:** Paper. **Program Record Number:** HRDC OSH 230

Occupational Safety and Health and Government Employees' Compensation Policy

Description: is responsible for the development of legislation, regulations and policies with respect to occupational safety and health in federally regulated workplaces to encourage workplaces which are safe and productive; is also responsible for policy development relating to the federal workers. **Topics:** Occupational safety and health (Part II of the Canada Labour Code), documentation from various tripartite committees established to revise or develop compensation legislation or regulations, feasibility studies; Government Employees Compensation Act, policy materials. **Access:** By subject. **Storage Medium:** Paper. **Program Record Number:** HRDC OSH 250

Organization for Economic Co-operation and Development

Description: Information on the Organization for Economic Co-operation and Development in which the Department has a strong interest, including minutes and

proceedings. **Topics:** Directorates; committees; working parties; committee of experts; seminars; conferences; commissions. **Access:** Files arranged by session and subject. **Storage Medium:** Paper **Program Record Number:** HRDC IRB 110

Post Certification First Contact

Description: Information related to Branch contact with parties following the certification of unions by the Canada Labour Relations Board for the purpose of ensuring their understanding of the dispute settlement provisions under Part I of the Canada Labour Code (Industrial Relations). **Access:** Files arranged by bargaining unit. **Storage Medium:** Paper **Program Record Number:** HRDC MCB 076

Preventive Mediation

Description: Information on preventive mediation assignments of Branch staff to assist parties in resolving problems during closed period of their collective agreements. **Topics:** Preventive mediation cases. **Access:** Files arranged by bargaining unit. **Storage Medium:** Paper **Program Record Number:** HRDC MCB 075

Research and Statistics —

Occupational Safety and Health

Description: Statistical information on work-related injuries and workers' compensation, and on costs of proposed amendments to occupational safety and health regulations, obtained through surveys or from administrative records. The information includes Canada's provincial Workers' Compensation Boards annual injury claim counts and related expenditures, federal jurisdiction employers annual work injury and employment counts, federal government employees compensated injuries counts and amounts of compensation, Canada's work-related fatality details including worker information and fatal injury details. **Topics:** Occupational Injuries and Their Cost; Part II of the Canada Labour Code (Occupational Safety and Health); employers' register; Employers' Annual Hazardous Occurrence Investigation Reports; fatality reports; grain dust medical surveillance data. **Access:** Records (electronic and paper) arranged by subject and by province and/or employer. **Storage Medium:** Majority is on electronic medium. **Program Record Number:** HRDC OSH 245

Safety and Health

Description: Information on safety and health surveys (in automated form), studies on hazards to workers in various sectors, and other activities to assist in the development of improved safety for workers. **Topics:** Occupational safety and health topics; inquiry commissions and boards; provincial inspection agreements; monitoring studies. **Access:** Files arranged by subject and province. **Storage Medium:** Paper **Program Record Number:** HRDC OSH 250

Safety and Health Committees/Representatives

Description: Information on Safety and Health committees as established under Part II of the Canada

Labour Code, sections 136 and 137. **Topics:** Committee minutes; guidelines; monitoring studies. **Access:** Files arranged by province, company and department.

Storage Medium: Paper **Program Record Number:** HRDC OSH 255

Strikes and Lock-outs

Description: Data on strikes and lock-outs. **Topics:** Strikes and lock-outs. **Access:** Files arranged by employer establishment. **Storage Medium:** Computer tape. **Program Record Number:** HRDC BLI 170

Technical Services

Description: Development and dissemination of data on designated groups, formatting and dissemination of availability data; design and operation of micro-computer programs to analyze employment equity reports submitted annually by federally regulated employers; development of operational definitions of designated groups, technical training. **Topics:** General correspondence, information on research relating to the employment of target groups, data on target groups, development and maintenance of a number of repositories across Canada of employment equity reports. **Storage Medium:** Paper and magnetic tapes. **Program Record Number:** HRDC LAB 490

Technical Support

Description: Information on the development and design of hardware, software, database, information centre, administration of data, EDP Policy, Training, EDP Standards, and contracts. **Topics:** Correspondence; tenders and contracts; security of EDP installations; study on radiation from terminal screens; computer activity reports; systems and programming standards; software master control programs and utilities for all systems, EDP policy, database studies, schedules and information, EDP standards and contracting documentation. **Storage Medium:** Magnetic tapes and paper. **Program Record Number:** HRDC SYS 560

United Nations

Description: Information on labour activities at the United Nations. **Topics:** Financial, press releases; United Nations development programs; United Nations Economic and Social Council — commissions, specialized agencies, technical assistance board. **Access:** Files arranged by subject. **Storage Medium:** Paper **Program Record Number:** HRDC IRB 115

Visible Minorities

Description: Information on policies, strategies and programs to improve employment opportunities for visible minorities. **Topics:** Correspondence with Canadian Human Rights Commission, Treasury Board Secretariat, Department of the Secretary of State, visible minorities organizations, visible minority and immigrant women's organizations. Liaison with other government and non-government organizations; impact of legislation, policies, strategies, programs and special studies relating to visible minorities employment. **Storage Medium:**

Paper and magnetic tapes. **Program Record Number:** HRDC LAB 476

Wage Recovery Appeals (Part III)

Description: Information on the appointments of wage recovery referees under the Canada Labour Code (Recovery of Wage). **Topics:** Requests for the appointments of referees to hear wage recovery claims under Part III of the Canada Labour Code. **Access:** Files arranged by employers and individuals. **Program Record Number:** HRDC LAB 100

Wages

Description: Information on minimum wages on deferments, equal wages and payment of wages. **Topics:** Minimum wages — general, interpretations by industry, paid on a basis other than time; reduced wages for the handicapped and trainees; statement of wage exemptions; deferments — general; equal wages — general, interpretation by industry; payment of wages — general and interpretations. **Access:** Files arranged by industry and subject. **Storage Medium:** Paper **Program Record Number:** HRDC ERC 225

Workplace Equality Fund

Description: The Workplace Equality Fund provides financial assistance for projects promoting equity for women in the workplace. Information includes application forms, project descriptions, general correspondence, notifications of funding and contribution agreements with successful applicants. **Access:** Files arranged by fiscal year and name of applicant. **Storage Medium:** Paper **Program Record Number:** HRDC ERC 275

Workplace Information Directorate

Description: Information on activities to encourage and facilitate access to the public information required by those involved in the collective bargaining process. **Topics:** Liaison and co-operation with federal and provincial departments and agencies, management organizations and associations, labour unions, universities, and regional and international offices. **Access:** Files arranged by universities, associations, regional offices, labour unions, federal departments and agencies, conferences, meetings, seminars. **Storage Medium:** Paper **Program Record Number:** HRDC BLI 145

Briefing, Cabinet and Parliamentary Affairs

Description: Cabinet documents, briefing notes/books, and speaking notes; Cabinet business lists, timetables and agendas; Question Period cards and transcripts; Parliamentary Returns, written questions, petitions, motions and requests for documents; departmental briefing system and Upcoming Events Report; guidelines for drafting briefing notes and Cabinet documents. **Topics:** Analysis of Cabinet documents and advice to Ministers, information on HRDC's planned Cabinet activities, weekly timetables of meetings of Cabinet Committees, Cabinet Committee agendas; anticipated questions in the House of Commons and recommended

responses, transcripts of Question Period proceedings, Parliamentary Correspondence and replies, House motions, petitions and document requests and replies; requests for briefings and replies; information on upcoming events involving HRDC Ministers, Deputy Ministers and senior officials. **Storage Medium:** Paper and computer disks. **Program Record Number:** HRDC SEC 046

Briefing, Cabinet and Parliamentary Affairs

Description: Cabinet documents, briefing notes/books, and speaking notes; Cabinet business lists, timetables and agendas; Question Period cards and transcripts; Parliamentary Returns, written questions, petitions, motions and requests for documents; departmental briefing system and Upcoming Events Report; guidelines for drafting briefing notes and Cabinet documents. **Topics:** Analysis of Cabinet documents and advice to Ministers, information on HRDC's planned Cabinet activities, weekly timetables of meetings of Cabinet Committees, Cabinet Committee agendas; anticipated questions in the House of Commons and recommended responses, transcripts of Question Period proceedings, Parliamentary Correspondence and replies, House motions, petitions and document requests and replies; requests for briefings and replies; information on upcoming events involving HRDC Ministers, Deputy Ministers and senior officials. **Storage Medium:** Paper and computer disks. **Program Record Number:** HRDC SEC 046

Corporate Committees and Partnerships

Description: Lists of partnership/stakeholder groups correspondence, briefings, profiles on major private sector associations, labour unions, and other non-governmental organizations that are in regular contact with HRDC on policy matters and programs. Primary descriptive information about the organization, its mandate, membership, names of senior officers, publications and communication policies. A record of the contacts between representatives of the organizations and departmental officials and any resulting action. **Storage Medium:** Database. **Program Record Number:** HRDC SEC 038

Executive Correspondence Centre — ECC

Description: Guidelines and procedures on the handling of ministerial/deputy ministerial correspondence and memoranda; statistical reports related to the control, tracking and reporting of both incoming and outgoing ministerial correspondence; writing of correspondence for the Minister's signature; acknowledgement and telephone inquiries services; liaison and coordination activities; trends and analysis; recording, storing and retrieval capacity. **Topics:** Prioritizing, analyzing, processing and routing of Minister's and Deputy Minister's correspondence (control of In-Basket); formatting and presentation of documentation; volumes of correspondence, production and average turn-around times; responses to written correspondence for Minister's signature; acknowledges correspondence on behalf of MO and responds/reacts accordingly to telephone inquiries from MPs, public;

analysis and preparation of trends based on public writing in to the Ministers; records and operational services to Ministers/Deputy Ministers. **Storage Medium:** Paper and magnetic media. **Program Record Number:** HRDC SEC 031

Executive Management Information Services — EMIS

Description: Statistical reports related to the tracking and control of ministerial correspondence; user and system documentation on the various computer systems developed and maintained by EMIS. **Topics:** Volume of correspondence, production and average turn-around times; design specifications and instructions for use of the Ministerial Correspondence System and other custom-designed office automation systems used by the Corporate Secretariat; inventories of software and hardware holding of EMIS and its clients and of requisitions in progress. **Storage Medium:** Paper and magnetic media. **Program Record Number:** HRDC SEC 032

Executive Services

Description: Information, documentation and decisions concerning corporate issues, strategy and planning activities. **Topics:** Commission, Headquarters Executive Management meeting agendas, minutes, records of decisions, briefing notes and documents (policy and legislation); procedures for Governor in Council submissions and safekeeping of Orders-in-Council; HRDC's annual regulatory plan and coordination of translation and interpretation services within HRDC. **Storage Medium:** Paper and magnetic tapes. **Program Record Number:** HRDC SEC 030

International Information

Description: Documentation on other countries and organizations in the fields of welfare and social affairs; exchange of information between Canada and international organizations; personnel exchanges and visits between Canada and other countries. **Access:** By country and by organization. **Program Record Number:** HRDC PPI 065

International Social Development

Description: Files on international relations by country. **Topics:** United Nations General Assembly; Economic and Social Council; Commission for Social Development; Bilateral agreements; International Years and Conferences; miscellaneous committees, conferences and meetings; welfare organizations, such as the International Council on Social Welfare. **Access:** By country and by organization. **Program Record Number:** HRDC PPI 045

Social Policy

Description: Information on federal social concerns, on research undertaken on income and employment issues, and on analyses of proposed initiatives in the economic and employment field. **Topics:** Social services; social environment; population aging; special interest groups, including seniors, the homeless, victims of family violence, families and disabled persons; income security;

employment policy; pensions; economic and income trends. **Program Record Number:** HRDC PPI 076

Social Program Information

Description: Descriptive and quantitative information on Canadian conditions and programs in the income security/social welfare sector. **Topics:** Income security benefits; social services; social security statistics, child welfare issues. **Program Record Number:** HRDC PPI 091

Welfare

Description: Reports by the Council. **Topics:** Income security programs, welfare reform, medicare, poverty lines and poverty statistics, the retirement income system, taxation, labour market issues, social services and legal aid. **Program Record Number:** HRDC NCW 090

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Audits

Budgets

Buildings

Buildings and Properties

Classification of Positions

Co-operation and Liaison

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Lands

Occupational Health, Safety and Welfare

Office Appliances

Official Languages

Pensions and Insurance

Personnel

Procurement

Salaries and Wages

Staff Relations

Training and Development

Utilities

Vehicles

Personal Information Banks

♦ Corporate Services

Access to Information Requests

Description: This bank contains Access Request Forms sent by individuals pursuant to the Access to Information Act, the replies to such requests and information related to their processing. Information on exclusions or exemptions claimed or on complaints handled may also be included, as well as information on right of access by virtue of being a Canadian citizen, permanent resident or in a class created by order-in-council. **Class of Individuals:** Canadian citizens, permanent residents and other persons approved by the Governor in Council who have requested access to information held by HRDC, as well as persons who have authorized Canadian citizens or permanent residents to request access to their personal information on their behalf. **Purpose:** Information in this bank is used to process requests under the Access to Information Act and to report on the number and type of requests received, fees collected, administration costs and complaints handled. **Consistent Uses:** Information may be used for internal audit purposes. It may be provided to private sector research firms for planning, statistics, research and evaluations. **Retention and Disposal Standards:** These request files are retained for two years. **Contact:** Director, Privacy and Security Directorate, National Headquarters (See address under "Additional Information" at the end of this chapter). **Related to PR#:** HRDC FAS 040 **TBS Registration:** 002393 **Bank Number:** HRDC PPU 410

Accounts Payable

Description: Information contained in this bank includes names and addresses of firms and individuals, invoices for services rendered or products provided detailing the amount owing, and any supporting documentation to substantiate the account. **Class of Individuals:** Canadian and foreign citizens. **Purpose:** The purpose of this bank is to maintain information on the payment of accounts to firms or individuals for services or products provided to Human Resources Development Canada. **Consistent Uses:** This bank is used to account for all amounts of money paid to firms or individuals, and to authorize cheques. **Retention and Disposal Standards:** Six fiscal years. **PAC Number:** 78-001 **TBS Registration:** 000454 **Bank Number:** HRDC PPU 055

Application for Employment

Description: Information in the bank includes curricula vitae and related correspondence of persons interested in working for Human Resources Development Canada and requests for transfers from employees of other departments within the federal government. **Class of Individuals:** All applicants for employment with HRDC are identified in the bank. **Purpose:** The purpose of the bank is to maintain a record of applications for employment with HRDC. **Consistent Uses:** The information may be used for internal audit purposes. It may be provided to

private sector research firms for the purposes of planning, statistics, research and evaluation. **Retention and Disposal Standards:** These records are retained for a period of two years. **Contact:** Director, Human Resources Services, National Headquarters or Regional Human Resources Managers (See addresses under "Additional Information" at the end of this chapter). **TBS Registration:** 001970 **Bank Number:** HRDC PPU 420

Benefit and Overpayment Master File

Description: This bank is a computerized record of benefit information based on documents used to establish first and continuing entitlement. The documents are retained in the Insurance Claim File — Local Office (HRDC PPU 150), at Regional Offices or at National Headquarters. (Under exceptional circumstances, the information in the bank may be held manually). This bank may also contain information from the Revenue Canada PAYDAC and Taxation Taxpayer Master Files. **Class of Individuals:** Individuals who are in receipt or have received employment insurance benefits. **Purpose:** Information in this bank is used to determine the status of a claim, whether employment insurance benefits are payable or not, the amount payable. It is also used to keep a record of each payment or adjustment made during the life of the claim. Data from Revenue Canada PAYDAC and Taxation Taxpayer Master Files are used by HRDC in the administration of the Employment Insurance Act and control activities. **Consistent Uses:** Data from Revenue Canada PAYDAC File are used by HRDC for evaluation, statistical, internal audit and planning purposes. Some selected information is duplicated in an income tax system for issuance of T4U's and related statements, and is provided to Revenue Canada and, where applicable, to Revenue Quebec for taxation purposes. Information may be shared with Statistics Canada for statistical, analytical and planning purposes. Information may also be shared with Revenue Canada for the purpose of locating debtors to the Crown. Other uses may include the sharing of information subject to formal agreement with federal, provincial, and municipal governments, such as welfare and education departments, for the administration and enforcement of their programs or laws, the coordination of payment of benefits and for research. Information may also be shared with employers for the purpose of assisting them in fulfilling their responsibilities under the Employment Insurance Act. It may be shared with employers and trustees of approved Supplemental Unemployment Benefit Plans for the purpose of the administration of such plans and with the Department of Justice for the purpose of administering the Family Orders and Agreements Enforcement Assistance Act. Information is also shared with authorized parties in order to obtain information to assist the Commission in the administration of the EI Program and in the collection of overpayments. Some of the information may be provided to private sector survey companies under contract for HRDC-related evaluations, research, statistical and planning purposes (sometimes referred to as the EI "Status Vector File"). These uses comply with the provisions of the Privacy Act

and have been approved under Section 127 of the Act by the Minister. Releases to third parties of information obtained under the Employment Insurance Act are subject to the provisions of Section 127 of the Act. **Retention and Disposal Standards:** Records are retained for seven years. **Contact:** Director, Human Resource Centre of Canada (consult local telephone directory for address). **Related to PR#:** HRDC INS 190 **TBS Registration:** 002362 **Bank Number:** HRDC PPU 180

Canada Labour Code Suspense Account

Description: Information contained in this bank includes company names, names of former employees owed money, Social Insurance Numbers and, if available, the employees' last known address as well as other supporting documentation. **Class of Individuals:** Canadian citizens. **Purpose:** The purpose of this bank is to locate and remit to individuals monies owing to them pursuant to Section 33 of the Canada Labour Standards Regulations under Part III of the Canada Labour Code. **Consistent Uses:** This bank is used to account for all the sums of money received by the department on behalf of the employees. **Retention and Disposal Standards:** To be determined. **TBS Registration:** 003325 **Bank Number:** HRDC PPU 056

Departmental Accounts Receivable System (DARS)

Description: This bank contains information relating to accounts receivable, other than unemployment insurance overpayments or immigration loans which are owed by individuals to the Commission and the Receiver General for Canada. It is a computerized record of accounts receivable and all the transactions affecting those accounts. Each record contains the name, address, age, sex, marital status, Social Insurance Number and preferred language of the debtor, together with information relating to the reason for, the amount and the repayment method of the account receivable. **Class of Individuals:** All individuals who have received overpayments under Human Resources Development Canada programs, other than employment insurance overpayments, or who have accounts receivable, other than overpayments, such as employment insurance fines. **Purpose:** This information was compiled and obtained to facilitate the collection of accounts receivable in compliance with Treasury Board directives on maintaining records of all such accounts owed to Canada. Information is also collected in compliance with the requirements of the Public Accounts of Canada and with various directives from the Auditor General of Canada and the Receiver General for Canada. **Consistent Uses:** Accounts receivable are reported in the Public Accounts of Canada. DARS is linked with the Training Allowance Payment System at Public Works and Government Services Canada (PWGSC) which passes records of overpayments and recoveries of training allowances back to DARS from the former Documentation for the National Institutional Training Program (HRDC PPU 074). This bank is matched with the Report of Hirings (HRDC PPU 210) and the individual income tax return (RCT PPU 005) to trace the individual. It is also linked with

Employment Insurance Overpayment (HRDC PPU 161) in order to trace the individual and recover the accounts receivable from insurance benefits. This bank is linked with the Report on Hirings (HRDC PPU 210) for the purpose of recouping the accounts receivable from overpayments of employment insurance benefits and to trace the individual. It is also linked with the Individual Income Tax Return (RCT PPY 005) in order to pursue the collection of debts due to the Crown. It may be provided to private sector research firms for planning, statistics, research and evaluations. **Retention and Disposal Standards:** Statements, vouchers, ledgers and registers on paper are retained for six years. Magnetic tape records are retained for three years. **Contact:** Director General, Policy and Systems Directorate, Financial and Administrative Services, National Headquarters (See address under "Additional Information" at the end of this chapter). **TBS Registration:** 002403 **Bank Number:** HRDC PPU 164

Employment Insurance Overpayment

Description: This bank includes the following information: name, address, telephone number and Social Insurance Number of individuals to whom financial penalties and/or overpayments have been made, and may also include amounts repaid and outstanding, present claim status, credit reports, tracing actions and comments of claimants and collecting officers, and related correspondence. Information is duplicated in part on the Insurance Claim File (HRDC PPU 150), and on the computerized Benefit and Overpayment Master File (HRDC PPU 180). **Class of Individuals:** Individuals who have received employment insurance benefits in excess of entitlement. **Purpose:** This bank is used to record and monitor the collection of outstanding employment insurance overpayments. This bank is a computerized record of overpayment information based on documents used to establish and record overpayment recovery activity. **Consistent Uses:** Information from this bank may be used by Human Resources Development Canada for purposes of research, planning, evaluation, statistics and may be provided to private sector research firms for these purposes. The information may be used for internal audits. This bank is also matched with the Record on Hirings (HRDC PPU 210), the Departmental Accounts Receivable System (HRDC PPU 164) and the Individual Income Tax Return (RCT PPY 005) in order to trace individuals. **Retention and Disposal Standards:** Information is retained for three years after the overpayments are repaid, recouped or written off. **Contact:** Director General, Policy and Systems, Financial and Administrative Services, National Headquarters (See address under "Additional Information" at the end of this chapter). **Related to PR#:** HRDC INS 230 **TBS Registration:** 002402 **Bank Number:** HRDC PPU 161

Employment Insurance Program Evaluation

Description: This bank contains information from various surveys done as part of the employment insurance program evaluation activities. The information concerns

situations that precede or follow the use of the program. Workers, the unemployed and employment insurance claimants must provide identification and their Social Insurance Number to obtain access to these files.

Class of Individuals: Workers, employers, employment insurance training sponsors, unemployed people and employment insurance claimants. **Purpose:** This bank helps to determine the effectiveness and efficiency of the employment insurance program, whether or not its objectives are being attained and how it affects claimants. It also provides information about the behaviour, expectations and perceptions of users and participants involved in the program. In no instance is this information used to make administrative decisions affecting individuals who have provided the information. **Consistent Uses:** The information may be used for internal audit purposes and linked to other evaluation studies. It may be provided to private sector research firms for planning, statistics, research and evaluations. Each record of a surveyed person is also matched with the Benefits and Overpayments Master File (HRDC PPU 180) or with the Longitudinal Labour Force File (HRDC PPU 335). **Retention and Disposal Standards:** The questionnaires are kept for three years from the date the survey was completed. Personalized data are kept on magnetic tapes for five years or until the completion of a new evaluation study on the same subject matter. **Contact:** Director, Macro Evaluation, Evaluation and Data Development. **Related to PR#:** HRDC SPP 690,695 **TBS Registration:** 002399 **Bank Number:** HRDC PPU 371

Evaluation of Employment Programs for Youth

Description: This bank contains information from administrative forms and follow-up surveys of participants in youth employment programs (students and non-students), on registered and non-registered clients and on job orders in Canada Employment Centres for students. The data include socio-economic characteristics and services CEC-registered clients received from CEC counselors, and employment outcomes. Persons seeking access to the information bank should supply their project number and their employee number. **Class of Individuals:** Participants in youth employment programs. **Purpose:** The data will be used for the evaluation of the various youth employment programs. **Consistent Uses:** This information may be used for internal audit purposes. It may be provided to private sector research firms for planning, statistics, research and evaluations. **Retention and Disposal Standards:** Information is normally kept for three years. **Contact:** Director, Evaluation Services, Evaluation and Data Development. **Related to PR#:** HRDC SPP 711 **TBS Registration:** 002005 **Bank Number:** HRDC PPU 350

Human Rights Case Files

Description: This bank contains copies of documents, correspondence and other forms of communication or information relating to complaints filed by individuals under the Canadian Human Rights Act against Human Resources Development Canada and with the Canadian

Human Rights Commission. **Class of Individuals:**

Persons who have filed complaints against HRDC under the Canadian Human Rights Act. **Purpose:** The information was collected in order to retain copies of all material relevant to complaints so that they may be analyzed and resolved. **Consistent Uses:** Summary complaint data are used for management information purposes, and depersonalized individual case data are used for training purposes. It may be provided to private sector research firms for planning, statistics, research and evaluations. **Retention and Disposal Standards:** The personal information is retained for three years after the last administrative action. **Contact:** Director, Privacy and Security Directorate, National Headquarters (See address under "Additional Information" at the end of this chapter).

Related to PR#: HRDC FAS 045 **TBS Registration:** 002394 **Bank Number:** HRDC PPU 415

Information Disclosures to Investigative Bodies

Description: The bank contains copies of requests for disclosure of personal information in letter form or Treasury Board form 350-56 (83/2) (Request for Disclosure to Federal Investigative Bodies) submitted to HRDC under paragraph 8(2)(e) of the Privacy Act by investigative bodies listed in Schedule II of the Privacy Regulations and where agreements exist under paragraph 8(2)(f) of the Privacy Act by investigative bodies carrying out lawful investigations. This bank also contains the replies to such requests and particulars related to their processing. **Class of Individuals:** Persons under investigation by federal or provincial investigative bodies pursuant to law. **Purpose:** The bank is used to record disclosures of personal information to federal law enforcement bodies under paragraph 8(2)(e) or 8(2)(f) of the Privacy Act and to report to the Privacy Commissioner on the number of these requests. **Retention and Disposal Standards:** The information in this bank is retained for two years after the date of last entry. **Contact:** Director of Privacy and Security, National Headquarters (See address under "Additional Information" at the end of this chapter).

Related to PR#: HRDC FIN 055 **TBS Registration:** 002373 **Bank Number:** HRDC PPU 400

Labour Market Survey 1986

Description: This bank contains information gathered on former unemployment insurance claimants in a labour market survey conducted in 1986. Information in this bank includes labour market activities, family composition, educational and training activity and educational background, individual and family income from employment, financial and labour market difficulties encountered while unemployed, details regarding relocation within Canada in search of employment, and labour market activities at the time of the survey. **Class of Individuals:** Former unemployment insurance benefit claimants. **Purpose:** Information in this bank was obtained for the purpose of statistical analysis in support of policy research activity undertaken within Human Resources Development Canada. However, data held in this bank are used exclusively to permit respondents to gain access to their own records

upon request. In no instance will information be used to make administrative decisions affecting individuals who have provided the information. Authority for the collection of this information is provided by the Unemployment Insurance Act, the National Training Act, and the Employment and Immigration Department and Commission Act. **Consistent Uses:** The information may be provided to private sector research firms for the purposes of planning, statistics, research and evaluation. **Retention and Disposal Standards:** Forms are retained for a period not exceeding 10 years. **Contact:** Chief, Technical Support Services, Unemployment Insurance Analysis Directorate **Related to PR#:** HRDC SPP 695 **TBS Registration:** 002401 **Bank Number:** HRDC PPU 327

Longitudinal Labour Force File

Description: The bank contains all of the following information: Social Insurance Number, sex, date of birth, name and initials of the person. It may contain information on income, periods of employment and of unemployment, eligibility for employment insurance and/or social assistance, family situation, education, National Training Program courses taken and other employment services received. The information is drawn from the National Training Program banks, Canadian Jobs Strategy banks, National Employment Services banks, employment insurance administrative files (HRDC PPU 180 and HRDC PPU 385), Social Assistance Recipients files (HRDC PPU 383), SIN registrations, T-1, Child Tax Benefits, T4-S and T4-F issued for income tax purposes. This information is updated regularly, a practice begun in 1971. **Class of Individuals:** Clients who have participated in the programs identified above. **Purpose:** The purpose of the bank is to maintain information on the work history of individuals in a form useful for research and analysis. It is also used for the evaluation of employment, income support and insurance programs and to elaborate or modify policies related to these programs. **Consistent Uses:** Consistent uses for some information include it being used by government and non-government organizations to conduct research into the labour force, the labour market and other related fields. Some may also be used for internal audit purposes. It may be provided to private sector research firms for planning, statistics, research and evaluations. **Retention and Disposal Standards:** The information is kept for 25 years after the last official use in order to undertake longitudinal analyses and studies. **Contact:** Director, Data Development Directorate **Related to PR#:** HRDC SPP 705 **TBS Registration:** 002009 **Bank Number:** HRDC PPU 335

Micro Evaluation

Description: This bank contains information from various surveys, administrative forms and interviews done as part of the Micro Evaluation Division activities. The data might include participants' socio-economic characteristics, information on their employment situation following program participation, and their opinions on satisfaction with the projects' constituents. It may also contain information from interviews with representatives, sponsors,

key informants and experts related to the projects evaluated. **Class of Individuals:** Participants to the various HRDC projects evaluated by the Micro Evaluation Division. **Purpose:** This bank helps to determine the effectiveness and efficiency of HRDC projects, whether or not the objectives of the programs are being attained. In no instance is the information used to make administrative/operational decisions affecting individuals who have provided the information. **Consistent Uses:** The information may be used for internal audit purposes and linked to other evaluation studies. **Retention and Disposal Standards:** The questionnaires are kept for three years from the date the survey is completed. Personalized data are kept on magnetic tapes for five years or until the completion of a new evaluation study on the same subject matter. **Contact:** Director, Micro Evaluation, Evaluation and Data Development. **TBS Registration:** 003775 **Bank Number:** HRDC PPU 445

National Training Program Participant Follow-up

Description: This bank contains information collected from surveys to monitor participants in training, including work history and post-training pay, retention and use of skills after training, and satisfaction with the Program. The bank also contains administrative data on participants such as name, sex, date of birth, marital status, education level and course description. **Class of Individuals:** A sample of participants in institutional training courses purchased under the program, participants in on-the-job training and, for comparison, a small number of CEC clients and unemployment insurance claimants. **Purpose:** The data were used in the evaluation of the effectiveness of the National Training Program. **Consistent Uses:** The information may be used for internal audit purposes. It may be provided to private sector research firms for the purposes of planning, statistics, research and evaluation. **Retention and Disposal Standards:** The computerized database is retained for five years after completion of the evaluation. **Contact:** Director, Micro Evaluation, Evaluation and Data Development. **Related to PR#:** HRDC SPP 680 **TBS Registration:** 002400 **Bank Number:** HRDC PPU 340

Personal Service Contracts

Description: The bank may contain information on the qualifications and work experience of the contractor, letters of reference, details of the contract and assessments of the contractor's performance. **Class of Individuals:** Individuals who have signed personal service contracts with HRDC. **Purpose:** The purpose of this bank is to document individuals who have signed personal service contracts with HRDC. The information may be used to determine terms of payment, contract extension or renewal, and in other decisions pertaining to the contract. **Consistent Uses:** Information may be used for internal audit purposes. It may be provided to private sector research firms for planning, statistic, research and evaluations. **Retention and Disposal Standards:** Files are destroyed six fiscal years after termination of contract. **Contact:** Director, Assets Management, National Headquarters or Regional Chiefs of Materiel Management

(See addresses under "Additional Information" at the end of this chapter). **PAC Number:** 86-001 **TBS Registration:** 001785 **Bank Number:** HRDC PPU 425

Privacy Act Requests

Description: This bank contains the Personal Information Request Forms sent by individuals requesting access to their files, the replies to such requests and information related to their processing. Information on exemptions claimed or on complaints handled may also be included. **Class of Individuals:** Individuals who apply under the Privacy Act for their personal file. **Purpose:** information in this bank is used for processing requests for personal information made under the Privacy Act and to report on the access requests and complaints handled annually. **Consistent Uses:** The information may be used for internal audit purposes. It may be provided to private sector research firms for planning, statistics, research and evaluations. **Retention and Disposal Standards:** Files are kept for two years. **Contact:** Director, Privacy and Security Directorate, National Headquarters or Regional Privacy Advisor (See addresses under "Additional Information" at the end of this chapter). **PAC Number:** 85-001 **Related to PR#:** HRDC FAS 040 **TBS Registration:** 001783 **Bank Number:** HRDC PPU 405

Program Evaluation and Monitoring

Description: This bank contains information from various surveys, administrative forms and interviews done as part of the Program Evaluation and Monitoring Division activities. The data might include participants' socio-economic characteristics, information on their employment situation following program participation, and their opinions on satisfaction with the projects' constituents. It may also contain information from interviews with representatives, sponsors, key informants and experts related to the projects evaluated. **Class of Individuals:** Participants to the various HRDC programs evaluated by the Program Evaluation and Monitoring Division. **Purpose:** This bank helps to determine the effectiveness and efficiency of HRDC projects, whether or not the objectives of the programs are being attained. In no instance is the information used to make administrative/operational decisions affecting individuals who have provided the information. **Consistent Uses:** The information may be used for internal audit purposes and linked to other evaluation studies. **Retention and Disposal Standards:** The questionnaires are kept for three years from the date the survey is completed. Personalized data are kept on magnetic tapes for five years or until the completion of a new evaluation study on the same subject matter. **Contact:** Director, Strategic Evaluation and Monitoring, Evaluation and Data Development **TBS Registration:** 003776 **Bank Number:** HRDC PPU 450

Social Assistance Recipients

Description: This bank contains monthly data on provincial social assistance recipients. Included are data describing the basic characteristics of the applicants, i.e. age, sex, family status, number of dependants, as well as

case-related information such as total benefit and other sources of income. **Class of Individuals:** Individuals who receive or have recently received social assistance benefits under provincial laws. **Purpose:** Information is used for carrying out studies, analysis and evaluations to ensure that federal labour market, labour market-related, income support policies and programs are developed and implemented in a manner consistent with national economic and social goals. **Consistent Uses:** The information may be provided to private sector research firms under contract to HRDC for the purposes of planning, statistics, research and evaluation. **Retention and Disposal Standards:** The data will be kept until the end of the agreements with the provinces. **Contact:** Director, Data Development Directorate. **Related to PR#:** HRDC SPP 650 **TBS Registration:** 002398 **Bank Number:** HRDC PPU 383

Survey of Ex-Clients of the Unemployment Insurance Program

Description: This bank contains data collected through surveys of former unemployment insurance benefit claimants. Information in this bank concerns the circumstances of claimants' becoming unemployed, methods used to secure employment, relocation from one location in Canada to another in search of employment, financial difficulties while unemployed, educational and training activities, family composition, individual and family income, and other labour market activities. This bank currently contains the following surveys: Exhaustee Surveys of August 1982, October 1982, June 1984 and August 1988, the Labour Market Survey of 1985, and the 1991 Survey of Ex-Clients who received Sickness Benefits. Individuals seeking access to the information provided by them in response to a labour market survey must provide their name, the year they participated in the survey and their address and telephone number at the time of the survey. The very least amount of information required is the Social Insurance Number of the individual and the year of participation in the survey. **Class of Individuals:** Former unemployment insurance benefit claimants. **Purpose:** Information in this bank is obtained for the purpose of statistical analysis in support of policy research activity undertaken within Human Resources Development Canada. Data from the surveys are used to tabulate aggregated statistics. These statistics are to be used in reports, research, and evaluation studies. In no instance is information used to make administrative decisions affecting individuals who have provided the information. Authority for the collection of this information is provided by the Unemployment Insurance Act.

Consistent Uses: Consistent uses include merging with the Benefits and Overpayment Master file (HRDC PPU 180), and may include merging with the Longitudinal Labour Force file (HRDC PPU 335), the Record of Employment file (HRDC PPU 385) and T4-S files for the purpose of statistical analysis only. In no instance is the resulting merged information used for administrative purposes, and all merged records are stripped of personal identifiers. The information may be provided

to private sector research firms for planning, statistics, research and evaluations. **Retention and Disposal Standards:** Bank data are retained for a period of time in accordance to the National Public Archives Retention Schedules. **Contact:** Chief, Technical Support Services, Insurance Analysis Directorate. **Related to PR#:** HRDC SPP 690 **TBS Registration:** 002397 **Bank Number:** HRDC PPU 324

♦ Human Resources Investment Branch

Canada Employment Centre Client's Counselling Case File

Description: Information on file contains such items as: The individual's name and address, counselor's comments on possible employment barriers such as criminal record summaries, diagnostic services report, provincial government rehabilitation reports, information from social services agencies, correspondence relating to enquiries from national headquarters and Members of Parliament. Individuals seeking access to this information should provide date of birth and CEC where last registered. **Class of Individuals:** Information contained in the data file concerns identified registered clients in the Canada Employment Centre (CEC) area whose employment-related needs are such that particularly sensitive information is required. **Purpose:** The purpose of this data file is to assist in administering employment-related services such as counselling and in determining a client's suitability for job referral or other services. Accurate records of counselling activities provide support for decisions made within counselling with respect to programs and services to assist client's movement towards employability. In cases where more than one counselor is involved in working with a client, up-to-date records also help to avoid costly duplication of efforts and ensure continuity of service to clients. **Consistent Uses:** Uses of information from this file may include sharing with co-operating agencies in the human resources or social service fields in order to facilitate entry or re-entry into the labour market, and for assistance in selection and placement, and with diagnosticians concerning the results of aptitude and interest tests. Release of information is subject to provisions of section 96 of the Unemployment Insurance Act. Information may also be used for internal audit purposes. **Retention and Disposal Standards:** This information is destroyed two years after last administrative action. **Contact:** Manager, Canada Employment Centre where last registered (consult telephone directory for address) **Related to PR#:** HRD EMP 425 **TBS Registration:** 001995 **Bank Number:** HRDC PPU 010

Canada Pension Plan — General Assistance — Approval of Items of Special Need

Description: This bank records requests for federal approval of items of special need for provincial, municipal or territorial social assistance recipients. It includes name, address, provincial file number, the type and cost of the items for which approval is requested. Only recipients of provincial, municipal or territorial social assistance

payments with special needs are included. **Class of Individuals:** Individuals who are recipients of provincial, municipal or territorial social assistance. **Purpose:** The bank is used to approve costs in excess of \$5000 under the special needs cost-sharing provisions of the Canada Assistance Plan Act. This information is also required for audit and review purposes. **Retention and Disposal Standards:** Records remain active for two years, they are then sent to Archives and remain dormant for four years. **PAC Number:** 81-023 **Related to PR#:** HRDC SSP 395 **TBS Registration:** 000050 **Bank Number:** HRDC PPU 211

Canada Student Loans (Full-time) Computer Loans Master File

Description: Under the Canada Student Loans Act, the federal government guarantees repayment of and pays interest subsidies on loans made by chartered banks and other authorized lenders to qualifying full-time students at post-secondary institutions. Canada Student Loans are authorized by all provinces except Quebec and the Northwest Territories which have chosen to operate their own loans programs. Data include name, social insurance number, date of birth, sex, marital status and loan information. Individuals seeking access to this information bank must provide name, social insurance number and date of birth. Individuals may seek access only to their own records. Information concerning an individual's loan records may not be released to a third party without written authorization from the individual concerned, in keeping with provisions of the Privacy legislation. **Class of Individuals:** Individuals with Canadian citizenship or landed immigrant status at the time of loan authorization. **Purpose:** This information bank is used to monitor loan disbursements and repayments to enable interest subsidies to be paid. **Consistent Uses:** For the purpose of administering the Canada Student Loans Act information may be made available to provincial authorities, chartered banks and authorized lenders. Information may also be made available to designated agents of the Student Assistance Branch (e.g. collection agencies, Department of Justice, federal departments and others) to assist in the recovery of debts owed to the Crown. **Retention and Disposal Standards:** Input documents are retained for 10 years and computer records are retained for 60 years from the date of entry to the database. **PAC Number:** 83-025 **Related to PR#:** HRDC ESP 010 **TBS Registration:** 000485 **Bank Number:** HRDC PPU 030

Canada Student Loans (Part-time) Computer Loans Master File

Description: Under the Canada Student Loans Act, the federal government guarantees repayment of loans made by chartered banks and other authorized lenders to qualifying part-time students. Part-time Canada Student Loans are authorized by all provinces except Quebec and the Northwest Territories. Data includes name, social insurance number, date of birth, sex, marital status and loan information. Individuals seeking access to this information bank must provide name, social insurance

number and date of birth. Individuals may seek access only to their own records. Information concerning an individual's loan records may not be released to a third party without written authorization from the individual concerned, in keeping with provisions of the Privacy legislation. **Class of Individuals:** Individuals with Canadian citizenship or landed immigrant status at the time of loan authorization. **Purpose:** The information bank is used to monitor loans disbursements. **Consistent Uses:** For the purpose of administering the Canada Student Loans Act, information may be made available to provincial authorities, chartered banks and authorized lenders. Information may also be made available to designated agents of the Student Assistance Branch (e.g. collection agencies, Department of Justice, federal departments and others) to assist in the recovery of debts owed to the Crown. **Retention and Disposal Standards:** Input documents are retained for 10 years and computer records are retained for 60 years from the date of entry to the database. **PAC Number:** 83-025 **Related to PR#:** HRDC ESP 010 **TBS Registration:** 000487 **Bank Number:** HRDC PPU 042

Canada Student Loans Claims Files (Individual)

Description: This bank serves as a manual control file on borrowers who have defaulted on their student loan and where the government has paid the lender in accordance with the Canada Student Loans Act, or on any individual who has written to the program for any reason. Data content includes original loan documentation, correspondence, and information on the personal circumstances related to the default of the borrower. Individuals seeking access to this information bank must provide name, social insurance number and date of birth. Individuals may seek access only to their own records. Information concerning an individual's loan records may not be released to a third party without written authorization from the individual concerned, in keeping with provisions of the Privacy legislation. **Class of Individuals:** Individuals with Canadian citizenship or landed immigrant status at the time of loan authorization. **Purpose:** To provide legal basis for collecting debts due the Crown. **Consistent Uses:** For the purpose of administering the Canada Student Loans Act, information may be made available to provincial authorities, chartered banks and authorized lenders. Information may also be made available to designated agents of the Student Assistance Branch (e.g. collection agencies, Department of Justice, federal departments and others) to assist in the recovery of debts owed to the Crown. **Retention and Disposal Standards:** Records are retained for 35 years after the file is no longer active. **PAC Number:** 83-025 **Related to PR#:** HRDC ESP 010 **TBS Registration:** 000484 **Bank Number:** HRDC PPU 025

Canada Student Loans Computer Claim Master File

Description: The bank serves as a control file for borrowers who have defaulted on their student loan and where the government has paid the lender in accordance with the Canada Student Loans Act. Data include social insurance number, name, date of birth, sex and detailed

information concerning the default. Individuals seeking access to this information bank must provide name, social insurance number and date of birth. Individuals may seek access only to their own records. Information concerning an individual's loan records may not be released to a third party without written authorization from the individual concerned, in keeping with provisions of the Privacy legislation. **Class of Individuals:** Individuals with Canadian citizenship or landed immigrant status at the time of loan authorization. **Purpose:** The bank is used to monitor activity on a defaulted borrower's account.

Consistent Uses: For the purpose of administering the Canada Student Loans Act, information may be made available to provincial authorities, chartered banks and authorized lenders. Information may also be made available to designated agents of the Student Assistance Branch (e.g. collection agencies, Department of Justice, federal departments and others) to assist in the recovery of debts owed to the Crown.

Retention and Disposal Standards: Records are retained 10 years after activity ceases.

PAC Number: 83-025 **Related to PR#:**

HRDC ESP 010 **TBS Registration:** 000486 **Bank**

Number: HRDC PPU 035

Canada Student Loans Interest Relief Computer Database

Description: Under the Canada Student Loans Program, borrowers who are Canadian residents who are unable, because of unemployment or illness, to repay their Canada Student Loans without financial hardship may apply for up to 18 months interest subsidy which is paid by the federal government. Data includes name, social insurance number, date of birth, sex, marital status, address, family income and size, reasons for application and loan data. Individuals seeking access to this information bank must provide name, social insurance number and date of birth. Individuals may seek access only to their own records. Information concerning an individual's loan records may not be released to a third party without written authorization from the individual concerned, in keeping with provisions of the Privacy legislation. **Class of Individuals:** Individuals with Canadian citizenship or landed immigrant status at the time of loan authorization. **Purpose:** This information bank is used to assess eligibility for the plan and to monitor payments to lenders. **Consistent Uses:** For the purpose of administering the Canada Student Loans Act, information may be made available to chartered banks and authorized lenders. Information may also be made available to designated agents of the Student Assistance Branch (e.g. collection agencies, Department of Justice, federal departments and others) to assist in the recovery of debts owed to the Crown.

Retention and Disposal Standards: Input documents are retained for 10 years and computer records are retained for 60 years from the date of entry to the database. **PAC Number:** 83-025 **Related to PR#:**

HRDC ESP 010 **TBS Registration:** 000488 **Bank**

Number: HRDC PPU 044

Canadian Jobs Strategy

(from July 1, 1988 up to March 31, 1992)

Description: This bank may include the following types of information regarding participants in Job Development, Job Entry, Skill Investment, Skill Shortages and Community Futures, Industrial Adjustment Service: name, address, Social Insurance Number, telephone number, work history (curriculum vitae), occupational and demographic data, labour force status, self-identified special interest group membership, training and wage information, tenure on IAS committee, date of termination and other CJS project-specific information. In 1991/92, the CJS program framework was changed to include the following four programs: Employability Improvement Program; Labour Market Adjustment Program; Community Development; Information and Special Initiatives. **Class of Individuals:** Clients who have participated in the programs identified above, persons serving as chair or member of a committee under an agreement with IAS, and those contracted under agreement to do consulting work for the committee.

Purpose: This bank is used to retain information on and monitor clients, in the above-mentioned programs of the Canadian Jobs Strategy, who started after July 1, 1988 (up to March 31, 1992) and to retain information on IAS committee members and persons related to the committee work in accordance with the IAS agreement. **Consistent**

Uses: This information is used by Human Resources Development Canada for statistics, planning, research, reimbursement, internal audit and evaluation purposes and may be provided to private sector research firms for these purposes other than reimbursement. CJS information is used by Statistics Canada for evaluation and survey purposes. The RCMP may use the information for the investigation of offenses against the Unemployment Insurance Act. These disclosures have been approved by the Minister under section 96 of the Unemployment Insurance Act. **Retention and Disposal Standards:** The CJS files on paper at the CEC are destroyed two years after participant completion of the programs. Machine readable records are maintained for 25 years. IAS information is held primarily in regional offices or at National Headquarters in the case of national IAS agreements. IAS files on paper are retained for two years. **Contact:**

Manager, Canada Employment Centre (consult telephone directory for address) **Related to PR#:** HRDC CJS 298

TBS Registration: 002412 **Bank Number:**

HRDC PPU 078

Canadian Jobs Strategy —

Community Futures Program (CFP)

Description: This bank may contain correspondence relating to the projects as well as curricula vitae of the committee chairpersons and members of the Board of Directors of the Business Development committees.

Class of Individuals: Individuals residing in designated communities.

Purpose: This bank is used to administer the Community Futures component of the Canadian Jobs Strategy. **Consistent Uses:** This information is used by Human Resources Development Canada for statistics, planning, research, internal audit and evaluation purposes.

The RCMP may use the information for the investigation of offenses against the Unemployment Insurance Act. It may also be provided to private sector research firms for the planning, statistics, research and evaluation functions.

Retention and Disposal Standards: The files on approved projects are kept at the CEC for six fiscal years after the project terminates; machine-readable records are maintained for 25 years. **Contact:** Manager, Canada Employment Centre (consult telephone directory for address) **Related to PR#:** HRDC CJS 303 **TBS Registration:** 002409 **Bank Number:** HRDC PPU 071

Canadian Jobs Strategy — Income Support

Description: This bank may contain the following types of information regarding participants in Institutional Training (1981-1987), Youth Training (1984-1986), Job Entry (1986-91), Direct Purchase and Coordinating Group options (from 1986): Name, Social Insurance Number, address, telephone number, sex, self-identified special interest group membership, number of dependants, marital status, education, pre-training labour force status, occupation, training course information and income support entitlement data; course test results, course termination or discontinuation notices, unauthorized absences and other income support transactions. **Class of Individuals:** Clients who have participated in the programs identified above. **Purpose:** This bank is used to inform participants and to provide them with income support either from Unemployment Insurance or in the form of allowance cheques issued by Public Works and Government Services Canada. It is also used to monitor participation and to produce T4/relevé 1 documents at year end. **Consistent Uses:** (1) The information is used by Human Resources Development Canada for statistics, planning, research, internal audit and evaluation purposes and may be provided to private sector research firms for this purpose. (2) The information is also shared with other federal, provincial and municipal social agencies such as Veterans Affairs and social welfare and education departments for the coordination of services to clients. Justice Canada uses information for family orders enforcement where authorized by law, and Statistics Canada for evaluation and survey purposes. These disclosures have been approved by the Minister under section 96 of the Unemployment Insurance Act, the Taxation Act or the Family Orders and Agreements Enforcement Assistance Act. (3) The RCMP uses the information for the investigation of offenses against the Unemployment Insurance Act. Revenue Canada (Taxation) uses it for cross-audit and for income tax purposes, where authorized by law. (4) It may also be disclosed to provincial authorities for assessment under the terms of an agreement (ie. Canada-Quebec Accord) or arrangement. **Retention and Disposal Standards:** The files on paper at the CEC are destroyed two years after the client's participation has ended. The computerized records at Public Works and Government Services Canada are destroyed after the client's T4 has been issued, and those at NHQ are maintained for 25 years either at HRDC or the National Archives of Canada. **Contact:** Manager, Canada

Employment Centre (consult telephone directory for address) **Related to PR#:** HRDC CJS 296, 390 **TBS Registration:** 002405 **Bank Number:** HRDC PPU 074

Canadian Jobs Strategy — Project-based Programs

Description: This bank may contain the following types of information regarding participants in Job Development 1985-July 1991, Challenge since 1985, Community Futures, Self-Employment Incentive and Skill Shortages programs and Community Futures Relocation option (1985-1991); name, address, Social Insurance Number, telephone number, occupational and demographic data, labour force status, self-identified special interest group membership, training and wage information, date of termination and other project-specific information. The computerized information in this bank is maintained at the HRDC Facilities Management site. **Class of Individuals:** Clients who have participated in the programs identified above. **Purpose:** This bank was used to retain information on and monitor clients in Canadian Jobs Strategy from 1985 to July 1991 and to provide a comparative database for analyses. **Consistent Uses:** This information is used by Human Resources Development Canada for statistics, planning, research, internal audit and evaluation purposes and may be provided to private sector research firms for this function. The RCMP may use the information for the investigation of offenses against the Unemployment Insurance Act. It is used by Statistics Canada for evaluation and survey purposes. These disclosures have been approved by the Minister under section 96 of the Unemployment Insurance Act. **Retention and Disposal Standards:** The information on paper at the CEC is destroyed two years after participants' completion of programs. Machine-readable records are maintained for 25 years to provide a comparative database for the analysis of long-term participation in various programs. **Contact:** Manager, Canada Employment Centre (consult telephone directory for address) **Related to PR#:** HRDC CJS 296, 390 **TBS Registration:** 002404 **Bank Number:** HRDC PPU 076

Canadian Jobs Strategy — Work-based Programs

Description: Information in this bank may include the following types of information regarding participants in the National Industrial Training Program, the Skill Shortages and Skill Investment Programs (1985-91), and Critical Trade Skills Training Programs (1975-85): Name, address, Social Insurance Number, telephone number, occupational and demographic information, notice of training termination and other course-related and contract information. **Class of Individuals:** Clients who participated in the National Training Program, the Critical Trade Skills Program from 1975-85 and the Skill Shortage and Skill Investment Programs of the Canadian Jobs Strategy between 1985 and July 1991. **Purpose:** This bank was used to retain information on and monitor clients in the training programs and is now used as historical records on program activities. **Consistent Uses:** This information is used by Human Resources Development Canada for statistics, planning, research, internal audit and evaluation purposes and

may be provided to private sector research firms for this objective. The RCMP may use the information for the investigation of offenses against the Unemployment Insurance Act. It is used by Statistics Canada for evaluation and survey purposes. These disclosures have been approved by the Minister under section 96 of the Unemployment Insurance Act. **Retention and Disposal Standards:** The information on paper at the CEC is destroyed two years after participants' completion. Machine-readable records are maintained for 25 years.

Contact: Manager, Canada Employment Centre (consult telephone directory for address) **Related to PR#:**

HRDC CJS 300, 305 **TBS Registration:** 002406

Bank Number: HRDC PPU 073

Canadian Rural Transition Program

Description: This bank contains the application forms showing the applicant's name, address and Social Insurance Number. The forms are placed in applicants files for reference purposes, as well as relevant documentation, including financial information on the applicants, presented with the application form. **Class of Individuals:** Operators of agricultural farm businesses who apply for assistance, when they are forced to leave this business because of financial difficulties. **Purpose:**

Information requested on the application form will be utilized to determine the applicant's eligibility for program assistance, as well as to establish what members of the applicant's family may be entitled to program assistance. **Consistent Uses:** Information provided on the application form will enable HRDC to confirm the applicant's eligibility. It may be provided to private sector research firms for the purpose of planning, statistics, research and evaluation. The Social Insurance Number will be utilized to verify that the applicant is a Canadian citizen, and is not collecting unemployment insurance or social assistance. The documents provided with the application will contain the bank's (or other) confirmation of the applicant's financial status. **Retention and Disposal Standards:** Files on approved applications will normally be kept by Canada Employment Centres for six years after the last administrative action; machine-readable records are maintained for 25 years. **Contact:** Manager, Canada Employment Centre (consult telephone directory for address) **TBS Registration:** 001824 **Bank Number:** HRDC PPU 430

Caribbean-Mexican Seasonal Agriculture Workers

Description: Information on the worker may include name, address, Social Insurance Number, previous experience, sex, height and work history, as well as the name of the employer. More detailed information about the employee may be contained in HRDC PPU 295 and the Landed Immigrant Data System. Details governing wages, hours of work, living conditions and employer/employee wages, hours of work, living conditions and employer/employee rights and obligations may be found in the employer/employee agreement. Persons seeking access should provide their approximate dates of employment and the name and location of the employer.

Class of Individuals: Persons temporarily in Canada under the Commonwealth Caribbean or the Mexican Seasonal Agricultural Workers Program who are employed as seasonal labourers in the agricultural and food processing sectors during peak periods when Canadians are not available in sufficient numbers. **Purpose:** The purpose of this bank is to record information on persons temporarily in Canada as seasonal workers in the agricultural and food processing sectors and to identify employers participating in either the Commonwealth Caribbean or the Mexican Seasonal Agricultural Workers Program. **Consistent Uses:** Employer information is provided to the provincial Ministry of Health for certification of the premises, and to foreign government representatives in Canada who assist in filling the order. Employee information may be shared with the Immigration Enforcement Branch and statistical information is provided to Statistics Canada and Agricultural Canada. These uses have been approved by the Minister under section 96 of the Unemployment Insurance Act. Releases of information are subject to the provisions of section 96 of the Unemployment Insurance Act. The information may be used for internal audit purposes and may be provided to private sector research firms for the purposes of planning, statistics, research and evaluation. **Retention and Disposal Standards:** Information is retained in the local Canada Employment Centre for two years after the last action. **Contact:** Manager, Canada Employment Centre (consult telephone directory for address) **Related to PR#:** HRDC EMP 385 **TBS Registration:** 001999 **Bank Number:** HRDC PPU 110

Contractors, Agricultural Employment Services (AES)

Description: This bank may include the following types of information: Name and address, experience, work history (curriculum vitae), a copy of the agreement with HRDC, reports of on-site visits by HRDC staff and related correspondence. In the Province of Quebec, this agreement is between the Commission and "La Corporation du service de la main-d'oeuvre agricole de l'Union des producteurs agricoles du Québec" (UPA), and covers all AES points of service in that province. Information is held primarily in regional offices. Requests for access must be made to the appropriate regional office, giving name and location of the AES office. **Class of Individuals:** Persons who are serving as Agricultural Employment Services Contractors under an agreement with HRDC. **Purpose:** The purpose of the bank is to maintain contracts and related information on those persons who are serving as AES Contractors. **Consistent Uses:** This information may be used by Employment Services and Legal Services of HRDC. It may also be used for internal audit purposes and may be provided to private sector research firms for the purposes of planning, statistics, research and evaluation. **Retention and Disposal Standards:** The material is retained for two years following termination of the agreement. **Contact:** Agriculture Employment Consultant, HRDC Regional Office **Related to PR#:** HRDC EMP 365 **TBS Registration:** 001998 **Bank Number:** HRDC PPU 105

Employers of Live-in Caregivers

Description: This bank may include the following type of information: name, address, Revenue Canada Taxation number, telephone number of employer; names and ages of children and their relationship to employer, children's hours at school; children's behavioral/health problems if any; information on whether employer presently employs a caregiver and/or has applied for another caregiver; relationship of caregiver to employer. Information regarding the applicant is stored in HRDC PPU 295. **Class of Individuals:** Employer in private household in Canada seeking a "live-in caregiver" to provide child, elder care or care of the disabled in a private household. **Purpose:** To help the National Employment Service render a validation decision on an offer of employment to a foreign (live-in) caregiver. **Consistent Uses:** Will be used for internal audit and statistical purposes; also will be used to evaluate the effectiveness of the program in meeting the Canadian labour market demand; information on worker and employer ID will be shared with immigration in Canada and posts abroad to determine whether the applicant may enter, remain and/or work in Canada as a live-in caregiver. **Retention and Disposal Standards:** Files will be kept by Canada Employment Centres at least two years after the last action. **Contact:** Manager, Canada Employment Centre (consult telephone directory for address) **TBS Registration:** 003127 **Bank Number:** HRDC PPU 440

Employment Development Programs up to 1985

Description: Information in this bank may include name, address, Social Insurance Number, occupation and demographic data, wage subsidy information on clients who participated in the following programs: Local Economic Development Assistance, Canada Community Services projects, Canada Community Development projects, Work Sharing Program, Portable Wage Subsidy Program, Program for the Employment-Disadvantaged, Local Employment Assistance and Development Program, Canada Works, Career Access, New Employment Expansion and Development, Job Corps and Unemployment Insurance (section 25). These programs were the forerunners of the Canadian Jobs Strategy. **Class of Individuals:** Clients who participated in the above-mentioned programs. **Purpose:** This bank was used to retain information on and monitor clients in the programs, and it now provides a comparative database for analyses. **Consistent Uses:** This information is used by Human Resources Development Canada for statistics, planning, research and evaluation purposes and may be provided to private sector research firms for these uses. It may be used for internal audits. It is used by Statistics Canada for evaluation and survey purposes. The disclosures have been approved by the Minister under section 96 of the Unemployment Insurance Act. **Retention and Disposal Standards:** Machine readable records are maintained for 25 years. **Contact:** Regional Office (consult telephone directory for address) **Related to PR#:** HRDC CJS 390 **TBS Registration:** 002407 **Bank Number:** HRDC PPU 077

Employment Programs and Services Follow-up Surveys

Description: This bank includes the labour market status of participants and information on the impact of training or other employment services on their employability and, if they are employed, their occupation, etc. **Class of Individuals:** Participants in the Employability Improvement, Labour Market Adjustment, and Community Development programs, and any future training or employment services programs, who have completed or discontinued training or work experience with an employer, or in a public or private institution. The surveys ceased as of those participants who completed as of January 1, 1995. **Purpose:** The purpose of the bank is to determine the effectiveness of the training and employment services offered through Canada Employment Centres and to enable better planning and decision-making at local, regional and national levels. **Consistent Uses:** The information may be used for corporate reporting, internal audit and evaluation purposes, and by Statistics Canada for survey purposes. It may also be provided to private sector research firms for the purposes of planning, statistics, research and evaluation. **Retention and Disposal Standards:** The computer files are maintained for 25 years. **Contact:** Chief, MIS, National Projects and Coordination, HRIB, NHQ. **TBS Registration:** 002411 **Bank Number:** HRDC PPU 101

Income Supplement Program for Older Workers

Description: Information contained in this bank includes such statistics as age, number of years in the labour force, number of hours worked per year, other sources of income, and benefit rates gathered through applications to the Program. **Class of Individuals:** Canadian residents. **Purpose:** The purpose of this bank is to assist the Department in monitoring and reviewing the Income Supplement Program for Older Workers (ISPOW), which provides assistance to older laid-off workers affected by the restructuring at Algoma Steel Inc. ISPOW is a jointly funded and administered federal-provincial program, and is the policy responsibility of Industry, Science and Technology Canada. However, the Department has agreed to administer ISPOW in conjunction with its provincial counterpart, the Ministry of Labour for Ontario. **Consistent Uses:** The bank is used to determine the eligibility of workers for income assistance as established by the operation plan of the Program. **Retention and Disposal Standards:** The disposal criteria for this bank are to be established. **TBS Registration:** 003190 **Bank Number:** HRDC PPU 080

Labour Adjustment Benefits Program

Description: Information contained in this bank includes such statistics as age, previous employment, and reason for layoff gathered through applications to the Program. **Class of Individuals:** Canadian residents **Purpose:** The purpose of this bank is to assist the Labour Adjustment Review Board (LARB) in certifying older workers as eligible to apply for benefits under the LAB Program. As set out in the Labour Adjustment Benefits Act, the

Program provides income assistance to certified older workers who have been permanently laid off from establishments in certain designated industries (Textiles, Clothing, Footwear and Tanning) and regions. **Retention and Disposal Standards:** Records are being transferred to National Archives of Canada for retention. **TBS Registration:** 003516 **Bank Number:** HRDC PPU 050

National Adoption Desk Case Files

Description: Contains records relating to the international adoption of children, including personal information about the prospective parents (may include employment and income, police statement, home study, etc.) and about the child (birthdate, birthplace, social and medical history, etc.); correspondence between provinces, the federal government and foreign governments and institutions. **Class of Individuals:** Parents wishing to adopt children from other countries and children available for adoption. **Purpose:** Information is required to facilitate the international adoption process. **Consistent Uses:** Information is used by provincial governments, and institutions and foreign authorities. **Retention and Disposal Standards:** Case files are retained for two years after the adoption order is received. **TBS Registration:** 003490 **Bank Number:** HRDC PPU 218

National Institutional Training Program Follow-up Survey

Description: This bank includes information on the post-training labour market status of trainees and on the impact of training on their employability and, if they are employed, their occupation. **Class of Individuals:** Trainees in the Skill, Job Readiness, Work Adjustment and Occupational Orientation programs and in Language and Basic Training for Skill Development who withdrew from or completed a full-time training course in a public or private training institution prior to March 1987. **Purpose:** This bank was used to determine the effectiveness of the training and to budget for courses prior to 1987. The results of individual courses or occupations, in terms of post-training labour market status of trainees, are frequently used to isolate problem areas and to determine which training courses should be discontinued, maintained or expanded. **Consistent Uses:** This information may be used for internal audit and by Statistics Canada for evaluations and surveys. It may be provided to private sector research firms for the purposes of planning, statistics, research and evaluation. **Retention and Disposal Standards:** The computer files are maintained for 25 years. **Contact:** Director, Planning and Coordination, National Headquarters (See address under "Additional Information" at end of this chapter). **Related to PR#:** HRDC CJS 320 **TBS Registration:** 002410 **Bank Number:** HRDC PPU 100

National Training Program Master Trainee Inventory

Description: This bank contains a record of courses taken, their location and starting and finishing dates. It also contains clients' Social Insurance Number, age, sex and marital status, as well as information on income

support accorded and on course completion and results. **Class of Individuals:** Clients who were enrolled in the institutional training program from 1968 to 1981 when the new institutional training information system, with CEC inquiry capabilities, was implemented. **Purpose:** This information bank serves as a reference file to permit identification of HRDC training courses taken by clients over many years. **Consistent Uses:** The information may also be provided to private sector research firms for the purposes of planning, statistics, research and evaluation. **Retention and Disposal Standards:** The microfilm will be kept for 30 years to serve as reference for courses taken by clients. **Contact:** Director, Operations, HRIB, National Headquarters (See address under "Additional Information" at the end of this chapter). **Related to PR#:** HRDC CJS 295 **TBS Registration:** 002408 **Bank Number:** HRDC PPU 060

National Welfare Fellowships

Description: This bank records information provided by applicants for National Welfare Fellowships to enable the selection committee to decide on the successful candidates in the competition and to administer the awards of the winners. Contents include educational and employment history, study plans and the amount of the award. Only those who have made application for a National Welfare Fellowship are included. The bank is used by the selection committee once a year to assess applications and grant awards, which are determined on the basis of actual costs. The names of successful candidates are made public. **Class of Individuals:** General public; individuals wishing to continue their university studies. **Purpose:** The information is compiled by the individuals themselves in order to obtain a National Fellowship award according to the appropriate program criteria. **Consistent Uses:** The information is used by the selection committee once a year to assess applications and grant awards. **Retention and Disposal Standards:** Applications are maintained for three fiscal years for unsuccessful applicants and six fiscal years for successful applicants. **PAC Number:** 78-034 **Related to PR#:** HRDC SSP 410 **TBS Registration:** 000046 **Bank Number:** HRDC PPU 190

Plant Workers' Adjustment Program

Description: Information contained in this bank includes date of layoff, salary at layoff, and benefit rates gathered through applications to the Program. **Class of Individuals:** Fish plant workers and trawlermen aged 50 to 64 who have been permanently laid off because of declining fish stocks in the Atlantic fishery. **Purpose:** The purpose of this bank is to assist the Department in monitoring and reviewing the Plant Workers' Adjustment Program (PWAP). The program is jointly administered and financed by the federal and provincial governments, and provides income replacement assistance through the purchase of annuities to older fish plant workers and trawlermen aged 50 to 64 who have been permanently laid off because of declining fish stocks in the Atlantic fishery. **Consistent Uses:** The bank is used to determine the eligibility of

workers for income assistance as established by the operation plan of the Program. **Retention and Disposal Standards:** The disposal criteria for this bank are to be established. **TBS Registration:** 003189 **Bank Number:** HRDC PPU 075

Program for Older Worker Adjustment

Description: Information contained in this bank includes such statistics as age, previous employment, number of years in the labour force, number of hours worked per year, other sources of income, mobility and benefit rates gathered through applications to the program. **Class of Individuals:** The individuals concerned are Canadian residents. **Purpose:** The purpose of this bank is to assist the Department in monitoring and reviewing the Program for Older Worker Adjustment (POWA). As set out in an amendment to the Department of Labour Act and in agreement with various provinces, the program provides income assistance for eligible workers between the ages of 55 and 64 who have been permanently laid off.

Consistent Uses: The bank is used to determine the eligibility of workers for income assistance as established by the operational plan of the program. **Retention and Disposal Standards:** The disposal criteria for this bank are to be established. **TBS Registration:** 003188 **Bank Number:** HRDC PPU 070

Registration for Employment File

Description: This bank may contain some or all of the following information: The individual's name, address and Social Insurance Number, demographic data, the individual's employment preference, education and qualifications, disabilities, employment history, self-identification of a designated group, citizenship status and general comments on the client. These comments include employment barriers, as well as reason for not being able to work. **Class of Individuals:** Persons registering for employment with a Canada Employment Centre. **Purpose:** The purpose of this bank is to assist worker-clients in establishing themselves in the labour market and in finding suitable employment and to assist employers to find suitable workers. It can also be used in the administration of other employment related services such as counselling, testing, and may also assist in establishing entitlement to unemployment insurance benefits. **Consistent Uses:** The bank is a source of information used by Human Resources Development Canada for purposes of research, planning, statistics, evaluation and internal audit and may be provided to private sector research firms for this purpose. Other uses of this information include sharing with federal, provincial and municipal departments and social agencies (such as Revenue Canada/Taxation, Veterans Affairs, Indian Affairs, Workers Compensation Boards, social welfare, and education departments) for the coordination of services to clients, also with Statistics Canada for statistical, analytical and planning purposes. Information may also be shared with the RCMP for investigation of offenses against the Unemployment Insurance Act. Releases of information are subject to the provisions of section 96

of the Unemployment Insurance Act. **Retention and Disposal Standards:** Records are destroyed two years after last action. **Contact:** Manager, Canada Employment Centre where last registered (consult telephone directory for address) **Related to PR#:** HRDC EMP 425 **TBS Registration:** 001994 **Bank Number:** HRDC PPU 005

Student Registration for Summer Employment

Description: This bank may include the following information: name, Social Insurance Number, address, telephone number, language, date of birth, self identification of designated groups, education, employment qualifications, work history, employment preference, record of referrals and other comments on client. Individuals seeking access to this bank should provide their date of birth and level of education completed at the time of registration. **Class of Individuals:** Students seeking summer employment who have registered at a Canada Employment Centre for Students. **Purpose:** The purpose of this bank is to assist students in finding suitable summer employment and to assist employers in finding suitable summer student workers. It may also be used in other employment-related services such as counselling and may assist in establishing entitlement to unemployment insurance benefits. The bank serves as a source of information used by Human Resources Development Canada for statistics, evaluation, planning and research as well as for internal audit purposes.

Consistent Uses: Information in the bank may also be shared with other federal or provincial departments, subject to formal agreement, for research, planning, statistics and evaluation purposes and may also be provided to private sector research firms for this purpose. Other uses include sharing information with employers for assistance in selection or placement. The information may also be shared with the RCMP for investigation of offenses against the Unemployment Insurance Act. Release of information is subject to section 96 and subsection 120(2) of the Unemployment Insurance Act. **Retention and Disposal Standards:** Records are destroyed two years after the last administrative action. **Contact:** From February to August: Officer in Charge Canada Employment Centre for Students (Address can be obtained from local Canada Employment Centre). During other months: Manager, Local Canada Employment Centre (Consult telephone directory for address). **Related to PR#:** HRDC CJS 415 **TBS Registration:** 002003 **Bank Number:** HRDC PPU 040

Vocational Rehabilitation of Disabled Persons — Records of Approval — Individual Costs

Description: This bank records requests for federal approval under VRDP for the costs of goods and services for individual clients. It includes name or provincial/territorial file number, description of disability, outline of planned vocational rehabilitation process, and vocational rehabilitation services provided to date, items required, cost and the relation of expenditure to vocational goal and the date of obtention of employment. Only persons who because of physical or mental impairment, are

incapable of pursuing regularly any substantially gainful occupation and who receive certain vocational rehabilitation services from provinces/territories are included. **Class of Individuals:** Persons with disabilities who receive certain vocational rehabilitation services from provinces/territories. **Purpose:** The bank is used to approve costs of items not in the various approved lists or costs exceeding \$10,000 as stated in the Guidelines relating to cost sharing under the Vocational Rehabilitation of Disabled Persons Act. This information is also required for audit and review purposes. **Consistent Uses:** Departmental staff use the information to approve federal cost-sharing in the provision of certain items or services provided by provincial/territorial authorities, under the VRDP program and to monitor program developments. **Retention and Disposal Standards:** Records remain active for two years, they are then sent to Archives and remain dormant for four years. **PAC Number:** 81-023 **Related to PR#:** HRDC SSP 395 **TBS Registration:** 000051 **Bank Number:** HRDC PPU 216

◆ Income Security

Canada Pension Plan — Record of Earnings

Description: This bank contains information related to social insurance numbers (SIN) issued and/or for issuance of a replacement number approved by Human Resources Development Canada, inquiries received from Canada Pension Plan (CPP) contributors, information relating to employment/self-employment earnings of individuals and the history of contributions made to the CPP program. Access to this bank requires name, address and social insurance number. **Class of Individuals:** Individuals identified in this bank are Canada Pension Plan contributors only. **Purpose:** The information in this bank is used to validate the SIN found on CPP benefit applications, to ensure that the contributory earnings posted to the record of earnings are attributed to the correct SIN in order to guarantee accurate benefit calculation, to determine individual benefit entitlement, to calculate the amount of CPP benefits payable and to ensure the biographical information is correct. **Consistent Uses:** The information is also used to administer requests made under Part I of the Family Orders and Agreements Enforcement Assistance Act and to support applications retained in HRDC PPU 175 for the purpose of assisting Foreign Institutions in the determination of entitlement to foreign benefits. The information may also be communicated to a provincial government for the purpose of administering a provincial pension plan. **Retention and Disposal Standards:** The retention and disposal schedule is being established. **TBS Registration:** 003393 **Bank Number:** HRDC PPU 140

Canada Pension Plan — Retirement, Disability, Survivors and Death Benefits (Individual)

Description: This bank contains personal data on Retirement, Disability, Survivors and Death Benefit applicants and may contain the following: application forms for the above-mentioned benefits, supporting

documentation, information on master data base payment history, medical and employment records, birth, marriage, death evidence, decisions of the Minister of Human Resources Development Canada and any subsequent appeals. Access to this bank requires name, address and social insurance number. **Class of Individuals:** Individuals identified in this bank are those who have applied for and/or are receiving Canada Pension Plan benefits. **Purpose:** Benefit applications are used to administer their related programs. **Consistent Uses:** Information contained in this bank may be used in support of applications retained in HRDC PPU 175 for the purpose of assisting Foreign Institutions in the determination of entitlement to foreign benefits, HRDC PPU 116 to confirm date of birth, marital status and date of death, and HRDC PPU 140 to obtain earnings and contributions records required for the calculation of CPP benefits. Information in this bank pertaining to disability benefits may also be matched with information pertaining to Unemployment Insurance benefits, for reassessment purposes. **Retention and Disposal Standards:** The retention and disposal schedule is being established. **TBS Registration:** 003394 **Bank Number:** HRDC PPU 146

Child Tax Benefit (Individual)

Description: This bank contains application forms for Child Tax Benefits and Children's Special Allowances benefits supporting documentation and correspondence. Access to this bank requires name, address, social insurance number and/or account number, or agency number. At the end of August 1995, responsibility for these benefits was transferred to Revenue Canada. **Class of Individuals:** Identified in this bank are those who have applied for Child Tax Benefits. **Purpose:** The information is used to administer the Child Tax Benefit and the Children's Special Allowances programs. **Consistent Uses:** Data in this bank is passed to Revenue Canada, Taxation, to support payment of the Child Tax Benefit. **Retention and Disposal Standards:** The retention and disposal schedule is being established. **TBS Registration:** 003126 **Bank Number:** HRDC PPU 129

Family Allowances

Description: This bank contains personal data on Family Allowance applicants and may contain the following: Family Allowances application forms, information on master data base payment history, decisions of the Minister and any subsequent appeals. Access to this bank requires name, address, social insurance number and/or account number. **Class of Individuals:** Identified in this bank are those who have applied for Family Allowances benefits. **Purpose:** Allowances applications obtained from individuals, agencies or institutions are used to administer the Family Allowance Program. Information contained in this may be matched with HRDC PPU 146 to ensure accuracy of children's date of birth and names of beneficiaries listed on various Canada Pension Plan application forms. **Retention and Disposal Standards:** The retention and disposal schedule is being established. This information was previously held in banks

HRDC PPU 125, 130 and 131. **TBS Registration:** 003392 **Bank Number:** HRDC PPU 130

International Social Security — Domestic and Foreign Benefits (Individual)

Description: This bank contains applications relating to the above mentioned benefits, supporting documentation, correspondence, benefit calculations, payment history of Old Age Security and Canada Pension Plan benefits paid to beneficiaries and/or their dependents, decisions of the Minister of Human Resources Development Canada and any subsequent appeals. Access to this bank requires name, address and social insurance number or account number. **Class of Individuals:** Individuals identified in this bank are persons who have applied for and/or are in receipt of benefits. **Purpose:** The information is collected for the purpose of determining applicant's eligibility to receive Canadian benefits and/or to assist Foreign Institutions in the determination of entitlement to foreign benefits. **Consistent Uses:** Information contained in this bank may be matched with information contained in HRDC PPU 116, 140 and 146 for the purpose of determining an applicant's eligibility to benefits as a result of an international agreement. The information may also be communicated to a provincial government for the purpose of administering a social program, income assistance program or health insurance program in that province. **Retention and Disposal Standards:** The retention and disposal schedule is being established. **TBS Registration:** 003390 **Bank Number:** HRDC PPU 175

Old Age Security, Guaranteed Income Supplement, Spouses's Allowance (Individual)

Description: This bank contains personal data on Old Age Security (OAS), Guaranteed Income Supplement (GIS) and Spouse's Allowance (SPA) applicants and may contain the following: application forms for the above mentioned benefits, supporting documentation, information on master database payment history, decisions of the Minister of Human Resources Development Canada and any subsequent appeals. Access to this bank requires name, address, social insurance number and/or account number. **Class of Individuals:** Individuals identified in this bank are those who have applied for and/or are presently in receipt of benefits. **Purpose:** OAS, GIS and SPA applications are used to administer their related programs. **Consistent Uses:** Information contained in this bank may be used in support of applications retained in HRDC PPU 175 for the purpose of assisting Foreign Institutions in the determination of entitlement to foreign benefits. The information may also be used to confirm rates of Canada Pension Plan (CPP) benefits, date of birth, marital status and date of death reported in CPP benefit files contained in HRDC PPU 146. The information may also be communicated to a provincial government for the purpose of administering a social program, income assistance program or health insurance program in that province. **Retention and Disposal Standards:** The retention and disposal schedule is being established. **TBS Registration:** 003391 **Bank Number:** HRDC PPU 116

Revenue Canada Income Tax — Physical or Mental Disability Credit

Description: This bank contains copies of Revenue Canada's Disability Tax Credit applications (for yourself or a person under your care) (T2201 — Revenue Canada Income Tax), Social Insurance Number, written authorization or document required on provincial medical regulations to divulge medical information, medical reports of family physicians or specialists, X-Ray and/or laboratory test results, copies of objection forms and all related correspondence. Access to this bank requires name, address and social insurance number. **Class of Individuals:** Individuals identified in this bank are those who have applied for the disability credit under the Income Tax Act (yourself or a person under your care), whose files have been referred to HRDC. **Purpose:** The information in this bank is used to establish, review or give recommendations as to eligibility for this tax credit. **Consistent Uses:** Information is shared with Revenue Canada to administer the disability credit under the Income Tax Act. **Retention and Disposal Standards:** The retention and disposal schedule is being established. **TBS Registration:** 002954 **Bank Number:** HRDC PPU 177

♦ Insurance Branch

Automated Earnings Reporting System

Description: This bank contains information collected from magnetic tape, cartridges, disk or electronic files received by the Commission from employers. Each record may contain the employee's name, Social Insurance Number, the date of the week worked and the salary received, and the employer's name, address and Revenue Canada (Taxation) number. **Class of Individuals:** Employers participating in the control program and individuals who have received unemployment insurance benefits. **Purpose:** The purpose of this bank is to administer the Unemployment Insurance Act. The information is used at the Belleville Regional Computer Centre to produce bi-monthly reports on potential abuse through unreported work and earnings, of the unemployment insurance fund. **Consistent Uses:** Information may be used for internal audit purposes and may be provided to find Commission debtors whose whereabouts are unknown. It may be provided to private sector research firms under contract with HRDC for evaluations, research, planning and statistics. **Retention and Disposal Standards:** The observation is retained on the claim file until three years after the last action on the claim. At that time, the entire file is destroyed. **Contact:** Manager, Canada Employment Centre (consult telephone directory for address) **Related to PR#:** HRDC INS 230 **TBS Registration:** 002366 **Bank Number:** HRDC PPU 215

Contract Agents List

Description: This bank may include information on the agent such as name, address, qualification and work experience and information pertaining to the individual's activity as an agent. **Class of Individuals:** Claims-taking

agents under contract with HRDC. **Purpose:** Information is used to identify and monitor the activities of claims-taking agents under contract with HRDC. **Consistent Uses:** Information may be used for audit purposes. It may also be provided to private sector research firms under contract with HRDC for the purposes of planning, statistics, research and evaluation. **Retention and Disposal Standards:** Information is kept for three years after the individual ceases to be a contract agent. **Contact:** Director General, Insurance Services, National Headquarters (See address under "Additional Information" at the end of this chapter). **Related to PR#:** HRDC INS 260 **TBS Registration:** 002363 **Bank Number:** HRDC PPU 185

Employment Insurance Claimant's Bi-weekly Report Card

Description: This bank may include the following types of information regarding claimants: Name, address, telephone number, SIN, dates covered, the individual's signed declaration of the dates available for work, as well as any earnings, illness or medical condition, during this two-week period. Information in this bank is largely duplicated on the Benefit and Overpayment Master File (HRDC PPU 180) kept at regional pay centres and national headquarters. Individuals seeking access to this file must specify the two-week period covered by the report card they wish to consult. **Class of Individuals:** Individuals on claim for employment insurance benefits. **Purpose:** This bank provides a record of claimants' eligibility for benefits during the two-week period to which the bank refers. **Consistent Uses:** The information from this bank may be used by HRDC for purposes of research, planning, evaluation and statistics and for control and internal audit purposes. Information may be provided to private sector research firms under contract with HRDC for these purposes. Information may be shared with the RCMP for fraud investigations. **Retention and Disposal Standards:** Report cards are retained for four years. **Contact:** Director, Human Resource Centre of Canada (consult local telephone directory for address). **Related to PR#:** HRDC INS 190 **TBS Registration:** 002361 **Bank Number:** HRDC PPU 155

Government Annuities

Description: This bank contains the contract number, name, Social Insurance Number, sex, date of birth, beneficiary, address, records of employment with group policy holders, bank account number as well as premiums, annuity purchased or being paid, contract terms and conditions and taxation information. The bank may be accessed by use of a contract number if it is known. **Class of Individuals:** Person who bought government annuities as private individuals or through pension fund contributions. **Purpose:** The purpose of the bank is to administer annuity contracts entered into by employers and individuals. **Consistent Uses:** The information is shared for administrative purposes with the department of Public Works and Government Services Canada. It also may be used for internal audit purposes. The information is also shared with Revenue Canada (Taxation) and

provincial taxation services, these being uses authorized by law. These agencies may use selected information for statistical purposes. It may also be provided to private sector research firms for the purposes of planning, statistics, research and evaluation. **Retention and Disposal Standards:** Files are destroyed five years after all benefits from the annuities have been paid. **Contact:** Manager of Annuities, P.O. Box 12000, Bathurst, New Brunswick E2A 4T6 **Related to PR#:** HRDC NSB 605 **TBS Registration:** 002395 **Bank Number:** HRDC PPU 395

Insurance Claim File — Local Office

Description: This bank may include the following type of information: name, Social Insurance Number (SIN), age, date of birth, sex, address and telephone number, preferred official language, income tax exemption level, summary of employment data for up to three years (e.g. employer identification, period worked, type of work, salary and insured weeks, earnings and union affiliation), possible information relative to farming, self-employment, educational instruction currently being received, participation in employment development programs such as Work Sharing or Job Creation, incarceration, medical certificates, appeals to Boards of Referees, disentitlement notices, disqualification notices, Revenue Canada (RC) assessment notices including name of worker, SIN, period of employment and employer affected and correspondence regarding overpayment, entitlement and other related matters. The bank may also record the amount and duration of employment insurance benefits payable, any interviews or investigations conducted by HRDC staff relative to protect the employment insurance fund from abuse, any documentation obtained by RC relative to determining insurable employment and earnings, and any penalties or criminal prosecutions undertaken for fraud in connection with offenses related to the Employment Insurance Act. Information in this bank is largely duplicated on the Benefit and Overpayment Master File (HRDC PPU 180) kept at regional pay centres and national headquarters. Some information related to medical files is duplicated at national headquarters. All requests for access must include the SIN. This bank may also contain information from the Revenue Canada PAYDAC and Taxation Taxpayer Master Files. **Class of Individuals:** Individuals who have applied for employment insurance benefits. **Purpose:** Information in this bank is used in the administration of the employment insurance program. **Consistent Uses:** Information from this bank is used within HRDC for the administration of all the Insurance and HRIB programs, and for statistical, planning and internal audit purposes at the regional and national levels. Information may be provided to private sector research firms under contract with HRDC for these purposes. Information can also be shared with the RCMP for fraud investigation. Information may be shared, subject to formal agreement, with federal, provincial and municipal governments, such as the departments of welfare and education for the administration of their own programs or the administration or enforcement of provincial laws; with

Revenue Canada for taxation purposes, or for locating individuals in order to collect a debt owing to Her Majesty in right of Canada and, where applicable, with Revenue Quebec for taxation purposes, with Statistics Canada for follow-up surveys carried out on the Commission's behalf for the purposes of carrying out its duties under the Statistics Act. Information may also be shared with employers for the purpose of assisting them in the carrying out of their responsibilities under the Employment Insurance Act and with individuals responsible for the administration of approved Supplemental Unemployment Benefit Plans. These uses comply with the provisions of the Privacy Act and have been approved by the Minister under Section 127 of the Act. Releases of information obtained under the Employment Insurance Act are subject to the provisions of Section 127 of the Employment Insurance Act. **Retention and Disposal Standards:** Records are destroyed three years after the last action.

Contact: Director, Human Resource Centre of Canada (consult local telephone directory for address). **Related to PR#:** HRDC INS 260 **TBS Registration:** 001989 **Bank Number:** HRDC PPU 150

Interstate Employment Insurance Claims

Description: The files contain statistics relative to liable and agent state claims. Individuals seeking access to this bank must supply their Social Insurance Number or their social security number (whichever is appropriate). **Class of Individuals:** The employment insurance claimants identified in this bank are divided into two groups: (a) agent state claimants who are Canadians or non-Canadian residents in each of the employment insurance regions of Canada; and (b) liable state claimants with working visas or U.S. citizenship, or landed immigrants residing in the United States, Puerto Rico or the Virgin Islands. **Purpose:** The purpose of this bank is to facilitate payment of employment insurance benefits to persons in the United States of America, Puerto Rico, Virgin Islands and Canada, residing outside the state in which their entitlement to employment benefits was earned. The information is used to create, maintain and control claim files when Canada is the agent state and to provide administrative assistance to liable states concerned, as well as to create, maintain, control, adjudicate and provide payment of benefit when Canada is the liable state. **Consistent Uses:** The information in this bank may be used by HRDC for purposes of research, planning, evaluation, internal audit and statistics. Information may be provided to private sector research firms under contract with HRDC for these purposes. **Retention and Disposal Standards:** The information is kept for five years after the last action.

Contact: Interstate Coordinator for Canada, c/o Director, Insurance Program Services, National Headquarters (See address under "Additional Information" at the end of this chapter). **Related to PR#:** HRDC INS 260 **TBS Registration:** 001990 **Bank Number:** HRDC PPU 170

Labour Adjustment Benefits Claim File Local Office

Description: This bank may contain the following: summary employment data for up to 35 years (e.g.

employer identification, confirmation of employment, period worked, hours worked per year), proof of age, notification of qualification and non-qualification, correspondence regarding overpayments, entitlement information on certification and other related matters. The bank may also record the weekly payments, deductions, date on which the person will be 65 years old, and any information concerning interviews held with HRDC staff (e.g. investigations by investigation and control officers, interviews by agents and employment counselors). **Class of Individuals:** Individuals claiming labour adjustment benefits. **Purpose:** Information in the bank is used for the administration of the Labour Adjustment Benefits Program. **Consistent Uses:** Information may also be shared with the Labour Adjustment Review Board and with the RCMP in cases of labour adjustment benefits fraud investigations. It may be used within HRDC for statistical, internal audit and planning purposes at the regional and national levels. It may also be provided to private sector research firms under contract with HRDC for the purposes of planning, statistics, research and evaluation. **Retention and Disposal Standards:** Records are kept for six years after termination of benefits. **Contact:** Manager, Canada Employment Centre (consult telephone directory for address) **Related to PR#:** HRDC INS 185 **TBS Registration:** 002368 **Bank Number:** HRDC PPU 220

List of Chairpersons and Members of Boards of Referees

Description: This bank contains names, addresses, experience and work histories (curricula vitae). Information is organized according to geographical location, in the board centre. **Class of Individuals:** Chairpersons are appointed by Governor in Council on recommendations from HRDC Minister whereas members of the Boards of Referees are selected by the Commission from panels of employers and representatives of employers, insured persons and representatives of insured persons. **Purpose:** This bank provides a list of chairpersons and members of Boards of Referees who hear appeals against Commission decisions to deny the payment of unemployment insurance benefits. **Consistent Uses:** Information in this bank may be used for planning, statistics, and research purposes. **Retention and Disposal Standards:** Information on individuals is retained for two years after the termination of the period of appointment. **Contact:** Director, Appeals, Insurance Policy Branch, National Office, (See Key Addresses at beginning of chapter) **Related to PR#:** HRDC INS 210 **TBS Registration:** 002364 **Bank Number:** HRDC PPU 195

Listing of Employees by Nominal Roll

Description: This bank contains information received by the Commission from employers involving a sizable number of individuals. Each record may contain the employee's name, Social Insurance Number, badge or clock number, information pertaining to a stoppage of work at the employer's premises, first and last day worked, site location, reason for separation, return to work date and types/amounts of additional monies paid to individuals.

Class of Individuals: Employees involved in labour disputes, or related to claims for Employment Insurance (EI) benefits or monies paid to individuals. **Purpose:** The purpose of this bank is to administer the Employment Insurance Act. This information is used at the Human Resource Centre of Canada to determine eligibility for benefits and to facilitate the processing of claims for EI benefits. As part of the adjudication process, factfinding is conducted with the employer to determine whether or not an individual is entitled to benefits. The listing of employees by nominal role expedites the factfinding responsibilities of the Commission and allows for effective and efficient processing of EI claims for benefits. **Consistent Uses:** Information in this bank is used within Human Resources Development Canada for the administration of Insurance and HRIB programs and for statistical, planning and internal audit purposes at the regional and national levels. Information may be provided to private sector research firms under contract with HRDC for these purposes. Information can also be shared with the RCMP for investigation of offenses against the Employment Insurance Act. Information may be shared, subject to formal agreements, with departments of welfare and education for the administration of their own programs; with Revenue Canada and, where applicable, with Revenue Quebec (for taxation purposes), with Statistics Canada for follow-up surveys carried out on the Commission's behalf for the purposes of carrying out its duties under the Statistics Act; and with provincial Workers' Compensation Boards. Information may also be shared with employers for the purpose of assisting them in the carrying out of their responsibilities under the Employment Insurance Act. These uses comply with the provisions of the Privacy Act and have been approved by the Minister under Section 127 of the Act. Releases of information obtained under the Employment Insurance Act are subject to the provisions of Section 127 of the Employment Insurance Act. **Retention and Disposal Standards:** Records are destroyed three years after the last action. **Contact:** Director, Human Resource Centre of Canada (consult local telephone directory for address). **Related to PR#:** HRDC INS 260 **TBS Registration:** 003409 **Bank Number:** HRDC PPU 281

Record of Employment (Third Copy)

Description: This bank consists of copies of individual Record of Employment forms received from employers. Each record may contain employee's name, address, Social Insurance Number and occupation; employer's name, address and RC/T number; data pertaining to the length of employment, date and reason for separation, expected date of recall, and insurable weeks and earnings of the employee. All requests for access must identify the period of employment covered by the record of employment. **Class of Individuals:** Employment insurance claimants as well as those people who changed jobs but did not claim employment insurance benefits. **Purpose:** This bank is used in the administration and enforcement of the Employment Insurance Act. **Consistent Uses:** The information may be used by Human Resources

Development Canada for statistical, planning and internal audit purposes. It may be provided to private sector research firms for the purposes of planning, statistics, research and evaluation. Subject to formal agreement, selected information may be shared with other federal or provincial departments for research, planning and evaluation purposes. Information may also be shared with the Department of Justice for the purpose of administering the Family Orders and Agreements Enforcement Assistance Act. It may also be released to the issuing employer in exceptional circumstances. Releases of information are subject to the provisions of Section 127 of the Employment Insurance Act. **Retention and Disposal Standards:** Files are retained for ten years. **Contact:** Manager, Central Index, P.O. Box 7000, Bathurst, New Brunswick E2A 4T1 or Director, Human Resource Centre of Canada (consult local telephone directory for addresses). **TBS Registration:** 001971 **Bank Number:** HRDC PPU 385

Report on Hirings

Description: Each observation report includes the employee's Social Insurance Number, the date of the first day worked and badge or payroll number if applicable, and the employer's name, address and Revenue Canada (Taxation) number. Individuals may gain access to the printout by giving their Social Insurance Number at the local office where their claim is filed. **Class of Individuals:** Individuals who have received employment insurance benefits and appear to have been overpaid. **Purpose:** This bank is used in the administration of the Employment Insurance Act. Information is used to control unreported work and earnings of claimants. The data received from employers are computer-matched against benefit records to discover possible overpayments against the employment insurance account. **Consistent Uses:** Information may also be used for internal audit purposes and to find Commission debtors whose whereabouts are unknown. Information may be shared with Statistics Canada for statistical, analytical and planning purposes. It may also be provided to private sector research firms under contract with HRDC for the purposes of planning, statistics, research and evaluation. **Retention and Disposal Standards:** Hiring data on claimants where a potential overpayment exists are retained for two years. Hiring data on persons who are not recipients are erased as soon as the match is completed. **Contact:** Director, Human Resource Centre of Canada (consult local telephone directory for address). **Related to PR#:** HRDC INS 240 **TBS Registration:** 002367 **Bank Number:** HRDC PPU 210

Social Insurance Number Registration

Description: Information in the individual records includes the applicant's first name, middle name, surname, date of birth, surname at birth, other surnames formerly used, sex, whether the applicant is a twin, place of birth, father's full name, mother's full name at birth; it also shows the Social Insurance Number (SIN) issued or re-issued, status in Canada, telephone number, mailing address, and may include the marital status and the name and address of the employer. The microfilm image also shows the date of

application, the applicant's signature and witnesses when required. This bank contains a microfilm image of each approved application for issuance of a Social Insurance Number, for issuance of a replacement Social Insurance Number card, or for amendment of social insurance register records. All requests for access by individuals must include the Social Insurance Number, name and place and date of birth of the individual. Also required is the family name at birth of the individual's mother, as well as the documentary proof of identity required in submitting an original application for a Social Insurance Number. Particulars of the documentary evidence required can be obtained by contacting any Human Resource Centre of Canada. **Class of Individuals:** Canadian citizens, registered Indians, permanent residents and individuals who are neither Canadian citizens nor permanent residents.

Purpose: The purpose for which the information is obtained is to register persons pursuant to section 138 of the Employment Insurance Act and section 98 of the Canada Pension Plan Act to record persons in respect of whom an application has been made to the Commission, and to identify accurately all persons so registered. It is also for use in the administration of certain Acts of Canada, such as the Employment Insurance Act, the Canada Pension Plan Act and the Old Age Security Act. Exchange of information with Revenue Canada and other federal departments is also provided for under the Canada Pension Plan Act, the Family Allowance Act and the Employment Insurance Act. Information may also be shared with the Department of Justice for the purpose of administering the Family Orders and Agreements Enforcement Assistance Act. Similarly, special Social Insurance Numbers are issued for immigration and other purposes to persons who are neither Canadian citizens nor permanent residents pursuant to the Employment Insurance Regulations in conjunction with the Immigration Act. Therefore, information on the Social Insurance Number Register is exchangeable with Citizenship and Immigration officials administering the immigration program. **Consistent Uses:** Subsection 139(5) of the Employment Insurance Act provides for the use of Social Insurance Register data for the accurate identification of individuals and for the effective use by such individuals of their social insurance cards and numbers. On this basis, the Central Index will, if an authorized enquirer presents data about a Social Insurance Number holder sufficient to identify that holder on the Social Insurance Registers, divulge the holder's Social Insurance Number to the enquirer. This is known as "give the SIN" and is extended to employers or former employers to meet the requirements of the Employment Insurance Act and the Income Tax Act. This service is also extended to other government departments, and to organizations in other levels of governments, where the Commission has approved such an exchange with the enquiring organization. Examples are: Department of National Defence (the Social Insurance Number is used as a service number by armed services personnel), Veterans Affairs Canada, Prince Edward Island Medical Care Plan (administration of Health Insurance Plan), Impôt Québec (administration of Québec Income

Tax), Commission de la santé et la sécurité au travail (administration of the Quebec workmen's compensation plan), Régie des rentes du Québec (administration of the Quebec Pension Plan), and Régie de l'assurance-maladie du Québec (administration of the Quebec Health Insurance Plan). Moreover, an employer or former employer who has lost contact with an employee and who needs his/her Social Insurance Number to meet legal requirements, can obtain it through the Central Index. The RCMP may request data from the bank when they are required for the purposes of investigations under the Employment Insurance Act, the Canada Pension Plan Act, Family Allowance Act and the Income Tax Act. Data may also be released to the RCMP to assist the Force to identify deceased persons and to inform their next of kin, where the circumstances of death would otherwise make such identification and notification difficult. Finally, the RCMP may request the identity of the person whose Social Insurance Number appears inscribed upon an item of property believed stolen, where it appears that the owner intended his/her Social Insurance Number to be used this way. The RCMP may also request information from the Social Insurance Registers for the purposes of accurate identification of individuals. Other police forces may request similar information, but only through the RCMP. **Retention and Disposal Standards:** Files are retained for one hundred years after the Social Insurance Number has been issued. **Contact:** Manager, Central Index, P.O. Box 7000, Bathurst, New Brunswick E2A 4T1 **Related to PR#:** HRDC NSB 585 **TBS Registration:** 002396 **Bank Number:** HRDC PPU 390

Voluntary Disclosure Log

Description: This bank contains information collected up to September 1, 1989, regarding individuals including name, SIN, date of disclosure, regional or local office where disclosure was made, life of claim, number of offenses disclosed and amount of overpayment. **Class of Individuals:** Individuals who have applied for unemployment insurance benefits and have voluntarily disclosed that they have knowingly made false statements to obtain benefits. **Purpose:** This bank serves to maintain a central repository of persons who voluntarily reveal to the Commission that they have knowingly made false statements to obtain unemployment insurance benefits. Information is used to ensure that a person benefits from the voluntary disclosure only once. Use of the log was discontinued on September 1, 1989. **Consistent Uses:** Information may be used for internal audit purposes. It may be provided to private sector research firms for planning, statistics, research and evaluations. **Retention and Disposal Standards:** Information is retained for 50 years. **Contact:** Supervisor, Investigation and Control, Human Resource Centre of Canada (consult telephone directory for local address). **Related to PR#:** HRDC INS 230 **TBS Registration:** 002365 **Bank Number:** HRDC PPU 205

◆ Labour

Adjudicators, Sole Arbitrators and Arbitration Board Chairpersons

Description: Information contained in this bank includes names, addresses, curricula vitae of Canadian citizens used in determining selection of individuals for the positions of sole arbitrators, arbitration board chairpersons and adjudicators. **Class of Individuals:** Canadian citizens.

Purpose: To assist the Operations Branch, FMCS in selecting suitable persons to act as grievance arbitrators and adjudicators, subject to the Canada Labour Code, Part I (Industrial Relations) and Part III (Labour Standards).

Consistent Uses: None **Retention and Disposal**

Standards: Two years after the Department is notified that the person is no longer available to act as sole arbitrator, adjudicator or as chairperson of arbitration boards. **PAC Number:** 70-004, Amend. 1 **Related to**

PR#: HRDC ASB 045 **TBS Registration:** 000452

Bank Number: HRDC PPU 041

Compensation Claim Files

Description: Information contained in this bank includes reports of accidents, claims for compensation, supporting medical documents, correspondence and records of monies paid. **Class of Individuals:** Seamen employed on vessels registered in Canada. Suitable proof of identification will be required before access is permitted.

Purpose: The purpose of this bank is to record all claims for compensation under the Merchant Seamen Compensation Act. **Consistent Uses:** The files are used to establish validity of claims and to determine the seamen's monetary entitlement. **Retention and Disposal Standards:**

Five years after claim settled, then complete file microfilmed. Microfilm retained for 100 years. **PAC Number:** 69-089, Amend. 1 **Related to PR#:** HRDC MSC 025 **TBS**

Registration: 000450 **Bank Number:** HRDC PPU 032

Complaints Received Under Canada Labour Code Part II

Description: Information contained in this bank includes complaints and reports dealing with safety and health matters in the workplace. The files contain statements of complaints from employees and employers, records relating to employment, records relating to employment, correspondence and reports dealing with the complaint.

Class of Individuals: Employees employed in undertakings under federal jurisdiction. **Purpose:** Record, enquire into and resolve various issues in connection with the application of the Canada Labour Code Part II (Occupational Safety and Health). **Consistent Uses:** The bank is used to determine if a violation exists under the Canada Labour Code, if the violation can be resolved between the parties, or if legal action is necessary.

Retention and Disposal Standards: The disposal criteria for this bank are to be established. **PAC Number:** 84-013 **Related to PR#:** HRDC OSH 230 **TBS Registration:** 003326 **Bank Number:** HRDC PPU 024

Complaints Received Under Canada Labour Code Part III

Description: Information contained in this bank includes complaints dealing with hours of work, overtime pay, minimum wages, equal wages, annual vacations, general holidays, multi-employment, severance pay, group termination, garnishment, maternity leave and unjust dismissal. The files contain statements of complaints from employees and employers, records of employment, correspondence with and reports on employees, employers and witnesses dealing with the complaint. **Class of**

Individuals: Employees employed in undertakings under federal jurisdiction. **Purpose:** The purpose of the bank is to record, enquire about and resolve various complaints

in connection with the application of the Canada Labour Code Part III (Labour Standards). **Consistent Uses:** The bank is used to determine if a violation exists under the Canada Labour Code, if the violation can be resolved between the parties, or if legal action is necessary.

Retention and Disposal Standards: Files are retained for two years after settlement of complaint. **PAC Number:** 69-135, Amend. 4 **Related to PR#:** HRDC ERC 185 **TBS**

Registration: 000445 **Bank Number:** HRDC PPU 006

Conciliation Commissioner or Conciliation Board Members Files

Description: Information contained in this bank includes names and addresses of non-governmental persons who have either acted for Operations, FMCS in the role of conciliation commissioners and board chairmen, or who possess the required experience and qualifications to undertake the third party work. In some cases the files contain curricula vitae relating to person's involvement in industrial disputes at the provincial level, or in respect of the service. **Class of Individuals:** Canadian citizens.

Purpose: The purpose of this information bank is to assist the Federal Mediation and Conciliation Service to select suitable persons to act as conciliation commissioners and board chairmen in reaching settlements of collective bargaining disputes, subject to the jurisdiction of Canada Labour Code, Part I (Industrial Relations). **Consistent Uses:** This bank is used to maintain a list of persons qualified to act as conciliation commissioners and board chair. **Retention and Disposal Standards:** Two years after a person advises the Director General of FMCS that he/she no longer wishes to be considered for the position of Conciliation Commissioner or Conciliation Board chairman. **PAC Number:** 70-004, Amend. 1 **Related to PR#:** HRDC MCB 050 **TBS Registration:** 000451 **Bank Number:** HRDC PPU 036

Inmate Injury Compensation

Description: Information contained in this bank includes reports of accidents, claims for compensation, supporting medical documents, correspondence and records of sums of money paid. **Class of Individuals:** Inmates and former inmates of federal penitentiaries. Suitable proof of identification will be required before access is permitted.

Purpose: The purpose of this bank is to record all claims for compensation under the Penitentiary Inmates Accident

Compensation Regulations. **Consistent Uses:** The files are used to establish validity of claims and to determine inmates' monetary entitlement. **Retention and Disposal Standards:** Five years after claim settled, complete file then microfilmed. Microfilm retained for 100 years. **PAC Number:** 84-013 **Related to PR#:** HRDC OSH 235 **TBS Registration:** 000449 **Bank Number:** HRDC PPU 026

International Labour Organization Files

Description: Information contained in this bank includes recommendations for payment, claims, invoices, contracts, transportation costs or tickets, hotel bills, hospitality claims and correspondence related to their costs, copies of cheque requisitions, proof of claims, journal vouchers with regard to salary changes, and standing advances. **Class of Individuals:** Canadian citizens residing in Canada. **Purpose:** The purpose of this bank is to record all sums of money (accounts payable) to an individual attending International Labour Organization conferences. **Consistent Uses:** This bank is used to account for all sums of money paid to an individual attending an International Labour Organization conference. **Retention and Disposal Standards:** Six fiscal years. **PAC Number:** 78-001 **TBS Registration:** 000455 **Bank Number:** HRDC PPU 064

Resource Persons/Consultants —

Quality of Working Life

Description: Information contained in this bank includes individual files of professors and other teaching personnel, management consultants and employees of work organizations actively engaged in quality of working life experimentation. **Class of Individuals:** Professors, university teaching personnel and management consultants engaged in a quality of working life project. **Purpose:** The purpose of this bank is to compile an inventory of Canadian residents having specialized knowledge and experience in teaching, writing and conducting research in the quality of working life field. **Consistent Uses:** This bank is used to maintain curricula vitae and related correspondence on individuals available for conducting quality of working life programs. **Retention and Disposal Standards:** Six years. **PAC Number:** 84-013 **Related to PR#:** HRDC ERC 220 **TBS Registration:** 000447 **Bank Number:** HRDC PPU 015

Classes of Personal Information

Executive Correspondence Centre — ECC

Copies of internal and external correspondence addressed to or handled by the ministers' and deputy ministers' offices, as well as information related to its processing. Letters written by the general public or their representatives, and by MPs, private sector and other governmental and non-governmental organizations may include enquiries, requests, advice and opinions on the policies and programs of HRDC and on the handling of individual cases. They may also deal with individuals employed by HRDC and questions on quality of service. Memos and other briefing documents may deal with the same topics and individuals. Information is retrievable by

personal identifier, including names of individuals and file numbers, from the automated Ministerial Correspondence System containing processing and control data and from paper files containing copies of actual documents. The personal information contained in this correspondence is not used for an administrative purpose.

Executive Management Information Services — EMIS

The automated Ministerial Correspondence System contains processing and control data related to correspondence from/to the ministers and deputy ministers. Information is retrievable by personal identifier, including names of individuals and file numbers. Records are retained for two years after completion or for the tenure of the current longest serving minister or deputy minister, whichever is greater. The personal information contained in these records is not used for an administrative purpose.

Insurance, Employment, Youth, Labour, Income Security

Letters written by the general public asking for information on the programs and responses to these letters are placed on HRDC program files and are not retrievable by personal identifiers. They may, however, contain personal information. Any questions relating to HRDC's programs and services are referred to the appropriate program group and letters from the public will be found in the relevant program files.

Manuals

- Access to Information Manual
- Annuities Manual
- Benefit Manual, Chapter 1
- Benefit Policy Circulars
- Canada Assistance Plan Policy Manual
- Canada Pension Plan Appeals Manual
- Canada Pension Plan Benefit Administration Manual
- Canada Pension Plan Benefit Procedures Manual (Systems)
- Canada Pension Plan Claims and Benefits Procedures, Volumes 1-3
- Canada Pension Plan Record of Earnings Procedures Manual
- Canada Pension Plan Records of Earning and Contributions
- Canada Pension Plan Teleprocessing User Operating Procedures Manual (Systems)
- Canada Pension Plan Trusteeship Manual
- Canada Student Loans Program: Guide for Lenders
- Canadian Classification and Dictionary of Occupations (CCDO)
- Canadian Jobs Strategy — MIS (EC)
- Central Index Manual
- Child Tax Benefit and Children's Special Allowances

- CJS Reporting Sub-System User's Guide
- Client Service Centre Manual
- Coding Manual of Collective Agreements
- Computer Operations — Policy and Procedures — Systems and Procedures
- Delegation of Authority
- Delegation of Personnel Signing Authority
- Digest of Benefit Entitlement Principles
- Directory of Offices
- Directory of Services and Courses
- EIC Office Design Guide
- EIC Readiness Plan
- Emergency Operations Centre/Standard Operational Manual
- Emergency Planning Guidelines
- Facilities Management Policy and Procedures Manual
- Family Allowance Policy Manual
- Family Allowances Operations Manual
- Family Allowances Procedures Manual (Systems)
- Finance and Administration Correspondence
- Financial and Administration Manual (automated)
- Financial Coding Manual
- Financial Management Manual (DRM)
- Guidelines for Drafting and Processing Agreements
- Guidelines for the Preparation and Processing of Submission to the Canada Employment and Immigration Commission
- Guidelines for the Preparation of Ministerial Correspondence
- Guidelines on Assistance under CAP
- Guidelines on Cost-Sharing under CAP as Modified by Extended Health Care Services under EPF
- Guidelines Relating to Cost-Sharing under the Vocational Rehabilitation of Disabled Persons Act
- Handbook on SIN Registration
- Human Resources Manual
- Human Rights Manual
- Index of Umpire Decisions
- Infocentre Training Manuals Collection
- Informatics Policy
- Information Classification Departmental Guide
- Insurance Services Policy Manual
- Internal Audit Manual
- International Operations Client Service Centre Manual
- International Operations Procedures Manual
- International Operations Procedures Manual (Systems)
- Investigation and Control Manual
- Labour Affairs Officers Training Manual
- Mail Services Policy and Procedures Manual
- Mail Transport and Courier
- Manual Pay System
- Materiel Management Policy and Procedures Manual
- Ministerial Correspondence Manual
- Moveable Assets Inventory System — Operations Manual
- Non-National Employment Services System Offices Manual
- Notes on Homes for Special Care under CAP
- Occupational Demand and Area Report
- Office Consolidation — Employment Insurance Act and Other Related Legislation
- Old Age Security Policy Manual
- Old Age Security Procedures Manuals (Systems)
- Old Age Security, Canada Pension Plan, Child Tax Benefit and Children's Special Allowances Bulletins
- On-line Manuals
- Operations Program Directives
- Performance Measures Reference Manual
- Personnel Bulletins
- Personnel Management Manuals
- Policy, Liaison and Development Manual
- Position Description System
- Premium Reduction Manual
- Privacy Manual
- Proof of Age Manual
- Reception and Enquiries Handbook
- Recorded Information Management Policy and Procedures Manual
- Records Classification Manual
- Reference Standards on OSH, Engineering and Hygiene
- Regional Computer Centre (RCC) Manual
- Renewal Guaranteed Income Supplement and Spouse's Allowance Operational Guidelines
- Report on the Administration of the Labour Adjustment Benefits Act (Quarterly Report)
- Security Policy and Procedures Manual
- Signage Improvement
- Statistics for Claims Filed under the Labour Adjustment Benefits Act
- Status of Day Care in Canada
- Subject File Classification
- Technical and Design Guide (for boardroom 326)
- Telecommunications Management Policy and Procedures Manual
- Umpire's Decisions (Canadian Umpire Benefits — CUB)
- Widowed Spouse Allowance Operations

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Since the bulk of personal information collected by HRDC is held locally, access has been decentralized to the extent possible. The full addresses for regional offices are identified in the following pages, with the exception of local and district office addresses, which are listed in telephone directories. Requests should be directed to the address for the regional or local office where you believe the file is held, or to the Privacy and Security Directorate at the following address:

Access to Information and Privacy Coordinator
Privacy and Security Directorate
Room 4G01
Place du Portage, Phase IV
140 Promenade du Portage
Hull, Quebec
K1A 0J9
(819) 994-0416

Conversely, the bulk of departmental information is centralized at National Headquarters. Therefore, requests for information submitted under the Access to Information Act should only be directed to the above address.

Requests for further information about the Department and its various programs and functions may be directed to:

Communications Inquiries Centre
Human Resources Development Canada
Place du Portage, Phase IV
140 Promenade du Portage
Hull, Quebec
K1A 0J9
(819) 994-6313

Requests for further information about the Labour program and its functions may be directed to:

Communications (Labour)
Human Resources Development Canada
Phase II, Place du Portage
Hull, Quebec
K1A 0J2
(819) 997-2617

Departmental Practices with Regard to the Social Insurance Number (SIN)

National Services — Bathurst, New Brunswick

The collection and use of the SIN by this group have been authorized by the Employment Insurance Act. All personal information banks under its control contain the SINs of individuals.

Insurance

The collection and use of the SIN by this group are authorized by the Employment Insurance Act. All personal information banks under its control contain the SIN of

individuals except Contract Agents List and List of Chairpersons and Members of Boards of Referees.

Human Resources Investment Branch (HRIB)

The collection and use of the SIN by this group are authorized by the Employment Insurance Act and the Income Tax Act. All personal information banks under the control of the HRIB contain the SIN of individuals except HRDC PPU 010, 071, 100 and 101.

Strategic Policy

The collection and use of the SIN by this group are for statistical and research purposes and are therefore permissible under section 7 and 8 of the Privacy Act. All personal information banks under its control contain the SINs of individuals except HRDC PPU 324 and 350.

Financial and Administrative Services

The collection and use of the SIN by this group are authorized by the Employment Insurance Act, the Privacy Act and the Income Tax Act. All personal information banks under its control contain the SIN of individuals except HRDC PPU 425.

General Information Only

Director, Client Inquiries
Income Security Programs
Place Vanier, Tower B
355 River Road, 9th Floor
Ottawa, Ontario
K1A 0L1
(613) 957-1509

Income Security Program Offices

Sir Humphrey Gilbert Building
P.O. Box 9430
St. John's, Newfoundland
A1A 2Y5
P.O. Box 8000
85 Fitzroy Street
Charlottetown, Prince Edward Island
C1A 8K1
P.O. Box 250
432 Queen Street
Fredericton, New Brunswick
E3B 4Z6
P.O. Box 1687
Postal Station "M"
Halifax, Nova Scotia
B3J 3J4
330 de la Gare-du-Palais
Québec, Quebec
G1K 7L5
4900 Yonge Street
9th Floor, Suite 200
North York, Ontario
M2N 6A8

Paris Building
259 Portage Avenue
Winnipeg, Manitoba
R3B 3L4

2045 Broad Street
5th Floor
Regina, Saskatchewan
S4P 3T7

Canada Place
9700 Jasper Avenue
Room 715
Edmonton, Alberta
T5J 4C2

1230 Government Street
Victoria, British Columbia
V8W 1P2

National Headquarters Address

Human Resources Development Canada
Place du Portage, Phase IV
140 Promenade du Portage
Hull, Quebec
K1A 0J9

Regional Offices

Quebec Regional Office
1441 St. Urbain Street, 9th Floor
P.O. Box 7500, Station "A"
Montreal, Quebec
H2X 2M6

Manitoba Regional Office
Paris Building
259 Portage Avenue, 10th Floor
Winnipeg, Manitoba
R3B 3L4

Alberta and Northwest Territories Regional Office
Canada Place
9700 Jasper Avenue, Suite 1440
Edmonton, Alberta
T5J 4C1

British Columbia-Yukon Territory Regional Office
Library Square
Suite 1400
300 West Georgia Street
Vancouver, B.C.
V6B 6G3

Saskatchewan Regional Office
Financial Building
800-2101 Scarth Street
Regina, Saskatchewan
S4P 2H9

Ontario Regional Office
4900 Yonge Street, 2nd Floor
North York, Ontario
M2N 6A8

New Brunswick Regional Office
1081 Main Street
P.O. Box 6044
Moncton, New Brunswick
E1C 9G8

Newfoundland Regional Office
689 Topsail Road
P.O. Box 12051
St. John's, Newfoundland
A1V 3Z4

Prince Edward Island Regional Office
85 Fitzroy Street
P.O. Box 8000
Charlottetown, Prince Edward Island
C1A 8K1

Nova Scotia Regional Office
Metropolitan Place
99 Wyse Road
P.O. Box 1350
Dartmouth, Nova Scotia
B2Y 4B9

Reading Room

The Department's libraries have been designated under the Access to Information Act as a public reading room. The addresses are:

National Headquarters

7th Floor
Place du Portage, Phase II
165 Hôtel de Ville Street
Hull, Quebec

1st Floor
Place du Portage, Phase IV
140 Promenade du Portage
Hull, Quebec

Regional offices also have reading rooms. Please see addresses under "Additional Information".

Immigration and Refugee Board

Chapter 54

General Information

Background

The Board is an independent, statutory tribunal created by Parliament under Part IV of the Immigration Act. The Chairperson of the Board reports to Parliament through the Minister of Citizenship and Immigration. The Board carries out three major functions: immigration inquiries and detention reviews; immigration appeals; and refugee determination. It is committed to providing both fair and efficient proceedings to all persons appearing before it.

Responsibilities

Decision making is carried out by three divisions whose functions are quite distinct: the Adjudication Division, the Immigration Appeal Division (Appeal Division) and the Convention Refugee Determination Division (Refugee Division).

Legislation

- Adjudication Division Rules, SOR/93-47
- Convention Refugee Determination Division Rules, SOR/93-45
- Immigration Act, R.S.C. 1985 (4th Sup.), c.28
- Immigration Appeal Division Rules, SOR/93-46
- Immigration Regulations, 1978, SOR/78-172

Organization

◆ Communications Branch

The Branch provides advice, planning and support for all communications activities undertaken by the Board, including media relations, management issues, speeches, publications and public environment analysis.

The Translation and Editing Section of the Communications Branch manages the Board's translation services for headquarters and the regions, including the establishment of standards and guidelines, translations in both official languages, editing and quality control.

◆ Documentation, Information and Research Branch

The Documentation, Information and Research Branch (DIRB) exists to meet the information requirements of those involved in Canada's refugee determination system. The Branch produces and makes publicly available, current and credible information related to human rights, refugee and migration issues by providing documentation that supports fair refugee determination.

The DIRB is responsible for the administration of the Board's access to information and privacy requirements, in accordance with the principles of open government and the protection of the privacy of the individual, as well as the recorded information management program of the Board.

The Branch also acts as an educational resource for organizations and individuals interested in these issues.

Since November 1995, the Branch has also been mandated to process research directives of a claim or claimant-specific nature in accordance with the Instructions for the Acquisition and Disclosure of Information for Proceedings in the Refugee Division.

◆ Finance and Administration Branch

The Branch is responsible for the application of financial policies, systems and procedures in accordance with legislation, regulations and central agency directives. It is also responsible for a full range of services to all Board employees in the areas of general administration, accommodation, telecommunications, security (personal and physical) and materiel management (contracting, forms management, inventory control, printing procurement, supplies and furnishings).

◆ Information Systems Directorate

The Directorate is responsible for the provision of information systems and technology in support of the Board's programs and services. The Directorate is also responsible for the development and implementation of national information policy, procedures, standards, security and training.

◆ Legal Services

The Legal Services Branch compiles and maintains an index of jurisprudence relevant to the Board's work for the use of Board Members and employees. The index contains decisions from the Board itself, as well as, case law from the Federal Court and the Supreme Court of Canada. The unit provides advice on matters of procedure, substantive issues of law, and the interpretation of legislative provisions. Legal Services provides advice to support policy, operational and personnel decisions. Legal Services manages all litigation on behalf of the Board. Legal Services files and serves Notices of Intention to participate in the argument of appeals and applications before the Federal Court, as *amicus curiae* in appropriate cases.

◆ Personnel Branch

The Branch provides strategic advice and policy direction related to the human resource aspects of the Board's programs, activities and initiatives. Human resource services are delivered to Public Service employees and Members across the Board, directly and functionally.

through four regional personnel offices. Services are provided in the areas of staffing, classification, organization design, training and development, human resources planning, official languages, pay and benefits, staff relations and employee counselling.

◆ Program Policy and Standards Development Branch

The Program Policy and Standards Development Branch (PPSD) is responsible for policy development coordination, the development of standards, and the provision of operational support, advice, and analysis to the Chairperson, Executive Director, the Convention Refugee Determination Division, the Immigration Appeal Division, the Adjudication Division, and Regional Operations. This is achieved through the development of policies, tribunal procedures, service standards, performance measures, and monitoring systems, as well as through the strategic planning of operational resource utilization, that supports fair, efficient, and well-reasoned decision making in a consistent fashion across the country.

The Board's operations is highly decentralized. Regional Directors and District Managers now report to the Executive Director.

◆ The Adjudication Division

The Adjudication Division conducts immigration inquiries and detention reviews for people believed to be inadmissible to, or removable from, Canada.

◆ The Convention Refugee Determination Division

The Refugee Division deals exclusively with claims to Convention refugee status. It is an independent, quasi-judicial tribunal that hears refugee claims within Canada. The Division is responsible for determining claims fairly and expeditiously, so that Canada can offer protection to Convention refugees, while discouraging those who make refugee claims for reasons other than a need for protection.

◆ The Immigration Appeal Division

The Appeal Division hears appeals of refusals of sponsored applications for permanent residence and appeals against deportation orders issued against permanent residents and Convention refugees. The Division also hears appeals made by persons in possession of valid visas seeking admission to Canada who have been ordered removed at ports of entry.

Information Holdings

Program Records

Appeals Process

Description: Information on the conduct of hearings into appeals and related matters before the Appeals Division of the Board. **Topics:** Practices and procedures for Operational Staff; practices and procedures for interpreters; standard forms and orders. **Access:** Records arranged in alphabetical order. **Program Record Number:** IRB OPS 025

Communications Information

Description: Information given on the functions and responsibilities of the Board, refugee questions and migration matters. **Topics:** Press releases; videotapes; brochures; annual reports and speeches. **Access:** Records arranged in alphabetical order. **Program Record Number:** IRB COM 055

Convention Refugee Claims

Description: The record of personal, individual claims to Convention refugee status made before the Board's Convention Refugee Determination Division. **Topics:** Information, evidence, exhibits, arguments and submissions upon which the Board makes its decisions; system for scheduling and tracking cases and reporting statistics. **Access:** Records arranged by individual. **Program Record Number:** IRB OPS 015

Detention — Adjudication

Description: Information on guidelines and procedures with respect to provisions pertaining to detention. **Topics:** Correspondence and other documents relating to policies and procedures on the various statutory provisions pertaining to detention; jurisdiction of an adjudicator to review detention; form and content of bonds, terms and conditions, retaking into custody and legislative requirements for periodic review of detention. **Access:** Records arranged by alpha-numeric order. **Program Record Number:** IRB ADJ 120

Immigration And Refugee Board Index

Description: Information on the jurisprudence of the Board. **Topics:** Precedents and significant decisions of Immigration Appeal Board, Immigration Appeal Division and Convention Refugee Determination Division of the IRB, Federal Court, Supreme Court. **Program Record Number:** IRB LEG 040

Immigration Appeal Board Case Files

Description: The record of personal, individual appeals and applications for refugee status redetermination made before January 1, 1989, to the former Immigration Appeal Board. **Topics:** Information, evidence, exhibits, arguments and submissions upon which the Board makes its decisions. **Access:** Records arranged by individual. **Program Record Number:** IRB OPS 005

Immigration Appeals

Description: The record of all personal appeals made to the Board's Appeal Division. **Topics:** Information, evidence, exhibits, arguments and submissions used by the Board to make decisions; system for scheduling and tracking cases and reporting statistics. **Access:** Records arranged by individual. **Program Record Number:** IRB OPS 010

Inquiries — Adjudication

Description: Guidelines and procedures with respect to conducting Immigration inquiries, presentation of evidence and decisions under the Immigration Act and Regulations.

Topics: Correspondence and other documents relating to policies and procedures with respect to conducting personal, individual Immigration inquiries, presentation of evidence and decisions under the Act and Regulations; correspondence and other documents relating to procedures concerning the adjournment and resumption of inquiries, the nature and form of evidence at inquiries, the appointment of representatives pursuant to section 29(5) of the Immigration Act and their role at inquiries; correspondence and other documents relating to substantive and procedural issues concerning an adjudicator's jurisdiction; permanent resident issues and ordering the removal of persons found at inquiry to be in violation of the Immigration Act. **Access:** Records arranged by alpha-numeric order. **Program Record Number:** IRB ADJ 125

Interpreter Data

Description: Records of interpreters who may be hired by the Board to assist in hearings before the Refugee Division, the Appeals Division and the Adjudication Division.

Topics: Personal Information needed for the purposes of hiring interpreters. **Access:** Records arranged by name of individual interpreter. **Program Record Number:** IRB OPS 110

Legal Information

Description: Advice to the client IRB Branches and Divisions on the application of the Immigration Act, its subordinate legislation, and related legislation; interpretation of decisions of the Federal Court and Supreme Court, and of decisions on general administrative law and Charter law issues; advice on legal aspects of operations, policy and personnel matters; training materials for IRB Members and employees on substantive and procedural legal issues. **Topics:** Acts of Parliament; subordinate legislation; hearings, inquiries, detentions and other proceedings; federal tribunals; administrative law; interpretation of decisions of the IRB and of the Federal and Supreme Courts. **Program Record Number:** IRB LEG 045

Legislation and Application — Adjudication

Description: Information on the Immigration Act and Regulations and related legislation, and on adjudication functions and activities. **Topics:** General correspondence and other documents relating to the Act and Regulations and related legislation, and to adjudication policy, functions

and activities; correspondence and other documents relating to various legislation which may impact on Immigration policies and procedures, including the effect of the Charter on the Immigration Act; correspondence and other documents relating to natural justice, bias, fairness, the role of the adjudicator; correspondence and other documents relating to appeals and appeals procedure to the Supreme Court of Canada, the Federal Court and the Immigration Appeal Division. **Access:** Records are arranged by alpha-numeric order. **Program Record Number:** IRB ADJ 130

RefLex (publication)

Description: Information on jurisprudence of the IRB and on higher court caselaw of relevance to the IRB. **Topics:** The Immigration and Refugee Board Index (also known as the Legal Services Precedent Book) referred to under Program Record Number IRB LEG 040 was replaced by the Legal Services RefLex publication in 1991. RefLex is a continuation and expansion of the Index. It contains significant decisions of the IRB's three Divisions (the Convention Refugee Determination Division, the Immigration Appeal Division, and the Adjudication Division), and of the Federal Court and Supreme Court. **Program Record Number:** IRB LEG 145

Refugee Determination Process

Description: Information on the refugee determination process that is carried out before the Refugee Division of the Board. **Topics:** Practices and procedures for hearing room administration; practices and procedures for interpreters and Refugee Claims Officers; practices and procedures related to preparing cases for hearings; orders, decisions and reasons; detained persons; transcripts.

Access: Records arranged by subject. **Program Record Number:** IRB OPS 020

Refugee Division Reasons for Decisions

Description: Selected reasons from the Refugee Division of the Board. **Topics:** Refugee Division decisions and reasons. **Access:** Records arranged in numeric order. **Program Record Number:** IRB DOC 060

Refugee Operations — Backlog Hearings

Description: Information is recorded on hearing schedules, claimants and assigned Member. **Topics:** Refugee Personal data, Country data and results.

Access: Records arranged in alpha-numeric order. **Program Record Number:** IRB INF 115

Refugee Operations — Member Tracking

Description: A calendar of members' appointments and the progress of assigned reasons. **Topics:** Status of reasons. **Access:** Records arranged in alpha-numeric order. **Program Record Number:** IRB INF 075

Refugee Operations — Schedules

Description: Links many variables including availability of facilities, counsel, interpreters, calendar errata and members assigned. **Topics:** Hearing Schedules and

Status. **Access:** Records arranged in alpha-numeric order. **Program Record Number:** IRB INF 070

Refugee Operations — Statistics

Description: Numbers of hearings scheduled and completed, duration, continuations, adjournments, decisions, and participants. **Topics:** Country, Status, Workload, Reasons. **Access:** Records arranged in alpha-numeric order. **Program Record Number:** IRB INF 080

Refugee Operations — System to Track Appellants and Refugees

Description: Information is tracked on the applications of refugees and appellants. Administrative data is also recorded on each case. **Topics:** Refugee and appellant information. **Access:** Records arranged in alpha-numeric order. **Program Record Number:** IRB INF 065

Research — Refugee Bibliographies

Description: A computerized record of documents with refugee research value. **Topics:** Author, Date, Abstracts, Location, Publisher, Dates, Edition. **Access:** Records arranged by alphabetical and numeric order. **Program Record Number:** IRB INF 090

Research — Refugee Decisions

Description: An index of full text and abstracts of representative decisions and reasons on refugee applicants. **Topics:** Core decisions on Country of Origin, Legal principles and reasoning, procedural elements. Overviews of the law, Cross references. **Access:** Records arranged in alpha-numeric order. **Program Record Number:** IRB INF 100

Research — Refugee Information

Description: Bilingual free form information required for the Convention refugee determination process. The International Thesaurus of Refugee Terminology is the standard for data capture and retrieval. Insert description here. **Topics:** International refugee and Human Rights information. **Access:** Records arranged in alphabetic order. **Program Record Number:** IRB INF 095

Research Information — Claim Specific

Description: Specific information from Canadian and foreign sources related to an individual or to a series of claims and for use in one or more hearings. This information is not publicly available. **Topics:** Ministers' information, status of individuals in third countries, verification of membership in political and religious organizations; other. **Access:** Files arranged in numerical order. **Program Record Number:** IRB DOC 135

Research Information — General

Description: Canadian-produced information on the human rights conditions in the country of origin of refugee claimants. **Topics:** Country profiles, Question and Answer series; issue papers, information requests; selected Refugee Division decisions database; bibliographic

database of Documentation Centre holdings. **Access:** Records arranged in alphabetical and numeric order. **Program Record Number:** IRB DOC 050

Senior Analyst Unit Tracking System (SAUINFO)

Description: Claimant-specific files in an automated form from the Senior Analyst Unit of the Documentation, Information and Research Branch. **Topics:** Research directives seeking claimant-specific information for use in the hearing. **Access:** Records arranged in numeric order. **Program Record Number:** IRB DOC 140

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Audits

Budgets

Buildings

Buildings and Properties

Classification of Positions

Co-operation and Liaison

Employment and Staffing

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Occupational Health, Safety and Welfare

Office Appliances

Official Languages

Pensions and Insurance

Personnel

Procurement

Salaries and Wages

Staff Relations

Training and Development

Personal Information Banks

Adjudication Case Files

Description: The files contain documents presented at an immigration inquiry, conference or detention review; or filed in making an application or motion, so that both the person concerned and representative of the Immigration Department have knowledge of the information. **Class of**

Individuals: This personal information, recorded by name and file number, relates to the individual's file when an adjudicator is called upon to conduct either an inquiry or a detention review. **Purpose:** The purpose of this bank is to record information used in the conduct of immigration inquiries and detention reviews pursuant to the Immigration Act, the Regulations and the Adjudication Division Rules. The material on file, which is adduced at the proceeding, is used by the adjudicator in making the decision on the allegations that were raised at the proceeding. **Consistent Uses:** It may be shared for internal audit purposes. This information may be shared with Citizenship and Immigration Canada. **Retention and Disposal Standards:** The retention period for case files is two years after the conclusion of the proceeding. **Related to PR#:** IRB ADJ 120/125/130 **TBS Registration:** 003312 **Bank Number:** IRB PPU 140

Convention Refugee Determination Division Records

Description: The records contain all the documentation related to the processing of any action before the Refugee Division of the Board, including all of the papers, submissions, evidence and exhibits provided by the parties to the action before, during and after the hearing. Decisions of the Division, reasons for decisions, notices of any proceeding before a higher court and decisions of higher courts also form part of the record. **Class of Individuals:** Persons whose claim to Convention refugee status has been referred to the Refugee Division. **Purpose:** This bank contains, in hardcopy and by audio tape recordings, the documentation and proceedings before the Refugee Division of the Board. **Consistent Uses:** Information is used to schedule and track cases before the Refugee Division, and to report statistics on cases being processed by the Refugee Division. Selected information is shared with Citizenship and Immigration Canada, Canadian Security Intelligence Service, the Royal Canadian Mounted Police, provincial and municipal police forces and Corrections Canada. A Board policy on the acquisition of claimant specific information has been implemented. **Retention and Disposal Standards:** The case file is maintained in the regional office where the case is heard for six months after the final action is taken; it is then transferred to the National Archives of Canada, where it is retained for a further twenty years. **Related to PR#:** IRB OPS 015 **TBS Registration:** 002342 **Bank Number:** IRB PPU 115

CRDD Reasons for Decisions

Description: The records contain selected reasons for decisions from the Refugee Division of the Board. **Class of Individuals:** Persons whose claim to Convention refugee status has been referred to the Refugee Division. **Purpose:** To provide precedent cases from the Refugee Division of the Board. **Consistent Uses:** Tracking precedent cases from the Refugee Division available through the Board's Regional Documentation Centres. Selected sanitized decisions are available through QuickLaw (Q.L.). **Retention and Disposal Standards:** The reasons for decisions are maintained at the Board for

three years after the decision date, then referred to the National Archives of Canada to be retained for a further twenty years. **Related to PR#:** IRB DOC 060 **TBS Registration:** 002786 **Bank Number:** IRB PPU 120

Immigration Appeal Board Records

Description: The records contain all the documentation related to the processing of any action before the former Immigration Appeal Board, including all the papers, submissions, evidence and exhibits provided by the parties to the action before, during and after the hearing. Decisions of the Board, the reasons for the decisions, notices of any proceeding before a higher court and decisions of higher courts also form part of the record. **Class of Individuals:** Permanent residents of Canada, Convention Refugees or persons lawfully in possession of a valid returning resident permit, who have been ordered deported; persons holding a valid visa who are refused entry into Canada; Canadian citizens and permanent residents who have sponsored an application for permanent residence in Canada of a relative, after that application is refused; persons who filed applications for redetermination to the former Immigration Appeal Board. **Purpose:** This bank records, in hardcopy and by audio tape recordings, the proceedings before the former Immigration Appeal Board of Canada. **Consistent Uses:** Information from Citizenship and Immigration Canada (C&I) is used in the Immigration Appeal Board proceedings. **Retention and Disposal Standards:** The case file is maintained in the regional office where the case is heard for six months after the final action is taken; it is then transferred to the National Archives of Canada, where it is retained for a further twenty years. **Related to PR#:** IRB OPS 005 **TBS Registration:** 002340 **Bank Number:** IRB PPU 105

Immigration Appeal Division Records

Description: The records contain all the documentation related to the processing of any action before the Immigration Appeal Division of the Board, including all the papers, submissions, evidence and exhibits provided by the parties to the action before, during and after the hearing. Decisions of the Division, the reasons for the decisions, notices of any proceeding before a higher court and decisions of higher courts also form part of the record. **Class of Individuals:** Permanent residents of Canada, Convention refugees or persons lawfully in possession of a valid returning resident permit who have been ordered deported; persons holding a valid visa who are refused entry into Canada; Canadian citizens and permanent residents who have sponsored an application for permanent residence in Canada of a relative, after that application is refused. **Purpose:** This bank records, in hardcopy and by audio tape recordings, the proceedings before the Immigration Appeal Division of the Board. **Consistent Uses:** Information is used to schedule and track cases before the Appeal Division and to report statistics on cases being processed by the Appeal Division. Information is shared with Citizenship and Immigration Canada and with provincial and municipal

police forces. Information from Citizenship and Immigration Canada is used in the Immigration Appeal Division proceedings. **Retention and Disposal Standards:** The case file is maintained in the regional office where the case is heard for six months after the final action is taken; it is then transferred to the National Archives of Canada, where it is retained for a further twenty years. **Related to PR#:** IRB OPS 010 **TBS Registration:** 002341 **Bank Number:** IRB PPU 110

Interpreter Data

Description: The records contain all the documentation related to interpreters who may be hired by the Board to assist in hearings before the Refugee Division, the Appeal Division and the Adjudication Division. The records include personal employment background information and may include the results of the Board's language test for interpreters. **Class of Individuals:** Persons who may be hired to provide interpreter services to the Board. **Purpose:** This bank records personal information needed for the purposes of hiring interpreters. **Consistent Uses:** Information is used to maintain records of interpreters who may be hired to provide interpreter services to the Board. **Retention and Disposal Standards:** Interpreter files are maintained in the regional offices where interpreters have submitted documentation for a minimum of two years after the last administrative action. **Related to PR#:** IRB OPS 110 **TBS Registration:** 002952 **Bank Number:** IRB PPU 125

Research Directives — Claim and Claimant-Specific Information

Description: Claimant-related information, for example, names, dates and places of birth; nationality; political and religious affiliation; validity of travel documents bearing claimants' names, from Canadian and foreign sources. **Class of Individuals:** Persons who claim to be Convention Refugees and who have been referred to the Refugee Division. **Purpose:** To confirm claimants' religious and/or political status, nationality, residency in third countries for use in Convention Refugee Determination Division hearings. **Consistent Uses:** Disclosure of personal information to Citizenship and Immigration (MOU), R.C.M.P., foreign government and agencies and UNHCR, to identify the subject of the Member's research directive in order to facilitate the required research (Immigration Act). **Retention and Disposal Standards:** The retention period for files is a minimum of two years after the conclusion of the hearings. (Subject to National Archives approval). **Related to PR#:** IRB DOC 135 **TBS Registration:** 003925 **Bank Number:** IRB PPU 145

Unsolicited Résumés and Applications (Member Appointments)

Description: Unsolicited résumés and applications including letters of reference and second language exams. **Class of Individuals:** Non-public servants, public servants, Members. **Purpose:** The purpose of this data bank is to identify potential Members for the Board. **Retention and Disposal Standards:** Résumés are retained in an

inventory for 5 years. **Related to PR#:** IRB ADM 920 **TBS Registration:** 003161 **Bank Number:** IRB PPU 135

Unsolicited Résumés and Applications (Regular Positions)

Description: Unsolicited résumés and applications including letters of reference and second language exams. **Class of Individuals:** Non-public servants and public servants. **Purpose:** The purpose of this data bank is to identify potential employees for the Board. **Retention and Disposal Standards:** Unsolicited résumés are retained in an inventory for 6 months. They are then purged by Records and destroyed in classified waste. If they have been considered in any staffing action the resumé or application must be retained for two years. **Related to PR#:** IRB ADM 920 **TBS Registration:** 003179 **Bank Number:** IRB PPU 130

Manuals

- "ATS" (Adjudication Tracking System) User Manual
- "STAR" (System for Tracking Appeals and Refugees) User Manual
- Adjudication Procedures Manual
- Adjudication Training Manuals
- Backlog Subdivision Procedures
- Briefing Book for Members
- CRDD Member's Handbook
- Finance and Administration Manual
- Information Management Plan
- Instructions for the Acquisition and Disclosure of Information for Proceedings in the Refugee Division.
- Instructions Governing Extra-Hearing Communication Between Members of the Refugee Division and Refugee Claim Officers and Between Members of the Refugee Division and other Employees of the Board.
- IRB Procedures: Case Processing Manuals
- Operational Policies
- Personnel Manual
- Procedures Manual for Refugee Hearing Officers
- Quick Reference Book for RHOs
- RHO Training Manual
- STAR Report Manual

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Immigration and Refugee Board, its programs and functions, may be directed to:

Director General, Documentation, Information and Research
Immigration and Refugee Board
7th Floor, 222 Nepean Street
Ottawa, Ontario
K1A 0K1
(613)995-3514

Reading Room

The Board's Documentation Centres have been designated under the Access to Information Act as public reading rooms. Their addresses are:

Alberta

9th Floor, Fording Place
205-9th Avenue S.E.
Calgary, Alberta
T2G 0R3

British Columbia

800 Burrard Street, Suite 1600
Vancouver, British Columbia
V6Z 2J9

Halifax

5657 Spring Garden Road
Compartment 118
Halifax, Nova Scotia
B3J 3R4

Headquarters

222 Nepean Street- 7th floor
Ottawa, Ontario
K1A 0K1

Ontario

1 Front Street West
Toronto, Ontario
M5J 1A5
7th floor, 70 University Avenue
Toronto, Ontario
M5J 2M4

Quebec

1ST Floor, East Tower
Guy Favreau Complex
200 René Lévesque Boulevard West
Montreal, Quebec
H2Z 1X4

Indian and Northern Affairs Canada

Chapter 55

General Information

Background

The Department was established under section 15 of the Government Organization Act, 1966, now the Department of Indian Affairs and Northern Development Act (RSC 1985 c. I-6, as amended). The Department is an amalgamation of the responsibilities of the then Department of Northern Affairs and Natural Resources, and the then Department of Citizenship and Immigration pertaining to Indian people in Canada.

Responsibilities

The federal government's legislative responsibilities for Indians and Inuit derive from section 91 (24) of the Constitution Act (1867) formerly called the B.N.A. Act which gives the federal government the exclusive authority to pass laws relating to "Indians, and lands reserved for Indians". On the basis of this authority, the Indian Act, which remains the major expression of federal jurisdiction in this area, was passed and a series of treaties was concluded between Canada and various Indian bands across the country. Federal jurisdiction for Indian peoples under the Constitution Act was subsequently (in 1939) interpreted by the courts to apply to Inuit as well.

Within this legislative framework, the department has a number of interlocking responsibilities and objectives. The department's principal emphasis during the next few years will be on pursuing initiatives to assist Aboriginal communities to overcome obstacles to their development and to help them marshal the human and physical resources necessary to build and sustain viable communities. Particular focus will be on: negotiating Aboriginal self-government; settling land claims; supporting the development of communities to improve on-reserve economic opportunities and living conditions; achieving the transfer of provincial-type responsibilities to the territorial governments; and promoting northern environmental awareness and sustainable development. Increasingly, the department is devolving its powers and responsibilities to the Aboriginal peoples and territorial governments directly concerned.

Legislation

- Alberta Natural Resources Act
- Arctic Waters Pollution Prevention Act
- British Columbia Indian Cut-Off Lands Settlement Act
- British Columbia Indian Reserves Mineral Resources Act
- British Columbia Treaty Commission Act
- Canada Lands Surveys Act, (Part III)
- Canada Oil and Gas Operations Act
- Canada Petroleum Resources Act
- Canadian Polar Commission Act
- Caughnawaga Indian Reserve Act
- Condominium Ordinance Validation Act
- Cree-Naskapi (of Quebec) Act
- Department of Indian Affairs and Northern Development Act
- Dominion Water Power Act
- Federal Real Property Act
- Fort Nelson Indian Reserve Minerals Revenue Sharing Act
- Grassy Narrows and Islington Indian Band Mercury Pollution Claims Settlement Act
- Gwich'in Land Claim Settlement Act
- Indian (Soldier Settlement) Act
- Indian Act
- Indian Lands Agreement (1986) Act
- Indian Lands, (Settlement of Differences) Act
- Indian Oil and Gas Act
- James Bay and Northern Quebec Native Claims Settlement Act
- Manitoba Natural Resources Act
- Manitoba Supplementary Provisions Act
- Natural Resources Transfer (School Lands) Amendment Act
- New Brunswick Indian Reserves Agreement Act
- Northern Canada Power Commission (Share Issuance and Sale Authorization) Act
- Northern Canada Power Commission (Yukon Assets Disposal) Authorization Act
- Northwest Territories Act
- Northwest Territories Waters Act
- Nova Scotia Indian Reserves Agreement Act
- Nunavut Act
- Nunavut Land Claims Agreement Act
- Pictou Landing Indian Band Agreement Act
- Railway Belt Act
- Railway Belt and Peace River Block Act
- Railway Belt Water Act
- Repeal of Land Titles Act
- Sahtu Dene and Metis Land Claim Settlement Act
- Saskatchewan Natural Resources Act
- Saskatchewan Treaty Land Entitlement Act

- Sechelt Indian Band Self-Government Act
- Songhees Indian Reserve Act
- Split Lake Cree First Nation Flooded Land Act
- St. Peters Indian Reserve Act
- St. Regis Indian Reservation Act
- Territorial Lands Act
- Western Arctic (Inuvialuit) Claims Settlement Act
- Yukon Act
- Yukon First Nations Land Claims Settlement Act
- Yukon First Nations Self-Government Act
- Yukon First Nations Surface Rights Board Act
- Yukon Placer Mining Act
- Yukon Quartz Mining Act
- Yukon Waters Act

Organization

Administration Program

◆ Corporate Services

Responsible for the integrated management processes of the Department covering finance, management systems, administration, planning and informatics; for the determination of information/data requirement of the Department; for the audit and evaluation of departmental operations and programs; for the management of the human resources function; and for the delivery and monitoring of services to Indians and Inuit. It is also accountable for the acquisition, allocation and management of the departmental Indian Program funding process.

◆ Departmental Secretariat

Serves as a central link on such items as ministerial and executive correspondence, certain briefings, parliamentary relations and requests made under the Access to Information Act and the Privacy Act.

Indian and Inuit Affairs Program

◆ Claims and Indian Government Sector

Responsible for managing the negotiation and settlement of comprehensive, specific and special claims with respect to Aboriginal rights; lawful obligations of other types, or on other grounds; developing frameworks and negotiating self-government agreements among First Nations. It is involved in negotiations, research, policy development, funding support, litigation support, test case funding and planning for and coordinating the implementation of any settlement reached.

◆ Lands and Trust Services

Works to fulfil the fiduciary and statutory obligations of the Government of Canada in matters respecting Indians and the lands reserved for Indians in relation to lands, oil and gas, natural resources, revenues and trusts. It exists to protect and administer reserve lands and their resources;

to administer the funds of bands and the estates of certain individual Indians; to administer the estates of deceased Indians who ordinarily live on reserve; to register entitlement to Indian status and band membership; and to administer the elections of band councils, the passage of bylaws, and the Crown's treaty obligations. It also exists to assist First Nations in addressing environmental concerns affecting the health and safety, economic and social well-being of Indian people.

◆ Policy and Strategic Direction Sector

Responsible for developing and monitoring the implementation of the Government's national policy towards Aboriginal peoples. It is also responsible for integrating the Department's long term strategy for creating a new relationship with Aboriginal peoples; for managing the related policy analysis and development, basic research, consultation and legislative changes processes; for managing the government's commitment to consult with Treaty First Nations on a process for the resolution of treaty issues; and for coordinating the Department's input to the Royal Commission on Aboriginal Peoples. It will also manage department-level relations with First Nations, other federal interests, the provinces, foreign governments and international organizations. It will also provide a forum for international circumpolar affairs. It has the federal lead for developing program frameworks and government-wide policy positions to further self-government among First Nations. In that regard, it oversees the implementation of the inherent right and the negotiation of Aboriginal self-government. It also has responsibilities for socio-economic development policy and for policy development in the North. In addition, it is also responsible to inform DIAND'S client groups about the policies, programs and activities of the Department; to inform all Canadians about Aboriginal peoples and the North; to provide the government and the department with feedback on the interests and concerns of Canadians with respect to DIAND'S responsibilities, and provide functional direction to communications officers in all regions.

Northern Affairs Program

The Program is responsible for managing land, water and non-renewable resources in the Yukon Territory and the Northwest Territories, as well as forestry in the Yukon; assisting northerners with political, economic and social development, including the division of the Northwest Territories into two territories and the creation of Nunavut by April 1, 1999; participating in the negotiation of claims settlements and the transfer of resource management responsibilities to the territorial governments; coordinating the federal government's activities in the North; ensuring that the quality for the Arctic environment is maintained; fostering knowledge of the North through scientific investigation and technological development.

Regional Organizations

The regional offices are responsible for implementing departmental programs and policies. They are directly involved in funding First Nations and First Nations institutions, and negotiating and implementing Indian self-government agreements and other intergovernmental arrangements. They also manage the delivery of residual educational, social development and economic development services which have not yet been taken over by the bands. Regional staff work closely with the local client groups, their representatives, and representatives of provincial/territorial governments.

In addition the Yukon and Northwest Territories regional officials are responsible for the management of minerals, water, the majority of lands and forests. Responsibilities for environmental assessment of proposals for the use of these resources, and for implementation of the Arctic Environmental Strategy, are carried out in conjunction with these resource management functions. Provide support to the negotiation and implementation of comprehensive land claim agreements.

eligibility; program descriptions; terms and conditions; funding formula/criteria; administrations; and operational guidelines. **Program Record Number:** INA CSS 403

Commercial Development

Description: Records are kept on the financial, technical and managerial assistance provided to Indians and Inuit through business lending programs for the development and expansion of businesses. **Topics:** Indian Economic Development Fund direct and guaranteed loans; Farm Credit Corporation guaranteed loans; Inuit Loan Fund direct and guaranteed loans. **Program Record Number:** INA PSD 066

Economic Policy and Analysis

Description: Records are kept on surveys, studies and research designed to identify and demonstrate the importance of certain factors in the promotion of economic development affecting Indian and Inuit communities. **Program Record Number:** INA PSD 086

Family Violence Initiative

Description: To assess the requirements of the types of social support of Family Violence Initiatives by band.

Topics: Information pertaining to funding of Family Violence Initiatives by band. **Program Record Number:** INA PSD 402

Housing

Description: Housing assistance for Indian band councils. **Topics:** Construction or renovations of houses on reserves or designated Indian settlements. **Program Record Number:** INA PSD 404

Indian Government Support

Description: To provide assistance to First Nations and their government institutions toward the overhead costs of managing their communities. **Topics:** Information pertaining to Band Support Funding (BSF), Tribal Council Funding (TCF) and Band Employee Benefits (BEB) in accordance to the Application for Grant form. **Program Record Number:** INA CSS 401

Informatics Branch

Description: Includes files and reports produced during projects to assess, develop or integrate management practices, processes and systems. **Topics:** Management practices; management processes; management systems. **Program Record Number:** INA CSS 376

Management Development Training

Description: Provides support for management training and development of First Nations based on community identified concerns and needs. Provides resources to First Nations for the professional development and training of band administrators, managers and their staff. Provides funds for the development of their public service organizations to ensure suitable management development programs and courses are available. **Topics:** Management support and advice, systems development,

Information Holdings

Program Records

Administration Program

Access to Information and Privacy

Description: Information relating to the operation of the Access to Information and Privacy Office. **Topics:** Access to Information and Privacy — general; Info Source and Bulletins; acts and legislation; committees; federal information collection, directives and procedures, Personal information Index and Bulletins; requests — formal requests, informal requests, consultations from other government departments; reports. **Program Record Number:** INA DSS 369

Audit and Evaluation

Description: Audit of all departmental activities and the evaluation of existing departmental programs and the structuring of evaluation criteria for proposed programs. **Topics:** Audit — Auditor General and internal audit reports. Evaluation of education; social services; legislation; capital and band management; economic and employment development; community services; cultural programs; renewable and non-renewable resources; northern economic development and environmental protection. **Program Record Number:** INA CSS 400

Band Management and Funds

Description: Band local services; legal opinions; Orders-in-Council; Cabinet documents, Treasury Board submissions, contribution arrangements; association support and community-based planning. **Topics:** Policy;

organizational development, and management training.

Program Record Number: INA CSS 399

Professional and Non-professional Services Contracts

Description: Information is included on service contracts and the selection of consultants. **Topics:** Includes all aspects of professional and non-professional services contracts; tender calls; requests for proposals and contract awards; contract claims and disputes and contract training. **Program Record Number:** INA CSS 366

Socio-demographic Statistics and Research

Description: Information on the demographic and social conditions of registered Indians, Inuit and Northerners. **Topics:** Population projections; social conditions; economic conditions; enrollment projections; community profiles. **Program Record Number:** INA CSS 372

Transfer Payments

Description: Authorities, policies, generic funding arrangements, reporting guides and technology used to flow approved funds for the benefits of Indian/Inuit/Innu communities and to secure accountability. **Topics:** Funding Arrangements and Recipient Audits. **Program Record Number:** INA CSS 398

Indian and Inuit Affairs Program

Circumpolar

Description: Promotion and coordination of circumpolar cooperation, and information pertaining to socio-economic, political, scientific and technical trends and developments in the circumpolar regions. **Topics:** Canada-Russia Arctic bilateral initiatives, developments in foreign north, and circumpolar policy. **Program Record Number:** INA PSD 396

Claims Planning and Implementation

Description: Records are kept relating to the development and negotiation of implementation plans and the monitoring of implementation activities for comprehensive land claim agreements and certain self-government agreements. **Topics:** Implementation plans; negotiations; funding arrangements; implementation issues; policy positions and advice; boards and committees; federal obligations tracking; annual reports on implementation; financial tracking; research projects, studies and contacts; planning process; monitoring process; correspondence; briefings. **Program Record Number:** INA CIG 226

Communications

Description: Public (including media), interprogram, interdepartmental, intergovernmental information and briefing materials; communications planning, evaluation, polling, strategy development and programming. **Topics:** Communications plans, policies, studies, evaluation, programs, meetings and contracts; publications; government/departmental communications guidelines,

services, requirements; special communications events; media evaluations; polls; public, media and government requests for information; communications planning for Cabinet; information on departmental mandates, objectives, programs and activities. **Program Record Number:** INA PSD 381

Community Economic Development

Description: Records are kept on the financial and technical support provided to Indian, Inuit and Innu communities; and sectoral development institutions. **Program Record Number:** INA PSD 081

Community Services and Facilities

Description: Community services and facilities for Indian and Inuit communities. **Topics:** Community health and safety needs such as sewers, water, electricity, garbage collection, fire prevention services, road development, and school facilities. **Program Record Number:** INA PSD 031

Comprehensive Claims

Description: Records relating to undertaking and supporting research and public education related to the claims settlement process, and comprehensive claims negotiations. **Topics:** Research projects; studies; research and advice on particular claims situations; claims issues and negotiations; policy positions; the claim process; the eligibility of groups submitting claims; obligations; claim benefits; precedents; the hiring of consultants to conduct various services related to native comprehensive claims. **Program Record Number:** INA CIG 016

Cultural Contributions

Description: Contributions to Inuit organizations and individuals for the advancement of the Inuit culture. **Topics:** Exhibit opening and catalogues; arts and crafts; study tours and workshops; arts; music; theatre; dance; films; literature; languages; newspapers; anthropological, sociological studies and tours. **Program Record Number:** INA CSS 044

Education

Description: Subjects relating to educational programs for meeting the educational needs of Indian and Inuit people. They include assistance to students, educational programs, surveys and studies, development of curriculum. **Program Record Number:** INA PSD 041

Environmental Impacts

Description: Records are kept relating to the Environmental Issues Inventory and Remediation Plan on reserve lands, the Indian Environmental Assistance Fund and the Community Preventative Training. **Topics:** Identification, assessment and remediation of environmental issues and associated costs through contributions, contribution funds toward First Nation's representation and intervention in environmental panel hearings, and funding for the provision of training

programs on environmental issues. **Program Record Number:** INA LTS 131

Indian Act Alternatives

Description: Records relating to the financial and technical support provided to various Chiefs' Working Groups in their pursuit of alternative legislation to the Indian Act. **Topics:** Land management, forestry and governance. **Program Record Number:** INA PSD 394

Indian Lands Registry

Description: Contains information and documentation pertaining to the management, surveys and the establishment of Indian Reserves across Canada. **Topics:** Land Management policies, major issues, research reports, agreements, individual land holdings, leases, permits, rights-of-way, surveys and other interests granted in Indian reserve, designated or surrendered lands. **Program Record Number:** INA LTS 111

Indian Registration and Band Membership

Description: Records relating to the determination of entitlement to registration and band membership, transfer of control of band membership to Indian bands, the amalgamation of two or more Indian bands to form a single band, the division of existing bands into two or more bands, and the constitution of new bands from registered Indians and/or members of existing bands. **Topics:** Subjects include determination of the entitlement to claim Indian status, Indian enfranchisement, protests, marriage to non-Indians, transfer between bands, legitimization of births and divorces. **Note:** The name of the band concerned should be included with each request. **Program Record Number:** INA LTS 121

Inherent Right

Description: Records relating to the inherent right of Aboriginal self-government, equality, lands and resources, Aboriginal title/rights, treaties/treaty rights, funding (constitutional/Aboriginal self-government/framework legislation). **Topics:** Committees, procedures and meetings; Aboriginal participation in national multilateral meetings; provincial and territorial organizations; Aboriginal associations; provinces and territories; Aboriginal and treaty rights; inherent right of Aboriginal self-government; land claims; land and resources; service delivery; legal and constitutional issues; briefings. **Program Record Number:** INA PSD 006

International

Description: Records relating to aboriginal issues in international fora, primarily developments on human rights, environment, trade issues and the International Year and the International Decade of the World's Indigenous People. **Topics:** Multilateral and international organizations, human rights conventions, international conferences, developments in other countries, visits to and from Canada, international communications, and the International Year and the International Decade of the

World's Indigenous People. **Program Record Number:** INA PSD 395

James Bay and Northern Quebec Agreement (JBNQA) and the Northeastern Quebec Agreement (NEQA), Implementation Agreements

Description: information concerning the James Bay and Northern Quebec Agreement, the Northeastern Quebec Agreement, the implementation agreements concerning the Inuit and Naskapis, the creation of autonomous governments and the administration of lands. **Topics:** land regime, local government, health and social services, education, administration of justice, police, environment, hunting, fishing and trapping, compensation, legal entities, economic and social development and Income Security Program. **Program Record Number:** INA CIG 011

Labour Force

Description: Records are kept on federal employment, training, literacy and adult basic education programs. **Topics:** Employment-related training and mobility; labour force development. **Program Record Number:** INA CSS 071

Legislation, Policy and Program Development Information

Description: Resource data and relevant federal, provincial and private sector program and policy information which might affect or influence the administration and management of natural resources on Indian lands. **Program Record Number:** INA LTS 106

Litigation Support

Description: Information on specific issues related to litigation involving the department. **Topics:** Documents related to litigation; research reports; policy positions, litigation process; test case funding; case summaries; court rulings. **Program Record Number:** INA CIG 393

Manitoba Northern Flood Agreement

Description: Advice and assistance to Manitoba Indian Bands on the Northern Flood Agreement and related developments of the Churchill Nelson Rivers Diversion Project. **Topics:** Flood and water control; resource development impacts, negotiations. **Program Record Number:** INA LTS 136

Natural Resources

Description: The cutting, removal and disposition of timber, and the mining, removal and disposition of minerals. **Topics:** Natural resources policies and procedures. **Program Record Number:** INA LTS 116

Oil and Gas

Description: Oil and gas resources on Indian reserve lands. **Topics:** All matters relating to the inventory, control, development and management of oil and gas. **Program Record Number:** INA EDP 096

Policy Coordination and Band Government

Description: Coordination of Indian band government policy and implementation of land claims settlement, Indian and Inuit Affairs Program (IIAP) input to the drafting of Indian band government legislation and revision of the Indian Act. **Topics:** Local Indian government policy coordination (e.g., district councils); Indian Act revision; Indian band government legislation; implementation of claims settlement coordination (e.g., James Bay). **Program Record Number:** INA LTS 126

Research and Assessment

Description: Process for the analysis, negotiation and settlement of grievances that do not meet the criteria of the comprehensive or specific claims programs. Assessment of Comprehensive claims submissions; provision of funding to Native claimants for researching and negotiating claims. **Topics:** Information on the analysis and negotiation of special claims; research projects; studies; research services and information on claims or special historical situations; financial arrangements to enable Indian participation in the claims settlement process; the hiring of consultants to conduct various services related to native claims. **Program Record Number:** INA CIG 392

Resource Development

Description: Renewable and non-renewable resource development activities on and off reserve. **Topics:** Mineral inventories, contribution funding for resource development initiatives, resource development policies and procedures. **Program Record Number:** INA LTS 076

Self Government

Description: Records relating to Indian/Aboriginal self government, lands and resources, legal status and capacity, structures and procedures of government, membership, financial arrangements, application of the Indian Act, implementation plans, infrastructure, education, social and welfare services, justice, taxation, health, wildlife management, agriculture, environment, succession, culture, traffic and transportation and residence. **Topics:** Proposals and responses, negotiation reports, conferences and workshops, contracts and funding, minutes of committees, correspondence and briefing notes, budgets, legal advice and policy. **Program Record Number:** INA CIG 391

Social Development

Description: Records covering subjects related to social development of Indians including assistance and welfare services provided to Indian individuals, namely income maintenance, family and children's services and community social services. **Program Record Number:** INA PSD 046

Specific Claims

Description: Information on specific processing and negotiations and outcomes. **Topics:** Claims issues and negotiations; policy positions; compensation of claims;

the claims process. **Program Record Number:** INA LTS 141

Statistics and Reference Documents

Description: Statistics and information on the history of the Canadian Indian. **Topics:** Statistical information; in-house reports; unpublished research documents and papers; policy statements; procedures and guidelines; Orders-in-Council; Treasury Board minutes and circulars; program delegations of authority relating to the Indian and Inuit Affairs Program and annual reports. **Program Record Number:** INA LTS 109

Taxation

Description: Records are kept on property tax bylaws adopted by First Nations. **Topics:** All matters relating to property taxation on reserve lands. **Program Record Number:** INA LTS 091

♦ Lands and Trust Services

Trust Moneys and Estates

Description: Records are kept on moneys held in the Consolidated Revenue Fund on behalf of Indian Bands and certain individuals. **Topics:** Trust accounting information, per capita distributions, moneys of minors, mental incompetents, adoptees, deceased Indians and missing persons. **Program Record Number:** INA LTS 300

♦ Policy and Strategic Direction Sector

Federal-Provincial/Territorial Relations

Description: Records relating to federal provincial agreements, lands and resources. **Topics:** Committees, procedures and meetings; tripartite negotiations, dispute resolutions; Aboriginal participation in national multilateral meetings; provincial and territorial organizations; Aboriginal associations; provinces and territories; Aboriginal and treaty rights; service delivery; legal issues; briefings. **Program Record Number:** INA PSD 500

Northern Affairs Program

Advisory Committee on Northern Development

Description: The support of the Advisory Committee on Northern Development. **Topics:** Sub-committees on communications, science and technology, transportation, finances, employment of native northerners, northern training programs, agendas, minutes. **Program Record Number:** INA NAP 156

Comprehensive Land Use Planning for the Yukon and Northwest Territories

Description: Compiled since the 1981 announcement of the Northern Land Use Planning Policy, this material covers the development and implementation of the program. **Topics:** Structure and organization of northern land use planning publication; planning appraisals; plan implementation; government/native/industry liaison;

agreements, commissions; planning areas. **Program Record Number:** INA NAP 261

Cultures and Customs

Description: The cultures and customs of Inuit people are outlined. **Topics:** Arts and crafts — production, trademarks, Inuit artists' biographies. **Program Record Number:** INA NAP 171

Economic Development

Description: Records contained outline the planning and development of programs to encourage economic development in the north. **Topics:** Agriculture; boating industries; northern businesses and co-operatives; employment and labour; fishing; forestry; industrial development — funds, loans; recreation and tourism; taxation and royalties; energy regulation, distribution and consumption; population growth and structure; northern native employment and relocation, and the social aspects of employment. **Program Record Number:** INA NAP 266

Education

Description: Educational programs designed to raise the educational level of the native people of the north. **Topics:** Adult education — educational assistance; special and vocational training; language training; teachers and teaching; counselling and guidance; facilities and transportation. **Program Record Number:** INA NAP 181

Employment

Description: The information includes work force information on northern projects; employers; and socio-economic aspects of northern development. **Topics:** Employment and recruiting; counselling; unions; studies, surveys, reports and statistics; memoranda of understanding. **Program Record Number:** INA NAP 276

Environmental Protection

Description: The record contains information on the environmental protection of the Canadian North. It also includes a public register of environmentally-screened projects, which is part of the implementation of the federal Environmental Assessment and Review Process (EARP). **Topics:** Pollution and pollutants of air, land and waters; pollution causes — garbage, industry, oil and gas, pipelines, radioactive material, waste disposal; recreation areas; water; projects, regulations, reports, surveys and studies; offenses and violations of environmental laws. **Program Record Number:** INA NAP 231

Environmental Studies Revolving Fund (ESRF) Studies

Description: Records relating to the Environmental Studies Revolving Fund (ESRF) Studies. **Topics:** Physical environmental effects on oil and gas activities; the interaction of such activities with living organisms on the land, in the sea and in the air; the research and development necessary to bring mitigating technologies into effect; the development of long-term biological monitoring; the socio-economic effects of oil and gas activities in terms of employment, training; waves; sea;

bottom ice; scour; oil spill research and countermeasures; social issues north; social issues south; effect monitoring; icebergs; bottom sediment transport. **Program Record Number:** INA NAP 241

Exploration and Geological Services

Description: Production and dissemination of geological information on both territories. **Topics:** Published maps and reports, open-file maps and reports, including those submitted for representation work requirements. Also, specialized libraries for air photos and drill cores. **Access:** Filed by locality, name and owner of mineral property; publication title, author and publisher. **Program Record Number:** INA NAP 296

Federal-Territorial Agreements and Arrangements

Description: Agreements and arrangements with the Yukon and Northwest Territories. **Program Record Number:** INA NAP 166

Forestry

Description: Records relating to the control, development, management and protection from fire, of forest resources in the north. **Topics:** Logs and logging; timber — cutting rights, reserves, sawmills, surveys and studies, transportation; accidents and accident prevention and safety programs; forest fires — agreements, behaviour, detection, prevention, suppression and pre-suppression, research, reports; weather forecast and stations. **Access:** Permit information is available by permit number, name of permittee or location covered by the permit. **Program Record Number:** INA NAP 256

Health and Social Services

Description: Health services and social services provided or available to native people in the north. **Topics:** Hospitals and nursing stations. **Program Record Number:** INA NAP 176

Hydrocarbon Development

Description: Hydrocarbon development planning and research activities, which are funded under the Northern Oil and Gas Action Program (NOGAP) and which are conducted by the federal and territorial governments. **Topics:** Oil and gas; environment; socio-economics; hydrocarbon transportation; planning and research. **Program Record Number:** INA NAP 316

International Affairs and Relations

Description: International affairs and relations with foreign countries. **Topics:** Arctic and Antarctic science co-operation; human rights; marine science; economic development; environmental co-operation. **Program Record Number:** INA NAP 191

Lands

Description: The protection, conservation, management and administration of territorial lands under the control of the Department are described. **Topics:** Territorial lands, legislation, land use — operations, Arctic land use,

planning; inventory; surveys; mapping; reservations; roads, highways; airports, airstrips; recreation, parks; game preserves, sanctuaries, International Biological Program ecological sites; transfers; claims; Indian reserves; pipelines; railways; electric power development; transmission lines; communication facilities; sub-surface rights; studies, assessments; granular materials; waste collection; agriculture; townships, settlements; group/guard (land identifiers); trespass, Northern Land Use Planning; Ports, Harbours. **Note:** Claims arranged by individual claims. Arctic land use projects arranged by project name. **Program Record Number:** INA NAP 246

Major Non-renewable Resource Development Projects

Description: Files on the coordination of government activities relating to the assessment and, as appropriate, the implementation of major industrial projects to develop northern non-renewable resources. **Topics:** Oil and gas; mining and other individual development projects. **Program Record Number:** INA NAP 311

Mineral Policy

Description: Information on the development of policies to encourage exploration and orderly development of resources in northern Canada. **Topics:** Northern Mineral Policy; native issues; regulatory review; fiscal regime; mineral tax incentives; mineral potential; project assessment and project monitoring; further processing and smelter feasibility studies. **Program Record Number:** INA NAP 281

Mining

Description: The disposition and maintenance of mineral rights in the north are outlined. **Topics:** Claims; coal; companies; dredging; exploration; exports; geology; inspections; maps and charts; prospecting and prospectors; placer mining (panning); quartz mining; recorders and agents; regulations; research, studies and surveys, reports and statistics; mining leases; territorial coal exploratory licenses; mineral production royalties; technical exploratory work reports. **Access:** Prospecting permits are arranged by individual and company name. Placer and quartz dispositions should be identified by claim name and grant number. (Published up-to-date claim reference maps displaying this information are available to the public for this purpose at nominal cost.) Coal and dredging dispositions should be identified by locality and owner. **Program Record Number:** INA NAP 286

Northern Air Stage Parcel Service

Description: The administration of the funding provided to Canada Post Corporation for shipment of air parcels (primarily "food mail") to isolated communities. **Topics:** Memorandum of Understanding between DIAND and Canada Post Corporation; public consultations; food price surveys; nutrition surveys; air stage volumes; revenues and costs; socio-economic conditions in isolated communities. **Program Record Number:** INA NAP 397

Northern Regulatory Review

Description: Reports, articles and correspondence about regulatory and approval processes north of 60 degrees. **Program Record Number:** INA NAP 321

Oil and Gas — Transportation — Pipelines — Norman Wells

Description: Information relating to the management and regulation of the Norman Wells project, including policy, environmental and socio-economic terms, conditions and studies. **Topics:** Contribution agreements; surveillance and monitoring studies and reports, committees and company socio-economic plans and business and employment opportunities. **Program Record Number:** INA NAP 221

Oil and Gas Lands

Description: Information on the control, development and management of oil and gas lands in the north. **Topics:** Norman Wells Proven Area Agreement; various oil and gas companies; standard data on expenditures and revenues; oil and gas policy in the north; project files. Registry of Petroleum Exploration production and related rights; prospectus of Northern Petroleum geology; Northern Oil and Gas Bulletins and annual reports. **Program Record Number:** INA NAP 306

Pipelines

Description: The use of pipelines or tankers in the transportation of oil and gas. **Topics:** Pipeline proposals; Polar Gas Project; Arctic Pilot Project; Norman Wells Project; Esso Beaufort Oil Pipeline. **Program Record Number:** INA NAP 201

Policy Development

Description: These records contain policy analysis and development. **Topics:** General range of policy issues relating to the federal responsibility in the north. **Program Record Number:** INA NAP 146

Program Plans and Planning

Description: A complete source of information on all aspects of government activity in the Yukon and Northwest Territories. **Topics:** Long-range planning; operational planning and plans; strategic planning, work plans and government activities in the north by departments and agencies. **Program Record Number:** INA NAP 151

Roads

Description: Outlining and management of a new roads policy in the two territories, taking into account economic, environmental and social impacts. **Topics:** Environmental studies; socio-economic considerations; incentives programs; finance; planning, design and construction; alternative modes of transportation and the transfer of inter and intra territorial roads responsibilities to the two territorial governments in the 1980's and early 1990's. **Access:** Arranged by road or highway title and

subdivided according to construction sub-activity or consideration. **Program Record Number:** INA NAP 301

Scientific Training Grants

Description: All applications made since 1963 by the various Canadian universities in the Northern Scientific Training Grants Program. **Topics:** Training grants; northern research. **Program Record Number:** INA NAP 211

Socio-economic Data

Description: Collection, compilation and statistical interpretation of socio-economic data pertaining to the territories. **Topics:** Economic accounts; socio-demographic studies; native affairs; industry sector information; general economic development. **Program Record Number:** INA NAP 271

Tankers

Description: Records relating to the use of icebreaking tankers to transport oil or liquid natural gas from the Beaufort Sea or Arctic Islands. **Topics:** Dome Petroleum; Beaufort Sea Developments; Arctic Pilot Project; Norman Wells Project; Trans-Canada Liquefied Natural Gas Project. **Program Record Number:** INA NAP 206

Territorial Government Administration

Description: Plans and policies for the administration and development of the Yukon and Northwest Territories governments. **Topics:** Councils of the Yukon and Northwest Territories — debates, elections, members, rules, territorial ordinances and legislative programs. **Note:** Public ordinances arranged by individual ordinance. **Program Record Number:** INA NAP 161

Water Resources

Description: Control, development and management of water resources in the north are included. **Topics:** Hydro power; hydrometric network; meteorological and water quality networks; licenses; regulations; studies and surveys. **Access:** Licenses arranged by location. **Program Record Number:** INA NAP 251

Wildlife

Description: Animals — diseases, furs, hides, hunting, hunting licenses and permits, predator control, preserves and sanctuaries, traps and trapping, regulations, studies and surveys; birds — diseases, licenses, traps, regulations, studies and surveys; fish — culture, diseases, licenses, regulations, studies and surveys, reports; insects. **Program Record Number:** INA NAP 236

Nunavut Implementation

Description: records relating to the creation of the Nunavut territory and government by April 1, 1999. **Topics:** Human Resources Planning and Development for Nunavut, training, Inuit employment plans, infrastructure development, costs estimates, reports, surveys and studies of Nunavut Implementation, Interim

Commissioner's role and responsibilities, minutes of meetings. **Program Record Number:** INA NAP 140

Treaty Land Entitlement

Description: Information on Treaty Land Entitlement issues, claims and specific processes. **Topics:** Saskatchewan Framework Agreement, entitlement issues, policy positions, land acquisition process, ratification process, third party interests. **Program Record Number:** INA LTS 600

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Finance

Human Resources

Personal Information Banks

Administration Program

Access to Information and Privacy Requests

Description: This bank contains requests for access to information under the Access to Information Act, or the Privacy Act, the replies to such requests and information related to their processing. This bank also contains requests submitted by third parties seeking disclosure of personal information under the categories of permitted disclosures to third parties outlined in subsection 8(2) of the Privacy Act. **Class of Individuals:** Individuals who apply, under the Access to Information Act or the Privacy Act, for access to information; or third parties requesting access to information about other individuals. **Purpose:** The information is used to process access requests in accordance with the Access to Information Act and the Privacy Act. **Consistent Uses:** The bank is used to report to Treasury Board and to Parliament on requests received under the Access to Information Act and Privacy Act; to verify the identity of requesters; and for the purpose of consultations with other government institutions.

Retention and Disposal Standards: Records are retained a minimum of two years. **Related to PR#:** INA DSS 376 **TBS Registration:** 002539 **Bank Number:** INA PPU 186

Applicant Inventories for Teachers

Description: This bank contains resumes, applications, work and education histories, and letters of recommendation. **Class of Individuals:** Persons applying for teaching positions. **Purpose:** The purpose of this bank is to maintain a record of applications from persons wishing to teach. **Retention and Disposal Standards:** Records are retained for two years and are then destroyed. **Note:** This information is held in the regional offices. **Related to PR#:** INA PSD 041 **TBS Registration:** 002518 **Bank Number:** INA PPU 070

Consulting, Professional and Other Services Inventory

Description: This bank is a list of firms and individuals from whom consulting, professional and other services may be obtained. The inventory contains the names of firms or individuals, as well as the field of expertise and type of service they offer. **Class of Individuals:** Firms and individual consultants. **Purpose:** The inventory is consulted by departmental managers when a need arises for consulting, professional or other services from the private sector. **Retention and Disposal Standards:** Records are retained for five years and are then transferred to the National Archives of Canada for selective retention. **Related to PR#:** INA CSS 366 **TBS Registration:** 002538 **Bank Number:** INA PPU 181

Elementary/Secondary Data (Nominal Roll)

Description: This bank contains demographic, general and personal information on students. **Class of Individuals:** Elementary and secondary Indian and Inuit school students resident on reserve and attending federal, provincial and band-operated schools. **Purpose:** The purpose of the Nominal Roll system is to provide educational statistics of a non-financial nature, for department use. Information is used for obtaining funding for elementary and secondary education, as justification for the expenditure of capital funds to derive indicators of program achievement. **Consistent Uses:** The information is used as a source for education statistics. **Retention and Disposal Standards:** These records are maintained indefinitely. **Related to PR#:** INA PSD 041 **TBS Registration:** 002515 **Bank Number:** INA PPU 045

Indian Art

Description: This bank consists of files in the Art Centre relating to artists' biographies, information on exhibitions, the artists and their work. **Class of Individuals:** Indian and Metis artists. **Purpose:** The purpose of this bank is to provide information on Indian artists in order to enhance the understanding of the art in the collection. **Consistent Uses:** The information is available to art curators and researchers of Indian art. In addition, the Indian Art Centre has visual documentation on slides and photos of the art. **Retention and Disposal Standards:** These records are maintained indefinitely. **TBS Registration:** 002540 **Bank Number:** INA PPU 200

Indian Economic Development Fund (Direct and Guaranteed Loans)

Description: This bank contains personal and financial information on Indians, as defined in the Indian Act, or regarding other persons who qualify for financial assistance under the Indian Economic Development Fund. As of April 1, 1990, direct contributions to businesses are no longer made by DIAND, but rather by Industry Canada. **Class of Individuals:** Indians, as defined in the Indian Act, or other persons who qualify for financial assistance under the Indian Economic Development Fund. **Purpose:** The information is used for the control and evaluation of transactions under the Indian Economic Development Fund. **Consistent Uses:** Verification of information with

other organizations, including data matching. **Retention and Disposal Standards:** Records are retained for six fiscal years after the date that each case file is closed and are then transferred to the National Archives of Canada for selective retention. **Related to PR#:** INA PSD 066 **TBS Registration:** 002519 **Bank Number:** INA PPU 075

Information Disclosed to Federal Investigative Bodies

Description: This bank contains a copy of access request for disclosure to federal investigative bodies, forwarded by investigative bodies as listed in Schedule II of the Privacy Act to DIAND under section 8(2)(e) of the same Act. This bank also contains the replies to such requests and gives particulars related to their processing. **Class of Individuals:** Persons being investigated by federal law investigative bodies. **Purpose:** The purpose of this bank is to verify the conditions of disclosure to federal law enforcement bodies which are met under section 8(2)(e) of the Privacy Act and to account to the Privacy Commissioner as required by the Privacy Act. **Retention and Disposal Standards:** Files are retained for a minimum of two years after date of last correspondence. **PAC Number:** 85-001 **TBS Registration:** 001739 **Bank Number:** INA PPU 195

Inuit Artists Files

Description: This bank contains information regarding exhibits and literature on the work of artists. **Class of Individuals:** Inuit artists and artisans. **Purpose:** The purpose of this bank is to provide information on Inuit artists. **Consistent Uses:** The information is available to researchers of Inuit art. **Retention and Disposal Standards:** Records are retained for 30 years. They are then transferred to the National Archives of Canada for permanent retention. **TBS Registration:** 002531 **Bank Number:** INA PPU 146

Inuit Loan Fund

Description: This bank contains personal and financial information about individual applicants. Records in the bank relate exclusively to the Inuit. **Class of Individuals:** This personal information relates to Inuit individuals, groups, companies and corporations (including co-operatives) applying for a loan from the Inuit Loan Fund. **Purpose:** The purpose of this bank is to record applications for loans from the Inuit Loan Fund. **Consistent Uses:** Verification of information with other organizations, including data matching. **Retention and Disposal Standards:** Records are retained for six fiscal years after the repayment of the loan and then transferred to the National Archives of Canada for selective retention. **Related to PR#:** INA NAP 226 **TBS Registration:** 002528 **Bank Number:** INA PPU 136

Post-secondary Education Data

Description: This bank contains personal information related to demographic and academic information on students. **Class of Individuals:** Indian and Inuit students attending post-secondary institutions and whose studies are funded directly or indirectly by the Department. **Purpose:** This information is used for statistical reporting

and program evaluation. **Retention and Disposal**

Standards: These records are maintained indefinitely.

Related to PR#: INA ISP 041 **TBS Registration:** 002516

Bank Number: INA PPU 050

Indian and Inuit Affairs Program

Adult Care

Description: The bank contains personal and financial data related to services provided to eligible registered Indian adults for whom the department accepts financial responsibility. It also contains medical and social reports.

Class of Individuals: This personal information relates to registered Indian adults on reserve eligible for Adult Care Services. **Purpose:** To record information on persons in need of care and support either at home or in an institution and to assist in planning, allocating budgets and monitoring services provided to registered Indian adults. **Consistent**

Uses: Statistical purposes within the department for measuring performance indicators and updating ongoing policy papers. Verification of information with other organizations, including data matching. **Retention and Disposal Standards:** Records are retained for five years after each case is closed and then transferred to the National Archives of Canada for selective retention.

Related to PR#: INA PSD 031 **TBS Registration:** 002715

Bank Number: INA PPU 215

Applicant Inventory for Boards and Committees

Description: Inventory contains resumes and applications.

Class of Individuals: Persons applying for or being appointed to positions on boards, committees and councils established pursuant to comprehensive land claim agreements. **Purpose:** To maintain a record of applications from individuals seeking to be board members. **Consistent Uses:** This information may be used by the department to select candidates for similar boards, committees or councils. **Retention and Disposal Standards:** Records are retained for five years and are then destroyed. **Note:** Inventory is held at Headquarters.

Related to PR#: INA CIB 016 **TBS Registration:** 003431

Bank Number: INA PPU 010

Bill C-31 Fund Management

Description: Contains names of status Indians on whose behalf bands have requested funds for authorized programs, or to whom the department provides services directly. **Class of Individuals:** Indians registered under the provisions of sections 6(1)(c), 6(1)(d), 6(1)(e) and 6(2) of the Indian Act R.S., C. 149, S.1 **Purpose:** Management and collection of statistical information related to supplementary funding approved for the implementation of amendments to the Indian Act. **Retention and Disposal Standards:** Retained until supplementary funding terminated, then transfer to the National Archives of Canada for selective retention. **Note:** This bank will no longer exist as of next year. Records have been transferred to the National Archives of Canada. **TBS Registration:** 002717 **Bank Number:** INA PPU 225

Child and Family Services

Description: The bank contains personal and financial data related to services provided to Indian children and their families for whom the Department accepts financial responsibility. **Class of Individuals:** This information relates to Indian children and their families resident on reserve. **Purpose:** The purpose of this bank is to record information on Child and Family Services and other social services to assist in planning, allocating budgets and monitoring services provided to registered Indian children, by provincial/territorial governments or accredited agencies. **Consistent Uses:** The bank is used for statistical purposes within the department for measuring performance indicators and updating ongoing policy papers. Verification of information with other organizations, including data matching. **Retention and Disposal Standards:** Records are retained for five years after each case is closed and then transferred to the National Archives of Canada for selective retention. **Related to PR#:** INA PSD 031 **TBS Registration:** 002714 **Bank Number:** INA PPU 210

Cultural Grants for the Advancement of Inuit Culture

Description: This bank contains information on individuals applying for cultural grants. Information recorded is limited to those items on the application form. Records in the bank relate exclusively to the Inuit. **Class of Individuals:** Individuals applying for cultural grants. **Purpose:** The purpose of this bank is to record information relating to grants for the promotion of Inuit culture. **Retention and Disposal Standards:** Records are retained for 10 years after the completion of the cultural project and are then transferred to the National Archives of Canada. **Related to PR#:** INA NAP 196 **TBS Registration:** 002530 **Bank Number:** INA PPU 145

Farm Credit Corporation Guaranteed Loans

Description: This bank contains personal and financial information on Indians eligible for Farm Credit Corporation loans guaranteed by the Department of Indian and Northern Affairs. Effective November 14, 1989 the Department has terminated the 1969 Agreement with FCC. **Class of Individuals:** Indians, as defined in the Indian Act, engaged in on-reserve agricultural activities. **Purpose:** The information is used for the control and evaluation of loan activity with respect to the Farm Credit Corporation loans guaranteed by the Department. **Consistent Uses:** Verification of information with other organizations, including data matching. **Retention and Disposal Standards:** Records are retained for six years after the last administrative action on a loan and all HQ defaulted FCC loan files are transferred to the National Archives. **Related to PR#:** INA PSD 081 **TBS Registration:** 002520 **Bank Number:** INA PPU 085

Indian and Inuit Off-Reserve Housing Assistance Program

Description: This bank contains personal and financial information on registered Indians who received loans from the Department. This program was discontinued in 1985.

Class of Individuals: Indians and Inuit participating in the Off-Reserve Housing Assistance Program. **Purpose:** The purpose of this bank is to establish a record of Indian off-reserve and Inuit housing and to administer the loans within the terms and conditions of the program. **Retention and Disposal Standards:** Records are retained for 30 years after each case is closed and then transferred to the National Archives of Canada for selective retention. **TBS Registration:** 002508 **Bank Number:** INA PPU 005

Indian Community Human Resource Strategy (ICHRS)

Description: Contains personal and financial information on Indians and Inuit who undertook training under components of the ICHRS Program. Information is collected at the regional level only. **Class of Individuals:** Indians and Inuit who qualify for financial assistance under the ICHRS Program. **Purpose:** Information is used for the control and evaluation of fund transactions under the Program. Records are restricted to native people and used to monitor individuals' progress and for evaluation purposes. **Retention and Disposal Standards:** Records are retained for six fiscal years after the date that each case file is closed and transferred to the National Archives of Canada. **TBS Registration:** 002719 **Bank Number:** INA PPU 235

Indian Genealogy

Description: Records treaty payments made to Indians and some per capita distributions of band funds. Depending upon the treaty the earliest records available will range from 1850 to the early 1900's. As well, the bank contains census lists for the 1920's and 1930's for a number of bands in British Columbia. **Class of Individuals:** Personal information relates to all persons who are/were treaty Indians as well as some persons who are/were members of non-treaty bands. **Purpose:** The treaty and per capita distribution paylists were compiled to record proof of payments to individuals. The census lists were compiled to record the members of the bands. **Consistent Uses:** Support the compilation of genealogies; permit the production of lists of past band chiefs and councillor; assist federal and provincial governments and individuals on the establishment of ages for purposes such as the procurement of old age security payments, delayed birth registration and passports; support litigation and claims research; provide proof of Indian ancestry; and assist in the identification of heirs to deceased estates. **Retention and Disposal Standards:** Records are retained for thirty years from date of payment and then transferred to the National Archives of Canada for selective retention. **Related to PR#:** INA LTS 121 **TBS Registration:** 002716 **Bank Number:** INA PPU 220

Indian Lands Registry

Description: This bank contains instruments and documents which grant or change interests in Indian reserves, designated and surrendered lands, including information about title or status of lands. **Class of Individuals:** Individuals with interests in Indian reserves designated and surrendered lands. **Purpose:** The

information provides a permanent record of all transactions affecting Indian lands, as required by sections 21 and 55 of the Indian Act. **Retention and Disposal Standards:** These records are retained indefinitely by the Department. **Related to PR#:** INA LTS 111 **TBS Registration:** 002521 **Bank Number:** INA PPU 090

Indian Register and Departmentally administered Band Lists

Description: This bank consists of the Indian Register and departmentally-administered Band lists and files pertaining to applications for registration. **Class of Individuals:** Registered Indians and Indian band members in accordance with the Indian Act. **Purpose:** The purpose of the information in this bank is to maintain an official record of all persons who are registered as Indians and recorded on departmentally-administered band lists, in accordance with the Indian Act. This information can be used to prepare lists containing data for administering provisions of the Indian Act, for administering programs for Indian people by this and other departments, and for statistical purposes. **Consistent Uses:** It can be used, upon request by provinces, to compile lists of Indians who may be selected to serve as jurors in the courts or for the purpose of administering or enforcing any law or carrying out a lawful investigation and to confirm that given individuals have the rights and entitlements ensuing from being registered under the Indian Act, such as disclosure to Citizenship and Immigration Canada for the purpose of entry into Canada. It can also be used by Health Canada for the purposes of health services to Indian people. Verification of information with other organizations, including data matching. **Retention and Disposal Standards:** Records are retained indefinitely. **TBS Registration:** 001826 **Bank Number:** INA PPU 110

Inuit Culture and Linguistics Evaluation Study

Description: This bank is comprised of two sections: (a) Inuktitut language promotion, containing information on all of the 11 Canadian organizations involved in Inuktitut language promotion; and (b) Inuktitut magazine, containing information on the receipt, use and acceptance of the magazine in Inuit households. The bank contains data on the magazine's content, orthographies, language preference, and the age, education level and area of employment of persons interviewed. **Class of Individuals:** Members of Canadian organizations involved in Inuktitut language development, as well as Inuit, and other persons interviewed. **Purpose:** The purpose of this bank is to identify any gaps or duplication of efforts in order to determine the Department's future role in the area of Inuktitut language development. This information is also used to evaluate the effectiveness of Inuktitut magazine. **Retention and Disposal Standards:** Records are retained for five years and are then transferred to the National Archives of Canada for selective retention. **Related to PR#:** INA PSD 381 **TBS Registration:** 002537 **Bank Number:** INA PPU 175

Land Transactions Management System (Winlands)

Description: This bank contains lease and permit information for reserve lands leased or permitted to third parties by the Department. **Class of Individuals:** Indians and bands who wish to lease or permit their land and individuals or companies who lease or permit the land.

Purpose: The information is used for real property management as required to fulfil the Department's responsibilities under the Indian Act. **Retention and Disposal Standards:** These records are retained for five years after the lease expires. **Related to PR#:** INA LRT 111 **TBS Registration:** 002522 **Bank Number:** INA PPU 096

On-Reserve Housing Program

Description: This bank contains personal information on subsidies and loan details for which a Ministerial Guarantee has been provided. **Class of Individuals:** Registered Indians and band councils participating in the On-Reserve Housing Program. **Purpose:** The purpose of this bank is to establish records of loans for housing, from Canada Mortgage and Housing Corporation (CMHC) or NHA approved lenders, to Registered Indians living on reserves and to band councils, as well as to record subsidies provided to band councils. Loans are then monitored and administered under the terms of the Ministerial Guarantee. **Consistent Uses:** Verification of information with other organizations, including data matching. **Retention and Disposal Standards:** Records are retained for 30 years after each case is closed and then transferred to the National Archives of Canada for selective retention. **Related to PR#:** INA PSD 404 **TBS Registration:** 002509 **Bank Number:** INA PPU 011

Per Capita Distributions

Description: Contains personal and financial information concerning per capita distribution payments made to Indians in accordance with those sections of the Indian Act and Regulations and departmental policies, and directives which are related to Indian monies. **Class of Individuals:** Personal information relates to each individual registered band member within the limits of legislation. **Purpose:** This bank is a record of payment made, or in arrears, to eligible Indians within the limits of legislation. **Retention and Disposal Standards:** Records are retained for 30 years then transferred to National Archives of Canada for permanent retention. **Related to PR#:** INA LTS 300 **TBS Registration:** 002718 **Bank Number:** INA PPU 230

Social Assistance

Description: Contains personal and financial information on eligible recipients and/or dependents of social assistance benefits. Occasionally, the bank may contain medical and social worker reports. **Class of Individuals:** This personal information relates to Indians and Inuit receiving social assistance benefits and certain categories of non-status recipients on-reserve. **Purpose:** To record operational and accounting information pertaining to social assistance and services. The bank is used to regulate, monitor, and

evaluate the program, to assist in budgeting and to provide input information to other departmental programs. **Consistent Uses:** This bank is used for statistical purposes within the department for measuring performance indicators and updating ongoing policy papers. Verification of information with other organizations, including data matching. **Retention and Disposal Standards:** Records are retained for five years after each case is closed and then transferred to the National Archives of Canada for selective retention. **Related to PR#:** INA PSD 046 **TBS Registration:** 002713 **Bank Number:** INA PPU 240

Students in Departmental Schools

Description: This bank contains attendance records and grades of Indian and Inuit students in federal schools. **Class of Individuals:** Indian and Inuit students attending federal elementary and secondary schools. **Purpose:** The information is used to monitor and control the provision of elementary and secondary education in federal schools. **Retention and Disposal Standards:** These records are retained for five years and are then transferred to the National Archives of Canada for selective retention. **Related to PR#:** INA PSD 041 **TBS Registration:** 002517 **Bank Number:** INA PPU 055

Survey Data for Program Evaluations

Description: This bank contains socio-demographic characteristics, attitudes and opinions of the department's clientele, as well as data on how the clientele has used and plans to use departmental and other related federal programs. **Class of Individuals:** Relates to the Indian and Inuit population of Canada and to other Native and Northern population segments. **Purpose:** This information will serve to evaluate departmental programs and policies. **Consistent Uses:** The survey data could be used by the programs concerned to analyze the program's conception and expectations with respect to the request for service and to undertake statistical work having a direct link with the activities of the department. **Retention and Disposal Standards:** Records are retained for five years. **TBS Registration:** 002666 **Bank Number:** INA PPU 205

♦ Lands and Trust Services

Band Trust Funds and Trust Fund Suspense Accounts

Description: This bank contains financial information on Indian monies held in the Consolidated Revenue Fund to the credit of the Indian bands, and in Trust Fund Suspense accounts, pending credit to a specific account. **Class of Individuals:** Indian band members. **Purpose:** This bank is maintained in the Trust Fund Management System and records financial transactions made by bands as well as transactions in the Trust Fund Suspense accounts. This bank is used to monitor and evaluate the program. **Retention and Disposal Standards:** Retention and disposal standards remain to be determined. **Related to PR#:** INA LTS 300 **TBS Registration:** 002525 **Bank Number:** INA PPU 120

Indian Estates

Description: This bank contains personal information related to the estates of deceased Indians. **Class of Individuals:** Deceased Indians who were ordinarily resident on reserve during their lifetime. **Purpose:** The purpose of this bank is to establish official records of Indian estates. The bank is used in the administration and settlement of Indian estates. **Consistent Uses:** Verification of information with other organizations, including data matching. **Retention and Disposal Standards:** Records are retained for six years after files are closed and are then transferred to the National Archives of Canada for selective retention. **Related to PR#:** INA LTS 300 **TBS Registration:** 002523 **Bank Number:** INA PPU 105

Individual Trust Fund Accounts

Description: This bank contains information concerning financial accounts maintained on behalf of estates of deceased Indians, Indian minors, missing or absent heirs, mentally incapacitated Indians, and adopted Indian children, as recorded in the Trust Fund Management System. **Class of Individuals:** Eligible Indians. **Purpose:** This bank keeps a record of funds held in trust in the Consolidated Revenue Fund for individual Indians until such time as they have the capacity to receive them or until such time as the estate of a deceased Indian has been distributed. **Retention and Disposal Standards:** Retention and disposal standards remain to be determined. **Related to PR#:** INA LTS 300 **TBS Registration:** 002526 **Bank Number:** INA PPU 125

Northern Affairs Program

Nunavut Training

Description: This bank contains general and personal information on Inuit attending training programs. It includes their names, addresses, telephone numbers, age of individuals, sex, marital status, education, social insurance number, ethnic origin. **Class of Individuals:** Inuit students attending training programs and whose studies are funded directly or indirectly by the Department. **Purpose:** The information is issued to monitor and control the provision of training of Inuit for jobs in the Nunavut Government. **Retention and Disposal Standards:** 5 years from end of training. **TBS Registration:** 003921 **Bank Number:** INA PPU 020

On-the-Job Training

Description: This bank contains information on the training period, the individual's monthly allowances, and a monthly evaluation by the training agency. **Class of Individuals:** Northern native people. **Purpose:** The purpose of this bank is to maintain training agreements between northern natives and training agencies. Records in the bank are restricted to northern native people and are used to monitor each individual's progress. **Consistent Uses:** Verification of information with other organizations, including data matching. **Retention and Disposal**

Standards: Records are retained for two years and then destroyed. **Related to PR#:** INA NAP 276 **TBS Registration:** 002536 **Bank Number:** INA PPU 170

Prospectors' Assistance

Description: This bank contains information about applicants, supplied according to the Prospectors' Assistance Program Regulations. **Class of Individuals:** Individuals applying for Prospectors' Assistance Program. **Purpose:** The purpose of this bank is to record and maintain applications for Prospectors' Assistance Program. **Consistent Uses:** The bank is also used to monitor the Prospectors' Assistance Program. **Retention and Disposal Standards:** Records are retained for six years after each case is closed and then transferred to the National Archives of Canada. **Related to PR#:** INA NAP 286 **TBS Registration:** 002533 **Bank Number:** INA PPU 155

Small Business Loan Fund

Description: This bank contains personal information on individuals applying for or receiving funds. **Class of Individuals:** Individuals applying for or receiving funds. **Purpose:** The purpose of this bank is to maintain records relating to small business loans in the Yukon Territory. It is also used to monitor the repayment of loans by individuals. **Consistent Uses:** Verification of information with other organizations, including data matching. **Retention and Disposal Standards:** Records are retained for 10 fiscal years after repayment of the loan and then transferred to the National Archives of Canada for selective retention. **Related to PR#:** INA NAP 226 **TBS Registration:** 002529 **Bank Number:** INA PPU 140

Territorial Lands and Land Use Records

Description: This bank contains the Application for Federal Crown Land form and supporting documentation and is held in the regional office. **Class of Individuals:** Individuals who submit an Application for Federal Crown Land. **Purpose:** The purpose of this bank is to maintain a record of transactions dealing with surface interests to federal Crown lands. **Consistent Uses:** It is used to register and monitor the administration of the sale, leasing or other disposition of territorial lands and land use activities. **Retention and Disposal Standards:** These records are retained indefinitely. **Related to PR#:** INA NAP 246 **TBS Registration:** 002532 **Bank Number:** INA PPU 150

Population Statistics

Description: This bank contains personal information related to individuals ordinarily residing on Indian reserves, designated lands and surrendered lands. The personal information includes names, dates of birth, gender, and the Indian Registration Number for these individuals. **Class of Individuals:** Registered Indians, band members, and all other individuals who normally reside on Indian reserves, designated lands and surrendered lands. **Purpose:** The purpose of the information in this bank is to identify individuals residing on Indian reserves,

designated lands and surrendered lands. **Consistent Uses:** This bank is used for statistical purposes within the department for measuring performance indicators and projecting population growth. Verification of information within the organization, including data matching. **Retention and Disposal Standards:** Records are retained for five years and are then transferred to the National Archives of Canada for selective retention. **TBS Registration:** 003927 **Bank Number:** IAN PPU 015

Manuals

- A Guidebook for Electoral Officers
- Access to Information and Privacy Manual
- Administration Manual
- Adoption Officers Manual
- Annual Northern Expenditure Plan Procedures Manual
- Band Code Implementation Manual
- Capital Management Operational and Funding Handbook
- Capital Planning Process
- Circulars on Housing (J1 to J5)
- Classification of Indian Bands by Geographic Zones
- Communications Standards and Procedures Manual
- Corporate Information Management Plan (1995-96)
- Corporate Organization Manual
- Delivery of Technical Services — Departmental Directive
- Entitlement Officers Manual
- Environmental Screening Guidelines
- ESRF Guidelines for Applications for Payment
- ESRF Guidelines for Levies
- ESRF Guidelines for Study Proposals
- Estates Procedures Manual
- Financial Management Manual
- Fire Management Manual — Yukon Territory
- Guidelines for Band Councils (British Columbia)
- Guidelines for Claim Settlement Implementation Planning
- Guidelines for Housing (British Columbia)
- Human Resources Manual
- Indian Band By-Law Handbook
- Indian Economic Development Fund-Direct Loan Operating Manual
- Indian Lands Registration Manual
- Indian Moneys Directive — 1A: Administration of Moneys Belonging to Indian Minors
- Indian Oil and Gas Canada Reporting Guidelines
- Indian Program Manual
- Indian Registry Reporting Manual
- Informatics Systems & Operations Standards & Procedures (ISOSP) Manual
- Information Management Strategic Vision
- Land Management and Procedures
- Land Management Manual
- Local Government Finance Manual (British Columbia)
- Local Government Program Development Manual (British Columbia)
- Managing Funding Arrangements, Volume 1, DIAND's Accountability Framework
- Ministerial Correspondence, Briefings and Parliamentary Affairs Manual
- National Housing Act
- National Standards for Child Welfare and Draft Adult Care Standards
- National Standards for the Social Assistance Program, with Corresponding Regional Manuals
- Off-Reserve Housing Regulations
- On Reserve Services Handbook
- On-Reserve Housing Operating Manual (British Columbia)
- Post-Secondary Student Support Program Administration Handbook (October 5, 1989)
- Preparation of Treasury Board Capital Submissions
- Program Directive — Chapter 5 (Social Development)
- Program Directive — Chapter 6 (Capital Facilities and Community Services)
- Program Directives — Chapter 7 (Band Management)
- Program Procedures — Ministerial Guarantee Process
- Rental Housing (British Columbia)
- Residential Rehabilitation Assistance Program (RRAP)
- Social Development Policy and Procedures Manual
- Survey Practices and Procedures Manual (British Columbia)
- Terms and Conditions for Contribution Agreements
- Terms and Conditions on Housing Regulations — P.C. 1981-810
- Terms and Conditions Respecting the Housing of Individual Indians on Reserves — P.C. 1980-2753
- The Canadian Aboriginal Economic Development Strategy

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

The Department encourages requesters to utilize the present lines of communication and to request personal information through informal channels. The intent is to

make available as much information as possible through informal channels. Therefore enquiries for personal information should be made directly, where possible, to the departmental office having control of the record(s) concerned. For further information contact the departmental coordinator or our regional offices.

Alberta

Indian Oil and Gas Canada
9911 Chula Boulevard, Suite 100
Tsuu T'ina (Sarcee), Alberta
T2W 6H6

630 Canada Place
9700 Jasper Avenue
Edmonton, Alberta
T5J 4G2

Atlantic

40 Havelock Street
P.O. Box 160
Amherst, Nova Scotia
B4H 3Z3

British Columbia

1550 Alberni Street, Suite 300
Vancouver, British Columbia
V6G 3C5

Manitoba

275 Portage Avenue, Room 1100
Winnipeg, Manitoba
R3B 3A3

Northwest Territories Region

P.O. Box 1500
Yellowknife, N.W.T.
X1A 2R3

Ontario

Sir Arthur Meighen Building
Fifth Floor, 25 St. Clair Avenue East
Toronto, Ontario
M4T 1M2

Quebec

320 St. Joseph Street East
P.O. Box 51127, Station G. Roy
Quebec, Quebec
G1K 8Z7

Saskatchewan

3rd Floor
2221 Cornwall Street
Regina, Saskatchewan
S4P 4M2

Yukon

345-300 Main Street
Whitehorse, Yukon
Y1A 2B5

Reading Room

As required under the Access to Information Act, the library of this institution has been designated as public reading room: The address is:

Room 1400
Les Terrasses de la Chaudière
10 Wellington Street
Hull, Quebec

(819) 997-0811

Industry Canada

Chapter 56

General Information

Background

Industry Canada incorporates organizational elements of the former departments of Communications, Consumer and Corporate Affairs and Industry, Science and Technology and Investment Canada.

Responsibilities

Industry Canada has a mandate to make Canada more competitive by fostering the growth of Canadian business, by promoting a fair and efficient marketplace for businesses and consumers, and by encouraging scientific research and technology diffusion.

The department's efforts are concentrated on three mutually reinforcing lines of business:

Industry Canada is the government's lead department on the micro-economic policy agenda. It develops framework policies to help industries and consumers grow and prosper, advocates industry and consumer interests, works to expand trade development efforts and enhance technology diffusion. The department leads in the development of science and technology policy, competition policy, telecommunications policy (including the development of the Information Highway), small business policy, federal corporate and intellectual property law administration and intellectual property rules.

The department is responsible for the effective implementation and management of the rules of the marketplace. The extensive suite of marketplace support we provide helps ensure that businesses and consumers enjoy the benefits of a marketplace that is fair, open and efficient. This support comprises the main building blocks of a competitive business environment. It is our goal to make these rules international benchmarks for excellence, thereby giving Canadian business a competitive advantage. Under this line of business the department is responsible for the Bankruptcy Act, the Canadian Business Corporations Act, the Competition Act, the Copyright Act, consumer product inspection, patent registration and Radio Frequency Spectrum Allocation.

With a new approach to industry sector development Industry Canada is acting as a catalyst, providing new outlets for Canadian businesses to bridge the competitiveness gaps that the business cannot overcome alone. Our new approach includes a broad range of world-class services and strategic information products that are specifically designed to provide Canadian industry with the services and information they need to compete successfully in domestic and international markets.

In addition to these three principle lines of business, the department manages: Aboriginal Business Canada; the Federal Economic Development Initiative for Northern Ontario (FedNor); the Canadian Tourism Commission; and the Ethics Counselor.

Legislation

- Agricultural and Rural Development Act, R.S. 1985, c. A-3
- Atlantic Canada Opportunities Act (Part I of the Government Organization Act, Atlantic Canada, R.S. 1985, c. 41 (4th Supp.))
- Bankruptcy and Insolvency Act, R.S., 1985, c. B-3
- Bell Canada Act, S.C. 1987, c.19 (private act)
- Boards of Trade Act, R.S., 1985, c. B-6
- British Columbia Telephone Company Act, S.C. 1916, c. 66 (private act)
- Business Development Bank of Canada Act, S.C. 1995, c. 28
- Canada Business Corporations Act, R.S., 1985, c. C-44
- Canada Corporations Act, R.S.C., 1970, c. C-32
- Canadian Space Agency Act, S.C. 1990, c.13
- Companies' Creditors Arrangement Act, R.S., 1985, c. C-36
- Competition Act, R.S., 1985, c. C-34
- Competition Tribunal Act, R.S., 1985, c. 19 (2nd Supp.)
- Consumer Packaging and Labelling Act, R.S., 1985, c. C-38
- Copyright Act, R.S., 1985, c. C-42
- Department of Industry Act, S.C. 1995, c. 1
- Electricity and Gas Inspection Act, R.S., 1985, c. E-4
- Enterprise Cape Breton Corporation Act (Part II of the Government Organization Act, Atlantic Canada, 1987, S.C. 1988 c. 50; R.S. 1985 c. 41 (4th Supp.))
- Industrial Design Act, R.S., 1985, c. I-9
- Integrated Circuit Topography Act, S.C. 1990, c. 37
- Investment Canada Act, R.S., 1985, c. 28 (1st Supp.)
- Lobbyists Registration Act, R.S., 1985, c. 44 (4th Supp.)
- National Research Council Act, R.S., 1985, c. N-15
- Natural Sciences and Engineering Research Council Act, R.S., 1985, c. N-21
- Patent Act, R.S., 1985, c. P-4
- Precious Metals Marking Act, R.S., 1985, c. P-19
- Public Servants Invention Act, R.S., 1985, c. P-32

- Radiocommunication Act, R.S., 1985, c. R-2
- Small Business Investment Grants Acts, S.C. 1980-81-82-83, c. 147
- Small Business Loans Act, R.S., 1985, c. S-11
- Social Sciences and Humanities Research Council Act, R.S., 1985, ch. S-12
- Standards Council of Canada Act, R.S., 1985, c. S-16
- Statistics Act, R.S. 1985 c. S-19
- Telecommunications Act, S.C. 1993, c. 38
- Textile Labelling Act, R.S., 1985, c. T-10
- Timber Marking Act, R.S. 1985, c. T-11
- Trade-marks Act, R.S., 1985, c. T-13
- Weights and Measures Act, R.S., 1985, c. W-6
- Western Economic Diversification Act, R.S. 1985 c. W-6.8
- Winding-up Act, R.S., 1985, c. W-11 (Part I only)

Organization

Audit and Evaluation

Conducts audits and evaluations consistent with Departmental and Central agency policies.

Canadian Tourism Commission

The Canadian Tourism Commission is a working partnership between tourism businesses and associations, provincial and territorial governments and the Government of Canada. Its mission is to promote Canada as a desirable tourist destination to both international and domestic travellers and supply the tourist industry with the information it needs for its decision-making.

The Commission strives to coordinate the efforts of numerous players in the tourism sector, including hotel owners, tour operators, people who run tourist attractions, airline carriers, local and provincial associations and government agencies. The CTC continuously seeks to create new partnership opportunities for its marketing, research and industry promotional activities. The CTC was a WEB site which, in addition to providing general information on the CTC's activities and a list of its publications, establishes hypertext links with other WEB sites dealing with travel or tourism in Canada.

Communications Branch

Provides communications advice, services, and products in support of the department's activities. As a focal point for relaying information about Industry Canada programs, policies, and projects, the Branch plays a key role in developing clear, positive messages and delivering these to employees, clients, and the general public. The Branch also provides communications advice to departmental decision-makers through plans, strategies and environmental analyses, and is responsible for

coordinating the production of publications, audio-visual materials, speeches, and media materials.

Communications Research Centre

The Communications Research Centre (CRC), an institute of Industry Canada, has been dedicated to advanced communications R&D for over 40 years. Its research contributes to the technical bases for the development of public policy, regulations and standards which are conducive to the development of public policy, regulations and standards which are conducive to the development of new products, systems and services. Its key research areas include: radio sciences and radiocommunications technologies, broadcast technologies, satellite communications systems, network systems and technologies, and microelectronic and optical technologies. CRC has a long history of knowledge and technology transfer and recently opened a Technology Innovation Centre to provide private industry with increased access to CRC's technologies, research expertise and unique laboratories. With some 200 engineers, scientists and technicians, the CRC is able to leverage the knowledge and technology it develops in support of its statutory responsibilities to assist the Canadian communications industry in maintaining global leadership in advanced communications technology through collaborative research, service agreements, licensing agreements, contracting, etc.

Competition Bureau

The Competition Bureau promotes competition and efficiency in the Canadian economy through the administration of the Competition Act concentrating on enforcement, public awareness and submissions on regulatory matters. The Director of Investigation and Research is a statutory appointment with the responsibility of administering and enforcing the Competition Act as the head of the Competition Bureau which consists of six branches, four of which are responsible for the enforcement of the Act. A temporary unit has also been established for the purpose of co-ordinating possible amendments to the Competition Act.

♦ Civil Matters Branch

Responsible for administering the reviewable section of the Act (excluding mergers). The Civil Matters Branch is also responsible for the Director's regulatory representations before Boards, Commissions and other Tribunals.

♦ Compliance and Operations Branch

This Branch is comprised of two directorates and an informatics centre:

Compliance and Coordination Directorate: Coordinates the Bureau's public information program; assists in the development and dissemination of Bureau policies and procedures; provides research assistance to the enforcement branches; provides preliminary assessment of all complaints pertaining to alleged offences under the Competition Act and responds to requests for information

from the public; and develops and coordinates internal operational training for Bureau staff.

Management Policy and Services Directorate: Responsible for Bureau strategic, operational, and resource planning and reporting; operational review; and administrative and personnel services.

COMPASS Information Management Centre: Responsible for information systems and support within the Bureau.

◆ **Criminal Matters Branch**

Responsible for administering the criminal provisions of the Act (excluding misleading advertising and deceptive marketing practices).

◆ **Economic and International Affairs Branch**

Provides economic, policy and strategic analysis and advice to the Director and other units of the Bureau dealing with enforcement and policy issues. The Directorate also participates in departmental and interdepartmental development of government policies and legislation with relevance to the Act, and is responsible for Canada's participation in and contribution to the work of international organizations such as the Organization for Economic Development and United Nations Conference on Trade and Development in the field of competition policy.

◆ **Marketing Practices Branch**

Responsible for enforcing the misleading advertising and deceptive marketing practices provisions of the Act.

◆ **Mergers Branch**

Responsible for the administration of the merger provisions of the Act (including the notifiable transaction requirements) in all sectors of the Canadian economy.

Comptroller's Branch

Maintains the financial framework for the management of grants and contributions and operating budgets, and collects revenues on the Department's behalf. The branch also manages the contracting and procurement process for the Department as a whole, and manages the delegation of financial authority which reflects the accountability of responsibility centre managers.

Human Resources Branch

Classifies and staff positions, provides training and development services for staff, promotes healthy staff relations, and manages the employee assistance program, employee recognition awards, and official languages.

Industry and Science Policy

The Industry and Science Policy Sector is responsible for leading development of industry, science, international business and economic framework policy in the Department, and for influencing policies of other government departments in order to enhance the

business climate and to promote Canada's industrial competitiveness and scientific excellence.

◆ **Corporate Governance Branch**

Responsible for all research and policy development activities which lead to legislative and regulatory reform of corporate law, intellectual property law and regulatory and standards policy in the department. The Branch develops and directs research activities, and participates in international and intergovernmental negotiations.

To enhance competitiveness, manage Industry Canada's contribution to the development and implementation of corporate governance policy initiatives respecting regulations, standards, internal trade, and issues concerning harmonization and business framework legislation under the jurisdiction of Industry Canada.

◆ **Entrepreneurship and Small Business Office**

The primary role of the branch is to provide leadership on small and medium-sized enterprise (SME) policy and program development. Main activities focus on providing policy direction and advice as it relates to small business in the following areas: access to financing; management skills development; business climate policy (access to government procurement, regulatory reform and paper burden reduction). The Branch also provides leadership in the development of a coherent long term government SME agenda. To achieve its mandate, the Branch works in partnership with other government organizations and with key private sector organizations (eg. CCC, CFIB, CMA, CBA, etc.).

◆ **Internal Trade, Consultations and Federal-Provincial Relations**

The branch is responsible for the coordination of the federal government's participation in negotiations to eliminate barriers or impediments to trade within Canada, including implementation of the Internal Trade Agreement and related follow-on work. It is responsible for monitoring provincial government initiatives impacting on the department and for coordinating and developing strategies respecting federal-provincial relations. It also develops, implements and integrates strategies and policies for the department's consultations with external stakeholders.

◆ **International Business Branch**

Provides leadership and coordination in the promotion of industrial, science and technology and investment policy as regards Canada's international trade and economic policies and related negotiating strategies and domestic policy initiatives to exploit opportunities. Addresses challenges arising from new international trade agreements and business policy frameworks and policy and program initiatives undertaken by the Government to enhance the international business interests and capabilities of the Canadian private sector.

◆ Investment Review Division

Responsible for identifying potentially reviewable foreign direct investment transactions; reviewing and assessing investment proposals to determine net benefit to Canada; and ensuring that formal plans and undertakings given by investors are carried out.

◆ Micro-Economic Policy Analysis Branch

The mandate of the Branch is to provide the fundamental economic analysis needed for the Department to carry its mandate of developing micro-economic policies to promote job creation and growth. The activities of the Branch include the production of regular briefings on economic development at both the macro and micro levels (including the Monthly Economic Indicators and The Micro-Economic Monitor), a wide range of micro-economic research publications program, organization of conferences, workshops, seminars, distinguished speaker series and consultations with the private sector, the academic community and other levels of government, and the development and maintenance of the Economic Information Sources System, a value-added data base service, accessible by all employees of Industry Canada.

◆ National Advisory Board on Science and Technology Secretariat (NABST)

The Secretariat provides policy advice, coordination and administrative services to NABST and its Committees. These responsibilities include the coordination, planning and prioritizing of Board activities, ensuring that the Prime Minister receives the benefit of the advice and counsel on science and technology from a comprehensive cross-section of prominent Canadians. In addition, the Secretariat provides guidance on the implication of Board recommendations, and ensures that strategies are developed so that the Canadian government can effectively respond to these recommendations.

◆ Operations

The Operations group provides corporate service support to the Industry and Science Policy Sector.

◆ Science Promotion and Academic Affairs Branch

The Branch is responsible for policy development, program management, coordination and advocacy related to university-based research and technology transfer, the training of highly qualified personnel and college and university-private sector collaboration. It manages the Networks of Centres of Excellence Program, CIAR, industrial awards, the Prime Minister's Teachers Awards, Innovators in the Schools, Schoolnet and Computers in the Schools project. In addition, the Branch undertakes policy development, program management and national coordination related to the promotion of Science and technology with youth in the education system as well as the general public.

◆ Science Strategy Branch

To provide leadership in the development of policies and strategies in science, technology, and industrial innovation, so as to assist Canadian industry to maintain and enhance its competitiveness in the global economy. The principle activities of the Branch relate to the governance of federal S&T investments, technology diffusion policy and programs, the development of new policies and strategies to improve the innovation capacity of the economy, and advice on large scale science facilities and programs.

◆ Strategic Policy

The Branch is responsible for strategic policy leadership, both within Industry Canada and interdepartmentally, with respect to the micro-economic policy agenda. Specific functions include: development of the micro-economic framework agenda including key policy initiatives; advice on horizontal policy issues including industrial policy analysis and integration; analysis and advocacy with respect to economic framework policies; strategic planning and priority setting; the departmental policy development process and the management of the Cabinet briefing process in the department.

Industry Sector

The Industry Sector provides leadership and support to Canadian industry as it adapts to the challenges and opportunities of the global economy. The Industry Sector pursues an innovative approach to the industrial development based on delivering a policy agenda designed to influence the behaviour of firms, providing world-class marketplace services, and helping Canada's industrial sectors become more innovative and internationally competitive. This is accomplished by providing focused trade, technology, investment and human resource development services, developing and delivering sector policies and strategies designed to promote the international competitiveness of Canadian industry, and providing unique, world-class information products based on sectoral analysis and international benchmarking.

◆ Advanced Materials and Plastics Branch

The Advanced Materials and Plastics Branch participates, through a team approach, to develop and implement initiatives important to the advanced materials and plastics processing industries and Canada's economy. The branch maintains a detailed knowledge and understanding of the operation and performance of the advanced material and plastics sectors in Canada and abroad. The Branch participates with its clients and other stakeholders in industrial development activities to increase the efficiency, productivity, national and international competitiveness of those sectors relevant to the branch. As well, the Branch is involved in the development of policies and initiatives designed to promote industrial, technological and market development of assigned industries.

◆ Aerospace and Defence Branch

The Aerospace and Defence Branch is responsible for developing and implementing policies, programs and services aimed at promoting international competitiveness and sustainable growth in Canada's Aerospace and Defence sector. The branch fulfils its mission by gathering and analyzing business intelligence; by developing strategic competitive frameworks aimed at specific Aerospace and Defence sub-sectors; by ensuring that long term development opportunities for Canadian firms are optimized through Major Crown procurement projects; and, by developing business intelligence information products for delivery to industry clients via the information highway or other electronic media.

◆ Automotive Branch

The Automotive Branch is responsible for developing policies and programs and implementing strategies for the automotive industry, with the objective of improving their contribution to the manufacturing output, employment, balance of trade and regional development.

◆ Chemicals and Bio-Industries Branch

The Chemicals and Bio-Industries Branch is responsible for developing and promoting sound, future-oriented policy for the chemical and biotechnology industries; which assist in the development in Canada of an environment which favours industrial growth through innovation and technology diffusion, sustainable development and international competitiveness. In addition to developing strategic competitive frameworks and providing market intelligence and economic analysis to facilitate sound business and policy decisions, the Branch acts as a reasoned advocate both domestically and in international fora, such as the Organization for Economic Co-operation and Development, to further the interest of the Canadian chemicals and biotechnology industries.

◆ Coordination and Management Services Branch

The Coordination and Management Services Branch supports the Industry Sector ADM, branch managers and teams with leadership, integration, communications and problem solving. It is a service organization dedicated to the provision of specialized corporate functions to Industry Sector branch managers and sector teams. Key areas of service include: complex files management and federal/provincial relations; briefings and correspondence; parliamentary affairs; inquiries and media relations; information products brand management; strategic planning and resource management; marketing and networks advice; human resource development and best practices advice; program administration; and financial and economic analysis.

◆ Environmental Affairs Branch

The Government is firmly committed to sustainable development and has taken a number of steps to forge the convergence of economic and environmental signals.

Industry Canada's contribution to this agenda is to promote, or contribute to the promotion of innovation and competitiveness in the private sector by encouraging the efficient use of natural resources and minimizing the generation of waste.

The Environmental Affairs Branch fulfils three important roles in this regard. It coordinates and integrates the Department's input to the government's environmental regulatory initiatives and promotes the development and diffusion of key environmental science and technological capabilities which enhance industrial competitiveness. Second, the Branch promotes the growth and development of an internationally competitive environmental industry. Third, through the Canadian Office of Technology Exchange in the Environment (COTE), the Branch facilitates the transfer of environmental technologies to less developed countries and, in so doing, supports the international competitiveness of the environmental industry.

◆ Fashion, Leisure and Household Products Branch

The Fashion, Leisure and Household Products Branch is broadly responsible for consumer products manufacturing in Canada excluding food and beverages and consumer electronics.

The Branch maintains a broad knowledge base of the sectors for which it is responsible, and acts as a contact point for industry with government, identifying and assessing emerging issues of importance as they arise. The current focus of the Branch is on issues relating to the changing international trading environment of consumer goods industries and the need to re-orient the focus of Industry Canada in the direction of the provision of information and intelligence.

◆ Forest Industries and Building Products Branch

The Forest Industries and Building Products Branch provides sectoral expertise and advice within government, acts as an industry advocate, and conducts sectoral analyses and policy development to enhance the international competitiveness of the forest and building products sectors. It encourages international trade development, innovation and technological excellence, sustainable development; assists with the development, adoption, and commercialization of new technologies; identifies training needs within the industry and encourages the establishment of facilities and expertise for the teaching and training of existing and future industry employees.

◆ Health Industries Branch

The Health Industries Branch provides expertise on the pharmaceutical, medical devices and health services sectors. We advocate the strategic interests of our industrial clients to government and participate in the formulation of industrial development policies which will enhance the international competitiveness of Canadian

based health industries. In partnership with industry, our team works to foster linkages between private sector networks and key federal and provincial departments and agencies.

Our initiatives include: advocacy on regulatory issues such as a cost-recovery and the new risk-based classification system; trade and investment development including the establishment of a National Sector Team and preparation of the health industries section of the Canadian International Business Strategy; preparation for the review of Bill C-91 amendments (to the Patent Act) in 1997; and dissemination to industry on trade, investment, technology and sectoral contacts through the INSIGHT suite of information products.

◆ Manufacturing and Processing Technologies Branch

The Manufacturing and Processing Technologies Branch encourages the adoption, adaption, development and diffusion of advanced manufacturing and processing technologies as a means of stimulating growth and creating jobs, particularly within the small and medium-sized enterprise community. The Branch works with its industry clients in all manufacturing sectors (including petroleum refining and oil and gas equipment; electrical power technologies; and resource equipment and technologies — e.g., forestry, mining, pulp and paper, agriculture). In addition to its sectoral policy and liaison responsibilities, the Branch is a strong advocate for the adoption of continuous improvement and best management practices by Canadian industry, and serves as an advisor to government on innovation and technology issues facing the Canadian economy.

The Branch also works to strengthen the sectoral approach to trade development, planning and delivery through its National Sector Teams in the Electrical Equipment, Advanced Manufacturing Technologies, Ocean Technologies, Oil and Gas Equipment, and Agriculture Equipment sectors.

The use of advanced manufacturing technologies is further encouraged by the enabling technologies component of Technology Partnerships Canada (TPC).

◆ Metals and Minerals Processing Branch

The Metals and Minerals Processing Sector comprises of 20,000 establishments employing approximately 230,000 people involved in the production and marketing of primary products such as steel, aluminum, copper, nickel, lead, zinc, fabricated metal and construction products such as structural steel, steel tubes and pipe, siding, doors, wire and wire products, forgings, castings, and mineral products such as cement.

The Metals and Minerals Processing Branch is responsible for promoting the competitiveness of the sector in the global marketplace, developing and maintaining a strong analytical base on the factors of production, including the relationship with other parts of the economy and

implements industrial and trade initiatives designed to advance the interests of the sector both within Canada and abroad.

◆ Service Industries and Capital Projects Branch

The Service Industries and Capital Projects Branch is the focal point of expertise within the federal government on Canada's service industries, including those involved in undertaking domestic and international capital projects. The Branch maintains a knowledge base on service industries and provides strategic information, services and policy products aimed at enhancing the international competitiveness of Canadian service firms. The Branch focuses on the business, professional, distribution, and marketing service industries, including the capital projects related industries such as consulting engineering, architecture and the construction industry.

Specific activities of the branch include: promoting the export readiness of Canadian service firms; encouraging partnering and strategic alliances among Canadian and foreign companies; raising the profile and credibility of Canadian firms in foreign markets; ensuring the timely delivery of market and other strategic competitive information to private sector clients; providing information on access to financing, particularly for small services suppliers; assisting firms to exploit better, major project opportunities, in particular, those funded by international financial institutions (e.g. World Bank); developing sector competitiveness frameworks and strategies for selected service industries; undertaking studies and analyses of horizontal service industries issues such as trade in services and services data development; and supporting the government's efforts to obtain better market access through the World Trade Organization and the North American Free Trade Agreement and to ensure that agreements are implemented in practice.

◆ Transportation Industries Branch

The mandate of the Transportation Industries Branch is to foster the competitiveness of the Canadian transportation manufacturing and service industries.

The Transportation Industries Branch has responsibility for the shipbuilding, bus, urban transit, rail and truck manufacturing industries and for the marine, trucking and rail service industries. With its business clients, the Branch seeks to promote competitiveness by providing strategic intelligence products and services; to influence the policy environment to remove impediments and create opportunities; and, to develop a comprehension, in business and government, of competitiveness factors pertinent to transportation industries.

◆ Women's Bureau

The Women's Bureau was created to act as a facilitator and offer services to Industry Canada employees seeking assistance in working departmental objectives for the advancement of women. In its efforts to achieve these

objectives, the Women's Bureau implements a corporate awareness effort with respect to attitudinal barriers. It investigates and makes recommendations with regards to family support mechanisms policies. It makes representation and links with other Departmental systems and entities on matters bearing on advancement of women's issues (including target policies and objectives). It takes actions deemed appropriate for improving women's representation and networking within the Department.

Office of Consumer Affairs

The purpose of the Office of Consumer Affairs is to create a fair, safe and efficient marketplace for consumers. It aims to promote and protect consumer interests by providing policy guidance to government on consumer protection and consumer issues in Canada; fostering a strong and independent consumer movement; and advocating the consumer interest with other departments, agencies and the private sector. The Office promotes partnerships for action on consumer issues among government, industry and consumers and provides a window on government for consumers and groups that work in the consumer interest.

Office of the Corporate Secretary

The Office of the Corporate Secretary provides executive support to Industry Canada Ministers across the broad spectrum of their overall portfolio responsibilities, and to the Deputy Minister and the Associate Deputy Minister, in the form of management of the decision-making process, parliamentary relations, and operation of the executive offices. The Office also promotes the application of the Access to Information Act and the Privacy Act within Industry Canada. The Corporate Secretary is the primary catalyst for the provision of advice and support to departmental executives and the dissemination of information related to senior management decisions.

♦ Information and Privacy Rights Administration (IPRA)

Oversees the administration and policy development of the Access to Information Act and the Privacy Act as related to the Department. It processes formal requests, advises senior management on the administration of the legislation and the disposition of cases, and promotes awareness of the legislation within the Department.

Office of the Ethics Counselor

The Ethics Counselor administers the Prime Minister's Conflict of Interest and Post-Employment Code for Public Office Holders as it applies to ministers, secretaries of state, parliamentary secretaries, full-time Governor in Council appointees and ministerial staff. The Ethics Counselor maintains a registry of public declarations and provides guidance on conflict of interest and other ethical issues to departments, agencies, other governments and individuals on request. The Ethics Counselor is available

to the Prime Minister to investigate allegations of impropriety against Ministers and senior officials.

The Lobbyists Registration Branch of the Office of the Ethics Counselor administers the Lobbyists Registration Act by registering paid lobbyists and maintaining a public registry. Under new legislation, lobbyists are required to disclose more information on their activities, and the Director of the Branch has formal powers to issue interpretation bulletins. The Branch also provides guidance to potential lobbyists and information on lobbyists to federal officials and the general public. The Director produces an annual report for Parliament.

Under the new legislation, the Ethics Counselor is responsible for developing and administering a Lobbyists' Code of Conduct. The Ethics Counselor is required to investigate alleged breaches to the Code, and prepare reports on them for Parliament. The Ethics Counselor also prepares an annual report on their responsibilities for Parliament.

Operations Sector

The Sector provides leadership, advocacy and program support for enhancing the performance of business enterprises and client organizations at the regional level, and to provide a framework to regulate the creation and existence of federal corporations to maintain order and fairness, and to restore resources from corporate bankruptcies to more productive use.

♦ Aboriginal Business Canada

Aboriginal Business Canada's mission is to rebuild the Aboriginal economy and integrate it into the national and international economies through the development of a successful business and capital base. Aboriginal Business Canada provides a range of programs and services in support of business ventures to Aboriginal entrepreneurs and business facilitators including: advice and counselling by business development officers; business planning assistance; management training and networking opportunities; research and advocacy activities; market expansion and trade development; direct financial assistance to Aboriginal companies in the form of contributions and loan insurance; support to a network of Aboriginal Capital Corporations established to improve access to business capital. Strategic priorities have been established which include market expansion and trade development, Aboriginal tourism development, youth entrepreneurship, and science and technology. Aboriginal Business Canada benefits from the direct involvement of the National Aboriginal Economic Development Board. These individuals set program policy, provide strategic direction and, in some cases, review and recommend proposals to be supported. Membership is made up primarily of Aboriginal entrepreneurs and individuals with backgrounds in business and economic development.

◆ Bankruptcy Branch

The Bankruptcy Branch supervises the administration of the Canadian bankruptcy and insolvency process. It maintains a public record of bankruptcies and receiverships, as well as consumer and commercial proposals which enable individual debtors and viable businesses to reorganize their financial affairs and possibly avoid bankruptcy. It is responsible for the licensing, monitoring and disciplining of private sector trustees. The Branch also helps maintain a sound and modern legislative framework, which promotes fair treatment of creditors and debtors and acts to deter abuses, and ensures public access to relevant insolvency data.

◆ Canada Business Service Centres (CBSCs)

The Canada Business Service Centres (CBSCs) are designed to provide business access to a wide range of information on government services, programs and regulations. The Centres are a collaborative effort between federal, provincial and private sector organizations. Each CBSC offers a variety of products and services to help clients obtain quick, accurate and comprehensive information. The CBSCs minimize telephone run-around, inadequate or incorrect information, and duplication of government services. This enables clients to make well-informed business decisions in an increasingly global economy. A CBSC has been established in each province in a major urban centre. There are currently 22 federal business departments participating in this initiative as well as other levels of government and non-government organizations. The combination of participants varies from province to province. Western Economic Diversification (WED), Industry Canada, the Federal Office for Regional Development Quebec (FORD-Q) and the Atlantic Canada Opportunities Agency (ACOA) are designated federal managing partners and are responsible for the development and management of the CBSCs as follows: WED — British Columbia, Alberta, Saskatchewan and Manitoba; Industry Canada — Ontario; FORD-Q — Québec; ACOA — Nova Scotia, New Brunswick, Prince Edward Island and Newfoundland.

◆ Canadian Intellectual Property Office

The Canadian Intellectual Property Office (CIPO) is responsible for granting and registering intellectual property rights in Canada and for ensuring that the information acquired, in exchange for such rights, is made available to the public. The development, management and exploitation of intellectual property is important to Canada's economic development and international competitiveness. CIPO's role is to ensure that intellectual property contributes to an acceleration of Canada's economic development and that the benefits of the intellectual property system accrue to Canadians.

◆ Chief Information Officer

The Chief Information Officer (CIO) is accountable to the Assistant Deputy Minister, Operations and the Information Management Committee for the effective and efficient management of strategic and operational information

within Industry Canada. A major responsibility of the CIO is the planning, coordination and delivery of Industry Canada's Strategic Business Information Products. Specifically, the CIO manages the department's informatics resources including the high capacity national- wide area network; operates Industry Canada's library and information products to meet business requirements; manages the electronic publishing process for the information products; manages the Department's national efforts in the network of Canada Business Services Centres; establishes and implements marketing strategies to promote the use of strategic business information; and undertakes systematic client feedback assessments.

◆ Corporations Directorate

The Branch administers the Canada Business Corporations Act (CBCA), the Canada Corporations Act, and several other statutes, through the examination of applications for federal incorporation, continuance, amalgamation and dissolution of corporations; the management of a database on federal corporations and the collection, assessment and verification of information made available to the public. It issues exemptions from certain requirements of the CBCA and monitors and enforces compliance with various statutory requirements. As of April 1, 1995, the Corporations Directorate assumed responsibility for the Registration Division. The Office of the Registrar General within the Registration Division is the guardian of the Great Seal of Canada. Documents are issued under the Formal Documents Regulations, the Public Officers Act and the Seals Act (Commissions, Land Grants, Proclamations, etc.). The Division accepts and retains on file railroad deposits under Sections 81 and 90 of this Act. The Division is also responsible for the registration of Letters Patent under Part II of the Canada Corporations Act for non-profit corporations.

◆ FedNor

The Federal Economic Development Initiative for Northern Ontario (FedNor) is the federal government agency responsible for promoting economic growth, diversification and job creation throughout Northern Ontario. FedNor has offices in Sault Ste. Marie, Sudbury, Thunder Bay and North Bay.

FedNor's programs and services are designed to provide communities and small and medium-sized enterprises (SMEs) with the tools they say they need to manage economic development locally; use partnerships and financial leverage to maximize the effectiveness of scarce federal resources; improve local access to such critical elements of business success as investment capital, skills training, technological know-how, export markets, government services, the Information Highway and database that provide current market intelligence; encourage self-sustaining, mutually beneficial individual, community and business partnerships and networking within the region, and between the region and elsewhere; and, ensure a strong Northern Ontario voice in national affairs.

◆ Legal Metrology Branch

The Legal Metrology Branch administers and enforces the Electricity and Gas Inspection Act and the Weights and Measures Act. The mission of the Branch is to minimize inaccuracy and inequity where commodities and services are bought and sold on the basis of measurement.

Direct services provided by the Legal Metrology include: calibration and certification of measurement standards; compliance assurance; device approval; marketplace surveillance; dispute investigation and accreditation. Other services and activities provided include: policy and legislative development and client services, including responding to complaints and enquiries.

◆ Management Services and Facilities Management Branch

The management Services and Facilities Management Branch is responsible for the management of central services, which is comprised of financial services, human resources management and organizational development for the Operations Sector; and the management of facilities (leased and owned properties), moveable assets, records and mail and telecommunications facilities for Industry Canada.

◆ Programs and Services Directorate

The Programs And Services Directorate carries out a distinct function and that is to serve as the department's focal point for direction, coordination and integration of all Industry Canada's funded programs and services activities. These include program planning and design, program legislation and statutory or quasi-statutory programs and services administration instruments, program policies, and the establishment of business practices, administrative procedures, and program delivery training.

It is responsible for providing secretariat services and related administrative support to the Programs and Services Board which serves as a forum for senior departmental officials to provide corporate direction in the selection, design, implementation and performance monitoring of financial assistance programs and business services originating from the various sectors of the Department. It is also mandated to protect the Crown interests through recovery action on defaulted loans, loan guarantees and contributions.

◆ Regional Offices

Industry Canada has regional and district offices across Canada. They deliver a wide range of Industry Canada programs and services to foster a fair and competitive marketplace. The regional offices also bring a national perspective to the development of micro-economic policy and the enhancement of national objectives by reflecting regional realities. The regional offices support international business development through the International Trade Centres (ITC) by helping firms to become export-ready and to be knowledgeable about markets and competitive opportunities. They also work closely with their portfolio

partners, such as the Regional Agencies, the Business Development Bank of Canada (BDC), National Research Centre of Canada (NCR)/Industrial Research Assistance Program (IRAP) and other federal departments and agencies at the regional level as well as with the provinces and with the municipalities to offer business intelligence services through the Canada Business Services Centres (CBSC).

◆ Small Business Loans Administration

The Small Business Loans Administration (SBLA) administers the Small Business Loans Act pursuant to which lenders in the private sector are encouraged to make term loans to finance specified fixed assets on behalf of small business enterprises with the legislation providing for loan loss-sharing arrangements between lenders and the federal government. Administrative responsibility includes registration of loans made by lenders, auditing and adjusting of lenders' claims for loss, recovery of subrogated debts, interpretation of the program's legislation (Act and Regulations), processing of lenders' requests to be designated as "banks" for purposes of the program, maintenance of program statistics and general liaison with conventional lenders which deliver the program.

Spectrum, Information Technologies and Telecommunications

The objective of this sector is to facilitate the development, implementation and adoption of communications technologies, systems and services that serve the economic and social needs of all Canadians. This responsibility includes formulating R&D and Telecommunications policies; providing a competent base of expertise in technical and strategic issues in the area of systems interconnection and related telecommunications and information technology standards; ensuring the availability and usefulness of communications and information services to all Canadians; improving the international competitiveness of Canada's information technologies industry; developing a policy which ensures the emergence of a thriving new media information industry and infrastructure in Canada; enhancing the competitiveness in publishing film and video, sound recording and multimedia industries; developing policies aimed at achieving Canadian interests in multilateral communications organizations such as the International Telecommunications Union (ITU); managing the department's bilateral telecommunications relationships; and, achieving international R&D cooperation and technical marketing. The Sector also strives to ensure the accommodation of as many users of the radio frequency spectrum as possible with a minimum of interference; promotes the development and growth of radio; manages spectrum use by Canadian broadcasters, operators and radio licence holders; and, protects Canada's rights and interests regarding spectrum use through international agreements and regulations.

◆ Communications Development and Planning Branch

The Communications Development and Planning Branch promotes the development, diffusion, early commercialization and use of advanced technologies and services, through the Canadian communications and information technologies industry sector. Its main responsibilities are to gather, analyze and disseminate information on technology, market and industry trends, research investment, end-user needs and the implications of new technologies for society; to design and deliver application development programs and projects; to prepare sector strategic plan, and provide advice on information policy issue; to protect and promote Canadian interests in multilateral and bilateral telecommunications trade development; to assist Canadian telecommunications industries to access export markets and foreign technology; and to plan and coordinate financial, administrative and human resources activities for the Spectrum, Information Technology and Telecommunications Sector.

◆ Information Technologies Industry Branch

The Information Technology Industry Branch is committed to improving the competitiveness of the Canadian information technologies (IT) industry and infrastructure through its business analysis, trade, policy, technology and investment activities. It develops and promotes strategic information services, delivers R&D programs, formulates sector campaigns (currently, for the software, telecommunications, microelectronics and photonics sectors) and is responsible for the delivery of programs and services under the auspices of the campaigns. The Branch is expanding its business analysis role by preparing Sector Competitiveness Frameworks for the IT sector. It leads the government role in Phase II of CANARIE, and develops policies for electronic commerce for Canada in both domestic and international spheres. To facilitate the ongoing development of an advanced new media information infrastructure, the Branch undertakes industry development analysis and provides policy advice to enhance the growth of Canada's multimedia content industries including areas such as new media learning. The branch is enlarging its line of business and market intelligence products to include assessment studies and case studies on use of learnware, a clearinghouse on networking initiatives, surveys on the multimedia industry, international benchmarking of software companies and a study on financing Canadian software firms. The branch develops strategies to attract and retain value-added multi-national enterprise (MNE) activities in Canada. In addition, the Branch supports industry associations in their work in fields such as quality, standards, international marketing and strategic alliances for the IT industry and serves as industry's reasoned advocate in matters concerning other government departments.

◆ Radiocommunication and Broadcasting Regulatory Branch

Under the authority of the Radiocommunication and Broadcasting Acts, the Radiocommunication and Broadcasting Regulatory Branch develops spectrum management regulatory and operational policies and procedures. The Branch also plans, authorizes and manages radio spectrum use by Canadian operators and radio licence holders, and certifies broadcasting facilities. Its principal activities include: pursuing and protecting Canadian regulatory interests in the International Telecommunication Union and other bilateral or multilateral for a where International Regulations, allocations and standards and planning methods are developed; drafting legislation and regulations required for the spectrum management program; licensing the operation of radio facilities including mobile radio systems, satellites, microwave relay stations, amateur radio stations, etc.; certifying broadcasting facilities (CATV, television, AM and FM); coordinating enforcement with respect to non-compliance, for example, illegal broadcasting stations and the use of illegally modified (chipped) satellite and cable decoders; developing and promulgating all operational policies and procedures; operating, maintaining and updating the automated spectrum management system (SMS) used by all departmental spectrum field offices; conducting examinations for radio operators, and; planning for the continuity of telecommunications in the event of a national emergency, including catastrophic earthquakes.

◆ Spectrum Engineering Branch

The Spectrum Engineering Branch performs the engineering studies required for rational communications policy and the accommodation of new technologies. It is responsible for all engineering issues required for the effective and efficient delivery of the spectrum management program, the Terminal Attachment Program and the Canadian Interconnect Liaison Committee, as well as the technical planning and regulation of all broadcasting facilities in Canada. It is also responsible for engineering aspects of the national and international negotiations and legal arrangements needed to ensure the orderly introduction of new telecommunications technology and evolution of the Canadian telecommunications system. Its main activity is to conduct all engineering studies required as a basis for: the international negotiation of spectrum allocations; the development of domestic policy for the exploitation of those allocations; international and domestic spectrum sharing agreements and, finally; the corpus of engineering plans, standards, specifications and procedures necessary for the assignment of interference-free frequencies to Canadian radio services and assurance of the continuing quality of the spectrum and the Canadian telecommunication system. Ancillary services are provided through: the development of automated tools for spectrum management; the promotion of spectrum management technology to Canadian industry for export; the provision

of laboratory and test facilities to support the Branch's engineering analysis and certification obligations; ensuring the maintenance and staging of spectrum surveillance vehicles and Integrated Spectrum Observation Centre (ISOC) equipment; providing engineering support to regional spectrum offices and; the performance of the spectrum management engineering functions required to ensure the compatible accommodation, in Canada, of the radio facilities of the Department of National Defense and its military allies. In short, the Branch is responsible for all engineering aspects of the implementation of the Radiocommunication, Telecommunication, and Broadcasting Acts with the primary objective of forestering electromagnetic and regulatory environments, both domestic and international, in which Canada's multi-billion dollar telecommunications service and manufacturing industries will continue to prosper. A fundamental aspect of this work is that the Branch provides Canada's primary technical interface with all of the responsible international bodies in the field, such as: the International Telecommunication Union, (ITU) and its radio and telecommunications arms (ITU-R and ITU-T), the International Special Committee on Radio Interference (CISPR), and a broad range of other regional, multinational and binational affiliations. It is also the focus for the technical negotiations for mutual recognition agreements with other countries and world regions to facilitate international trade in telecommunications products.

♦ Telecommunications Policy Branch

The Telecommunications Policy Branch formulates policies, recommendations, regulations and legislation governing and promoting the orderly development and efficient operation of the telecommunications facilities and services required by Canadians for national and international communications, including the present and future use of the radio frequency spectrum and use of geostationary orbits. The Branch develops policy proposals related to the structure of the industry providing telecommunications services; develops policy proposals fostering the orderly development and use of Canadian national and international telecommunications facilities and networks, including radio licensing policies and technical standards; assumes policy development, coordination and representational functions in multilateral and bilateral telecommunications negotiations; develops financial and regulatory policy proposals affecting the telecommunications industry, including regulatory reform proposals, and develops analysis and recommendations respecting the possible review by the Governor in Council of regulatory decisions.

♦ S & T Secretariat

The Secretariat provides policy advice, coordination and administrative services to the Prime Minister's Advisory Council on S&T, the Committee of Federal S&T Advisory Bodies and the ADMs Steering Committee on the Management of S&T. The Secretariat is responsible for: planning and coordinating meetings and activities; providing policy analysis and research assistance;

facilitating communications and linkages among the advisory bodies, governments and the S&T community, and the development of the annual report on federal S&T.

♦ Strategic Planning and Corporate Development Branch

The Strategic Planning and Corporate Development Branch provides analysis and advice on major operational policy issues facing the Sector, develops the Sector's strategic direction and objectives and manages the Sector's planning system and accountability accords. It also assists Sector Managers in such corporate development tasks as developing a strategic vision for the department's marketplace services, designing frameworks for new trade services in the Regional Offices and helping to coordinate the development of new, more efficient, client-oriented marketplace services and information products.

♦ Trade and Operations Integration and Management Consulting Branch

The Trade and Operations Integration and Management Consulting Branch is accountable for directing the planning, development and regional implementation of integrated corporate trade strategies, policies, plans and programs; supporting regional office operations; playing an advocacy, representation, facilitation and advisory role on behalf of the Assistant Deputy Minister, Operations, on regional operations matters with sectors, other government departments and regional executive directors; designing and implementing national industry development programs; and providing leadership in the identification, marketing and delivery of professional management consulting services to the Department on a cost-recovery basis.

Information Holdings

Program Records

Aboriginal Business Canada

Description: Covers records related to fostering the increased economic self-reliance for Canada's Aboriginal people through the establishment of a strong Aboriginal private sector. **Topics:** All components of the Canadian Aboriginal Economic Development (CAED) Strategy relating to Business Development, Joint Ventures, Capital Corporations and Research and Advocacy; Special Agricultural & Rural Development; Native Economic Development. **Programs (description):** Covers records relating to departmental assistance programs and includes such material as initial contact, request for information, investigation and analysis, project monitoring, financial statement review and program evaluation. The following programs are included: Aboriginal Business Development & Joint Ventures Program; Aboriginal Capital Corporations; Native Economic Development Program; Special Agricultural & Rural Development; Aboriginal Business

Loan Insurance Program; Aboriginal Research and Advocacy Program. **Program Record Number:** IC IC 010

Access to Information and Privacy

Description: Records relating to the administration of the access to information and privacy legislation, information collection and public opinion research, information management practices and inventories of information holdings. **Topics:** Policy; procedures; reports; studies; audits; legal advice; training; surveys; personal information banks and classes; disclosures to investigative bodies; Info Source; delegation of authority; consultations; requests; complaints and correspondence. **Access:** Information is filed by subject. Individual requests are filed by name and sequential number by fiscal year. **Storage Medium:** Paper file and EDP system. **Program Record Number:** IC IC 020

Advanced Devices and Reliability

Description: Information pertaining to research and development of new microelectronic devices and circuits, particularly those based on compound semiconductor materials such as gallium arsenide (GaAs), and the evaluation of these materials in regard to their suitability for device and circuit fabrication. **Topics:** Device and circuit fabrication processes and evaluations; GAAs high-speed logic optoelectronic devices and circuits for data processing; and broadband switching applications. **Program Record Number:** IC IC 030

Behavioural Research

Description: This bank contains reports, studies, field trial evaluations, surveys and interviews involving individual reactions, views and opinions related to the intellectual, creative and productive capabilities of human beings in information communication and related processes, especially human perceptual and cognitive processes, human behaviour, and the interaction between people and communications technology. The reports themselves, published or unpublished, reflect collective human behaviour and not personal information about an individual. Personal information may be obtained in the process of preparing a report to establish the identity and credibility of the source. This form of personal information is normally retrievable only if specifics are provided concerning the report involved. **Program Record Number:** IC IC 040

Broadcast Engineering Database

Description: This bank contains technical and administrative information related to broadcasting certificates. The information is used in the issue, renewal and amendment of certificates under the Radiocommunications Act and in providing technical certification to the Canadian Radio-Television and Telecommunications Commission (CRTC) to permit the issue of broadcast licenses under the Broadcasting Act. It is also used in meeting the technical criteria in bilateral agreements with the U.S.A. and agreements administered by the International Telecommunications Union (ITU). Some of this information is shared with: the Department

of Transport to ensure that transmission of FM broadcasting stations do not interfere with aircraft communications; Energy, Mines and Resources Canada in preparing topographical maps; CRTC; Federal Communications Commission of the U.S.A. (FCC) related to the above agreements. **Program Record Number:** IC IC 050

Broadcast Technologies Research and Development

Description: Information on research and development in broadcasting and related technologies, systems and services. **Topics:** Television; sound (radio); datacasting; imagery; video and film generation; interactive home-services; behavioural research; broadcast standards and networks. **Program Record Number:** IC IC 060

Business Opportunities Sourcing System

Description: Covers subjects relating to Business Opportunities Sourcing System. **Topics:** The creation, development and design changes of the Business Opportunities Sourcing System, which is used as a source of reference by Canadian and foreign buyers seeking goods and services; inquiries for questionnaires; supplies related information; directories and listings etc. and is updated monthly by contact with federal regional offices and provincial counterparts. **Program Record Number:** IC IC 070

Cable Television Database

Description: This bank contains technical and administrative information related to broadcasting certificates for cable television distribution systems. The information is used in the issue, renewal and amendment of certificates under the Radiocommunications Act and in providing technical certification to the Canadian Radio-Television and Telecommunications Commission (CRTC) to permit the issue of licenses under the Broadcasting Act. Parts of this information are shared with: the Department of Transport to ensure that transmission towers do not interfere with aircraft communications and navigation, and with the CRTC. **Program Record Number:** IC IC 080

Canada Awards for Business Excellence

Description: Covers subjects relating to Canada Awards for Business Excellence. **Topics:** Dealings with businesses, industries and individuals, relating to specific categories of Canada Awards for Business Excellence, finalists and winners in each category; presentation ceremony events such as public relations, invitations, banquet, entertainment; promotion such as mailing list, advertising, entry kits, entry solicitations, flyers, pins, buttons, posters; proposals such as reports, bids, etc. from consultants who want to participate in the adjudication and events and networks of centres of excellence. **Program Record Number:** IC IC 090

Canadian Workplace Automation Research Centre

Description: Information about the projects carried out at the Centre. **Topics:** Organizational research, multimedia systems, processing of natural languages, standardized technologies, implementation management,

knowledge-based systems and cultural applications of information and communications technologies. **Program Record Number:** IC IC 100

Communications Application Research

Description: Information on the development, implementation, testing and marketing of new or improved communications and information technology products and services to meet the requirements of industry, governments and special needs groups such as people with disabilities, aboriginal peoples, seniors and those in remote locations. This involves project management resulting from the application of policy and R & D developed by the Department and its partners.

Topics: Social and informatics applications, disabilities, native syllabics, database industry. **Program Record Number:** IC IC 110

Competition

Description: Information on enquiries, complaints, legal proceedings and prosecutions under the Competition Act.

Topics: Program of compliance; international agreements; co-operation and liaison; enquiries and investigations; exports; imports; investments; textiles labelling; merchandising; mergers and pre-notifications; monopolies; price fixing; research; sales; services; tariffs; trade marks; transportation; warranties; guaranties. Industries: agricultural and retail services, fishing and trapping; logging and forestry; mining; manufacturing; construction; transportation and storage; communications and other utilities; wholesale and retail trade; finance and insurance; real estate and operating insurance agents; business services; government services; educational services; health and social services; accommodation; food and beverage services. **Access:** Case files are arranged under the 18 major groups of the standard industrial classification system. **Storage Medium:** Microfilm, magnetic tape. **Program Record Number:** IC IC 120

Components and Subsystems

Description: Information pertaining to research and development on the design, testing and application of GAAS monolithic microwave integrated circuits (MMIC), miniature hybrid microwave integrated circuits (MHMIC), components for use in the EHF frequency band, and the design and application of silicon very large scale integrated circuits (VLSI). **Topics:** MMIC components and devices; EHF MHMIC components; high speed digital components; and phased array antennas (for communications, radar and microwave landing system application). **Program Record Number:** IC IC 130

Conflict of Interest

Description: Information on conflict of interest provisions.

Topics: Reports and appointments; and correspondence with federal government departments, agencies, councils and commission; conflict of interest responsibilities — trust, measures for Lieutenant Governors, Ministers, their exempt staff, Parliamentary Secretaries, Governor in Council appointees, full-time ministerial appointees and

public servants; supplementary measures for members of government departments, corporations, agencies, boards, commissions and other tribunals. **Program Record Number:** IC IC 140

Consumer Affairs

Description: Information on consumer issues, policy, marketplace trends and grants and contributions to consumer groups. **Topics:** Applications for funding **Program Record Number:** IC IC 150

Consumer Products — General

Description: Information on regulations, company liaison, complaints and enquiries, reference materials, sampling and testing, and enforcement concerning specific products. **Topics:** Alarm and protective devices; artist supplies and stationery; appliances; automotive; entertainment; furnishings; furniture care supplies; garden and yard equipment; home fuels; home structures and building material; insulation; housewares; infant and children's products; maintenance products; paper products and containers; personal use items; recreation equipment; sports and protective equipment; textiles; tobacco; tools and workshop equipment; toys; compliance. Complaints analysis — established to analyse product — related complaints by the Branch. **Access:** Files are arranged by product. **Storage Medium:** EDP System. **Program Record Number:** IC IC 160

Consumer Products — Packaging and Labelling

Description: This class covers information on regulations, interpretations and rulings, complaints and enquiries, correspondence, inspections, seizures, prosecutions, statistical data, sampling and testing concerning non-food products. The portion relating to agri- food and labelling has been transferred to the department of Agriculture Canada. **Topics:** Surveillance and liaison with Canadian General Standards Board. **Access:** Files arranged by subject with a case file arrangement for company enquiries by name. **Storage Medium:** A system on magnetic tape is used to evaluate the compliance of various commercial establishments with the different consumer protection laws. **Program Record Number:** IC IC 170

Consumer Products —

Precious Metals and Fur Garment Marking

Description: This class covers information on regulations, interpretations and rulings, complaints and enquiries, correspondence, inspections, seizures, prosecutions, statistical data, sampling and testing concerning precious metal products and fur garments. **Topics:** Fur: garment marking, liaison, surveillance and enforcement; precious metals marking; liaison, surveillance and enforcement, foreign government marks, national mark, trade marks.

Access: Files arranged by subject with a case file arrangement for company enquiries by name. **Storage Medium:** A system on magnetic tape is used to evaluate the compliance of various commercial establishments with the different consumer protection laws. **Program Record Number:** IC IC 180

Copyright and Industrial Design

Description: Information on copyright and industrial design legislation. **Topics:** Copyright Act, Industrial Design Act; copyright and industrial design case files; Timber Marking Act; registration and revision, enquiries, surveys, consultations with provinces, the private sector, and other departments; registration, and complaints and enquiries about authors' and designers' works; applications for licenses. **Access:** Registration and files arranged by subject with cases filed numerically by subject, registration and applicant name. **Storage Medium:** EDP system. **Program Record Number:** IC IC 190

Corporations

Description: Information concerning incorporation of federal companies — contains data on federal corporations which are used for internal record keeping and information dissemination via Canada Corporations Bulletin. The master file also contains data used for the issuance of certificates of incorporation and corporate changes, and for the administration of Directorate programs dealing with compliance enforcement activities. **Topics:** Applications, charters, financial statements, annual returns, enquiries, liaison with companies, enforcement and surveillance investigations; exemptions; take-over bids; boards of trade; corporations. **Access:** Files accessible by corporation name with a numeric case file arrangement. **Storage Medium:** Microfiche and EDP systems. **Program Record Number:** IC IC 200

Economic Policy

Description: Information on economic and industrial policies; trade; financial institutions; the environment. **Topics:** Federal-provincial relations; foreign; monetary; prices — indexes and surveys regional situation; regulations; taxes; unemployment; industries; Canada, distribution, industry, legislation and programs, prices and indexes, processing, retailing, United States; agreements on tariffs and trade, United Nations Committee on Trade and Development; tariffs — imports, quotas; trade — agreements, extra-territoriality. **Access:** Files arranged by subject. **Program Record Number:** IC IC 210

Electricity and Gas

Description: Information on the electricity and gas program. **Topics:** Electricity — approvals, imports and exports, statistics, inspection regulations and reports, calibrations, registration applications; gas — inspection regulations, approvals, calibrations, technical information, inspection reports, metric conversion standards and equipment, and registration applications. **Storage Medium:** EDP system. **Program Record Number:** IC IC 220

Emergency Telecommunications

Description: Information on emergency telecommunications planning in support of the Department's mandate summarized in Emergency Planning Order PC 1981-1305 dated May 21, 1981. **Topics:** Emergency preparedness and crisis management; interdepartmental, intergovernmental and international committees on civil

emergency planning; emergency telecommunications programs and contingency plans; national warning system emergency planning and support by regions; NATO and U.S./Canada military and civil exercises.

Program Record Number: IC IC 230

Engineering

Description: This class of documents contains information on technical standards and procedures for radio equipment and systems, the development and implementation of radio frequency plans and frequency assignment criteria. It also contains the Terminal Attachment Program standards and procedures. **Topics:** Equipment type approval; technical methods, practices, procedures and standards; development and engineering. Spectrum management; assignment and licensing subsystems, research, special projects, pilot projects, spectrum surveillance system, automated systems development. **Program Record Number:** IC IC 240

Entrepreneurship and Small Business

Description: The analysis of small business issues and development of policy and program options, advocacy of small business interests within the department and across the federal government and promotion of entrepreneurship. **Program Record Number:** IC IC 250

Financial and Regulatory Policy

Description: Policies relating to the regulation of the telecommunications industry. **Topics:** Telecommunications regulation; financial statistics. **Program Record Number:** IC IC 260

Foreign Investment in Other Countries

Description: Information on the provisions of foreign countries to monitor, regulate or prohibit foreign investment; the importance of foreign investment in Canada by major exporting countries as well as Canadian investments abroad. **Topics:** Foreign investment laws, procedures and policies of other countries; foreign countries' views on Canada's approach to foreign investment. **Access:** Files arranged by country. **Program Record Number:** IC IC 270

Industrial and Corporate Research

Description: Information and correspondence relating to industrial analyses; government policies and initiatives on various industries and industrial sectors. **Topics:** General; minerals; oil and gas; real estate; strategic analysis; energy sector; corporate testimonials; federal provincial task force on investment and international trade barriers; international science and technology relations; advanced industrial materials; construction; automotive; taxation issues; finance and banking; fisheries; transportation; telecommunication policy. **Access:** Files arranged by industry or industrial sector and by topic. **Program Record Number:** IC IC 280

Industry Development

Description: Information on the development of Canadian products industries for Canadian and Foreign markets. Programs included are: Acid Rain Abatement Program (ARAP); Environmental Technology Commercialization Program (ETCP); Canadian Scholarships; Computers for Schools Program; Defence Industry Productivity Program (DIPP); Technology Outreach Program (TOP). **Topics:** Beverages; adhesives; petroleum and industrial chemical products; plastics; commercial services; consumer products — cultural products, leisure products, sporting goods, construction industry; defence industry; distribution services; electrical and electronics; appliances; communications equipment; environmental industry; fishing and trapping; food industries; forest industries — wood products, pulp and paper; furniture and fixtures — business and household products; information technology; leather and applied products; machinery; metals and minerals — iron, steel, non-ferrous and ores products; non-metallic mineral products; paper and allied products; retail trade; rubber products; scientific and professional industries; textile industries; tobacco products; transportation — air, marine, automotive, military, railway and street railway; wood industries — fabricated materials. **Program Record Number:** IC IC 290

Industry Development Program

Description: Involves the complementary activities of economic development; and industry development to promote effective government leadership in support of the satellite, informatics, telecommunications industries and to meet the needs of end- users. **Topics:** Program planning; policy reports and studies; industrial objectives and opportunities; industrial capabilities and requirements monitoring; government support; development of statistics, reports and studies on industrial research and information technologies, radio communications, satellites and mobile communications, disabilities, native syllabics, database industry support. **Program Record Number:** IC IC 300

Industry Structure and Services

Description: Policies relating to corporate relations and services in the telecommunications carriage industry. **Topics:** Legislation; competition; terms and conditions of service; terminal attachment. **Program Record Number:** IC IC 310

International Comparative Policy Group

Description: Information on Canada's telecommunications and cultural relations with multilateral trade organizations as well as Canada's bilateral relations with the United States in the field of telecommunications to ensure the successful pursuit of Canada's bilateral interests, and the promotion of the Canadian communications trade and industry. Also, information, studies, briefs and documentation on related cultural and communications policies in selected foreign countries. **Topics:** Canada United States trade relations; spectrum coordination matters; cable and satellite retransmission rights; satellite

services — direct broadcasting satellites (DBS); European Economic Community (EC); Organization for Economic Cooperation and Development (OECD); Asia Pacific Economic Cooperation (APEC); comparative policy analyses of Canadian communications policies versus those of other countries and regions. **Program Record Number:** IC IC 320

International Telecommunications

Description: Information pertaining to Canada's involvement in international organizations with responsibilities for international telecommunications services, for the elaboration of international guidelines for such services, or for the discussion of communications issues. **Topics:** Implementation of ITU conference decisions; telecommunications development and training; International Telecommunications Satellite Organization (INTELSAT); International Maritime Satellite Organization (INMARSAT); Conference of Inter-American Telecommunications (CITEL); International Committee on Space Research (COSPAR); Search and Rescue Satellite (SARSAT); Telecom Executive Management Institute of Canada (TEMIC); Pacific Telecommunications Council (PTC); International Maritime Organization (IMO); International Civil Aviation Organization (ICAO); Commonwealth Telecommunications Organization (CTO); Francophone Telecommunications Agency (ATF); Caribbean Telecommunications Union (CTU); United Nations Committee on Peaceful Uses of Outer Space (UNCOPUOS); North Atlantic Treaty Organization (NATO). **Program Record Number:** IC IC 330

International Telecommunications

Union (ITU) Activities

Description: Information pertaining to Canada's involvement in the International Telecommunications Union activities in consultation, management, regulation, development, standards setting and technical assistance. **Topics:** Administrative Council annual meetings; World Administrative Radio Conferences (WARC); mobile and fixed services, space (use of the geostationary-satellite orbit), medium-frequency broadcasting (western hemisphere), high-frequency broadcasting; International Frequency Registration Board (IFRB); International Radio Consultative Committee (CCIR); national and international study group meetings, plenary assembly meeting (every four years); International Telegraph and Telephone Consultative Committee (CCITT); national and international study group meetings, plenary assembly meeting (every four years); plenipotentiary conference; world and regional telecommunications development conferences as well as continued ITU world and regional Telecom Commercial Trade Symposium. **Program Record Number:** IC IC 340

Investment Research and Policy — Research Projects

Description: Information and correspondence pertaining to specific research projects. **Topics:** General; Decima studies and reports; joint venture projects; high tech studies; venture capital project; scanning model; health care industry in Canada; foreign investment, technology

and economic growth; investment flows; strategic alliances; key company analysis; mergers and acquisitions; corporate taxation; Phoenix project; Canada's technological capabilities; Canadian investment to year 2000; portfolio investment project; Gleneagles research project; CDIA and Trims project; Canadian minority equity participation; world product mandate; Canada's fair share of international direct investment; Canada's leading companies; investment activity database; business roundtable discussions on international investment issues (Conference Board of Canada); multi-lateral investment agreement-Strategicon; multinationals and international competitiveness.

Research series — Corporate Globalization through mergers and acquisitions; foreign investment, technology and economic growth; Canadian direct investment abroad; Multi-national enterprises in North America.

Access: By specific issue or project. **Program Record Number:** IC IC 350

Investment Research and Policy — Working Papers

Description: Includes correspondence relating to the various working papers written for the Agency by research and policy. **Topics:** Working papers-general; Canadian Minority Equity Participation in Foreign Controlled Subsidiaries; Subsidiary Strategy in a Free Trade Environment; Formation and Operation of Joint Ventures in Canada; Trends and Traits of Canadian Joint Ventures; Business Implications of Globalization; International Investment; Canadian Developments in a Global Context; Opportunities and Challenges of North American Free Trade: A Canadian Perspective; A Multilateral Investment Accord: issues, models and options; International Investment and Competitiveness; Contribution of Investment and Savings to Productivity and Economic Growth in Canada; Business Performance Following a Takeover; Foreign Investment in Canada: Measurement and Definitions; Issues Surrounding Merger and Acquisition Activity in Canada and by Canadian Firms Abroad; Foreign Investment Protection Agreements: A Canadian Perspective; Extraterritoriality in the 1990s; Foreign Multinationals and Canada's International Competitiveness; Economic Integration in North America: Trends in Foreign Direct Investment. **Access:** By specific topic or title. **Program Record Number:** IC IC 360

Investment Review — Legal Opinions

Description: Contains information on ministerial opinions and related information concerning requests that the Minister determines whether he/she is satisfied that a delay in implementing an investment would result in undue hardship to the investor or would jeopardize the operations of the Canadian business that is the subject of an investment; Views and opinions on the applicability of the provisions of the Investment Canada Act, regulation and interpretation notes, in response to written requests submitted by one or more parties to an Investment and Ministerial opinions and related information as to whether or not an investor is a Canadian as defined in the Investment Canada Act. **Topics:** Status opinions — rules respecting control of entities; trusts; corporations deemed

to be Canadian; equal ownership of a corporation; transitory provisions concerning Foreign opinion; Corporate reorganization; acquisition of control; joint ventures; partnerships; indirect acquisitions; oil and gas properties and mining properties; business and Canadian business; calculation of thresholds; step transaction; absolute rights. **Access:** Files arranged by name of applicants who ask for an opinion (individual, company, partnership, joint venture, government or agency of a foreign corporation). **Program Record Number:** IC IC 370

Legal Metrology

Description: Information on metering, specifications, symbols and standards, metric conversion, international measurement, international standards, and Canada's participation in international working groups and Canada's comments and votes. Programs include Organization internationale de métrologie légale. **Topics:** Calibrations; liaison with associations, councils and international organizations; technical enquiries; metric conversion; misleading advertising and standards. **Program Record Number:** IC IC 380

Lobbyists Registration

Description: Information concerning the registration of lobbyists. **Topics:** Lobbyist registration, information systems, forms, legislation, regulation, policies and procedures, and administration, studies. **Access:** Files arranged by subject. **Storage Medium:** EDP system. **Program Record Number:** IC IC 390

Marketing Practices

Description: Enquiries, complaints, investigations, legal proceedings, interpretations and correspondence on misleading advertising and deceptive marketing practices. **Topics:** Advertising enquiries; misleading advertising complaints (National, Pacific, Ontario, Quebec, Atlantic and headquarters); program of compliance; surveys and studies. **Access:** Files are arranged by subject, company and individual name. **Storage Medium:** EDP system. **Program Record Number:** IC IC 400

Mobile Satellite Program

Description: The Mobile Satellite (MSAT) Program, begun in the latter part of 1980, exists to foster development of new mobile telecommunications services in Canada. The program has changed from a government demonstration system to a commercially led Canada-U.S. joint venture supported by both the Department and NASA. Under the revised program Telesat Canada is to seek agreements with an American commercial operator to be licensed by the FCC. Telesat is to design the system and procure the spacecraft. The Department's responsibilities during the implementation phase include supporting technology development for the spacecraft and ground terminals, supporting Telesat in negotiation of business arrangements in Canada and the United States, ensuring adequate spectrum allocation and sharing arrangements with the United States, issuing supportive telecommunications policies, and supporting Telesat by the sharing of

technological and market risk in the first generation system. **Topics:** Program and project control; system and service description; committees and working groups; international liaison; domestic and international agreements; program development; contracts and studies plan; spectrum sharing and allocation; policy and regulatory issues.

Program Record Number: IC IC 410

Network Policy and Standards Management

Description: National policies related to the orderly development and implementation of telecommunications networks and standards, and management of the Canadian national effort in the CCITT or the ITU. **Topics:** Network development; standards policy; northern communications.

Program Record Number: IC IC 420

Notifications

Description: Information concerning the notification of investments by non-Canadians — a complete record of the notification of each non-Canadian investment, from the submission of a notice to the issuance of a receipt by the Agency. **Topics:** Notices in prescribed form; subsequent correspondence with investors; consultations with relevant provincial governments and federal departments concerning investments related to Canada's cultural heritage or national identity; statutory receipts; orders for the review of investments relating to Canada's cultural heritage or national identity. **Access:** Files arranged by non-Canadian investor (individual, company, partnership, joint venture, government or agency of a foreign country). **Program Record Number:** IC IC 430

Office of the Superintendent of Bankruptcy

Description: Information on investigations, licensing, assets and liabilities, enquiries, discharges, legal actions, control and audits, enquiries on civil and common law, and correspondence on bankruptcies. **Topics:** Audits and auditing; student loans; examination; fraudulent bankruptcies; enquiries — civil law, common law; bonds and bonding; claims; conferences; liaison — foreign governments, provinces, etc.; costs and taxation; dividends; estates; fees and expenses; instruction bulletins; licences; offenses; petitions; projects; prosecutions; reports and statistics; trustees; investigation of bankruptcies; trustees-in-bankruptcy; bankruptcy estate control files; retired or rejected applications. **Access:** Trustee files are arranged by name of individual or corporation. **Storage Medium:** EDP system; contains insolvency data used by bankruptcy offices to publish bankruptcy notices.

Program Record Number: IC IC 440

Optical Communications Technologies

Description: Information pertaining to research and development on optical communications and electrophotonics that have application in communications and informatics. **Topics:** Research on standards; field trials; optical computing and switching; optical and passive waveguides; military applications; fibre optics local networks. **Program Record Number:** IC IC 450

Patents

Description: Information concerning inventive works.

Topics: Applications, registrations, licensing, legal actions, studies, surveys, correspondence, regulation, complaints and enquiries, and research and technical data; liaison with universities, associations, departments, foreign governments, provinces; information retrieval; court actions, legislation; agents; classification; public servants' inventions; technology. **Access:** Files arranged by subject with a large case system by applicant names. **Storage Medium:** Paper file and EDP system. **Program Record Number:** IC IC 460

Prosperity

Description: Information and correspondence relating to the prosperity initiative and consultations conducted within that initiative including departmental, sectoral and national consultations as well as community talks; information related to the development of the prosperity response initiatives both at the government and portfolio levels. **Topics:** General; plans; major initiatives; prosperity document (paper). **Program Record Number:** IC IC 470

Radio Communications Technologies

Description: Research and development in the area of radio communications technology, and the performance of radio communications equipment in its operating environment for military and civil applications. **Topics:** Radio communications technology; radio frequency environment, radio systems techniques; radio networks; military communications. **Program Record Number:** IC IC 480

Radio Propagation

Description: Information on the transmission of radio waves in the very low-frequency (VLF) through the extra high-frequency (EHF) bands and the design and management of analog and digital communication systems for both civilian and military applications. **Topics:** VHF/UHF propagation studies, experiments and modelling; Space Shuttle/Waves in Space Plasmas project; VLF/LF/MF/HF studies and models; microwave propagation studies; wireless local area networks; UHF/VHF prediction Program and Topographic Database. **Program Record Number:** IC IC 490

Regional Development

Description: Covers records related to the promotion of regional economic development. **Topics:** Economic development in areas of Canada where low incomes and slow economic growth are prevalent or where opportunities for productive employment are inadequate. Programs (description): Covers records relating to departmental assistance programs and includes such material as initial contact, request for information, investigation and analysis, project monitoring, financial statement review and program evaluation. The following programs are included: Federal Economic Development Initiative in Northern Ontario (FedNor); Various federal-provincial economic & regional sub-agreements; Miscellaneous programs which expired

but which may have a small number of projects still not completed. **Program Record Number:** IC IC 500

Registration

Description: Information on formal documents, regulations, studies, enquiries, correspondence with other federal and provincial government departments, certified copies, deeds, land grants, railway mortgages and letters patent of incorporation **Topics:** Expropriations, land grants, railway mortgages, pardons, proclamations, warrants of extradition. **Storage Medium:** Microfilm and EDP systems. **Program Record Number:** IC IC 510

Review of Applications

Description: Information on the review of non-Canadian investment proposals — a complete record of the review of each non-Canadian investment proposal, from the submission of an application to the Minister's decision whether or not the investment is likely to be of net benefit to Canada; in the case of investments that the Minister has decided are likely to be of net benefit to Canada, a record of investor performance with respect to plans and undertakings. **Topics:** Application in prescribed form; certificates of receipt; subsequent correspondence with investors, including revised plans and undertakings; consultations with relevant provincial governments and federal departments; third-party representations; statutory documentation to the Minister; advice, including recommended courses of action to the Minister; results of monitoring the performance of investors' plans and undertakings, including the renegotiation of plans and undertakings that have not been fulfilled. **Access:** Files arranged by non-Canadian investor (individual, company, partnership, joint venture, government or agency of a foreign country). **Program Record Number:** IC IC 520

Satellite Communications

Description: Information on the research and development of communications and other space systems and subsystems. **Topics:** Space communications systems research; military satellite communications; search and rescue satellite (SARSAT); mobile satellite communications; communications signal processing; low-cost satellite telephony terminals. **Program Record Number:** IC IC 530

Science and Technology

Description: Information on the federal science and technology development of framework policies. Programs: Canada Scholarships Program; Computers for Schools Program. **Topics:** Scientific and professional industries; advanced industrial materials; computer technology; consumer electronics for computers; futurology; health care products; instrumentation; intellectual property; medical and pharmaceutical products; microelectronics technologies software systems; services and telecommunications technologies; technology transfer. **Program Record Number:** IC IC 540

Spectrum and Orbit Policy

Description: Domestic telecommunications policies on spectrum utilization, frequency and orbital allocations and radio systems. **Topics:** Strategic Spectrum Policy Framework for Canada, Review of Spectrum Utilization Policy in the Range 30-896 MHz, Part II, Reviews of frequencies in the ranges 1-10 GHz and 10-30 GHz, Canadian Frequency Allocation Proposals to the 1992 World Administrative Radio Conference (WARC-92). **Program Record Number:** IC IC 550

Spectrum Management Operations

Description: This class of documents contains information on the application of the Radiocommunications Act and the development and administration of regulations. **Topics:** Call signs; procedures; standards and practices; regulations; spectrum management. Computer system operation: data management, Assignment Licensing System (PIB 2004), General Radio Service Licensing System. Interference: complaints and suppressions; prosecutions for unauthorized installations; Radio Operator Certification Records. Frequencies: coordination, amateur, experimental, private and public commercial, radio telephone, ships; monitoring (spectrum surveillance). **Program Record Number:** IC IC 560

Systems Interconnection Research

Description: Information on research and development in computer/data communications and information technology. Particularly comprehensive data on Open Systems Interconnection and standards. Information is held in the form of reports, studies, national standards, international standards and standards committee working documents. **Topics:** Open Systems Interconnection, Integrated Services Digital Networks, Standards, Conformance Testing, Systems Architecture, Text and Office Systems. **Program Record Number:** IC IC 570

Technology and Policy Planning

Description: Information about technological trends and priorities and the technical, economic, institutional, regulatory and social impacts of those key technologies. **Topics:** Technology assessment, research environment, telecommunications program design and assessment; artificial intelligence, new video technologies, electronic publishing, information technology; Vision 2000 Project. **Program Record Number:** IC IC 580

Textile Labelling

Description: Information on regulations, interpretations and rulings, complaints and enquiries, correspondence, company registration, inspections, seizures and prosecutions, sampling and testing of textile products. **Topics:** Textile labelling and legislation; liaison with the Canadian General Standards Board; Canada standards size program; care labelling program; liaison with other departments, provinces, boards, councils, industries, associations; identification numbers (CA); importation; laboratory compliance program; surveillance and enforcement; investigations; surveys; testwork; upholstered

and stuffed articles; reference material. **Program Record Number:** IC IC 590

Tourism

Description: Information on the advertising, marketing plans including the Rendez-vous Canada promotion which is held annually in Canada, research and analysis, industry development, and also deals with the economic & regional development agreements relating to tourism development. **Topics:** Research, Economic and Regional Development Agreements. Industry development: aboriginal; accommodation; adventure; attractions; cities; culture; distribution; food services; leisure & sport; parks; speciality tourism; touring; transportation. Issues: environment; human resources; investment; taxation; technology. Marketing: advertising; External Affairs and International Trade Canada; regional offices; media relations; partnerships; public relations; rendez-vous Canada. **Program Record Number:** IC IC 600

Trade Marks

Description: Information concerning trade marks and their uses. **Topics:** Applications, registrations, licensing, regulation, correspondence and enquiries; trade marks interpretations; abandonments; appeals, oppositions, registered users, trade mark agents and examinations; appellation of origin. **Access:** Files arranged by subject with case filing by trade marks. **Storage Medium:** EDP system. **Program Record Number:** IC IC 610

Urea Formaldehyde Foam Insulation (UFFI)

Description: Information on regulations, grant applications, complaints, procedures and methods for testing, and related research. **Topics:** Federal government departments and agencies; federal-provincial relations — conferences, groups and associations; compensation and assistance; management information systems; claims; legal; medical; technical research; company enquiries; laboratory accreditation; bidders; contracts; removal devices and proposals; testing — pilot and main projects. **Access:** Case files are arranged by name and address of owner. **Storage Medium:** EDP Systems. Urea formaldehyde insulation system — established to control and monitor amount of money requested by homeowner and the amount spent to provide information on homeowners, contractors and statistical analysis; to provide information on what stage the homeowner is at in the program. **Program Record Number:** IC IC 620

Weights and Measures

Description: Information on the measurement of volumes, linear and cubic area, and mass. **Topics:** Linear and cubic area — approvals, calibrations, statistics; mass and volume — approval, calibration, technical information, metric conversion, tests and test methods; interpretations, specifications, prosecutions, standards, testing and international measurement. **Storage Medium:** EDP system. **Program Record Number:** IC IC 630

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration and Management Services

Audits

Budgets

Buildings and Properties

Classification of Positions

Co-operation and Liaison

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Lands

Occupational Health, Safety and Welfare

Office Appliances

Official Languages

Pensions and Insurance

Personnel

Procurement

Salaries and Wages

Staff Relations

Training and Development

Utilities

Vehicles

Personal Information Banks

♦ Strategic Policy

Testers of Communication

Devices for Person with Disabilities

Description: This bank contains the names, telephone numbers and opinions of person with disabilities who have tested communications devices regarding the usefulness of the devices. **Class of Individuals:** Person with disabilities who have tested communications devices developed with the help of funding from the Industry Canada component of the National Strategy for the Integration of Persons with Disabilities. **Purpose:** To evaluate the benefits of the devices. **Consistent Uses:** To be used only as outlined in the purpose of the bank. **Retention and Disposal Standards:** Retained for two years then destroyed. **PAC Number:** PAC-86/001 **TBS Registration:** 003367 **Bank Number:** IC PPU 054

Office of the Corporate Secretary

Access to Information and Privacy (ATIP) Requests

Description: This bank contains request submitted by individuals seeking access to information under the Access to Information Act and the Privacy Act; replies to such requests; and information relating to their processing. **Class of Individuals:** Individuals submitting requests for access to information under the Access to Information Act and the Privacy Act. **Purpose:** The information is used to process formal access requests in accordance with the Access to Information Act and the Privacy Act. **Consistent Uses:** This bank is also used to report to Treasury Board and to Parliament on access requests received under the Access to Information Act and the Privacy Act.; to verify the identity of requesters; and for purposes of consultations with other government institutions. **Retention and Disposal Standards:** Records are retained for two years. **PAC Number:** 86-001 **Related to PR#:** IST IST 285 **TBS Registration:** 001114 **Bank Number:** IC PPU 024

Ministerial Correspondence

Description: Correspondence received from external organizations and individuals including requests for information or assistance, complaints, opinions and submissions related to a broad range of policy issues pertaining to departmental activities. Incoming correspondence and replies are indexed by subject matter, name of correspondent and date. **Class of Individuals:** General public. **Purpose:** The information is maintained to ensure that ministerial replies are prepared in a timely and responsive fashion. **Consistent Uses:** Correspondence is transmitted to the bureau with direct responsibility for the subject matter for reply. Other departmental offices may receive copies of the correspondence to ensure coordination and consistency in the preparation of responses. Correspondence may also be transmitted to other departments for reply if the subject matter falls within their jurisdiction. **Retention and Disposal Standards:** Correspondence is retained during the term of a minister and the correspondence from the previous minister is retained for a six-month period. **TBS Registration:** 002706 **Bank Number:** IC PPU 028

UFFI Application Request Files

Description: The computer files of this bank contain the names and addresses of individuals who have requested UFFI information bulletins or application forms. Files are maintained in surname sequence at headquarters in Hull, Quebec. The individual's name is required to access this information. **Class of Individuals:** General public. **Purpose:** This bank was established under the UFFI Assistance Program and was used to send out UFFI assistance applications. The Program is no longer operating. **Consistent Uses:** No longer in use. **Retention and Disposal Standards:** The files will be retained until 1996 and then transferred to Archives. **PAC Number:** 89-027 **Related to PR#:** CCA DST 175 **TBS Registration:** 002107 **Bank Number:** IC PPU 025

UFFI Contractor File

Description: Each record of this branch contains the candidate's name, address, contractor identification number (if applicable) and information about whether or not the person has passed the registration test. The files are maintained in candidate number sequence as well as surname sequence at headquarters in Hull, Quebec. **Class of Individuals:** Registered contractors. **Purpose:** This bank was established under the Contractor Registration Program and was used to supply Canada Mortgage and Housing Corporation and UFFI homeowners with lists of registered contractors. **Consistent Uses:** N/A **Retention and Disposal Standards:** The files will be retained until 1996 and then transferred to Archives. **PAC Number:** 89-027 **Related to PR#:** CCA DST 175 **TBS Registration:** 002108 **Bank Number:** IC PPU 026

UFFI Homeowner File

Description: This bank was established under the UFFI Assistance Program. The information includes personal and property data such as name, mailing and property address, foam installation information, as well as whether or not the home has been tested and who performed the test. **Class of Individuals:** Owners of UFFI registered dwellings. **Purpose:** This bank was used to administer the UFFI Assistance program and the files are maintained in identification number sequence. Either the individual's name or the identification number is needed to access this information. **Consistent Uses:** N/A **Retention and Disposal Standards:** The files will be kept by the institution until 1996 and then to be sent to Government Archives Division for permanent retention. **PAC Number:** 89-027 **Related to PR#:** CCA DST 175 **TBS Registration:** 002109 **Bank Number:** IC PPU 027

Operations Sector

3 Year Review Database

Description: This bank is a sampling base for the revision provisions of the Bankruptcy and Insolvency Act. The only personal information is the estate name for data integrity purposes. All information come from existing data at the office of the Superintendent of Bankruptcy. **Class of Individuals:** Individuals in bankruptcy or have filed a proposal. **Purpose:** To monitor the impact of the amendments to the Bankruptcy and Insolvency Act in 1992. **Consistent Uses:** To prepare statistical analysis. **Retention and Disposal Standards:** At least until 1995. The information is kept at the head office of the Superintendent of Bankruptcy. **PAC Number:** 78/005 **Related to PR#:** CCA COA 085 **TBS Registration:** 003556 **Bank Number:** IC PPU 064

Counselling Directive Survey

Description: This bank contains information on individuals who have either gone bankrupt or filed a consumer proposal since the coming into force of amendments to the Bankruptcy and Insolvency Act. The information includes name and address, family and educational background as well as comments from the debtors on

the Counselling Directive. Approximately 2,000 individuals were approached by a private sector firm to conduct this survey. **Class of Individuals:** Individuals in bankruptcy or having filed a consumer proposal. Trustees and administrators. Counselling specialists. **Purpose:** This bank is a survey being conducted by a consultant firm on the effectiveness of the Counselling Directive issued by the Superintendent of Bankruptcy when the amendments to the Act came into force on November 30, 1992.

Consistent Uses: This bank was created for the sole of the survey. No information identifying individuals is entered in the database producing statistical analysis. **Retention**

and Disposal Standards: To be determined **PAC**

Number: 78/005 **Related to PR#:** CCA COA 085 **TBS**

Registration: 003555 **Bank Number:** IC PPU 063

Financial System

Description: This bank contains records of funds received or payments made under various sections of the Bankruptcy and Insolvency Act except those in the Name Search Financial System, namely the filing fees by practitioners, the levy from trustees, undistributed assets by trustees and unclaimed dividends by creditors. The information includes the estate number, the name of the estate and the amounts involved. The files are arranged in the estate number generated by the Registration System but can be queried by estate name and are maintained in the head office of the Superintendent of Bankruptcy.

Class of Individuals: Individuals in bankruptcy, in receiverships or having filed a proposal. **Purpose:** The information is obtained pursuant to the legislative requirements of the Bankruptcy and Insolvency Act and the Financial Administration Act. **Consistent Uses:** The information is obtained pursuant to the legislative requirements of the Bankruptcy and Insolvency Act and the Financial Administration Act. **Retention and Disposal Standards:** To be determined **Related to PR#:** CCA COA 085 **TBS Registration:** 003554 **Bank Number:** IC PPU 061

Inventory of Business People of Asian Origin

Description: The bank of information maintained by the International Commerce Centre contains names, addresses, telephone numbers, fax numbers, occupation, employer, training, specialization, examples of interventions in Asia, areas served and spoken language. **Class of Individuals:** Business people of Asian origin who live in the province of Quebec. **Purpose:** To identify resourceful individuals in order to help our exporters in the Asian market. **Consistent Uses:** The information will be used for the purpose outlined in the purposes of the bank. This information will be available to interested companies. **Retention and Disposal Standards:** Retained for two years providing significant records were sent to headquarters in Ottawa. **PAC Number:** PAC-69/145 **TBS Registration:** 003339 **Bank Number:** IC PPU 056

Name Search Financial System

Description: This bank includes the name and addresses of the clients of the Name Search Service. The information

content includes personal and business characteristics such as date of the search, name searched, amounts owed, alias and identification number of the clients as well as their own reference number or code. The files are arranged by the client number generated by the system but can be queried by client's name. **Class of Individuals:** Individuals clients of the Name Search Service. **Purpose:** The bank is used by the head office of the Superintendent of Bankruptcy to monitor and ensure payment of the prescribed fee of \$8 per name searched under Section 11.1 and Rule 120 of the Bankruptcy and Insolvency Act.

Consistent Uses: The bank is used by the head office of the Superintendent of Bankruptcy to monitor and ensure payment of the prescribed fee of \$8 per name searched under Section 11.1 and Rule 120 of the Bankruptcy and Insolvency Act. **Retention and Disposal Standards:** The identification of the clients is kept as long as they remain registered with the Name Search Service. The transaction information is kept until at least satisfactory payment of the prescribed fee and then retain for six years. **PAC Number:** 86/001 **Related to PR#:** CCA COA 085 **TBS Registration:** 003553 **Bank Number:** IC PPU 062

Name Search System

Description: This bank is the master index of all proceedings under the Bankruptcy and Insolvency Act in Canada. The information content includes personal and business characteristics such as names and addresses, date and type of the proceedings, name of the insolvency practitioner and the dates of the bankrupt person's and the estate practitioner's discharges. **Class of Individuals:** Individuals in Bankruptcy, in receivership or having filed a proposal. **Purpose:** Section 11.1 of the Bankruptcy and Insolvency Act requires the Superintendent of Bankruptcy to maintain a public record and to provide the information therein upon request and payment of the prescribed fee. Rule 120 sets the fee at \$8. The system is mainly used by credit granters and law firms to determine the solvency status of person applying for loans or involved in business transactions. **Consistent Uses:** Section 11.1 of the Bankruptcy and Insolvency Act requires the Superintendent of Bankruptcy to maintain a public record and to provide the information therein upon request and payment of the prescribed fee. **Retention and Disposal Standards:** Rule 109.1 of the Bankruptcy and Insolvency Act requires the Superintendent of Bankruptcy to keep the records for at least ten years after the discharge of the trustee, and, if the bankrupt has not received an absolute discharge within this period, until such time as the bankrupt is granted an absolute discharge, then years after the full performance of a proposal and then years after a receiver has completed the secured mandate. The bank goes back to 1978 for bankruptcies and proposals and November 30, 1992 for receiverships when they were included in the Bankruptcy and Insolvency Act. Files from 1923 to 1977 are held on microfiches of card files. All the information is located in the head office of the Superintendent of Bankruptcy in Ottawa. **PAC Number:** 78/005 **Related to PR#:** CCA COA 085 **TBS Registration:** 003552 **Bank Number:** IC PPU 060

Registration System

Description: This bank includes all bankruptcies, proposals and receiverships filed under the Bankruptcy and Insolvency Act in Canada. The information content includes personal and business characteristics such as names and addresses, total assets and total liabilities, name of the insolvency practitioner, date of the first meeting of creditors, dates of the bankrupt person's and the estate practitioners' discharges and the total dividends paid to the creditors. The Social Insurance Number is captured on behalf of Revenue Canada but is not accessible by the office of the Superintendent of Bankruptcy. The files are arranged in by the estate number generated by the system but can be queried by estate name and are entered through the 14 field offices of the Superintendent of Bankruptcy. The data is updated into the national base every 24 hours. **Class of Individuals:** Individuals in bankruptcy, in receivership or having filed a proposal. **Purpose:** This bank is used to facilitate the regulatory control of the insolvency processes and for trustee monitoring and audit purposes. Statistical reports are also ran against the bank. **Consistent Uses:** Revenue Canada, Taxation, receives part of the data to facilitate the treatment of income tax returns. **Retention and Disposal Standards:** Currently from 1990. An historical bank exists that goes back to 1978 but is not loaded in the system. Disposal to be determines. **Related to PR#:** CCA COA 085 **TBS Registration:** 003550 **Bank Number:** IC PPU 058

Tools for Managing Technology and Innovation

Description: The bank of information contains records of public and private sector individuals, entrepreneurs, associations, publishers and institutes who have acquired, researched, published and otherwise disseminated information on technology transfer methods and procedures. Data base includes contact name, organization, address, telephone, fax, internet address, services provided and comments on services. **Class of Individuals:** Scientists, engineers, public servants, university professors and individuals within the business community who have expertise in various areas of technology transfer. **Purpose:** Reference source and book to maintain a list of individuals, associations and consultants having expertise in an appropriate type of service. **Consistent Uses:** The Information is used to ensure objectivity in responding to requests for assistance or knowledge in solving problems related to the transfer of technology, assessment of new technology and launching or planning new products. **Retention and Disposal Standards:** The files are retained for six years and then transferred to the National Archives of Canada. **PAC Number:** 86/001 **Related to PR#:** IST IST 407 **TBS Registration:** 003549 **Bank Number:** IC PPU 057

Trustee System

Description: This bank contains a record of the licensing process of individuals and corporations to act as trustee under the Bankruptcy and Insolvency Act. The information includes the personal coded history of each trustee on

the initial issue, renewal, extensions or restrictions if any, and removal of the licence as well as educational background and financial guarantors. The bank also includes personal information on designated proposal administrators and receivers, namely name, addresses and employer. The files are arranged by trustee number and are maintained in the head office of the Superintendent of Bankruptcy. **Class of Individuals:** Bankruptcy trustees, proposal administrators, receivers. **Purpose:** The information is used to monitor trustee licensing, including the annual renewal of licenses.

Consistent Uses: The information is used to monitor trustee licensing, including the annual renewal of licenses. **Retention and Disposal Standards:** Rule 109.1 of the Bankruptcy and Insolvency Act requires the Superintendent of Bankruptcy to keep a record of all licenses for at least 30 years after the designation ceases to be valid. **PAC Number:** 78/005 **Related to PR#:** CCA COA 085 **TBS Registration:** 003551 **Bank Number:** IC PPU 059

Trustees Files

Description: This bank contains a record of the initial issue of a trustee license or consumer proposal administrator designation, the renewal and extension of thereof, restrictions any removal of designation. The information content of this bank includes the personal history of each trustee relating to education, financial portfolio, professional status, character enquiries made prior to the issuance of the license and any complaints and investigations against the individual. The files are kept in alphabetical order at the head office of the Superintendent of Bankruptcy in Ottawa. **Class of Individuals:** Bankruptcy trustees and consumer proposal administrators. **Purpose:** The purpose of this bank is to provide a repository for data used for the licensing of trustees in bankruptcies. Licenses are issued by the Superintendent of Bankruptcy who, under section 13 of the Bankruptcy and Insolvency Act, investigates into the character and qualifications of any applicant. **Consistent Uses:** The information is used to assess the qualifications of all applicants, the maintenance in good standing of the designation and the renewal thereof. **Retention and Disposal Standards:** The files are retained for 25 years after the designation is no longer valid. **PAC Number:** 78-005 **Related to PR#:** CCA COA 085 **TBS Registration:** 000123 **Bank Number:** IC PPU 036

♦ Aboriginal Business Canada

Aboriginal Compensation

Description: This bank contains information related to the establishment of salary scales in conformity with national, provincial or regional benchmarks; the establishment of salary scale comparisons with similar financial entities; the fixing of acceptable variances between salary and cash incentives; resolving issues of long-term incentive compensation using industry norms and the establishment of allowable tolerances for turnover. **Class of Individuals:** The information in this bank relates to 171 employees working for the Aboriginal Capital Corporations across

Canada. **Purpose:** The information will result in a report which can be used as a planning tool; also, allows for the design of programmes which can produce significant changes in overall performance. **Consistent Uses:** The information in this bank will be used as a management tool in filling management and clerical positions normally found in an Aboriginal Capital Corporation. **Retention and Disposal Standards:** Retained for two years and then destroyed. **PAC Number:** PAC-89/023 **Related to PR#:** IST/IST-203 **TBS Registration:** 003354 **Bank Number:** IC PPU 055

Aboriginal Business Canada

Description: Covers records related to fostering business development among Canada's Aboriginal people. Covers records relating to departmental assistance programs and includes such material as initial contact, request for information, investigation and analysis, project monitoring, claims and payment, business performance review, and program evaluation. The following programs are included: Aboriginal business Development & Joint Ventures Program; Native Economic Development Program; Special Agricultural & Rural Development; Aboriginal Program; Aboriginal Capital Corporations. The types of information which the bank contains are: names, addresses, telephone numbers, gender (in some cases), and Aboriginal ancestry and other related data on program clients and other Aboriginal peoples interested in business development and entrepreneurship. **Class of Individuals:** The personal information relates to business clients, entrepreneurs and other Aboriginal people who have made application for financial assistance or have participated in a program-sponsored data collection exercise. **Purpose:** Information is collected for the purpose of determining eligibility, to assess the applicant's request for financial assistance, to review the data on applicants, and to assist in the development of new Aboriginal entrepreneurs in Canada. **Consistent Uses:** The information is to be used for the purpose outlined in the purpose of the bank. **Retention and Disposal Standards:** Retained for six years and transferred to National Archives of Canada for selective retention. **TBS Registration:** 003408 **Bank Number:** IC PPU 008

Applications for Employment

Description: This bank serves as a reference for any applications for employment submitted directly by individuals to any of the offices of the Federal Economic Development Coordinator (FEDC). These requests usually consist of a covering letter, curriculum vitae, and replies to such requests. **Class of Individuals:** Individuals seeking employment. **Purpose:** This bank is used by the FEDC offices to store applications for employment submitted by individuals seeking employment. **Retention and Disposal Standards:** Retained for one year and then destroyed. **PAC Number:** 86-001 **Related to PR#:** IST IST 305 **TBS Registration:** 001112 **Bank Number:** IC PPU 004

Bankruptcy Detection/Investigation Files

Description: The content of this bank includes the name of the person being investigated, the initial complaint, the investigation order from the Superintendent of Bankruptcy to the RCMP, RCMP investigation reports, investigation results, court decisions, and the fines or jail terms imposed. The name and the special investigation number are needed to access this information. **Class of Individuals:** Individuals in bankruptcy or involved in a bankruptcy. **Purpose:** The purpose of this bank is to facilitate the monitoring of the relative propriety of all proceedings under the Bankruptcy and Insolvency Act both before and after the declaration of bankruptcy. **Consistent Uses:** The bank is used to monitor the progress, results and backlogs of investigations initiated by the personnel of the office of the Superintendent of Bankruptcy and investigated by the Royal Canadian Mounted Police under the authority of the Bankruptcy and Insolvency Act. **Retention and Disposal Standards:** The files are kept open until the investigation is closed. Upon closure, the content of the files is microfilmed and retained in alphabetical and numerical order at head office with a copy kept in the field office where the investigation took place. The physical files are destroyed and the microfiches are kept for 20 years after the investigation is closed. **PAC Number:** M19-84 **Related to PR#:** CCA COA 085 **TBS Registration:** 000122 **Bank Number:** IC PPU 035

Canada/Quebec Subsidiary Agreement on Industrial Development

Description: This bank contains project applications of funding assistance under the Agreement. The applications consists of the applicant's profile and supporting documentations; the project's description, costs, financing, and analysis; and the Department's project recommendation and implementation. **Class of Individuals:** Businesses submitting applications for funding assistance from the Department. **Purpose:** To retain a records of all requests for and inquiries about funding assistance under the implementation by departmental officials. The bank also provides reference and statistical data. **Consistent Uses:** As outline in the purpose. **Retention and Disposal Standards:** Records are retained for three years, from the date of the last administrative action, after which they are transferred to the National Archives of Canada for three years. **Note:** Transferred from Federal Office of Regional Development (Quebec) **TBS Registration:** 003558 **Bank Number:** IC PPU 065

Claims By and Against the Crown (Motor Vehicle Accidents)

Description: This bank contains police reports of accidents that occur between departmental employees and the general public, supervisor's reports of accidents, statements by departmental employees on the accidents, and Department of Justice decisions on liability. **Class of Individuals:** General public and employees. **Purpose:** To house all relevant data on accidents to determine liability and make settlements. **Consistent Uses:** Information is also used for the purpose of analysis to determine

common recurrent causes of accidents and initiate corrective training programs. **Retention and Disposal Standards:** Records are retained for six years in the five regional offices after settlement of claims. **PAC Number:** 86-001 **TBS Registration:** 000120 **Bank Number:** IC PPU 031

Complaints and Suppressions — Interference

Description: This bank contains records pertaining to the investigation of complaints and recommended actions for suppression of radio interference. Each file contains the complainant's name and address and the nature of the complaint. **Class of Individuals:** General public.

Purpose: Information was obtained to document the manner in which complaints are handled and to provide management with information and technical statistics of radio interference complaints. **Consistent Uses:** Only the technical information is released to the Canadian Standards Association and Comité international de standardization des perturbations de radio as per international arrangements. **Retention and Disposal Standards:** These records are retained for 10 years (active for three and dormant for seven years). **TBS Registration:** 003385 **Bank Number:** IC PPU 020

Complaints under the Competition Act

Description: the bank contains records of complaints made by the general public concerning offences under the Competition Act. **Class of Individuals:** general public.

Purpose: the Competition Bureau receives and records complaints as part of its administration and enforcement of the Competition Act. Complaints are examined and may be used to identify areas of concern which require further investigative activity. Accordingly, the Bureau does not issue a report on each complaint received but rather summarizes its activities in the Annual Report of the Director of Investigation and Research. **Consistent Uses:** specific investigation files may be disclosed to the Attorney General for the purpose of laying charges and to law enforcement agencies for carrying out investigations. **Retention and Disposal Standards:** being developed. In the interim, all records have been retained in storage. **Related to PR#:** CCA CMP 120 **TBS Registration:** 002709 **Bank Number:** IC PPU 034

Consumer Complaints and Enquiry Assistance

Description: This bank contains individual complaints and enquiries about goods and services, the results of mediation, solutions and replies. **Class of Individuals:** General public. **Purpose:** The information is compiled to assist the individual in obtaining satisfaction for a particular consumer problem. **Consistent Uses:** Details of the complaint and identity of the complainant are forwarded to provincial governments or private companies, as appropriate, to facilitate resolution of the dispute. **Retention and Disposal Standards:** Records are retained for two years after expiry of fiscal year, after which period the records are destroyed. **PAC Number:** 78-005 **Related to PR#:** CCA BCA 031 **TBS Registration:** 001873 **Bank Number:** IC PPU 032

Copyright Name Index

Description: This bank consists of index cards containing the name and address of the applicant, the title and nature of the work for which registration of copyright is being requested, accessible as follows: 1) microfilm and/or register books — applications filed prior to 1938 2) microfilm — applications filed between 1938-1974 3) index cards — applications filed between 1974-1991 4) computer database — applications filed from 1991 to date. **Class of Individuals:** Copyright applicants. **Purpose:** This bank, established under the Copyright Act, provides public access to the copyright register by applicant name. **Retention and Disposal Standards:** This information bank contains copyright registration from 1841 to date and is retained at headquarters indefinitely. **PAC Number:** M-14-79 **Related to PR#:** CCA COA 095 **TBS Registration:** 000127 **Bank Number:** IC PPU 044

Departmental Boards, Advisory Committees, Task Forces, etc., Reporting to Industry Canada Minister

Description: This bank contains brief details of departmental boards, advisory committees, task forces, etc. Details on members of such groups are limited to: name, affiliation, address, telephone number, commencement and expiry dates of appointment. **Class of Individuals:** Members of above boards, committees, task forces, etc. **Purpose:** To advise the Minister semi-annually of existing and forthcoming vacancies on departmental boards, advisory committees, task forces, etc. for which they have the responsibility to appoint replacements. **Consistent Uses:** Results are used as described above. **Retention and Disposal Standards:** Retained for five years and then transferred to the National Archives of Canada. **PAC Number:** 86-001 **TBS Registration:** 001721 **Bank Number:** IC PPU 005

Deposit Accounts

Description: This bank contains information relating to agents, companies and individuals who are regular users of CIPO services. **Class of Individuals:** Agents, companies and individuals. **Purpose:** This bank serves as an accounting record for those clients who wish to prepay for services provided by Canadian Intellectual Property Office. **Consistent Uses:** This bank is also used to determine whether sufficient funds are available before a service is rendered. **Retention and Disposal Standards:** The files are arranged in numerical order by account number, which is necessary to access this information. Records are kept indefinitely. **TBS Registration:** 003930 **Bank Number:** IC PPU 070

Deposit Trust Files

Description: This bank contains information relating to individuals who are regular users of departmental services. **Class of Individuals:** General public. **Purpose:** This bank serves as an accounting record for those individuals who wish to prepay for services provided by the Department. **Consistent Uses:** This bank is also used to determine whether sufficient funds are available before a service is rendered. **Retention and Disposal Standards:** The files

are arranged in numerical order by account number, which is necessary to access this information. Records are retained for six years at headquarters. **PAC Number:** 86-001 **TBS Registration:** 000118 **Bank Number:** IC PPU 029

Directory of Expertise in Environmental Control Technology

Description: This bank contains information obtained as a result of a survey undertaken in the winter of 1990. The survey was undertaken to collect information on expertise in Canadian government institutions on environmental control technologies related to the St. Lawrence River clean-up. Information in the bank includes respondent's name, address, area of expertise and their recent papers on reports on environment technology. **Class of Individuals:** Government officials, people in academia and industry. **Purpose:** To provide DRIE/ISTC program officers with a knowledge base on which to assess technical evaluations performed under the St. Lawrence River Environmental Technology Development Program, Environmental Technology Commercialization Program, and to identify expertise in environmental technology related to the treatment of liquid and sludge waste. **Consistent Uses:** The collection will contribute to the information being gathered for the purposes of the Environmental Industry Sector Campaign, an industrial development strategy for this sector. **Retention and Disposal Standards:** Record will be retained for six years and destroyed. **TBS Registration:** 002665 **Bank Number:** IC PPU 006

Industrial Design Name Index

Description: This bank contains industrial design registrations from 1861 to date. **Class of Individuals:** Individuals holding industrial design registrations. **Purpose:** This bank, established under the Industrial Design Act, provides the public with access to the register. **Retention and Disposal Standards:** The records are arranged alphabetically by name, and are retained in headquarters indefinitely. **PAC Number:** 78-005 **Related to PR#:** CCA COA 095 **TBS Registration:** 002114 **Bank Number:** IC PPU 045

Industry and Technology Development (including Tourism)

Description: Covers records related to the promotion of internationally competitive Canadian industries with particular emphasis on the development and diffusion of key science and technological capabilities which enhance industrial competitiveness; also covers records related to the tourism industry in all parts of Canada. Covers records relating to departmental assistance programs and includes such material as initial contact, request for information, investigation and analysis, project monitoring, financial statement review and program evaluation. The following programs are included: Automotive Components Initiative Fishery Products Sector Campaign. The types of personal information which the bank contains are: names, addresses and telephone numbers of applicants. **Class of**

Individuals: The personal information relates to individuals within the business community who have applied for financial assistance to undertake industrial development or tourism-related projects. **Purpose:** Information is collected for the purpose of determining eligibility and to assess the applicant's request for financial assistance. **Consistent Uses:** The information is to be used for the purpose outlined in the purpose of the bank. **Retention and Disposal Standards:** Retained for six years and transferred to National Archives of Canada for selective retention. **TBS Registration:** 002948 **Bank Number:** IC PPU 011

Inquiries and Compliance Activities

Description: This bank contains information on individuals and corporations which have filed a complaint or are the subject of an inquiry or a compliance activity under the Canada Business Corporation Act (CBCA) and the Canada Corporation Act (CCA). **Class of Individuals:** Shareholders, creditors, directors, officers or members of the corporation which have filed a complaint or who are the subject of an inquiry or a compliance activity. **Purpose:** The information, usually gathered through written complaints or the review of public records and sometimes from other regulators, is usually used in the context of an inquiry or a compliance activity with respect to possible non-compliance with the CBCA or CCA. **Consistent Uses:** The information pertaining to the nature of the complaint, the identification of the complainant, the complainant's letter and also information obtained in the context of an inquiry or a compliance activity can be covered to other federal or provincial agencies (securities commissions, police authorities i.e., the RCMP, the Ontario Provincial Police, the Sûreté du Québec, etc.) and also, with the consent of the complainant, to the corporation or person being the object of a complaint or a compliance activity. **Retention and Disposal Standards:** The retention period is 6 years and then sent to Archives. **Related to PR#:** CCA COA 090 **TBS Registration:** 003304 **Bank Number:** IC PPU 050

Insider Trading Reports

Description: The bank contains the names and addresses of individuals, the corporation(s), the capacities in which the individual or corporation qualifies as an insider, and the designation, amount and control of securities of the corporation involved. Personal information is stored and referenced by corporate file. **Class of Individuals:** Inside traders are normally directors, officers or significant shareholders. **Purpose:** The information is obtained to comply with the reporting requirements of the Canada Business Corporations Act. **Consistent Uses:** A summary of the collected information is published in the Canada Corporations Bulletin. Copies of reports filed are made available to the public upon request and at a fee. **Retention and Disposal Standards:** Information is retained for a minimum of six years. **PAC Number:** 78-005 **Related to PR#:** CCA COA 090 **TBS Registration:** 001946 **Bank Number:** IC PPU 048

Insolvency Estate Control Files (formerly Bankruptcy Estate Control Files)

Description: Each file contains information relating to a bankruptcy, a proposal or a receivership. The documents include the assignment, the certificate of the official receiver appointing the trustee, the debtor's statement of affairs, the examination of the debtor by the official receiver, the minutes of the first meeting of creditors, estate bonds required by the official receiver, orders of the court, minutes of inspectors meetings, the statement of receipts and disbursements of the trustee or administrator and any correspondence relating to the estate. The files are arranged by estate number and are located in the field office where the proceedings have been filed. **Class of Individuals:** Individuals in bankruptcy, receivership or having filed a proposal. Individuals trustees, consumer proposal administrators and receivers. Individual creditors and inspectors. **Purpose:** These files contain information prescribed under the Bankruptcy and Insolvency Act in order for the Superintendent of Bankruptcy to properly supervise the administration of all estates. **Consistent Uses:** The files are used as a source of information for the Registration System and for the ongoing supervision of the administration of estates, including sharing with the RCMP when there is an investigation. **Retention and Disposal Standards:** the files are destroyed 15 years after the discharge of the practitioner. **PAC Number:** 78-005 **Related to PR#:** CCA COA 085 **TBS Registration:** 000124 **Bank Number:** IC PPU 038

Inventor and Applicant Index

Description: This electronic database contains an inventory of inventors who have applied for patents under the patent program. Records in the bank contain the names of inventors and applicants, titles of inventions, application serial numbers and filing dates. These indexes are available for public inspection once the patent applications have been published. **Class of Individuals:** Patent applicants, inventors. **Purpose:** This electronic database constitutes a public inventory of inventors who have applied for patents. **Consistent Uses:** The database is used to search for relevant prior documents and corresponding applications for foreign patents when new applications are received. The individual's or applicant's name is required to access this information. **Retention and Disposal Standards:** This database will continue to be of operational use until such time as the program ceases to exist. **PAC Number:** 78-005 **Related to PR#:** CCA COA 100 **TBS Registration:** 002111 **Bank Number:** IC PPU 040

Inventor, Assignee and Patentee Index Cards

Description: This bank contains an inventory of names of individuals who have either invented, acquired by assignment, or been granted patents of inventions under the patent program. The information content includes names of inventors, assignees, or patentees; titles of the inventions; patent serial numbers and assignment registration numbers. Index cards cover the period from 1927 to date. **Class of Individuals:** Patentees. **Purpose:**

This bank constitutes a complete inventory of names of individuals who have been granted patents under the patents program or have acquired patent by assignment.

Consistent Uses: The derivative use of this bank is to verify patent numbers of individuals. **Retention and Disposal Standards:** Records in this bank are retained at headquarters for an indefinite period. **PAC Number:** M15-71 **Related to PR#:** CCA COA 100 **TBS Registration:** 000126 **Bank Number:** IC PPU 041

Inventory of Medical Device — Related Institutional Research in Canada

Description: The bank contains information submitted by respondents in a survey of medical device-related institutional research in Canada. The information may include academic qualifications, membership in professional bodies, major professional achievements, patents and nature of research. **Class of Individuals:** Institutional researchers in medical device-related research. **Purpose:** To identify Canadian strengths in medical device-related institutional research, with a view to examining options for facilitating industrial development in the medical devices sector through commercialization of this research. **Consistent Uses:** The information is to be used for the purpose previously outlined. **Retention and Disposal Standards:** Retained for two years and then destroyed. **PAC Number:** 86-001 **Related to PR#:** IST IST 502 **TBS Registration:** 002904 **Bank Number:** IC PPU 013

Labour Force Tracking Surveys — 1977 and 1978

Description: This database contains information obtained as a result of two special surveys undertaken in the summers of 1977 and 1978. The surveys were designed to collect data on the employment history of individuals experiencing layoffs from declining sectors. The information collected includes data on the respondents' demographic characteristics, income, education and skill levels. The information is arranged by year of survey, with identification by social insurance number. **Class of Individuals:** Individuals who experienced layoffs from selected employers, in selected communities across Canada. **Purpose:** The Labour Force Tracking Surveys were undertaken as a result of the Department's mandate to examine the labour adjustment problems which workers employed in declining sectors faced. The information gathered from the surveys has only been used for analytical and policy-making purposes, the drafting of statistical reports and econometric model development. Because of the historic nature of the data, it is no longer in active use, although there is continued interest in results of analysis. **Consistent Uses:** Since the surveys were a joint project with the Canada Employment and Immigration Commission, aggregated data has been shared with that department for research purposes. **Retention and Disposal Standards:** Retained for 10 years, and then transferred to the National Archives of Canada. **PAC Number:** 69-121 **TBS Registration:** 001109 **Bank Number:** IC PPU 002

Lobbyist Registry

Description: The database contains the names, addresses and telephone numbers of individuals carrying out lobbying activities, as well as the names and addresses of their Firms (Consultant lobbyists) and Employers (In-House (Corporate) and (Organization) lobbyists). It is also contains the various details on the subject-matter of their undertakings which they are required to report under the Lobbyists Registration Act. **Class of Individuals:** Public, lobbyists. **Purpose:** The information is collected pursuant to the reporting requirements of the Lobbyists Registration Act and Regulations. **Consistent Uses:** The information submitted pursuant to the Lobbyists Registration Act is maintained in a Registry of Lobbyists which is open to public inspection at the departmental headquarters. Copies of the information provided by lobbyists are available to the public upon payment of fees. **Retention and Disposal Standards:** To be established. Personal information is retained for a minimum of two years. **Related to PR#:** CCA COA 096 **TBS Registration:** 002708 **Bank Number:** IC PPU 039

Management & Administration

Description: Covers records related to miscellaneous programs which are now under the responsibility of Finance, Personnel and Administration. Covers records relating to departmental assistance programs and includes such material as initial contact, request for information, investigation and analysis, project monitoring, financial statement review and program evaluation. The types of personal information which the bank contains is: names, addresses and telephone numbers of applicants. **Class of Individuals:** The personal information relates to individuals within the business community who have applied for financial assistance. **Purpose:** Information is collected for the purpose of determining eligibility and to assess the applicant's request for financial assistance. **Consistent Uses:** The information is to be used for the purpose outlined in the purpose of the bank. **Retention and Disposal Standards:** Retained for six years and transferred to National Archives of Canada for selective retention. **TBS Registration:** 002951 **Bank Number:** IC PPU 010

Market Data Base

Description: The bank contains locational travel and socio-demographic information of residents of various countries who have requested travel information from the Canadian Tourism Commission or its industry partners. First and foremost, the bank contains the name and address (and perhaps the telephone number) of the enquirers. Other information including past travel history to Canada, future plans, and lifecycle information may be collected as part of this program. Countries involved in the program include: Canada, the United States, the United Kingdom, France, Germany and Japan. The database is continually being update. **Class of Individuals:** Residents of Canada's principle tourism markets. **Purpose:** The information is initially collected to permit the distribution of tourist information, following a request by an enquirer. Subsequent uses of the data base

include marketing implications including additional information gathering, market segmentation and partnering.

Consistent Uses: The creation and development of a data base is consistent with the marketing objectives of a national tourism organization. **Retention and Disposal Standards:** Retained for three years and then destroyed. **PAC Number:** 69/103 **TBS Registration:** 003770 **Bank Number:** IC PPU 015

Medical Devices Sector Initiative — Inventory of Consultants Pre-Screening Submissions

Description: The bank of information contains names and addresses. **Class of Individuals:** Consultant's offering screening services in the medical devices industry sector. **Purpose:** Internal reference book used in screening potential consultants for work on the Medical Devices Sector Campaign. **Consistent Uses:** Reference book when screening proposal for consultancy. **Retention and Disposal Standards:** Records retained for six years and then destroyed. **PAC Number:** 86-001 **Related to PR#:** IST IST 201 **TBS Registration:** 003303 **Bank Number:** IC PPU 018

MITOS Client Tracking Data Base

Description: The bank of information contains records of public and private sector clients (individuals, entrepreneurs, associations, etc.) who have requested and/or received services related to Market Intelligence Service and dIStCovery Technology Licensing Opportunities Service. Data base includes contact name, organization, address, telephone, fax, language, services provided, comments on services. **Class of Individuals:** Recipients of services of market intelligence and dIStCovery (MITOS). **Purpose:** Data used to determine and analyze services impact, profile of clientele, client satisfaction, response time, performance indicators, demand for services. **Consistent Uses:** To be used only as outlined in purpose of the bank. **Retention and Disposal Standards:** Retained for six years and transferred to National Archives of Canada for selective retention. **PAC Number:** 83-023 **Related to PR#:** IST IST 405 **TBS Registration:** 003302 **Bank Number:** IC PPU 017

Notices of Directors of Corporations

Description: The bank records the identities of persons who became or ceased to be directors of boards of trade and corporations in accordance with the Canada Business Corporations Act and the Canada Corporations Act (Part II). Names and residential addresses of current directors are available to the public via the Corporations Directorate's database which is available on-line to the public through Industry Canada's WEB site "Strategis". Copies of the database are available to the public in microfiche format or through commercial electronic publishing firms and are also provided to interested federal and provincial institutions. Copies of notices filed are made available to the public upon request and at a fee. Other information is available through the corporation file. The bank also records any personal information obtained through the Corporate Name Information Form.

Class of Individuals: Directors of corporations and incorporators. **Purpose:** The information is obtained in compliance with the reporting requirements of the Canada Business Corporations Act. The information regarding directors of corporations is obtained in compliance with the reporting requirements of the Canada Business Corporations Act. The information obtained through the Corporate Name Information Form is to enable the Director, appointed under the Canada Business Corporations Act, to make a name decision. **Retention and Disposal Standards:** All records are retained for a minimum of six years. **PAC Number:** 78-005 **Related to PR#:** CCA COA 090 **TBS Registration:** 001947 **Bank Number:** IC PPU 049

Patent Agent Records

Description: This bank, established under the patent program, contains histories of all persons registered to practice before the Canadian Patent Office. Contents include application document, supported by such personal data as education and work experience, other qualifications, patent examination results, complaints and other related correspondence. **Class of Individuals:** Patent agents. **Purpose:** The bank is used to provide an official historical record of all registered patent agents. **Retention and Disposal Standards:** These records are arranged in numerical order by registration number and are retained in the Department. They are kept for 10 years after last correspondence or failure to re-register. **PAC Number:** 78-005 **Related to PR#:** CCA COA 100 **TBS Registration:** 002113 **Bank Number:** IC PPU 043

Payments to Contractors — General

Description: This bank contains curricula vitae and agreements for individuals under contract. **Class of Individuals:** Individuals under contract. **Purpose:** This bank serves as a record of pay and benefits to individuals employed on a personal service contract. **Consistent Uses:** This bank is also used for post-audits by the Auditor General. **Retention and Disposal Standards:** These records are arranged in numerical order and are retained for six years at headquarters. **PAC Number:** 86-001 **TBS Registration:** 000119 **Bank Number:** IC PPU 030

Policy Development & Advocacy (including Small Business)

Description: Covers records related to policy development and coordination in support of departmental/government-wide functions in the areas of economic technology, industry, trade and small business policy development. Covers records relating to departmental assistance programs and includes such material as initial contact, request for information, investigation and analysis, project monitoring, financial statement review and program evaluation. The following program is included: Entrepreneurship Awareness Program. The types of personal information which the bank contains are: names, addresses and telephone numbers of applicants. **Class of Individuals:** The personal information relates to

individuals within the business community who have applied for financial assistance to undertake eligible projects. **Purpose:** Information is collected for the purpose of determining eligibility and to assess the applicant's request for financial assistance. **Consistent Uses:** The information is to be used for the purpose outlined in the purpose of the bank. **Retention and Disposal Standards:** Retained for six years and transferred to National Archives of Canada for selective retention. **TBS Registration:** 002949 **Bank Number:** IC PPU 014

Professional and Special Services Contracts

Description: This bank is maintained by Finance Branch and various sectors and regional offices to record information on professional and special services contracts in compliance with the Financial Administration Act and Treasury Board and internal departmental directives. The files are normally arranged in alpha/numeric order. **Class of Individuals:** Individuals engaged in professional or special services contracts with the department through the National Capital Region and in the regions. **Purpose:** The bank is used to administer contracts, to make payments where required, to collect receivables, to perform accounting and administrative functions for the department, to facilitate the selection of consultants and to carry out auditing and evaluation. **Consistent Uses:** To be used only as outlined in the purpose of the bank. **Retention and Disposal Standards:** Retained for six years and then destroyed. **TBS Registration:** 001102 **Bank Number:** IC PPU 071

Prosecutions — Illegal Radio Installations

Description: This bank holds records pertaining to prosecution for illegal radio installations. It contains the name and address of the individual or firm being prosecuted and the nature of the violation. **Class of Individuals:** General public. **Purpose:** This information relates to persons being prosecuted for Radiocommunications Act offenses. Information is collected in order to obtain authority to initiate legal actions. **Consistent Uses:** The information is shared with police agencies for the purpose of Radiocommunications Act prosecutions. **Retention and Disposal Standards:** Records in this bank are retained for 10 years (active for five and dormant for five years). **TBS Registration:** 003386 **Bank Number:** IC PPU 022

Prosecutions under the Weights and Measures Act

Description: This bank contains information on establishments which have been prosecuted under the Weights and Measures Act. It includes information on the nature of the offence, charges laid, penalties or fines and dispositions. **Class of Individuals:** The name and address of individuals who are sole owners of companies prosecuted under the Weights and Measures Act appear in the databank. **Purpose:** To assist departmental officials in tracking overdue fines and to provide statistics on the number and nature of offences. **Consistent Uses:** To be used only as outlined in the purpose of the bank. **Retention and Disposal Standards:** Retained for five

years after the case has been closed and then destroyed.

PAC Number: 78/005 **TBS Registration:** 003931 **Bank Number:** IC PPU 069

Public Attitudes to S&T in British Columbia

Description: Knowledge and attitudes of adult Canadians living in British Columbia concerning the Science and Technology (S&T) issues surrounding the Triumph-Kaon Project in British Columbia. Familiarity with the project, support for federal or provincial funding of the project arguments for and against the project such as affordability, relative value, other uses of project funds, advancement of science, prestige economic competitiveness. **Class of Individuals:** Canadians in British Columbia. **Purpose:** Policy development and strategic planning related to the Triumph-Kaon project and relevant issues. **Consistent Uses:** Read by senior managers and exempt Ministerial staff for information; decisions on policies and programs and input for meetings and papers. **Retention and Disposal Standards:** Retained for two years and then destroyed. **PAC Number:** 86-001 **Related to PR#:** IST IST 901 **TBS Registration:** 002785 **Bank Number:** IC PPU 012

Radio Operator Certificate Bank

Description: This bank contains a listing by name of amateur and professional radio operators. It may contain date of birth, class of certificate, certificate number and the date of issuance of the certificate. It may also contain a physical description of the certificate holder. **Class of Individuals:** This information relates to radio operators. **Purpose:** Information was obtained in order to establish eligibility for radio operator certificates and to maintain a list of amateur and professional radio operators holding certificates of proficiency for the appropriate type of service. **Retention and Disposal Standards:** For amateurs, files are retained two years after the licence is invalid, for professionals — 20 years (five active and fifteen dormant). Operators examination results are retained five years (active for two and dormant for three). Radio Operator Certificate records are retained for 20 years (active for five and dormant for fifteen). **TBS Registration:** 003387 **Bank Number:** IC PPU 019

Radio Station Licensing Data Bank

Description: This bank contains non-broadcasting radio station licensing information, derived from applications submitted by applicants for radio station licences. It contains information such as radio frequencies, call signs, emissions, station location and personal data of applicants. **Class of Individuals:** This information relates to holders of radio licences. **Purpose:** Information was obtained in order to license and control the use of all non-broadcasting radio station systems in Canada for the planning and management of the radio frequency spectrum. In particular, this bank is used in the frequency selection process for new or existing stations and in associated electromagnetic compatibility studies. It also provides information needed for the identification of sources of interstation interference, policy formulation, and frequency utilization study

purposes. The licensee and licence-fee-accounting information is used as a basis for the collection of annual licence renewal fees. In most cases, the information which appears on the face of radio licences in the database is available for release upon request. Information such as names and addresses of licensees, assigned radio frequencies, call signs, emissions, band width and power is provided to selected government bodies, persons or companies in connection with frequency coordination procedures for station or system planning, for reference or for similar purposes. **Consistent Uses:** Part of this information is shared with the following organizations, agencies and government departments: the Department of Transport for licensing information on the invoicing of ship board radio traffic; the Canadian Coast Guard, to ascertain whether a vessel has a valid radio equipment licence prior to placing telephone calls or passing traffic; a call sign and or name is provided to the Search and Rescue Coordination Centre so that vessel/aircraft routes may be established to facilitate the location of lost vessels or aircraft; various agencies and organizations in Canada and the U.S. for coordination of frequencies and system parameters; Amateur organizations for membership surveys and drives; publishers of the Radio Amateur Call Book (Canada and the U.S.) for the name, address and call sign for the purpose of pursuing activities related to amateur radio; law enforcement agencies for investigations relating to offenses under the Radiocommunications Act; organizations providing radio services to the public in order to establish that their clientele is licensed; equipment suppliers to facilitate the commissioning of their clients' communications systems; and the Department of Transport, National Defence and other organizations to provide information on privately operated non-directional navigation beacons in the interest of safety. Finally, the Department releases certain information concerning amateur radio operators because it wishes to: promote the orderly handling of volunteer emergency communications; adhere to its international commitments as a member of the International Telecommunications Union; and foster the efficient communication between all amateurs.

Retention and Disposal Standards: Retain for 30 years and then destroyed. **TBS Registration:** 003388 **Bank Number:** IC PPU 021

Recipients/Purchasers Assessment of Rap-O-Matics Video

Description: The bank of information contains respondent opinions on the Rap-O-Matics video. Personal information includes: age, sex, educational attainment, occupation, place of work, telephone number, city, province and postal code. **Class of Individuals:** The public's opinion are being sought. Recipient/Purchasers of the video are being interviewed. **Purpose:** To provide Industry Canada officers with a knowledge base on the uses and users of the Rap-O-Matics video and discussion guide. To gain an understanding of the effectiveness of promotions undertaken for the product. **Consistent Uses:** This bank of data is for internal use only. No matching activities are applicable. **Retention and Disposal Standards:** The

questionnaire and raw data will be kept for two years and destroyed thereafter. **PAC Number:** 86-001 **Related to PR#:** IST IST 904 **TBS Registration:** 003213 **Bank Number:** IC PPU 016

Regional Development

Description: Covers records related to the promotion of regional economic development. Covers records relating to departmental assistance programs and includes such material as initial contact, request for information, investigation and analysis, project monitoring, financial statement review and program evaluation. The following programs are included: Federal Economic Development Initiative in Northern Ontario (FEDNOR); Atlantic Enterprise Program; Industrial and Regional Development Program. The types of personal information which the bank contains are: names, addresses and telephone numbers of applicants. **Class of Individuals:** The personal information relates to individuals within the business community who have applied for financial assistance. **Purpose:** Information is collected for the purpose of determining eligibility and to assess the applicant's request for financial assistance. **Consistent Uses:** The information is to be used for the purpose of the bank. **Retention and Disposal Standards:** Retained for six years and transferred to National Archives of Canada for selective retention. **TBS Registration:** 002751 **Bank Number:** IC PPU 009

Register of Patent Agents

Description: This bank includes the names of registered patent agents, their addresses and the number under which they are registered to practice before the Canadian Patent Office. **Class of Individuals:** Patent agents. **Purpose:** This bank was established to maintain a public record of all persons registered to practice before the Canadian Patent Office. **Consistent Uses:** This bank is used to maintain an up-to-date list of persons recognized as patent agents. **Retention and Disposal Standards:** These records are arranged alphabetically and retained in headquarters. They are kept for 10 years after last correspondence or failure to re-register. **PAC Number:** 78-005 **Related to PR#:** CCA COA 100 **TBS Registration:** 002112 **Bank Number:** IC PPU 042

Register of Trade Marks Agents

Description: Since 1954, the Trade Marks Branch has maintained a public register of trade marks agents. This bank contains registration numbers, names, addresses, nationalities and dates, and is arranged alphabetically. **Class of Individuals:** Trade mark agents. **Purpose:** This register serves to verify registration and provide addresses for correspondence. **Consistent Uses:** The bank serves as an official historical file on trade mark agents. The agent's registration number or name is required to access this information. **Retention and Disposal Standards:** These records are retained for two years after the last date of correspondence or upon failure to register. **PAC Number:** 78-005 **Related to PR#:** CCA COA 105 **TBS Registration:** 000128 **Bank Number:** IC PPU 047

SchoolNet Digital Collections — Participant Information

Description: This bank contains the names, addresses and telephone numbers. **Class of Individuals:** Parents of the youth who have or who are currently participating in the SchoolNet Digital Collections program. **Purpose:** the purpose of this bank is to support management in the development of a program evaluation framework and the measurement of the impact of the program on youth employment and skills development over the long-term. **Consistent Uses:** the information gathered will be used by program management to track the youth and to survey them at a later date. Such longitudinal information is absolutely necessary, not only for the development of the framework and for the determination of the program's impacts, but also for the ongoing management purposes, as it will provide vital information on the efficiency and cost-effectiveness of the program. This will be necessary to guide management in any potential changes in program direction which may be required over time. **Retention and Disposal Standards:** this information will be retained for three years after the study or survey has ceased or been implemented. Before disposal, consult Public Archives of Canada. **TBS Registration:** 003908 **Bank Number:** IC PPU 067

Science Promotion and Academic Affairs

Description: Covers records related to the department's special responsibilities in science policy. Covers records relating to departmental assistance programs and includes such material as initial contact, request for information, investigation and analysis, project monitoring, financial statement review and program evaluation. The following programs are included: the Canada Scholarship Program, Prime Minister's Awards for Teaching Excellence in Science Technology and Mathematics. **Class of Individuals:** The personal information relates to students, teachers, administrators. **Purpose:** Information is collected for the purpose of determining eligibility and to assess the student's request for financial assistance and awards to teachers and administrators. **Consistent Uses:** The information is to be used for the purpose outlined in the purpose of the bank. **Retention and Disposal Standards:** Retained for six years and then transferred to National Archives of Canada for selective retention. **PAC Number:** 76-020 **Related to PR#:** IST IST 007 **TBS Registration:** 002915 **Bank Number:** IC PPU 001

Standards and Interconnection

Description: Information on memberships in national standards committees on telecommunications and information technology. Data bank also contains listings of organizations involved in standards development and exploitation in Canada. **Class of Individuals:** Members in national standards committee. **Purpose:** For use in dissemination of information on standards and administering national standards committees. **Retention and Disposal Standards:** Retained for 10 years and then destroyed. **TBS Registration:** 003389 **Bank Number:** IC PPU 023

Strategis Client Tracking System

Description: The bank of information contains records of both public and private sector clients (individuals and individuals representing companies and associations) who have requested and received services related to the Strategis suite of information products and services. Information obtained from individuals and included in the data base includes contact name, address, telephone, fax, e-mail, language, service provided and comments on service. **Class of Individuals:** Recipients of services from Industry Canada's Strategis line of products and services.

Purpose: Data from the bank is used to determine and analyze service impact: profile of clients, client satisfaction, response time, performance indicator and for other services requested by clients. The information will be used to conduct client surveys related to the use of the Strategis suite of products and services. At the client's request, information in this bank will be used to provide further information in the client's specified area of interest. Information from this database will be made available to Industry Canada personnel for purposes of improving Industry Canada's service to its clientele. **Consistent Uses:** To be used only as outlined in purpose of the bank. **Retention and Disposal Standards:** Retained for three years and transferred to National Archives for selective retention. **PAC Number:** 86-001 **TBS Registration:** 003897 **Bank Number:** IC PPU 066

Survey of Scientists and Engineers to Identify Areas of Cooperation Between Canada and Japan

Description: This bank contains information on the innovation spectrum from basic research to applied research to risky development where Canada is in the best position to benefit from cooperation with Japan; and those areas where Canada has a critical mass or network of researchers to be able to absorb and utilize the results of cooperative research between Canada and Japan.

Class of Individuals: Scientists and engineers. **Purpose:** The data collected will be used by Industry Canada in developing the framework for the implementation of a program of cooperation with Japan that is consistent with the recommendations of the "Canada-Japan Complementarity Study", and to assist in the development of a basis for the Government of Canada's support of an initial set of cooperative activities with Japan. **Consistent Uses:** The information is to be used for the purpose previously outlined. **Retention and Disposal Standards:** Records are retained for six years and destroyed. **PAC Number:** 86-001 **TBS Registration:** 002668 **Bank Number:** IC PPU 007

Surveys — Business Representatives

Description: This bank contains business representatives' opinions on the need for activities falling under Industry Canada's mandate. In addition, the surveys provide information on the extent to which Industry Canada's operations affect the conduct of business in Canada and promote the existence of a competitive economy and integrity of the marketplace. The surveys are also intended to provide data on the costs and benefits to be derived

from Industry Canada's different programs. **Class of Individuals:** Business people. **Purpose:** This bank was created to obtain information on the relevance of Industry Canada's Programs and the extent to which program objectives were achieved, as perceived by their various target groups. **Consistent Uses:** Related to the description above. **Retention and Disposal Standards:** Records are retained for a minimum of two years. **PAC Number:** 78-005 **Related to PR#:** COR BRD 150 **TBS Registration:** 002120 **Bank Number:** IC PPU 051

Tax Rebate Discount Files

Description: The bank contains statements of discounting transactions submitted by discounters, and information (including complaints) processing and verification. It contains names, addresses, and social insurance numbers (obtained pursuant to the Tax Rebate Discounting Regulations) for persons using tax discounting services, as well as the year and amount of refund. It also contains the names, identification codes, phone numbers and addresses of discount proprietors. Personal information is filed by discount company, province and year. **Class of Individuals:** Persons using tax rebate discount services. **Purpose:** Information was compiled for the administration and enforcement of the Tax Rebate Discounting Act. **Consistent Uses:** Bank information is required to administer and enforce the Act. It is shared with Manitoba, Nova Scotia, Prince Edward Island, Revenue Canada Taxation and the RCMP. **Retention and Disposal Standards:** Retention period is for a minimum of four years and varies according to subject. **PAC Number:** 78-005 **Related to PR#:** CCA BCA 046 **TBS Registration:** 001945 **Bank Number:** IC PPU 033

Technological Innovation Studies Program

Description: This bank is maintained by the Office of Industrial Innovation to record the applications received under the Technological Innovation Studies Program. This bank contains the curricula vitae of university professors who apply for grants to carry out studies, and information relating to research project proposals submitted by researchers. The files are arranged in alphabetical order with university affiliation. **Class of Individuals:** Academics and under-graduates. **Purpose:** The information is used to evaluate the qualifications of persons applying to carry out studies; to promote studies of interest to the Department in the field of the technological innovation process in Canada which will give background information used in the development of policies or programs; and to promote the development of a pool of experts in the area.

Retention and Disposal Standards: Retained for six years, and then transferred to the National Archives of Canada. **PAC Number:** 80-016 **Related to PR#:** IST IST 554 **TBS Registration:** 001111 **Bank Number:** IC PPU 003

Timber Mark Name Index

Description: This bank contains registrations of all timber marks. **Class of Individuals:** Individuals holding timber mark registrations. **Purpose:** This bank, established under

the Timber Marking Act, constitutes a public inventory of timber marks. **Retention and Disposal Standards:** The information in this bank is arranged alphabetically by name and is retained indefinitely. **PAC Number:** 78-005 **Related to PR#:** CCA COA 095 **TBS Registration:** 002115 **Bank Number:** IC PPU 046

Unclaimed Dividends/Undistributed

Assets — Financial Files

Description: This bank contains records, namely remittance forms, of funds received or payments made under various sections of the Bankruptcy and Insolvency Act, such as filing fees, levy from trustees, undistributed assets and unclaimed dividends by creditors. The latter two are held in trust and are available to creditors providing appropriate identification. The files are maintained in estate number order, nor by individuals creditors. **Class of Individuals:** Creditors with unclaimed assets. **Purpose:** The information is obtained pursuant to the legislative requirements of the Bankruptcy and Insolvency Act and the Financial Administrative Act. **Consistent Uses:** The information is used for the refund of unclaimed dividends to creditors. **Retention and Disposal Standards:** Information is retained for six years after trustee discharge. **PAC Number:** 86/001 **Related to PR#:** CCA COA 085 **TBS Registration:** 002707 **Bank Number:** IC PPU 037

Unsolicited Applications for Employment

Description: This bank maintains an inventory of applications from individuals requesting employment with the Department. The files include completed application forms, letters, and curricula vitae of persons seeking employment with the Department. **Class of Individuals:** Individuals seeking employment with the Department. **Purpose:** The bank is used to review applications of individuals requesting employment with the Department when positions become available. **Retention and Disposal Standards:** Records are retained for six months and then destroyed. **PAC Number:** 86-001 **TBS Registration:** 002559 **Bank Number:** IC PPU 052

Classes of Personal Information

Behavioural Research

This class contains reports, studies, field trial evaluations, surveys, and interviews involving individual reactions, views and opinions related to the intellectual, creative and productive capabilities of human beings in information communication and related processes, especially human perceptual and cognitive processes, human behaviour and the interaction between people and communications technology. The reports themselves, published or unpublished, reflect collective human behaviour and not personal information about an individual. Personal information may be obtained in the process of preparing a report, to establish the identity and credibility of the source. This information is not used for administrative purposes and is not arranged by or retrievable by personal identifiers. This form of personal information is normally retrievable only if specifics are provided

concerning the report involved. The retention of information depends on the length of time it is needed for related research. It is active for the fiscal years in which the project is active; otherwise, it is active for the current fiscal year; thereafter it is inactive but retrievable for the next two years, after which it is transferred to the National Archives of Canada.

Complaints, Investigations and Inquiries Class (Competition Bureau)

This class contains personal information relating to the administration and enforcement of the Combines Investigation Act and the Competition Act. The material may relate to the legislation in general, or to any category of product or service. Most filing is done according to standard industrial classification coding; the key reference for retrieval purposes is the industry or company involved. There is limited cross-referencing to and recording of incoming correspondence which may contain personal information. (Such correspondence includes complaints and requests for information as well as information obtained from the Canadian Police Information Centre, U.S. Department of Justice and from O.E.C.D. anti-trust authorities.) Personal information held by the Bureau may be provided to federal or provincial departments and agencies to ensure a proper level of service to the person identified.

Enterprise/Industrial Development and Assistance

The Department and its predecessor departments have administered a number of enterprise/industrial development and assistance programs. These programs have been aimed at encouraging enterprise and industrial development in a wide variety of ways. Personal information may be collected in the course of these departmental activities. Programs and activities which may have involved the storage of personal information include the Program for Export Market Development, the Defence Industry Productivity Program, the Microelectronics and Systems Development Program, the Technology Outreach Program, the Sector Campaigns, including the Prosperity Initiative, the St. Lawrence River Environmental Technology Development Program, the Advance Manufacturing Technology Application Program, the Strategic Technologies Program, the Science Culture Program, the Pharmaceutical Industrial Development Assistance Program, the Credit Reinsurance Program, the Shipbuilding Industry Assistance Program, the Advanced Technology Program — Waterloo Centre for Process Development, the Small Business Investments Grants, the Government Procurement Projects, the Industry Energy Research and Development Program, the Major Crown Projects, the Aboriginal Capital Corporations Programs, the Aboriginal Economic Programs Research and Advocacy Program, Aboriginal Business Development and Joint Ventures Program, Native Economic Development Program, Special Agricultural and Rural Development, Aboriginal Business Loan Insurance Program, the Western Transportation Industrial Development Program, the FEDNOR Core Industrial Program, the Rural Small

Business Program — FEDNOR, the FEDNOR Loan Insurance Program, the FEDNOR Tourism Program, and Grants and Contributions to non-profit organizations to promote economic cooperation and development.

Personal information may include particulars such as the names, addresses, social insurance numbers, financial interests, management capabilities, employment histories, remuneration, age, health, marital status and educational background of individuals. Such personal information is stored as part of the general subject files where records are not normally retrieved by name of individual or other personal identifier. This form of personal information is normally retrievable only if specifics are provided such as the program area, the corporate/project name, the location of a facility and the approximate date of submission/award.

General Development Agreements, Economic and Regional Development Agreements, Subsidiary Agreements and Related Initiatives

The Department has collected data needed for the administration of subsidiary agreements entered into with the provinces, consistent with the terms and conditions of general development agreements previously signed with all provinces, except Prince Edward Island, and the new economic and regional development agreements entered into with the 10 provinces. The general development agreements, which expired on March 31, 1984, established the framework for development and identified development opportunities for implementation under subsidiary agreements. The economic and regional development agreements with the provinces, as well as the subsidiary agreements signed under the economic development agreements with the Territories, provide a framework for co-operation and consultation on matters relating to economic development in each province and territory. As opportunities are identified, individual subsidiary agreements are formulated. These opportunities are normally unique to a province and, as a result, subsidiary agreements cover a broad range of specific programs.

Personal information may be stored in administering a number of subsidiary agreements. This information may include financial, employment, demographic and educational data on individuals. Such data, however, would be stored as part of the general subject files where records are not normally retrieved by name of individual or other personal identifier. This form of personal information is normally retrievable only if specifics are provided such as the name of the project or program that may be related thereto and the approximate date of submission/award.

Inquiries (Bureau of Consumer Affairs)

This class contains inquiries concerning the following Acts, which are administered in whole or in part by the Department: Canada Agricultural Products Standards Act; Consumer Packaging and Labelling Act; Electricity; Gas and Inspection Act; Fish Inspection Act; Food and Drugs Act; Hazardous Products Act; National Trade Mark and True Labelling Act; Precious Metals Marking Act; Textile Labelling Act; Weights and Measures Act. This class

contains records on establishments that have been inspected with respect to the requirements or the legislation listed above. It contains inspection and incident reports, complaints, warning letters and other correspondence, and reports of prosecution. The names of individuals who are sole owners of companies appear in the files. These files are kept for several years in order to plan inspection visits and monitor the compliance level in relation to the regulatory requirements of the legislation administered by the Department.

Mailing Lists (Bureau of Corporate Policy and Strategic Planning)

This class of personal information contains the names and addresses of individuals who are on a mailing list to receive specific information or publications on departmental programs and activities. The lists are used by all bureaus to distribute departmental information and to respond to requests by individuals. The lists are updated on a continuing basis. The lists are maintained for a minimum of two years.

Other Programs and Agreements

This class reflects other programs and agreements not specifically covered under the other classes. These other programs and agreements are aimed at resolving specific problems of a limited nature or in specifically defined areas of the country. For example, federal-provincial agreements, such as those under the Agricultural and Rural Development Act (ARDA), are concerned with rural problems of unemployment and social disadvantages. Special ARDA agreements have been used as a vehicle to improve the economic circumstances of native people by providing financial and other assistance to create job opportunities. A Native Economic Development Program was established to increase economic self-reliance and development for Canada's native people. A 15-year development agreement, the Prince Edward Island Comprehensive Development Plan, was signed by Canada and P.E.I. in 1969, under the authority of the Fund for Rural Economic Development Act. The plan has allowed for the design and implementation of a broad range of programs to stimulate economic growth, create jobs and raise per capita income. In addition, under the Federal Development Strategy, the Department has been involved in providing further economic development activities in P.E.I.

Personal information may be stored in administering programs falling within this class. This information may include financial, employment, demographic and educational data on individuals. Such data, however, would be stored as part of the general subject files where records are not normally retrieved by name of individual or other personal identifier. This form of information is normally retrievable only if specifics are provided, such as the name of the program concerned, the name of the specific project and the approximate date of submission/award.

Program Evaluation (Bureau of Corporate Policy and Strategic Planning)

This class of personal information contains data collected from departmental employees for departmental program evaluation studies. The aggregate data are used to support program evaluation, policy analysis and program development activities. All data are retained at headquarters with study files retained for a minimum of two years. Files are retrievable by study only. The names of individual participants are not retained.

Technological Information Services Requests (Intellectual Property Directorate)

This class includes the names, addresses and telephone numbers of individual inventors and entrepreneurs who have submitted requests for technological information. Also included is background information relating to the requests, the purposes of the requests and the specific areas of interest. The purpose of this service is to provide a technology search to assist in the development of new or improved products and processes and to avoid duplication of research and development. Requests are received via intermediary agencies (such as provincial research councils), and files are maintained by agency, not by name of individual. Requests are retained for a minimum of two years.

Manuals

- A Strategy for the Canadian Environmental Industry
- Aboriginal Business Canada — Policy Guidelines
- Access to Information and Privacy Manual
- Administrative Policy and Procedures Manual
- Administrative Support Manual
- An Overview of Canada's Competition Act (1993)
- Approval Index
- BOSS Business Opportunities Sourcing System on Line Computer Access Guide
- Bulletin Manual — Legal Metrology
- CA Identification Number Microfiche Index on Textile Dealers
- Calibration and Certification Procedures
- Code of Ethics for Bankruptcy Trustees
- Corporations Directorate Policies and Procedures Manual
- Criteria and Procedures for the Accreditation of Electricity and Gas Meters
- Dealing with debt: a consumer's guide
- Defence Industry Productivity Program Procedures Manual
- Delegation of Authorities Manual
- Departmental Motor Vehicle Fleet Management Manual
- Departmental Security Policies and Procedures Manual
- Deputy Minister's Directives — Volume I — Administration
- Deputy Minister's Directives — Volume II — Human Resources
- Diagnostic Review Service (DRS) Policy and Procedures Manual (June 1993)
- Dictionary of Shapes and Terms Thereof
- Directives of the Superintendent of Bankruptcy
- Employee Guide on Work Force Adjustment
- Environmental Assessment and Review Process — Procedures
- Fashion Apparel Sector Campaign — Canadian Apparel Federation Element
- FedNor Business Incentive Program Policy Binder
- FedNor Payments and Monitoring Manual
- FedNor Policy Manual
- File Index/Classification Manual
- Flexible Work and Arrangements and Leave Options: A Guide for Managers and Employees
- Forest Industries Program — Policy and Administrative Directive
- Handbook of Patent Examination (HOPE)
- Industrial Designs Classification Manual
- Industry Canada Contracting Manual
- Information Bulletin No. 3 — Program of Compliance
- Information Bulletin No. 5 — Merger Enforcement Guidelines
- Information Kit on Prenotification
- Insolvency Bulletin
- Insolvency Circulars
- Inspection Procedures Manual
- Inspector's Handbook (Bankruptcy)
- Instruction Manual for Field Offices (Corporations)
- Interventions and Trustee Monitoring Programs
- Manager's Guide to Program Review
- Manual of Directives for the Centralized Receipts and Disbursements System (CRDS)
- Manual of Patent Office Practice
- Merger Enforcement Guidelines (1991)
- Misleading Advertising Bulletin (issued quarterly)
- Misleading Advertising Guidelines (1991)
- Monitoring and Payments Manual
- Office of the Superintendent of Bankruptcy Quality Service Brochure
- Personnel Policy Manual
- Policy Manual (PM) — PM-1 Radio Licensing
- Predatory Pricing Enforcement Guidelines (1992)
- Price Discrimination Enforcement Guidelines (1992)
- Principles and Guidelines for Environmental Labelling and Advertising (1994)
- Program of compliance

- Programs Policies Manual
- Programs Procedures Manual
- Radio Inspectors Manual (RIM) — RIM-1-General; RIM-1-1-Consolidation of legislation and regulations on telecommunications; RIM-2-Licensing procedures; RIM-3-Inspection-Aeronautical, Maritime Land Stations; Interference; RIM-4-Certificates-Examinations; RIM-7-Prosecutions
- Secretarial Procedures Manual
- Small Business Loans Act Guidelines, July 1996
- Specifications for Approval of Type for Gas Meters and Auxiliary Devices
- Specifications for Approval of Type of Electricity Meters, Instrument Transformers and Auxiliary Devices
- Standard Drawing for Electricity Metering Installations
- Statistical Sampling Plans for the Verification and Reverification of Electricity and Gas Meters
- Strategic Technologies Program — Biotechnology Component: Program Manual
- Tax Rebate Discounting Act — Procedures Manual for Discounters
- Trade Mark Information Manual provided by the TMO
- Trade Marks Examination Manual
- Trade-marks Examination Wares and Services Manual
- Trustee Licensing Policy
- Tuning In — Understanding Broadcast Interference; technical data on Broadcast Stations available from the Broadcast Data Subscription Service

Industry Canada
235 Queen Street
3rd Floor West
Ottawa, Ontario
K1A 0H5
(613) 954-2792

Media Enquiries:
(613) 943-2506

Communications Branch

Requests for news releases, speeches and general information on departmental policies, programs and activities, including publications, annual reports, and audio-visual materials, should be directed to:

Communications Branch
Industry Canada
2nd Floor West
235 Queen Street
Ottawa, Ontario
K1A 0H5
(613) 941-0222

Outside the National Capital Region, additional information can be obtained through the provincial offices.

Halifax, Nova Scotia (serving New Brunswick, Prince Edward Island and Newfoundland)
(902) 426-3458

Montreal, Quebec
(514) 496-2051

Toronto, Ontario
(416) 973-5000

Vancouver, British Columbia (serving Yukon)
(604) 666-5000

Winnipeg, Manitoba (serving Alberta, Saskatchewan and North West Territories)
(204) 983-5851

Reading Room

The Department of Industry Canada has designated certain areas as public reading rooms under the Access to Information Act. Their addresses are:

British Columbia (serving Yukon)
2000 — 300 West Georgia Street
Vancouver, British Columbia
V6B 6E1

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

The departmental library is the research centre of the Department. The library contains a wide variety of publications and reference works. These include books, periodicals, pamphlets, government documents, and items on microfilm or microfiche. The subject matter covered ranges from the fields of administration and management to those of trade and foreign relations. The library is open, for purposes of research to business people, members of the academic community at the graduate level or higher, and officials of Industry Canada and other federal government institutions. This facility is located at:

**Manitoba (serving Alberta, Saskatchewan,
and the North West Territories)**

400 St. Mary Avenue
Winnipeg, Manitoba
R3C 4K5

**Nova Scotia (serving New Brunswick,
Prince Edward Island and Newfoundland))**

1801 Hollis Street, 5th Floor
Halifax, Nova Scotia
B3J 2V9

Ontario

Library
4th Floor, 1 Front Street West
Toronto, Ontario
M5J 1A4

Quebec

Documentation Centre
5 Place Ville-Marie, Room 800
Montreal, Quebec
H3B 2G2

International Development Research Centre

Chapter 57

General Information

Background

The International Development Research Centre (IDRC) was established as a public corporation by the International Development Research Centre Act of 1970.

Responsibilities

IDRC gives priority to supporting research projects whose overall goals involve meeting the challenges of sustainable and equitable development. IDRC's research activities are divided into five multidisciplinary themes: Biodiversity; Food Systems Under Stress; Information and Communication; Technology, Environment, and Society; and, Integrating Environmental, Social, and Economic Policies. The IDRC is financed by the Parliament of Canada; its policies, however, are set by an international Board of Governors.

Legislation

- General Bylaw of the IDRC
- International Development Research Centre Act, 1970

Organization

The IDRC's headquarters are in Ottawa where it has an international staff. Regional offices in Asia (Singapore and New Delhi), East Africa (Nairobi), West Africa (Dakar), South Africa (Johannesburg), Latin America and the Caribbean (Montevideo) and the Middle East (Cairo) link Ottawa with the researchers and policy makers of the developing countries, encourage and assist the development of research proposals, and monitor ongoing projects within their regions.

The IDRC's 21-member Board of Governors is appointed by the Canadian government. Under the International Development Research Centre Act, the chairperson, vice-chairperson and nine other governors must be Canadian citizens; the other ten are non-Canadians.

The president of the Centre holds the rank of deputy minister and is responsible to Parliament through the Minister designated for that purpose by the Governor in Council (currently the Minister of Foreign Affairs). A management committee composed of officers appointed by the Board of Governors assists the president in the Centre's operations.

♦ Corporate Services Branch (CSB)

This Branch supports research on cross-cutting development issues such as gender and development, and evaluation. It also provides non-administrative program services of Centre-wide application, such as

public information, scientific and development research information, training and awards, and initiatives to involve Canadian institutions, individuals, and communities in the Centre's work.

Information Holdings

Program Records

1.01 Program Initiatives within the Biodiversity Theme

Description: Information on research and development of sustainable use of natural resources by local communities.

Topics: Sustainable use of biodiversity, community participation, ecosystem management, environmental management strategies, networking and dissemination of information. **Program Record Number:** IDR PB 005

1.02 Program Initiatives within the Food Systems Under Stress Theme

Description: Information on research and development of sustainable food production systems. **Topics:** Partnerships between Northern and developing country food sectors, environmental management, desertification, community participation, urban agriculture. **Program Record Number:** IDR PB 010

1.03 Program Initiatives within the Information and Communication Theme

Description: Information on research and development of information and communications systems, networks, products, and technologies. **Topics:** Information and communication technologies, information and communication policies and infrastructure, information sharing, capacity building. **Program Record Number:** IDR PB 015

1.04 Program Initiatives within the Technology, Environment and Society Theme

Description: Information on research and development of economically viable and environmentally sustainable appropriate technologies. **Topics:** Industrial technology research, technology entrepreneurship, ecosystem sustainability, environmental assessment, health impact assessment. **Program Record Number:** IDR PB 020

1.05 Program Initiatives within the Integrating Environmental, Social and Economic Policies Theme

Description: Information on research and development of policy tools and policy-making processes to provide a better understanding of the relationship between social, economic, and environmental policies. **Topics:** Impact of trade patterns on employment, income distribution and the environment, policy analysis, decision making,

capacity building, assessment of social policy reforms, assessment of health policies, environmental management. **Program Record Number:** IDR PB 025

2.01 African Technology Policy Studies Network (ATPS)

Description: Information on improving the quality of decision-making and strengthening the institutional capacity for management of technological development. **Topics:** Economic and social development, capacity building, science and technology, decision-making. **Program Record Number:** IDR PB 030

2.02 Bellanet Initiative

Description: Information on improving the performance of international donors and the development community by exploiting computer-mediated communications technology. **Topics:** Information sharing, program planning, program delivery, and evaluation. **Program Record Number:** IDR PB 035

2.03 Environmental Economics Program for Southeast Asia (EEPSA)

Description: Information on the development and support of capacity building for conducting research on the economics of environmental management. **Topics:** Capacity building, environmental economics, environmental management. **Program Record Number:** IDR PB 040

2.04 Essential Health Interventions Project (EHIP)

Description: Information on health research and development designed to support the planning and delivery of essential health services at the district level. **Topics:** Disease analysis, cost-effectiveness of selected interventions as tools for setting priorities and allocating health resources. **Program Record Number:** IDR PB 045

2.05 International Model Forest Network

Description: Information on the sustainable and equitable use of forests. **Topics:** International network, model forests, and sustainable forest management. **Program Record Number:** IDR PB 050

2.06 International Network for Bamboo and Rattan (INBAR)

Description: Information on non-timber forest products research. **Topics:** International network, bamboo and rattan, and information dissemination. **Program Record Number:** IDR PB 055

2.07 International Tobacco Initiative

Description: Information on tobacco production and consumption. **Topics:** Tobacco consumption and production, information sharing, sustainable development. **Program Record Number:** IDR PB 060

2.08 Iwokrama International Rainforest Programme

Description: Information on the research and development of methods and techniques for the sustainable management and utilization of tropical forest resources and the conservation of biological biodiversity.

Topics: Tropical forests, sustainable management and utilization of resources, environmental conservation, biodiversity, information and communication. **Program Record Number:** IDR PB 065

2.09 Micronutrient Initiative (MI)

Description: Information on controlling and eliminating micronutrient malnutrition. **Topics:** Micronutrient malnutrition, iodine deficiency, vitamin A deficiency, and iron deficiency anemia in women. **Program Record Number:** IDR PB 070

2.10 Strategy for International Fisheries Research (SIFR)

Description: Information on the management of aquatic resources. **Topics:** Fisheries research, and capacity building. **Program Record Number:** IDR PB 075

External Databases

Description: Via IDRC's Development Databases Service online and WWW access to the following databases is available: IDRIS (projects of agencies participating in the Inter-Agency Development Research Information System); IMAGES (slides related to IDRC projects); and BIBLIOL (Records of Library holdings). **Topics:** research and development, sustainable development **Program Record Number:** IDR CSB 005

Internal Databases

Description: IDRC maintains a number of databases related to the work of the Centre in supporting research activities to benefit developing countries. **Topics:** RADIUS (IDRC's research activity management information system); IDB (institutions related to the work of IDRC); HIFI (finances); TRIPS (travel); TAPS (purchasing); EVIS (evaluations); PCR (project completion reports); BIBLIO (records of Library holdings). **Program Record Number:** IDR CSB 010

Personal Information Banks

Expert Inventory — Programs Branch

Description: This bank contains a record of individuals (Canadian and non-Canadian) who responded to an invitation from the Programs Branch of IDRC to be included in the database, and persons who have indicated an interest in working with the Centre and whom at least one IDRC staff member has recommended for inclusion in the database. Computer records include the names of individuals, occupational and professional background, specialization descriptors, language skills. The files include the curriculum vitae of individuals and related correspondence, arranged by name in alphabetical order. **Class of Individuals:** This bank contains a record of individuals (Canadian and non-Canadian) who have working experience in developing countries, expertise in international development issues, or interest in working in the field of development communication and information. **Purpose:** The database is used as an inventory of potential consultants and prospective employees for the

IDRC. The database is also used as an index to original sources (e.g. curriculum vitae). **Consistent Uses:** To perform searches for names of possible consultants or prospective employees. **Retention and Disposal Standards:** The records are retained for three years from date of last contact/update, after which the file is destroyed and the computer record is deleted. **TBS Registration:** 003012 **Bank Number:** IDR PPU 010

Spectrum — Corporate Services Branch

Description: Spectrum is a bilingual database that provides a listing of IDRC program staff and a catalogue of their respective expertise which can be searched by keywords. The information is organized under expertise and general interests. The database also contains personal information such as, academic qualifications, citizenship, and languages spoken, that is kept confidential. **Class of Individuals:** This bank contains a record of IDRC program staff and their respective expertise. **Purpose:** Originally envisaged as an information source to be used by staff in the Public Affairs Unit to provide media with contacts and experts for specific enquiries, Spectrum can also be used by Centre staff to locate and utilize expertise in the formation, management and evaluation of projects.

Consistent Uses: To perform searches in order to identify employees with specific expertise. **Retention and Disposal Standards:** The database is designed to be updated on an ongoing basis with records being retained for a minimum retention of a least 2 years after the last administrative use. **TBS Registration:** 003941 **Bank Number:** IDR PPU 015

Training and Awards — Corporate Services Branch

Description: This data bank contains a record of all individuals (Canadian and non-Canadian) who have been granted an award or received support for training from the International Development Research Centre. The files include the names of applicants, personal information, occupational and professional background, proposed program of study, third-party appraisals, committee assessments and career intentions. Limited information about family members, when needed for the administration of awards, may also be contained in the files. Data is kept both in paper files and in an electronic database called CENTRA (Centre Training and Research Awards database). **Class of Individuals:** Canadian and non-Canadian

students, researchers, and professionals having an interest in pursuing research or related work in the field of international development. **Purpose:** The information is compiled to evaluate the merits of applicants who have requested funding, and to facilitate administration of funding granted to successful applicants. **Consistent Uses:** These files are used to monitor progress; maintain funding schedule; record final report; and prepare final evaluation of results. **Retention and Disposal Standards:** Subject to transfer to the National Archives of Canada six years after closure. Electronic data are retained indefinitely for reference purposes. **PAC Number:** 83-002 **TBS Registration:** 001151 **Bank Number:** IDR PPU 005

Manuals

- Authorizations Manual
- Country Procedures Manual
- Management Policy Manual

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

For general information, please contact:

Barbara Davidson
Public Information Program
International Development Research Centre
P.O. Box 8500
Ottawa, Ontario
K1G 3H9
(613) 236-6163, ext. 2460
Telex: 053-3753
Fax: (613) 238-7230

Reading Room

In accordance with the Access to Information Act, the Centre's Library provides a public reading room. The library is located at:

9th Floor
250 Albert Street
Ottawa, Ontario

Laurentian Pilotage Authority Canada

Chapter 58

General Information

Background

As a result of recommendations made by a Royal Commission on Pilotage in Canada, the Pilotage Act was assented to by Her Majesty and the Governor-in-Council and proclaimed in force February 1, 1972.

The Pilotage Act created four pilotage regions with specific authorities, thereby replacing a large number of local pilotage districts. The four Pilotage Authorities — Atlantic, Laurentian, Great Lakes and Pacific — are Crown corporations, responsible to Parliament through the Minister of Transport.

The Laurentian Pilotage Authority, a Crown corporation, was established on June 30, 1971, and became effective as of February 1, 1972, governed by the Pilotage Act and Regulations. For the purpose of the Financial Administration Act, the Authority is a corporation specified in Schedule III, Part I of that Act.

Responsibilities

The objects of the Authority are to establish, operate, maintain and administer, in the interest of safety, an efficient pilotage service within the region.

The Chairman is the Authority's chief executive officer. The board is composed of a maximum of seven members, appointed by the Governor in Council. The head office is located in Montreal.

Legislation

- Pilotage Act and Regulations, RSC, 1985, c. P-14

Organization

♦ Administration Branch

This Branch attends board meetings, keeps minutes and takes care of files and records.

♦ Board of Directors

The Authority's board of directors is appointed by the Governor in Council, to a maximum of seven members. The chairman is the chief executive officer.

♦ Operations Branch

This Branch provides pilotage services by assignment, and dispatches qualified pilots to ships navigating within the Authority's region.

Information Holdings

Program Records

Pilotage Services

Description: Information on the provision of pilotage services within the Laurentian region. **Topics:** Dispatching records; pilotage licences; assignments; tariff charges; accident reports; collective agreements; international shipping affairs. **Program Record Number:** LPA OPR 005

Tariffs

Description: Information on the setting of tariffs for the provision of pilotage services; also conduct and results of negotiations concerning the setting of tariffs in various districts throughout the region, as well as results of National Transportation Agency hearings. **Program Record Number:** LPA OPR 010

Personal Information Banks

Application for Employment File

Description: this bank contains completed application forms, letters and curricula vitae of persons interested in working for the Authority. **Class of Individuals:** general public. **Purpose:** this is to maintain a record of information relating to applications for employment received from the general public. **Retention and Disposal Standards:** the retention period for this bank is two (2) years and after is destroyed. **TBS Registration:** 003685 **Bank Number:** LPA PPU 025

Board Members

Description: Section three of the Pilotage Act establishes the Authority and defines the composition of its membership. Information in this bank includes orders-in-council appointing them to the board, correspondence and travel expense claims. **Class of Individuals:** Members of the public, pilots and representatives of the shipping industry. **Purpose:** this bank is to maintain a record of information relating the members. **Retention and Disposal Standards:** files are retained for five (5) years after the none renewal of the orders- in-council appointing and are transferred to the National Archives of Canada. **TBS Registration:** 003686 **Bank Number:** LPA PPU 027

Marine incidents

Description: this file contains marine incidents reports and investigations and the name of persons involved in an incident. **Class of Individuals:** general public. **Purpose:** this bank is to maintain a record of information relating to marine incidents and investigations **Retention and Disposal Standards:** the marine incidents files are

retained five (5) years and then are sent to the National Archives. **TBS Registration:** 003688 **Bank Number:** LPA PPU 035

Register of Pilots and apprentice pilots

Description: This bank contains information on physical characteristics, licences, certificates and marine incidents of pilots and apprentice pilots bound by contract with the Authority and are obtained under the Pilotage Act. **Class of Individuals:** general public. **Purpose:** this bank retains a register of certificates and qualifications required under the Pilotage Act. **Retention and Disposal Standards:** this bank are retained in our office two (2) years from the date which the pilots or apprentice pilots cease to be employed and then are sent to the National Archives.

TBS Registration: 003687 **Bank Number:** LPA PPU 030

Classes of Personal Information

In the course of conducting the programs and activities of the Authority, categories of personal information may be accumulated which are not contained in the specific personal information banks previously described in this entry. Such personal information includes identification cards, staffing, training, grievances, official languages, disciplinary measures, occupational safety and health, parking and travelling expenses. This information is store as part of the general subject files, the records of which are not normally retrievable by name of individual or other personal identifier. Specifics must be provided concerning the subject matter, related program activity, as well as the date on which the information was received by the Authority and to whom it was addressed.

The personal information in these subject files is retained for the same period of time as the related subject information and is disposed of according to the appropriate subject records schedules.

Manuals

- Accident Reports and Investigations
- Collective Agreements
- Dispatching Procedures
- Pilot Licence Register
- Service Contracts
- Working Rules

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Authority and its various programs and functions may be directed to:

Laurentian Pilotage Authority
715 Victoria Square, 6th floor
C.P. 680, Tour de la Bourse
Montreal, Quebec
H4Z 1J9

(514) 283-6320

Reading Room

The Board Room of the Authority has been designated under the Access to Information Act as a public reading room. The address is:

715 Victoria Square, 6th floor
Montreal, Quebec.

Medical Research Council of Canada

Chapter 59

General Information

Background

The Medical Research Council of Canada is a corporation established by the Medical Research Council Act. Its objective as stated in the Act is to help attain the quality and scale of research in the health sciences essential to the maintenance and improvement of health services. To this end the Council has established a number of types of grants (to support research), awards (to support individuals) and special programs for which individuals may make applications for consideration in peer-reviewed competitions. The personal information in the banks in this chapter consists mainly of assessments of applications obtained by the Council in the peer review process. For grants and special programs, access to this personal information is automatic, that is, copies of all review reports are sent to the applicant along with notification of the Council's decision. For awards, access is available on request, either informally, by letter, or by submission of the Privacy Access Request Form to the Privacy Coordinator.

Responsibilities

According to the Medical Research Council Act, the Council's mandate is to promote and support health sciences research in Canada by funding research carried out primarily in faculties of medicine, dentistry, and pharmacy, as well as in affiliated institutions and hospitals. The Medical Research Council is accountable to Parliament through the Minister of Health Canada.

Legislation

- Medical Research Council Act, R.S.C., c. M-9 amended 1976-77, c. 24, 34
- Queen Elizabeth II Canadian Research Fund Act, R.S.C. 1970, c. Q-1

Organization

Executive Committee

The Executive Committee comprises seven Council members including the president and the vice-president. It carries out executive powers and functions under delegation from Council. There are usually five meetings each year. The Council also has standing committees to assist in formulating policies and procedures for priorities and planning, research and personnel funding, public affairs, and ethics in experimentation. Recommendations on grants and awards to be funded are made following an extensive examination of applications through a process of peer review. This peer review process consists of 24

grant and 12 award committees with a total membership of over 340 working scientists drawn chiefly from universities.

Secretariat

The secretariat, which has the general responsibility for the administration of the Program, is under the direction of the President and includes the following areas:

♦ Business Development

responsible for creating and maintaining appropriate partnerships.

♦ Communications and Information Branch

responsible for promoting the nature, scope and significance of health science research in Canada. Activities also include informatics.

♦ Finance and Administration

responsible for the provision of financial and administrative services.

♦ Innovation Teams

responsible for the establishment of teams to carry out selected new activities of the strategic plan.

♦ Office of the President

including the Executive Director, the Secretary to Council and the Health Research Development function. The office is also responsible for policy, planning and evaluation.

♦ Programs

responsible of the delivery of programs approved by the Council and the administration of the peer review process.

♦ Regional Directors

responsible for marketing and promoting MRC and health sciences research in the regions, assisting and supporting Council members in their respective regions, offering scientific judgement and advice to the Council and President and facilitating the development of research projects and technology transfer between industry and academic researchers. These positions are not staffed by public servants. Individuals, usually established medical scientists, are appointed by the President after appropriate consultation.

Information Holdings

Program Records

Career Investigators

Description: Originally called the Associateship Program, the Career Investigators program was established in 1956 as a means of providing funds for salaries of a limited number of individuals of outstanding ability and training who made research a full-time career. No new appointments are being made by the Council. Career investigators appointed in 1975 and earlier will continue in their appointment, subject to the terms and conditions under which they accepted the award. **Topics:** Individual's career investigator application; committee decision; administration of the award; general correspondence. **Access:** Information arranged by name of applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 055

Centennial Fellowships

Description: Fellowships designed for outstanding young persons of distinguished academic standing who hold an M.D., D.D.S., D.V.M., Pharm. D., or Ph.D. degree and who wish to broaden their fields of interest and thus equip themselves for independent work in clinical investigation and interdisciplinary research in the health sciences. Awards are made for one to three years; no more than ten new awards are made in any year. **Topics:** Individual's application; committee decision; administration of the award; general correspondence. **Access:** Information arranged by name of applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 070

Clinical Trials

Description: Council will consider the funding of clinical trials which assess, in a rigorous manner the efficacy of diagnostic and therapeutic procedures, as well as workshops or meetings of the prospective participants. Council will not provide support for the sole purpose of satisfying regulatory requirements. **Topics:** Applications; reviews by external referees; committee assessment; notification and administration of grants; general correspondence. **Access:** Information arranged by name of applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 160

Clinician-Scientist

Description: The Clinician-Scientist Award is offered to highly qualified and motivated clinicians who have been identified by a Canadian medical school as having strong potential to become clinician-scientists. At the time of application, candidates will have recently completed their specialty clinical training (or will shortly do so). They should be undertaking, or intend to undertake, research training to be followed by a career as a clinician-scientist. **Topics:** Applications; committee assessment; notification

and administration of the award; general correspondence. **Access:** Information arranged by name of applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 165

Equipment Grants

Description: Applications for Equipment Grants will be considered in relation to the proposed science of the project for which the equipment is required. Where equipment is required for a project for which an application is being submitted for an operating grant, the equipment should be included in it. A separate equipment application is required only in a year where an application for operating funds has not been made. **Topics:** Applications; reviews by external referees; committee assessment; notification and administration of grants; general correspondence. **Access:** Information arranged by name of applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 020

Farquharson Research Scholarships

Description: Scholarships made available to undergraduates in medicine, dentistry, and pharmacy who wish to gain research experience in a health science department during the summer months. Funds are provided to the dean of each faculty of medicine, dentistry, and pharmacy in accordance with a formula based on undergraduate enrollment. Recipients of the awards are selected locally. **Topics:** Deans' reports; general correspondence. **Access:** Information arranged by name of applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 085

Fellowships

Description: Fellowships offered in competition to highly-qualified candidates with an M.D., D.D.S., D.V.M., Pharm. D., or Ph.D. degree who wish to take full-time research training in the health sciences. Awards are tenable for a specific term to a maximum of three years and may be renewed under certain conditions. **Topics:** Individual's application; committee decision; administration of the award; general correspondence. **Access:** Information arranged by name of applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 075

Fields of Research

Description: Information on areas peripheral to medical research for which the Council has some responsibility. **Topics:** Research in the fields of dentistry; schools of pharmacy; psychology; occupational health and safety; gerontology; surgery and veterinary medicine; space research; mental research; general correspondence. **Access:** File arranged by subject. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 115

Fund for Research in the Fields of Dyskinesia and Torticollis

Description: The Medical Research Council has responsibility for administering a fund for research in the fields of dyskinesia and torticollis. The fund was established by an anonymous donor and the income derived from it is to be used for the support of research, either basic or clinical or both, in the broad field of movement disorders known as dyskinesia and more particularly for research in the movement disorder known as torticollis. **Topics:** Individual's application; committee decision; administration of the grant; general correspondence. **Access:** Information arranged by name of applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 111

General Research Grants

Description: Grants made each year to the dean of each school of medicine, dentistry, and pharmacy, to be used for the support of research at the discretion of the dean, except for the stipulation that they not be used for the payment or supplementation of salaries of academic personnel, construction costs or overhead expenses. **Topics:** Notification of the grant to the dean; financial statement; general correspondence. **Access:** Information arranged by university. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 045

International Scientific Exchange

Description: Council participates in a number of exchange programs each with a separate agreement, which are intended to foster collaboration between scientists in Canada and those in Argentina, Brazil, the People's Republic of China, France and Italy. **Topics:** Applications; reviews by external referees; committee assessment; notification and administration of grants; general correspondence. **Access:** Information arranged by name of applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 185

Maintenance Grants

Description: The costs of maintaining instruments that are not shared facilities should be included as part of an application for operating funds. Council will consider applications for the maintenance of equipment used by a single investigator in a year when an application for operating funds is not being submitted. **Topics:** Applications; reviews by external referees; committee assessment; notification and administration of grants; general correspondence. **Access:** Information arranged by name of applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 025

Maintenance Grants for Multi-User Equipment

Description: Council will consider applications for Maintenance Grants to cover the costs of maintaining

facilities which are shared by groups of researchers within a department, faculty, university or region. The number of investigators is unlimited and it must be clear that multiple research programs will benefit from the maintenance requested. Facilities such as electron microscopes, analytical ultracentrifuges and gas-liquid chromatography/mass spectrometer systems that are operated under the general supervision of the applicant (but not necessarily requiring the active collaboration of the applicant in the various projects for which the facility is to be used), are appropriate for Maintenance Grants for Multi-User Equipment. **Topics:** Applications; reviews by external referees; committee assessment; notification and administration of grant; general correspondence. **Access:** Information arranged by name of applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 175

Medical Research Council Groups

Description: Medical Research Council groups provide full support for teams of accomplished investigators who wish to develop collaborative programs in what appear to be especially productive areas. The members of a group are expected to devote their entire research effort to the program of the group and to be freed of the ordinary responsibilities of a faculty member. **Topics:** Application of group director and colleagues; executive and Council decisions; administration of the group; general correspondence. **Access:** Information arranged by name of applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 030

Medical Research Council Scientists

Description: This program provides salary support for independent investigators of outstanding ability who have shown promise of becoming leaders in their respective fields. **Topics:** Individual's application; committee decision; administration of the award; general correspondence. **Access:** Information arranged by name of applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 060

Michael Smith Award for Excellence

Description: In 1993, Dr. Michael Smith shared the Nobel prize for chemistry for discovering and developing a crucial technique, known as site-directed mutagenesis, used in genetic engineering. His discovery enabled researchers to gain enormous insights into the structure and function of genes and proteins. To honour Dr. Smith, the Medical Research Council of Canada has established the Michael Smith Award for Excellence. **Topics:** Individual's application; committee decision; administration of the award; general correspondence. **Access:** Information arranged by name of applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 245

MRC Distinguished Scientists

Description: This program is designed to contribute to the salary of highly renowned scientists who are at the forefront of health research and among the world leaders in their field. **Topics:** Individual's application; committee decision; administration of the award; general correspondence. **Access:** Information arranged by name of applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 260

MRC Senior Scientists

Description: This program is designed to contribute to the salary of scientists of exceptional merit who are leaders in their field. **Topics:** Individual's application; committee decision; administration of the award; general correspondence. **Access:** Information arranged by name of applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 255

Multi-User Equipment Grants

Description: The Council will consider applications for multi-user equipment grants to augment the research capabilities for a large number of funded investigators within an institution or region. The number of investigators is unlimited and it must be clear that multiple research programs will benefit from the equipment requested. Examples are electron microscope facilities, imaging, spectroscopy, DNA/protein sequence-synthesis capabilities. **Topics:** Applications; reviews by external referees; committee assessment; notification and administration of grants; general correspondence. **Access:** Information arranged by name of applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 180

Operating Grants

Description: Information about operating grants to provide support for new or continuing research activities by individuals or small groups of investigators working in collaboration. **Topics:** Applications; reviews by external referees; committee assessment; notification and administration of grants; general correspondence. **Access:** Information arranged by name of applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 010

Partnerships

Description: Information related to MRC's Partnership Research Program which aim to increase the number of grants, awards for training and other forms of salary support. **Topics:** University-Industry Programs, Joint Programs with private, Government or non-profit Organizations, MRC/PMAC Health Program, Ciba-Geigy/MRC Fellowships, Pharmaceutical Manufacturers, Association of Canada-Health Research Foundation (PMAC-HRF)/MRC Programs, Canadian Medical Discoveries Fund Inc., Canadian Anaesthetists' Society/MRC Fellowships, The Arthritis Society/MRC

Fellowships, Canadian Hypertension Society/MRC Fellowships, Easter Seal Research Institute/MRC Fellowships, Muscular Dystrophy Association of Canada/MRC Fellowships, Schizophrenia Society of Canada (SSC)/MRC Fellowships, Canadian Lung Association/MRC Fellowships and Scholarships, Cutter/Canadian Red Cross Society (CRCS)/MRC Scholar Program, Cancer Research Society Inc./MRC Scholarships, British Columbia Lung Association/MRC Scholarships and Scientist Awards, Janssen Pharmaceutica Inc./Canadian Psychiatric Association (CPA)/MRC Clinician Scientist, NHRDP/MRC Collaborative Initiative on AIDS Research, Canadian Breast Cancer Initiative, Canadian Genome Analysis and Technology (CGAT) Program, Medical Research Council (MRC)/Juvenile Diabetes Foundation International (JDFI), Diabetes Research Network Program, Networks of Centres of Excellence, and The International Human Frontier Science Program. **Access:** Information arranged by name of applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 240

Research Survey

Description: Information on surveys established by the Council to gather general statistical data on selected aspects of the research community for use by the Council in determining the research requirements of the health science community across Canada. **Topics:** Graduate students; medical research support; research personnel; research trainees; research costs; health science research funding; academic degrees; fellowships and scholarships from other agencies; tropical and infectious diseases. **Access:** File arranged by subject. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 120

Scholarships

Description: Scholarships provide salary support, for a period not exceeding five years, to a limited number of young health science investigators who show particular promise as independent researchers. **Topics:** Individual's application; committee decision; administration of the award; general correspondence. **Access:** Information arranged by name of applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 065

Special Projects

Description: Council will consider projects in those subject areas which do not fall within the terms of reference of the Operating Grants Program. A preliminary proposal, in the form of a letter, should be made, providing the title of the project, a clear description of its objectives, the name(s) of the applicant(s), the amount requested and the anticipated duration of support required. **Topics:** Applications; reviews by external referees; committee assessment; notification and administration of grants; general correspondence. **Access:** Information arranged by name of applicant.

Storage Medium: Computerized database and paper case files. **Program Record Number:** MRC MRC 195

Studentships

Description: Studentships are offered to graduate students who are undertaking full-time health research training leading to a Master's or PhD degree (or the equivalent). Applications are made by the proposed supervisor. **Topics:** Individual's application; committee decision; administration of the award; general correspondence. **Access:** Information arranged by name of applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 080

The Michael Smith Awards for Research Personnel

Description: In 1993, Dr. Michael Smith was honoured with the Nobel Prize for Chemistry for his discovery and development of a crucial technique, known as site-directed mutagenesis, used in genetic engineering. His discovery enabled researchers to gain enormous insights into the structure and function of genes and proteins. Throughout his career, Dr. Smith strongly encouraged young people to pursue science as a career, and he has used his prize to promote science, encourage women to pursue interests in science and support research on severe mental illnesses, especially schizophrenia. In honouring Dr. Smith's scientific accomplishments and his lifelong promotion of science, the Council offers scholarship, Fellowship and Studentship awards in his name. These awards are offered to outstanding individuals whose research focus is the molecular genetics of the central nervous system with application to severe mental illnesses, especially schizophrenia. **Topics:** Individual's application; committee decision; administration of the award; general correspondence. **Access:** Information arranged by name of applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 250

Travel Grants

Description: Limited funds for travel grants are available to Canadian health scientists who wish to spend short periods of time (maximum length of stay is 30 days) in a specific laboratory for the purpose of furthering their research. **Topics:** Applications in form of a letter; committee decision; administration of the grant; general correspondence. **Access:** Information arranged by name of applicant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** MRC MRC 113

Workshops and Symposia

Description: As part of its program for research in the health sciences, the Council is prepared to support a limited number of applications for the support of workshops and certain types of symposia held in Canada. **Topics:** Individual's application; committee decision; administration of the grant; general correspondence. **Access:** Information arranged by name of applicant.

Storage Medium: Computerized database and paper case files. **Program Record Number:** MRC MRC 112

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Budgets

Buildings and Properties

Classification of Positions

Co-operation and Liaison

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Occupational Health, Safety and Welfare

Office Appliances

Official Languages

Pensions and Insurance

Personnel

Procurement

Salaries and Wages

Staff Relations

Training and Development

Utilities

Personal Information Banks

Access Request Data Bank

Description: This bank contains access requests submitted to the Council under the Access to Information Act and the Privacy Act. It also contains replies to such requests and information related to their processing. **Class of Individuals:** Applicants under the Access to Information Act and the Privacy Act. **Purpose:** This information is used for the administration of the above Acts. It is used for processing access requests only, and to report on the number of access requests received annually. **Retention and Disposal Standards:** Files are maintained for two years following the last administrative action. **PAC Number:** 78-001 **TBS Registration:** 001610 **Bank Number:** MRC PPU 035

Applicants' History Sheets and Notification of Award

Description: This bank contains Applicants' History Sheets for individuals, which are kept in the Programs Branch. These sheets, which are cumulative over the life of the program, are identified by the applicant's name and file number. They also contain information such as the title of the grant application, amount requested and funded or rejected. The Finance Section also keeps copies of notifications of awards, which contain the applicant's name, address, university, grant number and amount awarded. This information relates to investigators holding an academic appointment in a Canadian Health Science Faculty, and Research Trainees. This information is used to maintain a history of the Council's financial support to individuals. Consistent uses are to provide historical data which assist the peer review process. **Class of Individuals:** Investigators holding an academic appointment in a Canadian School of medicine, dentistry, veterinary medicine, nursing, optometry, or pharmacy. **Purpose:** This bank is used to identify applicants and to constitute a history of Council support to individuals and organizations. **Retention and Disposal Standards:** the bank is updated annually. **TBS Registration:** 003368 **Bank Number:** MRC PPU 045

Application for Employment File

Description: The purpose of this bank is to maintain a record of information relating to applications for employment received from the general public. This bank contains completed application forms, letters and curricula vitae of persons interested in working for the Council. Access to this bank will require name, address and date of birth. **Class of Individuals:** General public. **Purpose:** It is used to select candidates for the Medical Research Council of Canada when vacancies arise. **Retention and Disposal Standards:** Records are retained for two years and then destroyed. **PAC Number:** 79-006 **TBS Registration:** 000669 **Bank Number:** MRC PPU 030

Awards Applicants and Committee Records

Description: This bank contains records of individual applications for funding under one of several personnel support programs sponsored by the Council. It contains applications, third-party appraisals, committee assessments, training, travel and financial administration details on the support of successful applicants. Individuals identified are those applicants meeting the criteria for each program as amended from time to time and published in the Medical Research Council Grants and Awards Guide. **Class of Individuals:** Research trainees with BSC, MD, DDS, DVM, MSC, PhD, and D. Pharmacy professors holding an appointment in university or affiliated institutions. **Purpose:** Information in this data bank is used to assess the education and other requirements necessary to meet the criteria of the applicable program, and to evaluate the merits of the application for funding. **Consistent Uses:** Information in the bank provides the basis for planning and budgeting of health sciences research activities by the Council, and is useful in the monitoring of the peer review system. Information is shared with the Natural

Sciences and Engineering Research Council (SER PPU 015) and the Social Sciences and Humanities Research Council (SHR PPU 010). The social insurance number is required from the recipients of certain awards to comply with the Income Tax Act. The results of competitions are forwarded to university research administration officers and Dean's Offices who are responsible for processing application from their institutions before sending them to Council, for administering the grants and for informing the candidates of the outcome of their applications. **Retention and Disposal Standards:** Files in this bank are retained for eight years: active two years after termination or rejection of award and dormant six years. They are then transferred to the National Archives of Canada for selective retention. **PAC Number:** 79-006 **Related to PR#:** MRC MRC 055, 060, 065, 070, 075, 080, 085, 100, 105, 110, 145, 150, 155, 165, 250, 255, 260 **TBS Registration:** 000526 **Bank Number:** MRC PPU 010

Grants Applicants and Committee Records

Description: The purpose of this bank is to maintain a record of the applications and assessment records of health scientists applying for funding of research projects by the Council. It contains the relevant Medical Research Council application form and supporting data presented by the applicant, referee and committee assessments of the project, the ranking awarded, the decision of Council, and if successful, the financial and administrative data pertaining to its support. Individuals identified are those making project proposals to Council. **Class of Individuals:** Investigators holding an academic appointment in a Canadian School of medicine, dentistry, veterinary medicine, nursing, optometry, or pharmacy. **Purpose:** Information in the bank is evaluated to assess the relative merit of the proposal for the purpose of funding or not funding the project. **Consistent Uses:** Information in the bank provides the basis for planning and budgeting of health sciences research activities by the Council, and is useful in the monitoring of the peer review system. Information is shared with the Natural Sciences and Engineering Research Council (SER PPU 004) and the Social Sciences and Humanities Research Council (SHR PPU 015, 020). The results of competitions are forwarded to university research administration officers and Dean's Offices who are responsible for processing application from their institutions before sending them to Council, for administering the grants and for informing the candidates of the outcome of their applications. **Retention and Disposal Standards:** Files in this bank are retained for eight years: active two years after termination or rejection of grant and dormant six years. They are then transferred to the National Archives of Canada for selective retention. **PAC Number:** 79-006 **Related to PR#:** MRC MRC 010, 015, 020, 025, 030, 045, 160, 240, 245 **TBS Registration:** 000525 **Bank Number:** MRC PPU 005

Lists of External Referees

Description: This bank contains names, affiliation and mailing address of individuals, in Canada and abroad, who are asked by the Council to review proposals for

grants and awards. The information is used to select external referees for research proposals. Persons requesting access should provide field of research, name and affiliation. **Class of Individuals:** Members of the health science community in Canada and abroad.

Purpose: The information is used to select external referees for research proposals. **Retention and Disposal Standards:** The information is retained until superseded by updates or withdrawals of individuals. Withdrawn referee names are kept for two years (active) and six years dormant for reference purposes and then transferred to National Archives of Canada for selective retention. **PAC Number:** 79-006 **TBS Registration:** 000668 **Bank Number:** MRC PPU 025

Membership of Council and Committees

Description: This bank contains letters nominating individuals for service on Council and its committees, letters of invitation and thank you letters. The information is used to assist in the selection and appointment of members. The membership of Council and Committees is made public. Persons requesting access should provide name, affiliation, name of committee and date of membership. **Class of Individuals:** Members of the health science community, federal employees and members of the public. **Purpose:** The information is used to assist in the selection and appointment of members for the membership of Council and its Committees. **Retention and Disposal Standards:** Files in this bank are retained for eight years: active two years and dormant six years. They are then transferred to the National Archives of Canada for selective retention. **PAC Number:** 79-006 **TBS Registration:** 000528 **Bank Number:** MRC PPU 020

Partnerships Grants and Awards

Application and Assessment Records

Description: This bank contains supporting documentation of proposed projects and of individual applications for funding under one of the several Partnership programs sponsored by the Council. It contains applications, third-party assessments, committee assessments, site visit-reports, and training, travel and financial administration details on the support of successful applicants. Individuals identified are those applicants meeting the criteria for each program, as amended from time to time, and published in the Medical Research Council's Grants and Awards Guide. **Class of Individuals:** This information relates to investigators holding an academic appointment in a faculty of health science in a Canadian university, or employees of a company (the term "company" is understood to mean an organization, industry or consortium which produces health care products or services). **Purpose:** This information is used to evaluate the relative merits of the proposals (with the purpose of funding or not funding) and for observing the progress of those proposals that are funded. **Consistent Uses:** Medical Research Council also uses information in this bank to identify prospective referees and committee members, to evaluate its programs, and to produce statistics and planning information. Some data is shared between the Medical Research Council

and participants in this program. **Retention and Disposal Standards:** Files in this bank are retained for eight years: active two years after termination or rejection of special program and dormant six years. They are then transferred to the National Archives of Canada for selective retention. **Related to PR#:** MRC MRC 170, 240 **TBS Registration:** 003369 **Bank Number:** MRC PPU 040

Special Programs Application, Assessment Records and Other Programs

Description: The purpose of this file is to provide supporting documentation of proposed projects for funding by the Council. It contains applications, third-party assessments, and administrative correspondence in the funding of successful projects. Some files identify individuals of the health services scientific community making proposals to Council. **Class of Individuals:** Investigators holding an academic appointment in a Canadian School of medicine, dentistry, veterinary medicine, nursing, optometry, or pharmacy. **Purpose:** Information in the bank is used to evaluate the relative merits of the proposals with the purpose of funding or not funding and for observing the progress of those that are funded. **Consistent Uses:** Information in the bank provides the basis for planning and budgeting of health sciences research activities by the Council, and is useful in the monitoring of the peer review system. Information is shared with the Social Sciences and Humanities Research Council (SHR PPU 025) and Health and Welfare Canada (HWC PPU 105). The results of competitions are forwarded to university research administration officers and Dean's Offices who are responsible for processing application from their institutions before sending them to Council, for administering the grants and for informing the candidates of the outcome of their applications. **Retention and Disposal Standards:** Files in this bank are retained for eight years: active two years after termination or rejection of special program and dormant six years. They are then transferred to the National Archives of Canada for selective retention. **PAC Number:** 79-006 **Related to PR#:** MRC MRC 045, 111, 112, 113, 170 **TBS Registration:** 000527 **Bank Number:** MRC PPU 015

Classes of Personal Information

Administration

The general administrative and correspondence files of the Medical Research Council contain a certain amount of personal information not arranged or retrievable by personal identifiers. Such personal information includes suggestions and opinions from the general public which form part of a specific subject file. This form of personal information is normally retrievable only if specifics of name, date of communication and subject are provided. The retention periods for these classes of personal information are controlled by the record schedules of the general subject files in which they are stored.

Reports and Surveys

For purposes of program planning and evaluation, the Council requires a variety of reports concerning aspects of medical research in Canada. The reports themselves, published or unpublished, do not contain personal information about individuals. In the process of preparing such a report, personal information is necessarily obtained. This may include current academic position, professional qualifications of individuals, nature of research being undertaken by individuals and present and past funding of an individual's research. This information is not used for administrative purposes and is not arranged or retrievable by personal identifiers. This form of personal information is normally retrievable only if specifics are provided concerning the study or report involved. The retention periods for these classes of personal information are controlled by the record schedules of the general subject files in which they are stored.

Manuals

- Grants and Awards Guide
- Policies and Procedures — Finance and Administration

Requests for further information about the Council and its various programs and functions may be directed to:

Director of Communications and Information
Medical Research Council of Canada
Holland Cross, Tower B, 5th Floor
1600 Scott Street
Ottawa, Ontario
K1A 0W9

telephone: (613) 954-1812

fax: (613) 954-6653

Email: mrinfo@hpb.hwc.ca

Reading Room

In accordance with the Access to Information Act, an area on the premises of the Medical Research Council of Canada has been designated as a reading room. This reading room is located at the following address:

Holland Cross
Tower B, 5th floor
1600 Scott Street
Ottawa, Ontario

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

National Archives of Canada

Chapter 60

General Information

Background

The purpose of National Archives of Canada is the systematic preservation of government and private records of Canadian national significance, in order to facilitate not only the effective and efficient operation of the Government of Canada and historical research in all aspects of the Canadian experience, but also the protection of rights and the enhancement of a sense of national identity based on archives as the collective memory of the nation.

Responsibilities

The National Archives of Canada provides three basic services: collecting and preserving public (federal) and private historical records of national importance; preserving or disposing of the current records of government and providing assistance to the archival and records management communities. This broad mandate obliges the National Archives to preserve material both from the private sector and federal government institutions. The program records described in this publication, however, do not include material placed in the National Archives by persons or organizations other than government institutions. Access conditions for collections acquired from the private sector are normally established by negotiation between the donor and the National Archives of Canada.

Legislation

- National Archives of Canada Act, S.C. 1987, c. 1.

Organization

◆ Archives and Government Records Branch

The Branch is responsible for identifying, selecting, acquiring, arranging and describing private and government records of national significance, providing specialized reference services and facilitating the management of recorded information throughout the Government of Canada. The Branch consists of the Government Archives Division, the Visual and Sound Archives Division, the Manuscript Division, the Records Disposition Division, the Information Standards and Practices Division and Descriptive Standards Office.

◆ Archives Headquarters Accommodation Project

The Branch is responsible for ensuring that future accommodation needs of the National Archives are realized for both collections and individuals. There are

two offices in the Branch: the West Memorial Building Project and the Gatineau Building Project.

◆ Archives Preservation Branch

The Branch is responsible for the custody, preservation and treatment of the holdings of the National Archives in order to ensure the physical availability of records and/or their informational content. The Branch consists of the Custody of Holdings Division, the Treatment Division, the Moving Image, Data and Audio Conservation Division as well as the Preservation Copying Division.

◆ Client Services and Communications Branch

The Branch is responsible for providing access to the archival holdings and published collections of the National Archives of Canada, enhancing awareness of the institution and fostering support for its mandate and activities. The Branch consists of the Researcher Services Division, the Access to Information and Privacy Division, Communications and Public Programs Division and the Library and Documentation Services Division.

◆ Information Management Branch

This Branch is responsible for the provision, within the National Archives, of direction, assistance, advice and services in support of the use of information technology, of the development of new information technology systems, telecommunications and the management of operational and administrative information. The Branch consists of the Records Management Division, the Information Technology Division, the Systems Division and the Electronic Systems Projects Division.

◆ Management Services Branch

The Branch is responsible for providing, personnel, financial and administrative services to both the National Archives and National Library. It is also responsible for Federal Records Centres across Canada which provide economic, efficient storage and protection of information of the Government of Canada. The Branch consists of Personnel Services Division, Financial Services Division and Administrative Services Division which includes the Federal Records Centres.

◆ Office of the National Archivist

The National Archivist is ultimately responsible for all activities of the National Archives and also participates nationally and internationally in various committees, associations and councils. The Assistant National Archivist is responsible for the Policy Branch, the Archives Headquarters Accommodation Project as well as Departmental re-organization.

◆ Policy Branch

The Branch is responsible for supporting corporate decision-making and reporting through policy development and analysis, strategic and program planning, program evaluation, internal audits and the Executive Secretariat. The Branch consists of the Office of Policy and Projects, the Executive Secretariat, the Strategic and Program Planning Division as well as the Program Evaluation and Internal Audit Division.

Information Holdings

Program Records

◆ Archives and Government Records Branch

Acquisition and Control — Government Records

Description: Information on the acquisition of textual government records and their selection, organization and conservation as permanent historical records of the Government of Canada. Information on the appraisal and acquisition of private and federal government machine-readable data files of historical or long-term research value. **Topics:** Acquisitions (Government and private sectors); liaison (institutions and countries); microfilm submissions; records retention and disposal schedules submissions; negotiations and liaison with departments and agencies; transfer of records and accessioning procedures. **Program Record Number:** PAC FAD 015

Acquisitions and Accessions — Audio Visual

Description: Information on the acquisition of films, videotapes and sound recordings of historical value for preservation, cataloguing and storage. **Topics:** Cataloguing; preservation; evaluations, appraisals of collections; purchasing donations; negotiations. **Program Record Number:** PAC FTS 035

Acquisitions and Accessions —

Cartographic and Architectural

Description: Information on the acquisition and accession of cartographic and architectural records from government institutions and the private sector for preservation, cataloguing and storage. **Topics:** Evaluations; negotiations; agreements; auctions; offers of maps. **Access:** These files are arranged by subject, donor, or collection. **Program Record Number:** PAC NMC 055

Acquisitions and Accessions — Manuscripts

Description: Information on manuscripts and records acquired from the private sector to be organized, retained and preserved by the National Archives of Canada. **Topics:** Acquisitions (negotiations, some evaluations and agreements with collectors, the public and donors; also exchanges with archival institutions); contacts for acquisition purposes; transfers, organization and control of manuscript collections. **Access:** These files are

arranged by subject, donor, collection and institution.

Program Record Number: PAC MAD 070

Acquisitions, Research and Control — Documentary Art and Photography

Description: Information on the acquisition and accession of pictorial and iconographic records such as paintings, medals, drawings, posters and heraldry as well as photographic documents organized for preservation, cataloguing and storage. **Topics:** Evaluations, negotiations and agreements with photographers, members of the public and donors; biographies of historical and contemporary artists and photographers; inventories; research and acquisition projects; contacts in the private sector; accession (the nature, source and location of each collection); transfers, organization, storage and control of photographic records. **Access:** These files are arranged by subject, donor, collection, by individual accession and by name. **Program Record Number:** PAC NPC 100

Cartographic and Audio Visual Archives

Description: General information on the functions of this division. **Topics:** Access to material (restrictions); exchanges and agreements; loans; projects; microfilming submissions from federal government institutions; cataloguing; development and participation in the archives course and the seminar on cartographic archives and the provision of materials for exhibitions. **Program Record Number:** PAC FTS 030

Disposition of Information Holdings

Description: Information relating to the control and analysis of requests to dispose of government records. **Topics:** General; disposition; preservation of historical [archival] records; scheduling. **Program Record Number:** PAC GRC 175

Documentary Art and Photography

Description: General information on Documentary Art and Photography. **Topics:** Loans of pictures, transparencies and photographic documents; access to material; internal research projects; provision of material for exhibitions; exchanges and agreements; artist files by name. **Program Record Number:** PAC NPC 099

Evaluation of Information Management Programs

Description: Information relating to the evaluation of the effectiveness and efficiency of information management programs in conformity with National Archives legislation and Treasury Board policy. **Topics:** General; internal audit; evaluation. **Program Record Number:** PAC GRC 185

Government Archives

Description: Correspondence of a general nature related to Government Archives. **Topics:** Access to material; internal research and projects; EDP hardware (Equipment and machinery); EDP software (development or consideration of systems); studies, surveys and questionnaires; conservation of holdings. **Program Record Number:** PAC FAD 011

Historical Records — Audio Visual

Description: Selection of films, videotapes and sound recordings from federal government sources for permanent archival retention. **Topics:** Documentation on Canadian film, television and international cinema; still photographs and transparencies relating to television and films; sound recordings of historical events and broadcasts; biographical sound interviews; fiction and non-fiction films and videotapes. **Storage Medium:** Films, tapes, discs, photographs and transparencies. **Program Record Number:** PAC FTS 045

Historical Records — Cartographic and Architectural

Description: Selection of all cartographic and architectural forms, including maps, plans, charts, blueprints, atlases, architectural forms from federal government sources and globes for permanent archival retention. **Topics:** Early Canadian cartography; modern cartography (after 1850); government cartographic and architectural records. **Access:** Collections acquired from government institutions and considered public records are filed by record group. Maps transferred to the Cartographic and Architectural Archives from the collections acquired by the Manuscript Division are filed by collection name. Individual maps are filed by geographic classification number. **Program Record Number:** PAC NMC 065

Historical Records — Documentary Art and Photography

Description: Photographic documentation transferred to the National Archives of Canada from federal sources illustrating all aspects of national life as well as paintings, drawings, heraldry, medals and posters selected for permanent archival retention. **Topics:** Vary widely from one donor department or agency to another depending on the functions of photography at the institution, such as public relations, scientific or technical recording, or experimentation. **Access:** Pictorial and iconographic records are arranged first by the physical aspects of the materials: paper (posters, fashion magazines, drawings); canvas (paintings); other (medals; heraldry). They are then arranged by name of artist or collection. There is a subject cross-index. Photographs are usually kept in their original arrangements but are accessioned and described by donor, subject, and sometimes, photographer. There is also an illustrated card catalogue of selected items from collections, various finding aids for specific collections, and collection name files created as part of the Guide to Canadian Photographic Archives. **Storage Medium:** Various types of photographic papers; photographic negatives (glass plates and celluloid); early photographic processes on metal or glass bases. **Program Record Number:** PAC NPC 110

Historical Records — Government

Description: Information on all aspects of the organization and operations of federal government departments and agencies and their predecessors, selected for permanent preservation because of their historical value. **Topics:** Culture and communications; external relations; trade,

commerce and statistical matters; fiscal and industrial affairs; judicial and parliamentary activities; state and general government administration; military and wartime activities; minerals and energy; natural resources, the north and scientific research; public works and transportation; social and native affairs. **Access:** The original file classification systems used in creating departments and agencies have been maintained, but the records of each department and agency have been placed into record groups. The EDP files are arranged by EDP file title and government department. **Storage Medium:** Hard copy, microfilm and magnetic tapes. **Program Record Number:** PAC FAD 025

Information Management Standards and Practices

Description: Research into information management; development of standards and guidelines; and advice on managing information within the federal government. **Topics:** Research and consultation regarding information recorded in all media, including electronic and optical format; micrographics; office automation; standards; impact of federal legislation and policies on information management. **Program Record Number:** PAC GRC 158

London and Paris Offices

Description: Information on the acquisition or reproduction of archival material relevant to Canada. **Topics:** Specialized research; enquiries from government officials, scholars, university professors, students and the general public. **Program Record Number:** PAC HRB 151

♦ Archives Preservation Branch

EDP Records Preservation

Description: Information relating to the preservation, handling and maintenance of EDP archival records in the form of magnetic tape, diskette and optical disc. **Topics:** General; standards; procedures and practices. **Program Record Number:** PAC CON 210

Photographic Services

Description: Information relating to photographic and electrostatic reproductions of materials held in the National Archives of Canada. **Topics:** General; film preservation; photographic assignments; black-and-white reproductions; colour reproductions. **Program Record Number:** PAC CON 195

Picture Conservation

Description: Information relating to the preservation and restoration services for works of art on paper, oil paintings, photographic records, medals and other holdings. **Topics:** General; water colours; prints and drawings; oil painting conservation; medal conservation; photograph conservation. **Program Record Number:** PAC CON 200

Records Conservation

Description: Information relating to the preservation and restoration services for rare books, manuscripts, maps, atlases, posters and other paper and leatherbound archival material. **Topics:** General; book restoration; map,

poster and manuscript restoration; deacidification; conservation training program. **Program Record Number:** PAC CON 205

Technical Operations

Description: Information relating to the preservation of moving image and sound recordings. **Topics:** General; standards; procedures and practices. **Program Record Number:** PAC CON 215

◆ Client Services and Communications Branch

Canadian Centre for Information and Documentation on Archives

Description: Information and documentation on archival science and records management. **Topics:** Specialized bibliographies, thesaurus, specialized periodicals, articles and holdings of monographs. **Access:** By subject. **Program Record Number:** PAC PPB 148

Library Services

Description: General information on the functions of the library. **Topics:** Loans; special projects; operational relations with other divisions; participation in exhibitions. **Program Record Number:** PAC PPB 135

Professional Development Services

Description: Information relating to courses, workshops, publications, audio-visual materials and other documentation on the principles and practices of managing recorded information. **Topics:** General; education and training; communications. **Program Record Number:** PAC GRC 180

Reference and Inquiries — Audio Visual

Description: Information on reference and inquiries to the Moving Image and Sound Archives for information or copies of holdings. **Topics:** References; cataloguing; permissions to copy; inquiries — individuals, companies, societies and associations, municipal, provincial and federal government institutions. **Program Record Number:** PAC FTS 040

Reference and Inquiries — Cartographic and Architectural

Description: Information on reference and inquiries to the Cartographic and Architectural Archives for information or copies of holdings. **Topics:** Inquiries — individuals, companies, societies and associations; colleges, universities and schools; municipal, provincial and federal institutions; permissions to copy; redistribution of maps. **Program Record Number:** PAC NMC 060

Reference and Inquiries — Documentary Art and Photography

Description: Information on reference and inquiries to Documentary Art and Photography records for information, access or copies of holdings. **Topics:** Inquiries from individuals, organizations and government departments; the organization of reference services; the

course and completion of exhibitions and publications; the cataloguing of photographs, pictorial or iconographic records; access to records; photo-duplication of records. **Access:** These files are arranged by subject, year, individual, organization and government. **Program Record Number:** PAC NPC 105

Reference and Inquiries — Library Services

Description: Information on reference and inquiries to the library for information or copies of holdings. **Topics:** Archives; records management; cartography; Canadian art; audio-visual archives and Canadian films; reports and journals of Canadian, American, British and French historical associations; permission to copy; research; inquiries — individuals, companies, societies, associations, and municipal, provincial and federal government institutions. **Program Record Number:** PAC PPB 145

Reference and Inquiries — Manuscripts

Description: Information on research performed on historical manuscripts, inquiries, and access to the records. **Topics:** Research (on historical events and persons, cultural, political, social, economic, scientific and intellectual development of Canada, genealogy, ships, military regiments and other miscellaneous subjects); inquiries — by individuals, organizations and government departments; access to records — lists of access restrictions and requests, including approval or denial of access (listed by year). **Access:** Research projects in alphabetical or numerical order. **Program Record Number:** PAC MAD 075

◆ Management Services Branch

Federal Records Centres Operations

Description: Information relating to provision of safe and economical storage facilities for dormant records, essential records and active computer tapes. **Topics:** General; accessioning; disposition; micrographic inspection and reboxing; reference services and tape library. **Program Record Number:** PAC FRC 160

Personnel Information Files

Description: Personnel information collected during the course of an individual's term of employment in the government. **Topics:** Former federal civilian employees and military personnel. **Access:** These files are arranged by locator number. **Program Record Number:** PAC NPR 170

Personnel Records Centre Operations

Description: Information relating to the provision of storage and reference services for personnel and personnel-related records of former civilian and military federal employees. **Topics:** General; accessions; disposition; reference services and enquiries. **Program Record Number:** PAC NPR 165

◆ Policy Branch

Canadian Council on Archives (C.C.A.)

Description: Includes information on minutes of meetings, agendas and terms of reference for the various committees of the Canadian Council of Archives. Also includes information on applications for funds for programs and projects submitted to the C.C.A. through provincial archival councils by institutions from various levels of government as well as the private sector. **Topics:** General; committees; applications for funding. **Access:** The Committee files are arranged by subject. The applications for funding are arranged by province and year. **Program Record Number:** PAC PPB 146

Federal-Provincial, National and International Liaison

Description: Information on participation in various departmental, provincial, national and international organizations. **Topics:** Associations — Association of Canadian Archivists, Association des archivists du Québec; Societies — Society of American Archivists, Royal Commonwealth Society; Councils — International Council of Archives; and committees — Senior Management Committee, Advisory Committee on Records, Archives Committee for Pan-American Institute of Geography and History. **Program Record Number:** PAC PBR 010

General Operations

Description: Information on the acquisition, conservation and use of historical documents and on the records management function. **Topics:** Correspondence with the Minister, Treasury Board and other central agencies; publications; exhibitions; planning; evaluation and audit; operational training; and Archival Descriptive Standards. **Program Record Number:** PAC DAO 005

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Audits

Budgets

Buildings

Buildings and Properties

Classification of Positions

Co-operation and Liaison

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Lands

Occupational Health, Safety and Welfare

Office Appliances

Official Languages

Pensions and Insurance

Personnel

Procurement

Salaries and Wages

Staff Relations

Training and Development

Utilities

Vehicles

Personal Information Banks

◆ Archives and Government Records Branch

Acquisition Records

Description: Contains research on potential archival acquisitions and correspondence with potential sources. **Class of Individuals:** Individuals who have corresponded with the Archives concerning potential acquisitions. **Purpose:** To develop acquisition priorities and values, to authenticate acquisitions and to trace archival collections. **Retention and Disposal Standards:** All of this information will be retained by the National Archives of Canada for archival purposes. **Related to PR#:** PAC FAD 015 **TBS Registration:** 000549 **Bank Number:** PAC PPU 025

Archival Training

Description: This bank contains personal data including course applications and evaluations; social insurance numbers (until replaced by an alternative personal identifier). The bank also holds examination results and certificates, and correspondence related to the participation of federal, provincial and municipal government employees, individuals from the private sector and other countries in archival courses offered by the National Archives of Canada. **Class of Individuals:** Federal, provincial and municipal government employees as well as individuals from the private sector and other countries. **Purpose:** The purpose of this bank is to provide documentation for the administration of archival courses offered by the National Archives of Canada. The information is used to approve and register the participation of individuals as well as to certify their achievement. **Retention and Disposal Standards:** Files are retained for five years after the end of the course and are then destroyed. **Related to PR#:** PAC DAO 005 **TBS Registration:** 002336 **Bank Number:** PAC PPU 045

◆ Archives Preservation Branch

Conservation Training

Description: This bank contains personal data including course applications and evaluations, social insurance numbers (until replaced by an alternative personal identifier), and correspondence related to the participation of federal, provincial and municipal government employees in conservation training courses offered by the National Archives of Canada. **Class of Individuals:** Federal, provincial and municipal government employees. **Purpose:** The purpose of this bank is to provide documentation for the administration of conservation training courses offered by the National Archives of Canada. It is also used to approve and register the participation of individuals.

Retention and Disposal Standards: Files are retained for five years from the date of the end of the course and are then destroyed. **Related to PR#:** PAC DAO 005 **TBS Registration:** 002339 **Bank Number:** PAC PPU 060

◆ Client Services and Communications Branch

Access Request Data Bank

Description: Contains the access request forms sent by individuals, the replies to such requests and information related to their processing. **Class of Individuals:** Individuals requesting access to their files. **Purpose:** To process access requests. **Consistent Uses:** Statistical purposes for quarterly and annual reports. **Retention and Disposal Standards:** Retained for two years after resolution and then destroyed. **PAC Number:** 78-001 **TBS Registration:** 000548 **Bank Number:** PAC PPU 020

Friends of the National Archives

Description: Information contained in this bank relates to donations and/or requests for donations made to the public to support National Archives programs. **Class of Individuals:** General public. **Purpose:** To maintain a mailing list of donors. **Retention and Disposal Standards:** Records are retained for 6 fiscal years. **PAC Number:** 86/001 **TBS Registration:** 003779 **Bank Number:** PAC PPU 022

Micrographics Training

Description: This bank contains personal data including course applications and evaluations, examination results and certificates and correspondence related to the participation of federal, provincial and municipal government employees, as well as individuals from the private sector and from other countries in micrographic courses offered by the National Archives of Canada. **Class of Individuals:** Federal, provincial and municipal government employees, as well as individuals from the private sector and from other countries. **Purpose:** The purpose of this bank is to provide documentation for the administration of micrographics courses offered by the National Archives of Canada. It is also used to approve and register the participation of individuals as well as to certify their achievement. **Retention and Disposal Standards:** Files are retained for two years after the end of the course and

are then destroyed. **Related to PR#:** PAC DAO 005 **TBS Registration:** 002337 **Bank Number:** PAC PPU 050

Records Management Training

Description: This bank contains personal data including course applications and evaluations, examination results and certificates and correspondence related to the participation of federal employees, employees of provincial and municipal governments, as well as individuals from the private sector and from other countries in records management courses offered by the National Archives of Canada. **Class of Individuals:** Federal government employees, provincial and municipal government employees, individuals from the private sector and from other countries. **Purpose:** The purpose of this bank is to provide documentation for the administration of records management courses offered by the National Archives of Canada. The information is used to approve and register the participation of individuals as well as to certify their achievement. **Retention and Disposal Standards:** Files are retained for two years after the end of the course and are then destroyed. **Related to PR#:** PAC DAO 005 **TBS Registration:** 002338 **Bank Number:** PAC PPU 055

Research Applications

Description: Contains names, addresses and research data of individuals wishing to use the National Archives of Canada research facilities. **Class of Individuals:** Individuals who wish to use National Archives of Canada research facilities. **Purpose:** Prepare research passes, survey research use and opinion, create mailing lists and trace archival documents. **Retention and Disposal Standards:** Retained for five years and then destroyed. **TBS Registration:** 000550 **Bank Number:** PAC PPU 030

Research Inquiries

Description: Contains requests for research information and the response to these requests. **Class of Individuals:** Individuals requesting information concerning research to be undertaken through National Archives of Canada. **Purpose:** Verify requests for information or financial charges, survey research use and opinion and to provide additional archival references when appropriate. **Retention and Disposal Standards:** Inquiries are destroyed after five years; some of this information will be retained by the National Archives of Canada for archival purposes. **PAC Number:** 72-014 **Related to PR#:** PAC FAD 020; PAC FTS 040; PAC NMC 060; PAC MAD 075; PAC NPC 105; PAC PPB 145 **TBS Registration:** 000551 **Bank Number:** PAC PPU 035

◆ Management Services Branch

Canadians of Alien Parentage — World War II

Description: Contains limited personal information which might include, in addition to name, employment status, complaints, camp conditions, escapes, etc. **Class of Individuals:** Canadians of alien parentage who were held in camps during World War II. **Purpose:** Verification of periods of incarceration and settlement of war claims. **Retention and Disposal Standards:** Records are

retained until the individual reaches 90 years of age. Some of these records will then be preserved by the National Archives of Canada for archival purposes and the remainder destroyed. **Related to PR#:** PAC NPR 165 **TBS**

Registration: 000547 **Bank Number:** PAC PPU 015

Federal Investigative Bodies Request Bank

Description: Contains copies of requests for disclosure by federal investigative bodies and records of these disclosures. **Class of Individuals:** Individuals who have had personal information about themselves disclosed to authorized federal investigative bodies. **Purpose:** Allow the Privacy Commissioner to review disclosures, investigate complaints and report on any abuse of this disclosure provision. **Retention and Disposal Standards:** Retained for two years from the date of disclosure and then destroyed. **TBS Registration:** 000552 **Bank Number:** PAC PPU 040

Financial Administration Records

Description: Contains correspondence, pay and insurance information, equipment and materials information, training and travel information and personal services contracts.

Class of Individuals: Individuals doing financial business with the National Archives of Canada or the National Library. **Purpose:** To provide information about financial transactions pertaining to all activities of the National Archives of Canada or the National Library. **Retention and Disposal Standards:** Retention period — six years and then destroyed. **TBS Registration:** 000545 **Bank Number:** PAC PPU 005

Prisoner of War Index — German Nationals

Description: Contains limited personal information which might include, in addition to name, service number, unit, POW number, employment record, pay sheets, names of camps, etc. **Class of Individuals:** German nationals who were incarcerated in prisoner-of-war camps in Canada during World War II. **Purpose:** Verification of periods of incarceration in POW camps. **Retention and Disposal Standards:** Records are retained until the individual reaches 90 years of age. Some of these records will then be preserved by the National Archives of Canada for archival purposes and the remainder destroyed. **Related to PR#:** PAC NPR 165 **TBS Registration:** 000546 **Bank Number:** PAC PPU 010

Manuals

- Cartographic and Audio Visual Archives Division Cataloguing Manual
- Documentary Art and Photography Division Cataloguing Standards
- Documentary Art and Photography Division DBase Select Manual (2 volumes)
- Documentary Art and Photography Division Descriptive and Subject Cataloguing
- Documentary Art and Photography Division Reference Service Procedure Manual
- Government Archives Division Procedure Manual
- Manuscript Division Procedures Manual
- Records Management: Organization and Procedures

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the organization and its various programs and functions may be directed to:

Researcher Services Division
Client Services and Communications Branch
National Archives of Canada
395 Wellington Street
Ottawa, Ontario
K1A 0N3

Research and Genealogical Inquiries: (613) 995-5138
Access: (613) 995-5493
Privacy: (613) 947-8468

Reading Room

The Department's library has been designated under the Access to Information Act as a public reading room. The address is:

National Capital Region

395 Wellington Street
Ottawa, Ontario

National Arts Centre

Chapter 61

General Information

NOTE: THIS INSTITUTION IS NOT SUBJECT TO THE ACCESS TO INFORMATION ACT.

Background

The objectives of the Centre are to operate and maintain the National Arts Centre (NAC): to develop the performing arts in the National Capital Region; and to assist the Canada Council in the development of the performing arts elsewhere in Canada.

Information Holdings

Personal Information Banks

Access Request Data Bank

Description: This bank contains the access request forms sent by individuals requesting access to their files, the replies to such requests and information related to their processing. It is used for processing access requests only, and to report on the number of access requests received annually. Files will be kept for two years. **Bank Number:** NAC PPU 070

Applicant Inventory

Description: The purpose of this bank is to maintain an inventory of applicants for employment with the National Arts Centre. It includes application for employment, screening and interview data, work history, skills, education and professional qualifications, location preferences, interview results and qualifying level. This bank is used to identify applicants, their skills and abilities, work history, education and basic personal data for recruitment, referral and appointment by the NAC. Records are retained for six months from date of receipt of application form. **Bank Number:** NAC PPU 055

Artists' Contracts Record

Description: The purpose of this file is to maintain a record of performers' contracts and to enable selection of performers and artists for future operatic performances. It contains names, addresses, telephone numbers, experience, special talents and related correspondence. This bank is used as a resource reference for program development. Information on file is retained indefinitely. **Bank Number:** NAC PPU 040

Box Office Mail Order Records

Description: The purpose of this bank is to maintain a record of mail order, group sales, student sales and telephone orders. It contains names, addresses, telephone

numbers, credit card information, seat numbers and prices. This bank is used to ensure proper seat allocation and determination of seats available for general sale. The information on file is destroyed after a two-month period.

Bank Number: NAC PPU 005

Box Office Subscriptions

Description: The purpose of this bank is to maintain a record of box office subscriptions. It contains names, addresses, telephone numbers, credit card information, seat location and prices. This bank is used to ensure proper seat allocation and determination of seats available for general sale. Information on file is retained for one year. **Bank Number:** NAC PPU 010

CEGEP (Collège d'enseignement général et professionnel) — Trainees

Description: The purpose of this bank is to record the names, progress and work accomplished by temporaries sent for on-the-job training from CEGEP. This bank is used to report results to CEGEP. Personal records (evaluations) are retained for two years. **Bank Number:** NAC PPU 060

Lighting Designers' Record

Description: The purpose of this bank is to maintain a record of specialist lighting designers available for production of operas. It contains names, addresses, telephone numbers, experience, special talents, and related correspondence. This bank is used as a resource reference for program development. Information on file is retained indefinitely. **Bank Number:** NAC PPU 035

Operatic Artists' Record

Description: The purpose of this bank is to maintain a record of artists who have auditioned for employment with the festival department. It contains names, addresses, telephone numbers, particular talents and related correspondence. This bank is used as a resource reference for program development. Information on file is retained indefinitely. **Bank Number:** NAC PPU 030

Orchestra Musicians' Record

Description: The purpose of this bank is to maintain a record of musicians who have auditioned for employment with the National Arts Centre Orchestra. It contains names, addresses, telephone numbers, training, particular talents and related correspondence. This bank is used as a resource reference when orchestra vacancies occur. Information on file is retained indefinitely. **Bank Number:** NAC PPU 025

Orchestra Record of Guest Artists

Description: The purpose of this bank is to maintain a record of guest artists who have performed or are available for performing with the National Arts Centre

Orchestra. It contains names, addresses, telephone numbers, particular talents and related correspondence. This bank is used as a resource reference for program development. Information on file is retained indefinitely.

Bank Number: NAC PPU 020

Orchestra Singers' Records

Description: The purpose of this bank is to maintain a record of singers who have performed or are available for performing with the National Arts Centre Orchestra. It contains names, addresses, telephone numbers, audition information and related correspondence. This bank is used as a resource reference for program development. Information on file is retained indefinitely. **Bank Number:** NAC PPU 015

Professional and Personal Service Contracts

Description: The purpose of this bank is to maintain a record concerning individuals who have contracted with the Centre to render professional and personal services. This bank contains basic information such as names, addresses, social insurance numbers, qualifications and service history. **Bank Number:** NAC PPU 075

Record of Parking Agreements

Description: The purpose of this bank is to maintain a record of parking agreements between the National Arts Centre, the general public, and employees, for parking privileges in the NAC garage. It contains names, home and business addresses, telephone numbers and description of vehicles. This bank is used for determining the number of parking spaces allocated on a monthly basis, and reconciliation of revenues. Information on file is retained indefinitely. **Bank Number:** NAC PPU 050

Theatrical Artists' Casting Record

Description: The purpose of this bank is to maintain a record of artists who have made applications to the theatre department. It contains names, telephone numbers, agents, physical descriptions, talents, union affiliation, languages, citizenship, dates of auditions, curricula vitae, photos and related correspondence. This bank is used as a resource reference for program development. Information on file is maintained indefinitely.

Bank Number: NAC PPU 045

Vendor Sourcing Records

Description: This bank consists of records of suppliers that do business with the National Arts Centre. The purpose of this bank is to identify potential suppliers' capabilities. The bank primarily contains information such as lists of main products, equipment, or services provided and performance records. The principal use of the bank is to aid in the selection of suppliers to the National Arts Centre. **Bank Number:** NAC PPU 065

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Privacy Act.

For further information about the programs and activities of the NAC, please contact:

Bernard Geneste
Director of Human Resources
National Arts Centre
P.O. Box 1534, Station B
Ottawa, Ontario
K1P 5W1

National Battlefields Commission

Chapter 62

General Information

Background

The National Battlefields Commission derives its mandate and powers from a 1908 Act of the Parliament of Canada, the Act respecting The National Battlefields at Quebec, 7-8 Edward VII, ch 57, and its amendments.

Administratively, the Commission is designated as a departmental corporation and is listed in Schedule II of the Financial Administration Act.

Responsibilities

The Commission was established to acquire the historic battlefields of the city of Quebec and neighbouring communities, to create a national battlefields park and to conserve, develop these historic and urban parks.

The National Battlefields Commission administers the following sites: Battlefields Park (better known as the Plains of Abraham), with an area of about 101 hectares, commemorates the historic battle of 1759; Des Braves Park, with an area of about 6 hectares, marks the battle of 1760. Apart from these two parks, three major thoroughfares come within the Commission's jurisdiction, namely Des Braves Avenue, De Laune Avenue and De Bernières Avenue. The Commission also operates St Denis Park, east of the Citadel, and manages Martello Tower # 4.

In extra of the maintenance, the conservation and the security, the Commission offers public services:

- the Interpretation Centre tells the story of the Plains of Abraham from the time of New France to the present day and presents in complement an temporary exhibition.
- An exhibition in the Martello Tower 1 on military engineering.
- An exhibition in the Martello Tower 2 on astronomy and meteorology.
- Guided tours, commandated bus tour of the Park, animation on various thematic subjects for groups and school programs for primary and secondary level based on the teaching of natural and human sciences (the historic events).
- A garden, le Joan of Arc Garden and other floral planning.

The Commission also provides a number of sports, cultural and recreation facilities including football, soccer, baseball fields, a band stand, a running track, paths and cross-country ski trails.

Legislation

- The Law respecting the national battlefields at Quebec.
- Government Property Traffic Act

Organization

The Commission reports to Parliament through the Minister of Canadian Heritage, to whom the Chairman is directly accountable. It is governed by a board of seven Commissioners and a Secretary, all appointed by the Governor General in Council. The Provinces of Quebec and Ontario are entitled to appoint one representative each, by virtue of having contributed at least \$100,000 when the Commission was founded. The Secretary, who acts as Director General, is responsible for the day-to-day management of all of the Commission's activities.

The administration, which is composed of management and financial and personnel services.

The conservation, which includes preservation, maintenance and surveillance activities aimed at ensuring a safe, stable environment, reducing wear and tear and minimizing or preventing damage. Two services are involved: development and maintenance, and surveillance and security.

The development of the site's historic, cultural, recreational and natural resources — which encompasses visitor reception, facilities and services, interpretation, public awareness, dissemination of information, exhibits, activities and opportunities for public participation. Two services are involved: information, visitor reception and interpretation; and educational programs and museology.

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Centre and its various programs and functions may be directed to:

The Secretary
The National Battlefields Commission
390, de Bernières Ave
Quebec, QC
G1R 2L7
(418) 648-3506

Reading Room

Facilities in Documentation Resources have been designated as a public reading room in accordance with the Access to Information Act. The address is:

390, de Bernières Ave
Quebec, QC

National Capital Commission

Chapter 63

General Information

Background

The National Capital Commission (NCC) was created in 1958 to succeed the Federal District Commission (1927-1958) and the Ottawa Improvement Commission (1899-1927).

The NCC carries out its mandate under authority of the National Capital Act. The objectives and purpose of the NCC, are to prepare plans for, and assist in the development, conservation and improvement of the National Capital Region, in order that the nature and character of the seat of the Government of Canada may be in accordance with its national significance. The NCC received a new and expanded mandate from Cabinet in 1988: to make the Capital a meeting place for all Canadians, to use the Capital to communicate Canada to all Canadians, and to safeguard and preserve its assets.

Responsibilities

The National Capital Commission is a Crown Corporation whose mandate is to plan and assist in the development, conservation and improvement of the National Capital Region in keeping with its significance as the seat of the Government of Canada.

The NCC's mandate was expanded in 1988. This has resulted in the organization, sponsorship and promotion of public activities and events that enrich the cultural and social fabric of Canada, and foster cooperation among organizations with a stake in the Capital's development.

Fulfillment of these objectives will make the Capital more representative of Canada and promote public perception of it as a second home for every Canadian.

NCC's corporate mission is building pride and unity through Canada's Capital Region.

Legislation

- National Capital Act, R.S.C. 1985, c. N-4, as amended.

Organization

♦ Capital Planning and Real Asset Management

Capital Planning and Real Asset Management Branch develops long-range policies and plans for the physical development of federal lands in the Capital; manages and develops the NCC's assets which includes the design and rehabilitation of NCC construction projects; provides planning advice to federal departments and other levels of government; manages Canada's six "Official Residences";

manages the NCC's Heritage Program, undertakes design, land use and land transaction, reviews and grants approvals on behalf of the Crown; acquires and disposes of properties; negotiates property agreements, manages the Fee Management Agreement for the NCC leased properties and provides property management, marketing and leasing services for the leased properties not included in the Fee Management Agreements; develops assets for ground and head leases.

♦ Environmental and Land Management (ELM)

ELM's mandate is to safeguard and preserve Capital assets and to provide visitors with "Green Capital" services and products. ELM is in the business of land use management; asset lifecycle and maintenance management; natural resources management and environmental stewardship; law enforcement and public safety; visitor information, interpretation and recreational services and programs; support services to NCC and community events. In addition, ELM now has the responsibility for the programming at the Mackenzie King Estate and the management of food and service concessions on Urban Lands and in Gatineau Park. ELM branch is structured under the following portfolios: VP Office; Branch Management Services; Urban Lands & Transportation; Gatineau Park & Greenbelt.

♦ Executive and Corporate Affairs

The Chairman and Chief Executive Officer heads a senior management team comprising the General Manager and Vice-Presidents. The General Manager acts as the Commission's Chief Operating Officer, providing overall direction and coordination for Branch activities.

♦ Human Resources

The objective of the Human Resources Branch is to provide innovative and simplified services, programs and strategies in the areas of organizational development and change management, human resources development, labour relations and transactional operations which support managers in their efforts to: 1) retain and renew a motivated, skilled, competent and cost-effective workforce; 2) create and maintain through teamwork an open, safe, equitable and productive, working environment.

♦ National Programming and Marketing

National Programming and Marketing Branch focuses on Canada's Capital as a means of instilling pride and a sense of unity among Canadians. Through partnerships, the Branch manages, develops, stages and promotes celebrations, festivals and various other public activities such as Canada Day, Cultures Canada, Winterlude, Youth and Family Outreach Programs, the Capital Infocentre and the Canada and the World Program. It also plans, develops and executes promotions and marketing activities of the NCR and NCC sponsored events and services. It

showcases and interprets Canadian symbols, values and achievements through a variety of public programs, and orients and informs visitors about the significance of the Capital Region and its national institutions, commemorations and events.

♦ Strategic Planning and Information Management Branch

Strategic Planning and Information Management Branch manages the NCC's strategic and corporate planning function, informatics services, finance and procurement services, information and asset management services and surveys and mapping services. The Vice-President is the corporation's Chief Information Officer (CIO), the Chief Financial Officer (CFO) and the Departmental Security Officer (DSO). The Branch is responsible for strategic and corporate planning; corporate planning; management of information holdings; access and security of information; information technologies; engineering and cadastral surveys, mapping services and the provision of geographic information; provisioning and materiel management; financial services; facilities management; and fire prevention and security.

Information Holdings

Program Records

Branch Management Services

Description: The Branch Management Services Division regroups the administrative, human resources and financial activities, and the operational support services (including Corporate Environmental Stewardship Services) to the Branch and corporate processes, as well as law enforcement operations on all NCC lands. **Topics:** ELM Branch administration includes human resources; finance; program and performance evaluation; partnerships and revenue generation; corporate planning; policy and procedures and information systems development. **Access:** By subject. **Storage Medium:** Paper files. **Program Record Number:** NCC ELM 001

Capital Events and Programs

Description: Information relating to the creation, organization, staging, coordination and promotion of public events and programs of national and international significance in the Capital Region. **Topics:** Canada Day Celebrations and Shows; Festival Canada Celebration; Cultures Canada, NCR Festival Network; International themed Programs; National Commemorations and Arts; Thematic Year Programs (Canada and the World, A Tulip Legacy); Winterlude and Ice-Breaker Show; Year-Round Programming in the NCR; Christmas Lights Across Canada. **Access:** By subject. **Storage Medium:** Paper files, Site Plans, Slides and Photographs. **Program Record Number:** NCC NAP 055

Capital Interpretation

Description: Information relating to information and orientation of visitors to the Capital Region and assist in increasing their understanding and appreciation of the national significance of the Capital, its institutions and attractions. **Topics:** NCC Information Centres; Front-Line Visitor Services; Capital Reservations and Itineraries; Ground Tours; NCR Attractions and Institutions; Orientation and Wayfinding; Capital Host/Ambassadors; Volunteer Services Coordination; MPs/VIPs/Delegates Orientation; Parliament Hill Programming; Sound and Light Show; Discover the Hill; Infotent; Confederation Boulevard Sites; Major's Hill and Jacques Cartier Park Interpretation; Capital Youth Programs; Mackenzie King Estate; Adventure in Citizenship, Capital Tours, Capital Quiz, Guided Tours. **Access:** By subject. **Storage Medium:** Paper files, EDP, Photographs, Maps. **Program Record Number:** NCC NAP 065

Capital Urban Lands

Description: Information relating to the planning and management of urban parks, green corridors, parkway corridors, recreational facilities and the Rideau Canal Skateway including the life-cycle management of all related assets. **Topics:** Facility Management; Building and Infrastructure Management; Horticultural and Grounds Maintenance Operations; Floral Displays, Waste Management; Snow and Ice Removal; Special Events Support; Public Safety; By-Law Enforcement; Land Use Permits and Permissions. **Access:** By subject. **Storage Medium:** Paper files, Maps Plans and Drawings. **Program Record Number:** NCC ELM 125

Commission Secretariat

Description: Information relating to the administration of the NCC's Board of Directors, and of the Corporate Advisory, Management and Intergovernmental Committees and protocol activities related to public events such as Canada Day, Christmas Lights, Winterlude and many other NCC events. **Topics:** Minutes of NCC, Corporate, Executive Management, Advisory and Intergovernmental Committees; Members of the Commission and Committee Members; lists of guests; invitations and logistics (master of ceremony notes, speeches, scenarios). **Access:** By subject. **Storage Medium:** Paper files. **Program Record Number:** NCC EXE 030

Communications

Description: Information relating to public and media information and services on the National Capital Commission and the National Capital Region. **Topics:** News releases; publicity programs; media relations; media and public inquiries; public consultations. **Access:** By subject. **Storage Medium:** Paper files. **Program Record Number:** NCC EXE 005

Corporate Environmental Stewardship Services

Description: Information relating to the NCC's compliance with relevant environmental laws, codes, policies, standards; federal environmental targets, standards and commitments

and to the provision of opportunities and knowledge to NCC employees to ensure programs and activities are environmentally-sound. **Topics:** Environmental Strategy; Environmental Baseline Study; Contaminated Sites Inventory; Recycling Program; Waste Audit and Hazardous Material Inventory. **Access:** By subject **Storage Medium:** Paper files. **Program Record Number:** NCC ELM 002

Current Planning

Description: Information relating to current planning for the federal lands in the National Capital Region and the Capital Employment Nodes. **Topics:** Environmental Assessments; International Presence; Capital Employment Nodes; Zoning; Development and Area Plans; Planning Studies and Official Plan Amendments. **Access:** By subject. **Storage Medium:** Paper files, Drawings, Plans, Maps, EDP and Photographs. **Program Record Number:** NCC CPR 075

Design and Construction

Description: Information relating to the provision of engineering, architectural and landscape architecture services to rehabilitate and preserve NCC's real assets, landmarks, natural features, infrastructure and industrial, private and commercial buildings; and provision of industrial design services in support of assets and NCC events. **Topics:** Bridges; Parkways; Roadways; Multi-Year Capital Construction Program (MYCCP); Capital Parks; Event Support. **Access:** By subject. **Storage Medium:** Paper files, Maps, Plans, Drawings, Photographs, Slides and EDP. **Program Record Number:** NCC CPR 095

Design and Land Use

Description: Information relating to the Design and Land Use Review and Approvals for federal lands in the National Capital Region and the NCC Heritage Program. **Topics:** Core Area View Protection and Height Control; Parliamentary Precinct; United States Chancery; Federal Land Use Review and Approval; Local/Regional Planning; Federal Land Use Plan; Federal Heritage, Archeological, Cultural and Landscapes Design, Review and Approval. Planning and Urban Design Approval. **Access:** By subject. **Storage Medium:** Paper files, Drawings, Plans, Maps, Slides, Microform and Photographs. **Program Record Number:** NCC CPR 085

Finance and Procurement Services

Description: Information relating to financial planning and reporting, financial services, financial management systems and procurement. **Topics:** General Accounting and Financial Statements; Contract Administration; Accounts Payable, Agreements; Accounts Receivable, Revenue and Collection; Banking Operations; Financial Management Reports; Financial Plans and Budgets. **Access:** By Subject and Supplier Name. **Storage Medium:** Paper files, Microform and EDP. **Program Record Number:** NCC SPI 170

Gatineau Park and Greenbelt

Description: Information relating to land and resource management and the management of activities and programs associated with the provision of services and facilities for public enjoyment in Gatineau Park and the Greenbelt. **Topics:** Land Maintenance; Land Use Including Leases, Agreements, Permits, Permissions, Concessions, Licence of Occupation, Service and Partnership Agreements; Interpretation and Animation; Facility Management; Furnishing and Fixture Management; Event Management; Snow and Ice Control; Building and Infrastructure Management and Land Maintenance. **Access:** By subject. **Storage Medium:** Paper files, Maps, Plans and Drawings, Slides and Photographs, Electronic Records. **Program Record Number:** NCC ELM 115

Informatics Services

Description: Information relating to the development of technology strategies, policies, plans and activities to support the management of the NCC's Information Technology requirements. **Topics:** EDP Security; Information Systems Architectures; Data Administration; Database Management, Data Models; Corporate Systems Development and Applications Support; Micro Computer Support Services; Telecommunications; Voice Messaging; and Networks; Computer Services; Technical Services; Facility Management. **Access:** By subject. **Storage Medium:** Paper files and EDP **Program Record Number:** NCC SPI 190

Information and Asset Management Services (IAMS)

Description: Information relating to the development and implementation of policies, plans, systems and services for the management of the NCC's information holdings, materiel and facilities; the administration of the Access to Information and Privacy Acts and the provision of mail, materiel management, facilities, security and fire prevention services. **Topics:** Corporate Information Inventory System (CIIS); Information Evaluation and Scheduling; Corporate Administrative Policies and Procedures; Access to Information (ATI) Act; Privacy Act; Requests for Access to Information and Personal Information; Corporate Information Services; InfoCentre Services; Library Services; Printing Services; Security Services; Assets; and Facilities and Mail Management. **Access:** By subject. **Storage Medium:** Paper files and EDP. **Program Record Number:** NCC SPI 195

Intergovernmental Issues Management

Description: Information relating to consultations and co-ordination, with federal, provincial, regional and municipal governments. **Topics:** Co-ordination and Liaison on Land and Property matters, Construction, Maintenance and Renovation of Buildings, Grounds and Varied Structures, Programs Promoting National Understanding, Pride and Awareness of the Capital, Development of Tri-partite Agreements and Program Co-ordination. **Access:** By subject. **Storage Medium:** Paper files. **Program Record Number:** NCC EXE 015

Legal Services

Description: Information relating to legal matters, particularly litigation and property settlements. **Topics:** Legal Aspects of Land and Property Transactions; Legal Agreements; Damage Suits for and against the Commission; Collection of Accounts. **Access:** By subject. **Storage Medium:** Paper files. **Program Record Number:** NCC EXE 010

Long Range Planning

Description: Information relating to the development of long-range plans for federal lands in the National Capital Region. **Topics:** NCR common vision and planning principles; Plan for Canada's Capital; Master Plans re: Gatineau Park, Greenbelt, Urban Lands; Sector Plans re: LeBreton Flats, Parkway Loop and Crescent in Gatineau Park, Lac Leamy. Other plans re: Urban Corridors, Meech Creek Valley; Interprovincial Transportation Planning re: Interprovincial Bridges Study; Pont Champlain; Corporate Environmental Policy; National Interest Land Mass (NILM). **Access:** By subject. **Storage Medium:** Paper files, Drawings, Plans, Maps, Photographs and EDP. **Program Record Number:** NCC CPR 080

Management and Program Services

Description: Information relating to the provision of integrated Branch management and systems services. **Topics:** Branch Resources Management; Strategic, Operations and Human Resources; Plans Development; Branch MOU; Special Exams and Audit; Performance Monitoring and Reporting; Issue Management; Asset System Development; Operational Simplification and re-engineering; Branch Policies and Procedures Development and Analyses. **Access:** By subject. **Storage Medium:** Paper files. **Program Record Number:** NCC CPR 115

Management Audit and Evaluation

Description: Information relating to the management and monitoring of corporate audit projects and the evaluation of the Commission's programs to assess performance against the NCC's mandate. **Topics:** Internal and External Audit Recommendations; Evaluation recommendations; Management Self Assessment and research studies. **Access:** By subject. **Storage Medium:** Paper files and EDP. **Program Record Number:** NCC EXE 020

National Outreach

Description: Information relating to the creation of an appreciation of the national significance of the Capital among Canadians, stimulate their interest in visiting the Capital, and provide opportunities for their involvement in Capital programs and events. **Topics:** Provincial/Territorial Linkages; Youth and Family Outreach; Electronic Capital Outreach; National Participation Projects; Capital Awareness Marketing; National Broadcasting; Event and Program Promotion; Merchandising and Advertising Sales; Publication and A/V Management; Writing/Editing/Translation; Graphic Design; Production Coordination; Public Contact System; Distribution Services and

Database Management/ Marketing. **Access:** By subject. **Storage Medium:** Paper files, computer data-bases, photographs and videos. **Program Record Number:** NCC NAP 015

Official Residences

Description: Information relating to the management of the Official Residences' portfolio, provision of property management, interior design, ceremonial support and greenhouse and grounds maintenance operations services for Canada's six Official Residences within the National Capital Region. **Topics:** Rideau Hall; Stornoway; 24 Sussex; Harrington Lake; Kingsmere (The Farm); 7 Rideau Gate. **Access:** By subject. **Storage Medium:** Paper files, Maps, Plans, Drawings, Photographs, Slides and EDP. **Program Record Number:** NCC CPR 100

Property Management and Development

Description: Information relating to the development of the NCC's real assets; the management of private sector joint projects in order to generate revenues; the management of the NCC's commercial property portfolio and the provision of property management, marketing and leasing services to other NCC portfolios (i.e. Gatineau Park, Greenbelt and Capital Urban Lands. **Topics:** Ground and Head Leases; 489 Sussex Drive; Daly Site Sussex-Mackenzie South); Marketing and Leasing; Property Management and Maintenance; Utilities and Demolitions. **Access:** By subject. **Storage Medium:** Paper files, EDP, Maps, Plans and Drawings. **Program Record Number:** NCC CPR 105

Real Estate Services

Description: Information relating to negotiation and completion of property agreements for the acquisition, disposal or exchange of properties, and the granting of easements and licences of occupation in support of the NCC's mandate to consolidate the National Interest Land Mass (NILM) and development of the NCC's real assets and management of private sector joint projects in order to generate revenues. **Topics:** Ground and Head Leases; Chambers Building; Diplomatic Missions Sites; 489 Sussex Drive; Daly Site; Easements; Licences of Occupation; Exchanges; Expropriations; Acquisitions Disposals and Divestitures. **Access:** By subject. **Storage Medium:** Paper files, Maps, Plans, Drawings, Photographs and EDP. **Program Record Number:** NCC CPR 090

Sponsorship

Description: Information relating to corporate sponsors and other private sector groups maintained to interest them in participating in events, programs and services and thereby generate revenues for Commission activities. **Topics:** Winterlude, Canada Day, Cultures Canada and other sponsorship opportunities. **Access:** By subject. **Storage Medium:** Paper files. **Program Record Number:** NCC NAP 020

Strategic and Corporate Planning

Description: Information relating to the management of the strategic and corporate planning process. **Topics:** Corporate Strategies and Objectives, Environmental Scans, Corporate Strategic Initiatives, Strategic plans, Corporate plans and Priorities, Financial Planning, Operational Planning Guidelines, Operational Plans (MYOP), Supplementary Estimates, Corporate Reporting Process; Performance and Financial Reviews, Performance Measurement, Annual Report. **Access:** By subject. **Storage Medium:** Paper files and EDP. **Program Record Number:** NCC SPI 180

Surveys and Mapping

Description: Information relating to the surveying mapping Geographic Information System (GIS) program to support the Commission's assets management, engineering, landscaping, construction, national programming and land transactions activities. **Topics:** Topographical Mapping and Digital Mapping Contracts; Auto CAD; Geographic Information System; Land Surveys; Legal Surveys of NCC Properties and Legal Descriptions of Lands; Current Title Records; Atlas of NCC Land Use; Aerial Photography. **Access:** By subject. **Storage Medium:** maps, plans, drawings, aerial and other photographs, paper files, digital files and EDP. **Program Record Number:** NCC SPI 200

Urban Lands and Transportation (ULT)

Description: Includes urban parks and urban lands; the transportation networks and corridors that provide visitors with access, visits and recreational experiences of the symbolic and significant aspects of the Capital (including the Rideau Canal Skateway). ULT is in the business of land management and lifecycle and maintenance management activities to ensure the preservation and protection of the Capital's urban settings. This portfolio also provides the required services in support of the national and community events that provide a meeting place for Canadians in their Capital. The provision of maintenance operations and event support services is done through Maintenance Management Agreements with Employee Takeover Corporations, contracts with the private sector and agreements with local governments. **Topics:** Fleet Management; Roadways, Pathways, Parking Lots, and Bridge Management; Building and Infrastructure Management; Snow and Ice Control. **Access:** By subject. **Storage Medium:** Paper files, Maps and Plans. **Program Record Number:** NCC ELM 130

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Audits

Budgets

Classification of Positions

Employment and Staffing

Equipment and Supplies

Furniture and Furnishings

Human Resources

Occupational Health, Safety and Welfare

Office Appliances

Official Languages

Pensions and Insurance

Procurement

Salaries and Wages

Staff Relations

Training and Development

Utilities

Personal Information Banks

Access to Information and Privacy (ATIP)

Description: This bank contains the requests sent by individuals seeking access to information under the Access to Information and Privacy Acts, the replies to such requests and information relating to their processing. **Class of Individuals:** General Public **Purpose:** The purpose of this bank is to maintain a record of all requests received under the Access to Information Act and the Privacy Act, and to maintain a record of communication with the applicants. **Consistent Uses:** The bank is used to document the activities involved in the response to Access and Privacy requests and to provide statistical reports required under the Access to Information and Privacy Acts. **Retention and Disposal Standards:** Records are retained for three years after last administrative action has been taken. **Related to PR#:** NCC SPI 195 **TBS Registration:** 000801 **Bank Number:** NCC PPU 010

Camp Site Registration Records

Description: This bank contains the names of campers at Gatineau Park and Lebreton Flats camp sites. It may also contain addresses, telephone numbers, credit card numbers and fees paid for the rental of the sites. **Class of Individuals:** General Public **Purpose:** The purpose of this bank is to control the allocation of camp sites and to maintain a record of revenue. **Consistent Uses:** This bank is also used to maintain mailing lists for future reservations, revenue accountability and future planning. **Retention and Disposal Standards:** 1 year after expiry or obsolete **Related to PR#:** NCC ELM 115 **TBS Registration:** 002197 **Bank Number:** NCC PPU 065

Catalogue of Contractors

Description: This bank may contain curriculum vitae, certificates of insurance and worker's compensation,

references and declared areas of contractual interest. **Class of Individuals:** General Public. **Purpose:** The purpose of this bank is to maintain a record of professional and business persons who have offered their services to the National Capital Commission. **Consistent Uses:** The information in this bank is used to determine who will be asked to submit a proposal or quote for work or provide services when required. **Retention and Disposal Standards:** Records are retained for two years. **Related to PR#:** NCC SPI 170 **TBS Registration:** 000803 **Bank Number:** NCC PPU 020

Contracts

Description: Data may include terms of contracts; performance reports; billings; payments made; and related correspondence. **Class of Individuals:** General Public **Purpose:** The purpose of this bank is to maintain an accurate account of all payments made under contracts. **Consistent Uses:** It is used as a basis for negotiations between the National Capital Commission and the contractors concerned. **Retention and Disposal Standards:** Records are retained for six fiscal years after completion of terms of contract. **Related to PR#:** NCC SPI 170 **TBS Registration:** 000804 **Bank Number:** NCC PPU 025

Gatineau Park Skiing Permits

Description: This bank contains the names and addresses of all skiers who have purchased a season permit for cross country skiing in Gatineau Park. **Class of Individuals:** General Public **Purpose:** The purpose of this bank is to manage a user-fee program for skiers in Gatineau Park. **Consistent Uses:** This bank is also used to maintain a mailing list of skiers and to replace lost or stolen season permits. **Retention and Disposal Standards:** 1 year after expiry or obsolete **Related to PR#:** NCC ELM 115 **TBS Registration:** 003730 **Bank Number:** NCC PPU 062

Minor Property Damage Claims

Description: These files may contain police reports, accident reports, witness reports, estimates, amounts paid or received, releases and related particulars. **Class of Individuals:** General Public/Insurance Companies/Other Government Agencies **Purpose:** The purpose of this bank is to maintain records of vehicular and other accidents and incidents resulting in claims in favor of and against the Crown. **Consistent Uses:** This information is used to determine liability and to negotiate settlements. **Retention and Disposal Standards:** Records are retained for six fiscal years after settlement of claims. **Related to PR#:** NCC SPI 195 **TBS Registration:** 000802 **Bank Number:** NCC PPU 015

Permits, Permissions, and Licences

Description: This bank contains the names of individuals who have applied for, received or have been rejected a permit, permission or licence to use a property or other asset managed by the NCC. The permits, permissions and licences cover the following activities: scientific study

permits, facility access permits, equipment rental permits, and construction permits. It may also contain addresses, telephone numbers, credit card numbers, and fees paid.

Class of Individuals: General Public **Purpose:** The purpose of this bank is to control the allocation of the various NCC facilities, to maintain a record of revenue, and to restrict access where required. **Consistent Uses:** This bank is also used to maintain a contact list for the purpose of future contact with the individuals, to control the distribution of permits, and to monitor activities on various NCC properties. **Retention and Disposal Standards:** 1 year after expiry or obsolete **Related to PR#:** NCC ELM 115 **TBS Registration:** 003649 **Bank Number:** NCC PPU 063

Property Management and Property Tenant Records

Description: This bank may contain the names of former, present and prospective tenants, the former address of a tenant, employment background, annual earnings and number of children. The files contain basic personal information on the owner or tenant of the property and all information pertaining to the leasing of the property, authority to repair, capital improvement to the property and general correspondence on related matters. These records may contain the results of credit investigations of the tenants which were conducted to determine financial capability to rent/lease the property. Applications to rent NCC properties are placed on the appropriate property file. Information is maintained in the form of: paper records, Real Asset Management Information System (RAMIS). **Class of Individuals:** General Public **Purpose:** The purpose of this bank is to maintain an inventory of all National Capital Commission tenants and applicants for tenancy and to maintain a record of information relating to the management of NCC properties. **Consistent Uses:** It is used to identify past, present and prospective tenants of Commission properties. Some information may be disclosed for the purpose of collecting debts owed by tenants as a result of their tenancy. It is also used to assist in the administration of properties acquired on behalf of the federal government. Some information may be disclosed to municipalities in which the properties are located for tax assessments and administration of the grants-in-lieu of taxes program. **Retention and Disposal Standards:** Records on individuals are retained for 2 years after property is vacated. **PAC Number:** 78-011 **Related to PR#:** NCC CPR 105 **TBS Registration:** 003020 **Bank Number:** NCC PPU 051

Property Rental Collection Records

Description: This bank contains the National Capital Commission's property number, tenant number, rental charges, rental payments, maintenance allowances, collection and credit reports and related correspondence. **Class of Individuals:** General Public. **Purpose:** This bank identifies past, present and prospective tenants of the Commission. **Consistent Uses:** This bank is used for the collection of rents. **Retention and Disposal Standards:** Records are retained for six fiscal years after accounts

are settled. **Related to PR#:** NCC SPI 170 **TBS Registration:** 000806 **Bank Number:** NCC PPU 035

Property Transaction Records

Description: The files contain general correspondence, appraisal reports, description of property and basic personal data on the owner. Also included is the final disposition of the case in the form of an option and copies of minutes of the NCC Advisory Committee and decision making Committees. The records are organized by property parcel number with an alphabetical cross reference system. Information is maintained in the form of: paper records, Real Asset Management Information System (RAMIS). **Class of Individuals:** General Public. **Purpose:** The purpose of this bank is to record the negotiations leading to property transactions. **Consistent Uses:** It is used to assist in the administration of policies on the acquisition and expropriation of properties in the National Capital Region on behalf of the federal government. **Retention and Disposal Standards:** Records are retained on-site 2 years and sent off-site to archives to await scheduling. **Related to PR#:** NCC CPR 090 **TBS Registration:** 000808 **Bank Number:** NCC PPU 045

Public Consultation Lists

Description: Records may contain names, addresses, telephone numbers and/or comments from members of the general public, associations, businesses and public officials on various public consultation initiatives involving the NCC. **Class of Individuals:** General Public **Purpose:** This bank provides the NCC with commentary from the public on various NCC activities and allows the NCC to provide interested members of the public with additional or follow-up information on various public consultation initiatives. **Consistent Uses:** The bank is used to communicate with persons who have expressed an interest in specific NCC consultation activities or may be interested in new NCC consultation initiatives. **Retention and Disposal Standards:** 2 years or when superseded **Related to PR#:** NCC EXE 005 **TBS Registration:** 003271 **Bank Number:** NCC PPU 008

Public Contact System (PCS)

Description: This bank contains the name, address, type of contact, contact tracking data such as date of contact and reason. Lists of individuals as well as organizations with which the Commission communicates in support of various programs. These lists include but are not restricted to individuals of the following categories: Government/Political, Community Groups, Associations, Special Interest Groups, General Public, Volunteers, NCC Commissioners, Tenants Advisory Committee Members, Media, Sponsors, Land Developers, Contractors, Universities and Students. **Class of Individuals:** General public **Purpose:** This bank identifies individuals and organizations that have requested printed information from the NCC and that have been contacted in the past and may be contacted in the future. It is used as the single repository for accurate addresses and information relating to individuals. **Consistent Uses:** The bank is used

to store the address of and track contact with individuals and groups. It is used to provide addresses for the mailing of individual and/or mass correspondence such as press release, annual reports and other program-related materials; and supports communications via telephone. It may be used to track public opinion on various issues regarding the programming of the NCC. **Retention and Disposal Standards:** 2 years or when superseded. **Related to PR#:** NCC NAP 015 **TBS Registration:** 003920 **Bank Number:** NCC PPU 076

Records of the Members of the Commission and of Committees

Description: Files may contain curricula vitae, letters of recommendation, photographs, Orders-in Council, general correspondence and a record of travel expenses and honoraria. **Class of Individuals:** Professional People **Purpose:** The purpose of this bank is to maintain a record of all commissioners and members of the various corporate and advisory committees within the Commission, and to maintain a record of the communications with these people. **Consistent Uses:** The bank is used to help identify personal skills, abilities and suitability of candidates to serve as members of committees. It is also used to help identify past members of the Board of Directors. **Retention and Disposal Standards:** Records are retained for six years after expiry of terms. **Related to PR#:** NCC EXE 030 **TBS Registration:** 000800 **Bank Number:** NCC PPU 005

Violation and Enforcement Records

Description: This bank identifies violators and may contain a description of material seized, identification of witnessing officer and any relevant details. **Class of Individuals:** General Public **Purpose:** The purpose of this bank is to record particulars of the violation of laws and regulations applicable to NCC land and properties including Gatineau Park. **Consistent Uses:** This bank is used to facilitate the administration of justice within Commission-owned land and properties. **Retention and Disposal Standards:** Case files are retained for 20 years, while the on-going master computer tape is retained for 10 years. **Related to PR#:** NCC SPI 195 **TBS Registration:** 003018 **Bank Number:** NCC PPU 060

Volunteer Data Bank

Description: This bank contains the names of volunteers who have offered to assist in various capacities for NCC projects and events. Addresses, telephone numbers and personal preferences are also included. **Class of Individuals:** General Public **Purpose:** The purpose of this bank is to maintain a record of volunteers, their participation in events and their preferences for volunteer activities. **Consistent Uses:** This bank is used to select volunteers for suitable placement in support of NCC projects and events. The bank also may represent a mailing list which could be used to contact volunteers about other NCC activities. **Retention and Disposal Standards:** 2 years or when superseded **Related to**

PR#: NCC NAP 065 **TBS Registration:** 002196 **Bank Number:** NCC PPU 070

Youth Programs Data Bank

Description: This bank contains information about students and teachers such as name, address, telephone number, age, school, subjects taught, grades taught, language of instruction, language spoken, student's riding and M.P. **Class of Individuals:** General Public **Purpose:** The purpose of this bank is the management of the Winter Fun Poster Challenge, the 'A Capital For All Canadians' kit and the Adventure in Citizenship programs. **Consistent Uses:** This information is used to maintain contact with schools, teachers and students to inform them of activities and changes in these programs. It may also be used as a mailing list to inform the individuals of other related programs, and to solicit their involvement.

Retention and Disposal Standards: 2 years or when superseded **Related to PR#:** NCC NAP 015 **TBS Registration:** 003022 **Bank Number:** NCC PPU 071

Classes of Personal Information

The National Capital Commission as a whole is involved in activities which tend to generate public response in one form or another. As a result, personal information may be accumulated which is not contained in specific NCC banks listed in this index.

Such personal information may consist of compliments, complaints, suggestions, opinions, proposals, agreements, permits and permissions and may include name, address, telephone number and, in some cases, marital status, age and employment.

This form of personal information is stored throughout the general subject files and is not normally retrievable by name or other personal identifier. It can be located only if specific and sufficient details are provided concerning the event or activity of interest such as: Acquisition, development, management, and disposal of properties; Planning, construction, maintenance and operation of roads, bridges, parks, pathways and other works; Joint projects with municipalities; Construction and operation of concessions; Grants and subsidies Historical sites and buildings; Plans for federal elements in the National Capital Region; Public cultural and recreational activities; Tours and services for visitors; Promotion of national understanding and awareness of the National Capital of Canada; Violation of laws and regulations.

Manuals

- Contract Regulations
- Corporate Administrative Policies and Procedures
- Corporate By-law
- Federal, Provincial and Municipal Codes and Bylaws
- Fish and Game Acts for Ontario, Quebec
- Internal manuals on Design, Signs, Fire, Safety and Maintenance
- NCC Traffic and Property Regulations
- Specifications and Standards

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the NCC and its various programs and functions may be directed to:

Access and Security of Information

National Capital Commission
202-40 Elgin St
Ottawa, Ontario
K1P 1C7
(613) 239-5198

Capital Infocentre

90 Wellington Street
Ottawa, Ontario
(613) 992-5473

Library

40 Elgin St
2nd Floor
Ottawa, Ontario
(613) 239-5123

Reading Room

In accordance with the Access to Information Act, an area on the premises of this institution has been designated as a public reading room. It is open from 09:00 to 16:00 hours Monday to Friday, holidays excepted. Its address is:

2nd Floor
40 Elgin St.
Ottawa, Ontario

National Defence

Chapter 64

General Information

Background

The Minister of National Defence has the control and management of the Canadian Forces and all matters relating to National Defence establishments and works for the defence of Canada. The Canadian Forces, besides forming part of National Defence Headquarters, are organized into seven major functional commands and one command with regional responsibilities. The Department is responsible for the administration of the Canadian Forces Superannuation Act, the Defence Services Pensions Continuation Act, the National Defence Act, and the Visiting Forces Act.

Responsibilities

The role and function of the Department of National Defence, and its principal component, the Canadian Forces, have historically been to ensure the security of Canada and to contribute to the maintenance of world peace.

Its basic roles and responsibilities are to supplement and support the civilian authorities in maintaining surveillance and preserving control over and security within the national territory of Canada and areas of Canadian jurisdiction, to assist civilian authorities in the event of emergency or disaster, to provide search and rescue services, and to contribute to national development. To enhance the security of Canada against military threat, the Department co-operates with the United States in the defence of North America, and co-operates in collective defence measures under the North Atlantic Treaty. The Department promotes international stability by contributing to peacekeeping activities in co-operation with other members of the international community, and by providing military training assistance to friendly nations. It controls, manages, and administers all activities of, and provides the services necessary to ensure adequate supply and technical support for, both the Department and the Canadian Forces. It also provides all levels of training for the Canadian Forces. Finally, the Department works to maintain and advance Canadian scientific and technological knowledge and analytical capability in the area of defence.

Legislation

- Aeronautics Act, R.S.C. 1970; for matters therein relating to defence; otherwise, the designated minister is the Minister of Transport
- Canadian Forces Superannuation Act, R.S.C.
- Defence Services Pension Continuation Act, R.S.C. 1970

- National Defence Act, R.S.C. 1970
- Visiting Forces Act, R.S.C. 1970

Organization

Assistant Deputy Minister — Communications and Public Affairs

The Division provides advice and guidance to the minister, deputy minister, chief of defence staff, commanders, and managers on public information and communications matters in support of National Defence and Canadian Forces policies, objectives, programs and activities. It also plans, conducts, and evaluates information programs for groups within and outside the Department; provides the public and media with the maximum amount of information on defence consistent with national and international security; and arranges Canadian Forces participation in exhibitions and displays. The Division maintains a working relationship with communications agencies of federal, provincial, and allied governments.

♦ Directorate of History

Is responsible for the production of official histories of the Canadian Forces. The Directorate collects source documents on Canadian military history, acts as an information centre on the history of the Canadian Forces, and provides historical research facilities.

Assistant Deputy Minister — Finance

♦ Automated Information Services Branch

Responsible for controlling the administration of departmental management consulting services, providing a departmental forms management program, and administering the departmental reports control program. It also controls the administration of automatic data processing support to military commanders and departmental managers by formulating appropriate policies, procedures and plans, as well as conducting evaluations. In addition, the Division directs the analysis and design of departmental information systems.

♦ Financial Services Branch

Responsible for controlling and coordinating the financial administration functions of the Department. This involves ensuring the effective functioning of the departmental budgetary system, the internal controls over funds and assets, and accounting systems, procedures and practices. It is also responsible for the interpretation and communication of financial policies and procedures, the provision of costing, military pay, superannuation administration and financial services, and the operation of the Department's financial information system.

Assistant Deputy Minister — Materiel

◆ Construction and Properties Branch

Responsible for formulating plans and programs for the design, construction, operation, maintenance, protection, and evaluation of accommodations, fixed facilities and utilities. It implements the approved programs, manages real property and utility services, coordinates the disposal of surplus real property and facilities, formulates related policies, and provides the necessary control and guidance for their application.

◆ Materiel Quality Assurance Division

Responsible for planning and directing departmental quality assurance policies and activities, compiling and publishing Canadian qualified products lists, and maintaining surveillance over defence contractors and departmental manufacture and repair facilities. It looks after quality control and inspection systems, establishes and ensures the implementation of quality assurance standards for the repair, overhaul, modification, and acquisition of new equipment; and ensures the quality of materiel being procured for the Department of National Defence, other government departments, and foreign governments, as required.

◆ Research and Development Branch

Responsible for the formulation and conduct of the departmental research and development program. It participates in the formulation and implementation of departmental policies for research and development activities, controls and directs the operation of the defence research establishments, and ensures that knowledge and application of actual and anticipated advances in science and technology are brought to bear on all departmental functions.

◆ Research and Development Operations Division

Responsible for the planning, control, and operation of research and development in the maritime, land, air and communications elements, and in the field of human performance.

◆ Research and Development Policy Division

Responsible for planning and directing the Department's position on all NATO matters relating to research, development and production of defence equipment. It markets defence equipment and participates in all aspects of national and international marketing activities. This includes costing, evaluating, and obtaining necessary approvals for all departmental commitments in support of defence equipment sales. In addition, the Division coordinates export permits, memoranda of understanding, and metric conversion.

◆ Research and Development Services Division

Exercises control over the administrative functions for those units of the Canadian Forces permanently allocated to the assistant deputy minister materiel, provides administrative support to the defence research establishments, provides documentation and discussion papers for review, administers the departmental inventions and patents program, and coordinates the departmental logistics operations.

◆ Supply Branch

Accountable for the provision of materiel for the Canadian Forces.

◆ Transportation Division

Responsible for the provision of transportation and postal services. This includes planning, programming, and managing the actual provision of these services, and managing the Department's vehicle accident prevention program.

◆ Weapons Systems and Equipment Engineering and Maintenance Branch

Responsible for providing all aspects of design, engineering, maintenance, and standardization service for all weapons systems, support systems, and related equipment for the Canadian Forces. These functions are carried out by project managers and life cycle materiel managers organized in maritime, aerospace, land and communications, electronics engineering, and maintenance divisions. It is responsible for providing and managing ammunition design engineering, maintenance, storage, explosive safety, and disposal services for the Department. In addition, it develops and recommends ammunition-related policies and programs, and provides guidance and coordination for their implementation.

Assistant Deputy Minister — Personnel

◆ Chaplain General Protestant Division

Responsible for upholding and fostering spiritual values and pastoral care of Protestant Canadian Forces personnel and dependants. This includes the implementation of ecclesiastical and military policy as it affects the ministry of chaplains; administration of chapel-oriented programs that provide a comprehensive pastoral ministry; development of lay participation and leadership; administration of all religious activities, including those involving chaplain service with the reserves and cadets; and the maintenance of a close working relationship with the Canadian Council of Churches, through its committee on chaplain service in the forces and various civilian committees concerned with sponsorship of Protestant chapel stewardship projects.

◆ Chaplain General Roman Catholic Division

Responsible for upholding and fostering spiritual values and the pastoral care of Catholic service personnel and dependants. This includes recommending and

implementing ecclesiastical and military policy as it affects the ministry of chaplains, and administering programs that provide a comprehensive pastoral ministry to meet the needs of service personnel and their dependants. In ecclesiastical matters, the Chaplain General is responsible to the Roman Catholic military vicar of the Canadian Forces.

◆ **Civilian Personnel Services Division**

Responsible for developing policies and plans and implementing programs for the recruitment, promotion, transfer, training and development, career management, and lay-offs of departmental civilian employees. It implements and maintains a complete departmental civilian personnel administrative service, represents the Department in the development of government-wide policies and programs in all of these personnel areas, and provides advice to senior military and civilian officials on personnel administration matters. The Division also plans, coordinates and advises on the Affirmative Action Program.

◆ **Classification Division**

Responsible for the administration and application of the classification system for all Department civilian employees. In addition, the Division handles employees' classification grievances, audits regional classification offices, and develops policies, directives, methods and procedures.

◆ **Compensation and Benefits Division**

Responsible for planning and directing the development of policies and programs for military and civilian compensation, allowances, and personal benefits for personnel employed in a wide variety of environments who require special compensation plans and practices specific to the Department's requirements and equitable with outside compensation policies.

◆ **Dental Services Division**

Responsible for formulating dental policies, plans, and programs to ensure the dental health of all members of the Canadian Forces and, in certain areas, their dependants and other civilians. This includes providing treatment, accommodation, equipment and supplies; preparing the budget; coordinating command and dental unit resource allocations for the provision of dental services; determining both the professional and technical training standards and requirements for dental personnel; maintaining liaison; and representing the Canadian Forces to dental services of other nations, federal and provincial dental associations, and educational agencies in Canada.

◆ **Dependants Education Programs Division**

Responsible for developing policy on, and administering, organizing, constructing, equipping, and operating the National Defence Dependants school system. This includes teacher establishments and salary agreements; the attendance of children at municipal schools on payment of school fees; and the payment of education allowances, under Privy Council authority or the Military Foreign

Service Regulations, 1979, for children of members of the Canadian Forces serving in Canada and abroad.

◆ **Manpower Utilization Division**

Responsible for developing personnel policies and systems through which departmental objectives, both present and forecast, are translated into statements of manpower requirements. It ensures that personnel requirements, establishments, and actual strengths are in accordance with approved policies and budgets; allocates military manpower resources within staffing priorities to fill established positions; and prepares and controls annual personnel estimates for military and civilian personnel pay, allowances, salaries, wages, and pension contributions required by the Department. In addition, the Department forecasts and controls military posting and removal expenses and is responsible for determining the occupational analysis requirements for the Canadian Forces.

◆ **Official Languages Division**

Responsible for the development of all departmental official languages policies, both military and civilian, while ensuring that they are in accordance with the Official Languages Act, government policies, and departmental requirements. This includes evaluating, monitoring, and amending existing policies; and formulating, when necessary, new directives on terminology, translation, language training programs, and testing. In addition, the Division identifies the language requirements of all civilian positions, maintains an automated information system on civilian personnel, and schedules their language training.

◆ **Personnel Careers and Senior Appointments Branch**

Responsible for controlling and administering the assignments and career progression of military personnel who have completed basic training; developing, implementing, and interpreting personnel management policies; selecting personnel for career development and academic upgrading; and administering honorary military appointments.

◆ **Personnel Coordination and Administrative Services Division**

Responsible for the administrative functions of the departmental personnel management information systems. It investigates and replies to personnel enquiries and complaints, including those under the provisions of the Canadian Human Rights Act; publishes newsletters for both military and civilian personnel; and provides records management services for personnel and fatal casualties records.

◆ **Personnel Development Branch**

Responsible for developing personnel policies, for officer training and education, and for conducting research in these areas. The Branch is also responsible for the effective overview and administration of special research, planning, and development studies.

◆ Personnel Services Branch

Responsible for developing and implementing policies on physical education programs for military personnel and for recreation activities for Canadian Forces members, their dependants, and resident Department civilian employees. The Branch administers all non-public fund operations, programs, activities, and the Canadian Forces Exchange System (CANEX). It determines military standards of dress, drill and ceremonial. The Branch also monitors conditions of service as experienced by service members and their dependants, operates the National Defence Dependants school system, and plans and advises on matters concerning the employment of women in the Forces.

◆ Recruiting, Education and Training Division

Responsible for the development and implementation of policy with regard to Regular Force recruiting and selection, and individual training and education of military and civilian members of the Department.

◆ Surgeon General Branch

Responsible for the organization and administration of the Canadian Forces medical services to conserve military manpower and provide medical support for military operations. This includes the development, review, and evaluation of medical policies, plans and programs relating to operational commitments; therapeutic medicine; preventive medicine; social development services; training and staffing of medical, nursing and medical associate officers, and medical trades personnel; pharmaceutical and financial services; the design and maintenance of medical facilities; and the negotiation of medical agreements with other government departments, civilian hospitals, and affiliated universities in support of Canadian Forces requirements.

Assistant Deputy Minister — Policy

◆ Chief Review Services Branch

The Branch provides departmental advice and functional authority on review matters. It is responsible and accountable for the planning and conduct at the National Defence Headquarters (NDHQ) level of program evaluations, internal audits, and military reviews of all aspects of departmental and military operations and activities. It also provides independent, objective reports on the effectiveness, efficiency and economy of the Department of National Defence and the Canadian Forces.

◆ Defence Program Branch

Develops and maintains the defence program management systems, controls and reviews the defence services program, analyzes options to meet the Department's capability goals, reviews and guides the management of major projects, and prepares the defence services program forecast.

◆ Operational Research and Analysis Branch

Directs the operational research and systems analysis program, and is responsible for the maintenance of scientific quality and support of financial research elements.

◆ Organization and Manpower Division

Responsible for generating departmental organizational policy, procedures, structures, changes, and orders; and for identifying current and future military and civilian manpower requirements with the Department. It also controls military rank and occupational code structures, develops and maintains the official position list of continuing civilian employees, controls the casual person-year allocation, develops and maintains manpower scales and standards, manages the on-site manpower evaluation program, and produces and maintains unit establishment documents.

◆ Policy Coordination Division

Effects liaison with Cabinet through the Privy Council Office, monitors departmental policy and conducts relations with Parliament.

◆ Policy Planning Branch

Responsible for originating and advising on policy matters, evaluating strategic developments, developing defence policy proposals (including defence objectives) and guidance for the planning of defence capabilities, and coordinating policy planning with external agencies, NATO in particular.

Chief Review Services Branch

The Branch provides departmental advice and functional authority on review matters. It is responsible and accountable for the planning and conduct at the National Defence Headquarters (NDHQ) level of program evaluations, internal audits, and military reviews of all aspects of departmental and military operations and activities. It also provides independent, objective reports on the effectiveness, efficiency and economy of the Department of National Defence and the Canadian Forces.

Communications Security Establishment

Deputy Chief of the Defence Staff

◆ Air Doctrine and Operations Branch

Responsible for all air force matters, including the type of air force that is required and how it should be used, equipped, and trained to meet established departmental objectives. This branch also advises on air reserve employment and training policy, and provides representation to meet national and international liaison and representational requirements.

◆ Emergency Preparedness Canada

Emergency Preparedness Canada is the federal agency responsible for coordinating the emergency planning and

response of the Government of Canada for peacetime and wartime emergency situations.

◆ Executive Secretariat Division

The Division is responsible for providing and managing administrative services to ensure the effective functioning of the Department in general, and National Defence headquarters in particular. This includes providing departmental administrative support such as library services, records management services, personnel identification services, and National Defence headquarters accommodation management services. The Division also provides departmental policy coordination and review, and secretariat support for senior management meetings. The director general of this division is the corporate secretary for the Department of National Defence.

◆ Land Doctrine and Operations Branch

Responsible for army future force development, doctrine (material taught), force structuring, operational training and planning, equipment requirements, and scaling of equipment.

◆ Maritime Doctrine and Operations Branch

Responsible for the development of maritime policy and doctrine relating to force structure, capability requirements, equipment requirements, contingency plans, operational employment, resource management, staffing, training standards, and training and employment policy related to the naval reserve.

◆ Military Communications and Electronics Division

Responsible for providing communications and electronics support to National Defence by directing or coordinating all military communications and electronics matters. This includes coordinating communications for exercises and operations, communications security, communications plans and requirements, the management of radio frequencies, coordination of international military communications matters, and electronic warfare.

◆ Military Engineering Operations Division

Acts as principal adviser on all military engineering and cartographic matters for both Regular and Reserve Forces. This includes training standards, policy, and doctrine for the employment of military engineering and cartographic units. The Division also provides operational information, advice, and guidance for the military engineering and cartography portion of the departmental force structuring, operational planning, training, and resource management processes. In addition, it meets national and international liaison and representational requirements.

◆ Military Plans and Operations Coordination Division

Aligns military (sea, land, air) operations and plans so that they are mutually supporting and centrally controlled. The Director General, Military Plans and Operations applies a

National Defence Headquarters overview to current national concerns in planning for war and peacetime emergencies. As well, the Division acts as a focal point for operational training and activity planning by assigning and monitoring operations of the Canadian Forces and coordinating Canadian Forces activities on standardization agreements and equipment requirements for nuclear, chemical, and biological defence operations.

◆ National Search and Rescue Secretariat

The Secretariat is an independent body outside the line authority of the Department that has as its central focus the coordination of the national search and rescue program in Canada.

◆ Office of the Judge Advocate General

The Office provides legal advice to the Department and the Canadian Forces and oversees a system of courts and justice applicable to all members of the Canadian Forces and to civilians subject to the code of service discipline under section 55 of the National Defence Act. The Office also administers and settles damage and injury claims by and against the Department; administers the service estates of all deceased members of the Canadian Forces; and administers the Service Pension Board.

◆ Reserves and Cadets Division

Responsible for advising on policy, training standards, and doctrine for the employment of Reserve Force sub-components; operational information; structuring and development; operational training; equipment acquisition; and the implementation of National Defence Headquarters reserve policy. The Division also provides advice on departmental support to cadet programs sponsored by the navy, army, and air cadet leagues.

Directorate of Military History

Executive Secretariat Division

Judge Advocate General

Vice Chief of the Defence Staff

◆ Flight Safety Directorate

Makes recommendations and develops programs that will result in the prevention of accidental losses of aviation resources. This function includes identifying hazardous areas of Canadian Forces air operations and support functions, developing and monitoring the flight safety program, reviewing aircraft occurrences for trend analysis, providing educational information, participating in the development of new aviation resources, conducting flight safety surveys at civil contractor facilities, and exchanging flight safety information with other military and civilian agencies for accident prevention purposes.

◆ General Safety Directorate

Responsible for overall development, coordination, and administration of the Department's general safety program and for advising on general safety accident experience, risk and loss control management, and occupational health policy.

◆ Infrastructure Planning and Coordination Directorate

Responsible for coordinating base planning activities within the Department. It prepares base planning guidelines, monitors the implementation of a base development plan, plans for joint use and occupancy of Canadian Forces bases by civil authorities and foreign military powers, provides advice to other staff agencies on base planning matters, and coordinates civil use of military airfields.

◆ Military Intelligence and Security Branch

Develops policies and plans for the management of National Defence intelligence and security resources, and produces and disseminates timely defence intelligence. It controls the activities of Canadian Forces attachés and advisers, is the point of contact for foreign military attachés and advisers accredited to Canada, and provides security services to the Department.

Information Holdings

Program Records

Assistant Deputy Minister — Communications and Public Affairs

Exhibitions and Displays

Description: Information on Department and Canadian Forces participation in national and international exhibitions, air shows, mobile theatres, and Armed Forces Day.

Program Record Number: DND ISD 060

Information Services

Description: This class covers aspects of information and public affairs activities. **Topics:** Policy; biographies; news releases; press clippings; radio and television; films; photographs; information programs; media visits; ceremonies and celebrations; Armed Forces Day; and national and international public relations activities; as well as administration and general files. **Program Record Number:** DND ISD 065

Military History Document Collections

Description: Information on almost all activities of the Canadian Forces from the early twentieth century to recent times. Beginning dates vary from the turn of the century to the Second World War. **Access:** Subject and accession number, source, author, or title. **Program Record Number:** DND DMH 075

Assistant Deputy Minister — Finance

Automated Data Processing

Description: Information on automated data processing standards, base automated data processing program, logistic services, and systems applications. **Program Record Number:** DND MSD 780

Finance and Accounting

Description: Files on the overall financial administration of the Department. **Topics:** Accounting; allotments; financial arrangements and agreement; costing; administration of pay and allowances; and write-offs. **Program Record Number:** DND FSB 765

Integrated Automatic Data Processing System

Description: Information on the system, and files on policy and planning. **Program Record Number:** DND MSD 790

Management Information Systems (Design and Analysis)

Description: Automated management information systems. **Topics:** Automated data processing — general; Annual Automated Data Processing Report and Plan; the personnel management information system; the organization and management of management information systems; the technical services of management information systems; and analysis and design of management information systems. **Program Record Number:** DND MSD 785

Management Services

Description: Information on management consulting services, projects and scales and standards program studies. **Topics:** Management consulting services project reports; scales and standards studies; and advisory services. **Access:** Fiscal year, file number, title of report, client. **Program Record Number:** DND MSD 775

Assistant Deputy Minister — Materiel

Accidents — Explosives

Description: Information on accidents and explosive hazards at the Department of National Defence. **Topics:** Accidents — explosives safety; shipboard magazines — explosive hazards; and accident reports. **Program Record Number:** DND WEE 695

Ammunition and Explosives

Description: Information on ammunition and explosives of all calibers, land mines, torpedoes, missiles, pyrotechnics, demolition materials, fuses, design, modification, and storage. **Topics:** Design engineering; maintenance; storage; explosive safety; disposal; technical information; and ammunition restrictions. **Program Record Number:** DND WEE 700

Applied Research

Description: Information on applied research and technology base activities and projects. **Topics:** Military college grants; defence science contracting; surveillance

and remote control sensing; and laser technology.

Program Record Number: DND RDB 725

Canadian Forces Mobile Support Equipment Safety Program

Description: Information on the administration of the Canadian Forces mobile support equipment safety program. **Topics:** Reports, returns and statistics; and competitions and awards. **Program Record Number:** DND TRD 690

Communications — Electronics Systems and Equipment (Engineering and Maintenance)

Description: Correspondence, reports, scaling, and technical information on communications — electronics systems. **Topics:** Telephone and telegraph; teletype and facsimile; radio and television; radio navigation; intercommunication and public address; radar; underwater sound equipment; also components and connectors. **Program Record Number:** DND WEE 660

Construction Engineering

Description: Information on the acquisition, disposal, utilization, and management of real property or interest therein; design and construction of works projects; the maintenance of works and buildings; utilities services; and similar functions within the Department of National Defence. **Topics:** Accommodation; airfields; construction and maintenance; fire prevention; grounds maintenance; heating systems; janitorial services; natural resources management; real property management; acquisition of works and buildings; lettings; disposal; research and development; sanitation services; and utilities. **Program Record Number:** DND CPB 710

Environmental Protection and Pollution Control

Description: Information on environmental protection and pollution control within the Department of National Defence. **Topics:** Air, solid waste disposal, and toxic hazardous waste disposal pollution control; and environmental assessment and review. **Program Record Number:** DND CPB 715

Equipment and Supplies

Description: Correspondence files on various stages of acquisition, life of, and disposal of equipment and supplies. **Topics:** Cataloguing and identification; contract demands; disposal; initial provisioning; issues from and restocking; local purchases; packing, packaging and preservation; recovery, recuperation and salvaging; replacement program; sales, transfers and free issues; scales and tables — Canadian Forces scales; spare parts; stocktaking and inventories; storage and warehousing; supply and demand; and surplus and excess stores. **Program Record Number:** DND SUB 670

Equipment and Supplies (Engineering and Maintenance)

Description: Information pertaining to equipment and supplies being considered for acquisition, in the course

of acquisition, or in service within the Canadian Forces.

Topics: Defects and failures; design and engineering changes; drawings and specifications; maintenance techniques; and modifications and alterations. **Access:** Subject, equipment name, contract number, catalogue number. **Program Record Number:** DND WEE 645

Equipment and Supplies — Military Assistance Program

Description: Information on the military assistance program. **Topics:** Policy; general; Turkish T33 aid program; and Portuguese aid program. **Access:** By country and subject. **Program Record Number:** DND RDP 760

Equipment and Supplies — Research and Development

Description: Research and development information on equipment and supplies requested by the Department. **Topics:** Research requirements of the Canadian Forces; industrial research and development; and bilateral arrangements for research and development with other countries. **Program Record Number:** DND RDB 730

Exports and Imports

Description: Information on Department of National Defence export permits. **Topics:** Policy; applications for export; intelligence and security implications; and reports of export permits. **Program Record Number:** DND RDP 750

Food Services

Description: Information on the provision of food services in the Canadian Forces. **Topics:** General; staff visits; and unit returns. **Program Record Number:** DND SUB 675

Inventions and Patents

Description: Information on departmental policies on patent administration. **Topics:** Inventions and patents; inventions submitted to the Department; patent applications referred to the Department; patent opinions, validity and infringement; trade mark matters; copyright matters; design matters; and inventions arising from Department contracts. **Program Record Number:** DND RSD 740

Logistics Operations

Description: Information on logistic contingency plans and procedures and coordination of logistics aspects of operations. **Topics:** Logistics operations — national and international, logistic policy and doctrine, and logistic systems evaluation. **Program Record Number:** DND RSD 745

Machinery, Vehicles, Engines (Engineering and Maintenance)

Description: Correspondence, instructions and technical information on cataloguing and identification, defects, maintenance, repair, and overhaul, and supply and demand. **Topics:** Ship and boat propulsion components; deck machinery; passenger motor vehicles; trucks and truck tractors (wheeled), by type; trailers; combat, assault

and tactical vehicles (tracked); engines by type and application; and turbines by type and application.

Access: Class of main system and type. **Program**

Record Number: DND WEE 655

Metric System

Description: Information on metric conversion at the Department of National Defence. **Topics:** politic; general

Program Record Number: DND RDP 755

Military Clothing and Personal Equipment

Description: Correspondence, reports, scaling, and technical information on military clothing and personal equipment. **Topics:** Flags and pennants; clothing — individual equipment and insignia; outerwear — men's, women's; clothing, special purpose; underwear — men's, women's; footwear — men's, women's; hosiery; and badges and insignia. **Program Record Number:** DND WEE 665

National Defence Publications — Production and Distribution

Description: Information on documentation and drawing services (including the design production and management of the Department's publications). **Program Record Number:** DND RSD 735

Postal Services

Description: Information on the Canadian Forces postal service. **Topics:** Handling of mail; regulations; postal inspections; special arrangements; and financial operations. **Program Record Number:** DND TRD 685

Technical Co-operation Program

Description: Information on co-operation in research and development with defence departments in Australia, New Zealand, Britain and the United States. **Topics:** Guided missiles; undersea warfare technology; electronic devices; military space research; nuclear weapons defence; and behavioral sciences. **Program Record Number:** DND RDB 720

Transportation — General

Description: Information on the Department's transportation services. **Topics:** Material; personnel; programming and requirements; rates and tariffs; reports and returns; special flights; systems development; and vehicles. **Program Record Number:** DND TRD 680

Weapons and Systems (Engineering and Maintenance)

Description: Correspondence, instructions, and technical descriptions on weapon systems and primary equipment platforms of maritime and air elements, and guns, missiles, and launchers for all environments. **Topics:** Guns by type; launchers; fire control systems; aircraft by type; ships by class and name; and guided missiles. **Program Record Number:** DND WEE 650

Assistant Deputy Minister — Personnel

Amenities — Canadian Forces

Description: Information on the Canadian Forces amenity programs. **Topics:** Policy; books, magazines, and newspapers; entertainment films; live shows; radio and television; spirits, wine and beer; and tobacco and cigarettes. **Program Record Number:** DND PSB 390

Appointments

Description: Information dealing with military appointments. **Topics:** Senior appointments; aides-de-camp; commanders; colonel commandants; colonel of the regiment; and honourary. **Program Record Number:** DND DOC 430

Badges and Insignia

Description: Information dealing with the badges and insignia of the Canadian Forces. **Topics:** Badges and insignia for Canadian Forces; commands; units; branches; ranks; and qualification. **Program Record Number:** DND DOC 410

Bands

Description: Information dealing with bands of the Canadian Forces. **Topics:** Policy; music and songs; regimental marches and calls; organization; and participation in ceremonies. **Program Record Number:** DND DOC 435

Battle Honours

Description: Information dealing with battle honours. **Program Record Number:** DND DOC 415

Canadian Forces Personnel Newsletter

Description: Information on the production, distribution, and subjects in the newsletter. **Topics:** Dissemination of information on Canadian Forces personnel matters; letters to the editors; and production and distribution. **Program Record Number:** DND PCA 615

Canadian Forces Physical Education and Recreation

Description: Information on the Canadian Forces physical education and recreation programs. **Topics:** Policy; physical education and recreation — sports; and sports competitions and championships — national and international. **Program Record Number:** DND PSB 395

Canadian Human Rights Act

Description: Policy, guideline, and correspondence files on the relationship between the Canadian Human Rights Act and present and former military personnel or civilian employees of the Department of National Defence. **Program Record Number:** DND PCA 605

Careers

Description: Information dealing with career matters of Canadian Forces personnel. **Topics:** Careers, officers; career development program, other ranks; and careers, men. **Program Record Number:** DND CSA 520

Ceremonies, Celebrations

Description: Information dealing with participation, by the Department of National Defence, in ceremonies and celebrations. **Topics:** Ceremonies and celebrations; centennials; and observance of special days. **Program Record Number:** DND DOC 420

Chaplain Services — Protestant

Description: Information on chapel and religious activities conducted for, or on behalf of, Canadian Forces personnel and their dependants. **Topics:** Protestant denominations; religious administrations; moral and religious training; chapel offerings; stewardship; and Sunday school mission project. **Program Record Number:** DND CGP 470

Chaplain Services — Roman Catholic

Description: Information on church and religious activities conducted for, or on behalf of, Canadian Forces personnel and their dependants. **Topics:** Chancery activities, religious services, religious training, parish organization and administration, pastoral activities, liturgy, religious education, vocations, moral issues, schools, chapels, ecclesiastical equipment, pilgrimages, ecclesiastical terms of reference command, base and officiating chaplains, ecclesiastical monthly reports, and ecumenism. **Program Record Number:** DND CRC 485

Chaplain Training — Protestant

Description: Information on training conducted to increase the effectiveness of chaplains and lay leaders in their ministry to Canadian Forces personnel and their dependants. **Topics:** Advanced chaplain courses; refresher courses; professional training including lay leadership and post-graduate studies; reserve chaplain courses; and junior and middle management courses. **Program Record Number:** DND CGP 475

Chaplain Training — Roman Catholic

Description: Information on the training of chaplains and seminarians to perform religious activities on behalf of Canadian Forces personnel and their dependants. **Topics:** Military training; indoctrination courses; special courses; orientation courses; and reserve officer training. **Program Record Number:** DND CRC 480

Civilian Personnel — Employee Training

Description: General guidelines and correspondence files on civilian training within the Canadian Forces. **Topics:** Employee training and development; apprenticeship training; training agreements; annual training and development review reporting; and civilian training study. **Program Record Number:** DND RET 380

Civilian Personnel Services

Description: Information on the administration of the Department's civilian personnel activities. **Topics:** General information; general administration; financial administration; staffing; classification; compensation and benefits; staff relations; superannuation; human resources (planning and training); forms and records; career development; casual

labour program; collective bargaining courses; industrial relations and compensation; management trainee program; and manpower. **Program Record Number:** DND CPS 600

Classification Revision Program

Description: General correspondence and document files on the classification audit program. **Topics:** The revision of classification standards and internal classification studies; the Classification Policy Manual; monthly classification activity reports; classification of civilian personnel positions in the Canadian Forces Europe; classification grievances; and the classification audit program. **Program Record Number:** DND CLD 590

Colours, Flags

Description: Information dealing with colours and flags. **Topics:** Flags; pennants; colours; devices; and accessories. **Program Record Number:** DND DOC 425

Dental Treatment Services

Description: Information on policy and statistics, and general information on provision of dental care in the Canadian Forces dental services. **Topics:** General policies; entitlement to dental treatment of military and civilian personnel; dental care delivery system; reports and returns; statistical analysis of treatment programs; research and development; and dental treatment accounts and costing. **Program Record Number:** DND DSD 505

Documentation and Records

Description: Information on the requirement for documentation and records for Canadian Forces personnel. **Topics:** Records of service; documents; trade qualification records; statement of ordinary residence; release documentation; certificates; personal documents required for records and pension purposes; and unit employment records. **Access:** See also banks DND PPE 837 and DND PPE 838 in the Personal Information Index. **Program Record Number:** DND PCA 630

Documentation and Records — Medical

Description: Information dealing with medical documentation and records, and their release. **Topics:** Medical dental records. **Access:** See also bank DND PPE 810 in the Personal Information Index. **Program Record Number:** DND SGB 490

Dress Instructions

Description: Information on dress regulations and clothing requirements in the Canadian Forces. **Topics:** Ceremonial; environmental; women personnel; design; officers; other ranks; and special purpose. **Program Record Number:** DND DOC 440

Education of Children of

Members of the Canadian Forces

Description: Information on the provision of education and facilities at the elementary and secondary levels within Canada and abroad. **Topics:** Overseas (schools);

teachers' recruitment and nomination (overseas schools); financial; reports and statistics; non-resident school fees (Canada); and teachers' salaries (in Canada). **Program Record Number:** DND DEP 465

Employment

Description: Information on the employment of personnel in the Canadian Forces. **Topics:** Military employment of personnel resources; and military reserves employment. **Program Record Number:** DND MUD 565

Engagement and Re-engagement

Description: Information dealing with engagement and re-engagement of Canadian Forces personnel. **Topics:** Policy; extension of service; vested rights; and liability to serve. **Program Record Number:** DND CSA 525

Enrollment and Recruiting

Description: Information dealing with enrollment and recruiting within the Canadian Forces. **Topics:** Recruiting advertising; enrollment enquiries for Canadians, aliens, and officer applicants, and for regular officer training plan; enrollment applications for officer candidate training plan and for females. **Program Record Number:** DND RET 375

Exchanges, Loans and Secondments

Description: Information on the administration of personnel exchanges, loans, and secondments. **Topics:** United Nations; Commonwealth nations; allied nations; and other government departments. **Program Record Number:** DND MUD 570

Financial Benefits — Pay and Allowances

Description: Information on policies and programs for military compensation, allowances, and personnel benefits, as related to comparable benefits available to the Public Service. **Topics:** Pension deductions; contributions and deferred pay; pay allotments and remittances; fines; forfeitures and deductions; transportation and travelling expenses; and miscellaneous entitlements and grants. **Program Record Number:** DND CBD 460

Honours and Awards

Description: Information on honours and awards. **Topics:** Honours; awards; citations; commendations; medals; and decorations. **Program Record Number:** DND DOC 445

Industrial Relations and Compensation

Description: Information on military compensation and benefits in relation to comparable benefits available outside the military. **Program Record Number:** DND CBD 455

Language Training

Description: Information on language training in the Department. **Program Record Number:** DND OLD 640

Manpower

Description: Information on manpower programming. **Topics:** Strength and attrition forecasts — officer training plans; men; enrollment program; financial control program; computer support manning programs; ceilings;

manpower requirements (civilian and military); and manning priorities. **Program Record Number:** DND MUD 575

Medical Services

Description: Files contain more detailed expansion of the policy, guidelines and procedures as outlined in medical orders, plus correspondence on medical care and treatment. **Topics:** Medical plans and services; anatomy and physiology; medical care and attendance; bacteriology; blood and blood derivatives; cardiology; diseases and conditions; diseases and conditions — tuberculosis, venereal diseases; employment of civilian doctors and nurses; examinations and standards; hearing; human resources and military psychology; hygiene and sanitation; hygiene and sanitation — industrial, pest control; laboratory services; medicine; medicine — aviation, nuclear, bacteriological and chemical warfare, submarine, climatic, diving; nutrition; psychiatry; medical publications; radiation selection and protection; radiology; reports, returns and statistics; research; surgery; and vision. **Program Record Number:** DND SGB 495

Medical, Dental and Veterinary Equipment and Supplies

Description: Information on the cataloguing, purchasing, storage, disposal, and quality control of medical, dental, and veterinary equipment and supplies. **Topics:** Medical, dental and veterinary equipment and supplies; drugs, biologicals, and official reagents; medicated cosmetics and toiletries; surgical dressing materials; medical and surgical instruments and supplies; dental instruments, equipment, and supplies; X-ray equipment and supplies — medical, dental, and veterinary; hospital furniture, equipment, utensils, and supplies; hospital and surgical clothing and textile special-purpose items; optician's instruments, equipment, and supplies; medical sets, kits, and outfits; instruments and laboratory equipment. **Program Record Number:** DND SGB 500

National Defence Public Service Communiqué

Description: Information on the production, distribution, and subjects in the Communiqué. **Topics:** Dissemination of information on civilian personnel matters; letters to the editor; and production and distribution. **Program Record Number:** DND PCA 620

Non-Commissioned Members

Description: Information on ranks and rank structure of the Canadian Forces. **Topics:** Ranks, general; ranks, qualifying examinations; and rank structure. **Program Record Number:** DND CSA 535

Non-Public Funds — Canadian Forces

Description: Information on Canadian Forces non-public funds. **Topics:** Canadian Forces central funds; benevolent — welfare — trust and assistance funds; and public support to non-public funds. **Program Record Number:** DND PSB 400

Officer Classification Structure

Description: Information on the officer classification structure. **Topics:** Environment (land, sea, air); revenues; and classification specifications. **Access:** Military occupation code (MOC) numbers. **Program Record Number:** DND MUD 560

Officer Development

Description: Information on officer development, education, training, and commissioning requirements. **Topics:** Officer professional development program; the granting of degrees; post commissioning — general; entry and initial training; staff courses — general; and staff college training requirements. **Program Record Number:** DND PDB 365

Officer Production

Description: Information on the policies and procedures for the production of officers. **Topics:** Regular officer training plan; officer candidate training plan; and the admission of women to military colleges. **Program Record Number:** DND PDB 350

Official Languages

Description: Information on the administration of the Department's official language plans. **Topics:** Official languages; civilian requirements; military requirements; and departmental official language plan. **Program Record Number:** DND OLD 635

Personnel Dental Records

Description: Dental fitness and treatment records. **Topics:** Assessment of dental fitness; condition on enrollment; record of dental conditions and past dental treatment; brief medical questionnaire. **Access:** Files arranged by name, rank and social insurance number. See also bank DND PPE 811 in the Personal Information Index. **Program Record Number:** DND DSD 510

Personnel Insurance

Description: Information on policies and procedures for hospital and medical benefits to dependants of military personnel. **Topics:** Hospital and medical; medicare; service income security insurance plan; etc. **Program Record Number:** DND CBD 450

Personnel Management Information Systems

Description: Information on the personnel management information systems. **Topics:** Policy; automated system design, development, evaluation, and modifications; sub-systems; system input; data elements and codes, military occupation and specialty qualifications; system output; quality control; personnel management and personnel-related information systems; training management information system; and long-term personnel information project. **Program Record Number:** DND PCA 625

Personnel Selection

Description: Information on personnel selection policies and standards. **Topics:** Personnel selection policies and standards; research and development of standards for selection of personnel; second career assistance network; attitude surveys; leadership assessments; non-commissioned officer selection; and commissioning plans selection standards. **Program Record Number:** DND PDB 355

Personnel Studies

Description: Studies on personnel development research. **Topics:** Various departmental studies on personnel (civilian and military) such as premature release, aircrew — future requirement (1976), and others. **Program Record Number:** DND PDB 360

Position Classification

Description: Files on job descriptions, organizational charts, rationales on job evaluation, on-site review reports, grievance reports, and job ratings. **Program Record Number:** DND CLD 595

Postings

Description: Information on postings and transfers of Canadian Forces personnel. **Topics:** Policy; postings, officers; postings, other ranks; posting orders and instructions; and postings, temporary duty to courses. **Program Record Number:** DND CSA 515

Promotions

Description: Information on promotions of Canadian Forces personnel. **Topics:** Promotions, officers; and promotions, other ranks. **Program Record Number:** DND CSA 530

Qualifications

Description: Information on the qualifications of officers and other ranks. **Topics:** Qualifications; requirements; officers and other ranks. **Access:** military occupation code (MOC) numbers. **Program Record Number:** DND MUD 580

Releases

Description: Information on releases of Canadian Forces personnel. **Topics:** Policy; officers; and other ranks. **Program Record Number:** DND CSA 540

Remustering of Tradesmen

Description: Information on the remustering of Canadian Forces personnel. **Program Record Number:** DND CSA 545

Retirement

Description: Information on retirement of Canadian Forces personnel. **Topics:** Policy; premature retirement; and compulsory retirement. **Program Record Number:** DND CSA 550

Service Personnel — Occupational Analysis

Description: Information on miscellaneous aspects of service personnel activities. **Topics:** Officers; and other ranks. **Access:** Military occupation code (MOC) numbers. **Program Record Number:** DND MUD 555

Trade Evaluation and Structure

Description: Information on trade evaluation structure and specifications. **Access:** Files arranged by military occupation code (MOC) numbers. **Program Record Number:** DND MUD 585

Training — General

Description: Information on policy guidelines for coordinating training development activities in the Canadian Forces. **Topics:** Basic training; courses in-service; courses out-service; management training; post-graduate training; and training technology. **Program Record Number:** DND RET 370

Welfare

Description: Information dealing with the Canadian Forces Welfare Program and Services. **Topics:** Financial counselling, and social work services. **Program Record Number:** DND PSB 405

Assistant Deputy Minister — Policy

Defence Programming

Description: Information on the planning, programming, budgeting, and managing of the defence services program. **Topics:** The control and identification of all defence activities. **Program Record Number:** DND DPB 125

Establishment and Official Position List

Description: Policy guidance, procedures, and correspondence files dealing with Canadian Forces establishments and the civilian official position list. **Topics:** Canadian Forces establishments (monthly and quarterly establishment recapitulations); civilian official position list; on-site manpower evaluation reports. **Program Record Number:** DND OMD 160

Forecasts of Expenditures

Description: Information on government expenditures and the expenditure management system. **Topics:** Forecasts of expenditures for all defence services program activities. **Program Record Number:** DND DPB 130

Manpower Standards

Description: Policy guidance, procedures, and correspondence files dealing with manpower standards and establishment criteria. **Topics:** Manpower standards and establishment criteria. **Program Record Number:** DND OMD 165

NATO

Description: Information on the North Atlantic Treaty Organization. **Topics:** NATO agreement; NATO committees; NATO defence measures and plans; NATO mutual aid;

NATO organization and establishment; and NATO defence review. **Program Record Number:** DND PPB 145

Operational Research and Analysis

Description: Correspondence files on the preparation of programs, war game rules and techniques, concepts of operation, and strategic studies. **Topics:** Operational research — land, maritime, air; and research analysis of logistics, manpower, mathematics, and statistics (social, economic, and strategic. **Program Record Number:** DND ORA 150

Organization

Description: Information on departmental organization structure and Canadian Forces Organization Orders. **Topics:** Organization policy and procedures; Canadian Forces Organization Orders; and unit identification codes. **Program Record Number:** DND OMD 155

Policy Planning with External Agencies (Canadian and Foreign)

Description: Information on the integration of all external (international) operations conducted by government, and liaison with foreign agencies regarding UN operations. **Topics:** Integration of government external operations; United Nations; policy; peacekeeping; and International Peace Academy. **Program Record Number:** DND PPB 140

Strategic Assessment

Description: The Department of National Defence strategic assessment files dealing with the study of international political, economic, and military events and trends. **Program Record Number:** DND PPB 135

♦ Chief Review Services Branch

Auditing

Description: Correspondence on departmental auditing programs. **Topics:** Limited dividend housing; progress reports; special investigations; functional audit program; professional practices; and systems development reviews. **Program Record Number:** DND FSB 770

Deputy Chief of the Defence Staff

Air Defence

Description: Information on air defence as it applies to North America. **Topics:** Movement of aircraft; detection and tracking; and deployment of aircraft. **Program Record Number:** DND ADO 230

Air Doctrine and Operations

Description: Information on various modes of employment of air vehicles in both the combat and support role. **Topics:** Aerial, tactical and reconnaissance operations; flying rates; ejection; bail-out and parachuting procedures; and doctrine development. **Program Record Number:** DND ADO 235

Air Regulations — Infractions

Description: Information on security identification zones, air regulations in general, and investigation of infractions.

Program Record Number: DND ADO 240

Air Traffic Control

Description: Information on matters related to air traffic

control, mainly on a day-to-day basis in a peacetime

environment. **Topics:** Control of airways; zones; and military flying areas. **Program Record Number:** DND ADO 245

Air Training

Description: Information on air training in general.

Topics: Training flights; flying boats; parachuting; aircrew; packer rigger; weapons instructor; and meteorology, air operational, search and rescue. **Program Record Number:** DND ADO 290

Air Weapons Safety

Description: Information on air weapons safety in

general, as well as policy and investigations of accidents and incidents. **Program Record Number:** DND ADO 250

Appointments — Colonel Commandant

Description: Information on the appointment and activities

of colonel commandants for branches of the Canadian Forces. **Program Record Number:** DND LDO 220

Appointments — Colonel of the Regiment

Description: Information on the appointment and activities of colonels of the regiment of the Canadian Forces

regiments. **Program Record Number:** DND LDO 225

Auxiliary Fleet Administration

Description: Correspondence on the administration

of the auxiliary fleet. **Topics:** General administration; docking and undocking reports; finance and accounting; replacement program; equipment; and personnel. **Program Record Number:** DND MDO 170

Cadets

Description: Information on the administration, organization, and establishment of cadet corps and schools, cadet training courses, and cadet exchanges. **Topics:** Cadets;

and Cadets — Air, Army, Sea. **Program Record Number:** DND RCD 340

Canadian Forces Commitments to the United Nations

Description: Canadian Forces commitments to United Nations operations and plans. **Topics:** United Nations Truce Supervisory Organization; United Nations Forces in Cyprus; and United Nations Disengagement Observer Force. **Program Record Number:** DND MPO 305

Command and Control — Sea

Description: Correspondence on the various maritime systems, generically called command and control systems, which are concerned with the collection, evaluation, display, and dissemination of tactical information to permit effective command of a force at sea. **Program Record Number:** DND MDO 180

Command and Control Systems — Land

Description: Information on development and user

input to command and control system, development of operational concepts and organization for the land forces, and combat development in Canada. **Program Record Number:** DND LDO 205

Communications and Electronics

Description: Information dealing with communications and electronics in the Canadian Forces. **Topics:** Air traffic control systems; air transportable system; automated data processing (ADP) system; electronic warfare; fixed communications; frequencies; fleet communications; navigation aids; and radar. **Program Record Number:** DND MCE 325

Communications Equipment

Description: Correspondence and directives dealing with the procurement and distribution of certain electronics equipment. **Topics:** Communications security equipment and components; and electronic warfare equipment.

Program Record Number: DND MCE 335

Domestic Operational Plans

Description: Current national plans dealing with domestic emergencies of military or civil nature. **Topics:** Aid to other federal departments; aid to civil powers, armed assistance, etc; domestic emergency plans; emergency planning; and mobilization planning. **Program Record Number:** DND MPO 300

Flight Information

Description: Notices to airmen, danger and restricted areas, and annual air facility description. **Topics:**

International Civil Aeronautical Organization; publications; and aeronautical charts. **Program Record Number:** DND ADO 255

Instructional Material

Description: Instructional pamphlets, books, manuals, etc. resulting from the development of land doctrine.

Program Record Number: DND LDO 210

Land Environment

Description: Information on the British Army Training Conference (training in Canada) and British exercises in Canada. **Program Record Number:** DND LDO 215

Land Mines

Description: Information on land mines and land mine warfare in the Canadian Forces and allied armies. **Topics:** Anti-tank mines; anti-personnel mines; off-route mines; scatterable mine systems; mine countermeasures; mine dispensing equipment; and mine detection. **Program Record Number:** DND MEO 315

Mapping and Charting

Description: Information on mapping and charting at the Department of National Defence. **Topics:** Requirements; formal agreements; operational developments; and requests and issues. **Program Record Number:** DND MEO 310

Maritime Collisions

Description: Correspondence and reports on collisions at sea. **Topics:** Groundings; berthing incidents; and mishaps. **Program Record Number:** DND MDO 175

Maritime Defence

Description: Correspondence on maritime defence. **Topics:** Defence of ships; utilization of government-owned vessels during emergency or war; torpedo counter-measures; maritime forces deployment; maritime defence in the Arctic; security of Canadian ports, internal waters, and shipping. **Program Record Number:** DND MDO 185

Maritime Operations

Description: Correspondence on maritime operations. **Topics:** Employment of maritime forces; ships' movement reporting system; naval gunfire support; operational readiness; and International Commission on North Atlantic Fisheries — fisheries patrols. **Program Record Number:** DND MDO 190

Maritime Operations — Contingency

Description: Correspondence on maritime contingency operations. **Topics:** Civil direction of shipping; naval control of shipping; naval officer-in-charge organization; and fisheries emergency control organization. **Program Record Number:** DND MDO 195

Meteorology

Description: Information on all aspects of meteorology in the Canadian Forces, mainly from an organizational and administrative point of view. **Topics:** Communications; publications; secondment of personnel; forecasting; and observing. **Program Record Number:** DND ADO 260

Military Engineering Training

Description: Information on military engineering training in the Canadian Forces. **Topics:** General; officers; civilians; field engineers; structures; mechanical; and fire prevention. **Program Record Number:** DND MEO 320

Nuclear Weapons

Description: Information on policy and various administrative procedures and understandings that pertain to the control of nuclear weapons. **Topics:** Administration; accidents and incidents; field activities; safety; storage; and supplementary arrangements. **Program Record Number:** DND ADO 265

Nuclear, Biological and Chemical Defence

Description: Information on the Canadian Forces defence to nuclear, biological, and chemical threats as it relates to training and equipping the Canadian Forces. **Topics:** Equipment; and nuclear, biological and chemical training. **Program Record Number:** DND MPO 295

Operational Equipment Requirements — Air

Description: Information on various air-related equipment requirements including air vehicles, ground support equipment, and some ground installations. **Topics:** Tactical

requirement; ground support; and training requirement.

Program Record Number: DND ADO 285

Organization (Army)

Description: Information on development of force structure and unit establishments. **Topics:** Units and formations. **Program Record Number:** DND LDO 200

Overflights

Description: Information on overflights of Canada by aircraft of various nations under various circumstances; also overflights of other countries. **Program Record Number:** DND ADO 270

Reserves

Description: Information on the administration, training, doctrine for employment, operation, structure and development, and equipment acquisition for the Reserves. **Topics:** Reserves general administration; policy; and equipment policy for the Reserves. **Program Record Number:** DND RCD 345

Search and Rescue

Description: Information on virtually all aspects of search and rescue as it pertains to the Department of National Defence. **Topics:** Aircraft; marine; missing persons; and mercy flights. **Program Record Number:** DND ADO 275

Training and Employment

Description: Files dealing with the training and employment of communications personnel in the Canadian Forces. **Topics:** Communications training, including security; electronic warfare; communications officer training and employment; and employment of communications personnel. **Program Record Number:** DND MCE 330

Warfare — Anti-submarine

Description: Information on systems and techniques employed in anti-submarine warfare. **Topics:** Detection systems; and sound surveillance. **Program Record Number:** DND ADO 280

Directorate of Military History

Historical Matters

Description: Specific official and unit histories, access to documents, bilingualism, instructions to historians, printing and distribution, information on annual historical reports and diaries, and the production of official histories. **Topics:** Land environment; air environment; and sea environment. **Program Record Number:** DND DMH 070

Executive Secretariat Division

Incentive Award Plan

Description: Information on the administration of the Department's incentive award plan. **Topics:** Suggestion, merit, long service, and outstanding achievement award programs. **Program Record Number:** DND ESD 045

Office Services Administration

Description: Information on administrative services.

Topics: Correspondence management; library services; records management; transcribing and typing services; duplicating services; and parking. **Program Record Number:** DND ESD 055

Regulations and Orders

Description: Information on regulations and orders in the Department. **Topics:** Queen's Regulations and Orders for the Canadian Forces; and Canadian Forces Administrative Orders. **Program Record Number:** DND ESD 050

Judge Advocate General

Accidents — Mobile Equipment

Description: Information on Department mobile equipment accidents involving possible liabilities by or for the Crown.

Topics: File on each accident. **Access:** By subject and motor vehicle number. **Program Record Number:** DND JAG 005

Claims

Description: Information dealing with the administration of a claims section and with claims by and against the Crown.

Topics: Claims — general, by and against the Crown, and against NATO countries; ministerial compensation for loss or damage; and contract settlement. **Program Record Number:** DND JAG 010

Discipline — Courts Martial

Description: Information on the administration of Department of National Defence Courts Martial. **Program Record Number:** DND JAG 035

Legal Matters

Description: Information on settlements pertaining to legal matters. **Topics:** General; lease termination liability; citizenship; Department of National Defence personnel testifying as witnesses; military courts; civil courts; Broadcast Musical Industries Canada Ltd. performing right fees; liability for loss and damage; non-military use of Canadian Forces trade marks, designs, titles, names, uniforms; application for incorporation; employment of civilian counsel — drivers of Department vehicles outside Canada; attendance at judicial proceedings; affidavits and statutory declarations; federal role in law enforcement in Canada; research projects, law, Judge Advocate General; and legal opinions, Judge Advocate General. **Program Record Number:** DND JAG 015

Legislation

Description: Information on legislation and legislative acts related to the Department. **Topics:** Legislative acts; and Canadian Forces Superannuation Act. **Program Record Number:** DND JAG 020

Municipal Taxes and Charges

Description: Information on the administration of Department of National Defence municipal taxes and

charges. **Topics:** General correspondence on municipal taxes and charges. **Program Record Number:** DND JAG 040

Politics

Description: Information on elections in relation to Canadian Forces electors, public service electors, and dependent electors. **Program Record Number:** DND JAG 025

Treaties, Pacts and Agreements

Description: Information on the administration, by the Department of National Defence, of treaties, pacts and agreements. **Topics:** Treaties, pacts, agreements. **Program Record Number:** DND JAG 030

Vice Chief of the Defence Staff

Accident Prevention and Safety

Description: Information dealing with the administration of the Department's general safety program. **Topics:** Standards and precautions; and occupational health and safety. **Program Record Number:** DND GSD 100

Accident Prevention Training

Description: Information on the administration of the Department's general safety training program. **Topics:** Supervisor's safety; safety management; and management and occupational health training courses for military and Department civilian personnel. **Program Record Number:** DND GSD 110

Aircraft Accidents

Description: Correspondence relating to general policy concerning aircraft accidents, investigations, and summaries of accidents in the Canadian Forces and other countries, replies to requests by the public for information, and aircraft salvage and wreckage recovery. Files on each aircraft in the Canadian Forces inventory contain reports of accidents and incidents to that aircraft. **Topics:** Aircraft accidents — general; returns and summaries; aircraft of other countries; investigations; Canadian Forces and Transport Canada coordination; and salvage and wreckage recovery. **Program Record Number:** DND FSD 090

Aircraft Flight Safety

Description: Correspondence dealing with general policy, guidelines, bulletins, posters, publications, awards, operational hazards and foreign object damage associated with flight safety. **Topics:** Statistics; industrial flight safety surveys; and bird hazards. **Program Record Number:** DND FSD 095

Base Planning

Description: Information on base planning and development. **Topics:** Base closures; base consolidations; and base openings. **Program Record Number:** DND IPC 115

Intelligence

Description: Information dealing with intelligence information for the use of the Department. **Topics:** Intelligence requirements; briefing; production; facilities; studies; and publications, plans, and analyses. **Program Record Number:** DND MIS 080

Privacy Act

Description: Information on the Privacy Act with respect to present and former military personnel or civilian employees of the Department of National Defence. **Topics:** Privacy Act — policy; information banks; statistical returns; complaints and investigations; and enquiries. **Program Record Number:** DND PCA 610

Request for use of National Defence Facilities

Description: Information on the civil use of Department of National Defence aerodromes. **Topics:** Requests; authorization; and coordination. **Program Record Number:** DND IPC 120

Safety Standards

Description: Information on general safety standards and precautions. **Program Record Number:** DND GSD 105

Security

Description: Information on security, education, and information within the Canadian Forces. **Topics:** Security in an emergency; internal security operations; security education (policy, bulletins, posters); briefings (by country); security information systems (research and development); physical safeguards; release of information (by country); technical security inspections; security of installations (bases/units); security of personnel training; and counter-intelligence. **Program Record Number:** DND MIS 085

Canadian Forces Exchange System (CANEX)

Description: Information on the Canadian Forces Exchange System. **Topics:** Organization and management; accounting and finance; communications; facilities; merchandising; operations; personnel; and public support. **Program Record Number:** DND PSB 385

Personal Information Banks

Assistant Deputy Minister — Materiel

Ergonomists Information Bank

Description: This bank reflects not only the ergonomic resources available but also the skills and specific experience applicable to the persons listed in the bank. Records are accessible by providing full name and address. **Class of Individuals:** Professional ergonomists. **Purpose:** The purpose of this bank is to maintain a record of professional ergonomists (human factor engineers) offering their services in Canada as contractors and subcontractors. The bank is used to aid National Defence in contracting and subcontracting ergonomic expertise and also indicates those areas where expertise is lacking

thus indicating where appropriate training and education might be arranged. **Retention and Disposal Standards:** Computer records have been removed and archived. A printed report of the survey results is maintained and amended, as required. The record will be destroyed two years after the last administrative use. **PAC Number:** 69-014 **Related to PR#:** DND RDB 725 **TBS Registration:** 000169 **Bank Number:** DND PPU 045

Assistant Deputy Minister — Personnel

Canadian Human Rights Act — Discrimination

Description: This bank contains individual complaints and inquiries lodged under the provisions of the Canadian Human Rights Act — Part III. Records in this bank contain details of complaints including the results of both departmental and Canadian Human Rights Commission investigations, case analyses, conciliation reports and judicial processes. Records are retrievable by providing full name, year of complaint and the proscribed ground under which the complaint was made. **Class of Individuals:** Civilian employees of National Defence, military personnel and the general public. **Purpose:** Records are used for processing of complaints to determine liability and, where necessary, for review and amendment of departmental policies and practices. They are also utilized for the compilation of statistical data. **Retention and Disposal Standards:** Records are retained for five years after last administrative action. Records are then destroyed. **PAC Number:** 69-014 **Related to PR#:** DND PCA 605 **TBS Registration:** 000168 **Bank Number:** DND PPU 035

Enrollment Bank — Applicants

Description: This bank contains all enrollment application, documentation, and may contain photocopies of personal documents such as: citizenship certificate, birth certificate, education transcripts, curriculum vitae and records of acceptance or rejection decisions. Files cover approximately 25,000 applicants annually. Records are accessible by providing full name, identification number — either applicant number or service number (if unknown, home address at time of application and date of birth are required), the year of the application and the geographical location of the Recruiting Centre. Aptitude test results and Aircrew selection test results may temporarily be held on this bank, however these should be accessed through information bank DND/PPE 815. **Class of Individuals:** Applicants for enrollment in the Canadian Forces. **Purpose:** The purpose of this bank is to maintain for administrative and statistical purposes, a record of all applicants for a service career. **Consistent Uses:** Test results and CREW survey statistics are provided to data bank DND PPE 815, and documentation concerning successful applicants is transferred to the appropriate Particular Employee (PE) bank on enrollment, the Social Insurance Number is collected and transferred to Director Pay Services pursuant to the Income Tax Act and Canada Pension Plan Act. **Retention and Disposal Standards:** Records concerning unsuccessful applicants

are destroyed after two calendar years. **PAC Number:** 69-014 **Related to PR#:** DND RET 375 **TBS Registration:** 000166 **Bank Number:** DND PPU 025

Staffing Program

Description: This data bank contains records, starting in 1989, of applicants for trades at Ship Repair Unit (A). The bank consists of information taken from PSC 3000, application for Employment Form which includes applications, supporting documents, trade tests results, screening information, staffing board results. **Class of Individuals:** Applicants for employment in the Public Service in Ship Repair trades in Halifax. **Purpose:** This bank exists in accordance with the Public Service Employment Regulations to provide for the maintenance of an inventory of candidates who have applied for employment in the Public Service of Canada in Ship Repair Unit trades in Halifax. **Consistent Uses:** This bank is used to identify applicants suitable for referral and appointments to positions in Ship Repair trades at Ship Repair Unit (Atlantic). The information is used for retrieval of statistics about the inventory and staff competitions. **Retention and Disposal Standards:** Records have been maintained since 1989 and are destroyed two years after being removed from the inventory. **PAC Number:** 69-014 **TBS Registration:** 002764 **Bank Number:** DND PPU 065

Communications Security Establishment

Foreign Intelligence Files

Description: This bank contains personal information relating to sensitive aspects of Canada's international relations, security and defence. This bank is designated by the Governor-in-Council as an exempt bank pursuant to section 18(1) of the Privacy Act, on the basis of section 21 of the Act. **Class of Individuals:** General public. **Purpose:** This information is used to advise the government with respect to international affairs, security and defence. **Retention and Disposal Standards:** Information in this bank will be held indefinitely. **Related to PR#:** DND MIS 080 **TBS Registration:** 002052 **Bank Number:** DND PPU 040

◆ National Search and Rescue Secretariat

National Search and Rescue Program management Information System

Description: This bank consists of a collection of machine readable information obtained from various sources on incidents which have taken place in Canada's area of responsibility since 1988 including search and rescue air, marine and land incidents, medical evacuations and civil aid requests. Identified sources of the information include the Search and Rescue Information system (SARIS 1988-91, National Search and Rescue Secretariat), aviation Safety Information System (ASIS 1988-91, Transportation Safety Board), the Marine Casualty Information system (MCIS 1988-91, Transportation Safety Board), the Search and Rescue Information System (SISAR Canadian Coast Guard, Department of Fisheries

and Oceans), the Police Information Retrieval system (PIRS Royal Canadian Mounted Police, Solicitor General), and the Occurrence Tracking Information System (OTIS Parks Canada, Canadian Heritage). The NSP MIS may include names, addresses, age, gender, license numbers and qualifications of individuals involved in search and rescue incidents as well as vehicle ownership information. It may also include information such as the conclusions drawn from the investigation of incidents and operational information on each incident. **Class of Individuals:** Members of the general public who were the objects of a search and/or rescue. **Purpose:** The purpose of the NSP MIS is to provide the information necessary to manage the National Search and Program and to assist the response to incidents. Data may be linked to other databases such as the DOT vessel and aircraft registration data banks during the course of rescue operations or during data analysis. **Consistent Uses:** The NSP MIS provides the information necessary to manage the National Search and Rescue Program. The data are used for administrative and statistical purposes. **Retention and Disposal Standards:** Data are retained indefinitely at the National Search and Rescue Secretariat, Ottawa. **PAC Number:** 69-014 **Related to PR#:** DND ADO 275 **TBS Registration:** 003886 **Bank Number:** DND PPU 050

Judge Advocate General

Accidents — Mobile Equipment

Description: This bank contains liability data and settlements and covers civilian, military personnel and the public. Records are accessible by providing full name, date of accident, the Canadian Forces Registration number of National Defence vehicle and date claim settled. **Class of Individuals:** Civilian employees, military personnel and the public. **Purpose:** The purpose of this bank is to document claims by or against the Crown as the result of motor vehicle traffic accidents involving National Defence vehicles. **Consistent Uses:** The purpose of this book is to document claims by or against the crown as the result of motor vehicle traffic accident involving National Defence vehicles. **Retention and Disposal Standards:** Records are retained for the following periods: Claims under \$100 — one calendar year after final action; claims under \$1,000 — two calendar years after final action; claims over \$1,000 — seven calendar years after final action; and unsettled claims one year after statutory limitation becomes effective. Records are transferred to National Personnel Records Centre, National Archives of Canada, where they are placed in data bank PAC PCE 716. **PAC Number:** 69-014 **Related to PR#:** DND JAG 005 **TBS Registration:** 000162 **Bank Number:** DND PPU 005

Claims — Bodily Injuries

Description: This bank contains names, addresses, nature of claim, medical records, opinions, etc. for civilian and military personnel and the general public who have registered claims for bodily injury; also names, addresses, nature of claim, opinions, etc. for civilian and military personnel who were driving National Defence vehicles

at a time when they were involved in incidents giving rise to litigation, requiring their representation by legal counsel in court. Records are accessible by providing full name and year of claim. **Class of Individuals:** Civilian employees of National Defence, military personnel and the general public. **Purpose:** The purpose of this bank is to document settlements pertaining to claims against the Crown for bodily injuries and requests for provision of legal counsel at public expense for drivers of National Defence vehicles when involved in criminal or civil litigation. **Retention and Disposal Standards:** Records are retained for five calendar years after settlement of claims and one year after statutory limitation becomes effective in the case of unsettled claims. Records are then transferred to National Personnel Record Centre, National Archives of Canada, where they are placed in data bank PAC PCE 716. **PAC Number:** 69-014 **Related to PR#:** DND JAG 010 **TBS Registration:** 000164 **Bank Number:** DND PPU 015

Claims — NATO Forces in Canada

Description: This bank contains names, addresses, nature of claim, settlement transactions, etc. for civilian and military personnel and the general public registering claims for property and personal damages. Records are accessible by providing full name, place and year of claim. **Class of Individuals:** Civilian employees of National Defence, military personnel and the general public. **Purpose:** The purpose of this bank is to document claims against the Crown arising out of incidents involving forces of North Atlantic Treaty Organization countries in Canada. **Retention and Disposal Standards:** Records are destroyed after the following periods: Claims under \$1,000, two calendar years after final action; claims over \$1,000, six calendar years after final action; unsettled claims, one year after statutory limitation becomes effective. **PAC Number:** 69-014 **Related to PR#:** DND JAG 010 **TBS Registration:** 000165 **Bank Number:** DND PPU 020

Claims — Property Damage

Description: This bank contains addresses, nature of claim, settlement transaction, etc. for civilian and military personnel registering claims. Records are accessible by providing full name and year of claim. **Class of Individuals:** Civilian and military personnel, the general public. **Purpose:** The purpose of this bank is to document settlements against the Crown pertaining to property damages. **Retention and Disposal Standards:** Records are retained for two calendar years after the settlement of claims under \$1,000, for seven years after the settlement of claims over \$1,000 and, in the cases of unsettled claims, for one year after statutory limitation becomes effective. Files are then transferred to National Personnel Record Centre, National Archives of Canada, where they are placed in data bank PAC PCE 716. **PAC Number:** 69-014 **Related to PR#:** DND JAG 010 **TBS Registration:** 000163 **Bank Number:** DND PPU 010

Vice Chief of the Defence Staff

Privacy

Description: Records in the bank consist of access request and correction request forms submitted by individuals requesting access to and correction or notation of their files, the replies to such requests and information related to their processing. Records are used for processing such requests only, and to report on the number of requests received annually. Records are accessible by providing full name, year of request and Personal Information Bank number. **Class of Individuals:** Civilian employees of National Defence, military personnel and the general public. **Purpose:** This bank provides a complete record of request activity pertaining to the Privacy Act. **Retention and Disposal Standards:** Files are destroyed after two calendar years. **PAC Number:** 69-014 **Related to PR#:** DND PCA 610 **TBS Registration:** 000167 **Bank Number:** DND PPU 030

Reliability/Security Investigation File

Description: This bank contains personal data, such as criminal records, security reliability analyses, investigative reports, credit check reports, related correspondence, notations of the level of security clearance and/or reliability status granted, Security Clearance Review Board documentation and Security Intelligence Review Committee Appeals. Reliability check records apply to pre-employment/employment with the CF/DND and the private sector working under federal government contracts for DND. This bank contains personal information about an individual, his or her immediate family, the names and comments of past employers, the names and comments of character references, and possibly the names and activities of associates with whom the individual would have contact. Personal information is held on individuals who are or have been the subject of security screening procedures in relation to pre-employment/employment with the CF/DND or the private sector working under federal government contracts for DND, when access to classified or designated information/material is a requirement. This information has been obtained to support decisions taken in determining the suitability for a security clearance when an individual requires access to classified information. A reliability check is a condition of employment in the CF/DND and is required to assess the honesty, trustworthiness and discretion of an individual when given access to sensitive information or government assets; or access to valuables, drugs, hazardous or dangerous material; or responsibility for the well-being of persons or control of personnel. Individuals wishing to access only specific types of information should identify the material desired, to expedite the processing of their requests. Records are filed by family name, date of birth (year-month-day) and initials of the individual. However an individual who has been the subject of security screening procedures prior to 1990 must also include his/her social insurance number with the request. **Class of Individuals:** Members of the general public and some members of other government departments who have applied for a

pre-employment/employment security clearance or reliability status check with the CF/DND and contractors working under federal government contracts for DND. By virtue of the security clearance process, personal information may be held on individuals who are not themselves the subject of the security assessment or reliability status check. **Purpose:** The purpose of this bank is to maintain investigative/administrative documentation necessary to assess an individual's loyalty and reliability to Canada as it pertains to a security clearance in order to grant access to classified information/material and to assess reliability as a condition of employment. **Consistent Uses:** The information in this bank may be used in matters which, on reasonable grounds, may be suspected of constituting threats to the security of Canada or the Department; information may also be used in other lawful investigations. **Retention and Disposal Standards:** Records are retained for a minimum period of ten years from the time of the last administrative action taken on the file **PAC Number:** 69-014 **Related to PR#:** DND MIS 085 **TBS Registration:** 001967 **Bank Number:** DND PPU 055

Security Intelligence Records

Description: This bank contains information on individuals and organizations whose activities may have been suspected, on reasonable grounds, of constituting a threat to DND personnel, information or material, at home or abroad. Such activities include espionage, sabotage, subversion, and terrorism. This bank also contains information on organizations and individuals whose activities may be detrimental to the interests of Canada and may result in a request to DND for Aid of the Civil Power. Records are accessible by providing specific identifiers, such as dates, organizations, events and geographical areas or locations, along with name, initials and service number. The following individual information is held: name, address and/or telephone number, age, sex, marital status, country of birth, citizenship and/or nationality, case file serial number and service number (1994). **Class of Individuals:** Individuals suspected of, or involved in espionage, sabotage, subversion, and terrorist activities in Canada and abroad that may have DND security implications. **Purpose:** The information in this bank is used for the following reasons: to assess the threat posed to DND security by organizations or individuals engaged in espionage, sabotage, subversions, or terrorism; to brief departmental authorities on situations that may result in a request for aid of the Civil Power; to develop policy and provide advice in relation to provisions of the National Defence Act, Queen's Regulations and Orders, Official Secrets Act and the Criminal Code of Canada, respecting activities by individuals or groups that constitute a threat to DND personnel, information or material; and formulate plans or other measures to counter, or respond to, threats to the security of this department during periods of peace and war. **Consistent Uses:** Information may be disclosed: to the Canadian Security Intelligence Service (CSIS) for use in the investigation or assessment of the threat to Canada as defined in section 2 of the CSIS Act; to the Attorney General of Canada and the appropriate police

officials for the investigation or prosecution of an alleged contravention of the law; and to the Security Intelligence Review Committee. **Retention and Disposal Standards:** The records in this bank are destroyed after fifty calendar years, however, they may be retained for a longer period if judged to be of archival value or deemed to be of historical value to the Department of National Defence. **Related to PR#:** DND MIS 085 **TBS Registration:** 001968 **Bank Number:** DND PPU 060

Manuals

Because of the wide range of manuals, regulations and orders used by the Department of National Defence to fulfil its functions, it is impractical to include a complete list of its holdings in this publication. The Department may, on request, provide a list of existing manuals relating to a particular subject or activity.

The bilingual publication entitled Defence Canada is published annually by the Department of National Defence. It is designed to provide an overview of current strategic considerations relative to defence planning, and to highlight departmental activities during the preceding year.

- Administration and Operation of Physical Education and Recreation Programs Manual
- Administrative and Staff Procedures (Volume 5)
- Army Ammunition Staff Tables
- Army Doctrine Manuals
- Army Field Force Equipment Tables
- Army Field Scales Manual
- Army Future Force Development Manual
- Army Logistics Guide
- Automated Data Processing Policies and Procedures Manual
- Canadian Forces Administrative Orders
- Canadian Forces Catalogue of Dental Supplies
- Canadian Forces Catalogue of Materiel
- Canadian Forces Construction Engineering Manual
- Canadian Forces Dental Orders
- Canadian Forces Dress Manual
- Canadian Forces Health Manual
- Canadian Forces Laboratory Manual
- Canadian Forces Manual of Officers' Classification Structure
- Canadian Forces Manual of Other Ranks Trade Structure
- Canadian Forces Medical Orders
- Canadian Forces Medical Service Catalogue of Medical Supplies
- Canadian Forces Mess Administration Manual
- Canadian Forces Pest Control Manual
- Canadian Forces Postal Services Manual

- Canadian Forces Procedures for the Acquisition of Capital Equipment Manual
- Chaplain Handbook (RC)
- Chaplain's Handbook
- Civilian Personnel Administrative Orders
- Construction Engineering Technical Orders
- Contemporary Hymn Book
- Cost Factors Manual
- Current National Operational Defence Plans
- Defence Program Management Systems Guidance Manual
- Department of National Defence Administrative and Staff Procedures Manual
- DND General Safety Precautions Manual
- DND General Safety Program Manual
- DND General Safety Standards Manual
- DND Manual of Internal Audit
- Drivers' Regulations Manual
- Engineers in Battle Manual
- Environmental Standards for Canadian Forces Medical Services
- Financial Administration Manual
- Flight Safety for the Canadian Forces Manual
- Helicopter Operating Instructions (Army)
- Land Forces Combat Development Committee — Study on Combat Communications (1986-1995) Manual
- Life Cycle Management System Guidance Manual
- Maintainability — Equipment Maintainability Requirements (Land) Manual
- Management of the Radio Frequency Spectrum Manual
- Manager's Classification Handbook
- Manual for the Identification of Language Requirements of Military Positions
- Manual of Department of National Defence Quality Assurance Management
- Manual of Management Consulting Services
- Manual of Personnel Evaluating and Reporting, Officers
- Manual of Personnel Evaluating and Reporting, Other Ranks
- Manual of Preventive Dentistry
- Manual of Recruiting
- Manuals dealing with the operation and maintenance of communications security equipment and the inventory control of communications security material
- Maritime Commander's Operational Planning Guidance Manual
- Maritime Force Development Guide Manual
- Maritime Strategy Study (1970-2000) Manual Land Doctrine and Operations
- Medical Assistant Manual, Vol. 1, Canadian Forces Medical Services
- Medical Standards for the Canadian Forces Manual
- Military Terminology — Part one: Military Lexicon; Part two: Military Glossary
- National Defence Frequency List Manual
- National Defence Headquarters Organization Book
- Nuclear, Biological and Chemical Training Manuals
- Occurrence Investigation Techniques Manual
- Official Languages Plan (Civilian)
- Official Languages Plan (Military)
- Official Position List
- Organization and Establishment Manuals
- Packaging and Preservation Manual
- Personnel Management Information System Manual
- Personnel Selection Services Manual
- Policy and Procedures Manual for Non-Public Funds Accounting
- Policy Manual Governing Operation of Personnel Support Programs in the Canadian Forces
- Policy Manual Governing the Operation of the Canadian Forces Exchange System
- Processing of Invoices Manual
- Provision of Services to Non-Defence Agencies Manual
- Queen's Regulations and Orders for the Canadian Cadets Organizations
- Queen's Regulations and Orders for the Canadian Forces
- Research and Development Manual
- Search and Rescue Orders and Procedures Manual
- Security Orders for the Canadian Forces Manual
- Snow and Ice Control Manual
- Supply Instructions Manual
- Supply Policy Manual
- The Transportation of Explosives and Other Dangerous Materials by Military Aircraft Manual
- Training, weapon, and equipment operator's manuals for the Navy Reserve, Militia Air Reserve, and the Communication Reserve Personnel Development
- Transportation Manual
- Unit Identification Code Manual
- Volume I — Concept and Policy
- Volume II — Establishment Policy and Procedures
- Volume III — Establishment Criteria
- Warehousing Manual
- Working Resources Manual

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Department and its various programs and functions may be directed to:

Director General — Information, Liaison and Operations
(DIS 3-2)

National Defence Headquarters

101 Colonel By Drive

Ottawa, Ontario

K1A 0K2

(613) 996-2353/2354

Reading Room

A section of the Department's library at National Defence Headquarters has been designated under the Access to Information Act as a public reading room. Its address is:

101 Colonel By Drive

Ottawa, Ontario

Because of the security classification and the necessity to continually amend an unusually wide range of technical and training manuals, regulations and orders used by the Department of National Defence to fulfill its functions, it was found impractical to put all 90,000 publications on display. However, a complete list of its holdings is available in AIA Reading Room and the Department may, on request, provide for viewing a copy of existing manuals relating to a particular subject or activity, provided the material is releasable and sufficient time is allowed to retrieve the publication from the depot.

National Energy Board

Chapter 65

General Information

Background

The National Energy Board (NEB) was created by the National Energy Board Act of 1959.

Responsibilities

The Board's principal responsibilities under the National Energy Board Act are: regulate specific matters concerning oil, gas and electricity in the public interest (granting authorizations for the export of oil, natural gas, electricity and the construction of international and interprovincial pipelines and designated international power lines; setting of tolls and tariffs on pipelines under NEB jurisdiction); responsibilities under the Canada Oil and Gas Operations Act and certain provisions of the Canada Petroleum Resources Act now encompass the regulation of exploration for and the development and production of oil and gas on Frontier Lands in a manner that promotes safety of the worker, protection of the environment, and conservation of hydrocarbon resources; and to advise the Government on the development and use of energy resources (keeping under review the Canadian supply of all major energy commodities and the demand for Canadian energy in Canada and in export markets).

Legislation

- Energy Administration Act, Part I Regulations (sections 13 and 18), C.R.C. 1978, Vol. XIII, chapter 1260, p. 10191
- Energy Administration Act, Part III Regulations (sections 62 and 64), C.R.C. 1978, Vol. XIII, chapter 1261, p. 10195
- Energy Administration Act, Parts I, 1.1 and III, 1980-81-82-83, chapter 114
- Gas Pipeline Uniform Accounting Regulations, C.R.C. 1978, Vol. XI, chapter 1053, p. 8499
- International Power Line Regulations, C.R.C. 1978, Vol XI, chapter 1054, p. 8595
- National Energy Board Act, R.S.C. 1985, chapter N-7
- National Energy Board Cost Recovery Regulations
- National Energy Board Order No. MO-62-69, C.R.C. 1978, Vol. XI, chapter 1055, p. 8597
- National Energy Board Part VI Regulations (sections 85 and 87), C.R.C. 1978, Vol XI, chapter 1056, p. 8599
- National Energy Board Rules of Practice and Procedures (section 7), C.R.C. 1978, Vol XI, chapter 1057, p. 8619
- National Energy Board Substituted Service Regulations

- Northern Pipeline Act, 1977-78, chapter 20
- Oil Pipeline Uniform Accounting Regulations, C.R.C. 1978, Vol. XI, chapter 1058, p. 8635
- Oil Products Designation Regulations
- Onshore Pipeline Regulations
- Pipeline Arbitration Committee Procedure Rules, 1986
- Pipeline Crossing Regulations, Part I
- Pipeline Crossing Regulations, Part II
- Pipelines Companies Records Preservation Regulations, C.R.C. 1978, Vol. XI, chapter 1059, p. 8705
- Proclamation Extending the Application of Part VI of the Act to Oil, May 7, 1970
- Toll Information Regulations

Organization

♦ Executive Director

The Executive Director is the Board's senior staff member and Chief Operating Officer. His responsibilities include the overall management of the Board, the acquisition and allocation of human and financial resources, the efficiency of Board activities and operations, and the provision of advice on matters deemed appropriate by the Chairman.

Finance, Administration and Information Technology Branch:

Co-ordinates and administers the financial and administrative policies under which the Board operates; and provides advice and services relating to accounts processing, financial planning and budgeting, and property, materiel, records and mail management. It also provides accounting services for the Northern Pipeline Agency. Responsible for computer systems services, data processing support and telephones. This includes consultative service on computer operations, data management, program design and development, and an advisory service on the latest data processing techniques and applications. Branch responsibilities also include the integration of information and processing systems and services for the Board.

Personnel Branch:

Provides advice and services in the areas of staffing, human resources planning, training, employment equity programs for visible minorities, official languages, classification, staff relations, pay and benefits, safety and health, conflict of interest, employee assistance, merit awards and security.

Economics Branch:

Responsible for evaluating the economic worth to Canada of applications before the Board to licence exports and to

certify pipeline and international power line projects. The Branch develops, in collaboration with others in the Board, general approaches to energy regulation and pipeline toll and tariff structures which involve considerations of economic efficacy. The Branch is responsible for preparing detailed projections of energy demand in Canada, macro-economic projections for the long-term performance of the Canadian economy, long-term outlooks for Canadian exports of natural gas and electricity to the American market, and long-term scenarios of world oil prices. These activities are largely in support of the Board's supply and demand reports, market monitoring requirements and evaluations of export licence and facilities applications. The Branch also advises on the state of competitive conditions in energy markets, to the extent required for the Board's regulatory mandate.

Energy Supply Branch:

Responsible for advising on matters relating primarily to the supply of conventional and synthetic crude oil, bitumen, natural gas and natural gas liquids. The Branch prepares both short-term and long-term projections of supplies of these energy commodities from conventional, oil sands and frontier sources, based on its own studies and consultations with industry and other groups. The Branch also prepares estimates of established oil and gas reserves in Canada; conducts analyses of ultimate resource potentials and reserves additions rates; evaluates the supply costs and economics of resource projects; monitors developments in the energy sector, particularly the producing industry; and investigates potential new energy supply sources.

Energy Commodities Branch: Gas and Oil:

Provides advice to the Board on oil and gas matters including evaluation of oil and gas markets and commercial transactions; transmission, processing and distribution of commodities. It also synthesizes such information into assessments applicable to the Board's regulatory roles. The Branch is responsible for export and import regulation, monitoring, and for matters related to traffic and discrimination in service for gas and oil pipelines. The Branch collects and disseminates data on exports and imports including volumes and prices, and also prepares and supplies data on the consumption and transportation of crude oil, petroleum products, gas products and natural gas, incorporating estimates of short-term domestic and export demand and pipeline throughputs.

Electricity:

Advises on matters relating to regulatory surveillance and electricity export licensing and international power line certification. The Branch prepares supply and demand forecasts for electricity that are used in dealing with electrical and other applications, reviews the American market for electricity, and provides advice on matters under federal jurisdiction that relate to the production, transportation, sale, and exchange of electricity.

Environment Branch:

Provides advice on the protection of the environment during the construction and operation of pipeline and power line facilities and on the protection of land-owner rights with respect to land acquisition. It advises on the environmental consequences of energy exports including the impact of the generation, production and end-use of that energy. In addition, Branch staff provide advice on the technical requirements related to third-party crossings on pipeline rights-of-way.

Engineering Branch:

Responsible for all engineering activities related to the regulation of gas, oil and petroleum products pipelines under the jurisdiction of the National Energy Board Act and the regulation of oil and gas activities pursuant to the Canada Oil and Gas Operations Act (COGOA). These activities include: advice on matters related to pipeline certificates and orders and the safety of pipelines under NEB jurisdiction; regulatory approvals of drilling, production, development and abandonment activities in the frontier areas; the development of standards and regulations; facilities and pipeline safety inspections; the administration of certain portions of the Canada Labour Code relating to federal pipeline and oil and gas exploration and development activities; participation in the Program for Energy Research and Development (PERD) and providing engineering services to the Northern Pipeline Agency.

Financial Regulation Branch:

Responsible for tolls and tariffs, financial regulatory advice and costs of transportation under specific areas of the National Energy Board Act. As such, its main function includes the provision of expert financial advice to the Board and to the hearing panel on all toll applications. It also audits the accounts of pipeline companies under the Board's jurisdiction and monitors the financial performance of pipelines on a regular basis to ensure that tolls are just and reasonable.

♦ Law Branch

The Law Branch provides legal advice to the Board on all matters relating to the Board's powers and responsibilities, acts as Counsel for the Board at public hearings, and represents the Board in proceedings before the Federal and Supreme Courts of Canada.

♦ Office of the Secretary

The Office of the Secretary receives all applications and submissions and co-ordinates their consideration by the Board. It advises on the scheduling of hearings, and makes all administrative arrangements for public hearings in Ottawa and across Canada. In addition, it provides media and public relations services, publications services and operates the Board's library. It administers the Access to Information Act and Privacy Act and co-ordinates translation services with the in-house translation unit provided by Secretary of State.

Information Holdings

Program Records

Accounting and Auditing

Description: Audit reports and correspondence of companies under the Board's jurisdiction. **Topics:** Annual reports. **Access:** Files arranged by subject and company. **Program Record Number:** NEB FRB 010

Allocation of Oil

Description: Correspondence between the Energy Supply Allocation Board and the Oil Branch of the National Energy Board on the allocation of oil resources in an emergency. **Program Record Number:** NEB GAO 195

Associations and Committees on Electric Power

Description: Information on liaison with power transmission companies, associations and conferences on electrical matters. Note: Some files have been transferred to the Historical Branch of the National Archives of Canada. **Topics:** Reports on, and agendas of, meetings and conferences; associations; power and transmission companies. **Program Record Number:** NEB EPB 170

Associations, Committees and Conferences on Energy Supply

Description: Information on applications and supporting documents for hearings held on the energy supply. Note: Copies of applications, interventions and transcripts of all hearings are held in the National Energy Board library. **Topics:** Supply data originating from energy supply and demand hearings. **Access:** Files arranged by year and company. **Program Record Number:** NEB ESB 280

Canadian Content in Energy Projects

Description: Information on Canadian content and industrial benefits of energy projects. **Topics:** Studies; specific applications. **Access:** Files arranged by application. **Program Record Number:** NEB ECB 255

Canadian Electric Power Projects

Description: Information on Canadian electric power projects and studies; also generation of electric power and the transmission of extra-high voltage electric power. **Topics:** Electric power projects and studies — Churchill Falls, James Bay, Fundy Tidal Power Development study; power generation — power potential, load and supply, nuclear, technology for fuel cells, thermal coal-fueled and thermal gas-fueled. **Access:** Files arranged by project or study. **Program Record Number:** NEB EPB 155

Certificates, Licences and Orders

Description: Originals of all certificates, licences and orders issued by the Board. Note: See "Prefix Identification of Certificates, Licences, Orders and Permits" issued by the National Energy Board (internal reference memo listing document prefixes and references to legislation). **Topics:** Certificates of Public Convenience and Necessity — oil

and gas pipelines, electric power lines; licences for the export of oil, gas and electric power; safety orders; rates, tolls and tariffs; miscellaneous orders. **Program Record Number:** NEB OOS 095

Coal

Description: Published material and correspondence on coal. **Topics:** Forecasts; coal-gasification, liquefaction, reserves. **Program Record Number:** NEB ESB 320

Construction of International Electrical Power Lines

Description: Applications for certificates and supporting documents to construct or modify existing power lines. **Topics:** Policy; environmental guidelines; interventions filed by interested parties. **Access:** Files arranged by power company. **Program Record Number:** NEB EPB 160

Crude Oil Movements by Pipeline

Description: Correspondence on pipeline capability and the availability of crude oil; also nomination, by refinery, of crude oil requirements. **Topics:** Pipeline capability — pipeline traffic; nominations by companies for crude oil requirements; allocations of domestic crude, prior to June 1985. **Program Record Number:** NEB GAO 205

Crude Oil Statistics on Refinery Production

Description: Statistical information on the amount of crude oil received in refineries, the amount of crude processed by refineries each week, the monthly supply survey on Canadian oil products, monthly deliveries of crude oil and condensates under licence, and the amount of products exported each month. Note: Most of these statistics are supplied confidentially to the National Energy Board by individual companies. Information can therefore be released only as a combined total of all companies. **Topics:** Canadian refinery receipt survey of crude oil; crude oil runs (amount of crude processed in refineries weekly or monthly); Canadian oil product monthly supply survey; oil product export return. **Access:** Files arranged by company. **Storage Medium:** Storage Computer disc or tapes. **Program Record Number:** NEB GAO 230

Economic Benefits of Proposed Energy Projects

Description: Analyses of the economic viability of, and benefits from, projects. **Topics:** Viability analyses; cost-benefit — committees, studies, specific applications; licences, orders and exports. **Access:** Files arranged by application. **Program Record Number:** NEB ECB 260

Economic Considerations in Setting Pipeline Tolls

Description: Information on the operations of pipeline companies and the economic considerations in setting tolls. **Topics:** Productivity and efficiency of pipeline companies; rates, tolls and tariffs; financial arrangements; certificates and orders. **Access:** Files arranged by subject and company. **Program Record Number:** NEB ECB 270

Electric Power Failures

Description: Information on selected electric power interruptions. **Topics:** Hydro-Quebec power interruption

on January 10, 1978; power interruption on the Maritime grid, November 14, 1978; Northeast power failure of 1965. **Program Record Number:** NEB EPB 175

Energy Cost and Pricing

Description: Correspondence on costs and pricing of energy. **Topics:** Cost data; profitability data and studies; royalties. **Program Record Number:** NEB ESB 315

Energy Supply and Demand Statistics

Description: Information on energy supply and demand and related data for all energy commodities. **Topics:** Information, liaison and data related to co-operation among various associations, committees; statistical energy inquiries; statistical reports and returns; supply and demand data for all energies; international data. **Access:** Files arranged by subject and committee. **Program Record Number:** NEB ECB 250

Energy Supply, Surpluses and Reserves

Description: Information on the supply side of enquiry hearings, renewable energy, reserves and surpluses. **Topics:** Energy supply; renewable energy; reserves and surpluses — particular applicants; licences, orders and exports. **Access:** Files arranged by subject. **Program Record Number:** NEB ESB 275

Enquiry Hearings on the Canadian Oil Supply and Requirements

Description: Submissions of various oil companies and supporting data during public hearings in 1975, 1976 and 1978. **Topics:** Public hearings — Canadian oil supply requirements; submissions by companies on reserves and demand. **Access:** Files arranged by year of public hearing. **Program Record Number:** NEB GAO 220

Environmental Aspects of Energy Development

Description: Correspondence on oil, gas and electric power development. **Topics:** Arctic hydrocarbon transportation systems; northern oil and gas pipelines; offshore oil and gas transportation; other agency reviews — Federal Environmental Assessment and Review Office (FEARO). **Access:** Files arranged by committee, project. **Program Record Number:** NEB ERW 030

Environmental Assessment, Surveillance and Monitoring

Description: Published information on the environmental aspects of applications for certificates and orders to build a pipeline or power line, to commence construction, or to cross a pipeline by a facility over or under a pipeline, submitted by a company or by a private citizen; also documentation for interventions and appeals submitted by interested parties, surveillance and monitoring reports. Note: Applications to build a pipeline, transcripts of hearings and reasons for decisions are held in the Board library. **Topics:** Applications for certificates and orders; environmental information submitted prior to construction of a pipeline or power line; applications to construct a compressor station, gas plant, meter station, pump

station and terminal; crossing of a pipeline by a buried cable, overhead cable, road, ditch, building, parking lot, etc.; crossing of facility by a pipeline; appeals and interventions; environmental assessment of the effects of pipelines or power lines; environmental surveillance.

Access: Files arranged by company and location of pipeline. **Program Record Number:** NEB ERW 055

Environmental Associations and Committees

Description: Correspondence between the Board and various pipeline, industry, and environmental associations; information on liaison with various committees, conferences, oil and gas pipeline companies, and environmental agencies. **Topics:** Environmental regulatory matters; co-operation and liaison with oil and gas pipeline companies, interdepartmental committees on environmental matters. **Access:** Files arranged by association, committee, conference and pipeline company. **Program Record Number:** NEB ERW 050

Environmental Implications of Oil and Gas Pipeline Operations

Description: Correspondence on environmental aspects of operating pipelines and facilities including storage and processing facilities. **Topics:** Right-of-way conditions, gas plant emissions, tank farms, loading facilities, PCB and hazardous waste storage and disposal, etc. **Program Record Number:** NEB ERW 035

Environmental Incidents or Emergencies

Description: Reports of environmental inspection of spills of oil, products or other substances and their impact on the environment. **Topics:** Leaks, breaks, spillage, environmental impact, clean-up, restoration. **Access:** Files arranged chronologically by incident and by pipeline company. **Program Record Number:** NEB ERW 045

Exchange Agreements on Crude Oil

Description: Information on the exchange agreements on offshore and mid-continent crude oil between Canadian and U.S. oil companies and related correspondence before June 1985. **Topics:** Control of International Oil Exchange; mid-continent exchange agreements; eastern Canada foreign exchange agreements. **Access:** Files arranged by Canadian and United States oil companies. **Program Record Number:** NEB GAO 225

Exploration and Development

Description: Information on exploration for and development of hydrocarbon resources in Canada. **Topics:** Oil and gas discoveries and development — Western Canada and northern and offshore areas; petroleum industry activity. **Program Record Number:** NEB ESB 325

Export Charge for Crude Oil and Oil Products

Description: Information on the policy, audit reports, applications for remission of or exemption from export charges and the monthly returns filed by exporting companies. **Topics:** Policy on the export charge; auditing

of exporting companies; exemptions and remissions; Form 160, Oil Export Charge Return (monthly); determination of export charges. **Access:** Files arranged by subject and company. **Program Record Number:** NEB GAO 210

Export Control of Crude Oil, Condensates and Products

Description: Information on policy, forms of licences and orders, applications for licences or orders to export crude oil, condensates and products. **Topics:** Policy; instructions to applicants; licence and order forms; Canadian requirements and availability for export; reports on export of crude oil and petroleum products since deregulation; proceedings of Export Panel. **Access:** Files arranged by company. **Program Record Number:** NEB GAO 215

Export Control of Gas Products

Description: Information on licences, orders and applications for the export of gas products; **Topics:** Policy; instructions to the applicants; forms of licences and orders; proceedings of the Gas Panel; applications for licences and orders; monitoring of export volumes and aggregated prices. **Access:** Files arranged by subject and applicant company. **Program Record Number:** NEB GAO 140

Financial Reports

Description: Financial information on the oil and gas pipeline companies under the Board's jurisdiction; quarterly and annual financial reports. **Program Record Number:** NEB FRB 025

Gas and Oil Storage

Description: Published material and correspondence on oil and gas storage projects. **Topics:** Province of Ontario; Home Oil Company — Strait of Canso Storage Project. **Access:** Files arranged by province and company. **Program Record Number:** NEB ESB 330

Gas Processing and By-Products

Description: Published material on the extraction of by-products from natural gas and the production of synthetic natural gas. **Topics:** Ethylene and ethane; helium; sulphur; hydrogen; processing plants; synthetic natural gas. **Program Record Number:** NEB ESB 295

Import and Export Gas Licences and Orders

Description: Applications and related correspondence for a licence to export or import natural gas or liquefied natural gas; interventions submitted by interested parties. **Topics:** Emergency orders to export natural gas and liquefied natural gas; licences and orders to export and import natural gas, liquefied natural gas and gas products; interventions; combined hearings (two or more companies applying jointly for a licence). **Access:** Files arranged by subject, company and year of application. **Program Record Number:** NEB GAO 135

Inspection of Meters

Description: Reports by inspectors from Consumer and Corporate Affairs Canada on inspections of export and import meters on international power lines. **Access:** Files arranged by power line certificate holder. **Program Record Number:** NEB EPB 165

Inspection of Meters

Description: Reports by inspectors from Consumer and Corporate Affairs Canada on inspections of export and import meters on international pipelines. **Access:** Files arranged by meter station. **Program Record Number:** NEB PEO 081

Lands and Routing

Description: Correspondence on land-use concerns; on complaints of landowners concerning pipeline construction; applications for plan, profile and book of reference approval; applications for right of entry; notices for land acquisition and applications to purchase or sell land, buildings, equipment, or to abandon pipelines. **Topics:** Complaints by landowners; plan, profile and book of reference applications; notices for service, publication and public hearings; cost recovery; right-of-entry applications; land acquisition procedures; application to sell/acquire land and property; applications to abandon pipelines. **Access:** Files arranged by pipeline company for purchases, sales, leases, and abandonments; plan, profile and book of reference and right-of-entry applications. Complaints are filed by name of landowner, location and pipeline company. **Program Record Number:** NEB ERW 040

Legal Cases

Description: Decisions rendered by the courts that may affect the work of the Board. **Topics:** Administrative tribunals; American law; Bill of Rights; combines; Pipeline Act. **Program Record Number:** NEB LAW 120

Legal Opinions

Description: Legal opinions prepared by the branch for the purpose of giving advice to the Board. **Topics:** Duties and powers of the Board under the National Energy Board Act; Board jurisdiction; requirements of natural justice; interpretation of legislation. **Program Record Number:** NEB LAW 110

Liaison with United States on Oil Matters

Description: Correspondence with United States federal government and agencies on oil matters. **Topics:** Import and export of oil; offshore oil; pricing; pipeline tariffs; refineries; oil reserves; crude oil supply and demand; transportation by tanker; exchange agreements; import regulations; oil import statistics; turbine fuel; U.S. oil pipeline companies; refinery allocations; appeals and decisions; task force on oil imports; reports and returns on pipeline incidents and petroleum matters. **Access:** Files arranged by subject under the United States block of files. **Program Record Number:** NEB GAO 200

Licences and Orders for the Export of Electricity

Description: Company applications and supporting data for a licence or order to export electricity for a specific period of time. **Topics:** Application to export electricity in an emergency; to Canadian utilities. **Access:** Files arranged by power company. **Program Record Number:** NEB EPB 150

Litigation

Description: All documentation, legal opinions and working papers related to court cases with which the Board has been involved as a result of appeals of its decisions. **Topics:** Trial division; Federal Court of Appeal; Supreme Court of Canada; public hearings — reasons for decision; subpoenas. **Access:** Files arranged by type of cause. **Program Record Number:** NEB LAW 125

Long-term Energy Demand

Description: Information on energy demand hearings, supply and demand forecasts, and demand and disposition projects. **Topics:** Total Energy Supply and Demand Inquiry; energy demand forecasts; demand and disposition. **Access:** Files arranged by subject. **Program Record Number:** NEB ECB 240

Macro-economic Forecasts on the Canadian Economy

Description: Macro-economic forecasts on the Canadian economy and their relationship to energy forecasts. **Topics:** Statistical reports and returns; macro-economic forecasting model studies; committees, boards, commissions, etc. related to macro-economic forecasting. **Access:** Files arranged by subject and committee. **Program Record Number:** NEB ECB 245

Minutes of Meetings

Description: Official minutes of all meetings of the Board and officially former panels of the Board. **Topics:** Certificates of Public Convenience and Necessity — oil and gas pipelines and electric power lines; licences and orders to export oil, natural gas and electricity; safety orders relating to pipelines; environmental matters with respect to oil and gas pipelines and electric power lines; rates, tolls and tariffs of pipelines under Board jurisdiction, Energy Administration Act; Northern Pipeline Act; supply, demand, surplus of oil, gas and electric power. **Storage Medium:** Microfilm. **Program Record Number:** NEB OOS 100

National Oil Policy

Description: Correspondence on the national oil policy with various oil and pipeline companies, federal government departments and the National Energy Board. **Topics:** Policy; National Oil Policy Review, 1969; redefining the Ottawa Valley; imports and transfer — gasoline; control of movements across Ottawa Valley line; petrochemical industry — export and import; oil import and transfer program; crude oil price survey; oil import cost compensation program; deep water facilities; deregulation — June 1985. **Program Record Number:** NEB GAO 190

Natural Gas Pricing and Marketing

Description: Correspondence on marketing and pricing of natural gas and gas products, and reports of revenue by gas or pipeline companies. **Topics:** Special market research; market value; propane and butane pricing; revenue flowback by gas and pipeline companies; Energy Administration Act, Part III (Domestic Gas). **Access:** Files arranged by subject and gas or pipeline company. **Program Record Number:** NEB GAO 130

Nuclear Energy

Description: Published material and correspondence on nuclear energy. **Topics:** Heavy water; uranium; fusion. **Program Record Number:** NEB EPB 310

Oil and Natural Gas Reserves

Description: Information on oil and gas pools, including raw data, Board assessments and published material on reservoir analysis techniques. **Topics:** Gas reserves; oil reserves; decline curve analysis; models and simulation; secondary and tertiary recovery. **Access:** Files arranged by pool; each contains information on reservoir parameters, historical performance and assessments made by the Board staff. **Program Record Number:** NEB ESB 290

Oil Refining Capacity and Processing

Description: Correspondence with refineries and selected oil companies on their forward projections of oil refining capacity and processing. **Topics:** Ontario Refineries' Hearing, 1968; refining survey of Atlantic provinces and Quebec, Region 1 and 2; refining survey of central and eastern Canada, Region 1, 2 and 3; refining survey, Region 5; refining survey of British Columbia; processing and supply survey 1974-85, 1977-83 and 1979-85. **Program Record Number:** NEB GAO 235

Oil, Natural Gas Liquids (NGL) and Natural Gas Production

Description: Information on historical and current production of hydrocarbons in Canada. **Topics:** Crude oil and equivalent; NGL; natural gas. **Access:** Files arranged by product and province. **Program Record Number:** NEB ESB 285

Operation of Oil and Gas Pipelines

Description: Correspondence on the operation and maintenance of pipelines. **Topics:** Corrosion Control Program; letters patent; design factors; safety; signs and markers; schematic drawing of pipelines; sandblasting; line pipe specifications; high energy impact joining of line pipe; reversal of pipeline; negative salvage. **Program Record Number:** NEB PEO 065

Parliamentary and Ministerial Enquiries

Description: Information on questions raised by Members of Parliament in the House of Commons and correspondence to the Minister that has been referred to the Board for preparation of a reply. **Topics:** Parliamentary enquiries — may cover, but are not limited to, queries on personnel, budgets, advertising, accommodations,

equipment, person-years; correspondence to the Minister, usually on energy-related matters for which the Board is responsible — certification of interprovincial and international oil and gas pipelines, international electric power lines, exports of oil, gas and electricity, supply and demand of oil, gas and electricity; complaints against pipeline companies. **Program Record Number:** NEB OOS 105

Pipeline Associations and Committees

Description: Correspondence between the Board and various pipeline, oil and gas, and standards associations; also information on liaison with various committees, conferences and oil and gas pipeline companies. **Topics:** Associations dealing with pipelines and engineering matters; institutes for engineering and pipeline matters; committees of the Canadian Standards Association; Task Force on Pipelines; conference on engineering and pipelines; co-operation and liaison with oil and gas pipeline companies. **Access:** Files arranged by association, committee, conference and pipeline company. **Program Record Number:** NEB PEO 075

Pipeline Construction

Description: Information on the application for certificates and orders to build a pipeline, to commence construction, leave to open a pipeline (commence operation), or to cross a pipeline by a facility over or under a pipeline, submitted by a company or by a private citizen; also documentation for interventions and appeals submitted by interested parties. Note: Applications to build a pipeline, transcripts of hearings and Reasons for Decisions are held in the Board library. **Topics:** Applications for certificates and orders; applications to construct a pipeline; applications to construct a compressor station, gas plant, meter station, pump station and terminal; crossing of a pipeline by a buried cable, overhead cable, road, ditch, building, parking lot, etc.; crossing of a facility by a pipeline; appeals and interventions; environmental assessment and effect on pipelines; routine facility inspection reports. **Access:** Files arranged by company and location of pipeline. **Program Record Number:** NEB PEO 080

Pipeline Development Projects

Description: Correspondence on northern oil and gas development. **Topics:** Task Force on Northern Oil Development; gas Arctic systems; northern gas pipelines; northern gas transportation; Petro-Canada proposed Arctic power project; polar gas project. **Access:** Files arranged by task force committee. **Program Record Number:** NEB PEO 060

Pipeline Incidents or Accidents

Description: Reports of leaks, breaks, dents and buckles of oil and gas pipelines, including property damage, injury or death of personnel. **Topics:** Leaks and breaks; dents and buckles; storage and use of lubricants; metallurgical investigations; repair program for the Sarnia-Montreal extension. **Access:** Files arranged by pipeline and

location of the leak, break, dent or buckle. **Program Record Number:** NEB PEO 070

Public Hearing Process — Applications to the National Energy Board and Reasons for Decision

Description: Copies of all applications made to the Board, exhibits and other public hearing documents and reasons for decision. Note: Copies of applications and the Board's reasons for decision are held in the library. **Topics:** Certificates of Public Convenience and Necessity for construction and operation of oil and gas pipelines and electric power lines; licences for the export of oil, gas and electric power; rates, tolls and tariffs of pipeline companies under Board jurisdiction. **Access:** Files arranged by applicant, company and year. **Program Record Number:** NEB OOS 085

Rates and Tolls

Description: Information on the applications and supporting documents for rates and tolls submitted by pipeline companies; also copies of interventions submitted by interested parties, and copies of gas company sales and purchase contracts. Note: Applications, transcripts and reasons for decision are held in the National Energy Board library. **Topics:** Rate applications; interventions; complaints and investigations; price surveillance; sales contracts; purchase contracts; rates for gas. **Access:** Files arranged by company. **Program Record Number:** NEB FRB 005

Renewable Energy

Description: Published material and correspondence on renewable energy. **Topics:** Biomass; geothermal; heat pumps; solar; tidal; wind; alcohol; peat. **Program Record Number:** NEB ESB 305

Socio-economic Impact of Pipeline Projects

Description: Information on the regional economics of pipeline projects and their impact on socio-economic factors. **Topics:** International developments; socio-economic studies; socio-economic impacts of specific applications. **Access:** Files arranged by application. **Program Record Number:** NEB ECB 265

Statistical Reports

Description: Statistical reports from provincial agencies and other private agencies on production, demand and forecasts. **Topics:** Long-term energy; energy demand; production statistics. **Access:** Files arranged by subject and province. **Program Record Number:** NEB ESB 300

Statistics

Description: Statistical data on the export of electric power, supply and demand of electricity, forecasts, import of electricity. Note: Report on Ontario Hydro forecast of load and capacity is held in the Board library. **Topics:** Monthly reports by power companies on the export of electric power and energy; supply, demand and distribution forecasts; various reports compiled by Statistics Canada; Ontario Hydro's forecasts of power

load capacity report; Canadian Electric Utilities: Analysis of Generation and Trends. **Access:** Files are arranged by company and forecast. **Program Record Number:** NEB EPB 185

Statistics

Description: Statistical data on exports and imports of natural gas and gas products, and on supply and disposition of propane and butane. Note: Certain statistics are provided by companies on a confidential basis and are available in aggregate form only. Statistics are held in the Board's library. **Topics:** Propane and butane supply and disposition, export and import volumes and average prices of natural gas and gas products and authorized volumes. **Access:** Files arranged by subject and company. **Program Record Number:** NEB GAO 141

Statutes and Regulations

Description: Working papers and other relevant material used by the branch in the preparation of amendments to statutes and regulations. **Topics:** National Energy Board Act and Regulations; Energy Administration Act; Northern Pipeline Act. **Program Record Number:** NEB LAW 115

Traffic

Description: Applications and related correspondence for orders to obtain access to transportation services on interprovincial and international gas pipelines; complaints about discrimination. **Topics:** Interim orders to receive transport and deliver gas. **Access:** Files arranged by company. **Program Record Number:** NEB GAO 142

Uniform Accounting Regulations for Oil and Gas

Description: Correspondence between companies in Canada, the United States government and the National Energy Board on uniform accounting regulations. **Topics:** Uniform classification of accounts and document retention regulations. **Access:** Files arranged by subject, and oil and gas company. **Program Record Number:** NEB FRB 020

United States

Description: Information on liaison with American federal and state departments on mutual electric and atomic energy problems. **Topics:** Atomic Energy Commission; Acts and Regulations; uranium; nuclear electric power; Hanford Electric Generating Plant; geothermal energy; Jersey City Nuclear Power Project; U.S. Federal Power Commission; North American Electric Reliability Council; U.S. power — failures, projects, shortages, rates and statistics. **Program Record Number:** NEB EPB 180

United States Regulatory Agencies for Natural Gas and Gas Products

Description: Correspondence between the National Energy Board and U.S. federal and state departments and agencies on natural gas and gas product matters. **Topics:** Acts and regulations for natural gas; export and imports of natural gas; pricing of natural gas; supply, demand and storage; synthetic natural gas; gas rates;

natural gas survey. **Program Record Number:** NEB GAO 145

Personal Information Banks

Access Request Data Bank

Description: This bank contains the access request forms sent by individuals, the Board's replies and information relating to their processing. **Class of Individuals:** All who submitted access reports. **Purpose:** To respond to requests for information, submitted under the Access to Information Act and the Privacy Act. **Consistent Uses:** To retain access requests and their replies for future reference, and to report on the number of access requests received annually. Disclosure is on request, but if the request is for someone other than the individual who sent in the original access form, that individual's name and personal data elements are deleted from the reply. There is no linkage or matching. **Retention and Disposal Standards:** These records are retained for two years. **PAC Number:** 85-001 **Related to PR#:** NEB OOS 105 **TBS Registration:** 000751 **Bank Number:** NEB PPU 020

Applications for Employment

Description: This bank contains all applications received requesting employment with the National Energy Board. The files include completed application forms, letters and curriculum vitae of persons interested in working with the Board. Access to this bank requires a name and the year in which the application was submitted. **Class of Individuals:** All applicants for employment. **Purpose:** For screening whenever openings become available. **Consistent Uses:** To store employment applications for future reference. Disclosure is on request to the original applicant. Other enquirers are provided with statistics only. There is no linking or matching. **Retention and Disposal Standards:** Documents are retained for one year. **PAC Number:** 85-001 **TBS Registration:** 000749 **Bank Number:** NEB PPU 010

Contracts

Description: This bank contains details of all contracts entered into by the National Energy Board (NEB) for the procurement of services, including personal services. The bank contains basic data such as education qualifications, work-related experience, termination dates, amount of fees paid, etc. Contracts awarded by the Department of Supply and Services on behalf of the Board are included. **Class of Individuals:** Those who have provided the Board with services under contract. **Purpose:** To make a decision on the selection of the most suitable candidate to fill specific requirements. **Consistent Uses:** To record, retain and monitor all contracts. These records are also used during the auditing function. Disclosure is on request. There is no linkage or matching. **Retention and Disposal Standards:** These files are retained for six years after completion and non-renewal of the contract. **PAC Number:** 85-001 **TBS Registration:** 000750 **Bank Number:** NEB PPU 015

Interventions and Letters of Comment

Description: This bank contains the interventions and letters of comment filed with the Board by companies, public interest groups, and individuals who have participated in the Board's regulatory proceedings. All such documents are public and form part of the record of proceeding. Documents may be retrieved by providing the name of the application to which the document relates and the name of the participant. **Class of Individuals:** Individuals who have participated in the regulatory proceedings of the National Energy Board. **Purpose:** For consideration of these submissions by the Board in arriving at quasi-judicial decisions on applications before it. **Consistent Uses:** To maintain a record of all interventions and letters of comment filed with the National Energy Board, relating to any applications. Disclosure is on request because all these documents are public. There is no linkage or matching. **Retention and Disposal Standards:** Five years after the removal or abandonment of pipeline or international power line, or the authorization of the export of gas, oil or electricity to which the documents relate. On expiry of retention period, files are passed to the National Archives of Canada for selective retention. **PAC Number:** 85-001 **Related to PR#:** NEB OOS 085 **TBS Registration:** 000748 **Bank Number:** NEB PPU 005

Classes of Personal Information

Office of the Secretary Files

In the course of its programs and activities the National Energy Board may accumulate personal information which is not contained in the specific personal information banks listed in this entry. Such information may include an individual's name, address, telephone number, or opinion on a specific matter. These data are stored as part of the general subject files and the records are not normally retrievable by the name of the individual or other identifier.

Such personal information is provided by individuals in requesting publications, general information, funds for projects they are conducting, or in providing their comments or opinions on various topics.

To retrieve this type of personal information, the enquirer must state the specific subject matter of the correspondence, the name of the person, and the approximate date on which the correspondence was forwarded to the Board.

The retention periods for these classes of personal information are controlled by the record schedules of the general subject files in which they are stored.

Manuals

- Environmental Operations Procedure Manual
- Environmental Surveillance Manual
- General Guidelines for Processing Hearing Applications
- Memorandum of Guidance, Re: Regulation of Group 2 Companies
- Pipeline Construction Inspection Manual, November 1984

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the National Energy Board, its functions and mandate, may be directed to:

National Energy Board
311 Sixth Avenue S.W.
Calgary, Alberta
T2P 3H2
(405) 292-4800

Reading Room

The Board has designated a public reading room under the Access to Information Act. The reading room is located in the Board's Library at:

Ground Floor
311 Sixth Avenue S.W.
Calgary, Alberta

The hours are 08:00 to 17:00, Monday to Friday

National Farm Products Council

Chapter 66

General Information

Background

The National Farm Products Marketing Council was established in 1972 under the Farm Products Marketing Agencies Act. This Act amended by Bill C-54 assented the 25th of February, 1993 is now called the Farm Products Agencies Act therefore the National Farm Products Council.

Responsibilities

The National Farm Products Council is responsible for supervising the Canadian marketing agencies established under the Farm Products Agencies Act. The Council works with these agencies, provincial governments and marketing boards to promote an efficient and competitive industry for the products concerned. It is charged with protecting the interests of producers, processors and consumers.

Legislation

- Farm Products Marketing Agencies Act, 1972.
Amended by Bill C-54 assented the 25th of February, 1993 now the Farm Products Agencies Act.

Organization

♦ Executive Council

The Council is responsible for policy decisions and for the ultimate objectives and goals of the National Farm Products Council. All nine council members are appointed by Order-in-Council. Six of the nine members are paid on a per diem basis for those days that they are called upon to work on Council business.

♦ Operations

This section is responsible for the day to day monitoring of the agencies' operations and for reporting their findings to Council. The staff is also called upon to perform special tasks or studies regarding existing or possible new agencies which would provide analysis and documentation for Council's decisions.

♦ Communications

This section is responsible for media relations, the preparation and diffusion of Council's public information, and for the preparation and publication of annual reports and press releases.

♦ Management Services

This section is responsible for records management, property management, material management, Access to

Information and Privacy Legislation, general administration and for the financial, personnel and support functions for Council and its components.

Information Holdings

Program Records

Canadian Marketing Agencies

Description: Information on Canadian marketing agencies such as the Canadian Egg Marketing Agency, the Canadian Turkey Marketing Agency, the Canadian Chicken Marketing Agency and the Canadian Broiler Hatching Egg Marketing Agency. Records are located at the National Farm Products Council. **Topics:** Provincial levies; information services; claims; hearings; licensing; penalties and offenses; producer quotas; cost of production; production surveys; programs; reports and statistics; prices; statutory orders and regulations; surplus egg removal; interprovincial and export trade; marketing agencies (organization, minutes of proceedings, administration, finances, etc.). **Storage Medium:** Recordings — council meetings and in-camera hearings. **Program Record Number:** FPM FPM 010

Marketing Operations

Description: Information on liaison with commodity producers' associations, provincial agricultural departments, provincial marketing boards and other councils on matters related to marketing operations. Records are located at the National Farm Products Council. **Topics:** Producer quota studies; guidelines for cost of production formulae; interprovincial and export trade; statutory orders and regulations; reports and statistics; commodities — animal and animal products, grains and oilseeds, horticulture and special crops; liaison with organizations concerned with marketing (minutes of proceedings). **Program Record Number:** FPM FPM 005

Personal Information Banks

Access Requests Files

Description: This bank contains requests under the Access to Information Act, submitted by individuals or organizations to access records, the replies to such requests, and any other information relevant to the processing of the requests. **Class of Individuals:** Individuals or organizations. **Purpose:** Records are compiled to process access requests, and for research and statistical purposes. **Consistent Uses:** This bank is used for reference and statistical purposes. **Retention and Disposal Standards:** Two years. **PAC Number:**

86-001 **Related to PR#:** FPM FPM 010 **TBS**

Registration: 000477 **Bank Number:** FPM PPU 015

Applicants Inventory

Description: The purpose of this information bank is to maintain an inventory of candidates seeking employment with the National Farm Products Council. Applications come from within and outside the public service. The applications contain personal characteristics and histories describing the educational and working backgrounds of the applicants. Some applications also contain unsolicited performance appraisals. **Class of Individuals:** Applicants. **Purpose:** Records are maintained for general

administration. **Consistent Uses:** This bank is used for administrative purposes only. **Retention and Disposal Standards:** Two years. **PAC Number:** 86-001 **Related to PR#:** FPM FPM 010 **TBS Registration:** 000479 **Bank Number:** FPM PPU 025

Expenditure Records

Description: This bank contains information to support payments made to the general public and government departments for the provision of goods and services to the Government of Canada. **Class of Individuals:** Private companies and government departments. **Purpose:** Supporting documentation for payment of accounts. **Consistent Uses:** This bank is used for accounting, reference and statistical purposes. **Retention and Disposal Standards:** Six fiscal years. **PAC Number:** 86-001 **Related to PR#:** FPM FPM 010 **TBS Registration:** 000480 **Bank Number:** FPM PPU 030

Financial Records

Description: The purpose of this bank is to record program expenditures and revenues. It contains files on payments for travel and relocation, reimbursements for allowable charges and contractual agreements. **Class of Individuals:** Employees of the Council. **Purpose:** Records are compiled for the control and administration of the expenditures of the Council. **Consistent Uses:** The bank is used for accounting, reference and statistical purposes. **Retention and Disposal Standards:** Six fiscal years. **PAC Number:** 86-001 **Related to PR#:** FPM FPM 010 **TBS Registration:** 000478 **Bank Number:** FPM PPU 020

Personal Service Contracts

Description: This bank maintains a record of personal service contracts awarded. The bank is comprised of case files which contain the contracts, description of duties, length of service, remuneration, any other approved terms and conditions deemed necessary and

other related correspondence. It may also contain the curricula vitae and social insurance numbers of some individual contractors. **Class of Individuals:** Consultants. **Purpose:** Records are compiled for administration and financial reasons on all personal service contracts for proper control, accounting, substantiations and authority. **Consistent Uses:** This bank is used for the award of personal service contracts and their controls. **Retention and Disposal Standards:** Six fiscal years. **PAC Number:** 86-001 **Related to PR#:** FPM FPM 010 **TBS Registration:** 000475 **Bank Number:** FPM PPU 005

Private Sector Consultants

Description: This bank is a record of consultants and consulting firms from the private sector that have requested registration with the Council. The data bank contains individual and/or firm names, addresses and areas of specialization. **Class of Individuals:** Consultants. **Purpose:** Records are compiled for general administration. **Consistent Uses:** This bank is used for selection and awarding of personal service contracts. **Retention and Disposal Standards:** Two years. **PAC Number:** 86-001 **Related to PR#:** FPM FPM 010 **TBS Registration:** 000476 **Bank Number:** FPM PPU 010

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Council and its various functions may be directed to:

Director, Regulatory and Public Affairs
National Farm Products Council
13th Floor, Martel Building
270 Albert Street
P.O. Box 3430, Station D
Ottawa, Ontario
K1P 6L4
(613) 995-6752

Reading Room

An area on the premises of this institution has been designated under the Access to Information Act as a public reading room. The address is:

270 Albert Street
13th Floor
Ottawa, Ontario.

National Film Board of Canada

Chapter 67

General Information

Background

The National Film Board of Canada (NFB) was established by an Act of Parliament on May 2, 1939, and revised in 1950. The NFB reports to the Minister of Canadian Heritage. Its mandate "...is to produce and distribute films designed to interpret Canada to Canadians and to other nations;..."

Responsibilities

In its most recent mission statement the NFB expresses its mandate as follows: The National Film Board of Canada enriches Canadian society through the production and distribution of audiovisual works which: provoke discussion and debate on important subjects; explore the creative potential of the audiovisual media; and achieve recognition by Canadians and others for excellence, relevance and innovation.

Recent NFB productions include documentaries, animation shorts, CD-ROMS and interactive videos. They can be purchased by calling the toll-free number at 1-800-267-7710. A full catalogue is available on the internet site at www.nfb.ca. Videos can also be obtained through public libraries. Many NFB productions are broadcast on television. The NFB also operates an International Program to sell and market its films abroad.

NFB films are produced by the English and French Program Branches which are also responsible for marketing their films to their respective English and French audiences. NFB production centres are located in Vancouver, Edmonton, Winnipeg, Toronto, Moncton, and Halifax, as well as in Montreal. There is a permanent creative staff in Montreal, though a large proportion of NFB films are made by freelance independent filmmakers. The average annual production of the NFB is approximately 85 original films and 30 versions and adaptations of existing films. The Services and Technological Development Branch undertakes advanced technical research and development to further the art and technology of film and video. As a by-product of its production mandate, the NFB plays an active role in training people in all aspects of filmmaking.

Legislation

- National Film Act, R.S.C. 1985, c. N-8

Organization

The Board of Trustees of the National Film Board is composed of the Government Film Commissioner, who is both the chairperson and chief executive officer of the

NFB, the Executive Director of Telefilm Canada and six other members appointed by the Governor in Council. The NFB is headed by the Government Film Commissioner and supported by the Directors General of the English Program Branch, the French Program Branch, the Services and Technological Development Branch, the Communications and Distribution Services Branch, and the Directors of Administration, International Program, Planning, Evaluation and Audit, of Human Resources, and the Secretary to the Board of Trustees.

The head office of the NFB is in Ottawa, while its operational headquarters are located in Montreal.

♦ Administration

This Division provides for overall management of NFB resources, financial planning.

♦ Communications and Distribution Services Branch

This Branch handles internal and external corporate communications, Government Relations, the operation of a toll-free sales and information telephone system, the management and conservation of the NFB film collection, the operation of a database of the NFB filmography, the publication of catalogues, the annual report and the operation of the internet site. The NFB also works with several public libraries in making NFB products accessible to Canadians. This division administers the provisions in the Official Languages Act.

♦ English Program Branch

This Branch produces and markets films and videos in English to reach audiences in Canada and abroad on relevant social and cultural issues. The Branch produces and co-produces mainly documentaries and animated shorts in Montreal, Halifax, Toronto, Winnipeg, Edmonton and Vancouver. English Program uses permanent staff and freelancers.

♦ French Program Branch

This Branch produces and markets films and videos in French to reach audiences in Canada and abroad on relevant social and cultural issues. The Branch produces and co-produces mainly documentaries and animated shorts in Montreal, Moncton and Toronto. French Program uses permanent staff and freelancers.

♦ Human Resources

This Division is responsible for personnel management as well as for the Employment Equity Program.

♦ International Program Branch

This Division sells NFB productions in French and English outside Canada.

◆ Planning, Evaluation and Audit

This Division is responsible for monitoring the strategic, operating and budgetary process within the NFB, the elaboration of policies and the application of program evaluation policy. It is also responsible for overseeing internal audits.

◆ Secretary of the Board of Trustees

In addition to assuming the duties ascribed to the Secretary of the Board of Trustees, acts as the legal counsel of the NFB and administers the Code governing conflicts of interests, the Access to Information Act and Privacy Act.

◆ Services and Technological Development Branch

This Branch provides some technical production services for the Program Branches, operates a technical research and development section as well as a CinéRobothèque.

Information Holdings

Program Records

Communications

Description: Information on NFB communications with the public, NFB staff, and media. **Topics:** Contacts with the news media; publication of press releases; brochures; annual report; internal communications; visits to NFB and filmmakers' visits to educational institutions. **Program Record Number:** NFB COM 020

Conferences and Special Projects

Description: Information on participation by the NFB in various film-related projects. **Topics:** Exhibits; workshops; corporate participation in film industry events. **Program Record Number:** NFB COM 015

Employment Equity Program

Description: Information on human resources related to the parity between male and female employees in all permanent and contract positions, in all professional categories and at all levels. **Program Record Number:** NFB FPB 031

English Program Branch

Description: Information on the production and marketing of films and video, including animation. **Topics:** Scripts; budgets; contracts; financial statements; research material; rights; correspondence; information on marketing of NFB films in Canada and abroad; negotiation of contracts for television and theatres; research-audience surveys; new markets; local, national and international distribution; promotion and publicity. **Access:** Files arranged by film, title and type of distribution. **Program Record Number:** NFB EPB 025

Festivals, Awards, Special Film Events

Description: Information on the various festivals, awards, and film events in which the NFB participates (and awards received). **Topics:** Selection of films; registration for the festival; film event contacts with the press and filmmakers participating in the festival/film event. **Program Record Number:** NFB COM 010

French Program Branch

Description: Information on the production and marketing of NFB French language films and video. **Topics:** Scripts; budgets; contracts; financial statements; research material; rights; correspondence; information on marketing NFB films in Canada and abroad; negotiation of contracts for television and theatres; research audience surveys; new markets; local, national and international distribution. **Access:** Files arranged by film, title and type of distribution. **Program Record Number:** NFB FPB 030

Laboratory (Before June 30, 1996)

Description: Information on the processing of film and video technology. **Topics:** Quality control; chemistry; timing; sensitometry; printing and development; inspection of printing material; negative cutting; printing material library; stock film and shot library. **Access:** Files arranged by film titles. **Note:** As of July 1, 1996: All internal laboratory services have been closed. Only the conservation laboratory for the transfer of the NFB film collection and the blowing-up on the Debie are maintained. **Program Record Number:** NFB SED 035

Mixing, Recording, Editing and Technical operations

Description: Information on sound and projection recording. **Topics:** Mixing; transfer; editing; video and film transfer; quality control. **Access:** Files arranged by film titles. **Program Record Number:** NFB SED 040

Planning, Evaluation and Audit

Description: Planning. **Topics:** Part III of estimates; strategic planning and program evaluation. **Program Record Number:** NFB PPE 005

Pre-production

Description: Information on technical support for film productions. **Topics:** Rental and camera maintenance; sound; lighting. **Program Record Number:** NFB SED 045

Technical Research

Description: Information on testing and analysis of new materials for professional cinematography video and audio-visual technology; upgrading of existing pieces of equipment. The publication *Perforations* on audio-visual technology is no longer published. **Access:** Files arranged by type of equipment. **Program Record Number:** NFB SED 055

Visual Effects

Description: Information on animation and digital imaging. **Topics:** Animation photography; film credits; digital and special effects. **Access:** Files arranged by film. **Program Record Number:** NFB SED 050

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Please direct requests for general information about the National Film Board of Canada to:

Coordinator, Access to Information and Privacy:
(514) 283-9028

Records Manager: (514) 283-9080

Media: (514) 283-9246

Parliamentary and Government Relations: (613) 992-3615

Library: (514) 283-9045

Reading Room

In accordance with the Access to Information Act, the NFB has designated an area on the premises of two of its offices as public reading rooms. The addresses are:

Constitution Square
360 Albert Street, Suite 1560
Ottawa, Ontario

or
3155 Côte de Liesse Road
St-Laurent, Québec

National Gallery of Canada

Chapter 68

General Information

Background

The National Gallery of Canada (NGC), a Crown corporation, was established in 1990 by the Museums Act.

Responsibilities

The corporate objectives of the National Gallery of Canada are to develop, maintain and make known, throughout Canada and internationally, a national collection of works of art, historic and contemporary, with special but not exclusive reference to Canada, and to further knowledge, understanding and enjoyment of art in general among all Canadians.

Legislation

- The Museums Act, 1990

Organization

♦ Corporate Management

The Museums Act provides for a fourteen member board of Trustees, which reports to Parliament through the Minister of Communications. The Act establishes the position of Director who, on behalf of the Board of Trustees, directs and manages the business of the Corporation in all matters that are not, by the Act or by-laws, specifically reserved to the Board, or a committee of the Board.

♦ Director's Office, Deputy Director's Office, Comptroller's Branch, Museum Services Branch

These provide overall guidance, direction and common services to the programs and operations of the National Gallery. Included in these common support services are both administrative (financial, personnel, records, etc.) and technical (design, framing, matting and crating) services.

♦ Collections and Research Branch, Canadian Centre for the Visual Arts Branch

These Branches are responsible for the following operational areas: curatorial, registration, restoration and conservation, photography and a research library, known as the Canadian Centre for the Visual Arts. The Branch develops a record of visual art, historic, modern and contemporary, situates that record within an international cultural context, and maintains documentary records on the condition and cultural and historical origins of the objects collected. Through the Canadian Centre for the Visual Arts, the Branch provides a major study and teaching resource for Canadian and international artists,

scholars and students, and interested members of the public.

♦ Communications and Marketing Branch, Exhibitions and Installation Branch

These branches make the collection of more than 40,000 works of art and related knowledge accessible to national and international audiences through its displays of the permanent collections and special exhibitions, education services, publications, sales and media relations.

♦ Canadian Museum of Contemporary Photography

This museum undertakes a broad range of activities involving contemporary Canadian photographs and photographers. Its activities include acquisition and ordering of photographs, public programs, travelling exhibitions, loans, publications, educational programs, special exhibitions and national service.

Information Holdings

Program Records

Acquisition of Collections

Description: Information on the policy for the acquisition of works of art through purchases, gifts and donations; acquisition of historic Canadian art; offers of works of art that have been accepted and rejected; and examination reports for new acquisitions. **Topics:** Gifts proposed, approved and rejected; purchases — paintings, photographs, prints and drawings, sculptures; insurance; major acquisitions; preliminary correspondence with artists, collectors and dealers on acquisitions; correspondence with dealers, donors, collectors and artists; conservation; and examination reports. **Program Record Number:** NGC NGA 005

Artists' Files

Description: Information on artists — biographies, exhibitions and places where they have exhibited their works; and correspondence. **Program Record Number:** NGC NGH 140

Conservation

Description: Information on the conservation and preservation of artifacts, including prevention of deterioration through care and maintenance, suitable physical environment for storage and correct handling and packaging procedures for transportation. **Topics:** Conservation of works of art — National Gallery collection; examinations, inspections, restorations, analysis

of pigments, varnish and lacquer; damages to paintings and works of art on loan; architectural conservation; L'Assemblée nationale du Québec; conservation procedures for sculptures, paper- deacidification, thermo paper, ageing; and safety of prints and drawings. **Program**

Record Number: NGC NGI 160

Exhibitions, Expositions and Fairs

Description: Information on: permanent, travelling and special exhibitions, policy, exhibit planning and proposed exhibitions; Ottawa exhibitions; correspondence with lenders and inter-office correspondence dealing with the planning of exhibitions and catalogues; international and travelling exhibitions between 1959 and the present; exhibitions of prints and drawings; and aspects of exhibiting, exhibit planning and proposed exhibitions.

Topics: Schedules of exhibition programs; extension services; proposed exhibitions; publicity and advertising; invitations; special exhibitions; offers and suggestions for exhibitions; exhibitions in Canada and foreign countries; National Gallery involvement in exhibitions organized by other organizations; proposed exhibitions refused; in-house collection-generated exhibitions; lighting for exhibitions in galleries; schedules of exhibition programs.

Program Record Number: NGC NGB 020

Loans

Description: Information on: incoming and outgoing loans for exhibitions, government residences, ministers' offices; loans of National Gallery works of art to government departments and museums, universities and institutions in Canada and abroad. **Topics:** Policy; loans approved and rejected to museums and galleries around the world; loans to government; restricted loans list; general correspondence and policy; Canadian loans — approved and rejected; non-Canadian loans — approved and rejected; war art; and loans for exhibitions. **Program**

Record Number: NGC NGC 040

Operations

Description: Information on: the general operations of the National Gallery of Canada; on the general operation of the Museum of Contemporary Photography. **Topics:** Movement of works of art; chemicals; lining techniques technical studies; insurance safety; steps used in the preparation of opaque cross-section. Policy; prints and drawings; corporate sponsorship; curatorial operations; special events; movement of photographs. **Program**

Record Number: NGC NGD 060

Photographic Services

Description: Information on: the gallery's photographic services; registration; education services; education policy; audio-visual. **Topics:** Technical photography; acquisitions; procedures; colour transparencies. **Program Record**

Number: NGC NGF 100

Publications

Description: Information on various aspects of publications activities at the National Gallery of Canada; National

Gallery publications for exhibitions and collections during the years before 1958 and between 1959 and the present; general information on books and publications and on various aspects of publication activities at the Canadian Museum of Contemporary Photography.

Topics: Policy; permanent catalogue project; copyright and reproduction rights; bilingualism in publications; bulletins; journals; Canadian Artists series; catalogues for National Gallery collections; exhibition catalogues; acquisitions of books and other publications; submissions to outside publications; publications of the National Gallery; Bulletin No. 9; restoration and conservation laboratory journal; exhibitions, photography, printing and typesetting, purchases and sales; discounts; price evaluation; distribution lists; policy; copyright and reproduction rights; regular newsletter; mailing list.

Program Record Number: NGC NGG 120

Research

Description: Research files on exhibitions the curators have organized; individual research dossiers for art objects in the collections of the National Gallery; information on: the various processes used in photographic reproduction; on aspects of research and researchers; on collection content and the photographers; and library. **Topics:** Canadian paintings, sculpture, decorative arts; Royal Canadian Academy Diploma works; non-Canadian paintings, sculpture, decorative arts; Asian art; videotapes and films; computer image processing; biographical files; subject card index for photographs in collection; and books, periodicals and catalogues relating to photography.

Access: These files are located in the office of the Curator of Historic Canadian Art. **Program Record**

Number: NGC NGE 080

Personal Information Banks

Collections

Description: This bank has complete information pertaining to the collection of objects by the National Gallery of Canada. The information regards individuals with which the National Gallery of Canada deals, in relation to collecting. The purpose is to maintain complete information about objects collected or collectable by the National of Canada. The information is classified by objects. It is used to determine the value of objects for income tax or insurance purposes. **Bank Number:** NGC PPU 005

Contract Files

Description: The purpose of this bank is to keep a record of all personal and professional service contracts entered into by the National Gallery of Canada. It contains information such as the request for service by the manager, the original contractual document, and reasons for not authorizing payment if the terms of the contract have not been met. Active files are kept within the Material Management Division. **Class of Individuals:** Information relates to individuals from the private sector under contract for services to NGC. **Purpose:** For contracting services.

Consistent Uses: To maintain information on all service contracts entered into by NGC. **Retention and Disposal Standards:** Six fiscal years, then destroyed. **PAC Number:** 86-001 **Bank Number:** NGC PPU 010

Individual Requests Under the Privacy Act

Description: This bank contains formal requests made by individuals under the Privacy Act for access to personal information about them, the replies to such requests and all records relating to their processing. If applicable, includes information on exemptions claimed, complaints received, reports and recommendations of the Privacy Commissioner and litigation in the Federal and Supreme Courts. **Class of Individuals:** Individuals requesting information under the Privacy Act. **Purpose:** For processing such requests and for compiling statistics relating to them. **Retention and Disposal Standards:** Two years after last action. **PAC Number:** 86-001 **Bank Number:** NGC PPU 020

Requests from Federal Investigative Bodies

Description: Contains requests for personal information made pursuant to paragraph 8(2)(e) of the Privacy Act by federal investigative bodies, the replies to such requests, the records of information disclosed, any correspondence with the Privacy Commissioner and all records relating to the processing of the requests. **Class of Individuals:** Individuals being investigated by federal investigative bodies. **Purpose:** To meet the requirements of the Act. **Consistent Uses:** Compiling statistics relating to requests from federal investigative bodies. **Retention and Disposal Standards:** Two years after last action. **PAC Number:** 86-001 **Bank Number:** NGC PPU 030

Requests Under the Access to Information Act

Description: Contains formal requests made under the Access to Information Act by individuals for access to records, the replies to such requests and all records relating to their processing. If applicable, includes information on exemptions claimed, interventions of third parties, complaints received, reports and recommendations of the Information Commissioner and litigation in the Federal and Supreme Courts. **Class of Individuals:** Individuals requesting information under the Access to Information Act. **Purpose:** To process requests. **Consistent Uses:** Compiling statistics relating to the administration of the Act. **Retention and Disposal Standards:** Two years after last action. **Bank Number:** NGC PPU 040

Classes of Personal Information

Some NGC files summarized in the Program Records contain personal information which is not used in a decision-making process directly affecting the individual to whom it relates. Examples are inquiries about NGC collections, programs and services, information and

advice given to individuals by NGC employees about museums and museum objects, and information about individuals in documents relating to grants or proposed grants to institutions.

These classes of personal information are kept on the relevant subject files and are not identifiable by name of the individual or other personal identifier. To retrieve these classes of personal information, the NGC would need as much detail as possible about the subject matter, the geographic location and the time the information would have come to the NGC.

The retention periods for these classes of personal information are the same as those for the subject files containing the information.

Manuals

- Canadian Centre for Visual Arts' Policy
- Collections Policy
- Communications Policy
- Human Resources Policy

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Gallery, its components, and its various programs and functions may be directed to:

Communications and Marketing
National Gallery of Canada
380 Sussex Drive
P.O. Box 427, Station A
Ottawa, Ontario
K1N 9N4
(613) 990-1935

Reading Room

The Corporation's library has been designated under the Access to Information Act as a public reading room. The address is:

Library
National Gallery of Canada
380 Sussex Drive
Ottawa, Ontario

National Library of Canada

Chapter 69

General Information

Background

The National Library of Canada, created in 1953, operates under the National Library Act (1969) and its amendments, and administers the National Library Book Deposit Regulations (revised 1995). The Library is a branch of government having the rank of a department and reports to Parliament through the Minister of Canadian Heritage. The National Library serves Canada from the National Capital Region.

Responsibilities

The National Library ensures that the published heritage of the Canadian people is preserved and made accessible to Canadians directly and through the country's libraries.

To fulfil its responsibilities the Library collects and promotes the Canadian literary and musical heritage in its broadest sense. This includes listing the nation's publications to facilitate their retrieval, availability and use; providing reference, information, referral and document delivery services to support similar services provided by other Canadian libraries, with special emphasis on Canadian-related subjects; making known the written and musical heritage of the nation through a cultural events program; and facilitating the use of the nation's total library resources by coordinating and participating in national and international programs for sharing information on publications and for identifying and supplying needed documents on interlibrary loan.

Legislation

- National Library Act (R.S. (1985), c. N-12) and its amendments
- National Library Book Deposit Regulations (revised 1995)

Organization

◆ Acquisitions and Bibliographic Services

Under the Book Deposit Regulations of the National Library Act, the Branch acquires publications issued in Canada. The Branch also acquires for the National Library's collections published materials about Canada, by Canadians published abroad as well as non-Canadians in support of Canadian studies, library development and resource sharing, by purchase, gift and exchange. The Canadian Theses Service coordinates a national program for the microfilming and publication of graduate theses accepted by participating Canadian universities and colleges.

The Branch also catalogues and creates machine-readable bibliographic records for the Canadian and non-Canadian material being added to the Library's collections. These records appear in the Library's automated data base, AMICUS. In addition to the online database, a variety of bibliographic products are compiled and published: current and retrospective national bibliographies; a bilingual list of headings for corporate and personal authors' names used in the bibliography; classification schedules for Canadian literature and history; a list of Canadian subject headings in English; a list of subject headings in French which it co-publishes with Université Laval and a bibliography of Canadian theses. In addition, the Branch coordinates the Canadian Cataloguing-in-Publication program; distributes machine-readable cataloguing records for Canadian and foreign publications and assigns International Standard Serial Numbers (ISSN), International Standard Book Numbers (ISBN) and International Standard Music Numbers (ISMN) to Canadian publications. It contributes to the development of national and international cataloguing standards; contributes cataloguing records for Canadian serials to CONSER, a North-American machine-readable database of serial records. The Branch also compiles and maintains the National Library's Union Catalogues (monographs, serials and alternate format materials for people unable to use conventional print material) on the AMICUS database to support interlending services in Canada, and from this database produces microfiche products: CANUC:H, (Canadian Union Catalogue of Library Materials for the Print Handicapped); CANUC:S, a Union List of Serials in the Social Sciences and the Humanities; and the Union List of Canadian Newspapers (ULCN). The last two union lists are included in Romulus, the CD-ROM product jointly produced by the National Library and CISTI.

◆ Corporate Policy and Communications

Corporate Policy and Communications is responsible for the Library's corporate policy, planning and communications activities, including internal audit, program evaluation, staff development and strategic planning. It is also responsible for marketing the National Library and promoting its programs, services and role as a national cultural institution through publications.

◆ Information Resource Management

Responsible for developing and implementing resource development strategies, policies and plans to enable the National Library to meet the information service needs of its clients and partners. Also coordinates the Library's participation in government-wide initiatives to ensure the effective management and use of federal information resources.

◆ Information Technology Services

The branch consists of the following units: Applications Management, Information Analysis and Standards, Management Services, Systems and Telecommunications Support, and User Support.

The branch plans, develops, and operates information systems to support the program requirements of the National Library. It provides systems and technology support to external clients, and provides leadership and coordination in the development of nation-wide library and information networking through research, standards development and promotion. The Branch operates and enhances computer systems and databases required by the National Library in support of its programs. It also provides leadership in the development of automated systems that can be used widely by Canadian libraries and provides advisory services to Canadian libraries that are developing automated systems.

◆ National and International Program

Responsible for developing and implementing new Library services, and for coordinating the Library's participation in national and international cooperative projects and programs. Also advises the Library, its clients and partners, on national and international cooperative ventures.

◆ Office of the National Librarian

The National Librarian is responsible for the overall direction and management of the Library's activities and resources.

◆ Research and Information Services

The branch is responsible for providing a location and interlibrary loan service and a reference and information service, to libraries, and researchers. Special advisory services are offered in Canadian literature including children's literature, in music, and in library and information science. It also provides service from the National Library's collections, including official publications, serials, reference, music, rare books and literary manuscripts, Canadian newspapers and native studies. Surplus library materials from Canadian libraries are collected and exchanged through the Canadian Book Exchange Centre in Ottawa. Public Programs offers a variety of lectures, readings, musical events, and exhibitions based on the Library's collections.

published; Canadian authors; gifts and deposits of library materials; purchase of library materials — order requests and orders received; bookbinding services. **Program Record Number:** NLC ABS 030

Anglo-American Cataloguing Rules (AACR)

Description: Information on the development and revision of the Anglo-American Cataloguing Rules and the work of the Joint Steering Committee (JSC) for the revision of AACR. **Topics:** AACR 1st edition; AACR 2nd edition; JSC meetings. **Access:** AACR files arranged by edition and chapter number; JSC meetings by JSC numbering system. **Program Record Number:** NLC ABS 060

Applications Management

Description: Information on the design and development of application systems and their interfaces with protocol-based telecommunications support systems and on the negotiation and administration of contracts associated with system and interface development. **Topics:** Development of application systems and production systems, information technology planning (high-level application architecture), maintenance of the NL application architecture, interaction with data administration, systems development methodologies and standards, information technology assessment. **Program Record Number:** NLC ITS 165

Canadian Children's Literature Service

Description: Information on Canadian literature for children and young adults and on libraries and library service for children and young adults; including a separate collection of 31,000 children's titles, lists of Canadian books for children and young-adults by year of publication, lists of award winning books, thematic book lists including the annual Read Up On It kit, electronic products and services, manuscript collections for selected Canadian children's authors and illustrators, biographical files. **Topics:** Canadian books for children and young adults, bilingual books; children's writings; children's periodicals, book selection, biographical information. **Access:** Books filed by subject (Library of Congress classification system) with access through DYNIX, AMICUS and in-house catalogue (to 1993) and book lists by year of publication (since 1984). **Storage Medium:** slides (by illustrator, title and year) **Program Record Number:** NLC RIS 115

Canadian Literature Research Service

Description: The Canadian Literature Research Service provides specialized reference research, and bibliographical service to Canadian literature and literary publishing. **Program Record Number:** NLC RIS 150

Canadian Theses

Description: Information on the National Library service of micro-publishing theses accepted at Canadian universities. **Topics:** Acquisition of theses; filing; sales. **Access:** Files arranged by author. **Program Record Number:** NLC ABS 035

Information Holdings

Program Records

Acquisitions

Description: Information on the acquisition of Canadian and non-Canadian library material by the National Library. **Topics:** Compliance with the National Library Act; government publishers; government documents

Cataloguing Programs

Description: Information on the National Library's cataloguing operations. **Topics:** Cataloguing policies; workflow studies; National Library catalogue study project; processing time analysis system; performance measurement system; pre-1950 bio-bibliographical file (information on some pre-1950 Canadian authors and titles). **Access:** Statistical records related to the processing time analysis system and performance measurement system are in machine-readable form. **Program Record Number:** NLC ABS 050

Cataloguing-in-Publication

Description: Information on the Cataloguing-in-Publication (CIP) program whereby Canadian books are catalogued prior to publication and the cataloguing information is printed in the book at the time of publication. **Topics:** CIP agent library contracts; CIP planning documents; foreign CIP programs; CIP statistics; CIP workflow studies; CIP publicity; completed CIP application forms from publishers. **Access:** Application forms arranged by publisher. **Program Record Number:** NLC ABS 040

Council of Federal Libraries Secretariat

Description: Information on meetings, workshops, projects, publications of the Council of Federal Libraries and its action committees and working groups, and other activities related to its function of assisting the National Librarian in coordinating federal library services. **Topics:** Meetings — Council, steering committee, action committees and working groups; workshops BF copyright, fees for services, automation; elections of steering committee members; publishing plans and publications information series packages, newsletter, annual reports, Web Site, listserve. **Program Record Number:** NLC NIP 010

Information Analysis and Standards

Description: Information on the development of corporate data models, information architectures, and reference models for information processes; development and maintenance of data definitions; development and maintenance of information technology standards for internal use and for dissemination at national and international levels; dissemination and promotion of information management policies and procedures by the Library. **Topics:** Systems development projects, systems management standards, network-development. **Program Record Number:** NLC ITS 160

Information Technology Management Services

Description: Information on formulating information technology policy and plans; documenting policy decisions, maintaining up-to-date planning documents, developing systems assurance procedures and monitoring their application with ITS. **Topics:** Business and information technology planning, establishment of policies, standards and procedures in the area of information technology, systems assurance, development and maintenance of high level architectures, training requirements in Open

System Interconnection (OSI) and merging technology, charging policy, copyright. **Program Record Number:** NLC ITS 145

Interlibrary Loans

Description: Information for libraries interested in Interlibrary Loan Services, including name and type of library, Canadian library symbol, address, interlibrary loan charging policies. Also management information on interlibrary loan requests received at the National Library of Canada. **Storage Medium:** Automated database, tape. All data can be accessed. **Program Record Number:** NLC RIS 140

International Standards Organization (ISO)

Description: Information on the work of various committees and subcommittees of the International Standards Organization on bibliographic and related standards. **Topics:** Technical committees and working groups concerned with standards for documentation, terminology and bibliographic description (ISO/TC46 and its subcommittees). **Access:** Files arranged by ISO committee and/or standard number. **Program Record Number:** NLC ABS 055

Jacob M. Lowy Collection

Description: Three thousand volumes of rare printed Hebraica and Judaica spanning five centuries; two hundred original Hebraic manuscripts, primarily from nineteenth and twentieth century Yemen; microforms of manuscript holdings of selected European and American institutions; a reference collection of over one thousand volumes. **Topics:** Religious and secular works, with a concentration on incunabula, editions of bibles and the historian Josephus, rabbinic literature of late antiquity, the middle ages and the pre-modern period, liturgy; Hebraic bibliography and the history of Hebraic printing. **Access:** Through Dynix and AMICUS in conjunction with printed guides. Consultation in the Lowy Room, 2nd floor. **Program Record Number:** NLC RIS 145

Library and Information Science Reference Material

Description: Information on topics of interest to the Canadian library community: library-related subjects; libraries; librarians; library associations; library schools; library services for persons with disabilities; meetings; library equipment and facilities; and policies. Includes monographs, periodicals, newspaper clippings, videos, cassettes, CD-ROMs, databases, and a variety of published and unpublished papers. **Topics:** Recent developments in libraries, including Canadian library science research; automation of library operations; development of bibliographic databases; union catalogues and resource sharing among libraries; management and administration of libraries; collections management; federal library studies; surveys; microcomputers in libraries; national libraries and systems; physical access to libraries; and technical aids for persons with disabilities. **Access:** Files arranged by subject group or by name of author, library or association. From January 1986 to December

1995 subject citations with subject access are available in an online database. **Program Record Number:** NLC RIS 095

Library Services to Persons with Disabilities

Description: Support for library services to persons with disabilities. **Topics:** Library services to persons with disabilities; meetings — Advisory Group on National Library Services to Persons with Disabilities; publications: The Accessible Canadian Library — second Edition. **Program Record Number:** NLC NIP 130

Literary Manuscripts

Description: Information on Canadian authors whose papers are held by the National Library of Canada. **Topics:** biographical and critical files, mainly clippings from newspapers and journals. **Program Record Number:** NLC RIS 135

Machine-Readable Cataloguing Formats (MARC)

Description: Information on the development of standard formats for machine-readable cataloguing information in Canada and other countries. **Topics:** Canadian MARC (CAN/MARC) format; United Kingdom MARC (UK/MARC) format; Library of Congress MARC (LC/MARC) format, etc. **Program Record Number:** NLC ITS 065

Marketing and Publishing

Description: Marketing and communication plan for various programs and services. Information on publications and information displays. **Topics:** Production steps for publications and information displays — procurement requests, contracts for advertising, printing, requisitions and correspondence on particular projects in these and other areas of the division's work. **Access:** Files arranged by publication and information display. **Program Record Number:** NLC COM 020

Music Manuscripts, Sound Recordings, Printed Collection and Reference Service

Description: Lists of manuscript collections and sound recordings, union catalogue of Canadiana sheet music published before 1950, finding aids for selected archival collections, files on many aspects of musical Canadiana, and records of Music Division acquisitions by gift, legacy or purchase; microfiche and computer listings for 78 and 45 rpm discs, binders and card catalogue for 33 1/3 discs to 1978 (post 1978 in AMICUS), and binders for wax cylinders and piano rolls; correspondence replying to reference questions related to music; grey literature and ephemera relating to musical Canadiana. **Topics:** Manuscript collections; sound recordings; early Berliner recordings at the National Library; the Dr. André and Pearl Ross Collection of Spoken Sound Recordings; the Ralph Gustafson Collection of piano recordings; vertical files on Canadian music and musicians; Encyclopedia of Music in Canada information sheets; Canadian sheet music, Canadian concert programs; Canadian musical iconography; Canadian music publishers; Percy Scholes vertical files on British and European music; lists of donors

and donations; records of purchases and solicitations for the collection. **Access:** Manuscript Collection — files arranged by collection and finding aids by name of collector; List of Manuscript Collections by name and call number. Recorded Sound Collection — unpublished recordings, pre-1969 LPs, cylinders and piano rolls by recording artist, collection and accession number; 78 rpm and 45 rpm discs by performer, composer, title, label, issue, matrix number and permuted title; early Berliner records by catalogue number of manufacturer; Dr. André and Pearl Ross Collection by language and recording personality. Printed Collection — (Sheet Music) manual catalogue by composer, title, publisher and date of publication. (Ephemera and Vertical Files) alphabetical card file to articles on Canadian music and musicians in Canadian periodicals; vertical files on Canadian music and musicians by name of subject, individual, or collectivity (cross-referenced); concert programs arranged by province, city, organization and date; photos of musical Canadiana arranged alphabetically by subject and/or by accession number; dated address file of Canadian music publishers to 1900. Correspondence — correspondence arranged chronologically within a subject. **Program Record Number:** NLC RIS 085

National and International Bibliographic Projects

Description: Information on participation in national and international co-operative projects designed to foster the sharing of bibliographic information. **Topics:** Canadian Institute for Historical Microreproductions; AMICUS (bibliographic database); co-operative projects for name and subject authorities; Anglo-American Cataloguing Rules; International Federation of Library Associations and Institutions (IFLA); CONSER (Co-operative ONLINE SERIALS) program; Universal Bibliographic Control (UBC). **Program Record Number:** NLC ABS 045

Preservation

Description: Information on topics of interest to Canadian libraries concerning preservation of library materials and information relating to preservation activities at the National Library. **Topics:** Preservation and conservation of library materials. **Access:** Files arranged by subject and organization. **Program Record Number:** NLC IRM 175

Public Programs

Description: Information on cultural events such as exhibitions, concerts, film screenings and tours. **Topics:** Production steps — planning, implementation, costs. **Program Record Number:** NLC COM 025

Rare Book Collection

Description: Rare Canadiana monographs, periodicals, broadsides and pamphlets. Small collection of rare non-Canadiana. **Topics:** All topics relating to Canada with strengths in early travels and voyages, Arctic explorations, history, early Canadian printing, pre-Confederation government publications, Canadian livres d'artistes and press books. **Access:** Through DYNIX and AMICUS.

Consultation in Special Collections Reading Room.

Program Record Number: NLC RIS 125

Reference and Information Services

Description: Information on National Library holdings of Canadian official publications, and foreign and international official publications; newspapers; information on National Library of Canada publications; reference and information questions and related correspondence (including newspapers and official publications); research documentation in Canadian studies and the social sciences and humanities in both manual and automated form; published documents from the library of the former Indian Claims Commission (1969-79) and the Whiteside collection of published documents on Canada's First peoples; files of materials on order; vertical files; finding aids for the collection. **Topics:** Canadiana and Canadian studies; social sciences and humanities; government and law official publications (statutes and regulations) Canadian Native Studies; newspapers; and genealogical research. **Access:** Card index to government commissions, committees, task forces; card index and finding aids to the library collection of the former Indian Claims Commission; finding aids to the Whiteside collection; newspaper index arranged by place, province and decade; microform masters of serials arranged by titles; microform masters of newspapers arranged by province and city. **Program Record Number:** NLC RIS 110

Resource Sharing Projects

Description: Information on library projects related to resource sharing in Canada including name or title of project, objective, description, project's electronic address, name, address, sponsoring body name, dates and funding source. **Storage Medium:** Automated on NLC Web site. **Program Record Number:** NLC NIP 135

Standard Numbering Programs

Description: Assignment of International Standard Book Numbers (ISBN) and International Standard Music Numbers (ISMN); assignment of International Standard Serial Numbers (ISSN) (International Serials Data System) **Program Record Number:** NLC ABS 065

Systems and Telecommunications Support

Description: Information on the provision of computing telecommunication environment to carry out the ITS mandate. Information on the operation of systems developed or acquired for use within the National Library; production control, product support, front-line troubleshooting and monitoring of systems performance; operation of telecommunications networks and directory systems. **Topics:** Capacity planning, systems and telecommunications architectures, proprietary software, technical change management and control, database administration, systems support, telecommunications support. Batch systems control, tape library management, remote job-entry management, supplier liaison, computing equipment support, computer job performance monitoring and tuning, front-line troubleshooting for on-line systems,

telecommunications and equipment support, inventory control of telecommunication circuits and equipment, inventory control of hardware and software, configuration management, informatics security, telecommunications directories, on-line system performance monitoring and tuning, task control and scheduling, troubleshooting batch system, on-line system troubleshooting, and quality control of production programs. **Program Record Number:** NLC ITS 170

Union Catalogues

Description: Information on the holdings of libraries in Canada of monographs and serials, including foreign and Canadian official publications, Union Catalogue of Canadian Music Publications to 1950, Union List of Canadian Newspapers, Canadian Union Catalogue of Library Materials for the Handicapped and Canadian Works in Progress. **Topics:** Holdings of Canadian libraries; microfilming catalogues of Canadian libraries; locations of Canadian music published up to 1950; Canadian library holdings of special format materials for the disabled; and information on special format works-in-progress. **Access:** Files are arranged by author or title for the pre-April 1980 accessions card form; Canadian music publications are arranged by composer, title, publisher and date. **Storage Medium:** Most accessions received after April 1980 are machine-readable. **Program Record Number:** NLC ABS 090

User Support

Description: Information on user documentation, training, set-up, support and communications; coordinating access authorization and service administration for both internal and network system services; promoting ITS services and programs and supporting end-user computing. **Topics:** User liaison, assessment, documentation, training, federal library user-computing support service, promotion of NLC Services and programs, services to NLC. **Program Record Number:** NLC ITS 150

Personal Information Banks

Access to Information and Privacy Requests

Description: This bank contains the access to information and personal information request forms or letters sent by individuals, the replies to such requests and information related to their processing. **Class of Individuals:** Individuals requesting access to information. **Purpose:** To process access requests. **Consistent Uses:** To compile statistics. **Retention and Disposal Standards:** Retained for two years after resolution and then destroyed. **TBS Registration:** 003187 **Bank Number:** NLC PPU 025

Online Search Request

Description: This bank contains files of online search request forms completed for queries which have required computerized database searching on a particular subject. It provides client's name and affiliation, mailing address, telephone number and details of topic(s) searched. Service charges are also indicated. **Class of Individuals:** Libraries

or individuals whose research requires the use of the online facilities of the National Library. **Purpose:** To retain a profile of our clients' online subject requirements.

Consistent Uses: To enable staff to retrieve information about previous requests. **Retention and Disposal Standards:** Records are kept for five years and then sent to the National Archives of Canada for disposal. **TBS Registration:** 003512 **Bank Number:** NLC PPU 005

Patron Information

Description: This computerized data bank contains information on individuals who wish to use the National Library's research facilities, collections and services. It includes the patron bar code number, patron name, affiliation, address, telephone number, research topic, National Library user card number and expiration date, and the number of the locker or study room assigned to the patron, if applicable. **Class of Individuals:** Individuals whose research requires the use of the facilities, collections and services of the National Library. **Purpose:** The bank was established to identify users of the National Library's research facilities, collections and services, to prepare user cards, to survey research use and to compile statistics. **Consistent Uses:** To profile users of the National Library, to identify research topics, to analyse trends in information needs and to control the circulation of material. Patrons require a National Library bar code number to access the Library's online public access catalogue and to request material. **Retention and Disposal Standards:** All patron records since 1995 are permanently retained. **TBS Registration:** 002278 **Bank Number:** NLC PPU 010

Reference Enquiries

Description: This bank contains requests for reference information received either in person, by telephone, or through regular and electronic mail. It provides client's name, telephone number, affiliation, specific inquiry and the response. Address/fax are also noted, as required. **Class of Individuals:** Libraries or individuals whose research requires the use of the facilities, collections and services of the National Library. Individuals who do not have access to a library. **Purpose:** To maintain a record of reference requests received by the National Library's Reference and Information Services Division, the Music Division, Children's Literature Service or Special Collections and to conduct surveys as needed. **Consistent Uses:** To compile statistics. **Retention and Disposal Standards:** Forms are kept for one year and then sent to the National Archives of Canada for disposal. **TBS Registration:** 002279 **Bank Number:** NLC PPU 015

Reference Query Log (REFLOG)

Description: This database contains brief information on written and online search requests. It provides the patron's name, city, country and subject of search. **Class of Individuals:** Libraries or individuals whose research requires the use of the collections and services of the National Library. **Purpose:** REFLOG is used to compile monthly statistics and monitor/control response time.

Consistent Uses: REFLOG is also used to trace either the original written request and answer provided or the complete information on the online search request form.

Retention and Disposal Standards: Database records are kept for ten years and then entries are deleted. **TBS Registration:** 003186 **Bank Number:** NLC PPU 020

Manuals

- Access AMICUS — Broadening and Narrowing Searches
- Access AMICUS — Quick Reference Guide
- Access AMICUS Help
- AMICUS Cataloguing — MARC Reference
- AMICUS Cataloguing — Training Manual
- AMICUS Search — Broadening and Narrowing Searches
- AMICUS Search — Quick Reference Guide
- AMICUS Search — Training script
- Annotations Manual
- Canadian CIP (Cataloguing in Publication) Manual
- Canadian ISBN Agency
- Canadian Theses Service Procedures
- Circulation Section Manual
- Collection Development Policy of the National Library of Canada
- Communications Manual
- Computerized Information Service Manual
- Conspectus Search Service Manual
- Council of Federal Libraries By-Laws and Decisions
- Desk and Telephone Procedures Manual
- Government Library Survey Recommendations, 1974
- ILL Basic Search Manual
- ILL In-depth Search Manual
- Information Classification and Designation Guide
- Interlibrary Loan Generic Script User Guide
- Interlibrary Loan Services of the National Library of Canada
- ISSN Manual (International Standard Serial Number) (ISSN)
- ITS Link
- Machine Readable Reporting to the Canadian Union Catalogue
- Music Division Policies and Procedures
- National Library Descriptive Cataloguing Manual
- NLNET User's Guide
- Official publications manual
- Passport to Access AMICUS
- Periodicals Section Manual
- Records Management File Classification Manual

- Serials Records Section Manual
- Service Group Procedures Manual (English only)
- Statistics Manual
- Symbols and Interlibrary Loan Policies in Canada
- Systems Assurance Manual
- UCSS Infosystem Guide
- Using Access AMICUS
- Using AMICUS
- Written Reference Request Manual

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for information about the services of the National Library should be addressed to a specific branch or service or to a librarian at any public, academic, government or special library in Canada.

Researchers may use the National Library's services at:

395 Wellington Street
Ottawa, Ontario
K1A 0N4
(613) 995-9481

Requests for information about tours of the National Library or about its exhibitions and cultural events should be addressed to:

Public Programs
National Library of Canada
395 Wellington Street
Ottawa, Ontario
K1A 0N4
(613) 992-9988

Reading Room

In accordance with the Access to Information Act, an area on the premises of this institution has been designated as a public reading room. The address is:

395 Wellington Street, 2nd floor
Ottawa, Ontario

National Museum of Science and Technology

Chapter 70

General Information

Background

The National Museum of Science and Technology (NMST), a Crown Corporation, was established in 1990 by the Museums Act.

Responsibilities

The purpose of the Corporation, as defined in the Act, is to foster scientific and technological literacy throughout Canada by establishing and maintaining a collection of scientific and technological objects, with special but not exclusive reference to Canada, and by demonstrating the products and processes of science and technology and their economic, social and cultural relationships with society. It consists of three interpretive centres: the National Museum of Science and Technology (SAT), the National Aviation Museum (NAM) and the Agriculture Museum (AGR).

Legislation

- Museums Act, 1990

Organization

◆ Collection and Research

The Collection and Research Branch is responsible for collecting, preserving, recording, understanding and communicating the processes of discovering, creating, inventing, manufacturing and employing science and technology and the effects these processes have on Canadian society.

◆ Corporate Development Division

The Corporate Development Division is responsible for generating resources (including money, goods and services) in order to augment the corporation's appropriated federal budget in support of its mandate and corporate objectives. Resources are generated through sponsorship, fundraising and membership programs aimed at the private sector and the general public.

◆ Corporate Services Branch

Corporate Services is responsible for providing support, functional direction and control to management, and ensuring the Museum operates effectively, efficiently and economically in accordance with legislative requirements, sound business practices and ethical management standards. Offer services in the areas of finance, administration, human resources, facilities, protection, commercial operations, policy development and internal audit.

◆ Directorate

The Directorate is responsible for the direction of the museum and the management of all its activities, resources and outputs. It includes the office of the Corporate Secretary which provides a support function for the Director and the Board of Trustees.

◆ National Aviation Museum

The National Aviation Museum is responsible for preserving, demonstrating and interpreting for present and future generation the development of Canadian and world aviation, both civil and military and illustrating the past and continuing contribution of aviation to the evolution of the social, economic and built fabric of Canada.

◆ Public Programmes

The Public Programmes Branch is responsible for fostering scientific and technological literacy throughout Canada by demonstrating the products and processes of science and technology, as well as their economic, social and cultural relationships with society, with special, but not exclusive reference to Canada. It includes the Communication and Promotion division which serves the Corporation.

Information Holdings

Program Records

Collections and Acquisitions

Description: Information on each individual artifact and its acquisition by purchase, gift, donation and exchanges.

Topics: Communication and space; Energy and non-renewable resources; Agriculture and Renewable resources; Aviation; Industrial technology; Physical Science and Emerging Technology; Transportation; Domestic Technology. **Program Record Number:** STM STA 005

Communications/Marketing/Promotions

Description: Information on various activities regarding Communications, Marketing and Promotions. **Topics:** Marketing; promotion; advertising along with fund raising, sponsorship; memberships and visitor services programs. **Program Record Number:** STM STA 010

Conservation

Description: Information on the conservation and preservation of artifacts, prevention of their deterioration through care and maintenance, provision of a suitable physical environment for storage, and control of correct handling and packaging procedures for their transportation. **Topics:** Communication and Space, Energy and non-renewable resources; Agriculture and Renewable

resources; Aviation Industrial technology; Physical Science and Emerging Technologies; transportation; domestic technology. **Program Record Number:** STM STA 015

Exhibition

Description: Information on exhibition, information collection and public opinion research. **Topics:** Correspondence relating to the proposal and the planning of permanent, temporary, travelling and visiting exhibitions. **Program Record Number:** STM STA 020

Loans

Description: Information on incoming and outgoing loans for exhibitions and for special events. **Topics:** Communication and space; Energy and non-renewable resources; Agriculture and Renewable resources; Aviation; Industrial technology; Physical Science and Emerging Technology; Transportation; Domestic Technology. **Program Record Number:** STM STA 025

Personal Information Banks

Collections

Description: This bank has complete information pertaining to the collection of objects by the National Museum of Science and Technology and the National Aviation Museum. **Class of Individuals:** Individuals with which the National Museum of Science and Technology deals, in relation to collecting. **Purpose:** To maintain complete information about objects collected or collectable by the National Museum of Science and Technology and the National Aviation Museum. The information is classified by objects. **Consistent Uses:** Determination of value of objects for income tax or insurance purposes. **TBS Registration:** 001678 **Bank Number:** STM PPU 005

Contract Files

Description: The purpose of this bank is to keep a record of all personal and professional service contracts entered into by the Corporation. It contains information such as the request for service by the manager, the original contractual document, and reasons for not authorizing payment if the terms of the contract have not been met. Active files are kept within the Management Services Record Office. **Class of Individuals:** Information relates to individuals from the private sector under contract for services to NMST and NAM. **Purpose:** For contracting services. **Consistent Uses:** To maintain information on all service contracts entered into by NMST and NAM. **Retention and Disposal Standards:** Six fiscal years, then destroyed. **PAC Number:** 86-001 **TBS Registration:** 000377 **Bank Number:** STM PPU 010

Individual Requests Under the Privacy Act

Description: This bank contains formal requests made by individuals under the Privacy Act for access to personal information about them, the replies to such requests and all records relating to their processing. If applicable, includes information on exemptions claimed, complaints

received, reports and recommendations of the Privacy Commissioner and litigation in the Federal and Supreme Courts. **Class of Individuals:** Individuals requesting information under the Privacy Act. **Purpose:** For processing such requests and for compiling statistics relating to them. **Retention and Disposal Standards:** Two years after last action. **PAC Number:** 86-001 **TBS Registration:** 001680 **Bank Number:** STM PPU 030

Mailing Lists

Description: This bank contains the names, addresses and telephone numbers, organized by areas of specialties or interest. **Class of Individuals:** Public, media, academics, professionals, museological associations, etc. **Purpose:** To communicate and promote our Museums programs, services and special activities. **Consistent Uses:** To inform and distribute materials to individuals and groups of interest. **Retention and Disposal Standards:** Disposed of when requested to change of address and returned mail no longer meet the purpose for which it was collected. **PAC Number:** 86-001 **TBS Registration:** 002769 **Bank Number:** STM PPU 015

Memberships

Description: This bank contains the names, addresses, telephone numbers and credit card number of members. **Class of Individuals:** General public **Purpose:** The purpose of this bank is to maintain a record of members, their preferences and special interests for museum related programs and activities. **Consistent Uses:** This bank is used to maintain and renew memberships, and to seek new members. **Retention and Disposal Standards:** Destroyed two years after last administrative action. **PAC Number:** 86-001 **Related to PR#:** STM STA 010 **TBS Registration:** 003912 **Bank Number:** STM PPU 040

Requests from Federal Investigative Bodies

Description: Contains requests for personal information made pursuant to paragraph 8(2)(e) of the Privacy Act by federal investigative bodies, the replies to such requests, the records of information disclosed, any correspondence with the Privacy Commissioner and all records relating to the processing of the requests. **Class of Individuals:** Individuals being investigated by federal investigative bodies. **Purpose:** To meet the requirements of the Act. **Consistent Uses:** Compiling statistics relating to requests from federal investigative bodies. **Retention and Disposal Standards:** Two years after last action. **PAC Number:** 86-001 **TBS Registration:** 000379 **Bank Number:** STM PPU 025

Requests Under the Access to Information Act

Description: Contains formal requests made under the Access to Information Act by individuals for access to records, the replies to such requests and all records relating to their processing. If applicable, includes information on exemptions claimed, interventions of third parties, complaints received, reports and recommendations of the Information Commissioner and litigation in the Federal and Supreme Courts. **Class of Individuals:**

Individuals requesting information under the Access to Information Act. **Purpose:** To process requests.

Consistent Uses: Compiling statistics relating to the administration of the Act. **Retention and Disposal**

Standards: Two years after last action. **PAC Number:**

86-001 **TBS Registration:** 000378 **Bank Number:**

STM PPU 020

Volunteers

Description: This bank contains the names of volunteers who have offered to assist with various activities or events.

The addresses, telephone numbers and preferred tasks are also included. **Class of Individuals:** General public

Purpose: The purpose of this bank is to maintain a record of volunteers, their preferences for volunteer activities or events. **Consistent Uses:** This bank is used to select volunteers for suitable placement in support of NMSTC activities or events and to record their participation.

Retention and Disposal Standards: Destroyed two years after last administrative action. **PAC Number:** 86-001

Related to PR#: STM STA 010 **TBS Registration:** 003911

Bank Number: STM PPU 035

Classes of Personal Information

Some NMST and NAM files summarized in the Program Records contain personal information which is not used in a decision-making process directly affecting the individual to whom it relates. Examples are inquiries about NMST and NAM collections, programs and services, information and advice given to individuals by NMST or NAM employees about museums and museum objects.

These classes of personal information are kept on the relevant subject files and are not identifiable by name of the individual or other personal identifier. To retrieve these classes of personal information, NMST and NAM would need as much detail as possible about the subject matter, the geographic location and the time the information would have come to the SAT or NAM.

The retention periods for these classes of personal information are the same as those for the subject files containing the information.

Manuals

- Corporate Policy Manual

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Corporation, its components, and its various programs and functions may be directed to:

Communications and Promotion
National Museum of Science and Technology
1867 St. Laurent Boulevard
P.O. Box 9724, Station T
Ottawa, Ontario
K1G 5A3
(613) 991-3046

Reading Room

The Corporation's libraries have been designated under the Access to Information Act as public reading rooms. The addresses are:

Library
National Museum of Science and Technology
2380 Lancaster Road
Ottawa, Ontario

Library
National Aviation Museum Building
11 Aviation Parkway, building 194
Ottawa, Ontario

National Parole Board

Chapter 71

General Information

Background

The National Parole Board, part of the criminal justice system, was created by the Parole Act in 1959.

Responsibilities

The National Parole Board is an agency within the Ministry of the Solicitor General. Board members are appointed by the Governor in Council (Cabinet) to offices in the Atlantic, Quebec, Ontario, Prairies, and Pacific regions and to the Appeal Division at the national office in Ottawa. The national office also houses Legal Services, Corporate Services, Policy, Strategy and Support, Performance and Service Measurement, and the Clemency and Pardons Division.

The Corrections and Conditional Release Act empowers the National Parole Board to make conditional release decisions for offenders in federal penitentiaries, territorial, and provincial prisons in those provinces which have not established their own Board of parole. Ontario, Quebec, and British Columbia maintain parole boards for offenders serving sentences of less than two years in their provincial institutions.

The National Parole Board has adopted policies to guide Board members in making decisions; the Board uses information from police, prosecutors, psychiatrists, judges, officers of the Correctional Service of Canada, the offender, victims and others to assess risk. Most conditional release decisions are made after Board members hold a hearing with the offender. Some decisions are reached simply after a review of an offender's file.

The Criminal Records Act allows the Board to issue or grant, or refuse to grant or issue, or revoke pardons for convictions under federal acts or regulations.

If a pardon is in force, any federal agency or department that has records of convictions must keep the records of those convictions separate. They may not disclose the information in the record without permission from the Solicitor General of Canada.

Legislation

- Corrections and Conditional Release Act and Regulations
- Criminal Code
- Criminal Records Act
- Prisons and Reformatories Act

Organization

Chairman and Vice-Chairperson

The Chairman is the chief executive officer, responsible for all National Parole Board matters. Reporting directly to the Chairman are the Executive Vice-Chairperson; Executive Director, the Vice-Chairperson of the Appeal Division and the Vice-Chairpersons in the Board's regional offices in Moncton, Montreal, Kingston, Saskatoon, and Abbotsford; the Legal Counsel; and the Director of Communications.

◆ Director, Corporate Services

The Director Corporate Services is accountable for overall planning, coordination and direction of the National Parole Board's Corporate Services functions including finance, human resources, procurement, security and administration.

◆ Director, Information Management Division

The Director is responsible for providing planning, coordination and Direction of the National Parole Board's Information Management Services which includes Informatics and Records Management.

◆ Director, Performance Measurement

The Director is responsible for providing the National Parole Board with an independent and objective assessment of its performance and recommendations for its improvement and provides statistics to support the management and improvement of programs.

◆ Director, Policy, Planning and Operations

The Director Policy, Planning and Operations, is accountable for the establishment of the broad policy framework governing the national operations and administration of the National Parole Board's (NPB) conditional release and clemency and pardons programs and for its contribution to major reviews and amendments to the Canadian Justice System legislation and operations. The incumbent is also accountable for the Board's strategic and operational planning processes and for providing broad functional advice, directions and automated system support for regional operations, and for ensuring the conduct of research.

◆ Director, Professional Development and Decision Processes

The Director is responsible for development and support of Board member appointment and appraisal processes as well as the development and implementation of Board member training and development. The Director is also accountable for managing the process of granting

pardons as well as supporting the Clemency and appeal process and the case audit and investigation functions.

♦ Executive Director

The Executive Director is responsible for advising the Chairman on all matters related to the Board's mandate, for providing leadership to the five regions as well as the staff functions providing support and policy direction to the organization.

Information Holdings

Program Records

Access to Information and Privacy Activities and Policies

Description: Information on National Parole Board policies and procedures regarding the Access to Information Act and the Privacy Act. **Topics:** Policy, procedures and report files; access to information and privacy information bank files; updates to Info Source; liaison with other government departments; policies and procedures governing access to and release of information and the application of exemptions; statistics and activity reports. **Program Record Number:** NPB NPB 050

Clemency — Criminal Records Act

Description: Information on inquiries and investigations in response to requests for pardon under the Criminal Records Act. **Topics:** Eligibility; hearings and submissions; investigations; notifications. **Program Record Number:** NPB NPB 015

Clemency — General

Description: General information related to clemency. **Topics:** Legal matters; statistics. **Program Record Number:** NPB NPB 010

Clemency — Royal Prerogative of Mercy

Description: Information on inquiries and investigations in connection with any request made for the exercise of the Royal Prerogative of Mercy. **Topics:** Inquiries; investigations; amnesty; submissions. **Program Record Number:** NPB 020

Operations — General

Description: Information on the general operations of criminal justice correctional matters. **Topics:** Criminal records; incarceration; offenses; offender management; rehabilitation; research and statistics. **Program Record Number:** NPB NPB 005

Parole — Eligibility

Description: Information on the eligibility of offenders to be released on day parole, full parole or temporary absence. **Topics:** parole eligibility after revocation or

termination; day parole; temporary absences. **Program Record Number:** NPB NPB 030

Parole — General

Description: Information on the release of offenders on parole, statutory release, and temporary absences. **Topics:** agreements and exchanges; delegation of authority; inquiries; legal matters; parole boards and systems; quality control; statistics; research and evaluation. **Program Record Number:** NPB NPB 025

Parole — Release and release programs

Description: Information on aspects of the release of offenders on full parole, day parole, or temporary absences, as well as the terms and conditions of parole. **Topics:** Day parole; deportation; programs and projects general, special, release; temporary absences; terms and conditions. **Program Record Number:** NPB NPB 035

Parole — Reviews

Description: Information on the parole decision-making stage. It includes panel hearings or parole reviews to decide whether or not to grant parole or day parole and, if either is granted, the date on which it takes effect. **Topics:** Board reasons — federal and provincial cases; parole by exception; case preparation; hearings; internal reviews; voting; evaluation. **Program Record Number:** NPB NPB 040

Parole supervision — violations

Description: Information on the supervision and violation of parole, statutory release, apprehension of the released offender and recommitment. **Topics:** Apprehension and recommitment; statutory release; revocation; supervision; supervision by after-care agencies; Community Residential Centres (CRCs) and Community Correctional Centres (CCCs); suspension; termination; detention past release date. **Program Record Number:** NPB NPB 045

Personal Information Banks

Access requests files

Description: This bank contains formal requests made by individuals under the Privacy Act and the Access to Information Act, the replies to such requests and information relating to their processing. **Class of Individuals:** Individuals requesting access to information under the Privacy Act and the Access to Information Act. **Purpose:** This information is used for processing requests made under the Privacy Act and the Access to Information Act. **Consistent Uses:** This information may be used for research, statistical, program monitoring and evaluation purposes; it is also used in response to a complaint filed by an individual to the Privacy or Information Commissioner, or in defence against a Court action. **Retention and Disposal Standards:** The records are retained for two years after all actions have been completed. **PAC Number:** 85-018 **Related to PR#:** NPB NPB 005 **TBS Registration:** 000508 **Bank Number:** NPB PPU 015

Clemency case files

Description: This bank contains the following information: Criminal histories; police and court reports; social, financial, educational, medical and psychiatric histories; appraisals and recommendations and decisions from NPB and/or Correctional Service of Canada staff; records of Board members recommendations and decisions and related documentation; warrants and certificates; memoranda and letters of other agencies, government departments and the general public; and electromagnetic cassette tapes of hearings with applicants. Social insurance numbers (SIN) are found in this bank in the cases of military personnel who request pardons from the Board, since the Department of National Defence (DND) uses the SIN as a personal identifier for its personnel. Board usage of SINs is dependent on DND usage. **Class of Individuals:** Individuals who have applied to the National Parole Board for a pardon under the Criminal Records Act or an act of clemency under section 110 of the Corrections and Conditional Release Act with respect to the Royal Prerogative of Mercy or pursuant to the Letters Patent and sections 749 and 750 of the Criminal Code. Records may also contain personal information on individuals other than the individual for whom a file was opened, e.g. the individual's family, friends or representatives. **Purpose:** To determine eligibility and suitability for the granting of a pardon or other act of clemency or for revocation of a pardon. **Consistent Uses:** To fulfil its legislative mandate, the National Parole Board gathers a great deal of personal information about applicants under its jurisdiction. To obtain the information, it is necessary for the Board to routinely share, when warranted, its information with other sources, including the Correctional Service of Canada, the RCMP, provincial and municipal governments, and members of the general public associated in some way with the individual to whom the information pertains. However, unless the pardon was subsequently revoked, personal information banks containing files of individuals who have been granted a pardon are kept separate and the content cannot be disclosed to any person without the prior approval of the Solicitor General of Canada who, before granting such approval, has to be satisfied that the disclosure is desirable in the interests of the administration of justice or for any purpose related to the safety or security of Canada. This information is also used for research, statistical, program monitoring and evaluation purposes, and for the purpose of presenting a defence when court actions are brought against the National Parole Board. Data matching: law enforcement agencies, Correctional Service of Canada and other federal institutions and provincial authorities. **Retention and Disposal Standards:** Pardon — for premature requests, files are kept for two years; for discontinued requests, files are kept for two years after the last contact with the applicant; for pardon granted, files are kept for 25 years after administrative activity ceases or one year after the death of the subject is confirmed by the RCMP; for pardon revoked or not granted, files are kept for five years following the last administrative action. Royal Prerogative

of Mercy — files are kept for a period of twenty-five years following the last administrative action or one year after the death of the subject is confirmed by the R.C.M.P. Once the retention periods are satisfied, Pardon case files are destroyed. Clemency files (RPM) are retained by National Archives of Canada for archival or historical value. **PAC Number:** 85-018 **Related to PR#:** NPB NPB 010, 015, 020 **TBS Registration:** 000507 **Bank Number:** NPB PPU 010

Information disclosed to investigative bodies

Description: This personal information bank contains copies of the written requests or Treasury Board forms 350-56(83/2) (Request for Disclosure to Federal Investigative Bodies) forwarded by investigative bodies listed in schedule II of the Privacy Regulations to the National Parole Board under paragraph 8(2) (e) of the Privacy Act. These nation-wide requests are made for the purpose of law enforcement. This bank also contains the replies to such requests and information related to their processing. **Class of Individuals:** Individuals who have been involved in investigations under the Criminal Code, federal and provincial statutes or municipal by-laws. **Purpose:** This information was compiled in compliance with the Privacy Act to enable the National Parole Board to account for the number of requests under paragraph 8(2) (e) of the Privacy Act. **Consistent Uses:** This bank enables the Privacy Commissioner to audit the procedures utilized, as set out in Treasury Board Guidelines .3.7.5. It is used to verify the conditions of information disclosure to federal investigative bodies under paragraph 8(2) (e) of the Privacy Act and to account to the Privacy Commissioner for the number of access requests from investigative bodies received annually. **Retention and Disposal Standards:** Information in this bank is retained for two years after a case is resolved. **Related to PR#:** NPB NPB 050 **TBS Registration:** 002505 **Bank Number:** NPB PPU 020

Parole Case Files

Description: Criminal histories; police and court reports; social, financial, educational, medical and psychiatric histories; progress reports, appraisals and recommendations from staff of penal institutions and parole offices; records of Board decisions and related documentation; warrants and certificates; memoranda and letters from other agencies, government departments and the general public; and electromagnetic cassette tapes of hearings with offenders. **Class of Individuals:** Individuals who are serving or who, at one time, were serving a sentence of imprisonment in a federal penitentiary; individuals who are serving or who, at one time, were serving a sentence of imprisonment in a provincial institution and applied for parole to the National Parole Board. These records may also contain personal information on individuals other than the individual for whom a file was created, e.g. the individual's family, friends or representatives. **Purpose:** Parole case files are used by the National Parole Board in accordance with the provisions of the Corrections and Conditional Release Act

and other relevant statutes, to determine eligibility and suitability of offenders for full parole, day parole, unescorted temporary absences and, in some cases, escorted temporary absences; to set conditions of all types of conditional releases including statutory release and to review performance on such releases; to consider modifying or reducing conditions of release; to review cases to order detention past their presumptive release date. **Consistent Uses:** To fulfil its legislative mandate, the National Parole Board is provided with a great deal of personal information about offenders under its jurisdiction. To obtain the information, it is necessary for the Board to routinely share, when warranted, its information with other sources, including, the Correctional Service of Canada, the RCMP, provincial and municipal governments, and members of the general public associated in some way with the individual to whom the information pertains. In addition, the significant public education role that the Board has to play and the wish to demonstrate to the public the fairness of its decisions, allows the general public, where legitimate reasons exist, to be informed of decisions concerning offenders currently under its jurisdiction as well as the general reasons supporting these decisions. However, the information shared under these circumstances is either factual and/or publicly available, and is general enough to ensure sufficient protection against any invasion of privacy of the individual to whom the information pertains. This information is also used for research, statistical, program monitoring and evaluation purposes, and for the purpose of presenting a defence when court actions are brought against the National Parole Board. Data matching: law enforcement agencies, Correctional Service of Canada and other federal institutions and provincial authorities. **Retention and Disposal Standards:** Records are kept for 10 years after Warrant Expiry Date or death of the individual and destroyed thereafter except when they are the subject of criminological research. Records deemed to be of archival or historical value are retained by the National Archives of Canada. **PAC Number:** 85-018 **Related to PR#:** NPB NPB 025, 030, 035, 040 **TBS Registration:** 000506 **Bank Number:** NPB PPU 005

Manuals

- Criminal Records Act Procedures Manual
- Policy and Procedures Manual
- The Administrative agreement between the National Parole Board and the Correctional Service of Canada

Additional Text

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Board and its various programs and functions may be directed to:

Communications Division
National Parole Board
340 Laurier Avenue West
Ottawa, Ontario
K1A 0R1
(613) 954-6547

Reading Room

In accordance with the Access to Information Act, areas in the regional offices have been designated as public reading rooms. The addresses are:

Atlantic Region

1045 Main Street, 1st Floor, Unit 101
Moncton, New Brunswick
E1C 1H1
(506) 851-6345

Ontario Region

516 O'Connor Drive, Suite 100
Kingston, Ontario
K7P 1N3
(613) 634-3857

Pacific Region

Room 305, 32315 South Fraser Way
Abbotsford, British Columbia
V2T 1W6
(604) 870-2468

Prairie Region

6th Floor, Churchill Building
229 Fourth Avenue South
Saskatoon, Saskatchewan
S7K 3X5
(306) 975-4228

Quebec Region

Guy Favreau Complex
West Tower, 2nd Floor, Suite 203
200 René Lévesque Boulevard West
H2Z 1X4
(514) 283-4584

National Research Council Canada

Chapter 72

General Information

Background

Operating under the National Research Council Act, the National Research Council of Canada (NRC), as the principal research agency of the federal government, carries out a wide spectrum of activities which include the performance of scientific and engineering research in response to national, economic and social needs; the provision of direct financial and technical assistance to industry; the establishment and maintenance of standards; the provision of national scientific and technological facilities for industry and the universities; and the operation of a nationwide network of scientific and technical information services.

Responsibilities

In pursuing the above activities, the Council, on occasion, is involved in the collection and use of personal information. Details of those instances where the information is used to make administrative decisions affecting the individual are described under Personal Information Banks — General Public. Persons wishing access to their own records should include their full name and address, and any additional information specified in each information bank. Where no additional information is indicated, only the full name and address are required.

Personal information in NRC files that is not used for administrative purposes and is normally neither organized nor retrieved by personal identifiers is outlined in the Classes of Personal Information section.

Legislation

- National Research Council Act

Organization

Executive Offices

The President is the Chief Executive Officer of the National Research Council and has supervision over and direction of the work of the Council. The President is assisted by two Vice-Presidents for Research, and for Technology and Industry Support respectively.

Included in the Executive Offices is the Office of the Secretary General/Director General Corporate Services. This office includes the Executive Offices Secretariat, and the Corporate Services Function.

The Executive Offices Secretariat supports the operations of NRC's executive offices in day to day activities such as ministerial and parliamentary relations, executive

correspondence and support for NRC's Council and corporate committees. It is also responsible for conflict of interest as well as access to information and privacy policy support, and research ethics and review.

The Corporate Services function includes all the planning, external and business relations, and communications efforts undertaken in support of NRC and its managers. This element is divided into three business lines, in the following areas: Business Relations, including Intellectual Property Services, and Market Research and Business Strategies; Communications and External Relations; Planning and Assessment, including Corporate Strategies, Corporate Planning Operations, and Audit and Evaluation.

National Research Council Institutes

♦ Biotechnology Research Institute (Montreal)

The Biotechnology Research Institute (BRI) conducts research in biochemical and molecular engineering in close collaboration with industry, universities and public research agencies. The objective is to establish a strong national program in biotechnology R&D that will have extensive and eventually dominant private sector involvement.

The Institute houses one of the nation's largest facilities for pilot-scale R&D, giving Canadian industry unique access to technical expertise and state-of-the-art equipment. Dozens of projects are in progress with industry and university partners in areas such as biosensor development, anaerobic waste water purification systems, computer programs for protein design, and the culture of mammalian cells.

♦ Canada Institute for Scientific and Technical Information (Ottawa)

The Canada Institute for Scientific and Technical Information (CISTI) is this country's largest scientific, technical and medical information resource, serving industry, governments, universities, and individuals with a need for specialized information. Services are based on a comprehensive collection of published information and the expertise of information specialists.

CISTI provides customized literature searches, access to specialized databases, reference services and referrals to experts and current awareness services.

CISTI responds to nearly half a million requests for copies of articles, reports, conference papers, and books annually. Industry is its largest client with government being second, followed by academia and health care facilities.

To promote Canada's international presence in science and technology, CISTI offers scientists and engineers from

Canada and elsewhere, journals of high quality in which to communicate their work to their peers and other interested communities.

NRC publishes 14 primary Research Journals: the Canadian Journals of Botany, Chemistry, Civil Engineering, Earth Sciences, Fisheries and Aquatic Sciences, Forest Research, Physics, Microbiology, Physiology and Pharmacology, Zoology, the Canadian Geotechnical Journal, Biochemistry and Cell Biology, Genome, and Environmental Reviews.

These highly cited publications feature top quality refereeing and excellent technical production, and attract international contributions and subscriptions. Eight rank in the top 13 per cent of the world's science literature as measured by total citations.

♦ Canadian Hydraulics Centre (Ottawa)

The Canadian Hydraulics Centre offers physical and numerical modeling and analysis services to the engineering community in the general field of hydraulics, specializing in coastal engineering, environmental hydraulics, and cold regions technology.

♦ Centre for Fluid Power Technology (Ottawa)

CFPT offers its clients unique expertise and facilities in the area of fluid jets and more recently water hydraulics. CFPT is equipped with world class facilities including high pressure plunger and intensifier pumps, AWJs, cavitation test cell, X-Y gantry, pulsed laser for photography and PC workstations.

CFPT is committed to the development and commercialization of fluid power products for several types of industries.

♦ Centre for Surface Transportation Technologies (Ottawa)

CSTT offers its clients unique expertise and facilities to improve the productivity, competitiveness, reliability and safety of rail and road transportation equipment and systems. Expertise includes engineering research and development, computer modeling and analysis, field evaluation, climatic simulation, and vehicle performance certification. Highlights of CSTT's work include large improvements to railway wheels and rails, discovery of tank car failure mechanisms, and performance evaluation and improvement of railway passenger coaches, municipal buses, and farm equipment built in Canada for export.

♦ Herzberg Institute of Astrophysics (Ottawa and British Columbia)

As a mandated responsibility, the Herzberg Institute of Astrophysics operates and maintains astronomical observatories as national facilities which are made available to scientists across the country. These are the Dominion Astrophysical Observatory in Victoria and the Dominion Radio Astrophysical Observatory in Penticton, British Columbia. In addition, the Canada-France-Hawaii

Telescope atop Mauna Kea in Hawaii, is operated as an international partnership of the National Research Council, France's Centre national de la recherche scientifique and the University of Hawaii, as is the James Clerk Maxwell Telescope, operated by NRC, the United Kingdom and the Netherlands, also in Hawaii.

In support of its mandate, the Institute carries out research in astronomy, solar terrestrial physics, and develops new techniques and instrumentation for collecting and assessing data in these fields.

♦ Industrial Materials Institute (Boucherville, Quebec)

The Industrial Materials Institute (IMI) assists Canadian companies to become more competitive in national and international markets by conducting research and development activities in the area of materials processing. IMI has nearly 150 employees, including 125 scientists, engineers and technicians, and works in close cooperation with the industry, universities and government through the creation of technology groups, consortia and joint research projects.

IMI's expertise is valuable to all industrial sectors where materials play a role, from raw materials producers, through instrumentation and equipment suppliers, to semi-finished and finished product manufacturers. The Institute's activities deal with metals, polymers and ceramics as well as their composites and alloys, and the multidisciplinary expertise focuses on:

- Process Modeling and Optimization (development and experimental validation of process modeling software)
- Process Development (development and upgrading of processes optimizing microstructure to obtain higher performance materials) and
- Process Instrumentation (development and use of optical and ultrasound sensors for process and quality control).

IMI possesses extensive state-of-the-art equipment which helps bring new technologies on line. These facilities, some of which are unique in Canada, are available to the industry so it can pursue its own experimental development work.

♦ Industrial Research Assistance Program (Offices across Canada)

The Industrial Research Assistance Program (IRAP) helps Canadian companies to develop and exploit technologies. IRAP's support stimulates R&D within Canadian firms and allows them to build their technical knowledge and expertise. This support is tailored to assisting firms in meeting the challenges of a changing and competitive economy.

IRAP is founded upon a national network of some 255 Industrial Technology Advisors who are situated in more than 90 communities across Canada. These officers provide technical advice and assistance to Canadian

firms. IRAP can also provide limited funding for technically risky projects, where appropriate.

Through IRAP, companies can access the full range of resources available through a unique technology network, involving specialized technology centres, as well as NRC laboratories, federal departments, provincial research organizations, universities and colleges, and other professional bodies.

◆ Institute for Aerospace Research (Ottawa)

The Institute for Aerospace Research (IAR) performs research and applies technology in the fields of aerodynamics, structures and aerospace materials, flight systems, and propulsion technologies for clients, and collaborators which include aerospace manufacturing industries, government departments and universities.

Research activities are directed toward the development of solutions and advancing technologies critical to Canada's industrial competitiveness and to issues addressing public safety, security and protection of the environment.

Major test facilities are available to outside agencies on a cost-recovery or collaborative basis. These include seven wind tunnels of various sizes and wind speeds, experimental aircraft, facilities for structural and engine testing, a Flight Recorder Playback Centre, and flight impact and intense noise facilities. Considerable effort is directed toward development of advanced testing techniques and equipment which attract customers from around the world.

◆ Institute for Biodiagnostics (Winnipeg)

The Institute for Biodiagnostics, located in Winnipeg, carries out research to develop techniques and instrumentation for improved diagnosis of disease and monitoring of therapy in humans. Research is performed in partnership with medical schools, universities, other institutes, instrument manufacturers and the pharmaceutical industry. The technology developed as a result of research in the Institute is transferred to the medical instrument industry and medical practice. Research areas include biosystems, magnetic resonance technology, spectroscopy and informatics. Techniques are developed to model and characterize normal and disease states in humans. The principal areas of research are cancer, heart disease, and stroke.

Magnetic resonance technology focuses on development of magnets, spectrometer systems and pulse sequences for localized spectroscopy. A 3 Tesla MR spectrometer/imager is currently being developed. Infra-red spectroscopy is used to investigate the molecular basis of structural changes underlying the transformation from healthy to disease states. Mathematical methods and computer software are developed for the improved analysis of instrumentally derived data for medical diagnosis and evaluation of therapy.

◆ Institute for Biological Sciences (Ottawa)

The Institute collaborates with industries, universities, research hospitals, and other government departments.

The Institute's innovative research, related primarily to mammalian systems, has resulted in novel techniques for the development of diagnostic and therapeutic agents and methods.

The Institute for Biological Sciences (IBS) focuses on cell biology and immunochemistry to achieve economic impacts through research and development on neurodegenerative diseases and vaccines, diagnostics and immunotherapeutics in partnership with the human and animal health sectors.

◆ Institute for Chemical Process and Environmental Technology (Ottawa)

With core capabilities in chemical sciences and engineering, as well as outstanding research facilities, NRC's Institute for Chemical Process and Environmental Technology (ICPET) focuses its expertise in the areas of process and materials chemistry, process technology and related environmental technology.

Evolving from two previous institutes at NRC, the Institutes for Environmental Chemistry and Environmental Research and Technology, ICPET continues to advance and expand its well-founded R&D capabilities in polymer membranes, materials research and surface analysis, electrosynthetic and electrochemical processes, liquid and gas separations, atomizer and spray technology and particulate waste treatment and reduction — with a view to helping manufacturing and industry clients optimize their process operations and reduce the impact of these operations on the environment.

◆ Institute for Information Technology (Ottawa)

The Institute for Information Technology (IIT) takes advantage of its expertise in information technology (IT) as a critical enabling factor in the development of technologies with a wide range of applications.

IIT's seven research groups include human-computer interaction, interactive information, integrated reasoning, photonic systems, seamless personal information networking, software engineering, and visual information technology. The capabilities gained from these areas of research are applied in collaboration with the information technology suppliers to Canadian business.

Background studies on the competitive positioning of Canadian industry have demonstrated that the telecommunications and software industries have a particularly strong technological capacity and that private funding of research in these fields is considerably higher than in other sectors of the economy. Therefore and to maximize the impact of its activities, IIT concentrates its efforts on providing IT expertise in research where there is a demonstrated need, and clear potential for wealth generation for the Canadian economy.

◆ Institute for Marine Biosciences (Halifax)

The Institute for Marine Biosciences (IMB) pursues a highly focussed program in marine biotechnology aimed at deriving economic benefit from aquaculture and from other products from marine organisms. Its mandate is national in scope.

IMB's research program, which is divided into two sections (Marine Biology and Marine Chemistry), focuses on fish, shellfish, and seaweed biology; hatchery microbiology; seafood safety, particularly with respect to toxic phytoplankton; bioorganic chemistry; biochemistry; microscopy; molecular biology, particularly large-scale DNA sequencing and computer analysis of sequence data; and advanced mass spectrometry and separation science. Through the Marine Analytical Chemistry Standards Program (MACSP), IMB produces certified standards and reference materials for use in analytical laboratories worldwide.

The Institute provides innovative, multi-disciplinary research and problem solving to a broadly based client and collaborator community. Key industrial sectors include aquaculture and fisheries, pharmaceuticals, agrochemicals, scientific instrumentation manufacturers, and analytical laboratories servicing the environmental and seafood safety sectors.

◆ Institute for Marine Dynamics (St. John's, Newfoundland)

Since its official opening in 1985, in St. John's, the Institute for Marine Dynamics (IMD) has developed internationally recognized facilities and expertise in the field of oceans engineering research and development. The Institute is a leading centre of expertise in three areas: Offshore Engineering, Marine Systems and Advanced Ocean Technologies.

A number of high value-added global niche markets in Ocean Technology (OT) have emerged in recent years in which small, innovative Canadian firms are competitive. OT firms specialize in fields such as cold ocean environmental engineering, subsea systems, and ocean information systems with applications in the oil and gas, and ocean transport sectors.

Within this context, IMD therefore increasingly concentrates its efforts on enhancing the competitiveness of the emerging OT sector in Canada, as well as the offshore oil and gas and marine transportation sectors. The Institute also plays an important role in collaboration with other government departments in ocean environmental protection, marine defence, and ocean transport safety systems. It also works with other NRC institutes to serve Canada's ocean technology community.

◆ Institute for Microstructural Sciences (Ottawa)

In large part, the Institute for Microstructural Sciences (IMS) operates on the leading edge of R&D for the information technologies, telecommunications equipment and instruments sectors. Its focus is on optoelectronics

and photonics in telecommunications, microelectronics, systems requiring advanced components, equipment and instrumental analysis and control, and computer hardware systems. It works with the Canadian industrial community to provide a critical mass in R&D through directed research projects, joint development of key advanced technologies and consulting in product development.

The institute's program encompasses artificially structured materials, devices and processes, device integration, and exploratory applications. In addition, the institute assists in the development of an expanded technological base through participation in and support of Canadian consortia and through direct interaction with industrial clients and partners.

◆ Institute for National Measurement Standards (Ottawa)

NRC has a mandated responsibility for metrology, the development and maintenance of standards of measurements, and has well-established programs in this area.

The Institute for National Measurement Standards (INMS) draws together all activities related to metrology to provide a basis for the national measurement system of Canada. R&D is aimed at the realization of high accuracy primary standards compatible with those of other countries and at specific applications of measurement techniques, including contract research and development for individual clients, R&D conducted jointly with industrial partners, and R&D in the national interest.

A high accuracy calibration service is provided to industry, universities, hospitals, clinics and other government departments and agencies. A calibration laboratory assessment service (CLAS) is also provided to enable private and government laboratories to become accredited as calibration laboratories and become members of the Canadian Calibration Network. These services give industry easy access to calibrations facilities with traceability to national and international standards.

The Institute maintains active interaction with the international community, represents Canada in its obligation connected with the Convention du Mètre and acts as the Canadian focal point in the field of measurement science. Since international trade increasingly depends on internationally recognized measurement standards, this Institute provides an important national service, building on a foundation of basic research.

◆ Institute for Research in Construction (Ottawa)

The primary strategic thrust of the Institute for Research in Construction (IRC) is the support of Canada's physical and technological infrastructure as applied to construction activities. IRC's expertise in the provision of safe and secure built facilities, effective urban infrastructure systems, structures and construction materials evaluation, energy efficiency technologies, acoustics research, and

the development of codes and standards are critical assets in support of this objective.

Construction activity in Canada was valued at \$106 billion in 1990. Although only a small fraction of those services or products is exported, efficient and safe built infrastructure is considered to be a critical factor in economic efficiencies for wealth generation through cost savings in energy, repair and replacement. IRC's close interactions with industry associations, professional societies, and national and international standards bodies provide it with the capability of having a pervasive incremental impact on a highly diverse and fragmented sector of the economy.

Efficient production of high value-added goods and services in a knowledge based economy relies heavily on an efficient physical infrastructure and a productive environment. The technologies needed to support the development of such systems are addressed by IRC in collaboration with its partners and clients with the goal of enhancing Canada's economic competitive positioning.

◆ Integrated Manufacturing Technologies Institute (Ottawa)

IMTI's mission is to be a leader and catalyst in the research and development of technologies required to provide Canadian industry with a competitive base in the development and integration of systems for the manufacture of discrete products. The IMTI research focus is grouped into two areas of competency associated with discrete product manufacturing. These include the design and optimization of manufacturing systems, and development and application of electro-physical-mechanical processes. In 1997, IMTI will be located in new facilities in London, Ontario.

◆ Plant Biotechnology Institute (Saskatoon, Saskatchewan)

The Plant Biotechnology Institute (PBI) is Canada's national laboratory for advanced research in biotechnologies for higher plants, especially those important in agriculture, forestry and industrial processing. The Institute focuses on producing new, exploitable biotechnology for Canadian needs, concentrating on techniques of cell and molecular biology, and biological chemistry. Identification of useful genes is a program priority.

PBI's Transgenic Plant Centre permits large-scale propagation and testing of genetically engineered plants in a year-round facility. (A transgenic plant is one which is genetically engineered with a gene from some other species of plant or other life form.)

◆ Steacie Institute for Molecular Sciences (Ottawa)

The Steacie Institute for Molecular Sciences (SIMS) was formed in June 1990, and was named in honour of esteemed scientist and former NRC President Dr. E.W.R. Steacie. It is devoted to basic research in molecular science and brings together groups from within NRC for

collaborative research with universities, other research organizations, and NRC institutes.

The Institute's research program emphasizes three areas: femtosecond science; nanoscale materials and processes; supramolecular chemistry and biology.

The Institute offers a stimulating and fertile environment for collaborative research, providing an attractive entry point into NRC for young scientists. Its programs attract visiting researchers and graduate students from universities and other Canadian and foreign research organizations.

The Institute contributes scientific knowledge to support and reinforce other NRC programs.

◆ Thermal Technology Centre (Ottawa)

The Thermal Technology Centre consists of a well-qualified team of engineers, researchers, and technologists, with broad experience in R&D in thermal engineering systems and advanced processes. This group has worked extensively with industry and acquired specialized expertise in the application of alternative refrigerants technology in HVAC&R systems. This high level of technical competency is combined with the operation of a range of special purpose state-of-the-art test facilities.

TTC offers its services to clients in the commercial refrigeration, air-conditioning, heat pump, and process heat transfer equipment manufacturing industries. Applied R&D projects are also conducted in collaboration with government departments and agencies involved in energy and environmental issues...

Information Holdings

Program Records

Executive Offices

President's Office

Description: Information on visits, lectures, gifts, interviews; correspondence with the Minister; correspondence and minutes on board memberships.

Topics: General administration; committee memberships.

Program Record Number: NRC EXE 005

Secretary General/Director General, Corporate Services

Description: Documents on Council membership, meetings and agenda; review and advisory committees of Council; Institute advisory boards; follow-up of Council decisions; and correspondence with the Minister's Office, the Privy Council Office, Members of Parliament and the Senate Committee on Science Policy. Information on international affairs, corporate planning and evaluation, marketing services, information services, intellectual property, legal advice, advisory committees and archival

material. **Topics:** General administration; National Research Council committees; history and archives; legal services; advisory committees; Access to Information and Privacy; Canadian Human Rights Legislation; animal and human subjects research ethics; research integrity; coordination and policy aspects of NRC activities in the international domain; responses to enquiries from the media and general public; displays and exhibits; films, audiovisual, graphics, photography, NRC open house. General information on international affairs, corporate planning and evaluation, marketing services, information services, intellectual property. **Program Record Number:** NRC EXE 010

◆ Biotechnology Research Institute (Montreal)

Administration

Description: Correspondence and documents on the operation of the Institute, such as project planning and reports, space, policy planning, program forecasts, travel, seminars, finance, correspondence from external agencies, minutes of Management Committee and Advisory Board meetings. **Topics:** General correspondence, policies, visits, seminars, staffing, training programs, administration and operation of the Institute, finances, budgets, research and development studies, supplies, divisional project management system, equipment, building, committees. **Program Record Number:** NRC BRI 135

Operations

Description: Correspondence and documents on the operation of individual laboratories. **Topics:** General correspondence; agreements; tests; projects and sub-projects; contributions; research and development contracts; programs; seminars; guest workers; students; publications; patents; conferences. **Program Record Number:** NRC BRI 136

◆ Canada Institute for Scientific and Technical Information (Ottawa)

Acquisitions

Description: Records contain information related to acquiring publications for the CISTI collection by purchase orders or exchange agreements; automated order systems serials control. **Topics:** Correspondence, invoices, payment records. **Program Record Number:** NRC CIS 665

Administration

Description: Correspondence and documents on the operation of the Institute such as project planning and reports; annual planning reviews agreements, finance, personnel. **Topics:** Administration (policy, general correspondence, enquiries, administration and operation, lectures and talks, seminars and colloquia, training program, interlibrary delivery systems); CISTI programs and services; translation services; equipment and supplies. **Program Record Number:** NRC CIS 660

Branch Libraries

Description: Information on the internal operations of CISTI branches servicing the NRC and on services extended to external organizations. **Topics:** Branch libraries (by name) — J.H. Parkin, Environmental Chemistry, National Measurement Standards, Information Technology and Microstructural Sciences, Construction Research, Sussex Drive, Uplands (Ottawa, Ont.), Industrial Materials Research (Montreal, Que.), Dominion Astrophysical (Victoria B.C.), Dominion Radio Astrophysical (Penticton, B.C.), Marine Biosciences, (Halifax, N.S.), Marine Dynamics (St. John's, Nfld.), Plant Biotechnology (Saskatoon, Sask.), Biotechnology, (Montreal, Que.), Biodiagnostics (Winnipeg, Man.). **Program Record Number:** NRC CIS 670

Cataloguing

Description: Information on automated cataloguing systems, computer applications for information services, subject analysis, union lists, cataloguing rules, procedures and standards. **Topics:** CISTI operations — policy, general, correspondence, Dortmund Bibliotheksistem (DOBIS). **Program Record Number:** NRC CIS 675

Health Sciences Resource Centre

Description: General correspondence on the centre's information services, resources and the publications it produces. **Topics:** Policy; Advisory Committee to the Health Sciences Resource Centre; Health Science Information in Canada: Libraries; Canadian locations of journals indexed for Medline; Health Sciences information in Canada: associations. **Program Record Number:** NRC CIS 685

Information Services

Description: Correspondence with tape and database suppliers, and with clients on details of services available, payment procedures, etc.; information on the marketing of CISTI services in Canada, CAN/OLE, CAN/SDI. **Topics:** Chemical Abstracts Services; Institute of Scientific Information; publications; CAN/SDI tape services; Reference Department; bibliographic databases. **Program Record Number:** NRC CIS 690

Loans, Photocopies and Translations

Description: Correspondence and documents on the provision of loan and photocopy services outside the NRC and information on the availability of scientific translations from foreign languages. **Topics:** Information Services — policy, correspondence, loan of CISTI books to outsiders, photocopy service, microfiche copies of technical reports. **Program Record Number:** NRC CIS 680

Research Journals

Description: Information on the publication of the NRC Research Journals. **Topics:** Administration; space and accommodation; authority and policy on publications; general correspondence; advisory committees; typesetting, printing, and distribution services; translation

of abstracts; continuing agreements; abstracting of publications; and manuscripts for publication in the NRC Research Journals. **Program Record Number:** NRC CIS 735

Scientific Numeric Databases

Description: On-line retrieval and analysis of scientific numeric data, tape lease for private use, and customized searches. **Topics:** Infra-red spectral data; crystallographic data; thermochemical data; molecular biology data.

Program Record Number: NRC CIS 700

♦ Herzberg Institute of Astrophysics (Ottawa and British Columbia)

Administration

Description: Correspondence and memoranda pertaining to policies, contracts, contributions, special projects, program forecasts and other administrative matters as they apply to the operation of each laboratory section.

Topics: Administration; general correspondence; enquiries; visits; lectures; talks and papers; review of manuscripts and theses for outsiders; seminars and colloquia; unsolicited suggestions and ideas submitted to HIA; exhibits and displays; research — general; miscellaneous work done for outsiders. **Program Record Number:** NRC HIA 335

Dominion Astrophysical Observatory

Description: Data and general correspondence on astronomy related to observations and research on stars, galaxies and cosmology, testing and construction of instruments, archiving of data. **Storage Medium:** Photographic plates, computer printouts, magnetic tapes and disks, publications and reprints. **Program Record Number:** NRC HIA 350

Dominion Radio Astrophysical Observatory

Description: Correspondence, memoranda and astronomical data. The latter are stored on computer printouts, magnetic tapes and computer disks. **Topics:** General correspondence; operation and administration of the national facility; observing proposals; research concerning the interstellar medium; various other topics in radio astronomy; design and construction of radio telescopes; aperture synthesis techniques. **Program Record Number:** NRC HIA 341

JCMT Group

Description: Correspondence, memoranda and working papers connected to the topics listed below. **Topics:** Policy; millimetre and submillimetre astronomy, James Clerk Maxwell Telescope, SIS receivers, planar arrays; interstellar molecules; long baseline interferometry; long-range planning; instrumentation; applications for observing time; data processing. **Program Record Number:** NRC HIA 340

Optical Components

Description: Data, correspondence, memoranda, and working papers related to the research of the group.

Topics: Optical components and systems, optical design, fabrication of components and systems, testing and calibration of aerial survey cameras, testing of optical components and systems. **Program Record Number:** NRC HIA 537

Solar-Terrestrial Physics

Description: Correspondence and memoranda related to the currently active and discontinued research programs of the section. **Topics:** Policy; general correspondence; Ottawa River Solar Observatory; solar research; auroral research; auroral radar; airglow; space plasmas in the ionosphere and magnetosphere; aeronomy and upper atmosphere research data; modelling of ionospheric currents and geomagnetic pulsations; cosmic ray research. (Discontinued Topics: meteor research; non-meteoritic sightings; Shuttle and Spacelab experiments.) **Program Record Number:** NRC HIA 355

Spectroscopy

Description: General correspondence and information related to spectroscopic research. **Topics:** Policy; infra-red spectra of molecules; electronic spectra; vibrations in polyatomic molecules; spectra of molecular ions. **Program Record Number:** NRC HIA 370

♦ Industrial Research Assistance Program (Offices across Canada)

Management

Description: Information on program policy, program planning and coordination, policy proposals and evaluations, cost-benefit reviews, industrial surveys, program promotion, program analysis, methods and procedures. **Topics:** Policy; general correspondence; enquiries; cooperation with provincial research organizations; industrial research institutes; provincial and trade associations; IRAP newsletter; program forecasts. **Program Record Number:** NRC IRP 705

Program Delivery

Description: IRAP provides advisory services through its national network of experienced Industrial Technology Advisors. ITAs can help a firm define its technical needs or identify technological opportunities; obtain technical information; solve technical problems; identify sources of appropriate technology and expertise; acquire and adapt technology; or access other programs. IRAP can also provide limited funding for projects designed to enhance a firm's technical capability, under two program elements: Technology Enhancement and Research, Development, and Adaptation. **Program Record Number:** NRC IRP 720

♦ Institute for Aerospace Research (Ottawa)

Administration

Description: The files contain material related to the orderly management of all programs and projects in the Institute, contracts and agreements relating to external commitments, correspondence with national and

international clients, and material pertaining to IAR involvement in organizations devoted to the aeronautical sciences. **Topics:** Administration — general correspondence, personnel, procurement, national and international agreements and contracts, publications, technical enquiries, programs and projects, planning, conferences, laboratory operations, policy, aircraft accidents, publicity and inventions, security, AGARD, CAARC; research; tests and work done for outsiders.

Program Record Number: NRC IAR 465

Aerodynamics Laboratory

Description: Information on research projects, contracts and agreements with external civil and military agencies and industry; operation and maintenance of wind tunnels, management matters, meetings and conferences. **Topics:** (Active): General correspondence; aircraft aerodynamics; computational fluid dynamics; manoeuvring aerodynamics; unsteady aerodynamics; propeller/rotor aerodynamics and aeroacoustics; effects of icing on aircraft performance; aerodynamics of helicopter/ship operations; dynamic wind tunnel tests and techniques; nonlinear airloads analysis, oscillatory characteristics of aircraft and components; wind engineering; vehicle aerodynamics; subsonic and transonic engine aerodynamics; cascade and stage testing and computational fluid dynamics analysis of turbomachinery; turbine nozzle heat transfer; operation and maintenance of wind tunnels; operation and maintenance of turbomachinery test facilities; wings and bodies in transonic flows; advanced airfoil development; high lift systems; viscous flow drag reduction; flutter analysis; stores clearance; wind tunnel test technology. (Inactive): Aviation security; wind energy research; helium hypersonic wind tunnel; modelling of drift in aerial spray operation; passive dosimeter for UFFI home; trace vapour detection of explosives, and narcotics; Canadian sounding rockets; flow about cones at supersonic speeds. **Program Record Number:** NRC IAR 480

Flight Research

Description: Information on the operation of NRC research aircraft fleet and on research projects in the general areas of flight mechanics and airborne geoscience. **Topics:** (Active): Flight test techniques and instrumentation; handling qualities and flight dynamics; airborne simulation; flight safety and operational problems; flight recorder playback facilities; guidance, navigation and control of aircraft; pilot-aircraft interface technologies; atmospheric studies; biospheric studies/global change; aeromagnetism; synthetic aperture radar. (Inactive): VTOL/STOL projects; lighter-than-air vehicle technology; agricultural and forestry spray research. **Program Record Number:** NRC IAR 470

Structures, Materials and Propulsion

Description: Information on internal research, external commitments, agreements with other agencies in government and industry, management matters, meetings and conferences. **Topics:** (Active): Policy; general

correspondence; flight loads, fatigue; durability and damage tolerance; acoustic excitation of structures; aerospace applications of metallic and composite materials; structural analysis; structural dynamics; vibration, real-time photogrammetry; impact strength of structures; crack propagation theory of structures; non-destructive inspection of structures and materials, NDI of manufacturing defects and service induced damage; gas turbine engine performance including aerodynamic, thermodynamic and structural factors. (Inactive): Road accidents study group; orthopedic implants; biomedical materials; Ottawa-Carleton computer traffic control system. **Program Record Number:** NRC IAR 490

♦ Institute for Biodiagnostics (Winnipeg)

Administration

Description: Documents on the operation of the individual laboratory sections such as project planning and reports, program forecasts, travel and seminar information. **Topics:** General correspondence; policy; visits; technical enquiries; administration and operation; technical support. **Program Record Number:** NRC IBD 205

Animal Resources

Description: Information on the basic care and health of research animals. **Topics:** General correspondence and policy on animal care and research. **Program Record Number:** NRC IBD 230

Biosystems

Description: Information on research involving the use of MR imaging and spectroscopy and physiological techniques in studying biomedical problems. **Topics:** Structure and metabolism in intact biological systems; cell metabolism; cancer; cardiology; neurology. **Program Record Number:** NRC IBD 210

Informatics

Description: Information on multivariate mathematical modelling. **Topics:** Biomathematics; classification; clustering; pattern recognition; computer modelling; software. **Program Record Number:** NRC IBD 215

MR Technology

Description: Information on theory and methodology in magnetic resonance imaging and spectroscopy. **Topics:** Magnetic field gradients, radiofrequency probes, magnetic resonance software. **Program Record Number:** NRC IBD 220

Spectroscopy

Description: Information on vibrational spectroscopy, infrared and Raman, and its application to biological/biomedical systems. **Topics:** Proteins, membranes, tissues, metabolism, cancer. **Program Record Number:** NRC IBD 225

◆ Institute for Biological Sciences (Ottawa)

Administration

Description: Documents on the operation of the individual laboratory sections such as project planning and reports, program forecasts, travel and seminar information. **Topics:** General correspondence; policy; visits; technical enquiries; administration and operation; technical support — e.g., supplies and equipment, and safety committee. **Program Record Number:** NRC IBS 065

Animal Resources

Description: Information on the basic care and health of research animals. **Topics:** General correspondence and policy on animal care and research. **Program Record Number:** NRC IBS 075

Cell Biology

Description: Information on the application cell biology and cell technologies to the treatment of neurodegenerative and other specific diseases. Animal and culture models of diseases. Correspondence and memoranda relating to cell regulation and cell culture. **Topics:** Cellular mechanisms; neurophysiology; cell technologies. **Program Record Number:** NRC IBS 125

Immunochemistry

Description: Information on immunology and immunochemistry and antibody engineering. **Topics:** Antigens; antibodies; pathogenic bacteria; hybridomas; diagnostics; vaccines. **Program Record Number:** NRC IBS 110

◆ Institute for Chemical Process and Environmental Technology (Ottawa)

Administration

Description: Correspondence, memoranda and reports relating to the planning and execution of the Institute's programs. **Topics:** General correspondence, Administration and Operation, technical inquiries, visits, lectures, seminars, publications, patents, project reports. **Program Record Number:** NRC CPE 165

Process and Materials Chemistry

Description: Correspondence, memoranda and reports on focused scientific research programs to improve the performance of materials in the manufacturing industries. **Topics:** Functional polymers for separations; solid waste reduction; surfaces and nanoparticles; combustion research; reduction of toxins in batteries. **Program Record Number:** NRC CPE 175

Process Systems

Description: Correspondence, memoranda and reports on process integration, modeling, environmental stewardship relating to the manufacturing system. **Topics:** Airborne contaminants; technology impact assessment. **Program Record Number:** NRC CPE 180

Process Technology

Description: Correspondence, memoranda and reports on innovative processes and technologies for the chemical and manufacturing industries. **Topics:** Gaseous separations and emissions control; electrosynthetic methods and electrochemical processes; particulate waste treatment and reduction; process development for liquid separation. **Program Record Number:** NRC CPE 170

◆ Institute for Information Technology (Ottawa)

Research Result

Description: This is the output of the Institute and is in the form of technical reports, external publications, correspondence, designs, patents and transfer of technology. **Topics:** Human-Computer Interaction: multi-modal interfaces; integration of language and visualization in 3-D models; modelling dialogue structure to improve computer-human interaction. Interactive Information: software tools to improve identification and navigation of information sources; machine learning; intelligent agents; navigational tools for digital libraries. Integrated Reasoning: diagnostic and decision making systems; integration of multiple reasoning techniques (fuzzy logic, case-based reasoning, decision networks, induction and model-based reasoning.) Photonic Systems: optically enhanced computing and data transmission; optical back-planes; ultrafast laser sources; fibre-optic links, all-optical computer networks. Seamless Personal Information Networking: information networks; seamless integration of heterogeneous information sources and applications into homogeneous environments; advanced AI technologies. Software Engineering: real-time and embedded systems; commercial software products; large scale systems; design recovery; configuration control; software portability, software costing. Visual Information Technology: 3-D visual information acquisition and processing; sensor systems; machine vision; 3-D data interpretation; virtual environments and museums; 3-D shape and colour reproduction. **Program Record Number:** NRC IIT 265

◆ Institute for Marine Biosciences (Halifax)

Administration

Description: Correspondence, memoranda, requests, minutes, and technical reports. **Topics:** Plant engineering; requests (analyses, chemicals and cultures, scientific information); scientific societies and institutes; committees (health and safety, scientific committees); Aquaculture Research Station files (basically engineering and maintenance); unpublished manuscripts; financial services; purchasing; inventory control records; and personnel files. **Program Record Number:** NRC IMB 035

Director General's Office

Description: Director General's working files include material on the activities of projects, such as research and development and project planning; travel and conference files; NRC committees (e.g., Committee of Directors

General). **Topics:** General correspondence and files on research and development pertaining to research projects; files by subject interest, general correspondence from various institutes within the NRC, by institute; working files; forward planning. **Program Record Number:** NRC IMB 030

Marine Biology

Description: General Correspondence; seminars; research files of published and unpublished data, reprints and information relating to: aquaculture of seaweeds, finfish and shellfish; cell biology; light and electron microscopy; biochemistry; molecular biology; genomics; bioinformatics; marine microbiology; molecular evolution; DNA sequencing; marine macro and microalgae; floristics; seaweed products. **Topics:** Aquaculture research; commercial tank cultivation of seaweeds; phycocolloid polymers; seaweed food products; chemistry and biochemistry of shellfish toxins; toxin production and transfer in the marine food web; enzymes; proteins; natural products; DNA markers; genomic sequencing. **Program Record Number:** NRC IMB 045

Marine Chemistry

Description: General correspondence; seminars; equipment; research files of published and unpublished data, and reprints and information relating to: marine chemistry; analytical chemistry; biochemistry; bioorganic chemistry; high resolution separation techniques, such as high performance liquid chromatography, gas chromatography, supercritical fluid chromatography, and capillary electrophoresis coupled to mass spectrometry, for quantitative analysis of target organic compounds in marine and other complex matrices; production and certification of instrument calibration standards and reference materials (Marine Analytical Chemistry Standards Program), including related research; purification and characterization of bioactive natural products from marine macro and microorganisms; applications of NMR, IR and UV spectroscopy. **Topics:** Marine toxins; peptides; proteins; carbohydrates; therapeutic residues; organochlorines; biological mass spectrometry; fundamentals of mass spectrometry; certified standards and reference materials; preparative extraction, separation and purification of marine toxins and other bioactive metabolites; structural determinations; biosynthetic and metabolic (including in-vivo) NMR studies using stable isotopes. **Program Record Number:** NRC IMB 040

♦ Institute for Marine Dynamics (St. John's, Newfoundland)

Ocean Technology

Description: Administration and operational files; general technical correspondence; working and correspondence files containing technical and administrative details of research programs, participation in societies, associations, conferences and interdepartmental government committees and working groups; raw data from model tests and full scale trials; technical reports on internal

research projects or work done for external clients. **Topics:** Administration — policy, general correspondence, visits, lectures, exhibits; conferences and seminars; co-operation and liaison (with other government departments, universities, associations, clubs and societies, and foreign countries); buildings and properties; equipment and supplies; finance and personnel. Operational — policy, general correspondence; research and development (general, for industry and for government); ships, including icebreakers, ferries, fishing vessels, naval vessels; offshore structures; ice properties; computer modelling. **Program Record Number:** NRC IMD 385

♦ Institute for Microstructural Sciences (Ottawa)

Acoustics and Signal Processing

Description: Information related to research on sound propagation and environmental studies; sound reproduction; sound and vibration; acoustical technology, devices, measurements and standards; signal processing. **Topics:** Physical acoustics and noise control, DSP hardware and software, control algorithms, array processing; design and testing of ear defenders; design and calibration of circumaural earphones; noise survey in industrial plants; community noise — industrial, construction, transportation, domestic, outdoor sound propagation, noise legislation, committee on noise; exposure of individuals to noise; e.g. microphone and sound level meter; psychoacoustics committee on physical acoustics; specific project — adaptive loudspeakers, active noise control beam forming, acoustical data processing, reduction of noise from small engines, and sound level measurement technique. **Program Record Number:** NRC IMS 510

Administration

Description: Correspondence, memoranda, reports and statistics on the administration and operation of the Institute. **Topics:** Enquiries; visits to the division; visits and invitations to outside organizations; lectures, talks and papers presented by staff; review of manuscripts and theses; seminars and colloquia; inventions submitted to the Institute patent and licensing activities; finance; equipment and supplies; work done for outsiders. **Program Record Number:** NRC IMS 505

Advanced Processes

Description: Information on advanced silicon processing technology; focused ion beams; surface and interface physics. **Topics:** Focused ion beam processing; In-situ silicon processing; Advanced silicon processes; surface and interfaces of semiconductors. **Program Record Number:** NRC IMS 439

Condensed Matter

Description: Information on condensed matter **Topics:** Solid state phenomena; superlattices; semiconductors; mesoscopic physics; and superconductivity. **Program Record Number:** NRC IMS 440

Device Physics

Description: Research on the physical processes important to the operation and design of electrical and optoelectronic devices. **Topics:** Backgating in GaAs Mosfets; electrical characteristics of compound semiconductor devices; modelling of electrical devices, photodetectors and ridge-waveguide lasers; development of two-dimensional numerical modelling tools for devices; transport in heterostructures. **Program Record Number:** NRC IMS 441

Epitaxy

Description: Information on molecular beam epitaxy. **Topics:** Controlled growth of semiconductor materials by molecular beam epitaxy and related techniques: characterization of material; (Si/Si_xGe) strained layer superlattices; III-V compounds such as GaAs/AlGaAs; lasers LED's diodes transistors. **Program Record Number:** NRC IMS 455

Microfabrication

Description: Information on microfabrication. **Topics:** Prototype test structures and devices; semiconductor processing; lithography; metallization; insulator deposition; laser and modulator fabrication; focused ion beam processing. **Program Record Number:** NRC IMS 460

Optoelectronics

Description: Information on optoelectronic devices. **Topics:** High-power pulsed lasers; solid-state lasers, modulators, waveguide amplifiers, optoelectronic integrated circuits, optical switching. **Program Record Number:** NRC IMS 462

Quantum Physics

Description: Information on quantum electronics; quantum transport. **Topics:** Photon echo modulation; optical hole-burning memories; electronic and optical properties of semiconductors; magneto-transport and magneto-optical properties of the two-dimensional electron gas; quantum Hall effect; Fractional Quantum Hall effect; transient behaviour of hot electrons. Nanostructures; Quantum well infra-red detectors; Resonant tunneling. **Program Record Number:** NRC IMS 461

Surfaces and Interfaces

Description: Information on surfaces and interfaces; properties and degradation of materials. **Topics:** Formation, growth and breakdown of surface films; Auger electron spectroscopy; secondary ion mass spectrometry; X-ray photoelectron spectroscopy; electron energy loss transmission electron microscopy; reflection high energy electron diffraction, and scanning electron microscopy. **Program Record Number:** NRC IMS 410

Thin Films

Description: Information on thin film coatings and optical thin films. **Topics:** Policy; correspondence; thin film coatings and optical thin films; Superconducting thin films. **Program Record Number:** NRC IMS 535

◆ Institute for National Measurement Standards (Ottawa)

Chemical Metrology

Description: This group is involved in the development of state-of-the-art methods and instrumentation for both organic and inorganic trace analysis, in the production of environmental certified reference materials (CRMs), and in various international activities related to the traceability of chemical analysis measurements. Facilities include an array of atomic spectroscopy instrumentation for inorganic trace analysis, mass spectrometry instrumentation for both organic and inorganic trace analysis, a variety of gas and liquid chromatography equipment for chemical separation, and "clean room" facilities for contamination-free sample preparation and manipulation. The CRMs produced in the laboratory are essential to both public and private sector laboratories routinely engaged in the analysis of environmental samples (e.g., water samples, sediments, biological tissues) as a means to ensure the accuracy of their results. The CRM production program provides the focus for many of the methods and instrument development research activities of the group. The group also offers analytical chemistry services on a contract of fee-for-service basis for selected industrial clients with needs which cannot be met by any private sector Canadian chemical analysis laboratory. A notable example is our glow discharge mass spectrometry (GDMS) facility for direct analysis of conducting and semi-conducting materials, in particular very high purity materials such as gallium and cadmium telluride, destined for use in fabrication of microelectronic devices. The group also seeks opportunities for collaborative research with manufacturers of instrumentation for chemical analysis, either through in-house development of prototype instruments or through "beta-site" testing of pre-commercial instruments. **Program Record Number:** NRC INM 535

Electrical Power Measurements

Description: This group is involved in the development of new state-of-the-art instrumentation and measurement techniques. These include revenue meters for electric utilities and calibration facilities for equipment such as instrument transformers, active/reactive power and energy meters under sinusoidal/nonsinusoidal waveform conditions, high voltage capacitors, high current resistors and shunts, and high voltage loss measuring systems transformers and inductive reactors. The group is also involved in the development of new diagnostic measurement techniques and instrumentation to assess the operating conditions of electric power apparatus in service and to predict their remaining life. An important element of this program is the development of new and improved measuring techniques and instrumentation to meet and anticipate the measurement requirements of the electrical power industry. **Program Record Number:** NRC INM 525

Electrical Standards

Description: Electrical standards of measurements play a basic role in maintaining compatibility, coherence and safety in all services connected to the field of electricity. In fact electricity touches essentially all sectors of the economy. The proper monitoring of electrical energy consumption at either the individual or the industrial level depends on the use of well calibrated reference standards. The fabrication of electrical instruments, their testing and the marketing of these instruments require internationally accepted electrical standards. These standards are developed and maintained by the Electrical Standards Group. The group is involved in the ac, dc and rf fields. It does basic research on primary standards of voltage and resistance using the Josephson and Quantum Hall effect and develops techniques and instruments connected with ac and rf measurements. The group also maintains a calibration service for high accuracy secondary standards and top of the line instruments. **Program Record Number:** NRC INM 530

Frequency and Time

Description: The demands of science have pushed the capability for accurate frequency and time determination to a very high level. Accuracy levels are available that might appear excessively high for everyday applications, yet they provide the basis of many modern systems such as navigation and communication, as well as the basis of measurements in diverse fields such as advanced physics, radio astronomy, geodesy, length metrology, voltage measurements, and electronics manufacturing and testing. The Frequency and Time Group designs, builds and maintains atomic clocks which serve as Canada's standards for frequency and time. The standard of length, the metre, is now also defined in terms of time. The Group therefore also maintains lasers whose wavelengths define the metre. Frequency calibration and laser wavelength calibration services are provided. Time is disseminated throughout Canada by various means, some of which include short-wave radio transmissions on NRC station CHU, digitally coded signals on telephone lines, the National Satellite (Parliament) Channel on cable television and by other direct satellite links. The Group conducts research to further extend the range and accuracy of frequency and wavelength standards to ensure internationally recognized, state-of-the-art standards for Canada. **Program Record Number:** NRC INM 545

Ionizing Radiation Standards

Description: Ionizing radiation plays a major role in modern medical practice, both for diagnostic purposes (e.g. CT scanners, x-rays) and for therapeutic purposes primarily in cancer therapy. The monitoring of radiation exposure is also very important in many industrial environments particularly in nuclear power production and uranium mining. In order to provide a degree of accuracy that ensures that radiation exposure levels are properly monitored and that therapy is done with maximum efficiency without endangering life, industrialized countries

provide reference standards for the calibration of instruments or devices used in these various sectors. The national laboratories in charge of these standards make sure that they are accurate by comparison with those of other countries. This is done under the umbrella of the Bureau International des Poids et Mesures. This important activity which takes place on a continuous basis ensures uniformity of the national standards with the international measurement standards system. In Canada the standard in this field is provided by the Ionizing Radiation Standards Group of the NRC. The Group develops, maintains and promulgates Canada's primary measurement standards for ionizing radiation and provides calibration service as well as the link to the international measurement system. **Program Record Number:** NRC INM 555

Mechanical Metrology

Description: The Group is composed of three programs rooted in fundamental metrology. The Mass Standards Program is responsible for the maintenance and propagation of primary mass standards and derived standards of pressure and mass flow which are based on the kilogram mass unit. It establishes the mass scale for Canada which supports domestic and international trade in bulk commodities and ensures traceability of mass measurements to the international prototype of the kilogram. It realizes the SI unit of pressure, the pascal, and subdivides and multiplies it over sixteen decades in the interest of the avionics, power generation and transport industries. It is directly involved with industrial collaborators in the measurement of the flow of bulk commodities in the fluid states. The Dimensional Metrology Program establishes and operates measurement systems based upon the Canadian realization of the metre, the SI primary unit of length. It calibrates end standards, such as gauge blocks and line standards, as well as scales, engineering and surveying tapes and levelling rods for industrial use. It also calibrates angle standards, optical flats and parallels as well as roundness and diameter standards. General form, profile, roundness, and surface roughness are also determined in support of Canadian industry. The Acoustical Standards Program is responsible for the reciprocity calibration of condenser microphones and carries out ultrasound power calibration for medical applications. It offers a primary interferometric calibration service for accelerometers which is unique in Canada. This program plays a particularly important role in the area of normative standards directly related to the public good in Canada. **Program Record Number:** NRC INM 515

Photometry and Radiometry

Description: Radiometry (measurement of optical radiant energy) and photometry (measurement of optical [luminous] radiant energy as sensed by the human eye) are important to a large part of the public, manufacturing, and service sectors. Recent rapid expansion of electro-optical, photodetector, and optical fibre communications industries have produced a concurrent demand for more accurate and wider ranging radiometric

measurements. It has been estimated that one-quarter of electricity consumption is for lighting; the enormous potential benefit of increased efficiency through improved photometric standards is obvious. Transportation (highway, air, marine) depends heavily upon visual signals and lighting where photometric energy and colour are of prime importance. Public health and safety require accurate measurement of such quantities as ultraviolet, laser, and phototherapeutic radiation levels. Other areas requiring photometric, radiometric, or colorimetric standards include paint, textile, and plastics industries; pulp and paper; television; agriculture; meteorology; environment; military and space agencies. To serve this wide and varied clientele, the Photometry and Radiometry section has developed and maintains the SI base unit of luminous intensity (candela) and basic standards for the measurement of light, colour, and optical radiation in the wavelength range from 200 nm to 50,000 nm. **Program Record Number:** NRC INM 540

Thermometry

Description: Temperature plays a most important role in everyday life as well as in the many specialized activities of industrialized countries. Processes such as those concerned with advanced materials preparation and growth, fabrication, analytical processes and diagnosis require either good temperature control or accurate temperature determination. Other important and diverse fields that require an excellent knowledge of temperature conditions include biology; biotechnology and clinical studies, analysis and diagnosis; aircraft industries; metallurgical industries; food industries, chemical industries; oceanography; pharmaceutical production. In order to ensure for these activities an accurate temperature scale, industrialized countries support a national laboratory which maintains accurate reference standards and provides a high accuracy calibration service based upon an International Temperature Scale. In Canada this role is fulfilled by the thermometry group of the NRC which does basic research in the field of thermometry, contributes to international activities in that field, and ensures through intercomparisons that its standards are compatible with those of other countries. **Program Record Number:** NRC INM 550

◆ Institute for Research in Construction (Ottawa)

Acoustics

Description: Information on field measurements of the sound absorption and transmission properties of buildings and building elements, and research on vibration related to experimental studies of the response of actual structures under dynamic excitations, such as those provided by wind and road traffic. **Topics:** Development of impact tests for floors; acoustical test methods; development of noise criteria; insulation of buildings from external noise; determination of dynamic response characteristics of structures; measurement of sound power of noise sources; outdoor noise propagation;

reverberation room acoustics; vibration problems in buildings; earthquake engineering; commercial test reports. **Program Record Number:** NRC IRC 640

Administration

Description: Personnel data on all members of staff, financial reports, purchasing documents, travel authorizations, correspondence and documents on the shops and building up-keep. **Program Record Number:** NRC IRC 595

Building Materials

Description: Information on the characterization and evaluation of the performance of materials such as concrete, sealants, paints, plastics, roofing materials and clay brick through the use of chemical, physical and mineralogical techniques. **Topics:** The performance of clear and pigmented coatings; basic engineering properties of concrete; durability to frost-action in concrete and other porous materials; properties and performance of joint materials; characterization of building materials by their microstructure and composition; processes of degradation of organic building materials; performance of concretes as related to the chemical processes in cements; physical and mechanical properties of repair systems; performance of Canadian aggregate; performance of concrete in the presence of aggressive solutions; weathering factors and accelerated test methods for assessing durability of organic building materials; durability of cover and absorber materials for solar collectors; physics of coating formation and adhesion, and the durability of structural clay products. **Program Record Number:** NRC IRC 600

Building Performance

Description: Information on the evaluation of the thermal characteristics and performance of materials, components and systems leading to standards, product development and proper design; the development of techniques for monitoring the movement of these products within the building envelope; and other aspects of the products. **Topics:** Energy calculations; energy auditing; lighting practice; efficient lighting energy use; smoke control in tall buildings; passive solar heating; ventilation and air movement in buildings; thermal and hygric properties of materials, hermetically sealed multiple glazing; thermal performance of walls and ceilings; thermal performance of windows; airtightness of walls; development of remedial measures for institutions and commercial establishments; thermal insulation materials; properties and emissions; techniques for measuring particulate materials in air. **Program Record Number:** NRC IRC 620

Building Structures

Description: Information on the introduction of "limit states design" into Canadian structural codes and standards, and the development of improved serviceability criteria based on risk considerations, including the investigation into the variability of masonry

strength. **Topics:** Case histories of structural failures and problems due to differential movement in buildings; structural safety and serviceability; snow loads on roofs; wind effects on buildings and structures; failure of structures and progressive collapse; masonry research; wind tunnel studies of buildings; and others. **Program Record Number:** NRC IRC 610

Canadian Construction Materials Centre

Description: Information on the suitability for use of construction materials, systems or services. **Topics:** Technical information and advice to manufacturers and suppliers of construction materials, systems and services on how to obtain the evaluation of these products and services with respect to their suitability for use; technical information and advice to architects, specification writers, engineers and regulators on the suitability of use of construction materials, systems and services; information to the construction industry on laboratories which conduct testing of construction materials, systems and services; assistance to Canadian exporters of construction-related products and services on the evaluation requirements of these products and services by other countries; replies to inquiries and requests for interpretation of evaluation reports and listings; technical information and advice to Canadian Commission on Construction Materials Evaluation. **Program Record Number:** NRC IRC 657

Codes and Standards

Description: Information on topics related to the operation of the Codes Technical Section, the Codes Secretarial Service and the Editorial, Translation, Distribution and Records Units. **Topics:** Technical information and advice to code committees; code requirements for committee consideration; replies to code enquiries and requests for interpretation; seminars and conferences on the NBC and NFC; the continuing review of the code documents in the light of developments in building design and technology to determine the areas that may require expanding or updating to keep them current; technical studies to provide information needed in preparing new or improved code requirements. **Program Record Number:** NRC IRC 615

Director's Office

Description: Documents on the operation of the individual sections, advisory bodies, research and development studies and seminar or workshop information. **Topics:** The American Society for Testing and Materials; International Standards Organizations; CIB; Construction Industry Development Council; minutes of the Board of Directors; NRC five-year plan; sectional program and budget submissions; divisional annual reports; proceedings of council; research and development for engineering in cold regions; divisional planning committee notes; and Canada Mortgage and Housing Corporation — Institute for Research in Construction reports. **Program Record Number:** NRC IRC 590

Fire Research

Description: Information on the development and revision of standard methods of fire tests and research activities, which include fire performance on structural components, flammability of materials, products of combustion, growth of fire, and the influence of fire risks on the overall design of buildings. **Topics:** Thermal decomposition of polymers; behaviour of building components in fire; evaluation of fire behaviour of plastic components; flammability studies; fire performance information; production and movement of smoke in fire; fire protection features of buildings; development of fire; combustion of organic materials; toxicity of combustion products; fire resistance safety factors; restraint and fire severity; and commercial test reports. **Program Record Number:** NRC IRC 625

Industry Liaison

Description: Information on the activities of the Library, the Publications Service, Seminar Service, Network & Client Services. **Topics:** Reference service; loans; information retrieval; periodical subscriptions; acquisitions; translation requests; Manual on Metric Building Drawing Practice; requests for information; preparation of publications and reports. **Program Record Number:** NRC IRC 650

Infrastructure

Description: Information on cold climate effects on civil infrastructure. Numerical modelling, laboratory testing and full scale field studies and demonstrations. **Topics:** Development and optimization of construction techniques, frost action in soils, buried municipal services, frost heave, construction in permafrost regions, thermal conductivity of soils. **Program Record Number:** NRC IRC 630

System Support Unit

Description: Information on the Institute's calibration and standards facility, the instrument pool for loans, the maintenance and repair shop and the co-ordination and advisory services provided to the Institute. **Program Record Number:** NRC IRC 635

◆ Plant Biotechnology Institute (Saskatoon, Saskatchewan)

Biological Chemistry

Description: Information on the biology and chemistry of abscisic acid and its analogues. **Topics:** Correspondence; documents; protocols; laboratory reports; synthetic and analytical methods, contracts; charts; documents. **Program Record Number:** NRC PBI 575

Brassica Biotechnology

Description: Information on cell and gene technology as applied to genetic improvement of canola (rapeseed) as well as microspore culture. **Topics:** Correspondence, documents, protocols; laboratory reports, contracts; plant regeneration from cells, genetic transformation of plants. **Program Record Number:** NRC PBI 579

Cereal Biotechnology

Description: Information on cell and gene technology as applied to genetic improvement of wheat and barley as well as cryoselection for improved cold tolerance. **Topics:** Correspondence; documents, contracts, protocols; laboratory reports; plant regeneration from cells; cryopreservation; genetic transformation. **Program Record Number:** NRC PBI 580

Conifer Biotechnology

Description: Information on cell and gene technology as applied to genetic improvement of Canadian conifers as well as micropropagation. **Topics:** Correspondence; documents; protocols; laboratory reports, contracts; plant regeneration from cells; genetic transformation. **Program Record Number:** NRC PBI 586

Legume Biotechnology

Description: Information on cell and gene technology as applied to genetic improvement of grain legumes. **Topics:** Correspondence; documents; protocols; laboratory reports; contracts; plant regeneration from cells, genetic transformation. **Program Record Number:** NRC PBI 570

Regulation of Gene Expression

Description: Information on vector construction, gene promoters, gene fusion, developmentally and tissue specific expression of genes; on assembly of functional amino acids assisted by chaperonins. **Topics:** Correspondence; documents, protocols, laboratory reports, contracts, charts, DNA analysis and synthesis. **Program Record Number:** NRC PBI 585

Seed Oil Modification

Description: Information on the enzymology and genetic manipulation of storage lipid accumulation in cruciferous plants. **Topics:** Correspondence; protocols; laboratory reports; contracts; chemical analysis; protein sequencing. **Program Record Number:** NRC PBI 589

Stress Tolerance Genes

Description: Information on cell and gene technology as applied to improvement of salt tolerance, cold tolerance and disease resistance in plants. **Topics:** Correspondence; documents; protocols; laboratory reports; contracts; DNA analysis and synthesis. **Program Record Number:** NRC PBI 588

Support Services

Description: Information on on-going services to research inside and outside PBI. Records of the Administrative Office, the Research Illustration Group, the chemical analysis groups, the DNA analysis group, the Transgenic Plant Center, Plant Engineering Services and Purchasing are included. **Topics:** Correspondence; personnel and budget documents; progress reports and records of research; published research papers; records of operation of magnetic resonance and mass spectroscopy; records of building facilities and equipment; purchasing orders. **Program Record Number:** NRC PBI 560

◆ Steacie Institute for Molecular Sciences (Ottawa)

Inorganic Chemical Dynamics

Description: The group uses laser, molecular beam and surface techniques to study chemical dynamics emphasizing the chemistry and physics of coordinatively unsaturated metal centres, including atoms, radicals, clusters and van der Waals complexes. Current projects include: 1) preparation and properties of naked and derivatized metal clusters; 2) reaction kinetics of metal-centered reactions; 3) high resolution optical spectroscopy of small inorganic radicals, and 4) scanning tunneling microscopy. **Program Record Number:** NRC SIM 205

Molecular Selectivity

Description: The group is concerned with the application of physical and synthetic organic chemistry to the understanding of the initiation, impact, and control of free radical processes in living systems. Included are studies of biological autooxidation and its prevention by natural and synthetic antioxidants, of biokinetics and of metabolism of vitamin E. Features stressed are the identification and understanding of the underlying fundamental mechanisms, and relevance to medical diseases and disorders (cancer, heart disease, ischemia-reperfusion injury). Additionally, some non-radical research activity is focused on the mechanism of action of cholesterol esterases. **Program Record Number:** NRC SIM 195

Molecular Structures and Dynamics

Description: Characterization of naked metal and metal-containing clusters in condensed phases by ESR, NMR, ultraviolet, vibrational and photoelectron spectroscopies; studies of small metal-cluster chemical reactivity in relation to catalysis; studies of fullerenes and their reactivity. Study of order, disorder, molecular motion and structural evolution in solids, particularly host-guest supramolecular systems, vapour-deposited and high-pressure amorphized materials, using NMR and vibrational spectroscopies, calorimetry, diffraction and the latest techniques of computational chemistry. **Program Record Number:** NRC SIM 200

Organic Reaction Dynamics

Description: The group performs spectral, kinetic and thermodynamic characterization of short-lived organic and organometallic reaction intermediates in condensed phases. It studies mechanism and dynamics of free radical electron transfer reactions; photoprocesses in heterogeneous media; pico- and nanosecond laser flash photolysis with uv-visible and infrared transmission and uv-visible diffuse reflectance. Other techniques include ultrafast electrochemical measurements; photomodulation voltammetry, microelectrode cyclic voltammetry; and photoacoustic calorimetry. **Program Record Number:** NRC SIM 220

Theoretical Chemistry

Description: The group studies the dynamics of elementary chemical reactions and relaxation processes in molecules, clusters and crystals; specific examples are hydrogen tunneling, isomerization and radiationless transitions. These calculations are based on quantum-chemically computed vibrational force fields in ground and excited states and on the analysis of molecular spectra. In addition the group studies reaction kinetics in inhomogeneous systems. **Program Record Number:** NRC SIM 225

Ultrafast Phenomena

Description: Using nonlinear optical techniques, the group develops lasers with duration as short as a few optical periods. The application of these sources to atomic and plasma physics problems is a major component of the current research. The group is also developing methods to time resolve, and perhaps control, the photodissociation of simple molecules. **Program Record Number:** NRC SIM 530

Administration

Description: Documents on the operation of the different individual laboratory sections such as project planning and reports, program forecasts, research and development on industrial materials, travel and seminar information, replies to technical enquiries, and records on technical support — i.e., supplies and equipment, safety committee. **Topics:** Administration; liaison (with associations, industries, foreign countries, universities, federal departments, and provinces); Government Industrial Assistance Programs; finance; budget; operations-general; projects and project evaluations; tests; and technology. **Program Record Number:** NRC IMR 380

General-Use Series

Description: Information on the organization of international conferences — memberships, fees, minutes, annual reports regarding associations and societies; co-operation and liaison activities with universities, institutes, provincial and federal departments and agencies; commercial agreements with provincial research councils and federal government departments; interdepartmental committee correspondence and minutes; research agreements; correspondence, policy and guidelines on the Canadian Human Rights Act, status of women and access to information and privacy. **Topics:** Associations, clubs and societies; universities; institutes of technology; international conferences; commercial agreements; provincial governments; federal government departments; interdepartmental committees; Canadian Standards Association; publications; status of women; Canadian Human Rights Act; Access to Information Act and Privacy Act, Employment Equity, Advisory Board on Scientific and Technological Information; Scientific and Technological Information Services; research agreements. **Program Record Number:** NRC GEN 750

Personal Information Banks

Accounts Receivable

Description: These files record debit and credit entries in connection with National Research Council accounts receivable. Files include information on financial transactions and outstanding balances. **Class of Individuals:** Members of the general public who have purchased goods and services offered by the National Research Council. **Purpose:** In addition to recording payment, records are used to follow up on overdue accounts. **Retention and Disposal Standards:** Records are retained for six fiscal years and are then destroyed. **TBS Registration:** 000937 **Bank Number:** NRC PPU 045

Contracts between NRC and Individuals

Description: This bank contains the initial proposal and submission, evaluations and correspondence, details of contract, financial transactions and interim or final reports. **Class of Individuals:** Members of the general public who submit proposals for work to be performed for the National Research Council. **Purpose:** To evaluate proposals, to process contracts, and to provide information for the verification of invoices, payments and scientific monitoring, and to administer contracts. **Retention and Disposal Standards:** Records are retained for six fiscal years after termination of the contract or two years after rejection of the proposal and are then destroyed. **TBS Registration:** 000939 **Bank Number:** NRC PPU 055

Deposit Accounts

Description: These files contain a record of credit balances of individuals in account with the National Research Council for the purchase of goods and services. **Class of Individuals:** Members of the general public who have established a deposit account for the purchase of scientific and technical information. **Purpose:** Files are maintained for the purpose of recording debit and credit entries against deposit accounts established by private individuals. **Retention and Disposal Standards:** Records are retained for six fiscal years and are then destroyed. **TBS Registration:** 000938 **Bank Number:** NRC PPU 050

Employment Applications

Description: This bank contains information on candidates available for employment at the National Research Council when vacancies arise. Records contain letters, completed application forms, applicant resumes, comments of interviewer, and letters of reference. **Class of Individuals:** Members of the general public who apply for employment at the National Research Council. **Purpose:** To select candidates for vacancies at the National Research Council. **Retention and Disposal Standards:** Records are retained for two years and are then destroyed. **TBS Registration:** 000940 **Bank Number:** NRC PPU 060

Industrial Research Assistance Program

Description: The records in this bank are used to support proposals for financial assistance to cover the

costs of salaries for company research staff engaged in approved projects. Participating companies provide the research facilities and other expenses involved in the project and retain all titles or have rights to access to the results of the jointly-funded research. **Class of**

Individuals: Directly-related employees of companies that submit proposals for financial assistance through this program. **Purpose:** To determine the amount of financial contribution to the company if the proposal is accepted.

Retention and Disposal Standards: Accepted proposals are retained for 20 years. Unaccepted proposals are retained for 5 years. **TBS Registration:** 001683 **Bank Number:** NRC PPU 026

Information Dissemination

Description: Information in this bank is provided by the purchaser of publications, subscriptions, information or computerized services. **Class of Individuals:** Scientific community, contractors, architects, engineers and technicians. **Purpose:** To provide individuals with information on prepaid subscriptions, publications, or computerized services, and to assess and collect charges. **Consistent Uses:** This information is used to record financial transactions and update computerized mailing lists. **Retention and Disposal Standards:** Records are retained for a period of two years and are then destroyed. **TBS Registration:** 000944 **Bank Number:** NRC PPU 080

International Exchanges and Visits

Description: This bank contains applications from individuals who wish to visit France under the NRC/CNRS Scientific Co-operation Program or the France/Canada Exchange Agreement, recommendations, decisions of the selection committee, and related correspondence. **Class of Individuals:** Members of the scientific community. **Purpose:** To select individuals for these two programs and to implement administrative procedures connected with the exchange or visit. **Retention and Disposal Standards:** Records are retained for two years after the termination of exchange or visit, or two years after the date on which the application was rejected; and are then destroyed. **TBS Registration:** 000934 **Bank Number:** NRC PPU 030

Inventions: Submissions by Private Individuals

Description: This bank contains information on inventions submitted by individuals for assessment and scientific comments and patent protection advice provided by the National Research Council. Information includes the original submission, evaluation by scientific personnel where expertise was available, and the reply to the enquirer. **Class of Individuals:** Members of the general public who request advice about their inventions. **Purpose:** To carry out an assessment and provide scientific comment and advice on inventions submitted by the general public. **Retention and Disposal Standards:** Records are retained for a period of two years and are then destroyed. **TBS Registration:** 000932 **Bank Number:** NRC PPU 010

Manuscripts for Publication

Description: These records contain manuscripts, forms and publishing department correspondence related to the printing of Council research journals. Full name, address, title of paper, name of journal to which the paper was submitted and date of submission are required for access. **Class of Individuals:** Individuals who have submitted manuscripts. **Purpose:** To record information relating to the publication of papers in research journals.

Retention and Disposal Standards: Records are retained for two years and are then destroyed. **TBS Registration:** 000936 **Bank Number:** NRC PPU 040

National Research Council and Committee Membership

Description: This bank contains background information, including the educational background, affiliation, publication list, and professional experience of individuals nominated for service on Council and its associated committees. **Class of Individuals:** Past and current members of Council and members of committees of Council. **Purpose:** To assist in the selection and appointment of members of the National Research Council and its committees. **Retention and Disposal Standards:** Records of persons who are or have been members of the Council and/or its committees are retained for 30 years and then transferred to the Archives Branch of the National Archives of Canada. **TBS Registration:** 000931 **Bank Number:** NRC PPU 005

Personal Letters of Recommendation by National Research Council Personnel Relating to Non-Staff Members

Description: This bank contains requests for recommendations and copies of letters of recommendation made by staff members for non-staff individuals. **Class of Individuals:** Members of the scientific and academic communities who ask for letters of recommendation. **Purpose:** To provide letters of recommendation to members of the scientific and academic communities. **Retention and Disposal Standards:** Files are retained for two years and are then destroyed. **TBS Registration:** 000933 **Bank Number:** NRC PPU 015

Requests to Access Personal Information

Description: This bank contains requests for access to personal information contained in personal information banks administered by the National Research Council. **Class of Individuals:** Individuals who have applied for access to personal information banks administered by the National Research Council. **Purpose:** The purpose of this bank is to verify the identity of individual applicants and to process requests. **Consistent Uses:** To prepare statistics for annual reports required under the Privacy Act. **Retention and Disposal Standards:** Records are retained for a period of two years and are then destroyed. **TBS Registration:** 000943 **Bank Number:** NRC PPU 075

Research Associateship Program: Applicant Inventory

Description: Records contain application forms, curricula vitae, academic records, publications, letters of recommendation, personal characteristics and employment history. **Class of Individuals:** Individuals who have made application to the National Research Council for research associateships. **Purpose:** To select recipients of NRC research associateships. **Retention and Disposal Standards:** Records are retained for two years and are then destroyed. **TBS Registration:** 000941 **Bank Number:** NRC PPU 065

Secondments and Exchanges Between the National Research Council and Outside Organizations

Description: This bank contains requests for secondment or exchange of personnel and memoranda leading to the approval or rejection of requests. **Class of Individuals:** Persons other than NRC employees who have been involved in exchanges or loans. **Purpose:** The purpose of this bank is to provide documentation for the administration of exchanges and loans of personnel. Information is used to make decisions on these exchanges and loans. **Retention and Disposal Standards:** Records are retained for three years after the agreement ceases or after rejection of the proposal and are then destroyed. **TBS Registration:** 000942 **Bank Number:** NRC PPU 070

Classes of Personal Information

The personal information contained in this class is distributed across a wide range of subject files. It is not used for administrative purposes, and is normally neither arranged nor retrieved by personal identifiers. The most common types of personal information contained in this class are name, address, and, in some cases, affiliation, educational background and experience. The information was collected through general enquiries, complaints, requests for assistance, and personal views and opinions directed by the public to the scientific laboratories; to the Canada Institute for Scientific and Technical Information; to the Industrial Research Assistance Program regarding its assistance programs; and to the Council's supporting administrative branches such as Personnel and Administrative Services, Contract Services, Public Relations and Information Services, and External Relations. Individuals wishing to access information relating to themselves should provide specific details regarding:

- (1) the institute, branch, or individual to whom the correspondence was addressed;
- (2) the approximate date of the correspondence;
- (3) the subject matter;
- (4) the name of the program (if applicable); and
- (5) the file reference number, if available.

The retention periods for this class of personal information vary and are provided in the records schedules of the general subject files in which the information is stored.

Manuals

- Administration and Services Manual (ASM)
- CAN/OLE Database Manual
- CAN/OLE User's Manual
- CAN/SDI Profile Design Manual
- CAN/SND User's Manual
- Canada Labour Code Directives
- Financial Management Manual (FMM)
- Human Resources Manual (HRM)
- Interlibrary Loan Code
- Library Telecommunications Code
- Standard Operating Policies and Procedures (SOPP)

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for additional information about the NRC may be directed to:

Manager, Information Services
National Research Council
Administration Building (M-58)
Montreal Road
Ottawa, Ontario
K1A 0R6
(613) 993-4808

CISTI

The Canada Institute for Scientific and Technical Information (CISTI) is Canada's focal point for the storage and retrieval of scientific and technical knowledge. Additional information about CISTI's many specialized services can be obtained from:

Canada Institute for Scientific and Technical Information
National Research Council
Building M-55
Montreal Road
Ottawa, Ontario
K1A 0S2
(613) 993-1600

Regional Contacts

Scientific and technical advice, assistance and information to the Canadian manufacturing industry and the small business community in particular are provided by the Technology Network of the Industrial Research Assistance Program (IRAP). Located across Canada and staffed by scientists and engineers, IRAP offices have access to all the resources, services and expert advice of the NRC, other government laboratories and a network of

specialized centres. Information on locations, names and telephone numbers of regional contacts is available from:

National Research Council Canada
Building M-55, Room 269D
Montreal Road
Ottawa, Ontario
K1A 0R6
(613) 993-3431

Reading Room

The Canada Institute for Scientific and Technical Information (CISTI) and its branches have assigned public rooms under the Access to Information Act. The addresses of these rooms may be obtained by contacting the Institute at:

Building M-55, Montreal Road
Ottawa, Ontario
K1A 0S2
(613) 993-1600

Natural Resources Canada

Chapter 73

General Information

Background

Previously known as the Department of Energy, Mines & Resources and Forestry Canada, the Department of Natural Resources was created by the Department of Natural Resources Act on January 12th, 1995. Some branches of the Department have been in existence for more than a century.

On August 16th, 1995, the Department of Natural Resources was re-organized into four science-based sectors and one Corporate Services Sector. The mandate of the Department focuses on science and policy for the sustainable development and use of Canada's natural resources.

Responsibilities

Natural Resources Canada's objective focuses on the interests of Canada's natural resources industries such as: developing Canada's mineral, energy and forestry potential consistent with broader environmental and social objectives; promoting sustainable development of forests and coordination of national forest policy in close cooperation with the provinces and territories; conducting research, developing an appropriate regulatory framework and managing incentive programs; and, monitoring international developments and the domestic energy environment to ensure secure energy sources for Canadians.

Legislation

- Access to Information Act
- Alberta British Columbia Boundary Act
- Arctic Waters Pollution Prevention Act
- Atlantic Accord Implementation Act
- Atomic Energy Control Act
- British Columbia Act
- Canada Business Corporation Act
- Canada Explosives Act
- Canada Lands Surveys Act
- Canada Oil and Gas Operations Act
- Canada Petroleum Resources Act
- Canada-Newfoundland Atlantic Accord Implementation Act
- Canada-Nova Scotia Offshore Petroleum Resources Accord Implementation Act
- Canadian Exploration and Development Incentive Program Act
- Canadian Exploration Incentive Program Act
- Canadian Home Insulation Program Act
- Canadian Income Tax Act — section 248
- Canadian Ownership and Control Determination Act
- Cooperative Energy Act
- Department of Energy, Mines and Resources Act
- Department of Natural Resources Act
- Electoral Boundaries Readjustment Act
- Emergencies Act, 1988
- Emergency Preparedness Act, 1988
- Energy Administration Act
- Energy Efficiency Act
- Energy Monitoring Act
- Energy Supplies Emergency Act
- Explosives Act
- Export and Import Permits Act
- Financial Administration Act
- Forestry Act
- Government Organization Act
- Hibernia Development Project Act
- Home Insulation (N.S. and P.E.I.) Program Act
- Human Rights Act
- International Boundary Commission Act
- International Boundary Demarcation Treaty
- Manitoba Act
- Motor Vehicle Fuel Consumption Standards Act
- National Energy Board Act
- National Film Act, 1939
- Northern Pipeline Act
- Nuclear Liability Act
- Official Languages Act
- Oil Substitution and Conservation Act
- Petroleum Incentives Program Act
- Prince Edward Island Act
- Privacy Act
- Provincial Boundaries Acts
- Public Lands Grants Act
- Public Service Employment Act
- Public Service Reform Act
- Public Service Staff Relations Act
- Representation Commissioner Act
- Resources and Technical Surveys Act
- Transport of Dangerous Goods Act

- Treaty of Washington
- Union of Newfoundland Act
- Yukon Placer Mining Act
- Yukon Quartz Mining Act

Organization

The following description does not reflect the departmental structure announced on August 16, 1995.

The Department of Natural Resources Canada is organized into seven sectors and three branches: Canadian Forest Services Sector; Energy Sector; Geological Survey of Canada; Geomatics Canada; Mining Sector; Mineral and Energy Technology Sector and the Corporate Sector; Executive Coordination Branch; Communications Branch; and Audit and Evaluation Branch. There is also a crown corporation and several boards, commission, committees and councils which report to Parliament through the Minister of Natural Resources Canada.

♦ Audit and Evaluation Branch

The Audit and Evaluation Branch is responsible for the systematic review and assessment of the economy, efficiency, and effectiveness of the departmental programs and their operations.

♦ Canadian Forest Service (CFS) Sector

The mission of the Canadian Forest Service (CFS) is: "To promote the sustainable development of Canada's forests and competitiveness of the Canadian forest sector for the well-being of present and future generations of Canadians." In an effort to increase understanding of the complexity of forest ecosystems, the CFS evaluates approaches to forest management, monitors forest health conditions and conducts research on issues of national and international significance such as biodiversity, the impact of atmospheric change on forests and climate change. Equipped with the scientific and technical expertise, the CFS plays a strong policy role in addressing national and international issues affecting the sustainable development of Canada's forests. To this end, the CFS brings together various stakeholders to develop common strategies and arrive at national consensus on forestry issues. The CFS also establishes links with other federal departments, provinces, industry and non-governmental organizations to better address issues such as international trade, market access and sustainable management of forests world-wide. It delivers its many services through a network of five national forest research centres and its Headquarters in the National Capital Region.

♦ Communications Branch

The Branch is responsible for monitoring public and media reaction to departmental initiatives and for providing a full range of communications services to the Minister, Deputy Minister and senior management. It also provides advice and helps different Sectors in the

Department to plan and implement strategies for keeping the public informed.

♦ Corporate Services Sector

This Sector is responsible for providing financial, administrative, informatics, information management and support services to departmental operations so as to ensure accountability and control. Other responsibilities include: the development, implementation and provision of a full range of personnel policies, programs and services; providing information on environmental and socio-economic issues and analysis and advice at the strategic level on environmentally related concerns.

♦ Earth Sciences Sector

The Earth Sciences Sector rises from the recent reorganization of Natural Resources Canada and combines the former sectors of Geomatics Canada and the Geological Survey of Canada, along with the Polar Continental Shelf Project into a single administrative unit. GC, GSC and PCSP have retained their identity under the Earth Sciences umbrella.

Geological Survey of Canada:

The Geological Survey of Canada (GSC) is now part of the Earth Science Sector and fulfills a requirement of the Resources and Technical Surveys Act of 1949 and 1994 that the Minister "make a full and scientific examination of the geological structure and mineralogy of Canada". GSC is responsible to provide Canada with a comprehensive geoscience knowledge base contributing to economic development, public safety and environmental protection by acquiring, interpreting and disseminating geoscience information concerning Canada's landmass, including offshore. Aspects include mapping the regional geologic and tectonic framework of Canada's landmass and offshore; developing an understanding of the nature, quantity, distribution and formation of Canada's mineral and energy resources; developing an understanding of the contemporary geological processes affecting Canadian society; maintaining a National Geoscience Data-base (including appropriate archives and reference collections), and ensuring that all information is available as maps, reports or computerized databases in a timely fashion. These programmes are national in scope and carried out in every province and territory and in the offshore 200 mile Economic Zone, from each of the GSC's sites. Projects range from basic scientific research to the development of new methodologies and technologies for transfer to the private sector.

Geomatics Canada:

Geomatics Canada is now part of the Earth Sciences Sector and represents the principal presence of the government in matters relating to surveying, mapping and remote sensing. Geomatics Canada provides a reliable system of surveys, maps, remotely sensed data and geographically referenced information describing the Canadian Landmass, for use by our clients for the

formulation of public policy, and for developing the infrastructure to support internationally competitive Canadian enterprises through the acquisition and dissemination of topographical and geographical information and remotely sensed data, the development and transfer of associated technologies to clients, and the provision of support for related international commercial activities.

♦ Energy Sector

The objective of the Energy Sector is to foster the sustainable development and safe and efficient use of Canada's energy resources with due regard to their economic, regional, international and environmental implications; to develop policies, strategies, and technologies; and to provide technical knowledge and advice to the energy industry and government as a basis for formulating policies and implementing regulations and enhancing job and wealth creation.

♦ Minerals and Metals Sector (MMS)

The Mineral and Metals Sector promotes the sustainable development and use of Canada's mineral and metal resources. The Sector develops policies and technologies and provides advice and expertise to industry and governments on issues affecting the industry. The Sector also administers the federal mandate for the Cape Breton Development Corporation.

♦ Strategic Planning and Coordination Branch SPCB

(Formerly the Executive Coordination Branch, Corporate Policy and Executive Services): Information relating to the SPCB which provides executive services in the area of Cabinet and Parliamentary relations and managing executive correspondence.

Information Holdings

Program Records

♦ Audit and Evaluation Branch

Audit and Evaluation

Description: Information relating to the assessment of all NRCan management systems and practices in order to analyze their effectiveness, use of protection of resources, etc., also includes the review and evaluation of all NRCan programs to assess their relevance and objectives.

Topics: Internal audit and program evaluation; internal audit methodology; auditor general audits; Treasury Board; Comptroller General; cooperative audits; project audits; departmental program evaluation. **Program**

Record Number: NRCan AEB 640

♦ Canadian Forest Service (CFS) Sector

CFS National Forestry Centres/Networks

Description: The CFS delivers much of its research and communicates the result through a system of 10 networks, involving five national research centres across Canada and within two key theme areas: understanding forest ecosystems and advancing sustainable development. This network system allows the CFS to address national and international issues while delivering programs through regionally-based research centres. The networks are better suited to create partnerships and alliances between the CFS and outside agencies, to undertake specific projects and to identify and address strategic national issues. The Research Centres are located in: Victoria, British Columbia; Edmonton Alberta; Sault Ste. Marie, Ontario; Québec, Québec; and Fredericton, New Brunswick. Each Centre is also interconnected to other parts of the forestry sector such as universities, private research facilities, international institutes, and non-governmental organizations. **Topics:** Each of the five centres has been designated as the "lead centre" for scientific leadership in the following 10 key priority areas within the CFS S&T program: Victoria — Effects of Forest Practices, and Landscape Management. Edmonton — Fire Management, Climate Change, and Socio-economic Research. Sault Ste. Marie — Pest Management Methods and Forest Ecosystem Processes. Québec — Forest Ecosystem Processes, Tree Biotechnology and Advanced Genetics. Fredericton — Forest Biodiversity and Forest Health. **Program Record Number:** NRCan CFS 004

Industry, Economics and Programs Branch (IEPB)

Description: Working with other federal departments and forest sector stakeholders, this Branch helps the CFS address key issues on trade, industrial policy and market access, and facilitates the continuing sustainable development of the forest industry. The Industry, Economics and Programs Branch is responsible for industrial liaison and relations and programs related to the forest industry and trade. This Branch provides direction to the CFS's five forestry centres and the other two Branches on matters regarding industry, trade and economics. The IEPB also gives forest economic and statistical advice and services, including support to projects such as the development of Criteria and Indicators, the State of the Forest Report, the National Forestry Database and other federal forestry mandated programs. **Topics:** Industry, economics and programs; FSAC; Forintek; National Association Liaison; Non-Tariff/Tariff Trade Issues PWN; Market Access (Building codes, eco-labeling); Regulatory Issues (Effluents, preservatives); Softwood Lumber; Timber Certification; Economic Studies Program; Support to the State of the Forest Report — Economics and Statistics; Support to C&I — Indicator Development; General Economic Intelligence; Trade and Environment Technical Support; National Forestry Database Management; Model Forest Network; Tree Plan Canada;

Aboriginal Forestry Program; Federal Lands. **Program Record Number:** NRCan CFS 002

Policy, Planning and International Affairs Branch (PPIAB)

Description: The Policy, Planning and International Affairs Branch is responsible for forest policy development and coordination, operational and strategic planning and international relations. The PPIAB gives direction and assistance to the CFS's five forestry centres and the other two Branches on the development and implementation of policy for all forestry activities in science, environmental and international affairs. This Branch also serves as liaison with the Strategic Planning and Coordination Branch, other Sectors of Natural Resources Canada and federal departments. Working closely with Foreign Affairs and International Trade Canada, the provincial and territorial governments and other forestry stakeholders, this branch helps the CFS continue its leadership role in international forestry initiatives. The PPIAB is responsible for the management of federal-provincial relations in the Canadian forest sector including initiatives involving the Canadian Council of Forest Ministers (CCFM). The PPIAB also fosters regional development and relations with Environmental Non-governmental organizations (ENGOs). **Topics:** Policy, planning and international affairs; Sustainable Development Strategy; CCFM (Canadian Council of Forest Ministers) Activities; CCFM Partnership Programs; State of the Forest Report; National Forest Strategy Coordination; Criteria & Indicators (C&I lead) — National and International; Secretariat to the Montreal Press; Environmental Affairs; Regional Development; Expenditures Management Process; Strategic Planning; Operational Planning; UNCSD (United Nations Commission on Sustainable Development) International Forestry; Convention on the International Trade of Endangered Species (CITES); Bilateral Agreements — Coordination; FAO Relations; Support to North American Forestry Commission; International Timber and Trade Organization. **Program Record Number:** NRCan CFS 001

Science Branch

Description: With the help of this Branch, the CFS forges productive partnerships with the science and technology community, resource management agencies, and stakeholder groups in order to meet common national and international objectives. The Science Branch not only provides information and functional direction on CFS Science and Technology (S&T) programs and issues, but it also ensures, in cooperation with the CFS's five national centres, the implementation of the new S&T strategic directions within the framework of the CFS Strategic plan. The CFS's S&T program promotes sustainable forest management and a competitive Canadian forest sector through the development and implementation of knowledge databases and technology. The Science Branch is also responsible for distributing information on the CFS S&T program and for the publication and distribution of national scientific documentation. As well, this Branch strives to identify business and marketing

opportunities for the commercialization and transfer of forest technologies. **Topics:** S&T Coordination; FERIC; S&T Opportunities and Merit Awards; Commonwealth Institute of Biological Control; Plant Health and Quarantine; Coordination of CFS Involvement in Federal S&T Issues; Program Evaluation; International Union of Forest Research Organization; National S&T Advisory Board; Experimental Forests; S&T Management Framework; Research Scientist Promotions; S&T Publishing and Editing; S&T Agreements/MOU; CFS/NSERC Partnership Program; Graduate Student Supplements; ENFOR; Intellectual Properties; Commercialization; Technology Transfer; International Energy Agency; S&T Marketing. **Program Record Number:** NRCan CFS 003

♦ Communications Branch

Communications

Description: Information relating to the provision of information to be communicated to the public on federal policies, strategies and programs affecting energy, forestry, minerals, earth sciences and selected research and technology endeavours. **Topics:** Communications' automated systems and electronic equipment; associations, conferences and committees; contracts; evaluation studies; marketing; client services; publishing; publications design contracts; press clippings and releases; publications; employee newsletter, The Source; advertising; exhibitions and displays. **Program Record Number:** NRCan CB 620

♦ Corporate Services Sector

Assets Management and Administrative Services

Description: Information relating to the provision of general administrative services which reflect central and departmental guidelines. Aspects include management of departmental Real Property (lands, buildings and sites leased or owned by the Department), signage, as well as the Office of Environmental Affairs. **Topics:** Assets management and administrative services; assets planning; workplans and reports; mail and messenger services; parking; crown-owned living accommodation; master accommodation plans; long term capital plan; Accommodation information management systems; facilities operations; liaison with Public Works; service agreements; buildings and properties; conservation and health issues; emergency and safety procedures in buildings; tenant services; security — incidents, physical, personnel and clearances; vehicles; motor vehicle accidents; vehicle (fleet) management; audio-visual and graphic services; environment; environmental sciences; mandatory and voluntary environmental requirements; environmental compliance, auditing, evaluation and inspection; environmental strategies, management, protection, assessment process; strategic environmental assessment; cumulative impacts; environmental stewardship; greening of departmental operations; action plans; priority substances; environmental risk assessment and management; environmental toxicology; strategic options process; contaminated sites; remediation of

contamination; toxic chemicals; accelerated reduction/elimination of toxins; waste management; composting; recycling; ozone depleting substances (ODSs); polychlorinated biphenyls (PCBs); heavy metals; polycyclic aromatic hydrocarbons (PAHs); dioxins and furans; persistent organic pollutants (POPs); storage tanks; green procurement; environmental performance monitoring and reporting; environmental assessment public registry; natural resources and the environment; nuclear energy issues; water and wastewater; air issues; long range transport; acid rain; ocean dumping; land-use management; state of environment reporting; analytical chemistry; pollution prevention; ecosystem approach; due diligence; expert advice; national pollutants release inventory; national guideline, objectives and standards; occupational health and safety requirements; fire protection and prevention; dangerous substances management; investigations; associations and organizations; training; conferences; committees; and working groups. **Note:** Formerly Assets Management and Administrative Services, Environmental Affairs, Facilities Management and Security, and Strategic Planning, Policy and Systems, NRCan CSS 730, 750, 780, & 790 **Program Record Number:** NRCan CSS 003

Corporate Services Sector

Description: Information relating to the provision of management direction, policy, advice and comprehensive management support functions, systems and services to the Department. **Topics:** NRCan administration; legislation; regulatory reform legislation; associations and organizations; departmental authorities; campaigns and canvassing; ceremonies and celebrations; committees, task forces, working groups; corporations; conferences, meetings and seminars; consultants; inventions, patents and copyrights; legal documents and matters; circulars and directives; reports; domestic visits. **Note:** Formerly Natural Resources Canada Administration, NRCan CSS 700 **Program Record Number:** NRCan CSS 001

Financial Management

Description: Information relating to the provision of a decentralized financial management service to organizations throughout the Department, including the preparation of departmental operational plans and the distribution by allotment of appropriate funds from Treasury Board. Aspects include the procurement, leasing and inventory control of goods and services for organizations throughout the Department. **Topics:** Financial management; operational plans; financial analysis and planning; Mineral Development Agreements; reference levels; reductions and restraints; accounting and policy; accounts payable and receivable; advances; allotments; resource management; chequés and chequing; claims; financial commitment control; expenditures; cost recovery; estimates and budgets; fees; authorities; encumbrances; professional services contracts; specified purpose accounts; Treasury Board submissions; resource tracking system; hospitality; investments; loans; multi-year operational plans; public accounts; pay verification;

financial reporting and information systems; financial reports; statistics and statements; revenue control; contracts; grants and contributions; awards; suppliers; taxes; travel allowances, expenses, and relocation; government financial system; ocean drilling program; passports and visas; goods and services; accounting and inventories; procurement of materiel and services; manuals and price lists; disposal and loan of equipment; sources of supply and services. **Note:** Formerly NRCan CSS 720 & 740 **Program Record Number:** NRCan CSS 004

Human Resource Services

Description: Information relating to the development, implementation and provision of a full range of personnel policies, programs and services to foster efficient human resources management in NRCan. Activities include the provision of complete and comprehensive services in the area of operations, program development, staff relations, human resources information management and management services. **Topics:** Staff relations and Compensation; human resources information management; career consulting services; classification and staffing; executive resourcing; organizational development; employment equity; human resources research and projects; training and development; official languages and human resources excellence; workforce adjustment; compensation and benefits and human resource operations units. **Program Record Number:** NRCan CSS 765

Information Management Branch

Description: Information relating to the development and implementation of sound information management (IM) practices in NRCan; promoting and providing information technology (IT) and central computing and support services; designing, developing and maintaining common administrative applications; developing and managing inter-sector and external data communication; providing IT telecommunications infrastructure for participating sectors; managing information holdings and supporting records offices; administering the Access to Information & Privacy Acts within the Department; providing voice telecommunications and Headquarters Library Services. **Topics:** Information management; Access to Information and Privacy Acts; inventory of electronic data; departmental central index; essential records program; information systems design, implementation and maintenance; service agreements; information evaluation and scheduling — authorities, accessions and transfers, disposal, storage facilities; historical records; work plans and reports; electronic information management systems; training; library services; information technology; contracts; local purchase orders; capital purchases; associations and organizations; committees; conferences; policy planning and coordination; information management plans; information technology systems plans; NRCan and Treasury Board information technology standards; computing study; central computing; computing facilities services and projects; computer hardware, software and operations; laser printing; micro-pool; data

communications lines; telecommunications; telephones; information resource centre; corporate systems; consulting services; communications services; directories.

Note: Formerly Information Management and Information Technology, NRCan CSS 710 & 770 **Program Record Number:** NRCan CSS 002

◆ Earth Sciences Sector

Business Development

Description: Information relating to the Business Development corporate group whose principal functions include the provision of a consistent “business” framework for ESS, as well as providing assistance in the advancement of business opportunities for Canada’s geoscience and geomatics industries. In general terms, the group’s main responsibilities include the development, implementation and monitoring of business plans, policies, guidelines and procedures; the coordination of domestic and international business relations; and the coordination and provision of advice to line divisions on business related issues. The group also provides leadership in the management of the Sector’s Revolving Fund, as well as promotion of international business opportunities for ESS and its industry clients. **Topics:** Business development; reports; associations and organizations; liaison with companies, other departments, provinces and countries; committees; conferences; marketing; license agreements and patents; copyrights information. **Program Record Number:** NRCan ESS 005

Canada Centre for Remote Sensing

Description: The Canada Centre for Remote Sensing (CCRS) uses and develops state-of-the-art operational systems to collect, produce, correct and analyze data from Earth-observation satellites and other sources. CCRS cooperates with resource management agencies and value-added industry to establish and demonstrate improved remote sensing information extraction techniques. Also includes information on the development and maintenance of national geographic information systems (GIS); national geographic information databases; and research to improve GIS technology and information used to promote and coordinate the development of a Canadian GIS industry. **Topics:** Reports; Committees; conferences; Canadian Partnerships and Liaison — Industry, associations and organizations (Canadian Space Agency (CSA), Radarsat International Inc., Canadian Geomatics Industry, Geomatics Industry Association of Canada (GIAC)), and other government departments; International Earth Observation Partnerships & Liaison, including but not limited to: European Space Agency (ERS Radar Satellites), NASDA (Japan — JERS Radar Satellite), SpotImage (France — SPOT Satellite), National Aeronautics and Space Administration’s NASA/National Oceanic and Atmospheric Administration’s NOAA (US — Landsat & Meteorological Satellites), other international Remote sensing centres; International Technology Transfer Partners and Liaison, including but not limited to: Canadian International Development Agency CIDA,

International Development Research Centre IDRC, Foreign Affairs and International Trade Canada FAITC, World Bank, United Nations, NATO, Interamerican Development Bank, Asia Development Bank, Commonwealth Science Council, PAIG, SELPER; National Remote Sensing support programs; Scientific and industrial liaison; economic and concept design studies; mission requirements, technology development, and dissemination of information (WWW & Multimedia); algorithm and methodology development, image processing and data integration research; client services and communications; licensing and intellectual property; revenue generation activities; applications research, development, demonstration, training and technology transfer on effective use of RADAR in fields including agriculture, forestry, geology, cartography & mapping, hydrology, ice & oceans, coastal zone management, international development assistance; image mapping, environmental monitoring, global change, local environment research, land information management, data fusion, multimedia applications development, physics research; EO ground Receiving station operations; EO data reception and processing, SAR digital processor development; user systems development; EO data archive and distribution development and maintenance; spectroscopy; radiometry; sensor development; sensor calibration and validation; internal informatics and computer/network services and support; GIS development; geo-data access systems development; implementation and management; geo-information dissemination; data interchange formats; geodata marketing and needs assessment; Industrial Research Assistance Program (IRAP); program impact assessment; Departmental policy and planning liaison; market assessment. **Note:** Formerly NRCan GC 418, 470, 475, 480, 485, 490, 495, 500 and 505 **Program Record Number:** NRCan ESS 001

Geodetic Survey

Description: Information relating to overall management of a national geodetic survey network which is fundamental to all forms of surveying. They are responsible for providing and maintaining spatial reference systems, standards and national networks of control points for Canada in support of geomatics applications. Geodetic Survey ensures the availability of spatial referencing information, expertise and services that are responsive to client needs. Aspects include research and development of standards, measurements of latitude, elevation and geometry of the earth’s surface and its physical anomalies.. **Topics:** Geodetic Surveys; operations; unsolicited proposals; status reports; grants and contributions; associations and organizations; liaison with companies, departments, provinces and countries; committees; conferences; memorandums of understanding; Canada-Nfld land surveying and mapping agreement; territorial land use regulations; baselines; geodetic data management; geodetic data analysis and adjustment; geodetic data services; North American Datum and Adjustment (NAD83); North American Vertical Datum and Adjustment (NAVD88); NAVD88 symposia; US national geodetic survey involvement in NAVD88; geodetic field

surveys; surveying equipment and instruments; Inertial Survey System (ISS); levelling systems; global positioning system; positional control; primary horizontal control; primary vertical control; satellite Doppler; supplementary control; very long baseline interferometry; geodetic systems development; contracting-out; source list for control survey contractors; geodetic projects; internal research projects; gravity; gravity — surveys and projects, survey systems development and instrumentation, standards, data base, maps, international liaison & projects, mathematical methods applications, and crustal evolution applications. **Note:** Formerly NRCan GC410 and GSC 360 **Program Record Number:** NRCan ESS 003

Legal Surveys

Description: Information relating to the management and regulation of all surveys carried out in Canada Lands, as stipulated in the Canada Lands Surveys Act. Canada Lands include all land in the Territories that is not privately owned, Indian reserves, national parks and the offshore. Information relating to the maintenance and regulation of the boundaries between Canada and United States, including the positioning of boundary line points, settlement of disputes and jurisdictional questions, regulation of construction and removal of boundary obstructions.

Topics: Legal surveys, operations and meetings; status reports; monitoring results of plans of surveys; matrices reports; analysis of completed survey reports; projects; survey information requests; grants and contributions; associations and organizations; Surveys Records Information System; liaison with companies, other departments, provinces and countries; committees and conferences; modernization and standards; memorandum of understanding; Board of Examiners for Canada Lands Surveyors; contracts; Indian reserves surveys; Indian reserve survey — legal matters; native land claims; Indian Reserves name changes; national and historic parks and sites; bird sanctuaries and wildlife areas; rights of way for pipelines, roads, and transmission lines in the territories; territorial resources base mapping; territorial mining surveys; settlements site surveys; interprovincial and territorial boundary commissions and surveys; international boundary surveys; coordinated survey areas; oil and gas — grid areas; aerial photography surveys; survey information and procedures; offshore survey information and procedures; manual of instructions for the Survey of Canada Lands; intergovernmental and interdepartmental agreements; acts and regulations; description of electoral districts; North American Datum and Adjustment; Offshore oil and gas surveys; survey and mapping research and development; legal survey monument coordinate data base; surveys records depository; International Boundary Commission; International and offshore boundary issues; boundary regulation; International boundary field operations; geographical coordinates of boundary monuments; Indian Reserves Boundaries. **Note:** International Boundary Commission is now part of Legal Surveys Division. Formerly NRCan GC 405 and 425. **Program Record Number:** NRCan ESS 004

Mapping and Services Branch

Description: Information relating to the management of the program responsible for the formulation and maintenance of national standards for mapping and for the production of topographical, topomymic and aeronautical information in Canada. Information relating to mapping of the Canadian landmass in detail, showing relief elevation, lakes, rivers, roads, buildings, airports and canals, and including names of features and places.

Topics: Mapping services; grants and contributions; unsolicited proposals; status reports; associations and organizations; liaison with companies, other government departments, provinces, and countries; industry support; committees; conferences; partnerships; foreign projects; research and development; National Topographic Data Base (NTDB); Canadian Road Network (CRN); National Topographic Series of maps (NTS); arctic and northern program; maps and mapping; remote sensing; topographical mapping; contract mapping; flood risk contract mapping; digital topographical mapping; data conversion; data structuring; data revision; geographical information systems; new mapping; nomenclature and toponymy; quality control; quality assurance; revision mapping; map derivation; standards and specifications; survey control; aerial photography; aerial survey data base; data and map distribution; distributors; user support; inquiries; aeronautical charting; flight information; instrument flight rules products (IFR); Canada flight supplement; water aerodrome supplement; areas, regions and zones; visual flight rules (VFR) product; charts; Canadian Aeronautical Charts System (CANACS); Canadian Geographical Names Data Base (CGNDB); Canadian Permanent Committee on Geographical Names (CPCGN); technical services; imaging; reprographic material; pre-press printing. **Note:** Formerly Canada Centre for Mapping and PR Number NRCan CG 408, 415, 420 & 430 **Program Record Number:** NRCan ESS 02

Minerals and Regional Geoscience

Description: Information relating to the management and operations of the Minerals and Regional Geoscience Branch activities whose responsibilities are the mapping and interpreting the geology of the Canadian Shield and Cordilleran regions; documenting and interpreting the deep geology of Canada's continental crust; operating national observatories in seismology, geodynamics and geomagnetism; conducting national mapping programs of the earth's magnetic fields; setting standards for and undertaking contracted aeromagnetic surveys; providing expert knowledge and information on natural hazards such as earthquakes and magnetic storms; providing expertise on the nature and origin of mineral deposits of Canada, including the development of innovative exploration guidelines and techniques; providing essential information on mineral deposits to land-use planning and policy formulation; setting national standards for, and undertaking, contracted regional geochemical surveys and airborne radiometric surveys. **Topics:** Committees; conferences; liaison; associations and organizations; field

work; research and development, projects; Mineral Development Agreements; Unsolicited Proposals; Boundary Disputes; Juan de Fuca geoscience studies; library, publications; seismology; Canadian Seismograph Networks, Eastern Canadian Telemetered Network, Western Canadian Telemetered Network, strong motion seismograph network, Yellowknife geophysical observatory; seismological systems development and instrumentation, data centre, Canadian Seismograph Network — Seismograms; earthquake studies and seismic hazards; seismological earth structure studies; geoscience of nuclear explosions; geomagnetism, Canadian Magnetic Observatory Network, geomagnetic systems development and instrumentation, earth structure studies; palaeomagnetism; geodynamics, Geophysical Data Centre, Canadian Crustal Motion Network, deep earth processes and global change, regional crustal dynamics; aeromagnetism, survey contracts, standards, maps, applications; continental geoscience; geological maps; geochronology; petrology; paleontology; exploration geophysics; radiation geophysics; borehole geophysics; Skyvan aircraft; geochemistry; National Geochemical Reconnaissance Surveys; information systems; mineral deposits geology; International Strategic Minerals Inventory; mineralogy and analytical chemistry; national collections; information services; resource assessments; information bank; metallogenic studies. **Note:** Formerly NRCan GSC 355, 360, 370 and 390 **Program Record Number:** NRCan ESS 009

Office of the Chief Geoscientist

Description: The Office of the Chief Geoscientist is responsible for coordinating and reporting on the science programs of the sector, including priority setting and long term planning, identifying areas of synergy among program components, preparing sector wide science plans, and facilitating new program initiatives; liaising on scientific matters with client, partner and stakeholder groups, both internal and external to NRCan, with other federal and provincial government departments, universities, industry, and international geoscience programs and agencies; providing science advice to the Assistant Deputy Minister. **Topics:** Liaison, domestic and international; new technology and international programs; research and development, projects; Industrial Research Agreements Program; Panel on Energy Research and Development; Unsolicited Proposals; Foreign Aid Projects; Canadian International Development Agency (CIDA) Geoscience Aid Projects. **Note:** Formerly NRCan GSC 350 and GC 400 **Program Record Number:** NRCan ESS 006

Polar Continental Shelf Project (PCSP)

Description: Information relating to the management and overall functions of the Polar Continental Shelf Project. It includes provision of coordinated logistic support to public and private sector groups, provision of information on scientific operations to the scientific community and local inhabitants. **Topics:** Polar Continental Shelf Project; Arctic sciences; native land claims; committees;

conferences; PCSP logistical support, base operations, field activities, land use permits and research licences, radio and navigational systems, goods and services, aircraft, fuel, and vehicles. **Note:** Formerly NRCan GSC 435 **Program Record Number:** NRCan ESS 010

Policy, Planning and Information Services

Description: Information relating to the management and operations of the Policy, Planning and Information Services Branch activities whose mandate is to provide leadership and a central focus for the administrative, information, policy and planning functions for the Sector; publishing and distributing the scientific output of the GSC; coordinating internal and external communications; providing library and information services and managing the information technology infrastructure; delivering administrative services for the National Capital Region; accountable with other groups for Sector planning processes, providing policy analysis and development and support to the Assistant Deputy Minister in carrying out the Sector's mandate. **Topics:** Committees; conferences; federal/provincial relations; strategic planning; branch management; geoscience information; library and services, exchange agreements, liaison, communications technical services; National Geoscan; exhibits; advertising. **Note:** Formerly NRCan GSC 350, 365 and GC 400 **Program Record Number:** NRCan ESS 007

Sedimentary and Marine Geoscience

Description: Information relating to the management and operations of the Sedimentary and Marine Geoscience Branch activities whose responsibilities are the mapping and interpreting the Appalachian regions; providing expertise in near-surface geology, in natural terrain hazards, geomorphology and glaciology, with special emphasis on public safety and the environment; providing expert knowledge on the distribution, geotechnical properties, and economic use of the unconsolidated materials at the Earth's surface; undertaking coastal and offshore geoscientific surveys; providing assessments of the hazards and environmental quality of Canada's extensive coastal and offshore regions; providing expert geological, geochemical and geophysical information on the coastal zone, seabed, onshore and offshore sedimentary basins and crustal processes; providing the geoscience knowledge base from which to make assessments of oil, gas and coal resources throughout Canada, both onshore and offshore; providing the principal focus for research and collections in paleontology and palynology. **Topics:** Committees; conferences; liaison; associations and organizations; field work; surveys; research and development; Program for Industry Laboratory Projects; Panel on Energy Research and Development; Unsolicited Proposals; Boundary Disputes; Frontier Geoscience Program; research projects; ocean mining and research; oil and gas resources and exploration; basin analysis; environmental marine geology; terrain sciences, environmental studies; publishing and editing; Nuclear Fuel Waste Management Program; terrain dynamics, permafrost research, transportation and

hydrocarbon development; geothermics; geothermal energy; quaternary environments, paleoecology and radiocarbon laboratories; sedimentology research; quaternary geology; research grant agreements; federal/provincial agreements, mineral development agreements; coal geology; paleontology, calibration testing and facilities; petroleum geology, geochemistry, resources, Petroleum Resources Appraisal Secretariat; regional geology, geochemistry, resources, arctic islands, mainland. **Note:** Formerly NRCan GSC 380, 385 and 395
Program Record Number: NRCan ESS 008

Geological Survey of Canada:

Mineral Resources

Description: Information relating to the management and operations of Mineral Resources activities which are to provide scientific and technical support services for Geological Survey of Canada and to maintain a national information base of Canada's non-hydrocarbon mineral resources and deposits. **Topics:** Mineral resources, publishing and editing; exploration geophysics, instrumentation research and development, calibration testing and facilities, special projects, skyvan aircraft equipment and use; radiation and borehole geophysics; exploration geochemistry; national geochemical reconnaissance surveys; mathematical application in geology; mineral resources information systems; mineral deposits geology; international strategic minerals inventory; mineralogy and analytical chemistry; national collections; rock and mineral information services; regional mineral resources assessments; uranium resources evaluation and information bank; uranium reconnaissance program; regional metallogenic studies; mineral resources special projects. **Program Record Number:** NRCan GSC 355

♦ Energy Sector

Conservation and Non-Petroleum (Closed)

Description: Information relating to the overall management of the energy conservation and non-petroleum sources activities to ensure the development of adequate, and secure sources of non-petroleum energy to meet Canada's energy requirements and to develop and maintain policies and programs for the efficient use and conservation of energy. **Topics:** (CN series) conservation and non-petroleum; energy issues; energy research and development; non-petroleum energy sources; Uranium Canada Limited; 5 year modernization program; Remote Community Demonstration Program; Accelerated Demonstration of Solar Domestic Water Heater Program phase 1 and 2; Purchase and Use of Solar Heating Program; Solar Domestic Hot Water Program phase 3, 4, 5 and 6; Alternative Energy Enderdemo Program; International symposium on alcohol fuels; ridesharing; Federal Vanpool Program. **Program Record Number:** NRCan ES 245

Conservation and Renewable Energy (Closed)

Description: Information relating to the planning and management of activities in support of the promotion of energy conservation and the development of renewable energy resources in order to meet Canada's energy requirements and other economic, social, regional and environmental objectives. **Topics:** (CE series) Conservation and renewable energy; memorandum of understanding; energy research and development, panel, task one — taxation; transportation energy; regional operation, energy, promotional activities; national conservation and alternative energy initiatives; economics of energy conservation in Canada; program sector strategic planning; program review and evaluation; urban systems analysis; energy activities; residential sector energy conservation; contracts; transportation fuels; outreach programs; home energy; home energy conservation codes and standards; energy conservation, industrial sector, residential sector; indoor air quality; solar energy; home energy technology and industry, building issues and training and seminars; new housing; R-2000 Home Program; residential technology and industry development contracts; medium and high-rise apartments; industrial energy; task forces, commercial sector energy conservation, agricultural sector; industrial energy data base and analysis; industrial task forces council; industrial energy techniques, equipment and technologies; waste management and resource recovery; energy issues affecting federal energy management; energy conservation — codes and standards; energy pricing and taxation; renewable energy; energy conservation technology; task four of the Panel on Energy Research and Development; Solar Energy Development Program; small and low head hydro technology research and development project; geothermal technology research and development project; active solar technology research development and demonstration project; passive solar technology research development and demonstration project; photovoltaic technology research and development project; wind technology research and development project; Solar Domestic Hot Water Demonstration Program, phase seven, eight; Bioenergy Development Program; PEI Alternative Energy Development Program; Canada/ Nova Scotia Energy Conservation Subsidiary Agreement; Remote Community Demonstration Program; (CR series) Canertech; technology transfer and demonstration; commercial sector energy conservation; energy issues; energy conservation issues; energy research and development; liaison on technology transfer and demonstration; solar energy; residential sector energy conservation; arctic and northern housing; new housing; socio-economics impact of energy conservation; transportation and urban sector energy conservation; Energy Management and Technology Transfer Program; federal energy programs; Atlantic Energy Conservation Investment Program; Biomass Energy Loan Guarantee Program; Canadian Home Insulation Program; consumer education programs; Federal Energy Management Program; Internal Energy Conservation Program; surveys workshops information and monitoring; Industrial

Conversion Assistance Program. **Program Record Number:** NRCan ES 310

Energy Efficiency

Description: Information relating to the advice, development and delivery of programs concerning the efficient use of energy in Canada; and the adoption of economic and environmentally attractive options for use of more efficient energy using technologies and alternative fuels. **Topics:** Efficiency and alternative energy — policy development and analysis, initiatives, models and data base, marketing and communications activities; energy efficiency and diversity initiatives; energy efficiency and the environment; appliance energy efficiency; energy conservation standards; energy efficiency training; industrial/commercial energy efficiency; environment; federal buildings initiatives; national energy retrofit program; R-2000 Home Program; builder education program and training; Canadian Home Builders Association international activities; marketing and information; Canadian Home Builders Association contract management; alternative energy; biomass energy; bioenergy development program; transportation energy; transportation energy — database; alcohol transportation fuels; Methanol Demonstration Program; gaseous transportation fuels; propane transportation fuels; compressed natural gas (CNG) transportation fuels; CNG Vehicle Grant Program; CNG Fuelling Station Program; liquefied natural gas transportation fuels; hydrogen transportation fuels; PERD Task Five — New Liquid Fuels; liquid hydrocarbon fuel; liquid synthetic transportation fuels; transportation systems; Driver Outreach Program; vehicles; aircraft; marine vehicles; railways; road vehicles; emission standards; road vehicle engine systems; fuel consumption standards program; trucks. **Program Record Number:** NRCan ES 200

Energy Policy

Description: Information relating to energy policy, which encompasses the coordination of the review and analysis of policy objectives and instruments, and the analysis, development and coordination of new energy policy recommendations and the analysis of those recommendations with particular reference to revenue sharing, fiscal policy, project economics, industry investment and rates of return including provincial fiscal regimes and energy corporations. Includes workplans and internal meetings. **Topics:** Policies — Federal Economic, Energy Trade, Federal Energy, Public views; strategic planning; committees, regulatory boards and agencies, briefings; relations; federal government priorities; energy research and development; energy security; industry assistance programs; energy and the environment; Canadianization; Cooperative Energy Corporation; Cooperative Energy Development Corporation; crown corporations; issues and projects relating to petroleum, oil, natural gas, electricity, uranium, nuclear and coal; international energy relations; domestic organization and agencies; Petro-Canada International Assistance Corporation; international conferences; Canadian

committees of the world energy conference; energy relations — by countries; liaison on Canadian energy commodities, policies, budgets and fiscal policy; international energy commodities comparisons; international organizations; visits and protocol; Economic and financial analysis; consultants and contracts; reports; financial data in support of the Energy Sector; analysis techniques; energy industry analysis; energy revenues forecast; financial, fiscal and supply analysis models; fiscal analysis; energy market analysis; Federal/Provincial agreements; energy supply and project analysis; petroleum resources; pricing; reserves; resources and production; macroeconomic analysis; monitoring and information systems; energy statistics and publications; megaproject monitoring; International Energy Agency (IEA); supply and demand refinery feedstock survey; Petroleum Monitoring Agency (PMA); monitoring of the coal industry; petroleum industry; energy research and development survey; estimates and forecasts in petroleum industry; quarterly industrials corporations survey in petroleum industry; ownership and control in petroleum industry; survey questionnaires in petroleum industry; special studies drilling fund study; taxation study; (EP series) energy policy analysis; corporate development; energy issues; National Energy Program; non-petroleum energy sources; petroleum energy resources; energy conservation; Energy Security Act; energy policy planning; federal energy planning; effect of federal energy policies on northern development; Beaufort Sea petroleum planning; corporate development; crown corporations; energy envelope; Energy Deputies Committees; industrial benefits; alternative energy sources; coal; electricity; electricity exports and generation; nuclear — issues, export, heavy water industry, industry review and policy review; petroleum — industry downstream, downstream marketing, downstream refining and downstream upgrader; natural gas; natural gas exports; Petroleum Incentives Program; uranium; uranium exports. **Program Record Number:** NRCan ES 161

Energy Research and Development

Description: Information relating to the development of federal research and development policies to ensure that Canada has the necessary research resources to support national energy strategies. **Topics:** Office of Energy Research and Development (OERD); planning; information coordination; library services; publications; associations and organizations; national energy research, development and demonstration programs review; committees; conferences; Alberta — Canada Energy Resources Research Fund (ACERRF); federal-provincial demonstration agreements; Interdepartmental Panel on Energy Research and Development (PERD) — meetings — program evaluation — tasks and programs — proposals and funding. **Program Record Number:** NRCan ES 255

Energy Research and Development Tasks and Programs

Description: Information relating to the development and implementation of plans for research and development

activity in response to energy research policy and strategy, and to the coordination and administration of the interdepartmental research and development program. It includes the management and allocation of resources for projects that will help achieve and sustain domestic energy self sufficiency. **Topics:** Energy efficiency — Task 1; industrial energy research and development (IERD); advisory board meetings; energy efficiency — industry program; heat transfer fluid flow service; Development and Demonstration of Resources and Energy Conservation Technology (DIRECT); National Incinerator Testing and Evaluation Program (NITEP); industrial process electro-technology; agriculture and food; fisheries; energy efficiency — buildings — transportation — energy systems; coal — task 2; international coal liquefaction; coal technologies — coal environment; fusion — task 3; fusion international; magnetic confinement — tokamak; fusion materials/engineering — Canadian Fusion Fuels Technology Project (CFFTP); renewable energy and generic environment — task 4; hydraulics; active solar; passive solar; photovoltaic; bioenergy; wind; geothermal; generic environment; alternative transportation fuels — task 5; hydrocarbons enhancement; bitumen and oil recovery; fuel use; hydrogen and energy storage; alternative transportation fuels — environment; oil, gas and electricity — task 6; geoscientific research and development; marine engineering; offshore geotechnics; materials; transportation of oil and gas; environment; electrical research and development; coordination and contributions. **Program Record Number:** NRCan ES 256

Energy Resources

Description: Information relating to the development and efficient use of oil and natural gas liquids, the development and implementation of federal policies associated with the interprovincial and international trade of natural gas, and contingency plans for the control and regulation of emergency production, distribution and use of petroleum resources, the administration of oil and gas exploration and production activities on frontier lands, and the provision of analysis and advice regarding all aspects of electrical energy to effectively and efficiently implement policies relating to electrical energy, provide effective information services to the public and manage the federal government's contribution to the Canadian Electrical Association's research and development program.

Topics: Oil and gas; committees; associations, institutes and societies; legislation and regulations; petroleum energy issues; oil supply electronic data systems; emergency oil inventory; domestic oil export pricing, taxes and charges; oil imports; domestic oil in industry, pricing and supply and demand; domestic heavy crude oil supply and demand; domestic oil transportation; crude oil and petroleum products; supply surveillance reports; natural gas liquids; Propane Distribution System Expansion Program; international oil markets; international world energy market; petroleum utilization; petroleum products marketing; petrochemical industry processing; natural gas — Canadian exports, fiscal regime, industry information program and major projects; pipeline — projects,

Construction Cost Task Force, pipeline review project, marketing, pricing, supply and demand; provincial activities; Natural Gas Market Development Incentive Program, Natural Gas Laterals Program; Natural Gas Transportation Assistance Program; energy emergency planning; Energy Supplies Allocation Board (ESAB); IEA allocation systems test; allocation program; rationing program; National Emergency Agency for Energy (NEAE); North Atlantic Treaty Organization (NATO); energy demand restraint measures, interprovincial advisory committee on energy, liaison; crude oil and petroleum products allocation planning; ESAB allocation system test; replacement of fuels; rationing program. (PT series) Natural Gas Distribution System Expansion Program; Gas Marketing Assistance Program; Oil Pricing and Compensation Program; petroleum compensation; pipeline companies; Restricted Trade Practices Commission; domestic transfer compensation; Domestic Transfer Inspection Program; exchange compensation for domestic and imported petroleum; Oil Import Compensation Program; asphalt imports; carbon black feedstock imports; heavy fuel oil imports; special compensation; petroleum levies; Canadian ownership special charge petroleum levy; petroleum compensation charge; transportation fuel compensation recovery charge; new oil reference price; program for pentane plus, synthetics oil; reference oil pricing; special old oil price; Frontier lands management; Canada/Newfoundland Offshore Petroleum Board (CNOPB); Canada/Nova Scotia Offshore Petroleum Board (CNSOPB); offshore oil and gas; international treaties and agreements; maritime boundaries; benefit plans and studies; oil and gas development projects; rights management administration; exploration agreements; licenses; assessment of oil and gas resources; electricity; energy issues; Panel on Energy Research and Development — Task Six, Conventional Energy Systems; international electrical energy; electrical utilities; Canadian electrical energy economics and finance; supply and demand; technology; Lower Churchill electricity development; Annapolis River Tidal Project; Coleson Cove Conversion Study; Churchill Falls dispute between Quebec and Newfoundland; Lepreau One Nuclear Project; nuclear energy and exports; fusion; heavy water; nuclear power; Point Lepreau Two Nuclear Project. **Note:** Formerly Oil and Gas, Frontier Lands and Electrical Energy **Program Record Number:** NRCan ES 195

Energy Technology

Description: Information relating to energy research and development to ensure the availability to Canada of adequate technology for the extraction, processing, use and conservation of energy resources, such as oil, gas, coal and uranium. **Topics:** Coal and energy research and development projects; efficiency and alternative energy technology; liaison; conservation; renewable energy; project selection and monitoring; technology transfer and marketing; energy diversification research laboratory; Office of Coordination and Technical Research; Federal Industrial Boiler Program; Industry Energy Research and Development Program; Class 34 Program — Accelerated

Capital Cost Allowance. **Program Record Number:** NRCan ES 205

Siting Task Force for Low-Level Radioactive Waste in Ontario

Description: Information created or received at the corporate level on the establishment of the task force which was initiated by the Minister to implement an innovated process which will lead to the establishment of a long-term low-level radioactive waste management facility for Ontario. **Topics:** Siting task force on low-level radioactive waste in Ontario; reports; memorandum to Cabinet; requests for proposal; planning process; committee; guidelines; liaison; liaison with federal government departments, crown corporations, regional governments and communities; media relations; regional information sessions; community sessions and workshops; public relations; distribution program; correspondence program. **Program Record Number:** NRCan ES 320

Uranium and Nuclear Energy

Description: Information relating to the provision of advice and the formulation of policies to ensure that Canadian uranium resources and nuclear energy industries make optimal contributions to the country's economic development by providing a secure and economical source of energy and by realizing export opportunities. **Topics:** Uranium and nuclear energy; research and development; international uranium and nuclear issues; Uranium Institute; International Uranium and Nuclear Conference; committees and working groups; nuclear safeguards and non- proliferation agreements; uranium; Uranium Resource Appraisal Group (URAG); exploration and production; ownership of uranium industry; processing and refining; enrichment and reprocessing; exports; Uranium Exports Review Panel (UERP); supply and demand; radioactive waste management. **Program Record Number:** NRCan ES 220

♦ Minerals and Metals Sector (MMS)

Canadian Exploration and Development Incentive Program (CEDIP) (Closed)

Description: Information relating to the Canadian Exploration and Development Incentive Program (CEDIP) established to encourage oil and gas exploration and development activities on Canada lands and offshore areas including the development of policy and regulations and the determination of individuals' and qualified corporations' eligibility for reimbursement of approved expenditures in this area. **Topics:** Automated Incentive Management System (AIMS); acts and regulations; status reports; committees; conferences; liaison activities; communications; operations; quality control; financial payments and controls; monitoring; forecasting of expenditures; evaluation; application assessment; annual expense limit control; technical services; audits; interpretation and rulings of act and legislation; training and development; consultations and inquiries. **Program Record Number:** NRCan MMS 596

Canadian Exploration Incentive Program (CEIP) (Closed)

Description: Information relating to the Canadian Exploration Incentive Program (CEIP) established to encourage mining, oil and gas exploration and development activities on Canada lands and offshore areas including the development of policy and regulations and the determination of individuals' and qualified corporations' eligibility for reimbursement of approved expenditures in this area. **Topics:** Automated Incentive Management System (AIMS); Automated Exploration Incentive System (AXIS); acts and regulations; status reports; committees; conferences; liaison activities; communications; operations; quality control; financial payments and controls; monitoring; forecasting of expenditures; evaluation; annual expense limit control; technical services; interpretations on rulings for CEIP act and regulations; audits; training and development; policy; consultations and inquiries. **Program Record Number:** NRCan MMS 595

Canadian Ownership and Control Determination (Closed)

Description: Information relating to the development and maintenance of policies, systems, procedures and regulations to administer the Canadian Ownership and Control Determination (COCD) Act. Includes receiving, processing and certifying applications for the Canadian Ownership Rate and Control Status Program. **Topics:** Canadian Ownership and Control Determination (COCD) corporate information; COCD guidebook; COCD operations; COCD committees; COCD conferences; COCD operations reports; Canadian ownership rate and control status programs (COR/CS); COR/CS documentation; COR/CS certificates; COR/CS guidelines; COR/CS operating procedures. **Program Record Number:** NRCan MMS 115

Canadian Ownership and Control Determination Policy (Closed)

Description: Information relating to the development and maintenance of policies, precedents, and special studies in administering the Canadian Ownership and Control Determination (COCD) Act and Regulations in order to encourage increased Canadianization of the petroleum industry. **Topics:** Canadian Ownership and Control Determination (COCD) policy committees; COCD policy conferences; COCD policy reports; COCD Act; COCD Act interpretation; COCD Act qualified applicants; Canadian ownership rate and control status determination; COCD Act applications, certificates and administration; COCD Act disclosure of information; COCD Act regulation-making authority; COCD Act enforcement; COCD Act judicial review and transmission provisions; Canadian ownership and control determination regulations; COCD Regulations interpretation and applications; specified Canadian ownership rates; Canadian ownership rates other than specified rates and rates of insurance companies and trust; COCD regulations administration; Canadian ownership rates of insurance companies; Canadian

ownership rates of trust companies; COCD regulations schedules. **Program Record Number:** NRCan MSS 120

Economic and Financial Analysis

Description: Information relating to developing and recommending federal fiscal, financial and economic policies; providing detailed information and analysis to potential investors in Canada and abroad; and providing advice and support to Department of Finance, Revenue Canada and other federal departments in implementing policies affecting the minerals and metals sector. **Topics:** Mineral economic analysis; economic and financial analysis operations (EFAB), contracts, mining taxation — international comparisons; conferences; memoranda to Cabinet; economic and tax briefings/presentations; federal government policies; federal government house standing committees; environmental issues; mineral exploration and mine development studies; federal government committees, task forces and working groups; international investment climate for mining and mineral activities, international projects; associations and organizations; Mining Association of Canada (MAC); Prospectors and Developers Association of Canada (PDAC); Coal Association of Canada; corporation mergers acquisitions and strategic alliances; interdepartmental, provincial, and international relations; intergovernmental working group IGWG task force on mineral investment climate competitiveness — Mines Ministers Conference (MMC); foreign ownership/control; Queen's Center for Resource Studies (CRS); Economic Analysis Division (EAD), database renewals and negotiations; federal/provincial survey of mines and concentrators; concentrators database and mines database management; EAD factor cost studies; EAD mining community issues; competitive cost studies by commodity, studies by stage; competitive position industry studies; non-ferrous smelter study; US Bureau of Mines (USBM); production capability assessment (perspective); reserves, productions, exploration assessments; mine investment, mineral economic forecast/commentaries; cost benefit analysis; unsolicited proposals; free trade studies; world trade mineral system (WTMS); financial corporate analysis; financial markets and investment community; flow-through shares, monitoring programs, limited partnerships; mineral exploration statistics; tax policy analysis; mining taxation media commentaries; federal income tax rules and provisions; mineral industry federal income tax rules and provisions; oil and gas federal income tax rules and provisions; federal, foreign, US, tax reform; federal budgets; provincial income and mining taxation; provincial tax reforms and budgets changes; US and Australia tax rules; commodity taxation; goods and services tax (GST); mining industry acts and regulations; mineral trade taxation issues; special studies and projects on mining taxation issues; intergovernmental working group on the mineral industry (IGWG) tax sub-committee; mine reclamation expenditures — taxation and funding issues; Revenue Canada issues; tax models; tax policy analysis of federal mineral tax cases; EFAB informatics which include SoftAccess, Internet, On-Line databases; mineral

and metal information services, statistics division (MMSD) operations, corporation information, information requests; mineral statistics (production, trade, consumption, exploration, prices), questionnaires; mineral statistics information exchange with associations, commodity profiles; Federal/Provincial committees on mineral and mining statistics, annual meetings, task forces and working groups; Federal/Provincial consultative council on statistical policy, Federal/Provincial collection and joint sharing of mineral statistics; mineral and metal surveys, publications; various databases; mineral exploration activity survey. **Note:** Formerly NRCan MS 560 & 570 **Program Record Number:** NRCan MSS 003

Explosives Branch

Description: Information relating to the administration of the federal Explosive Act and Regulations which control the manufacture, importation, sale and storage of blasting explosives, propellants and pyrotechnics in Canada.

Topics: testing and research; certification and technical advice; research and development of new technical standards; administration of the Canada Explosive Act; liaison with interdepartmental, international, provincial, departmental; explosive types and classes; explosive in model rocketry; authorization and testing of explosives; explosives acts and regulations; fireworks; explosives incidents/accidents; explosives destruction and disposal; manufacturing and production of explosives; explosives compositions; manufacturing and security of factory sites; explosives safety standards for equipment, magazines, packaging, labeling and marking, transportation, storage; explosives licenses; importation permits. **Note:** Formerly NRCan METS 455 & 510 **Program Record Number:** NRCan MMS 005

Mineral and Metal Policy Branch

Description: The Mineral and Metals Policy Branch acts as the federal government's main source of in depth knowledge and expertise on mineral and metal commodity markets and domestic and international policy issues. It manages minerals related policy and program coordination within the federal government and with the provinces/territories, and administers federally owned mineral rights and the federal mandate for the Cape Breton Development Corporation. **Topics:** Metal and mineral policy planning, Mining Association of Canada (MAC), committees, task forces and working groups; Whitehorse Mining Initiative (WMI); conferences, meetings and seminars; constitutional reform; center for resource studies (CRS)/Queen's university; Canadian Institute of Mining, Metallurgy and Petroleum (CIM); provincial mining associations; prosperity initiatives in mining; policy planning liaison; health and safety in metals and minerals; international mine accident databases; worker's compensation board; minerals and metals job creation programs, mining/human resource investment program; minerals and metals labour markets and issues; mining communities, task forces, remote area development, single industry towns, sustainable development; environment, strategy, global and ecological concerns;

resource management; liaison with environment Canada, Fisheries and Oceans; Canadian Environmental Protection Act (CEPA), toxicity assessment and management; national parks; Federal Environmental Assessment Review Office (FEARO); Canadian Environmental Assessment Act (CEAA); Integrated Resource Management Information System (IRMIS); land use and ecosystems projects and studies; public lands titles and descriptions by provinces; international mineral relations; international mineral policy; trade and environment; domestic mineral conference; world mineral notes; international mineral relations interdepartmental liaison; Canadian International Development Agency (CIDA); International Business Plan (ITBP); international organization; North Atlantic Treaty Organization (NATO); united nation; Organization for Economic cooperation and Development (OECD); United Nations Conference on Environmental and Development (UNCED); mineral trade analysis; general agreement on tariffs and trade (GATT); mineral profiles by country; task forces and working groups; US Bureau of Mines; US/Canada free trade; European Union (EU); Mineral and metal commodities, press clippings; Energy, Industrial and Domestic Industrial commodities; uranium and nuclear energy; Baie Verte Mining corporation; Canadian Institute of Mining and Metallurgy; ferrous commodities; nonferrous commodities; regional mineral development; regional intergovernmental affairs division; federal regional program; Canada/Quebec subsidiary agreement on the economic development of the regions of Quebec; renewed financial assistance program for prospecting in the lower St. Lawrence and Gaspé regions (round 2); financial aid program for the mining region of Chapais-Chibougamau; NWT economic development framework agreement (round 2); Yukon economic planning agreement (round 2); Northern Development, native land claims; Northern land use planning; infrastructure; transportation infrastructure; land use infrastructure; regional mineral land use; regional mineral and provincial ecological issues; environmental acts and regulations; CANMET environmental technical research; regional analysis; Mineral Development Agreements (MDA) round 2; Environmental Assessment of MPS projects and proposals; Ocean Mining; regulatory regime for offshore non fuel minerals; mineral rights registry; foreign research in Canadian offshore; Canada/US boundaries and resources jurisdiction; national marine parks; law of the sea (LOS). **Note:** Formerly NRCan MS 555, 565, 575, 580, 581, 582, 585, 590 & 597. **Program Record Number:** NRCan MMS 002

Mineral Resources and Supply Analysis (Closed)

Description: Information relating to the analysis of mineral resource supply to ensure adequate supplies of minerals and metals for Canada. **Topics:** Mineral resources and supply analysis; operations; Canadian resources and supply; Non-Renewable Resources Evaluation Program (NREP); Canadian mineral exploration; ocean mining — by province; federal ocean mining acts and regulations; regulatory regime for offshore non-fuel minerals; ocean mining — by province; Mineral Rights Registry — Hudson

Bay, offshore NFLD, offshore PEI, offshore NS, offshore Que, offshore BC; Canadian services and technology relating to ocean mining; ocean mining corporation information cases; foreign research in Canadian offshore; international ocean mining; international ocean mining consortia information; US and USSR ocean mining; ocean mining international science programs; ocean mining boundaries and resource jurisdiction; Canada/US boundaries and resource jurisdiction; National Marine Parks; ocean mining environmental and fisheries issues; ocean mining resource assessment; Law of the Sea (LOS); United Nations conference on Law of the Sea; United Nations convention on Law of the Sea. **Program Record Number:** NRCan MMS 590

Mineral Technology Branch (MTB)

Description: MTB is composed of two laboratories: the Mining and Mineral Sciences Laboratories (MMSL) and the Materials Technology Laboratory (MTL). MMSL conducts research and development over a wide range of processes and technologies involved in transforming ore that has been removed from the ground into a concentrate, mineral product or metal and develops technological solutions to reduce the environmental liabilities facing the minerals industry. MTL develops and deploys technologies to improve all aspects of producing and using value-added products from metals and minerals, provides practical advice to small and medium-sized enterprises on how to improve their products and processes to ensure their competitiveness. **Topics:** MMSL — Mine and Mill Effluents; Mine Rehabilitation; Metals and the Environment; research in areas of acid mine drainage (AMD) prediction, prevention and control, treatment and monitoring; Aquatic Effects Technology Evaluation (AETE); Metallurgical Processing; certified and custom reference material for the mineral industry; uranium umpire analyses; Mine Mechanization and Automation; Coal Mining Health and Safety; Ground Stability and Control; Underground Mine Environment. MTL — Materials selection; materials fabrication; in-service materials performance; materials characterization; materials prototype product production; experimental casting; pilot-scale rolling; formability testing; corrosion testing; mechanical testing; investigation of a wide variety of materials; simulated manufacturing processes; reduction of environmental impact of foundry operations; molten metal filtration; aluminum casting procedures; improved rehear furnace practices; elimination of quench and temper operations to reduce energy requirements; improved scrap analysis; high performance steels; computer modelling; characterization of metal-based powders for rechargeable batteries; forming techniques for aluminum; pipeline stress corrosion cracking; pipeline critical crack size prediction; pipeline corrosion inhibitors; non-destructive crack detection by electro-acoustic magnetic transducers; engineering critical assessment (ECA) and improved weld repair; new alloys for marine machinery; prediction of fracture resistance of steel and weldments for critical applications; development of codes and standards for reliable characterization and use of

modern steels; materials selection for new and converted combustors; use of supplementary cementing materials; durability of concrete; concretes for extreme Canadian environments; high-performance concretes; non-destructive testing (NDT) certification. **Note:** Formerly NRCan METS 465 & 470 **Program Record Number:** NRCan MMS 004

Minerals and Metals

Description: Information relating to the promotion of the sustainable development and use of Canada's mineral and metal resources, to the development of policies and technologies, and providing technical knowledge and advice to industry and government. **Topics:** Minerals and Metals; mining regulations and legislation; liaison; status reports; associations and organizations; Canadian Institute of Mining and Metallurgy (CIM); Committees and conferences; environmental issues; Mineral and Earth Sciences Program; Integrated Office System; National Audits; Canada Centre for Mineral and Energy Technology (CANMET); Ministers National Advisory Council on CANMET (MNACC); energy issues; oil and gas; energy conservation; coal; renewable energy; uranium and nuclear energy; impact and control of environmental pollutants; industrial and economic analysis; panel on energy research and development (PERD); program management; contract administration; contract statistical reports; project selection; project monitoring process; Mineral Development Agreement (MDA); federal research and development programs; energy conversion cost-shared program; Research Agreements Program (RAP); industrial research assistance program; evaluation of technology transfer and marketing; business development. **Note:** Formerly Mining and Mineral and Energy Technology, NRCan MS 565 & METS 450 **Program Record Number:** NRCan MMS 001

Petroleum Incentives Administration (Closed)

Description: Information relating to administering both the Canadian Ownership and Control Determination (COCD) Act and the Petroleum Incentives Program (PIP) Act in order to actively contribute to the federal government's energy policy by increasing Canadian ownership and control of the petroleum industry and energy security in Canada. **Topics:** Committees; conferences; energy issues; oil and gas issues; Petroleum Incentives Administration reports; interdepartmental, international and provincial liaison. **Program Record Number:** NRCan MMS 110

Petroleum Incentives Program (Closed)

Description: Information on the development and maintenance of policies, systems, procedures and regulations related to the provision of the eligible costs and Crown share incentives to applicants. Includes receiving, analyzing and processing company applications for incentive contribution and issuing payments. **Topics:** Petroleum Incentives Program (PIP); petroleum incentives committees; petroleum incentives information management system; petroleum incentives research, analysis and forecasting; petroleum incentives operations;

petroleum incentives applicant documentation; petroleum incentives processing procedures; petroleum incentives case assessment; petroleum incentives financial payments and controls; petroleum incentives data control and operations; petroleum incentives case control; well and geochemical; geological and geophysical data analysis; quality control and standards; petroleum incentives reviews and audits; reviews and audits standards and practices. **Program Record Number:** NRCan MMS 125

Petroleum Incentives Program Policy (Closed)

Description: Information relating to development and interpretation of the Petroleum Incentives Program legislation and regulations to increase Canadianization in the petroleum industry and to increase exploration and development in Canada. Includes assessment of case precedents and the development of policy, consistency of application of the PIP Act and Regulations, and the conduct of research, analysis and forecasting of demand in the oil and gas industry. **Topics:** Petroleum Incentives Program (PIP) Act; PIP Act interpretation; PIP administration; PIP disclosure of information; PIP enforcement and general sections; PIP regulations; interpretation of the PIP regulations. **Program Record Number:** NRCan MMS 130

♦ Strategic Planning and Coordination Branch SPCB

Administration

Description: Information created or received by departmental executives on the provision of managerial direction, and on financial, administrative, electronic data processing, human resources and communications support for the achievement of federal and departmental objectives in the fields of energy, forestry, minerals and earth sciences. **Topics:** Administration; finance and administration; Auditor General; Office Technology Research Group; committees; financial management; accounting applications; foreign travel; expenditures; financial authorities; departmental financial information; financial information on Crown corporations; financial information on Petro-Canada; management processes; administrative services; facilities planning and management; materiel management; information management; departmental support services; information technology; corporate policy and communications; corporate affairs; environmental affairs; communications; human resources management; management category and human resources planning programs; official languages; employment equity; human resources planning and management; management category personnel; personnel program operations; staffing; employment. **Program Record Number:** NRCan SPCB 010

Administration of Frontier Oil and Gas Lands (Closed)

Description: Information created or received by departmental executives on the development of oil and gas resources on frontier lands. **Topics:** Administration of frontier oil and gas lands; frontier oil and gas policy development and implementation; coordination of

resource management agreements; occupational and operational frontier oil and gas safety; environmental protection on frontier lands; environmental studies on frontier lands; resource development and conservation on frontier lands; rights and revenues administration on frontier lands; technological oil and gas research and development; international liaison on frontier land issues; project development funds; oil and gas management boards NOTE: The administration of Frontier Oil and Gas Lands Activity was officially disbanded September 1st, 1991. The activities were transferred to either the NRCan Mineral Policy Sector, the Energy Sector or to other government institutions. **Program Record Number:** NRCan SPCB 005

Canadian Forest Service

Description: Information created or received at the Corporate level on the promotion and competitiveness of the Canadian Forestry Sector for the well-being of present and future generations of Canadians. **Topics:** Canadian Forestry service, communications, associations and organizations, committees, conferences, public inquiries; liaison; industry, trade and technology; science and sustainable development; federal/provincial forestry development agreements; private forests; forest environment; forest health; policy and economics; legislation and policy; National Forestry Database Program; operations. **Program Record Number:** NRCan SPCB 011

Corporate Planning and Executive Services

Description: Information relating to the analysis and advice on departmental plans and operations for an effective corporate planning and reporting capacity, liaison with central agencies, and the coordination and provision of executive document support. **Topics:** Corporate coordination and executive services; committees; computer user group; special projects; CPCS/mission; public service 2000; excellence NRCan; upward feedback; training and development; total quality management; coordination of annual management report; coordination of annual regulatory plan; NRCan regulatory review; coordination of Main Estimates Part 3; coordination of increased ministerial accountability and authority; coordination of managers conference; NRCan management dialogue; coordination of the minister's briefing book; coordination of planning processes; coordination of management review; executive correspondence procedures; parliamentary affairs; Treasury Board submissions; Orders-in-Council; parliamentary sessions. **Program Record Number:** NRCan SPCB 630

Corporate Policy

Description: Information relating to the development of corporate policy positions in co-operation with NRCan sectors; management of key policy initiatives and Cabinet business; and by guiding the department's strategic planning process. **Topics:** Corporate policy evaluation; strategic planning; A-Base Review; Sector A-Base Reviews; management accountability and control;

program activity structure; program planning; strategic planning; strategic overview; program long-term plans; activity approval documents and reviews; operational work plans; Cabinet documents; Cabinet committee briefings; committees; coordination and liaison with departmental organizations; coordination and liaison with other departments and agencies; coordination and liaison on provincial issues; coordination of background material for briefings, departmental and non- departmental memoranda to Cabinet. **Program Record Number:** NRCan SPCB 605

Energy

Description: Information created or received by departmental executives on the planning and implementation of energy programs and services in support of the Minister's parliamentary functions, including the development and execution of program planning, evaluation and information functions directed towards national energy policy objectives. **Topics:** Excellence NRCan; policy; associations and organizations; conferences; USA energy issues; canadianization; Investment Canada; crown corporations; frontier and western accords; energy and the environment; native rights and land claims; economic and financial analysis; energy, Hibernia and upgrader project analysis; Petroleum Monitoring Agency; oil and gas, public enquiries; oil corporation information; oil pricing, taxes, charges, transportation, pipelines; crude oil and petroleum products; international oil markets; public enquiries and corporation information on natural gas; provincial natural gas activities; natural gas exports, pricing, projects, pipelines; natural gas market development incentives payment; international natural gas; energy emergency planning; Energy Supplies Allocation Board; energy demand restraint measures; electricity; Canadian Electrical Association; international electrical energy; electrical technology; uranium and nuclear energy; Canadian Nuclear Association; Canadian Nuclear Society; international uranium and nuclear activities; International Atomic Energy Agency; nuclear power; heavy water; uranium, exploration, production, enrichment and processing; radioactive waste management; high level irradiated fuel waste management; low level radioactive waste management; uranium mine tailings management; efficiency and alternative energy, initiatives; industrial/ commercial energy efficiency; residential sector energy conservation; R-2000; alternative energy; transportation energy; alternative transportation fuels; driver outreach programs; vehicles. **Program Record Number:** NRCan SPCB 004

Executive Coordination

Description: Information created or received by departmental executives on the activities of the Department's programs. **Topics:** Corporate executive; departmental liaison; Canadian sovereignty; legal services; Canada — United States Free Trade Agreement; reaction to Canada — United States Free Trade Agreement; Earth Sciences Sector; Communications, Earth Sciences

Federal/Provincial Liaison, Earth Sciences International Bilateral Relations; excellence program, sectorial liaison, liaison with other governments, committees, conferences, budget. **Program Record Number:** NRCan SPCB 001

Geological Surveys

Description: Information created or received by departmental executives regarding the availability of comprehensive knowledge, technology and expertise concerning the Canadian landmass, as required for effective estimation and exploitation of mineral and energy resources. **Topics:** Geological Surveys; NRCan Research Agreements Program; Research Agreements Program for Canadian Universities, research institutes, societies, provincial and territorial research councils; Research Agreements Program Departmental Grants Review Committee; Cordilleran and Pacific geoscience; Atlantic geoscience; sedimentary and petroleum geology; lithosphere and Canadian Shield; mineral resources; geophysics; geodynamics; geomagnetism; gravity; seismology; terrain sciences; geoscience information; Geological Surveys Program coordination and planning; Geological Surveys Canada policy and international relations; Polar Continental Shelf; logistics. **Program Record Number:** NRCan SPCB 008

Geomatics Canada

Description: Information created or received by departmental executives on the establishment of policies, strategies and programs for national surveying and mapping, and for remote sensing. **Topics:** Geomatics Canada; memoranda to Cabinet; status reports; communications; excellence program; liaison with companies, other departments, provinces and countries; publishing and publications; parliamentary affairs; committees; associations and institutes; conferences; Canadian International Development Agency — foreign aid projects; Canada Centre for Surveying; Canada Centre for Mapping; policy; planning; geographic information systems; environmental issues; Canadian Space Agency (CSA); European Space Agency (ESA); European Space Agency Programs; European Space Agency Committees; satellite data; Airborne Program; RADARSAT. **Program Record Number:** NRCan SPCB 009

Strategic Planning and Coordination Branch SPCB

Description: Information relating to the Strategic Planning and Coordination Branch which provides executive services in the areas of Cabinet, and Parliamentary relations and managing executive correspondence; provides secretariat services to the Departmental Management Committee; manages the OIC and Ministerial appointments process; assists in determining the Department's corporate policy agenda and strategic direction; coordinates ad hoc departmental responses; manages special departmental projects; monitors emerging provincial, national and international issues and assesses for impact on the Department; monitors cross-sectoral issues to ensure departmental consistency;

develops and monitors the implementation of department's business plan; develops and monitors implementation of S&T policy frameworks, strategies, approaches and initiatives for the cost effective management of the Department's scientific activities with regard to the government's framework on S&T; provides advice to the Deputy Minister and the Departmental Management Committee on a variety of multi-faceted issues related to the Department's scientific activities; provides leadership and coordinates departmental quality initiatives; advises and briefs senior management on enhancing quality through client satisfaction; provides a forum for inter-sectoral exchange of results/strategies by organizing the Departmental Excellence Coordinating Committee; sustainable development; environmental assessment policy; environmental policy and regulations; international environmental issues. **Topics:** Strategic planning; policy coordination; business plan; federal/provincial liaison; departmental liaison; liaison with other departments, governments, provinces, associations and organizations; sustainable development policy and strategy; science and technology policy; committees; conferences; budget; operational work plans; Cabinet documents and briefings; Parliamentary Standing Committees, reports and responses; coordination of background material for briefings; departmental and non-departmental memoranda to Cabinet; special projects; mission; total quality management; coordination of annual regulatory plan; NRCan regulatory review; coordination of Ministerial briefing books; coordination of planning processes; Treasury Board submissions; Orders-in-Council. **Note:** Formerly the Executive Coordination Branch, Corporate Policy and Corporate Planning and Executive Services, NRCan ECB 001, 605 & 630. **Program Record Number:** NRCan SPCB 001

Personal Information Banks

♦ Canadian Forest Service (CFS) Sector

Forestry Canada Consultation List and Related Profiles

Description: This bank contains the names, addresses, make-up objectives, activities and concerns of persons and firms who have agreed to be on the Forest Sector consultation list. **Class of Individuals:** Forestry sector stakeholders. **Purpose:** To provide a list of persons, companies and agencies willing to be consulted by the Minister of Natural Resources or one of his representatives when critical forest sector issues come under review. **Consistent Uses:** The information other than names, addresses and telephone numbers is to be used to determine who should be contacted for specific consultations. **Retention and Disposal Standards:** Information is retained for two years. **Contact:** Director General, Policy, Planning and International Affairs Branch, CFS **Related to PR#:** NRCan CFS CPA 135 **TBS Registration:** 002775 **Bank Number:** NRCan PPU 035

Public Awareness of Forest Sector

Description: This bank contains information on the general public's knowledge and awareness of economic, social and cultural aspects of the forest sector. **Class of Individuals:** General public. **Purpose:** To verify effectiveness of the National Forest Awareness Campaign by determining the increase in awareness. **Consistent Uses:** To establish increased awareness during the campaign and to improve the message if needed. In addition, the bank is also used to establish the base of the tracking survey, and to see the final results of the campaign. **Retention and Disposal Standards:** Five years after the entire collection is completed. **Contact:** Director General, Science Branch, CFS **Related to PR#:** NRCan CFS CPA 135 **TBS Registration:** 002774 **Bank Number:** NRCan PPU 040

♦ Corporate Services Sector

Access Requests Data Bank

Description: Information relating to formal access requests such as notices and copies of documents pertaining to the processing of requests for access made pursuant to the Access to Information Act and the Privacy Act, including complaints and investigations involving individuals, third parties, Access to Information and Privacy Commissioners, and the Federal Court. **Class of Individuals:** Individuals present in Canada during the processing of the request. **Purpose:** Information is used for processing access requests and to report on the number of access requests received annually. **Consistent Uses:** Transmittal of information to investigative bodies when required under the Access to Information Act and the Privacy Act. **Retention and Disposal Standards:** Access requests retained for two years after case is resolved. **Contact:** Chief, Access to Information and Privacy Secretariat, CSS **PAC Number:** 86-001 **Related to PR#:** NRCan CSS 710 **TBS Registration:** 000403 **Bank Number:** NRCan PPU 030

Discrimination

Description: This bank contains information on complaints filed with the Canadian Human Rights Commission; includes nature of complaint, testimony of witnesses, legal opinions, investigation reports and correspondence. **Class of Individuals:** The information relates to current and former departmental employees and members of the general public who have submitted discrimination complaints against the Department. **Purpose:** The purpose of this bank is to collect and maintain records on complaints, filed with the Canadian Human Rights Commission, to alleged discrimination against an employee on any of the grounds set out in the Canadian Human Rights Act. **Consistent Uses:** The records are used to assist in the investigation of whether or not discrimination has occurred and to recommend and support management decisions in resolution of complaints. **Retention and Disposal Standards:** Records are retained for a period of two years after the last administrative use. **Contact:** Director, Employer/Employee

Relations Division, CSS-HRSB **Related to PR#:**

NRCan CSS 765 **TBS Registration:** 003307 **Bank Number:** NRCan PPU 075

Service Contracts

Description: Information relating to the provision of services by individuals and firms in the private sector to assist in departmental activities and programs. **Class of Individuals:** Contractors. **Purpose:** To provide information relative to contracts awarded by the Department. Contracts awarded by the Department of Public Works and Government Services Canada on behalf of NRCan are not included. **Consistent Uses:** To compile statistical information with respect to numbers of contracts awarded by the Department. **Retention and Disposal Standards:** Files are destroyed six years after completion and non-renewal of contract. **Contact:** Chief, Procurement and contracting, CSS-FMB **PAC Number:** 86-001 **Related to PR#:** NRCan CSS 790 **TBS Registration:** 000404 **Bank Number:** NRCan PPU 035

Vendor payments.

Description: This bank contains information relating to all payments issued through the departmental financial system. The information for both Public and Federal Public Service employees will be gathered under a unique Vendor Number. In the case of departmental employees, the vendor number will be the Personal Records Identifier Number (PRI). The bank contains names, addresses, invoice number, date entered and the financial coding block which determines the type of expenditures such as hospitality, travel, Grants and contributions, etc. **Class of Individuals:** Any recipient receiving payments through the departmental financial system. **Purpose:** The information is used to control and process payments. **Consistent Uses:** The information is used to respond to internal inquiries and access to information, prepare the Public Accounts of Canada, report on expenditures by Federal Electoral district, audit payments using statistical sampling and to ensure no duplicate payments have been made. Payment information is also downloaded to other internal departmental financial systems for similar use. Data is disclosed to outside sources only through the Access to Information Act. **Retention and Disposal Standards:** Records are retained for a period of 6 years. **Contact:** Assistant Director, Financial Systems and Training, CSS-FMB. **Related to PR#:** NRCan CSS 720 **TBS Registration:** 003340 **Bank Number:** NRCan PPU 080

♦ Earth Sciences Sector

Board of Examiners for Canada Lands Surveyors

Description: Information relating to formal applications to write Canada Lands Surveyor exams including applicants' names, addresses, date of birth, country of birth, academic qualifications and work experience, copies of diplomas and certificates, statements of marks. **Class of Individuals:** Individuals applying to qualify as Canada Lands Surveyors. **Purpose:** Information is used by the Board of Examiners for Canada Lands Surveyors in the

examination process to qualify candidates as Canada Lands Surveyors. **Consistent Uses:** Marks forwarded to provincial land surveyor associations and academic institutions at request of candidates. **Retention and Disposal Standards:** Examination papers retained for 30 days after the appeal period ends. Files retained two years after individual reaches age eighty. **Contact:** Director, Legal Surveys Division, ESS **PAC Number:** 85-010 **Related to PR#:** NRCan GC 405 **TBS Registration:** 000399 **Bank Number:** NRCan PPU 010

Geological Survey of Canada Volunteers Program

Description: This bank contains information and applications from individual public or private groups, high school, college and university students, foreign students and scientists, school teachers, senior citizens and retirees and part-time workers with time to spare. Applicants provide their name, address, telephone number, person to contact in case of emergency, work location preference, language, skills, abilities, educational background, experience desired, availability and objectives for working as a volunteer. GSC provides the date of commencement and termination of assignment. **Class of Individuals:** Individuals 17 years of age or older who wish to volunteer their time and services to the Geological Survey of Canada. **Purpose:** As of April 1, 1993, the Volunteers Program at the Geological Survey of Canada will enable the GSC to formally accept and utilize voluntary help and services. Through many challenging opportunities, volunteers can gain new experiences in the earth sciences while volunteering at headquarters located in Ottawa or at the regional offices located in Dartmouth, Nova Scotia, Ste-Foy, Quebec, Calgary, Alberta, Vancouver and Sidney, British Columbia. **Consistent Uses:** A Volunteers Program database has been developed to record information on potential volunteers and will be used for internal purposes only. Information on volunteers will be provided to division representatives and project managers to identify applicants suitable for particular projects. **Retention and Disposal Standards:** Records of assignments are retained for two years after the termination of the agreement. **Contact:** Volunteers Program Coordinator, ESS-GSC **Related to PR#:** NRCan GSC 350 **TBS Registration:** 002879 **Bank Number:** NRCan PPU 070

♦ Energy Sector

Canadian Home Insulation Program (CHIP) Grants

Description: Cases relating to individual applications, invoices, inspection reports and pertinent correspondence pertaining to grants provided to householders for insulation materials and labour costs. **Class of Individuals:** Grant applicants. **Purpose:** The purpose of this bank is to control the administration of taxable insulation grants provided to eligible applicants under the Canadian Home Insulation Program (CHIP). It permits the issue of income statement forms in the name of the applicant, provides information requested by provincial governments considering further assistance to applicants, and also

assists in evaluation studies associated with the program.

Consistent Uses: Information is shared with Canada Mortgage and Housing Corporation, which answers specific enquiries regarding program applications through regional offices located across Canada. **Retention and Disposal Standards:** After program termination in March 1986, individual grant information existing in all media will be copied onto approximately 70-100 tapes which will then be retained another six years in a federal records centre. In March 1994, the retention of CHIP was extended for an additional five years. **Contact:** Director General, Energy Efficiency Branch, ES **PAC Number:** 85-015 **Related to PR#:** NRCan ES 245 **TBS Registration:** 000401 **Bank Number:** NRCan PPU 020

Natural Gas Vehicle Program

Description: Contains information and applications from individual private use vehicle owners and fleet operators for a contribution of up to \$500 for each vehicle converted to a natural gas fuel system or for a new factory-equipped vehicle purchased by the applicant, including copies of vehicle ownerships, invoices, purchase orders and work orders substantiating the application for each vehicle. **Class of Individuals:** Private use vehicle owners and corporations and businesses operating vehicles eligible under the program. **Purpose:** The information is used to substantiate applications, the cost of conversions, to determine the amount to be paid to applicants and for statistical and audit purposes relative to the program. **Consistent Uses:** Natural gas utilities in the provinces, accept and process applications on behalf of the Department under a Memorandum of Agreement. **Retention and Disposal Standards:** The natural gas utilities maintain one copy of each application and any supporting documentation submitted by the applicant during the term of the program and for a period of up to two years following termination of the program. All such records are subject to review or audit by representatives of Canada. **Contact:** Director General, Energy Efficiency Branch, ES **Related to PR#:** NRCan ES 245 **TBS Registration:** 002776 **Bank Number:** NRCan PPU 060

Offshore Operations — Divers

Description: Cases relating to an individual diver's diving history. The information consists of the names, addresses, birthdates, birthplaces, physical condition and training of divers. **Class of Individuals:** Individuals — divers. **Purpose:** Main use is to provide rapid access to a diver's history to expedite certification and provide data required for annual certification. Diving program approval is contingent upon knowledge of an individual diver's qualifications and work history in order to prevent accidents caused by lack of experience in unusual circumstances. **Consistent Uses:** Information is also used to develop statistical profiles of the diving population, and to otherwise identify areas of diving regulations requiring updating and improvement for increased diver safety. **Retention and Disposal Standards:** Information is retained until the diver reaches 65 years of age, and for two years thereafter, after which the data will be

transferred to the National Archives of Canada for archival purposes. **Contact:** Director General, Energy Resources Branch, ES **PAC Number:** 85-010 **Related to PR#:** NRCan ES 230 **TBS Registration:** 000406 **Bank Number:** NRCan PPU 045

♦ Minerals and Metals Sector (MMS)

Canadian Exploration Incentive Program (CEIP)

Description: This bank contains information relating to the Canadian Exploration Incentive Program (CEIP) and the Canadian Exploration and Development Incentive Program (CEDIP). The CEIP incentive was available to any corporation that incurred eligible exploration expenses for mineral or oil and gas exploration in Canada using funds raised through the issue of flow-through shares. The CEDIP incentive was available to any individual, corporation or trust that incurred eligible expenses for oil and gas exploration in Canada. Applicants were required to complete forms on which they provided their identification, the amount of incentive claimed and the eligible expenses of each well, program, or project claimed. Applicants also provided a certification confirming the completeness and accuracy of the information submitted. Applicants were required to submit technical data and detailed expense summaries for each well, program or project claimed when this information was not submitted previously. If an applicant shared the \$10 million annual expense limit with another person or persons, a form specifying the amount allocated to each person had to be completed. **Class of Individuals:** Under CEIP, individuals who were partners in a partnership that had acquired flow-through shares and individuals who were associated with applicant corporations. Under CEDIP, individuals who apply for incentives for oil and gas exploration and development in Canada. **Purpose:** The objective of collecting this information was to determine the eligibility of claimants and expenses for the purpose of making incentive payments. **Consistent Uses:** This information will also be used to determine cost norms for expenses; to track and control outstanding and completed applications; to facilitate recovery of incentives paid in error; to audit claimants' expenses and eligibility; and to establish internal effectiveness of the incentive payment process. **Retention and Disposal Standards:** Information is retained for 10 years after program termination. Information is then transferred to Federal Archives Division, National Archives of Canada, for archival purposes. The CEIP Program was terminated on February 20, 1990. **Contact:** Director General, Mineral and Metal Policy Branch, MMS **Related to PR#:** NRCan MPS 596 **TBS Registration:** 001868 **Bank Number:** NRCan PPU 055

Certification in Non-Destructive Testing

Description: Information relating to the certification, on a national and international basis, of non-destructive testing personnel in accordance with the CAN/CGSB-48-9712. This standard fully complies with the ISO standard 9712 on certification of NDT personnel. Aspects include

examination and re-certification in industrial radiography, ultrasonics, magnetic particle, liquid penetrant, and eddy current methods. Includes names, addresses, medical certification on eyesight, original application forms, examination copies and results, date(s) of certification and methods, and data on three-year term certification renewal of individuals who undergo examination. **Class of Individuals:** Individuals desiring certification in non-destructive testing. **Purpose:** Information is used to certify individuals in non-destructive testing and answer queries from outside organizations on an individual's certification status. The information is also used to prepare a list of certified personnel in good standing which is published annually and made available to the public. **Retention and Disposal Standards:** Files are retained ten years after expiry of certification. **Contact:** Director General, Mineral Technology Branch, MMS **PAC Number:** 85-010 **Related to PR#:** NRCan METS 465 **TBS Registration:** 000400 **Bank Number:** NRCan PPU 015

Emergency Fuel Rationing Program

Description: This bank contains information relating to the implementation of an emergency fuel rationing program in Canada required in the event of a severe oil shortage and pursuant to the Energy Supplies Emergency Act (1979). Ration coupons would be issued to eligible applicants who would be required to provide various discrete personal data elements such as name, address, vehicle plate number and driver's license number. Business applicants would be required to provide information on the nature of their business and fuel consumption over specific periods in order to enable calculation of their ration share. Individuals would be hired under contract to collect this information and issue the ration coupons. **Class of Individuals:** Individuals who purchase gasoline and diesel fuel at retail outlets during rationing and individuals hired under contract to issue coupons. **Purpose:** The data would be collected in order to administer the various aspects of the fuel rationing program and only in the event that such rationing is necessary. **Consistent Uses:** Information would be used to substantiate applicants' eligibility to obtain fuel coupons. Selected samples of vehicle registration data will be checked for accuracy with the Motor Vehicle Registrars in each province. Information relating to individuals hired under contract will be used for processing payments. **Retention and Disposal Standards:** The Emergency Fuel Rationing personal information bank will be retained for two years after the discontinuance of the rationing program, then transferred to the federal Archives Division, National Archives of Canada, for archival purposes. **Contact:** Director General — Energy Resources Branch, ES **Related to PR#:** NRCan ES 195 **TBS Registration:** 002833 **Bank Number:** NRCan PPU 050

Explosives Licenses and Permits

Description: Information relating to the issue and control of licenses and permits granted for storage, transportation by road, importation, manufacture and sale of explosives.

Class of Individuals: All individuals with a need to manufacture, store, transport, or handle explosives.

Purpose: To fulfil the responsibility of the Explosives Branch as the federal licensing and inspection agency for explosives in Canada. **Consistent Uses:** Transmittal of information to investigative bodies when an infraction or incident concerning explosives occurs. **Retention and Disposal Standards:** Licenses and permits are retained for seven years after expiry date. **Contact:** Chief Inspector of Explosives, Explosives Branch, MMS **PAC Number:** 85-010 **Related to PR#:** NRCan METS 530 and 540 **TBS Registration:** 000398 **Bank Number:** NRCan PPU 005

Classes of Personal Information

Explosives Safety and Accident Statistics — Explosives Division

The purpose of this class is to provide a record of statistics on safety measures in the explosives industry as well as on all accidents related to explosives. The files contain information on the nature of the accident as well as the victim's name, age and address, if applicable. Records are retained for 25 years after the date of the incident and are then transferred to the National Archives of Canada for selective retention.

Human Resources Planning and Management

Personal information about employees is also maintained in other departmental files and data bases held by various organizations involved in the human resource planning and management functions. Such systems are used to provide aggregated reports for the purpose of human resource planning and management and to assist in analysis of trends or developments. Although some of the information may be retrieved by a personal identifier, the data bases are not used to provide information about individual employees nor to make any administrative decisions affecting individual employees.

Mailing Lists

This class includes correspondence and request cards from individuals regarding departmental mailing lists for publications. The information is used for amending addresses and to add or delete individual names on mailing lists which are retained permanently. The correspondence and request cards are retained until the mailing list has been amended.

Ministerial Correspondence

This class of information contains correspondence addressed to the Minister and/or Deputy Minister from external organizations and individuals in the form of requests for information, complaints, opinions and other submissions related to a broad range of policy issues pertaining to Natural Resources Canada's activities, wherein some unsolicited personal information has been provided by the correspondent. This form of information would be retrievable only if specifics of name, date of communication and subject were to be provided; it is

not arranged or retrievable by personal identifiers. The retention periods of these classes of personal information are controlled by the record schedules of the general subject files in which they are stored.

Research Agreements Program

This class contains applications for grant submitted to the Department from candidates affiliated with Canadian research institutions. Applications contain information on the description and budget for the proposed project and the applicant's curriculum vitae. The information is used to select and award grants in the natural, physical and social sciences and engineering which can contribute to the Department's purpose. The information is also used to compile general statistics of interest to the Department with respect to the program as a whole, and not in relation to individual applicants. The program was terminated in April 1994. Accepted applications are destroyed after a period of six fiscal years (until April 2000) and those not accepted are destroyed after two fiscal years (until April 1996.)

Technical Enquiries — Canada Centre for Mineral and Energy Technology (CANMET)

This class includes technical enquiries, comments and opinions of individuals corresponding with the Department regarding issues related to energy, mining and mineral technology. The information is used for reference purposes regarding any subsequent enquiry by an individual. Correspondence is retained for a minimum of two years.

Manuals

- CFS Acid Rain National Early Warning System: Manual on Plot Establishment and Monitoring
- CFS Aerial Application of Pesticides Manual
- CFS Basics of Plant Genetic Engineering and its Potential Application to Tree Species
- CFS Constructing Conifer Genomic Libraries: A Basic Guide
- CFS Detailed Methods for Conducting a Forest Inventory
- CFS Environmental Screening Procedures Manual — 1991.
- CFS Field Guide to the Common Forest Plants in Northwestern Ontario
- CFS Field Guide to the Forest Ecosystem Classification for Northwestern Ontario
- CFS Field Guide to the Forest Ecosystems of West-Central Alberta
- CFS Field Manual of Tree Diseases in the Maritimes Region
- CFS Forest Insect and Disease Survey Work Program and Procedures Manual — Annual.
- CFS Forest Resource Development Agreement Procedures Manuals

- CFS Forest Site Classification Manual — A Field Guide to the Damman Forest Types of Newfoundland — FRDA Report 003
- CFS Forest Tree Seed Inspector's Manual: OECD Scheme for Certification of Forest Reproduction Material Moving in International Trade
- CFS Forestry Canada — Visual Identity Program
- CFS Forestry Canada Technology Management Manual.
- CFS Forestry Canada's Style Guidelines for Scientific and Technical Publications
- CFS Forestry Canada-Ontario Region/Forest Pest Management Institute Fleet Management Manual
- CFS Forestry Field and Laboratory Manual for Herbicide Residue Sampling, Sample Processing and Reporting
- CFS Green Side Up-A Guide to Tree Planting
- CFS Guide to Cone Collecting of British Columbia Conifers
- CFS Guidelines for Editors of Symposium Proceedings
- CFS Guidelines for Rearing Containerized Conifer Seedling in the Prairie Provinces
- CFS Illustrated Guide to Stages of Jack Pine Cone Development
- CFS LOGPLAN II: A Model for Planning Logging and Regeneration Activities
- CFS Managing Your Woodland: A Non-Forester's Guide to Small Scale Forestry in British Columbia
- CFS Manual For Forest Tree Seed Orchard Management in the Maritimes
- CFS Manual for Greenhouse Grafting of Conifers in the Maritimes 1981
- CFS Manual for Operational Seed Processing with IDS (Incubating, Drying and Sorting)
- CFS Manual of Data Collection and Processing for the Development of Forest Biomass Relationships
- CFS Manual of Forest Inventory Guidelines for Federal and Indian Lands
- CFS Manual of Forest Management Plan Guidelines for Federal and Indian Lands
- CFS Mapping How we use our Land-using Participatory Action Research
- CFS Methods and Procedures for Testing Tree Seeds in Canada
- CFS Methods Manual — Chemical and Physical Analysis Laboratory
- CFS Methods Manual for Forest Soil and Plant Analysis (Forestry Canada-Northwest Region)
- CFS Methods of Soil and Tissue Analysis Used in the Analytical Laboratory
- CFS National Forestry Strategy — Sustainable Forest: A Canadian commitment.
- CFS Northern Forestry Centre: 1994 Student Employee Handbook
- CFS Northwest Region DEV/MIS User's Manual
- CFS Northwest Region Safety Manual
- CFS Pest Detection Manual for Pest Detection Officers — 1992
- CFS Policy and Procedures for the publications of the Forestry Sector
- CFS Pollination Techniques 1: No. I — Pollen Collection; No. II — Pollen Extraction and Storage; No. III — Flower Development Rating and Pollen Application
- CFS Practical Guide to Private Forest Management: Hand Planting of Base-root Seedlings
- CFS Practical Guide to Private Forest Management: Plantation Maintenance
- CFS Practical Guide to Private Forest Management: Planting Containerized Seedlings
- CFS Procedures for Estimation of Newfoundland's Biomass Reserves
- CFS Quantifying Pest-Caused Forest Depletion Using Geographic Information Systems and Database Technologies
- CFS Safe Tree Climbing in Forest Management
- CFS See "SCF Aménagement de l'érablière: guide de protection de la santé des arbres"
- CFS See "SCF Cahier de procédures pour confectionner le plan de gestion et compléter les demandes de participation et de paiement (Programme de développement forestier de l'Est du Québec)"
- CFS See "SCF Conseils pour la culture en récipient des semis de conifer dans les provinces des prairies"
- CFS See "SCF Guide d'utilisation — Système du programme d'aménagement forestier des terres indiennes"
- CFS See "SCF Guide des opérations du Plan de développement de l'Est du Québec (Programme forestier)"
- CFS See "SCF Guide du système d'information de l'Entente auxiliaire Canada-Québec sur le développement forestier 1985-1990"
- CFS See "SCF Lignes guides applicables aux travaux admissibles (Programme de développement forestier de l'Est du Québec)"
- CFS Seedling Production for Crown Lands in British Columbia: Guidelines for Commercial Container Nurseries
- CFS Silvicultural Treatment Eligibility and Assessment Guide (Forest Management Program of Indian Lands)
- CFS Some Protocols for CDNA Library Construction with Conifer Tissues
- CFS Specifications for Developing a Forest Management Plan

- CFS Specifications for Forest Inventory and Management Plan, Forestry Canada — Northwest Region
- CFS Successful Forestry — A Guide to Private Forest Management
- CFS Successful Forestry — A Private Woodlot Accounting Guide
- CFS Techniques for Starch Gel Electrophoresis of Enzymes from Forest Tree Species
- CFS The HSG (Harvest Supply Generated) Wood Supply Model: Description and User's Manual
- CSS Departmental Records Management Index
- CSS Departmental Security Manual
- CSS Departmental/Regional Health and Safety Manuals
- CSS Departmental/Regional Policies and Procedures Manuals
- CSS Employee's Manual
- CSS Financial Management Manuals
- CSS FINCON User's Manual
- CSS Forest Pest Management Institute Staff Handbook
- CSS Forestry Canada Personnel Management Manual
- CSS Forestry Canada-Ontario Region Staff Guide to Policies and Procedures
- CSS Human Resources Information System — User's Guide
- CSS Petawawa National Forestry Institute — Orientation Manual
- CSS Planning and Integrated Management System: Administrator's Guide
- CSS Planning and Integrated Management System: User's Guide
- CSS Treasury Board Submission Procedure Manual
- ECB Guidelines for Executive Correspondence
- ECB Handbook on Planning Ministerial Events
- ES Crude Oil Allocation Manual
- ES Gasoline Rationing Manual
- ES Petroleum Emergency Allocation System Manual
- ES Petroleum Products Allocation Manual
- GC 15-Year Long-Range Plan — International Boundary Commission
- GC Digital Mapping Standards
- GC ER 18 Inertial and Gyro Systems
- GC ER 19 Field Reports
- GC Manual for Operation of Photographic Analysis System
- GSC Regional Modular Seismograph Station — Operator's Manual
- GSC Standard Station Operator's Manual
- MS Agreement "Cooperation Agreement on Mineral Development"
- MS Canadian Exploration Incentives Program (CEIP) Manuals Procedures
- MS Catalogue of Mineral Statistics, Federal and Provincial Publications and Surveys in Canada

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

General information about the Department's programs, activities and various publications may be obtained at the addresses listed below:

Alberta

Explosives Branch
Inspector of Explosives
321, 6th Avenue S.W.
P.O. Box 1046
Calgary, Alberta
T2P 3H3
403-650-5679

Deputy Surveyor General, West
Legal Surveys Division
Canada Place
9700 Jasper Avenue
Suite 605
Edmonton, Alberta
T5J 4C3
403-495-2138

Western Research Centre (Coal)
CANMET
305 West Tower
321, 6th Avenue S.W.
Calgary, Alberta
T2P 3H3
403-292-5497

Northwest Forestry Centre
Canadian Forest Service
5320, 122 Street
Edmonton, Alberta
T6H 3S5
403-435-7202

Institute of Sedimentary and Petroleum Geology
Geological Survey of Canada
3303-33rd Street Northwest
Calgary, Alberta
T2L 2A7
403-292-7049

Western Research Centre (Coal)

CANMET
1 Oil Patch Drive
P.O. Box 1280
Devon, Alberta
T0C 1E0
403-987-8214

British Columbia

Regional Surveyor
Legal Surveys Division
800-1550 Alberni Street
Vancouver, British Columbia
V6G 3C6
604-666-5320
Explosives Branch
Inspector of Explosives
900 West, Hastings St. 4th Floor
Suite 400
Vancouver, British Columbia
V6C 1E6
604-880-8578
Pacific Forestry Centre
Canadian Forest Service
506 West Burnside Road
Victoria, British Columbia
V8Z 1M5
604-363-0600
Pacific Geoscience Centre
Geological Survey of Canada
9860 West Saanich Road
P.O. Box 6000
Sydney, British Columbia
V8L 4B2
604-363-6438
Geological Survey of Canada
Cordilleran Geology Division
100 West Pender Street
Vancouver, British Columbia
V6B 1R8
604-666-0529

Manitoba

Clients Liaison Unit
Legal Surveys Division
501-275 Portage Avenue
Winnipeg, Manitoba
R3B 2B3
204-983-3777

New Brunswick

Atlantic Forestry Centre
P.O. Box 4000
Fredericton, New Brunswick
E3B 5P7
506-452-3508

Newfoundland

Atlantic Forestry Centre — Research Facility
Building 304, Pleasantville
St. John's, Newfoundland
A1C 5X8
709-772-4683
Industrial Benefits and Environmental Monitoring
P.O. Box 8950
St. John's, Newfoundland
A1C 6C9
709-772-2607

Northwest Territories

Deputy Surveyor General, North
Legal Surveys Division
4920 52nd Street
Yellowknife, Northwest Territories
X1A 2N5

Nova Scotia

Cape Breton Coal
Research Laboratory
210 George Street
Sydney, Nova Scotia
B1P 1J3
902-564-7673
Atlantic Geoscience Centre
Geological Survey of Canada
Bedford Institute of Oceanography
P.O. Box 1006
Dartmouth, Nova Scotia
B2Y 4A2
902-426-8513 or 3225
Applications Division
ACRSO
Halifax, Nova Scotia
B3K 5R3
902-426-4892
Atlantic Clients Liaison Unit
Legal Surveys Division
136 Victoria Street
Amherst, Nova Scotia
B4H 1Y1
902-661-6761
Explosives Branch
Inspector of Explosives
1809 Barrington Street, Room 520
Halifax, Nova Scotia
B3J 3K8
902-456-2089
Minerals and Metals Sector
1809 Barrington Street, Suite 520
Halifax, Nova Scotia
B3J 3K8
902-426-6988

Ontario

Elliot Lake Laboratory
P.O. Box 100
Elliot Lake, Ontario
P5A 2J6
705-461-7000

Great Lakes Forestry Centre
Canadian Forest Service
1219 Queen Street East, Box 490
Sault Ste. Marie, Ontario
P6A 5M7
705-759-5740

Petawawa Research Forest
Canadian Forest Service
P.O. Box 2000
Chalk River, Ontario
K0J 1J0
613-589-3000

Ontario Clients Liaison Unit
Legal Surveys Division
606-55 St. Clair Avenue East
Toronto, Ontario
M4T 1M2
416-973-7513

Sudbury Backfill Laboratory
Ramsey Lake Road
Sudbury, Ontario
P3C 2C6
705-675-5008

Explosives Branch
Inspector of Explosives
P.O. Box 239
Merrickville, Ontario
K0G 1N0
613-943-8305

Prince Edward Island

Efficiency and Alternative
Energy Branch
Prince Edward Island District Office
Charlottetown, Prince Edward Island
C1E 1B0
902-566-7380

Québec

Canada Centre for Geomatics
2144 King Street West
Sherbrooke, Québec
J1J 2E8
819-564-5600

Quebec Geoscience Centre
Geological Survey of Canada
2700 Einstein Street
P.O. Box 7500
St. Foy, Québec
G1V 4C7
418-654-2603

Energy Diversification Research Laboratory
1615 Montée St-Julie
Varenes, Québec
J3X 1S6
514-652-6639

Laurentian Forestry Centre
P.O. Box 3800
1055 du P.E.P.S. Street
Sainte-Foy, Québec
G1V 4C7
418-648-5847

Laboratoire de Val d'Or
Secteur de la technologie des minéraux
et de l'énergie
Laboratoire minier
Val d'Or (Québec)
J9P 4P8

Clients Liaison Unit
Legal Surveys Division
320 est, rue St-Joseph
Québec, Québec
G1K 8Z7
418-648-5721

Saskatchewan

Regional Surveyor
Legal Surveys Division
202-2221 Cornwall Street
Regina, Saskatchewan
S4P 2L1
306-780-5402

Minerals and Metals Sector
Suite 405
101, 22nd Street East
Saskatoon, Saskatchewan
S7K 0E1
306-975-5960

Data Acquisition Division
Prince Albert Satellite Station
7 Miles N-W
Prince Albert, Saskatchewan
S6V 5S7
306-953-8536

Yukon

Clients Services Unit
Legal Surveys Division
300 Main Street, Room 225
Whitehorse, Yukon
Y1A 2B5
403-667-3951

Reading Room

In accordance with the Access to Information Act the Natural Resources Canada reading room is located on the:

Ontario

ATIP Secretariat
2nd Floor
580 Booth Street
Ottawa, Ontario
K1A 0E4
Tel: (613) 995-1236
Facsimile: (613) 996-2953

Natural Sciences and Engineering Research Council of Canada

Chapter 74

General Information

Background

The Natural Sciences and Engineering Research Council (NSERC) is the national instrument for making strategic investments in Canada's capability in science and technology. NSERC was established in 1978 as a departmental corporation, a specialized agency that operates at arm's length from government. It is responsible for the promotion and support of research in the natural sciences and engineering, other than the health sciences. NSERC supports basic university research through research grants and project research through partnerships of universities with industry, as well as the advanced training of highly qualified people in both areas.

Responsibilities

NSERC's mission is to foster the discovery and application of knowledge through the support of university research and the training of scientists and engineers. The Council promotes the use of this knowledge to build a strong national economy and improve the quality of life of all Canadians. NSERC fulfills its mission by awarding grants and scholarships through a competitive process and by building partnerships among universities, governments and the private sector.

Over 8,000 Canadian researchers benefit from NSERC support. In addition, over 3,300 Canadians hold an NSERC scholarship or fellowship. NSERC programs provide employment to about 14,000 persons, of which 80% are students and postdoctoral fellows; the remainder are skilled technicians and research professionals.

Legislation

- Government Organization (Scientific Activities) Act (1976)

Organization

President

The President, who is chief executive officer of Council, is responsible for the overall management and direction of the work of Council staff in the development and delivery of programs.

♦ Communications

This division is responsible for publications, media relations and public affairs.

♦ Research Grants and Scholarships Directorate

This directorate co-ordinates activities associated with support of the research base (research grants in support of a spectrum of research activities, grants for the purchase, operation and maintenance of research equipment, facilities and installations) as well as the prestigious E.W.R. Steacie Memorial Fellowships. It also administers awards to undergraduate and graduate students, postdoctoral fellows, and scientists and engineers for advanced study and research training in Canadian universities, industry, and government laboratories.

♦ Common Administrative Services Directorate

This directorate is responsible for the systems and services which support NSERC's officers and staff and facilitate the implementation of programs. In general, this includes administration, finance, human resources management and information management.

♦ Council Secretariat

The Secretariat co-ordinates Council's parliamentary requirements and administers a complex network of committees which form the basis of NSERC's operations. The office plays an important co-ordination role in corporate policy within NSERC and in liaison with central agencies and external organizations. It is also responsible for the administration of the Access to Information Act and the Privacy Act and for ethical issues related to research.

♦ Policy and International Relations

This division co-ordinates strategic planning, program policy development, evaluation, internal audit, international relations and special research projects.

♦ Research Partnerships Directorate

This directorate administers programs intended to promote research in selected fields of national importance and to forge closer links between the university research community, research-oriented government departments and the private sector. These include Strategic Projects, Research Networks and University-Industry projects.

Information Holdings

Program Records

◆ Communications

Communications

Description: information related to the administration and management of NSERC's internal and external communications function including publishing, public and media relations. **Topics:** Communications: public relations: publications. **Access:** Files arranged by subject. **Program Record Number:** SER SER 021

◆ Research Grants and Scholarships Directorate

Collaborative Research Initiatives

Description: information related to a series of NSERC programs designed to support collaboration among Canadian scientists and engineers. **Topics:** Collaborative Special Projects Grants; Collaborative Project Grants. **Access:** files arranged by applicant, university, program, and year. **Note:** These programs were discontinued in 1996. **Storage Medium:** For certain programs, information maintained in case files is held in a computerized database. **Program Record Number:** SER SER 031

Equipment Grants

Description: information related to NSERC's role in enhancing the research capability of university researchers and providing a stimulating environment for research by supporting the purchase of research equipment. **Topics:** equipment grants; research equipment. **Access:** Files arranged by applicant, university and year. **Storage Medium:** Computer database and paper case files. **Program Record Number:** SER SER 036

Other General Support

Description: information related to a series of NSERC special programs and mechanisms aimed at the promotion and support of activities not supported by other programs. **Topics:** E. W. R. Steacie Memorial Fellowships; Attachés de recherche du CRSNG*; Conference grants*; Scientific Publication grants*; Miscellaneous grants*; Canadian Council on Animal Care. **Access:** information arranged by name of applicant and/or university, program, and year. **Note:** Programs marked * discontinued in 1995. **Storage Medium:** computer database and paper case files. **Program Record Number:** SER SER 045

Postgraduate Scholarships

Description: information related to NSERC programs which aim to assist in the provision of highly qualified scientists and engineers by providing financial support to excellent students working toward a master's or doctoral degree in the natural sciences or engineering. **Topics:**

postgraduate scholarships. **Access:** files arranged by name of applicant, program and year. **Storage Medium:** computer database and paper case files. **Program Record Number:** SER SER 055

Research Fellowships

Description: information related to NSERC programs which provide recent doctoral graduates in science and engineering disciplines with opportunities to add to their experience by engaging in research activities in universities and research institutes in Canada and abroad, and in Canadian research-oriented companies. **Topics:** Postdoctoral Fellowships; Industrial Research Fellowships. **Access:** files arranged by name of applicant, program and year. **Storage Medium:** computer database and paper case files. **Program Record Number:** SER SER 060

Research Grants

Description: information related to NSERC's largest grants program aimed at the promotion and support of a broad base of high quality research in the natural sciences and engineering in Canadian universities. **Topics:** Research grants (individual, team and project); Infrastructure grants. **Access:** files arranged by name of applicant, university and year. **Storage Medium:** computer database and paper case files. **Program Record Number:** SER SER 026

◆ Council Secretariat

Secretariat

Description: information related to the organizational structure, membership, agenda, minutes and activities of the Council and its committees; as well as records related to corporate policy; access to information and privacy, and research ethics. **Topics:** terms of reference; agenda and minutes; membership; committees. **Access:** information arranged by subject or by committee. **Storage Medium:** files arranged by subject. **Program Record Number:** SER SER 006

◆ Policy and International Relations

Evaluation and Audit

Description: information related to internal audits and to the evaluation of NSERC's programs, corporate systems and services. **Topics:** program evaluation; internal audit. **Access:** files arranged by subject. **Program Record Number:** SER SER 016

International Programs

Description: information related to NSERC programs aimed at fostering co-operation and collaboration between Canadian researchers and scientists and engineers in other countries through such activities as joint projects, visits and exchanges. **Topics:** NSERC Foreign Researcher Awards*; Register of Canadians Studying Abroad*; Bilateral Exchange Awards; CIDA/NSERC Research Associateships*. **Access:** files arranged by applicant name, program and year. **Note:** Programs marked * discontinued in 1995. **Storage**

Medium: computer database and paper case files.

Program Record Number: SER SER 065

Policy and Planning

Description: information related to corporate and long-range planning and policy development activity.

Topics: policy development; planning; multi-year plans.

Access: files arranged by subject. **Program Record**

Number: SER SER 011

♦ Research Partnerships Directorate

Research Partnerships

Description: information related to NSERC's Research Partnerships program which promotes and supports joint research initiatives and collaboration between universities and Canadian companies or universities and government labs. **Topics:** University-Industry Projects; Research Networks; research and development. **Access:** files arranged by subject or by case file number. **Storage**

Medium: computerized database and paper case files.

Program Record Number: SER SER 075

Strategic Projects

Description: information related to NSERC's program of support for Strategic Projects which provide support to eligible researchers or teams of researchers in Canadian academic institutions for substantial projects in selected research areas of national concern. **Topics:** Strategic projects; university research; funding of university research. **Access:** files are arranged by name of principal applicant, university, and year. **Storage Medium:** computer database and paper case files. **Program Record Number:** SER SER 070

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Budgets

Buildings and Properties

Classification of Positions

Co-operation and Liaison

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Lands

Occupational Health, Safety and Welfare

Office Appliances

Official Languages

Pensions and Insurance

Personnel

Procurement

Salaries and Wages

Staff Relations

Training and Development

Utilities

Vehicles

Personal Information Banks

♦ Research Grants and Scholarships Directorate

Collaborative Research Initiatives

Description: This bank contains information related to applications for support of collaborative research initiatives submitted by individuals who hold academic appointments in eligible Canadian academic institutions. It also contains information related to the administration of these types of awards. It includes details of applicants' post-secondary education, professional experience, and proposals, as well as referee and committee assessments, decisions, reports and recommendations.

Class of Individuals: individuals who apply to NSERC for support of collaborative projects and third parties from whom NSERC has requested assessments of proposals.

Purpose: All personal information related to applicants is used to review applications and to administer and monitor awards and programs. **Consistent Uses:** Consistent with these purposes, applicants should also expect that personal information about them held by NSERC may be used and disclosed in the following ways: 1. As part of peer review, applications are disclosed to selection committees composed of experts recruited from the academic, private and public sectors. In some cases, applications may also be reviewed by external referees, members of ad hoc review committees or site visit committees. Reviews and selection committee comments about a proposal are accessible to co-applicants. 2. NSERC uses personal information about applicants in its files and databases to identify prospective committee members and reviewers for specific grant scholarship or fellowship applications. 3. Because NSERC has a single corporate database, NSERC staff are generally aware of applications submitted by the same individual to different NSERC programs. Some selection committees are provided with multi-year summaries of an individual's NSERC applications and awards in all programs. In cases when, for example, there is a question of possible overlap in the support of an applicant's research activities by two or more NSERC programs, application material submitted to one program may be used during the review of an application submitted to another program. Similarly, applications may be disclosed to program staff in the

Social Sciences and Humanities Research Council or the Medical Research Council for the purposes of determining the most appropriate funding jurisdiction or to monitor overlap in federal support. 4. NSERC routinely publishes and disseminates certain details about successful applications. These include name of the applicant(s), award type, amount awarded, institution and department, the discipline or field of research, the project title and, beginning in 1996 for grant programs, a summary of the research proposal prepared by the applicant for public reference. 5. Files and databases containing personal information may also be used by NSERC for program planning, evaluation and review and in audits and for generating statistics for these activities. 6. Information submitted to NSERC is subject to the Tri-Council Policy on Integrity in Research and Scholarship and may be used and disclosed consistent with that policy. 7. NSERC collects data on the gender of applicants on a voluntary basis only and uses it to promote the increased participation of women in NSERC programs and on NSERC committees. 8. NSERC also uses the information in its files and databases to generate mailing lists in order to disseminate its publications and other information to the research community. **Retention and Disposal Standards:** Paper files, some of which contain documentation on successive applications and awards, are retained by NSERC until ten years from the date of the last correspondence on file. **Note:** These programs were discontinued in 1996. **Related to PR#:** SER 031 **TBS Registration:** 002573 **Bank Number:** SER PPU 055

General Support Programs

Description: This bank contains applications, forms and correspondence related to NSERC's research support and other programs which provide support for research personnel. This would include the Attachés de recherche du CRSNG*, the E.W.R. Steacie Fellowships, and some Miscellaneous Grants*. Files may contain details of applicant's post-secondary education, professional experience, referee and selection committee assessments, and Council's decisions. They may also contain administrative and financial documents related to the administration of awards. **Class of Individuals:** individuals who participate in NSERC's Research Support and other general support programs and third parties from whom NSERC has requested assessments of proposals.

Purpose: All personal information related to applicants is used to review applications and to administer and monitor awards and programs. **Consistent Uses:** Consistent with these purposes, applicants should also expect that personal information about them held by NSERC may be used and disclosed in the following ways: 1. As part of peer review, applications are disclosed to selection committees composed of experts recruited from the academic, private and public sectors. In some cases, applications may also be reviewed by external referees, members of ad hoc review committees or site visit committees. Reviews and selection committee comments about a proposal are accessible to co-applicants. 2. NSERC uses personal information about applicants in its

files and databases to identify prospective committee members and reviewers for specific grant scholarship or fellowship applications. 3. Because NSERC has a single corporate database, NSERC staff are generally aware of applications submitted by the same individual to different NSERC programs. Some selection committees are provided with multi-year summaries of an individual's NSERC applications and awards in all programs. In cases when, for example, there is a question of possible overlap in the support of an applicant's research activities by two or more NSERC programs, application material submitted to one program may be used during the review of an application submitted to another program. Similarly, applications may be disclosed to program staff in the Social Sciences and Humanities Research Council or the Medical Research Council for the purposes of determining the most appropriate funding jurisdiction or to monitor overlap in federal support. 4. NSERC routinely publishes and disseminates certain details about successful applications. These include name of the applicant(s), award type, amount awarded, institution and department, the discipline or field of research, the project title and, beginning in 1996 for grant programs, a summary of the research proposal prepared by the applicant for public reference. 5. Files and databases containing personal information may also be used by NSERC for program planning, evaluation and review and in audits and for generating statistics for these activities. 6. Information submitted to NSERC is subject to the Tri-Council Policy on Integrity in Research and Scholarship and may be used and disclosed consistent with that policy. 7. NSERC collects data on the gender of applicants on a voluntary basis only and uses it to promote the increased participation of women in NSERC programs and on NSERC committees. 8. NSERC also uses the information in its files and databases to generate mailing lists in order to disseminate its publications and other information to the research community. **Retention and Disposal Standards:** Case files, which can include documentation related to a series of successive applications, are retained by NSERC until seven years from the date of the last correspondence on file. **Note:** Programs marked * discontinued in 1995. **Related to PR#:** SER 041; SER 045 **TBS Registration:** 002574 **Bank Number:** SER PPU 060

Lists of External Referees

Description: This bank contains names, affiliation and mailing addresses of individuals in Canada and abroad who are asked by the Council to review proposals for grants to individuals and groups. Only a few of the fields of natural sciences and engineering are included in this bank. Persons requesting access to their personal data should provide field of research, name and affiliation.

Class of Individuals: individuals in Canada and abroad who are asked by the Council to review proposals for grants **Purpose:** The information is used to select external referees for research proposals. **Retention and Disposal Standards:** The retention period for records in

this bank is under review. **TBS Registration:** 003514
Bank Number: SER PPU 050

Register of Canadians Studying Abroad

Description: This bank contains information on the professional qualifications of Canadians who are doing postgraduate or postdoctoral work in the natural sciences or engineering in foreign universities and institutes and who have registered with NSERC so that their availability may be made known to participating Canadian employers who require qualified research personnel. Information includes name, address, current field of study or research, and details of post-secondary education. **Class of Individuals:** Canadian graduate and postgraduate students studying or working in foreign countries who have registered with NSERC. **Purpose:** Information in the bank is used to facilitate the return to Canada of highly qualified scientists and engineers to meet the needs of Canadian employers. **Consistent Uses:** NSERC uses the information in the Register to evaluate its programs and to produce statistics and planning information. NSERC also searches the electronic database file associated with the Scholarships and Fellowships Personal Information Bank to identify potential registrants from among scholars receiving NSERC support. These individuals are then contacted and invited to register. **Retention and Disposal Standards:** Paper documents are retained for three calendar years after the year of registration. Registrants are removed from the electronic database upon request or automatically if no update is received for three years. **Note:** Program discontinued 1995. **Related to PR#:** SER 065 **TBS Registration:** 002577 **Bank Number:** SER PPU 075

Research Grants

Description: This bank contains information related to individual, team and project Research Grant applications as well as applications for equipment, Major Installation and Infrastructure Grants submitted by researchers who hold academic appointments in eligible Canadian academic institutions. It also includes information related to the administration of these types of awards. It includes details of applicants' post-secondary education and professional experience, proposals, referee and selection committee assessments, and Council's decisions. **Class of Individuals:** applicants for NSERC Research, equipment, major installation, and infrastructure grants and third-party reviewers from whom NSERC has requested assessments of proposals. **Purpose:** All personal information related to applicants is used to review applications and to administer and monitor awards and programs. **Consistent Uses:** Consistent with these purposes, applicants should also expect that personal information about them held by NSERC may be used and disclosed in the following ways: 1. As part of peer review, applications are disclosed to selection committees composed of experts recruited from the academic, private and public sectors. In some cases, applications may also be reviewed by external referees, members of ad hoc review committees or site visit committees. Reviews and

selection committee comments about a proposal are accessible to co-applicants. 2. NSERC uses personal information about applicants in its files and databases to identify prospective committee members and reviewers for specific grant scholarship or fellowship applications. 3. Because NSERC has a single corporate database, NSERC staff are generally aware of applications submitted by the same individual to different NSERC programs. Some selection committees are provided with multi-year summaries of an individual's NSERC applications and awards in all programs. In cases when, for example, there is a question of possible overlap in the support of an applicant's research activities by two or more NSERC programs, application material submitted to one program may be used during the review of an application submitted to another program. Similarly, applications may be disclosed to program staff in the Social Sciences and Humanities Research Council or the Medical Research Council for the purposes of determining the most appropriate funding jurisdiction or to monitor overlap in federal support. 4. NSERC routinely publishes and disseminates certain details about successful applications. These include name of the applicant(s), award type, amount awarded, institution and department, the discipline or field of research, the project title and, beginning in 1996 for grant programs, a summary of the research proposal prepared by the applicant for public reference. 5. Files and databases containing personal information may also be used by NSERC for program planning, evaluation and review and in audits and for generating statistics for these activities. 6. Information submitted to NSERC is subject to the Tri-Council Policy on Integrity in Research and Scholarship and may be used and disclosed consistent with that policy. 7. NSERC collects data on the gender of applicants on a voluntary basis only and uses it to promote the increased participation of women in NSERC programs and on NSERC committees. 8. NSERC also uses the information in its files and databases to generate mailing lists in order to disseminate its publications and other information to the research community. **Retention and Disposal Standards:** Inactive paper files, which may include the documentation related to multiple applications, are retained by NSERC until seven years after the date of the last correspondence on the volume. **Related to PR#:** SER 026; SER 036 **TBS Registration:** 002581 **Bank Number:** SER PPU 095

Scholarships and Fellowships

Description: This bank contains information related to the adjudication and administration of NSERC's scholarships and fellowships programs including Undergraduate Research Awards (industry and small universities), Postgraduate Scholarships, Industrial Postgraduate Scholarships, Postgraduate Scholarships in Science Librarianship and Documentation*, 1967 Science and Engineering Scholarships*, Postdoctoral Fellowships, Industrial Research Fellowships, and NATO Science Fellowships. It contains details of applicant's post-secondary education and, in some cases,

professional experience. It includes ratings, assessments, recommendations and decisions. The bank also contains the administrative and financial documentation generated in the administration of these awards. **Class of Individuals:** applicants for NSERC scholarships and fellowships and third parties who provide assessment of applicants' suitability for these awards. **Purpose:** All personal information related to applicants is used to review applications and to administer and monitor awards and programs. **Consistent Uses:** Consistent with these purposes, applicants should also expect that personal information about them held by NSERC may be used and disclosed in the following ways: 1. As part of peer review, applications are disclosed to selection committees composed of experts recruited from the academic, private and public sectors. 2. Because NSERC has a single corporate database, NSERC staff are generally aware of applications submitted by the same individual to different NSERC programs. Some selection committees are provided with multi-year summaries of an individual's NSERC applications and awards in all programs. In cases when, for example, there is a question of possible overlap in the support of an applicant's research activities by two or more NSERC programs, application material submitted to one program may be used during the review of an application submitted to another program. Applications may be disclosed to program staff in the Social Sciences and Humanities Research Council or the Medical Research Council for the purposes of determining the most appropriate funding jurisdiction or to monitor overlap in federal support. 3. NSERC routinely publishes and disseminates certain details about successful applications. These include name of the applicant, award type, amount awarded, institution and department, the proposed location of tenure, the discipline or field of research, the project title and, beginning in 1996 for some programs, a summary of the research proposal prepared by the applicant for public reference. 4. Files and databases containing personal information may also be used by NSERC for program planning, evaluation and review and in audits and for generating statistics for these activities. 5. Information submitted to NSERC is subject to the Tri-Council Policy on Integrity in Research and Scholarship and may be used and disclosed consistent with that policy. 6. NSERC collects data on the gender of applicants on a voluntary basis only and uses it to promote the increased participation of women in NSERC programs and on NSERC committees. 7. NSERC also uses the information in its files and databases to generate mailing lists in order to disseminate its publications and other information to the research community. **Retention and Disposal Standards:** Paper files, which may contain documentation related to successive applications in different programs, are retained by NSERC until six years after the date of the last correspondence on file. **Note:** Programs marked * discontinued in 1995. **Related to PR#:** SER 050; SER 055; SER 060 **TBS Registration:** 002575 **Bank Number:** SER PPU 065

Visiting Fellowships in

Canadian Government Laboratories

Description: This bank contains information related to the granting of Visiting Fellowships in Canadian Government Laboratories which NSERC administers on behalf of a number of federal departments and agencies. It also contains information on NSERC International Fellowships offered in Canadian Universities*. The bank contains details of applicants' post-secondary education and professional experience. It may contain information on an applicant's marital status and the number and ages of children in cases where NSERC is involved in arranging travel and insurance. It includes assessments of applications as well as recommendations and decisions. The bank also contains the administrative and financial documentation generated in the administration of awards. **Class of Individuals:** Canadian citizens and researchers from other countries who participate in the program of Visiting Fellowships in Canadian Government Laboratories or the Canada International Fellowships Program*, and third parties who provide references and assessments of applicants' suitability for awards. **Purpose:** Information in the bank is used to review applications and to monitor and administer awards and programs. **Consistent Uses:** Consistent with these purposes, applicants should also expect that personal information about them held by NSERC may be used and disclosed in the following ways: 1. As part of peer review, applications are disclosed to selection committees composed of experts recruited from the academic, private and public sectors. In some cases, applications may also be reviewed by external referees, members of ad hoc review committees or site visit committees. Reviews and selection committee comments about a proposal are accessible to co-applicants. For the Visiting Fellowships Program, which NSERC administers on behalf of other federal departments, applications will be shared with appropriate officials in other federal institutions. 2. Because NSERC has a single corporate database, NSERC staff are generally aware of applications submitted by the same individual to different NSERC programs. Some selection committees are provided with multi-year summaries of an individual's NSERC applications and awards in all programs. In cases when, for example, there is a question of possible overlap in the support of an applicant's research activities by two or more NSERC programs, application material submitted to one program may be used during the review of an application submitted to another program. Applications may be disclosed to program staff in the Social Sciences and Humanities Research Council or the Medical Research Council for the purposes of determining the most appropriate funding jurisdiction or to monitor overlap in federal support. 3. NSERC routinely publishes and disseminates certain details about successful applications. These include name of the applicant(s), award type, amount awarded, institution and department (for scholarships and fellowships holders, the proposed location of tenure), the discipline or field of research, the project title and, beginning in 1996 for grant programs, a summary of the research proposal prepared by the

applicant for public reference. 4. Files and databases containing personal information may also be used by NSERC for program planning, evaluation and review and in audits and for generating statistics for these activities. 5. Information submitted to NSERC is subject to the Tri-Council Policy on Integrity in Research and Scholarship and may be used and disclosed consistent with that policy. 6. NSERC collects data on the gender of applicants on a voluntary basis only and uses it to promote the increased participation of women in NSERC programs and on NSERC committees. 7. NSERC also uses the information in its files and databases to generate mailing lists in order to disseminate its publications and other information to the research community. **Retention and Disposal Standards:** Paper files, which may contain documentation related to successive applications, are retained by NSERC until six years after the date of the last correspondence on the file. **Note:** Programs marked * discontinued in 1995. **Related to PR#:** SER 065 **TBS Registration:** 002579 **Bank Number:** SER PPU 085

♦ Common Administrative Services Directorate

Employment Applications

Description: This bank contains information for reference on candidates available for employment at the Natural Sciences and Engineering Research Council when vacancies arise. It contains letters, completed application forms, applicant resumes; it may contain comments of the interviewer, letters of reference and other application information on individuals who have applied for specific advertised openings or an appropriate future opening. Individuals wishing access should provide their full name and address. **Class of Individuals:** individuals who apply for employment with the Natural Sciences and Engineering Research Council. **Purpose:** information is used for staffing vacancies as they arise. **Retention and Disposal Standards:** Records are retained for two fiscal years and then destroyed. **PAC Number:** 78-001 **TBS Registration:** 003515 **Bank Number:** SER PPU 045

♦ Council Secretariat

Access Request Data Bank

Description: This bank contains the access request forms sent to NSERC by individuals requesting access to records and to personal information, the replies to these requests, and information related to their processing. **Class of Individuals:** Individuals who have made requests to NSERC under the Access to Information Act or the Privacy Act. **Purpose:** The purpose of this bank is to administer requests made under the Access to Information Act and the Privacy Act. **Retention and Disposal Standards:** Files are maintained for two years following the last administrative action. **PAC Number:** 78-001 **TBS Registration:** 001627 **Bank Number:** SER PPU 035

Committee Nominations and Membership

Description: This bank includes the names of individuals who have been recommended or nominated for

participation on NSERC committees. It may include affiliations, addresses and telephone numbers, areas of expertise, the name of the person who made the nomination as well as information on the individual's past participation and future availability. For individuals who confirm their acceptance of a nomination, the bank may also include a current curriculum vitae, and fuller information on the membership selection process including staff reports and the views of discipline experts consulted about the nominations. **Class of Individuals:** Members of the scientific and engineering community in Canada and abroad nominated by their peers or identified by NSERC as prospective committee members. Individuals who agree to serve on NSERC committees. Persons who nominate or recommend others as prospective committee members. Individuals consulted by NSERC staff during the committee membership selection process. **Purpose:** This information is used to identify and select individuals with specific technical knowledge and expertise to participate on NSERC committees. **Consistent Uses:** Information related to nominees may be disclosed to members of current NSERC committees and/or other discipline experts for the purpose obtaining of their opinions on the committee composition. In cases where NSERC proposes to disclose information about nominees to others to obtain their views, staff first contact the nominees to advise them about this process and obtain their consent. **Retention and Disposal Standards:** Five years after the last administrative action. **Related to PR#:** SER 006 **TBS Registration:** 003939 **Bank Number:** SER PPU 022

Compliance — Integrity in Research and Scholarship

Description: This bank includes correspondence and reports collected and generated in the course of monitoring institutional and individual compliance with the Tri-Council Policy Statement on Integrity in Research and Scholarship. It may include allegations of non-compliance, requests from NSERC to research institutions to explore allegations, summaries of institutional findings and sanctions imposed by NSERC. **Class of Individuals:** Individuals who make allegations about breaches of the Tri-Council Policy Statement on Integrity in Research and Scholarship, individuals who are the subject of allegations, officials in research institutions or in the academic community involved in determining whether allegations are well-founded. **Purpose:** The purpose of this bank is to monitor the compliance of research institutions and individual researchers with the Tri-Council Policy Statement on Integrity in Research and Scholarship. **Retention and Disposal Standards:** Five years after the last administrative action. Summary information related to sanctions maintained for the duration of the sanction. **Related to PR#:** SER 006 **TBS Registration:** 003940 **Bank Number:** SER PPU 023

◆ Research Partnerships Directorate

Research Partnerships Programs

Description: This bank contains information on individuals participating in NSERC's Research Partnerships which promote collaboration between universities and companies or universities and government researchers. This bank includes university-industry programs and research networks. Files may include details of applicants' post-secondary education, professional experience, and research proposals. They may also include references and assessments by third parties, committee recommendations, Council's decisions and documentation generated in the administration of awards. **Class of Individuals:**

participants in NSERC's Research Partnerships programs and individuals from whom NSERC has requested expert assessments of applications and proposals. **Purpose:**

All personal information related to applicants is used to review applications and to administer and monitor awards and programs. **Consistent Uses:** Consistent with these purposes, applicants should also expect that personal

information about them held by NSERC may be used and disclosed in the following ways: 1. As part of peer review, applications are disclosed to selection committees composed of experts recruited from the academic, private and public sectors. In some cases, applications may also be reviewed by external referees, members of ad hoc review committees or site visit committees. Reviews and selection committee comments about a proposal are accessible to co-applicants. 2. NSERC uses personal information about applicants in its files and databases to identify prospective committee members and reviewers for specific grant, scholarship or fellowship applications. 3. Because NSERC has a single corporate database, NSERC staff are generally aware of applications submitted by the same individual to different NSERC programs. Some selection committees are provided with multi-year summaries of an individual's NSERC applications and awards in all programs. In cases when, for example, there is a question of possible overlap in the support of an applicant's research activities by two or more NSERC programs, application material submitted to one program may be used during the review of an application submitted to another program. Similarly, applications may be disclosed to program staff in the Social Sciences and Humanities Research Council or the Medical Research Council for the purposes of determining the most appropriate funding jurisdiction or to monitor overlap in federal support. 4. NSERC routinely publishes and disseminates certain details about successful applications. These include name of the applicant(s), award type, amount awarded, institution and department, the discipline or field of research, the project title and, beginning in 1996 for grant programs, a summary of the research proposal prepared by the applicant for public reference. 5. Files and databases containing personal information may also be used by NSERC for program planning, evaluation and review and in audits and for generating statistics for these activities. 6. Information submitted to NSERC is subject to the Tri-Council Policy on Integrity in Research

and Scholarship and may be used and disclosed consistent with that policy. 7. NSERC collects data on the gender of applicants on a voluntary basis only and uses it to promote the increased participation of women in NSERC programs and on NSERC committees. 8. NSERC also uses the information in its files and databases to generate mailing lists in order to disseminate its publications and other information to the research community. **Retention and Disposal Standards:** Paper files are retained by NSERC until seven years after the date of the last correspondence on file. **Related to PR#:** SER 075 **TBS Registration:** 002580 **Bank Number:** SER PPU 090

Strategic Projects

Description: This bank contains information related to applications received from researchers in Canadian academic institutions for support under the Strategic Projects program and information related to the administration of these awards. It includes details of applicants' post-secondary education, professional experience, and research proposals as well as referee and selection panel assessments, and Council's decisions. **Class of Individuals:** applicants for NSERC Strategic Project support and third-party reviewers from whom NSERC has requested assessments of applications. **Purpose:** All personal information related to applicants is used to review applications and to administer and monitor awards and programs. **Consistent Uses:** Consistent with these purposes, applicants should also expect that personal information about them held by NSERC may be used and disclosed in the following ways: 1. As part of peer review, applications are disclosed to selection committees composed of experts recruited from the academic, private and public sectors. In some cases, applications may also be reviewed by external referees, members of ad hoc review committees or site visit committees. Reviews and selection committee comments about a proposal are accessible to co-applicants. 2. NSERC uses personal information about applicants in its files and databases to identify prospective committee members and reviewers for specific grant scholarship or fellowship applications. 3. Because NSERC has a single corporate database, NSERC staff are generally aware of applications submitted by the same individual to different NSERC programs. Some selection committees are provided with multi-year summaries of an individual's NSERC applications and awards in all programs. In cases when, for example, there is a question of possible overlap in the support of an applicant's research activities by two or more NSERC programs, application material submitted to one program may be used during the review of an application submitted to another program. Similarly, applications may be disclosed to program staff in the Social Sciences and Humanities Research Council or the Medical Research Council for the purposes of determining the most appropriate funding jurisdiction or to monitor overlap in federal support. 4. NSERC routinely publishes and disseminates certain details about successful applications. These include name of the applicant(s), award type, amount awarded, institution and department,

the discipline or field of research, the project title and, beginning in 1996 for grant programs, a summary of the research proposal prepared by the applicant for public reference. 5. Files and databases containing personal information may also be used by NSERC for program planning, evaluation and review and in audits and for generating statistics for these activities. 6. Information submitted to NSERC is subject to the Tri-Council Policy on Integrity in Research and Scholarship and may be used and disclosed consistent with that policy. 7. NSERC collects data on the gender of applicants on a voluntary basis only and uses it to promote the increased participation of women in NSERC programs and on NSERC committees. 8. NSERC also uses the information in its files and databases to generate mailing lists in order to disseminate its publications and other information to the research community. **Retention and Disposal Standards:** Inactive paper files, which may include documentation related to multiple applications, are retained by NSERC until seven years after the date of the last correspondence. **Related to PR#:** SER 070 **TBS Registration:** 002578 **Bank Number:** SER PPU 080

International Programs

Description: This bank contains information related to NSERC's Bilateral Exchange Awards, NSERC Foreign Researcher Awards*, Grants for Research Abroad* and CIDA/NSERC Research Associateships*. It contains information supplied by participants on their post-secondary education, professional experience and research proposals, and may include third-party assessments. The bank contains administrative and financial documentation generated in the administration of the awards. **Class of Individuals:** Participants in NSERC's international programs and individuals who provide assessments of proposals.

Purpose: All personal information related to applicants is used to review applications and to administer and monitor awards and programs. **Consistent Uses:** Consistent with these purposes, applicants should also expect that personal information about them held by NSERC may be used and disclosed in the following ways: 1. As part of peer review, applications are disclosed to selection committees composed of experts recruited from the academic, private and public sectors. In some cases, applications may also be reviewed by external referees, members of ad hoc review committees or site visit committees. Reviews and selection committee comments about a proposal are accessible to co-applicants. 2. NSERC uses personal information about applicants in its files and databases to identify prospective committee members and reviewers for specific grant scholarship or fellowship applications. 3. Because NSERC has a single corporate database, NSERC staff are generally aware of applications submitted by the same individual to different NSERC programs. Some selection committees are provided with multi-year summaries of an individual's NSERC applications and awards in all programs. In cases when, for example, there is a question of possible overlap in the support of an applicant's research activities by two or more NSERC programs, application material submitted

to one program may be used during the review of an application submitted to another program. Similarly, applications may be disclosed to program staff in the Social Sciences and Humanities Research Council or the Medical Research Council for the purposes of determining the most appropriate funding jurisdiction or to monitor overlap in federal support. 4. NSERC routinely publishes and disseminates certain details about successful applications. These include name of the applicant(s), award type, amount awarded, institution and department, the discipline or field of research, the project title and, beginning in 1996 for grant programs, a summary of the research proposal prepared by the applicant for public reference. 5. Files and databases containing personal information may also be used by NSERC for program planning, evaluation and review and in audits and for generating statistics for these activities. 6. Information submitted to NSERC is subject to the Tri-Council Policy on Integrity in Research and Scholarship and may be used and disclosed consistent with that policy. 7. NSERC collects data on the gender of applicants on a voluntary basis only and uses it to promote the increased participation of women in NSERC programs and on NSERC committees. 8. NSERC also uses the information in its files and databases to generate mailing lists in order to disseminate its publications and other information to the research community. **Retention and Disposal Standards:** Paper files, which may contain documentation related to successive applications and awards, are retained by NSERC until seven years after the date of the last correspondence on file. **Note:** Programs marked * discontinued in 1995. **Related to PR#:** SER 065 **TBS Registration:** 002576 **Bank Number:** SER PPU 070

Classes of Personal Information

Subject files

NSERC's subject files contain a certain amount of personal information not arranged or retrievable by personal identifiers. Typically, this includes personal addresses associated with routine requests for information or opinions expressed by individuals in correspondence with NSERC on program matters.

This kind of personal information is not used for any specific administrative purpose. It is normally retrievable only if the requester gives details about the subject, date, and circumstances under which the information was provided to NSERC. The retention period for this kind of information is governed by schedules approved by the National Archivist for various subject files in which it is stored.

Manuals

- Peer Review Manual
- Research Partnerships: Technology Diffusion Activities
- Researcher's Guide
- Scholarships and Fellowships Guide

- Tri-Council Policy Statement on Integrity in Research and Scholarship
- Your NSERC Award

Communications
NSERC
Constitution Square
13th floor, 350 Albert Street
Ottawa Ontario
(613) 995-6295

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Additional information on the Council’s organization, programmes and operations can be obtained by contacting:

Reading Room

NSERC has designated one of its meeting rooms a public reading room in accordance with the Act. The address is:

National Capital Region

Constitution Square
13th floor, 350 Albert Street
Ottawa Ontario

Northern Pipeline Agency Canada

Chapter 75

General Information

Background

The Northern Pipeline Agency (NPA) was created with the proclamation of the Northern Pipeline Act in April 1978, to oversee planning and construction of the Canadian portion of the Alaska Highway Gas Pipeline Project by the Foothills Group of Companies. Pending resumption of planning and construction of the pipeline, the only office in the Agency that is staffed is the Office of the Commissioner, which maintains a small support staff.

Responsibilities

The Agency's mandate is twofold. It is required to regulate the project and to streamline and expedite the approvals process. It is also responsible for ensuring that the pipeline system yields for Canadians the maximum economic and industrial benefit with the least amount of social and environmental disruption, particularly to native communities.

The Agency was designed to act as a single window between federal authorities and the Foothills Group of Companies, and between provincial and territorial governments, and the Government of the United States. In keeping with the Act, many regulatory powers of other federal departments and agencies related to the pipeline project have been delegated to the Agency. This is not the case for those powers reserved exclusively to the National Energy Board or shared between the Board and the Agency.

Legislation

- Northern Pipeline Act, R.S.C. 1985, c. N-26

Organization

♦ Office of the Commissioner

As Agency headquarters, the Office provides support to both the Minister responsible for the Agency and the Commissioner. The Office is responsible for developing and consulting on major policy issues between the United States, the provinces, and federal departments and agencies, concerning the planning and construction of the Canadian portion of the Alaska Highway Gas Pipeline Project.

♦ Office of the Administrator

The Office exercises such powers and performs such duties and functions as the Minister may specify.

♦ Office of the Designated Officer

The Office carries out all the responsibilities specifically required under the Northern Pipeline Act.

♦ Policy and Programs Unit (not staffed)

This Unit is responsible for most aspects of the Agency's operational role not assigned by statute to the deputy administrator and designated officer, and for contributing to those orders, directions and approvals for the designated officer which require the Minister's concurrence. It is also responsible for socio-economic and environmental matters, industrial benefits, relationships with federal, provincial and territorial government departments, and manpower planning and logistical matters related to the project. It holds special policy responsibilities for the internal planning and administration of the Agency in matters of financial management and administration.

♦ Socio-economic and Environment Unit (not staffed)

This Unit acts as liaison with various public interest groups and territorial, provincial and federal bodies; advises on socio-economic and environmental policies and procedures; administers terms and conditions; carries out socio-economic and environmental surveillance and monitoring to minimize the negative effects and maximize the benefits of construction and operation of the pipeline. It advises the Agency, federal, provincial and territorial government departments, and community and native groups, and confers with the owners, contractors, and unions on matters related to the avoidance and resolution of labour disputes on the project.

♦ Scheduling and Regulatory Unit (not staffed)

This Unit oversees the project scheduling and cost control procedures involved in building the pipeline, including scheduling, critical path planning, and cost review and monitoring. It is also involved in the major areas of project progress information centre and surveillance proceedings, right-of-way responsibilities delegated by the National Energy Board, as well as the issue of permits and orders to ensure correct technical content.

♦ Engineering Design Unit (not staffed)

This Unit is responsible for design review and ensuring that appropriate methods are followed by the pipeline engineering section with each company of the Foothills Group. It is responsible for the approval of all engineering design of the pipeline, as well as for providing direction to personnel who will ensure that the company conforms with the engineering requirements, orders, specifications and terms and conditions related to the construction of the pipeline.

Information Holdings

Program Records

Advisory Councils

Description: Information on relations with Advisory Councils to assist the Minister and Commissioner in carrying out the objects of the Northern Pipeline Act. **Program Record Number:** NPA ADM 015

British Columbia Regional Office

Description: Information on all aspects of planning and construction of the Alaska Highway Gas Pipeline in British Columbia with emphasis on liaison between the federal, provincial, territorial and municipal governments, as well as individuals. **Topics:** Construction operations; native groups; pipeline manpower; special interest groups; surveillance; special projects and incidents; American pipeline information. **Access:** Files are arranged by subject and construction zone. **Program Record Number:** NPA ADM 025

Delegated Authorities

Description: Information on the exercise and performance of certain powers, duties and functions delegated to the Northern Pipeline Agency by other government departments. **Topics:** Delegation of authority from the National Energy Board; delegation of authority under the National Energy Board Gas Pipeline Regulations; delegation of authority under the Northern Pipeline Act. **Access:** Files arranged by subject and construction zone. **Program Record Number:** NPA ODO 040

Engineering and Operational File

Description: Information on all engineering aspects associated with the operational phase of the pipeline. **Topics:** Analyses and tests; compressor stations; meter stations; pipeline stress; engineering surveillance; pipeline crossings. **Access:** Files arranged by subject and construction zone. **Program Record Number:** NPA EDU 100

Engineering Design and Development

Description: Information on the engineering design and development necessary before the construction of the pipeline. **Topics:** Specifications and codes; analyses and tests; materials engineering; geotechnical design; pipeline design. **Access:** Files arranged by subject and construction zone. **Program Record Number:** NPA EDU 095

Environment

Description: Information on all aspects of the environment, including climatic conditions in areas through which the pipeline passes, any changes and their effects on pipeline construction. **Topics:** Environmental impact settlement; biological effects; wildlife; pollution; permafrost, frost heave, and ice. **Access:** Files arranged

by subject and segment of the pipeline. **Program Record Number:** NPA SEE 070

Government Programs

Description: Information on the powers and authorities delegated to the NPA by other federal departments and agencies, except the National Energy Board. **Topics:** Permits and orders; land tenure and use; field surveillance scheduling and administration. **Access:** Files arranged by subject and construction zone. **Program Record Number:** NPA TRA 050

Industrial Benefits

Description: Information on the economic benefits to Canada of the construction of the Alaska Highway Gas Pipeline through the purchase of pipeline material. **Topics:** Pipe procurement; valve procurement; turbine-compressor packages; fittings procurement; Canadian content. **Access:** Files arranged by subject and construction zone. **Program Record Number:** NPA TRA 055

Legal Affairs

Description: Information on the administration of legal matters; also opinions and decisions. **Topics:** Policy; Commissioner of Oaths; interpretation and application of legislation. **Program Record Number:** NPA ADM 030

Logistics Transportation

Description: Information on logistical plans and activities from the standpoint of their effects on transportation systems. **Topics:** Transportation — pipe; air transportation systems; rail transportation systems. **Access:** Files arranged by subject and construction zone. **Program Record Number:** NPA TRA 045

Manpower

Description: Information on manpower planning, requirements, reporting, recruiting, training, orientation and compensation as they relate to construction of the Alaska Highway Gas Pipeline. **Topics:** Information and recruitment; native hiring and opportunities; training and hiring preferences; manpower inventory; health plans, housing and work camps; contractor-union undertakings; female workers; statistics; manpower counts; construction manpower reports; surveillance manpower reports. **Access:** Files arranged by subject and segment of the line. **Program Record Number:** NPA SEE 075

Policy

Description: Information on federal-provincial, intergovernmental and international relations and negotiations concerning financing, construction, agreements, tariffs, gas exports and legislation. **Program Record Number:** NPA COM 005

Project Scheduling and Monitoring

Description: Information on regulatory matters, construction scheduling and monitoring, cost control and critical path planning for the project. **Access:** Files

arranged by construction zone. **Program Record Number:** NPA SRU 090

Public Affairs

Description: Information on NPA public relations, community information, media liaison and employee communications. **Topics:** Information services; advertising; communications strategies. **Program Record Number:** NPA ADM 035

Right-of-Way

Description: Information on the acquisition of land for the construction of the pipeline or of any facilities associated with it. **Topics:** Service of land owners; land acquisition status reports; right-of-way; grant of easement; applications for leave to take additional lands; acquisition of work space; public hearings. **Access:** Files arranged by subject and construction zone. **Program Record Number:** NPA SRU 085

Secretariat Operations

Description: Information on all formal communications between NPA operational headquarters, regional offices, the Foothills Group of Companies, federal, provincial and territorial governments, industry and the public. **Topics:** Corporations, companies and firms; government liaison; public relations. **Program Record Number:** NPA ADM 010

Social and Cultural Issues

Description: Information on social and cultural matters, particularly as they relate to the effect of pipeline construction on the culture and livelihood of the indigenous peoples living along its route. **Topics:** Trap-line compensation; loss of livelihood; native harvesting; archaeological sites; protection of recreational areas; burial sites. **Access:** Files arranged by subject and segment of the pipeline. **Program Record Number:** NPA SEE 065

Socio-economic Issues

Description: Information on socio-economic issues such as manpower, social services and employment opportunities; also issues related to the existence of the pipeline. **Topics:** Social, economic and environmental effects; plans and plan schedules for pipeline construction; social services; opportunity measures; business opportunities; communities. **Access:** Files arranged by subject and segment of the line. **Program Record Number:** NPA SEE 060

Surveillance Information

Description: Information on the day-to-day operations associated with pipeline and station construction. **Topics:** Diaries and reports; daily field orders; construction progress reports; equipment reports; reports of non-compliance. **Access:** Files arranged by subject and segment of the line. **Program Record Number:** NPA SRU 080

Yukon Regional Office

Description: Information on all aspects of planning and construction of the Alaska Highway Gas Pipeline in the Yukon with emphasis on liaison between the federal, provincial, territorial and municipal governments, as well as individuals. **Topics:** Construction operations; native groups; pipeline manpower; special interest groups; surveillance; special projects and incidents; American pipeline information. **Access:** Files are arranged by subject and construction zone. **Program Record Number:** NPA ADM 020

Personal Information Banks

Access Request Data Bank

Description: The bank contains access request forms received from individuals requesting access to their files, the replies to such requests and information related to processing. **Class of Individuals:** Employees, applicants for employment, and individuals providing services under contract. **Purpose:** The bank provides information on access requests. **Consistent Uses:** The bank records requests for access and provides information on periodic reports on requests. **Retention and Disposal Standards:** Files are retained for two years (under review). **TBS Registration:** 000740 **Bank Number:** NPA PPU 020

Application for Employment

Description: The bank maintains an inventory of applicants from the general public or the federal government for employment with the Northern Pipeline Agency. It includes applications for employment, curricula vitae, letters of reference and other personal information. Individuals are identified by name. The Office of the Commissioner is responsible for this bank. **Class of Individuals:** Applicants for employment in the Agency. **Purpose:** The bank is used to identify applicants, their skills and abilities, work histories, or education for possible future appointments to the Agency staff. **Consistent Uses:** The bank is used in the staffing of Agency positions. **Retention and Disposal Standards:** Records are retained in the bank for two calendar years (under review). **TBS Registration:** 000737 **Bank Number:** NPA PPU 005

Expenditure Records

Description: The bank contains documentation in support of expenses incurred by employees in the performance of their duties including authorization for expenditure, advances and claims. **Class of Individuals:** Employees of the institution. **Purpose:** The documentation in the bank is used to support payments to employees and is retained for the purposes of audit. **Consistent Uses:** The bank is used in the administration and verification of expense claims of employees. **Retention and Disposal Standards:** Information in the bank is retained covering the most recent six fiscal years of the employee, including the current fiscal year. **PAC Number:** 78-001 **TBS Registration:** 000739 **Bank Number:** NPA PPU 015

Service Contract Files

Description: The bank maintains information on individuals or firms engaged under contract. The files contain data referring to curricula vitae, rates charged for service, dollar values of contracts, terms of contracts and previous contracts. **Class of Individuals:** Individuals performing services for the Agency either directly or through their firms. **Purpose:** The bank was established to record information relating to individuals and firms providing services to the Agency under contract.

Consistent Uses: The bank is used to record information relating to persons and firms providing services to the Agency under contract and to control payments for such services. **Retention and Disposal Standards:** The files in the bank are kept for six years following completion of the services. **PAC Number:** 78-001 **TBS Registration:** 000738 **Bank Number:** NPA PPU 010

Manuals

Office of the Designated Officer

- Designated Items — Procurement Program
- Engineering and Technical Orders
- Environmental Terms and Conditions
- Schedule III to the Northern Pipeline Act
- Socio-economic Terms and Conditions

Policy and Programs

- Alaska Highway Gas Pipeline Project Report on Transportation and Logistics — August 1, 1980, and March 1, 1981; also February 27, 1981
- Alaska Highway Pipeline Project, Logistics — August 1980
- Manual of Internal Administration

Scheduling and Regulatory

- Consolidated Monthly Progress Reports
- Final Design Cost Estimate Phase I, Eastern Leg — December 1980
- Final Design Cost Estimate Prebuild, Western Leg — April 1980
- Final Design Cost Estimate Prebuild, Western Leg Re-submission — September 1980
- Phase 1 Progress Report
- Reports of Non-compliance Issued to Foothills by Surveillance Officers

- Station Construction Reports
- 1982 Operations and Maintenance Expense Budget, Zone 7 and 8

Engineering Design

- Commissioning
- Compressor Stations
- Crossings
- Flow Studies
- Leave to Open
- Monitoring Procedures
- Operator's Manual
- Pipeline and Compressor Testing and Acceptance Plans
- Regulatory Submission Schedules
- Stress Analyses, Frost Heave, Settlement, Muskeg, Pipe Stability, Earthquake

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Agency and its various programs and functions may be directed to:

Special Advisor on Policy and Public Affairs
Northern Pipeline Agency
Lester B. Pearson Building
125 Sussex Drive
Ottawa, Ontario
K1A 0G2
(613) 993-7466

Reading Room

In accordance with the Access to Information Act, an area on the premises of this institution has been designated as a public reading room. The address is:

Lester B. Pearson Building
125 Sussex Drive
Ottawa, Ontario

Northwest Territories Water Board

Chapter 76

General Information

Background

The Northwest Territories Water Board was established under section 7 of the Northern Inland Waters Act, which was proclaimed on February 28, 1972. The Northern Inland Waters Regulations were promulgated on September 14, 1972. Two amendments to the Regulations have been made since; one on January 15, 1975, and the other on July 17, 1975. The Board held its founding meeting in Yellowknife, N.W.T. on April 8, 1972.

Responsibilities

The Board provides for the conservation, development and use of the water resources of the Northwest Territories in a manner that will provide the optimum benefit for all Canadians, and for the residents of the Territories in particular. Under the Act an application must be made to the Board and a licence issued prior to the use of any waters or disposal of any waterborne waste. The requirement for application applies equally to departments and agencies of the federal government. The only exclusions are the use of water for domestic purposes, for extinguishing a fire or, in an emergency, for controlling or preventing a flood.

Legislation

- Northern Inland Waters Act
- Northern Inland Waters Regulations

Organization.

The Board consists of not fewer than three and not more than nine members appointed by the Minister of Indian Affairs and Northern Development. It is made up of at least one nominee from those departments of the Government of Canada which, in the opinion of the

Governor in Council, are most directly concerned with the management of the water resources in the Northwest Territories, and at least three persons named by the Commissioner in Council of the Northwest Territories.

Professional and technical staff is provided by the Minister of Indian Affairs and Northern Development to help conduct the business of the Board and its activities. A Technical Advisory Committee was established in 1973 to provide a forum for the discussion of technical matters related to applications for use of water and waste disposal.

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Board and its various programs and functions may be direct to:

Executive Assistant
Northwest Territories Water Board
9th Floor, Precambrian Building
P.O. Box 1500
Yellowknife, Northwest Territories
X1A 2R3
(403) 920-8191

Reading Room

In accordance with the Access to Information Act, an area on the premises of this institution has been designated as a public reading room. The address is:

Precambrian Building
9th Floor
Yellowknife, Northwest Territories

Office of the Auditor General of Canada

Chapter 77

General Information

NOTE: THIS INSTITUTION IS NOT SUBJECT TO THE ACCESS TO INFORMATION ACT.

Responsibilities

The Auditor General is required by the Auditor General Act to audit government departments, agencies, and Crown corporations and the Accounts of Canada. He reports the results of his examinations to the House of Commons.

Information Holdings

Personal Information Banks

Access Request Data Bank

Description: This bank contains the access request forms sent by individuals requesting access to their files, the replies to such requests and information related to their processing. **Class of Individuals:** This information relates to individuals requesting access to their files.

Purpose: Information in this bank is used for processing access requests only, and to report on the number of access requests received annually. **Retention and Disposal Standards:** Files are kept for two years. **PAC Number:** 86-001 **TBS Registration:** 001590 **Bank Number:** OAG PPU 020

Competition Files

Description: This bank exists in accordance with Section 18 of the Public Service Employment Regulations, to record and provide information related to any competition administered by the Auditor General's Office. The data include job descriptions; requests to staff; job profiles; statement of qualifications; terms of reference; eligibility lists; applications for the particular competitions. The bank contains records on all persons who are included in a competition which the Auditor General's Office administers. Individuals may gain access to these records by a written request. **Class of Individuals:** The information relates to all persons who are included in a competition which the Auditor General's Office administers. **Purpose:** The bank is used to provide information relating to all competitions administered by the Auditor General's Office so that the most meritorious candidate is selected. **Retention and Disposal Standards:** Retention for board assessments is two years

following board date; for eligibility lists one year after expiry; and for other documents two years from date of completion of process. **PAC Number:** 86-001 **TBS Registration:** 001589 **Bank Number:** OAG PPU 015

Professional Service Contracts

Description: This bank contains data referring to curricula vitae and level within the firm of the individual, performance appraisal, rates charged for services, dollar values of contract, terms and contracts and previous contracts. **Class of Individuals:** This information relates to all individuals working on contract for the Office of the Auditor General (OAG) anywhere in the world. **Purpose:** The purpose of this bank is to maintain information on individual consultants engaged under contract.

Consistent Uses: This information is used to report on status and commitment values of contracts throughout the Office. **Retention and Disposal Standards:** Files are kept for six fiscal years. **PAC Number:** 86-001 **TBS Registration:** 001587 **Bank Number:** OAG PPU 005

Unsolicited Employment Applications

Description: The data in this bank include the applicant's name and address, the date the application was acknowledged and the type of action taken (e.g., future vacancies). Anyone requesting access to these records should do so in writing. **Class of Individuals:** The information relates to persons who have submitted applications without the Office of the Auditor General requesting them to do so. **Purpose:** This bank exists to keep a record of unsolicited employment applications received by the Office and the action taken by the Office following the receipt of an application. **Retention and Disposal Standards:** Records are retained for two years. **PAC Number:** 86-001 **TBS Registration:** 001588 **Bank Number:** OAG PPU 010

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Privacy Act.

For further information on the activities of the OAG, please contact:

Office of the Auditor General of Canada
Room 1117
240 Sparks Street
Ottawa, Ontario
(613) 995-3708 Ext 6371

Office of the Chief Electoral Officer

Chapter 78

General Information

NOTE: THIS INSTITUTION IS NOT SUBJECT TO THE ACCESS TO INFORMATION ACT.

Background

The position of Chief Electoral Officer was created in 1920 by the Dominion Elections Act, largely to put an end to political partisanship in the administration of federal elections. The Chief Electoral Officer is appointed by a resolution of the House of Commons, so that all parties represented there may contribute to the selection process. Once appointed, the incumbent reports directly to the House of Commons and is thus completely independent of government and political parties. The Chief Electoral Officer serves until he/she attains the age of sixty-five years and can only be removed for cause, by the Governor General on address of the Senate and House of Commons.

Responsibilities

Elections Canada is the non-partisan agency responsible for the conduct of federal elections and referendums. Its prime task is to be prepared at all times to administer an electoral event.

The Chief Electoral Officer is responsible for exercising general direction and supervision over the preparation and administration of federal electoral events as well as the reporting requirements relating to expenses incurred pursuant to the provisions of the Canada Elections Act and the Referendum Act.

Compliance and enforcement of the provisions of the Canada Elections Act and of the Referendum Act is carried out by the Commissioner of Canada Elections under the general supervision of the Chief Electoral Officer by whom the Commissioner is appointed.

The Chief Electoral Officer also provides the necessary data and assistance to enable the electoral boundaries commissions to discharge their responsibilities under the Electoral Boundaries Readjustment Act with respect to each province's representation in the House of Commons and taxes all accounts relating to the expenditures of the Electoral Boundaries Commissions.

Legislation

- Canada Elections Act
- Dominion Controverted Elections Act
- Electoral Boundaries Readjustment Act
- Referendum Act

Organization

♦ The Administration and Human Resources Directorate

The directorate is responsible for all personnel, records management, security management, telecommunications and administration matters, including purchasing all the supplies and services Elections Canada needs to conduct an electoral event.

♦ The Commissioner of Canada Elections

The Commissioner is responsible for the handling of all complaints of alleged infractions. Except for offences relating to peace and good order, offences under the Canada Elections Act, the Referendum Act and section 126 of the Criminal Code, can be prosecuted only with the consent of the Commissioner. The Commissioner, in collaboration with the Legal Services Directorate assist the Chief Electoral Officer in developing preventive measures to ensure compliance with the legislation and improve the information available to the public.

♦ The Communications Directorate

The Directorate is responsible for developing and implementing programs and activities intended to increase public awareness of the electoral system and the role of Elections Canada, to inform electors about their right to vote as defined under the Canada Elections Act and the Referendum Act and how they may exercise that right, and to report on the conduct and results of federal electoral events. Activities include advertising, public, community and media relations, the production of publications and videos and the operation of an enquiries service.

♦ The Election Financing Directorate

The directorate is responsible for ensuring that the appropriate policies, systems and procedures are in place to provide appropriate financial management and control for Elections Canada, within the context of the Financial Administration Act and other related legislations, regulations and policies. It also administers the financial provisions of the Canada Elections Act and the Referendum Act and manages audit and performance measurement activities at Elections Canada.

♦ The Information Technology Directorate

The directorate is responsible for all the hardware and software of computer systems at Elections Canada headquarters and in the offices of returning officers. The directorate plans and manages the acquisition, development and support of infrastructure and application systems used by the other directorates.

♦ The International Services Directorate

Elections Canada is playing an increasingly active role in international election-related activities. The activities involve supervising elections to advising on constitution and election law provisions, conducting pre-election evaluations, providing professional assistance and advice, election documents and materials, training election officials, developing and conducting voter education programs and working directly with other election bodies. In addition, the participation on the international scene includes briefing visiting foreign delegations and distributing information on various aspects of the Canadian electoral process. The directorate is also responsible for maintaining an inventory of potential candidates, men and women, who are able to contribute the specialized skills on the various aspects of the electoral process.

♦ The Legal Services Directorate

The directorate is responsible for monitoring compliance with the Canada Elections Act, the Referendum Act and other pertinent legislations in conjunction with the Commissioner of Canada Elections, for providing legal interpretations, for preparing contract documents, and for maintaining a book containing information pertaining to all elected Members of Parliament showing the order in which their return to the writ was received. The directorate is responsible for receiving and verifying applications for registration of political parties and referendum committees submitted in accordance with the legislations, as well as maintaining the information in the Registries up-to-date. The directorate also oversees privacy matters and acts as legal advisor to the Chief Electoral Officer and senior staff.

♦ The Operations Directorate

The directorate is responsible for preparing and conducting all electoral events, as well as developing the procedures, manuals, forms and tools that facilitate registration, voting and electoral administration. They arrange to print, assemble and ship all event materials to Canada's ridings as soon as an electoral event is called. Operations acts as the main liaison with all returning officers who administer electoral events at the riding level and also oversees their training. The directorate also administers the Special Voting Rules and accessibility programs that give all Canadians the opportunity to exercise their right to vote. In addition, Operations assists the eleven independent commissions which readjust federal riding boundaries.

♦ The Privacy Coordinator

The Privacy Coordinator deals with all the requests received pursuant to the Privacy Act, establishes policies in order to handle the various requests and supervises the general administration of the statute.

Information Holdings

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Audits

Budgets

Buildings

Buildings and Properties

Classification of Positions

Co-operation and Liaison

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Lands

Occupational Health, Safety and Welfare

Office Appliances

Official Languages

Pensions and Insurance

Personnel

Procurement

Salaries and Wages

Staff Relations

Training and Development

Utilities

Vehicles

Personal Information Banks

Commissioner of Canada Elections

Description: This information bank houses correspondence with respect to particulars of complaints alleging violations of the Act. **Class of Individuals:** This information relates to complainants and the subject of complaints, investigators investigating on behalf of the Commissioner, lawyers acting as representatives of the Commissioner before the Court and persons contacted during an investigation. **Purpose:** The information is compiled for investigations and prosecutions purposes to ensure compliance and enforcement of the Canada

Elections Act and the Referendum Act. The information in this bank is used for investigative purposes by the Commissioner of Canada Elections and law enforcement agencies when requested by the Commissioner, and the courts in deciding on the guilt or innocence of an accused person. **Retention and Disposal Standards:** Information is retained for ten years. **TBS Registration:** 003359 **Bank Number:** CEO PPU 015

Communications

Description: This bank contains the names and addresses of individuals or organizations who have asked to be put on mailing lists to receive copies of all new or revised publications or the quarterly newsletter CONTACT, published by Elections Canada. **Class of Individuals:** The Canadian population in general, some academics, political parties, former election administrators, provincial and territorial election officials, libraries, federal government institutions and other organizations. **Purpose:** To provide information about the electoral system, at the request of the individuals concerned. **Retention and Disposal Standards:** The lists are routinely updated. **TBS Registration:** 002765 **Bank Number:** CEO PPU 020

Election Financing

Description: This information bank contains the names and addresses of candidates, official agents and auditors; the name of each registered political party, their leader, chief agent and auditor; the name of each registered referendum committee, their leader, chief agent and auditor; the election expenses returns of candidates seeking election to the House of Commons and of registered political parties; the financial returns of registered referendum committees; the amounts of money reimbursed to political parties and candidates who qualify; the name and address of each election and referendum officer and other personal data; and the amount of fees paid and claims reimbursed to those officers, pursuant to the Tariff of Fees. **Class of Individuals:** This information relates to candidates, official agents and auditors; leaders, chief agents and auditors of registered political parties and registered referendum committees; and election and referendum officers. **Purpose:** This information is necessary in order to reimburse election expenses of candidates and political parties as well as to pay fees to various election and referendum officers for their services in connection with an electoral event. **Retention and Disposal Standards:** Copies of candidates' election expenses returns are maintained on microfiche. Copies of registered political parties' fiscal period returns, registered political parties' general election expenses returns and registered referendum committees' finances returns are also retained. Information relating to election and referendum officers is disposed of in the same manner as all other financial payment records. **TBS Registration:** 003352 **Bank Number:** CEO PPU 010

International Services

Description: This bank contains particulars of candidates such as health, countries visited, languages spoken,

studies and diplomas, knowledge and expertise in the various areas that make up the democratic electoral process. **Class of Individuals:** Applicants/individuals interested in international electoral missions. The inventory also includes federal returning officers or provincial and territorial officials, or some combination of same, people with diplomatic, political, academic and other backgrounds and experience. **Purpose:** Elections Canada maintains this information in order to comply with requests from countries wishing to obtain support in the democratic process. **Retention and Disposal Standards:** The lists are updated upon receipt of changes. **TBS Registration:** 003198 **Bank Number:** CEO PPU 030

Legal matters

Description: This bank consists of files relating to all judicial matters arising within Elections Canada. It contains the particulars of the cases involved, including legal opinions obtained in the course of litigation. This bank also consists of the book in which the return to the writ of any member elected to serve in the House of Commons is entered in the order in which the return is received, pursuant to the provisions of the Canada Elections Act. **Class of Individuals:** The plaintiffs as well as the lawyers involved in the various cases; the name of the elected candidate and the name of the returning officer for each electoral district. **Purpose:** The information is obtained for litigation purposes and in order to comply with the requirements of the electoral legislation. **Retention and Disposal Standards:** The information is retained indefinitely. **TBS Registration:** 003197 **Bank Number:** CEO PPU 025

Operations

Description: This information bank contains data on returning officers and their assistants, the names of candidates, their official agents and auditors, as recorded in the candidates' nomination papers, as well as the names of the electors who sign the candidates' nomination papers; lists of electors; record of decisions in cases involving a person's eligibility to vote; complaints with respect to the manner in which election and referendum officers discharge their duties; personal data on individuals who are deprived of their right to vote and/or be a candidate because of a conviction for a corrupt or an illegal practice under the Canada Elections Act. **Class of Individuals:** This information relates to the Canadian electorate, election/referendum officials, political parties, candidates at federal elections, registered referendum committees at a referendum. **Purpose:** It has been compiled following the preparation of voters' lists and the completion of nomination papers as required by the Act. Voters' lists are used by candidates during their election campaign and by poll officials to check on the entitlement to vote of citizens presenting themselves at polling stations. The Canada Elections Act authorizes the Chief Electoral Officer to transmit copies of lists of electors to the Members of Parliament, the Chief Electoral Officers of the provinces and the clerks of municipalities

to be used for provincial and municipal electoral purposes only. **Consistent Uses:** After an election, the lists of candidates and lists of electors are sometimes used for research purposes pursuant to paragraph 8(2)(j) of the Privacy Act. As well, the information appearing on voters' lists may be disclosed in the public interest according to paragraph 8(2)(m) of the Act. **Retention and Disposal Standards:** Nomination papers are public documents only during the election and can be inspected at the office of the returning officer during that period. All election and referendum documents are retained by the Chief Electoral Officer for a period of one year following the event, as required by the legislation. After that year, some of those documents are sent to National Archives of Canada; lists of electors are available on CD-ROM or diskette. **TBS Registration:** 003353 **Bank Number:** CEO PPU 005

Privacy requests

Description: This bank comprises all the requests for personal information received and the manner they were dealt with, the audits conducted by the Privacy Commissioner's office, documents relating to the creation and updating of information banks, reports submitted as well as policies established pursuant to the Privacy Act for dealing with the requests. **Class of Individuals:** Persons who have requested personal information held by Elections Canada. **Purpose:** To administer the Privacy Act as it relates to Elections Canada. **Retention and Disposal Standards:** At least four years to coincide with federal general elections. **TBS Registration:** 003199 **Bank Number:** CEO PPU 035

Registration unit

Description: This bank contains the name, address and telephone numbers of political parties and referendum committees; their leader, chief agent, auditor, officers, agents and members who sign the application for registration of a political party. This information is recorded in a Registry of Political Parties and a Registry of Referendum Committees. **Class of Individuals:** The officials of political parties and referendum committees. **Purpose:** To comply with the registration requirements of the Canada Elections Act and the Referendum Act. **Retention and Disposal Standards:** The information is routinely updated. **TBS Registration:** 003416 **Bank Number:** CEO PPU 036

Manuals

- A Guide for the Auditor of a Candidate in a Federal Election pursuant to the Canada Elections Act
- Central Poll Supervisor's Manual (General Election, Referendum)
- Deputy Returning Officer's Manual (Advance Poll) (General Election, Referendum)
- Deputy Returning Officer's Manual (Counting Votes Cast by Special Ballot by Electors Within their Electoral District) (General Election, Referendum)

- Deputy Returning Officer's Manual (Mobile Poll) (General Election, Referendum)
- Deputy Returning Officer's Manual (Ordinary Poll) (General Election, Referendum)
- Election Expenses Reference Manual
- Election Expenses System Manual
- Election Handbook for Candidates, their Official Agents and Auditors (1993)
- Enquiries Manual
- Enumerator's Manual
- Financial Administration Act
- Guidelines Respecting Election Expenses of Registered Political Parties
- Handbook for Referendum Committees
- Implementation Manual (Privacy Coordinator)
- Information Officer's Manual (General Election, Referendum)
- Inmate Voting — Instructions to Deputy Returning Officers — Referendum
- Inmate Voting — Instructions to Liaison Officers — Referendum
- Instructions to Deputy Returning Officers — Voting of Incarcerated Electors (General Election)
- Instructions to Liaison Officers — Voting of Incarcerated Electors (General Election)
- Media Relations Handbook for Returning Officers (General Election)
- Policies and Procedures Manual
- Polling Day Revising Officer's Manual
- Public Accounts Instruction Manual
- Receiver General Directives
- Returning Officer's Manual (General Election, Referendum)
- Revising Agent's Manual
- Revising Officer's Manual
- Special Voting Rules for Canadians Outside Canada (Handbook for Enquiries)
- Treasury Board Manual

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Privacy Act.

Headquarters

The Privacy Coordinator
Office of the Chief Electoral Officer
257 Slater Street
9th floor, Jackson Building
Ottawa, Ontario
K1A 0M6

Tel.: (613) 990-5596
Fax.: (613) 993-5880

Reading Room

The Office's Library has been designated as a reading room. Effective September 23, 1996, the address is:

257 Slater Street
9th floor, Jackson Building
Ottawa, Ontario
K1A 0M6

Office of the Commissioner of Official Languages

Chapter 79

General Information

NOTE: THIS INSTITUTION IS NOT SUBJECT TO THE ACCESS TO INFORMATION ACT.

Background

The terms of reference of the Commissioner of Official Languages (COL) are set out in the Official Languages Act. This legislation came into effect in September 1988. The terms of reference consist in ensuring recognition of the status of each of the official languages and compliance with the spirit and intent of the Act in the administration of the affairs of federal institutions and in promoting English and French in Canadian society.

Responsibilities

The Commissioner fulfils his or her duties in three ways: he/she investigates complaints regarding matters governed by the Official Languages Act and Regulations as well as by policies issued to this end; he/she carries out studies, research, analyses and audits with respect to the equality of status and equal rights and privileges of the official languages; and, lastly, he/she encourages application of the Act and Regulations by providing information and advice, and by working with the public as well as with federal organizations. The Commissioner's mandate encompasses the entire federal apparatus: departments, agencies, Crown corporations, and so on.

Legislation

- Official Languages Act
- Regulations on Official Languages

Organization

The Office of the Commissioner of Official Languages has a single program with one activity. The Program is administered by six branches: Policy, Investigations, Corporate Secretariat and Regional Operations, Communications, Corporate Services and Legal Services. The Commissioner reports to Parliament, and the Prime Minister is the Minister responsible for all of the Office's financial activities.

Information Holdings

Personal Information Banks

Applications for Employment

Description: This bank contains information on individuals who have submitted an unsolicited application for

employment to the Office of the Commissioner of Official Languages. This bank may also contain applications for employment, curriculum vitae, reference letters and acknowledgements. **Class of Individuals:** Individuals interested in securing employment with the Office.

Purpose: These records are consulted when employment vacancies arise. **Retention and Disposal Standards:** These records are kept for two years and then destroyed.

TBS Registration: 003791 **Bank Number:** COL PPU 030

Documentation Requests by Members of Parliament

Description: This bank contains information on members of Parliament and their assistants to whom information produced by the Office of the Commissioner of Official Languages is distributed. The information filed comprises: name, address, telephone number and official language preference of the member of Parliament, and an indication of the information requested and delivered. **Class of Individuals:** Members of Parliament and/or their assistants.

Purpose: For distribution, analytical and statistical purposes. **Consistent Uses:** This bank is used mainly for the purpose of distributing information related to official languages, with the occasional analysis and statistics being produced to support this purpose. **Retention and Disposal Standards:** Records are retained for a period of five years. **TBS Registration:** 003450 **Bank Number:** COL PPU 016

Investigations

Description: Complaint files and various study working papers document the nature, extent and scope of various types of linguistic study work performed and of complaints investigated. Files are also kept on requests for information regarding official languages. In addition, a computerized database contains essential information on the subject and results of complaints investigations. **Class of Individuals:** Individuals who file complaints with the Commissioner of Official Languages and individuals contacted by the Office for audit or study purposes. Also, individuals or groups who have presented a request for information. **Purpose:** The information in these files is used to support conclusions drawn, and recommendations made, by the Commissioner of Official Languages in the performance of his or her duties pursuant to the Official Languages Act. Requests for information on official languages issues are kept for analytical and statistical purposes. **Consistent Uses:** In accordance with the requirements of the Official Languages Act, the Commissioner reports annually to Parliament on matters which he or she considers to be of significance arising out of the investigations, studies and audits conducted in the performance of his or her statutory mandate. Every precaution is taken in these circumstances to eliminate personal information from the report involved in order to protect privacy. The audit reports are published and are made available to the public, but the information

in the complaints investigation files and in the computerized database is confidential in accordance with section 60 of the Official Languages Act. **Retention and Disposal Standards:** Files pertaining to complaints, studies and audits are retained for twenty years. Information requests in a given file or set of files are retained for three years.

PAC Number: 78-031 **TBS Registration:** 001261 **Bank Number:** COL PPU 005

Litigation Files

Description: This bank contains information on legal proceedings of a civil or criminal nature in which the Commissioner of Official Languages of Canada is a party, a *mis en cause* (forced intervener) or an intervener, including proceedings before provincial and federal courts. It includes pleadings, briefs, appeal files, transcript and statements of evidence, writs, opinions and related correspondence. The greater part of the information contained in the bank is obtained from the relevant court registry files. **Class of Individuals:** Persons who have been involved in civil or criminal proceedings involving the Commissioner of Official Languages as a party, a *mis en cause* or an intervener, including members of the public, a Crown agency or Crown servant. **Purpose:** The material in this bank is retained to enable the Legal Services of the Commissioner to carry out its duties as legal advisor.

Retention and Disposal Standards: Litigation files are retained for twenty years. **TBS Registration:** 003790 **Bank Number:** COL PPU 025

Office of the Commissioner of Official Languages (OCOL) Information Distribution

Description: Files kept are on individuals and organizations to whom information produced by the Office of the Commissioner of Official Languages is distributed. The information filed comprises: name, address, occupation, telephone and FAX numbers and official language preference of the individual or organization, and an indication of the information requested. **Class of Individuals:** The files contain the names of individuals who have requested information and of persons in positions of official language significance designated by the OCOL for receipt of information. Subcategories include: federal and provincial political representatives; public servants; official language minorities; media; libraries; educators; and interested citizens. **Purpose:** For distribution, analytical and statistical purposes, files are kept on individuals and organizations who receive information produced by the OCOL. **Consistent Uses:** This bank is used mainly for the purpose of distributing information related to official languages, with the occasional analysis and statistics being produced to support this purpose. **Retention and Disposal Standards:** Sixteen thousand three hundred names and records are stored

electronically on a permanent distribution list which is updated annually. **PAC Number:** 86-001 **TBS Registration:** 001262 **Bank Number:** COL PPU 010

Opinions, observations and representations

Description: This file contains information on individuals who wish to express their opinion or to make observations or representations to the Office of the Commissioner of Official Languages with respect to any matter pertaining to the mandate of this Office that is not a complaint or a request for information. **Class of Individuals:** General public. **Purpose:** The purpose of this bank is to answer correspondence received. **Consistent Uses:** It is also used for data research purposes in order to reply to subsequent correspondence. **Retention and Disposal Standards:** Records are retained for a period of five years. **TBS Registration:** 003337 **Bank Number:** COL PPU 015

Privacy Act Requests

Description: This bank contains requests for access under the Privacy Act, the replies to such requests and any other information relevant to the processing of the requests. **Class of Individuals:** Individuals requesting access to certain documents or files of the Office of the Commissioner of Official Languages. **Purpose:** This bank was compiled to maintain records of requests for access to personal information and to facilitate the processing of such requests. **Consistent Uses:** The information is used to process requests and for research and statistical purposes. **Retention and Disposal Standards:** Records are retained for a period of five years after last administrative action has been taken, after which records are destroyed. **TBS Registration:** 003336 **Bank Number:** COL PPU 020

Classes of Personal Information

Policy and Regional Operations

In order to keep abreast of trends, and with a view to ensuring recognition of the status of Canada's official languages in co-operation with individuals and organizations, the Policy and the Corporate Secretariat and Regional Operations Branches keep some information on those organizations and individuals with whom it is necessary for the OCOL to communicate on a regular basis, to obtain or verify information having to do with: minority official language rights; languages and education; federal official languages programs; and private sector initiatives in the area of official languages. At this point, these files are mainly in the form of lists of names and addresses; they do not contain any personal information beyond that which is necessary to identify the person and/or organization. They are located in Ottawa and in the five regional offices — Edmonton, Winnipeg, Toronto, Montreal and Moncton.

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Privacy Act.

Requests for further information about the Office and its program and functions may be directed to:

Office of the Commissioner of Official Languages
14th Floor
110 O'Connor Street
Ottawa, Ontario
K1A 0T8
(613) 996-6368

Office of the Inspector General of the Canadian Security Intelligence Service

Chapter 80

General Information

Background

The present Inspector General was appointed by the Governor in Council on April 1, 1994, pursuant to section 30 of the Canadian Security Intelligence Service Act (the CSIS Act).

Responsibilities

The CSIS Act requires the Inspector General to: monitor the compliance by CSIS with its operational policies; review the operational activities of CSIS, including such reviews as the Security Intelligence Review Committee (SIRC) may direct, and submit to the Solicitor General certificates stating the extent to which the Inspector General is satisfied with each report submitted by the CSIS Director under section 33 of the Act. The certificates must also indicate whether any act or thing done by CSIS in the course of its operational activities during the period to which a report relates is, in the opinion of the Inspector General, not authorized by or under the Act, contravenes any directions issued by the Solicitor General, or involves an unreasonable or unnecessary exercise by CSIS of any of its powers.

Legislation

- Canadian Security Intelligence Service Act

Organization

For administrative purposes, the Office of the Inspector General forms part of the Secretariat of the Ministry of the Solicitor General. Under the direction of the Inspector General, the Assistant Inspector General is responsible for program management. Staff responsibilities are as follows:

Policy and Standards Staff:

Analyzes legislative and policy provisions or proposals relating to the performance of the Inspector General's statutory functions; develops standards and guidelines for reviewing and monitoring CSIS operational activities; provides advice on issues of law and policy that concern the Inspector General, and assists the Inspector General with the preparation of reports, certificates and other documents.

Operations Staff:

Reporting to the Director, Monitoring and Review, develops and implements plans for monitoring and reviewing CSIS

operational activities; assists the Inspector General in undertaking specific reviews requested by the Solicitor General or SIRC; advises the Inspector General respecting CSIS operational programs, as well as IG project development and reviewing/monitoring techniques; provides special project support.

Information Holdings

Program Records

CSIS Documents

Description: Documentation provided by CSIS relating to its operational activities and policies. This material is returned to CSIS when no longer required by the Inspector General for current reference purposes.

Topics: Miscellaneous subjects directly related to CSIS operations. **Access:** By subject. **Storage Medium:** Hard copy. **Program Record Number:** OIG OPS 010

Policy, Standards and Operations

Description: Planning, descriptive and analytic material relating to the reviewing, monitoring and reporting responsibilities of the Inspector General; information respecting the administration of the Office of the Inspector General, including general correspondence, and final reports and recommendations, including the certificates of the Inspector General. **Topics:** Miscellaneous subjects directly related to the Inspector General's statutory and executive functions. **Access:** By subject. **Storage Medium:** Hard copy. **Program Record Number:** OIG OPS 005

Security Information

Description: Information concerning matters of national security required by the Inspector General for general reference purposes. **Topics:** Miscellaneous subjects directly related to national security issues, interests and programs. **Access:** By originator's title and subject. **Storage Medium:** Hard copy. **Program Record Number:** OIG OPS 015

Personal Information Banks

Applications for Employment

Description: This bank contains information on individuals who have submitted written applications for employment to the Office of the Inspector General. It contains letters, completed application forms, résumés, letters of reference and, normally, letters from the Office

of the Inspector General acknowledging receipt of applications. Records are organized alphabetically, by surname. **Class of Individuals:** Individuals who are interested in securing employment with the Office of the Inspector General. **Purpose:** These records are used for reference when vacancies arise. **Consistent Uses:** The information in this bank is used only for the purpose for which it was collected. **Retention and Disposal Standards:** These records are kept for a minimum of two years. **TBS Registration:** 001900 **Bank Number:** OIG PPU 010

Records Access Request Bank

Description: This bank contains requests for information, responses to requests, and information relating to the processing of requests. It contains the name, address, telephone number and social insurance number of the person making the request, as well as other information provided in the request form. Requests are assigned numbers and organized by fiscal year. **Class of Individuals:** Individuals who have submitted requests to the Office of the Inspector General. **Purpose:** This bank enables the Office of the Inspector General to administer the Access to Information Act and the Privacy Act. **Consistent Uses:** The information in this bank is used only for the purpose for which it was collected. **Retention and Disposal Standards:** These records are kept for a minimum of two years. **TBS Registration:** 001901 **Bank Number:** OIG PPU 015

Classes of Personal Information

The Inspector General does not administer a personal information collection program. However, while carrying out his official duties, he may incidentally accumulate personal information that is not contained in the information banks described in this entry. Such information exists in fragmentary form throughout the files maintained at the Office of the Inspector General. It relates to the performance of the Inspector General's statutory and executive functions. Some of this information, originally collected by CSIS, forms part of CSIS records and pertains to specific CSIS activities that are, from time to time, monitored or reviewed by the Inspector General. Other portions have been generated internally, by other

government agencies or by the general public in the form of opinions and enquiries. This information is not intended to be used by the Office of the Inspector General for any administrative purpose affecting individuals. The information may include names, addresses or professions, and is normally retrievable only if specific subjects, events or dates are provided.

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information respecting the Office of the Inspector General may be directed to:

The Office of the Inspector General of the Canadian Security Intelligence Service
Ministry of the Solicitor General
Sir Wilfrid Laurier Building
8th Floor
340 Laurier Avenue West
Ottawa, Ontario
K1A 0P8
(613) 990-3270

Reading Room

The reading room of the Ministry of the Solicitor General has been designated as the reading room for the Office of the Inspector General of CSIS. The address is:

Sir Wilfrid Laurier Building
1st Floor
340 Laurier Avenue West
Ottawa, Ontario

Office of the Superintendent of Financial Institutions Canada

Chapter 81

General Information

Background

The Office of the Superintendent of Financial Institutions (OSFI) was formally established by the Office of the Superintendent of Financial Institutions Act, 1987. OSFI is divided into an Operations Sector, a Policy Sector and a Corporate Services Sector.

Responsibilities

OSFI is responsible for the supervision of federally registered or licensed financial institutions including chartered banks, insurance, trust, loan, fraternal benefit societies, and co-operative credit societies. It also supervises employer-sponsored pension plans that are subject to the Pension Benefits Standards Act, 1985.

OSFI is responsible for providing actuarial advice to other departments of the federal government and performs extensive actuarial services in the valuation of government pension and insurance programs. OSFI is also responsible for the administration of the Civil Service Insurance Act and for collecting certain premium taxes pursuant to Part I of the Excise Tax Act.

Legislation

- Bank Act
- Civil Service Insurance Act
- Co-operative Credit Associations Act
- Insurance Companies Act
- Office of the Superintendent of Financial Institutions Act
- Part I, Excise Tax Act
- Pension Benefits Standards Act, 1985
- Trust and Loan Companies Act

Organization

CORPORATE SERVICES SECTOR

This sector comprises Finance, Information and Business Services, Human Resources Management and Professional Development and Training.

OPERATIONS SECTOR

The Operations Sector centralizes the supervisory work for all federally-regulated financial institutions and pensions plans into four groups. These are: Deposit-Taking Institutions Branch, Insurance Companies Branch,

Pension Benefits Division and Actuarial Services Division. Each of these groups is sub-divided into a number of different responsibility areas.

Deposit-Taking Institutions Branch

This branch is responsible for overseeing banks and federally incorporated trust, loan and investment companies, and co-operative credit associations. This includes examining and monitoring institutions for solvency, processing applications for regulatory consent and ensuing compliance with governing legislation. The branch also undertake examinations of certain provincially chartered institutions through federal-provincial agreements or as an agent of the Canada Deposit Insurance Corporation.

♦ Examinations Divisions

There are five Examinations Divisions responsible for monitoring and examining deposit-taking institutions. Two are in Toronto, and one in each of Montreal, Winnipeg, and Vancouver. These three regional offices also carry out examinations of some life insurers and property and casualty insurers.

♦ Analysis Division

The Analysis Division has, as his mandate, the task of identifying, at an early stage, potential areas of systemic or institution-specific risk related to federally regulated deposit-taking institutions, and carries out financial analysis of the industry and individual companies.

♦ Rulings and Compliance Division

This Division processes applications for regulatory consent from banks, both domestic and foreign, trust and loan companies and investment companies. The Division also monitors activities of these institutions for compliance with legislation and OSFI guidelines and provides OSFI and financial institutions with rulings on legislation and guidelines.

Insurance Companies Branch

This Branch is divided into two Divisions, Life Insurance Division and Property and Casualty Insurance Division.

Life Insurance Group

This Group is responsible for the supervision of federally registered life insurance companies, branches of foreign life insurance companies, fraternal benefit societies and branches of foreign fraternal benefit societies. It is sub-divided into a number of Divisions.

♦ Life Insurance —

Corporate Analysis Division

This Division reviews and analyses all applications for the federal incorporation and registration of entities wishing to carry on life insurance activities in Canada. It also reviews request concerning areas outside the ordinary course of business.

♦ Life Insurance —

Operations Analysis Division

This Division is responsible for carrying out analytical reviews of required fillings, the calculation of early-warning tests and on-going monitoring. It is also responsible for designing changes to the annual returns and related instructions.

♦ Life Insurance — Examinations Division

This Division, based in Toronto, carries out on-site examinations of life insurers.

♦ Life Insurance — Actuarial Analysis Section

This Section reviews the actuarial reports required under the Insurance Companies Act and discusses the findings with the company's appointed actuary. On behalf of Revenue Canada, the section also verifies the Maximum Tax Actuarial Reserves of life insurance companies and fraternal benefit societies and provides advice to other government departments on actuarial services and life contingencies.

♦ Securities Administration Unit

This Unit monitors assets vested by both life and property and casualty companies and ensures that they are adequate.

Property and Casualty Insurance Division

This Division has overall responsibility for the supervision of federally registered property and casualty companies and branches of foreign insurance companies. The Division also conducts examinations of Manitoba and Newfoundland based provincially registered companies and some insurance pools. It is sub-divided into a number of Sections.

♦ Property and Casualty —

Corporate Analysis Section

This Section evaluates applications for the incorporation and registration of insurance companies, and also handles request for approval, amalgamation, changes of ownership, transfers of business and withdrawals.

♦ Property and Casualty —

Operations Analysis Section

This Section monitors and reviews federally registered companies on an ongoing basis, and makes recommendations to on required regulatory action.

♦ Property and Casualty Insurance —

Examinations/Liquidations Section

With the help of examiners in Vancouver, Winnipeg and Montreal, the Section carries out on-site examination and oversees the winding up of companies in liquidation.

♦ Property and Casualty —

Actuarial Affairs Section

This Section reviews the actuarial reports completed by the appointed actuaries of property and casualty insurance companies and carries out such tests, analysis and discussions as may be required to be satisfied that the reserves and provisions held by the companies are appropriate.

Pension Benefits Division

This Division deals with federally regulated private pension plans.

♦ Pension Benefits Standards Section

This Section is responsible for all aspects of supervision and oversight of pension plans covered by the Pension Benefits Standards Act, 1985.

♦ Analysis Section

This Section reviews and analyses all applications for registration, transfers, mergers and plan terminations.

Actuarial Services Division

The chief Actuary and his staff provide a range of actuarial services and advice to the Government of Canada in respect of public insurance and pension programs and, in accordance with the Public Pensions Reporting Act and the Canada Pension Plan Act, prepare statutory actuarial reports for tabling in the House of Commons.

♦ The Public Sector Insurance and Pension Programs Section

This Section carries out, for Publics Accounts and actuarial funding purposes, periodic valuations of insurance and pension plans sponsored by the government. These include plans for the Public Service, the Canadian Forces, the RCMP, Members of Parliament and federally appointed Judges. It also conducts valuation of special self-supporting insurance programs now closed to new entrants but formerly open to civil servants, war veterans and RCMP members.

♦ The Social Insurance Programs Section

This Section is responsible for periodic statutory actuarial valuations of the Canada Pension Plan and the Old Age Security program and for actuarial advice on these plans.

POLICY SECTOR

It assumes responsibility for developing and drafting the technical aspects of financial sector legislation. The Sector develops policies, issues guidelines, works on rulings that set precedents, develops legislation and regulations, represents OSFI in various international groups, including the Basle Committee of Bank Supervisors, and leads various studies. This Sector comprises five divisions.

Legislation and Precedents Division

This Division develops legislation and regulations, and also interprets legislation, regulations and guidelines, and deals with cases, that set precedents or that raise policy issues, while also coordinating assigned policy studies for OSFI. It performs these functions with respect to both deposit taking institutions and insurance companies.

Policy Initiatives Division

This Division prepares strategies and approaches to selected policy areas and recommends policy direction. Within these assigned areas the Division is responsible for the preparation of legislation, regulations or guidelines as required.

Policy and Research Division

This Division develops supervisory and accounting policies for federally regulated financial institutions and insurance companies.

External Communications and Public Affairs Division

This Division is responsible for developing and implementing external communications strategy, media and parliamentary relations and dealing with complaints and enquiries from the public about federally regulated financial institutions.

Legal Services Unit

The Unit provides or obtains all legal advice and legal services required by OSFI, including legal advice on interpretation of statutes, regulations, and other legal instruments and the preparation of legal documents. The Unit also administers access to information and privacy legislation as it applies to OSFI.

Information Holdings

Program Records

Actuarial Advice Provided to Revenue Canada — Maximum Tax Actuarial Reserves

Description: Records of actuarial advice given to Revenue Canada on maximum tax actuarial reserves of life insurance

companies and fraternal benefit societies. **Topics:** Maximum tax actuarial reserves. **Access:** By company name. **Storage Medium:** Paper. **Program Record Number:** SFI IAP 025

Actuarial Services Provided to Other Departments — Government Insurance and Pension Plans

Description: Records related to actuarial valuations and cost estimates of government insurance and pension plans, including related data banks and software. **Topics:** Public Service Superannuation Act; Public Service Superannuation Death Benefit; reciprocal transfer agreements; Supplementary Retirement Benefits Act; Canadian Forces Superannuation Act; regular forces death benefit; RCMP Superannuation Act; RCMP (dependants) pension fund; Members of Parliament Retirement Allowance Act; Judges Act; Veterans Insurance Act; Returned Soldiers Insurance Act; Civil Service Insurance Act; Halifax relief pensions; Canada Pension Plan; and miscellaneous requests for actuarial calculations. **Access:** Files arranged by plan. **Storage Medium:** Paper and EDP systems. **Program Record Number:** SFI IAP 015

Civil Service Insurance

Description: Records of holders of life insurance policies issued under the Civil Service Insurance Act. (Issuance of new policies was discontinued with the introduction of the Supplementary Death Benefit Plan in 1954). **Topics:** Policies in force; death claims; surrenders; and premium records. **Access:** Policies in force filed by policy number with cross-referenced alphabetical index; death claims and surrenders filed by death claim or surrender number and cross-referenced to policy number; and premium records filed by policy number. **Storage Medium:** Paper; details of terminated policies stored on microfilm by policy number. **Program Record Number:** SFI MGT 030

Corporate Policy

Description: Records related to the development of legislative and regulatory policy; correspondence with other departments, other jurisdictions, industry associations and professional bodies; industry-wide financial analysis and research, supervisory methodology and professional development; communications and public affairs including ministerial correspondence. **Topics:** Acts listed under "Legislation"; accounting and other industry-wide studies; reciprocity with, and supervisory practices of, other jurisdictions; international financial and monetary systems; nation risks and exposures. **Access:** Files arranged by Act name, jurisdiction, industry association or subject matter. **Storage Medium:** Paper and EDP systems. **Program Record Number:** SFI RPP 001

Financial Institutions — Deposit-Taking Institutions

Description: Information on incorporation and registration, financial statements, financial analysis and examination of financial institutions, exchanges of correspondence with financial institutions and with other jurisdictions, complaints

and enquiries, and related data banks and software.

Topics: Chartered banks; trust companies; loan companies; co-operative credit societies. **Access:** Files arranged by financial institution name. **Storage Medium:** Paper and EDP systems. **Program Record Number:** SFI DTI 005

Financial Institutions — Insurance and Pensions

Description: Information on incorporation and registration, financial statements, financial analysis and examination of financial institutions, exchanges of correspondence with financial institutions and with other jurisdictions, complaints and enquiries, and related data banks and software. **Topics:** Insurance companies and fraternal benefit societies. **Access:** Files arranged by financial institution name. **Storage Medium:** Paper and EDP systems. **Program Record Number:** SFI IAP 007

Pension Plans Subject to

Pension Benefits Standards Act, 1985

Description: Records related to registration of plans, correspondence regarding annual information returns, funding and examination of plans, liaison with provincial authorities, enquiries regarding plans, and related valuation data bank and software. **Topics:** Private pension plans subject to Pension Benefits Standards Act, 1985. **Access:** Files arranged by employer name. **Storage Medium:** Paper and EDP systems. **Program Record Number:** SFI IAP 010

Premium Tax

Description: Records on collection of 10 percent premium tax imposed under the Excise Tax Act (Part I) on certain insurance premiums paid by residents of Canada to authorized insurers through brokers outside Canada, or to unauthorized insurers for coverage of risks in Canada. **Topics:** Authorized insurers, brokers or agents, and insureds. **Access:** Files arranged alphabetically; records of insureds — alphabetically by year. **Storage Medium:** Paper. **Program Record Number:** SFI MGT 035

Personal Information Banks

Applications for Employment

Description: This bank serves to maintain an inventory of applications from individuals requesting employment with OSFI. The files include completed application forms, letters and curricula vitae of persons seeking employment with OSFI. **Class of Individuals:** Individuals seeking employment with OSFI. **Purpose:** The bank is used to review applications of individuals requesting employment with OSFI when positions become available. **Retention and Disposal Standards:** Records are retained for two years and then destroyed. **PAC Number:** 68-034 **TBS Registration:** 001685 **Bank Number:** SFI PPU 010

Civil Service Insurance

Description: Records of holders of life insurance policies issued under the Civil Service Insurance Act. Issuance of

new policies was discontinued in 1954 upon introduction of the supplementary death benefit plan for the public service and the armed forces. **Class of Individuals:** Holders and beneficiaries of life insurance policies issued under the Civil Service Insurance Act. Approximately 3,800 policies remain in force. **Purpose:** Eligibility for insurance benefits. **Consistent Uses:** Settlement of claims and payments of cash surrender value. **Retention and Disposal Standards:** Files retained for 10 years after claim or surrender. **PAC Number:** 68-034 **Related to PR#:** SFI MGT 030 **TBS Registration:** 000427 **Bank Number:** SFI PPU 005

Classes of Personal Information

Practically all records held by OSFI consist of information relating to supervised financial institutions and pension plans. Some personal information may also be accumulated by OSFI in the course of conducting its supervisory function, such as information on names and functions of various company officials, information on individuals seeking to incorporate a new financial institution that would be supervised by OSFI, or information about a policy holder or depositor with a complaint against a supervised financial institution. Such personal information is stored as part of the general subject files where records are not retrieved by name of individual or other personal identifier. This form of personal information is normally retrievable only if specifics are provided concerning the financial institution, the individual, and the particular circumstances involved. The retention periods for these classes of personal information are controlled by the record schedules of the general subject files in which they are stored.

Part I of the Excise Tax Act imposes a tax on certain property and casualty insurance premiums related to insurance placed by residents of Canada with unauthorized insurers or with authorized insurers through brokers outside Canada. In carrying out administration of Part I of the Act, some personal information may be accumulated which is not part of the specific personal information bank described in this entry. Most of the insureds subject to the tax are corporations, but for any given year there may also be some individuals subject to tax. The personal information accumulated with respect to such individuals would be their name and address and information related to the insurance premiums subject to tax, such as policy number, description of risk, net premiums and name of insurer. The information is normally retrievable if the name of the individual and the year involved are provided.

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about OSFI and its various programs and functions may be directed to:

Manager, Access and Special Projects
Office of the Superintendent of Financial Institutions
15th Floor, 255 Albert Street
Ottawa, Ontario
K1A 0H2
(613) 990-8031
<http://www.osfi-bsif.gc.ca>

Reading Room

In accordance with the Access to Information Act, OSFI library has been designated as a public reading room.

It is located at the 14th Floor, 255 Albert Street, Ottawa, Ontario

Pacific Pilotage Authority Canada

Chapter 82

General Information

Background

As a result of recommendations made by a Royal Commission on Pilotage in Canada, the Pilotage Act was assented to by Her Majesty and the Governor in Council and proclaimed in force February 1, 1972.

The Pilotage Act created four pilotage regions with specific authorities, thereby replacing a large number of local pilotage districts. The four Pilotage Authorities — Atlantic, Laurentian, Great Lakes and Pacific are Crown corporations, responsible to Parliament through the Minister of Transport.

Pacific Pilotage Authority was incorporated pursuant to the Pilotage Act on February, 1972 as a Crown corporation under Schedule III, Part I of the Financial Administration Act.

The Pacific Pilotage Authority is in general governed by the following: Canada Shipping Act; Pilotage Act; General Pilotage Regulations; Pacific Pilotage Regulations; Authority by-laws.

Responsibilities

The role of the Authority is to establish, operate, maintain and administer in the interest of safety, an efficient and economical pilotage service within all coastal waters of British Columbia and the Fraser River. As a further goal, the Authority prescribes tariffs of pilotage charges that are fair, reasonable and consistent with providing revenues sufficient to permit the Authority to operate on a self-sustaining financial basis.

The Pacific Pilotage Authority consists of a chairman, and six members appointed by the Governor in Council.

The Authority's head office is located in Vancouver, British Columbia. All management services — administrative, personnel, financial, contracts, purchasing, etc. — are provided by the Vancouver office.

Legislation

- Authority By-Laws
- Canada Shipping Act, RSC, 1985, c. S-9
- General Pilotage Regulations
- Pacific Pilotage Regulations
- Pilotage Act, RSC, 1985, c. P-14

Organization

♦ Administration Branch

This Branch provides administrative and financial services as required to operate within accepted corporate business procedures.

♦ Operations Branch

This Branch provides pilotage service by assignment, and to dispatch qualified pilots to ships navigating within the boundaries of the Pacific Pilotage Authority.

Information Holdings

Program Records

Pilotage Services

Description: Information on the provision of pilotage services within the Pacific Region. **Topics:** Dispatching records; pilotage licences; tariff charges; assignment of pilots to vessels; accident reports; collective agreements; international shipping affairs. **Program Record Number:** PPA OPE 005

Tariffs

Description: Information on the setting of tariffs for the provision of pilotage services; also on the conduct and results of negotiations on the setting of tariffs in various districts throughout the region as well as results of Canadian Transport Commission hearings. **Program Record Number:** PPA OPE 010

Personal Information Banks

Accounts Payable Files

Description: This bank contains a record of payments with financial details and account numbers of individuals or firms involved in supply of goods and services. File is alphabetical by name of firm or individual. Voucher and payments are maintained in hard copy and in date sequence. Documents from the current and past year are in hard copy. Information from the previous year is on microfilm. Access to this bank is obtained by providing the name of the supplier, account number, date, and identification of goods supplied. This information relates to general firms or individual suppliers of received services and goods. The information was obtained for reference and payment purposes. Consistent uses are for payment records of goods and services received. Records are retained for three years. **Bank Number:** PPA PPU 020

Accounts Receivable Files

Description: This bank contains records of invoicing and payments received; details on outstanding accounts; accounts receivable statements; aged receivable listings; and collection on slow or bad accounts. Access to this bank can be obtained through name, address, date, number and details of invoice. This information relates to general agents and ship owners using the pilotage services. The information was obtained for reference on monies received and outstanding accounts. Consistent uses are for receivable records on services provided to the shipping industry. Records are retained for three years. **Bank Number:** PPA PPU 015

Application for Employment File

Description: This bank contains a hard copy file of resumes of applicants having the special marine or boat crew skills and training required for the operational functioning of the Authority. Applications meeting the operational skills are retained for two years. Access to this file requires name, address and date of application. This information relates to prospective qualified applicants for employment. The information was obtained to record names of qualified applicants to fill open positions as the need arises. Consistent uses are for copies of applications for employment. Records are retained two years. **Bank Number:** PPA PPU 025

Procurement

Description: This bank contains purchase regulations and signing authority; quotations on supplies and services obtained; and a purchase register. It can be accessed through purchase order number and description of service, and name and address of supplier. The information relates to general merchants of required supplies and services. The information was obtained for the purpose of recording reference data such as specifications, past purchase experience and other details about individual suppliers of goods and services. Consistent uses are the purchase of supplies and services at common and routine levels. Records are retained for five years. **Bank Number:** PPA PPU 010

Register of Pilots

Description: This bank contains a record in book form called the Pilot's Establishment Book, consisting of an alphabetical list and documentation of information, physical characteristics, licences, and certificates as well as the individual's accident or incident reports. Individuals have access to their own files in this bank by providing name and pilot number. This information relates to approximately 111 professional marine pilots under contract. It was obtained as required under the Pilotage Act. It provides a general record of each licensed pilot, including name, age, medical record, physical characteristics, licences, certificates, qualifications and record of accidents and incidents. The retention period for this bank has not yet been determined. **Bank Number:** PPA PPU 030

Service Contracts

Description: The files maintain information on awarded contracts and tenders leading towards contracts; listing of suppliers providing specific services; documentation leading towards contracts — selections made; and signed contracts between the Authority and successful suppliers. They can be accessed by providing contract number and description of service. The information relates to general suppliers of desired services. The information was obtained for the purpose of recording reference data, past performance, historical experience, etc. to solicit or obtain services for major specific supplies and services or common requirements. Consistent uses are the procurement or purchase of supplies and services as the need arises at contract-out levels. Records are retained for 10 years. **Bank Number:** PPA PPU 005

Manuals

- Accident Reports and Investigations
- Accounting Procedures
- Administrative Directives
- Collective Agreements
- Dispatching Procedures
- Pilot Licence Register
- Pilotage Tariffs
- Service Contracts
- Working Rules

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Authority and its various programs and functions may be directed to:

Pacific Pilotage Authority
Suite 300
1199 West Hastings Street
Vancouver, British Columbia
V6E 4G9
(604) 666-6771

Reading Room

A room at the Authority has been designated under the Access to Information Act as a public reading room. The address is:

Suite 300
1199 West Hastings Street
Vancouver, British Columbia

Patented Medicine Prices Review Board

Chapter 83

General Information

Background

The Patented Medicine Prices Review Board was created under amendments to the Patent Act which came into force on December 7, 1987.

Responsibilities

The Patented Medicine Prices Review Board was given a mandate to carry out two functions:

Regulatory: To act as a safeguard against excessive prices for patented medicines which might result from the greater market power afforded to patentees under the 1987 amendments.

Reporting: To monitor and report on the Board's activities with respect to its regulatory activities, on price trends in the pharmaceutical industry generally and on the research and development performance of pharmaceutical patentees.

Legislation

- Patent Act

Organization

The Patent Act provides that the Board is to consist of no more than five members, appointed by the Governor in Council (Cabinet), including a Chairman and Vice-Chairman. The Board's Chairman is designated under the legislation as the Chief Executive Officer of the Board and is granted authority and responsibility to supervise and direct the work of the Board, including the management of its internal affairs and the work of its staff.

The Executive Director, as the senior staff member, manages the work of the staff. The senior staff of the Board consists of the Secretary to the Board (Registrar), the Director of Compliance, the Director of Policy, Planning and Evaluation and the Director of Management Services.

The staff provides a communications and education program, data collection, collation, storage and dissemination, quantitative analysis, case preparation and related services for the registry and administrative assistance to the Board. It also provides for hearings preliminary to the making of remedial orders by the Board.

◆ Compliance Branch

Its patented medicine price review activities are central to the fulfilment of the Board's Program objective which is to ensure that prices charged for patented medicines are, in the opinion of the Board, not excessive. The price and

R&D information gathered by the Branch forms the basis of the Board's Annual Report to Parliament. The Branch is responsible for the implementation of the Board's Voluntary Compliance Policy. That policy includes ongoing review and analysis of prices of all patented medicines sold in Canada through periodic patentee data submissions required by the Patented Medicines Regulations, specific Board directions, and other sources of price information; and analysis of complaints respecting patented medicine prices. The Branch manages the application of the staged compliance process in cases where prices are outside the Guidelines. It also oversees the analysis of R&D expenditures by pharmaceutical patentees.

◆ Policy, Planning and Evaluation

It is responsible for developing the broad policy direction of the Program and to see to the development of policies relating to pricing of drugs and economic analyses of related issues. The Branch maintains the effective working relationships with other departments, provinces and territories and international agencies involved in regulating medicine prices. It is charged with the development and implementation of program evaluation activities.

◆ Corporate Management and Services

This group consists of two branches — Secretariat and Management Services. It is responsible for providing management, legal registry, communications and support services to the Board as well as the delivery and application of government-wide programs and policies in the area of finance, administration, personnel, library, security, official languages and internal audit. The Secretariat is also responsible for Access to Information.

It conducts research studies to support the Board's mandate to report on patentees' price and R&D performance. It produces the Annual Report to Parliament on Board activities, pricing trends in the pharmaceutical industry and R&D expenditures by pharmaceutical patentees.

Information Holdings

Program Records

Compliance

Description: Submissions by pharmaceutical patentees under the Patented Medicines Regulations indicating prices and sales of patented medicines as well as Research and Development expenditures; information related to the economic and pharmacologic analysis of new and existing patented medicines. These analyses are designed to ensure that patented medicines are not sold

at excessive prices. **Topics:** economic and pharmacologic information. **Access:** Files arranged by company. **Storage Medium:** Information maintained by topic within company files; in addition, all data is on a computerized database. **Program Record Number:** PMP ADM 005

Evaluation

Description: Information related to the evaluation of the Board's activities, corporate systems and services. **Topics:** Program evaluation. **Access:** Files arranged by subject. **Storage Medium:** Information maintained in files (paper). **Program Record Number:** PMP ADM 015

Policy, Planning and Evaluation

Description: Information related to corporate and long-range planning and policy development activity. **Topics:** Policy agenda and planning framework, five-year plans and organizational development. **Access:** Files arranged by subject. **Storage Medium:** Information maintained in files (paper). **Program Record Number:** PMP ADM 010

Secretariat/Communications

Description: Information related to the administration and management of: the Board's hearing process and its external communications functions including publishing and public relations. **Topics:** Records, Communications, publications. **Access:** Files arranged by Records, Communications, publications. **Storage Medium:** Information maintained in files. **Program Record Number:** PMP ADM 020

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Administration

Administration and Management Services

Audits

Budgets

Buildings and Properties

Classification of Positions

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Occupational Health, Safety and Welfare

Official Languages

Pensions and Insurance

Personnel

Procurement

Salaries and Wages

Staff Relations

Training and Development

Classes of Personal Information

General Correspondence and Enquiries

Information in this class relates to routine correspondence concerning the monitoring and reporting activities of the Board. The personal information contained in this class normally includes the name and address of the enquirer. This form of personal information is retrievable by the Records Manager only; it is retrievable only if specifics are provided concerning the subject of the correspondence. The retention period for this class of personal information is controlled by the retention period of the files in which they are stored.

Ministerial Correspondence

This class of information contains correspondence received by the Secretariat of Consumer and Corporate Affairs from external organizations and individuals in the form of requests for information, complaints, opinions and other similar submissions related to Board activities. This form of personal information is retrievable by the Records Manager only; it is retrievable only if specifics are provided concerning the subject of the correspondence. The retention period for this class of personal information is controlled by the retention period of the files in which they are stored.

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Secretary to the Board
Patented Medicine Prices Review Board
359 Kent Street, Legion House
Ottawa, Ontario
K1A 0C9

Reading Room

The Board's Information Centre has been designated under the Access to Information Act as a public reading room at the address above.

Pension Appeals Board

Chapter 84

General Information

Background

The Pension Appeals Board is a tribunal which was constituted by the Parliament of Canada, pursuant to an agreement with the government of Quebec.

Responsibilities

The Tribunal is responsible for the hearing of appeals which arise from decisions of the Minister of National Revenue (Tax Court of Canada has acquired jurisdiction with respect to appeals instituted on or after January 1, 1991) and the Minister of Human Resources Development (formerly the Minister of Employment and Immigration) under the Canada Pension Plan, and from decisions of the Minister of Revenue of Quebec (The Court of Quebec has acquired jurisdiction with respect to appeals under the Quebec Pension Plan as of October 25, 1991) and, in some circumstances, from decisions of La Commission des affaires sociales under the Quebec Pension Plan.

Most of the decisions of the Board, with respect to the Canada Pension Plan or the Quebec Pension Plan, are available to the public from the Commerce Clearing House (CCH) Canadian Employment Benefits and Pension Guide Reports, from 1993 (pages 5951 and following); in Transfer Binder, 1968 to 1985 (pp. 5953-6714); and in Transfer Binder, 1986 to 1992 (pp. 5953-6237).

Legislation

- Canada Pension Plan, R.S.C., c. C-8
- Quebec Pension Plan, R.S.Q., c. R-9

Organization

The Pension Appeals Board is made up of judges and former judges from across the country who are appointed by Order-in-Council on the recommendation of the Minister of Justice and the Minister of Human Resources Development (formerly the Minister of Employment and Immigration). They assume these duties in addition to their judicial responsibilities, and are not considered employees of the Pension Appeals Board. An administrative office is located in Ottawa.

Information Holdings

Program Records

Appeals

Description: Information on proceedings before the Pension Appeals Board. **Topics:** A completed file normally contains the notice of appeal; the reply to the notice of appeal; notice of intervention, if applicable; copies of correspondence and documents submitted by the parties involved in the appeal; and copies of decisions related to the appeal. **Program Record Number:** PAB PAB 005

Personal Information Banks

Appeals

Description: A completed file normally contains a copy of the documents submitted at the Review Tribunal, an application for leave to appeal and notice of appeal, the reply to the notice of appeal, the notice of intervention (if applicable), copies of correspondence and documents submitted by the parties involved in the appeal and copies of decisions related to the appeal. **Class of Individuals:** The parties involved. **Purpose:** To record the proceedings before the Pension Appeals Board. **Retention and Disposal Standards:** The dormant files are retained at the Pension Appeals Board for a period of 2 years and then sent to the National Archives. **TBS Registration:** 003697 **Bank Number:** PAB PPU 005

Manuals

- Rules of Procedure, (CPP section 28)
- Rules of Procedure, (CPP section 83)

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

The public may direct enquiries regarding the Pension Appeals Board to:

Pension Appeals Board
P.O. Box 8567
Station "T"
Ottawa, Ontario
K1G 3H9
(613) 995-0612

Reading Room

Report of Decisions

Most decisions of this Board with respect to the Canada or Quebec Pension Plan are available to the public as published in full by the Commerce Clearing House (CCH)

in Canadian Employment Benefits and Pension Guide Reports, from 1993 (pages 5951 and following); in Transfer Binder, 1968 to 1985 (pp. 5953-6714); and in Transfer Binder, 1986 to 1992 (pp. 5953-6237). Both these documents and the decisions of the Board are held by the particular department involved to which any enquiries should be directed.

Privy Council Office

Chapter 85

General Information

Background

The Privy Council Office came into being under the Constitution Act of 1867. From 1940 on, it has also provided the secretarial functions for the Cabinet.

The Federal-Provincial Relations Office (FPRO), formerly established as a federal department on January 1, 1975, has been re-integrated with the Privy Council Office effective June 25, 1993.

Responsibilities

The Privy Council Office provides secretariat support for Cabinet and the committees of Cabinet to facilitate the collective decision-making of Cabinet, and assists the exercise of the prerogatives of the Prime Minister, including the organization and processes of government and the appointment of senior officials to government positions. The overall responsibilities of the Office include: the coordination and provision of material related to the meetings of Cabinet and Committees of Cabinet for the use of the Prime Minister and the chairpersons of committees; liaison with departments and agencies of government on Cabinet matters; undertaking special studies on matters of current public policy concern as required (this includes participation in interdepartmental committees of officials through the provision of chairpersons, members or secretaries and the preparation of papers for, and on behalf of, such committees). In addition, the Office provides support and advice to the Prime Minister on prime ministerial prerogatives, assumes responsibilities for the organization of the Government of Canada, provides advice to the Prime Minister on national security and intelligence matters, undertakes the examination of submissions to the Governor in Council to ensure conformity with policy and legal requirements, prepares draft orders, and assumes duties related to regulations made under the Statutory Instruments Act including the examination, revision, registration and preparation for publication of federal statutory regulations in Part II of the Canada Gazette.

Also, in addition to the other responsibilities outlined above, the Privy Council Office now provides advice, assistance and information to the Prime Minister and to the Minister of Intergovernmental Affairs concerning federal-provincial relations. The Office also coordinates the policy framework for federal-provincial-aboriginal relations and supports the Interlocutor for Métis and Non-Status Indians.

Legislation

- Act Respecting the Office of the Secretary to the Cabinet for Federal-Provincial Relations and Respecting the Clerk of the Privy Council, S.C. 1974-75-76, c. 16
- Order-in-Council (P.C. 1940-1121, March 25, 1940)

Organization

For the purpose of the Financial Administration Act, the Privy Council Office is regarded as a department of government under the direction of the Prime Minister. The Clerk of the Privy Council and Secretary to the Cabinet, under whose direction the Privy Council Office's functions are carried out, is considered a deputy head taking precedence among the chief officers of the public service. The Privy Council Office is separated into the following main areas: Plans and Consultation; Machinery of Government and Senior Personnel; Operations; Security and Intelligence and Counsel; and, Corporate Services.

Information Holdings

Program Records

Aboriginal People

Description: Information relating to Canada's Indian people including education and training, social assistance, Indian reserves, Indian lands and economic and constitutional development; Tripartite Self-Government Negotiations. **Topics:** Aboriginal People; Aboriginal Constitutional Affairs; Comprehensive Land Claims; Métis; Economic and Native Housing Programs; Requests for Assistance and Funding and Self-Government. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO FPR 150

Access to Information and

Personal Information Requests

Description: Information concerning requests made under the Access to Information Act to access records of the Privy Council Office, the replies to such requests and information related to their processing. Information is used to process requests and for research and statistical purposes. **Program Record Number:** PCO ADM 080

Agriculture

Description: Information relating to federal policies, programs and regulations concerning agriculture and food including agricultural research, human and animal protection, farm income support, market development and agricultural development. **Topics:** Agriculture; Dairy and Grain Products; Farms; Food and Livestock. **Access:**

Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 200

Arts and Culture

Description: Information relating to the promotion and fostering of Arts and Culture in Canada including programs designed to meet the needs of Canadian artistic and cultural organizations, copyright protection and museum programs. **Topics:** Arts and Culture; Copyrights; Cultural Events, Programs and Associations; Federal-Provincial Conferences and Meetings; History and Heritage; Task Forces. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 240

Canadian Sovereignty

Description: Information relating to Canadian sovereignty including the evolution and promotion of Canadian nationalism and the concept of a "Canadian identity" and to Canada's claims to sovereignty over the arctic waters and the Northwest Passage. **Topics:** Canadian Sovereignty and Territorial Sovereignty. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 315

Communications

Description: Information relating to Communications and Telecommunications including radio, television, cable television, pay television and specialty services, telecommunications facilities and services and the overall planning and coordination of government communications. **Topics:** Communications; Broadcasting; Canadian Radio-Television and Telecommunications Commission (CRTC); Co-ordination of Government Communications; Petitions to Governor-in-Council for CRTC Decisions; Telecommunications. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO PLS 045

Constitution

Description: Information relating to the Canadian Constitution including the patriation and revision of the Constitution, the Constitution Acts, constitutional law and the Meech Lake Accord. **Topics:** Constitution; Canadian Charter of Rights and Freedoms; Meech Lake Constitutional Accord; Second Round of Constitutional Discussion. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO FPR 155

Consumers and Business

Description: Information relating to the interests of consumers and business including consumer aid and protection, patents and trademarks, bankruptcy and the metric system. **Topics:** Consumers and Business; Federal Business Development Bank (FBDB); Metric System; Patents and Trademarks. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 230

Crown and Royalty

Description: Information relating to the rights and privileges of the Crown and its representatives including the Members of the Royal Family, the Governor General, Lieutenant-Governors and Royal Visits. **Topics:** Crown and Royalty; Governor General; Lieutenant-Governors; Royal Visits. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 285

Defence

Description: Information relating to the Canadian Armed Forces and all matters concerning national defence and civil defence including the land, sea and air elements of the armed forces, arms control and disarmament, Canada's international defence relations and search and rescue operations. **Topics:** Defence; Arms Control and Disarmament; Canada Defence Relations; Canadian Armed Forces; Canadian Defence Policy; Emergency Planning and Preparedness; Expenditures; North Atlantic Treaty Organization (NATO); Peace Initiatives; Search and Rescue; Strategic Defence Initiative (SDI). **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 320

Education

Description: Information relating to the development and implementation of strategies to improve education in Canada including Canadian literacy, youth and student loan programs, and fiscal transfers from the federal government to the provinces. **Topics:** Education; Universities, Colleges and Institutes. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 270

Employment

Description: Information relating to employment and unemployment in Canada including employment equity, job creation, the Labour market, training, unemployment insurance and employment programs for youth and students. **Topics:** Employment; Employment Equity; Job Creation; Labour Market; Training and Retraining; Unemployment Insurance; Youth and Students. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 275

Energy, Mines and Resources

Description: Information relating to discovery, development and intelligent use of Canada's mineral and energy resources including research and development, energy conservation, electric power, nuclear power, oil and gas, renewable and non-renewable energy and mineral resources. **Topics:** Energy, Mines and Resources; Electric Power Energy; Nuclear Energy; Oil and Gas; Forestry; Minerals and Metals. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 205

Environment

Description: Information relating to the Canadian Environment including the management and protection of migratory birds; the provision of information on weather, climate, ice and sea conditions and air quality. It also includes the protection and enhancement of the quality of the natural environment (water, soil and air); and the conservation of renewable water, land and wildlife resources. **Topics:** Environment; Acid Rain; National Parks; Water Exports; Wildlife. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 280

Finance and Economics

Description: Information relating to economic and financial affairs including the federal budget, financial institutions, economic summits; monetary policy; regional economic development and taxation. **Topics:** Finance and Economics; Budget; Financial Administration Act (FAA); Financial Institutions; International Economic Summits; Monetary Policy; National Economic Summit (1985); Organization for Economic Cooperation and Development (OECD); Policy and Expenditure Management System (PEMS); Public Accounts; Public Expenditures; Industrial and Regional Development; Taxation. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 235

Fisheries and Oceans

Description: Information relating to seacoast and inland fishing including fishing standards, fish and seafood inspection, marine research and freshwater research. **Topics:** Fisheries and Oceans; Federal-Provincial Relations; Fish and Seafood Inspection. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 210

Fitness and Sport

Description: Information relating to the fitness of Canadians and their participation and excellence in sport including national and international amateur sport, amateur sport funding and fitness funding. **Topics:** Fitness and Sport; Calgary Winter Olympic Games (1988). **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 265

Foreign Relations

Description: Information relating to Canada's foreign policy including relations between Canada and other countries, representation of Canada in foreign countries and at international conferences, and Canadian aid and assistance to other countries. **Topics:** Foreign Relations; Africa; Commonwealth; Countries; Development and Debt Assistance; La Francophonie; United Nations. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 325

Government

Description: Information relating to the Government of Canada including the organization of the government, the

organization and methods of the departments and agencies of the federal government, Cabinet and its Cabinet Committees. **Topics:** Government, Access to Information and Privacy Legislation; Cabinet; Change of Government; Conflict of Interest and Post-Employment Code; Departments, Agencies and Crown Corporations; Deputy Ministers; Elections; Machinery of Government; Government Contracting, Advertising Major Surveys and Public Opinion Research; Petitions to the Governor in Council; Prime Minister; Priorities and Planning; Queen's Privy Council for Canada; Regulatory Process. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 305

Health, Welfare and Social Services

Description: Information relating to the promotion and preservation of health, social security and social welfare of Canadians including health protection, health information and education, health research and development, income security, social services and social development and policy. **Topics:** Health, Welfare and Social Services; Abortion; Child Care; Disabled and Handicapped Persons; Disease and Disease Control; Drug and Alcohol Abuse; Environmental Quality and Health Hazards; Federal-Provincial Meetings and Conferences; Housing; Medicare and Health Care Services and Programs; Pensions and Income Security; Services and Programs for the Elderly; Social Development and Social Policy; Voluntary Organizations; Women's Issues. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 260

Honours, Awards and Memorials

Description: Information relating to the conferment of honours and awards for the achievement of excellence in any field of endeavour, the acceptance and wearing by Canadians of foreign orders, and the dedication of memorials in honour of great achievements. **Topics:** Honours, Awards and Memorials, Commonwealth and Foreign Orders; Memorials and Monuments. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 290

Immigration

Description: Information relating to the provision of immigration services including legal entry of visitors to Canada, applications for visas, refugees, deportation and the granting of citizenship to immigrants. **Topics:** Immigration; Applications; Citizenship; Refugees. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 255

Justice

Description: Information relating to the Canadian justice system including the legal affairs of the federal government; the administration of federal laws, bills and regulations; civil and criminal law; legal aid and human rights legislation. **Topics:** Justice; Capital Punishment; Correctional Services and Penal Institutions; Court Cases; Crimes Against Children; Criminal Justice Meetings;

Criminal Law; Divorce; Firearms; Extraterritoriality; Human Rights; Judiciary; Juvenile Justice; Legal Aid; Pornography and Prostitution; War Criminals. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO PLS 035

Labour

Description: Information relating to industrial relations, standards for wages, conditions of employment, and occupational safety and health including arbitration, mediation and labour laws, working conditions and work benefits. **Topics:** Labour; Collective Bargaining; Labour Adjustment Assistance; Working Conditions. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 250

Multiculturalism

Description: Information relating to the integration of multiculturalism into all aspects of national life including race relations, multiculturalism in education and in broadcasting. **Topics:** Multiculturalism; Ethnic Injustices. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 245

Official Languages

Description: Information relating to the promotion of Canada's two official languages (English and French) including the implementation of the Official Languages Act in federal departments and agencies and complaints from the public and public servants concerning English and French as languages of work and their use in providing services to the public. **Topics:** Official Languages; Complaints; Court Challenges Program; Education; Federal Public Service; Provinces and Territories; Renewal. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 295

Parliament

Description: Information relating to the Parliament of Canada including the House of Commons, the Senate, the legislative program, Members of Parliament and Parliamentary Committees. **Topics:** Parliament, House of Commons; Legislative Program; Members of Parliament; Opening, Proroguing and Dissolution of Parliament; Parliamentary Committees; Senate. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO PLS 040

Petitions and Submissions

Description: Petitions to the Governor in Council which are submitted due to legislative requirements or as an expression of personal concern. They can also be sent to the Privy Council Office, Cabinet, the Prime Minister or the Clerk of the Privy Council. **Topics:** Petitions and submissions covering a wide variety of subjects; legislative provisions including the Criminal Code, the Criminal Records Act, the Judges Act, the Immigration Act, the National Defence Act, the Broadcasting Act, and the National Transportation Act. **Program Record Number:** PCO PLS 030

Provinces and Territories

Description: Information relating to the provinces and territories of Canada including the government administration of each province or territory and the federal government's relationship with the province or territory and municipalities. **Topics:** Provinces and Territories; Federal-Provincial Relations; Government Administration; Municipalities. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO FPR 160

Public Service

Description: Information relating to the Public Service of Canada including subjects relating to the staffing of public service jobs, superannuation, pension plans, separations and training programs for public servants. **Topics:** Public Service; Public Service Awards; Staffing; Superannuation and Pension Plans; Termination of Employment; Training Programs for Public Servants. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 310

Public Works

Description: Information relating to the management of real property for the Government of Canada and to the provision of planning, design, construction and realty services to government institutions, departments and agencies. **Topics:** Public Works; Federally-Owned Lands; National Capital Region (NCR); Official Residences; Parliament Hill; Real Property Management. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 300

Research and Science

Description: Information relating to research and science in Canada, including industrial and manufacturing technology research, medical and space research and science and technology. **Topics:** Research and Science; Industrial and Manufacturing Technology Research; Medical Research; Science and Technology; Space. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 215

Security and Intelligence

Description: Information on federal security and intelligence policies and programs, and intelligence assessments. **Topics:** Interdepartmental committee system; government security policy; protective security; intelligence policies and requirements; programs, organization, and resources; intelligence assessments; communications security; counter-terrorism policies and programs. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO SAI 110

Senior Personnel

Description: Information in support of the Senior Personnel Secretariat. It includes the identification of candidates, human resource planning, career development and performance appraisals for current, former and

possible incumbents of positions appointed by the Governor in Council. **Topics:** Appointments; committees; research and other studies; and related personnel management issues such as allowances and benefits, employment and staffing, retirement and termination of employment, salaries and wages and superannuation.

Program Record Number: PCO SPS 050

Standards of Conduct Compliance

Description: Information relating to compliance with the government's Conflict-of-Interest and Post-Employment Code. **Topics:** Senior personnel categories; investigations; task force; guidelines; committees; councils; panels and political activities. **Program Record Number:** PCO SPS 055

Trade and Industry

Description: Information relating to Canadian industries and Canadian international trade including the development and promotion of Canada's international trade; export marketing and promotion; exhibitions and trade fairs; the import and export of goods; foreign investment in Canadian industries and bilateral and multilateral trade. **Topics:** Trade and Industry; Exhibitions and Trade Fairs; Foreign Investment; Industries; International Trade. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 220

Transportation

Description: Information relating to all methods of transportation including air, marine and rail transportation, roads and highways and transportation safety. **Topics:** Transportation; Air Transportation; Government Transportation Services; Marine Transportation; Surface Transportation; Transportation Safety. **Access:** Files are arranged by subject. **Storage Medium:** Paper. **Program Record Number:** PCO OPS 225

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Administration

Administration and Management Services

Budgets

Buildings and Properties

Classification of Positions

Employment and Staffing

Finance

Human Resources

Lands

Occupational Health, Safety and Welfare

Official Languages

Personnel

Procurement

Salaries and Wages

Staff Relations

Training and Development

Vehicles

Personal Information Banks

Access to Information Request Data Bank

Description: This bank contains Access to Information Request Forms sent by individuals requesting access to records under the control of the Privy Council Office, the replies to such requests and information related to their processing. Information on exemptions or exclusions claimed, on complaints handled, or other aspects of administering access requests. **Class of Individuals:** Canadian citizens, permanent residents and all individuals residing in Canada. **Purpose:** The purpose of this bank is to administer access requests in accordance with the Access to Information Act. **Consistent Uses:** The bank is also used to record the processing of access to information requests under the Act and to report to Treasury Board and to Parliament on requests received and processed and for the purpose of consultations with other government institutions. **Retention and Disposal Standards:** Records are kept for two years. **PAC Number:** 78-001 **Related to PR#:** PCO ADM 080 **TBS Registration:** 002545 **Bank Number:** PCO PPU 040

Governor in Council Personnel Records

Description: This bank contains manual and computerized personnel information on current, former and possible incumbents of positions occupied by individuals appointed by the Governor in Council. Records include appointment information, appraisals, classification level, correspondence and memoranda, education and employment history, pay, insurance and superannuation data, selection documents, succession plans and career planning information. **Class of Individuals:** Governor in Council appointees and potential candidates for Governor in Council positions. **Purpose:** The purpose of this bank is to support the requirements of the Senior Personnel Secretariat in its role of identifying candidates, personnel planning, career development, performance appraisals and salary reviews and maintenance of information on the Governor in Council appointments. **Retention and Disposal Standards:** Records are retained for 30 years. **PAC Number:** 69-076 **Related to PR#:** PCO SPS 050 **TBS Registration:** 002548 **Bank Number:** PCO PPU 020

Petitions and Submissions

Description: This bank contains petitions or submissions made to the Governor in Council. The petitions can be pursuant to legislative requirements or may arise from the personal concerns of individual citizens. The petitions can also be sent to the Privy Council, Cabinet, the Prime Minister and the Clerk of the Privy Council. The legislative provisions include such statutes as the Criminal Code, the Criminal Records Act, the Judges Act, the Immigration

Act, the National Defence Act, the Broadcasting Act, and the National Transportation Act. **Class of Individuals:** Individuals may be members of the general public, members of the Canadian Armed Forces, judges, or inmates of penitentiaries. **Purpose:** Every citizen of Canada has the right to petition the Crown concerning any matter. In addition, certain statutes provide a right to petition the Governor in Council. This material is not collected or obtained by the Office of the Privy Council; rather, it is sent to the Office. No form or format is required. The petition or submission is reviewed and an opinion regarding the validity of the claim is provided to the Governor in Council. **Consistent Uses:** No use is made of the material other than that specifically related to the claim of the petitioner or applicant. **Retention and Disposal Standards:** Records are retained for 30 years. **PAC Number:** 69-076 **Related to PR#:** PCO PLS 030 **TBS Registration:** 002550 **Bank Number:** PCO PPU 010

Privacy Request Data Bank

Description: This bank contains Personal Information Request Forms sent by individuals requesting access to their files, the replies to such requests and information related to their processing. Information on exemptions claimed or on complaints handled may also be included. **Class of Individuals:** Members of the general public and government employees who make requests for access to personal information about themselves under the Privacy Act. **Purpose:** The purpose of this bank is to administer requests for access to personal information, in accordance with the Privacy Act. **Consistent Uses:** The bank is also used to record the processing of access requests under the Privacy Act, to report to Treasury Board and to Parliament on requests received and processed and for the purpose of consultations with other government institutions. **Retention and Disposal Standards:** Records are kept for two years. **PAC Number:** 78-001 **Related to PR#:** PCO ADM 080 **TBS Registration:** 002544 **Bank Number:** PCO PPU 036

Professional Service Contract Files

Description: This bank contains professional service contract files for the Prime Minister's Office, the Deputy Prime Minister's Office, the President of the Privy Council for Canada, the Office of the Leader of the Government in the House of Commons, the Office of the Leader of the Government in the Senate, the Privy Council Office and the former Federal-Provincial Relations Office. It contains management recommendations, outline of service, biographic information and official signed contracts. **Class of Individuals:** Individuals who have signed a professional service contract with the above mentioned institutions. **Purpose:** The main use of the bank is to report on status and commitment values and audit of payment. **Consistent Uses:** The bank is also used to prepare statistical information for response to parliamentary questions. **Retention and Disposal Standards:** Records are retained for six years after completion of contract. **PAC Number:** 86-001 **Related to PR#:** PCO ADM 912 **TBS Registration:** 002549 **Bank Number:** PCO PPU 015

Security and Intelligence Information Files

Description: This bank contains documentary information concerning security-related investigations or reports on individuals identified as posing a potential risk to national security and who have been brought to the attention of the Privy Council Office by a federal investigative agency or by another department. **Class of Individuals:** Public servants, former public servants, candidates for the public service (95%); and a small number of persons whose activities have been brought to the attention of the Privy Council Office (5%). **Purpose:** The purpose of this bank is to inform the Privy Council Office of national security concerns relating to the individuals mentioned in these files. Most of the information was collected by the Canadian Security Intelligence Service or by the former Royal Canadian Mounted Police Security Service. **Retention and Disposal Standards:** This information is retained for 30 years. **PAC Number:** 69-076 **TBS Registration:** 002551 **Bank Number:** PCO PPU 005

Standards of Conduct Compliance Records

Description: This bank consists of material related to compliance with the government's policy on conflict of interest and other standards of conduct by holders of public office. **Class of Individuals:** Holders of public office, including ministers, parliamentary secretaries, exempt ministerial staff, Governor in Council appointees, public servants and other persons hired or appointed by the government. **Purpose:** These records are used for determining precedents regarding compliance with the Conflict of Interest and Post-Employment Code and for considering amendments to existing policies. **Retention and Disposal Standards:** Records are retained for 30 years. **PAC Number:** 69-076 **Related to PR#:** PCO SPS 055 **TBS Registration:** 002547 **Bank Number:** PCO PPU 030

Classes of Personal Information

The files held by the Privy Council Office are organized under broad subject headings. The information they contain deals with specific issues of interest to the government from time to time. Since much of the material in these files originates in other government departments, requests for general information should be referred to the government department having the greatest interest in the subject matter.

Exclusion of Records

Under the Access to Information Act, Program Records referred to as confidences of the Queen's Privy Council for Canada are excluded from the provisions of the Act under section 69. These records are less than 20 years old.

It should be noted that Orders-in-Council are published in Part II of the Canada Gazette and are, therefore, records in the public domain. Requests for this material should not be made under the provisions of the Access to Information Act.

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Privy Council Office and its various programs and functions may be directed to:

Privy Council Office
Access to Information and Privacy
Room 633, Blackburn Building
85 Sparks Street
Ottawa, Ontario
K1A 0A3
(613) 957-5210

Reading Room

In accordance with the Access to Information Act, an area on the premises has been designated as a public reading room. The address is:

Room 625, Blackburn Building
85 Sparks Street
Ottawa, Ontario

Public Service Commission of Canada

Chapter 86

General Information

Background

The Public Service Commission (PSC), a politically independent agency accountable to Parliament for the administration of the Public Service Employment Act, has been in existence since 1908 under various titles and legislations.

Responsibilities

Under the Public Service Employment Act, the Commission must ensure that the merit principle, as determined by the Commission, is upheld in all public service staffing operations. The objective of the Commission is to assist in the maintenance of a competent Public Service by ensuring the best qualified persons are recruited to or promoted within the Public Service, that qualified employees are deployed to meet operational requirements and that certain training services are provided on behalf of the Treasury Board.

Legislation

- Public Service Employment Act (PSEA)
- Public Service Employment Regulations (PSER)

Organization

♦ Appeals and Investigations Branch

The Appeals and Investigations Branch establishes independent boards to hear appeals by public servants against alleged breaches of the Public Service Employment Act and Regulations in such matters as appointment, promotion, demotion and release. Decisions of appeal boards are final and binding on the parties and on the Commission, and can be set aside only by the Federal Court of Appeal. The Branch is responsible for investigating and issuing opinions on behalf of the Commission as to whether an employee's opportunity for advancement has been prejudicially affected by an appointment without competition. A positive opinion gives the employee the right to appeal such appointments. The Branch is also responsible for the investigation of complaints of irregularities in the staffing process that are not subject to appeal; for the investigation of complaints of harassment in the workplace and for conciliating settlements where complaints are upheld. Training, advice and assistance are provided to departments, unions and other interested individuals.

♦ Audit and Review Branch

The Audit and Review Branch is responsible for auditing the management of staffing that has been delegated to

departments and federal agencies governed by the Public Service Employment Act. It also evaluates the exercise of the staffing authority that has not been delegated to the departments and informs the Commission of the relevance and effectiveness of its staffing policies. The Branch audits on behalf of Treasury Board the implementation of Treasury Board's human resources policies. It also conducts internal audits and evaluations of Commission programs.

♦ Communications Branch

This Branch manages the Commission's communication function according to Government Communications Policy by providing research and analysis, planning, advice and project management services to the President, Commissioners and Commission managers. It is the focal point for the Commission's external communications, particularly with regard to recruitment advertising, issues management, media and public relations and the production of tools such as publications, audio-visuals and exhibits.

♦ Corporate Management Branch

This Branch provides services in support of the Commission and its programs. This includes financial, administrative and information systems and services; corporate management and strategic planning; coordination of Commission official language responsibilities; administering several provisions of the Public Service Employment Act; and, assisting with parliamentary, union, international and other external relations.

As of 1 April 1993, the Branch is also responsible for investigating complaints against deployments.

♦ Executive Programs Branch

The Executive Programs Branch handles the recruitment, selection, assessment and career counselling of the Executive Group. It also administers Treasury Board's career development programs for executives and employees at feeder levels. These programs include the Career Assignment Program, the Interchange Canada Program, the International Exchange Program, the Business/Government Executive Exchange Program, and the Employment Equity Initiatives Program. This branch also implements initiatives designed to increase the representation of employment equity target groups within the Executive Group.

♦ Human Resources Management Branch

The Human Resources Management Branch is responsible for developing policies and guidelines and for ensuring that the corporate management team possesses the strategies, structures and mechanisms required to manage its human resources. The Branch provides

consultation and support services to Commission managers and employees in such areas as classification of positions, staffing, career and professional development, staff relations, and language training and development. The Branch provides compensation and benefits services to all employees of the Commission, as well as confidential employee assistance and counselling. It also provides advice and assistance to managers in the application of various policies and provisions governing the management of human resources, and is responsible for planning, managing and monitoring such departmental activities as employment equity and official languages.

◆ Regional Offices

The Commission's seven regional offices are collectively responsible for delivering programs and services to federal departments and employees as well as to members of the public across Canada. The Regional Directors are responsible for adapting the Commission's programs and services to the particular needs of its publics in each region.

◆ Staffing Programs Branch

The Staffing Programs Branch is responsible for the development and management of legislation, regulations, policies, standards of selection and assessment, instruments of delegation and programs pertaining to appointments to and within the Public Service. The Branch recruits and refers candidates from outside the Public Service, and coordinates and manages post-secondary recruitment programs. It conducts staffing actions not delegated by the Commission to departments, monitors public service staffing activities and issues, and manages appointment-related information. In addition, the Branch develops assessment instruments and operates assessment centres to evaluate a variety of skills and abilities. The Branch is also responsible for certain programs and services delegated to the Commission by the Treasury Board. These include workforce adjustment, employment equity programs and labour market and human resource analysis.

◆ The Commissioners

The jurisdictional powers of the Public Service Commission rest with the three commissioners, one of whom is the President and Chief Executive Officer. Appointed by the Governor in Council for a ten-year term, the commissioners have the status of deputy head. Together, they set overall policy in accordance with the Public Service Employment Act.

◆ Training Programs Branch

The Training Programs Branch provides training and related support and consulting services to federal departments and agencies to help them meet the job-related training and development needs of their employees. Training is given in the National Capital Region and other regions across Canada. In addition to language training courses, Language Training Canada provides orientation services to determine candidates' aptitudes and eligibility for language training, and

develops and produces language training courses and programs to meet general and specific operational needs. Training and Development Canada designs, develops and delivers middle management, supervisory and professional and technical skills training.

Information Holdings

Program Records

Administrative Services

Description: Information on administrative support services for the Commission. **Topics:** Administrative services, access to information and privacy, facilities management, library services, procurement services, recorded information management, security services, forms management.

Program Record Number: PSC CMB 022

Appeals

Description: Information on inquiries into and decisions rendered on appeals against appointments or proposed appointments under Section 21 of the Public Service Employment Act and appeals against recommendations for demotion or release for incompetence or incapacity under Section 31 of the Public Service Employment Act.

Topics: Appeals, appeal files. **Program Record Number:** PSC AIB 005

Audit

Description: Information audits of departmental and PSC staffing practices and procedures in order to determine that appointments conform with the Public Service Employment Act and Regulations and Commission policy.

Topics: Audit, methodology of audits, audit guidelines, personnel management audits, special audits, delegation advisory committee, interdepartmental personnel audit and review committee. **Program Record Number:** PSC ARB 025

Bilingualism

Description: Information on planning and monitoring of the departmental official language program. **Topics:** Language training, pedagogical counselling, evaluation tests, bilingual bonus confirmations, PSC translations, advice and assistance. **Program Record Number:** PSC HRB 150

Branch Management and Administration

Description: Information on the management of Branch resources and the coordination of Branch initiatives.

Topics: Planning, finance, personnel and administration. **Program Record Number:** PSC HRM 175

Branch Management and Administration

Description: Information on the management of Branch resources and the coordination of Branch initiatives.

Topics: Planning, finance, personnel and administration. **Program Record Number:** PSC SPB 055

Branch Management and Administration

Description: Information on the management of Branch resources and the coordination of Branch initiatives.

Topics: Planning, finance, personnel and administration.

Program Record Number: PSC COM 130

Branch Management and Administration

Description: Information on the management of Branch resources and the coordination of Branch initiatives.

Topics: Planning, finance, personnel and administration.

Program Record Number: PSC EPB 085

Branch Management and Administration

Description: Information on the management of Branch resources and the coordination of Branch initiatives.

Topics: Planning, finance, personnel and administration.

Program Record Number: PSC ARB 029

Branch Management and Administration

Description: Information on the management of Branch resources and the coordination of Branch initiatives.

Topics: Planning, finance, personnel and administration.

Program Record Number: PSC AIB 015

Branch Management and Administration

(formerly Training Programs Branch Secretariat)

Description: Information on the management of Branch resources and the coordination of Branch initiatives.

Topics: Planning, finance, personnel and administration.

Program Record Number: PSC TPB 110

Business/Government Executive Exchange Program

Description: Information on the administration of the Business/Government Exchange Program which provides for exchange assignments of business and government executives. **Topics:** Business/Government Executive Exchange Program, assignment files. **Program Record Number:** PSC EPB 081

Career Assignment Program (CAP)

Description: Information on the administration of the Career Assignment Program which provides career development activities for middle managers. **Topics:** Career Assignment Program, counselling, education, assignment and participant files. **Program Record Number:** PSC EPB 070

Commission Secretariat

Description: Information on the provision of services in support of the Chairman, Commissioners and Senior Management Committee. **Topics:** Commission secretariat, corporate management projects, directions documents, fall strategy conference and main estimates (Part III). **Program Record Number:** PSC CMB 021

Compensation and Benefits

Description: Information on the provision of compensation and benefits service to the PSC in the NCR and advice and assistance to regional pay clerks. **Topics:** Leave, overtime, insurance, pension, performance pay administration. **Program Record Number:** PSC HRB 155

Creative Services

Description: Information on corporate communications with PSC employees, writing and production of Commissioners speeches and the design, editing and distribution of PSC publications outside the PSC. **Topics:** Publications, speeches, newsletters, bulletins. **Program Record Number:** PSC COM 145

EDP Systems and Services

Description: Information on electronic data processing systems and services. **Topics:** EDP systems and services, systems development and maintenance, information processing. **Program Record Number:** PSC CMB 019

Employment Equity Programs

Description: Information on the development and administration of special measure employment equity programs. **Topics:** Employment Equity Programs, National Indigenous Development Program, Northern Careers Program, Access Program for Disabled Persons, Visible Minority Employment Program, option: non-traditional occupations program for women, employment equity files. **Program Record Number:** PSC SPB 040

Executive Group Resourcing Services

Description: Information on resourcing services to departments for the Executive Group. **Topics:** Executive group resourcing services, recruitment, selection, assessment employment counselling, priority placement, training and development and resourcing/competition files. **Program Record Number:** PSC EPB 065

Executive Services

Description: Information on the management and administration of the corporate management branch. **Topics:** Executive services, legal services, annual branch conferences, incentive award and reward and recognition program, commissioner's awards. **Program Record Number:** PSC CMB 024

External Recruitment Programs

Description: Information on the management of external recruitment programs, the development of approaches aimed at recruiting highly qualified individuals to the federal public service and, the provision of functional direction to regional offices and prime user departments. **Topics:** External Recruitment Programs, Post-Secondary Recruitment, the Accelerated Economist Training Program and the Management Trainee Program. **Program Record Number:** PSC SPB 031

Financial Services

Description: Information on financial support services for the Commission. **Topics:** Financial services, accounting operations, financial management, financial policy, financial services and financial systems. **Program Record Number:** PSC CMB 023

Human Resources

Description: Information on the planning, managing and monitoring of human resources activities within the PSC.

Topics: Training and development, human resources information system. **Program Record Number:** PSC HRB 160

Interchange Canada Program

Description: Information on the administration of the Interchange Canada Program which provides for the exchange of participants between organizations in the private sector and the federal government. **Topics:** Interchange Canada Program, applicant/participant files. **Program Record Number:** PSC EPB 075

International Programs

Description: Information on the administration of the International Programs which provides for the exchange of public servants between Canada and foreign governments. **Topics:** International Programs, applicant/participant files. **Program Record Number:** PSC EPB 080

Investigations

Description: Information on the investigation, conciliation and mediation of all complaints on non-appealable staffing matters and on any other matter arising out of or relating to the administration or operation of the Public Service Employment Act and Regulations; employee requests for the Commission's opinion as to whether their opportunity for advancement has been prejudicially affected by a "without competition" appointment, and the investigation into complaints of harassment in the workplace, other than for grounds prohibited by the Canadian Human Rights Act. **Topics:** Investigations, investigation files. **Program Record Number:** PSC AIB 010

Language Training

Description: Information on the provision of mandatory and discretionary language training in both official languages and related orientation and language training services, in conformity with government policies to meet the needs of departments and agencies in the federal public service. **Topics:** Language training, course development, language courses, quality management support, teaching services, language training system, language training files. **Program Record Number:** PSC TPB 105

Monitoring and Information Management

Description: Information on the monitoring, analysis and research of staffing policy related matters and issues. **Topics:** Monitoring and information management, staffing data and information systems, analysis and data services. **Program Record Number:** PSC SPB 032

Official Languages

Description: Statistics on appointments to bilingual positions; advice and assistance on language training plans for appointees to bilingual positions; participation

in special studies on staffing of bilingual positions and on equitable participation of both official languages groups and staffing; and co-ordination of Commission concerns in the area of official languages. **Topics:** Advisory services; special studies; imperative and non-imperative staffing; francophone and anglophone participation, language review committee files, official languages exclusion order files. **Program Record Number:** PSC CMB 045

Parliamentary and Legislative Affairs

Description: Information on parliamentary and legislative affairs. **Topics:** Parliamentary and legislative affairs, leave of absence to seek election, persons appointed under an exclusion order, political activities, public servants released or demoted, PSC policy, studies and operational reports. **Program Record Number:** PSC CMB 020

Planning and Operations

Description: Information on the provision of communications advice, planning and project management services to Commission managers. **Topics:** Communication project files, PSC strategic communications plan, PSC operation communication plan, advice and assistance. **Program Record Number:** PSC COM 140

Public Affairs

Description: Information on media and public relations. **Topics:** Media relations, public relations, advertising. **Program Record Number:** PSC COM 135

Regional Management and Administration

Description: Information on the management of Regional resources and the coordination of Regional initiatives. **Topics:** Planning, finance, personnel and administration. **Program Record Number:** PSC SPB 035

Review

Description: Information on internal audits and on the evaluation of departmental programs. **Topics:** Program evaluation, internal audits and special reviews. **Program Record Number:** PSC ARB 026

Staff Relations

Description: Information on the provision of staff relations service to the PSC including advice and guidance on terms and conditions of employment. **Topics:** Staff relations, cases, advice and assistance. **Program Record Number:** PSC HRB 170

Staff Training and Development

Description: Information on training and development of federal public servants in response to Treasury Board and departmental requirements. **Topics:** Staff training and development, courses, course development, quality assurance. **Program Record Number:** PSC TPB 120

Staffing and Classification

Description: Information on the provision of staffing, classification and reassignment services for the PSC. **Topics:** Staffing, classification, reassignments. **Program Record Number:** PSC HRB 165

Staffing Operations

Description: Information on the provision of all non-delegated staffing activities and services for all occupational categories. **Topics:** Staffing operations, recruitment, referrals, language assessment, appointments, placement of priority persons, co-operative education program, special measure employment equity programs, delegated staffing, follow-up on up-held appeals, staffing support services, applicant/participant files, referral files, assessment files, test results files, employee position abandonment files, employment equity files, interdepartmental peer committee files, interdepartmental secondment files, personnel selection files, separation for cause information system, EDP statistical system, management resources information system. **Program Record Number:** PSC SPB 030

Staffing Policies and Programs Development

Description: Information on the development of proposals for amendments to legislation, regulations, standards, directives, methods, policies and procedures to implement the staffing policies of the Public Service Commission. **Topics:** Assessment Centre programs, diagnostic and career counselling service, occupational and language test development, program development and consultation, staffing policy, selection standards, staffing authorities and delegation, staffing officer certification files. **Program Record Number:** PSC SPB 050

Women's Career Counselling and Referral Bureau (WCCRB)

Description: Information on the administration of the Women's Career Counselling and Referral Bureau which is a national program to increase the number of women in the senior management ranks of the federal government. **Topics:** Women's Career Counselling and Referral Bureau, career counselling and referral files. **Program Record Number:** PSC EPB 083

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Administration

Administration and Management Services

Budgets

Buildings and Properties

Equipment and Supplies

Finance

Furniture and Furnishings

Occupational Health, Safety and Welfare

Office Appliances

Procurement

Personal Information Banks

Access Requests

Description: This bank may contain access request forms, the replies to such requests and information relating to the processing of the requests. **Class of Individuals:** Non-public servants who have made formal access requests under the Access to Information Act or the Privacy Act. **Purpose:** This bank exists in accordance with section 4 of the Access to Information Act and section 12 of the Privacy Act to process access requests made under the Acts. **Consistent Uses:** The bank is used to record and process access requests, to respond to complaints received under the Acts and for statistical purposes. **Retention and Disposal Standards:** Records are retained for two (2) years after completion of the request, then destroyed. **PAC Number:** 85-016 **Related to PR#:** PSC CMB 901 **TBS Registration:** 001443 **Bank Number:** PSC PPU 120

Applicant Inventory

Description: This bank may contain applications/ curriculum vitae and screening selection information. The bank may also contain records of applicants for the Co-operative Education and Employment Equity Programs, (the Access Program for Disabled Persons, the Visible Minority Employment Program, the National Indigenous Development Program, the Northern Careers Program and Option — the Non-Traditional Occupations Program for Women). Individuals seeking access to this information are required to specify the city in which they presented an application for employment. **Class of Individuals:** Non-public servants who have applied for a position in the public service through the Applicant Inventory. **Purpose:** This bank exists in accordance with subparagraph 5(a)(ii) of the Public Service Employment Regulations to provide for the maintenance of an inventory of candidates who have applied for employment to the Public Service of Canada in the administrative support, administrative and foreign services, professional and scientific, technical, and operational categories excluding the EX group. **Consistent Uses:** This bank is used to identify applicants suitable for referral and appointments to positions in the public service. The information on under-represented groups is used for statistical purposes by the Public Service Commission, Treasury Board and the employing department. Relationship with other systems: Data from the CO-OP system is frequently matched with the Appointment Information Management System (AIMS) for statistical purposes and program evaluation and to determine the number of students who become regular employees of the Public Service. The National Applicant Inventory System (NAIS) is also matched with AIMS to obtain statistical information on appointments within the Public Service. Various exam results are extracted from the Test Storage and Results Analysis system (TS & RAS) for input into NAIS. Data is also extracted to obtain information on recruitment and referral for the Operational Performance Measurement System (OPMS). **Retention and Disposal**

Standards: Records are maintained for two (2) years after being removed from the inventory after which they are destroyed. Computerized information is retained for five (5) years. **PAC Number:** 85-016 **Related to PR#:** PSC SPB 030 **TBS Registration:** 001422 **Bank Number:** PSC PPU 015

Applicant Inventory Referral Files

Description: This bank may contain general personal data, referral forms, reference checks and referral results.

Class of Individuals: Non-public servants referred by the PSC to departments and agencies. **Purpose:** This bank exists to record referrals by the PSC to departments and agencies. **Consistent Uses:** The bank is used to provide information on employees referred by the PSC. The information on under-represented groups is used for statistical purposes by the Public Service Commission, Treasury Board and the employing department. Relationship with other systems: Applicant Inventory Referral Files (AIRF) data is input to the Operational Performance Measurement System (OPMS) for program evaluation purposes. **Retention and Disposal**

Standards: Records are retained for two (2) years and then destroyed. Computerized information is retained for four (4) months. **PAC Number:** 85-016 **Related to PR#:** PSC SPB 030 **TBS Registration:** 001423 **Bank Number:** PSC PPU 020

Assessment Centre Files (EIEP)

Description: This bank may contain memoranda and letters; biographical information and assessment results.

Class of Individuals: Non-federal candidates who have been assessed by the Assessment Centre (EIEP).

Purpose: This bank exists in accordance with sections 10 and 16(1) of the Public Service Employment Act to record and provide information relating to the assessment of Career Assignment Program candidates. **Consistent Uses:** This bank is used to record and provide all information relating to the assessment of candidates for the Career Assignment Program so that meritorious selections for the program may be made. It is also used to provide assessment information to candidates requesting feedback and to gather normative and research data on candidates who have gone through the Assessment Centre. **Retention and Disposal Standards:** Records are retained for thirty (30) years after the assessment and then destroyed. **PAC Number:** 85-016 **Related to PR#:** PSC SPB 070 **TBS Registration:** 001430 **Bank Number:** PSC PPU 055

Business/Government Executive Exchange

Description: This bank may contain nomination and biographical information, performance review and employee appraisals, agreements, job descriptions, interview notes, curriculum vitae, and correspondence related to the assignment, selection, orientation, inventory and counselling of participants. **Class of Individuals:** Executives from business and industry who have been nominated, are currently on or have completed an assignment in federal departments or agencies. **Purpose:** This bank exists to

maintain an inventory of executives from business and industry who have been nominated for possible participation in the program and to keep historical records of all persons who have participated. **Consistent Uses:** The information is used to assess candidates and to refer them for possible assignment opportunities in federal departments and agencies. **Retention and Disposal Standards:** Records are retained for two (2) years after the termination of an assignment, and are then destroyed. Information on candidates who did not participate in the Program is retained for two (2) years and then destroyed. **PAC Number:** 85-016 **Related to PR#:** PSC EPB 081 **TBS Registration:** 001904 **Bank Number:** PSC PPU 067

Career Assignment Program (CAP) Nominee Data

Description: This bank may contain basic personal information in one or more of three formats: nominee cards (discontinued in 1984), nominee files and Selection Board files. Information includes nomination forms, reasons for nomination, curriculum vitae, general career plans, appraisal information, language knowledge examination results, and general correspondence related to CAP nominations. **Class of Individuals:** Non-public servants who have been nominated for CAP. **Purpose:** This bank exists in accordance with sections 10 and 16(1) of the Public Service Employment Act to record and provide information relating to initial and final nominations to CAP and, in the case of final nominations, to record and present information for review and decision by Selection Board members regarding acceptance to the Program. **Consistent Uses:** This bank is used to record and provide information on CAP nominees to the initial (Assessment Centre) and final (Selection Board) selection phase for statistical, administrative, and counselling purposes. Selection Board files are expanded nominee files providing information to Board members for decisions regarding admission to the Program. **Retention and Disposal Standards:** Hard copy records of employees who do not proceed beyond the selection phase are retained for three (3) years after which they are destroyed. (Note: Selection Board files of accepted candidates are transferred to the participant files bank.) Selected information on all candidates is computerized and retained for statistical purposes for (20) years. **PAC Number:** 85-016 **Related to PR#:** PSC EPB 070 **TBS Registration:** 001703 **Bank Number:** PSC PPU 060

Career Assignment Program (CAP) Participant Files

Description: This bank may contain basic personal information, nomination forms, reasons for nomination, curriculum vitae, career plan, appraisal information, selection review board summaries and results and correspondence related to CAP participation. **Class of Individuals:** Non-public servants who are currently enrolled or have participated in CAP. **Purpose:** This bank exists in accordance with sections 10 and 16(1) of the Public Service Employment Act to maintain an historical record of all persons who have participated in the Career Assignment Program. **Consistent Uses:** This bank is used to provide statistical information on CAP participants,

selection review board summaries and results, language knowledge examination results, course participation data for human resource planning, staffing and general personnel management purposes. Relationship with other systems: Information on past participants is updated monthly with the Management Resources Information System (MRIS) data and a CAP indicator on MRIS is updated from the CAP system. A semi-annual interface with the Treasury Board Incumbent/Mobility Systems is made to obtain the date the employee joined the Public Service. **Retention and Disposal Standards:** Selected information is computerized and retained for statistical purposes for twenty (20) years. All records are retained in hard copy until five (5) years after termination of CAP participation. **PAC Number:** 85-016 **Related to PR#:** PSC EPB 070 **TBS Registration:** 001429 **Bank Number:** PSC PPU 050

Course Registration and Information System

Description: This bank may contain basic personal data and related course administrative data. Persons completing a Personal Information Request Form are required to quote the pertinent Training Programs Branch course numbers. **Class of Individuals:** Non-public servants who have taken or are enrolled in a professional or management course offered by the PSC Training Programs Branch. **Purpose:** This bank exists in accordance with section 5(b) of the Public Service Employment Act to establish and maintain records of all employees who have taken or are enrolled in a professional or management course offered by the PSC Training Programs Branch. **Consistent Uses:** This bank is used to provide information required to administer professional and management training courses operated by the PSC. **Retention and Disposal Standards:** Records are retained for five (5) years after completion of training and development activity, then destroyed. Computerized records are retained ten (10) years after completion of training. **PAC Number:** 85-016 **Related to PR#:** PSC TPB 120 **TBS Registration:** 001438 **Bank Number:** PSC PPU 095

Executive Programs Competition Files

Description: This bank may contain security clearance rating, language examination results, memoranda to the Commission (Board Report), letter of offer, letter of acceptance, letters to unsuccessful candidates, notice of Right to Appeal if applicable, notice regarding no appeals, copy of Record of Staffing Transaction, Official Languages Information form, job description, Request for Non-delegated Staffing, job profile, statement of qualifications, application forms, individual candidate reports from the Assessment Centre for Executive Appointment, reference check results, and record of persons considered for the position. Persons completing a Personal Information Request Form are requested to give the pertinent competition number. **Class of Individuals:** Non-public servants who are included in an Executive Group competition administered by the PSC. **Purpose:** This bank exists in accordance with section 10 of the Public Service Employment Act to record and

provide information relating to Executive Group competitions administered by the Public Service Commission. **Consistent Uses:** This bank is used to provide information relating to Executive Group appointments made by the PSC. On request, information may be disclosed to a participant in a selection action to explain the reasons for the selection decision in accordance with the PSC policy on "Disclosure of Information Following a Selection Action". **Retention and Disposal Standards:** Records are retained for three (3) years after the eligibility list expires, then destroyed. **PAC Number:** 85-016 **Related to PR#:** PSC EPB 065 **TBS Registration:** 001437 **Bank Number:** PSC PPU 090

Interchange Canada Participant Files

Description: This bank may contain curriculum vitae, performance review and employee appraisals, agreements, job descriptions, interview notes and correspondence related to the program. Some information exists in computerized form. **Class of Individuals:** Non-public servants who are about to be given, are currently on, or have completed, an assignment. **Purpose:** This bank exists to maintain historical records of all persons who have participated in the program. **Consistent Uses:** This bank is used for the general management of the assignments. Relationship with other systems: Source data is obtained from the Management Resources Information System. **Retention and Disposal Standards:** Records are retained for five (5) years after termination of participation, and then destroyed. **PAC Number:** To be approved. **Related to PR#:** PSC EPB 075 **TBS Registration:** 001895 **Bank Number:** PSC PPU 069

International Assignment Participant Files

Description: This bank may contain curriculum vitae, performance review and employee appraisals, agreements, applications, vacancy notices, job descriptions, interview notes and correspondence related to the program. Some information exists in computerized form. **Class of Individuals:** Non-public servants who are about to be given, are currently on, or have completed, an assignment. **Purpose:** This bank exists to maintain historical records of all persons who have participated in the program. **Consistent Uses:** This bank is used for the general management of the assignments. **Retention and Disposal Standards:** Records remain active for the duration of the assignment, are kept inactive for three (3) years and are then destroyed. Records on performance review and employee appraisals are retained five (5) years, then destroyed. **PAC Number:** To be approved. **Related to PR#:** PSC EPB 080 **TBS Registration:** 001896 **Bank Number:** PSC PPU 073

Inventory of Applicants for Interchange Canada

Description: This bank may contain curriculum vitae, letters of acknowledgement, interview notes, records of instances of consideration, notations of referrals, notes to file, telexes, performance reviews and employee appraisals. Some information exists in computerized form. **Class of Individuals:** Non-public servants applying for

positions in Interchange Canada. **Purpose:** This bank exists, in accordance with section 5(a)(ii) of the Public Service Employment Regulations, to maintain an inventory of candidates seeking Interchange Canada assignments. **Consistent Uses:** This bank is used to identify candidates who are seeking Interchange Canada assignments. Relationship with other systems: Source data is obtained from the Management Resources Information System. **Retention and Disposal Standards:** Records are retained for two (2) years after being declared inactive, and then destroyed. Information regarding candidates who become participants is transferred to the Interchange Canada Participants files. **PAC Number:** 85-016 **Related to PR#:** PSC EPB 075 **TBS Registration:** 001894 **Bank Number:** PSC PPU 068

Inventory of Applicants for International Assignments

Description: This bank may contain curriculum vitae, applications, correspondence interview notes, record of instances of consideration, notations of referrals, notes to file, telexes and performance review and employee appraisals. Some information exists in computerized form. **Class of Individuals:** Non-public servants applying for assignments in international organizations. **Purpose:** This bank exists to provide an inventory of candidates seeking positions with international organizations. **Consistent Uses:** This bank is used to identify candidates for vacancies in international organizations. **Retention and Disposal Standards:** Records are retained for two (2) years after being declared inactive, and then destroyed. The information on candidates who become participants is transferred to the International Assignment Participants files. **PAC Number:** 85-016 **Related to PR#:** PSC EPB 080 **TBS Registration:** 001897 **Bank Number:** PSC PPU 074

Inventory of Applicants for Management Trainee Program

Description: This bank may contain curriculum vitae, applications, transcripts of marks, correspondence, interview notes, records of instances of consideration, notations or referrals, notes to file and telexes. **Class of Individuals:** Non-public servants applying to the Management Trainee Program. **Purpose:** This bank exists under the authority of sections 5, 10, 11 and 16 of the Public Service Employment Act to provide an inventory of non-public servants seeking positions with the Management Trainee Program. **Consistent Uses:** This bank is used to identify candidates for positions with the Management Trainee Program and for statistical purposes. Relationship with other systems: It interfaces with the Appointment Information Management System to track the career progression of participants for statistical purposes and studies. **Retention and Disposal Standards:** Records are retained for three (3) years after application is submitted and are then destroyed, except in cases where candidates become participants. Information on such candidates is transferred to MTP participants file. **PAC Number:** To be approved. **Related to PR#:**

PSC SPB 030 **TBS Registration:** 002909 **Bank Number:** PSC PPU 093

Inventory of Applicants to the Accelerated Economist Training Program

Description: This bank may contain curriculum vitae, applications, transcripts of marks, correspondence, interview notes, notations or referrals, notes to file and telexes. **Class of Individuals:** Non-public servants applying to the Accelerated Economist Training Program. **Purpose:** This bank exists under the authority of Sections 5, 10, 11 and 16 of the Public Service Employment Act to provide an inventory of non-public servants seeking positions with the Accelerated Economist Training Program. **Consistent Uses:** This bank is used to identify candidates for positions with the Accelerated Economist Training Program and for statistical purposes. Relationship with other systems: The bank interfaces with the Appointment Information Management System to track the career progression of participants for statistical purposes and studies. **Retention and Disposal Standards:** Records are retained for three (3) years after application is submitted, and then are destroyed, except in cases where candidates become participants. Information on such candidates is then transferred to the Accelerated Economist Training Program participants' file. **PAC Number:** To be approved. **Related to PR#:** PSC SPB 030 **TBS Registration:** 003068 **Bank Number:** PSC PPU 094

Investigations Directorate Files

Description: This bank may contain excerpts of personnel files and/or competition files, interview notes, allegations or complaints, memoranda and letters, performance evaluations, press clippings, memorandum of agreement and formal investigation report. It may also contain information dealing with conciliation actions undertaken with departments, employees, representatives or complainants. **Class of Individuals:** Non-public servants who have filed a complaint related to the application of the Public Service Employment Act and its Regulations with the Investigations Directorate and persons involved in the complaint. **Purpose:** This bank exists in accordance with Section 7.1 of the Public Service Employment Act and the Order-in-Council P.C. 1986-2350 to record information with respect to investigations related to the application of the Public Service Employment Act and its Regulations and to complaints of harassment in the workplace as defined by Treasury Board policy. The information is used so that allegations may be examined, recommendations made and corrective actions taken if warranted. **Consistent Uses:** It is also useful to prepare background documentation to establish a board of inquiry. **Retention and Disposal Standards:** Paper records are retained active during the investigation, conciliation or disclosure phase, five (5) years inactive, then destroyed. Complaints which do not become a formal investigation are kept for two (2) years and then destroyed. Computerized records are retained on-line for ten (10) years, then deleted. **PAC**

Number: To be approved. **Related to PR#:** PSC AIB 010
TBS Registration: 001421 **Bank Number:** PSC PPU 010

Language Review Committee Files

Description: This bank may contain requests for review, candidates' written consent, language knowledge exam (LKE) results prior to 15 October 1984, assessment officer's report, related letters or memos, and decisions of the committee. **Class of Individuals:** Non-public servants whose cases have been submitted to the Language Review Committee. **Purpose:** This bank exists in accordance with section 20 of the Public Service Employment Act to record information on persons whose cases were submitted to the Language Review Committee prior to 15 October 1984. **Consistent Uses:** The bank is used to examine complaints concerning results obtained in second language assessment and decisions of the Language Assessment Section prior to 15 October 1984 for candidates and persons seeking appointment to the public service as well as to reach a decision on each case. **Retention and Disposal Standards:** Records are retained for ten (10) years and destroyed. **PAC Number:** 85-016 **Related to PR#:** PSC CMB 045 **TBS Registration:** 001428 **Bank Number:** PSC PPU 045

Language Training Files

Description: This bank may contain basic personal data, service and language training requests, aptitude and placement tests results and information for the teaching personnel in the Commission's language training Centres along with the language training results obtained. **Class of Individuals:** Non-public servants who underwent language training. **Purpose:** This bank exists in accordance with section 5(b) of the Public Service Employment Act to provide information for eligibility testing, orientation, enrolment and language training processes. **Consistent Uses:** The bank is used for the eligibility, testing, orientation, enrolment and language training processes and to ensure a progress follow-up on participants. Interaction with other systems: The information is coupled daily with the Treasury Board Language Training Module (LTM) and the Second Language Evaluation system (SLE) of the Public Service Commission to update candidates' information, add new training requests and update SLE data. Moreover, the language training system is used to produce for departments, statistical reports on participants' absences and progress. **Retention and Disposal Standards:** Paper records are kept for twenty (20) years after completion of training and are then destroyed. Computerized records, are kept for twenty (20) years and then deleted. **PAC Number:** 85-016 **Related to PR#:** PSC TPB 105 **TBS Registration:** 002779 **Bank Number:** PSC PPU 110

Language Training Orientation Files

Description: This bank may contain basic personal data, aptitude and placement tests results, preliminary interview report, prognosis, recommended lessons and projected training duration. **Class of Individuals:** Non-public servant language training candidates who underwent the

orientation process for non-imperative staffing purposes or for enrolment on basis language training. **Purpose:** This bank exists in accordance with section 5(b) of the Public Service Employment Act to register test results and information collected during the orientation interview. **Consistent Uses:** This information is used to evaluate the aptitude to succeed at language training, to make pedagogical recommendations to the teaching personnel and to supply Language Training Canada with administrative data. Interaction with other system: The information is coupled daily with the Treasury Board Language Training Module (LTM) to update information on orientation process' candidates. **Retention and Disposal Standards:** Paper records are kept for twenty (20) years after completion of training and are then destroyed. Computerized records remain active during the training period, are then kept on tape for twenty (20) years and then deleted. **PAC Number:** 85-016 **Related to PR#:** PSC TPB 105 **TBS Registration:** 002778 **Bank Number:** PSC PPU 100

Management Resources Information System (MRIS)

Description: This bank may contain basic personal data skills and work history, professional qualifications, applications, letters of acknowledgement, notations of referrals, notes to file, telexes, curriculum vitae, career aspirations, managerial experience, and employee appraisals. **Class of Individuals:** Non-public servants applying for positions in the Executive Group as well as non-public servants participating or seeking participation on Interchange Canada or International Assignments. **Purpose:** This bank exists to enable the Public Service Commission to fulfil its powers as stated in section 5 of the Public Service Employment Act and Regulations, i.e., to maintain an inventory of candidates for eligible classifications as determined by the PSC. **Consistent Uses:** This bank is used to provide information to TBS and departments for the purposes of staffing, human resources management, statistics, analysis, evaluations and career management planning. Relationship with other systems: The MRIS system is regularly merged with the Treasury Board Incumbent System (TBS PCE 723) to obtain employee data, with the Treasury Board Secretariat Senior Personnel Information System (TBS PCE 715) to obtain position data, with the PSC Appointment Information Management System (AIMS) to update changes resulting from appointments and with the Separation File to identify individuals who left the Public Service. It is also merged with the Employment Equity Target Group Data Bank (TBS PCE 706) to provide TBS with statistical information related to employment equity. Source data is also obtained from the PSC Career Assignment Program System, the Interchange/International System, the Executive Group Applicant Inventory and the Quarterly Statistical File. **Retention and Disposal Standards:** Information on MRIS applicants who are not accepted is retained for two (2) years after being declared inactive. Applicants who are accepted should refer to personal information bank PSC PCE 734 for the retention period. **PAC Number:** 85-016

Related to PR#: PSC SPB 065 **TBS Registration:** 001436 **Bank Number:** PSC PPU 085

Personnel Selection Files

Description: This bank may contain requests to staff, job descriptions, statements of qualifications, official languages input forms, competition notices, application forms or résumés, selection rating guides, board reports, letters of reference, eligibility lists, all other documents used in establishing candidates' order of merit, and results and notification of appointment. Persons completing a Personal Information Request Form are required to give the pertinent competition numbers. **Class of Individuals:** Non-public servants who are being considered for appointment through a process of personnel selection administered by the Staffing Programs Branch. **Purpose:** This bank exists in accordance with section 10 of the Public Service Employment Act to record and provide information related to any process of personnel selection by competition or without competition administered by the Staffing Programs Branch of the Public Service Commission. **Consistent Uses:** This bank is used to staff positions and to provide the necessary information to participants in selection actions on request and in accordance with the PSC policy on "Disclosure of Information Following a Selection Action", to explain the reasons for the selection decision and to provide related documentation for PSC Investigations as a result of the selection processes. The information on under-represented groups is used for statistical purposes by the Public Service Commission, Treasury Board and the employing department. **Retention and Disposal Standards:** Records are retained for two (2) years after the eligibility list expires or two (2) years after it has been used for administrative purposes and then destroyed. **PAC Number:** 85-016 **Related to PR#:** PSC SPB 030 **TBS Registration:** 001427 **Bank Number:** PSC PPU 040

PSC Occupational Test Results

Description: This bank may contain test answer sheets and results for persons who, for selection or other purposes, have undergone PSC tests. **Class of Individuals:** Non-public servants who have undergone tests developed by the PSC. **Purpose:** This bank exists in accordance with section 16(1) of the Public Service Employment Act and section 14(1) of the Public Service Employment Regulations to record information on tests conducted for purposes of selection, such as competitions. **Consistent Uses:** The bank is used to record and provide information to departments on the results of tests administered by PSC and/or departments to determine the suitability of candidates in the selection process and for human resources planning and analysis. Relationship with other systems: For research purposes the information may also be linked to other PSC information banks. **Retention and Disposal Standards:** Hard copy files are kept for five (5) years and destroyed. Candidate test results are kept on computerized files for two (2) years after they are superseded or become obsolete. A candidate's most recent result for a given test is retained

indefinitely on computerized files. **PAC Number:** 85-016 **Related to PR#:** PSC SPB 030 **TBS Registration:** 001424 **Bank Number:** PSC PPU 025

Second Language Assessment

Description: This bank may contain the appropriate second language assessment request and record of results form, basic personal data, oral interviews recorded on cassette, assessments, reports and results. **Class of Individuals:** Non-public servants who were assessed by the Language Assessment Services Division of the Public Service Commission for second language proficiency. **Purpose:** This bank exists in accordance with sections 16 and 20 of the Public Service Employment Act to assess the second language proficiency of candidates. **Consistent Uses:** This bank is used to maintain a record on candidates tested for the purpose of appointment to bilingual positions in the public service. Relationship with other systems: Test results are input in the SLE Test Results System (PSC PPU 030). **Retention and Disposal Standards:** Records are retained for three (3) years, interviews on cassette are retained for two (2) years unless the candidate agrees to their earlier disposal and then destroyed. **PAC Number:** 85-016 **Related to PR#:** PSC SPB 030 **TBS Registration:** 001426 **Bank Number:** PSC PPU 035

Second Language Evaluation (SLE) Test Results

Description: This bank may contain SLE test answer booklets and results for persons who have taken the Second Language Evaluation Oral Interaction Test, Reading Test, Writing Test, or Writing for Exemption Test. For candidates tested in Oral Interaction, the test cassettes are retained by the administering PSC or departmental test centre. Individuals completing a Personal Information Request Form are required to quote their social insurance number. The social insurance number will be used until such time as it is phased out and replaced by a unique identifier. **Class of Individuals:** Non-public servants who have taken the Second Language Evaluation Oral Interaction Test, Reading Test, Writing Test or Writing for Exemption Test. **Purpose:** This bank exists in accordance with sections 16 and 20 of the Public Service Employment Act to record Second Language Evaluation (SLE) and Language Knowledge Examination (LKE) scores and language assessment results for the purpose of appointment. **Consistent Uses:** The bank is used to provide information on second language evaluation assessment for staffing of bilingual positions and information for general personnel management, research and statistical purposes. Relationship with other systems: The bank provides the individual's test results to the SLE database at the Personnel Applications Centre (PAC) at Supply and Services Canada (SSC). Through PAC, that information is then available on-line to authorized personnel in all government departments having appropriate terminals, and by telephone enquiry. The Treasury Board's Official Languages Information System (OLIS) interfaces with PAC to obtain SLE test results which it links with job position

requirements. For research purposes the information may also be linked to other PSC information banks. **Retention and Disposal Standards:** Computerized records are retained for two (2) years after they are superseded or have become obsolete. Hard copy files are retained for five (5) years. Oral Interaction Tests on cassettes administered by a PPC assessor/monitor are retained for two (2) years unless the candidate agrees to their earlier disposal. Records are then destroyed. **PAC Number:** 85-016 **Related to PR#:** PSC SPB 030 **TBS Registration:** 001425 **Bank Number:** PSC PPU 030

Classes of Personal Information

General Administrative Documentation

In the course of conducting the activities and programs of the Public Service Commission, categories of personal information are accumulated which are not contained in the specific information banks described in this entry. Examples of such personal information include routine correspondence of an administrative nature, complaints and inquiries files as well as contracts for personal and professional services and unsolicited applications for employment. This information is normally filed by general subjects and is retrievable only if specifics are provided concerning the data and subject matter. The retention periods for these classes of personal information are controlled by the records schedules of the general subject files in which they are stored.

Surveys and Studies

The Public Service Commission occasionally surveys individuals and private firms who have participated in PSC programs or made use of its services. In the majority of cases, the identities of persons responding to the surveys are not recorded. These surveys usually form part of studies and are done to obtain individual's views and opinions on PSC activities such as training, staffing, appeals, audits and other departmental responsibilities. The information is used for program planning and to evaluate the effectiveness of training methods and of PSC operations. It is also used to respond to training needs; to obtain a basis for the establishment of policies and procedures; and to ascertain the quality of services, identify problems in those services and make the necessary improvements. The retention periods for this class of personal information are controlled by the record schedules of the general subject file in which they are stored.

Manuals

- Audit Branch Manual
- Procedures Manual (Investigations)
- Selection Standards
- Staffing Policies and Guidelines
- Subject Classification Guide

Reading Room

In accordance with the Access to Information Act, an area on the premises of these institutions has been designated as a public reading room. These rooms are open from 08:30 to 16:30, and are located in:

Alberta and Northwest Territories Region

Canada Place
830 — 9700 Jasper Avenue
Edmonton, Alberta

Precambrian Building
4922 — 52nd Avenue
Yellowknife, Northwest Territories

Atlantic Region

Ralston Building
1557 Hollis Street
Halifax, Nova Scotia

Toronto Dominion Building
777 Main Street
Moncton, New Brunswick

Cormack Building
2 Steers Cove
St. John's, Newfoundland

Confederation Court Complex
119 Kent Street
Charlottetown, Prince Edward Island

Central and Southern Ontario Region

3rd Floor, Dominion Public Building
One Front Street West
Toronto, Ontario

Central Prairies Region

The Federal Building
400 — 1975 Scarth Street
Regina, Saskatchewan

McDonald Building
200 — 344 Edmonton Street
Winnipeg, Manitoba

National Capital and Eastern Ontario Region

11th Floor
66 Slater Street
Ottawa, Ontario

National Capital Headquarters

L'Esplanade Laurier, 11th Floor
300 Laurier Avenue West
Ottawa, Ontario

Pacific and Yukon Region

Sinclair Center
5th Floor, 757 Hastings Street
West Vancouver, British Columbia

Customs House
816 Government Street
Victoria, British Columbia

Elijah Smith Building
300 Main Street
Whitehorse, Yukon

Quebec Region

Complexe Guy-Favreau
8th Floor, West Tower
200 René Lévesque Boulevard West
Montreal, Quebec

Place Sillery
Room 205, 1122 Chemin St-Louis
Quebec, Quebec

Public Service Staff Relations Board

Chapter 87

General Information

Background

The Public Service Staff Relations Board was established in 1967 by the Public Service Staff Relations Act.

Responsibilities

The Board administers the Public Service Staff Relations Act. Its responsibilities include such matters as determination of bargaining units, certification and decertification of bargaining agents, arbitration of interest disputes, adjudication of rights disputes and generally providing an administrative structure within which the rights and responsibilities of the employers and employees in the federal public service may be exercised and/or enforced. The Board also administers the provisions of the Parliamentary Employment and Staff Relations Act, as well as certain provisions of Part II of the Canada Labour Code.

Legislation

- P.E.S.R.A. Regulations and Rules of Procedures
- Parliamentary Employment and Staff Relations Act
- Part II — Canada Labour Code (certain provisions only)
- Public Service Staff Relations Act
- Public Service Staff Relations Board Regulations and Rules of Procedures

Organization

Pursuant to the Public Service Staff Relations Act (R.S.C. 1985, chapter P-35), the Board is composed of "...a Chairperson, a Vice-Chairperson, not less than three Deputy Chairpersons and such other full-time and part-time members as the Governor in Council considers necessary to discharge the responsibilities of the Board." All appointments are for periods not exceeding seven years, with the exception of the chairperson, vice-chairperson and deputy chairpersons, whose appointments are for periods not exceeding ten years. Part-time members are appointed primarily for the purpose of adjudicating grievances.

♦ Office of Mediation Services

The office provides a mediation and conciliation service to employers and bargaining agents when impasses occur in the negotiation of collective agreements. Assistance, including the conduct of examination hearings on behalf of the Board, is provided to the same parties to determine managerial or confidential positions which are to be excluded from the bargaining unit. Similar assistance is given in the matter of the determination of positions

designated as necessary in the interest of the safety or security of the public. Mediation is provided to parties and individuals in complaints and grievances before the Board. Periodic workshops on the mediation process are conducted for the parties. Finally, assistance is provided in relationship building and consultation systems.

♦ Office of the Secretary/General Counsel

This branch is composed of three divisions, Legal Services, Operations and Corporate Services.

Legal Services provides the Chairperson, members and officers with legal advice and counsel on matters relating to the operations of the Board. It also represents the interests of the Board in judicial review proceedings before the Federal Court of Appeal.

Operations consists of case management services which are responsible for the management and control of all proceedings before the Board.

Corporate Services is responsible for the major corporate functions of Finance, Information Systems and Human Resource Management as well as the provision of administrative support including library services, communications and corporate planning.

Information Holdings

Program Records

♦ Office of Mediation Services

Appointment of a Mediator

Description: Information on the appointment by the chairman of a mediator to attempt to resolve differences between the employer and a bargaining agent. **Topics:** Terms or conditions of employment under negotiation for a collective agreement. **Access:** Files arranged by case file. **Storage Medium:** Paper copy. **Program Record Number:** SRB OMD 135

Requests for a Conciliator

Description: Written requests by employers or bargaining agents, during the collective bargaining process, for conciliators when the parties are unable to reach agreement on a term or condition of employment which may be embodied in a collective agreement. **Topics:** Terms or conditions of employment under negotiation for a collective agreement. **Access:** Files arranged by case file. **Storage Medium:** Paper copy. **Program Record Number:** SRB OMD 130

Requests for a Fact Finder

Description: Written requests by employers or bargaining agents, during the collective bargaining process, for fact finders when the parties are unable to reach agreement on a term or condition of employment which may be embodied in a collective agreement. **Topics:** Terms or conditions of employment under negotiation for a collective agreement. **Access:** Files arranged by case file. **Storage Medium:** Paper copy. **Program Record Number:** SRB OMD 132

Requests for the Appointment of a Conciliation Commissioner

Description: Information on the appointment of a conciliation commissioner for the investigation and conciliation of disputes. At the request of either party, by notice in writing to the Chairman, the bargaining agent for the bargaining unit concerned has specified referral to a conciliation commissioner as the method for resolving negotiation impasses, and the parties have bargained in good faith with a view to concluding a collective agreement, but have been unable to reach an agreement on any term or condition of employment for employees in the relevant bargaining unit. **Topics:** Requests for appointment of conciliation commissioners; terms and conditions of employment under negotiation for a collective agreement referred to the conciliation commissioner. **Access:** Files arranged by case file. **Storage Medium:** Paper copy. **Program Record Number:** SRB OMD 165

Requests for the Establishment of a Conciliation Board

Description: Information on the establishment of a conciliation board for the investigation and conciliation of disputes. At the request of either party, by notice in writing to the Chairman, the bargaining agent for the bargaining unit concerned has specified referral to a conciliation board as the method for resolving negotiation impasses, and the parties have bargained in good faith with a view to concluding a collective agreement, but have been unable to reach an agreement on any term or condition of employment for employees in the relevant bargaining unit. **Topics:** Requests for establishment of conciliation boards; terms and conditions of employment under negotiation for a collective agreement referred to the conciliation board. **Access:** Files arranged by case file. **Storage Medium:** Paper copy, microfiche. **Program Record Number:** SRB OMD 140

♦ Office of the Secretary/General Counsel

Applications for Declaration of Strikes as Lawful or Unlawful

Description: Information on applications by either employers or bargaining agents for a declaration by the Board on the legality of strikes. **Topics:** Applications for a declaration of a strike as lawful or unlawful. **Access:** Files arranged by case file. **Storage Medium:** Paper copy, microfiche. **Program Record Number:** SRB OSR 100

Applications for Extension of Time

Description: Applications for a time extension for the performance of an action, serving of a notice, filing of a document or initiation of proceedings when the prescribed time for each of these activities has expired. **Topics:** Applications for extension of time prescribed in the Board's regulations. **Access:** Files arranged by case file. **Storage Medium:** Paper copy, microfiche. **Program Record Number:** SRB OSR 110

Applications for Extension of Time to Implement Arbitral Awards

Description: Information on application from either party for extension of time to implement the provisions of arbitral awards if unable to do so within the required 90 days. **Topics:** Applications for extension of time to implement arbitral awards. **Access:** Files arranged by case file. **Storage Medium:** Paper copy, microfiche. **Program Record Number:** SRB OSR 090

Applications for Extension of Time to Implement Provisions of Collective Agreements

Description: Information on application by either party for extension of time to implement the provisions of a collective agreement if unable to do so within the period agreed upon, or 90 days. **Topics:** Applications for extension of time to implement provisions of collective agreements. **Access:** Files arranged by case file. **Storage Medium:** Paper copy, microfiche. **Program Record Number:** SRB OSR 095

Applications to Determine a New Occupational Group

Description: Applications to determine an occupational category of employees other than those identified in section 2 of the Act. **Topics:** Applications to determine a new occupational category. **Access:** Files arranged by case file. **Storage Medium:** Paper copy, microfiche. **Program Record Number:** SRB OSR 120

Appointment of Arbitrators and Adjudicators

Description: Information on the appointment of arbitrators and adjudicators appointed to resolve negotiation impasses and grievances. **Topics:** Résumés; Order-in-Council appointment documents. **Access:** Files arranged by surname. **Storage Medium:** Paper copy. **Program Record Number:** SRB OSR 155

Approval of Grievances Forms

Description: Approvals for employers' grievance forms to be made available to their employees. **Topics:** Approval of an employer's grievance presentation form. **Access:** Files arranged by case file. **Storage Medium:** Paper copy, microfiche. **Program Record Number:** SRB OSR 115

Bargaining Agents — Certification of

Description: Applications from employee organizations seeking certification as bargaining agents for groups of employees considered to be units of employees appropriate for collective bargaining. **Topics:** Applications for

certification, constitutions and by-laws. **Access:** Files arranged by case file. **Storage Medium:** Paper copy, microfiche. **Program Record Number:** SRB OSR 020

Bargaining Agents — Revocation of Certification of

Description: Information on revocation of certification.

Any person claiming to represent a majority of employees in a bargaining unit may apply to the Board for a declaration that the certified bargaining agent no longer represents a majority of the employees. **Topics:**

Applications for revocation of certification. **Access:** Files arranged by case file. **Storage Medium:** Paper copy, microfiche. **Program Record Number:** SRB OSR 025

Canada Labour Code —

Complaints (Part II — Section 133)

Description: Complaints from employees against employers for allegedly taking action against them because they acted in accordance with section 128 or 129 of Part II of the Canada Labour Code. **Topics:** Complaints from employees; determination of the Board on the merits of the complaints. **Access:** Files arranged by case files. **Storage Medium:** Paper copy. **Program Record Number:** SRB OSR 150

Canada Labour Code — Decisions of Safety Officers (Part II — subsection 129(5))

Description: Referrals to the Board of decisions of Safety Officers concerning the refusal of an employee to work because of an alleged danger. **Topics:** Decisions of Safety Officers; determination of the Board as to the circumstances of the Safety Officer's decisions and reasons therefor. **Access:** Files arranged by case files. **Storage Medium:** Paper copy. **Program Record Number:** SRB OSR 145

Complaints of Unfair Labour Practices

Description: Information on complaints alleging unfair labour practices. **Topics:** Contravention of the Act or regulations; failure to give effect to a provision of an arbitral award; failure to give effect to an adjudicator's decision, and effective June 1, 1993 as a result of amendments to the Public Service Staff Relations Act, a breach of the duty of fair representation. **Access:** Files arranged by case file. **Storage Medium:** Paper copy, microfiche. **Program Record Number:** SRB OSR 060

Consent to Prosecute

Description: Applications for consent to prosecute in the courts an alleged failure by any person to observe any prohibition contained in sections 8, 9 or 10, or an offence under section 105 of the Act. **Topics:** Applications for consent to prosecute. **Access:** Files arranged by case file. **Storage Medium:** Paper copy, microfiche. **Program Record Number:** SRB OSR 105

Declaration of Successor Rights

Description: Information on the declaration or determination by the Board as to what rights, privileges, and duties, if any, have been acquired or are retained by an employee

organization after a merger or amalgamation of employee organizations or a transfer of jurisdiction among employee organizations other than by revocation of certification.

Topics: Applications for determination of successor rights. **Access:** Files arranged by case file. **Storage Medium:** Paper copy, microfiche. **Program Record Number:** SRB OSR 040

Designated Employees

Description: Rulings on the status of employees or classes of employees in a bargaining unit, whose duties at any particular time are necessary in the interest of the safety or security of the public. **Topics:** Determinations by the Board on employees proposed for designation in the interest of the safety or security of the public. **Access:** Files arranged by case file. **Storage Medium:** Paper copy, microfiche. **Program Record Number:** SRB OSR 080

Designated Positions

Description: Rulings on the status of positions which are determined to be necessary in the interest of the safety or security of the public. **Topics:** Determinations by the Board on positions proposed for designation in the interest of the safety or security of the public. Effective June 1, 1993 as a result of amendments to the Public Service Staff Relations Act, positions rather than employees are to be designated as necessary in the interest of the safety and security of the public. **Access:** Files arranged by case file. **Storage Medium:** Paper copy. **Program Record Number:** SRB OSR 081

Determination of Rights of Bargaining Agents

Description: Information on the determination by the Board, after the revocation of certification of a bargaining agent, as to rights and duties of that bargaining agent or those of the new bargaining agent. **Topics:** Applications for determination of rights of bargaining agents. **Access:** Files arranged by case file. **Storage Medium:** Paper copy, microfiche. **Program Record Number:** SRB OSR 035

Determination of Status of Employees

Description: Determinations by the Board on the eligibility of any employee or class of employees to be included as a member of a bargaining unit. **Topics:** Applications for determination of status of employees in bargaining units. **Access:** Files arranged by case file. **Storage Medium:** Paper copy, microfiche. **Program Record Number:** SRB OSR 055

General Powers and Duties of the Board

Description: Information on the general powers and duties of the Board, including the issuing of orders requiring compliance with the Act, regulations or any decision on matters coming before the Board. **Topics:** Applications to the Board for compliance orders under provisions of the Act which have no specific remedy prescribed. **Access:** Files arranged by case file. **Storage Medium:** Paper copy, microfiche. **Program Record Number:** SRB OSR 045

Objections to Managerial and Confidential Exclusions

Description: Information on determinations by the Board, after objections by the bargaining agents, of the status of employees proposed for exclusion from bargaining units by the employer on the grounds that they are employed in a managerial or confidential capacity. **Topics:**

Determinations by the Board on status of employees proposed as managerial or confidential exclusions.

Access: Files arranged by case file. **Storage Medium:** Paper copy, microfiche. **Program Record Number:** SRB OSR 075

Objections to Managerial and Confidential Exclusions (Positions)

Description: Information on determinations by the Board after objections by the bargaining agents of the status of positions proposed for exclusion by the employer on the grounds that they involve duties of a managerial or confidential nature. **Topics:** Determinations by the Board on the status of positions proposed as managerial or confidential exclusions. Effective June 1, 1993 as a result of amendments to the Public Service Staff Relations Act, positions rather than employees are to be proposed for exclusions on the grounds of managerial or confidential capacity. **Access:** Files arranged by case file. **Storage Medium:** Paper copy. **Program Record Number:** SRB OSR 076

Policy Grievances

Description: Information on determinations by the Board, of references by employers or bargaining agents to enforce obligations alleged to arise out of collective agreements or arbitral awards. **Topics:** References of grievances to Board by a bargaining agent or an employer. **Access:** Files arranged by case file. **Storage Medium:** Paper copy, microfiche. **Program Record Number:** SRB OSR 070

Presentation of Grievances and Referral to Adjudication

Description: Information on the presentation of certain grievances over a wide range of matters affecting terms and conditions of employment, except where there is an administrative procedure for redress provided for under an Act of Parliament. **Topics:** References to Board for adjudication of grievances concerning: provisions of collective agreements or arbitral awards; disciplinary action resulting in discharge, suspension or a financial penalty and effective June 1, 1993 as a result of amendments to the Public Service Staff Relations Act, termination of employment on grounds other than discipline. **Access:** Files arranged by case file. **Storage Medium:** Paper copy, microfiche. **Program Record Number:** SRB OSR 065

Questions of Law or Jurisdiction

Description: Rulings on questions of law or jurisdiction arising in connection with a matter that has been referred to the arbitration tribunal or adjudicator. **Topics:** Determination of questions of law or jurisdiction concerning a matter referred for arbitration or referred to adjudication. **Access:** Files arranged by case file. **Storage Medium:**

Paper copy, microfiche. **Program Record Number:** SRB OSR 125

Requests for Arbitration

Description: Information on written requests for arbitration from either party after both have bargained in good faith but have been unable to reach agreement on any term or condition of employment, for employees in a bargaining unit whose bargaining agent has specified referral to arbitration as the method for resolving negotiation disputes. **Topics:** Requests by bargaining agent or employer for arbitration of matters in dispute. **Access:** Files arranged by case file. **Storage Medium:** Paper copy, microfiche. **Program Record Number:** SRB OSR 085

Requests for Review of Decisions

Description: Information on the review, revision, amendment, alteration or variation by the Board of any of its decisions or orders. **Topics:** Requests for review of Board decisions. **Access:** Files arranged by case file. **Storage Medium:** Paper copy, microfiche. **Program Record Number:** SRB OSR 050

Requests for the Establishment of a Designation Review Panel

Description: Information on the establishment of a designation review panel upon referral by the employer for the review and recommendation on designated positions where the bargaining agent for the bargaining unit concerned has specified referral to a conciliation board as the method for resolving negotiation impasses, and the parties have bargained in good faith with a view to concluding a collective agreement, but have been unable to reach an agreement on any term or condition of employment for employees in the relevant bargaining unit. **Topics:** Review of non-binding recommendations regarding positions to be designated. **Access:** Files arranged by case file. **Storage Medium:** Paper copy. **Program Record Number:** SRB OSR 082

Resolution of Disputes

Description: Information on the process specified by a bargaining agent for resolving a dispute when negotiating a collective agreement with the employer. The dispute could either be referred to the Board for arbitration or to a conciliation board. The process and any future alterations from one method to the other are recorded by the Board as part of the certification process. **Topics:** Specification of process for resolution of disputes. **Access:** Files arranged by case file. **Storage Medium:** Paper copy, microfiche. **Program Record Number:** SRB OSR 030

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Audits

Budgets

Buildings

Buildings and Properties

Classification of Positions

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Lands

Occupational Health, Safety and Welfare

Office Appliances

Official Languages

Pensions and Insurance

Personnel

Procurement

Salaries and Wages

Staff Relations

Training and Development

Utilities

Vehicles

Personal Information Banks

Access Requests Data Bank

Description: This bank contains access request forms, replies to such requests and information relating to their processing. **Class of Individuals:** Members of the general public and federal government employees seeking access to information relating to themselves or to programs and activities of the Board. **Purpose:** This bank exists to process requests for access to information, i.e., granting or refusing the release of information held by the Board.

Consistent Uses: To support decisions relating to the processing of access requests; to compile, on a periodic basis the number of requests received and to record the status and particulars of each request, fees charged, etc. **Retention and Disposal Standards:** Files are retained for two years after last administrative action and then destroyed.

PAC Number: 86-001 **Related to PR#:** SRB ADM 903
TBS Registration: 000771 **Bank Number:** SRB PPU 015

Application for Employment

Description: This bank contains completed application for employment forms, résumés, letters of reference and departmental replies to applications. **Class of Individuals:** Individuals seeking employment with the Board. **Purpose:** This bank exists to retain applications for employment

with the Board. **Consistent Uses:** To support decisions regarding the referral of candidates for staffing purposes. **Retention and Disposal Standards:** Files are retained for two years from date of receipt and then destroyed. **PAC Number:** 86-001 **Related to PR#:** SRB ADM 920 **TBS Registration:** 000769 **Bank Number:** SRB PPU 005

Personal Service Contracts

Description: This bank contains information on personal service contracts such as invoices, rates of pay, expenses incurred and payment records. **Class of Individuals:** Individuals hired under contract and part-time Board members hired by Orders-in-Council. **Purpose:** This bank exists to record payments made to individuals hired under contract with the Board. **Consistent Uses:** To support decisions relating to the authorization of personal service contract payments. **Retention and Disposal Standards:** Files are retained for six years following the fiscal year in which services were performed and then destroyed. **PAC Number:** 86-001 **Related to PR#:** SRB ADM 914 **TBS Registration:** 000770 **Bank Number:** SRB PPU 010

Manuals

- Office of Mediation — Procedures Manual — Conciliation Boards, Mediators, Conciliators
- Operations Division — Administrative Procedures
- PSSRB Reports

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Board, its various programs and functions may be directed to:

Assistant Secretary
Public Service Staff Relations Board
240 Sparks Street
P.O. Box 1525, Station B
Ottawa, Ontario
K1P 5V2
(613) 990-1669

Reading Room

The Board's Records Office has been designated under the Access to Information Act as a public reading room. The address of the Records Office is:

Ottawa, Ontario

240 sparks Street, Room 633G
Ottawa, Ontario

Public Works and Government Services Canada

Chapter 88

General Information

Background

Public Works and Government Services Canada was created through the amalgamation of the former Government Telecommunications Agency (Communications Canada), Public Works Canada (PWC), Supply and Services Canada (SSC), and the Translation Bureau (Secretary of State of Canada).

Responsibilities

The Department of Public Works and Government Services Canada is the major provider of central and common services to the Government of Canada. Its services and programs are delivered through offices across Canada, and in the United States and Europe.

Common services include the acquisition, management, operation, maintenance, design, construction, repair and disposal of federal real property, on a fee-for-service basis; the acquisition of goods and services for federal departments and agencies; Crown assets distribution; advertisement management; information management/information technology infrastructure and common services; and the development and application of standards for federal government procurement. Special Operating Agencies within PWGSC also offer common services such as translation, interpretation, consulting and auditing, printing and publishing, on an optional fee-for-service basis.

The department also carries out certain administrative and management functions on behalf of the federal government. These include the Receiver General for Canada function; central accounting and reporting; administration of the public service payroll and superannuation systems; and the provision of productive working environments for public servants in 2,500 locations across Canada. The department manages a diverse portfolio of office space and other general purpose property, as well as certain bridges, highways, locks, dams, and the Parliamentary Precinct. Use of these functions is normally mandatory for federal departments and agencies.

Legislation

- Bridges Act, R.S.C. 1985, c. B-8 (See also Table of Public Statutes, except Fort Falls Bridge Authority)
- Defence Production Act, R.S.C. 1970, c. D-2, as amended
- Department of Communications Act

- Department of Supply and Services Act, R.S.C. 1970, c. S-18
- Dry Docks Subsidies Act, R.S.C. 1985, c. D-4
- Expropriation Act, R.S.C. 1985, c. E-21
- Financial Administration Act
- Government Property Traffic Act, R.S.C. 1985, c. G-6
- Government Works Tolls Act, R.S.C. 1985, c. G-8
- Municipal Grants Act, R.S.C. 1985, c. M-13, amended c. 44 (2nd supplement)
- Ottawa River Act, S.C. 1870, c. 24
- Public Land Grants Act, R.S.C. 1985, c. P- 30, amended c. 13 (1st supplement)
- Public Service Superannuation Act, R.S.C. 1970, c. P- 36 as amended
- Public Works Act, R.S.C. 1985, c. P- 38, amended c. 13 (1st supplement)
- Public Works Health Act, R.S.C. 1970, c. P- 39
- Royal Canadian Mint Act, R.S.C. 1970, c. R- 8
- Surplus Crown Assets Act, R.S.C. 1970, c. S- 20
- Surplus Crown Assets Act, R.S.C. 1985 c. S- 27, amended c. 22 (1st supplement)
- The Department of Public Works and Government Services Act became effective July 12, 1996, to amend and repeal certain Acts.
- The Trans Canada Highway Act, R.S.C. 1970, c. T- 12
- Translation Bureau Act, R.S.C. 1985, c. T- 16, and Regulations

Organization

Audit and Review Branch

Comprises the Audit function which is responsible for the development and implementation of a management oriented integrated and comprehensive audit program linked to the strategic directions, operational programs, plans and priorities of the department; the Evaluation function which provides senior management with timely and credible information about the continued relevance, success and cost effectiveness of departmental activities; the Internal Affairs function which provides investigative services to the Department when there is evidence, allegation or suspicion of employee involvement in the defalcations, violations of laws, regulations or departmental codes of conduct; and the Contract Quality Assurance function responsible for reviewing contract submissions requiring Deputy Ministerial, Ministerial and Treasury Board approval.

◆ Contract Claims Resolution Board

Responsible for terminations for convenience of supply contracts, as well as the resolution and settlement of disputes arising from contracts and the coordination of information between the department and the Canadian International Trade Tribunal. Also provided are periodic quantitative reports concerning the department's dispute resolution program. In concert with Architectural and Engineering Services, Realty Services and Legal Services, the Board reviews claims to identify those that seem impossible to resolve through the normal departmental process. Claims that cannot be resolved internally can be referred to a contract disputes advisory board or go to commercial arbitration or litigation. The Board provides guidance, necessary research, and administrative resource capabilities should one of these alternatives be pursued. In addition to providing guidance to senior management and project managers in the handling of disputes, the Board formulates policies and procedures through monitoring, post-audit and evaluation of various disputes, including those involving litigation.

Canada Communication Group

The Canada Communication Group (CCG) is a Special Operating Agency within Public Works and Government Services Canada that provides core printing and publishing services, supplemented by electronically-based document management services, such as the Senior Executive Network (SEN), media monitoring coordination, electronic forms and legacy document conversion.

Canadian Commercial Corporation

Canadian Commercial Corporation is a trade facilitator providing contract-related services to Canadian exporters. It does so as prime contractor in an export sale. CCC's involvement provides Canadian suppliers with the tangible backing of their own government and enhances their credibility with the foreign customer, CCC can help at all stages of a transaction from identifying opportunities and assisting in bidding to contract administration and auditing.

Communications Branch

The Communications Branch provides a full range of communications services and products; provides advice on the communications implications of programs, policies and decisions; supports the Minister and the Deputy Minister in carrying out their parliamentary responsibilities; and supports individual branches and agencies in communicating their services to client publics. The Communications Branch also works with managers to facilitate ongoing, two-way communications with employees by developing internal communications strategies so that PWGSC employees are informed about, and can participate in, key developments in the department.

Consulting and Audit Canada

Consulting and Audit Canada (CAC) a special operating agency, established as of April 1, 1990. The mandate of CAC is to provide management consulting, audit and related services on an optional and fee-for-service basis to the Government of Canada and, upon request, to other governments, international organizations and other public sector agencies. Through these services, Consulting and Audit Canada seeks to make a leading contribution to the improvement of public sector management and operations in Canada and abroad.

Government Operational Service

The mission of Government Operational Service is: the fulfillment of Receiver General responsibilities, the administration of compensation services, and the provision of services and optional products in the area of finance and personnel. It also provides services in the areas of comptrollership and finance, corporate policy and planning, corporate management and executive services, and advertising and public opinion research. In fulfilling these roles, Government Operational Service is organized into the following areas of responsibility each of which are detailed below:

◆ Advertising and Public Opinion Research Sector

Advertising and Public Opinion Research Sector is responsible for the management of government advertising and for reviewing advertising campaigns and public opinion research proposals in Departmental Annual Plans with respect to subject and theme, and is also responsible for ensuring the advertising programs and research projects are within overall government expenditure guidelines.

◆ Banking and Cash Management Sector

Responsible for carrying out the public money and banking program activities mandated to the Receiver General by the FAA. Banking and Cash Management functions include the administration and recording of inflows to and outflows from the Consolidated Revenue Fund, and the negotiation and tendering for banking services and facilities required by the government. Its activities include the management of the deposit of all monies collected by the government, and placement of excess funds in interest earning accounts at Canadian financial institutions, and the settlement for redeemed government payment instruments, (cheques, direct deposit payments, electronic payments, and Unemployment Insurance warrants).

◆ Central Accounting and Reporting Sector

Carries out its Receiver General central accounting and reporting responsibilities through the maintenance of the Accounts of Canada; the preparation of interim financial reports including the monthly statements of financial operations; the preparation of the Annual Public

Accounts. To carry out these responsibilities, the Sector maintains the Central Accounting Systems (CAS).

◆ Compensation Sector

Authority and responsibility for the pay and benefits function for federal public servants are shared by all Government departments and agencies. The Treasury Board manages the compensation function for employees who staff the Government's departments and many of its agencies; individual departments and agencies administer day-to-day pay and benefits operations; and, some Crown Corporations manage and administer compensation for their respective employees.

This sector is responsible for the administration of compensation systems such as the provision of pay, pensions and insurance services on a government-wide basis through such products as Public Service Pay and Superannuation, RCMP Compensation, Canadian Forces Superannuation and Members of Parliament Retirement Allowances and Judges Pensions. Delivery of these services is accomplished through a national network of 11 services offices (consolidating to 6 by April 1997) which respond to approximately 150 departments and other federal organizations.

◆ Corporate Policy and Planning Sector

The Sector is responsible for strategic and corporate planning, corporate policy, cabinet and corporate services, and security policy and planning. It is also responsible for analysis of corporate issues, departmental coordination of government-wide initiatives, and provides research and executive committee support to the Minister, Deputy Minister, Business Board, and the interdepartmental Procurement Strategy Committee and Procurement Review Committee.

◆ Departmental Products Sector

Responsible for the overall management of payment issue on behalf of all government departments and agencies using the Consolidated Revenue Fund. This includes the accounts payable activity as well as payments to the public. Optional personnel and financial systems and accounting services are also offered. These systems and services, in support of common administrative functions within the departments and agencies, include the Common Departmental Financial System (CDFS), FINCON, Departmental Reporting System (DRS), Ad Hoc Reporting and Downloading (AHRD), FINCAP, Central Agency Personnel Information Systems (CAPIS) and Departmental Employee Benefit Statements (DEBS). Operating costs for most of the optional services are recovered from customer departments.

◆ Finance Sector

Plans, develops, administers and monitors financial framework policies, systems, processes, practices and procedures for the Department. Provides financial planning, analysis and reporting services, including the production of departmental management reports and

public accounts year-end submissions; Prepares operational plans, including the Operational Plan and Budget, the Annual Reference Level Update, Quarterly Reviews, and the Estimates; Develops and coordinates the implementation of quantitative measures to assess the operational performance of PWGSC Branches; Provides authoritative advice to HQ and regional management on central agency and departmental financial policies systems and practices; Develops and monitors the implementation of a framework for regional delivery of financial services; Develops and implements a framework to provide sound business advice regarding the operations of Special Operating Agencies and provides cost-effective delivery of financial management services, including the department's financial transactions, travel and relocation programs and cash management. The sector is also responsible for the maintenance, development and operation of internal PWGSC corporate financial processes and systems.

◆ Financial Information Strategy (FIS) Project

The Financial Information Strategy (FIS) is a joint Public Works and Government Services Canada (PWGSC)/Treasury Board (TB) strategy for satisfying government-wide financial needs using modern delivery mechanisms, as well as clarifying the roles and responsibilities of all players. Under FIS, PWGSC is responsible for developing, implementing and operating central and optional financial systems in accordance with the policies and standards framework provided by the Treasury Board. The TB framework includes a study of user requirements, government-wide impact analysis, fast track initiatives, and implementation of common information management.

Within PWGSC the FIS Project is composed of the following system development sub-projects: Common Departmental Financial Systems (CDFS), Central Financial Management and Reporting System (CFMRS), Interdepartmental Settlements System (ISS) and related Utility Services (US).

◆ Office of the Corporate Secretary

Provides a secretariat service to the department and the Minister. This responsibility includes coordinating all Access to Information and Privacy requests, providing executive services in support of the departmental decision-making process and preparing ministerial and deputy ministerial correspondence.

Government Telecommunications and Informatics Services Branch

Government Telecommunications and Informatics Services (GTIS) is a Common Service Organization within Public Works and Government Services Canada (PWGSC). GTIS' vision is to be recognized by the Federal Government community as the principal agent for IM/IT infrastructure and common services. GTIS' mission is to provide common IM/IT services to facilitate universal access to information by the Federal Government and its client

community, and to provide departmental IM/IT services to PWGSC.

Products and services are grouped as core business lines (i.e., those that are fully developed and currently available) and evolving business lines (i.e., those that have strategic potential but require further development). The core business lines include telecommunications services, network and computer services, and application management services. The evolving business lines include network management, electronic commerce, workstation (end-user) services, IM/IT education services for IT professionals and business managers, and information delivery services.

As a Common Service Organization GTIS must deliver its business services within an optional, market-driven environment. Within this model, GTIS will strive to be the principal agent for infrastructure and common services where value can be demonstrably added through the role of a central, internal agent.

In conjunction with the Chief Informatics Officer (CIO), Treasury Board Secretariat, GTIS will participate in the realization of the vision presented in the "Blueprint for Renewing Government Services Using Information Technology", implementing the necessary infrastructure components, and developing and implementing shared and common applications. In this context, GTIS is viewed as a complementary "operating arm" of the CIO, providing the means to execute those components of the Blueprint which fall within its mandate and capabilities.

On behalf of PWGSC, GTIS performs the role of designated manager of Government Information Holdings and IM/IT authority. This comprises policy, standards, and planning (including the annual Information Management Plan). GTIS chairs the PWGSC Information Management Committee (IMC) that provides executive coordination and oversight for the Department's IM/IT initiatives.

◆ Application Management Services Sector

Application Management Services (AMS) was created to respond to the needs of the Federal Government for development and maintenance of software applications. AMS has the mandate to provide value-added design, development, implementation and support of IM/IT applications to PWGSC and other government departments. The AMS Vision is to be the supplier of choice to government departments for developing, supporting and integrating common administrative applications and infrastructure, and to be acknowledged by its partners and clients as an employer of competent professionals who deliver measurable efficiencies through the management of information and technology. AMS has demonstrable experience in the development and support of both large-scale government-wide applications, and smaller, leading-edge technology applications. AMS has pioneered the development and implementation of client-server applications in government. A broad spectrum of functional areas are represented in the work conducted

by AMS: compensation, personnel, property and assets management, financial management, and acquisition and supply systems. AMS offers a comprehensive range of services which are grouped into five areas: Application Development, Application Support, Integrated Business Solutions, Application Development Environment, and IT Educational Services.

◆ Business Processes and Systems Sector

The Sector has particular responsibility for the re-engineering of generic departmental administrative business processes and electronic commerce applications. Through the provision of a suite of electronic tools, the Sector supports Locally Shared Support Services initiatives. The Sector is also responsible for the maintenance, development and operation of internal PWGSC corporate processes and systems.

◆ Business Support Services Directorate

The mission of the Directorate is to provide internal common services to support GTIS business functions which include executive support, committee support, policy development, internal communications, acquisitions, planning, training, billing, performance measurement, business renewal and administration.

◆ Customer Services and Business Development Sector

The mission of this Sector is to market and promote GTIS' services and to provide IM/IT solutions to customer business needs so that government programs may be effectively delivered to Canadians. Customer Service and Business Development (CSBD) functions as the crucible within which GTIS renews itself. Through the continuous identification of opportunities for product introduction and evolution, CSBD creates an environment for change driven by customer needs. CSBD defines and maintains GTIS' services architecture principles. Through the coordination of the GTIS business plan and through preparation of new service business cases, CSBD provides GTIS with a sound business focus and support to the management team. The Sector manages the sale of all GTIS' products and services and develops strategic account plans, revenue targets and strategies as well as promotional programs. The Sector's Information Delivery Services' (IDS) strategic business unit helps government organizations to access common information and package and deliver their own information using a variety of information technologies. Enquiries Canada offers to the federal community customized information services to support its communication strategies.

◆ Departmental Chief Information Officer

The role of this Sector is to focus on departmental IM/IT services and to serve as a window to GTIS services for PWGSC. It is responsible for the Departmental Chief Information Officer (DCIO) function and related services. The PWGSC-CIO function helps ensure a corporate IM/IT perspective by providing strategic support to the

PWGSC-wide committee infrastructure, strategic planning and departmental architecture, ensuring that the PWGSC IM/IT vision is implemented and that its progress is monitored against this vision. The Sector develops and implements the corporate IM/IT strategy, including the Information Management Plan, Strategic Information Technology Plan, and Technology Architecture and supporting standards. It develops an integrated view of business, administrative and management requirements to delineate common departmental applications, common service applications, and business specific applications, and delivers solutions for the Department in partnership with GTIS business sectors. It provides IM/IT Management Services to establish and support a business-driven IM/IT Governance Framework within PWGSC, PWGSC IM/IT Policy Framework, Departmental IM/IT plans, Departmental Work Architecture, Internet/Intranet Services and Locally Shared Support Services (LSSS) initiatives. The sector is responsible for the establishment of the PWGSC Information Technology Program, for managing the delivery of services to departmental clients through GTIS operating units, maintaining thorough familiarity with client business and strategic functions, ensuring services integrate appropriately with PWGSC architecture, and promoting IM/IT requirements within GTIS. The Sector establishes working groups across the Department involving business-line staff in committees to focus on applications, information, and technology integration. It is responsible for the development of the PWGSC technology architecture, the Migration Strategy to move the Department to the future technology infrastructure which will be based on the new architecture, and for the integration of the current suite of technology products and services towards a standard, department-wide, single products infrastructure environment to ensure that all planned technology projects are compliant with the Department's target architecture. The sector leads the IM/IT quality management efforts in the Department, manages its information holdings, directs the provision of departmental information services including forms management and design, records and library services, manuals and directives management, mail and distribution services. It also provides IT Security Services to PWGSC.

◆ Industrial and Corporate Security Directorate

Meets the Canadian government's national and international industrial security commitments; provides a broad range of internal security services including physical and personnel security and designated and classified information protection; conducts a personnel security clearance and reliability check program for the department and industry; conducts internal investigations; and manages the departmental and industrial Information Technology Security (ITS), COMSEC Material Control and Foreign Ownership Control or Influence (FOCI) programs.

◆ Network and Computer Services Sector

The mission of this Sector is to maintain, support and improve GTIS' network and computing systems and services with a customer-oriented and value-added focus. The Sector provides computer and network operation services for all applications on all information-processing platforms managed by GTIS. It provides day-to-day technical support of GTIS' national information processing infrastructure and distributed computing and end-user support services to clients in their use of local area networks. It also prepares, tests and implements foundation and business systems, or components thereof, to operate in both GTIS mainframe and client/server environments, participates in the development of the technology support infrastructure for some major system projects, and provides data centre management services to some government departments.

◆ Telecommunications Sector

The mission of this Sector is to deliver government-wide common telecommunications products and services to promote effective service delivery to Canadians, and to prepare a seamless integrated telecommunications and informatics enterprise network infrastructure to foster business renewal and public access to government services. The Sector delivers telecommunications services to federal departments and agencies in such areas as computer networks, satellite networks to remote areas (e.g. teleconferencing), electronic text and voice messaging, and electronic data interchange. It plans, develops and implements the communications network infrastructure and manages engineering projects in planning, designing and developing new telecommunications networks and services. It also plans, develops and implements switched and integrated (voice/data) network services, including internetworking services (i.e. wide area network connecting departmental local area networks nation-wide) and broadband (multi-media) switched services using technologies such as fibre optic and mobile satellite networks. This Sector also manages the Government Telecommunications Architect Program for government-wide coordination, planning and implementation of an enterprise network infrastructure.

Human Resources Branch

Responsible for the provision of strategic and operational leadership in the management of all the Department's human resources (including Special Operating Agencies).

◆ Classification Directorate

Responsible for the provision of organization design and classification services, for the administration of the UJEP Conversion, and for the development of classification policies and programs to ensure adherence to governmental classification guidelines and practices.

◆ Corporate Staffing and Human Resources Programs

Responsible for the development of policies and programs which ensure the recruitment, supply and effective utilization of human resources within the Department. This includes: recruitment; deployment; human resources planning; career planning; work force adjustment; national trainee programs; COOP, COSEP and NON-COSEP programs; employment equity; Departmental Assignment Programs; Career Management Services; Career Transition Centres; and the Bilan Social Project.

◆ Human Relations

Responsible for the provision of labour relations advice to senior management and for assisting personnel advisors in ongoing labour relations functions. The Directorate is also responsible for the development of compensation policies, programs and procedures to ensure standardized administration of pay and benefits across the Department. As well, the Directorate coordinates the planning, development and operations of personnel information processing systems, and develops policies and programs to ensure the systems' continued effective in meeting requirements. Finally, the Directorate manages provision of Employee Assistance Program services to departmental managers and staff.

◆ Operations Directorate (Special Operating Agencies)

Responsible for the provision of the full range of human resources services to managers and employees of the department's Special Operating Agencies.

◆ Organization, Classification and Official Languages

The Directorate is the centre of expertise for the Department in the fields of organization design and classification and is responsible for the provision of associated consulting/advisory services at all levels of the organization, from the senior executive cadre, down. The Directorate is responsible for administering the classification grievance process. It also administers the departmental Official Languages Program in accordance with the Official Languages Act, provides related services and responds to all formal complaints. The Directorate develops policies and programs related to each of the above areas to ensure adherence to governmental legislation and directives.

The Directorate provides the full range of human resources services to external organizations on a fee for services basis.

◆ Personnel Operations, Training and Development

Provides a full range of services to management and employees of the Department. This includes the provision of personnel services and advice in the areas of classification, staffing, human resource planning, staff

relations, official languages, compensation and employee assistance.

Responsible for management of a comprehensive departmental training and educational program which allows the Department to plan for future human resource needs and skill requirements. The Directorate is also responsible for organizational renewal projects.

Real Property Services Branch

The new Real Property Services Branch (RPS) was created on May 18, 1995. It's a fundamental redesign of three former branches — Real Property, Realty and Architectural and Engineering Services. The new RPS approach is to position itself close to its clients in order to make it easy for them to do business with the Branch. It is made up of Client Service Teams that act as focal points for client relations on all day to day operational requirements and Centres of Expertise in the four major business lines responsible for supporting service delivery with required skills and resources. Depending on client needs, the client service teams will be dedicated to a client on a geographical basis, for a specific complex or to provide a specific product for which the client has a predominant need.

Real Property Services is led in PWGSC's five regions by Regional Directors General and in the national capital area by a Director General, Operations. They are responsible for the delivery of integrated real property services.

National leadership for the four business lines is provided through Directors General at Headquarters: Office Accommodation Services, Architectural and Engineering Services, Property Management Services and Real Estate Services.

The Office Accommodation Services Sector sets the framework for the custodial responsibilities of the department with respect to office space and general purpose space, and offers cost effective services to client departments and agencies in the planning, acquisition and fit-up of office accommodation.

The Architectural and Engineering Services Sector develops a national program of professional services for the design and construction of federal buildings and facilities owned by the department on an optional, revenue generating basis.

The Real Estate Services is responsible for the custodial role of the department with respect to non-office real property assets such as national treasures and engineering, surplus and other special facilities. It provides leadership for the disposal of the government's real property assets, administers municipal grants and provides a full range of real estate services.

The Property Management Services Sector develops a property management program both for clients who occupy PWGSC's owned space and clients who are their own custodian on an optional, revenue generating basis.

According to the 1995/96 Main Estimates, Real Property Services is operating under the old Operational Planning Framework (OPF). This is being cross-walked to a new OPF reflecting the new organization and will be included in the 1996/97 Main Estimates.

◆ **Logistics, Electrical, Fuel and Transportation Directorate**

Is responsible for procurement and commodity management of a wide variety of products and services which include special and standard vehicles, industrial vehicles, logistics support equipment, petroleum products, industrial chemicals, compressed gases, goods and services in support of vehicle fleets, electrical and electronic goods and a vast range of products grouped under security, firefighting and test equipment.

◆ **Regions**

The Regional Offices are responsible for the provision of all services offered by the Public Works and Government Services Administration at the regional level in Canada. To deliver its services, the operational component has been divided into six regions within the country. They are: Atlantic Region, Québec Region, Ontario Region, Western Region, and Pacific Region. The National Office located in Ottawa, provides services for the National Capital area. These regions are responsible for the provision of services at the local level. They carry out the departments program delivery responsibilities and services in the areas of planning, property administration, real estate services, contract policy and administration, design and construction, finance and administration, purchasing offices, provide acquisition, stock item supply, self-service stores, warehousing, assets management and Crown assets distribution.

As well, they provide payroll and related functions to client department, and produce socio-economic payments such as the Child Tax Credit, Old Age Security, Guaranteed Income Supplements, Canada Pension Plan, Canadian Jobs Strategy, National Revenue Income Tax refunds, Goods and Services Credit Payments and Census payments. In addition, a specialized branch in Shédiac, New Brunswick, is responsible for superannuation services.

Royal Canadian Mint

Was originally established in 1908 by royal proclamation as a branch of the Royal Mint, London, under the provision of the United Kingdom Coinage Act. In 1931, the Mint was established under statute as a branch of the Department of Finance and in 1969, as a Crown corporation under Part X of the Government Organization Act, 1969 (now the Royal Canadian Mint Act R.S.C. 1970, c. R-8).

Supply Operations Service Branch

The Supply Operations Service Branch is responsible for providing supply-related common services to other

government departments and agencies. These services include: requirements definition; acquisitions of goods and services; development of standards; and traffic management services. It is the responsibility of the acquisitions service to procure complex technical engineering and science products and services, as well as those that are of a commercial nature. The branch is also responsible for contracting-out research and development requirements and public awareness programs. The branch is composed of six sectors and one project, i.e., Supply Program Management, Aerospace, Marine and Electronics Systems; Industrial and Commercial Products and Standardization Services; Science, Informatics and Professional Services, Public Relations and Print Contracting Services; Real Property Contracting Services; and, the Fixed Link Project.

◆ **Aerospace and Electronics Procurement Directorates**

This organization is responsible for acquiring a wide range of technically-complex aerospace, electronics and electro-optical systems. These include the acquisition of military and civilian aircraft and related mechanical, electrical and electronics systems and equipment, spares, and communications and detection systems. It also acquires various services such as engineering, repair and overhaul and maintenance services, modifications component repair, and publications maintenance and revision services.

◆ **Aerospace, Marine and Electronics Systems (AMES) Sector**

AMES' activities are divided between Acquisition and Major Crown Projects (MCP) service lines. The Acquisition Service Line comprises two procurement organizations: Aerospace and Electronics, and Marine and Armament. The MCP service line manages the majority of the Major Crown Projects within PWGSC. In addition, the Industrial Security Division is responsible for administering and managing the industrial security program and the U.S. Canada joint certification program on behalf of Public Works and Government Services Canada (PWGSC).

◆ **Business and Consulting Services Directorate**

Contracts for training services of all types, translation services, program reviews, business process reengineering services, financial, general, material, personnel and marketing management as well as collection agency, financial accounting, verbatim reporting, auctioning, custom brokerage and temporary help services.

◆ **Canadian General Standards Board**

The Canadian General Standards Board (CGSB) develops standards and offers programs that list suppliers meeting those standards. CGSB offers a wide range of services to meet government needs, including development of standardization products, conformity assessment, and quality system registration. These services cover a variety

of areas such as office furniture, paints, petroleum, textiles, non-destructive testing, transport of dangerous goods, and janitorial supplies, including environmentally-sensitive standardization products which support green procurement, comprenant des produits de normalisation plus sensibles à l'environnement qui répondent mieux à l'approvisionnement écologique.

◆ Commercial and Consumer Products Directorate

The directorate is responsible for the acquisition and product management of a wide variety of products such as food, drugs, scientific items, clothing and textiles, as well as furniture and office supplies. In addition, the Directorate buys agriculture and fishery products, forage and feed, medical supplies, laboratory and scientific/ photographic equipment, and provides design advice for clothing, textiles, accessories and related equipment. It also contracts for a wide range of standard and custom-manufactured products and service requirements (with the exception of professional and printing services), including but not limited, to warehousing and storage, catering, linen rental and laundering.

◆ Crown Assets Distribution Directorate

The Crown Assets Distribution Directorate (CADD) provides functional management and support to the eight (8) regional Crown Assets Distribution Centres (CADC) in the disposal of all crown-owned assets declared surplus by federal departments, agencies and Crown Corporations located in Canada and abroad so as to achieve best value for the Crown. Each CADC acts as agent for the United States, British and other governments in the sale of their surplus assets located in Canada, CADD has arrangements with certain European governments for the disposal of Canadian military surplus located in their countries.

◆ European Directorate

The European Directorate is responsible for serving the needs of customer departments located in Canada, as well as federal agencies overseas. The London Office is responsible for procurement, mainly of specialized, unique items from all countries of Europe, including the Netherlands, Italy and Scandinavian countries, other than those handled the Koblenz Office. This office also provides a field contract administration service for major contracts placed directly from Canada, and a security clearance facility for Canadian government and industrial personnel visiting United Kingdom secure facilities. The PWGSC office located in Koblenz, Germany, supports Canadian Forces in Europe and Canada through purchases from continental Europe. Koblenz provides Receiver General services to the Department of National Defence in Europe as well as Crown Assets disposal services.

◆ Industrial and Commercial Products and Standardization Services Sector

The Industrial and Commercial Products and Standardization Services (ICPSS) Sector of Public Works and Government Services Canada (PWGSC) buys custom-manufactured products as well as commercial, off-the-shelf products and services that are essential to the daily operations of client departments. ICPSS buys goods ranging from clothing to food to prefabricated buildings, and services such as travel and overseas shipping. Purchasing is done by three product directorates. The Canadian General Standards Board (CGSB) develops standards and offers a wide range of related services to meet government needs. The Business Development and Operations Directorate provides program and administrative support to the Sector's line directorates.

◆ Informatics Services Procurement Directorate

Provides procurement services and contract management services for the informatics services required by federal government departments and agencies to efficiently administer their programs. The business is focused on the following services: Treasury Board shared systems initiatives, facilities management, informatics professional services, software applications development, software licensing; technology assisted learning, software and hardware maintenance, data entry, information retrieval and information archival services. In addition, the Directorate provides an Informatics Professional Services (IPS) procurement tool, in the National Capital Region called the Online IPS Marketplace which provides departments with direct access to self-registered suppliers via the Transac Bulletin Board Service. The Directorate also procures photocopying machines, printing and duplicating equipment.

◆ Informatics Systems Procurement Directorate

Provides procurement services and contract management services for the informatics systems required by federal government departments and agencies to efficiently administer their programs. The business is focused on the following areas: Supercomputer Systems; Mainframe Computer Systems; Minicomputer Systems; Microcomputer Systems (including benchmark testing); Telecommunications Systems; Systems Integration Services; Local and Wide Area Networks; and, Microcomputer Operating System and Applications Software.

◆ Logistics, Electrical, Fuel and Transportation Directorate

Is responsible for procurement and commodity management of a wide variety of products and services which include special and standard vehicles, industrial vehicles, logistics support equipment, petroleum products, industrial chemicals, compressed gases, goods and services in support of vehicle fleets, electrical and

electronic goods and a vast range of products grouped under security, firefighting and test equipment.

♦ Major Crown Projects

The Director General of AMES Sector is the Service Line Manager for the majority of Major Crown Projects (MCPs) managed by Public Works and Government Services Canada, and is responsible for the procurement management portion of the functions relating to the projects. Major Crown Projects are high risk, complex, and politically-sensitive projects valued in excess of \$100 million. The supplier base involved in MCPs covers nearly every industrial sector within Canada, and involves companies from the largest size (as prime contractors), down to small suppliers and consultants (as subcontractors).

♦ Marine and Armament Procurement Directorates

Acquires military and civilian ships and boats, and manages ship refit, conversion and drydocking contracts. It is also responsible for acquiring a broad range of mechanical and electrical equipment including spare parts for use aboard vessels along with related services such as engineering, repair and overhaul and in-service ship maintenance.

The organization is responsible for conducting inspections on all civilian ships, boats, and associated equipment. It provides client departments with a wide variety of technical services, such as, condition surveys on ships, specification preparation and technical advice. It also acquires a wide range of technically-complex armament systems such as armoured vehicles and large and small calibre weapons and ammunition, engineering repair and overhaul and maintenance services, and spare parts support to meet federal government and foreign government requirements.

♦ Northumberland Strait Crossing Project

The objective of this project is to construct (by May 1997) and operate (for 35 years by a private sector consortium) a 13 kilometre bridge between New Brunswick and Prince Edward Island. SOSB is responsible for representing the federal government's interests as reflected in the agreements related to the design, construction, operation and eventual transfer of the bridge; to monitor the effectiveness of the contractual framework and to monitor and conduct audits in respect of the developer's engineering and construction plans and procedures, environmental management plan, fisheries and ferry workers' commitments and regional benefits obligations.

♦ Programs Directorate

Provides services designed to help customer departments effectively deliver and administer their contracting programs. The Unsolicited Proposals Brokerage Service (UPBS) offers a single point of entry for submission of innovative science and technology proposals from the private sector and provides the liaison between the public and potential government sponsors. In addition, the

Directorate offers the UPBS Information Technology which encourages proposals for the application of information technology in government operations; Science Culture Canada, administered on behalf of Industry Canada which sponsors projects and activities that raise public awareness of scientific and technological issues; and, Radarsat User Development Program (RUDP), administered on behalf of the Canadian Space Agency and designed to develop value-added services and products for RADARSAT.

The Directorate also provides support services to the Sector for legacy as well as new Corporate systems such as the Automated Buyer Environment (ABE) and the Vendor Information Management (VIM) system, including such functionality as allocations, system administration and related activities. The Programs Directorate produces the R&D Bulletin and delivers it via the Internet and the Transac Bulletin Board. This publication contains information on government science contracts awarded on behalf of federal government departments and agencies. The Directorate also manages the Homebased Disabled Program for the Sector.

♦ Public Relations and Print Contract Services Sector

Provides procurement and project coordination services to federal government clients for a range of communications requirements including printing, public relations, multi-media, photography, film and video productions, expositions, communications planning, creative services and conference management. Services are provided on a fee for service basis and are optional for departments.

This sector is also responsible for the official publishing operations for the Government of Canada (formally with the Canada Communications Group). This includes the publishing, distribution and marketing of priced publications, administration of Crown Copyright for priced publications and the management of the Depository Services Program.

♦ Real Property Contracting Sector

This sector provides all real property contracting (construction, maintenance and services) and is the centre of expertise within the Department for real property management.

♦ Science Directorate

Is responsible for the procurement of government science and technology requirements, including research and development, data collection, feasibility studies and prototype development for subjects ranging from satellites and solar energy to sample analysis, food research and transportation technology. This Directorate is composed of the Space, Science and Communications and, Defence Sciences Division, Earth Resources Division, Human, Life and Environmental Sciences Division, as well as the Space Station Project office which is a Major Crown Project managed on behalf of the Canadian Space Agency (CSA)

◆ Seized Property Management Directorate

Pursuant to the Seized Property Management Act, it provides management services in relation to property seized or restrained in connection with federal prosecutions. SPMD's functions include: pre-seizure advice; control and maintenance of seized property; management of restrained property; appraisals; advancement of monies to preserve property; inspections; disposal of property and allocation of proceeds; satisfaction of claims; and record keeping.

◆ Supply Management Directorate

The Directorate provides general management support to the Assistant Deputy Minister, Service Line Managers, Director General, Supply Program Management, SOSB Sectors, and the Regions in the areas of planning, performance measurement, supplier and client relations, and procurement-related training. Operational functions, i.e., materiel management for the department, and activities which support the procurement process, i.e., receiving and allocating requisitions; providing bid receiving and Open Bidding services; and standing offer coordination services are also provided along with administrative support for the Supply Program Management Sector.

◆ Supply Policy Directorate

The Supply Policy Directorate is responsible for the economic analysis of procurement-related government programs and initiatives, and the identification of procurement issues and implications; long-term procurement policy development; implementation of the government procurement elements of the various trade agreements; and contract reporting responsibilities on behalf of Treasury Board. This Directorate also provides up-to-date policies, procedures and advice for application by departmental procurement staff, including the development, promulgation and maintenance of the various related manuals, as well as support to the Acquisition Policy Council. Finally, the Supply Policy Directorate provides expert procurement advice to other departments responsible for specific government initiatives with procurement dimensions (such as the Comprehensive Land Claims Agreements, and the Aboriginal Procurement Program)

◆ Supply Program Management Sector

Responsible for policy development and advice planning, systems management, materiel management, operational support, client and supplier relations and business renewal to the Supply Portfolio. The sector is composed of three directorates: Supply Management Directorate, Supply Policy Directorate and the Supply Systems Directorate.

◆ Supply Systems Directorate

This Directorate is responsible for the implementation of procurement-related systems and support infrastructure on behalf of regional and HQ staff. This includes the

implementation of the Automated Buyer Environment (ABE), the development of data base applications (i.e., Vendor Information Management and Customer Information Management systems, the Acquisition Information Service, and the Electronic Bid Receipt function.

◆ Technical and Specialist Services Directorate

The Technical and Specialist Services Directorate (TSSD) operates on a fully optional basis in the provision of expert contracting services to its clients. Privatization and Alternate Service Delivery (ASD) initiatives of various government departments and agencies has resulted in TSSD contracting out of specialized services previously done in-house.

Technical and Specialist Services include the contracting for facility operations including operations of military bases and satellite stations, security services, health care, banking, engineering services, accommodation and food services, relocation services, insurance and risk management, aircraft operations and support services, aerial and satellite remote sensing, and technical, mapping and inspection services to client departments.

◆ Traffic Management Directorate

Manages the Government Travel Service, the Central Removal Service, and Government Travel Card. Publishes the Government Hotel and Car Rental Directory. Manages the Central Freight Service, overseas shipping, and transportation contracting and analysis.

◆ Washington Directorate

The Washington Directorate is located in the Canadian Embassy and provides acquisition management services for all procurements of goods and services from the United States Foreign Military Sales Program and facilitates liaison between Canadian and United States government departments. It is the only Canadian procurement office accredited to the United States armed services. It also assists and represents the Canadian Commercial Corporation (CCC) in the promotion of business opportunities for Canadian industry. Working with the Economics Section within the embassy, the Directorate contributes to the enhancement of Canadian trade opportunities with the United States.

Translation Bureau

The Translation Bureau, became a special operating agency on April 1, 1995. The Bureau provides general and specialized translation, interpretation and other related services in both official languages and in over 150 other languages, including sign language, to Parliament, the courts, official conferences, federal government departments and agencies and provincial and foreign governments and other public sector entities. It also provides terminological research, harmonization and standardization, and develops the Linguistic Databank of Canada TERMIUM®. Regional offices provide services

in St. John's, Charlottetown, Halifax, Moncton, Quebec, Montreal, Toronto, Winnipeg, Calgary and Vancouver.

◆ Client Services

Client Services' primary functions are to develop, maintain and manage business relationships with federal government clients for the Bureau's products and services, including terminology products and publications.

◆ Parliamentary Translation and Interpretation

Parliamentary Translation and Interpretation provides translation services to Parliament in the official languages, as well as interpretations services in all languages, including sign language, to Parliament and to federal departments and agencies.

◆ Terminology and Standardization

Terminology and Standardization Directorate is tasked with supporting the translation and interpretation operations of the Translation Bureau by providing terminology products and services and in particular, by managing the federal government's terminology data bank, TERMIUM®. The Directorate establishes and standardizes the terminology used in the Public Service, co-ordinates the terminology standardization activities of federal organizations and, with these objectives in mind, collaborates with linguistic research and standardization centres in Canada and abroad. The Directorate also participates in the federal program for promoting the official languages in Canadian society.

◆ Translation Operations

Translation Operations provides general and specialized translation services and related services in both official languages and in over 150 other languages for federal departments and other clients. In addition to the services provided in the National Capital Region, Translation Operations has regional offices in St. John's, Charlottetown, Halifax, Moncton, Québec, Montréal, Toronto, Winnipeg, Calgary and Vancouver.

department in the achievement of policy implementation, resource deployment, objectives and identifies areas where improvements are required. **Program Record Number:** PWGSC CSB 267

Auditor General

Description: The holding contains documentation relating to subject matters addressed in the annual Auditor General Report, and prepared in response to questions raised by the Auditor General. **Program Record Number:** PWGSC CSB 235

Canadian International Trade Tribunal (CITT)

Description: A review of the procurement process is conducted when a complaint is received from a potential supplier. This holding contains the query from the supplier, copies of the contract or portions thereof, the government's response to the complaint, the Canadian International Trade Tribunal determination, reports and correspondence related to the complaint. The status of a complaint can be monitored by the CITT Complaint Tracking system. **Program Record Number:** PWGSC CSB 320

Post Award Contract Review

Description: This information holding provides an independent review of the procurement process in the department. The review monitors the contracting process and identifies problems and discrepancies in the interpretation and application of Supply Program policies and procedures. Contract files are randomly selected and temporarily held while a review of the documentation and the procurement process are conducted. **Program Record Number:** PWGSC CSB 250

Canada Communication Group

Access by Telephone

Description: Information on how to obtain information on federal government programs and services by telephone. **Topics:** Telephone referral services; blue pages; toll-free services; and access to Members of Parliament. **Program Record Number:** PWGSC CCG 215

Service Bureaus

Description: Information on how to obtain information on federal government programs and services from walk-in facilities. **Topics:** Service bureaus (by province); satellite offices; and Members of Parliament. **Program Record Number:** PWGSC CCG 210

Communications Branch

Communications Strategies

Description: Information on the planning and management of internal and external communication activities and the writing, editing, design and production services for communications deliverables. **Topics:** Publications and creative services; audio-visual and exhibition services. **Storage Medium:** Files, slides,

Information Holdings

Program Records

Audit and Review Branch

Audit

Description: This information holding contains completed audits, both approved and not approved, on departmental programs and activities; the audit file includes terms of reference, assessments, audit methodology, analysis recommendations, action plans and follow-up; the holding includes a multi-year audit plan and fiscal year audit schedule. Audits are conducted within every organizational element of the department and allow an independent assessment of the efficiency and effectiveness of the

photos, films, video cassettes. **Program Record Number:** PWGSC CSB 310

Departmental Briefing Books

Description: This information holding provides the Minister and senior management with an overview of the department and contains data on the history and organization of the department, including an introduction to the department, a description of each service line, identifies current issues and provides a financial management overview. **Program Record Number:** PWGSC CSB 225

Media Relations

Description: Information on media and public relations, including press releases, press clippings, responses to questions from the media. **Program Record Number:** SSC CSB 315

Consulting and Audit Canada

Consulting and Audit Services

Description: Information related to assignments conducted for public service clients. **Topics:** AUDIT SERVICES — Cost Audit Services: auditing of government suppliers before, during and after the awarding of contracts, including major Crown projects. Contribution Audit Services: auditing of the recipients of government funds to ensure that their claims are in accordance with the terms and conditions of the agreements. Regulatory Audit Services: auditing of organizations to ensure that they are complying with federal government statutes and regulations. Internal Audit and Related Services: identifying, managing and meeting the internal audit needs of departments and agencies; conducting special investigations, audits and services on behalf of central agencies and senior management; and developing skilled audit resources for the government community. CONSULTING SERVICES — Review and Assessment Services: provide traditional evaluations, including frameworks and assessments, less formal reviews (including operational and organizational review, “make-or-buy” and assessments of pilot projects. Organization and Program Management: assisting managers in determining and implementing the most effective and efficient way to organize and deliver a particular service or product to the public or internal clients. Project Management: development of policies, procedures, systems and training for effective project management. Information Management: development of strategic plans and policies for IM/IT, management of projects and introduction of enabling practices and technologies. Economic and Regulatory Services: offers services in regulatory impact analysis and process management. It is the centre of expertise for economic and quantitative analysis, and offers a variety of services in the area of investment management. Environmental Management: analysis and evaluation of sustainable development, environmental and resource issues, and improvement of decision support methods. Conflict

Management and Organisational Development Services: provides an array of services focused on development and implementing solutions for public sector managers in the areas of organizational and interpersonal conflict. Services include the provision of conflict awareness and training workshops, development of intervention plans and assistance in their implementation, meditation sessions, group facilitation, and upward feedback. Financial and Innovative Service Delivery: business planning, assessment and implementation of improvements and innovations in the methods and systems employed to manage financial resources with due regard for integrity and the analysis and implementation of alternative service delivery option and structures. Knowledge Management Consulting: includes planning and design and development of Knowledge Based Systems, Knowledge Engineering, Groupware and Intranet applications, and Electronic Document Management Systems. International: planning, monitoring and evaluation of Canadian aid projects and assistance to foreign governments in public sector reform. **Access:** Files arranged by subject, assignment number, and client program number. Requests for access to report titles may be directed to PWGSC whereas requests for access to specific reports should be directed to the client departments concerned. **Storage Medium:** Computer, audio and video tapes, disks, slides, and transparencies. **Program Record Number:** PWGSC GCA 135

Government Operational Service

Access to Information and Personal Information Requests

Description: Correspondence between Canadian citizens and/or permanent residents and federal government departments regarding requests for access to records held by PWGSC. This holding contains all existing correspondence between the Department, people making requests and third parties, and other institutions, as well as documentation related to investigations conducted by the Information Commissioner. **Access:** By file number, contract number, and/or name of firm or topic. **Program Record Number:** PWGSC CSB 300

Accounting Administration Products

Description: Information on assistance to client departments and agencies on accounts payable and other payments activity, Accounts of Canada and reporting services activity, and management, financial and other services activity. **Topics:** Accounts payable and other payments, administration payment service for government accounts paid from the Consolidated Revenue Fund, and associated financial and related statistical data; Accounts of Canada and reporting services; (activities to maintain the central government accounts and manage the Consolidated Revenue Fund and the Public Accounts of Canada); and financial management and other services (services provided to departments and agencies at a price and quality that is competitive with services available from other sources).

Storage Medium: Microfiche and computer. **Program Record Number:** PWGSC GOS 130

Billing

Description: Information on invoicing of client departments and non-government customers to secure payment for goods and services provided. **Topics:** Revenue received; recoverable amounts; and invoice or contract. **Access:** Files arranged by subject, department and contract number. **Program Record Number:** PWGSC CSB 100

Cabinet and Corporate Services

Description: Information on Cabinet activities and summaries of memoranda presented to various Cabinet committees. **Topics:** Summaries of memoranda presented to Cabinet by various sponsoring departments on matters of national and international concern. **Access:** Computer files and hardcopies classified by Cabinet committee, year and memorandum number. **Storage Medium:** Paper and computer files are held and classified by Cabinet committee members. **Program Record Number:** PWGSC CSB 295

Campaigns (United Way)

Description: Information on general support to the organizational units. **Topics:** National and regional campaigns; and mailing list — chairpersons across Canada. **Program Record Number:** PWGSC GOS 115

Central Accounting

Description: The Receiver General maintains records for the central accounts of Canada and produces reports from these records. **Topics:** Central accounts; subsidiary ledgers and accounting analysis of the financial transactions; financial statements of the Government of Canada; annual public accounts; annual preliminary financial statements and monthly statement of financial operations of the Government of Canada; financial and management reports for central agencies and other departments and agencies; and special analyses on such subjects as the assets and liabilities of the Government of Canada. **Access:** Central data bank's files are arranged by central account, department, agency and certain accounting or financial classifications. Access requests for records of individual departments or agencies should be directed to the department or agency concerned. **Storage Medium:** Central data bank is in machine-readable form at headquarters. **Program Record Number:** PWGSC GOS 145

Corporate Planning

Description: The holding contains information on the development and issue of strategic planning documents, which define the key priorities on which the department will focus on a medium to long term basis, information pertaining to various corporate studies. **Topics:** Corporate Planning, Operational Planning Framework, Business Plan, Outlook Document, Performance Measurement, strategic directions; and business plan analysis in support of the Business Board. **Access:** Electronic and hardcopy

files classified by subject. **Program Record Number:** PWGSC CSB 240

Financial Analysis

Description: Information on budgetary analysis and control; evaluation of vendor financial capability; financial analysis in terms of the product resource, operating and contingent cost or acquisition; formulation of pricing strategies, and the identification of various financing alternatives. **Topics:** Budgetary analysis and control; evaluation of vendor financial capability; product resource, operating and contingent cost or acquisition; formulation of pricing strategies; and identification of financing alternatives. **Access:** Files arranged by subject, project and company. **Storage Medium:** Files arranged by subject, project and company. **Program Record Number:** PWGSC CSB 095

Insurance Administration Products

Description: Information on the administration of employee benefit plans such as determination of eligibility, maintenance of records, issue of statistical and other reports, and preparation and distribution of instructions to users. **Topics:** Public Service Health Care Plan; Public Service Management Insurance Plan; Disability Insurance Plan; Public Service Dental Care Plan and Post-Retirement Life Insurance Plan. **Access:** Files arranged by subject. **Storage Medium:** Microfilm, computer and cards. **Program Record Number:** SSC ROD 080

Memoranda of Understanding

Description: Memoranda of Understanding that the Department has ratified with Branches within the Department, with other federal departments, agencies or Crown Corporations, or with provincial, territorial or foreign governments. **Program Record Number:** SSC CSB 290

Ministerial Correspondence

Description: Correspondence signed by the Minister or Deputy Minister and sent to members of the public, other ministers, Members of Parliament, or government and private sector representatives. **Storage Medium:** The processing sequence for the Minister and Deputy Minister correspondence can be followed using the Correspondence Control Manager (CCM). A copy of all correspondence is retained in the working files of the Ministerial Correspondence Office. **Program Record Number:** PWGSC CSB 270

Pay Administration Products

Description: Information on administrative services required for the disbursement of pay; such as input, the compilation, calculation and issuance of pay; development and operation of system edits, validation and payroll accounting controls; the production of payroll error and statistical reports and statements of data, required by related systems, of cheque stubs and related statements; and the development and promulgation of user instructions and procedures. **Topics:** Public service pay, Royal

Canadian Mounted Police pay, House of Commons pay, Canadian Forces pay. **Access:** Files arranged by subject, by department. **Storage Medium:** Magnetic tapes, microfilm database, hard copy. **Program Record Number:** PWGSC GOS 090

Payment Products:

Description: Information on the maintenance of records and the making of payments for certain national socio-economic programs and tax-based programs, and government administration, and provision to client departments of related financial and statistical information.

Topics: Old Age Security, Guaranteed Income Supplement; Canada Pension Plan; Canadian Jobs Strategy; file maintenance on all of the above; Canadian Pension Commission; War Veterans Allowance; agricultural subsidy; and government annuity payments; Child Tax Benefit, Income Tax refunds, Goods and Services Tax credits and refunds, and suppliers accounts. **Access:** Access requests for individual cheques or payments should be directed to the program department concerned.

Storage Medium: Microfilm and computer. **Program Record Number:** PWGSC GOS 125

Pension Administration Products

Description: Information on the administration of public service employee pension plans, such as determination of eligibility to contribute, calculation of benefits, maintenance of records, issue of statements reports and instructions, for those pensions covered by the Public Service Superannuation Act and other retirement acts. **Topics:** Superannuation payments for public service, Royal Canadian Mounted Police, Canadian Forces; Members of Parliament Retiring Allowance payments; Judges Pension payments; and file maintenance for Royal Canadian Mounted Police Superannuation, Canadian Forces Superannuation, Members of Parliament Retiring Allowance, and Public Service Superannuation. **Access:** Access requests for individual cheques or payments should be directed to the program/department concerned. **Storage Medium:** Microfilm and computer. **Program Record Number:** SSC ROD 075

Planning (United Way)

Description: Policies, agendas, minutes of meetings and responsibility for providing information on Treasury Board union dues check-off, union support, pledge forms, payroll stuffers, and pay deductions. **Topics:** Liaison with host departments across Canada; training; support and suggestions; advisory committee meetings; and official receipts. **Program Record Number:** PWGSC GOS 110

Policy Development

Description: Contains information on the development of departmental policies and positions which conform with government wide policies and programs. These holdings include: Memoranda to Cabinet and supporting documentation; Treasury Board submissions; position papers; minutes of internal and inter-departmental meetings and briefing material for senior management.

Topics: Environment, government procurement, defence, regional and industrial development, aboriginal agreements, federal real property, alternative service delivery. **Access:** Hard copy files classified by subject, chronologically.

Storage Medium: Paper files. **Program Record**

Number: SSC CSB 280

Procurement Plans

Description: This holding contains data which proposes and supports the procurement of goods or services. Procurement Plans may encourage economic or regional development. The Contract Quality Assurance Branch evaluates procurement plans which require consideration by the Assistant Deputy Minister Corporate Policy and Planning, the Deputy Minister or the Minister and provides advice regarding improvements to the plans in the areas of risk assessment and adherence to contracting requirements. **Program Record Number:** PWGSC CSB 220

Public Money and Banking

Description: Information on arrangements by the Receiver General to establish bank accounts and facilities; establish government banking services; receive, transfer, hold, earn interest on, and make and control disbursements of public money. **Topics:** Bank accounts and facilities; signing authorities; reports and statements on Receiver General balances; contracts for services; cheques issued, redeemed, and outstanding; and enquiries and investigations. **Access:** Files arranged by Cheque Redemption Control Directorate; files associated with the cheque redemption and reconciliation process, and enquiries are organized by serial/cheque numbers. Access requests about individual payments should be directed to the program/department concerned. **Storage Medium:** Machine-readable records for bank facilities at headquarters. Cheque records and related machine-readable records are at the Matane, Quebec, office. **Program Record Number:** PWGSC GOS 140

Public Opinion Research

Description: Information on the collection of all information intended to be used either directly or indirectly for any activity carried out by a government institution. **Topics:** Any current activity or event in which the government may have an interest in determining public opinion, e.g. substance abuse, energy conservation, environmental protection, etc. **Access:** Information is stored in hard copy on files arranged by project title. **Program Record Number:** SSC CSB 315

Reports and Audits (United Way)

Description: Yearly assessments and money collected during the year. **Topics:** Statistics; progress reports; post-campaign evaluation; and campaign results. **Program Record Number:** PWGSC GOS 120

Systems Development and Computer Operation

Description: Information on the development and operation of personnel systems for departments and

central agencies. **Topics:** Computer systems specifications; computer operations procedures; and program testing procedures. **Program Record Number:** PWGSC IMS 165

Government Telecommunications and Informatics Services Branch

Government Telecommunications Services

Description: The Telecommunications Sector of GTIS provides a full range of telecommunications facilities and services for federal departments and agencies, including shared and customized voice and data systems, Government of Canada telephone directories, and professional and advisory services. In addition, the Telecommunications Sector, in consultation with customer departments and agencies, carries out the Telecommunications Architect function which is committed to the development of strategies and plans for government-wide telecommunications systems. **Topics:** Systems: data, dedicated, voice, shared, inter-city network consolidation, teleconferencing; coordination: guidelines and standards, inter-departmental coordination; telecommunications projects, research, policy and planning. **Program Record Number:** PWGSC IMS 167

Industrial Security

Description: Information on security programs to protect national and international classified and other sensitive information related to government contracts and projects available to industry by government departments. **Topics:** Agreement and exchanges (information and exchanges (information between Canada and other Countries); classified and other sensitive industrial contracts; industrial facility security clearances or checks; industrial information protection; material and classified or other sensitive documents control; North Atlantic Treaty Organization security; industrial security training. **Access:** Files arranged by subject. Files are accessed by industrial security personnel with appropriate clearance level. **Storage Medium:** Automated system for industrial personnel security clearance or reliability check files. **Program Record Number:** PWGSC IMS 105

Human Resources Branch

Complaints — Official Languages

Description: This databank contains the complaints made under the Official Languages Act. **Storage Medium:** A computerized databank records all complaints. Copies of complaints are kept in the Human Resources Planning Branch, HRS. **Program Record Number:** SSC HRS 282

Personnel Information Products

Description: Information to assist client departments and agencies on personnel matters and processes. **Topics:** Extracts from public service pay; information related to personnel functions including Leave, Classification, Pay and Benefits, Staffing, Exclusions, Training and Development, Access to Information, Entitlements and Deductions,

Workforce Adjustment and Official Languages. This information may be government-wide or department specific. **Storage Medium:** Computer **Program Record Number:** PWGSC GOS 160

Personnel Management

Description: Information on personnel management policies, practices and procedures. **Topics:** Classification; staffing; human resources; occupational health and safety; official languages; salaries and wages; employee relations; training and development. **Access:** Files arranged by subject. **Program Record Number:** SSC HRS 305

Real Property Services Branch

Buildings and Lands

Description: Information on the provision of real estate services to government departments and agencies. **Topics:** Acquisition; appraisals; deeds and title documents; expropriations; easements; heritage properties; title claims; marine; Harbour Commission properties; breakwaters; wharves; offers of sites and buildings; sale of lands; transfer and zoning of land. **Storage Medium:** Machine-readable records, microfiche, drawings, plans, maps. **Program Record Number:** PWGSC RPB 045

Design and Construction

Description: Construction programs. **Topics:** Construction and quality assurance; consultants project control; consultants; cost planning and estimating; environmental analysis; landscaping and site development standards; project control systems; reports and unsolicited proposals; questionnaires; electrical, mechanical, marine and civil engineering. **Storage Medium:** EDP systems, slides and film, brochures, microfilm, photographs. **Program Record Number:** PWGSC RPB 025

Emergency Planning

Description: Records relating to departmental corporate level participation in all the emergency preparedness planning activities at the federal level. They include files relating to intergovernmental, interdepartmental and intradepartmental emergency planning matters such as committee meetings, consultations, plans, procedures and organizations. Other files relate to previous emergency plans and procedures, the testing and exercising of plans and procedures to provide response to future peacetime and wartime emergencies. **Topics:** The files include intergovernmental, interdepartmental and intradepartmental emergency planning matters such as committee meetings, consultations, plans, procedures and organizations. **Storage Medium:** Information is stored on computer systems, plans, photographs, maps, films, tape and microfilm. **Program Record Number:** PWGSC CSB 255

Energy Conservation

Description: Information on Public Works and Government Services energy conservation program.

Topics: Guidelines and standards; information exchange and cooperation; studies; building energy systems analysis; district heating and cooling; solid waste management; solar energy programs; geothermal energy and thermography. **Storage Medium:** Machine-readable records, photographs, cartographic records, microfilm videotapes, and disc storage. **Program Record Number:** PWGSC RPB 055

Maintenance and Repairs

Description: Information on the operation, maintenance and general upkeep of buildings owned and leased by the Crown. **Topics:** Cleaning and caretakers' supplies; elevators; eating facilities; garbage disposal; grounds; historical monuments; housing; linen contracts; lock boxes; moving; tropical plants; open office landscaping; painting; parking; pest control; property management agreements; redecorating; roofs; studies and surveys; utilities; inspections. **Storage Medium:** EDP systems, maps, plans, photographs. **Program Record Number:** PWGSC RPB 065

Municipal Grants

Description: Information on grants to municipal, school, provincial and territorial taxing authorities in lieu of real property tax for a taxation year and in lieu of frontage or area tax in respect of federal property situated within the area in which the taxing authority has power to levy and collect real property tax or the frontage or area tax. **Access:** Files are arranged by region from east to west with their taxing authorities in alphabetical order. **Storage Medium:** Maps, sketches, plans, photographs and electronic database. **Program Record Number:** PWGSC RPB 090

Physical Security

Description: Information on physical security in federally controlled structures. **Program Record Number:** PWGSC RPB 075

Project Files

Description: Information on projects undertaken such as renovations, alterations, minor works and repairs to buildings, civil and marine structures, as well as such work as dredging, landscaping and paving. **Topics:** Planning and design; consultants; tendering and contract award; construction payments; claims; change orders; meetings; project scheduling; reports; shop drawings; testing; fine art (see also PWC 015); materials and equipment. **Storage Medium:** Machine-readable records, microfilm, microfiche, maps, plans, photographs and specifications. **Program Record Number:** PWGSC RPB 030

Property Development

Description: Information on federal land management. **Topics:** Guidelines and standards; information exchange

and cooperation; studies; building energy systems analysis; district heating and cooling; solid waste management; solar energy programs; geothermal energy and thermography.

Storage Medium: Maps, plans, photographs. **Program Record Number:** PWGSC RPB 050

Property Inventories

Description: Inventories of properties owned or leased by the federal government and its agencies. **Topics:** Inventories; Directory of Federal Real Property inventory; Public Cords and Government Services Canada real property inventory. **Storage Medium:** EDP systems, microfilm records, maps, survey plans, photographs, prints, and film. **Program Record Number:** PWGSC RPB 060

Real Property

Description: Information on the provision of accommodation and related services to government departments and agencies. **Topics:** Assignment and use of space; eating facilities; guidelines and standards; parking studies and surveys; charging; housing; lettings; space management and planning. **Storage Medium:** Machine-readable records, maps. **Program Record Number:** PWGSC RPB 040

Safety

Description: Information on safety in federally controlled structures and workplace accidents and injuries involving non-contractual claims against the Crown involving Public Works and Government Services Canada facilities and operations. **Topics:** Environmental safety; fire prevention and protection; standards. **Storage Medium:** EDP systems. **Program Record Number:** PWGSC RPB 070

Signs and Plaques

Description: Information on the federal identity program and the design and development of standard signage. **Topics:** Identification of buildings; national signage program and its implementation. **Program Record Number:** PWGSC RPB 080

Specifications

Description: Specifications and Canadian government master specifications. **Topics:** Specifications — architectural, structural, landscaping, food services, conveyor systems, mechanical, electrical and civil. **Storage Medium:** Microfiche. **Program Record Number:** PWGSC AEB 020

Surplus Properties

Description: Information on the disposition of properties or structures surplus to the needs of government departments and agencies. **Topics:** Notice of excess Crown property; demolition; disposal; surplus properties and enquiries for real property. **Storage Medium:** EDP systems and microfilm records. **Program Record Number:** PWGSC RPB 085

Supply Operations Service Branch

Certification Programs for Products and Services

Description: Information on the establishment, maintenance and distribution of certification and qualification listings. **Topics:** Development of certification and qualification lists; certification and qualification panels; item certification; item qualification; and certification and qualification listing distribution. **Access:** Files arranged by product and company. **Program Record Number:** PWGSC SOS 040

Contract Administration

Description: Information on time, cost, performance conditions of the contract; expediting delivery; administering design changes and amendments; and ensuring quality and delivery. Also includes information on termination, settlement, audit, and security arrangements to secure the fulfilment of the contract. **Topics:** Monitor time, cost, and performance; expediting and follow-up; design change or deviation; contract amendment; quality assurance; delivery, acceptance, and payment; termination and settlement; cost audit; and plant and personnel security. **Access:** Files arranged by contract, company, department, and subject. **Storage Medium:** Computer, disc, word processor, tapes and microfiches. **Program Record Number:** SSC SOS 190

Contracting

Description: Information on the procurement of a specific product or service, up to and including the awarding of the contract for purchase, service or lease. **Topics:** Description of requirement; sourcing (potential sources of supply); price availability; determination; bid solicitation; contract negotiations; and contract solicitation and award. **Access:** Files are arranged by contract, serial number, by subject, by company and by purchase order number. **Storage Medium:** Word Processor, tapes, discs, microfiches and computer. **Program Record Number:** PWGSC SOS 185

Contracts Approval Process

Description: Procurement forms and supporting documentation requesting approval to enter into or amend a contract which exceeds Director General approval limits and ensures the proposed procurement and contract documentation adhere to policy and contract regulations. **Program Record Number:** PWGSC CSB 245

Economic and Market Analysis

Description: Information on optimum financial and economic strategies associated with total product planning, to determine both short and long-range customer requirements, and the identification of developing market opportunities in Canada and abroad. This includes information on current economic trends, as well as market forecasts, produce intelligence and relevant information on industrial and procurement strategies. **Topics:** Item profile assessment; industry sector analysis; source identification; source development,

Inter-program Lost Benefit; Personnel Information Bank; vendor sourcing records; and Science Procurement Information Network. **Access:** Files are arranged by contract, company, project and department. **Storage Medium:** Hard copy and computer access. **Program Record Number:** PWGSC SOS 005

Inventory Management

Description: Information on the planning and maintenance of a range of stocked items in inventory to meet forecast requirements; determination of optimum inventory levels, order points, and order quantities; management of inventory balances and back orders, stock replenishment, and expediting activities. **Topics:** Physical location of stock; provisioning (of stock item supply), pricing and investment strategy; assessment of inventory; accountable advance material and regional inventory control. **Storage Medium:** geographic maps, plans and photographs. **Program Record Number:** PWGSC ROD 050

Management of Movable Assets

Description: Information on the management of movable assets from the time they are acquired, through their life cycle and ultimate disposal. **Topics:** Assets inventory of government departments; vehicle fleet management; lease, rental or loan (of movable assets); production assets (Crown-owned tooling); replacement and retirement; and disposal. **Access:** Files arranged by project, company, contract, and loan agreement number. **Program Record Number:** PWGSC ROD 065

Methods of Supply

Description: Information on methods for satisfying customer product (and service) requirements in a manner which will result in the optimum product, resource, operating and contingent cost. **Topics:** Make or buy (make is available within the public sector; buy is obtainable from the private sector); facility evaluation, regional or central acquisition and distribution, lease or purchase; and consignment (arrangements under which goods are shipped for future sale or other purposes). **Storage Medium:** Word processor and computer. **Program Record Number:** SSC SOS 010

Production Services

Description: Information on the main and outside plant facilities, and Technical and Engineering Support Services; on functional direction given to regional plants, in order to meet the printing needs of government generally, and certain distribution services. **Topics:** Main plant facilities; outside plant facilities; printing requirements; and operational and technical support services. **Access:** Files arranged by subject, contract numbers, project and company names. **Storage Medium:** Microfiche and disc. **Program Record Number:** SSC SOS 030

Project Management

Description: Information on temporary management structures having appropriate authority and responsibility

to acquire innovative or substantially complex capital equipment, construction and services. **Topics:** Standards; operations; assistance; specifications; sourcing (potential sources of supply); negotiation; assessments of tenders/contracts; customer and vendor liaison; allocations of commodities; purchase requisitions; supply management; and major procurement projects. **Access:** Files arranged by contract, subject and project. **Storage Medium:** Word processor and computer. **Program Record Number:** SSC SOS 015

Publicity and Promotion

Description: Information on advertising and promotional activities concerned with the merchandising of products. **Topics:** Subject lists; advertisements; bookfairs and trade shows; and enquiries (public and parliamentary). **Program Record Number:** SSC SOS 035

Repair, Overhaul, Modification, and Maintenance

Description: Information on repair, overhaul, modification or maintenance in order to achieve the greatest utilization and least cost of the asset. **Topics:** Specifications and quality assurance; make or buy; repair, overhaul, modification and maintenance cycle management; and regional distribution of work. **Access:** Files arranged by contract, loan agreement number, and company. **Storage Medium:** Computer documents, negatives and film, microfilm, photographs and brochures. **Program Record Number:** PWGSC SOS 025

Requirements Definition

Description: Information on the need of appropriate levels of value engineering and procurement planning, supported by goods cataloguing, clear specifications and appropriate quality assurance. **Topics:** Need determination; value engineering (cost reduction technique); procurement planning, material identification; specifications and standards; quality assurance requirements; Statement of Requirement (time, cost, performance); estimates. **Storage Medium:** Word processor and computer. **Program Record Number:** SSC SOS 180

Standards and Quality Management/Assurance Services

Description: Information on technical requirements, specifications and standards for products in general recurrent use. **Topics:** Development of standards and specifications; standards committees, sales of publications, ECAT, qualification and certification listing programs to assess products, services and suppliers, registration to ISO 9000 and International Organization for Standardization (ISO). **Storage Medium:** Word processor and computer. **Program Record Number:** PWGSC SOS 040

Traffic Management

Description: Information on ensuring least-cost for shipping or movement of goods via one, or all six modes of transport (air, road, sea, pipeline, rail and off-highway). **Topics:** Shipping arrangements (inland and overseas); travel and removal services; and freight audit and cost

analysis. **Storage Medium:** microfiche **Program Record Number:** PWGSC SOS 020

Warehousing

Description: Information on physical and administrative functions of the storage and distribution activity. **Topics:** Receipt; sorting; identification; inspection; preservation; storage; safekeeping; retrieval for issue; preparation for shipment of material; bulk issues; mail order supply; over-the-counter issues; and public warehousing. **Program Record Number:** SSC ROD 055

Translation Bureau

Services

Description: Information on translation services, simultaneous interpretation, sign language service and other linguistic services, notably terminology, training and translation quality evaluation provided to government institutions. **Topics:** General; associations and organizations; bilingualism; conferences and seminars; Translation Operations Branch; Departmental Translations Services Directorate; Centralized Translation Services Directorate; Parliamentary and Interpretation Services Directorate; Multilingual Translation Directorate and Operational Support Services Directorate; Management Services Branch; Terminology and Linguistic Services Directorate (Terminological and linguistic information, training, development and linguistic quality, research and language advisory services). **Program Record Number:** PWGSC OLP 015

Personal Information Banks

Consulting and Audit Canada

Private Sector Resources

Description: Inventories of registered private sector resources; individuals and firms. **Class of Individuals:** Individuals and firms of the private sector. **Purpose:** The information is used in the registration process by which private sector resources seek consideration for assignment work with CAC. **Retention and Disposal Standards:** Records are retained for three years or until updated by the registrant, whichever comes first. **TBS Registration:** 001371 **Bank Number:** PWGSC PPU 025

Government Operational Service

Access Request Records

Description: This bank contains personal information on individuals who have submitted a request form for Public Works and Government Services Canada information banks under the Privacy Act or the Access to Information Act. Included are corrections, notations and consultations with other government institutions or third parties, exemptions, disclosures, complaints, and summation of records for Court. Documents pertaining to the processing of the request are included. **Class of Individuals:** Individuals making a request under the

Privacy Act or the Access to Information Act. **Purpose:** Used for processing access requests only and to report on the number of requests received and processed, quarterly and annually. **Consistent Uses:** Personal information may be used for statistical purposes in the administration of the Privacy Act or the Access to Information Act. **Retention and Disposal Standards:** Information is retained for two years after the last administrative action has been taken, after which records are destroyed. **PAC Number:** 86-001 **TBS Registration:** 001372 **Bank Number:** PWGSC PPU 080

Direct Deposit Interface Systems

Description: This bank contains information on payment data received from various payment systems for the purpose of delivering payment data to financial institutions for deposit to a recipient's account. This bank receives recipients' Financial Institution Branch and Account numbers, the user cross-reference number used by the payment system to identify the payee and the payee's name. **Class of Individuals:** All individuals, including federal employees and members of the general public, receiving a payment from the federal government via direct deposit. **Purpose:** The purpose of this bank is to effect direct deposit payments. **Consistent Uses:** The information in this bank is used to effect direct deposit payments and for reconciliation and inquiry purposes. **Retention and Disposal Standards:** All payment data is purged from the Direct Deposit Interface System (DDIS) database 120 days after due date and saved on microfiche for an indefinite period of time. The payment tape to financial institutions is retained by CRCD in microfiche form for a period of six years in accordance with payment instrument regulations. **TBS Registration:** 002784 **Bank Number:** PWGSC PPU 040

Information Disclosed to Investigative Bodies

Description: This information bank contains a copy of access requests pertaining to personal information on Treasury Board form 350-56(83/2): Request for Disclosure to Federal Investigative Bodies, forwarded by investigative bodies as listed in Schedule II of the Privacy Act to the Department of Public Works and Government Services Canada under section 8(2)(e) of the same Act. These nation-wide requests are made concerning individuals for the purpose of law enforcement. The bank also contains the replies to such requests and gives particulars concerning information related to their processing. **Class of Individuals:** Individuals involved in investigations under the Criminal Code, federal and provincial statutes and municipal bylaws. **Purpose:** The purpose of this bank is to document requests under section 8(2)(e) of the Privacy Act. **Consistent Uses:** This bank will allow for the auditing of the procedures utilized for the exchange of personal information with investigative bodies under section 8(2)(e) of the Privacy Act. **Retention and Disposal Standards:** Records are retained for two years and then destroyed. **PAC Number:** 86-001 **TBS Registration:** 001836 **Bank Number:** PWGSC PPU 035

Government Telecommunications and Informatics Services Branch

Telephone Call-Detail Information

Description: This bank contains details of most commercial long-distance calls, and all Government Intercity Calling Service (GICS) calls placed from government telephones, which are provided as part of the GTIS Local Shared Services (LSS). It also includes details of all GICS calls made using government TeleCanada Card authorization codes. **Class of Individuals:** Anyone who has access to an LSS telephone or a TeleCanada Card can place calls which will be recorded in this information bank. This includes employees and contractors of government departments, agencies and Crown Corporations specified in Schedules 1, 2 and 3 of the Financial Administration Act; Members, employees of Members, and employees of the House of Commons; and Senators, employees of Senators, and employees of the Senate. **Purpose:** GTIS collects call-detail information only for purposes relevant to its legislative mandate, including the provision of information to customers to enable them to manage their operations and control their expenditures in an effective manner. **Consistent Uses:** Call-detail information is used by GTIS to manage, control, forecast, plan and analyze the performance of GTIS-managed government networks. The information is provided to all GTIS customers or Authorized Customer Representatives to enable them to manage and control GICS usage, allocate costs internally, and ensure that GICS-related services are used appropriately. **Retention and Disposal Standards:** Maintenance of call-detail information is the responsibility of the customer organization that is responsible for paying for the usage charges. Once the information has been delivered to the customer, it becomes the customer's responsibility to retain and dispose of the information in a manner consistent with the Privacy Act, the Access to Information Act and other relevant policy and legislation. GTIS ensures that the information for which it has custodial responsibility is maintained until the end of the retention period, which, for customer billing inquiry purposes, is 24 months after invoice issue. **TBS Registration:** 003744 **Bank Number:** PWGSC PPU 050

Real Property Services Branch

Consultants Inventory

Description: This bank contains information relating to firms and individuals specializing in architectural, engineering and related disciplines associated with departmental activities whose services have been, are or may be commissioned by the Department. This bank contains names, addresses, personal qualifications, a record of previous commissions and experience, along with performance appraisals. **Class of Individuals:** Professional and paraprofessional consultants. **Purpose:** This information is used to select consultants for projects administered by the Department. **Retention and Disposal Standards:** Information is updated continuously

and is maintained for two years after removal from the inventory. **PAC Number:** 79-010 **Related to PR#:** PWGSC PPU 005, PWGSC PPU 025 **TBS Registration:** 000703 **Bank Number:** PWGSC PPU 041

Contractors' Index

Description: This bank contains information relating to contractors in a variety of trades including areas such as plumbing, electrical, moving, cleaning, snow removal and other trades relating to general property management. This bank contains names, addresses, telephone numbers, specializations, licences held, previous government work, if any, and in some cases, performance reports. **Class of Individuals:** General and trade contractors. **Purpose:** This information is used pursuant to departmental procedures to contact and select contractors and service agencies for departmental projects. **Consistent Uses:** The information is updated on an ongoing basis as required by suppliers and their employees. **Retention and Disposal Standards:** This bank is updated on a continuous basis with information supplied by contractors and departmental officials. **PAC Number:** 79-010 **TBS Registration:** 000698 **Bank Number:** PWGSC PPU 016

Industry Personnel Clearance and Reliability Records

Description: This bank contains personal history data, fingerprints, oath of secrecy, social insurance number, consent, briefing request and authorization and citizenship documentation (if applicable), certification of reliability, credit information as well as correspondence related to the security clearance or verification of reliability of industry personnel. **Class of Individuals:** Canadian industry personnel. **Purpose:** To record security clearance and verification of reliability data on applicable Canadian industry personnel. **Consistent Uses:** To support decisions on the granting of personnel security clearance or reliability status in relation to classified or other sensitive contracting activity in the private sector. **Retention and Disposal Standards:** If not renewed, records are destroyed three to five years after date of security clearance or verification of reliability. **PAC Number:** 79-018 **Related to PR#:** SSC FAS 105 **TBS Registration:** 001369 **Bank Number:** PWGSC PPU 015

List of Landlords

Description: Realty Services retains information relating to existing landlords with whom the department has executed lease agreements. It contains names, addresses, commencements and expiry dates of leases and length of options (if any). **Class of Individuals:** Landlords doing business with the Department of Public Works and Government Services Canada. **Purpose:** Administration of the leasing contracts on behalf of the government. **Retention and Disposal Standards:** Information is retained for two years. **PAC Number:** 79-008 **Related to PR#:** PWC PWC 040 **TBS Registration:** 000696 **Bank Number:** PWGSC PPU 006

Personal Service Contracts

Description: This bank contains information relating to individuals under contract with the Department. It contains the contract, invoices, and payment records for these contractors. Information in this bank is held at Headquarters and in regional offices. **Class of Individuals:** Individuals having contracts with the Department. **Purpose:** Information in this bank is used to record and control payments to contracted individuals or firms. **Retention and Disposal Standards:** Information is maintained for six years. **PAC Number:** 78-001 **TBS Registration:** 000710 **Bank Number:** PWGSC PPU 075

Register of Land Surveyors

Description: Realty Services retains information relating to qualified land surveyors who can perform work for federal organizations. This includes names, addresses, personal qualifications and records of past performance, if any. **Class of Individuals:** Land surveyors. **Purpose:** Information is used for the selection of land surveyors. **Retention and Disposal Standards:** This bank is updated on a continuous basis with information supplied by land surveying contractors. It is maintained for three years following removal from the register. **PAC Number:** 79-008 **Related to PR#:** PWC PWC 045 **TBS Registration:** 000700 **Bank Number:** PWGSC PPU 070

Register of Property Appraisers

Description: PWGSC uses the Directory of Designated Members published annually by the Appraisal Institute of Canada and La Corporation des évaluateurs agréés du Québec as its primary source lists of professional appraisers. These are supplemented with unsolicited expressions of interest submitted by appraisers seeking departmental contracts. The Department also keeps records of appraisers' performance on computer or project files. **Class of Individuals:** Property appraisers. **Purpose:** Information is used for the selection of property appraisers for projects administered by the Department. **Retention and Disposal Standards:** This bank is updated on a continuous basis with information supplied by property appraisal firms, individual appraisers and departmental officials. This information is retained for three years following its removal from the register. **PAC Number:** 79-008 **Related to PR#:** PWC PWC 045 **TBS Registration:** 000701 **Bank Number:** PWGSC PPU 030

Supply Operations Service Branch

Buyer Information

Description: This bank contains both personal and business information on potential sources for the sale of surplus Crown assets. The information held includes name, address, phone number, company warehousing and distribution capabilities, lists of commodities of interest, payment records, bids offered, successful bids, credit ratings, performance ratings on period contracts, volume of surplus goods bought and sold. **Class of Individuals:** Individual buying or bidding on surplus Crown assets or companies buying surplus Crown assets

for the purpose of reselling it. **Purpose:** To select buyers to solicit when selling surplus Crown assets; to provide trend analysis through historical statistics. **Retention and Disposal Standards:** Inactive records are kept for three years. Active records are amended as appropriate. **TBS Registration:** 003317 **Bank Number:** PWGSC PPU 026

Register of Suppliers

Description: This bank contains information on suppliers of materials and equipment. It contains names, addresses, commodities available from the supplier and details of previous departmental purchases, if any. Information in this bank is held at Headquarters and in regional offices.

Class of Individuals: Suppliers of materials and equipment.

Purpose: This bank is used to assist in the selection of suppliers. **Retention and Disposal Standards:** Information is maintained for two years. **PAC Number:** 78-001 **TBS Registration:** 000709 **Bank Number:** PWGSC PPU 071

Vendor Sourcing Records

Description: This bank contains business information such as financial data, indications of suppliers' manufacturing and distribution capabilities, lists of main products, equipment or services provided, and performance reports. It may also contain personal information such as names, addresses, commodities available from the supplier, details of previous departmental purchases, if any, personal histories and characteristics, education and performance of sole proprietors or partners. **Class of Individuals:** Individual companies/suppliers who wish to do business with the Department. **Purpose:** To identify potential suppliers. **Retention and Disposal Standards:** Five-year retention period for automated records; two years for manual records. **PAC Number:** 69-048 **TBS Registration:** 001367 **Bank Number:** PWGSC PPU 005

Classes of Personal Information

Project Records

In carrying out its mandate, the Department generates and receives a large volume of information and correspondence related to work projects. These projects include property acquisition, disposal, expropriation and management; building, highway and marine construction, repair and demolition; dredging services and fleet management; and the provision of associated research, development and consulting services.

Included in this material are often found the personal views and opinions of individuals; evaluations of contractors' and consultants' performance; financial information relating to the management of projects; and any other correspondence associated with specific projects. The retention periods associated with this class of personal information are controlled by the records schedules of the general subject files in which they are stored.

Project records are organized by location. Consequently, access to personal information in project records requires

the individual to provide the location of a specific project as well as the nature of the project.

Manuals

- Ad Hoc Reporting, Downloading Facility User Guide
- Administration
- Affirmative Action and Official Languages
- Business Management
- Canada Post Corporation
- Canadian Forces Superannuation Manual for Pensions Office
- Contract Policy and Administration
- Coordination of Access to Information Request System (CAIR) User Guide
- Corporate Affairs
- Corporate Communications
- Corporate Plan
- Corporate Security Manual
- Customer Manual
- Delegation of Authorities Manual
- Departmental Administrative Policy and Procedures Manual
- Departmental Index of Authorities
- Departmental Personnel Management System (DPMS) User Guide
- Departmental Planning and Coordination
- Departmental Reporting System User Guide
- Departmental Security
- Deputy Minister Directives
- Design and Construction
- EIS — Appointments and Announcements
- EIS — Directives
- Emergency Preparedness
- Environmental Safety
- Facilities Maintenance
- Family Allowance Program Policy and Procedures Manual
- Financial Management
- FINCAP (Financial Accounts Processing) Manual
- FINCON Manual
- Government Telecommunications and Informatics Services Branch
- Industrial Security Manual
- Information Management
- Information Processing — Management Manual
- Insurance Administration Manual
- Language Query
- Market-Based Charging
- Materiel and Facilities Management

- Materiel Management
- Operational Procedures Manual
- Operator's Guide to the Utilization of the Personnel Application Centre (INFOPAC)
- Organization
- Pay Office Procedures Manual
- Pay Policy and Procedures Manual
- Personnel Management
- Personnel Pay Input Manual (Manual & On-Line)
- Pharmaceutical, Medical Supplies and Related Items Catalogue
- Policy and Procedures for the Preparation of Standards
- Position User Guide
- Procedures Manual
- Project Delivery System
- Property Administration
- RCMP Pay Procedures Manual
- RCMP Pension Procedures Manual
- Real Estate Services
- Realty — Municipal Grants Administration
- Realty — Property Management
- Realty — Real Estate
- Realty — Real Estate — Leasing & Letting — General
- Realty — Real Estate — Leasing / Documentation
- Realty — Real Estate — Leasing / General
- Realty — Real Estate — Leasing Guidelines & Procedures
- Realty — Real Estate — Leasing Manual
- Realty — Real Estate — Letting/Documentation
- Realty — Real Estate — Letting/General
- Realty — Real Estate — Letting Manual
- Receiver General Directives and Information Bulletins
- Regional Operations Manual
- Regional Operations Services Procedures Manual
- Security
- Standard Acquisition Clauses and Conditions Manual
- Stocked Item Supply Catalogue
- Superannuation Administration Manual
- Superannuation Manual
- Superannuation Procedures Manuals (Contributor & Annuitant)
- Supply Policy Manual
- Surplus Assets Management System of the Administrative Services Directorate
- Training Development Application (TDA) User Guide
- United Way Campaigns: A Guide for Managers

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Department and its various programs and functions may be directed to:

Headquarters

Public Works and Government Services Canada

Communications Branch

Place du Portage, Phase III,

Hull, Quebec

K1A 0S5

(819) 956-2300

Mail: Ottawa, Ontario

K1A 0H2

Reading Room

In accordance with the Access to Information Act, an area on the premises of each of the below mentioned institutions has been designated as a reading room.

Atlantic Region

1713 Bedford Row

7th. Floor

P.O. Box 2247

Halifax, Nova Scotia

B3J 3C9

European Region

European Region Supply Centre

Canadian Dept. of Supply and Services

MacDonald House

No. 1 Grosvenor Square

London, England

Headquarters

Place du Portage, Phase III, 17A1

11 Laurier Street

Hull, Quebec

K1A 1H2

(819) 956-1820

National Capital Region

Place du Portage — Phase IV

140 Promenade du Portage

Hull, Québec

K1A 0M3

Ontario Region

4900 Young Street

Willowdale, Ontario

M2N 6A6

Pacific Region

2300-650 West Georgia
P.O. Box 11538
Vancouver, British Columbia
V6B 4N7

Quebec Region

200 René Levesque Blvd., west
Room 702-14
Montréal, Québec
H2Z 1X4

Washington Region

Director of Supply
Washington Region
Canadian Embassy
501 Pennsylvania Avenue N.W.
Washington, D.C. 20001
U.S.A.

Western Region

Suite 1000
9700 Jasper Avenue
Edmonton, Alberta
T5J 4E2

Revenue Canada

Chapter 89

General Information

Background

In 1927, the Department of National Revenue Act (now RSC 1985, C. N-16) established the Department of National Revenue by renaming the Department of Customs and Excise. The Department was responsible for assessing and collecting duties and taxes, monitoring the movement of people and goods across the Canadian border and protecting Canadian industries from foreign competition.

The same Act created a second department to collect income taxes, a responsibility that a commissioner from the Department of Finance had been carrying out. Each Department had the same minister, but had its own departmental organization headed by its own deputy minister.

In May 1994, Bill C-2, An Act to Amend the Department of National Revenue Act received Royal Assent and provided the legal basis for the creation of a consolidated department to carry out the activities previously performed by Revenue Canada, Customs and Excise and Revenue Canada, Taxation. A new regional structure was implemented in July 1994 combining the 23 parallel regions of Customs, Excise and Taxation into six consolidated regions. The Headquarters organization was also consolidated into six restructured program branches and six corporate branches.

Over the past several decades, Revenue Canada has come to play an ever greater role in Canadian society. In addition to its traditional role of administering Canada's tax system, trade policies and borders, the Department now plays a role in revenue generation and income redistribution. It also administers the Free Trade Agreement and the North American Free Trade Agreement.

In fulfilling these responsibilities, the Department administers 66 Acts of Parliament, works on behalf of the federal, provincial, and territorial governments, and deals with virtually every business and individual in Canada.

Responsibilities

Revenue Canada responsibilities include revenue generation, trade facilitation, customs borders services, and income redistribution.

Revenue Canada works on behalf of the federal, provincial and territorial governments as well as individuals and businesses to support Canada's social and economic progress.

The Department oversees various tax credit programs and collects federal and some provincial income taxes,

the goods and services tax (GST), Canada Pension plan contributions, Employment Insurance premiums, and customs and excise duties. As well, we collect excise taxes and, for provinces with agreements with the federal government, Revenue Canada collects provincial sales tax, tobacco taxes, and liquor markups and levies on non-commercial imports.

The Department also administers the border and trade aspects of the North American Free Trade Agreement (NAFTA), and the World Trade Organization (WTO), as well as administering Canada's international tax agreements with other countries.

Finally, Revenue Canada works in partnership with law enforcement agencies and other federal departments to protect Canadians and society as a whole by preventing illegal and dangerous goods and inadmissible people from entering Canada, and monitoring the movement of controlled and regulated goods.

Revenue Canada continually strives to deliver our programs and services effectively, fairly and at the lowest possible cost. The Department's goals are to:

- provide services that are accessible and responsive at an affordable cost;
- increase the effectiveness of our enforcement programs in a fair and responsible manner;
- streamline and simplify our legislation, programs, and operations to improve service and reduce the cost and burden of compliance;
- ensure that our employees have the knowledge skills, information, and support to work effectively and professionally in an environment that promotes and recognizes exemplary performance; and
- ensure that our corporate policies, systems, and processes provide effective support to our program initiatives and service delivery, and enable the Department to respond promptly to changing government priorities.

Legislation

- Canada Pension Plan Act, Part 1
- Canada-Nova Scotia Oil and Gas Agreement Act
- Customs Act
- Customs and Excise Offshore Application Act
- Customs Tariff
- Department of National Revenue Act
- Employment Insurance Act, part III and VII
- Excise Act
- Excise Tax Act
- Export and Import Permits Act

- Fruit and Vegetable Customs Orders Validation Act
- Importation of Intoxicating Liquors Act
- Income Tax Act
- international trade and taxation agreements
- Nova Scotia Offshore Retail Sales Tax Act
- Petroleum and Gas Revenue Tax Act
- Public Utilities Income Tax Transfer Act
- Special Import Measures Act

Organization

◆ Appeals Branch

This branch is responsible for the resolution of disputes relative to the Income Tax Act, Canada Pension Plan (CPP), Employment Insurance (EI) and excise duties and taxes imposed by the Excise Tax Act. The branch is not responsible for appeals and redress activities related to the Customs Act or the Special Import Measures Act.

◆ Assessment and Collections Branch

This branch is accountable for developing and maintaining specific client-focused Revenue Canada programs including providing assistance and information to clients, registering clients, processing, validating and correcting returns and payments, creating and maintaining accounts, collecting accounts receivable, and administering specialized tax credit and benefit programs.

◆ Communications Branch

The Communications Branch provides structured communications research, planning, advice, and services to support the Minister, the Deputy Minister, and the delivery of departmental programs. It also provides functional guidance and direction to ensure that regional communication activities support for national programs and services at the local level. The objective of the Branch is to help ensure that the Department's clients receive the information they need to comply with the relevant legislation and that the information is in a form which clients can understand and use.

◆ Corporate Affairs Branch

The Corporate Affairs Branch provides a leadership, brokerage and catalyst role across a wide range of initiatives that affect the Department. The Branch administers the Department's strategic planning process; plays a strategic role in client consultation and service quality initiatives; manages departmental relations with the Privy Council Office (PCO) and briefs the Minister for Cabinet; manages all departmental relations with the Office of the Auditor General; administers the Department's internal audit and program evaluation process, including the provision of functional guidance to internal auditors in the field; and provides services to the public with regard to access to information and privacy.

◆ Customs Border Services Branch

The Customs Border Services Branch provides policies, programs, procedures and functional guidance and direction respecting front-line services at the border, including the full range of facilitation, inspection, detention, collection and enforcement activities at all ports of entry. The Branch is leading the fundamental changes under way to establish new strategic relationships with clients, stakeholders and other federal government departments, as well as provincial governments for the provisions of services at the border.

◆ Deputy Minister's Office

◆ Finance and Administration Branch

This branch plans, develops, and maintain policies, programs and procedures for the Department in the areas of finance, administration, security, resource management, real property management, publishing of departmental documents including forms and guides, corporate management information requirements and laboratory and scientific services. It ensures that departmental management can discharge its responsibilities for financial and general administrative matters with probity in a prudent and effective manner, consistent with government policy and provides functional guidance and direction to finance and administration specialists in the field.

◆ Headquarters

Head Office is the administrative decision centre of Revenue Canada, and develops the Department's plans and policies. Nine Assistant Deputy Ministers and two Directors General, responsible for the eleven branches, report directly to the Deputy Minister. The Senior General Counsel of Legal Services serves in an advisory capacity to the Deputy Minister.

◆ Human Resources Branch

This branch develops national policies, programs and standards for staffing, executive services, organization, classification, official languages, employment equity, staff relations, employee assistance, training and development, pay and benefits, and human resources planning functions. It designs, co-ordinates and delivers training and development in the field and at headquarters, provides functional guidance and direction to field human resource specialists, and provides human resource services and training and development at headquarters.

◆ Information Technology Branch

This branch is responsible for the Department's information technology strategy, for the management and operation of the network and computing infrastructure, and for the development of systems to support program delivery. It exploits systems common to all lines of business, and promotes sharing of data to minimize the cost of the development of technological systems across the Department. The Branch provides guidance and direction for all information technology specialists in the Department via a single point of service. It aligns all

initiatives with the Department's information technology strategy to maximize return on investment.

◆ Legal Services

Legal Services supports the senior general counsel who provides chief counsel to the Department with responsibility for the legal aspects of departmental operations including legal advisory services to the Deputy Minister and the Department on matters arising from its role in the administration of various acts and related statutes. Legal Services serves as the national co-ordinator for the delivery of the larger Justice service to the Department and continues to be a key member and participant in corporate policy development and decision-making.

◆ Policy and Legislation Branch

This branch is responsible for the overall departmental policy and intergovernmental affairs and is a centre for technical interpretation and related activity. It is also responsible for the registration of charities and deferred income plans. It is accountable for the development of program policy and legislative policy at Revenue, interpretation and remission administration for the Excise Act, Excise Tax Act (including the goods and services tax), the Income Tax Act, and part of the Canada Pension Plan and Employment Insurance Act. It is also responsible for the registration and monitoring of charities and deferred income plans, provision of functional direction to the regions of all excise duties matters, federal-provincial relations and the co-ordination of international relations.

◆ Regional Operations

The six Assistant Deputy Ministers, Regional Operations are responsible for the effective, efficient and coordinated administration and delivery of departmental programs and policies in the field offices in the Atlantic, Quebec, Northern Ontario, Southern Ontario, Prairies and Pacific Regions respectively. Within each region, there are currently three operational streams: Customs Border Services, Trade Administration Services and Tax Services.

◆ Trade Administration Branch

This branch provides policies, programs, procedures and functional guidance and direction for the administration of trade policy legislation, including multilateral and bilateral trade policy agreements, other trade policy instruments and programs for duties relief. The Branch has the primary responsibility for the fundamental changes involved in the modernization of trade administration and new strategic partnerships with clients, and stakeholders as well as other federal departments and trade.

◆ Verification, Enforcement and Compliance Research Branch

The Branch provides policies, programs, procedures and functional guidance and direction related to verification and enforcement of GST and income tax, including the provision of client assistance related to these functions. It is responsible for international verification and enforcement

activities (excluding those related to Customs) and for strategies to penetrate the underground economy.

Information Holdings

Program Records

◆ Appeals Branch

Determinations and Appeals

Description: Information on determination requests related to coverage questions and assessments payable by employers under the Employment Insurance Act and the Canada Pension Plan. **Topics:** File contents may include the application and reasons for appeal, related documents from the district offices, Employment Insurance Commission, Pension Appeals Board, Department of Justice, Tax Court of Canada, Federal Court and/or Supreme Court. The file information also includes lists of workers' names, addresses and Social Insurance Numbers as well as the amounts of pensionable and/or insurable earnings. **Access:** Case files are created and filed by taxpayer name. (Records in this program contain information relating to Personal Information Bank RC PPU 130 Appeals Regarding the Canada Pension Plan and Employment Insurance Act). **Program Record Number:** RC APP 315

GST/Excise Appeals

Description: Information on the appeals process; preparation of Departmental position papers on appeals and court cases; consultations with the Department of Justice. Appeal cases relate to taxes and levies which include GST, FST, excise taxes, air transportation tax, telecommunication services tax, telecommunication programming services tax, tobacco products inventory tax, and the softwood lumber products export charge. **Topics:** Correspondence related to an appeal; research material; administrative and legislative positions papers; analysis of appeal decisions issued by the Tariff Board, CITT, Federal Court, and the Tax Court of Canada; court rulings and judgment reports. **Access:** Filed by case name, subject and appeal number. **Program Record Number:** RC APP 090

GST/Excise Objections

Description: Information on the objection process; objections to assessments and determinations; supporting evidence and rationale for decisions. Programs covered include GST, FST, air transportation tax, excise taxes, telecommunication services tax, telecommunication programming services tax, tobacco products inventory tax, and the softwood lumber products export charge. **Topics:** Notices of Objection, Notices of Assessment and Notices of Determination with related correspondence; reports of Tariff Board, CITT and court decisions; working papers; consultations with other divisions; technical interpretations and applications; any

other information necessary for issue resolution; rationale for decisions and Notices of Decision. **Access:** Filed by program, case name, and subject. **Program Record Number:** RC APP 131

Income Tax Appeals and Adverse Decisions

Description: Information on the appeals process; on reference material relative to consultations with, instructions for and assistance to the Department of Justice concerning appeals to the courts; on judicial proceedings; on analysis of court decisions; on correspondence with other Divisions; on reviews involving administrative and technical positions; on Fairness Package Requests; on Minister's mail; on Department of Justice recommendations. **Topics:** Research material; position papers; correspondence related to an appeal; analysis of related court decisions; any other documents related to this category. **Access:** Files are created, controlled and maintained alphabetically and/or numerically. **Program Record Number:** RC APP 310

Income Tax Objections

Description: Information on the objections process; on objections to assessment and determinations; on the analysis of facts and positions as well as their ramifications; on supporting evidence and the rationale for decisions; on technical interpretations and applications; on liaisons with other Divisions. **Topics:** Notices of Assessment; Notices of Determination, and Notices of Objection with related documentation; working papers; referrals; position papers; decisions and rationale. **Access:** Files are created, controlled and maintained alphabetically and/or numerically. **Program Record Number:** RC APP 305

Programs Management

Description: Information on objectives and goals; on administrative functions and activities; on access to information and privacy requests; on the management of internal and public forms; on the development of "operational" type manuals; on organization and responsibilities; on policies and procedures relating to objections and appeals; on referrals and inquiries from field operations; on project initiatives; on work plans; on workload and resource projections and allocations; on budget; on training programs and information sessions; on performance evaluations; on computerized information and reporting systems; and on statistics concerning personnel, workload, intake, case inventories and production. **Topics:** Mandates; instructions; guidelines; departmental communications; authority delegations; work programs; projects; training and seminars; resource budgets; forms and letters; evaluations; quantitative and qualitative analysis and any other applicable reports. **Program Record Number:** RC APP 300

♦ Assessment and Collections Branch

Accounts Receivable

Description: Information on the planning and development of work programs; policies and procedures dealing with delinquent taxpayers' accounts; development of

departmental internal and public forms and transcripts; monitoring procedures and techniques, and statistical data; review of computer-based operational processing systems; information on the technical application of the interpretations regarding collection of income tax, Canada Pension Plan contributions and Employment insurance premiums. **Topics:** Collection cases (individual and corporate); statistics (computer data on computer-based systems, e.g. PAYDAC, CINDAC, CORPAC); Auditor-General queries; case law; demands for payments; accounts receivable programs; collections (general matters pertaining to collection of accounts); federal acts affecting collection techniques; collection and control of petroleum and gas revenues and/or royalties subject to taxation; monitoring of accounts receivable activities; uncollectible debt reviews. **Program Record Number:** RC ACB 190

Assessment of Returns — Accounting

Description: Information on the development and implementation of T1 Accounting System (T1 Accounting Maintenance (TAM) System, T1 Accounting Payment (TAP) System, T1 Accounting Follow-up (TAF) System, Instalment Notice System (INN), Electronic Interdepartmental Set-Off (EISO) System); information on the development and implementation of Subsidiary Ledgers System and Assessment Control System for corporate, individual, trust, and non-resident accounts; systems and procedures for calculating and reporting interest; refund and assessments; instalment payments; evaluation of programs and monitoring of operational units. **Topics:** Family Orders and Agreements Enforcement Assistance Act pertaining to the withholding of overpayments issued under sections 164 and 216 of the Income Tax Act and the transfer of such funds to the Department of Justice when so advised; applications of overpayment to liabilities payable to the Crown under subsection 164(2) of the Income Tax Act; related computer and manual operations; correspondence with taxpayers and other federal departments; the Income Tax Act pertaining to the calculation of interest and penalties; refunds, payments; enquiries; monitoring; matters pertaining to various aspects of operations. **Program Record Number:** RC ACB 192

Assessment of Returns — Budget Coordination

Description: Information on program forecasts and budgets of assessing operations in head office, district offices and tax centres; development and implementation of work and quality standards and of production and resource-utilization systems. **Topics:** Liaison with other departments and head office divisions; manpower resource allocation and utilization directives to field offices; budgets and programs — forecasts, allocations, results, evaluation and systems. **Program Record Number:** RC ACB 121

Audit (Regional)

Description: Information on the audit of taxpayers' books and records, compiling audit reports, implementing departmental operating procedures and policies, and

providing audit information in response to taxpayers' requests and needs. **Topics:** Assessments and determinations; purchases; goods jobbed; goods manufactured; sales and marketing practices; audit reports; third-party demands; general correspondence.

Access: Cases filed by licensee and/or refund applicant's name. **Program Record Number:** RC ACB 125

Business Number (BN) Data Bank

Description: Information pertaining to client's name, trade name, physical address and mailing address, telephone number, key person SIN verification, business number, contact person and effective dates for registration or deregistration for participating programs. **Topics:** A tax roll to provide a single business interface with the federal government for four programs: Goods and Services Tax, Corporate Income Tax (CORPAC), Source Deductions (PAYDAC) and Customs importing levies and duties.

Access: Sole proprietors, corporations, partnerships, government/municipalities, associations, trusts and every other "person" who engages in commerce; activity in Canada, withholds source deductions, incurs corporate tax liability or imports taxable or dutiable goods into Canada. **Storage Medium:** The active information on the database will be maintained indefinitely. **Program Record Number:** RC ACB 285

Client Assistance — Consultations

Description: Information on consultation results.

Topics: Results of focus group studies of guides, returns, schedules, related forms and tax pamphlets and various enquiries initiatives; consultation with seniors and small business advisory committees; field consultation through the service enhancement programs. **Program Record Number:** RC ACB 173

Client Assistance — Enquiries Program

Description: Information on the enquiries service to the general public with respect to telephone, counter and correspondence workloads. **Topics:** Development of national policy and guidelines for the enquiries service with respect to telephone, counter and correspondence workloads; resource allocation for these workloads for district offices, tax centres, tax clinics and call sites; directives to field offices; research of new technologies, development and maintenance of various informatics systems in support of the enquiries programs, including the automated telephone services (T.I.P.S.), Public Enquiries Information Bank (P.E.I.B.) and Electronic Letter Creation System (ELCS). **Program Record Number:** RC ACB 141

Client Assistance — Returns and Guides

Description: Information on the publication of all T1 (individual), T2 (corporate) and T3 (trust), Information, Non-Profit Organization and Special Elective income tax guides, returns, schedules, related forms, circulars, and tax pamphlets for use by the general public and source deduction public forms and guides. **Topics:** Administrative application of interpretations of the Income Tax Act

concerning reporting requirements for individuals, corporations, employers, trusts and non-profit entities; consultations with provinces on the content of information materials concerning provincial taxes, rebates, royalties and credits, both for public and departmental use; estimates and budgets; evaluation reports; person-year utilization; suggestions for improvements to guides, returns, schedules, forms and pamphlets; administrative and operations communications to and from field operations. **Program Record Number:** RC ACB 176

Collections (Regional)

Description: Information on the implementation of departmental policies and procedures for collection of duties and taxes, specific taxpayer accounts, statistical information for inclusion in annual reports and refunds of taxes paid. **Topics:** Collections made; internal instructions; debits and credits by taxpayer name statistical files — outstanding arrears; fiscal year reports; summary of trial balances. **Access:** Cases filed by licensee company name. **Program Record Number:** RC ACB 110

Coverage Policy and Legislation

Description: Information on departmental policy and procedures in all areas pertaining to coverage under the Canada Pension Plan and Employment Insurance Act and under the Administrative Arrangement related to the Social Security Reciprocal Agreements; operational and administrative guidance to field offices. **Topics:** Pensionability and insurability of payments and status of individuals and employers; records of earnings; statistics. **Program Record Number:** RC ACB 187

Diplomatic Exemptions

Description: Information on exemptions accorded to foreign diplomats and other representatives in Canada. **Topics:** Diplomats and consular and other representatives, visiting forces, International Civil Aviation Organization. **Access:** Filed by country. **Program Record Number:** RC ACB 101

Duty (Regional)

Description: Information on monitoring and auditing of licensees liable for excise duties under the Excise Act including licensee information, implementation of departmental operating procedures and directives under topics such as breweries, wineries, bonded warehouses, distilleries, and tobacco. **Topics:** Tax rulings; licensing status; statement of production; monthly return of excise duty and monthly return of licensed bonded manufacturers; annual inventory of chemical stills; general correspondence. **Access:** Filed by licensee company name. **Program Record Number:** RC ACB 115

Excise/GST Business Planning and Control System

Description: Information on Branch business plan development, human resource planning submissions, and other branch planning projects. **Topics:** Multi-Year Operational Plan; resource analysis and review, operational plan framework, Branch planning process, management

information and Branch annual workplans. **Program**

Record Number: RC ACB 095

Excise/GST Collections (Headquarters)

Description: Information on the departmental policy and procedures with respect to the collections of unremitted GST and overdue returns. Statistical reports outlining the status of receivables and overdue returns. Statistical information to be reported to the Public Accounts committee. **Topics:** Collections activities completed, internal instructions, fiscal year reports and resource rationalization and allocation. **Access:** Information is filed by subject matter. **Program Record Number:** RC ACB 040

Fair Price and Values Surveys

Description: Information on investigations of individual companies for tax values for fair price purposes, and survey reports on marketing or pricing of various industries. **Topics:** Values for tax; computation of tax; specific company files; specific commodities such as lumber, truck bodies, wines, background information on fair prices. **Access:** Filed by subject number and company name. **Program Record Number:** RC ACB 015

Field Development

Description: Information on branch training initiatives and programs relative to tax interpretations, for both Interpretation and Service officers and auditors in the field and at headquarters. **Topics:** Work and service standards; casework procedures; registration investigation procedures; classification factors. **Access:** Filed by subject. **Program Record Number:** RC ACB 020

FST — Rulings

Description: Information on the eligibility of companies or commodities for exemption from sales or excise taxes and duties; eligibility for refund in the form of rulings — precedent and policy setting; and Tariff Board decisions under such fields as manufacturers and producers, values for tax, refunds, conditional exemptions, containers and coverings, clothing and footwear, and other general commodity headings. **Topics:** Rulings (Automated Ruling Information System (RISE) and card file); automated index to the Excise Tax Information System (ETIS); background research from regions (work-sheets). **Program Record Number:** RC ACB 035

Goods and Services Tax Credit (GSTC) Programs

Description: Information on policies, procedures, budgets, work programs, communications activities as well as statistics related to the development, implementation and operation of this program. **Topics:** Policy and programs implementation, forms, budgets and training material development, systems requirements, statistics on intake and production, communication activities, correspondence with district offices, tax centres and individual tax filers on a variety of operational and procedural matters. **Program Record Number:** RC ACB 222

GST — Rulings

Description: There are two types of GST rulings; GST application rulings and advance GST rulings. **Topics:** A GST application ruling is a written statement given by the Department stating how it is interpreting specific provisions of PART IX of the Excise Tax Act in specific existing circumstances where that Act might apply. Generally, GST application rulings will involve the application of the GST to certain supplies of goods, services, status of persons as registrants, the determination of commercial activities and will relate to an identified person and a specific factual situation. An advance GST ruling is a written statement given by the Department to a registrant or other person stating how it will interpret specific provisions of the Excise Tax Act in its application to a supply, action(s), transaction or series of transactions or any combination thereof (hereinafter referred to as activities) which the person is contemplating. Such proposed activities could concern, for example, a change in the structure of an entity or other activities of a tax-planning nature. **Program Record Number:** RC ACB 140

Information Preparation (Headquarters and Regions)

Description: Excise/GST technical information necessary for registrants to voluntarily comply with the GST is provided both in hard copy and electronically. **Topics:** This includes GST Memoranda Series (Registration, Tax on Supplies, Input Tax Credits, Administration and Enforcement, Special Measures, Financial Services, Other Taxes and Duties and Federal Sales Tax Inventory Rebates), Guides (Elections and Application, Information for Farmers, Fishermen, etc), Booklets/Pamphlets, Policy Statements, HQ Rulings, Questions and Answers, the Excise/GST News, GST Lists (Municipalities...) and Ministerial Correspondence. **Access:** Records Management Files, On-line service — EIS (Excise Information Service), Stand-alone service — EARL (Excise Automated Reference Library). **Program Record Number:** RC ACB 030

Instalment and Payments

Description: Information on instalment and payment programs; monitoring procedures and techniques; review of departmental internal and public forms and transcripts; review of computer-based systems; information on mail and cash security. **Topics:** Processing instalments and payments of tax; maintenance of post-dated cheques; procedures relating to undelivered cheques (e.g. overpayments of tax, Child Tax Benefit Credit and Goods and Services Tax Credit); POF program (payments on filing), liaison with Efile program (electronic filing); maintenance of computer-based systems [e.g. FIP system (financial input processing), PDC system (post-dated cheques), BCB system (cheques returned by financial institutions)]; procedures regarding annual conversion of computer-based systems (e.g. PAYDAC, CINDAC, CORPAC); cashiering functions; monitoring of cashiering activities; statistics regarding the processing of payments of tax and instalments. **Program Record Number:** RC ACB 280

Interpretations

Description: Information and research on complex tax issues, cases referred by the regional offices and the taxpaying public, and questions resulting from legislative policy changes, such as budget resolutions and Ways and Means motions. **Topics:** General correspondence from taxpayers, Customs brokers, consultants and representative industries on specific tax rulings by commodity subject, e.g., transportation equipment, aircraft, foodstuffs, fuel, electricity. **Access:** Filed by subject number. **Program Record Number:** RC ACB 005

Licensing

Description: Information on taxpayers under the Excise Tax Act and the Excise Act from data received from the Excise regional offices. **Topics:** Licensee accounts from the regions: new accounts, changes, transfers, cancellations, reversals. **Access:** Recoverable directly from the on-line system. **Program Record Number:** RC ACB 025

Licensing (Regional)

Description: Information on the licensing of taxpayers under the Excise Tax Act and Excise Act. **Topics:** Tombstone data; licence application forms; credits owing; arrears; cancelled accounts; transfers; reversals; uncollectables; account numbers assigned; and information about licensee operations. **Access:** Filed by company on computer tape. **Program Record Number:** RC ACB 130

National Uniformity

Description: Information on the monitoring and quality control of tax interpretation rulings, import entries and other tax information issued by the field. **Topics:** Ruling Card Index — developed from worksheets and diskette copies of rulings from field offices. Rulings issued from field offices and monitored by the quality assurance program. **Access:** Cards and copies of rulings filed by commodity code. **Program Record Number:** RC ACB 010

Performance Measurement Systems (Time Measurement Systems)

Description: Information on units of work and time spent on designated activities as outlined in the annual work plans for each region; national reporting. **Topics:** Used by managers for decision-making purposes and is appropriate for comparing relative efficiency and effectiveness over a number of time periods; predicting future resource requirements on the basis of forecasted program requirements and the level of efficiency of the organization; assessing those facets of managerial performance which have a quantitative measurement; and, identifying unattainable objectives and obsolete or unproductive activities. **Program Record Number:** RC ACB 105

Rebate Claims — Goods and Services Tax

Description: Information on the processing and tracing of applications and cheques for rebate of the Goods and Services Tax as well as data and statistical collection. **Topics:** Reviews of rebate applications; automated data

on claimant history; status of claims and cheque issuance.

Access: Original documents retained — filed in central location — retrieved by locator number. **Program Record Number:** RC ACB 133

Registry Programs and Procedures

Description: Information on the planning, control and monitoring of programs related to the taxpayer master file system and subsidiary systems; on storage and retrieval of returns through the on-line computerized charge-out system; on the internal file service to district offices and tax centres; and on the security of returns and on-line data. **Topics:** Individual taxpayer master file system (TAPMA), RC PPU 040, which includes the following applications: Income and Deductions (INCDED), TAPMA Ident, forward averaging amounts, refund cheque number, Summary of T1 Data, Intercept Display, Income and Deduction Print (INCDED), TAPMA Print; Action Request — Delinquent Action, Set (or Down) Intercept, Set (or Down) RAP Inhibit, Select TAPMA Master for on-line display, Set (or Delete) CINDAC Stall Code; Pension Reform Enquiries; Goods and Services Tax Credit Enquiries (GSTC); TI Alpha Search Taxroll plans and programs; Social Insurance Number Query Program; discounters; refund inquiries and tracing; public and internal forms review; security; the National Archives of Canada (NARC) liaison; confidentiality of income tax returns. **Program Record Number:** RC ACB 171

Regulations and Remissions

Description: Information on the development and amendment of regulations under the Excise Tax Act, the Excise Act and the Softwood Lumber Products Export Charge Act, and recommendations on requests for remission of sales and excise taxes and excise duty.

Topics: Research, correspondence and recommendations on such regulations and remissions as the Air Transportation Tax Regulations, Construction Materials Sales Tax Regulations, Small Manufacturers or Producers Exemption Regulations, Farmers' Gasoline and Diesel Fuel Remission Order, Spirit Destruction Remission Order, etc. **Access:** Filed by subject. **Program Record Number:** RC ACB 085

Research Operations

Description: Information on technical, financial and statistical compliance research projects. **Topics:** Liaison with federal, provincial and foreign governments; data accumulation, interpretation and application to project requirements; recommendations for statutory revisions. **Program Record Number:** RC ACB 267

Returns and Payments of GST

Description: Information on the development and implementation of accounting and revenue control systems related to the Goods and Services Tax. **Topics:** Information contained in the general ledger and suspense accounts; information on the processing of GST returns; systems and procedures for calculating and reporting interest; accounts receivable, including payments of tax,

penalties, interest and refunds; instalment payments; related computer and manual operations; reports pertaining to the processing of financial transactions; monitoring reports; matters pertaining to various aspects of operations; general computer operations. **Access:** Records are filed by subject matter. **Program Record Number:** RC ACB 134

Source Deductions

Description: Information on the planning and development of Federal and Provincial Income Tax, Canada Pension Plan and Employment Insurance Tables, research on Federal and Provincial Income Tax, Canada Pension Plan and Unemployment Insurance legislation, provisions of the Income Tax Act concerning payroll deductions on Federal and Provincial Income Tax, Canada Pension Plan and Unemployment Insurance rates and related Regulations; payroll audit policy and procedures; operational and administrative guidance to field offices; development of departmental internal and public forms and transcripts; monitoring procedures, techniques and statistical data; review of computer-based operational processing systems including IPS (Information Processing System); development of administrative and enforcement policy and procedures for all deductions at source (manual or computerized). **Topics:** Federal and Provincial Income Tax, Canada Pension Plan and Employment Insurance Tables, data relating to prosecutions (failure to remit deductions at source); processing and verification of information returns; source deductions programs; payroll audits; withholding, remitting and reporting; monitoring of source deductions activities; operational and administrative communications to field operations. **Program Record Number:** RC ACB 188

Source Deductions and Accounts Receivable Informatics

Description: Information on the development of policies, procedures and guidelines pertaining to automated data capture systems, direct deposit system and electronic data interchange; review and development of computer-based technology; information on security for computer-based technology. **Topics:** Automation of payments due to taxpayers (e.g. income tax overpayments, Child Tax Benefit Credit, Goods and Services Tax Credit); Direct Deposit system; implementation of computer-based technology (e.g. electronic funds transfer, automation of payment allocation). **Program Record Number:** RC ACB 275

Source Deductions and Accounts Receivable Planning

Description: Information on Source Deductions and Accounts Receivable organization; strategic and long term planning relating to source deductions and accounts receivable programs; budgeting for source deductions and accounts receivable programs. **Topics:** Planning and development of policies, procedures and guidelines for source deductions and the collection of tax requiring long term implementation; budget process for source

deductions and collection of tax; allocation of resources; statistical gathering; reporting on source deductions and accounts receivable programs. **Program Record Number:** RC ACB 270

T1 Individual Income Tax Returns — Initial Assessment Program

Description: Information on the planning, control and monitoring of the T1 initial assessment programs; systems, procedures and policies designed to verify the accuracy of individual returns and to issue notices of assessment. **Topics:** Estimates and budgets; evaluation reports; person-year utilization; functional audits; operational and administrative communications to and from field operations, head office divisions and other departments; consultations, negotiations and proposals on provincial taxes, rebates, royalties and credits; T1 initial assessment and reassessment system — computerized and manual; job descriptions; organizational structures. **Program Record Number:** RC ACB 126

T1 Individual Income Tax Returns — Reassessment Program

Description: Information on the planning, control and monitoring of T1 individual income tax returns reassessment programs; systems, procedures and policies established for determining necessary adjustments to previously assessed individual tax returns and for issuing notices of reassessment. Adjustment may result from additional information submitted by taxpayers or from further verification of returns initiated by the Department. **Topics:** Estimates and budgets; evaluation reports, person-year utilization; functional audits; operational and administrative communications to and from field operations, head office divisions and other departments; T1 compliance verification systems — computerized and manual; consultations and negotiations with provinces on the verification of provincial taxes assessed, rebates, royalties and credits for individuals; job descriptions; organization structures. **Program Record Number:** RC ACB 131

T2 (Corporate) Initial Assessment Program, T2 (Corporate) Reassessment Program

Description: Information on the planning, control and monitoring of T2 (corporate tax returns), assessment and reassessment programs; systems, procedures and related policies designed to check the accuracy of corporation returns, to determine adjustments required and to issue notices of assessment or reassessment. **Topics:** T2 assessment and reassessment — computerized and manual; T2 compliance — verification system — computerized and manual; directives to field offices on the interpretation of policies and procedures; operational and administrative communications with field offices, head office divisions and other government departments; reports evaluating field offices; assessment and reassessment of T2 returns; consultations and negotiations with provinces on the administration of proposed legislation and on the assessment and

post-assessment verification of provincial taxes, rebates, royalties, and credits for corporations. **Program Record Number:** RC ACB 136

T3 Initial Assessment and Reassessment Program — T3 Trust Income Tax Returns, Special Elective Returns, and Petroleum and Gas Revenue Tax Returns

Description: Information on the planning and implementation of national mandatory programs involving the examination, assessment, reassessment and processing of T3 Returns (T3s), Special Elective Returns (SERs) and Petroleum and Gas Revenue Tax Returns (PGRTs); development of computerized and manual systems of procedures, policies, instructions and internal or taxpayer contact forms to process these returns, and reports on their progress and results. **Topics:** T3s, SERs, PGRT assessment and reassessment, manual verification and processing systems; directives to field offices on policies and procedures; operational and administrative communications with field offices, other Head Office divisions and other government departments. **Program Record Number:** RC ACB 139

Tax Interpretations (Regional)

Description: Statistical and general information on tax rulings issued in response to licensee requests. **Topics:** Taxable status of commodities; tax rulings letters; general correspondence. **Access:** Filed by licensee company name. **Program Record Number:** RC ACB 120

Taxation Corporation Assessing, Accounting and Collections Master File (CORPAC)

Description: Information on assessing and accounting for corporate taxpayers. The ongoing master file is updated continuously. At year-end, inactive records are purged and maintained on a microfilm for historical purposes. **Topics:** Computerized tax remittances from corporations; assessment and reassessment and accounting data for specific tax years; statistical information. **Program Record Number:** RC ACB 191

Visitors Rebate Claims — Goods and Services Tax

Description: Information on the processing and tracing of applications and cheques for rebate of the Goods and Services Tax to non-resident individuals visiting Canada, foreign and/or domestic tour operators and/or convention organizers or travel agents on certain goods purchased and exported (within 60 days) and on short-term accommodation (less than one month); information on granted cash rebates (up to 500\$), through participating Duty Free Shops to non-resident individuals; data and statistical collection. **Topics:** Review of rebate applications, automated data on claimant history, status of claims and cheque issuance. Information on Duty Free Shops participating in the program, training and monitoring. **Access:** Applications are filed by batch locator number at the Processing Centre and by refund date at participating Duty Free Shop. **Program Record Number:** RC ACB 129

♦ Corporate Affairs Branch

Access to Information and Privacy

Description: Information on the departmental Access to Information and Privacy programs, policies and procedures. Information on complaints filed against the Department relating to the processing of requests. **Topics:** Policy, procedures and report files; **Access to Information and Privacy** information bank files. **Access:** Policies and procedures are filed by subject. Individual request files are numbered sequentially on a fiscal year basis. Requests are cross-referenced to the information bank files. **Program Record Number:** RC CAB 440

Audit and Evaluation Services

Description: Information on program evaluation and internal audits of departmental and governmental activities as conducted by the Audit and Evaluation Services and by Internal Audit within the regions. **Topics:** Program evaluation and internal audit policy, plans and reports. **Program Record Number:** RC CAB 031

Central Agencies — Reports

Description: Information on reports from Central Agencies pertaining to reports issued on departmental activities and departmental replies. **Topics:** Central agency reports outlining activities reviewed and related correspondence. **Program Record Number:** RC CAB 011

Conferences and Meetings — CIAT

Description: These records cover all aspects of the Centre of Inter-American Tax Administrators' Conference from the initial planning stages through to the final wrap-up report. **Topics:** Agenda, minutes and related documentation; delegate information; administrative details of conference preparation; budget; social affairs; media relations; protocol and hospitality. **Note:** This program record will be transferred to the Policy and Legislation Branch as RC PLB 032. **Program Record Number:** RC CAB 005

Excellence Conference Boards

Description: There are two "Excellence" data bases of "exemplary" practices from throughout Revenue Canada, one in English and one in French. They provide a forum for managers, change catalysts and employees to share exemplary practices and to network. They are available electronically on EMC2 and the Revenue Canada Library application. Demonstrations are also held using a PC-based software named ARCHIVE. **Topics:** Topics are listed under two major themes — Service and Organizational Development. Service initiatives include items under such headings as Client Assistance, Auditing, Internal Services, etc. Organizational Development initiatives are under such headings as Leadership, Empowerment, Teamwork, Communications, Continuous Improvement, Training, etc. **Program Record Number:** RC CAB 036

◆ Customs Border Services Branch

Accelerated Commercial Release Operations Support System

Description: Information on the design, development testing and implementation of ACROSS, the major automated release system in the Customs Commercial stream. Included is information on the following ACROSS sub-system: Goods Control (Cargo and Release including EDI Marine and Rail Cargo as well as EDI Release); Pre-Arrival Review Notification System (PARNS) Release Notification System (RNS); the Automated Import Permit System (EXCAPS). **Topics:** System descriptions; Conceptual System Design (CSD); Business System Design (BSD); ACROSS Participant Requirement Documentation (PRD); EDI Marine Cargo PRD; Implementation Plans; ACROSS User Guide; Bulletins and training material including Quick Reference Guides. **Access:** Files are indexed numerically and by subject matter. **Program Record Number:** RC CBS 125

Audit

Description: Information on audits into possible non-compliance with the Customs Act and regulations or other related laws. **Topics:** Schedule of audits; narrative reports on audit; co-operation and liaison with foreign Customs agencies; tariff; transportation equipment and permits; and statistics. **Access:** Files are filed by mode of transport and alphabetic order and chronologically. **Program Record Number:** RC CBS 150

Branch Automated Equipment Acquisition and Inventory Control and Management

Description: Information on automated systems equipment (hardware, software, communications devices) acquisition, inventory, determination of funding levels for technology support costs, deployment and replacement processes. **Topics:** Acquisition forms, inventory database, ITB Costing Guide, funding reports. **Access:** Files are indexed numerically and by subject. **Program Record Number:** RC CBS 380

Brokers Licensing

Description: Information on the licensing and control of Customs brokers. **Topics:** Qualifying examinations; Licensing Advisory Committee; Customs brokers records; agents; power of attorney. **Access:** Customs brokers records are filed alphabetically by name and location. Information contained in the "D" memoranda which can be accessed alphabetically. **Program Record Number:** RC CBS 340

Carrier Control

Description: Information on the report and control of the use of non-duty-paid instruments of international conveyance entering, exiting and transiting Canada; all modes of transport and transport-related equipment in accordance with Customs and transportation policy. **Topics:** Remission orders for railway rolling stock, vessels, aircraft; policy directives and information of a general or specific nature relating to carriers of all modes; Customs

and Excise Offshore Application Act; cargo containers.

Access: Case files are divided into different carrier modes and are filed alphabetically by company. **Program**

Record Number: RC CBS 260

Collections and Accounts

Description: Information on the collection of paid and outstanding accounts. **Topics:** Accounting; accounts receivable; accounts payable; suspense accounts; outstanding debts. **Access:** Cases are filed by number and invoice alphabetically by name of company or individual. **Program Record Number:** RC CBS 255

Commercial Enforcement

Description: Information on the development of enforcement policies, techniques and procedures. **Topics:** Import and export enforcement policies and procedures; policies on the utilization of intelligence data in enforcement programs. **Access:** Files are in numerical order. **Program Record Number:** RC CBS 370

Commercial Verification

Description: Information on policies and procedures respecting the legislation administered by Customs prior to the release of commercial goods to the importer or his agent and similar information on goods exported from Canada. **Topics:** Regulations, operational policies, processes, and procedures, contraventions and sanctions, and verification processes and methodology. **Access:** "D" memoranda and files containing instructions can be accessed alphabetically. **Program Record Number:** RC CBS 365

Courier Systems and Procedures

Description: Information on the legislation, regulations and policies governing couriers involved in the clearance of low-value shipments through Canada Customs. **Topics:** Courier policy and procedures, remission order, legal opinions, courier agreement, cost recovery, statistics, and correspondence with courier companies and associations. **Access:** Information is filed by subject matter. **Program Record Number:** RC CBS 305

Customs Commercial System (CCS)

Description: Information on the design, development, testing and implementation of CCS, the major automated system in the Customs commercial stream. Included is information on the following CCS sub-systems: Goods Control (Cargo and Release); Entry Acceptance; Customs Automated Data Exchange (CADEX); Customs Declaration Message (CUSDEC) Marine Cargo System; Pre-Arrival Review Notification System (PARNS); Release Notification System (RNS); Entry Selection; File Locator; Entry Retrieval; Adjustments; Technical Reference; Special Import Measures Act (SIMA); Facility for Information Retrieval Management (FIRM) and Refund Cheque Issue System (RCIS). **Topics:** Systems descriptions; functional specification; Applications; Memorandum of Understanding; Participant Requirement Documents; implementation plans; quality assurance programs; pre

and post-implementation evaluation reports; user manuals and training material. **Access:** Files are indexed numerically and by subject matter. **Program Record Number:** RC CBS 349

Customs Intelligence Data

Description: Information exchanged with foreign Customs administrations and law enforcement agencies used to produce intelligence relating to the detection and successful interdiction of actual and potential Customs violations. **Topics:** Offence-related data; profiles of individuals, companies, and organizations considered to be high-risk Customs offenders; information on past infractions by companies or persons; suspect files of individuals or companies; commodity data pertaining to goods likely to be smuggled; methods of concealment, modus operandi of the smuggler, routing; demographics of smugglers, etc. **Access:** All data can be retrieved via printouts matching various selected fields or search of file material. Individual names, company names, addresses and telephone numbers can be the subject of search criteria. All fields can be sorted according to the data (i.e. alphabetically or numerically). **Program Record Number:** RC CBS 155

Customs Operations Branch Automation Strategy (COBAS)

Description: Information on Branch Technology Management Strategy and Project Activities. **Topics:** Reports, Migration plans, presentation material, memoranda on COBAS project initiatives regarding major streams of support, training, technology and co-ordination initiatives. **Access:** Files are indexed numerically and by subject. **Program Record Number:** RC CBS 385

Duty Free Shops Licensing

Description: Information on the objectives and implementation of the duty free shops program at the land border, and information on airport duty free shops. **Topics:** Criteria and procedures for licensee selection; standards of operations; duty free shops regulations and directives; licensee evaluation and monitoring system report (LEMS). **Access:** Individual land border and airport duty free shops records are filed by site; general information is filed by subject matter. **Program Record Number:** RC CBS 275

Enforcement Devices and Techniques

Description: Information on the evaluation of current and proposed new techniques and scientific devices to detect non-compliance (by importers and travellers) with the law respecting illegal use of the mails and other means of transportation to import prohibited goods. **Topics:** Scientific devices and technological developments in detection equipment; drug enforcement; contraband detection programs; international enforcement and investigations; intelligence liaison. **Access:** Records are filed by subject matter. **Program Record Number:** RC CBS 300

Entry and Amendment Systems

Description: Information on Customs entry of commercial goods and related documentation: revenue accounting, invoicing, appeals against assessment and the export of goods from Canada. **Topics:** B3 entry documentation; warehouse entries; provisional entries; B2 request for adjustment; amending entries; temporary entries; Canada Customs invoicing requirements maintenance of records information contained in the "D" memoranda, which can be accessed alphabetically. **Program Record Number:** RC CBS 330

General

Description: Information on the overall functions of commercial entry systems (e.g., audits, co-operation and liaison, meetings, projects and reports). **Topics:** Commodity coding; contingency plans; Customs Act revisions; co-operation with the Canadian Exporters' Association, Canadian Society of Customs Brokers, Canadian Importers' Association, Canadian Industrial Traffic League, and external agencies in general. **Access:** Information is contained in the "D" memoranda, which can be accessed alphabetically. **Program Record Number:** RC CBS 345

Mechanized Systems Development

Description: Information on ensuring effective Customs control over the movement and processing of international mail, by means of improved facility designs and both mechanical and non-mechanical systems. **Topics:** Facility improvements; correspondence and drawings; machinery and equipment. **Access:** Records are filed by subject and by Customs office. **Program Record Number:** RC CBS 310

Personal Computer/LAN Systems Maintenance and Testing

Description: Information on Notice of Arrears, Drawbacks (BARKS), Revenue Accounting System (RAS), Commercial Offsite Monitoring System (COMS); Automated Carrier Tracking System (ACTS). **Topics:** User Manuals, desk instructions, functional specifications, various test packages, bulletins to systems users, systems change procedures. **Access:** Manuals are filed by system name. Files are indexed numerically and by subject. **Program Record Number:** RC CBS 390

Postal Import Control System (PICS) Return System Maintenance and Testing

Description: Information on PICS and Casual Refunds System Applications. **Topics:** User Manuals, desk instructions, functional specifications, various test packages, bulletins to systems users. **Access:** Manuals are filed by subsystem and/or system name. Files are indexed numerically and by subject. **Program Record Number:** RC CBS 375

Postal Policies, Procedures and Automated Systems

Description: Information on various policies, procedures, operational and automated systems respecting the

importation of goods through the postal system by commercial and non-commercial importers; also co-operation and liaison with domestic and foreign authorities. **Topics:** Interpretations of legislation, regulations and policies; postal methods of payment for duties and taxes; postal import remissions; postal release procedures; complaints; mail movement and control; prohibited goods disposal; co-operation and liaison with domestic and foreign authorities such as the Royal Canadian Mounted Police, Agriculture Canada, Health and Welfare Canada, Canada Post Corporation and Statistics Canada. **Program Record Number:** RC CBS 295

Program Development — Systems Management and Enhancements

Description: Information on problem resolution; design and implementation of systems enhancements for ACROSS. **Topics:** Systems change procedures; system enhancements; various test packages to assess the different valid and invalid situations in the system functional specifications; security profiles. **Access:** Files are indexed numerically and by subject matter. **Program Record Number:** RC CBS 130

Program Planning and Analysis

Description: Information concerning Branch planning and budgeting activities including multi-year operational planning process (MYOP); the Branch monitoring framework for programs; the Operational Compliance Check System (OCCS); Customs inspector uniform program; operational issues related to health and safety and union-sensitive issues; PASS program (Personal Alarm Security System); special services; disposal of goods; claims against the Crown; briefing material for senior management on operational issues; responses to internal audit reports, program evaluations and Auditor General reports; co-ordination of special events (e.g. G-7 meetings, Olympic Games Expositions); policies to be followed in the communications centre regarding communications between the regions and headquarters in crisis situations; and statistics on inspector assaults. **Topics:** Program planning and analysis-general, policy development, interbranch and interdepartmental coordination, monitoring, branch planning and budgeting. **Program Record Number:** RC CBS 160

Regional Customs Operations

Description: Information on the application of the programs, developed by the headquarters directorates, that pertain to the control of the international movement of people, goods and conveyances; the collection of revenue; entry examination and control; detection of smuggling; operation of warehouses; control of the operation of all modes of transport; and the primary inspection of services at all ports and outposts. **Topics:** Transportation documents on all modes of bonded carriers — their manifests, special conditions such as diversions, temporary entry, freight forwarding; cargo, carrier and warehouse control; seizure reports; powers

of attorney; uncollectables — records of individuals, firms; Carrier Penalty Assessments; temporary entries; ships' registries; investigation case files; detention orders; cultural properties — export permits; and commodity code rulings complaints. **Access:** Bonded carriers are filed by mode of transport and alphabetically by company; warehouses are filed alphabetically by name and location; Customs Brokers are filed alphabetically by name and cross-referenced by an assigned control number.

Program Record Number: RC CBS 135

Regional Drawbacks, Refunds and Remissions

Description: Information on remitting or adjusting duties and taxes by means of the drawbacks, refunds, and remissions process, in accordance with the programs developed by the Duties Relief Division; conducting audits used to verify the manufacture of goods, and the volume of goods re-exported in order to establish eligibility for the Inward Processing Program. Conducting audits on orders in council subject to post audit to verify the use of goods. **Topics:** Surveys on specific industries pertaining to current manufacturing and marketing practices; background information required by the Interdepartmental Remission Committee; standing remissions orders; policies and directives on drawbacks, refunds, remissions, diversions, temporary entries, Canadian goods abroad, home consumption. **Access:** Claim forms requesting drawbacks, refunds or remissions, filed by claim number at each regional office. **Program Record Number:** RC CBS 140

Regional Tariff, Value and Origin

Description: Information on the actual application of the various programs under the Tariff Programs and Assessment Programs directorates in areas such as tariff classification, Made-in-Canada status, Origin, value for duty, Special Import Measures provisions, ruling enforcement and import surveillance. **Topics:** Correspondence with importers, exporters, brokers and other clients who are requesting a ruling or final decision regarding the tariff classification or Made-in-Canada status of imported goods, and the value for duty of used goods; the enforcement of valuation rulings or anti-dumping provisions by monitoring all import entries for compliance with existing rulings and to verify the amount of duty and taxes that should have been collected. **Access:** All entries are filed numerically. Correspondence filed by tariff item number, subject and commodity, or subject and name of importer or exporter at each regional office. **Program Record Number:** RC CBS 145

Systems Operations — Contracting Out

Description: Information related to the data capture of entry accounting and cargo data by private sector firms using Customs equipment and facilities. **Topics:** Operating procedures manual; Public Works and Government Services Canada contract; various reports, forms and files. **Access:** Manual is filed by name and files are indexed numerically and by subject. **Program Record Number:** RC CBS 355

Systems Operations —

Systems Management and Enhancements

Description: Information on problems resolution; design and implementation of system enhancements; audits and post-implementation reviews. **Topics:** Systems Change Committee; systems problems; systems enhancements; pre- and post-implementation audit reports and responses; various test packages to assess the different valid and invalid situations in the system functional specifications.

Access: Files are indexed numerically and by subject matter. **Program Record Number:** RC CBS 347

Travellers

Description: Information on the administration and application of specific tariff items and remission orders pertaining to travellers entitlements; and on the control and processing of travellers and their baggage entering Canada by all modes of transportation. **Topics:** Interpretive guidance on tariff items pertaining to visitors, former residents, settlers and their effects; maintenance of travellers declarations; liaison with other departments; and inspection procedures. **Access:** CAD files are filed by tariff numbers; OPS files are filed by operational file numbers; topic files are arranged by subject matter. **Program Record Number:** RC CBS 280

Travellers — Port Administration

Description: Information concerning the opening and closing of customs offices, hours of service, types of service offered, customs facilities, the appointment of Acting Customs and Excise Enforcement Officers, Operational Compliance Check System (OCCS), public complaints. **Topics:** Port Administration — general; policy development; interbranch and interdepartmental coordination; monitoring; operations and procedures; training; operational complaints. **Access:** Files are arranged by subject matter and by file name of Customs office. **Program Record Number:** RC CBS 166

Warehouse Licensing

Description: Information on the licensing and operation of various types of Customs warehouses. **Topics:** Customs sufferance warehouses; bonded warehouses; frontier warehouses. **Access:** Individual sufferance warehouses records are filed alphabetically by name and location. **Program Record Number:** RC CBS 270

◆ Deputy Minister's Office

Conferences and Meetings —

DMC (Departmental Management Committee)

Description: This program record contains records of "DMC", which is the Departmental Management Committee. **Topics:** Agenda, minutes and documents relevant to topics discussed therein. Subject matter pertains to departmental operations, policy and procedural or administrative matters. **Program Record Number:** RC DMO 001

Correspondence Guide

Description: Correspondence Guide for the use of officers who receive, handle or write correspondence. **Topics:** Procedures to follow when preparing correspondence for the signature of the Minister or Deputy Minister of the Department of National Revenue, and their executive and departmental assistants. Also outlines the specific stylistic preferences of the Minister and Deputy Minister. **Program Record Number:** RC DMO 002

Parliamentary Liaison

Description: Ministerial Briefing Books for the Minister's reference. **Topics:** All current issues that the Minister should be aware of, and suggested appropriate responses or departmental stances. **Program Record Number:** RC DMO 003

◆ Finance and Administration Branch

Analytical/Advisory Service

Description: Information on the analytical service provided in support of the administration of the Customs Tariff Act and other Customs legislation; on the analytical service provided in support of the Administration of Excise Duty legislation; and records relating to the Spirits Instrument Certification Program; on scientific and technical research and development work in support of the administration of Customs and Excise policies, regulations and procedures. **Topics:** (i) Technical information and methodology for the analysis of commodity importations; analytical work summaries of chemical and physical analysis; and technical literature obtained from manufacturers. (ii) Correspondence on analytical services pertaining to denaturants in alcohol products, goods subject to excise duty, seizures of illicit spirits, formulation approval in alcoholic preparations and the Spirits Instruments Certification Program. (iii) Project reports and working papers associated with the investigation and development of scientific methods, systems and equipment for the detection and identification of goods subject to Customs and Excise control; external consultants providing specialized laboratory services; and scientific equipment manufacturers. **Access:** (i) and (ii) indexed on EDP database; (iii) filed alphabetically by manufacturer's name, numerically by project, external consultants filed by date. **Program Record Number:** RC FAB 425

Mathematical Tax Models — Operation and Control

Description: Information on the objectives, work, duties and responsibilities of the various tax model work groups; tax model sample selection schemes; computer database files (which may involve data matching for statistical purposes only) and record layout; computer program documentation; computer simulation system documentation; and data capture instructions. **Topics:** Administrative workload and processing model; personal taxation simulation model; corporation taxation simulation model; data capture instructions; techniques to prevent the releases of identifiable taxpayer information; corporate

individual and family database files; operational computer programs; sampling techniques.. **Note:** This program record was formerly with the Policy and Legislation Branch as RC PLB 101. **Program Record Number:** RC FAB 101

Office and Information Management Services

Description: Responsible for the following Administrative and Management services: Library services; Information Management (formerly Records Management) regardless of physical form or characteristics; Mail, Messenger and Postal services; Management of Government Information Holdings (MGIH); Paperburden program; Publishing and form design services; Personnel and physical security services; Distribution services; Project coordination and Control. **Topics:** Development of policies, procedures, technology and services organization; directives review project; integrated publishing system service; development and maintenance of an automated mailing list system service. **Access:** Filed by subject matter. **Program Record Number:** RC FAB 430

Operations Research Studies

Description: Information on the statement of a problem, method of approach, and the mathematical and operations research and econometric techniques involved in each study; summary of data requirements, design and procedures required to perform each study; system analysis; design and programming documentation to process data of each study; and data analysis, reports, data files (which may involve data matching for statistical purposes only) and correspondence produced during each study. **Topics:** Planning process studies; effectiveness studies; work optimization studies; workload and file selection studies; profile analysis; trend analysis studies. **Note:** This program record was formerly with the Policy and Legislation Branch as RC PLB 116. **Program Record Number:** RC FAB 116

Resource Management Information System (RMIS)

Description: This system provides database-resident information on departmental programs from the standpoints of resource utilization, productivity and achievement of objectives, in terms of the program activity structure as well as the departmental organization structure. It is comprised of a suite of corporate processed systems and information bases of departmental activity and operating budget data from an individual employee and contractor staff level to the corporate level. The purpose of this information bank is to be the central repository and/or linkage to all departmental resources management information from employee leave tracking to multi-year resource and workload tracking and planning. Access will not be permitted without adequate proof of identification and or authority. **Topics:** Departmental programs' plans, results and analyses of results, as well as workload forecasts and, market surveys, as well as emerging issues and trends. Individuals identified in this bank are all employees of Revenue Canada, as well as staff members who are engaged under personal service contracts. Systems in the RMIS suite include: AMS, Activity

Management System — used for tracking activity costs and managing operating budgets, leave and extraduty, as well as substantiating time charged on contract staff invoices. ALOSS — Human Resource component part of the corporate information base, providing data used for leave and extra duty management. CORPORATE COSTING — Salary History for each employee, by organization entity. NBS, National Budget System — used from the initial annual allotment through to the allocation and adjustment of resources by responsibility centre, work section and reporting object. OAM, Organization/Activity Management System — used to correlate the departmental activity and organization management structures. AC, Activity Costing system includes ARMS (A Resource Management System) — linked to the Departmental Financial System (FINCON) and the Government Central Accounting System (DRS), AC/ARMS is used to provide the full spectrum of departmental activity management information, as well as the alignment of departmental accounts with the Government Central Accounting System in preparation for production of the Public Accounts Plates. MYRTS, Multi-Year Resource Tracking System — used for corporate planning, Estimates, Supplementary Estimates and TB Submissions. **Program Record Number:** RC FAB 006

Revenue Reporting and Tax Analysis

Description: Information on the analysis, distribution and reporting of Customs, Excise and Taxation revenue, such as customs duties, the Goods and Services Tax, Excise taxes and duties, federal and provincial income taxes, Canada Pension Plan contributions and Employment Insurance premiums. **Topics:** Revenue Collection and disbursements from revenue, i.e. refunds, rebates by type, i.e. Customs, Excise and Taxation, assessed revenue (taxes for which an assessment notice is issued), Canada Pension Plan, Employment Insurance, royalty tax rebates, Employment Insurance benefit repayments; settlement of tax deductions with the province of Quebec; petroleum and gas revenue tax, reconciliation of revenue deposits with chartered banks; foreign fund chargebacks; tax deductions settlements with the Bank of Canada; Canada Pension Plan, Employment Insurance Act and Public Utilities Income Tax Transfer Act transfer schedules; unclaimed cheque suspense account; cancelled cheques other than unclaimed cheque suspense; annual reconciliation of cash in transit; duplicate refund cheque data; accounts receivable as per public accounts and interdepartmental settlement notification data, revenues collected on behalf of other government departments and provinces, such as provincial sales tax and provincial alcohol and tobacco taxes and levies collected at the border, the Air Transportation Tax, Offshore Sales Tax. **Program Record Number:** RC FAB 320

Statistical Services to the Department

Description: Information on forecasts of expected filing patterns of individual income tax returns, and on statistical schemes and consulting services provided to divisions in the Department. **Topics:** Consulting services

provided to the Department; statistical services provided to the Department. **Note:** This program record was formerly with the Policy and Legislation Branch as RC PLB 111. **Program Record Number:** RC FAB 111

Statistics — Operations, Control and Release of Data

Description: Information on the objectives, work, duties and responsibilities of the various work groups tabulating statistics; computer program documentation and design; sample designs, data capture techniques and instructions; database files (which may involve data matching for statistical purposes only) and record layouts; requests for and releases of data; and data tabulations compiled.

Topics: Statistics on individuals with various breakdowns by age, sex, occupation, and geographic region; corporation income statistics; requests for and releases of statistical information by source, personal, corporation and family sample files; statistical sample designs for collecting statistics listed above; listings of agencies legally entitled to taxation data; releases of taxation data to federal and provincial agencies; monthly and quarterly tax collection and tax filing reports; internal administrative statistics on assessments, audits, and post-assessing projects. **Note:** This program record was formerly with Policy and Legislation Branch as RC PLB 106. **Program Record Number:** RC FAB 106

Tax and Non-tax Revenue

Description: Information on the analysis, distribution and reporting of tax and non-tax revenue. Information and data on the research, analysis, draft proposals and approval of departmental financial policy, systems and procedures. **Topics:** Customs and Excise tax and non-tax revenues, reconciliation of revenue collected with Bank of Canada deposit acknowledgements; annual reconciliation of cash in transit; technical information; legislative/regulatory requirements; research problem definitions, proposals, alternative solutions, reports, drafts and approvals.

Access: Files are arranged by subject and number, or by project. **Program Record Number:** RC FAB 420

♦ Information Technology Branch

Accounting and T2 (Corporation Tax Return) Processing

Description: Information on the development, implementation and maintenance of all departmental electronic data processing accounting systems and procedures, as well as T2 corporation tax return processing and gasoline excise tax return processing. **Topics:** Operational and administrative communications with the various head office divisions that have functional authority for the systems developed for them; computerized and manual (PAYDAC) deductions at source from individuals for income tax, Canada Pension Plan and employment insurance; computerized and manual quarterly tax remittances from both individuals and corporations, and collection action on tax owing; initial assessment and reassessment of corporation tax returns (T2 corporation master file). **Note:** This program records will be cancelled.

It has been incorporated into RC ITB 264. **Program Record Number:** RC ITB 281

Assessment Processing

Description: Information on the development, implementation, and maintenance of EDP (electronic data processing) systems and procedures designed to determine the accuracy of T1 individual income tax returns and T3 trust income tax returns, and related schedules, initially submitted by taxpayers, tax preparers, agents, and trustees in electronic, paper, or telephonic format, and of adjustments resulting from additional information supplied by taxpayers, tax preparers, agents, and trustees, or from verification initiated by the Department; information on the development, implementation, and maintenance of EDP (electronic data processing) systems and procedures designed to capture information from NISA (Net Income Stabilization Account) application forms. **Topics:** Operational and administrative communications with various head office divisions that have functional authority for the systems development for them; computerized and manual T1 and T3 initial assessment, reassessment and compliance verification systems; direct data entry (DDE); Electronic Filing (T1 EFILE); T1 TELEFILE; Taxation T1 Assessing Master File; Taxation T3 Master file; NISA (Net Income Stabilization Account); Home Buyers Plan (HBP); Automated Tax Preparation (ATP); matching discrepancy file slips.

Program Record Number: RC ITB 274

Case Management and Execution

Description: Document of several Revenue data and information processing systems, as well as technical programming standards and guidelines. Documentation is principally in the form of program listings, run books and related materials intended to support system development, maintenance and operation. **Topics:** Système Universel DELPAC (Delinquent action Processing and Control) System (SUDS) — Delinquent accounts processing and follow-up; Electronic Revenue Accounting (ERA) — a case management system to enhance client service in Revenue Accounting work sections; Automated Collections and Source Deductions Enforcement System (ACSES) and Collections Business Process Reengineering — support for the collection of overdue accounts; Employer Sponsored Plans System (ESP) — processing of employer sponsored pension plan data; Charity Assessing and Registration (CARE) — processing of registered charitable organizations returns; miscellaneous systems and programs such as the On-line Chargeout System (OLC) to control issuance and location of income tax returns.

Program Record Number: RC ITB 268

Client Assistance and Border Services

Description: Documentation on the development, implementation and maintenance of computer systems pertaining to Postal, Traveller, and Enforcement systems in the Customs Border Services environment, and to Letter Creation and Client Assistance systems in the Tax environment. **Topics:** Travellers rates and declaration

database; postal rates and declaration database; vehicle passage database; taxpayer correspondence database; line officer reference manuals for all CANPASS modes of travel, as well as data dictionary and schemas; reference manuals for all ICES operations and data dictionary and schemas; procedural documents for problem reporting and resolution for CANPASS and ICES; and personnel and leave systems. **Program Record Number:** RC ITB 262

Client Identification

Description: Documentation in the form of database models and descriptions applicable to databases for identification systems including: individuals (T1 Ident), businesses (Business Number), Goods and Services Tax Registration, and discounters. Also documentation in the form of database models and descriptions for applicable databases for: Canadian cities and their postal and locality codes (City Index), Canadian bank codes (Direct Deposit), and refund payment information (Payment Enquiry System). **Topics:** Operational and administrative communication to and from the various head office divisions that have functional authority for the systems development for them. **Program Record Number:** RC ITB 266

Computer Operations

Description: Information on computer hardware (the various computer components); operation of computer equipment and the administrative procedures used within the Computer Services Directorate. **Topics:** Procedure manuals for data control; tape library; computer room security; scheduling; administrative computer operations; computer output microfilm operations; Tax Centre computer operations; production control and IBM/AMDHAL/HDS operations. **Program Record Number:** RC ITB 271

Credit Determination

Description: Information on the development, implementation and maintenance of all electronic data processing of benefit programs (the Goods and Service Tax Credit, Child Tax Benefit, Child Special Allowance and British Columbia Family Bonus), as well as the development and maintenance of Electronic Data Interchange (EDI) for individual tax return, corporate tax return and electronic fund transfer for various business programs. **Topics:** Operational and administrative communications with various head office divisions that have functional authority for the systems developed for them; computerized and manual applications for the Child Tax Benefit and Child Special Allowance, electronic initial assessments and reassessments for all social benefit programs; electronic records of all payments and financial adjustments to benefit client accounts and electronic records of all correspondence sent to benefit clients. **Program Record Number:** RC ITB 272

Departmental Administrative Systems

Description: Information on the development, implementation and maintenance of a wide range of

current departmental administrative systems. **Topics:** Operational and administrative communications to and from the head office areas that have functional authority for the systems developed for them: resource management systems, financial management systems, personnel and leave systems, administrative systems. **Program Record Number:** RC ITB 260

Electronic Data Processing Requirements

Description: Information on the coordination of the planning efforts of the EDP Systems and Technology Branch; the procurement of departmental electronic data processing equipment; and the provision of training related to electronic data processing for programmers, analysts and computer operators. **Topics:** Data capture/enquiry devices management systems; directorate planning; systems requirement form administration; training policy and arrangements in general; departmental training; external training; in-house training; electronic data processing supplier contracts and supplier correspondence. **Note:** This program record will be cancelled. It is incorporated into several Standard Program Records. **Program Record Number:** RC ITB 269

Excise/GST/PAYDAC

Description: Information on the development, implementation and maintenance of Departmental accounting systems and procedures as well as notices and PAYDAC (deductions at source for individuals). Currently the Office of Primary interest for the Harmonized Sales Tax Project. **Topics:** GST accounting, collection and enforcement; Excise licensing, accounting, collection and enforcement; NONRES (non-resident) accounting; various micro-computer applications for Audit; Policy and Rulings; Paydac Audit, Pension Audit and Gas Tax Refund System; GST ad hoc reporting systems; Paydac accounting and notices. RAPID information for GST and Paydac. NONRES and excise systems have separate inquiry systems. **Program Record Number:** RC ITB 270

General Sector and Information Centre

Description: Documentation of several taxation data and information processing systems and the Information Centre, as well as technical programming standards and guidelines. Documentation is principally in the form of program listings and run books intended to support maintenance and operation. **Topics:** Information processing system (IPS) — T4 data capture; RAPID — rapid information for districts; enquiry programs for field offices into on-line tax data; Infocentre — development project to facilitate end-user access to data; technical services — use of programming techniques and utilities; operational record of file back-ups; library changes and also mass storage requirements; special projects — miscellaneous systems and programs such as Personal Information Bank RC PPU 100 Tax Centres Recruiting System. **Program Record Number:** RC ITB 291

Information Systems

Description: Information on the development, implementation and maintenance of a wide range of departmental information and administrative EDP (electronic data processing) systems (mainly statistical in nature), which are intended to enhance management effectiveness. In addition, a system is provided to give field personnel direct on-line access to computer-based accounting, assessment and personnel files. **Topics:** Operational and administrative communications to and from the various head office divisions that have functional authority for the systems developed for them; computerized and manual management information systems; personnel and leave systems; gas tax rebate system; and T4 and other information slip systems. **Note:** This program record will be cancelled. It has been incorporated into several new program records. **Program Record Number:** RC ITB 296

Standardized Accounting and T2 (Corporate Tax Return) Redesign Projects

Description: Standardized Accounting begins at the point of posting a credit, debit or accounting non financial transaction to the client account, and ends at the point of updating the Revenue Ledger and issuing of Accounting Communications. T2 will manage Corporation Assessing, Electronic Filing, Inquiries, and Changes. **Topics:** applying payments; validating and applying net assessment/return amounts to the client account; validating and applying installment or re-assessment prepayment amounts to the client account; validating and applying account adjustments to the client account; calculating and applying accounting penalties to the client account; calculating and applying interest to the client account; offsetting credit balances within one program against debts in other programs; performing setoff activities on behalf of other Government Departments; issuing refunds; issuing standardized accounting communications; managing of a central revenue ledger; providing consistent financial reporting; facilitating account enquiries. EDI filing of T2's; Ongoing legislative changes; Development of an interface with Standardized Accounting; Inclusion of changes necessary to meet requirements of Release 8 of the Business Number (BN) project. **Program Record Number:** RC ITB 264

T1 (Individual Income Tax Return) Databases

Description: Documentation in the form of database schemes, models and descriptions applicable to databases for T1 individual income tax return processing systems, financial input processing, and RAPID databases, as well as on-line program technical and quality assurance records. **Topics:** DDE — direct data entry systems; FIP — financial input processing; RAPID — rapid information for districts database; Personal Information Bank RC PPU 045; database administration; quality assurance; common facilities; other miscellaneous programs. **Program Record Number:** RC ITB 286

T1 (Individual Income Tax Return) Processing

Description: Information on the development, implementation and maintenance of EDP (electronic data processing) systems and procedures designed to determine the accuracy of T1 individual income tax returns and related schedules initially submitted by taxpayers, and of adjustments resulting from additional information supplied by the taxpayer or from verification initiated by the Department; issuing of notices of assessment or reassessment. **Topics:** Operational and administrative communications with various head office divisions that have functional authority for the systems developed for them; computerized and manual T1 (individual income tax returns) initial assessment, reassessment and compliance verification systems; direct data entry (DDE); Taxation taxpayer master file (TAPMA) (Personal Information Bank RC PPU 040); Taxation Social Insurance Number information (Personal Information Bank RC PPU 040); Taxation centralized individual accounting and collection master file (Personal Information Bank RC PPU 055); financial input processing (FIP); matching discrepancy file slips. **Note:** This program record will be cancelled. It is incorporated into several new program records. **Program Record Number:** RC ITB 276

♦ Policy and Legislation Branch

Changes to International Provisions of the Income Tax Act

Description: Information on recommendations for changes to various provisions of the Income Tax Act, e.g., provisions covering income of non-resident persons and shareholders of certain corporations not resident in Canada. **Topics:** Files on proposed changes to the Act such as Part XIII (Sections 212-217), dealing with the taxation of income earned in Canada by non-resident persons (interest, dividends and royalties). The provision for foreign tax credits in Section 126 and the provisions dealing with residents in Section 250 are further examples. **Program Record Number:** RC PLB 086

Charities and Canadian Amateur Athletic Associations

Description: Information on the registration and audit of charities and Canadian amateur athletic associations; review of information returns, special ministerial approvals and designations; submissions from educational institutions and universities outside Canada; and the development of forms, information circulars, and pamphlets. **Topics:** Research and subject matter files on various matters dealing with charity and amateur sports (contain correspondence with taxpayers, transcripts of court cases); administrative and operational directives on processing applications for registration; instructions for the audit of charities; returns of information regarding charities (T3010) and amateur athletic associations (T2052); statistical information on registered charities. **Program Record Number:** RC PLB 290

Conferences and Meetings — CIAT

Description: These records cover all aspects of the Centre of Inter-American Tax Administrators' Conference from the initial planning stages through to the final wrap-up report. **Topics:** Agenda, minutes and related documentation; delegate information; administrative details of conference preparation; budget; social affairs; media relations; protocol and hospitality. **Note:** This program record was formerly with the Corporate Affairs Branch as RC CAB 005. **Program Record Number:** RC PLB 032

Excise Duty

Description: Information on the excise duties under the Excise Act; on alcohol, beer, tobacco and tobacco products; production and warehousing of these products; licensee information. **Topics:** Excise duty — general; alcohol and by-products; denatured alcohol; alcohol for fuel; beer and breweries; bonds; bonded carriers; distilleries; drawbacks and refunds of excise duty; druggists; exports; licences and permits; manufacturers in-bond; monitoring; samples for analysis; special Excise services charges; chemical stills; tobacco manufacturing; warehousing; weighing and measuring devices; wine and brandy; and labels for approval. **Access:** Case files for breweries, distilleries and tobacco manufacturers, filed alphabetically by company. **Program Record Number:** RC PLB 075

Excise Information Services (EIS)

Description: A computerized, on-line text retrieval system which provides Excise/GST employees with immediate access to various databases containing current information on the GST in both official languages (GST Guides, GST Memoranda, Policy Statements, Questions and Answers, Technical Information Bulletins, Bulletin Boards, Excise Duties and Taxes publications), as well as Federal Sales Tax (FST) information. **Access:** Users can conduct key-word searches, browse through Table of Contents and also keep abreast of any internal news and announcements by and also keep abreast of any internal and announcements by browsing a bulletin board. **Program Record Number:** RC PLB 120

Excise Taxes and Special Levies

Description: Information on the application of excise tax under the Excise Tax Act on wine, jewellery, tobacco products, petroleum and motor fuels, split-run editions of magazines, automobile air conditioners, and automobiles over specified weights; information on the application of air transportation tax under the Excise Tax Act for passenger air transportation meeting certain conditions, licensee information; training materials, memoranda, notices, regulations and remission orders. **Topics:** general wine, automobile air conditioners, magazine tax, regulations/remissions, jewellery, fuel taxes, projects, customs tariff. **Access:** subject matter filed by commodity, i.e., wine, jewellery, tobacco, motive fuel, air transportation, air conditioners and heavy vehicles. **Program Record Number:** RC PLB 030

Income Tax Rulings —

Subject Matter Files (Primary Files)

Description: Correspondence with taxpayers on matters relating to interpretations of the Income Tax Act and Regulations, including copies of advance income tax rulings and requests for technical interpretations. **Topics:** Subject files are broken down into specific subject topics as listed in the index to the Income Tax Act and Regulations and related legislation, e.g., income from office or employment, capital cost allowances, gifts, dividends, property, deceased taxpayers, expenses. Files contain both the incoming taxpayer enquiry and the outgoing response. **Access:** Correspondence is filed chronologically under the relevant topic. A card index is maintained to assist in locating specific correspondence either under the taxpayer's name and/or that of his or her representative. The confidentiality provisions of the Income Tax Act prevent information contained in this program record concerning specific taxpayers from being disclosed without the written permission of the taxpayers involved. **Program Record Number:** RC PLB 041

International Representation

Description: Information on departmental activities with various international organizations. **Topics:** Customs Co-operation Council (CCC); European Community (EC); Organization of American States (OAS); European Free Trade Association (EFTA); General Agreement on Tariffs and Trade (GATT); Multilateral Trade Negotiations (MTN); United Nations Conference on Trade and Development (UNCTAD); and others. **Access:** General topics are filed by subject; organizations, by name; and trade negotiations, by country. **Program Record Number:** RC PLB 445

Legislation

Description: Information on aspects of existing or prepared legislation. **Topics:** Research, correspondence and recommendations relating to amendments to the Excise Tax Act, the Excise Act, and the Softwood Lumber Products Export Charge Act. **Access:** Filed by subject. **Program Record Number:** RC PLB 080

Legislative and Intergovernmental Affairs Branch Memoranda — Research Material

Description: Information on interpretations of significant or problematic income tax matters. Research files contain copies of technically significant interpretations, rulings, legal opinions and position papers in connection with each memorandum issued to departmental staff. **Topics:** Technical interpretations, background information and intended effect on certain aspects of the Income Tax Act, Canada Pension Plan, Employment Insurance Act, reciprocal tax treaties, the Income Tax Acts of agreeing provinces and related Regulations; specific topics such as universities outside Canada, forgiveness of loans; and educational institutions. **Program Record Number:** RC PLB 036

Mathematical Tax Models — Operation and Control

Description: Information on the objectives, work, duties and responsibilities of the various tax model work groups; tax model sample selection schemes; computer database files (which may involve data matching for statistical purposes only) and record layout; computer program documentation; computer simulation system documentation; and data capture instructions. **Topics:** Administrative workload and processing model; personal taxation simulation model; corporation taxation simulation model; data capture instructions; techniques to prevent the release of identifiable taxpayer information; corporate, individual and family database files; operational computer programs; sampling techniques. **Note:** This program record will be transferred to the Finance and Administration Branch as RC FAB 101. **Program Record Number:** RC PLB 101

Operations Research Studies

Description: Information on the statement of a problem, method of approach, and the mathematical and operations research and econometric techniques involved in each study; summary of data requirements, design and procedures required to perform each study; system analysis; design and programming documentation to process data of each study; and data analysis, reports, data files (which may involve data matching for statistical purposes only) and correspondence produced during each study. **Topics:** Planning process studies; effectiveness studies; work optimization studies; workload and file selection studies; profile analysis; trend analysis studies. **Note:** This program record will be transferred to the Finance and Administration Branch as RC FAB 116 **Program Record Number:** RC PLB 116

Other International Matters

Description: Information on relations with the Department of External Affairs, other governments, taxpayers (both domestic and foreign) and international organizations, on matters not covered under other program records. **Topics:** Sovereign immunity and non-resident problems; international joint programs; Commonwealth Association of Tax Administrators (CATA); Organization for Economic and Co-operative Development (OECD); Centro Interamericano de Administradores Tributarios (CIAT); Centre de Rencontre et Études des Dirigeants des Administrations Fiscales (CRÉDAF). **Program Record Number:** RC PLB 076

Proposed and Enacted Amendments

Description: Information on memoranda, discussion papers and other background material in connection with proposed amendments. This material is maintained in confidential files until each amendment is passed by Parliament. Some of the material may remain classified as confidential even after a proposed amendment is enacted such as written material from other departments (Department of Finance), background information and legal opinions. **Topics:** Correspondence and liaison memoranda with other divisions, the Department of

Finance and other government departments; subject matter files, by section of the Income Tax Act, containing all material related to a given amendment. **Program Record Number:** RC PLB 037

Provincial Information

Description: Information on dealings with the Department of Finance, provincial governments, and other divisions within the Department on the administration and interpretation of the law, collection agreements and changes to provincial law. **Topics:** Exchange of information with provinces regarding individual taxpayers; interpretation of federal and provincial income tax acts; provincial budget changes, proposed and enacted; interpretation of collection agreements; administration of collection agreements. **Program Record Number:** RC PLB 081

Registered Pension and Deferred Income Plans

Description: Information on the registration, audit and approval of amendments to employees' pension plans (EPPs), deferred profit sharing plans (DPSPs), education savings plans (RESPs), supplementary employment benefit plans (SUBPs), retirement savings plans (RRSPs), retirement income funds (RRIFs); registered investments (RIs); approval of special payments to employee pension plans; and the development of forms and information circulars. **Topics:** Research and subject files on specific provisions concerning EPPs, DPSPs, RESPs, SUBPs, RRSPs, RRIFs and RIs (contain letters to and from taxpayers); administrative and organizational practices for processing applications for registration and amendments to plans; instructions for the audit of registered pension and deferred income plans; instructions for completing style letters; instructions for completing computer transcripts for ESP system; development and amendment of forms; development and amendment of information circulars. **Program Record Number:** RC PLB 117

Regulations

Description: Information on memoranda, discussion papers, correspondence and other background material relating to new, or amending existing regulations; copies of Orders-in-Council and schedules thereto, submission letters and communications between the Deputy Minister and the Minister. Some of the material is confidential and may not be released. This includes written material from other departments (Department of Finance), background information and legal opinions. **Topics:** Liaison with other divisions, departments or agencies; subject matter files by part (of regulations) number containing materials related to amendment; chronological file containing master copies of all amendments and communications from the Deputy Minister to the Minister. **Program Record Number:** RC PLB 038

Social Security Agreements

Description: Information on the negotiation of social security agreements with Quebec and foreign governments in conjunction with Health and Welfare Canada, and the implementation of these agreements.

Canada has social security agreements with, for example, France, Greece, Italy, Jamaica and Portugal. **Topics:** Negotiations of the agreements; implementation of the agreements. **Program Record Number:** RC PLB 091

Statistical Services to the Department

Description: Information on forecasts of expected filing patterns of individual income tax returns, and on statistical schemes and consulting services provided to divisions in the Department. **Topics:** Consulting services provided to the Department; statistical services provided to the Department. **Note:** This program record will be transferred to the Finance and Administration Branch as RC FAB 111. **Program Record Number:** RC PLB 111

Statistics — Operation, Control and Release of Data

Description: Information on the objectives, work, duties and responsibilities of the various work groups tabulating statistics; computer program documentation and design; sample designs, data capture techniques and instructions; database files (which may involve data matching for statistical purposes only) and record layouts; requests for and releases of data; and data tabulations compiled.

Topics: Statistics on individuals with various breakdowns by age, sex, occupation, and geographic region; corporation income statistics; requests for and releases of statistical information by source, personal, corporation and family sample files; statistical sample designs for collecting statistics listed above; listings of agencies legally entitled to taxation data; releases of taxation data to federal and provincial agencies; monthly and quarterly tax collection and tax filing reports; internal administrative statistics on assessments, audits, and post-assessing projects. **Note:** This program record will be transferred to the Finance and Administration Branch as RC FAB 106. **Program Record Number:** RC PLB 106

Tax Treaty Files

Description: Information on the negotiation of all tax treaties including all of the notes and minutes of negotiation meetings. **Topics:** Separate series of files for each set of negotiations with treaty countries, and general files on the interpretation of treaties. Some examples include treaties with Australia, Barbados, Belgium, Israel, Switzerland, the United Kingdom, and the United States. **Program Record Number:** RC PLB 071

Taxation Research Master Files (Chronological File)

Description: Information authored within Income Tax Rulings on technically significant tax law interpretations, income tax rulings, requests for legal opinions in respect of specific sections of the Income Tax Act and Regulations and other related legislation included in the Income Tax Rulings' files. **Topics:** Subject topics are the same as indicated in RC PLB 041 except they are filed chronologically in two week periods. **Access:** Correspondence is filed chronologically. There is no specific index to these files other than a reference to the date. The confidentiality provisions of the Income Tax Act prevent information concerning individual taxpayers from

being disclosed without the written permission of the taxpayers involved. As of January 1993, these documents are available for sale to the general public. As of January 1996, these documents have been placed in the Legislation Access Databank (LAD). The contents of LAD are available for viewing in the libraries of Tax Services Offices. **Program Record Number:** RC PLB 049

Taxation Research Master Files (Secondary Files)

Description: Information on technically significant tax interpretations, income tax rulings, legal opinions in respect of specific sections of the Income Tax Act, Regulations and other related legislation included in the Income Tax Rulings' subject matter files. In many cases the taxpayers involved are identified. **Topics:** Subject topics are the same as indicated in RC PLB 041 except that they are filed by section and/or subsection of the Act, e.g., Section 5(1) — income from office or employment, Section 115 — non-residence taxable income earned in Canada, Section 130 — investment corporations.

Access: Correspondence is filed chronologically under the relevant section or subsection. A card is maintained to assist in locating specific correspondence either under the taxpayer's name and/or that of his or her representative. The confidentiality provisions of the Income Tax Act prevent information concerning specific taxpayers contained in this program record from being disclosed without the written permission of the taxpayers involved. Since June 1992, these files are no longer updated due to a new electronic filing system. **Program Record Number:** RC PLB 046

◆ Regional Operations

Rebate Claims — Fuel Tax Rebate

Description: Information on the processing and tracing of applications and cheques for rebate of the fuel tax on aviation fuel or diesel fuel: data and statistical collection.

Topics: Reviewing of rebate applications: automated data on claimant history, status of claims and cheque issuance; Fuel Tax System (claimant identification on-line system); Fuel Tax Rejects Automated Control Environment.

Access: The applications are on microfilm while the originals are filed in the National Archives of Canada by locator number; correspondence requesting status or resulting in tracing action is held on claimant's file, filed alphabetically by name. **Program Record Number:** RC RGO 055

Refund Claims — Excise Gasoline Tax

Description: Information on the processing and tracing of applications and cheques for refund of the Excise Tax on gasoline: data and statistical collection. **Topics:** Reviewing of refund applications: automated data on claimant history, status of claims and cheque issuance;

Gasoline Excise Tax System, (claimant identification on-line system); Gasoline Rejects Automated Control Environment. **Access:** The applications are on microfilm while the originals are filed in National Archives of Canada by locator number; correspondence requesting status or

resulting in tracing action is held on claimant's file, filed alphabetically by name. **Program Record Number:** RC RGO 050

Registered Vendors' Certificates — Fuel Tax Rebate

Description: Information on the processing and tracing of applications for registered vendors' certificates for the fuel tax rebate program: data and statistical collection.

Topics: Reviewing of rebate applications for registered vendors' certificates: automated data on status of application and certificate number when issued.

(Registered Vendor's Certificate claimant identification on-line system). **Access:** The applications are on-line while the originals are filed in the National Archives of Canada by locator number; correspondence requesting status or resulting in tracing action is held on claimant's file, filed alphabetically by name. **Program Record Number:** RC RGO 065

Sales Tax Bulk Permits — Fuel Tax Rebate

Description: Information on the processing and tracing of applications for sales tax bulk permits for the fuel tax rebate program: data and statistical collection. **Topics:** Reviewing of permit applications: automated data on status of application and permit number when issued.

(Sales tax bulk permit claimant identification on-line system). **Access:** The applications are on microfilm while the originals are filed in the National Archives of Canada by locator number; correspondence requesting status or resulting in tracing action is held on claimant's file, filed alphabetically by name. **Program Record Number:** RC RGO 060

♦ Trade Administration Branch

Anti-dumping Investigations

Description: Information on the analysis of complaints alleging injurious dumping and resultant investigative activity. **Topics:** Original complaint; initial evaluation and investigation; detailed investigation; inquiries by importers and exporters; calculations and working papers; submissions to the Canadian International Trade Tribunal.

Access: Files are maintained by commodity. Certain data relating to investigations may be of a proprietary or sensitive nature and cannot be released. **Program Record Number:** RC TAB 210

Appeals (Anti-dumping and Countervailing)

Description: Information on the processing of B2 appeals against dumping and countervailing decisions made under the Special Import Measures Act (SIMA); and in the case of Binational Panels appeals, an Administrative Record comprised of an Index, documentation identical to that described for Trade Administration Branch — Anti-dumping Investigations and Trade Administration Branch — Countervailing Investigations, complainant's briefs and responses thereto, motions and disclosure orders. **Topics:** Appeal documents and working papers relating thereto; documentation identical to that listed for Trade Administration Branch — Anti-dumping Investigations and Trade Administration Branch —

Countervailing Investigations. **Access:** Files are maintained by commodity. Certain data relating to anti-dumping and countervailing investigations may be of a proprietary or sensitive nature and cannot be released. **Program Record Number:** RC TAB 225

Automotive Relief Program Audit (Automotive and Special Remission) (formerly entitled Industrial Incentive Program Audit (Automotive and Special Remission))

Description: Information on the review of production reports and audits of manufacturers' production costs under the authority of the Special Remission Programs.

Topics: Correspondence, production reports and audits pertaining to the Special Remission Programs governing the manufacturing of vehicles and parts under the Motor Vehicle Tariff Order (1988); front-end loaders; off-highway vehicles. **Program Record Number:** RC TAB 200

Countervailing Investigations

Description: Information on the analysis on complaints of the allegedly injurious importations of subsidized goods and the possible requirement for imposition of a countervailing duty. **Topics:** Evaluation of complaints; conduct of investigation; calculations and working papers.

Access: Files are maintained by commodity. Certain data relating to countervail investigations may be of a proprietary or sensitive nature and cannot be released. **Program Record Number:** RC TAB 220

Drawbacks and Refunds

Description: Information on the administrative policies for drawbacks of customs duties on exported goods, the refunds of Customs duties under various regulations (excluding appeals), the remission of Customs duties via drawback for specific commodities and the development of Canadian legislation covering the drawback and refund programs. **Topics:** Correspondence on drawbacks — claims and claimants; refunds — claims and claimants; exports; obsolete or surplus goods; railway rolling stock; ships' stores; home consumption; post-audit and conditional remission Orders in Council. **Access:** Subject files and case files are arranged by subject or company name. Refund and drawback claims are filed by claim number and held in the various regional offices. **Program Record Number:** RC TAB 195

Duties Relief

Description: Information on the functions of the Duties Relief Programs Unit and the development of Canadian legislation covering various relief/refund/remission programs. **Topics:** Payment of claims withheld to offset debts due to the Crown; post-audit procedures; seizures arising from drawback or refund investigations; and trade talks and negotiations. **Access:** Files are arranged by subject, company, country or department. **Program Record Number:** RC TAB 205

Enforcement (Anti-dumping and Countervailing)

Description: Information respecting the enforcement of the Canadian International Trade Tribunal's findings, case Enforcement Plans, Orders-in-Council relating to surtax matters. **Topics:** Entry documents and working papers related thereto. **Access:** Records are filed by case. Instructions and values available through an automated system. **Program Record Number:** RC TAB 230

Foreign Office (Tokyo)

Description: Information on conducting anti-dumping and countervailing investigations on behalf of headquarters line directorates, the management of external relations, and the provision of a direct liaison on Revenue Canada matters, trade talks and negotiations. **Topics:** Determination of normal values and export prices of imported goods; external relations; co-operation and liaison with officials of other countries and other international bodies. **Access:** Cases are filed by the nature of investigations and are held by headquarters line units, with the foreign offices retaining only the portion essential in the event of any follow-up investigation. **Program Record Number:** RC TAB 245

Harmonized Commodity

Description and Coding System

Description: Information on the Harmonized Commodity Description and Coding System (HS). **Topics:** Meetings of the Harmonized System Committee of the Customs Co-operation Council (CCC) World Customs Organization (WCO) and the HS review sub-committee; the Nomenclature of the CCC/WCO and the HS; Canadian tariff and statistical nomenclature based on the HS.

Access: Correspondence on commodity classification is filed by HS heading number. **Program Record Number:** RC TAB 380

Legislative Affairs

Description: Information on the evaluation and revision of existing legislation, the development and drafting of new legislation and the processing or amending of regulations, ministerial orders and other statutory instruments required by the branch. **Topics:** Historical data on the present Customs Act; correspondence with departmental sources and other government departments; background information and supporting documentation; ministerial presentations on the Annual Regulatory Plan; information on other laws administered by Customs; requests for background information; and rationale for new, amended or revoked Orders-in-Council, ministerial regulations and other statutory instruments. **Access:** Files are arranged by subject matter. **Program Record Number:** RC TAB 375

Machinery Program

Description: Information on the function of the Machinery Program. **Topics:** Applications for remission on duty on eligible machinery and equipment. Investigation documents on the status of Canadian manufacturers. Correspondence with the Machinery and Equipment

Advisory Sub-committee. **Program Record Number:** RC TAB 202

Made-in-Canada

Description: Information on tariff surveys and studies respecting Made-in-Canada legislation on imported goods in light of changing trade patterns, technological developments, and evolving manufacturing processes. **Topics:** Made-in-Canada inquiries; reports; studies on the status of imported goods under major industrial sections (machinery, marine, automotive). **Program Record Number:** RC TAB 175

Management of Information

Description: Information on the management of the information in CCS; system performance monitoring, performance standards and all data contained in the Customs Commercial System. **Topics:** Selectivity Committee; release and entry selection criteria; access to data and document retrieval for import analysis. **Access:** Files are indexed numerically and by subject file. **Program Record Number:** RC TAB 348

Management Systems and Services

Description: Information on various planning subjects, and analyses and reports concerning the Trade Administration Branch. **Topics:** Strategic, operational, financial, human resources, functional and systems planning, analysis and reporting; information management; and administration. **Access:** Records are filed by subject matter. **Program Record Number:** RC TAB 391

Policy and Administration

(Anti-dumping and Countervailing)

Description: Information on the development of Canadian legislation covering anti-dumping, countervail, surtax and the development and review of systems and procedures relating to the application of these programs; liaison with other Departments, other branches and divisions within Revenue Canada; foreign trade; and tax legislation. **Topics:** Legislation; policy and technical interpretation of anti-dumping and countervail programs; correspondence and manuals; documents relating departmental involvement with the General Agreement on Tariffs and Trade (GATT) World Trade Organization (WTO) Agreement; trade negotiations [Free Trade Agreement (FTA), North American Free Trade Agreement (NAFTA), Multilateral Steel Agreement (MSA)] and internal studies [Organization for Economic Co-operation and Development (OECD) study on trade and competition policies], studies and reviews on trade and tax legislation of foreign countries. **Program Record Number:** RC TAB 240

Policy and Administration (Valuation)

Description: Information on the development of Canadian legislation covering valuation and the development and review of systems relating to the administration of valuation; liaison with other Government Departments and other branches and divisions within Customs; valuation legislation, policies and practices of World Trade

Organization (WTO) signatories to the International Valuation Agreement. **Topics:** Legislation, policy and technical interpretation of the valuation program; correspondence and manuals; documents concerning departmental participation at General Agreement on Tariffs and Trade (GATT) World Trade Organization (WTO) Agreement meetings and at the Customs Cooperation Council (CCC)/World Customs Organization (WCO) Technical Committee on Customs Valuation meetings. Participation on Government Trade Agreement Initiatives (e.g. APEC, NAFTA, FTAA, Chile, etc.) **Program Record Number:** RC TAB 216

Remissions

Description: Information on the eligibility of companies and types of commodities for exemption or remission of duties and taxes under standing remission Orders-in-Council, special remissions, applications to the Interdepartmental Remission Committee and the development of Canadian legislation covering the remission program. **Topics:** Applications, background information, research data and decisions relating to standing remission Orders-in-Council; special remissions; Canadian goods abroad and temporary entry provisions. **Access:** Cases are filed by company name, subject and Order in Council number. **Program Record Number:** RC TAB 190

Rules of Origin

Description: Information on the determination of tariff treatment in accordance with the Canadian rules-of-origin regulations and trade agreements (the North American Free Trade Agreement in particular). **Topics:** Inquiries; reports; requests for information; verifications; investigations and final decisions on such things as entries; invoicing; preferential tariff; and direct shipment. **Access:** Files are arranged by subject and country. **Program Record Number:** RC TAB 180

Seizure Adjudication

Description: Information on seizures or ascertained forfeitures made under the Customs Act or the Excise Act for contravention of these or other Acts of Parliament for which the Department has administrative authority, on a case-by-case basis. Information retained includes the subject commodity, seizing officer's report, letters of appeal, formal notice of reasons for seizure or forfeiture and final decision. **Access:** Cases are filed by number and cross-indexed alphabetically by company or individual. Automated index records are retained for six years. Reports on unappealed seizures are retained for six months and case files on appealed seizures are retained for five years after the last activity. **Program Record Number:** RC TAB 250

Tariff Rulings and Appeals

Description: Information on the re-determination of tariff classification and the investigation of appeals under various major industry fields such as animal and vegetable products; chemicals, plastics and allied products; wood

and paper products; mineral, glass, stone and metal products; textiles, leather and clothing products; health and education products; toys and sporting goods; specialty products; machinery and electrical products, motor and other vehicles, ships and aircraft products, or issues surrounding the determination of the value for duty. Information on the request and issuance of National Customs Rulings and other policy clarifications. **Topics:** Correspondence used in determining and issuing rulings and advice on classification, appraisal or tariff relief matters; appeal documents; entries; Canadian International Trade Tribunal cases; court cases; Deputy Minister's decisions. **Access:** Files are arranged by harmonized system subheading number and/or tariff code number. **Program Record Number:** RC TAB 170

Tariffs

Description: Information on the overall functions and programs that concern the Tariff Programs Division. **Topics:** Machinery program; Auto Pact; temporary tariff items; prohibited goods, end-use privileges; Customs and Excise laboratory reports; industry complaints and reviews; and regional referrals. **Access:** Files are arranged by tariff item and commodity. **Program Record Number:** RC TAB 185

Valuation

Description: Information on the review, by Customs, of the value for duty of imported goods. **Topics:** Importer and exporter inquiries; review of accounting documents; instructions to importers; documentation, information on formal requests for re-appraisal. **Access:** Files are maintained by importer and commodity for review, and by region, year, number and date in case of formal requests. **Program Record Number:** RC TAB 215

◆ Verification, Enforcement and Compliance Research Branch

Audit File Selection and Computer Application

Description: Information on the planning and control of national audit file selection programs; computer-based audit selection systems (BFICS); computerized audit reporting systems; program tests in district offices and assistance provided to audit on computer applications; computer-assisted audit programs. **Topics:** Selection of files for audit by manual screening; selection of files for audit by computer screening; development of computerized file selection methods; comparative analyses of computer and non-computer selection processes; planning development and control of computer systems for recording audit results (T20ST system); statistical sampling techniques for use on tax audits; computer-assisted audit techniques. **Program Record Number:** RC VEC 196

Audit Operations Evaluation

Description: Information on audit production reports; analysis of audit results; and evaluation of district office audit operations. **Topics:** Evaluation criteria; statistical

analyses of audit production reports; follow-up of management audit reports relating to audit operations.

Program Record Number: RC VEC 211

Audit Programs — Planning, Development and Control of Programs; Resource Allocation

Description: Information on audit organization, objectives, coverage, work programs, allocation of resources and budget preparation, as well as administrative policy.

Topics: Planning and development of national audit programs; allocation of resources and budget preparation; instructions for processing completed audits and recording results; district office organization for audit positions; liaison with other head office divisions and district offices; tax statistics and corporate histories of public corporations; audit statistics of large corporations.

Program Record Number: RC VEC 193

Audit Publications

Description: Information on the preparation, coordination, publication and maintenance of manuals, handbooks, guidelines and other directives for the Audit Directorate; planning, development and presentation of technical training courses and seminars. **Topics:** Specific research files on each Taxation Operations Manual, handbook, information circular, branch letter, communiqué, and public and internal forms relating to audit; specific files on training courses and seminars; indexed reference manuals, handbooks and publications to sections of the Income Tax Act and Regulations; departmental policy. **Program Record Number:** RC VEC 226

Audit Research

Description: Information on the development of guidelines and techniques used to audit individuals, trusts, plans, business operations and specialized industries. **Topics:** Audit techniques for small, medium and large businesses; studies of specific industries and industry-wide tax audits; inter-company pricing referrals; industry specialist program. **Program Record Number:** RC VEC 216

Business Equity Valuations and Real Estate Appraisals

Description: Information on valuation and appraisal policy, procedures, budgets, work programs, and technical, operational and administrative guidance to field offices.

Topics: Miscellaneous programs and special projects; administrative and operational practices pertaining to file selections, reviews, appeals and tax calculations; operational and financial data with certain applications including landbanks; technical application of interpretations relating to valuations and appraisals for individual cases; provincial assessment information; tax cases with valuation and appraisal issues. **Program Record Number:** RC VEC 231

Competent Authority Double Taxation Cases

Description: Information on the resolution of double tax cases where both parties to a treaty may be proposing to tax the same income or have already taxed it (an effort is

made to resolve the problem between the two governments pursuant to provisions in the treaty); information on international joint audits. **Topics:** Separate case files for each competent authority request; case files for each simultaneous audit. **Program Record Number:** RC VEC 261

Compliance Research

Description: Information on policy and objectives for a research program on compliance with the requirements of the Income Tax Act. **Topics:** Liaison with other departments and organizations, public and private; policy formulation and revision. **Program Record Number:** RC VEC 268

Detection and Investigations

Description: Information specific to non-compliance, tax avoidance, tax evasion with respect to the Excise Tax Act, the Excise Act and the Income Tax Act. The information relates to the detection, identification and investigation of potential alleged and actual violation with respect to the provisions under the Excise Tax Act, Excise Act and Income Tax Act. **Topics:** Information pertaining to registrants, non-registrants, non-filers and taxpayers specific to non-compliance, tax avoidance, tax evasion and fraud. **Access:** Cases are filed by vendor (alphabetically), case file and enforcement file (numerically). **Program Record Number:** RC VEC 136

Exchange of Information under Tax Treaties

Description: Information relating to the exchange of information between Revenue Canada and foreign taxation authorities for carrying out the provisions of bilateral tax conventions or the domestic laws of the contracting states concerning taxes covered by the convention.

Topics: General information and correspondence on the Exchange of information procedure; requests to/from foreign governments for specific taxpayer information, with copies of information supplied; copies of the information exchanged spontaneously between the treaty partners; records relating to working arrangements and exchanges of information between foreign governments and Canada, concerning the simultaneous examination and audit of taxpayers carrying out activities in more than one country. **Program Record Number:** RC VEC 285

Excise Audit

Description: Information on the auditing of taxpayers' records, including audit reports, audit statistics, audit EDP programs, practices and methods, taxpayer inquiries and departmental operating procedures and policies. **Topics:** Policy directives to the regions; commodity coding; refunds; investigations; audit reports; licensee accounts and disputed assessments; duty audit programs on distilleries, breweries, wineries, bonded warehouses. **Access:** Filed by subject number. **Program Record Number:** RC VEC 045

Judicial Processes

Description: Information on legal guidance related to the preparation of cases for court; case library; legal

interpretations and jurisprudence arising from trials.

Topics: Liaison with district offices and Department of Justice; court rulings and judgements; legal interpretations; review of cases; court hearings; commissions. (Portions of this program record pertain to Personal Information Bank RC PPU 030 Tax Evasion Cases). When accessing information in this program record, please quote the "case name". **Program Record Number:** RC VEC 263

Management Services

Description: Information on Special Investigations coverage and work programs; production reports; statistical analysis of the special investigations activity and evaluation of district office operations. **Topics:** Liaison with other head office divisions and district offices; information and measurement systems; special investigation evaluation, results and statistics; production control. **Program Record Number:** RC VEC 265

Non-compliance Research — Audit Projects Development and Coordination

Description: Information on the reporting and coordination of audit projects on a national scale; research and identification of areas suitable for project activity; development of sources of information; collection, analysis, evaluation and dissemination of economic data on specific groups or classes of taxpayers; authorized exchanges of information. **Topics:** Audit techniques on specific projects; information on the results of each project on a national, regional and district office basis; sources and types of information; exchanges of information with other government institutions. **Program Record Number:** RC VEC 201

Non-Filers/Non-Registrants

Description: Information on computerized programs for action against delinquent taxpayers; and on entities registered for the GST and potential registrants for the GST; the objectives, work, duties and responsibilities of the Non-Filers/Non-Registrant sections and Compliance units; interdepartmental referral selection and investigation of non-filers and non-registrants; special projects; matching third-party information to income tax returns for the purpose of verifying income and registration requirements. **Topics:** Delinquent action — individuals, corporations, estate and trusts; application of penalties for repeated failures and false statements or omissions (sub-sections 163(1) and 163(2) of the Income Tax Act), assessments raised under 152(7) of the Income Tax Act; bankrupt filers, computerized delinquent action system (SUDS) and other non-filer reporting system (ICIS,T2 DELPAC); prosecution for failure to file income tax returns, special delinquent action projects, identification of non-filers and non-registrants, methods of obtaining compliance, and non-filers reporting system, other third-party information sources. **Program Record Number:** RC VEC 181

Policy Formulation and Staff Development

Description: Information on Special Investigations policy, objectives and technical training for criminal investigations. **Topics:** Liaison with other departments, head office divisions and district and regional offices; policy formulation and revision; technical training in criminal investigations. (Personal Information Bank RC PPU 030 Tax Evasion Cases, is applicable to the subject of technical training only). **Program Record Number:** RC VEC 262

Programs Operations

Description: Information on technical assistance to tax services offices on special investigations; case development and file review; search warrant requests; compliance projects; organized crime operations and publicity; and legal requirements of unnamed taxpayers. **Topics:** Liaison with provincial and foreign governments, federal departments, head office divisions and district offices; case files and reports; coordination of special investigations projects; search authorities and retention orders; voluntary disclosures and leads from informants; financial information (in tax services offices) relating to criminal illegal activities; publicity on completed investigations. **Program Record Number:** RC VEC 264

Tax Avoidance

Description: Information on the objectives, duties and responsibilities of the tax avoidance section; on tax avoidance arrangements; on the referral, selection, examination and appeal of cases; on case material; and on jurisprudence arising from case trials. **Topics:** Tax avoidance — general; rejected referrals; reserves; offshore companies; inter vivos trusts; motion picture films and videotapes; leverage leasing; resource industry financing; income splitting; loss companies; dividend stripping; developer/agencies; control from Canadian residents to non-residents; registered retirement savings plans (RRSPs); interest income and dividends; offshore insurance tax havens; professional sports; commissions to non-resident agents; management and personal corporations; commodity futures — straddles; selective dividends on special shares and artificial reduction of capital gains; associated corporations; Part II Tax; GAAR (General Anti Avoidance Rule). **Program Record Number:** RC VEC 256

Technical Applications, Reassessing Policies and Procedures

Description: Information on audit policy and procedures; the technical application of interpretations of the Income Tax Act and Regulations and departmental policy affecting the audit of income tax returns of corporations, trusts and self-employed individuals; technical enquiries received from district offices and taxpayers. **Topics:** Information on specific applications governing the reporting of revenue, costs, expenses and allowances by corporate and unincorporated businesses; operational and administrative communications to field operations; analysis of enquiries

on the application of legislation. **Program Record Number:** RC VEC 221

Technical Research and Non-Resident Tax

Description: Information on the technical application of interpretations regarding benefits and allowances under the Income Tax Act, and application of the Income Tax Act as it pertains to non-residents; recommendations for legislative changes; technical and administrative guidance to field offices; development of departmental, internal and public forms. **Topics:** Taxability of benefits and allowances; projects and enquiries (internal and public); technical application of the Income Tax Act as it pertains to non-residents and of Income Tax Regulation 105 as it applies to non-residents rendering services in Canada under Part I of the Act; summary of remuneration paid (T4A-NR return); return of amounts paid or credited to non-residents of Canada (NR4B return). **Program Record Number:** RC VEC 189

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Administration

Administration and Management Services

Budgets

Buildings

Buildings and Properties

Classification of Positions

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Lands

Occupational Health, Safety and Welfare

Office Appliances

Official Languages

Pensions and Insurance

Personnel

Procurement

Salaries and Wages

Staff Relations

Training and Development

Utilities

Personal Information Banks

◆ Appeals Branch

Appeals Regarding the Canada Pension Plan and the Employment Insurance Act

Description: This bank contains documents related to appeals of determinations or assessments relating to pensionable or insurable employment under the Canada Pension Plan and/or Employment Insurance Act. Included in each case file are such documents as applications; reports; working papers; evidence; correspondence; employee lists; questionnaires; pensionable and insurable earnings; decisions and judgments; any other records related to the case. **Class of Individuals:** Persons disagreeing with the Minister's decision. **Purpose:** The information compiled, on a case-by-case basis, is used to determine pensionable or insurable employment under the Canada Pension Plan and Employment Insurance Acts. **Consistent Uses:** The data assembled also assists Human Resources Development Canada in the administration of the Canada Pension Plan benefit distribution as well as payments under the Employment Insurance Act. **Retention and Disposal Standards:** Two years. **PAC Number:** 79-016 **Related to PR#:** HRD PPU 180; RC PPU 070 **TBS Registration:** 002770 **Bank Number:** RC PPU 130

◆ Assessment and Collections Branch

Advance Child Tax Credit (ACTC) Data Bank

Description: This data bank contains information on the taxpayers who meet the eligibility criteria for a prepayment cheque. It contains the information used to determine an individual's eligibility and the reasons why a cheque may have been withheld (e.g., debt owing to the Department, bankruptcy). In addition, it records any subsequent activity on an individual's ACTC account (e.g., cheque returned to the Department, additional cheque issued). This credit was discontinued after the 1992 taxation year. **Class of Individuals:** Individual taxpayers. **Purpose:** The purpose of this bank is to provide an up-to-date record of all the activities on an individual's ACTC account. **Consistent Uses:** This data bank enables the field staff, who is the principal user of the system, to reply to taxpayer's enquiries accurately and in a reasonable period of time. **Retention and Disposal Standards:** The information on the database will be maintained for a five-year period. **Related to PR#:** RC PSB 192 **TBS Registration:** 002205 **Bank Number:** RC PPU 064

Applicants for Refund of Federal Excise Tax on Gasoline

Description: Data include information respecting each claimant such as his social insurance number, his employee status and group. Individuals identified in this bank have filed a gasoline refund claim. Use of the social insurance number (SIN) is authorized by the Gasoline and Aviation Gasoline Excise Tax Application Regulations (SOR 85-322). The SIN is used as an identification number. Its use is not compulsory. **Class of Individuals:**

Members of the public who have filed a claim for a gasoline tax refund. **Purpose:** This bank exists for the purpose of refunding members of the general public making application under the Excise Tax Act, of the excise tax paid on gasoline used for business purposes. The bank is used for the purpose of examining individual claims for gasoline excise tax refunds, and may be used to inspect claimant's receipts and supporting documents related to such claims. **Retention and Disposal Standards:** Each claim is retained for a period of seven years and then destroyed. **PAC Number:** 80-022, 82-012 **Related to PR#:** RC RGO 050 **TBS Registration:** 000014 **Bank Number:** RC PPU 052

Application for Excise Tax Transportation Rebate

Description: Data include information about each claimant. Included are claimant's name or business name, address, telephone number, social insurance number (SIN), G.S.T. registration number, employer's Corporation Account (CORPAC) or Excise Account number where applicable, claimant status and type, official language preference for corresponding with the Department and information about amounts of refunds claimed and paid. Use of the social insurance number (SIN) is authorized by the Gasoline and Aviation Gasoline Excise Tax Application Regulations (SOR 85-322). The SIN is used as an identification number. Its use is not compulsory. **Class of Individuals:** Members of the public (individual or corporation) who have filed a claim for the transportation tax rebate. **Purpose:** The bank exists for the purpose of payment to members of the general public making applications under the Excise Tax Act for rebate of a portion of the excise tax paid on aviation fuel and/or diesel fuel purchased for transportation purposes in certain activities specified by the Excise Tax Act. **Retention and Disposal Standards:** Claims are retained for a period of seven years then destroyed. **Note:** This record was replaced by RC PPU 051. **PAC Number:** 80-022, 82-012 **Related to PR#:** RC RGO 055 **TBS Registration:** 003116 **Bank Number:** RC PPU 056

Application for licences under the provisions of the Excise Tax Act and the Excise Act

Description: Information on the licensing of taxpayers under the Excise Tax Act (application for Air Transportation Tax Licence, Manufacturer's Excise Tax Licence, Wholesaler's Tax Licence) and under the Excise Act (application for Brewery Licence, Distilleries Licence, Bonded Warehouse Licence, Druggist Licence, Manufacturer's in-bond Licence, Tobacco and Cigars Manufacturer's and Packers, Alcohol and by-products Licence and Chemical Stills Licence). Data includes names of sole proprietors, names of partners in a partnership, names of authorized corporate officers, contact persons names and titles, personal address and telephone number and official language preference for corresponding with the Department. The bank includes both corporate and personal information. **Class of Individuals:** Sole proprietorships, partners in a partnership, authorized corporate officers, contact persons. **Purpose:** This bank

is required to store personal information on taxpayers licensed under the Excise Tax Act and the Excise Act for the administrative purpose of creating and maintaining the taxroll. **Retention and Disposal Standards:** Active licensee information will be kept on an ongoing basis. Records on individuals who have ceased to be licensed are maintained for a period of 5 years. **PAC Number:** 82-012 **Related to PR#:** RC ACB 025, RC ACB 130 **TBS Registration:** 003128 **Bank Number:** RC PPU 062

Canada Pension Plan and Employment Insurance Rulings Documents

Description: This bank contains departmental forms such as CPT-1, CPT-2, working papers, and related documents such as contracts, statements from workers and payers, etc., that were used in making each individual ruling. Access will not be permitted without the necessary authorization and/or proof of identification, including a Social Insurance Number and signature. **Class of Individuals:** Individual workers and employers. **Purpose:** The purpose of this bank is to record rulings issued by Revenue Canada officials as to whether individuals are in pensionable or insurable employment under the Canada Pension Plan or the Employment Insurance Act respectively. This has a direct effect on the individual's entitlements to unemployment insurance benefits and eventual Canada Pension Plan benefits, and the related premium and contribution payments. **Retention and Disposal Standards:** Records are maintained for a period of three years (the current year and two preceding years). **TBS Registration:** 002020 **Bank Number:** RC PPU 070

Child Tax Benefit (CTB) Data Bank

Description: This bank contains information used to determine an individual's eligibility and records any subsequent activity on that individual's CTB account. **Class of Individuals:** Individual Tax Filers. **Purpose:** The purpose of this bank is to provide an accurate and current record of all activities on an individual's CTB account. **Consistent Uses:** This data bank enables authorized employees to reply to clients' enquiries accurately and quickly. **Retention and Disposal Standards:** The information on the active database will be retained for a seven year period. **Related to PR#:** RC TPB 126, 131 **TBS Registration:** 003210 **Bank Number:** RC PPU 063

Claims for Refund of Taxes Paid Under the Excise Tax Act

Description: Data include claimant's name, address, telephone number and reason a refund is being requested. **Class of Individuals:** Members of the general public. **Purpose:** The purpose of this bank is to maintain a record of the names and addresses of the claimants making application for refund of federal sales and/or excise taxes paid under the provisions of the Excise Tax Act. The bank is used to identify and support each amount of tax refunded. **Retention and Disposal Standards:** Records are maintained for a period of five

years then destroyed. **PAC Number:** 80-022 **TBS Registration:** 000013 **Bank Number:** RC PPU 046

Claims for Refund or Rebate of Taxes Paid Under the Excise Tax Act (G.S.T.)

Description: Data include claimant's name, address, telephone number, GST registration/business number and reason a refund or rebate is being requested. **Class of Individuals:** Members of the general public. This bank include both corporate and personal information. **Purpose:** The purpose of this bank is to maintain a record of the names and addresses of the claimants making application for rebate of Goods and Services Tax and/or refund of excise taxes paid under the provisions of the Excise Tax Act. The bank is used to identify and support each amount of tax rebated or refunded. **Consistent Uses:** Information in this bank, subject to a formal federal-provincial harmonization agreement, may also be shared with provincial authorities involved in the administration of the Goods and Services Tax Program. **Retention and Disposal Standards:** Records are maintained for a period of five years then destroyed. **PAC Number:** 82-012 **Related to PR#:** RC ACB 133 **TBS Registration:** 002753 **Bank Number:** RC PPU 091

Debts Written Off as Uncollectible

Description: Data include names, nature and amount of debt, the results of collection action taken, description of assets and disposition of proceeds. **Class of Individuals:** Members of the general public. **Purpose:** The purpose of this bank is to maintain a record of firms and individuals owing a debt to the Crown, on which all appropriate collection action has not resulted in successful collection of the debt. The bank is used by the Uncollectible Accounts Committee to approve recommendations for authority to delete accounts under existing legislation. The forms are presented to the Minister or the Treasury Board, as appropriate, depending on amount, for written authorization to write off the accounts. **Retention and Disposal Standards:** Case files are retained for seven years after action is completed, and then destroyed. Form (E157) Recommendation for Write-Off of Uncollectible Accounts retained in perpetuity. **PAC Number:** 80-022, 82-012 **TBS Registration:** 000016 **Bank Number:** RC PPU 060

Fairness Registry

Description: The Fairness Registry is a department-wide system which provides a point of entry data capture facility to record the details of all Fairness requests for relief of interest and penalties under the Fairness legislation and which retains this data in an online environment for retrieval and reference. **Class of Individuals:** Individuals, Corporations, Employers, Importers and Exporters, Estates and Trusts, GST filers and Non-residents. **Purpose:** The system was developed to provide a national method of gathering the relevant information in order to ensure appropriate application of the related legislation through its monitoring facilities and to support the production of both financial and non-financial reports. **Consistent Uses:**

The principle use of the registry is to record requests under the Fairness provisions for relief of interest and penalties. The Fairness Registry data and input from the various accounting systems are used by the "Statistical Tracking Analysis and Reporting System" (STARS) to produce financial reports accounting for relief actually granted under Fairness provisions, as is required by section 24.2 of the Financial Administration Act (FAA). A wide variety of other reports for monitoring purposes are also available through both STARS and directly through the Fairness Registry via the "Platinum Report Facility" (PRF)... **Retention and Disposal Standards:** The information on the active database will be retained for a five year period, then deleted. **Related to PR#:** RC ACB 192 **TBS Registration:** 003943 **Bank Number:** RC PPU 155

Federal Sales Tax Inventory Rebate Program

Description: Data include claimant's name, address, telephone number, GST registration number as well as information provided for GST registration such as annual sales volume and nature of business. **Class of Individuals:** Members of the public who will be filing a claim for a rebate of the federal sales tax content of inventories as at January 1, 1991. **Purpose:** The bank exists for the purpose of payment to Goods and Services Tax registrants who made applications under the Excise Tax Act for rebate of the federal sales tax content of qualifying inventories of goods on hand at January 1, 1991. **Consistent Uses:** Information in this bank, subject to a formal federal-provincial harmonization agreement, may also be shared with provincial authorities involved in the administration of the Goods and Services Tax program. **Retention and Disposal Standards:** Applications will be retained for a period of six years then destroyed. **PAC Number:** 82-012 **Related to PR#:** RC ACB 133 **TBS Registration:** 002754 **Bank Number:** RC PPU 086

Goods and Services Tax Credit (GSTC) Data Bank

Description: This data bank contains T1-GSTC, Federal Goods and Services Tax Credit application form information. Effective with the 1992 taxation year, the GSTC application form was discontinued. This information is now located on page 1 of the Individual Income Tax Return. It contains the information used to determine an individual's eligibility, all applicable financial transactions and the reasons why a cheque may have been withheld (e.g. Debt owing to the department, bankruptcy, deceased). In addition, it records any subsequent activity on an individuals GSTC account (e.g. cheque returned to the department, additional cheque issued). **Class of Individuals:** Individual Tax Filers. **Purpose:** The purpose of this bank is to provide an accurate record of all the activities on an individuals GSTC account for each tax year. **Consistent Uses:** This data bank enables departmental field staff, who are the principle users of the system, to reply to Tax Filers's enquiries accurately and quickly thereby increasing the level of service to tax filers. **Retention and Disposal Standards:** The information on the active data base will be retained for a four year

period. **TBS Registration:** 003016 **Bank Number:** RC PPU 140

Individual Income Tax Return

Description: This bank contains all information supplied by the taxpayer on the taxpayer's annual income tax return, as well as correspondence, third-party information and departmental working papers relating thereto. Access will not be permitted without authority and/or adequate proof of identification, including a Social Insurance Number and signature. **Class of Individuals:** Taxpayers.

Purpose: The purpose of this bank is to provide financial information required in the administration and enforcement of the Income Tax Act and Regulations of Canada and nine provinces, parts of the Canada Pension Plan, and the Employment Insurance Act and Regulations, for which this department is responsible. **Consistent Uses:** The principal use of this bank is to support the audit programs, enforcement procedures, and collection actions required, and to respond to taxpayers' enquiries regarding their income tax affairs. Use of selected information from this bank is made by Statistics Canada in light of entitlement prescribed in the Statistics Act, and by Consumer and Corporate Affairs Canada as prescribed in the Tax Rebate Discounting Act. Information received from employers and other sources is used to verify, for subsequent adjustment where necessary, income and deduction data reported by a taxpayer. **Retention and Disposal Standards:** Tax returns of all taxpayers are retained for the current tax year and the three taxation years immediately prior. **TBS Registration:** 002014 **Bank Number:** RC PPU 005

Information Returns (INFODEC) Data Bank

Description: This data bank contains information on individuals and businesses who are the recipient of funds or benefits. This information is reported to the Department by the payer through an information return. The types of information range from: salaries, wages, taxable benefits, self-employed commissions, annuities, retiring allowances, dispositions of publicly traded debt obligations (Stocks and options), various types of investment income such as interest, dividends, royalties; to benefits paid by Worker's Compensation Boards and Social Welfare Agencies. This is the data base which contains amounts withheld at source such as CCP, QPP, EI and tax. There is also information on fees, commission or other amounts paid to non-residents of Canada. There is basic identification information, such as name and address, on the payer.

Class of Individuals: Individual taxpayers and businesses.

Purpose: Provide the Department with information to ensure that individuals and businesses are accurately reporting income which is reflected on these slips (including T4 (various), T5, T5008). **Consistent Uses:** It is utilized for matching to individual and businesses returns to ensure they have reported amounts received as required. The programs utilizing this information are: Audit, Accounts Receivable, T1 Individual Income Tax Returns T2 Corporation Initial Assessment and Reassessment Programs. Comparisons are made with Human Resources Development data to determine if the

correct Employment Insurance and Canada/Quebec Pension Plan rates are recorded for calculating future benefits under these programs. **Retention and Disposal Standards:** The information is maintained active for the current plus two immediate years and inactive in the National Archives Federal Record Centres for a further one to four years, depending on the type of information return. **Related to PR#:** RC ACB 190, RC ACB 125, RC ACB 126 & RC ACB 131. **TBS Registration:** 003942 **Bank Number:** RC PPU 150

Petroleum and Gas Production Revenue Tax Accounts

Description: This data bank, which is maintained in the Ottawa Tax Centre, contains records of all payments of Part 1 Tax, and all interest and penalties assessed in accordance with the requirements of the Petroleum and Gas Revenue Tax Act. This information is recorded on ledger cards. **Class of Individuals:** Individual taxpayers.

Purpose: The purpose of this bank is to establish and maintain an up-to-date accounting record of transactions relating to the administration of the Petroleum and Gas Revenue Tax. **Consistent Uses:** Collection account advices, containing ledger card information, are prepared for district office collection officers to reference when enforcing payment of taxes by delinquent taxpayers. Access to information pertaining to these accounts will be permitted when adequate proof of identification is provided, e.g., an account number, signature and/or authorization from the taxpayer. **Retention and Disposal Standards:** Ledger cards are maintained for five years subsequent to the account becoming nil. **Related to PR#:** RC PSB 192 **TBS Registration:** 002203 **Bank Number:** RC PPU 068

Petroleum and Gas Revenue Tax Return

Description: This bank contains all information supplied by the taxpayer on his or her annual Petroleum and Gas Revenue Tax Return, as well as correspondence, third-party information and departmental working papers relating thereto. The taxpayer may be an individual, a trust, a corporation or some other business entity. **Class of Individuals:** Individuals filing Petroleum and Gas Revenue Tax Returns **Purpose:** The purpose of this bank is to provide financial information required in the administration and enforcement of the Petroleum and Gas Revenue Tax Act. These returns are retained for the current tax year and the four taxation years immediately prior. The principal use of this bank is to support the audit programs, enforcement procedures, and collections actions required, and to respond to the relevant taxpayers' enquiries regarding their petroleum and gas revenue tax affairs. Access will not be permitted without adequate proof of identification, including account number, signature and/or authority. **Retention and Disposal Standards:** Records in this bank are retained for five years. **Related to PR#:** RC PSB 139 **TBS Registration:** 003535 **Bank Number:** RC PPU 011

Problem Resolution Program

Description: This bank contains the names, telephone numbers and Identification Numbers (e.g. Social Insurance Numbers and Business Numbers) of taxpayers and businesses whose problems have been referred to the Problem Resolution Program in one of the Department's 43 Tax Services Offices and 7 Tax Centres. It also includes descriptions of the problems, and the action(s) taken to resolve them. Access will be provided upon proof of identification carrying the individual's or business' name, address and Identification Number and/or, in the case of a representative, proof of authorization. **Class of Individuals:** Canadian taxpayers. **Purpose:** The purpose of this information bank is to store information and analyze problem trends. **Retention and Disposal Standards:** Records are retained for two years. **TBS Registration:** 002024 **Bank Number:** RC PPU 115

Registrants for the Goods and Services Tax (GST)

Description: This bank contains information on individuals registered for the GST and potential registrants for the GST. The information includes names of sole proprietors, names of partners in a partnership, names of authorized corporate officers, contact persons' names and title, social insurance number where applicable for individuals, telephone number and official language preference for corresponding with the Department. The bank includes both corporate and personal information. **Class of Individuals:** Owners of sole proprietorships, partners in a partnership, authorized corporate officers, contact persons. **Purpose:** This bank is required to store personal information on registrants for the GST for the administrative purpose of creating and maintaining the taxroll. **Consistent Uses:** Information in this bank, subject to a formal federal-provincial harmonization agreement, may also be shared with provincial authorities involved in the administration of the Goods and Services Tax Program. **Retention and Disposal Standards:** Active registrant information will be kept on an ongoing basis. Records on individuals who have ceased to be registered are maintained for a period of 5 years. **PAC Number:** 82-012 **Related to PR#:** RC ACB 133, RC ACB 134 **TBS Registration:** 002664 **Bank Number:** RC PPU 066

Retirement Compensation

Arrangements Refundable Tax Accounts

Description: This data bank, which is maintained in the Winnipeg Tax Centre, contains records of all payments and assessments of Part XI.3 Tax, including all interest and penalties assessed in accordance with the requirements of the Income Tax Act. This information is recorded on an automated subledger system. **Class of Individuals:** Individual taxpayers. **Purpose:** The purpose of this bank is to establish and maintain an up-to-date accounting record of transactions related to the administration of the Income Tax Act, specifically Part XI.3. **Consistent Uses:** Collection Account Advices, containing subledger information, are prepared for District Office collections officers to reference when enforcing payment of taxes by delinquent taxpayers. Access to

information pertaining to these accounts will be permitted when adequate proof of identification is provided, e.g., account number, signature and/or authorization from the taxpayer. **Retention and Disposal Standards:** Subledger information is maintained for seven years subsequent to the accounts becoming nil. **Related to PR#:** RC PSB 192 **TBS Registration:** 002204 **Bank Number:** RC PPU 061

Revenue Accounting — Goods and Services Tax

Description: Information system pertaining to taxpayer name, address, telephone number, account number, value of taxable sales, tax collectible and remitted, input tax credits claimed, rebates claims, notices of assessment, taxpayer accounts. Debits and credits by taxpayer name from GST returns, remittance, penalties and interest. **Class of Individuals:** Owners of sole proprietorships, partners in a partnership, authorized corporate officers, contact persons. This bank includes both personal and corporate information. **Purpose:** Reporting of net tax collectible by registrants under the provisions of the Excise Tax Act (GST), capture data pertaining to rebates under the legislation. Over time, to be able to analyze financial data of GST. **Consistent Uses:** Information in this bank, subject to a formal federal-provincial harmonization agreement, may also be shared with provincial authorities involved in the administration of the Goods and Services Tax program. **Retention and Disposal Standards:** Records are kept for seven years then destroyed. **PAC Number:** 80-022, 82-012 **Related to PR#:** RC ACB 134 **TBS Registration:** 002755 **Bank Number:** RC PPU 080

Review and Control

Description: This bank consists of employer accounts and records of financial and non-financial transactions related to the withholding, remitting and reporting of employees' deductions of Income Tax, Canada Pension Plan and Employment Insurance. This includes assessments levied for unremitted amounts including interest and penalties. Access will not be permitted without adequate proof of identification, including Social Insurance Number, signature and/or authority. **Class of Individuals:** Individuals, proprietorships and partnerships. **Purpose:** This bank serves as a record of all accounting transactions with respect to the employees' deductions and the employers' remittances and reconciliation. The bank is maintained to complete post-routine balancing and enforcement activities between the employer and the Department. **Consistent Uses:** The account record is required in order to process CPP/UI adjustments and refunds and to assist in updating records of earnings and confirm the insurability of certain workers with other federal departments such as the Department of National Health and Welfare and the Department of Employment and Immigration. **Retention and Disposal Standards:** Information in this bank is retained for two years after the last transaction. **TBS Registration:** 001949 **Bank Number:** RC PPU 125

Source Deduction Audits

Description: This bank consists of completed payroll audits of employers on forms PD83; forms PD83-B-1, "Statement of Account"; auditors' working papers; form PD83-COLL (collection memo) listing assets, liabilities and ownership information; and forms PD82, "Request for Audit", and the supporting data for the request. Access will not be permitted without the necessary authorization and adequate proof of identification, including a Social Insurance Number and signature. **Class of Individuals:** Individuals, proprietorships and partnerships. **Purpose:** The purpose of obtaining this information is to ensure that employers properly deduct, remit and report amounts required to be withheld for income tax, Canada Pension Plan and employment insurance; to verify that matching contributions are made by employers; and to assess, where applicable, any deficiency. **Consistent Uses:** The information could be used as evidence for prosecution purposes in cases where delinquent action by employers has given rise to prosecution action. **Retention and Disposal Standards:** Information held in this bank is retained for two years after the last transaction. **Related to PR#:** RC PSB 188 **TBS Registration:** 001948 **Bank Number:** RC PPU 120

Special Returns by Plan Trusts (T3ATH-IND, T3D, T3H-IND, T3P, T3R-IND, T3RI, T3RIF-IND, T3S)

Description: This bank contain T3ATH-IND, Amateur Athlete Trust Information Return and Income Tax Return; T3D, Deferred Profit Sharing Plan or Revoked Plan Information Return and Income Tax Return; T3H-IND, Registered Home Ownership Savings Plan Individual Information Return and Income Tax Return; T3P, Employees' Pension Plan Information Return and Income Tax Return; T3R-IND, Registered Retirement Savings Plan Individual Information Return and Income Tax Return; T3RI, Registered Investment Information Return and Income Tax Return; T3RIF-IND, Registered Retirement Income Fund Individual Information Return and Income Tax Return; and T3S, Supplementary Unemployment Benefit Plan Information Return and Income Tax Return; and related correspondence. **Class of Individuals:** Beneficiaries of the trusts. **Purpose:** The purpose is to facilitate the assessment and collection of taxes owed by the trusts, and to support audit programs and enforcement procedures with regard to the investments of the trusts. Access will be permitted with adequate proof of identification, including Social Insurance Number, signature and/or authority. **Retention and Disposal Standards:** The returns are retained for the current taxation year and the four taxation years immediately prior. **Related to PR#:** RC PSB 139 **TBS Registration:** 003534 **Bank Number:** RC PPU 020

T3 Trust Information Return and Income Tax Return

Description: This bank contains all information supplied by every person acting in a fiduciary capacity, such as executor, trustee or administrator for a trust, as well as correspondence and departmental working papers relating thereto. **Class of Individuals:** Beneficiaries of

trusts. **Purpose:** The purpose of this bank is to provide financial information and enforcement of the Income Tax Act and Regulations, as they relate to a trust. The principal use of this bank is to support the audit programs, enforcement procedures, and/or collection actions required, and to respond to enquiries originating from executors, trustees or administrators of an estate or trust. Access will be permitted with adequate proof of identification, including Social Insurance Number, signature and/or authority. **Retention and Disposal Standards:** The returns are retained for the current taxation year and the four taxation years immediately prior. **Related to PR#:** RC PSB 139 **TBS Registration:** 003536 **Bank Number:** RC PPU 015

Taxation Centralized Individual Accounting and Collection Master File

Description: Records maintained in this bank are categorized by status (e.g. credit available, collection letter due) and include all financial transactions between individual taxpayers and the department. Access will not be permitted without adequate proof of identification including Social Insurance Number and/or authority. The ongoing master file is updated continuously. At year-end, the Records on the Masterfile are converted for processing in the New Year Format. Currently, no data is purged nor deleted from the file. Financial activities, including Assessments, are maintained on-line for all clients since the start up of the 1985 program year. Some data for processing years prior to 1985 is maintained on-line for those Client Accounts active at that time. **Class of Individuals:** Individual taxpayers. **Purpose:** The purpose of this bank is to account for T1 accounts receivable and instalment credits of individual taxpayers, and to carry out the collection of individual tax, penalty, interest and law costs related thereto. **Consistent Uses:** The principal use of this bank is to record amounts owed by individuals or any instalment payments being held for future use. Where applicable, information pertaining to a taxpayer's indebtedness is supplied to the taxpayer's debtors and the courts to facilitate attachment. **Retention and Disposal Standards:** Data related to an Individual Accounting Master is neither deleted nor purged, it is converted annually to the new Year Format. **Related to PR#:** RC PSB 190 **TBS Registration:** 002018 **Bank Number:** RC PPU 055

Taxation Rapid Information

Description: This bank contains selected data from the taxpayer master file and the centralized accounting and collections master file, e.g. identification, filing, assessment, refund data and amount, and tax accounting transactions and collection data. Coverage is restricted to individual taxpayers. **Class of Individuals:** Individual Tax Filers. **Purpose:** The purpose of this bank is to provide on-line access from district offices to individual taxpayers' computer records of their tax assessment, accounting and/or collection data. The principal use of this bank is to provide immediate responses to taxpayer enquiries received at any district tax services office. Access will

not be permitted without adequate proof of identification, including Social Insurance Number and/or authority. New rapid files are created every calendar year and updated as activity occurs in the "parent" files. **Retention and Disposal Standards:** Where the new file is created the old file is destroyed. **Related to PR#:** RC PSB 286 **TBS Registration:** 003540 **Bank Number:** RC PPU 045

Taxation Record of Individual Tax Accounts

Description: This bank consists of computer generated printouts, records of delinquent collection cases and information relating to assessments raised by collections. The information contained in the centralized individual accounting and collection system computer file is electronically passed to the Automated Collections and Source Deductions Enforcement System (ACSES). Collection officers note details of actions taken and/or planned based on information gathered from audits, third parties, legal opinions, court actions, personal contact, etc. In cases where such information is voluminous, or necessarily includes the retention of documents, the printout information may be supplemented with a collection file or docket. Access to this information in the ACS will be permitted when there is adequate proof of identification, including Social Insurance Number, signature and/or authority. **Class of Individuals:** Individual taxpayers. **Purpose:** The purpose of the bank is to maintain records which support and document collection activity. The information is used by collection officers in their enforcement duties regarding payment of taxes in cases where the accounts have been referred to district offices for possible legal action. Information pertaining to a taxpayer's indebtedness may be supplied to the taxpayer's debtors and the courts to facilitate attachment. **Retention and Disposal Standards:** Information in this bank is retained for two years after the account is paid in full. **TBS Registration:** 002017 **Bank Number:** RC PPU 050

Taxation Taxpayer Master File

Description: This bank serves as a depository for information on individuals which consists of name, address, account number and tax data. The tax data is available as current information, which commences with the 1987 year and historic information, which commences with the 1978 year. Access will not be permitted without the necessary authority and/or adequate proof of identification, including a Social Insurance Number and signature. **Class of Individuals:** Taxpayers **Purpose:** This bank provides statistical data for several analysis and support systems; provides and maintains a uniform account number system for taxpayer identification by using the Temporary Taxation Number, or Social Insurance Number which is used in Canada by the Central Index; and enables Revenue Canada to administer, under the approved authority, the Employment Insurance Act and Canada Pension Plan Act. The Social Insurance Number may be used to match information slips filed by employers and payers (such as banks, trustees, executors and administrators) with returns in this bank, for the purpose of income verification and identification of people who have not filed an income

tax return. The information in this bank concerns persons who have filed a tax return for a given year. The principal use of this bank is to assess T1 individual tax returns. Identification and income data is provided to Health and Welfare to verify claims for the Guaranteed Income Supplement Program, and to provide a Canada Pension Plan record of earnings. Information is exchanged with the Department of Immigration as provided for under the Canada Pension Plan Act and Unemployment Insurance Act. Use of selected information from this bank is made by Statistics Canada in light of entitlement prescribed in the Statistics Act. **Retention and Disposal Standards:** Records are maintained since 1977. **Related to PR#:** RC PSB 171, 276 **TBS Registration:** 003537 **Bank Number:** RC PPU 040

Tobacco Products Inventory Tax Under Part V of the Excise Tax Act

Description: Data includes registrant's name, address, telephone number, GST Registration number as well as information provided in the Tobacco Products Inventory Tax. **Class of Individuals:** Wholesalers and retailers involved in the sale of Tobacco Products. **Purpose:** Reporting of Net Tax Collectible, under Part V of the Excise Tax Act, for the Tobacco Products Inventory Tax. **Consistent Uses:** Financial analysis for advice to Department of Finance. **Retention and Disposal Standards:** Records are maintained for a period of seven years after project completion (3 years active — 4 years dormant). Documents will then be reviewed and destroyed. **TBS Registration:** 002913 **Bank Number:** RC PPU 047

Visitors Application for Rebate of Goods and Services Tax (G.S.T.) on Short-term Accommodation and Goods Purchased in Canada

Description: This bank contains information on individuals or corporations who filed an application for a rebate of the Goods and Services Tax on short-term accommodation and goods purchased while in Canada: world wide visitors to Canada as well as foreign and/or domestic tour operators, convention organizers or travel agents. The information may include family or business names and addresses, tourist travel expenditures, visit dates and the amount of G.S.T. rebated on short-term accommodation and goods purchased while in Canada. **Class of Individuals:** Visitors to Canada, tour operators, convention organizers, travel agents or authorized representatives. This bank includes both corporate and personal information. **Purpose:** This bank is used to store personal information that will be used in the administration of the Visitors Rebate Program and to identify spending, filing patterns, trends and other statistical information. **Consistent Uses:** Information in this bank, subject to a formal federal-provincial harmonization agreement, may also be shared with provincial authorities involved in the administration of the Goods and Services Tax Program. **Retention and Disposal Standards:** Records are maintained for a period of six years then destroyed. **PAC**

Number: 82-012 **Related to PR#:** RC ACB 129 **TBS Registration:** 003118 **Bank Number:** RC PPU 106

◆ Corporate Affairs Branch

Access to Information and Privacy Request Data Bank

Description: This bank contains the access requests sent by individuals filing applications under the Access to Information Act or the Privacy Act, the replies to such requests and information related to their processing.

Access will not be permitted without the necessary authorization and/or proof of identification and signature.

Class of Individuals: Members of the general public and employees of the Department submitting requests.

Purpose: Maintain a record of individuals who have made application under the Access to Information Act and the Privacy Act for records within the control of the Department of National Revenue and to report annually on the number of requests received. **Retention and Disposal Standards:** Files are kept for two years. **Related to PR#:** RC CAB 440

TBS Registration: 002022 **Bank Number:** RC PPU 105

Complaints and Representations Bank

Description: The bank contains the name, address, and telephone number of the person and agent, if any, lodging the complaint or making a representation with respect to any matter within the powers of the Department. Access will be provided upon proof of identification carrying the individual's name, address and Social Insurance Number (when requires) and/or, in the case of a representative, proof of authorization. **Class of Individuals:** Individuals who lodge complaints with, or make representations to the Deputy Minister. **Purpose:** The purpose of this bank is to maintain a record of the complaints lodged with, or representations made to, the Deputy Minister, and which have been registered in his office with respect to any matter within the powers of the Department. **Retention and Disposal Standards:** Manual files are retained for eight years. **TBS Registration:** 002023 **Bank Number:** RC PPU 110

Information Disclosed to Investigative Bodies

Description: This personal information bank contains a copy of access request or Treasury Board form 350-56(83/2): Request for Disclosure to Federal Investigative Bodies, forwarded by investigative bodies as listed in Schedule II of the Privacy Act under section 8(2)(e) of the same Act. These nation-wide requests are made concerning individuals for the purpose of law enforcement. The bank also contains the replies to such requests and gives particulars concerning information related to their processing. **Class of Individuals:** Individuals involved in investigations under the Criminal Code, federal and provincial statutes and municipal bylaws. **Purpose:** In order to account for the number of requests under section 8(2)(e) of the Privacy Act. **Consistent Uses:** This bank will allow for the auditing of the procedures utilized for the exchange of personal information with Investigative Bodies under section 8(2)(e) of the Privacy Act. **Retention and Disposal Standards:**

Two years and then destroyed. **PAC Number:** 80-022 **TBS Registration:** 001781 **Bank Number:** RC PPU 071

◆ Customs Border Services Branch

Applications for Customs Brokers Licence

Description: Information in this bank includes the qualifying examinations written by the applicant, an assessment by the Department of the suitability of the applicant to be a customs broker, and business information. **Class of Individuals:** Members of the general public. **Purpose:**

The purpose of this bank is to maintain a record of all persons who have applied to become customs brokers.

Retention and Disposal Standards: Files are retained for seven years after a licence is cancelled or an application rejected, then destroyed. **PAC Number:** 80-022 **Related to PR#:** RC CBS 135 **TBS Registration:** 000009 **Bank Number:** RC PPU 026

Assault Cases

Description: The bank consists of investigation reports and related correspondence as it relates to persons suspected of having committed an assault against customs officers. **Class of Individuals:** Members of the general public. **Purpose:** The purpose is to determine whether prosecution of the suspected individual is warranted. **Consistent Uses:** The information is used to provide ongoing statistical reports to senior management and regional management on the number and type of assault cases. **Retention and Disposal Standards:** Records are maintained for five years and destroyed. **PAC Number:** 80-022 **Related to PR#:** RC CBS 150 **TBS Registration:** 000006 **Bank Number:** RC PPU 010

Complaints

Description: The bank consists of investigation reports and replies to complaints from individuals who have experienced difficulties at customs. **Class of Individuals:** Members of the general public. **Purpose:** The purpose of this bank is to maintain a record of complaints related to personnel and procedures. **Consistent Uses:** To provide ongoing statistical reports to senior management and regional management on the number and types of complaints by mode and location. **Retention and Disposal Standards:** Files are retained for five years and destroyed. **PAC Number:** 80-022 **Related to PR#:** RC CBS 135 **TBS Registration:** 000005 **Bank Number:** RC PPU 006

Customs Intelligence Records

Description: The bank contains seizure records, personal information about individuals who are suspected or known to be violators of the laws enforced in whole or in part by departmental officials. The bank also contains information on corporations, companies and organizations that are known to have, or are suspected to have, been involved in offenses. **Class of Individuals:** Members of the general public. **Purpose:** This information is used by the Department, as well as by domestic and foreign law enforcement and investigative agencies, in the administration or enforcement of the law especially as it

concerns the laws relating to the importation and exportation of goods. **Consistent Uses:** In detection, prevention, or suppression of criminal activity and statistical purposes. **Retention and Disposal Standards:** Records will be retained for a minimum of six years and will then be destroyed. **PAC Number:** 80-022 **Related to PR#:** RC CBS 155 **TBS Registration:** 000007 **Bank Number:** RC PPU 016

Duty Free Shop Applicants (Land Border)

Description: This bank contains application packages submitted to the Department from individuals interested in operating a duty free shop at the land border and the Department's evaluation as to their suitability. **Class of Individuals:** Residents of Canada who are Canadian citizens or landed immigrants and who are from the small or medium business sector. **Purpose:** The purpose of this bank is to maintain application packages and related assessments. **Retention and Disposal Standards:** Files of unsuccessful applicants are retained for a period of two years, then destroyed, and files of successful applicants (operators) are retained for a period of ten years, then destroyed. **PAC Number:** 80-022 **Related to PR#:** RC CBS 275 **TBS Registration:** 000012 **Bank Number:** RC PPU 041

Investigations

Description: The information held in this bank consists of narrative investigation reports which describe the information that has led to a particular investigation and the subsequent steps taken. Coverage is restricted to persons and/or companies suspected of having committed infractions. This bank is automated and managed by computer software named CINOS. **Class of Individuals:** Members of the general public. **Purpose:** The purpose of this bank is to maintain records pertinent in the enforcement of the Customs Act and other laws pertaining to Customs. **Consistent Uses:** The information is used primarily to investigate possible, alleged or known infractions against the Customs Act and other related laws. **Retention and Disposal Standards:** Investigation reports are retained for ten years and then destroyed afterwards. **PAC Number:** 80-022 **Related to PR#:** RC CBS 150 **TBS Registration:** 000008 **Bank Number:** RC PPU 021

Pre-examination of Travellers — Application Information

Description: Travellers wishing to participate in a pre-approval exercise to determine whether they can be accepted into an expedited Customs clearance system, i.e. express lanes, special lanes, self-assessment of duties and taxes will apply on an application form and provide the following types of information: 1) Name; 2) DOB; 3) Address; 4) Telephone Number; 5) Type of Conveyance, if applicable; 6) Criminal Record; 7) Violation of Customs and/or Immigration Laws; 8) Family Members; 9) Citizenship. **Class of Individuals:** Canadian and United States permanent residents and citizens who travel across the border frequently. **Purpose:** To determine if an applicant can be approved to participate in an expedited

Customs clearance system. Approval and rejection is based on criteria developed by individual agencies participating in a system/exercise. The five primary agencies involved in expedited clearance systems would be: Canada Customs; Canada Immigration; Passport Office (an of Foreign Affairs and International Trade) United States Customs Service; United States Immigration and Naturalization Service. **Consistent Uses:** The information provided by each applicant is cautioned that information provided may be "shared" by all five participating agencies. Applicants are rejected/approved by each agency based on the same information provided by the applicant and their own individual rejection criteria. **Retention and Disposal Standards:** Files are retained for a period of two years. **TBS Registration:** 002788 **Bank Number:** RC PPU 042

Primary Automated Lookout System (P.A.L.S.)

Description: This bank consists of an index from the Policy Information Retrieval System (P.I.R.S.) which contains information on past and potential Customs violators. The Primary Automated Lookout System allows primary Customs Inspectors at all international airports to initiate name queries against a database of selected cases. **Class of Individuals:** Members of the general public. **Purpose:** The purpose of this bank is to assist Customs Inspectors in the screening process for international travellers and their goods. The information is used by the Department. **Consistent Uses:** The information is used primarily to identify individuals who have committed infractions against the Customs Act, Excise Act, the Export/Permits Control Act and Agriculture Canada. **Retention and Disposal Standards:** Records will be retained for a minimum of six years and will then be destroyed. **TBS Registration:** 003321 **Bank Number:** RC PPU 101

Traveller Declaration Cards

Description: The bank consists of records of all written declarations filed at Customs by travellers on arrival in Canada. **Class of Individuals:** General public. **Purpose:** To facilitate the Customs clearance process for all travellers and to enable monitoring of exemption claims made by residents of Canada. **Consistent Uses:** The bank provides a database for computer-assisted audits of infractions of double exemptions during the calendar year. **Retention and Disposal Standards:** Files are retained two years from date of last administrative action. **PAC Number:** 82-012 **TBS Registration:** 002271 **Bank Number:** RC PPU 043

Travellers Entry Processing System (TEPS)/ Travellers National Database System (TRANDS)

Description: This banks consists of information captured from an importers B15 — Casual Importation Entry. TEPS captures the information at the time of importation and TRANDS retrieves the information for management reporting purposes. The information consists of an importers name, address, commodity information and duty/tax summary. **Class of Individuals:** Members of the

general public. **Purpose:** TEPS — Assists the Customs Inspector in the assessment and collection of duties, taxes and other relevant data on travellers importation's. **TRANDS** — Provides B15 data for departmental queries. **Consistent Uses:** The information is used primarily to provide departmental, other government departments and outside agencies with financial and statistical data pertaining to travellers importation's. **Retention and Disposal Standards:** Records will be maintained for a minimum of two years plus current fiscal year and will then be destroyed. **TBS Registration:** 003778 **Bank Number:** RC PPU 145

Unpaid Accounts

Description: This bank contains records of those importers who have unpaid accounts. When an importer fails to account for customs duties payable on imported goods within a specified time limit, his name is circulated to ports of entry within that region and to other regions across Canada, depending on the circumstances. **Class of Individuals:** Members of the importing public. **Purpose:** The purpose of this bank is to maintain records of those importers who have unpaid accounts. The list of names is used to alert customs officials to detain the goods of those importers whose names appear thereon. **Retention and Disposal Standards:** Names are retained in this bank indeterminate. **PAC Number:** 80-022 **Related to PR#:** RC CBS 135 **TBS Registration:** 000010 **Bank Number:** RC PPU 031

Vessel Licences

Description: This bank contains the name, address and telephone number of the licence holder; and the length, breadth, depth, estimated tonnage of the vessel and details on the machinery when applicable. **Class of Individuals:** Actual and previous owners of small vessels. **Purpose:** The information in this bank is collected on behalf of Transport Canada and is used to issue licences to, and provide identification of, owners of small vessels operating in Canadian waters, rivers and lakes, and to meet the requirements of the Small Vessels Regulations. The information is also used for enforcement of safety regulations, search and rescue purposes, to provide safe boating information, etc. **Consistent Uses:** This bank is also used by: officers of federal and/or provincial departments for enforcing various statutes and regulations and the issue of special licences or permits; police authorities for enforcing safety regulations and identifying stolen and missing property and to provincial authorities related to the enforcement of sales tax provisions. **Retention and Disposal Standards:** Records are kept for ten (10) years [active five (5) years, dormant five (5) years]. **PAC Number:** 82-012 **Related to PR#:** RC ACB 135, DOT MSS 215 **TBS Registration:** 003129 **Bank Number:** RC PPU 099

◆ Finance and Administration Branch

Losses of Money and Damage Claims By and Against the Crown

Description: This bank contains names and addresses of persons involved in damage claims, losses of money including defalcations, and describes the circumstances in each case. **Class of Individuals:** Departmental employees and members of the general public. **Purpose:** The purpose of this bank is to maintain information involving claims by and against the Crown, losses of money suffered by her Majesty and offenses and other illegal acts against the Crown. The data is used to determine an equitable settlement in each case, and information may be provided to the Treasury Board, the RCMP and the Department of Justice. **Retention and Disposal Standards:** Individual files are maintained until the case comes to a conclusion. The information is then transferred to an amalgamated file which is retained for five years. **PAC Number:** 80-022 **TBS Registration:** 000017 **Bank Number:** RC PPU 067

Security Investigations

Description: Contains investigative reports and correspondence with respect to security incidents and allegations and threats against employees. This bank relates also to CMP PPU and RC PPE 803. **Class of Individuals:** Current or former employees and taxpayers. **Purpose:** The information is compiled to carry out necessary investigations and may be shared with other investigative agencies and/or police departments. **Consistent Uses:** Used to inform the Deputy Minister of security incidents and allegations and threats against employees. **Retention and Disposal Standards:** Records are retained for five years. **TBS Registration:** 002771 **Bank Number:** RC PPU 135

Service Contracts

Description: This bank contains the service contracts entered into by Revenue Canada offices nationally. **Class of Individuals:** Individuals who apply or are awarded professional service contracts with Revenue Canada. **Purpose:** The purpose of this bank is maintain a record of the terms and conditions of service contract along with the fees and payment schedule for procurement reporting and audit purposes. Access to these records will be provided to the parties concerned upon adequate proof of identification and/or authority. **Retention and Disposal Standards:** Records in this bank are maintained for seven years. **TBS Registration:** 003541 **Bank Number:** RC PPU 096

◆ Human Resources Branch

Customs Inspector Test

Description: This bank contains the results of ability tests taken by individuals who are considered in the selection of candidates to fill positions as Customs Inspectors with the Department of National Revenue (Customs & Excise). **Class of Individuals:** All individuals seeking Customs Inspectors positions with the Department of National

Revenue (Customs and Excise). Access will not be permitted without adequate proof of identification and/or authority. **Purpose:** This bank is used to compile test results for use in the selection of candidates to fill positions as Customs Inspectors with the Department.

Consistent Uses: Data in this bank is used for statistical analysis and for increased test validation. When used for these purposes, information in this bank is combined with that contained in standard bank RC PSE 902. **Retention and Disposal Standards:** Records are retained indefinitely since test results may be valid indefinitely. Test results may have to be disclosed for appeal purposes. **TBS Registration:** 002195 **Bank Number:** RC PPU 075

Tax Centres Recruiting System

Description: Information in the bank includes aptitude test results, home address, phone numbers, gender, education, year of birth, indication of any prior experience, language spoken and whether the applicant is a Canadian Armed Forces veteran or Canadian citizen. **Class of**

Individuals: Records in the bank apply only to those individuals who have written the aptitude tests for employment with the tax centres. Access will not be permitted without adequate proof of identification and/or authority. Requesters must specify the tax centre in which they worked or made application for employment.

Purpose: This information bank was established to provide the tax centres with a method of controlling, testing, and hiring term employees each year. Applicants for employment are ranked based on the results of aptitude tests. **Retention and Disposal Standards:** Records are retained for a period of two years. **TBS Registration:** 003539 **Bank Number:** RC PPU 100

♦ Policy and Legislation Branch

GST Letters (Headquarters and Tax Services Offices)

Description: This bank contains correspondence/repplies, prepared by Headquarter officers and officers in the Tax Services Offices, addressed to GST registrants or claimants in responses to their requests regarding the interpretation of a section, subsection, etc., of the Excise Tax Act, particularly the Goods and Service Tax (GST) part of the Act. These letters are stored electronically in a chronological order. **Class of Individuals:** GST registrants and claimants. **Purpose:** The purpose of this bank is to conduct quality assurance of correspondence addressed to the GST registrants and the GST claimants. This bank is also used as a research tool for officers that need to answer/interpret the Act. A "severed" bank of these rulings will also be available, at a cost, to whoever subscribes to the "Electronic Bulletin Board Service" (EBBS). **Retention and Disposal Standards:** The severed letters will be kept on the system for one year and then will be kept on a backup unit/disk as long as the GST program exists. **TBS Registration:** 003777 **Bank Number:** RC PPU 092

Income Tax Rulings Subject Matter Files

Description: This bank contains correspondence received from individuals requesting an interpretation of a section,

subsection, etc., of the Income Tax Act or another law related thereto and the replies sent by the Department of National Revenue. **Class of Individuals:** Members of the general public. **Purpose:** The purpose of the bank is to file correspondence from and to taxpayers on matters related to the Income Tax Act and Regulations. This bank is used for records retention and maintenance only. Access to a record will be provided upon proof of identification including name, address, signature and Social Insurance Number. In some instances, correspondence may be filed in the name of the taxpayer's representative. In these cases, the name and address of the representative should also be included on the Record Access Request Form. The subject matter and date of the requested correspondence is also required. **Retention and Disposal Standards:** Records in this bank are maintained for seven years.

Related to PR#: RC LIA 041, 046, 049 **TBS**

Registration: 003538 **Bank Number:** RC PPU 090

♦ Regional Operations

Application for Federal Fuel Tax Rebate

Description: Data include information about each claimant, bulk permit holder and registered vendor. Included are social insurance number, claimant status and type, employer number where applicable and information about amounts of refunds claimed and paid. Use of the social insurance number (SIN) is authorized by the Gasoline and Aviation Gasoline Excise Tax Application Regulations (SOR 85-322). The SIN is used as an identification number. Its use is not compulsory. **Class of Individuals:** Members of the public who have filed a claim for the fuel tax rebate, applied for a bulk permit or applied to be a registered vendor. **Purpose:** The banks exist for the purpose of payment to members of the general public making applications under the Excise Tax Act for rebate of a portion of the federal sales tax paid on gasoline and diesel fuel purchased for off-highway use in certain activities specified by the Excise Tax Act. **Retention and Disposal Standards:** Claims are retained for a period of seven years then destroyed. **PAC Number:** 80-022, 82-012 **Related to PR#:** RC RGO 055 **TBS Registration:** 000015 **Bank Number:** RC PPU 057

Requests for Refund of Federal Excise Tax on Gasoline by Registered Persons or Organizations

Description: Data include information about each claimant. Included are claimant's name, registered charities or athletic association's names, address, telephone number, tax registration number, employer's Corporation Account (CORPAC) issued by Revenue Canada Account number where applicable, claimant's status and type, official language preference for corresponding with the Department and information about amount of refund claimed and paid. **Class of Individuals:** Handicapped persons medically certified, to the extent that public transportation is hazardous to their health; Canadian Charitable Organizations or Canadian Amateur Athletic Associations registered with Revenue Canada who have filed a claim for a gasoline tax refund. This file include

both personal and corporate information. **Purpose:** This bank exists for the purpose of refunding individuals or registered bodies making application under the Excise Tax Act, the federal excise tax paid on gasoline. The bank is used for the purpose of examining individual claims for gasoline excise tax refunds, and may be used to inspect claimant's receipts and supporting documents related to such claims. **Retention and Disposal Standards:** Each claim is retained for a period of seven years and then destroyed. **PAC Number:** 80-022, 82-012 **Related to PR#:** RC RGO 050 **TBS Registration:** 003149 **Bank Number:** RC PPU 051

◆ Trade Administration Branch

Adjudications Records

Description: The bank contains records of goods seized from individuals or firms, and of ascertained forfeitures in cases where the goods are unobtainable, including descriptions of the goods, reason for seizure and the seizing officer's report. **Class of Individuals:** Importers, exporters and transportation companies. **Purpose:** The principal purpose of a Customs seizure or ascertained forfeiture record is to assist officers of the Adjudications Division in determining whether there is a contravention under the law and if the monetary terms assessed should be maintained or altered. **Retention and Disposal Standards:** Automated index records are retained for six years. Reports on unappealed seizures are retained for six months and case files on appealed seizures are retained for five years after the last activity. **PAC Number:** 80-022 **Related to PR#:** RC TAB 250 **TBS Registration:** 000011 **Bank Number:** RC PPU 036

◆ Verification, Enforcement and Compliance Research Branch

Detection and Investigations

Description: Data held in this bank consists of reports which describes the investigative process and techniques and information which led to a decision to pass on to other program areas such information specific to non-compliance, tax avoidance, tax evasion and fraud with respect to the provision under the Excise Tax Act, Excise Act and Income Tax Act. This bank is managed by computer software program. **Class of Individuals:** Registrant, non-registrants, non-filers and taxpayers who are or have been involved in non-compliance, tax avoidance, tax evasion and fraud with respect to the under the Excise Tax Act, Excise Act and Income Tax Act. **Purpose:** The purpose of this bank is to maintain records pertinent to the enforcement and the administration of the Excise Tax Act, Excise Act and Income Tax Act. **Consistent Uses:** The information is used primarily to investigate potential alleged or actual violations with respect to the provisions under the Excise Tax Act, Excise Act and Income Tax Act. Information in this bank, subject to a formal federal-provincial harmonization agreement, may also be shared with provincial authorities involved in the enforcement and administration of the Goods and Services Tax. **Retention and Disposal Standards:** Files

and related reports are retained for seven years after the case is closed or after the expiration of all appeals whichever is later. All other information collected for the enforcement and administration and enforcement of the Excise Tax Act, Excise Act and Income Tax Act, up to eight years and then destroyed. **PAC Number:** 80-022 **Related to PR#:** RC VEC 070, RC ACB 136 **TBS Registration:** 002752 **Bank Number:** RC PPU 095

Non-Compliance Identification Research Data

Description: This bank contains information relating to financial transactions of individuals, including names and addresses. It is used to assist in audit, investigative and other compliance actions taken under the Income Tax Act. **Class of Individuals:** Contributables **Purpose:** The purpose is to (1) detect non-filers of income tax and information returns and (2) confirm the correctness of reported taxable income. The information includes publicly available data obtained from federal, provincial and municipal governments, such as lists of shipping, Canadian civil aircraft registrations, provincial sales tax, property assessments, vehicle registries, municipal building permits, business licences, and business and city directories. It also includes information on some federal government subsidy and incentive programs, as well as information obtained in confidence from a variety of other sources in the public sector, such as marketing and distribution boards, colleges, and school boards. Some information is also obtained from the business and commercial sector regarding the purchase of goods and services, such as delivery of raw materials, removal of waste products, provision of security services and free-lance professional and administrative services. When the information described above is first collected, it is included in the Class of Personal Information described subsequently in this part of the Personal Information Index. Once organized for a compliance action, this personal information becomes part of this bank and is normally retrievable by name and some other personal identifier. Some of the information in this bank may subsequently be transferred to other established personal information banks. Access will not be permitted without the necessary authority and/or adequate proof of identification including a Social Insurance Number and signature. **Retention and Disposal Standards:** The retention period varies according to the record schedules of the general subject files in which they are stored. **Related to PR#:** RC PSB 181 **TBS Registration:** 003542 **Bank Number:** RC PPU 025

NR4B Summary and Supplementary — Non-Resident Information Returns

Description: This bank contains information returns, i.e. NR4B, NR-601, NR-602, and undertakings to file income tax returns by non-residents receiving rents from real property or receiving a timber royalty, i.e. NR-6, and documents to support an assessment notice to the Canadian payer or the non-resident recipient. Access will not be permitted without the necessary authorization and/or adequate proof of identification including a Social Insurance Number and signature. **Class of Individuals:**

Canadian payers making payments to non-resident beneficial owners of investment type income in Canada, including pensions, annuities and similar payments.

Purpose: The purpose of this bank is to maintain information returns filed by Canadian payers or disbursing agents who report income aggregating ten dollars or more, paid, credited or distributed to non-residents of Canada, which are taxable/non-taxable under Part XIII of the Income Tax Act. **Consistent Uses:** These records are also used for the purpose of verification of and compliance with the administrative requirements of Part XIII of the Income Tax Act. **Retention and Disposal Standards:** Records are maintained for a period of three years (current year and two preceding years). **Related to PR#:** RC TPB 189 **TBS Registration:** 002019 **Bank Number:** RC PPU 065

Tax Avoidance Cases

Description: This bank contains information relating to taxpayers involved in arrangements which were examined or are under examination to determine if tax was avoided. Records of the status of active examinations and the results of completed cases are included. Access will not be permitted without the necessary authority and/or adequate proof of identification, including a Social Insurance Number and signature. **Class of Individuals:** Taxpayers who are or have been under examination for tax avoidance. **Purpose:** The information is obtained to identify and examine tax avoidance arrangements and to take remedial action as required, by reassessment under the existing law, by recommending amendments to the law, or by recommending changes in administrative procedures and practices. **Retention and Disposal Standards:** Records are maintained for three years after the case is closed or expiration of all appeal periods, whichever is later. **Related to PR#:** RC PSB 256 **TBS Registration:** 002016 **Bank Number:** RC PPU 035

Tax Evasion Cases

Description: This information bank contains information relating to the personal and financial characteristics of taxpayers who are, or have been, under investigation for tax evasion. Records of the status of active investigations, completed cases and their results, current investigative projects, and records of individuals whose files have been referred for application of a penalty under subsection 163(1) of the Income Tax Act are included. **Class of Individuals:** Taxpayers who are or have been under investigation for tax evasion. **Purpose:** The bank is maintained in order to investigate cases of tax evasion. **Retention and Disposal Standards:** The head office records in this bank are maintained for seven years after the case is closed or after the expiration of all appeal periods, whichever is later; the field office records are maintained for ten years. **TBS Registration:** 002015 **Bank Number:** RC PPU 030

Taxation Competent Authority Cases

Description: Competent Authority Cases are records of negotiations with foreign countries with respect to double

taxation. These files contain information provided by the foreign country, taxation, the taxpayer and/or his agent. Access will be provided upon proof of identification including name, address and Social Insurance Number and/or, in the case of a representative, proof of authorization. **Class of Individuals:** Individuals subjected to actual or potential double taxation, related individuals and certain unrelated individuals used for comparative purposes. **Purpose:** The information is compiled to discuss and resolve competent authority cases. **Consistent Uses:** Information may be used to deal with similar and comparable cases. Information may be disclosed to foreign or provincial governments to the extent that it is relevant in dealing with a particular taxpayer's double tax problem. **Retention and Disposal Standards:** Records in this bank are retained for ten calendar years. **Related to PR#:** RC PSB 261 **TBS Registration:** 002021 **Bank Number:** RC PPU 085

Manuals

- Accounts Correspondence Procedures
- Activity Management System (AMS) Manual
- Allocations and Operating Budgets
- Appeals Management, Tax
- Appeals Officer, Tax
- Appeals Support Staff, Tax
- Assessments Control
- Audit
- Audit Manual — Excise Audit
- Audit Report Generated Online (ARGO)
- Audit Review
- Audit Techniques
- CADEX Participants Requirements Document
- Canada Pension Plan and Employment Insurance Coverage
- Canadian System of Alcoholometry and Tables
- Cash
- Cash Control
- Cash Processing
- CCS Contingency Manual
- CCS Functional Specifications
- CINDAC (Centralized Individual Accounting and Collections System)
- Collections
- Computer Output Control System
- Corporation Data Analysis
- Cost Recovery
- CREDITS (Casual Refund Electronic Data Interchange Transaction System) Participants Requirements Document
- CUSDEC Participants Requirements Document
- Customs Commercial System (CCS) User Manual

- Customs Enforcement Manual
- Data Capture
- DCOM 57 (CINDAC Mismatch and Combine Procedures)
- DCOM 58 (Procedures for Processing Returned Cheques)
- Departmental Administrative Management Manual
- Departmental Consolidation of the Customs Tariff
- Departmental File Classification System
- Departmental Personnel Manual
- Departmental Security
- Director General
- Document Control
- Drawback Officers Manual
- Duties Relief Administration Manual
- Enquiries and Information
- Excise Collections Policy and Procedures Manual
- Excise Duty Policy and Procedures Manual
- Excise Fuel Tax Rebates Field Procedures Manual
- Excise Fuel Tax Rebates Headquarters Operations
- Excise Gasoline Tax Refunds Field Procedures Manual
- Excise Gasoline Tax Refunds Headquarters Operations Procedures Manual
- Excise Licensing Policy and Procedures Manual
- Excise Revenue Accounting Policy and Procedures Manual
- Excise/GST Operating Manual — Appeals
- Expenditure Processing
- Family Orders and Agreements Enforcement
- Finance and Administration Branch Manual
- General Ledger (General Ledger Procedures in Taxation Centres)
- General Ledger (Revenue Control Accounting)
- Guidelines on Ministerial Determinations and Appeals — Canada Pension Plan, Employment Insurance Act
- Handbook for Project Managers
- Headquarters' Procedures Manual
- IBM PC Operator's Instructions
- Income Tax Agreements
- Information and Public Relations
- Initial Assessing and Reassessing of T2 Returns
- Initial Assessing and Reassessing of T3 Returns
- Initial Assessing and the Assessing of T1 Returns
- Intelligence Officers' Manual
- Interest Calculations
- Internal Audit and Evaluation
- Internal Auditor's Manual
- IPS-Information Processing System
- Litigation Procedural Manual
- Mail and Messenger Services
- Matching
- Material Management
- Micrographics
- Mismatch
- Non-Resident Tax
- North American Free Trade Agreement (NAFTA) Verification Manual
- Objections and Appeals
- PAYDAC Operations (Payroll Deductions System)
- Payroll Audit
- PCS's Staffing Information Manual
- Personnel Management
- Personnel Pay Input Manual
- Personnel Procedures
- Petroleum and Gas Revenue Tax
- PICS Contingency Manual
- PICS Functional Specifications
- Police Information Retrieval System — Reference Manual
- Post Audit Procedures Manual
- Postal Import Control Systems (PICS) User Manual
- Premises
- Procedures Manual — Valuation Division
- Program Evaluation Manual
- Quality Review
- RAPID (Procedures for IBM Network Terminals)
- Reassessment System
- Records
- Refunds Manual
- Regional Customs Valuation Procedures Manual
- Regional Valuation Procedures Manual
- Registered Pension Plans — Coding Manual
- Registered Pension Plans — Procedures Manual (RPM1)
- Registered Pension Plans — RRSP and RRIF Manuals
- Registered Pension Plans — Technical Manual (RPM2)
- Registry — Corporations
- Registry — Individuals
- Registry — Information Returns
- Release of Information
- Remission Officers Procedures Manual
- Requirements for Returns and Information
- Resource Information Management
- Resource Management
- Resource Projections

- Returned Cheques
- Revenue Accounting
- Revenue Canada Human Resources Policy Manual
- Revenue Canada Operational Plan Framework
- RNS (Release Notification System) Participants Requirements Document
- Sorting and Numbering
- Source Deductions Reporting
- Special Import Measures Act Handbook
- Special Import Measures Act Verification Handbook
- Special Investigations — Taxation Operations Manual
- Special Investigations Policy and Procedures Manual — Excise
- Statement of Operating Principles
- Statistical Transcribers Algorithm
- Subject File Classification Manual
- Submissions to Resouce Management Committee/Treasury Board
- Systems Directorate
- T2 Error Corrections
- T2 Interest Calculation
- T2 Mismatches and Combines
- Tax Avoidance
- Tax Forms, Printing, Publishing, Distribution, and Forms
- Tax Interpretations Procedures Manual
- Tracing
- Treasury Board Personnel Management Manual
- United States Tariff Treatment Procedures Manual
- Valuations and Projects
- Word Processing

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Department and its various programs and services may be directed to:

Revenue Canada
Communications Branch
3rd Floor, 123 Slater Street
Ottawa, Ontario
K1A 0L8

(613) 957-8523

Reading Room

In accordance with the Access to Information Act, Revenue Canada, has established public reading rooms, they can be found at different locations across Canada.

They are opened to the public (Monday through Friday — 08:15 to 17:00) and contain copies of Taxation Operations Manuals, Information Circulars, Interpretations Bulletins, the Access to Information and Privacy Acts, InfoSource and request forms. Reading rooms attendants are available to help individuals seeking information and to complete the request forms.

Atlantic Region

Tax Services Offices: St. John's, Charlottetown, Halifax, Sydney and Saint John.

Customs Regional Coordinator located in Halifax.

Northern Ontario Region

Tax Services Offices: Ottawa, Kingston, Belleville, Sudbury and Thunder Bay.

Customs Regional Coordinator located in Ottawa.

Pacific Region

Tax Services Offices: Penticton, Vancouver and Victoria.

Tax Centre: Surrey.

Customs Regional Coordinators located in Vancouver and Burnaby.

Prairies Region

Tax Services Offices: Winnipeg, Regina, Saskatoon, Calgary and Edmonton.

Customs Regional Coordinators located in Winnipeg and Calgary.

Quebec Region

Tax Services Offices: Quebec city, Montréal, Rouyn, Sherbrooke and Laval.

Tax Centres: Jonquière and Shawinigan.

Customs Coordinators located in Quebec city and Montréal.

Southern Ontario Region

Tax Services Offices: Toronto, Hamilton, Kitchener, St. Catharines, London, Windsor and Scarborough.

Customs Regional Coordinators located in Toronto, London, Hamilton and Windsor.

Royal Canadian Mint

Chapter 90

General Information

Background

The Ottawa Mint was originally established in 1908 as a branch of the Royal Mint of London, England. On December 1, 1931, an Act of Parliament established the Royal Canadian Mint as a branch of the Department of Finance. On April 1, 1969, the Mint became a Crown Corporation and now reports to Parliament through the Minister of Public Works and Government Services. The Mint is classified as a Schedule III-II Crown Corporation. Its Head Office is in Ottawa, Ontario. Its two plants are located in Ottawa, Ontario and Winnipeg, Manitoba.

Responsibilities

The Mint's primary purpose is to produce and arrange for the production and supply of circulating coins of the currency of Canada. It also competes on the world market for contracts to produce circulating and numismatic coins and other devices such as trade dollars for foreign governments and private firms. The Mint also operates a refinery to melt, assay and refine gold and other metals.

Legislation

- Royal Canadian Mint Act

Organization

OFFICE OF THE MASTER OF THE MINT

The principal officer of the Mint is the Master (president and chief executive officer), who is also a member of the Board of Directors. The Master is supported by four vice presidents: Administration and Finance; Corporate Affairs; Manufacturing; and, Sales and Services and by three Executive Directors: Marketing and Communications; Human Resources; and Legal Services.

Administration and Finance

This division is responsible for financial analysis and reporting, treasury management and financing, risk management, information technology and systems, procurement of materials and services, and records management.

Corporate Affairs

This division is responsible for corporate planning, corporate policies, managing special projects in support of the Royal Canadian Mint's mission and goals, and establishing and maintaining liaison with central agencies of government.

Manufacturing

This division has the responsibility for the production of coinage, medals, tokens, trade dollars and other devices. Two plants operate under this division. The Winnipeg Plant produces Canadian and foreign circulation coinage. The Ottawa Plant produces Canadian and foreign numismatic and bullion coins as well as medals, tokens, trade dollars and other devices. In addition, the Ottawa Plant operates the Mint's refinery, which refines precious metals for mines, jewellers, banks and other institutions, both Canadian and foreign.

Sales and Services

This section is responsible for planning, organizing and directing sales of Mint products and services in both domestic and foreign markets. It develops sales strategies, and is responsible for obtaining and negotiating contracts for refinery services, medals, tokens and trade dollars, as well as contracts with foreign countries for the supply of their circulation and numismatic coinage. This section develops and manages all aspects of a customer-driven automated order fulfillment and customer service operation.

Marketing and Communications

This section plans, organizes and directs all Canadian numismatic and bullion coin programs by developing short and long range objectives; develops and recommends marketing strategies for Mint products, assists in the national and international promotion of Canadian numismatic and bullion coin programs; coordinates the coin design program for each new Canadian numismatic coin. The section is also responsible for the advertisement campaigns for the Canadian numismatic and bullion coin programs including the development of integrated direct marketing strategies that will maximize sales volumes and achieve revenue objectives, as well as new customer acquisitions. It is also responsible for planning, developing and coordinating direct marketing campaigns for numismatic coins to the Royal Canadian Mint house file as well as consumers from other sources. Another aspect of the Marketing Section is the research and development function, which surveys and reports on present and future markets for Mint products.

The Communications side develops and directs the Mint's corporate communications program. It informs the public on policies and programs of the Mint and is responsible for all media relations nationally and internationally.

♦ Human Resources

This section is a strategic function, dealing primarily with business human resources issues and daily operational

activities. Its main responsibilities in terms of strategic partnerships is to provide guidance and advice to its clients in terms of human resources planning, which encompasses training and professional development, recruitment and staffing, succession planning, rewards and recognition programs, performance appraisal program and negotiations of collective bargaining. With respect to daily operational activities, it is responsible for pay, benefits and other compensation practices, employee counselling, the employee assistance program, the Health and Safety program, and translation services.

◆ Legal Services

This section is responsible for providing legal counsel and advice in all legal matters affecting the Corporation, and provides secretariat services to the Board of Directors of the Corporation. The section is also responsible for matters with respect to the Access to Information Act and Privacy Acts.

Information Holdings

Program Records

Advertising

Description: Newspaper and magazine advertisements; marketing and advertising firms used to advertise and promote Canadian numismatic and bullion coin programs worldwide. **Topics:** Advertisements; cost comparisons; budget; brochures; posters; counter cards. **Program Record Number:** RCM MRD 035

Assay

Description: Information on the testing of bullion, jewellery, refined bullion, to determine the quality of precious metals present; analyses of chemicals and metals. **Program Record Number:** RCM MAD 100

Canadian Circulating Coin

Description: Control and issue of Canadian circulating coin to banks on instruction from the Minister of Finance. **Program Record Number:** RCM MAD 085

Canadian Numismatic Products

Description: Information on the design, marketing and distribution of Canadian numismatic products; transactions between the Mint and its distributing agents and dealers. **Topics:** Canadian and foreign distributing agents and dealers; contract cost comparisons; requirement forecasts; sales records; correspondence with Canadian artists; coin designs; coin design competitions and verification of shipment. **Program Record Number:** RCM MRD 030

Circulating Coinage

Description: Information concerning changes in metal content and design of circulating coinage. **Topics:**

Changes in alloys and specifications, surveys and reports.

Program Record Number: RCM MAD 051

Coins

Description: Daily ledgers on the cutting and weighing of the blanks; striking and processing domestic and numismatic coins. **Topics:** Melting; rolling; blanking; rimming; annealing; striking; examining; telling. **Program Record Number:** RCM MAD 050

Communications

Description: Information on the administration of public affairs and relations; publicity both nationally and internationally. **Topics:** Exhibits; fairs; films and slides; photographs; portable displays; press conferences; press releases; launches; annual reports; reports and surveys. **Program Record Number:** RCM MRD 025

Design Engraving

Description: Information on methods and procedures used in the inscription or ornamentation of prints or designs upon hard surfaces to produce models, master matrices and master punches which are later used in the striking of coins, medals, etc. **Topics:** Badges; coins; dies; drawings; equipment; medals; metrication; symbols. **Program Record Number:** RCM MAD 065

Direct Mail Orders Processing

Description: Records on the reception and processing of direct mail orders for Mint processes. **Topics:** Orders fulfilment, distribution and verification of shipments. **Program Record Number:** RCM MRD 031

Engineering

Description: Information on the design, construction and maintenance of machines and tooling implements; repairs and alterations of buildings; product specifications; plans and drawings. **Program Record Number:** RCM MAD 060

Foreign Contracts

Description: Information on the procurement and fulfilment of contracts with foreign countries for the manufacture of their domestic coin and numismatic products. **Topics:** Commemorative and domestic coins; special coin programs. **Program Record Number:** RCM MRD 011

Maple Leaf Bullion Coins

Description: Information on the manufacture of the Maple Leaf bullion and the promotion of this special program. **Topics:** Advertising; marketing; production; retailing; sales. **Program Record Number:** RCM MRD 015

Medals, Tokens and Other Devices

Description: Information on contracts for the manufacture of medals, trade dollars, tokens and other devices. **Topics:** Medals; medallions; trade dollars; tokens; jewellery; plaques; paperweights. **Program Record Number:** RCM MRD 037

Metals and Alloys Research

Description: Information on the use of metals, precious metals, and alloys in the production of Mint products; updates on new alloys and their possible applications to Mint products. **Program Record Number:** RCM MAD 045

Patent Rights

Description: Patent rights and registrations, studies and surveys. **Topics:** Patent rights and licences, permission to use copyright material; counterfeit coins; defacement of coins; coin facsimiles; reports and surveys. **Program Record Number:** RCM MRD 036

Precious Metals

Description: Information on the procedures for receiving and processing precious metal bullion and coordinating bullion transactions between the Mint and mines, jewellers and manufacturers. **Topics:** Gold, platinum and silver receiving; fine gold, platinum and silver storage; shipping of fine products. **Program Record Number:** RCM MAD 075

Quality Assurance

Description: Information concerning quality standards of Mint products; metals stress testing for quality of production supplies and materials. **Topics:** Numismatic products; precious metals, metals, and metal alloys; blanks; dies; tooling. **Program Record Number:** RCM MAD 095

Refinery Sales

Description: Information on negotiations with Canadian and foreign mines and precious metals dealers for contracts for the Royal Canadian Mint refining services. **Topics:** Gold and silver bullion; gold bars; granulated gold and silver. **Program Record Number:** RCM MRD 020

Refinery Services

Description: Information concerning the receipt, weighing, and refining of precious metal bullion, scrap jewellery, placer deposits, and fused metals; accumulation and sale of sweeps. **Program Record Number:** RCM MAD 105

Research and Development

Description: Research reports on present and future markets for Mint products and services. **Topics:** Studies; reports; surveys. **Program Record Number:** RCM MRD 006

Technical Services

Description: Information relating to repairs and maintenance of machinery and equipment, utilities, building renovations and maintenance, and the manufacture of dies and tooling used in the minting processes. **Topics:** Trades such as carpentry, blacksmithing, electrical, plumbing, tool and die making. **Program Record Number:** RCM MAD 061

Vault and Shipping

Description: Inventories and control of issue of finished numismatic coins and coin sets; assembling, packaging,

and shipping of numismatic products. **Program Record Number:** RCM MAD 080

Worn and Mutilated Coin

Description: Shipments of fused and mutilated coin received from banks. **Program Record Number:** RCM MAD 090

Personal Information Banks

Access to Information and Privacy Requests Data Bank

Description: This bank contains the Access to Information Request Forms and Personal Information Request Forms sent by individuals making requests under the Access to Information Act or Privacy Act, the replies to such requests and information related to their processing. **Class of Individuals:** Members of the general public and employees of the corporation. **Purpose:** The purpose of this bank is to maintain a record of individuals who have made formal requests for information under the Access to Information Act or Privacy Act. **Consistent Uses:** The data in this bank is used to process access requests and to report on the number of access requests received annually. **Retention and Disposal Standards:** Files are retained for a period of two years following their closure and are then destroyed. **TBS Registration:** 002488 **Bank Number:** RCM PPU 025

Direct Mail Marketing — Computerized Mail Master

Description: Information in this bank includes customer name and address, customer number, and language. **Class of Individuals:** This bank may be accessed by any one of the following identifiers: customer name, customer number, or postal code. **Purpose:** The purpose of this bank is to maintain a list of direct mail customers for Royal Canadian Mint numismatic products. **Consistent Uses:** This information is used to comprise a listing of purchasers of coins for future solicitation through a direct mail approach. This file is also utilized for name, address, and order status information required in the order fulfilment process and to answer enquiries from customers concerning their particular order. **Retention and Disposal Standards:** Records are retained for two years after the most recent purchase. **TBS Registration:** 003365 **Bank Number:** RCM PPU 015

Mail Order Fulfilment — Computerized Order Master

Description: Information in this bank includes customer name and address, product and quantity ordered, amount and method of payment, payment identification number, address and method for shipping. **Class of Individuals:** Information may be accessed by any one of customer name, customer number, order number or postal code. **Purpose:** The purpose of this bank is to capture, update and report direct mail orders for Royal Canadian Mint coins. **Consistent Uses:** This information is used to record direct mail orders for coins, payment data related to orders for financial reporting, shipping documentation on fully paid orders, and names and

addresses of collectors for future solicitations. **Retention and Disposal Standards:** It is retained for two years after the close of the applicable numismatic program. **TBS Registration:** 003364 **Bank Number:** RCM PPU 010

Mail Order Fulfilment — Customer Relations

Description: This information includes the customer's name and address. Where an order for a product is concerned, it will also include the telephone number, charge account number or other method of payment, product and quantity ordered. **Class of Individuals:** Information is accessed by the customer number, assigned by the Mail Master. **Purpose:** The purpose of this bank is to record service provided to purchasers of Royal Canadian Mint coins and answer enquiries from the general public concerning numismatic programs. **Consistent Uses:** This information is used to aid in processing and tracing orders in cases of delay or loss. **Retention and Disposal Standards:** The information is destroyed two years from the end of a coin program or when enquiries cease. **TBS Registration:** 003366 **Bank Number:** RCM PPU 020

Mail Order Fulfilment — Individual

Description: Information in these files includes the original order, customer name and address, product and quantity ordered, amount and method of payment, payment identification number, address and method employed for shipping. **Class of Individuals:** Information may be accessed by any one of customer name, customer number, order number or postal code. **Purpose:** The purpose of this bank is to record direct mail orders for Royal Canadian Mint coins. **Consistent Uses:** This information is used to initiate fulfilment, distribution, and verification of orders for coins. **Retention and Disposal**

Standards: The information is retained for one year from the closing date of each numismatic program. **TBS Registration:** 003363 **Bank Number:** RCM PPU 005

Manuals

- Corporate Policies Manual
- Financial Reporting and Coding Manual
- Procedures Manual — Administration and Finance

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Royal Canadian Mint and its various programs and functions may be directed to:

Communications
Royal Canadian Mint
320 Sussex Drive
Ottawa, Ontario
K1A 0G8
(613) 993-2239

Reading Room

The Royal Canadian Mint public reading room is situated at the following address:

320 Sussex Drive
Ottawa, Ontario

Royal Canadian Mounted Police

Chapter 91

General Information

Background

The Royal Canadian Mounted Police was formed in 1873, under an Act of Parliament.

Responsibilities

The Royal Canadian Mounted Police enforces laws throughout Canada made by or under the authority of Parliament. Administration of justice within the provinces, including enforcement of the Criminal Code, is the responsibility of provincial governments. The RCMP has contract agreements with the two territories and all provinces, except Ontario and Quebec, to enforce criminal, territorial and provincial laws, pursuant to section 20 of the RCMP Act.

Legislation

- Criminal Code
- Most federal statutes
- Municipal bylaws under contract
- Provincial laws under contract
- Territorial laws under contract

Organization

In accordance with the Canadian Royal Canadian Mounted Police Act, the Commissioner, under the direction of the Solicitor General of Canada, is responsible for the control and management of the RCMP. Four Deputy Commissioners manage the following activities at Headquarters: Operations, National Support Services, Corporate Management and Human Resources. Each Deputy Commissioner is assisted by Directors who manage their respective sub-activities.

The headquarters of the RCMP is located in Ottawa. The RCMP is divided into divisions, each division being roughly responsible for a province or territory. These divisions are alphabetically designated and each is further divided into subdivisions and detachments.

Specialized support is offered to the operational divisions by Air, Marine, Forensic Laboratory, and Identification Services. The RCMP Academy located in Regina, Saskatchewan, is responsible for recruit training. The RCMP Musical Ride is located in Ottawa and is administered by headquarters. Additionally, the RCMP is responsible for the administration of the Canadian Police College, located in Ottawa. The Canadian Police Information Centre (CPIC), a computer-based police information system, is also based at and administered by RCMP Headquarters in Ottawa. The CPIC system is an

advanced computerized information storage and retrieval facility, designed for the use of participating Canadian law enforcement agencies. The CPIC acts as a central repository of operational data that is contributed to and maintained by participating Canadian law enforcement agencies. RCMP records entered into the system are identified in their respective Bank of Personal Information. The participating Canadian law enforcement agencies are entirely responsible for the accuracy and immediacy of the data which they supply and maintain within the CPIC system. Records entered into the CPIC system by participating Canadian law enforcement agencies must be supported by documented reports held by the originator. The originating agency is the only one entitled or enabled to alter their records in the system.

CORPORATE MANAGEMENT ACTIVITY

The Activity of Corporate Management includes the functions of strategic and corporate planning, corporate policy design, financial planning, materiel, audit and program evaluation. Responsiveness and accountability to the government are ensured by the coordination of communications, public affairs, information access, ministerial liaison and external review and appeals. In addition, the Corporate Management Activity is responsible for the management of property, material, transport and food related services.

♦ Audit, Evaluation and Corporate Services

This program is designed to plan, develop and implement a comprehensive audit, evaluation, and review process to examine and review all RCMP law enforcement and administrative activities. This program also develops and coordinates strategic and corporate planning, formulates corporate policy, manages corporate information and management studies on behalf of the senior executive.

♦ External Review & Appeals

This program assists the Commissioner by providing advice, research and background material for all findings and recommendations generated by the External Review Committee (ERC) and the Public Complaints Commission (PCC). The Sub-Activity also reviews and advises the Commissioner on cases which are not referable to the ERC but must be decided by the Commissioner.

♦ Finance and Supply

This program manages the financial affairs of the department to satisfy requirements for financial control and accountability of the RCMP, contracting partners, legislation and government. This program also provides internal support in accommodation, transport, food, materiel and miscellaneous services for the RCMP in accordance with relevant policies, regulations and statutes.

◆ Public Affairs & Information

This program aims at promoting good public relations and conveying an accurate and constructive image of the RCMP in Canada and abroad. This includes the provision of information and responses to requests from the general public and the media regarding RCMP activities, the maintaining of contemporary and historical materials, the production of RCMP publications and the provision of performances by the RCMP Musical Ride. This program also provides a centralized response to requests under the Access to Information and Privacy Acts for access to records under the control of the RCMP. The Sub-Activity develops policies and procedures to ensure conformity with the legislation while maintaining the protection of sensitive information and privacy of individual records.

HUMAN RESOURCES ACTIVITY

The Human Resources Activity encompasses the organization and management of the Department's human resources. It maintains an internal administrative policy function and service in relation to training, staffing and personnel, health, language and organizational issues. These issues pertain to members of the RCMP as well as Public Service Employees employed by the organization.

◆ Canadian Police College

This program provides advanced police training in matters of organization, administration, personnel management and specialized investigative techniques to the Canadian law enforcement community and some foreign agencies. The Canadian Police Institute is an integral part of the Canadian Police College. The Institute is tasked with conducting research on topical issues and providing seminars and workshops to the police community.

◆ Health Services

This program administers all health related assessment and treatment services to regular members of the RCMP and establishes health programs and standards for employment. It also manages research projects for the development of psychological services, fitness/lifestyle programs as well as programs directed at promoting health and environmental safety. The Sub-Activity also maintains the medical records of members to ensure confidentiality.

◆ Officers' Staffing and Personnel

This program provides a centralized staff support service to the Commissioner for the appointment, promotion, training, succession/ career planning of the Regular Member officers (Inspectors to Deputy Commissioners) and Civilian Members of officer equivalency.

◆ Personnel

This program provides RCMP management with a number of diverse services to assist in management of the department's human resources. The Sub-Activity includes the following initiatives: Staffing, Official Languages and Diversity Management, Research, Internal

Affairs, Human Resource Management Strategies, PS Staff Relations, Classification and Compensation.

◆ Training

This program provides RCMP employees with the opportunity to acquire the knowledge, skills and attitude necessary to perform their duties in the most efficient and professional manner. It controls all training by the RCMP for its employees including basic recruit training, centralized and divisional technical and management training.

NATIONAL POLICE SERVICES ACTIVITY

The National Support Services Activity provides technical expertise and operational support to all accredited Canadian law enforcement agencies and specialized institutions within the criminal justice system.

◆ Criminal Intelligence Service Canada

This program is a national law enforcement community intelligence organization administered by the RCMP with a Central Bureau in Ottawa and nine provincial bureaux across Canada. The program, focusing on organized crime, gathers criminal intelligence and ensures that tactical intelligence is submitted through the provincial bureaux, where facilities for the collection, analysis and dissemination of criminal intelligence are provided, and are accessible to its members. The program oversees a computer system known as the Automated Criminal Intelligence Information Services (ACIIS), which is a repository for criminal intelligence information available to the intelligence community.

◆ Forensic Laboratory Services

This program provides scientific and technical assistance to the Canadian Criminal justice system. Physical evidence acquired during the course of investigations is examined by scientists, to provide information of evidentiary significance. Expert opinions based on scientific examinations are provided to aid investigations and as court evidence. This sub-activity also manages the Canadian Police Research Centre which co-ordinates the development of scientific and technical research projects of a law enforcement nature. The program also provides a consultative service to other government departments, and an assistance role to other countries in relation to the transfer of expertise through training, analysis of exhibit materials and testimony within their judicial systems.

◆ Informatics

This program administers comprehensive and integrated information management systems and services required to support RCMP programs. The major components of the Sub/Activity are: Information Management which provides the administration of the archival and records imaging programs, the co-ordination of the publication of directives and guides, the development of national forms, and data base administration for the RCMP; Management Services which provides control, co-ordination and evaluation of information systems, policy and procedures

regarding recorded information, and library services, and the administration and planning of the RCMP Informatics program; Applications development which provides the analysis, design, development, implementation maintenance and enhancement of EDP applications to meet client requirements; Informatics Security provides for the administration of security in the electronic data processing, computer and communications electronics areas; Communications Engineering which provides for the evaluation, selection, implementation, support and performance tuning of vendor-supplied communications software and hardware, network based operating systems, network based wiring components, utilities and other proprietary software and hardware used; Operations which provides control and coordination of all central host mainframe and network computer systems and provides disaster recovery plans and procedures in support of all data processing facilities; and Technical Support which provides the evaluation, implementation, maintenance and support of host and LAN/PC based proprietary and customized systems software and electrical and mechanical services for the centrally controlled environmental facilities.

◆ Information and Identification Services

This program, through its Fingerprint Operations Section, provides the police community and other authorized agencies with a national computerized repository for fingerprint records on a data base known as the Automatic Fingerprint Identification System (AFIS). The Criminal History Information Section maintains a central repository of criminal history information on behalf of the law enforcement community. They disseminate information to accredited law enforcement agencies and other approved investigative and security organizations. Additionally, the Sub-Activity through its Special Registry Branch administers a number of specific services including Firearms Registration, and the Missing Children's Registry.

OPERATIONS ACTIVITY

The Operations Activity manages all planning and policy aspects of law enforcement programs in support of federal, provincial and municipal government requirements. Assistance and cooperation is provided to accredited police agencies as well as to the general public. It is also the focal point, on a nation-wide basis, for the coordination and evaluation of criminal operations and criminal intelligence gathering. It encompasses the protective policing functions of the RCMP which includes providing security for designated government dignitaries; government property; internationally protected persons and their residences; and major events. It is responsible for coordinating security or VIP visits, conducting security inspections and surveys of physical installations and providing consultations for officials regarding security requirements.

◆ Community, Contract and Aboriginal Policing Services

This program initiates, develops and evaluates a practical and culturally sensitive policing service for aboriginal Canadians. It also includes cost shared policing services to all provinces and territories, except Ontario and Quebec, under agreements signed between the Solicitor General of Canada and the provinces and territories. The RCMP provides municipal police services under separate agreements.

◆ Criminal Intelligence

This program is designed to enhance management of the RCMP's criminal intelligence functions to provide a more comprehensive criminal operations capability. It includes the coordinating, monitoring and assessing of all major organized crime and criminal operations investigations, as well as all criminal investigations having a national security dimension; overseeing, coordinating and monitoring the collection, handling, review and dissemination of criminal intelligence; maintaining a threat assessment program specific to the RCMP's protective policing responsibilities. The Sub-Activity also manages the liaison program between the RCMP and Canadian Security Intelligence Service (CSIS).

◆ Federal Services

This program is designed to assist federal government departments in the enforcement of the Immigration Act, the Citizenship Act and the investigation of violations of Canadian Passport regulations under the Criminal Code. It includes federal policing services as well as various specific initiatives in support of federal, provincial and municipal governments such as Customs and Excise, General Enforcement, Immigration and Passport, Drug Enforcement. This program manages the investigation of offenses related to the importation, exportation, manufacturing, cultivation, trafficking and possession of narcotics and other dangerous drugs in Canada. It also administers and operates the RCMP's Undercover, Source and Witness Protection programs; the Coordinated Coastal Enforcement Program; the Anti-Drug Profiteering program; and the RCMP's Drug Awareness program. It also provides specialized investigators to combat criminal activity in the business sector through the investigation of fraudulent corporate and personal bankruptcies; provides a criminal investigative service within the Canadian securities industry and a national repository of securities fraud information; with Revenue Canada, the investigation, prosecution and recovery of monies owing to the Government of Canada through the provisions of the Income Tax Act; a criminal investigative service to departments and agencies of the Government of Canada with respect to program abuse of a number of statutes such as the Unemployment Insurance Act; and the investigation and prosecution of individuals and corporations involved in major business oriented crime of an interprovincial, national or international nature.

♦ International Liaison and Protective Operations

This program is responsible for the development, implementation and coordination of the National Protective Policy, including all policy relating to the personal protection of the Prime Minister, Governor General, visiting Heads of State, other Internationally Protected Persons and Justices of the Supreme Court of Canada. It also provides policy and consultation services relating to the physical security of foreign missions, the Supreme Court, the residence of the Prime Minister and Governor General and all other government departments. This program also provides police and security services at designated international airports. It includes a liaison function which provides a Canadian link with foreign enforcement agencies for the exchange of information relative to the interdiction of international criminal activity and the maintenance of law and order in Canada. It provides support and assistance to all Canadian law enforcement agencies which require criminal information or assistance from foreign governments to further Canadian investigations or legal proceedings. Another component of the Sub-Activity is Interpol Branch which manages the activities of the RCMP and the Canadian law enforcement community within the International Criminal Police Organization (ICPO), more commonly known as Interpol. This program is also responsible for developing, monitoring and coordinating the implementation of internal security policies relative to the security clearance of RCMP employees, properties and information systems. Through the National Operations Centre, this program offers a fully integrated and computerized multi-media environment that provides a centralized command and reporting facility in support of RCMP senior management and criminal operations. Its primary function is to collect information and report on operational events identified from divisions and media and to brief the senior executive. The national Operations Centre doubles as a crisis management facility designed to monitor and support executive level decisions.

♦ Technical Operations Directorate

This program provides engineering and technical support service to technical investigative and protective field sections within the RCMP and other government departments; mechanical, technical, support for investigations and vehicular armour systems for the protection of designated persons. This program provides advice and guidance to federal government departments, agencies and private sector companies processing government information; investigative assistance for crimes involving computers; reviewing and advising on counter-technical intrusion security. This program represents the RCMP's "lead agency" function in physical security to all Government departments; is responsible for developing, implementing, maintaining, coordinating and monitoring a departmental security program consistent with the Government Security Policy. This program provides specialized analysis of certain violent crimes as

well as manage the Violent Crime Linkage Analysis. This program also provides safe, effective and cost efficient operation of police aircraft in support of the RCMP Law Enforcement and public security activities across Canada.

Information Holdings

Program Records

LINKING STATEMENT

All records retained by the RCMP are subject to one classification methodology. Records are retained in accordance with the subject content of the record, based on a central file classification system, rather than function or activity. Each Detachment, Sub-Division, Division and Headquarters, Ottawa classifies records under three main groups, Administrative, Operational Policy, and Sequential (Operational Investigative Records). The Administrative records are divided into seven sub-classifications. These are further categorized, as are the Operational Policy records, into sub-topics, which are standard throughout the RCMP. The Sequential (Operational Investigative Records) pertain to the general investigative records generated and retained at each site, and as the name suggests, each is sequentially numbered. The volume of records will vary from location to location, however the retention system is uniform. This system is centrally regulated by the Director Informatics, and this enables the RCMP to describe its record holdings in the three distinct categories. Requesters need only describe the record they wish to access. If the request pertains to a specific incident, the location of that incident is also required.

Administration — Buildings & Real Property Records

Description: Headquarters, Directorates, Divisions, Sub-Divisions and Detachments each may have administrative records of a policy and/or routine nature pertaining to the acquisition, disposition and rental of lands and buildings and the services supplied to lands and buildings owned or leased by the RCMP. **Topics:** Buildings & Real Property — General; Buildings & Works — General; Buildings & Works — Estimates; Building and Works by Division; Buildings — Telecommunication Shelters; Buildings — Janitorial Contracts; Buildings & Properties Management Service Agreements; Real Property — General; Real Property — Police Owned (other than Telecom. Sites); Real Property — Police Rented or Leased (other than Telecom. Sites); Real Property — Cemeteries & Graveyards; Real Property — Telecom. Sites Owned; Real Property — Telecom. Sites Leased or Rented; Real Property — Historical Sites & Monuments; Utilities — other than Telephone Services.

Program Record Number: CMP ADM 006

Administration — Equipment & Supplies Records

Description: Headquarters, Directorates, Divisions, Sub-Divisions and Detachments each may have

administrative records of a policy and/or routine nature pertaining to the supply, maintenance and repairs of RCMP equipment and supplies. **Topics:** Equipment & Supplies (General); Accounting & Inventories; Aircraft; Aircraft Supplies & Equipment; Buildings & Living Accommodations, including Furniture & Furnishings; Cataloguing, Identification & Labelling of equipment and supplies; Clothing & Kit (condemning, destruction, repayment issues, alterations); Clothing & Kit — Purchase Descriptions; Clothing & Kit — Design Specifications, Authorities & Approvals; Clothing & Kit — Issues & Receipts; Clothing & Kit — Material and Clothing; Clothing & Kit — Testing & Samples; Condemnation & Destruction; Firearms & Weapons (issues and repairs); Ammunition; Enquiries & Information (concerning uniforms, equipment and supplies); Loans (of uniforms and equipment); Material Specifications; Procurement & Purchases; General Stores; Micrographic Equipment & Supplies; Office Machines; Office Furniture & Furnishings; Printing & Duplicating Equipment; Stationery & Office Supplies; Technical Equipment Evaluations; Telecommunication Equipment Evaluations; Computer Equipment, Hardware and Software; Riot & Crowd Control Equipment; Water Transport & Outboard Motors; and Vehicles (purchase, maintenance, repair licensing, insurance, credit card system and disposal). **Program Record Number:** CMP ADM 005

Administration — Financial Records

Description: Headquarters, Directorates, Divisions, Sub-Divisions and Detachments each may have administrative records of a policy and/or routine nature pertaining to the financial matters of the RCMP. **Topics:** Finances (General); Accounting; Accounting — Cash; Accounts Payable — Commercial Firms & Supplies — Other Government Depts. or Police Departments — Utilities; Accounts Receivable — General — Policing; Acts, Directives and Orders; Allowances & Deductions; Banks & Banking; Budgets & Budgeting; Cheques; Coding (Financial Coding Systems); Contingency Account; Estimates; Fees (consultant, professional, tuition, membership, etc.); Funds (Benefit Trust Fund); Grants; Postage; Signing Authorities; Taxes; Transfer Expenses; Transport Requisitions; Travelling Expenses. **Program Record Number:** CMP ADM 004

Administration — General Administration Records

Description: Headquarters, Directorates, Divisions, Sub-Divisions and Detachments each may have administrative records of a policy and/or routine nature pertaining to the organization, administrative history and policy of the RCMP. **Topics:** General Administration; Abbreviations, Designations and Titles; Accidents; Addresses and Speeches; Briefings and Presentations; RCMP Acts and Regulations; Agreements for Policing Services; Aboriginal Policing; Appreciation, Condolences, Greetings; Associations and Societies; Corporate Identity Program; Badges, Flags and Colours; Cafeterias; Canteens; Messes; Campaigns and Canvassing; Cemeteries, Graves and Memorials; Ceremonies and

Celebrations; Claims (on behalf of or against the Crown); Complaints against the RCMP; Conferences and Committees; Cultures and Customs; Dress Regulations; Emergency Planning; Gifts and Presentations to/from RCMP; Audits; Inspections and Evaluations; Reviews and Overviews; Inventions and Patents; Copyright; Licences, Passes and Permits; Museums, Relics and Curios; Official Languages; RCMP Organization; Headquarters Organization; Division Organization; RCMP Planning Process; Manuals; Commissioner's Bulletin; Pony Express; Reports and Returns; Commissions; Saluting and Compliments; Sports and Recreation Clubs (RCMP). **Program Record Number:** CMP ADM 001

Administration — General Services Records

Description: Headquarters, Directorates, Divisions, Sub-Divisions and Detachments each may have administrative records of a policy and/or routine nature pertaining to services that support the administration and operation of the RCMP. **Topics:** General Services; Office Services; Artisan Services; Correspondence Management; Directives Management; Data Processing Services (general); Standards and Documentation; Software and Operations; Data Transmission; Operations; Automated Systems; Projects & Studies; Systems Research & Planning; Systems Integration; CPIC Services; Forms Management; Graphic Arts Management; Horses; Liaison and Public Relations — General; Liaison — Solicitor and Attorneys General; Liaison with Other Government Departments and Outside Agencies; Liaison with Other Police Forces; Liaison Internal; Liaison — Police Community Relations; Exhibitions (by and participated in by RCMP, eg. CNE, Calgary Stampede, etc.); Historical (history of and articles about RCMP); Visits and Tours to/by RCMP; RCMP Quarterly; Library Services; Mail Management; Management Services; Micrographic Services; Program Evaluation; Performance Measurement; Photographic Services; Printing and Duplication; Publications; Records Management; Records Filing Systems; Records Disposition; Research and Development Management; RCMP Band; RCMP Gazette; RCMP Musical Ride; Security (non-operational, internal security only); Organizational and Administrative Security (threat and risk assessment); Personnel Security (security screening and clearances); Physical Security (RCMP buildings etc.); Communications Security; EDP Security; Telecommunications; Telecoms. Projects; Radio Services; CCTV Services; Transmission Services; Telephone Services; Interoffice Communications; Translation Services; Transportation and Accommodation; Police Service Dogs; Forensic Services — General — Alcohol — Chemistry — Counterfeit Detection — Document Examination — Firearms and Ammunition Examination — Forensic Drugs — Hair and Fibre — Serology — Toxicology — Radiography — Social Science — Photography — Identification (eg. facial reconstruction, fingerprints, footwear, dentures, genetic fingerprinting) — Analytical Services (lab automated systems). **Program Record Number:** CMP ADM 007

Administration — Personnel Records — Public Service and Municipal Employees

Description: Headquarters, Directorates, Divisions, Sub-Divisions and Detachments each may have administrative records of a policy and/or routine nature pertaining to Public Service and municipal Employees of the RCMP. **Topics:** Public Service and Municipal Employees records, general; Acts & Regulations; Accidents & Injuries; Hours of Work; Bulletins & Circulars; Classification; Classification, Position files; Collective Bargaining; Conduct, Discipline & Grievances; Competitions; Employment General; Evaluation & Performance Rev.; Health & Medical; Income Tax; Insurance; Leave & Holidays; Pay, Salaries & Wages; Pension; Transfers. **Program Record Number:** CMP ADM 003

Administration — Personnel Records — RCMP Members

Description: Headquarters, Directorates, Divisions, Sub-Divisions and Detachments each may have administrative records of a policy and/or routine nature pertaining to the records dealing with members of the RCMP. **Topics:** RCMP Personnel (Members Records); Accidents & Injuries (other than RCMP Transport); Appointments; Awards & Honours (including PS & municipal employees); Suggestion Awards; Classification (RCMP General); Classification Standards; Delegation of Classification and Monitoring; Classification of Position Files; Complaints against and by members of the RCMP; Debts & Loans; Discharge of firearms in the Course of Duty; Discharge & Retirements; Discipline and Conduct — Adjudication Boards and Damage to or Loss of Government Property (boards or investigations); Establishment (including PS Employees); Evaluation & Performance Reviews; Staffing; Health & Medical Services; Member Assistance Program; Occupational & Environmental Health & Safety (regulations); Hours of Work; Inquiries & Information on personnel (including PS & Municipal Employees, serving and ex-members, etc); Income Tax; Insurance; Leave; Morale; Oaths of Allegiance and Secrecy (including PS & Municipal Employees); Passports and Visas, arrangements for (including PS & Municipal Employees); Pay, Bonus & Salaries; Pensions; Personnel Management Info. System (PARADE); Privileges; Promotions; Recruiting and Employment; Temporary Civilian Employees (guards, matrons, etc.); Succession Planning; Training and Development, General (including PS & Municipal Employees) — Foreign Govt. Assistance, Centralized, Canadian Police College Research & Program Development, Divisional, Offered outside the RCMP (Language and university); Training — Recruit; Transfers (northern service). **Program Record Number:** CMP ADM 002

Operational Investigative Records

Description: Headquarters and Directorates in Ottawa, Divisions, Sub-Divisions and Detachments each may have sequential, investigational records relating to protective services, occurrences reported to, and/or under

investigation by the RCMP. **Topics:** Occurrences & Investigations including statements, exhibit reports, copies of court documents and in some instances records relating to criminal histories & intelligence and related documentation pertaining to offenses under the: Criminal Code, Federal Statutes, Provincial Statutes, Municipal By-Laws and Territorial Ordinances; Occurrences & Investigations providing assistance to Multijurisdictional Authorities, Foreign Authorities, Federal Authorities, Provincial Authorities, Municipal Authorities, Territorial Authorities, Private Companies and the General Public; V.I.P. Protection (Foreign and Canadian); Threats made against the country and the police. **Program Record Number:** CMP INV 001

Operational Policy Records

Description: Headquarters and Directorates in Ottawa, Divisions, Sub-Divisions and Detachments each may have records concerning the instructions and interpretations of policy relating to the enforcement of statutes and regulations, and the policy relating to cooperation with governments, foreign law enforcement authorities and the general public. **Topics:** General policy subjects; Counsel (appointment, transportation and co-operation with); Fines & Costs (collection and disposition); Prisoners & Mental Patients (custody and disposition); Correspondence (crime reports); Human Sources; Jurisdiction; Laws (enforcement and amendments); Cooperation with and Assistance to Foreign Authorities, Federal authorities, Provincial authorities, Territorial Authorities, Municipal Authorities, Private Companies, and the General Public; Criminal Intelligence Branch; Securities Fraud Information Centre; Special Services Branch; V.I.P. Protection; Threat Assessments — police — Country. **Program Record Number:** CMP OPS 001

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Administration

Budgets

Buildings and Properties

Classification of Positions

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Lands

Occupational Health, Safety and Welfare

Office Appliances

Official Languages

Pensions and Insurance

Personnel

Procurement

Salaries and Wages

Staff Relations

Training and Development

Utilities

Vehicles

Personal Information Banks

◆ Finance and Supply

RCMP Police Car Accidents/Claims By or Against the RCMP

Description: This bank contains investigational and occurrence reports, statements, claims for damages, legal decisions and related documentation. In addition to the requirements indicated on the Personal Information Request Form, individuals must provide sufficient detail of their contact with the RCMP including the date, nature and geographic location of the occurrence. Individuals wishing to access only specific information should identify the material desired, to expedite the processing of their requests. **Class of Individuals:** This bank contains personal information on individuals who have been involved in RCMP transport accidents, assessment and/or demands respecting damage or loss in relation to property, and other similar claims by or against the RCMP. **Purpose:** This information is used to determine liability for motor vehicle accidents and to process damage settlements. **Consistent Uses:** Information in this bank is also used for the internal administration of the RCMP, research, planning, evaluation and statistics and may also be matched with the following information banks: CMP PPE 070 (Applicants' Records); CMP PPE 801 (RCMP Member Personnel Records); CMP PPE 802 (RCMP Member Service Records); CMP PPE 803 (RCMP Member Transfer/Promotion Board Proceedings/Staffing Action Files/Officer Candidates Assessment Board Proceedings); CMP PPE 805 (RCMP Member Discipline and Quashed Discipline); CMP PPE 806 (RCMP Member's Pay Records); CMP PPE 815 (RCMP Member Conflict of Interest and Post Employment Code), CMP PPE 818 (Employment Equity Program) and CMP PPE 804 (RCMP Member Grievance Records). This information may be matched with information from other personal information banks and/or program records. All linkages for the purpose of administration or enforcement of the law and in the detection, prevention or suppression of crime are in compliance with the provisions of the Privacy Act. **Retention and Disposal Standards:** Information in this bank is retained for a minimum of two calendar years. Where the record has been designated as having archival or historical value, the record shall be transferred to the control of the National Archives of Canada; and where the

record has not been so designated, it shall be destroyed.
PAC Number: 78-001 **Related to PR#:** CMP SSD 913
TBS Registration: 001009 **Bank Number:** CMP PPU 075

◆ Public Affairs & Information

Access Request Records

Description: This bank contains personal information on individuals who have previously submitted a Personal Information Request Form and/or an Access to Information Request Form concerning RCMP information banks as well as on individuals who have been the subject of a consultation request from another government institution. It contains previously submitted Personal Information Request Forms, Correction Requests, Access to Information Request Forms, the replies to such requests, appeals and information relating to their processing. When requesting access to this bank, in addition to the requirements indicated on the Personal Information/Access to Information Request Form, individuals must also provide their full name and date of birth. **Class of Individuals:** Individuals who have previously submitted Personal Information/Access to Information Request Forms concerning information obtained or prepared by the RCMP. **Purpose:** To comply with the Privacy Act and the Access to Information Act, to process Personal Information/Access to Information Request Forms, and for research, planning, evaluation and statistical purposes. **Consistent Uses:** The information is used for the processing of Personal Information/Access to Information Request Forms for other RCMP information banks. This information is also used for research, planning, evaluation and statistical purposes. **Retention and Disposal Standards:** Records within this bank are retained for two calendar years from the date of the last piece of correspondence. Where the record has been designated as having archival or historical value, the record shall be transferred to the control of the National Archives of Canada; and where the record has not been so designated it shall be destroyed. **PAC Number:** 69-123 **TBS Registration:** 001005 **Bank Number:** CMP PPU 045

Information Disclosed to Investigative Bodies

Description: This personal information bank contains a copy of the written access request or Treasury Board form 350-56(83/2): Request for Disclosure to Federal Investigative Bodies, forwarded by investigative bodies listed in Schedule II of the Privacy Act to the RCMP under paragraph 8(2)(e). This bank also contains the replies to such requests and particulars concerning information related to their processing. In addition to the requirements indicated on the Personal Information Request Form, individuals must provide their full name and date of birth. **Class of Individuals:** Individuals who have been involved in investigations under the Criminal Code, federal and provincial statutes and municipal bylaws are included in this bank. **Purpose:** This information was compiled to comply with the Privacy Act, to enable RCMP to account for the number of requests under paragraph 8(2)(e) of the Privacy Act. **Consistent Uses:** This information will allow

the Privacy Commissioner to audit the procedures utilized as set out in Treasury Board Guidelines .3.7.5. This information is used to verify the conditions of disclosure to federal law enforcement bodies under paragraph 8(2)(e) of the Privacy Act and to account to the Privacy Commissioner for the number of access requests received annually under the Privacy Act. **Retention and Disposal Standards:** Personal information in this bank will be kept for two years after date of last correspondence. **TBS Registration:** 003207 **Bank Number:** CMP PPU 050

◆ Personnel

Applicants' Records

Description: The file contains such material as applicant evaluations, selection test answer sheets, candidate assessments, engagement check sheet, pare certification and related correspondence, personnel interview report data update. Information on successful applicants who are engaged in the RCMP is placed in the member personnel records (CMP PPE 801), service records (CMP PPE 802) and medical records (CMP PPE 808). In addition to the requirements indicated on the Personal Information Request Form, individuals must provide their full name, date of birth and the location where the last application was made. Individuals wishing to access only specific information should identify the material desired to expedite the processing of their requests. Security/Reliability screening records have to be accessed via CMP PPU 065. Complaints dealing with the suitability of individuals may be found in bank CMP PPU 085. **Class of Individuals:** This bank contains personal information on individuals who have applied for engagement in the RCMP as regular members, special constable members or civilian members. **Purpose:** This information is used to determine the suitability of individuals for engagement in the RCMP. **Consistent Uses:** This information is also used for research, planning, evaluation statistics and may also be matched with the following information banks: CMP PPE 090 (Honours and Awards), CMP PPE 804 (Member Grievance Records); CMP PPE 803 (RCMP Member Transfer/Promotion Board Proceedings/Staffing Action Files/Officer Candidates Assessment board Proceedings); CMP PPE 805 (RCMP Member Discipline and Quashed Discipline Records); CMP PPE 806 (RCMP Member's Pay and Relocation Records); CMP PPE 815 (RCMP Member Conflict of Interest and Post Employment Code); CMP PPE 818 (Employment Equity Program). All linkages for the purpose of administering human resources and compensation plans are in compliance with the provisions of the Privacy Act. **Retention and Disposal Standards:** Information on unsuccessful applicants is maintained for a period of three calendar years at the headquarters of the division to which they applied. **PAC Number:** 69-164 **Related to PR#:** CMP CMP 920 **TBS Registration:** 001008 **Bank Number:** CMP PPU 070

Complaints Against the RCMP or a Member and General Enquiries

Description: This bank contains Part VII RCMP Act investigations and criminal investigation reports, occurrence reports, voluntary statements of members, statements of witnesses and complainants, and related correspondence of members and complainants. In addition to the requirements indicated on the Personal Information Request Forms, individuals must provide their full name, date of birth, sufficient detail of the occurrence, and the geographic location where the information search is to be conducted. Individuals wishing to access only specific information should identify the material desired, to expedite the processing of their requests. Part VII RCMP Act investigations dealing with complaints from the public and which result in discipline against a member may be located in bank CMP PPE 805. **Class of Individuals:** This bank contains personal information on individuals who have been involved in complaints against the RCMP or its members and general enquiries by the public concerning the RCMP. **Purpose:** This information is used for the internal administration of the RCMP. **Consistent Uses:** The RCMP External Review Committee and the RCMP Public Complaints Commission may use the information respectively to enquire into grievances and investigate complaints against the RCMP or its members. Information in this bank is also used for research, planning, evaluation, press releases and statistical purposes. **Retention and Disposal Standards:** Information in this bank is retained for a minimum of two calendar years. Where the record has been designed as having archival or historical value, the record shall be transferred to the control of the National Archives of Canada; and where the record has not been so designated, it shall be destroyed. **PAC Number:** 69-123 **Related to PR#:** CMP CMP 918 **TBS Registration:** 001011 **Bank Number:** CMP PPU 085

Honours and Awards

Description: This bank contains recommendations, supporting material, social insurance numbers (SIN) in some cases, and any assessments relating to the granting of an honour or award. The SIN is collected under the authority of the FAAS-7 for the purpose of maintaining information relative to the Incentive Awards Plan. In addition to the requirements indicated on the Personal Information Request Form, individuals must provide the geographic location and sufficient detail of circumstances as may relate to them. Individuals wishing to access only specific information should identify the material desired, to expedite the processing of their requests. **Class of Individuals:** This bank contains personal information on individuals who have been recommended for an honour or award (usually for an act of bravery or distinguished service to the country), where the RCMP has provided supporting data to the issuing authority. **Purpose:** This information is used by the issuing authorities of various honours and awards programs to assist in determining whether or not to grant an honour or award. The SIN is used/collected for the purpose of issuing awards (cheque and T4 — 1A slip for income tax purposes) under the

Suggestion and Merit Awards program. **Consistent Uses:**

This information may also be used for research, planning, evaluation and statistics and may also be matched with the following information banks: CMP PPE 070 (Applicants' Records); CMP PPE 801 (RCMP Member Personnel Records); CMP PPE 802 (RCMP Member Service Records); CMP PPE 803 (RCMP Member Transfer/Promotion Board Proceedings/Staffing Action Files/Officer Candidates Assessment Board Proceedings); CMP PPE 805 (RCMP Member Discipline and Quashed Discipline); CMP PPE 806 (RCMP Member's Pay Records); CMP PPE 815 (RCMP Member Conflict of Interest and Post Employment Code) and CMP PPE 818 (Employment Equity Program); CMP PPE 804 (RCMP Member Grievance Records).

All linkages for the purpose of administering human resources and compensation plans are in compliance with the provisions of the Privacy Act. **Retention and Disposal Standards:** Information in this bank is retained for a minimum of three calendar years. Where the record has been designated as having archival or historical value, the record shall be transferred to the control of the National Archives of Canada; and where the record has not been so designated, it shall be destroyed. **PAC Number:** 78-001 **Related to PR#:** CMP CMP 918 **TBS Registration:** 001012 **Bank Number:** CMP PPU 090

♦ Training

Courses Administered by the RCMP

Description: This bank contains a record of nominal rolls, in some cases assessments including examinations, tests and other forms of performance measures and related documents. This bank also contains personal information on public servants employed by the RCMP, including their social insurance number (SIN), who have participated in courses administered by the RCMP or sponsored by an outside agency. It also includes the SIN of RCMP members who have participated in Public Service Commission (PSC) courses. The information is collected under the authority of the Public Service Staff Relations Act and the Public Service Employment Act. For members of the RCMP, assessments are also maintained on their personnel file (Bank CMP PPE 801). In addition to the requirements indicated on the Personal Information Request Form, individuals must provide their full name, regimental number if applicable, the title, location and date of the course as well as whether they were an instructor or candidate. Individuals wishing to access only specific information should identify the material desired, to expedite the processing of their requests. **Class of Individuals:** This bank contains personal information on individuals who have applied for or attended, as candidates or instructors, training and development or educational courses administered by the RCMP, the PSC, the National Archives Canada, or other Training/Educational Institutions that are centrally controlled through registration procedures by the RCMP. **Purpose:** This information is used to support qualifications for certificates, awards or diplomas, determine the eligibility of candidates for future courses and support the renewal of an instructor's

personal service contract. The information pertaining to public servants' and RCMP members' social insurance numbers is for the purpose of managing training throughout the public service and for administering courses provided by the PSC Training and Development Canada. **Consistent Uses:** This information is also used for research, planning, evaluation and statistics and may be matched with the following information banks: RCMP Member Personnel Records (CMP PPE 801), RCMP Member Transfer/Promotion Board Proceedings/Staffing Action Files/Officer Candidates Assessment Board Proceedings (CMP PPE 803), RCMP Grievance Records (CMP PPE 804), RCMP Member Discipline and Quashed Discipline Records (CMP PPE 805), Employment Personnel Records (CMP PSE 901), Staffing (CMP PSE 902), Training and Development (CMP PSE 905), Grievances (CMP PSE 910), Discipline (CMP PSE 911), Performance Reviews and Employee Appraisals (CMP PSE 912). All linkages for the purpose of administration or enforcement of the law and in the detection, prevention or suppression of crime are in compliance with the provisions of the Privacy Act. **Retention and Disposal Standards:** Information in this bank is retained for a minimum of five calendar years. Where the record has been designated as having archival or historical value, the record shall be transferred to the control of the National Archives of Canada; and where the record has not been so designated, it shall be destroyed. **PAC Number:** 86-001 **Related to PR#:** CMP CMP 927 **TBS Registration:** 001010 **Bank Number:** CMP PPU 080

♦ Information and Identification Services

Criminal Records, Summaries of Police Information, and Identification Fingerprints

Description: This bank contains criminal records (convictions and discharges certifiable under Section 667 of the Criminal Code of Canada), summaries of police information related to other charges and their dispositions, Pardoned Records, fingerprints, and related correspondence identifiable by fingerprints. It also contains identification fingerprints pursuant to the Immigration Regulations, 1978 and fingerprints of employees of the RCMP and the CSIS. In addition to the requirements indicated on the Personal Information Request form, individuals who wish copies of their (a) criminal record, (b) summary of police information related to them or (c) Pardoned record, must forward identifiable fingerprints to: the Director, Information & Identification Services, RCMP, Box 8885, Ottawa, Ontario, K1G 3M8, specifying their requirement for a criminal record only, both criminal record and summary of police information and/or their Pardoned Record. These fingerprints are used for the purposes of search and positive identification only, and will be returned with the access request results. It is important to understand that this data bank may not contain all information related to the criminal activity of an individual. Other records may exist at local police agencies. Information in this bank may be maintained in hard copy files or microfilm, as well as in automated form in the

Canadian Police Information Centre (CPIC) and/or in the Criminal Record Entry Maintenance and Monitoring — Direct Entry System (CREMM — DES). Records are held at RCMP Headquarters and various external RCMP detachments. **Class of Individuals:** Individuals who have been fingerprinted as a result of criminal charges, individuals fingerprinted under the Immigration Regulations, 1978 and employees of the RCMP and CSIS. **Purpose:** Law enforcement, security/reliability clearances and identification purposes. **Consistent Uses:** This information is used by domestic and foreign law enforcement and investigative agencies of federal/provincial/state and municipal governments, departments of the Criminal Justice System and Courts, in the administration or enforcement of the law and in the detection, prevention or suppression of crime generally. This information is used by the Insurance Crime Prevention Bureaus, on a case by case, need to know basis, when assisting Canadian Law Enforcement Agencies in combatting arson, auto theft and related offences, by the federal/provincial/municipal/agencies for security and reliability screening, by the Canadian Security Intelligence Service for the purposes of investigating threats to the security of Canada and the preparation of security assessments. This information is also used for research planning, evaluation and statistical purposes and may be matched with information from other personal information banks and/or program records. All linkages for the purpose of administration or enforcement of the law and in the detection, prevention or suppression of crime are in compliance with the provisions of the Privacy Act. Fingerprints taken under authority of the Immigration Regulations, 1978 are used for identification purposes in the immigration process. RCMP and CSIS employee fingerprints are used to assist in the maintenance of continuously updated security/reliability clearances. NOTE: Pardoned Records will be released only to individuals entitled to these records under the Privacy Act or with the approval of the Solicitor General of Canada.

Retention and Disposal Standards: The personal information contained in this bank is broken down into several categories. The Dominion Archivist has assigned each of these categories a corresponding retention schedule which can vary from several months to the time data subject reaches the age of eighty years. Where the record has been designated as having archival or historical value, the record shall be transferred to the control of the National Archives of Canada; and where the record has not been so designated, it shall be destroyed.

PAC Number: 69-123 **Related to PR#:** CMP IDD 105

TBS Registration: 001002 **Bank Number:**

CMP PPU 030

Firearms Registration/Legislation Records

Description: This bank contains applications to register restricted weapons, registration certificates, and other weapons that are “recorded” to police agencies, government departments, museums, firearms dealers and others as required by legislation, FLEUR, or inventory purposes, applications for interprovincial permits to carry, transport or convey restricted weapons: firearms

acquisition certificates (FAC); documentation on prohibitions, refusals and revocation of certificates and permits. The Commissioner of the RCMP has the statutory authority under the Criminal Code to maintain a registry of every Restricted Weapon Registration Certificate. In addition to the requirements indicated on the Personal Information Request Form, individuals must provide their full name, date of birth and if required, FAC number. Information in relation to registration certificates, refusals or revocations of registration certificates, and interprovincial permits to carry restricted weapon(s) is located at RCMP headquarters in Ottawa. Information relating to other permits, certificates and prohibitions is located at the detachment or unit level. Individuals wishing to access information not held in Ottawa must indicate the location and/or the name of the RCMP unit where the application was made, or the permit or certificate issued. Individuals wishing to access only specific information should identify the material desired, to expedite the processing of their requests. Information in this bank may be maintained in hard copy, on microfilm, and in automated form in the Canadian Police Information Centre (CPIC). **Class of Individuals:** Individuals who have applied to register restricted weapons in Canada and have been issued a registration certificate; applied to the local registrar (L.R.) of firearms for a Firearms Acquisition Certificate (FAC) and have been issued a FAC applied for and have been issued a permit by the L.R. to carry/convey/transport a restricted weapon in Canada; been refused or have had a permit or certificate revoked; or have been prohibited from possessing firearms. **Purpose:** The administration and enforcement of firearms control legislation in Canada.

Consistent Uses: Information in this bank is used by domestic and foreign accredited law enforcement of federal, provincial/state and municipal governments, and chief provincial/territorial firearms officers, in the administration or enforcement of the law and in the detection, prevention or suppression of crime in general. This information may be matched with information from other personal information banks and/or program records. All linkages for the purpose of administration or enforcement of the law and in the detection, prevention or suppression of crime are in compliance with the provisions of the Privacy Act. **Retention and Disposal Standards:** Information in this bank is retained for a minimum of two calendar years. Some personal information in this bank may be retained permanently pursuant to subsection 114(1) of the Criminal Code. Where the record has been designated as having archival or historical value, the record shall be transferred to the control of the National Archives of Canada; and where the record has not been so designated, it shall be destroyed.

PAC Number: 69-123 **Related to PR#:** CMP IDD 110

TBS Registration: 001003 **Bank Number:** CMP PPU 035

♦ Community, Contract and Aboriginal Policing Services

Crime Prevention/Victim Services

Description: This bank contains personal information on individuals involved in regional RCMP crime prevention/police community relations programs such as the RCMP Summer Student Program or other divisional crime prevention programs. Such programs are intended to prevent and control the incidence of crime and protect life and property and to provide the candidates with the opportunity to interface with the police function and criminal justice system as a whole. This bank contains applications, written terms of involvement, and any other record used in accepting or rejecting an individual to participate in such a program. Information in this bank is not generally carded or indexed to an individual. In addition to the requirements indicated on the Personal Information Request Form, individuals must provide sufficient detail of their involvement in the RCMP program, including the geographic location, dates and name of the program, to retrieve information of interest. Individuals wishing to access only specific information should identify the material desired, to expedite the processing of their requests. **Class of Individuals:** Individuals who have applied for and served in regional RCMP community relations/crime prevention programs. **Purpose:** This information is used to determine the suitability of individuals to participate in RCMP community relations/crime prevention programs. **Consistent Uses:** The information is also used for research, planning, evaluation and statistical purposes and may be matched with information from other personal information banks and/or program records. All linkages for the purpose of administration or enforcement of the law and in the detection, prevention or suppression of crime are in compliance with the provisions of the Privacy Act. **Retention and Disposal Standards:** Records within this bank are retained for a minimum of one calendar year following termination of employment at the headquarters of the division in which they are employed, and they are subsequently transferred to the National Archives of Canada, then to the National Personnel Records Centre for retention until the subject of the file reaches the age of 80 years. **PAC Number:** 69-164 **TBS Registration:** 000998 **Bank Number:** CMP PPU 010

♦ Criminal Intelligence

Criminal Operational Intelligence Records (Exempt bank)

Description: This bank contains personal information on individuals who have been implicated, following criminal investigations, in organized crime activities such as drug trafficking, securities fraud, corruption, counterfeiting, extortion, gambling, loan sharking, pornography and prostitution. Also included in this bank are records containing personal information concerning administration, policy and management of confidential human sources and witnesses requiring protection relating to criminal operations. This bank contains investigations and

occurrence reports, statements and related documentation. This bank is designated by the Governor-in-Council as an exempt bank pursuant to Section 18(1) of the Privacy Act, on the basis of section 22 of the Act. In addition to the requirements indicated on the Personal Information Request Form, individuals must provide their full name, date of birth and the location where the investigation occurred. Individuals wishing to access only specified information should identify the material desired to expedite the processing of their requests. Information in this bank may be maintained in hard copy files as well as in automated form such as Automated Criminal Intelligence Information System (ACIIS) and FOCUS, Police Information Retrieval System (PIRS), National Criminal Data Bank (NCDB). **Class of Individuals:** Individuals implicated in, or who are connected with and are the subject of criminal investigations including confidential human sources and witnesses. **Purpose:** Compiled in the administration or enforcement of the law and in the detection, prevention or suppression of crime generally. **Consistent Uses:** The information is used by accredited domestic and foreign law enforcement and investigative agencies in the administration or enforcement of the law and in the detection, prevention or suppression of crime generally. Personal information concerning human sources and witnesses is used in the administration and management of these individuals. This information is also used by federal departmental security officers for security and reliability screening, as well as for research, planning, evaluation and statistical purposes and may be matched with information from other personal information banks and/or program records. All linkages for the purpose of administration or enforcement of the law and in the detection, prevention or suppression of crime are in compliance with the provisions of the Privacy Act. **Retention and Disposal Standards:** Records within this bank are retained for a minimum of five calendar years. Where the record has been designated as having archival or historical value, the record shall be transferred to the control of the National Archives of Canada; and where the record has not been so designated, it shall be destroyed. **PAC Number:** 69-123 **Related to PR#:** CMP CIS 095 **TBS Registration:** 000999 **Bank Number:** CMP PPU 015

National Security Investigations Records (Exempt bank)

Description: This bank contains personal information about individuals who come to the attention of the RCMP in the course of national security enforcement including information collected in the fulfilment of the primary responsibility conferred by subsection 6(1) of the Security Offences Act, more particularly information obtained or prepared for investigation purposes in respect of an offence under any law of Canada where a) the alleged offence arises out of conduct constituting a threat to the security of Canada within the meaning of the Canadian Security Intelligence Service Act, or b) the victim of the alleged offence is an internationally protected person within the meaning of section 2 of the Criminal Code, or the apprehension of the commission of such an offence.

This bank also contains security assessments relating to internationally protected persons, as well as information concerning the management of protection services for confidential sources and witnesses used in national security investigations. This bank is designated by the Governor-in-Council as an exempt bank pursuant to Section 18(1) of the Privacy Act, on the basis of section 22 of the Act. Information in this bank may be maintained in hard copy files as well as in automated form on the Secure Criminal Information System (SCIS). **Class of Individuals:** Individuals who come to the attention of the RCMP during the course of national security enforcement, including fulfilment of the primary responsibility pursuant to subsection 6(1) of the Security Offenses Act, internationally protected persons, and persons providing confidential information in security investigations.

Purpose: Information in this bank is used by the RCMP whose duties involve the enforcement of the law and the prevention of crime in carrying out its mandate and responsibilities in relation to national security enforcement and for the purposes of security and reliability screening.

Consistent Uses: The information is used in the course of national security enforcement including fulfilment of the RCMP's primary responsibility conferred by subsection 6(1) of the Security Offenses Act. Information is required to carry out their mandate and responsibilities in relation to national security investigations and for security and reliability screening. Information in this bank is used by domestic and foreign law enforcement and investigation agencies in connection with their official duties and responsibilities in relation to the enforcement or administration of the law and to carry out their mandate and responsibilities in relation to national security investigations. It is also used by CSIS and other federal department security officers for security and reliability screening. It is also disclosed to domestic and foreign law enforcement and investigative agencies in connection with national security investigations. This information may be matched with information from other personal information banks and/or program records. All linkages for the purpose of administration or enforcement of the law and in the detection, prevention or suppression of crime are in compliance with the provisions of the Privacy Act.

Retention and Disposal Standards: Presently under review. **TBS Registration:** 001001 **Bank Number:** CMP PPU 025

Protection of Personnel and Government Property

Description: This bank contains personal information on individuals who have been involved in investigations concerning threats, potential threats, or incidents against persons of national or international importance or involving government property. This bank contains investigational and occurrence reports, statements, and related correspondence as well as personal information on numerous individuals the RCMP has an obligation to protect. In addition to the requirements indicated on the Personal Information Request Form, individuals must provide their full name, date of birth and the geographic location where the information search is to be conducted.

Class of Individuals: The information relates to any person considered a threat or possible threat and victims of threats or possible threats. **Purpose:** This information was compiled to assess whether or not given individuals pose a threat or are victims of threats as well as for the purposes of administration and enforcement of the law and detection and prevention of crime. **Consistent Uses:** This information is used by domestic and foreign law enforcement agencies in the administration and enforcement of the law and in the detection and prevention of crime. It is used by federal department security officers for security and reliability screening. It is also used for research, planning, evaluation and statistical purposes. This information may be matched with information from other personal information banks and/or program records. All linkages for the purpose of administration or enforcement of the law and in the detection, prevention or suppression of crime are in compliance with the provisions of the Privacy Act.

Retention and Disposal Standards: Records within this bank are retained for a minimum of five calendar years. Where the record has been designated as having archival or historical value, the record shall be transferred to the control of the National Archives of Canada; and where the record has not been so designated, it shall be destroyed.

PAC Number: 69-123 **Related to PR#:** CMP PRO 155

TBS Registration: 001006 **Bank Number:** CMP PPU 055

♦ Federal Services

Lost or Stolen Passports

Description: This bank contains personal information about individuals who have lost their passports or who have had their passports stolen. Information contained in this bank is provided by and is a copy of the Department of External Affairs Passport Office file. In addition to the requirements indicated on the Personal Information Request Form, individuals must provide their full name, date and place of birth and passport number if known. Individuals wishing to access only specific information should identify the material desired, to expedite the processing of their requests. Information in this bank may be maintained in hard copy files as well as in automated form in the Canadian Police Information Centre (CPIC).

Class of Individuals: Individuals who have lost passports or had them stolen. **Purpose:** To locate lost or stolen passports and prevent their illegal use. **Consistent Uses:** This information is used by domestic and foreign law enforcement and investigative agencies of federal, provincial/state and municipal governments to recover lost or stolen passports and to identify the illegal use of these documents. This information may be matched with information from other personal information banks and/or program records. All linkages for the purpose of administration or enforcement of the law and in the detection, prevention or suppression of crime are in compliance with the provisions of the Privacy Act.

Retention and Disposal Standards: Information is retained until the passport has been located or has expired. Where the record has been designated as having

archival or historical value, the record shall be transferred to the control of the National Archives of Canada; and where the record has not been so designated, it shall be destroyed. **PAC Number:** 69-123 **Related to PR#:** CMP IDD 115 **TBS Registration:** 001004 **Bank Number:** CMP PPU 040

Operational Case Records

Description: This bank contains personal information on individuals who have been involved in investigations under the Criminal Code, federal and provincial statutes, municipal bylaws, territorial ordinances and for security and reliability screening by federal department. This bank contains investigational and occurrence reports, statements, exhibit reports, copies of court documents such as summonses, warrants, etc., court briefs, and in some instances records relating to criminal histories. In addition to the requirements indicated on the Personal Information Request Form, individuals must provide their full name, date of birth and the location where the investigation occurred. Individuals wishing to access only specified information should identify the material desired to expedite the processing of their requests. Information in this bank may be maintained in hard copy files as well as in automated form such as the Canadian Police Information Centre (CPIC), Police Information Retrieval System (PIRS), Division Information Bank (DIB), Violent Crime Linkage Analysis System (VICLAS), and the Missing Children's Registry (MCR). Information in the Social Insurance Registry may be disclosed to the RCMP pursuant to an agreement between EIC and the RCMP under section 126 of the Unemployment Insurance Act. **Class of Individuals:** Individuals involved in or the subject of investigations and cases of general assistance to the public. **Purpose:** Compiled in the administration or enforcement of the law and in the detection, prevention, or suppression of crime generally. The social insurance number (SIN) is used only for the following purposes: to establish the accurate identification of an individual; to aid in the identification of a deceased person and locate their next-of-kin; or to identify and locate the owner of lost or stolen property that has a SIN inscribed. **Consistent Uses:** This information is used by accredited domestic and foreign law enforcement and investigative agencies, departments of the Criminal Justice System and Courts in the administration or enforcement of the law and in the detection, prevention, or suppression of crime generally. This information is also used by federal departmental security officers for security and reliability screening. This information may also be used for research, planning, training, evaluation and statistical purposes and may be matched with information from other personal information banks and/or program records. All linkages for the purpose of administration or enforcement of the law and in the detection, prevention or suppression of crime are in compliance with the provisions of the Privacy Act.

Retention and Disposal Standards: Records in this bank are retained for a minimum of two calendar years. Where the record has been designated as having archival or historical value, the record shall be transferred to the

control of the National Archives of Canada; and where the record has not been so designated, it shall be destroyed.

PAC Number: 69-123 **TBS Registration:** 000997 **Bank Number:** CMP PPU 005

Regulatory Agency Enforcement History Records

Description: This bank contains personal information on individuals who have been accepted as registrants with provincial regulatory agencies. Some of these individuals have criminal/regulatory histories. Information in this bank contains biographical data supplied by regulatory agencies on individuals who have been licensed in the following financial sectors: securities, real estate, mortgage broker, insurance, franchise and commodities. Other related material may also be found in these records. Information in this bank is maintained in hard copy as well as on microfilm. Since January 1988, the collection of information for this bank has been restricted to personal information on individuals who have criminal records and/or violations of securities legislation and/or are subject to investigation by foreign authorities. In addition to the requirements indicated on the Personal Information Request Form, individuals must provide their full name and date of birth.

Class of Individuals: Individuals who have become registrants pursuant to provincial legislation. Some of these individuals have criminal/regulatory histories.

Purpose: Compiled in the interest of enforcement responsibilities relating to investigations to detect, prevent and suppress crime within the financial sectors of the Canadian economy pursuant to federal/provincial legislation. **Consistent Uses:** The repository is utilized by federal and provincial regulatory agencies and the Royal Canadian Mounted Police to carry out enforcement responsibilities relating to investigations within the various financial sectors of the Canadian economy. The information may also be utilized by accredited foreign governments for regulatory and investigative purposes. Authorization for the repository is subject to Cabinet Document 612/66. This information may be matched with information from other personal information banks and/or program records. All linkages for the purpose of administration or enforcement of the law and in the detection, prevention or suppression of crime are in compliance with the provisions of the Privacy Act.

Retention and Disposal Standards: Records within this bank are retained for a minimum of six calendar years. Where the record has been designated as having archival or historical value, the record shall be transferred to the control of the National Archives of Canada; and where the record has not been so designated, it shall be destroyed. **PAC Number:** 69-123 **TBS Registration:** 001000 **Bank Number:** CMP PPU 020

◆ International Liaison and Protective Operations

Indices Checks — For the Protection of Persons of National and International Importance

Description: This bank contains personal information on individuals who have applied for media accreditation or

who, by virtue of their employment, will be in close proximity to visiting national or international dignitaries. This bank contains biographical data supplied by individuals and is used to determine their eligibility to obtain media accreditation; and biographical data on individuals who will have access to areas where a visiting national or international dignitary may be. In addition to the requirements on the Personal Information Request Form, individuals must identify details pertaining to the VIP visit such as name of visiting dignitary, dates and location of visit, in order to retrieve and expedite the processing of this request. **Class of Individuals:** The information relates to media personnel and technicians, and any person that may be in close proximity to the VIP by virtue of their employment. **Purpose:** Purpose is to determine eligibility to obtain media or service accreditation for a specific visit, and to comply with the mandate of Protective Services. **Consistent Uses:** This information is used by accredited domestic law enforcement agencies to support decisions as to whether media/service accreditation will be granted. This information may be matched with information from other personal information banks and/or program records. All linkages for the purpose of administration or enforcement of the law and in the detection, prevention or suppression of crime are in compliance with the provisions of the Privacy Act.

Retention and Disposal Standards: Records within this bank are retained for a minimum of five calendar years. Where the record has been designated as having archival or historical value, the record shall be transferred to the control of the National Archives of Canada; and where the record has not been so designated, it shall be destroyed.

PAC Number: 69-123 **Related to PR#:** *

International Liaison and Protective Operations **TBS**

Registration: 001007 **Bank Number:** CMP PPU 060

◆ Technical Operations Directorate

Security/Reliability Screening Records

Description: This bank contains personal data about individuals who have been the subject of a security clearance or basic or enhanced reliability check while members or employees of the RCMP, or while applying to become a member or employee of the RCMP or individuals employed under contracts awarded or administered by the RCMP. Security clearances are carried out to assess an individual's loyalty and reliability as it relates to loyalty. Reliability checks are done to assess an individual's reliability. The data in the bank would include personal information about the subject and his or her immediate family. It may also include results or criminal records name or fingerprint checks, credit bureau checks, investigative reports related to interviews with neighbours, previous employers, character references, and an analysis of the information. Also on file is the level of security clearance issued or reliability status granted or the reasons same was denied or revoked. **Class of Individuals:** Members or employees of the RCMP or individuals applying to become a member or employee of the RCMP or individuals employed under contracts

awarded or administered by the RCMP. **Purpose:** To assess an individual's loyalty and reliability as it relates to loyalty. Reliability checks are done to assess an individual's reliability. **Consistent Uses:** This information may be matched with information from other personal information banks and/or classes of records. All linkages for the purpose of administration or enforcement of the law and in the detection, prevention or suppression of crime are in compliance with the provisions of the Privacy Act.

Retention and Disposal Standards: The retention and disposal schedule for these records is 7 years from issue date of clearance or security update or 2 years from date of last correspondence on file, whichever is longer. **TBS**

Registration: 003208 **Bank Number:** CMP PPU 065

Manuals

- Administration Manual
- Air Services
- Career Management
- CPIC Reference Manual
- Financial Management
- Firearms Training
- Forensic Identification
- Health Services
- Informatics
- Laboratory Services
- Material and Services
- National Firearms
- Operational Manual
- Pay Procedures
- Personnel Administration Manual — Public Service
- Planning
- Property Management
- Protective Policing
- Security
- Tactical Operations
- Training
- Uniform and Dress

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the RCMP and its various programs and functions may be directed to:

Royal Canadian Mounted Police
Public Affairs Directorate
1200 Vanier Parkway
Ottawa, Ontario
K1A 0R2
(613) 993-1085

Reading Room

In accordance with the Access to Information Act, members of the public may examine the basic and subsidiary manuals governing the administration and operation of the Royal Canadian Mounted Police at:

Ministry of the Solicitor General
340 Laurier Avenue West
Ottawa, Ontario
K1A 0R2
(hours 8:00 to 15:00)

Reading rooms are also available at the following Divisional Headquarters:

Atlantic Region

306 Charter Avenue
Pleasantville, Newfoundland
Hours: 08:30 to 16:30

3139 Oxford Street
Halifax, Nova Scotia
Hours: 08:30 to 16:30

1445 Regent Street
Fredericton, New Brunswick
Hours: 08:30 to 16:30

450 University Avenue
Charlottetown, Prince Edward Island
Hours: 08:30 to 16:30

Central Region

4225 Rene-Levesque Boulevard
Montreal, Quebec
Hours: 08:30 to 16:30

225 Jarvis Street
Toronto, Ontario
Hours: 08:30 to 16:30

Western and Northern Region

1091 Portage Avenue
Winnipeg, Manitoba
Hours: 08:30 to 16:30

6101 Dewdney Avenue
Regina, Saskatchewan
Hours: 08:30 to 16:30

11140 — 109 Street
Edmonton, Alberta
Hours: 08:30 to 16:30

5255 Heather Street
Vancouver, British Columbia
Hours: 08:30 to 16:30

4100 — Fourth Avenue
Whitehorse, Yukon Territory
Hours: 08:30 to 16:30

5010 — 49th Avenue
Yellowknife, Northwest Territories
Hours: 08:30 to 16:30

Royal Canadian Mounted Police External Review Committee

Chapter 92

General Information

Background

The RCMP External Review Committee was created on December 18, 1986 as a result of the 1976 Report of the Commission of Inquiry Relating to Public Complaints, Internal Discipline and Grievance Procedure within the Royal Canadian Mounted Police.

Responsibilities

The RCMP External Review Committee is a neutral third party that provides an independent and impartial review of certain types of grievances, and of formal disciplinary, discharge and demotion appeals referred to it from the Royal Canadian Mounted Police. The Committee reports annually to Parliament through the Committee Chairperson. The Committee may institute hearings, summon witnesses, administer oaths and receive and accept such evidence or other information as the Committee sees fit. The findings and recommendations of the Chairperson or the Committee are sent to the parties and the Commissioner of the Royal Canadian Mounted Police.

Legislation

- RCMP External Review Committee Rules of Practice and Procedure
- Regulations pursuant to the RCMP Act
- Royal Canadian Mounted Police Act

Organization

The RCMP External Review Committee is designated as a department within the meaning and for the purposes of the Financial Administration Act. Treasury Board is its employer.

The Committee is made up of a chairperson, a vice-chairperson and three other members, all appointed by the Governor in Council. The Chairperson is a full-time member, and is the chief executive officer of the Committee. The Executive Director is responsible to the Chairperson for the administration of the case review function.

◆ Grievances and Appeals

The Committee is responsible for the operational review of certain types of grievances, and of formal disciplinary, discharge and demotion appeals referred to the Committee by the Royal Canadian Mounted Police. The review program provides an analysis and reporting service and associated procedural and logistical services required to support an orderly and effective review process.

Information Holdings

Program Records

Boards of Inquiry

Description: Information on the appointment of Committee members as a board of inquiry under section 24.1 of the RCMP Act. **Topics:** Appointment documents; terms of reference; evidence received; information related to hearing and investigations; reports. **Access:** By case file. **Program Record Number:** ERC CVM 040

Communication Plan

Description: Information on the communication plan of the RCMP External Review Committee. **Topics:** Committee brochures; audio-visual presentations; Communiqué; annual reports; logo. **Access:** By subject. **Program Record Number:** ERC EXE 065

Discharge and Demotion Files

Description: Information relating to the submission, processing and outcome of discharge and demotion cases referred to the RCMP External Review Committee pursuant to the RCMP Act. **Topics:** Transmission of files by the RCMP; assignment of Committee members; institution of hearings; collection of evidence; and final reports to the Commissioner of the RCMP. **Access:** By case file. **Retention and Disposal Standards:** These records are kept for seven years and are then destroyed, unless they form part of substantial and precedent-setting discharge and demotion case files meeting specific criteria. **Program Record Number:** ERC DGA 010

Discipline Files

Description: Information relating to the submission, processing and outcome of discipline cases referred to the RCMP External Review Committee pursuant to the RCMP Act. **Topics:** Transmission of files by the RCMP; assignment of Committee members; institution of hearings; collection of evidence; and final reports to the Commissioner of the RCMP. **Access:** By case file. **Retention and Disposal Standards:** These records are kept for seven years and are then destroyed, unless they form part of substantial and precedent-setting discipline case files meeting specific criteria. **Program Record Number:** ERC DGA 015

Disclosures

Description: Information on the application to the RCMP External Review Committee of the Access to Information and Privacy legislation. **Topics:** Access to Information Act and Privacy Act and regulations; related policies and

procedures; compliance measures of the Committee; statistical and activity reports. **Access:** By subject.

Program Record Number: ERC EXE 055

Fees and Allowances —

Subsection 46(3) of the RCMP Act

Description: Information on the payment of fees and allowances to witnesses at a hearing of the RCMP External Review Committee. **Topics:** Applicable rates of payment; requests for payment made under section 21 of the RCMP External Review Committee Rules of Practice and Procedure; related policies and decisions. **Access:** By case file. **Program Record Number:** ERC EXE 030

Grievance Files

Description: Information relating to the submission, processing and outcome of grievance cases referred to the RCMP External Review Committee pursuant to the RCMP Act. **Topics:** Transmission of files by the RCMP; assignment of Committee members; institution of hearings; collection of evidence; and final reports to the Commissioner of the RCMP. **Access:** By case file. **Retention and Disposal Standards:** These records are kept for 7 years and are then destroyed, unless they form part of substantial and precedent-setting grievance case files meeting specific criteria. **Program Record Number:** ERC DGA 005

Interested Persons Applications

Description: Information on applications to be considered an interested person pursuant to subsection 35(5) of the RCMP Act and section 5 of the RCMP External Review Committee Rules of Practice and Procedure. **Topics:** Application forms; legislation; policies and procedures. **Access:** By case file. **Program Record Number:** ERC DGA 045

Mediation

Description: Information on the use of mediators to attempt to resolve disputes between the RCMP and its members in matters over which the RCMP External Review Committee has jurisdiction. **Topics:** Requests for and appointment of mediators; terms of reference; mediation reports. **Access:** By case file. **Program Record Number:** ERC DGA 035

Questions of Law or Jurisdiction

Description: Legal opinions and research, and related correspondence, in relation to matters within the mandate of the Committee. **Topics:** Law related to operational case files, administrative matters or research. **Access:** By subject. **Program Record Number:** ERC DGA 020

Research Program

Description: Information on the research program of the RCMP External Review Committee. **Topics:** Research plan; correspondence relating to research; research reports. **Access:** By subject or, if case-related, by case file. **Program Record Number:** ERC RES 050

Security

Description: Information on the application to the RCMP External Review Committee of the Security Policy of the Government of Canada. **Topics:** Security Policy of the Government of Canada; related correspondence, policies and procedures; compliance measures of the Committee. **Access:** By subject. **Program Record Number:** ERC EXE 060

Travel and Living Expenses —

Subsection 35(12) of the RCMP Act

Description: Information on the payment of travel and living expenses to members of the RCMP, their counsel or representatives pursuant to subsection 35(12) of the RCMP Act. **Topics:** Submissions for payment under section 20 of the RCMP External Review Committee Rules of Practice and Procedure; related policies and decisions. **Access:** By case file. **Program Record Number:** ERC EXE 025

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information on the RCMP External Review Committee may be directed to:

Executive Director
RCMP External Review Committee
P.O. Box 1159, Station B
Ottawa, Ontario
K1P 5R2
(613) 998-2134

Reading Room

In accordance with the Access to Information Act, members of the public may examine the basic and subsidiary manuals governing the administration and operation of the RCMP External Review Committee at the:

Ministry of the Solicitor General
340 Laurier Avenue West
Ottawa, Ontario
(hours 8:00 to 15:00)

Royal Canadian Mounted Police Public Complaints Commission

Chapter 93

General Information

Background

The Royal Canadian Mounted Police Public Complaints Commission was established by Parliament in March 1986, as a result of recommendations arising from the 1976 Marin Commission of Inquiry Relating to Public Complaints, Internal Discipline and Grievance Procedure within the Royal Canadian Mounted Police, and the 1981 McDonald Commission of Inquiry Concerning Certain Activities of the Royal Canadian Mounted Police. Part VII of the Royal Canadian Mounted Police Act, which made the Commission operational, came into force on September 30, 1988.

Responsibilities

The Royal Canadian Mounted Police Public Complaints Commission is an independent administrative tribunal empowered to conduct external and independent reviews of public complaints concerning the conduct of members of the RCMP in the course of their duties. The Chairman of the Commission reviews the disposition of the complaint by the RCMP and may conduct investigations and institute public hearings of the Commission. The Chairman may, as well, initiate a complaint personally if there are reasonable grounds for investigation. If the Chairman considers it advisable in the public interest, the Chairman may conduct an investigation of any complaint or institute a hearing regardless of whether the complaint has been investigated or otherwise dealt with by the RCMP. The findings and recommendations of the Chairman are sent to the Commissioner of the RCMP and the Solicitor General of Canada. The Chairman reports annually to Parliament through the Solicitor General of Canada.

Legislation

- Royal Canadian Mounted Police Act, Parts VI and VII

Organization

The Commission consists of a Chairman, a Vice-Chairman, a member for each contracting province and not more than three other members, to be appointed by order of the Governor in Council. The Chairman is the Chief Executive Officer of the Commission and has supervision over and direction of the work of the Commission staff. The senior staff of the Commission consists of an Executive Director, a General Counsel, a Director of Operations, Senior Reviewer/Analysts, Reviewer/Analysts, Investigators, a Senior Advisor Policy, Planning and Research, Regional Directors and an Office Manager.

Information Holdings

Program Records

Complaints

Description: Information on each complaint submitted by a member of the public to the RCMP Public Complaints Commission is placed in a separate file. Such file contains all correspondence, records of interviews, investigations, hearings, findings, recommendations and reports concerning the complaint held by and under the control of the Commission. **Program Record Number:** PCC EXE 010

Information Access Policy/Disclosures

Description: Information on policy and procedures which govern the collection, retention, disposal, disclosure and formal public access to both personal and non-personal information under the RCMP Public Complaints Commission's control as it relates to the Access to Information Act and the Privacy Act. **Program Record Number:** PCC AIP 030

Legal Matters

Description: Information on the RCMP Public Complaints Commission's jurisdiction, administration of legal matters, legal opinions and decisions, practice and procedure.

Topics: Policy; general correspondence; cases; retrospectivity and the RCMP Public Complaints Commission; jurisdiction issues; practice and procedure; application of Part VII of Bill C-65 to off-duty members of the RCMP and the RCMP itself; opinions rendered by legal advisors on Administrative Law and Charter Issues; aboriginal people; minority groups. **Program Record Number:** PCC LEG 025

Organization and Functions

Description: Information on the RCMP Public Complaints Commission's organization, correspondence, activities and reports. **Topics:** General correspondence; appointments; communications plan; organization; complaints procedure; meetings; annual reports; rules of conduct; operational framework plan. **Program Record Number:** PCC EXE 005

Royal Canadian Mounted Police

Description: Information on RCMP organization, strength, policies, regulations, statistics on complaints and reports.

Topics: General correspondence; RCMP organization and strength; RCMP statistics — complaints against the Force and its members; RCMP operational statistics reporting system (Mayor's Report); RCMP recruiting of minorities;

RCMP bulletin public complaints policy; administration and operational manuals; regulations; Commissioner's forecast; provincial and municipal policing contracts; Canadian Program of Science and Technology in Support of Law Enforcement; 1987-88 Annual Report; 1988-89 Annual Report; 1989-90 Annual Report; 1990-91 Annual Report; 1991-92 Annual Report. **Program Record Number:** PCC EXE 015

Security

Description: Information on security regulations for the handling of documents, the administration of the personnel security program involving clearance, physical security of buildings, installations and facilities. **Topics:** General correspondence; physical security; security policy; classification of information. **Program Record Number:** PCC EXE 020

Staffing

Description: Records on employment and staffing in general, applications, casual and term employees, competitions and programs, recruitment, requests for staffing action, and summer employment for students. **Program Record Number:** PCC EXE 040

Personal Information Banks

Complaints by the Public Against the RCMP Under the Royal Canadian Mounted Police Act, Part VII

Description: This bank contains the files of complaints lodged with the Royal Canadian Mounted Police Public Complaints Commission pursuant to paragraph 45.35(1)(a) of Part VII of the Royal Canadian Mounted Police Act. These complaints date from 1 October 1988 and deal with the conduct of members of the RCMP in the performance of their duties. The files contain statements from individuals, correspondence with and reports about complainants, respondents and witnesses dealing with the complaint and other facts relevant to the investigation. **Class of Individuals:** Complainants, members of the RCMP, witnesses and other parties to the complaint. **Purpose:** The purpose of this bank is to record, inquire into and follow up on complaints regarding the conduct of members of the RCMP. **Consistent Uses:** The information is used by the Commission to fulfil its mandate under the Royal Canadian Mounted Police Act, as well as for research, planning, evaluation and statistical purposes. **Retention and Disposal Standards:** Documents provided by a person at a hearing pursuant to the Royal Canadian Mounted Police Act are returned to that person after the hearing upon request. All other records are retained for two years after the Chairman's final decision, and are then destroyed. **Related to PR#:** PCC EXE 010 **TBS Registration:** 002640 **Bank Number:** PCC PPU 005

Requests for Access to Records

Under the Access to Information Act

Description: This bank contains both formal and informal requests sent by individuals seeking access to information pursuant to the Access to Information Act, replies to such requests and information related to their processing. **Class of Individuals:** Applicants under the Access to Information Act. **Purpose:** This material is collected and used for processing access requests only, and for use in the preparation of the annual report to the Treasury Board Secretariat. **Retention and Disposal Standards:** These records are retained for two years and are then destroyed. **Related to PR#:** PCC AIP 030 **TBS Registration:** 002643 **Bank Number:** PCC PPU 020

Requests for Access to

Records under the Privacy Act

Description: This bank contains formal requests sent by individuals seeking access to information relating to those individuals pursuant to the Privacy Act, replies to such requests and information related to their processing. **Class of Individuals:** Applicants under the Privacy Act. **Purpose:** This material is collected and used for processing privacy requests only, and for use in the preparation of the annual report to the Treasury Board Secretariat. **Retention and Disposal Standards:** These records are retained for two years and are then destroyed. **Related to PR#:** PCC AIP 030 **TBS Registration:** 002642 **Bank Number:** PCC PPU 015

Staffing

Description: This bank contains information on individuals who have submitted a written application for employment to the Royal Canadian Mounted Police Public Complaints Commission. The files contain a variety of information that might include completed application forms, résumés, letters of reference and letters acknowledging receipt of the applications. **Class of Individuals:** Individuals interested in securing employment with the Commission. **Purpose:** These records are consulted when employment vacancies arise. **Retention and Disposal Standards:** These records are kept for two years after the eligible list for a staffing action expires. **Related to PR#:** PCC EXE 040 **TBS Registration:** 002641 **Bank Number:** PCC PPU 010

Manuals

- RCMP Public Complaints Commission Complaints Procedures Manual (Draft)
- RCMP Public Complaints Commission Rules of Practice and Procedures for Hearings (Draft)

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information on the RCMP Public Complaints Commission may be directed to:

Executive Director
RCMP Public Complaints Commission
P.O. Box 3423, Station D
Ottawa, Ontario
K1P 6L4

Reading Room

In accordance with the Access to Information Act, an office has been designated as a public reading room. This room is situated at:

3rd Floor
60 Queen Street
Ottawa, Ontario

Security Intelligence Review Committee

Chapter 94

General Information

Background

The Security Intelligence Review Committee was established under the Canadian Security Intelligence Service Act on July 14, 1984.

Responsibilities

The Security Intelligence Review Committee, an independent external review body, is mandated to review the performance by the Canadian Security Intelligence Service of its duties and functions. The Committee is required to investigate complaints from individuals who have had their employment prospects affected by the denial of a security clearance. It also investigates reports made to it by the Secretary of State for Citizenship, the Minister of Immigration, the Human Rights Commission, and the Solicitor General of Canada, which relate to national security or to an individual's involvement in organized crime. The Committee is required to report annually to Parliament, through the Solicitor General, on these matters.

Legislation

- Canadian Security Intelligence Service Act

Organization

Research Section:

Carries out studies and other activities to provide the external review of the Canadian Security Intelligence Service.

Complaints Section:

Investigates complaints by individuals, or reports by Ministers, related to security clearances and the national security of Canada.

Information Holdings

Personal Information Banks

Section 41 of the Canadian Security Intelligence Service Act — Complaints Against the Canadian Security Intelligence Service

Description: The Security Intelligence Review Committee may receive complaints from any person with respect to any act or thing done by the Canadian Security Intelligence Service (CSIS) and the Committee shall investigate the complaint if (a) the complainant has made

a complaint to the Director with respect to that act or thing and the complainant has not received a response within such period of time as the Committee considers reasonable, or is dissatisfied with the response given; and (b) the Committee is satisfied that the complaint is not trivial, frivolous, vexatious or made in bad faith. Upon completion of its investigation of a section 41 complaint, the Review Committee shall provide the Solicitor General and the Director of the Canadian Security Intelligence Service with a report and will then advise the complainant of the Committee's conclusions and recommendations. The information was obtained to complete investigations resulting from the submission of a complaint against the CSIS under section 41 of the Canadian Security Intelligence Service Act. Consistent uses are to support the recommendations resulting from the investigation of a complaint. **Bank Number:** SIR PPU 005

Section 42 of the Canadian Security Intelligence Service Act — Complaints from Federal Employees — Including DND and Contractors

Description: When complaints are received from individuals where, by reason only of the denial of a security clearance required by the Government of Canada, a decision is made by a deputy head to deny employment to an individual or to deny a promotion or transfer to an individual, or to deny an individual or any other person a contract to provide goods or services to the Government of Canada, the deputy head shall send, within ten days after the decision is made, a notice informing the individual of the denial of the security clearance. The Review Committee shall, as soon as practicable after receiving a complaint made under section 42, send to the complainant a statement summarizing such information available to the Committee as will enable the complainant to be as fully informed as possible of the circumstances giving rise to the denial of the security clearance and shall send a copy of the statement to the Director of the Canadian Security Intelligence Service. Upon completion of an investigation in relation to a complaint under section 42, the Review Committee shall provide the Solicitor General, the Director of the Canadian Security Intelligence Service and the deputy head concerned with a report containing any recommendations that the Committee considers appropriate and those findings of the investigation that the Committee considers it fit to report to the complainant. This information was obtained as part of an investigation by the Review Committee under section 42 of the Canadian Security Intelligence Service Act. Consistent uses are to support the recommendations made following the investigation. **Bank Number:** SIR PPU 010

Section 73 of the Canadian Security Intelligence Service Act — Reports Submitted to the Canadian Human Rights Commission

Description: When, at any stage after the filing of a complaint with the Human Rights Commission and before the commencement of a hearing before a Human Rights Tribunal in respect thereof, the Commission receives written notice from a Minister of the Crown in right of Canada that the practice to which the complaint relates was based on considerations relating to the security of Canada, the Commission may (a) dismiss the complaint; or (b) refer the matter to the Security Intelligence Review Committee. The Security Intelligence Review Committee shall, as soon as practicable after a matter in relation to a complaint under subsection 36.1 of the Canadian Human Rights Act is referred to it, send to the complainant a statement summarizing such information available to it as will enable the complainant to be as fully informed as possible of the circumstances giving rise to the referral. Upon completion of its investigation, the Review Committee shall provide the Commission, the Minister concerned, the Solicitor General, the Director of the Canadian Security Intelligence Service and the complainant with a report containing the findings of the Committee. This information relates to any individual who has filed a complaint with the Canadian Human Rights Commission, or the person against whom the complaint was made. This information was obtained as part of an investigation by the Review Committee under section 73 of the Canadian Security Intelligence Service Act. Consistent uses are to support the recommendations arrived at following the investigation. **Bank Number:** SIR PPU 015

Section 75 of the Canadian Security Intelligence Service Act — Citizenship Reports

Description: The Secretary of State for Citizenship under subsection 17 of the Citizenship Act may make a report to the Review Committee when he is of the opinion that a person should not be granted citizenship under section 5 or subsection 10(1) or administered the oath of citizenship or be issued a certificate of renunciation under section 8 because there are reasonable grounds to believe that the person will engage in activity that constitutes a threat to the security of Canada, or that is part of a pattern of criminal activity planned and organized by a number of persons acting in concert in furtherance of the commission of any offence that may be punishable under any Act of Parliament by way of indictment. The Secretary of State shall, within ten days after the report is made, cause a notice to be sent informing the person of the report and stating that following an investigation in relation thereto, a declaration with respect to that person may be made by the Governor in Council under section 18. The Review Committee shall, as soon as practicable after a report is made to it pursuant to paragraph 1 above, send to the person with respect to whom the report is made a statement summarizing such information available to it as will enable the person to be as fully informed as possible of the circumstances giving rise to the report. The Review Committee shall, upon completion of an investigation in

relation to a report made to it, make a report to the Governor in Council on all matters relating thereto; and at the same time as or after a report is made to the Governor in Council provide the complainant with a report containing the conclusions of the report. The complete report is also sent to the Director of the Canadian Security Intelligence Service and the Solicitor General. This information relates to any individual who is the subject of a report made to the Review Committee by the Secretary of State for Citizenship under section 17 of the Citizenship Act. This information was obtained as part of an investigation by the Review Committee under section 75 of the Canadian Security Intelligence Service Act. Consistent uses are to support the recommendations arrived at following the investigation. **Bank Number:** SIR PPU 020

Section 79 of the Canadian Security Intelligence Act — Immigration Reports

Description: Under section 79 of the Canadian Security Intelligence Act, the Minister of Employment and Immigration and the Solicitor General may make a report to the Review Committee when they are of the opinion, based on security or criminal intelligence reports received and considered by them, that a person, other than a Canadian citizen, is (a) in the case of a permanent resident, a person described in subparagraph 19(1)(d)(ii) or paragraph 19(1)(e) or (g) or 27(1)(c) of the Immigration Act, or (b) in any other case, a person described in any of paragraphs 19(1)(d) to (g) or 27(2)(c) of the Immigration Act. They shall, within ten days after the report is made, cause a notice to be sent informing the person that following an investigation in relation thereto, a deportation order may be made against that person. The Review Committee shall, as soon as practicable after a report is made to it, send to the person with respect to whom the report is made a statement summarizing such information available to it as will enable the person to be as fully informed as possible of the circumstances giving rise to the report. The Review Committee shall, upon completion of an investigation in relation to a report made to it pursuant to subsection 2, make a report to the Governor in Council containing its conclusion as to whether or not a certificate should be issued under subsection 40(1) and the grounds on which that conclusion is based. At the same time as or after a report is made to the Governor in Council, the Committee shall provide the person with respect to whom the report is made with another report containing the Committee's conclusions. This information relates to any individual who is the subject of a report made to the Review Committee by the Secretary of State for Immigration under sections 19 and 27 of the Immigration Act. This information was obtained as part of an investigation by the Review Committee under section 79 of the Canadian Security Intelligence Service Act. Consistent uses are to support the recommendations arrived at following the investigation. **Bank Number:** SIR PPU 025

Manuals

- The Security Intelligence Review Committee's Rules of Practice and Procedures, dated March 9, 1985

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Individuals wishing to make general enquiries on the Security Intelligence Review Committee are requested to contact:

The Security Intelligence Review Committee
P.O. Box 2430, Station D
Ottawa, Ontario
K1P 5W5
(613) 990-8441

Reading Room

Two offices have been designated under the Access to Information Act as public reading rooms. These rooms are situated at:

14th floor
Journal Tower South
365 Laurier Avenue West
Ottawa, Ontario

Social Sciences and Humanities Research Council of Canada

Chapter 95

General Information

Background

The Social Sciences and Humanities Research Council is a grant-giving body whose funds are voted by Parliament. Its mandate, as stated in the Act, is to promote and assist research and scholarship in the social sciences and humanities and to advise the Minister in respect of such matters relating to such research as the Minister may refer to the Council for its consideration. The Council administers programs of support for research and training in the social sciences and humanities, including fellowships, research grants, strategic grants, and funding for research dissemination and other research-related activities.

Responsibilities

The Council's objectives are to enhance the advancement of knowledge by supporting basic research in the social sciences and humanities; to support strategic research in fields of national importance; to help ensure Canada's national capacity for research and expertise in the social sciences and humanities by supporting advanced training in these disciplines; to facilitate communication among scholars in Canada and abroad and to promote awareness and use of Council-funded results within the academic community, the public and private sectors and the general public. In addition, the Council performs a leadership role in research policy in Canada through consultations and liaison with government and the scholarly community.

Legislation

- Social Sciences and Humanities Research Council Act. 1976-77, c. 24, s.2
- Social Sciences and Humanities Research Council By-Law

Organization

President

The President is the chief executive officer of Council and is responsible for the overall management and direction of the work of Council staff in the development and delivery of programs.

Common Administrative Services Directorate

The Common Administrative Services Directorate provides administrative support to the Council in the areas of

personnel, information management, finance, administration and records management.

Program Branch

The Branch is responsible for fostering, promoting and assisting research through the delivery of the Council's grants and fellowships programs and for maintaining the Assessors Database. The Office of the Director General, Program Branch, supervises three directors respectively responsible for 1) the Research and Communications Grants programs, 2) Strategic Grants and Joint Initiatives programs and 3) the Fellowships programs.

Program officers in the branch are grouped into six work teams organized around six broad research areas: literature and fine arts, humanities, civilization and the environment, cognitive sciences, policy studies and collaborative research and interdisciplinary studies. Under this matrix organization, each team administers all applications for research and communication grants, strategic grants, and fellowships whose subject matter falls within the area for which it is responsible. Each team is composed of a team leader, two to four program officers, and one or two administrative assistants.

The program directors provide functional guidance and leadership for their programs to the various work teams.

Secretariat General Branch

The Office of the Secretary General supervises the administration of the Secretariat, the Policy, Planning and International Relations Division, the Evaluation and Statistics Division and the Communications Division. It is also responsible for administering the Presidential Fund for Innovation and Development.

♦ Communications Division

This Division is responsible for Council's internal and external communications, public and media relations, and for the implementation of the communication policy and strategy. It also provides information about Council programs and activities to the scholarly community, government, the media and the general public. It publishes Council's annual report, applicant guides and forms, adjudication manuals, reports of consultative groups, as well as other informational material for SSHRC programs. Lastly it also prepares news releases, newsletters, official correspondence, briefs, statements, speeches, and communication plans.

◆ Evaluation and Statistics Division

This Division plans and conducts evaluations of Council programs, develops and implements new approaches for evaluating the results of research support, and is responsible for preparing and distributing summary statistics on the participants and results of Council programs in response to internal and external requests.

◆ Policy, Planning and International Relations Division

This Division conducts research and analyses related to the work of the Council and is responsible for program budget projections and allocations, for coordinating policy and program development and for national and international liaison and representation.

◆ Secretariat

This Division performs secretariat functions for the Council and its standing committees, the Senior Management Committee, and any other ad hoc groups created to study particular issues. The Secretariat also administers the Access to Information and Privacy (ATIP) legislation, and is responsible for the Selection Committees Nominations Database, for legal and ethical issues and for the Molson Prize for the Social Sciences and Humanities.

Information Holdings

Program Records

Program Branch

Aid to Occasional Research Conferences and International Congresses in Canada

Description: Application files for grants to assist in defraying the administration, publication of proceedings and travel costs of key participants in conferences and congresses. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** SHR IDR 175

Aid to Research and Transfer Journals

Description: Application files for grants to help defray part of a journal's administrative, translation, printing, mailing and distribution costs. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** SHR IDR 125

Aid to Scholarly Publications

Description: Information on the administration by the Social Science Federation of Canada and the Canadian Federation for the Humanities of the program of partial assistance to scholarly publishers for the publication of works of advanced scholarship. **Storage Medium:** computerized database and paper case files **Program Record Number:** SHR IDR 145

Aid to Small Universities

Description: Application files from small universities for grants to promote the focussed development of their social sciences and humanities research capacity.

Storage Medium: Computerized database and paper case files. **Program Record Number:** SHR RES 090

Applied Ethics

Description: Application files from individuals, institutions and groups requesting grants in the area of Applied Ethics. **Topics:** Grant requests — research networks, workshops, partnership development, area research institutes. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** SHR STR 113

Bora Laskin National Fellowship in Human Rights Research

Description: Application files from scholars requesting support to pursue a specific multi-disciplinary program of work in human rights research. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** SHR FEL 240

Doctoral Fellowships

Description: Application files from students seeking financial assistance to carry out a program of studies leading to a Ph.D. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** SHR FEL 220

Eco-Research: A Tri-Council Green Plan Program

Description: Application files from individuals, students and institutions requesting grants under the Research Grant, University Research Chair or Doctoral Fellowship components. This program is currently being phased-out. **Topics:** (Research Grants) Grant requests — letters of intent, development grants, full research grants. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** SHR STR 086

General Research Grants

Description: Information on block funding grants to universities to help them develop and maintain a solid base of research and research-related activities in the social sciences and humanities. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** SHR RES 055

Internal Program Committees

Description: Information on program planning committees, operations committees, task forces, policy review committees, and selection committees. **Topics:** Records and minutes of meetings, reports, committee membership, correspondence. **Program Record Number:** SHR FEL 245, STR 115, RES 060

International Summer Institutes in Canada Program

Description: Application files for grants to Canadian university organizers of international summer institutes

whose objective is to facilitate the development of international scholarly links. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** SHR IDR 192

Joint Initiatives

Description: Application files from individuals, institutions and groups requesting grants under the Joint Initiatives program. **Topics:** Grant requests — research projects, chairs, research centres and networks, and other activities defined in conjunction with public- and private-sector partners in the joint funding. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** SHR STR 117

Jules and Gabrielle Léger Fellowship

Description: Application files from senior scholars requesting support for research and writing on the history, role and function of the Crown and the Governor-General in a parliamentary democracy. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** SHR FEL 230

Major Collaborative Research Initiatives

Description: Application files from scholars requesting grants for large-scale research projects. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** SHR RES 042

Managing for Global Competitiveness

Description: Application files from individuals, institutions and groups requesting grants in the area of Managing for Global Competitiveness. **Topics:** Grant requests — research networks, workshops, partnership development. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** SHR STR 114

Master's Scholarships in Science Policy

Description: Application files from students seeking financial assistance to carry out a program of studies leading to a master's in science policy or in a related area. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** SHR FEL 246

Postdoctoral Fellowships

Description: Application files from scholars at the postdoctoral level who are requesting funds to engage in full-time postdoctoral research for 12 to 24 months. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** SHR FEL 215

Queen's Fellowships

Description: Application files from doctoral fellowship recipients entering a program in Canadian Studies at a Canadian university. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** SHR FEL 216

Science and Technology Policy in Canada

Description: Application files from individuals, institutions and groups requesting grants in the area of Science and

Technology Policy in Canada. **Topics:** Grant requests — research networks, workshops, partnership development. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** SHR STR 116

Standard Research Grants

Description: Application files from scholars requesting a standard research grant. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** SHR RES 035

Strategic Research Networks in Education and Training

Description: Application files from individuals and groups requesting grants for research on education and training. **Topics:** Seed grants and network grants **Storage Medium:** Computerized database and paper case files **Program Record Number:** SHR STR 112

Support to Umbrella Organizations

Description: Application files from the Social Sciences and Humanities Federation of Canada and l'Association canadienne-française pour l'avancement des sciences for the support of their administrative expenses. This program is currently being phased-out. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** SHR IDR 150

The William E. Taylor Fellowship

Description: Application files from the most outstanding doctoral fellowship recipients in each competition year. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** SHR FEL 242

Thérèse F.- Casgrain Fellowship

Description: Application files from scholars at the postdoctoral level requesting support for research on women and social change in Canada. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** SHR FEL 241

Women and Change

Description: Application files from individuals, institutions and groups requesting grants in the area of women and change. **Topics:** Grant requests — research grants, network grants, workshop grants, partnership development grants. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** SHR STR 111

Secretariat General Branch

Communications

Description: Information on Council activities, programs, policies and publications. **Topics:** Annual report; newsletter; news releases; press clippings; speeches; official statements; memoranda; history and background of Council; reports of enquiries, working groups, consultative groups, workshops and conferences; corporate correspondence; application forms, applicant guides, adjudication manuals, corporate brochures,

information circulars, etc. **Program Record Number:** SHR INF 015

Evaluation and Statistics

Description: Information on the evaluation of Council programs. Statistics correlating the number of applications, the amounts of money requested, the number of awards made and the amount paid out in awards with various data on the applicants — academic discipline, language of application (English or French), gender, province of residence, university affiliation, citizenship (Canadian or permanent resident). **Topics:** Surveys and analysis of progress in program accomplishments in relation to program goals; evaluation assessments; evaluation of clientele/scholars/students. **Program Record Number:** SHR EVA 021

Molson Prize for the Social Sciences and Humanities

Description: Information related to the Molson Prize given in recognition of an exceptional contribution in the social sciences or humanities. **Storage Medium:** paper case files. **Program Record Number:** SHR SEC 010.

Policy, Planning and International Relations

Description: Information on the plans, programs and budgets of the Council. **Topics:** Five-Year Plans; new programs; surveys; studies and reports. **Program Record Number:** SHR EVA 020

Presidential Fund for Innovation and Development

Description: Information related to funds made available for grants in support of innovative activities that cannot benefit from the assistance available under the Council's various programs. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** SHR RES 034

Secretariat Documents

Description: Official records and minutes on meetings held under the auspices of the Council, including Senior Management Committee records; on legal matters, and on the administration of the ATIP legislation, on the Selection Committees Nominations Database and on the Molson Prize for the Social Sciences and Humanities, and on ethical issues. **Topics:** Council, Executive Committee, Program Committee and Audit Committee; Senior Management Committee; establishment; organization; functions; agenda; notices; minutes; reports; acts and legislation; by-law; statutory regulations; ATIP requests, reports, directives from Treasury Board; correspondence. **Storage Medium:** Computerized database and paper case files. **Program Record Number:** SHR SEC 005

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Audits

Budgets

Buildings

Buildings and Properties

Classification of Positions

Co-operation and Liaison

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Occupational Health, Safety and Welfare

Office Appliances

Official Languages

Pensions and Insurance

Personnel

Procurement

Salaries and Wages

Staff Relations

Training and Development

Utilities

Vehicles

Personal Information Banks

Access Request Data Bank

Description: This bank contains the access request forms sent by individuals requesting access to SSHRC records and to personal information, the replies to such requests and information related to their processing.

Class of Individuals: Canadian citizens, landed immigrants, or other individuals authorized by Order-in-Council who have made requests under the Access to Information Act or the Privacy Act. **Purpose:** The purpose of this bank is to administer requests made under the Access to Information Act and the Privacy Act, and to report on the number of requests received annually. **Retention and Disposal Standards:** Files are maintained for two years following the last administrative action. **TBS Registration:** 003222 **Bank Number:** SHR PPU 005

Assessors Database

Description: This system contains all data relevant for the selection of assessors for applications submitted to the Council. The primary users are program officers of the Program Branch. The information includes the assessor's name, address, biographical details, area of specialization, as well as a record of the projects that each individual has

evaluated. The data may also be transferred to the Corporate Database for historical purposes. Some 8,000 to 9,000 individual assessors may be consulted each year. **Class of Individuals:** Scholars in the academic community and individuals of the private sector. **Purpose:** This data bank is kept to allow staff to choose prospective assessors for applications submitted for support to the Council. It also provides senior management and staff with an additional pool of potential committee members. **Retention and Disposal Standards:** Twenty-five years. **Related to PR#:** all PR's **TBS Registration:** 002433 **Bank Number:** SHR PPU 070

Central Mailing List

Description: This bank contains names and mailing addresses either provided directly by individuals or obtained through reference material commonly available in libraries, separated into different groupings, e.g. university presidents, university research administrators, learned societies officials, etc., to accommodate the distribution of different kinds of information. **Class of Individuals:** Officials in government, universities, the media, scholars in the academic community, and individuals in the private sector. **Purpose:** This data bank is used for the distribution and dissemination of Council's application forms and guidelines and its public relations information. **Retention and Disposal Standards:** The bank is updated annually. **Related to PR#:** SHR INF 015 **TBS Registration:** 002777 **Bank Number:** SHR PPU 075

Eco-Research Applications and Committee Records

Description: This bank contains records of applications by individuals and institutions for grants and fellowships under one of the three program components, as follows: Research Grants, University Research Chairs and Doctoral Fellowships. This program, jointly sponsored by Environment Canada and the three granting councils (Natural Sciences and Engineering Council, Medical Research Council and Social Sciences and Humanities Research Council) to support advanced Canadian research and training in the environmental sciences, is being currently phased-out. In the case of individual applicants the bank contains the year of their birth, address, academic qualifications, occupation, citizenship (Canadian or permanent resident), language of application (French or English), the decision on the application and the amount of money requested and granted. It also includes project descriptions, third-party and committee assessments and travel and administrative details for grant holders. **Class of Individuals:** University scholars, graduate students, individuals from the private sector and academic and non-academic organizations. **Purpose:** Information in this bank is used to review applications, select candidates, monitor awards and administer programs. **Consistent Uses:** Personal information is made available to selection committee members and observers from Environment Canada and the two other granting councils and to staff of same for purposes of adjudicating, monitoring and evaluating the program. The results of competitions are forwarded to university

research administration officers who are responsible for processing applications from their institutions before sending them to Council, for administering the grants, and for informing the candidates of the outcome of their applications. In the case of student applicants who have applied through their universities, the results of competitions are forwarded to the faculty of graduate studies offices which are responsible for processing applications from their institutions before sending them to Council. The information may also be used for developing a bank of potential external assessors and adjudication committee members. **Retention and Disposal Standards:** none to date **Related to PR#:** SHR STR 086 **TBS Registration:** 003205 **Bank Number:** SHR PPU 032

Eco-Research Trainee Directory

Description: This bank contains data on students supported under the Eco-Research fellowships, research grants and research chairs programs administered by the Tri-Council Secretariat for the Social Sciences and Humanities Research Council, the Natural Sciences and Engineering Research Council and the Medical Research Council. The information contained in each entry includes the individual's name, gender, address, telephone and fax numbers, email address, language skills, main discipline, related disciplines, type of position sought, title of thesis or dissertation, name of thesis supervisor, degrees and the universities which awarded the degrees, work experience, academic awards and distinctions, publications and date of availability. The Tri-Council Secretariat seeks this information directly from the students who are made aware of the uses to be made of the information and consent to its release to potential employers by signing the questionnaire. **Class of Individuals:** Canadian citizens and permanent residents. **Purpose:** The purpose of this bank is to put employers in touch with potential employees by circulating the latter's professional interests and research achievements. **Consistent Uses:** The information collected would also be used to respond to requests for statistical information on trainees funded or involved in Eco-Research projects. **Retention and Disposal Standards:** Five years. **Related to PR#:** SHR STR 086 **TBS Registration:** 003742 **Bank Number:** SHR PPU 080

Fellowships Applications and Committee Records

Description: This bank contains records of individual applications as well as information related to the adjudication and administration of the Council's fellowship programs including the Queen's Fellowships, Doctoral Fellowships, Postdoctoral Fellowships, Bora Laskin National Fellowship in Human Rights Research, Master's Scholarships in Science Policy, the Jules and Gabrielle Léger Fellowship, the Thérèse F.- Casgrain Fellowship, and the William E. Taylor Fellowship. Each applicant is identified by name and the file number assigned to the application. The bank contains the applicant's year of birth, address, academic qualifications, occupation, citizenship (Canadian or permanent resident), language of application (English or French), the Council's decision on the application and the amount of the basic award. It also

includes third-party assessments, committee rankings and appraisals, and travel and administrative details for fellowship holders. **Class of Individuals:** Graduate students, university scholars and independent scholars.

Purpose: Information in the bank is used to review applications, select candidates, monitor awards and administer programs. **Consistent Uses:** Information is also used in consultation with other granting agencies to determine the most appropriate review mechanism or sources of funding. In the jointly-funded programs, such as the Casgrain Fellowship, personal information will be disclosed to the named public- and private-sector joint funding partners for purposes of monitoring and evaluating the program. In the case of applicants who have applied through their universities, the results of competitions are forwarded to the faculty of graduate studies offices which are responsible for processing applications from their institutions before sending them to Council. **Retention and Disposal Standards:** Information is retained for ten years (successful applications) and five years (unsuccessful applications), with the exception of the following programs: Doctoral and Queen's Fellowships, ten years (successful applications) and seven years (unsuccessful applications). **Related to PR#:** SHR FEL 215, 216, 220, 230, 240, 241, 242, 245, 246 **TBS Registration:** 002419 **Bank Number:** SHR PPU 010

Grant Financial System

Description: This system controls and monitors the Council's disbursements to, and accounts receivable with, individual and institutional grant holders. The award holder is identified by the basic personal information and file number from the SSHRC Corporate database (SHR PPU 035). The information also includes the award holder's social insurance number, bank account number and payment requisition for funds committed. **Class of Individuals:** Graduate students, university scholars, independent scholars and members of academic organizations. **Purpose:** The system is used for administrative and financial control and reporting. It is also the basis for the creation of transaction files on payments to award holders. In addition, the system includes the award holder's social insurance number for the issuance of T4A slips (Income Tax Act, paragraph 237 (1)(b)). **Retention and Disposal Standards:** Six fiscal years from date of last payment. **Related to PR#:** all PR's **TBS Registration:** 002430 **Bank Number:** SHR PPU 055

Research Communication Applications and Committee Records

Description: This bank contains records of applications from individuals and associations as well as information related to the adjudication and administration of awards for funding in the Aid to Research and Transfer Journals, Aid to Occasional Research Conferences and International Congresses in Canada and support to umbrella organizations (currently being phased out) programs. Applications from individuals and associations are identified by name and file number assigned to the application. In the case of individual applicants the bank

contains the year of their birth, address, academic qualifications, occupation, citizenship (Canadian or permanent resident), language of application (French or English), the amount of money requested, the Council's decision on the application and the amount granted. It also includes third-party and committee assessments and travel and administrative details for grant holders.

Class of Individuals: University scholars and members of academic organizations. **Purpose:** The information in this bank is used to review applications, select candidates, monitor awards and administer programs. **Consistent Uses:** Information is also used in consultation with other granting agencies to determine the most appropriate review mechanisms or sources of funding. The results of competitions are forwarded to university research administration officers who are responsible for processing applications from their institutions before sending them to Council, for administering the grants, and for informing the candidates of the outcome of their applications. The information may also be used for developing a bank of potential external assessors and adjudication committee members. **Retention and Disposal Standards:** Information is retained for five years (successful applications) and three years (unsuccessful applications) with the exception of the Aid to Small Universities program whose files are retained for five years (all applications are successful) and the Specialized Research Collections program, whose files are retained for ten years (successful applications) and five years (unsuccessful applications) **Related to PR#:** SHR IDR 125, 150, 175 and 200. **TBS Registration:** 002422 **Bank Number:** SHR PPU 025

Research Grant Applications and Committee Records

Description: This bank contains records of applications by individuals for grants under the Research Grants, Major Collaborative Research Initiatives, General Research Grants and the Aid to Small Universities programs, as well as information related to the adjudication and administration of awards. Each applicant is identified by name and file number assigned to the application. The bank contains the applicant's year of birth, address, discipline, academic qualifications, occupation, citizenship (Canadian or permanent resident), language of application (English or French). It also includes research project descriptions, the amounts of money requested, the Council's decision on the application, amount awarded, third-party and committee assessments, and travel and administrative details for grant holders, in addition to productivity reports. **Class of Individuals:** University scholars. **Purpose:** Information in this bank is used to review and select applications, monitor awards and administer programs. **Consistent Uses:** Information is also used in consultation with other granting agencies to determine the most appropriate review mechanism or sources of funding and for joint review and funding of researchers from several disciplines which significantly overlap the jurisdictions of more than one granting Council. The results of competitions are forwarded to university research administration officers who are responsible for processing applications from their institutions before sending them to

Council, for administering the grants, and for informing the candidates of the outcome of their applications. The information may also be used for developing a bank of potential external assessors and adjudication committee members. **Retention and Disposal Standards:**

Information is retained for five years from the date of the final report (successful applications), and for three years from the date of the adjudication decision (unsuccessful applications) for Research Grants program. For the Major Collaborative Research Initiatives program, the files are retained for five years from the date of the final report (successful applications) and five years from the date of the adjudication decision (unsuccessful applications).

Related to PR#: SHR RES 035, 042, 055, 060, 090 **TBS Registration:** 002420 **Bank Number:** SHR PPU 015

Research Outcomes Pilot Project Database

Description: This bank contains information pertaining to grantees in the Strategic Grants program dating from 1988 to 1995 and in the Research Grants program from 1988 to 1992. The information contained in each entry includes the project title, name of principal applicant, project dates, an indication whether the grantee is deceased, and statements on the status and completeness of the productivity report. Narrative information is captured from the summary of the research project, on the original, revised and achieved objectives, and on the contributions of the research to the advancement of knowledge and on its practical impact. Narrative and/or statistical information is also captured on the research training aspect of the project, on the composition of the research team (the latter including information on the employee name, type, status, and role), and on the number and level of involvement and funding of the partners. As well, there is narrative and/or statistical information on the international aspects of the research, on the preservation of data, on the number and value of acquisitions and rentals made using the grant funds, and on travel expenditures. Lastly, information on communication activities is also captured, such as the publication of books, chapters, etc., public presentations and awards, as well as on any other research activities resulting directly or indirectly from the funded research. **Class of Individuals:** Canadian citizens and permanent residents. **Purpose:** This bank was established to attempt to find a more efficient method of monitoring and assessing the outcomes of research as a means for evaluating the achievements and impact of research funded by the Council. **Consistent Uses:** The information collected will also be used to aid in the assessment and review of any future applications by the grantees. **Retention and Disposal Standards:** Ten years. **Related to PR#:** SHR RES 035, SHR STR 111 to 116 **TBS Registration:** 003743 **Bank Number:** SHR 081

Secretariat General Applications and Nominations Records

Description: This bank contains records of applications by individuals and organizations for grants through the Presidential Fund for Innovation and Development, and contains records of nominations of individuals for the

Molson Prize for the Social Sciences and Humanities, as well as information related to the adjudication and administration of these awards. Applicants and organizations in the Presidential Fund are identified by name and file number assigned to the application. There are no nominee files in the Molson Prize, the nominations being kept in the pertinent Council central registry files. The bank contains some or all of the following information on applicants and nominees: year of birth, address, discipline, academic qualifications, occupation, citizenship (Canadian or permanent resident), language of application (English or French), project descriptions, third-party assessments and committee assessments. The amounts of money requested, the Council's decision, the amounts awarded and travel and administrative details for grant-holders, in addition to final reports are also included for Presidential Fund applications. **Class of Individuals:** University and independent scholars and members of academic organizations. **Purpose:** Information in this bank is used to review and select applications, monitor awards and administer the funds. **Consistent Uses:** For the Molson Prize, the information on nominees along with nominations in the Arts submitted to the Canada Council, is reviewed by a joint selection committee representing the two facets in the Prize's mandate. **Retention and Disposal Standards:** Information on Presidential Fund applications is retained for seven years (successful applications) and five years (unsuccessful applications). Information on the Molson Prize nominations is retained for seven years. **Related to PR#:** SHR RES 034, SHR SEC 010 **TBS Registration:** 003483 **Bank Number:** SHR PPU 076

Selection Committees Nominations Database

Description: This system contains data on potential selection committee members provided by Canadian universities and the learned societies. The information contained in each entry includes the individual's name, degrees, university, discipline, field of interest, geographic area, year of birth, gender and language. The system also holds records on current and past service for individuals who sit on Council selection committees. The Secretariat Division is responsible for seeking new nominations and updates on existing data, every two years and also holds a set of binders containing personal résumés obtained from nominees, filed in alphabetical order. **Class of Individuals:** Scholars in the academic community. **Purpose:** This data bank provides senior management and staff with a pool of potential committee members. It is also kept to allow staff to choose prospective assessors for applications submitted for support through Council programs. **Retention and Disposal Standards:** Twenty-five years. **Related to PR#:** all PR's **TBS Registration:** 002432 **Bank Number:** SHR PPU 065

SSHRC Corporate Database

Description: This data bank contains information on all individuals and institutions applying for the Council's grants and fellowships programs, and includes data from application files of discontinued programs. Each applicant

is identified by name and year of birth. The file also contains information on the individual applicant's academic background, gender, language of application (English or French), and citizenship (Canadian or permanent resident). The number assigned to each application made by an individual to the Council is included in the record, along with a code indicating the result of that application. **Class of Individuals:** Graduate students, university scholars, independent scholars, individuals from the private sector and members of academic and non-academic organizations. **Purpose:** This bank is used to identify applicants and to constitute a history of Council support to individuals and organizations. **Consistent Uses:** The information in the database is also used in the administration of the programs and in the production of reports and statistics. **Retention and Disposal Standards:** Ten years. **Related to PR#:** all PR's **TBS Registration:** 002435 **Bank Number:** SHR PPU 035

Strategic Grants Applications and Committee Records

Description: This bank contains records of applications by individuals and institutions as well as information related to the adjudication and administration of awards for the support of research and research development under the themes of Applied Ethics, Managing for Global Competitiveness, Science and Technology Policy in Canada, Women and Change, for International Summer Institutes in Canada, and for research and research development in a number of Joint Initiatives. Applications from individuals are identified by name and file number assigned to the application. The bank contains the applicant's year of birth, address, academic qualifications, occupation, citizenship (Canadian or permanent resident), language of application (French or English), the amounts requested, the Council's decision on the application and the amounts awarded. It also includes project descriptions, third-party and committee assessments, and travel and administrative details for grant holders, in addition to productivity reports. **Class of Individuals:** University and independent scholars, individuals from the private sector, and academic and non-academic organizations. **Purpose:** Information in this bank is used to review applications, select candidates, monitor awards and administer programs. **Consistent Uses:** Information is also used in consultation with other granting agencies to determine the most appropriate review mechanisms or sources of funding and for joint review and funding of researchers from several disciplines which significantly overlap the jurisdictions of more than one granting Council. The results of competitions are forwarded to university research administration officers who are responsible for processing applications from their institutions before sending them to Council, for administering the grants, and for informing the candidates of the outcome of their applications. The information may also be used for developing a bank of potential external assessors and adjudication committee members. In the Joint Initiatives program, personal information will be disclosed to the named public- and private-sector joint funding partners for purposes of

monitoring and evaluating the program. **Retention and Disposal Standards:** Information is retained for ten years from the decision date (successful applications) and three years from the decision date (unsuccessful applications).

Related to PR#: SHR STR 111, 113, 114, 116, 117 and SHR IDR 192 **TBS Registration:** 002421 **Bank Number:** SHR PPU 020

Classes of Personal Information

Administration Files

The general administrative and correspondence files of the Social Sciences and Humanities Research Council contain a certain amount of personal information not arranged or retrievable by personal identifiers. Such personal information includes suggestions and opinions from the general public which form part of a specific subject file. This form of personal information is normally retrievable only if specifics of name, date of communication and subject are provided. The retention periods for these classes of personal information are controlled by the records schedules of the general subject files in which they are stored.

Reports and Surveys

For purposes of program planning and evaluation, the Council requires a variety of reports concerning aspects of social sciences and humanities research in Canada. The reports themselves, published or unpublished, do not contain personal information about individuals. In the process of preparing such reports, personal information is necessarily obtained. This information may include current academic position, professional qualifications of individuals, nature of research being undertaken by individuals and present and past funding of an individual's research. This is not arranged or retrievable by personal identifiers. This form of personal information is normally retrievable only if specifics are provided concerning the study or report involved. The retention periods for these classes of personal information are controlled by the records schedules of the general subject files in which they are stored.

Manuals

- An Introduction to Grants and Fellowships Programs
- Financial Administration Manual, SSHRC
- Guide on the Program Evaluation Function
- Integrity in Research and Scholarship: A Tri-Council Policy Statement
- Manuals for Adjudication Committee Members (all programs)
- Principles for the Evaluation of Programs
- Program Evaluation Policy
- SSHRC Fellowship Programs: Detailed Guide (Doctoral Fellowships, Postdoctoral Fellowships, Queen's Fellowships, Taylor Fellowships, Master's Scholarships in Science Policy)

- SSHRC Fellowships: Award Holder's Guide (Doctoral Fellowships, Postdoctoral Fellowships, Queen's Fellowships and Taylor Fellowships, Master's Scholarships in Science Policy)
- SSHRC Grant Holder's Guide (Research Grants, Strategic Grants, Publication Grants, Conference and Congress Grants, Grants to Institutions and Special Research Fellowships)
- SSHRC Granting Programs: Detailed Guide (Research Grants Programs, Strategic Grants Programs, Publication Grants, Conference and Congress Grants, Grants to Institutions and Special Research Fellowships)
- SSHRC Statistical Tables
- Standards of Data Entry
- Statistics Policy
- Treasury Board Guidelines on the Access to Information Act and the Privacy Act

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Council and its various programs and activities may be addressed to:

General, Parliamentary and Press Inquiries

Director, Communications Division
Social Sciences and Humanities Research Council
P.O. Box 1610
Ottawa, Ontario
K1P 6G4
(613) 992-0691
pwi@SSHRC.CA

General Inquiries/Programs Administered by SSHRC:

Evaluation and Statistics:
(613) 992-5148
rjh@SSHRC.CA
Fellowships:
(613) 992-0530
cam@SSHRC.CA
Policy, Planning and International Relations:
(613) 992-5127
nst@SSHRC.CA
Research and Communication Grants:
(613) 992-3145
sle@SSHRC.CA
Strategic Grants and Joint Initiatives:
(613) 992-3027
dcr@SSHRC.CA

Reading Room

A meeting room on the same floor as the Office of the Coordinator has been designated as a public reading room in accordance with the Access to Information Act. The address is:

Ottawa

11th Floor, 350 Albert Street
Ottawa, Ontario

Solicitor General Canada, Ministry Secretariat

Chapter 96

General Information

Background

The Department of the Solicitor General was established in 1966 when Parliament enacted the Department of the Solicitor General Act, which assigned to the Solicitor General responsibility and accountability for the management and direction of reformatories, prisons, penitentiaries, parole, remissions, and the Royal Canadian Mounted Police.

Evolutionary additions and changes to this mandate resulted in the Department's reorganization into a ministry, incorporating the Secretariat, the Royal Canadian Mounted Police, the Correctional Service of Canada, the National Parole Board, the Office of the Correctional Investigator, the Canadian Security Intelligence Service (CSIS) and the Office of the Inspector General.

Responsibilities

Under the Departmental Act, the Solicitor General is responsible for federal matters relating to policing, corrections and parole, and domestic aspects of national security. The Solicitor General is also the lead minister for counter-terrorism.

These responsibilities are fulfilled through the activities of the Royal Canadian Mounted Police (RCMP), Canadian Security Intelligence Service (CSIS), Correctional Service Canada (CSC), the National Parole Board (NPB), and the review agencies: the Inspector General of CSIS, the Correctional Investigator, the RCMP Public Complaints Commission, the RCMP External Review Committee, and the Secretariat. Please note that each of the above-mentioned agencies has its own Information Holdings and its own Personal Information Banks and is autonomous in terms of the administration of the Access to Information Act and Privacy Act. In order to access information from any of the Agencies, please forward your request directly to them. Please consult the Table of Contents and Introduction portions of Info Source for more information concerning the Information Holdings and addresses of the respective Agencies.

The Department provides advice and support to the Solicitor General in discharging his responsibilities for: providing effective direction to the agencies; exercising a national leadership role in policing, security and corrections; implementing First Nations Policing arrangements; and, answering in Cabinet and Parliament for the Ministry. The Department promotes and helps to maintain a Canadian society in which all persons can feel protected from threats to personal and national security and from infringements upon their rights and freedoms. The level,

complexity and cross-jurisdictional nature of the issues addressed by the Department require that it work closely with others, including the Ministry agencies, federal departments such as Justice Canada, the provinces and other partners in the criminal justice system. Given the advisory nature of its work, the Department is also commonly referred to as the Secretariat.

Legislation

- Canadian Security Intelligence Service Act
- Corrections and Conditional Release Act
- Criminal Code of Canada
- Criminal Records Act
- Department of the Solicitor General Act
- Prisons and Reformatories Act
- Royal Canadian Mounted Police Act
- Security Offenses Act
- Transfer of Offenders Act

Organization

Aboriginal Policing Directorate

The Directorate is responsible for the implementation of the First Nations Policing Policy and related program administration and provides strategic advice to the Minister regarding the Solicitor General's responsibilities related to this initiative. The Operations and Liaison Division is mandated to negotiate tripartite policing agreements with First Nations and provincial/territorial governments and to implement the program at the regional level. The Policy and Research Division is responsible for policy and program development (on- and off-reserve), and research activities geared towards improving the quality of First Nations policing services.

Communications Group

The Communications Group provides information to general and specialised publics about government policies and programs in the areas of corrections, parole, policing and security, as well as First Nations policing. Its role is to provide communications advice and support to the Solicitor General, the Deputy Solicitor General and the Department's managers.

Corporate Services Directorate

The Corporate Services Directorate provides advice and support to the Department in the areas of: finance and administration; security; informatics and telecommunications; human resource management; library services; and management review. It also provides advice and support to the three small review agencies,

primarily in the areas of finance and administration, security, and human resource management.

♦ Human Resources Division

Human Resources provides advice and support to the Department in all areas of human resources to attain a qualified, productive and sustainable workforce and an enabling working environment.

♦ Management Review Directorate

This Directorate is responsible for the Department's review program, which includes internal audit, program evaluation, other reviews and the coordination of reviews undertaken by program managers. The Directorate provides the Deputy Solicitor General and senior management with timely and objective advice and assurances on the performance of the Secretariat's management framework, key policies, programs and operations.

♦ Systems Division

Systems provides centralized computer and telecommunications services for the Department of the Solicitor General.

Executive Services Division

The Executive Services Division ensures that the required collaboration of the Agencies is undertaken to allow the Minister to fulfill his many parliamentary and Cabinet responsibilities. The division also supports the Minister by integrating major briefings and documentation from all parts of the Department and Agencies in order to prepare the Minister for official meetings and visits. In addition, Executive Services manages the timely processing of ministerial correspondence, as well as the Ministry's Access to Information and Privacy (ATIP) requests. Secretarial and office support services to the Minister's office are also provided by this division.

Finance and Administration

Responsible for the provision of complete financial and administrative support to the Department and the Review Agencies of the Ministry through the preparation, implementation and delivery of complete financial, administrative and security policies, procedures, programs, systems and services.

Ministry Library and Reference Centre

Provides basic library services to the staff of the Secretariat, the Correctional Service of Canada and the National Parole Board.

Policy Branch

The Policy Branch provides strategic advice and support to the Solicitor General with respect to his direction of the RCMP, CSIS and CSC, and his national leadership role in the areas of policing, security, corrections and parole. The

Branch also advises and supports the Solicitor General in: his accountability to Parliament for the activities of the Agencies, the Office of the Correctional Investigator, the RCMP Public Complaints Commission, the RCMP External Review Committee and the Office of the Inspector General of CSIS; the administration of the Minister's statutory responsibilities respecting law enforcement, corrections and security; and his general responsibility as a Cabinet Minister to contribute to the public policy decisions of the Government.

♦ Corrections Directorate

The Directorate provides advice and support to the Solicitor General in his direction of the CSC and in his accountability to Parliament for the NPB. The Directorate is therefore responsible for providing advice on the strategic priorities of the CSC and NPB, and on a broad range of correctional program issues and activities. To support the Minister in his public policy leadership role in the corrections area, the Directorate works with the CSC and NPB to develop research-based policy initiatives aimed at addressing the requirement for a more effective, efficient and accountable federal corrections system.

♦ Policing and Law Enforcement Directorate

The Directorate provides advice and support to the Solicitor General in his direction of the RCMP and in respect of the priorities and proposals for initiatives advanced by the RCMP. To this end, the Directorate is responsible for: developing and reviewing policy proposals governing the overall effectiveness and accountability of the RCMP; developing and implementing federal policy on the management of RCMP policing agreements with provinces, territories and municipalities; and reviewing RCMP policy initiatives. The Directorate is also responsible for advising and supporting the Solicitor General in his public policy leadership role in the area of policing and law enforcement. To this end, the Directorate is responsible for developing research-based policy initiatives with federal, national, and international policing and law enforcement implications.

♦ Policy, Planning and Coordination Directorate

Working with other Directorates within the Department and with the Agencies of the Ministry, the Directorate is responsible for providing expert advice to the Minister on the policy planning framework and direction for all matters for which the Solicitor General is responsible. To this end, the Directorate provides coordination in ongoing development of policy planning initiatives, establishes linkages and maintains liaison with other provincial and federal departments and internationally, as well as with the non-government sector, and supports the Ministry in conducting environmental scanning, research coordination and providing statistics policy advice.

♦ Security Directorate

The Directorate provides advice and support to the Solicitor General in his direction, control and accountability for

CSIS and the security functions of the RCMP. To this end, the Directorate supports the Minister with policy advice respecting his responsibilities for the accountability and review instruments and framework set out in the CSIS Act. The Directorate also coordinates national counter-terrorism and crisis management exercises, as well as the federal response to threats against national security.

Information Holdings

Program Records

Aboriginal Policing

Description: Information on First Nations policing policy, program administration, workshops, Federal/provincial inquiries, task forces relating to aboriginal policing, research and development projects both on and off reserve, general enquiries and requests to participate in the Aboriginal Policing Program, proposals and policing agreements, and follow-up monitoring information of the policing services. **Program Record Number:** SGC PSB 017

Community Corrections

Description: Information on community sanctions. **Topics:** Probation; alternative sentencing; restitution; reparative sanctions. **Program Record Number:** SGC COR 071

Conditional Release

Description: Information on all aspects of conditional release from institutions. **Topics:** Parole decision-making, parole guidelines; parole supervision; mandatory supervision; temporary absences; clemency; factors related to success/risk reduction; procedural safeguards; parole officer's role. **Program Record Number:** SGC COR 100

Contingency Planning and Counter-Terrorism — National Security Directorate

Description: Information on the development of contingency and preparedness plans in the event of a threat to the government structure, including situation responses, either in a resource or lead capacity; implementation of Counter-terrorism Task Force recommendations, including the national counter-terrorism plan. **Topics:** Contingency plans in peacetime; war; hijacking; hostage-taking; counter-terrorism programs; Ministry Crisis Centre/National Security Directorate — Situation Room; exercises. **Program Record Number:** SGC PSB 020

Correctional Administration

Description: Information of the administrative functions and activities of the Correctional Services of Canada. **Topics:** Policy, human resources, visitors, reports and statistics, Boards of Inquiry, financial management,

co-operation and liaison. **Program Record Number:** SGC COR 070

Corrections Policy

Description: Information on correctional policy, including the procedure for determining how and where offenders serve their sentences of incarceration; and projects relating to corrections which have been funded or proposed for funding by the Ministry Secretariat. **Topics:** Exchange agreements; inquiries, cost of correctional services; correctional objectives; aboriginal offenders; female offenders; visible minority corrections; Correctional Legislation, treatment of offenders; special incidents. Project files are arranged by name of project. **Program Record Number:** SGC COR 075

Crime

Description: Information on the nature and study of various types of crime; and on projects designed to develop policies, procedures or/and national programs for police, the private sector, and communities directed at avoiding the commission of a criminal act or offence. **Topics:** The costs of crime and the criminal; unemployment and crime; homicide; sexual offenses; computers and crime; white-collar crime; impaired driving; terrorism; psychoactive substance abuse; Police Training Manual; anti-vandalism; Crime Prevention Kit. **Program Record Number:** SGC PSB 080

Criminal Justice

Description: Information on the criminal justice system, including projects relating to criminal justice which have been funded or proposed for funding by the Ministry Secretariat. **Topics:** Objectives of the criminal justice system; myths on crime and criminal justice; sentencing; missing children; various international criminal offenses; capital punishment; public attitudes. Project files are arranged by name of project. **Program Record Number:** SGC COR 085

Fingerprint Examiner

Description: Information on requirements for designation and revocation of fingerprint examiners under subsection 667(5) of the Criminal Code. **Topics:** Requirements, designation, revocation. **Access:** Files are arranged by province. **Program Record Number:** SGC PSB 010

Institutional Corrections

Description: Information on incarceration and on topics arising as a result of a sentence to a prison term, including information on specific programs, research and statistics. **Topics:** Long-term incarceration; classification and typologies; inmates' rights; health care; correctional investigator, dissociation; grievance procedures; riots; hostage taking; suicides; independent chairpersons; life skills; trade and professional education; treatment program; living units; remission, rehabilitation. **Program Record Number:** SGC COR 045

International Transfer of Offenders

Description: Information on the issues regarding the international transfer of offenders, where the person has been convicted and sentenced in a foreign state and requests to serve the remaining portion of the sentence in his/her home country. **Topics:** Policy; treaties; objectives of international transfers; requirements; restrictions.

Program Record Number: SGC COR 105

Part VI, Criminal Code — Invasion of Privacy

Description: Information on the policy, procedures and administrative aspects of the use of electronic surveillance for the interception of private communications. This information is used to assist the Minister in fulfilling his responsibilities under Part VI of the Criminal Code of Canada, the provision of operational guidelines to designated agents and peace officers. This program record also contains information from police operational reports from agents designated under paragraph 185(1)(a), which is required to assist the Minister in fulfilling his responsibilities, as defined in section 195 of the Criminal Code of Canada, regarding the submission to Parliament of an annual report on the use of electronic surveillance. **Program Record Number:** SGC PSB 025

Policing and Law Enforcement

Description: Information on issues related to federal policing and law enforcement in general, excluding national security; police accountability to the executive and the public; policing functions; the organization and management of policing; also the resources, role, function, services, management, administration and operations of the RCMP including policing agreements, personnel policies and practices; and projects relating to policing and law enforcement which have been funded or proposed for funding by the Ministry Secretariat. **Topics:** Policing and law enforcement; community policing; private policing; Police Week; accountability; law enforcement and the prosecutorial function; police discretion; hazardous pursuits and roadblocks; tear gas; federal policing; Police Powers; enforcement officer powers; firearms issues; federal-provincial relations; mutual assistance treaties; comprehensive drug strategy; Enterprise Crime; War Crimes; Parliamentary Privileges; police functions; patrol; traffic; criminal investigation; electronic surveillance; organization and management; management information systems; safety; RCMP administration; supernumerary special constable program; Police Information Retrieval System; finance; buildings and property; claims; telecommunications; information access; productivity and performance; airport police; bilingualism; contract delegation; operational plan; Ministerial enquiries; training; Policing Agreements — provincial, international and interdepartmental; policing operations; Canadian Police Information Centre; protective policing; investigations; drug enforcement program; liaison with foreign countries; methods and procedures; RCMP jurisdiction; requests for assistance; Ministerial Directives; RCMP Personnel Policies; internal discipline, visible minorities; personal harassment in the

workplace; recruitment; salaries; Benefit Trust Fund; grievance and appeals; complaints against RCMP; promotion and appointments; pension plans; labour relations; travel; termination of service; RCMP External Review Committee and RCMP Public Complaints Commission. Project files are arranged by name of project. **Program Record Number:** SGC PSB 015

Policy, Planning and Coordination Directorate

Description: information on federal-provincial matters, private and voluntary sector relations, international issues. **Topics:** consultation, liaison, policy planning, research, statistics, communication, regional representation and regional issues. **Program Record Number:** SGC PMB 050

Program Evaluation Studies

Description: The information bank for multiple data collection will provide data on the various program components of the Secretariat, enabling a comprehensive evaluation of the programs and their impacts. The data collected will promote more effective, efficient and innovative management of the Solicitor General Secretariat. **Program Record Number:** SGC PMB 055

Security and Intelligence Committees

Description: Information on the operations of security and intelligence committees, as well as the provision of administrative and policy support to these committees. **Topics:** Security Advisory Committee; Intelligence Advisory Committee; Inter-departmental Committee on Security and Intelligence; Cabinet Committee on Security and Intelligence; subcommittees and study groups on the administrative security policy and national internal security policy responsibilities of the above committees. **Access:** Files are arranged by committee, subcommittee or study group title, and by subject. All files are located in Ottawa. **Program Record Number:** SGC PSB 030

Security Information

Description: The analysis and dissemination of information on potential threats to the internal security of the Government of Canada. **Topics:** Internal security — policy; espionage, terrorism; civil disorder; analysis of security and intelligence information; periodic status reports. **Program Record Number:** SGC PSB 035

Security Intelligence Transition Group (SITG)

Description: Information on the operations of the Security Intelligence Transition Group. This group was created in August 1981 to plan for the creation of a security intelligence agency separate from the RCMP and disbanded in July 1984 with the creation of CSIS. **Topics:** McDonald Commission recommendations; advice on pending legislation; the organizational, administrative, and personnel structure of the new security intelligence agency; and public opinion and enquiries. All files are located in Ottawa. **Program Record Number:** SGC PSB 016

Security Policy

Description: Information on the internal security policies of the Government of Canada. **Topics:** Security issues, statements and legislation; administrative security policy; and national security policy. All files are located in Ottawa. **Program Record Number:** SGC PSB 040

Special Offender Populations

Description: Information on sub-groups of offenders identifiable on the basis of age, gender, race, offence or special need. **Topics:** Policy; females; aboriginal peoples; sex offenders; drug offenders. **Program Record Number:** SGC COR 115

Personal Information Banks

Applications for Employment

Description: This bank contains information on individuals who have sent a written application for employment to the Ministry Secretariat. The files contain letters, completed application forms, resumes, letters of reference and normally, letters from the Secretariat acknowledging receipt of applications. **Class of Individuals:** The bank contains personal information concerning individuals who are interested in securing employment with the Secretariat. **Purpose:** These records are used for reference when vacancies arise. **Consistent Uses:** The information in this bank is used only for the purpose for which the information was collected. **Retention and Disposal Standards:** These records are kept for two years. **PAC Number:** 86-001 **TBS Registration:** 001532 **Bank Number:** SGC PPU 010

National Security Records

Description: This bank was established in 1971 and contains personal information which is filed principally by subject heading, as well as personal identifiers. Personal information is normally retrievable if specific subjects, dates or events are provided. This personal information relates to espionage or sabotage that is against or is detrimental to the interest of Canada; or, activities directed toward or in support of such activity; foreign-influenced activities within or relating to Canada that are detrimental to the interest of Canada, and are clandestine or deceptive, or involve a threat to any person; activities within or relating to Canada directed toward or in support of the threat or use of acts of serious violence against persons or property for the purpose of achieving a political objective within Canada or a foreign state; and, activities directed toward undermining by covert unlawful acts, or directed toward or intended ultimately to lead to the destruction or overthrow by violence of the constitutionally established system of government in Canada. Information is also held in respect to advice to the Solicitor General, and the Solicitor General's responsibilities under the Citizenship Act and the Immigration Act. This bank also contains personal information relating to criminal intelligence investigations or cases involving offenses against the Criminal Code, and/or against federal and provincial statutes and

includes such matters as organized crime and terrorism, which have implications for the security of Canada relating to federal government activities and contingency plans designed to counter, or respond to, activities hostile to the security of Canada in peace or war; or relating to investigations concerning threats or incidents involving national or international dignitaries or involving government property; and relating to information provided by foreign or Canadian dignitaries for protective purposes. This bank may also contain personal information that, in relation to the defence of Canada or to the conduct of the international affairs of Canada, pertains to the capabilities, intentions, or activities of a foreign state or group of foreign states; of any person other than a Canadian citizen or permanent resident; or, any corporation except one incorporated pursuant to the laws of Canada or of any province. This bank also includes information relating to the administrative security policies and programs of the Government of Canada including measures to ensure personal security; information security; EDP security; communications security and technical security. This bank also contains information in relation to the duties and functions of the Inspector General and the Security Intelligence Review Committee, and information relating to the mandate of the former RCMP Security Service collected prior to July 16, 1984. **Class of Individuals:** Information in this bank relates to individuals who are known or suspected to be involved in activities constituting threats to the security of Canada, or contrary to law, or who may be subject to threats or possible threats to their safety; and individuals who may, in relation to the defence of Canada or the conduct of international affairs, provide information or intelligence relating to the capabilities, intentions or activities of foreign states or persons. **Purpose:** This bank is used to support the Solicitor General, the Deputy Solicitor General, and their officials in the exercise of their statutory duties, powers and functions; in carrying out such other national security and related law enforcement responsibilities as may be assigned to them; and in fulfilling their obligations to manage, and be accountable to Parliament for, the national security policies and programs of the Ministry. This bank may be subject to the following use or disclosure: information relating to threats to the safety of persons or property or to the security of Canada may be disclosed to officials of the Government of Canada, to officials of other levels of government in Canada, and to such other persons as the Solicitor General may determine are either subject to such a threat, or are in a position to assist the Government of Canada in the detection, prevention or suppression of any such threatening activities; information relating to activities known or suspected of being contrary to law may be disclosed to persons having jurisdiction to investigate such activities, or to persons with responsibility to enforce the law; information in this bank is also used to develop policy and provide advice to the Solicitor General in relation to national security policy, ministerial direction of the Canadian Security Intelligence Service, and to the RCMP in relation to its responsibilities set out in section

61 of the Security Offences Act; the exercise of ministerial authority pursuant to the Canadian Security Intelligence Service Act and the relevant provisions of the Citizenship Act and the Immigration Act respecting individuals known or suspected of being involved in subversive or hostile activities, including terrorism and other criminal activities; and to the Cabinet, its committees, and federal departments and agencies in relation to national security policy, threats to the security of Canada; the analysis and implementation of administrative security policies; and the formulation of plans and other measures designed to counter, or respond to threats to the security of Canada in peace or war. **Retention and Disposal Standards:** Retention periods range from 10 to 50 years, depending on subject. **PAC Number:** 94/012 **TBS Registration:** 003355 **Bank Number:** SGC PPU 026

Police and Law Enforcement — RCMP Operational Records

Description: This bank contains personal information on individuals who have been involved in investigations under federal statutes, including the Criminal Code provincial statutes, municipal by-laws and territorial ordinances. As well, personal information is contained on individuals who have been involved in various criminal intelligence investigations including organized crime, terrorism, securities fraud, corruption, counterfeiting, extortion, gambling, loan sharking, pornography and prostitution. The files contain reports, memoranda and correspondence. **Class of Individuals:** Individuals who have been involved in investigations under federal statutes, including the Criminal Code, provincial statutes, municipal by-laws and territorial ordinances. **Purpose:** This information is used to provide policy support and advice to the Minister in furtherance of his statutory responsibility to provide direction to the Commissioner of the RCMP. **Consistent Uses:** The information in this bank is used only for the purpose for which it was collected. **Retention and Disposal Standards:** Records are retained for ten years. **PAC Number:** 94/012 **TBS Registration:** 001540 **Bank Number:** SGC PPU 050

Police and Law Enforcement — RCMP Personnel and Administrative Records

Description: This bank contains personal information on certain individuals who are currently serving or who have served in the RCMP. The files contain such material as recommendations for promotion, discharge/demotion documentation, formal grievances and their resolution, documentation on disciplinary proceedings and actions, termination of service material, and payments from the Supplementary Survivor Income Benefit Plan. On individuals who have been involved in complaints against the Force or its members, the files contain reports, memoranda and correspondence. On individuals who have been involved in motor vehicle accidents or assessments respecting damage or loss in relation to personal injury, property and related claims by or against the RCMP, the files contain investigational and occurrence reports, statements, claims for damages, legal decisions

and related documentation. **Class of Individuals:** Individuals who are currently serving or who have served in the RCMP; individuals who have been involved in complaints against the RCMP or its members; individuals who have been involved in motor vehicle accidents or assessments respecting damage or loss in relation to personal injury, property and related claims by or against the RCMP. **Purpose:** This information is used: (i) to provide advice to the Minister in respect of his statutory responsibilities for all matters pertaining to the RCMP; and (ii) to provide policy support and advice to the Minister, in furtherance of his statutory responsibility to provide direction to the Commissioner of the RCMP. **Consistent Uses:** The information in this bank is used only for the purpose for which it was collected. **Retention and Disposal Standards:** Records are retained for ten years. **PAC Number:** 94/012 **TBS Registration:** 001539 **Bank Number:** SGC PPU 045

Records Access Request Bank

Description: This bank contains requests for information, responses to requests, and information relating to their processing. Information is organized first by fiscal year and then by sequence of the access requests numbers. **Class of Individuals:** This bank contains records relating to individuals who have submitted a request i.e. name, address, telephone number and other information as provided on the request form. **Purpose:** This bank is used in the administration of the Access to Information Act and the Privacy Act. **Consistent Uses:** The information in this bank is used only for the purpose for which the information was collected. **Retention and Disposal Standards:** The files are kept for a minimum of two years. **PAC Number:** 86-001 **TBS Registration:** 001531 **Bank Number:** SGC PPU 005

Security Clearance Records

Description: This bank contains personal information on individuals who are or have been, the subject of security screening procedures in relation to pre-employment/employment with federal government institutions and/or the private sector working under federal government contracts, and whose cases have been referred to the Solicitor General or the Security Advisory Committee for advice. This bank also contains the reports of the Security Intelligence Review Committee provided to the Solicitor General pursuant to subsection 52(2) of the Canadian Security Intelligence Service Act containing the findings and recommendations of the Committee concerning complaints by individuals denied a security clearance. This bank may also contain personal data, criminal records, security analyses, investigative reports, related correspondence and a notation of the level of clearance requested, granted or denied. **Class of Individuals:** Individuals who are, or have been, the subject of security screening procedures in relation to pre-employment/employment with federal government institutions and/or the private sector working under federal government contracts, and whose cases have been referred to the Solicitor General or the Security Advisory Committee for

advice. **Purpose:** The information in this bank is used to support the Solicitor General, Deputy Solicitor General, and their officials in the exercise of their duties, powers and functions with respect to the security assessment and security clearance program of the Government of Canada. **Consistent Uses:** Information in this bank concerning the loyalty to Canada, and so far as it relates thereto, the reliability of individuals, may be disclosed to officials of the Government of Canada, or to officials of a province, where the government of a province or a police force in a province, have entered into an arrangement with CSIS pursuant to subsection 13(2) of the Canadian Security Intelligence Service Act to provide it with security assessments; information in this bank is also used to support analysis and advice given in respect of decisions taken, or to be taken, in departments or agencies of the federal government, on the suitability of an individual for a security clearance; or to provide advice with respect to the policy implications of particular cases. **Retention and Disposal Standards:** Records are retained for 20 years. **PAC Number:** 94/012 **TBS Registration:** 001538 **Bank Number:** SGC PPU 040

Classes of Personal Information

Secretariat Records Offices

In the course of conducting the programs and activities of the Ministry Secretariat, personal information may be accumulated which is not contained in any of the specific personal information banks described in this entry, or the standard banks located elsewhere in the index. Such personal information exists in a fragmented form throughout some 45,000 files located in Ottawa. The information relates to criminal justice system policy; police and law enforcement issues; and general administrative matters. Most of the information is generated internally. However, a portion comes from the general public in the form of opinions or inquiries. This information is not intended to be used for any administrative purpose affecting individuals by the Ministry Secretariat. Information may include names, addresses, profession, and is normally only retrievable if specifics are provided, e.g., project titles, dates, events, organization titles and locations.

Security Intelligence Transitional Group (SITG)

In the course of conducting the activities and programs of this planning group, personal information may have been accumulated which was not contained in the specific personal information bank described in this entry, i.e. planning information on the organizational, personnel and administrative structure for the new security agency; public opinions and enquiries. This information is not intended for use in any administrative purpose affecting individuals by the Security Intelligence Transitional Group. The information may contain names, addresses, and position titles and is stored as a part of the general subject

files where records are not normally retrieved by personal identifiers. The information is only retrievable if specific subjects, dates, or events are provided.

Manuals

- Administration Manual
- Document Classification and Designation Guide
- Electronic Surveillance: A Guide for Peace Officers
- Finance Manual
- Financial Coding Manual
- Government Communications Policy
- Guidelines for Agents Designated by the Solicitor General of Canada Pursuant to paragraph 185(1)(a) of the Criminal Code — Invasion of Privacy — Part VI of the Criminal Code
- Management Review Manual
- Personnel Policies and Procedures
- Police and Security Branch Forms Completion Guide
- Records and Mail Desk Procedures Manual
- Records Manual

Additional Information

The Secretariat has general information services available to the public which should be consulted as a first step to obtaining further information about the Ministry and its various programs and functions. Please contact:

Communications Group
Solicitor General Canada
340 Laurier Avenue West
Ottawa, Ontario
K1A 0P8
(613) 990-2739

You may also consult the:

Ministry Library and Reference Centre
Ministry Secretariat
Ministry of the Solicitor General
340 Laurier Avenue West
Ottawa, Ontario
K1A 0P8
(613) 991-2788

Reading Room

In accordance with the Access to Information Act, an area on the premises of this institution has been designated as a public reading room. The public reading room is located on the ground floor of the Ministry headquarters at:

340 Laurier Avenue West
Ottawa, Ontario

St. Lawrence Seaway Authority, The

Chapter 97

General Information

Background

The St. Lawrence Seaway Authority (SLSA) was established in 1954 by the St. Lawrence Seaway Authority Act, and is designated a proprietary corporation (Schedule III, Part I) within the meaning and purpose of the Financial Administration Act.

Responsibilities

The Authority was incorporated to ensure the acquisition of lands for, and the construction, maintenance and operation of all such works as may be necessary to provide and maintain, alone or in conjunction with an appropriate authority in the United States, a deep waterway between the Port of Montreal and Lake Erie; and the construction, maintenance and operation of all such works as the Governor in Council may deem necessary to fulfil any obligation undertaken pursuant to any present or future agreement. It also ensures the acquisition of lands for, and construction, maintenance and operation, alone or in conjunction with an appropriate authority in the United States, of bridges connecting Canada with the United States as authorized by the Act; and acquisition, with the approval of the Governor in Council, of shares in or property of any bridge company, as well as operation and management of these bridges; and the acquisition of lands for, and construction or acquisition, maintenance and operation of such works or other property as the Governor in Council may deem necessary for works undertaken pursuant to the Act. The Authority reports to Parliament through the Minister of Transport.

The Seaway International Bridge Corporation, Ltd.: Its head office, located in Cornwall, Ontario, operates and manages an international toll bridge system between Cornwall, Ontario and Roosevelt, New York, on behalf of the owners — The St. Lawrence Seaway Authority of Canada and the United States Saint Lawrence Seaway Development Corporation.

The Jacques Cartier and Champlain Bridges Incorporated: was established to provide the public with a safe and efficient transit over the Jacques Cartier Bridge, the Champlain Bridge and a portion of the Bonaventure Autoroute, and to provide this service while attempting to make the bridges self-financing. Specific objectives of the corporation are: (1) to continue the implementation of a program to maintain the bridges and autoroute at an acceptable standard; (2) to ensure operational safety and efficient flow of traffic; (3) to assess the need for improvements to the system and plan for their implementation; (4) to develop a long-term financial plan;

(5) to examine its personnel requirements on a continuing basis to assure the optimum utilization of human resources.

Legislation

- St. Lawrence Seaway Authority Act, R.S.C. 1970, c. S-1

Organization

The three members of the Authority, appointed by Order-in-Council, as well as the Corporate Secretary, Legal Counsel and the Director of the Planning and Business Development Branch, are located in Ottawa, Ontario. Corporate Services are located in Cornwall, Ontario. The headquarters of the Maisonneuve Region, which extends from Montreal to Lake Ontario, is in St. Lambert, Quebec. The Engineering Services Branch is also located there. The Niagara Region headquarters, which operates the Canadian-owned Welland Canal, is in St. Catharines, Ontario.

♦ Corporation Services

Supports the Authority, staff and line management of the Regions, Branches and subsidiaries by providing leadership, specialized services, analytical skills, expertise, assistance and advice in the technical and management areas of the Seaway organization to respond effectively to the Seaway's business needs and objectives. Provides pro-active leadership and facilitation skills in formulating Authority-wide policies, programs, standards, procedures and guidelines in consultation with the Regions, client groups and users.

Assists the Authority and senior management in determining strategic directions for the Company. Provides input and leadership in the determination of strategic objectives and helps develop, in consultation with client groups, action plans and tactical strategies to meet objectives. Monitors and responds to external legislation and regulations affecting the Company on an Authority-wide basis. In collaboration with the Regions, effects research and feasibility studies that could have Authority-wide implications, and assists in their implementation.

Administrative Services:

These services include Purchasing and Office Support, Real Property Management, Records Management, Building Maintenance and Risk Management.

Facilitates the setting of Authority standards for asset management. Facilitates the contract tendering process. Manages the administration, the development, leasing or disposal of Authority-owned property. Facilitates and coordinates the Risk Management Program and

Insurance Portfolio for the Authority and subsidiary companies' requirements.

Audit Services:

Conducts or coordinates Management and Financial Audits and prepares subsequent reports. Submits findings and recommendations to Management.

Employee Relations/Human Resources Services:

Manages the negotiations of all Collective Agreements and represents the Authority in settlement of grievances and other labour issues at the final level. Establishes and manages Authority-wide benefits and compensation programs. Coordinates and facilitates the assessment of management training and development needs by the Regions and Branches.

Finance and Accounting:

Oversees the integrity of the Authority's financial statements and reports to the Authority on the financial performance of the organization. Manages the Authority's treasury portfolio; administers the daily cash flow and project funding requirements; initiates investments for short and long term needs. Plans and coordinates the Authority's budget process which involves the Long Range Financial Plan, the Operating and Maintenance Budget, the Capital Expenditure Budget, and provides input to the Corporate Plan.

Information Technology Services:

Operates and maintains information technology production systems. Organizes systems into a cohesive Corporate entity. Manages the acquisition or development of new major information systems. Facilitates the setting of Authority standards for information technology systems' hardware and software.

Marine Services:

Facilitates the process of establishing and maintaining Seaway Regulations required on a company-wide basis and assists in ensuring vessel compliance to regulations.

Operations and Maintenance Services:

Carries out studies relating to Maintenance and Operations practices, and periodically monitors the application of Maintenance and Operations procedures and overall system performance, and reports findings to Management.

Tolls and Statistics:

Manages the tolls invoicing, collections and vessel pre-clearance process. Maintains statistical information on transits and commodities, for use by Seaway customers, Government agencies and the Business Development Unit.

♦ Engineering Services Branch

Primary function of the Branch is to ensure the integrity and reliability of all lock and canal installations and to permit efficient and continuous operation of the Seaway

using a highly trained, multi-disciplined staff to deal with civil, mechanical and electrical engineering design requirements, maintenance and repair; to prepare construction contract specifications for structures and facilities of the Maisonneuve and Niagara regions and all branches of the Authority; and to offer engineering services to The Jacques Cartier and Champlain Bridges Incorporated, the Thousand Islands Bridge Authority, federal and provincial government departments, standards associations and outside consultants.

♦ Maisonneuve Region

The Maisonneuve Region administration, with headquarters in St. Lambert, Quebec, is comprised of three divisions under the responsibility of a vice-president.

Administrative Services Division:

Supplies the human resources necessary to support the rest of the organization.

Engineering and Maintenance Division:

Responsible for making the regional structures available so as to permit the most efficient and continuous movement of vessel traffic. It provides systems for regular preventive maintenance in the civil, electrical and mechanical trades.

Operations Division:

Administers the movements of vessels from Montreal to Lake Ontario through the operation of a vessel traffic control centre, also located in Saint-Lambert, and several structures such as locks and bridges. It ensures safe, efficient and expedient vessel transits in accordance with Seaway regulations, policies and programs, regional operating procedures and federal statutes.

♦ Niagara Region

The Niagara Region administration, with headquarters in St. Catharines, comprises three divisions under the direction of a vice-president and is responsible for the operations and maintenance of the Welland Canal.

Administrative Services Division:

Provides administrative support to the other two divisions within the region in the areas of Human Resources Management, Accounting, Purchasing and Stores, Real Property Management and Vehicle and Building Maintenance Management.

Engineering and Maintenance Division:

Responsible for the continuous availability and reliable operation of all structures and Seaway facilities of the Niagara Region St. Lawrence Seaway Authority to ensure safe and expedient movement of vessel traffic in accordance with Authority policy, programs and service objectives. This is achieved by establishing both basic short- and long-term objectives for the Division encompassing the essential maintenance, rehabilitation and improvement projects to be carried out.

Operations Division:

Responsible for the efficient manning of the vessel traffic control centre and other operating structures to ensure a safe, efficient and expedient movement of vessel traffic through the Welland Canal and in adjacent waters of Lakes Erie and Ontario in accordance with Authority policy, programs, procedures, approved budgets and applicable federal statutes. The Division is also involved in liaison with the shipping trade and United States and Canadian government agencies involved in the operation of the Seaway.

♦ Planning and Business Development Branch

The responsibilities of the group include:

Business Development/Promotion:

to support and coordinate industry efforts in marketing the St. Lawrence Seaway Great Lakes system through promotional endeavours and research and coordination of marketing information. Business development/promotional activities are pursued by continuing efforts through trade missions, fairs, conferences, receptions, special business contact meetings, special maritime industry committees and the development of promotional material.

Corporate/Strategic Planning:

to coordinate preparation of the Corporate Plan for the purposes of the Financial Administration Act; to coordinate preparation of the Strategic Plan; to apply a comprehensive and systematic approach to clarifying corporate objectives, making strategic decisions and checking progress towards objectives.

Forecasting/Market Research:

to conduct economic market analysis to determine commodity flows along the system; to prepare and update short and long range forecasts for commodity movements; to conduct tolls and sensitivity analysis; to evaluate the impact on Seaway traffic of government policies or economic factors; to evaluate external economic studies.

Public Relations:

to coordinate preparation/distribution of the Annual report; to answer external requests for information at the Corporate level; to coordinate printing/distribution of Seaway Handbook, Seaway notices, Seaway news releases; to participate in development of Seaway advertisement.

♦ Subsidiaries

The Seaway International Bridge Corporation, Ltd. Great Lakes Pilotage Authority, Ltd. The Jacques Cartier and Champlain Bridges Incorporated Thousand Islands Bridge Authority

♦ Welland Canal Twinning Project Contractors' Association

This now defunct association was formed to ensure a stable labour environment in the trades working on the Welland Canal By-pass Project between 1964 and 1974. Although the office was abolished in 1974, the records created are still available.

Information Holdings

Program Records

Bridges (Engineering Services Branch)

Description: Information on the design, construction, alteration, improvement, repair, maintenance, demolition and removal of bridges and tunnels; also the maintenance and repair of equipment associated with these structures.

Topics: St. Lambert Lower Bridge; Côte St. Catherine Bridge; Honoré Mercier Bridge; Caughnawaga Lower and Upper Bridges; Upper Beauharnois Bridge; St. Louis Bridge; Valleyfield Bridge; Iroquois Bridge; bridges not owned by the Seaway Authority; tunnels; St. Lambert Upper Bridge; Welland Canal bridges. Each file on an Authority-owned bridge is divided into the following standard subjects: construction alterations; maintenance and repairs; bridge ropes; standby power equipment; electrical equipment; operating machinery and mechanical equipment; live roads; rail breaks; highway traffic control and equipment; decking (new and repairs); inspection; painting; abandonment; removal and disposal. **Program Record Number:** SLS ESB 065

Bridges (Operations and Maintenance Branch)

Description: See Bridges (Engineering Services Branch), SLS ESB 065 above. **Program Record Number:** SLS OMB 066

Canals (Engineering Services Branch)

Description: Information on dredging, maintenance and repair of canals and associated structures and facilities; also the study of proposals for new canals or channels.

Topics: Welland Canal; Cornwall Canal; Beauharnois Canal; South Shore Canal; canals not Authority-owned; Iroquois Canal; Galop Canal. Each file on an Authority-owned canal is divided into the following subjects: construction and alterations; maintenance and repairs; breakwaters and protection works; culverts; syphons and drains; regulating works and equipment (dams and weirs); emergency dams and guard gates; gate yards; banks and dikes; repair basin and dry dock; bollards; dolphins and mooring posts; pile clusters and fender booms; retaining walls and piers; obstructions (stones, logs, etc.); fencing; winter work program; unwatering; causeway; painting of canal structures; windbreaks; dredging; pondage areas; wharf and dock facilities; shipping capacity and traffic studies; dimensions

and draught; excavation; abandonment and closing.

Program Record Number: SLS ESB 080

Canals (Operations and Maintenance Branch)

Description: See Canals (Engineering Services Branch), SLS ESB 080 above. **Program Record Number:** SLS OMB 081

Champlain Bridge

Description: Information about the administration of the Champlain Bridge (includes a portion of the Bonaventure Autoroute). **Topics:** Champlain Bridge — general; bridge construction; bridge construction contracts; buildings and properties; buildings — construction, construction contracts, maintenance and repairs, maintenance and repairs contracts; fill; inspection and testing of materials; land concessions; land disposal; deeds — acquisition, case files; easements (case files); leases and licences (case files); letters patent (case files); transfers (case files); lighting system; load limits; maintenance and repairs; maintenance and repair contracts; permits; professional service contracts; power supply; roads — north approaches, northwest approaches; Bonaventure Autoroute; service contracts; ambulance; cleaning; snow removal; grass cutting; towing; salt; uniforms; janitorial; toll collection; toll collection equipment; tolls (tariff); traffic control; traffic counts, statistics, revenue; traffic signs and supports; water supply; Bonaventure Autoroute; Bonaventure Autoroute, construction of; dealings; fences; reversible lane; road signs; Champlain Bridge sections — section 1A, section 2, sections 2 and 3, sections 2, 4 and 8, section 3, sections 3, 4, 5 and 6, section 4, section 5, sections 5 to 7, section 6, sections 6, 7 and 8, section 7B, section 8. **Program Record Number:** SLS JCB 130

Concrete Control

Description: Information on all aspects of the engineering analysis, testing and study of concrete and its properties. **Topics:** Concrete sampling and testing; material sampling and testing; equipment; concrete specifications; inspection. **Program Record Number:** SLS EMW 105

Electric Plants (Maisonneuve Region)

Description: Information on electric plants and equipment, and the distribution of electrical energy.

Topics: Motors and generators; sub-stations; control boards; transmission lines; cables and wires; powerhouses; lighting; power supply; heaters; component parts.

Program Record Number: SLS EME 055

Electric Plants (Niagara Region)

Description: See Electric Plants (Maisonneuve Region), SLS EME 055 above. **Program Record Number:** SLS EMW 056

Hydraulic Models

Description: Information on models which are built to study the effect of projects and to determine ideal design for construction purposes. **Topics:** Hydraulic river models; lock models. **Program Record Number:** SLS ESB 085

Hydraulics

Description: Information on the gauging of water levels at points along the Seaway; measurement of water flow; water and air temperatures. **Topics:** General hydraulics; water levels (gauging); discharges; diversions; metering; regulation of levels and discharges; backwater data; water and air temperatures; studies and testing. **Program Record Number:** SLS OMB 015

Jacques Cartier Bridge

Description: Information about the administration of the Jacques Cartier Bridge. **Topics:** Jacques Cartier Bridge — general; bridge construction; bridge construction contracts; buildings and properties; buildings — construction, construction contracts, maintenance and repairs, maintenance and repair contracts; land concessions; land disposal; deeds — acquisition, case files; easements (case files); leases and licences (case files); letters patent (case files); transfers (case files); lighting system — load limits; maintenance and repairs; maintenance and repair contracts; permits; professional service contracts; power supply; road approaches; roads — north approaches, south shore approaches; service contracts; ambulance; cleaning; snow removal; grass cutting; towing; salt; janitorial; St-Helen's Island; The St. Lawrence Seaway Authority; telephone cables; toll collection; toll collection equipment; tolls; traffic control; traffic statistics; widening of the roadway. **Program Record Number:** SLS JCB 125

Locks (Engineering Services Branch)

Description: Information on the design, construction, alteration, improvement, repair and maintenance of locks, lock regulating works, and approach and tie-up walls; also maintenance and repair of equipment associated with these structures, and studies and tests on the filling and emptying of locks, or the effect of flows from regulating on shipping or lock components. **Topics:** Saint Lawrence Seaway Development Corporation locks; St. Lambert Lock; Côte St. Catherine Lock; Lower Beauharnois Lock; Upper Beauharnois Lock; Iroquois Lock; Welland Canal locks. Each file on an Authority-owned lock is divided into the following standard subjects: construction and alterations; maintenance and repairs; gates; gate machinery; gate ropes; gate painting; gate anchorages; unwatering gates; ship arrestors; machinery; capstans and linehaulers; sills (metre and sector); valves and valve machinery regulating works and equipment; lock ladders; stop logs and stop log handling equipment; approach and tie-up walls; electrical equipment; controls and control systems; lock and wall fendering; filling culverts and ports; de-icing and ice prevention. **Program Record Number:** SLS ESB 070

Locks (Operations and Maintenance Branch)

Description: See Locks (Engineering Services Branch), SLS ESB 070 above. **Program Record Number:** SLS OMB 071

Navigation

Description: Information on all aspects of navigation within the Seaway, including navigation rules and regulations, studies on shipping season extension, acceptable ship equipment for Seaway transit, traffic control, the enforcement of ship speed restrictions, the study of ice and its formation within the Seaway, performance analysis of the structures forming the Seaway, and ship files. **Topics:** Navigation rules and regulations; navigation channel; aids to navigation; shipping associations; vessel dimensions; traffic control signal lights and warning signs; traffic control; equipment for vessels; vessel speed; operation procedures; water pollution; channel maintenance; navigation season; pilotage; Canadian and American navigation season extension studies; meteorological data; ice studies; marine shunter program; navigation research and development; operational data analysis; Welland Traffic Control Improvement Program; individual ship files containing information on the physical characteristics of the ship — dimensions, plans and drawings; inspection, incident, occurrence and accident reports. **Access:** Ship files — one group arranged by pre-clearance number, another by ship name, new hulls by shipyard name. **Program Record Number:** SLS OMB 010

Operations Source Documents (Maisonneuve Region)

Description: Information on vessel movements and structure operation details. **Topics:** Lock log; bridge record; dock tabs; daily call-in sheets. **Access:** Files arranged by form title, then by region and structure (call-in sheets arranged by form title, then region and traffic sector). **Program Record Number:** SLS ODE 045

Operations Source Documents (Niagara Region)

Description: See Operations Source Documents (Maisonneuve Region), SLS ODE 045 above. **Program Record Number:** SLS ODW 046

Plan Records

Description: Information on construction and as-built details of buildings, lock and canal installations, and facilities. **Storage Medium:** Microfilm. **Program Record Number:** SLS ESB 110

Planning and Development by Other Agencies

Description: Information on engineering advice, assistance or contract administration services provided by the Authority to federal and provincial government departments. **Topics:** Zoning; ports and anchorages; recreation areas; historic sites. **Program Record Number:** SLS ESB 075

Power Development

Description: Information on the development and construction of facilities to generate electrical energy, and related correspondence. **Topics:** International rapids section; channel improvements; Iroquois Dam; Long Sault Dam; Barnhart Powerhouse; rehabilitation; Lachine; Hydro-Quebec's Projet Archipel; Beauharnois; Carillon;

Ontario Hydro Eastern Ontario study. **Program Record Number:** SLS ESB 095

Public Relations and Publicity Programme

Description: Information on the Authority's Public Relations Programme. **Topics:** Promoting and Marketing the Seaway; trade mission. **Program Record Number:** SLS CPB 006

Remedial Works and Public Services

Description: Information on alteration, removal or relocation of services or utilities resulting from major construction projects undertaken by the Authority. **Topics:** Highways and roads; railways; communication lines; power lines; modification and relocation of transmission lines; sewage and drainage; water supply and intake; pumping stations; gas mains; cemeteries. **Program Record Number:** SLS ESB 060

Security

Description: Information on the physical security of Authority buildings, installations or facilities; also correspondence related to the special policy concerning passes (visitors and servicing); liaison with Emergency Planning Canada to provide assistance in emergency conditions and civil defence planning actions. **Topics:** Passes; Seaway structures and premises; Emergency Planning Canada. **Program Record Number:** SLS CPB 005

Soil Mechanics and Foundation Engineering

Description: Information on all aspects of the engineering analysis, testing and study of soil and its properties. **Topics:** Technical information; equipment enquiries, maintenance and specifications; site exploration, sampling and field measurements; foundation and embankment instrumentation and field measurements; engineering properties of soils and laboratory testing; construction control; excavations and embankments; water and climatic information; foundation and earth pressure problems; engineering geology; soil-cement and soil stabilization. **Program Record Number:** SLS EMW 100

Telecommunications (Maisonneuve Region)

Description: Information on the operation, maintenance and study of communications equipment in use within the Seaway. **Topics:** Radiotelephone; teletype and telex; telephone services; public address; alarm systems; television; radar; outages and trouble reports; tape recordings; facsimile; conferences; Government Telecommunications Agency; Department of Transport reports and bulletins. **Program Record Number:** SLS ODE 040

Telecommunications (Niagara Region)

Description: See Telecommunications (Maisonneuve Region), SIS ODE 040 above. **Program Record Number:** SLS ODW 041

The Seaway International Bridge Corporation, Ltd.

Description: Information on The Seaway International Bridge Corporation, Ltd., the Roosevelt Bridge, the South Channel Bridge and the North Channel Bridge and tolls.

Topics: Roosevelt Bridge (relocation); New York Central Railroad Co. (purchase); Cornwall International Bridge Co. Ltd. (purchase of shares); operation and maintenance; agreement with the United States Saint Lawrence Seaway Development Corporation; South Channel and North Channel Bridges — construction, operation and maintenance, annual inspection, damages, complaints, lighting; tolls, bridge passes, toll collections equipment, toll revisions; reports on traffic statistics; shareholders', directors', and management committee meetings.

Program Record Number: SLS SIB 120

Traffic

Description: Information on the establishment or revision of tolls; assessment and collection of tolls; fees for side and top wharfage and heavy lifts; classification or reclassification of cargoes for tolls; pre-clearance arrangements; analysis of traffic and cargoes; compilation of reports and statistics on tolls; studies of potential traffic within the Great Lakes system. **Topics:** Tolls; vessel movements; commodity, cargo and shipping statistics; toll revision; shipping company files; economic studies; charges and tariffs. **Program Record Number:** SLS FAB 020

Traffic Accounts Receivable Invoices

Description: Receipted invoices showing the amounts owed and paid to the Authority. **Access:** One copy arranged alphabetically by shipping representative name, another by invoice number. **Program Record Number:** SLS FAR 030

Traffic Control Centre Tape

Recordings (Maisonneuve Region)

Description: Tape recordings of radiotelephone or landline telephone transmissions for the Maisonneuve and Niagara region traffic control centres. Note: Tapes are erased and reused after a 30-day period unless a situation requires retention of a specific tape or tapes for a longer period. **Access:** Tapes arranged chronologically. **Storage Medium:** Magnetic tape. **Program Record Number:** SLS ODE 050

Traffic Control Centre Tape

Recordings (Niagara Region)

Description: See Traffic Control Centre Tape Recordings (Maisonneuve Region), SLS ODE 050 above. **Program Record Number:** SLS ODW 051

Traffic Pre-clearance Forms

Description: These forms are applications for vessel pre-clearance. They are completed by vessel representatives and give particulars of the ownership, liability insurance and physical characteristics of the vessel. The forms also guarantee payment of the tolls and charges that may be incurred by the vessel. As part of the

pre-clearance process, cash, bank letters of guarantee, Government of Canada Bonds or United States Treasury Bonds may be submitted as security. Note: Pre-clearance forms and bank letters of guarantee are held by the Accounts Receivable Section; bonds are held in Ottawa by the Securities Division of Supply and Services Canada.

Access: Pre-clearance forms are filed by ship number; bank letters of guarantee are filed alphabetically by agent name. **Program Record Number:** SLS FAR 035

Transit Declaration Forms (Cargo and Passengers)

Description: This form is used in assessing toll charges and bears such details as date of entry, vessel name, port of origin, port of destination, Seaway number, containers, grains carried, number of passengers, type of cargo (bulk or general), locks transited, tolls assessed. Where cargo is carried to or from an overseas port, a copy of the cargo manifest accompanies the transit declaration form.

Access: Records arranged by vessel number. **Storage**

Medium: EDP format for toll assessment and traffic statistics. **Program Record Number:** SLS FAB 025

Welland Canal Twinning Project Contractors' Association

Description: Information on the review and negotiation of labour agreements and the application of labour standards. **Topics:** Industrial relations; labour relations; collective agreement; Welland Canal Construction Council; meetings; public relations; legislation; central employment bureau; associations; labour conditions; construction associations; contractors; contracts.

Program Record Number: SLS EMW 115

Personal Information Banks

♦ The St. Lawrence Seaway Authority

Accounts Payable Files

Description: Files contain the names and addresses of firms and individuals, invoices for services rendered or products provided, detailing the amount owing and any supporting documentation to substantiate the account. Original accounts payable vouchers together with supporting documentation are maintained in hard copy and are filed by date of payment. Computerized information exists to record various expenses, and such pertinent information as payment date, cheque number and date, name of supplier, submitted by suppliers or employees. Access to this bank will require name, address, and date of account. **Class of Individuals:** Individuals, firms, employees. **Purpose:** The purpose of this bank is to maintain information on the payment of accounts owing to firms or individuals for having provided a service or sold products to the Authority or for the payment of travel advances and the reimbursement of travel expenses to Authority employees. **Retention and Disposal Standards:** Original accounts payable vouchers together with supporting documentation — seven years. **PAC Number:** 72-019 **Related to PR#:** SLS FAR 030 **TBS Registration:** 000270 **Bank Number:** SLS PPU 010

Accounts Receivable Files

Description: Files contain the names and addresses of firms and individuals, details of the amounts owing and any supporting documentation to substantiate the account. Manual files exist to maintain hard copy documentation. Computerized information exists to summarize the amounts owing and prepare billings. Access to this bank will require name and address. **Class of Individuals:** Individuals, firms. **Purpose:** The purpose of this bank is to maintain information on the recovery or payment of amounts of money owed to the Authority. **Retention and Disposal Standards:** Six years. **PAC Number:** 72-019 **Related to PR#:** SLS FAR 030 **TBS Registration:** 000271 **Bank Number:** SLS PPU 015

Application for Employment

Description: This bank contains completed employment application forms, résumés, letters of reference and replies to applications. **Class of Individuals:** Individuals seeking employment. **Purpose:** This bank exists to retain applications for employment. **Consistent Uses:** To support decisions regarding the referral of candidates for staffing purposes. **Retention and Disposal Standards:** Files are kept for two years after receipt. **PAC Number:** 72-019 **TBS Registration:** 001600 **Bank Number:** SLS PPU 066

Claim, Accident Files

Description: This bank includes accident, investigation and claim reports, names and addresses of individuals or firms lodging claims, or the names of persons involved in accidents. This bank is maintained on a regional basis. Access to this bank will require name, address and description of the accident or the reason for the claim.

Class of Individuals: Marine crews, general public, employees. **Purpose:** The purpose of this bank is to maintain information relating to claims by or against the Authority, debts due to or owed by the Authority for damages or remuneration for losses suffered or incurred, motor vehicle accidents, equipment and property damages, fatalities and injuries occurring to individuals, marine crew injuries and fatalities. **Consistent Uses:** The information is used for reference purposes. **Retention and Disposal Standards:** Fatal accidents (other than staff), Marine crew accidents, motor vehicle accidents — six years after settlement. Retention period for claims/debts due to or against the Crown — six years after settlement. **PAC Number:** 72-019 **TBS Registration:** 000269 **Bank Number:** SLS PPU 005

Construction Contract Files

Description: Files may contain an estimate of the total cost of the project, purchase requisitions and specifications, tender and contract documents, list of prospective tenderers, tenders submitted by suppliers, analysis of tenders submitted, documentation awarding the contract, a signed contract between the contractor and the Authority, correspondence and documentation for payment of the contract. Files are maintained on a regional basis. The filing sequence is by contract number

with a description of the contract work appearing on the file cover. Access to this bank will require name, address, contract number and description of the contract. **Class of Individuals:** Firms. **Purpose:** The purpose of this bank is to maintain information on the call and award of contracts for construction projects. **Consistent Uses:** These files may be used for research purposes for future contract work. **Retention and Disposal Standards:** General correspondence — ten years. A consolidated file of certain essential engineering information for each contract is retained indefinitely for research for future contract work. **PAC Number:** 72-019 **TBS Registration:** 000274 **Bank Number:** SLS PPU 030

Deed Files

Description: This bank contains information relating to property to be expropriated or purchased, appraisal report, name and address of person or firm from whom the property was obtained as well as a copy of the purchase document. This bank is maintained on a regional basis, the filing sequence being by document number with name of the seller appearing on the file cover. Access to this bank will require name, address and document number. **Class of Individuals:** Firms, individuals. **Purpose:** The purpose of this bank is to maintain information on the acquisition of land, together with any building that might be located thereon, by the Authority through expropriation or purchase. **Consistent Uses:** The information is used for reference purposes. **Retention and Disposal Standards:** Files are retained indefinitely. **PAC Number:** 72-019 **TBS Registration:** 000279 **Bank Number:** SLS PPU 055

Easement Files

Description: This bank contains information relating to granting of the easement, request for a privilege, name and address of person or firm granting or obtaining the easement, establishment of a fee as well as a copy of the document. This bank is maintained on a regional basis, the filing sequence being by document number with the name of the firm or individual appearing on the file cover. Access to this bank will require name, address and document number. **Class of Individuals:** Firms, individuals. **Purpose:** The purpose of this bank is to maintain information on the granting of easements to or from the Authority for such privileges as drainage purposes, powerline, pipeline and communication line crossing purposes. **Consistent Uses:** The information contained in this bank is used for reference purposes. **Retention and Disposal Standards:** Files are retained for three years after expiry of privilege involved. **PAC Number:** 72-019 **TBS Registration:** 000281 **Bank Number:** SLS PPU 065

Lease, Licence Files

Description: This bank contains information relating to the property or services to be leased or licensed, request for lease or licence, name and address of person or firm leasing or licensing, appraisal report, establishment of amount of rental as well as a copy of the document. This

bank is maintained on a regional basis, the filing sequence being by document number with the name of lessee or licensee appearing on the file cover. Access to this bank will require name, address and document number. **Class of Individuals:** Firms, individuals, employees. **Purpose:** The purpose of this bank is to maintain information on the leasing or licensing of land, buildings, office accommodation, parking facilities, water power or water supply to or from the Authority. **Consistent Uses:** The information contained in this bank is used for reference purposes. **Retention and Disposal Standards:** Twenty years after cancellation of privilege. **PAC Number:** 72-019 **TBS Registration:** 000280 **Bank Number:** SLS PPU 060

Letters Patent Files

Description: This bank contains information relating to the request to purchase, authorization to sell, description of the property, appraisal report, sale price, name and address of purchaser, as well as a copy of the letters patent document. This bank is maintained on a regional basis, the filing sequence being by document number with the name of the purchaser appearing on the file cover. Access to this bank will require name, address and document number. **Class of Individuals:** Firms, individuals. **Purpose:** The purpose of this bank is to maintain information on the sale or conveyance of land, together with any building that might be located thereon, to individuals or firms. **Consistent Uses:** The information is used for reference purposes. **Retention and Disposal Standards:** Files are retained indefinitely. **PAC Number:** 72-019 **TBS Registration:** 000278 **Bank Number:** SLS PPU 050

Procurement Contract Files

Description: Files may contain an estimate of the total cost of the project, purchase requisition and specifications, tender and contract documents, list of prospective tenderers, tenders submitted by suppliers, analysis of tenders submitted, documentation awarding the contract, a signed contract between the contractor and the Authority, correspondence and documentation for payment of the contract. Files are maintained on a regional basis. The filing sequence is by contract number with a description of the contract work appearing on the file cover. Access to this bank will require name, address, contract number and description of the contract. **Class of Individuals:** Contracting firms. **Purpose:** The purpose of this bank is to maintain information on the call and award of contracts for the supply of materials and equipment. **Consistent Uses:** These files may be used for research purposes for future contract work. **Retention and Disposal Standards:** General correspondence — ten years. A consolidated file of certain essential engineering information for each contract is retained indefinitely for research for future contract work. **PAC Number:** 72-019 **TBS Registration:** 000273 **Bank Number:** SLS PPU 025

Professional Service Contract Files

Description: Files may contain an estimate of the total cost of the project, purchase requisition and specifications,

tender and contract documents, list of prospective tenderers, tenders submitted by suppliers, analysis of tenders submitted, documentation awarding the contract, a signed contract between contractor and the Authority, correspondence and documentation for payment of the contract. Files are maintained on a regional basis. The filing sequence is by contract number with a description of the contract work appearing on the file cover. Access to this bank will require name, address, contract number and description of the contract. **Class of Individuals:** Firms, individuals. **Purpose:** The purpose of this bank is to maintain information on the call and award of contracts for the supply of professional services such as consulting, engineering, design, legal and inspection services. **Consistent Uses:** These files may be used for research purposes for future contract work. **Retention and Disposal Standards:** General correspondence — ten years. A consolidated file of certain essential engineering information for each contract is retained indefinitely for research for future contract work. **PAC Number:** 72-019 **TBS Registration:** 000276 **Bank Number:** SLS PPU 040

Purchase Orders and Tender Files

Description: Files may contain a requisition for the items, specifications or brochure describing the items, list of prospective tenderers, tenders submitted by suppliers, analysis of tender submitted, documentation awarding the purchase order, delivery follow-up and price escalation correspondence. Access to this bank will require name, address and purchase order number. **Class of Individuals:** Firms. **Purpose:** The purpose of this bank is to maintain information on the call and award of orders for the purchase or supply of commodities, materials and equipment. **Consistent Uses:** These files may be used as research for future purchase requirements. **Retention and Disposal Standards:** Five years. **PAC Number:** 72-019 **TBS Registration:** 000275 **Bank Number:** SLS PPU 035

Service Contract Files

Description: Files may contain a requisition for the items, list of prospective tenderers, tenders submitted by suppliers, analysis of tenders submitted, documentation awarding the contract, and a signed contract between the contractor and the Authority. Files are maintained on a regional basis. It should be noted that the filing sequence is numerical by contract number with a description of the contract work appearing on the file cover. Access to this bank will require name, address, contract number and description of the contract. **Class of Individuals:** Firms. **Purpose:** The purpose of this bank is to maintain information on the call and award of contracts for the supply of services such as security, grass cutting, reproduction, laboratory testing, snow removal, janitorial services, courier services and power supply services. **Consistent Uses:** These files may be used as research for future contract work. **Retention and Disposal Standards:** Five years. **PAC Number:** 72-019 **TBS Registration:** 000277 **Bank Number:** SLS PPU 045

Suppliers' Files

Description: The bank contains letters of solicitation, names and addresses of firms or individuals, and information or literature describing the services or products available from the suppliers. The bank is used to select suppliers and is maintained in manual and computerized formats. Access to this bank will require name and address. **Class of Individuals:** Individuals, firms. **Purpose:** The purpose of this bank is to maintain records on suppliers of services or products. **Retention and Disposal Standards:** Five years. **PAC Number:** 72-019 **TBS Registration:** 000272 **Bank Number:** SLS PPU 020

♦ The Seaway International Bridge Corporation, Ltd.

Accounts Payable Files

Description: Files contain the names and addresses of firms and individuals, invoices for services rendered or products provided, detailing the amount owing, and any supporting documentation to substantiate the account. Original accounts payable vouchers together with supporting documentation are maintained in hard copy and are filed by date of payment. Access to this bank will require name, address and date of account. **Class of Individuals:** Individuals, firms, employees. **Purpose:** The purpose of this bank is to maintain information on the payment of accounts owing to firms or individuals for having provided services or sold products to the Corporation, or for the payment of travel advances and the reimbursement of travel expenses to Corporation employees. **Retention and Disposal Standards:** Original accounts payable vouchers together with supporting documentation — seven years. **PAC Number:** 72-019 **Related to PR#:** SLS FAR 030 **TBS Registration:** 000283 **Bank Number:** SLS PPU 075

Accounts Receivable Files

Description: Files contain the names and addresses of firms, individuals, details of the amounts owing and any supporting documentation to substantiate the account. Manual files exist to maintain hard copy documentation. Access to the bank will require name and address. **Class of Individuals:** Firms, individuals. **Purpose:** The purpose of this bank is to maintain information on the recovery or payment of monies owing to the Corporation. **Retention and Disposal Standards:** Six years. **PAC Number:** 72-019 **Related to PR#:** SLS FAR 030 **TBS Registration:** 000284 **Bank Number:** SLS PPU 080

Application for Employment

Description: This bank contains completed employment application forms, résumés, letters of reference and replies to applications. **Class of Individuals:** Individuals seeking employment. **Purpose:** This bank exists to retain applications for employment. **Consistent Uses:** To support decisions regarding the referral of candidates for staffing purposes. **Retention and Disposal Standards:** Files are retained for a period of two years from date of

receipt. **PAC Number:** 72-019 **TBS Registration:** 001601 **Bank Number:** SLS PPU 121

Bridge Passes

Description: A record of the names of individuals to whom a bridge pass has been issued and pass usage. This bank is computerized. Access to this bank will require name. **Class of Individuals:** Firms, individuals, reserve inhabitants. **Purpose:** To maintain a record of names of individuals to whom a bridge pass has been issued and pass usage. **Retention and Disposal Standards:** Five years after litigation is settled. **PAC Number:** 72-019 **TBS Registration:** 000292 **Bank Number:** SLS PPU 120

Claim, Accident Files

Description: This bank includes, accident, investigation and claim reports, names and addresses of individuals or firms lodging claims or the names of persons involved in accidents. Access to this bank will require name, address and description of the accident or the reason for the claim. **Class of Individuals:** Individuals, firms. **Purpose:** The purpose of this bank is to maintain information relating to claims by or against the Corporation, debts due to or owed by the Corporation for damages, or remuneration for losses suffered or incurred, motor vehicle accidents, equipment and property damages, fatalities and injuries occurring to individuals. **Consistent Uses:** The information is used for reference purposes. **Retention and Disposal Standards:** Fatal accidents (other than staff), motor vehicle accidents, claims/debts due to or against the Crown — six years after settlement. **PAC Number:** 72-019 **TBS Registration:** 000282 **Bank Number:** SLS PPU 070

Construction Contract Files

Description: Files may contain an estimate of the total cost of the project, purchase requisition and specifications, tender and contract documents, list of prospective tenderers, tenders submitted by suppliers, analysis of tenders submitted, documentation awarding the contract, a signed contract, correspondence and documentation for payment of the contract. The filing sequence is by contract number with a description of the contract work appearing on the file cover. Access to this bank will require name, address, contract number and description of the contract. **Class of Individuals:** Firms. **Purpose:** The purpose of this bank is to maintain information on the call and award of contracts for construction projects. **Consistent Uses:** These files may be used for research purposes for future contract work. **Retention and Disposal Standards:** General correspondence — ten years. A consolidated file of certain essential engineering information for each contract is retained indefinitely for future contract work. **PAC Number:** 72-019 **TBS Registration:** 000286 **Bank Number:** SLS PPU 090

Deed Files

Description: This bank contains information relating to property to be expropriated or purchased, appraisal reports, name and address of the person or firm from whom the property was obtained as well as a copy of the purchase document. The filing sequence is by document number with name of the seller appearing on the file cover. The information is used for reference purposes. Access to this bank will require name, address and document number. **Class of Individuals:** Firms, individuals. **Purpose:** The purpose of this bank is to maintain information on the Corporation's acquisition of land, through expropriation or purchase, and any buildings that might be located thereon. **Retention and Disposal Standards:** Files are retained indefinitely. **PAC Number:** 72-019 **TBS Registration:** 000289 **Bank Number:** SLS PPU 105

Easement Files

Description: This bank contains information relating to the granting of easement, request for a privilege, name and address of person or firm granting or obtaining the easement, establishment of a fee as well as a copy of the document. The filing sequence is by document number with the name of the firm or individual appearing on the file cover. Access to this bank will require name, address and document number. **Class of Individuals:** Firms, individuals. **Purpose:** The purpose of this bank is to maintain information on the granting of easements to or from the corporation for such privileges as drainage purposes, powerline, pipeline and communication line crossing purposes. **Consistent Uses:** The information contained in this bank is used for reference purposes. **Retention and Disposal Standards:** Files are retained for three years after expiry of privilege involved. **PAC Number:** 72-019 **TBS Registration:** 000291 **Bank Number:** SLS PPU 115

Lease, Licence Files

Description: This bank contains information relating to the property or services to be leased or licensed, request for lease or licence, name and address of person or firm leasing or licensing, appraisal report, establishment of amount of rental as well as a copy of the document. The filing sequence is by document number with the name of lessee or licensee appearing on the file cover. Access to this bank will require name, address and document number. **Class of Individuals:** Firms, individuals. **Purpose:** The purpose of this bank is to maintain information on the leasing or licensing of land, buildings, office accommodation, parking facilities to or from the Corporation. **Consistent Uses:** The information contained in this bank is used for reference purposes. **Retention and Disposal Standards:** Twenty years after cancellation of privilege. **PAC Number:** 72-019 **TBS Registration:** 000290 **Bank Number:** SLS PPU 110

Procurement Contract Files

Description: Files may contain an estimate of the total cost of the project, purchase requisition and specifications,

tender and contract documents, list of prospective tenderers, tenders submitted by suppliers, analysis of tenders submitted, documentation awarding the contract, a signed contract between the contractor and the corporation, correspondence and documentation for payment of the contract. The filing sequence is by contract number with a description of the contract work appearing on the file cover. Access to this bank will require name, address, contract number and description of the contract. **Class of Individuals:** Firms. **Purpose:** The purpose of this bank is to maintain information on the call and award of contracts for the supply of materials and equipment. **Consistent Uses:** These files may be used for research purposes for future contract work. **Retention and Disposal Standards:** General correspondence — ten years. A consolidated file of certain essential engineering information for each contract is retained indefinitely for research for future contract work. **PAC Number:** 72-019 **TBS Registration:** 000285 **Bank Number:** SLS PPU 085

Professional Service Contract Files

Description: Files may contain an estimate of the total cost of the project, purchase requisition and specifications, tender and contract documents, list of prospective tenderers, tenders submitted by suppliers, analysis of tenders submitted, documentation awarding the contract, a signed contract, correspondence and documentation for payment of the contract. The filing sequence is by contract number with a description of the contract work appearing on the file cover. Access to this bank will require name, address, contract number and description of the contract. **Class of Individuals:** Firms, individuals. **Purpose:** The purpose of this bank is to maintain information on the call and award of contracts for the supply of professional services such as consulting, engineering, design, legal and inspection services. **Consistent Uses:** These files may be used for research purposes for future contract work. **Retention and Disposal Standards:** General correspondence — ten years. A consolidated file of certain essential engineering information for each contract is retained indefinitely for research for future contract work. **PAC Number:** 72-019 **TBS Registration:** 000288 **Bank Number:** SLS PPU 100

Purchase Orders and Tender Files

Description: Files may contain a requisition for items, specifications or brochure describing the items, list of prospective tenderers, tenders submitted by suppliers, analysis of tender submitted, documentation awarding the purchase order, delivery follow-up and price escalation correspondence. Access to this bank will require name, address and purchase order number. **Class of Individuals:** Firms. **Purpose:** The purpose of this bank is to maintain information on the call and award of orders for the purchase or supply of commodities, materials and equipment. **Consistent Uses:** These files may be used as research for future purchase requirements. **Retention and Disposal Standards:** Five years. **PAC Number:** 72-019 **TBS Registration:** 000287 **Bank Number:** SLS PPU 095

◆ The Jacques Cartier and Champlain Bridges Incorporated

Accounts Payable Files

Description: Files contain the names and addresses of firms and individuals; invoices for services rendered or products provided, detailing the amount owing and any supporting documentation to substantiate the account. Original accounts payable vouchers, together with supporting documentation, are maintained in hard copy and are filed by date of payment. Access to this bank will require name, address and date of account. **Class of Individuals:** Individuals, firms, employees. **Purpose:** The purpose of this bank is to maintain information on the payment of accounts owing to firms or individuals for having provided a service or sold products to the Corporation or for the payment of travel advances and the reimbursement of travel expenses to Corporation employees. **Retention and Disposal Standards:** The retention period for original accounts payable vouchers, together with supporting documentation, is seven years. **PAC Number:** 72-019 **Related to PR#:** SLS FAR 030 **TBS Registration:** 000294 **Bank Number:** SLS PPU 130

Accounts Receivable Files

Description: Files contain the names and addresses of firms and individuals, details of the amounts owing and any supporting documentation to substantiate the account. Manual files exist to maintain hard copy documentation. Access to this bank will require name and address. **Class of Individuals:** Individuals, firms. **Purpose:** The purpose of this bank is to maintain information on the recovery or payment of monies owing to the Corporation. **Retention and Disposal Standards:** Six years. **PAC Number:** 72-019 **Related to PR#:** SLS FAR 030 **TBS Registration:** 000295 **Bank Number:** SLS PPU 135

Application for Employment

Description: This bank contains completed employment application forms, résumés, letters of reference and replies to applications. **Class of Individuals:** Individuals seeking employment. **Purpose:** This bank exists to retain applications for employment. **Consistent Uses:** To support decisions regarding the referral of candidates for staffing purposes. **Retention and Disposal Standards:** Please employ standard retention period. **PAC Number:** 72-019 **TBS Registration:** 001602 **Bank Number:** SLS PPU 181

Claim, Accident Files

Description: This bank includes accident, investigation and claim reports, names and addresses of individuals or firms lodging claims or the names of persons involved in accidents. Access to this bank will require name, address and description of the reason for the claim or the accident. **Class of Individuals:** Individuals, firms. **Purpose:** The purpose of this bank is to maintain information relating to claims by or against the Corporation, debts due to or owed by the Corporation for damages or

remuneration for losses suffered or incurred, motor vehicle accidents, equipment and property damages, fatalities and injuries occurring to individuals. **Consistent Uses:** The information is used for reference purposes. **Retention and Disposal Standards:** Fatal accidents (other than staff), motor vehicle accidents, claims/debts due to or against the Crown — six years after settlement. **PAC Number:** 72-019 **TBS Registration:** 000293 **Bank Number:** SLS PPU 125

Construction, Maintenance and Repair Contract Files

Description: Files may contain an estimate of the total cost of the project, purchase requisition and specifications, tender and contract documents, list of prospective tenderers, tenders submitted by suppliers, analysis of tenders submitted, documentation awarding the contract, a signed contract, correspondence and documentation for payment of the contract. The filing sequence is by contract number with a description of the contract work appearing on the file cover. Access to this bank will require name, address, contract number and description of the contract. **Class of Individuals:** Firms. **Purpose:** The purpose of this bank is to maintain information on the call and award of contracts for construction projects. **Consistent Uses:** These files may be used for research purposes for future contract work. **Retention and Disposal Standards:** General correspondence — ten years. **PAC Number:** 79-019 **TBS Registration:** 000298 **Bank Number:** SLS PPU 150

Deed Files

Description: This bank contains information relating to property to be expropriated or purchased, appraisal report, name and address of person or firm from whom the property was obtained as well as a copy of the purchase document. The filing sequence being by document number with name of the seller appearing on the file cover. Access to this bank will require name, address and document number. **Class of Individuals:** Firms, individuals. **Purpose:** The purpose of this bank is to maintain information on the Corporation's acquisition of land, through expropriation or purchase, and any building that might be located thereon. **Consistent Uses:** The information is used for reference purposes. **Retention and Disposal Standards:** Files are retained indefinitely. **PAC Number:** 72-019 **TBS Registration:** 000301 **Bank Number:** SLS PPU 165

Easement Files

Description: This bank contains information relating to granting of the easement, request for a privilege, name and address of person or firm granting or obtaining the easement, establishment of a fee as well as a copy of the document. The filing sequence is by document number with the name of the firm or individual appearing on the file cover. Access to this bank will require name, address and document number. **Class of Individuals:** Firms, individuals. **Purpose:** The purpose of this bank is to maintain information on the granting of easements to or from the corporation for such privileges as drainage

purposes, powerline, pipeline and communication line crossing purposes. **Consistent Uses:** The information contained in this bank is used for reference purposes.

Retention and Disposal Standards: Files are retained for three years after expiry of privilege involved. **PAC Number:** 72-019 **TBS Registration:** 000302 **Bank Number:** SLS PPU 170

Lease, Licence Files

Description: This bank contains information relating to the property or services to be leased or licensed, request for lease or licence, name and address of person or firm leasing or licensing, appraisal report, establishment of amount of rental as well as a copy of the document. The filing sequence is by document number with the name of the lessee or licensee appearing on the file cover. Access to this bank will require name, address and document number. **Class of Individuals:** Firms, individuals.

Purpose: The purpose of this bank is to maintain information on the leasing or licensing of land, buildings, office accommodation, parking facilities to or from the Corporation. **Consistent Uses:** The information contained in this bank is used for reference purposes. **Retention and Disposal Standards:** Twenty years after cancellation of privilege. **PAC Number:** 72-019 **TBS Registration:** 000303 **Bank Number:** SLS PPU 175

Letters Patent Files

Description: This bank contains information relating to the request to purchase, authorization to sell, description of the property, appraisal report, sale price, name and address of purchaser, as well as a copy of the letters patent document. The filing sequence is by document number with the name of the purchaser appearing on the file cover. Access to this bank will require name, address and document number. **Class of Individuals:** Firms, individuals. **Purpose:** The purpose of this bank is to maintain information on the sale or conveyance of land, together with any building that might be located thereon, to individuals or firms. **Consistent Uses:** The information is used for reference purposes. **Retention and Disposal Standards:** Files are retained indefinitely. **PAC Number:** 72-019 **TBS Registration:** 000304 **Bank Number:** SLS PPU 180

Professional Service Contract Files

Description: Files may contain an estimate of the total cost of the project, purchase requisitions and specifications, tender and contract documents, list of prospective tenderers, tenders submitted by suppliers, analysis of tenders submitted, documentation awarding the contract, a signed contract, correspondence and documentation for payment of the contract. The filing sequence is by contract number with a description of the contract work appearing on the file cover. Access to this bank will require name, address, contract number and description of the contract. **Class of Individuals:** Firms, individuals. **Purpose:** The purpose of this bank is to maintain information on the call and award of contracts for the supply of professional services such as consulting,

engineering, design, legal and inspection services.

Consistent Uses: These files may be used for research purposes for future contract work. **Retention and Disposal Standards:** General correspondence — ten years. **PAC Number:** 72-019 **TBS Registration:** 000299 **Bank Number:** SLS PPU 155

Purchase Orders and Tender Files

Description: Files may contain a requisition for items, specifications or brochure describing the items, list of prospective tenderers, tenders submitted by suppliers, analysis of tender submitted, documentation awarding the purchase order, delivery follow-up and price escalation correspondence. Access to this bank will require name, address and purchase order number. **Class of Individuals:** Firms. **Purpose:** The purpose of this bank is to maintain information on the call and award of orders for the purchase or supply of commodities, materials and equipment. **Consistent Uses:** These files may be used as research for future purchase requirements. **Retention and Disposal Standards:** Five years. **PAC Number:** 72-019 **TBS Registration:** 000297 **Bank Number:** SLS PPU 145

Service Contract Files

Description: Files may contain a requisition for the items, list of prospective tenderers, tenders submitted by suppliers, analysis of tenders submitted, documentation awarding the contract, and a signed contract. It should be noted that the filing sequence is numerical by contract number with a description of the contract work appearing on the file cover. Access to this bank will require name, address, contract number and description of the contract. **Class of Individuals:** Firms. **Purpose:** The purpose of this bank is to maintain information on the call and award of contracts for the supply of services such as security, grass cutting, reproduction, laboratory testing, snow removal, janitorial services, and courier and power supply services. **Consistent Uses:** These files may be used as research for future contract work. **Retention and Disposal Standards:** Five years. **PAC Number:** 72-019 **TBS Registration:** 000300 **Bank Number:** SLS PPU 160

Suppliers' Files

Description: The bank contains letters of solicitation, names and addresses of firms or individuals and information or literature describing the services or products available from the suppliers. Access to this bank will require name and address. **Class of Individuals:** Individuals, firms. **Purpose:** The purpose of this bank is to maintain records on suppliers of services or products. **Consistent Uses:** The bank is used to select suppliers. **Retention and Disposal Standards:** Five years. **PAC Number:** 72-019 **TBS Registration:** 000296 **Bank Number:** SLS PPU 140

Classes of Personal Information

Corporate Services and Regional Operations Sections
In the course of conducting the programs and activities of the Corporate Services and Regional Operations

Sections, categories of personal information may be accumulated which are not contained in the specific personal information banks described in this entry. Such personal information includes reports completed by ships' captains or pilots as required, and reports by Authority employees making reference to ships' captains or pilots. Such reports are included on ship history files containing accident, incident, violation, inspection and delay reports on specific ships, stored as part of the general files where records are not normally retrieved by name of individual or other personal identifier. The files are used for reference purposes and the personal information contained therein could be used to assess liability. This form of personal information is normally retrievable only if specifics are provided concerning the name of the ship and date of occurrence of the event. The retention period for these classes of personal information is controlled by the records schedules of the general subject files in which they are stored.

Purchasing Section

In the course of conducting the programs and activities of the Purchasing Section, categories of personal information may be accumulated which are not contained in the specific personal information banks described in this entry. Such personal information includes surplus assets files containing information on the disposal of surplus materiel and equipment, including the declaring of surplus assets, the location of assets, the names and addresses of purchasers and pertinent sales documentation, stored as part of the general subject files where records are not normally retrieved by name of individual or other personal identifier. This class is maintained on a regional basis. This form of personal information is normally retrievable only if specifics are provided concerning the surplus declaration number and a description of the surplus assets. The retention period for surplus assets files is two years after disposal.

Manuals

The St. Lawrence Seaway Authority Administration and Personnel

- Accident Prevention Manual
- Canada Labour Code
- Legal Affairs Procedures Manual
- Manual on Standards for Presentation of Correspondence
- Personnel Manual
- Purchasing Manual
- Records Management Manual
- Regional Instructions
- Supervisory Group and Operations and Maintenance Collective Agreements

Engineering and Maintenance

- American Society for Testing and Materials
- Canada Building Code

- Canada Electrical Code
- Canada Labour Code
- Canadian Coast Guard Marine Contingency Plan, Central Region
- Canadian Institute of Steel Construction
- Canadian Standards Association
- Contracts Manual, Ministry of Transportation and Communications (Ontario)
- Drafting Manual
- Electrical Preventive Maintenance Manual
- Engineering and Design Standards
- Engineering Services Branch Coordinators Manual
- Engineering Services Branch Drafting Manual
- Inspection Procedures Manual
- Marine Emergency Plans
- Mechanical Maintenance Procedures
- Mechanical Preventive Maintenance Manual
- MPLAN (Maintenance Planning)
- Operations Manual
- Rigging Handbook
- S.L.S.A. Regional Marine Contingency Plan
- Standby Manual
- The Welland Ship Canal
- User's Manual — Maintenance Management Information System

Finance

- Accounts Receivable User's Manual
- Agent Code Manual
- Commodity, Port and Country Code Book
- Lloyd's Register of Ships
- Seaway Handbook
- Tolls and Statistics User's Manual

Operations

- Accident, Incident and Violation Reporting Procedures
- Clerk's Manual
- Operations Manual
- Operations Memoranda
- Regional Instructions
- Seaway Handbook
- Traffic Control Manual

Welland Canal Twinning Project Contractors' Association (now defunct)

- Contractors Association General Labour Relations

The Jacques Cartier and Champlain Bridges Incorporated

- Act Respecting Industrial Accidents and Occupational Diseases (Quebec)
- Canada Building Code
- Canada Electrical Code

- Canada Labour Code
- Canadian Standards Association
- Canadian Uniform Traffic Control Devices Manual
- Contract Administration for Project Managers Manual
- D.S.S. Customer Manual
- General Instructions on Highway Signalization, Quebec
- Preventive Maintenance Manual — Electricity
- Purchase Manual
- Quebec Highway Safety Code

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about The St. Lawrence Seaway Authority and its various programs and functions may be directed to:

The St. Lawrence Seaway Authority
Information Officer
Constitution Square
360 Albert Street
Ottawa, Ontario
K1R 7X7
(613) 598-4600

The Seaway International Bridge Corporation, Ltd.
Manager
P.O. Box 836
Cornwall, Ontario
K6H 5T7
(613) 932-6601

The Jacques Cartier and Champlain Bridges Incorporated
General Manager
Bienville Complex
1000 de Sérigny, Room 630
Longueuil, Québec
J4K 5B1
(514) 651-8771

Reading Room

In accordance with the Access to Information Act, an area on the premises of each of the following institutions has been designated as a public reading room. The addresses are:

The St. Lawrence Seaway Authority
Ottawa Head Office
360 Albert Street
Ottawa, Ontario

Corporate Services

202 Pitt Street
Cornwall, Ontario

Maisonneuve Region and Engineering Services Branch

Administration Building
St. Lambert Lock,
St. Lambert, Quebec

Niagara Region

508 Glendale Avenue
St. Catharines, Ontario

The Jacques Cartier and Champlain Bridges Incorporated

Bienville Complex
1000 de Sérigny, Room 630
Longueuil, Quebec

The Seaway International Bridge Corporation, Ltd.

Administration Building
Cornwall Island
Cornwall, Ontario

Standards Council of Canada

Chapter 98

General Information

Background

The Standards Council of Canada (SCC) was established in 1970 by an Act of Parliament to foster and promote voluntary standardization in Canada as a means of advancing the national economy, benefiting the health, safety and welfare of the public, assisting and protecting consumers, facilitating domestic and international trade, and furthering international co-operation in the field of standards.

Responsibilities

The Council carries out its task through the National Standards System (NSS), a federation of accredited independent organizations concerned with standards development, certification, testing, quality systems registration and environmental systems registration coordinated by the SCC. The system was created to provide a medium through which Canadian organizations involved in such activities may operate and co-operate to recognize, establish and improve standardization in Canada. It provides a comprehensive Canadian standardization capability to meet both national and international requirements and responsibilities.

The Council ensures effective Canadian participation in the work of the International Organization for Standardization (ISO) and the International Electrotechnical Commission (IEC). Both of these international agencies have as their objective the development of standards, with a view to facilitating international exchange of goods and services. ISO work is concerned with standardization in many disciplines, except for the electrotechnology field which is IEC's responsibility.

Legislation

- Standards Council of Canada Act

Organization

♦ Executive and Administration Branch

The Branch ensures the coordination of Council programs within budgetary limitations and verifies that all activities are in keeping with its objectives and procedures as set out in the Standards Council of Canada Act and by-laws. It provides administrative services for all Council staff. It also performs the planning, accounting and reporting functions for all of the Council. It is accountable for revenues and appropriations and is responsible for discharging Council's liabilities.

♦ External Relations Branch

Communications Division:

The Communications Division is responsible for Council's corporate communications program. The division places advertising and public service announcements with various media, including print, television and radio to publicize the activities and services of Council. The division is also responsible for writing speeches, the production of audio-visual materials and for trade show exhibits. The division publishes the annual report and CONSENSUS, a news magazine with eight issues a year. The division also produces general information brochures about the Council and its services.

Customer Services Division:

The Customer Services Division is comprised of Information Services which includes the Technical Documentation Centre and Sales Services.

Information services is responsible for planning, developing and implementing activities and programs to ensure that the private and public sectors have ready access to national and international networks of information services on standards and standards-related activities. Information provided by Information Services may concern Canadian and/or foreign standards, specifications, technical regulations, codes, certification systems, standardization activities and other standards-related information. Information services acts as the Canadian WTO/NAFTA Enquiry Point, required by the Agreement on Technical Barriers to Trade (TBT) and Sanitary and Phytosanitary Measures (SPS) under the World Trade Organization (WTO) Agreement as well as the Canada-United States-Mexico North American Free Trade Agreement (NAFTA). The WTO/NAFTA Enquiry Point provides access to a wealth of strategic information essential to the design, manufacture, marketing, export and import of products and services. It also functions as the Canadian member of the International Organization for Standardization Information Network (ISONET). Information Services coordinates Canadian participation on the ISO Committee on Information (INFOCO) and functions as the central information and referral agency for the Standards Information System of Canada (CANSIS). Information Services also operates as the national centre for information on standardization activities of the Single European Market initiative.

The Technical Documentation Centre of this institution has been designated as a public reading room under the Access to Information Act. Its holding include a collection of over 400,000 standards and standards-related documents, standards catalogues of over 800 standards developing organizations, as well as various handbooks, directories and indices. In addition, the Documentation

Centre subscribes to a number of Canadian, International and foreign periodicals. The Documentation Centre is equipped with various electronic search tools including on-line bibliographic databases of Canadian Standards, ISO standards and draft standards, WTO/NAFTA notifications, SCC accredited laboratories, together with CD-Rom information databases.

For hours, refer to Reading Room Section.

The Sales Service is responsible for the sale, in Canada, of standards published by the International Organization for Standardization (ISO), the International Electrotechnical Commission (IEC), and foreign national standards published by approximately 90 member bodies of the ISO as well as a wide variety of standards and standards-related publications. Stocks of publications in demand are maintained to enable shortened delivery of international and foreign standards to Canadian clients. The Sales Service also provides National standards-development organizations (SDO's) with an additional outlet for Canadian standards. Through this centralized enquiry and supplier point, Canadians are kept informed of the various publications which could ultimately affect the manufacture and the positioning of their goods and services.

◆ Standardization Branch

The Branch coordinates voluntary standardization activities among standards writing organizations, calibration and testing laboratories, product certification organizations, quality and environmental system registration organizations, quality and environmental auditor certifiers, and maintains liaison with federal and provincial government authorities, industry and consumers. It assesses and recommends for accreditation organizations engaged in standards writing, product certification, calibration testing and registration, auditor certification and training of quality and environmental auditors. It also reviews standards for acceptance as National Standards of Canada. The Branch is responsible for ensuring effective Canadian participation in the work of international standardization organizations and for programs of training and technical assistance to developing countries in the field of standardization.

Conformity Assessment Programs

Description: Information on Council conformity assessment programs for the accreditation of calibration and testing laboratories, product certification organizations, quality and environmental system registration organizations, quality and environmental auditor certifiers and training providers; on participation on various related International Organization for Standardization (ISO) Committees and on various related international committees. **Topics:** Accreditation. **Program Record Number:** SDC ISB 010

International Electrotechnical Commission

Description: Information on Canadian participation in the technical committee of the International Electrotechnical Commission (IEC) in developing international co-operation on standardization in electrical, electronics and telecommunications engineering and in the unification of national electrotechnical standards. **Topics:** Organization; policy; IEC technical committees; memberships; working documents; minutes of committee meetings; voting register of Canadian participation. **Program Record Number:** SDC ISB 035

International Organization for Standardization

Description: Information on Canadian participation in technical committee work of the International Organization for Standardization (ISO) to promote the development of international standards, to facilitate the international exchange of goods and services, and to develop co-operation in intellectual, scientific, technological and economic activity. **Topics:** Organization; policy; ISO technical committees; memberships; working documents; minutes of committee meetings; voting register of Canadian participation. **Program Record Number:** SDC ISB 030

Standards Activities

Description: Information on Council standards activities. **Topics:** Advisory committees; accreditation of standards-writing organizations; coordination of liaison among voluntary organizations in liaison; establishment of subject areas; assessment, acceptance and recommendation of standards as National Standards; liaison — organizations, provincial authorities; reference to standards in regulations; standards in government procurement; procedures for assessing implementation of international standards in Canada; consumer participation in standardization. **Program Record Number:** SDC ISB 015

Standards Information

Description: Information on national, regional, foreign and international standards, technical regulations, certification systems and other matters related to standardization. Note: The Branch develops and maintains computerized databases containing bibliographic data on Canadian standards, and standards referenced in federal government regulations. The Branch publishes a directory of Canadian

Information Holdings

Program Records

Communications

Description: Information on Council's media relations; publications and general public relations programs. **Topics:** Administration, public service announcements, videos, news releases, seminars and conferences, displays, advertising, publications, brochures, newsletters, news magazine, press clippings annual report, speeches and public enquiries. **Program Record Number:** SDC PRB 025

standards for sale to the public. **Program Record Number:** SDC EIB 020

Standards Sales

Description: Information concerning the marketing, sales and distribution of national, foreign and international standards and other standards-related products. **Topics:** General information; sales policies and agreements, reproduction and copyright; marketing, promotion and advertising; meetings and sales conferences. **Program Record Number:** SDC EIB 045

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Administration and Management Services

Audits

Budgets

Buildings and Properties

Classification of Positions

Finance

Pensions and Insurance

Procurement

Personal Information Banks

Applicant Inventory

Description: This bank contains unsolicited written applications for employment at the Standards Council of Canada (SCC). The bank consists of resumés containing a variety of personal information and letters from SCC acknowledging receipt of applications. **Class of Individuals:** Members of the general public. **Purpose:** The records are used for reference when vacancies arise. **Consistent Uses:** To maintain a current inventory within SCC. **Retention and Disposal Standards:** The information is maintained for six months and then destroyed. **Related to PR#:** SDC AFB 903 **TBS Registration:** 003028 **Bank Number:** SDC PPU 010

Inventory of Assessors

Description: This bank contains personal information on assessors who are evaluating organizations applying for accreditation by the Standards Council of Canada. This information includes summaries of resumés, addresses, areas of specialization and a performance evaluation regarding performance during assessments. **Class of Individuals:** Individuals chosen by the Standards Council of Canada to act as assessors. **Purpose:** This information is held in order to maintain an inventory of possible candidates to act as assessors. **Consistent Uses:** To keep a record of the current address of the individual and a record of performance. **Retention and Disposal Standards:** To be determined and pending approval by

the National Archivist. **Related to PR#:** SDC ISB 010 **TBS Registration:** 003033 **Bank Number:** SDC PPU 015

Jean P. Carrière Award

Description: This bank contains information on all candidates nominated for the Jean P. Carrière award. The information includes addresses, qualifications, education and experience, honors and awards, and membership in professional organizations. **Class of Individuals:** Individuals nominated for the Jean P. Carrière award. **Purpose:** The information is collected in order to determine a winner of the award. **Consistent Uses:** The information is used only for the purpose for which it was collected. **Retention and Disposal Standards:** To be determined and pending approval by the National Archivist. **Related to PR#:** SDC AFB 903 **TBS Registration:** 003031 **Bank Number:** SDC PPU 013

Mailing Lists

Description: This bank contains various mailing lists which include addresses, some of which are home addresses, and telephone numbers. **Class of Individuals:** Clients purchasing standards and related documents; potential clients; individuals receiving various Standards Council of Canada publications on a regular basis. **Purpose:** The purpose of these mailing lists is to maintain current addresses of individuals and organizations to whom Standards Council of Canada publications and purchased products are sent. **Consistent Uses:** The information is used in order to send the most recent version of all publications; to forward all purchased standards and to notify customers of new products. **Retention and Disposal Standards:** To be determined and pending approval by the National Archivist. **Related to PR#:** SDC ISB 010, SDC PRB 025, SDC EIB 020, SDC EIB 045 **TBS Registration:** 003032 **Bank Number:** SDC PPU 014

Members of Council and Committees

Description: Information in this bank includes the curriculum vitae (or synopsis of), address, telephone and fax numbers of members; Orders-in-Council appointing members of Council; membership designation; correspondence and relevant information concerning members' employment. **Class of Individuals:** Members of Advisory Committees, Technical Committees, Sub-Committees, Working Groups and members of Council. **Purpose:** The information is compiled to determine the professional qualifications of individuals and their eligibility for membership on Council and the various committees. **Consistent Uses:** Some information is used to communicate with members such as forwarding documents concerning meetings. **Retention and Disposal Standards:** To be determined and pending approval by the National Archivist. **Related to PR#:** SDC ISB 010, SDC ISB 015, SDC ISB 030, SDC ISB 035, SDC AFB 903 **TBS Registration:** 003030 **Bank Number:** SDC PPU 012

Travel

Description: This bank contains authorizations, advances, claims, receipts, travel arrangements and itineraries, and correspondence concerning travel of employees. **Class of Individuals:** Employees, Council members, Advisory Committee and Sub-Committee members, international delegates and consultants.

Purpose: To maintain information regarding travel of employees and others. **Consistent Uses:** To administer the travel function with respect to its approval as well as posting advances and claims. **Retention and Disposal Standards:** Records are kept for two years then sent to National Archives. **Related to PR#:** SDC AFB 914, SDC AFB 915 **TBS Registration:** 003029 **Bank Number:** SDC PPU 011

Manuals

The Standards Council of Canada publishes and maintains policy documents outlining such subjects as — Criteria and procedures for accreditation programs, the development of National Standards of Canada and procedures for participating in international standards committees. For more information, contact the communications division.

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Information about the Standards Council of Canada, its policies and programs may be obtained by calling or writing to:

Standards Council of Canada
Communications Branch
Suite 1200
45 O'Connor Street
Ottawa, Ontario
K1P 6N7
(613) 238-3222

The Standards Information Service can be reached by writing to:

Standards Council of Canada
Standards Information Service
Suite 1200, 45 O'Connor Street
Ottawa, Ontario
K1P 6N7

Reading Room

The library of this institution has been designated as a public reading room under the Access to Information Act. The address is:

45 O'Connor Street
Ottawa, Ontario

(Hours: 08:30 to 16:00 eastern standard time Monday to Friday during the months of June, July and August. 08:30 to 16:30 eastern standard time Monday to Friday for the remaining months of the year.)

Statistics Canada

Chapter 99

General Information

Background

Statistics Canada collects and provides statistical information on the characteristics and behaviour of Canadian households, businesses, institutions and governments for research, policy development, program administration, decision-making and general informational purposes. This is done under the authority of the Statistics Act which prohibits the disclosure of identifiable information to anyone but the individual person, business or organization concerned.

The statistical information banks maintained by Statistics Canada contain some personal information that has been obtained from a variety of sources. Information is collected directly from the individual through the censuses of population and agriculture, from sample surveys such as surveys on income and expenditure, labour force surveys and special surveys. It is also collected through certain formal agreements the agency has entered into with large social institutions and various levels of Canadian governments. These arrangements give Statistics Canada access to administrative records for the purpose of extracting data on the client populations of the institutions of Health, Education and Justice and on the activities of the population as it comes in contact with the state (e.g., the registration of births, deaths and marriages).

One of the most important of these agreements is one Statistics Canada has with Revenue Canada (Taxation) to access taxation returns for statistical purposes, thus allowing the use of an administrative file of the federal government containing a wealth of information on the socio-economic fabric of the Canadian population. The accessing of taxation records has allowed Statistics Canada to exempt many respondents, particularly small businesses, from the filing of regular questionnaires.

Information banks maintained by Statistics Canada are largely automated. Since records in these banks are meant to be used for statistical purposes and not for administrative purposes, they are rarely classified by name. In fact, few data banks contain names. Some banks are organized by a unique identifier, be it a number assigned by Statistics Canada, a number assigned by the administrative source, such as a registration number, or the social insurance number. Records in other banks are classified geographically, or by the name of the company. It should be noted that many of the statistical data banks contain only a sample of the population. To locate a statistical record, Statistics Canada will require, in most cases, not only an identifier, but additional information such as the time period in which an individual has

responded to a survey, or his/her street address, or his/her industry sector.

Responsibilities

The mandate and program objectives of Statistics Canada are: to collect and publish in impersonal formats statistical information on the characteristics and behaviour of Canadian households, businesses, institutions and governments for research, policy development, program administration, decision-making and general informational purposes; to collaborate with other participants in the broader national statistical system in extending the system's scope, usefulness and use; and to provide leadership, professional expertise and coordination in such efforts.

Legislation

- Corporations and Labour Unions Returns Act (CALURA), 1962, c. 26
- Statistics Act, R.S.C. 1985, c. S19

Organization

Office of the Chief Statistician of Canada

This area is the focal point for the administration of all matters pertaining to Statistics Canada.

♦ Chief Statistician of Canada

The Chief Statistician is a deputy of the Minister Responsible for Statistics Canada. The Chief Statistician advises on matters pertaining to statistical programs of the departments and agencies of the Government of Canada, and confers with such departments and agencies to that end. The Chief Statistician supervises generally the administration of the Statistics Act and controls the operations and staff of Statistics Canada.

♦ Departmental Secretariat

The Departmental Secretariat serves as the principal focal point for liaison with the Minister Responsible for Statistics Canada, other ministers, and members of Parliament generally. In support of the Minister, the Departmental Secretariat processes correspondence, deals with parliamentary returns, responds to oral and written questions and prepares briefing material on issues relating to Statistics Canada's programs and policies.

Its other functions include providing staff support to the Chief Statistician and the Policy Committee, as well as liaising with various management committees. The Departmental Secretariat is also responsible for review and control of official government documentation.

Business and Trade Statistics Field

This field produces a wide range of monthly, quarterly and annual statistics on manufacturing, primary industries, transportation, communications, computing, construction, agriculture, merchandising services, external trade, prices, business finance, science and technology, small business, public finance, Corporations and Labour Unions Returns Act (CALURA), regional industrial structure and related areas. It is headed by an Assistant Chief Statistician with responsibility for the Industry, Trade and Prices Statistics and the Resources, Technology and Services Statistics branches and Large Enterprise Statistics.

◆ Industry, Trade and Prices Statistics Branch

This Branch is responsible for planning, directing, coordinating and controlling the activities of subject-matter divisions to ensure the provision of statistical information and advice to governments, private organizations and institutions. It includes the following divisions: Industry, Transportation, International Trade and Prices.

Industry Division:

Conducts monthly surveys on manufacturing, forestry, retail, wholesale and energy to produce a range of current indicators such as shipments or sales, inventories, and orders. Also, conducts the annual surveys covering manufacturing, forestry, retail, wholesale, mineral fuels, pipelines and utility industries to produce a range of cost structure, material input, commodity output and employment data.

International Trade Division:

Responsible for the compilation, analysis and dissemination of Canada's merchandise export and import statistics and related price and volume indices.

Prices Division:

Provides information on retail (consumer) prices, living costs, and industrial products, raw materials, farm input prices and construction price indexes, as well as a number of indexes relating to capital expenditures and services.

Transportation Division:

Collects, publishes and makes available statistics on air, rail, road and water transportation industries and on related traffic and infrastructures.

◆ Large Enterprise Statistics

The objective of this project is to improve the quality of our industrial and financial statistics through a better and more integrated method of reporting by large businesses. This objective will initially be confined to surveys of annual production, capital formation and financial statistics and their quarterly derivatives.

◆ Resources, Technology and Services Statistics Branch

This Branch is responsible for planning, directing, coordinating and controlling the activities of the subject matter divisions to ensure the provision of statistical information and advice to governments, private organizations and institutions. This Branch includes the following divisions: Services, Science and Technology, Industrial Organization and Finance, Agriculture, Investment and Capital Stock, and Small Business and Special Surveys.

Agriculture Division:

Produces information on the supply of agricultural commodities (production and inventory levels); the resources employed to produce these commodities (land and capital); the returns to farmers arising out of production; revenues and expenses, off-farm income of operators and farm families by type of farm; socio-economic characteristics of farmers; and apparent per capita food consumption.

Industrial Organization and Finance Division:

Produces financial statistics for enterprises and corporation taxation statistics. It also administers the Corporation and Labour Unions Returns Act (CALURA).

Investment and Capital Stock Division:

Provides a focus for the collection, analysis and publication of statistics relating to investment activities.

Science and Technology Division:

Responsible for the development of an integrated and comprehensive program of statistics on science and technology, and communications.

Services Division:

Responsible for the development of an integrated and comprehensive program of statistics on services.

Small Business and Special Surveys Division:

Provides statistical information on and for small business in Canada and responds to information needs of clients concerning business statistics in Canada which cannot be met through the Agency's on-going statistical programs.

Communications and Operations Field

This Field provides a full range of external communication functions, including respondent, user, and media relations. It also administers the central and regional operational functions essential to the conduct of the agency's statistical programs, including regional data collection and processing activities, marketing, publishing and electronic data dissemination. This Field is headed by an Assistant Chief Statistician with responsibility for the Marketing and Information Services, Surveys and Regional Operations Branches.

◆ Marketing and Information Services Branch

This Branch is responsible for the activities which design and organize the information products of the agency to service the needs of its various clients at the federal, provincial and municipal government levels, and in the public and private sectors. It includes the following Divisions: Communications, Marketing, Dissemination and StatCan Online Project.

Communications Division:

Promotes effective communications between Statistics Canada and its publics. The Division coordinates the release of the Agency's information to the public, notably through the "Daily/Le Quotidien" (the Agency's official release publication) and provides communications planning, environmental monitoring, media relations, client relations and respondent relations support to Agency programs. In addition, the Division provides assistance with public consultations and coordinates federal-provincial consultative system. Publishing of information products, such as the "Canada Year Book", "Canada: A Portrait", and "Infomat", is another major responsibility. Through the employee newsletter, "SCAN", and its Internal Communication Network, the Division contributes to internal communications in the Agency. Finally, Communications Division maintains and improves the overall quality of communications at Statistics Canada by providing editorial services and communications training.

Dissemination Division:

Responsible for the production of the Department's catalogued publications and the development and operation of techniques and mechanisms to foster the public access to Statistics Canada's information through electronic means.

Marketing Division:

Provides overall leadership and coordination for the marketing activities of Statistics Canada. These activities include the development of strategic marketing plans, market research to identify client needs, coordination of promotional and sales campaigns, pricing and licensing of the agency's products and the management of a marketing information system.

StatsCan Online Project:

Responsible for designing, developing and implementing an online service to deliver the publishable data of Statistics Canada.

◆ Regional Operations Branch

This organization is responsible through a headquarters staff and a network of regional offices for survey data collection, primary processing and editing, for the provision of statistical information and advice at the regional level, and for related user respondents and community relations. Regional offices are located in Halifax, Montreal, Toronto, Sturgeon Falls, Winnipeg, Regina, Edmonton, Vancouver and Calgary. The Branch

includes the Survey Operations Division and the Advisory Services Division.

Advisory Services Division:

Provides an information dissemination network across the country through nine regional Reference Centres. A team of information consultants carries out a range of marketing and sales functions, dealing directly with Statistics Canada's clients in order to customize the information to meet their needs.

Survey Operations Division:

Responsible for researching, costing, developing, delivering and evaluating data collection and data capture procedures and training in cooperation with client divisions and several external departments. The Division provides services in the areas of project management, operations research and analysis, organization and methods of data collection, financial control, logistical support, data capture and operations audit and evaluation.

◆ Surveys Branch

This Branch is responsible for analysing, evaluating and reviewing all statistical operations; and for managing the integrated headquarters operations. It also develops and implements approaches and strategies for integrating operations and services throughout the agency, including the regionalization of operational activities, and manages and coordinates related production support services. It includes Administrative Support Services Division, the Operations and Integration Division and the Operations Research and Development Division.

Administrative Support Services Division:

Involved in the following areas: records management, distribution of data collection material, publications and general correspondence, materiel management and facilities management, and contract administration.

Operations and Integration Division:

Responsible for the planning and management of the operations for more than 200 surveys conducted centrally, for the data capture of many more documents, and for geocartographic services to Census and other divisions. The division also provides support to the Marketing Division by processing orders, telemarketing, and providing client support regarding published data.

Operations Research and Development Division:

Its primary mission is to initiate and manage a program of research and development designed to improve efficiency, effectiveness, and job satisfaction with potential application to the Operations and Integration Division and other operational entities in Statistics Canada.

Informatics and Methodology Field

This field ensures that the statistical methodology used by all surveys is sound and statistically efficient, and provides leadership for and management of information processing in Statistics Canada. It is headed by an Assistant Chief Statistician with responsibility for Informatics, Classification Systems and Methodology, Geography and International and Professional Relations.

◆ Classification Systems Branch

This Branch develops and promulgates classification systems; standardizes economic, social and geographic concepts; develops, enhances and maintains the business register as a major integration instrument of survey-taking; and is responsible for the exploitation of taxation and other administrative data. It includes the Business Register Division and the Standards Division.

Business Register Division:

Responsible for the development and maintenance of a central register of firms and their constituent establishments for purposes of statistical collections. It is also responsible for accessing and transcribing statistical data from Revenue Canada records to supplement or replace data collected by survey, and for maintaining a tax database.

Standards Division:

Develops standard classifications, monitors their implementation, and establishes official concordances between international and Canadian classifications. It standardizes economic and social concepts, and provides a system of comprehensive documentation for all surveys conducted in Statistics Canada.

◆ Geography Division

Maintains Statistics Canada's geographic classification infrastructure, develops geographic concepts and products and services for clients, including the Census, and is the centre of expertise in the application of computerized geographic and cartographic techniques.

◆ Informatics Branch

This Branch provides electronic data processing (EDP) services to Statistics Canada. The headquarters staff, in conjunction with other members of the Branch, is responsible for the formulation of policies relating to EDP and managing overall branch activities. It also coordinates relations with the users of various systems and data processing services. The Branch provides the chairman and secretariat services for the executive sub-committee on EDP. It includes the System Development Division, the Informatics User Services Division and the Main Computer Centre.

Informatics User Services Division:

Provides end-users of informatics a comprehensive range of informatics and communications services. Services include the maintenance and support of informatics equipment, and communications hardware, and related

software, training and consultation and liaison with clients. In addition, the division is involved in planning and development of EDP policy and standards, information modelling and is responsible for EDP security.

Main Computer Centre:

Responsible for the provision of large-scale computer and mid-range computer services to Statistics Canada, including data storage facilities for the agency's EDP data.

System Development Division:

Responsible for designing, developing and maintaining software for the support of surveys, censuses and other computer-based operations conducted by the agency. It also provides strategic advice on the use of Information Technology in automating the Agency programs.

◆ International and Professional Relations Division

Coordinates Statistics Canada's contacts with the statistical offices of other countries and international organizations, such as the United Nations, its specialized agencies and regional commissions; the Organisation for Economic Co-operation and Development; the European Union; the International Statistical Institute; and so on. It provides policy advice on international matters to senior departmental management; co-ordinates the work required for fulfilling international obligations, such as representation at intergovernmental meetings and data reporting; manages the department's International Technical Assistance Program; and maintains contact on behalf of the department with the Department of Foreign Affairs and International Trade and the Canadian International Development Agency. The division's responsibilities also include co-ordination of the department's relations with professional societies; the management of the department's Research Fellowship and Internship Program; and the administration of the department's approval system for foreign and non-operational domestic travel.

◆ Methodology Branch

This Branch plans, organizes and directs the work of the divisions and staff engaged in the development, implementation, analysis and evaluation of the statistical methodology underlying all of the programs of the agency. The Branch includes the following divisions: Social Survey Methods, Business Survey Methods, Household Survey Methods and Small Area and Administrative Data.

Business Survey Methods Division:

Responsible for providing methodological services to business, trade and agriculture statistics programs as well as for services on seasonal adjustment of time series, data analysis, re-engineering and quality assurance to all statistics programs. It is also responsible for the development and maintenance of methodology standards, for the development and support of generalized methods for survey functions and for research and development on

problems related to survey design and evaluation, time series and survey data analysis. The division includes the Time Series Research and Analysis Centre, the Re-engineering Support Centre, the Data Analysis Resource Centre, and Quarterly Assurance Methods Section.

Household Survey Methods Division:

Responsible for providing methodological services to the household and institutional statistics programs, including surveys on the labour force, consumer income and expenditure, health, education, culture, tourism, justice and public institutions; the General Social Surveys; and social and economic studies. It is also responsible for research and development on problems related to survey design, evaluation and data analysis. The division includes the Record Linkage Resource Centre.

Small Area and Administrative Data Division:

Develops and disseminates small area social, economic and demographic statistics and indicators. The sources of these data are administrative files from other federal departments.

Social Survey Methods Division:

Responsible for providing methodological services to social, institutions and labour statistics programs including the Census, the National Longitudinal Survey of Children and Youth, the Survey of Labour and Income Dynamics, other cross-sectional and longitudinal surveys and special surveys carried out on a cost recovery basis. It is also responsible for research and development on problems related to survey design, evaluation, data analysis and development of small area and administrative data. The division includes the Questionnaire Design Resource Centre and the Statistical Consultation Group.

Management Services Field

This Field organizes and coordinates internal management services, including the development and implementation of all management policies and systems within the Agency and the assessment of the efficiency and effectiveness of these programs. It is headed by an Assistant Chief Statistician with responsibility for the Finance, Planning, Audit and Evaluation Branch, Human Resources Branch, Corporate Assignments Division and Data Access and Control Services Division.

♦ Corporate Assignments Division

Manages a human resources development program which provides indeterminate employees the opportunity to experience temporary assignments both internally and in other government departments. The length of assignments can range from six months to two years. The employee's tenure in his/her home position is protected. Assignments arranged through this program also serve to: (a) assist employees to broaden their career choices and acquire new skills and abilities; (b) improve the department's operational flexibility by providing an

inventory of qualified candidates able to fill human resources requirements on a temporary basis; (c) support the ES, CS and Technical Support Recruitment and Development Programs; (d) support employment equity policies and goals.

♦ Data Access and Control Services Division

Serves as a departmental focal point for matters relating to the confidentiality of the information collected by Statistics Canada, statistical agreements, record linkages for statistical purposes, and Statistics Canada's Policy on Informing Survey Respondents. It coordinates the implementation of Treasury Board's Management of Government Information Holdings Policy (MGIH), administers services pursuant to the Access to Information Act and the Privacy Act, and manages the departmental security services.

♦ Finance, Planning, Audit and Evaluation Branch

This Branch is responsible for determining the financial resources required by Statistics Canada and supervising the Agency's expenses. The Branch is also responsible for operations related to program and financial planning, financial services, management information systems, for developing good management systems to support the agency's managers in achieving departmental objectives and for ensuring that management practices identified by the Office of the Comptroller General are carried out. It includes the following divisions: Audit and Evaluation, Corporate Planning and Programming, Financial Policies and Systems Division and the Financial Operations Division.

Audit and Evaluation Division:

Provides a systematic and independent review of all operations for purposes of advising Statistics Canada's management on the efficiency, effectiveness and economy of management, and is responsible for evaluating Statistics Canada's programs to assess the continued relevance of their objectives, and for investigating alternatives that might achieve the objectives in a more cost-effective manner.

Corporate Planning and Programming Division:

Responsible for the effective management of the corporate planning, multi-year operational planning and budget development processes; for the development, implementation and evaluation of cost accounting systems and costing methodologies; for the conduct of expenditure and revenue forecasting activities; and for the development and implementation of a variety of management processes aimed at improving overall program effectiveness and efficiency.

Financial Operations Division:

Responsible for the accounts office, which retains records on all expenditure and revenue transactions, recoverable projects accounting, and general accounting services.

Financial Policies and Systems Division:

Responsible for the development, design, implementation and review of departmental financial policies, systems and procedures in Statistics Canada.

◆ **Human Resources Branch**

This Branch is responsible for assisting management in obtaining, deploying, developing and retaining the staff necessary to execute Statistics Canada's responsibilities. It is also responsible for ensuring fair and equitable treatment of individual employees. It includes the following Divisions: Human Resources Operations, Human Resources Development, Official Languages and Translation, and the Employee Assistance Program.

Employee Assistance Program:

Responsible for providing confidential health assistance and advice to employees, and disseminating information on the various social agencies available to provide service to employees.

Human Resources Development Division:

Responsible for the analysis, development, implementation and coordination of human resources policies and programs related to diversity management and employment equity, human resources planning and career management, training and development programs, work design and classification, human resources information systems and employee assistance and counseling.

Human Resources Operations Division:

Responsible for operations in the areas of classification, recruitment, promotions, redeployment, language identification of positions, staff relations and compensation administration, and for the development, implementation and ongoing operations of personnel policies and programs related to staffing.

Official Languages and Translation Division:

Responsible for developing departmental guidelines on official languages and translation, and providing information and advice to managers on the requirements of the Official Languages Act and on the implementation of related policies and programs in their respective areas. The Division also develops and manages the departmental language training program as a whole, plans and oversees the language training of individual employees and provides them with information on their rights and obligations regarding official languages. In addition, as of April 1, 1995, the Division is responsible for managing translation services for all of Statistics Canada.

National Accounts and Analytical Studies Field

This Field is responsible for providing a set of macroeconomic series within the five complex frameworks of the Canadian System of National Accounts. It provides a broad band of research and

analysis intended to animate society's perception of social and economic behaviour, and undertakes research and development of statistical techniques. The Field is headed by an Assistant Chief Statistician with responsibility for the system of national accounts and analytical studies.

◆ **Analytical Studies Branch**

This Branch is responsible for the integration, analysis and interpretation of data collected both within the agency and elsewhere, to describe, draw inferences and make deductions about the nature of Canadian society. As well, it is responsible for the development of new and improved techniques for the statistical analysis and interpretation of socio-economic data sets. It includes the following Divisions: Family and Community Support Systems, Social and Economic Studies, Business and Labour Market Analysis, Micro Economic Studies and Analysis and Current Economic Analysis.

Business and Labour Market Analysis Division:

Business and Labour Market substantive research and data development takes place in this area. These activities build on the unique data holdings available to Statistics Canada researchers, allowing work that can only be conducted within Statistics Canada to be carried out. This includes the use and linkage of worker and workplace data to create unique data sources for analytical purposes. The analytical topics include unemployment and the UI system; displaced workers and labour adjustment; wages, earnings, hours worked and earnings inequality; intergenerational income mobility; the school to work transition of post-secondary graduates; job creation in small and large firms; other related topics in firm dynamics and labour markets. The data development projects include the development of an establishment and workers survey to address issues such as human resource practices in firms and their impact on the use of UI, wage inequality, and firm performance, and technology and it's association with skill mix and training; and the changing demand for various types of labour.

Current Economic Analysis Division:

Provides Statistics Canada's assessment of current economic conditions. This is done through articles in the "Canadian Economic Observer" and the production of the composite leading indicator. The group also supports research and analysis in other areas of the organization.

Family and Community Support Systems Division:

Provides social-scientific analysis of data relating to trends and patterns of important variables pertaining to support programs and informal support networks for key population groups in Canadian communities as well as assistance to users of such data.

Micro Economic Studies and Analysis Division:

Focuses on the a broad range of topics in industrial economics making use of specially constructed longitudinal data bases that link data from several areas

from within Statistics Canada. It has examined such issues as the importance of small firms in the job creation process, the changing nature of multinationals, and the success of new firms. The group is conducting several surveys that focus on the contribution of innovative and technological capabilities to growth, job creation, the use of advanced manufacturing technologies in Canada, the characteristics of the innovation process and the importance of financing to the growth of new firms.

Social and Economic Studies Division:

Its role is to conduct analysis as well as to stimulate, support and integrate analytic work throughout Statistics Canada. It is also responsible for facilitating the utilization of social and economic data files.

◆ **System of National Accounts Branch**

The System of National Accounts provides a conceptually integrated framework of statistics and analysis for studying the state and behaviour of the Canadian economy. The accounts are centred on the measurement of activities associated with production of goods and services, and the sales of goods and services in final markets. The Branch includes the following divisions: National Accounts and Environment, Industry Measures and Analysis, Balance of Payments, Input-Output and Public Institutions.

Balance of Payments Division:

Produces statistics on transactions and positions between Canada and other countries.

Industry Measures and Analysis Division:

Produces monthly, quarterly and annual measures of the Gross Domestic Product by industry for Canada, the provinces and territories.

Input-Output Division:

Develops annual input-output tables and annual labour and multifactor productivity accounts for the business sector industries in Canada, interprovincial input-output tables with trade flows on an occasional basis and structural economic models of the Canadian and Provincial economies.

National Accounts and Environment Division:

Responsible for: the conceptual and statistical integration of statistics within the System of National Accounts; research on the restructuring and extension of the Canadian System of National Accounts in conjunction with the re-examination of the accounts which is taking place at the international level; providing quarterly and annual estimates of the national and provincial output of goods and services by expenditure category and also by type of income generated in the production process; providing a picture of the key economic processes of production, income generation, sales of major markets, consumption, and capital formation; providing quarterly estimates of financial flows among fourteen broad sectors of the economy, by type of asset and liability; providing

annual estimates of Canada's national balance sheet, broken down by sector and by type of asset and liability; and the development of a core set of statistics relevant for environment analyses and assessment of the national state of the environment in Canada.

Public Institutions Division:

Produces statistics on the financial activities and employment of the Canadian public sector, more specifically: government revenues, expenditures, assets, liabilities and debt statistics for the federal, provincial and local levels of government. Consolidated financial data for all these levels of government are published. Data are also produced on incomes, expenditures, assets and liabilities of government business enterprises; and sales values and volumes and government revenues from the sale of alcoholic beverages in Canada.

Social, Institutions and Labour Statistics Field

The Social, Institutions and Labour Statistics Field conducts a wide range of statistical programs dealing with virtually all aspects of the social, institutional and labour market characteristics and activities of Canadians. The Field is headed by an Assistant Chief Statistician with responsibility for the Canadian Centre for Justice Statistics, Census and Demographic Statistics, Institutions and Social Statistics, Labour and Household Surveys Branches and Integration and Development of Social Statistics.

◆ **Canadian Centre for Justice Statistics**

This is a federal-provincial initiative dedicated to national statistics and information on the justice system in Canada. Established in 1981, the Centre is responsible for producing information on the extent and nature of crime and the administration of criminal and civil justice in Canada.

◆ **Census and Demographic Statistics Branch**

This Branch conducts a program of censuses, surveys and related activities designed to provide comprehensive social and demographic statistics on the Canadian population. It includes the Census Operations Division, the Housing, Family and Social Statistics Division, the Demography Division and the 1991 Census Analysis.

1991 Census Analysis:

Objectives are to conduct studies which focus on major topics that are germane to the development of Canadian society over the next decade and beyond, to demonstrate the power and value of Census data when analytically coupled with other sources, to produce a series of studies, monographs and texts that stand the test of time, which may be integrated into a variety of academic programs and that will serve as a background in the formulation and development of public policy, and to stimulate further research using Census and other data sources. The

monographs are under preparation and will be available in 1996 and 1997.

Census Operations Division:

Responsible for the planning, development and implementation of all production-related operations of the periodic decennial and quinquennial censuses of population, Canada's national inventory of key socio-economic phenomena.

Demography Division:

Responsible for the following four areas: (i) population estimates; (ii) population, household and family projections; (iii) census and survey related activities; and (iv) developmental and analytical research.

Housing, Family and Social Statistics Division:

Responsible for the housing, family and social variables produced by the Census, the General Social Survey, and conducting research and analysis of census data and other survey and administrative data. It publishes reports on the socio-economic characteristics of the population and subsets of the population.

◆ Institutions and Social Statistics Branch

This Branch is responsible for the collection, production analysis and dissemination of socio-economic statistics related to justice, health, education, culture and tourism, and public institutions, which are required for decision-making and research in both the public and private sectors. It consists of the Health Statistics Division, the Education, Culture and Tourism Division and the Post Censal Surveys Program.

Education, Culture and Tourism Division:

Responsible for the Education Statistics, Culture Statistics, and Travel and Tourism Programs.

Health Statistics Division:

Health Statistics Division provides statistical information and analysis about the nature and operation of health in Canada. Information on the health of Canadians is classified under (i) Health Care, (ii) Health Status and Vital Statistics, (iii) Occupational and Environmental Health, (v) National Population Health Survey. On going health research and analysis is conducted, producing generic products such as a quarterly review called "Health Reports" as well as an electronic data base of information called "Health Indicators".

Post Censal Surveys Program:

Undertakes surveys immediately following a Census, using information from the Census questionnaire to identify who should be sampled in the new survey. For each individual selected for a post-censal survey, selected Census information is added to the new survey information base.

◆ Integration and Development of Social Statistics Division

Responsible for promoting and co-ordinating the integration and distribution of a diverse range of sources of socio-economic information in a thematic, subject or issue-driven manner. The work includes improving the meta information and meta data systems, cultivating partnership opportunities (in terms of data integration and marketing) between subject matter divisions and central service divisions, addressing issues of disharmonies across the sources and seeking opportunities for producing and marketing both data and analytical products and services based on integrated sources rather than single sources.

◆ Labour and Household Surveys Branch

This Branch conducts statistical programs of household surveys such as the Labour Force Survey, the surveys of income and expenditure, and establishment-based surveys of employment. It includes the following divisions: Household Surveys, Special Surveys, Labour and Household Surveys Analysis, and Labour.

Household Surveys Division:

Responsible for a number of household surveys, including the monthly Labour Force Survey, the Survey of Consumer Finances, the Survey of Family Expenditure and the Survey of Labour and Income Dynamics.

Labour and Household Surveys Analysis Division:

Provides resources for the content, analysis and evaluation of income and labour market related data, including data on labour force status, occupation, labour compensation, pensions, industry, individual and family income and expenditure, for both Census and sample surveys.

Labour Division:

Responsible for producing a wide range of labour data from surveys and administrative records. In addition, information is produced on pension plans, the operation of the Unemployment Insurance Act and the Help-Wanted Index.

Special Surveys Division:

Responsible for the Special Surveys Program at Statistics Canada which provides a capacity to develop and carry out occasional or ad hoc household surveys.

Information Holdings

Program Records

◆ Departmental Secretariat

Departmental Operations

Description: Records relating to the overall functions of the Department. **Program Record Number:** STC OCS 005

Business and Trade Statistics Field

Business and Trade Statistics Field Operations

Description: General operations relating to the overall functions of the Field. **Program Record Number:** STC BTS 305

Industry Division:

Construction Industry

Description: Records related to the construction industry, including revenues, inventories, principal direct and overhead costs and other operating data by type of contractor. **Topics:** Type of construction; total value of construction; repair work; labour costs; construction contracting industries — mechanical, electrical, highway, road, street, bridge, general non-residential, general residential, heavy engineering and special trades. **Note:** The last year of data for the construction sector is 1989; the program has been cancelled. **Program Record Number:** STC IND 370

Energy

Description: Records related to the production, transformation, transportation and consumption of coal, crude oil, natural gas, electricity and refined petroleum products. The statistical programs are carried out in collaboration with other government agencies such as Natural Resources Canada, the National Energy Board, and various provincial energy departments. **Topics:** Supply and disposition of solid fuels, liquid fuels, natural gas, electricity and renewable energy forms; financial and operating statistics in respect of energy industries and pipelines. **Program Record Number:** STC IND 315

Logging (Survey of Forestry)

Description: Records related to shipments of roundwood. **Topics:** Saw logs; veneer logs; pulpwood; poles and pilings (untreated); fuelwood; Christmas trees; miscellaneous roundwood. **Program Record Number:** STC IND 385

Manufacturing

Description: Records related to various surveys for the manufacturing sector. Depending on the survey, data covered are: shipments, inventories and orders; qualitative assessments of business conditions and employment; operating statistics; materials and supplies used; products shipped. **Topics:** The following industries are covered:

food, beverage, tobacco; rubber products, plastic products, leather; primary textile, textile products, clothing, wood, furniture and fixture, paper and allied products, printing and publishing, primary metal, fabricated metal products, transportation equipment, machinery, electrical and electronic products, non-metallic mineral products, refined petroleum and coal products, chemical products, other manufacturing industries.

Program Record Number: STC IND 310

Retail Trade

Description: Records related to sales, inventories and operating data for retail businesses, and the number and value of new motor vehicles sold in Canada. Data available on a provincial basis. **Topics:** Supermarkets and grocery stores; other food stores; drugs and patent medicine stores; shoe stores; men's clothing stores; women's clothing stores; other clothing stores; household furniture and appliance stores; household furnishings stores; motor vehicle dealers; gasoline service stations; automobile parts, accessories and services; general merchandise stores; chain and department stores; direct sellers; vending machine operators. **Program Record Number:** STC IND 375

Waste Management

Description: Records related to the economic characteristics of waste management in Canada. **Topics:** Principal statistics; details on wastes managed for haulage (type and quantity), for disposal (method by type and quantity of recycled materials); waste imports and exports. **Program Record Number:** STC IND 317

Wholesale Trade

Description: Records related to businesses acting as intermediaries in the field of distribution of: farm products; petroleum products; food, beverages, drugs and tobacco products; apparel and dry goods; household goods; motor vehicles, parts and accessories; metals, hardware, plumbing, heating and building materials; machinery, equipment and supplies; and miscellaneous wholesale industries. **Topics:** Principal statistics of wholesale merchants; volume of trade; net sales and receipts; purchases; inventories; salaries and wages; gross commissions; province, territory and country origin and destination of shipments for 100 commodity groupings. **Program Record Number:** STC IND 380

International Trade Division:

Exports and Imports

Description: Records related to exports and imports. **Topics:** Exports and imports according to the Harmonized System on a custom basis by quantity and value; by commodity; by country. Exports by province of origin. Imports by province of clearance. Import and export price and volume indexes. Seasonally adjusted import and export data on a balance of payments basis.

Storage Medium: Tape, publication, microfiche, diskette,

printouts and CD ROM. Some data on CANSIM.

Program Record Number: STC INT 410

TIERS

Description: A data and software package combining international merchandise trade statistics and Harmonized System descriptions at all levels (HS-2 to HS-10). **Topics:** Data are available on over 15,000 import and 6,000 export commodities at the most detailed level for approximately 200 countries. The data commence with January 1988. **Storage Medium:** Storage medium is optical disk cartridge (1 Gigabyte) and CD-ROM.

Program Record Number: STC INT 413

World Trade Database

Description: A matrix of international trade flows (exports and imports of goods) created from data reported by member countries to the United Nations Statistical Office and broken down by partner country and commodity/industry. The data are annual from 1970.

Topics: Commodities according to SITC Revision 2; values only. **Storage Medium:** Available by special request on a cost recoverable basis, on tape and diskette. Data from 1980 to 1993 and software package are available on CD-ROM. **Program Record Number:** STC INT 412

Prices Division:

Industrial Prices

Description: Records related to the measurements of price movements in the production and distribution of commodities and services. **Topics:** (a) Industrial price indexes: manufacturers selling price indexes; contractors' selling price indexes for a limited number of construction activities and a few services; electricity selling price indexes; (b) selected purchase price indexes: special purpose indexes for capital expenditures; machinery and equipment, by industry of purchase; raw materials price indexes, including data for imported as well as domestically produced goods, farm input price indexes; thermal coal price index. **Storage Medium:** Some material held on microfilm. **Program Record Number:** STC PRI 420

Retail Prices and Living Costs

Description: Records related to the measurement of consumer price changes and place-to-place price differences, including concepts and procedures. **Topics:** Consumer price indexes; average retail prices for selected commodities; inter-city price comparisons for selected commodity groups; foreign service post indexes and Canadian isolated post indexes; index methodology and concepts. **Storage Medium:** Some material held on microfiche. **Program Record Number:** STC PRI 415

Transportation Division:

Air Transport

Description: Records related to the movement of aircraft passengers and cargo by air and to the activities of air

carriers operating in Canada. These data are produced by the Aviation Statistics Centre, a Statistics Canada satellite co-located with the National Transportation Agency of Canada. **Topics:** Air carrier financial and operating statistics; air traffic at Canadian airports; volume of cargo; origin and destination of scheduled air passengers travelling within Canada, between Canada and the United States and internationally; international and domestic air charter statistics; airport activity and traffic flow; fare type statistics; aircraft utilization. **Storage Medium:** Material available in machine readable format, and also some data available on CANSIM. User summary tapes and special tabulations can be produced and are available directly from the Transportation Division. **Program Record Number:** STC TRA 395

Rail Transport

Description: Records relating to rail transportation in Canada and between the United States and Canada.

Topics: Railway financial and operating statistics; equipment and length of track; fuel consumption and employment statistics; freight and passenger traffic; freight loaded; receipts from and deliveries to U.S. rail connections; commodity movement between provinces and to and from U.S. regions. **Storage Medium:** Material available in machine readable format and also some data available on CANSIM. User summary tapes and special tabulations can be produced and are available directly from the Transportation Division. **Program Record Number:** STC TRA 390

Road Transport

Description: Records relating to road transport in Canada. In addition to programs surveying carriers, certain programs rely on aggregation of provincial and territorial administrative records. **Topics:** Financial and operating statistics on motor carriers of freight and of passengers, and on urban transit systems; domestic and international commodity origin-destination statistics of the Canadian domiciled for-hire trucking industry (domestic and international); private trucking statistics, motor vehicle registrations and related licences; gross and net sales of motor fuels. **Storage Medium:** Material available in machine readable format and also some data available on CANSIM. User summary tapes and special tabulations can be produced and are available directly from the Transportation Division. **Program Record Number:** STC TRA 400

Water Transport

Description: Records relating to water transportation, domestic shipping, international seaborne shipping, and ports of loading and unloading. **Topics:** Financial and operating statistics on water carriers, including number and kind of vessels, employment, fuel consumption, type of service; shipping and port activities; commodities loaded and unloaded; containerized commodities; ports of loading and unloading; number, kind, operating status and registry (flag) of vessels arriving at and leaving Canadian ports; foreign port of origin, or destination.

Storage Medium: Material available in machine readable format, and also some data available on CANSIM. User summary tapes and special tabulations can be produced and are available directly from the Transportation Division.
Program Record Number: STC TRA 405

Agriculture Division:

Area Frame Survey

Description: Records related to the conduct of the Area Frame Survey, designed to identify farms missed by the last Census of Agriculture or new farms that were not in operation when the last Census of Agriculture took place. These records are added to the samples for many surveys.
Program Record Number: STC AGR 463

Census of Agriculture

Description: Records related to Census of Agriculture conducted every five years; records related to the linkage of the Census of Population with the Census of Agriculture. **Topics:** Numbers of farms; areas; tenure; crops; livestock and poultry; farm machinery; hired agricultural labour; off-farm work by operators; capital value and type of organization; land management practices; expenses and sales; operators' age, sex, marital status, mother tongue, occupation, industry, labour force activity, total income and income sources, level of schooling. **Note:** Most information is available from the Circulation Management, Operations and Integration Division. Special requests are handled directly by the User Services Unit of the Census of Agriculture.
Storage Medium: Census of Agriculture data are available in a variety of forms: printed volumes, graphic presentations, summary file on tape, diskette or paper and special tabulations.
Program Record Number: STC AGR 445

Crop Condition Assessment Program (CCAP)

Description: Integrated information for monitoring crop conditions by Census Agricultural Regions (CAR) and Census Consolidated Subdivisions (CCS) in the Prairie provinces on a weekly basis during the crop growing season (15 weeks). **Topics:** Crop development, pasture development, comparison of crop conditions, normalized difference vegetation index, climatic conditions. **Note:** Data are derived from the analysis of weekly digital satellite imagery. The CCAP is developed on a customized spatial analysis interface to enable electronic analysis of classified images, maps, graphs and statistical data. Regular subscriptions, customized analysis regions and special requests are available from Spatial Analysis and Geomatics Applications.
Program Record Number: STC AGR 451

Crops

Description: Records related to measurements of seeded area, seeding intentions, yield, production, stocks and value of production for most crops grown in Canada.
Topics: Field crops; grain marketing and horticulture.
Note: Publications are available from Circulation

Management, Operations and Integration Division. Special requests are handled directly by the Crops Section.

Storage Medium: Data are available in a variety of forms: CANSIM, publications and special tabulations.
Program Record Number: STC AGR 450

Farm Income and Prices

Description: Information related to farm income, prices and farm finance. **Topics:** Farm cash receipts; farm expenses and depreciation; net farm income; government program payments paid to agricultural producers; value of inventory change; income in kind; farm debt; value of farm capital; and value per acre of farm land and buildings. **Note:** Publications are available from Circulation Management, Operations and Integration Division. Special requests are handled directly by the Farm Income and Prices Section.
Storage Medium: Information in this area is available in a variety of forms: CANSIM, publications, photocopies of tabulations and special tabulations in a paper or diskette format.
Program Record Number: STC AGR 455

Farm Register

Description: Records related to the maintenance of the master survey frame for Agriculture Division.
Program Record Number: STC AGR 464

Integrated Agriculture Survey System

Description: Records related to managing the processing system for various agricultural surveys and related vehicles.
Program Record Number: STC AGR 461

Livestock and Animal Products

Description: Records related to livestock inventories, production of meats and animal products; wool production; fur production; egg production; dairy statistics; and stocks of meat and poultry in cold storage. **Topics:** Inventories of classes of cattle, pigs, sheep and poultry; stocks in cold storage; dairy products; fur; eggs; wool; and per capital food consumption. **Note:** Publications are available from Circulation Management, Operations and Integration Division. Special requests are handled directly by the Livestock and Animal Products Section.
Storage Medium: Livestock and animal products data are available in a variety of forms, including printed publications, CANSIM, photocopies of tabulations and special tabulations prepared on request.
Program Record Number: STC AGR 460

Whole Farm Data Projects

Description: Integrated set of descriptive, physical and financial data at the whole farm level on an annual basis.
Topics: Area of land and usage, livestock inventories, income and expense statements, assets and liabilities, off-farm income of the farm operators and farm families, investment data, number of farms, farm type and degree of specialization, farm operators' age, sex and marital status. **Note:** Data are obtained from the Taxation Data Program, the Farm Financial Survey and the crops and livestock surveys. Whole farm data are available in a

variety of forms: pre-defined and special tabulations on diskettes or paper, a CD-ROM and in publications. The publications available from Circulation Managements, Operations and Integration Division. The pre-defined tabulations and special requests are handled directly by the Whole Farm Data Projects Section. **Program Record Number:** STC AGR 452

Industrial Organization and Finance Division:

Business Finance and Taxation

Description: Records related to financial and taxation data of all incorporated enterprises operating in Canada.

Topics: Incorporated enterprise statistics — assets, liabilities, shareholder's equity, revenues, expenses, profits, and retained earnings, provincial allocation of taxable income, income taxes payable, and reconciliation of profits to taxable income and taxes payable. **Program Record Number:** STC IOF 435

Corporations and Labour

Unions Returns Act (CALURA)

Description: Records related to financial data, ownership, control (including foreign control) and concentration of the corporate sector financial statements. **Topics:** Statistics, country of control, assets, sales, profits and taxable income; corporate concentration; and directory of intercorporate ownership. **Program Record Number:** STC IOF 440

Investment and Capital Stock Division:

Capital Expenditures

Description: Records related to capital and related expenditures on new construction and machinery and equipment and repair work on structures and on machinery. **Topics:** Provincial capital and related expenditures by industry and a breakdown of private and public investment. **Program Record Number:** STC SCT 475

Capital Stock

Description: Records related to the annual estimates of the value of fixed assets, and quarterly estimates of capacity utilization rates. **Topics:** Series on fixed capital flows and stocks, and depreciation in both current and constant dollars by industry and province. National series on industrial capacity utilization rates. **Program Record Number:** STC SCT 470

Current Investment Indicators

Description: Records related to building permits, capital expenditures and fixed assets in housing. **Topics:** Number and value of building permits issued by municipalities; number of new dwelling units by type; value of residential, commercial, governmental and institutional building construction; housing stock; construction activity indicators. **Program Record Number:** STC SCT 480

Science and Technology Division:

Communication — Radio, Television, Cable Television, Telecommunication

Description: Records related to financial statements of enterprises; salaries, wages, number of employees and operating data specific to each industry. **Topics:** Licensed radio and television stations, including the CBC and other national and regional networks; cable television systems and Canadian telecommunication carriers. **Program Record Number:** STC SST 430

Science and Technology Statistics

Description: Records relating to resources (expenditures and personnel) for science and technology in the public and private sectors in Canada. **Topics:** Science and technology expenditures and personnel in the Federal government (by department and program); in six provincial governments, and in the higher education sector; research and development expenditures and personnel in the business enterprise sector (by industry, country of control, revenue size, employment size, province and source of funds), and in the private non-profit organization sector. **Program Record Number:** STC SST 465

Services Division:

Service Industries

Description: Records related to financial and other operating data. **Topics:** (a) Amusement and recreational industries: motion picture theatres, motion picture and video production, laboratories and distribution, golf clubs, marinas, skiing facilities, etc.; (b) business services: employment agencies and personnel suppliers, computer services, engineering, architectural and scientific services, accountants, advertising agencies, security services, customs brokers, etc.; (c) personal and household services: funeral directors, laundries and dry cleaning services, self-service laundries and dry cleaners, barber and beauty shops, etc.; (d) other miscellaneous services: industrial machinery and equipment rental, automobile and truck rental and leasing, janitorial services, photographers, repair services, ticket and travel agencies and tour operators; (e) accommodation and food services: hotels, motels, campgrounds, restaurants, taverns and caterers, etc. **Program Record Number:** STC SST 425

Small Business and Special Surveys Division:

Small Business and Special Surveys

Description: Records related to the Small Business Statistics Program, including Small Business Profiles and the Employment Dynamics, are compiled from taxation records and from the Longitudinal Employment Analysis Plan (LEAP) file. Records also relate to the conduct of special surveys, record linkages and data integration projects which respond to information needs of clients concerning business economic and production statistics in Canada. Recent examples include the Survey of Small

and Medium-sized Business in Atlantic Canada, the Survey of Computer Hardware Manufacturers and the Business Integrated Database. **Program Record Number:** STC SBS 524

Communications and Operations Field

Communications and Operations Field Operations

Description: General operations relating to the overall functions of the Field. **Program Record Number:** STC COM 565

Communications Division:

Communications

Description: Records related to interactions with program areas, clients and suppliers involved in media and public relations projects; release materials and associated documentation; minutes, recordings and associated documentation pertaining to federal-provincial consultations; drafts, proofs and artwork related to the Division's products; texts submitted for editing. **Program Record Number:** STC CMN 570

Dissemination Division:

CANSIM

Description: Records related to the maintenance, updating, marketing and utilization of the CANSIM databases; to the development of new data content, and new data storage, retrieval and delivery facilities; to the development of meta data systems. **Program Record Number:** STC DIS 580

Publications

Description: Records related to the production, sales and marketing of Statistics Canada's publications. **Program Record Number:** STC DIS 575

Advisory Services Division:

Corporate Sales Support System (CSSS)

Description: An invoicing and inventory control system that provides information on accounts receivable for all products and services billed in regional offices. Market information by client and/or product and services is also available. **Program Record Number:** STC UAS 603

Survey Operations Division:

Survey Operations

Description: Records related to data collection and associated activities for population and agriculture censuses, household surveys and business surveys. **Program Record Number:** STC SOP 602

Operations and Integration Division:

Operations

Description: Records related to the development and on-going work of the Operations sub-Division including: Surveys — mailout mail-back surveys, computer assisted

telephone interviews, surveys based on administrative records, scanning documents such as provincial and local government accounts, analyzing and reformatting computer printouts, tapes, diskettes, etc.; Coding — for some surveys the Division specializes in coding of documents. These codes include Medical Codes, Standard Industrial Classification Codes, Standard Occupational Classification Codes, Harmonized System Commodity Codes, etc. Data Capture — heads down Data Capture is done for some 30 divisions (over 80 applications) over and above those applications we consider surveys. Mapping — Mapping operations include: Operations of the Map Library including reproduction of maps, retrieving and filing of maps and maintenance of the shipping and receiving of maps; linking the Post Office Postal Codes to the Provincial, Federal or Electoral areas by using Standard Geographical Codes; and preparing the Street Network File for the 1996 Census enumeration; the drafting of Base Map updates which includes the three main processes of compilation, verification and the actual drafting of these updates by specialized cartographers using pen and ink; Marketing Operations include: processing orders and liaising with Admin Support Division and Finance; telemarketing for renewal follow-up and market research; customer service comprising both matters related to actual publications and/or invoice corrections related to publications ordered. **Program Record Number:** STC OPI 595

Operations Research and Development Division:

Operations Research and Development

Description: Records showing the performance of units in Operations and Integration Division working with measured standards. **Program Record Number:** STC ORD 600

Informatics and Methodology Field

Informatics and Methodology Field Operations

Description: Records relating to the overall functions of the Field. **Topics:** Field policy; automation; security; equipment evaluation; equipment acquisition; software evaluation; software acquisition; methodology. **Program Record Number:** STC INM 485

Business Register Division:

Business Profiles

Description: Records related to the maintenance of the Business Register and the structure of reporting units. **Topics:** Business demographics; profiles of establishments; up-to-date names and addresses of businesses. **Program Record Number:** STC BUS 525

Taxation Data

Description: Records related to the accessing of data from taxation records of incorporated and unincorporated businesses. **Topics:** Access to T-1 and T-2 income tax returns; use of taxation data for statistical purposes.

Storage Medium: T1 and T2 documents are maintained on microfilm and photocopies, respectively; both are destroyed on completion of a tax cycle; all material is strictly confidential. **Program Record Number:** STC BUS 530

Standards Division:

Standards

Description: Records related to Classification Standards and concepts. **Topics:** Standard Industrial Classification, statistical units, concordances between different vintages of the Canadian, the International and the U.S. Standard Industrial Classifications; Standard Classification of Goods (based upon the Harmonized Commodity Description and Coding System), concordances between the Standard Classification of Goods and other Canadian commodity classifications and the Standard Industrial Classification; Standard Classification of Services (under development); Standard Geographical Classification; Standard Occupational Classification and related concordances; Statistical Data Documentation System. **Program Record Number:** STC STD 535

◆ Geography Division

Geography

Description: geographic frame database and documentation related to geographic boundaries and street networks; geographic attribute database and documentation related to geographic boundaries; cartographic map base and other products related to boundaries, collection, compilation and dissemination of data; spatial concepts and associated attributes of geographic areas; linkage files and documentation related to standard geographies and postal code; custom geocoding, geographic consultation and other services related to area selection, data retrieval and computer mapping. **Topics:** street networks — names, address ranges, block-faces, street indexes, representative points, feature types; geographic areas — provinces and territories, census divisions, census consolidated subdivisions, census subdivisions, census metropolitan areas, census agglomerations, census tracts, enumeration areas, unincorporated places; postal codes — representative points, postal code linkage to enumeration areas; maps — enumeration area, census metropolitan area, census agglomeration, census tract, census division, census subdivision; geographic information systems (GIS); geographic concepts; automated cartography; automated delineation; population and area statistics. **Program Record Number:** STC GEO 520

Informatics User Services Division:

Communications Service Centre (CSC)

Description: Responsible for the planning, installation and maintenance of the communication infrastructure in Statistics Canada. In addition, CSC provides detail specifications for communication equipment and

operational support for the installed base of Local Area Network (LAN) hardware and software equipment. Manages the Internet Service for Statistics Canada. Provides hardware and software maintenance and support for the E-Mail infrastructure. **Topics:** Electronic communications; local area networks; wide area networks; terminals. **Program Record Number:** STC CLS 509

EDP Security

Description: Responsible for all aspects of ensuring the security of all information in electronic form in Statistics Canada as well as access to its computing facilities. EDP Security also provides computer virus control and disaster recovery planning assistance. **Topics:** Informatics; security; access control; data control services; computer virus and disaster recovery planning. **Program Record Number:** STC CLS 511

Help Desk

Description: Provides a central contact for all Informatics Branch services. Clients can contact the Help Desk for all inquiries and service calls relating to their informatics and data communication needs. **Program Record Number:** STC CLS 510

Informatics Planning, Policy and Standards Development

Description: Responsible for coordinating the development of the Strategic and Tactical Plans, development of informatics policy and standards activities, prepares the five year capital plan and information management plan. **Topics:** Strategic and tactical plans, capital plan, EDP policy and standards. **Program Record Number:** STC CLS 507

Informatics Representatives

Description: Services are largely consultative in nature and flow from issues and needs identified by clients. Representatives assist users in identifying, planning, acquiring and utilizing informatics resources. Their involvement ranges from long-range planning to daily problem solving. **Topics:** Informatics, planning, acquiring and problem solving. **Program Record Number:** STC CLS 506

Informatics Training

Description: Offers training courses on the use of software and hardware in relation to mainframe, midrange computers, microcomputers and data communications. Training is also provided on systems development. The Section also offers a "training need analysis" program, helping Divisions to identify their training requirements and to set-up a training plan. **Topics:** Informatics training. **Program Record Number:** STC CLS 505

Information Modelling Services

Description: Provide users with a graphical portrayal of survey information content using industry standard information modelling methods supported by CASE tools.

The model can be used by questionnaire designers, data analysts or systems designers to obtain a picture of survey content. **Topics:** Information Modelling CASE tools. **Program Record Number:** STC CLS 512

Microcomputer Service Centre (MSC)

Description: Assists users in the acquisition of hardware and software and offers expertise and consulting services on the use of software, microcomputers and peripheral equipment. In addition, the MSC establishes a contract for the acquisition of standard microcomputers annually and maintains a software library. **Topics:** Microcomputers; printers; software support. **Program Record Number:** STC CLS 508

Technical Support

Description: Responsible for the maintenance and upkeep of all desktop personal computing devices and peripherals. Performs modifications to the premise wiring infrastructure to enable new connections, remove unused lines and to relocate services. **Topics:** Microcomputers, servers, maintenance, communications. **Program Record Number:** STC CLS 513

Main Computer Centre:

Computer Services

Description: Records related to information on computer hardware and software; operation of computer equipment and the administrative procedures used within the Main Computer Centre. **Program Record Number:** STC MCC 517

System Development Division:

Documentation

Description: General descriptions of the external interface and internal operations of software developed by the division. **Topics:** User guides; manuals; system specifications. **Program Record Number:** STC ISD 495

Software

Description: Sets of instructions to enable a computer to perform a given task. **Topics:** Application programs; utility programs; generalized programs; **Program Record Number:** STC ISD 490

Business Survey Methods Division:

Business Survey Methods

Description: Records relate to survey design, methodology, quality assurance, time series, and data analysis primarily for economic statistics programs that include sample surveys, censuses and the use of administrative records. **Topics:** Planning of surveys; survey objectives, concepts, definitions; planning of various survey steps; total survey design; sample designs; sampling frames; questionnaire design; data collection and processing; coding; quality control and quality assurance; estimation; edit and imputation; outlier detection and treatment; confidentiality; assessment of

sampling and non-sampling errors; evaluation and redesign of surveys; methods for analysis of survey data; record linkage; generalized methods and systems for various survey steps; integrated survey design over a number of surveys; data integration; time series; Box-Jenkins forecasting models; linking of time series; calendarization; bench marking and revision procedures; X11ARIMA seasonal adjustment; survey methodology research. **Program Record Number:** STC BSM 545

Small Area and Administrative Data Division:

Administrative Data

Description: 1. Records contain aggregated data from the T1 General income tax form and from the employment insurance beneficiaries file. 2. A sample (10%) longitudinal file. **Topics:** 1. Social data (individual and family); sub-provincial data (areas as small as postal walks); labour force; migration; income. 2. Social data (individual and family); labour force; income. **Program Record Number:** STC SAD 550

Small Area Data

Description: 1. The Small Area Database contains annual socio-economic and demographic data for sub-provincial areas. These data come from sources within, and external to Statistics Canada. Custom tabulations of aggregated data from all the databases can be obtained on a cost recovery basis. 2. Longitudinal data can be derived from the Longitudinal Administrative Data file on a cost-recovery basis. There are data available for the 1982-1993 period, for approximately 2,000,000 people with both individual and family information. **Program Record Number:** STC SAD 555

Social Survey Methods Division:

Methodology

Description: Records relate to survey design, methodology, data quality, and analysis of social statistics programs that include surveys, censuses and the use of administrative records. **Topics:** Planning of censuses and surveys; survey objectives, concepts and definitions; planning of various survey steps; total survey design; sample designs; sampling frames; questionnaire design; data collection and processing; coding; quality control and quality assurance; estimation; edit and imputation; outlier detection and treatment; benchmarking and revision procedures; confidentiality; assessment of data quality (sampling and non-sampling errors); evaluation and redesign of surveys; methods for the analysis of survey data; record linkage; generalized methods and systems for various survey steps; integrated survey design over a number of surveys; data integration; evaluation and analysis of administrative data; survey methodology research. **Program Record Number:** STC SVM 540

Management Services Field

Management Services Field Operations

Description: Records relate to the overall functions of the field. **Program Record Number:** STC MAN 605

♦ Corporate Assignments Division

Corporate Assignments

Description: Records related to the operations of the Corporate Assignments Division, including assignment proposals, employee applications and assignment agreements. **Program Record Number:** STC CAD 610

♦ Data Access and Control Services Division

Data Access

Description: Records relate to requests received under the Access to Information Act and the Privacy Act; agreements with other federal government departments, provincial governments, municipalities or other corporations for the joint collection or sharing of data, orders prescribing forms, the discretionary disclosure of information pursuant to the Statistics Act, and the administration within Statistics Canada of the Information Collection Policy, the Informing Survey Respondents Policy, the Policy on Record Linkage, the Microdata Release Policy, and the Policy on the Protection of Sensitive Statistical Information. **Program Record Number:** STC DAC 615

Departmental Security

Description: Responsible for the administration of the security program for Statistics Canada. This includes responsibility for the physical security of designated and classified information and other assets held within Statistics Canada; inspections and investigations of suspected breaches or violations of security; arranging checks with investigative agencies as part of the personnel screening process; emergency and safety practices and security awareness. **Program Record Number:** STC DAC 617

Audit and Evaluation Division:

Program Review

Description: Records related to the evaluation of the efficiency and effectiveness of programs and plans. **Program Record Number:** STC PRG 645

Corporate Planning and Programming Division:

Corporate Planning and Programming

Description: Records related to: the development, improvement process and decisions of the Agency's planning system, multi-year operational plan, current-year work plans and associated budgets; the development, improvement, process and decisions with respect to the Agency's cost accounting system and methodologies; expenditure and revenue forecasting methodologies and results thereof; the development and coordination (with other functional areas within the Agency) of management

systems to facilitate accountability, improve planning, provide information needed for decision-making and to permit quality assessments and objective reporting on the status of large-scale organizational projects, service improvements and program plans. **Program Record Number:** STC CPM 620

Financial Operations Division:

Financial Operations

Description: Records relate to expenditure and revenue transactions, recoverable projects accounting and general accounting services. **Program Record Number:** STC FIN 635

Financial Policies and Systems Division:

Financial Policies and Systems

Description: Records related to the development, design, implementation and review of departmental policies, systems and procedures. **Program Record Number:** STC FPS 630

National Accounts and Analytical Studies Field

National Accounts and Analytical Services Field Operations

Description: General operations relating to the overall functions of the field. **Topics:** Field policies; plans and programs; automation; timeliness studies; projects and surveys. **Program Record Number:** STC NAC 225

Business and Labour Market Analysis Division:

Integration and Analysis

Description: Records relate to analysis and data development in the areas of business/industry and labour market, using data derived from Statistics Canada business and labour-related surveys, as well as administrative data. **Topics:** Displaced workers and labour adjustment; wage and income inequality, unemployment and the U.I. system; employment creation studies; impact of structural change in the economy on wages and job separations; quits and layoffs in the economy; micro-simulation model development; employment equity studies; survey development in the area of labour demand and human resource practices in firms. **Program Record Number:** STC BMA 255

Social and Economic Studies Division:

Social and Economic Studies

Description: Records relate to studies undertaken by the division using statistical records produced by other areas of Statistics Canada. **Program Record Number:** STC SES 285

Social Policy Simulation Database/Model

Description: The Social Policy Simulation Database and Model (SPSD/M) is a public use database of family/individual microdata, together with associated

analytical software that allows analysts to assess the effects of past or proposed changes to Canada's tax/transfer system. The SPSP/M runs on IBM compatible micro computers. **Program Record Number:** STC SES 296

Balance of Payments Division:

Balance of Payments

Description: Statistics are presented under two main accounts: the Current and the Capital Accounts. The Current Account records transactions in goods, services, investment income and transfers. Services are made up of travel, transportation, commercial services and government services. Investment income comprises interest, dividends and direct investment profits, the latter broken down between dividends and reinvesting earnings, while transfers cover migrants' funds, official and private contributions and withholding taxes. The Capital Account covers transactions in claims on, and liabilities to, non-residents of Canada. More detailed statistics are also available on Canada's monthly transactions in portfolio securities with non-residents and on Canada's annual transactions in services with non-residents. **Program Record Number:** STC IFE 260

International Investment Position

Description: Statistics are presented under International Assets, International Liabilities and Net International Investment Position. These data are presented according to six geographical areas with the following details: direct investment, portfolio investment in bonds, stocks and money market instruments, banks, international reserves and other. Direct investment series are further broken down by country and by industry. **Program Record Number:** STC IFE 261

Industry Measures and Analysis Division:

Industry Measures

Description: Records related to industrial output. **Topics:** Gross domestic product by industry; real output measures; value added; industry measures; real domestic product; index of industrial production; gross output by industry; provincial output. **Program Record Number:** STC IMA 240

Input-Output Division:

Input-Output

Description: Records related to the development and maintenance of national and regional input-output tables (part of which are GDP by industry), industry productivity measures, structural models and correspondence with clients in reference to customer service activity. **Topics:** Annual data and records for current and constant price Canadian input-output tables from 1961 to 1992; data for 1984-1990 interprovincial trade flows for each province and territories; annual data and records for labour and multifactor productivity indices; documentation for national input-output model, interprovincial input-output

models, price model, net price index/tax model, and energy model; correspondence with clients of customer service activity. **Program Record Number:** STC INO 265

National Accounts and Environment Division:

Environmental Information System

Description: The Environmental Information System draws on a variety of records from the following Statistics Canada databases: Census of Population and Housing, Census of Agriculture, Annual Survey of Manufactures, Census of Coal Mines, Electric Power Statistics, Household Facilities and Equipment, Transportation Statistics, Morbidity and Mortality Statistics. **Topics:** Population and environment, impact of economic activity on the environment (contaminants, waste), state of the environment (air, water and soil quality), climate, harvesting and extraction of resources. **Program Record Number:** STC NAD 291

Financial Flows and the National Balance Sheet

Description: Records relate to the production of the financial flow and national balance sheet accounts. **Topics:** Estimates of borrowing, lending, assets and liabilities for sectors of the Canadian economy (persons, business, governments, non-residents) and their principal sub-sectors; detailed account of assets and liabilities by type of financial instrument (bonds, currency, consumer credit, bank loans and stocks). **Program Record Number:** STC NAD 245

Gross Domestic Product

Description: Records related to the production of provincial and national accounts. **Topics:** Estimates of final expenditures by category and types of incomes generated; detailed income and expenditure data for the four sectors of the economy — persons, business, government and non-residents; estimates of personal income, gross domestic product and their components, by province and territory. **Program Record Number:** STC NAD 235

Labour Income

Description: Data series of labour income which are on a raw and seasonally adjusted basis by month. **Topics:** Wages and salaries; supplementary labour income; special payments; and work stoppage effects. Breakdowns by major industry, sector and province. **Program Record Number:** STC LAB 078

National Accounts Data Development

Description: Records relate to research papers and consist of analysis of existing Statistics Canada data, their description, interpretation and explanation. **Topics:** Extension of National Accounts; concepts, definitions; inflation accounting; household work; non-market production; production boundaries; underground economy; total consumption; satellite accounts; saving, financial flows, assets and liabilities. **Program Record Number:** STC NAD 230

Public Institutions Division:

Federal Government

Description: Records relating to the federal government, its enterprises, related boards and commissions. **Topics:** Federal government and government enterprises; revenues and expenditures; assets, liabilities and debt position; employment and wages and salaries. **Access:** Computer and non-computer files by government and enterprise. **Program Record Number:** STC PUI 220

Local Government

Description: Records related to municipal governments and related boards, as well as local school boards, hospitals and Government Business Enterprises. **Topics:** Local governments; revenues and expenditures; assets, liabilities and debt position; employment and wages and salaries; breakdowns. **Storage Medium:** Computer and non-computer files by province. **Program Record Number:** STC PUI 210

Provincial Government

Description: Records related to provincial and territorial governments and their enterprises, boards and commissions, provincial hospitals and the New Brunswick school board. **Topics:** Provincial and territorial governments and government enterprises; revenues and expenditures; assets, liabilities and debt position; employment and wages and salaries. **Storage Medium:** Computer and non-computer files by government and enterprise. **Program Record Number:** STC PUI 215

Social, Institutions and Labour Statistics Field

Social, Institutions and Labour Statistics Field Operations

Description: Records related to the overall functions of the field. **Topics:** Field policies; plans and programs; requests; public relations; census project team management; census research and methodology. **Program Record Number:** STC SIL 010

◆ Canadian Centre for Justice Statistics

Adult Criminal Courts

Description: Information on federal, provincial and territorial statute charges and municipal by-law infractions heard in adult criminal courts in Canada. **Topics:** Statistical information on types of offences, age and sex of accused, court decisions and dispositions is provided at the jurisdictional level. **Program Record Number:** STC CCJ 145

Corrections

Description: Information related to the delivery of custodial and non-custodial adult and youth correctional services in each of the provincial, territorial and federal jurisdictions. **Topics:** Caseload indicators, offender caseload and characteristics, and expenditure data for

both provincial and federal correctional systems.

Program Record Number: STC CCJ 125

Homicide

Description: Information related to murder, manslaughter and infanticide. **Topics:** Cases reported to the police showing characteristics of incidents; victims and accused; relationship between the accused and victim(s); methods used to commit the homicide. **Program Record Number:** STC CCJ 135

Legal Aid

Description: Information related to the delivery of legal aid in each of the provincial and territorial jurisdictions. **Topics:** Revenues, expenditures; personnel resources and caseload counts of each legal aid plan in Canada; information describing the organizational structure, eligibility criteria and coverage of each plan. **Program Record Number:** STC CCJ 160

Police Administration

Description: Information related to police personnel and expenditures in Canada. **Topics:** Police personnel (i.e., police officers, special constables, civilians and other police personnel) and policing expenditures (salary and other operating). **Program Record Number:** STC CCJ 162

Profile of Courts in Canada

Description: Information related to the operation of courts in Canada. **Topics:** Descriptive profiles of provincial, territorial and federal Court Services in Canada for March 1995. **Program Record Number:** STC CCJ 130

Uniform Crime Reporting

Description: Information related to police-reported crime. **Topics:** Available at a national, provincial and local level: all Criminal Code, other federal statute (e.g. drugs) and traffic offences, police clearance rates, persons charged by adult/youth and by male/female; available for a sample of police agencies: age and sex of victims and accused, weapons, location of crime, accused-victim relationship and other variables. **Program Record Number:** STC CCJ 140

Violence Against Women Survey

Description: Information collected through a national victimization survey related to the nature and extent of violence against women in Canada. **Topics:** Perceptions of personal safety and measures taken to reduce risk of violent victimization; sexual harassment; experiences of physical and sexual assault since the age of 16, and in the past 12 months by strangers, dates/boyfriends, husbands and common-law partners, and other known men; power/control and emotional abuse by husbands/partners; wife abuse in family of origin; the impact of the experience on women who report violence; who they turn to for help; and, involvement and

satisfaction with the criminal justice system. **Program Record Number:** STC CCJ 163

Youth Courts

Description: Information related to those young persons who are charged with federal statute offenses and are dealt with in the youth courts of Canada. **Topics:** Statistical and descriptive information on types of offence, age, sex, court decisions and dispositions are provided on both the national and jurisdictional levels. **Program Record Number:** STC CCJ 155

Census Operations Division:

Census Operations

Description: Census records are maintained in three formats: hardcopy format which is kept for five years following each census, microfilm copies of the original questionnaire and machine-readable data stored in the census micro database. The latter are used in tabulations. Names and addresses of individuals are not contained in these files. Information extracted from the database, therefore, cannot directly be related to an individual. Individual data from microfilm copies of original questionnaires are available only to the respondent under the Privacy Act. **Topics:** Different types of variables can be obtained for various universes on the database. Population and Families — Demographic Characteristics: age, sex, marital status, common law; Ethno-cultural Characteristics: age at immigration, citizenship, ethnic origin (data on population can also be obtained at the family level); Language Variables: home language, mother tongue, official language, knowledge of official language; Schooling Variables: highest level of schooling, major field of study, school attendance; Labour Force: class of worker, industry, occupation, place of work; Family Size: family composition, place of work; Income: census family income, economic family income, income from each source and total income. Households — household maintainer, type of household, household size, length of occupancy, mortgage or rent, condominium, household income. Dwellings — condition of dwelling, structural type. These variables are available at various levels of geography across Canada. The information collected in the census varies somewhat from one census year to the next. **Note:** Individual information contained in the microfilmed records is available as far back as the 1906 Census (1891 and 1901 Census are in the custody of National Archives of Canada). It can be accessed only by the person named in the record or by a legal representative authorized to administer the estate of a dependant adult or minor or a deceased person. To access such information, the person concerned must complete an "Application and Authorization for a Search of Census" obtainable from the Census Pension Searches Unit, Statistics Canada, Ottawa, K1A 0T6. Aggregate data from the census micro database are available to the public. Requests for such information should be directed to the Statistical Reference Centre, Statistics Canada,

Ottawa, K1A 0T6. **Program Record Number:** STC COP 015

The 1940 National Registration Records

Description: Statistics Canada maintains custody of the 1940 National Registration records. This information resulted from a compulsory registration of all persons 16 years of age or older in the period 1940-46. **Topics:** Name; address; age; date of birth; conjugal status; dependents; country of birth (persons registered and parents only); nationality; racial origin; languages; education; general health; class of occupation; occupation or craft; employment status; work experience by type; mechanical or other abilities; latent skills, wartime circumstances, previous military service. **Note:** Information contained in the 1940 National Registration Records is available to the person named in the record or to a legal representative authorized to administer the estate of a dependent adult or minor, or a deceased person. The method of access is described in the "Notes" under "Census Operations (STC COP 015). **Program Record Number:** STC COP 020

Demography Division:

Demography

Description: Records relate to research carried out with respect to population estimates, projections, demographic and demolinguistics characteristics. **Topics:** Population estimates; population projections; emigration; immigration; interprovincial migration; post-censal estimates; household estimates; family estimates; household and family projections; components of population growth — births, deaths, migration; demographic characteristics — age, sex, marital status; demolinguistics characteristics — mother tongue, home language and knowledge languages; current demographic analysis in Canadian population. **Program Record Number:** STC DEM 030

Housing, Family and Social Statistics Division:

Family History Survey

Description: The Family History Survey was conducted in 1984 as a supplement to the Labour Force Survey. It provides a retrospective picture of when different events in the family life cycle occur. **Topics:** Marital history; common-law unions; natural, adopted and step-children; work history. **Program Record Number:** STC HFS 026

General Social Survey

Description: The General Social Survey is an annual household survey with two principal objectives: first, to monitor temporal changes in Canadian society and, second, to provide information on current policy issues. The first survey cycle collected data in 1985 on health and social support. Cycle 2 collected data in 1986 on daily activities, social mobility and languages. Cycle 3 collected data in 1988 on personal risk and victim services. Cycle 4 collected data in 1989 on work and education. Cycle 5 collected data in 1990 on the topics of

family and friends. Cycle 6 in 1991, returned to previous core content — health. Cycle 7 collected data throughout 1992 on the time use patterns of Canadians. Cycle 8 collected data throughout 1993 on personal risk (the risk of accidents and criminal victimization) and alcohol and drug use. In 1994, Cycle 9 collected data on education, work and retirement. Cycle 10 collected data in 1995 on family composition, marital and fertility histories. **Topics:** Cycle 1: short- and long-term disability, smoking, alcohol use, sleep, physical activity, health problems, satisfaction and happiness, and potential support networks and social activities; Cycle 2: activities of Canadians over a 24-hour period (identifying each activity done, where, when and with whom), education, occupation and other demographic characteristics of parents and respondent, satisfaction and happiness, language knowledge, current language practices including use in home, at work and at school, change in language use in last five years; Cycle 3: accidents and crimes experienced during 1987, services used by victims of crime, attitudes to crime and factors which relate to personal risk, a set of socio-economic items similar to those collected by Cycles 1 and 2; Cycle 4: work and education, new technologies and human resources, emerging trends in education and work, knowledge and attitudes to science and technology; Cycle 5: concentrated on the respondent's family and friends and interactions with them, covering material history, common law unions, natural, adopted and step children, potential support networks, division of household work and support given and received; Cycle 6: core content repeats that of cycle 1 and included such content areas as occupational and emotional health measures, job benefits, and old age and disability income; Cycle 7: core content of time use repeats that of cycle 2 and provides data on the daily activities of Canadians. Question modules were also included on unpaid work activities, cultural activities and participation in sports; Cycle 8: the first repeat of the GSS core subject of the GSS 1988 — Cycle 3. The following subjects are common to both: perceptions of crime, police and courts; crime prevention precautions; accident and crime screening sections; and, accident and crime incident reports. As well, measures of fear of crime and childhood injuries were added. Focus content for Cycle 8 covers alcohol and drug use. Cycle 9: the first repeat of the GSS core subject on Education, Work and Retirement, originally covered in Cycle 4 (1989). There are differences between the two surveys. For example, Cycle 9 focuses more on quality of life after retirement and post-retirement activities than Cycle 4. In addition, the Cycle 9 questionnaire has two new sections: social origin and work interruptions. Cycle 10: the first repeat of the GSS core subject on the family, originally covered in Cycle 5 (1990). The following subjects are common to both: marital and fertility histories, fertility intentions. In addition, Cycle 10 had questions on family origins, home learning, joint custody arrangements, values and attitudes towards certain areas of family life and work interruptions. Focus content for Cycle 10 covers environmental tobacco smoke. **Program Record Number:** STC HFS 027

Housing, Family and Social Statistics

Description: Records relate to documentation and files of projects concerned with the production phases of the census. **Topics:** Housing; language; ethnicity; education; religion. **Program Record Number:** STC HFS 025

Education, Culture and Tourism Division:

Cultural Activities

Description: Records relating to characteristics of professional, not for profit, performing arts companies; creative and performing artists (visual artists, writers, actors and directors, musicians, composers and conductors, dancers and choreographers); and cultural activities of Canadians. **Topics:** Revenue and expenditures, performances, attendance, touring, physical facilities, personnel, salaries; demographic characteristics, training, employment, income and expenses; attendance at cultural events, reading habits, use of time. **Program Record Number:** STC ECT 195

Cultural Industries

Description: Records relating to characteristics of industries producing cultural goods and to their products. The industries under study are in the areas of books (publishers and exclusive agents), periodical publishing, records (producers and label companies), film (production, post-production, distribution, theatres and drive-ins), and radio and television (characteristics of programs available and their audiences). **Topics:** Number and type of products; sales by origin of product; by language of product; by country of financial control of firm; by category of product; export sales; revenue and expenditure schedule; employment statistics; balance sheet. **Program Record Number:** STC ECT 190

Cultural Institutions

Description: Records relating to characteristics of cultural institutions which acquire, preserve, hold and make accessible to the public, objects, buildings and land areas of educational and cultural value. The institutions under study are libraries and heritage institutions, including museums, art galleries, archives, historic sites, nature parks, aquariums, zoos, planetariums, observatories, botanical gardens, and other related institutions. **Topics:** Revenues, expenditures, physical facilities, opening hours, personnel, attendance; holdings, circulation. **Program Record Number:** STC ECT 185

Cultural Labour Force

Description: Records relating to the characteristics of people residing in Canada working in selected occupations and industries within the cultural sector. The survey targeted individuals working as artists, administrators, professionals and technicians in 14 areas: visual arts, crafts, design, literary arts, performing arts, film and video, broadcasting, cultural education, sound recording, book publishing, periodical publishing, heritage institutions, public libraries and other libraries. Records related to the cultural labour force from the Census of

Canada. The individuals working in occupations and industries identified as cultural are included. **Topics:** Demographic data: age, sex, marital status, place of birth, ethnic origin, language, disabilities; Work patterns (1993): occupation, industry, number of jobs, class of worker, time worked, paid or not, absences, some historical employment information; Finances: total income, income from cultural work, funding; Education and Training: formal and informal training, training needs; Impact of technological change. The Census of Canada data provides the following variables relating to the cultural labour force: Demographic data: age, sex, marital status, place of birth, ethnic origin, language, disabilities; Labour Force data: occupations, industry, class of worker, labour force activity, work activity; Income: employment income, total income; Education: highest level of schooling, field of study. **Program Record Number:** STC ECT 192

Elementary and Secondary Education

Description: Records related to teaching staff, enrolments, minority and second language education; information relating to revenues and expenditures of governments and school boards. **Topics:** Students; teachers; facilities and transportation (1971-72); private schools; kindergartens; schools for the blind and deaf; enrolments; minority language programs; education price index; financial information system for school board revenues and expenditures. **Program Record Number:** STC ECT 165

Government Expenditures on Culture

Description: Expenditures on culture by the federal, provincial and municipal governments. **Topics:** operating expenditures (including separate categories of wages and salaries and purchase of other goods and services); capital expenditures; operating capital grants, and contributions and transfers in the major cultural areas (libraries, museums, archives, parks, literary arts, performing arts, visual arts and crafts, film and video, broadcasting, sound recording, multiculturalism); as well as statistics on employment. **Program Record Number:** STC ECT 205

International Travel

Description: Records related to the activities of Canadians travelling outside the country and visitors to Canada. **Topics:** Port of entry; Canadian residents; non-residents; vehicles; persons; receipts; payments; country of residence; expenditures; length of stay; type of transportation; purpose of trip; accommodation used; destinations, breakdown of spending into 5 categories; source of funding, age groups. **Program Record Number:** STC ECT 250

Postsecondary Education

Description: Records related to enrolments and full-time teaching staff in community colleges trade/vocational institutions, and universities; degrees or diplomas granted; tuition fees and living accommodation costs for university education; foreign student participation in Canadian

education; private training providers; records relating to revenues and expenditures of governments, colleges and universities. **Topics:** University students; community college students; trade/vocational students; apprentices; foreign students; trade school, college and university degrees, diplomas, certificates; university tuition fees and accommodation costs; university teachers, full-time community college teachers; facilities (1973); educational technology (1970); instructional media (1971-72); continuing education; financing; estimated, budgeted, and actual expenditures for educational institutions; student aid (1972-73); federal government expenditures in support of education and training. **Program Record Number:** STC ECT 170

Survey Development

Description: Undertakes studies in response to requests made of policy departments and other major user groups concerning the feasibility of conducting surveys of education phenomena which are not part of the regular program; undertakes special surveys for areas of education not normally collected by the regular program; and performs evaluations of the regular and ad hoc surveys to ensure they meet ongoing client requirements. **Topics:** National Apprenticed Trades Survey; School Leavers Survey; National Graduates Survey; National Training Survey; National Longitudinal Survey of Children. **Program Record Number:** STC ECT 180

Training and Continuing Education

Description: Information related to adult participation in education and training; types of training being taken; employer sponsorship of employee training; contribution of private training providers to continuing education. **Topics:** Enrolments, programs, courses, characteristics of persons taking training, types of training providers, employer contribution, barriers to training, training intentions, government assistance. **Program Record Number:** STC ECT 173

Travel, Tourism and Recreation

Description: Responsible for the coordination of the Canadian Travel Survey (CTS) as well as the analysis and dissemination of its results. The CTS is a supplement to the Labour Force Survey (LFS) and is conducted every month of the even years. **Topics:** Travellers socio-demographic information: sex, marital status, age, education, class of worker, industry, occupation, household size, etc. Trip information: number of trips, origin, destination, distance, duration, mode of transportation, purpose, accommodation, activities during the trip, travel expenditures, etc. **Program Record Number:** STC ECT 200

Health Statistics Division:

Health Care

Description: Health Care provides information on health-care institutions and the use of their services. Details are available for hospital patient morbidity and

mental patient morbidity, hospital and residential care facilities, surgical procedures and hospital facility utilization. Health institutions operations records contain data on beds available, patient-days during the year, patient movement (admissions/separations), service workload of various departments, personnel and paid hours of work by occupational groups, detailed departmental operating expenditures by salaries and wages and income by source and balance sheet information; and, indicators of utilization, performance and cost, by type and size of hospital and related health facility, and ownership. **Program Record Number:** STC HLT 080

Health Statistics Development

Description: Records related to the development of a statistical program to extend the scope of health statistics. **Topics:** Health indicators development; occupational health information system development; and preparation of documents to ensure quality of data received from administrative records. **Program Record Number:** STC HLT 120

Health Status and Vital Statistics

Description: Health Status covers the social and risk factors that influence health. The program provides information on vital statistics (births, deaths, marriages, divorces, life tables) as well as Cancer Incidence and Tuberculosis Incidence. **Program Record Number:** STC HLT 095

National Population Health Survey

Description: The National Population Health Survey results were released in the fall of 1995 in the form of a publication and two microdata files. The next collection phase of this longitudinal survey will be in 1996 and clients can now purchase increased samples and content to meet their individual needs. **Program Record Number:** STC SAN 081

Occupational And Environmental Health Research

Description: Occupational and Environmental Health Research provides sound statistical and policy related information in relation to occupational, lifestyle, socioeconomic and environmental factors that influence health. The section maintains the Canadian Birth Database, the Canadian Cancer Database, the Mortality Database as well as a number of other data sources used in long-term medical follow-up studies. The Statistics Act and Privacy Act of Canada protect the confidentiality of all records, and all studies involving long term medical follow-up must satisfy a prescribed review and approval process. **Program Record Number:** STC HLT 115

Post Censal Surveys Program:

Aboriginal Peoples Database (1991)

Description: Records related to the operation of a statistical system to provide a social and economic profile of Aboriginal peoples, including such information as housing conditions, health, employment history,

schooling, mobility, the use of Aboriginal language(s), etc. **Program Record Number:** STC PCS 122

Disability Database (1986 and 1991)

Description: Records related to the operation of a statistical system to provide information on the prevalence of disability in Canada and the impact of disability on the life of Canadians with disabilities. **Topics:** Contains data on the existence, nature and severity of disability, and on the use of technical aids and services, as well as data which describe the demographic, household, labour force, employment, education, transportation, residential lifestyles and economic characteristics of persons with disabilities. **Program Record Number:** STC PCS 110

Household Surveys Division:

Family Expenditures

Description: A dual program of record-keeping and recall surveys provides detailed expenditures covering the complete budgets of families and unattached individuals in private dwellings. Programs cover selected calendar years, and have varied in scale and geographic coverage. **Topics:** Family expenditures on food, shelter, household operations, household furnishings and equipment, clothing, transportation, etc., for specific subgroups of the population (by family income, family size, cities, etc.). **Program Record Number:** STC HLD 045

Homeowner Repair and Renovation Survey

Description: An annual survey to provide calendar year data on the housing repair and renovation expenditures in owner-occupied households. Every three years the survey also serves as a vehicle for the collection of a full range of household shelter expenditures, including those of renters. **Topics:** Annually: additions, renovations, replacements and new installations of fixtures and equipment, repairs and maintenance. Every three years for homeowners: mortgage expenditures and characteristics, property taxes, value of dwelling, insurance, condominium charges, fuels and utilities. Every three years for renter households: parking, fuel, utilities, insurance, repairs and maintenance costs that are not included in the rent. **Program Record Number:** STC HLD 051

Household Facilities and Equipment Survey

Description: This annual survey provides data on dwellings and their characteristics, on the occupants of the dwellings and on the equipment at the disposal of the occupants. The records relate to the equipment and facilities available within individual households. **Topics:** Cooking facilities; heating facilities; water supply; bathroom facilities; refrigerators; microwaves; washing machines; dryers; vacuum cleaners; sewing machines; telephones; radios; television sets; VCRs; turntables; compact disc players; tape or cassette recorders; tenure and mortgage; number of rooms and bedrooms per dwelling; size of household; households with automobiles; selected sporting and recreation equipment. **Program Record Number:** STC HLD 050

Labour Force Survey

Description: Records relate to levels and trends in the composition, activities and characteristics of the Canadian labour force. Data are available at the detail of selected Census Metropolitan Areas (CMA), economic regions and the provinces. Data also available for selected non CMA urban areas based on quarterly estimates. **Topics:** Actual and seasonally adjusted employment and unemployment data; seasonal factors; structure of the Labour Force Survey sample; participation rate; multiple job holding; reasons for absence from work, etc. **Storage Medium:** Some material held on microfiche. **Program Record Number:** STC HLD 035

Survey of Consumer Finances

Description: An annual survey designed to measure the distribution of income and its sources for Canadian families and individuals. The records relate to income and other indicators of economic well-being of families and individuals. **Topics:** Annually: wages and salaries, self-employment income, investment income, transfer payments received, retirement pensions, other money income, income taxes, total money income, income after taxes, rates of low income and number of persons in low income; by selected family characteristics: selected personal characteristics; occasionally: family assets, family debts, family wealth. **Program Record Number:** STC HLD 055

Survey of Labour and Income Dynamics

Description: A longitudinal panel study currently in the development stage, designed to provide information on the determinants of change in the economic well-being of Canadian families and individuals. Overlapping panels of 15,000 households: each panel to be retained for six years, with a new panel introduced every three years. Initial results from the survey expected in early 1995. **Topics:** Annual measures of labour market activity (employment, characteristics of jobs held during the year, unemployment), educational activity, training, income by source; demographic characteristics, including age, sex, marital status, marital history, educational attainment; update of demographic events twice a year, including migration, change in household composition, family formation and dissolution. **Program Record Number:** STC HLD 038

Labour and Household Surveys Analysis Division:

Labour and Household Surveys Analysis

Description: Records relate to documentation associated with this research and development work. **Program Record Number:** STC LHS 060

Labour Division:

Employment and Earnings

Description: Records related to employment, earnings and hours from employers of all sizes. **Topics:** Number of employees; paid hours and earnings (including and excluding overtime) by category of employee (hourly paid,

salaried and other); data are monthly for Canada, the provinces and territories; by industry. **Program Record Number:** STC LAB 075

Help-Wanted Index

Description: Records related to help-wanted advertisements in 20 metropolitan area newspapers. **Topics:** Help-wanted indexes by region. **Program Record Number:** STC LAB 076

Pensions

Description: Records related to the terms and conditions of registered pension plans (RPPs) and to the assets of trustee pension funds in Canada. Also records describing the extent to which taxpayers are saving for retirement through RPPs and/or RRSPs. **Topics:** Number of employer pension plans and members; type of plan; contribution and benefit rates; amount contributed; income, expenditures and assets of all trustee pension funds; retirement savings by tax filers through RPPs and/or RRSPs. **Program Record Number:** STC LAB 065

Unemployment Insurance

Description: Records related to unemployment insurance. **Topics:** Benefits paid; weeks of benefit; average weekly benefits; claims received; claims allowed; disqualifications; and beneficiaries by personal characteristics (e.g. age, sex, occupation and insurable earnings). **Program Record Number:** STC LAB 070

Special Surveys Division:

Special Surveys

Description: Records relate to the operations of the special surveys. **Topics:** Surveys since 1978: work patterns, leisure time activities, family history, maternity leave, health promotion, absence from work, job opportunities, travel, travel to work, attitudes about surveys, smoking habits, victimization, graduates of postsecondary programs, passenger car fuel consumption, volunteer workers, student finances, migrants to Alberta and B.C., labour market activity, 1981 work history, union membership, work reduction, child care, adult education and training, literacy, drinking and driving, drugs and alcohol, work arrangements, household energy use, value of wildlife, aging and independence. Earlier surveys (before 1978) generally covered: demography, education, health, and the labour market. (See Overview of Special Surveys for detailed breakdowns.) **Program Record Number:** STC SSD 040

Household Survey Methods

Description: Records relate to survey design, methodology, data quality and analysis primarily for the household and institutional statistics programs that include surveys, censuses and the use of administrative records. **Topics:** Planning of surveys; survey objectives, concepts, definitions; planning of various survey steps; total survey design; sample designs; sampling frames; questionnaire design; data collection and processing; coding; quality control and quality assurance; estimation;

edit and imputation; outlier detection and treatment; benchmarking and revision procedures; confidentiality; assessment of data quality (sampling and non-sampling errors); evaluation and redesign of surveys; methods for analysis of survey data; record linkage; generalized methods and systems for various survey steps; integrated survey design over a number of surveys; data integration; evaluation and analysis of administrative data; survey methodology research. **Program Record Number:** STC HSM 560

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Audits

Budgets

Buildings

Buildings and Properties

Classification of Positions

Co-operation and Liaison

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Land

Occupational Health, Safety and Welfare

Office Appliances

Official Languages

Pensions and Insurance

Personnel

Procurement

Salaries and Wages

Staff Relations

Training and Development

Utilities

Vehicles

Personal Information Banks

Agriculture Division:

Agriculture Database

Description: This database contains information obtained from the Census of Agriculture which is a statutory requirement, and from both farm and non-farm surveys. The records carry information on the socio-economic

characteristics of farm operators and information relating to crops, livestock, poultry, machinery, farm operating expenses and agriculture receipts by type of farm. Information on assets, liabilities and investments is also available. From the non-farm surveys, information is available on production, inventory and sales in both the fruit and vegetable processing and the dairy processing sectors. The database also contains information from both the grain and oilseed industries. **Class of Individuals:** Individuals considered to be farm operators. **Purpose:** This bank provides a base inventory of Canadian agriculture, provides input to the System of National Accounts and provides information for production, marketing and policy decisions. **Consistent Uses:** Statistics Canada may release name and address information to other members of the national agricultural statistical system for statistical purposes if both the legal requirements imposed by the Statistics Act under section 17 and departmental list release policy guidelines are met. **Retention and Disposal Standards:** Currently, records are retained for 100 years. **Related to PR#:** STC AGR 445, 450 **TBS Registration:** 001843 **Bank Number:** STC PPU 030

Small Business and Special Surveys Division:

Immigration Database

Description: This bank contains information relating to the employment experiences of immigrants, the occupation of immigrants and the impact immigrants have as employers. The information is derived from the Landed Immigration Data Description System file, the visitors Immigration Data Systems file, the Non-Immigrant Data System file, the Immigration Data System Overseas file and the Immigration Data System file from Citizenship and Immigration; the T1 and T4 files from Revenue Canada; and the Central Frame Data Base from Statistics Canada. Data are for the years 1980 and onwards. The bank will be reviewed in five years (in the year 2000) to ensure its continuing relevancy to immigration policy development. **Class of Individuals:** Immigrants **Purpose:** The files are used to produce aggregate data on the economic performance and demographic characteristics of the immigration population over time. No identifiable microdata relating to immigrants or businesses are released. **Retention and Disposal Standards:** The records will be maintained until at least the year 2000. **Related to PR#:** STC SBS 524 **TBS Registration:** 003726 **Bank Number:** STC PPU 135

Dissemination Division:

Sales and Marketing Information System

Description: The sales and marketing information system contains billing and shipping information, as well as a description of the product and/or service purchased by the client. **Class of Individuals:** Individuals who have purchased catalogued publications or other products and services from Statistics Canada. **Purpose:** The purpose of this bank is to administer the billing system for Statistics Canada products and services. **Consistent**

Uses: Billing and customer information is also used to provide information to improve the marketing of the Agency's products and services. **Retention and Disposal Standards:** General Retention and Destruction Schedule — 6 years. **TBS Registration:** 003318 **Bank Number:** STC PPU 078

Survey Operations Division:

Referrals to Department of Justice re: Refusal to Complete Census of Population or Census of Agriculture Questionnaire

Description: The information held in this bank consists of correspondence between Statistics Canada/Department of Justice and individuals, where they have refused to complete a Census of Population or Census of Agriculture questionnaire and the case has been referred to the Department of Justice for prosecution. The bank also contains information that enables an assessment of each case. **Class of Individuals:** Individuals who have refused to complete a Census of Population or Census of Agriculture questionnaire and whose case has been referred to the Department of Justice for prosecution. **Purpose:** The information in this bank is obtained in order to determine whether to pursue in a court of law those individuals who have refused to complete a Census of Population or Census of Agriculture questionnaire as required by the Statistics Act. **Consistent Uses:** The original records are provided to the Department of Justice for prosecution purposes. **Retention and Disposal Standards:** Records are retained for 15 years. **Related to PR#:** STC SOP 602 **TBS Registration:** 002097 **Bank Number:** STC PPU 120

♦ Data Access and Control Services Division

Inventory of Requests Made Under the Access to Information and Privacy Acts

Description: The bank contains case files which include the request forms or letters sent by individuals requesting formal access to their files or other files controlled by Statistics Canada, the replies to such requests and information relating to their processing. **Class of Individuals:** All individuals who have applied, under the Access to Information Act or Privacy Act, for access to information controlled by Statistics Canada. **Purpose:** The purpose of this bank is to maintain a record of all requests received under the Access to Information Act and Privacy Act. The information is used for processing requests only, and to prepare reports for Treasury Board and Parliament on the number of requests received. **Retention and Disposal Standards:** Files will be kept for two years. **Related to PR#:** STC DAC 615 **TBS Registration:** 001176 **Bank Number:** STC PPU 110

Financial Operations Division:

Personal Service Contract Bank

Description: This bank contains the contracts, in some instances an evaluation of the work performed by the contractor, records of payments and related

correspondence. It may also contain the curricula vitae and social insurance numbers of all individual contractors. **Class of Individuals:** This information relates to all individuals who have submitted a successful bid for a contract. **Purpose:** The purpose of this database is to maintain a record of personal service contracts awarded. **Retention and Disposal Standards:** Contract files are kept for six years after completion of service. **Related to PR#:** STC FIN 635 **TBS Registration:** 001175 **Bank Number:** STC PPU 105

♦ Canadian Centre for Justice Statistics

Homicide Statistics

Description: This bank contains information obtained from police forces on characteristics of homicide incidents, characteristics of the victims and suspects, the relationship between suspects and victims, etc. **Class of Individuals:** Individuals who are victims of homicides and individuals who are suspected of committing a homicide. **Purpose:** The purpose of this bank is to provide information relating to the nature and extent of homicide in Canada; to describe the circumstances of homicide incidents, as well as the essential characteristics of people involved in them. **Retention and Disposal Standards:** Records are to be retained indefinitely. **Related to PR#:** STC CCJ 135 **TBS Registration:** 001861 **Bank Number:** STC PPU 025

Census Operations Division:

1940 National Registration Records

Description: The records provide social and economic information on individuals as well as information on their skills. **Class of Individuals:** All persons 16 years of age or older in the period 1940-1946 with the exception of those people who were, at the time of registration, members of the armed forces or a religious order, or confined to an institution. **Purpose:** The information was originally obtained under the authority of the National Resources Mobilization Act, 1940, and the War Measures Act to permit the mobilization of all of the effective resources of the nation, both human and material, for the purpose of the defence and security of Canada. The National Registration Regulations pursuant to this legislation gave custody of the records to Statistics Canada, then known as the Dominion Bureau of Statistics. **Consistent Uses:** A program has been established called the Census Pension Searches to provide proof of age, place of residence, family relationships or length of residence in Canada from historic census records and 1940 National Registration Records. The service is normally provided in support of application for pensions, citizenship, passports and similar situations where other more preferred administration records are required but no longer exist or, as in a number of cases, were never issued. Searches are also conducted to provide third parties with information on individuals who were included in the 1940 National Registration. This information is typically requested for genealogical purposes and is made available only if proof is provided that the person about whom information is

requested has been deceased for more than twenty years. A standard fee of \$45.00 (+ \$3.15 GST) is charged for each search undertaken but the fee will be refunded if the search is not successful. Requests should be sent to: Census Pension Searches Unit, Statistics Canada, Ottawa, Ontario, K1A 0T6. **Retention and Disposal Standards:** The records are retained indefinitely. **Related to PR#:** STC COP 020 **TBS Registration:** 001840 **Bank Number:** STC PPU 010

Census of Population — Evaluation Program

Description: This bank contains information from post-censal studies to measure coverage and data quality, and to evaluate the census enumeration of unoccupied dwellings and non-response in the housing universe.

Class of Individuals: Individuals selected to participate in evaluation studies. **Purpose:** The studies are part of the evaluation program for the Census of Population. The objective of the overcoverage study is to measure gross overcoverage by estimating duplicate and erroneous census enumerations. The purpose of the Reverse Record Check is to estimate gross undercoverage, i.e. the number and characteristics of persons and households missed by the census. The purpose of the Vacancy Check is to estimate the number of occupied private dwellings which were misclassified as vacant and to adjust the census occupied dwelling counts accordingly.

Retention and Disposal Standards: Records are retained for 10-16 years, depending on the type of record. **Related to PR#:** STC COP 015 **TBS Registration:** 003015 **Bank Number:** STC PPU 008

Census of Population — Questionnaires

Description: This bank contains information obtained from the censuses of population taken since 1906. The records are identified by name and contain information on the social and economic characteristics of every person in the country. The records in this bank, which are organized on a geographical basis, are microfilmed copies of the original questionnaire dating back to 1906. To retrieve a record from this data bank, the precise address at the time of the census is required. Please note that only the individual named in the record can have access to information it contains, or the legal representative of a minor, incompetent or deceased person, but only to settle the affairs of the estate of that person. **Class of Individuals:** All individuals in Canada at the time of the census. **Purpose:** The Census of Population is a national inventory of Canada's key socio-economic phenomena, conducted at prescribed intervals by statutory requirement. The data collected, when compiled into statistics, are used for a wide range of purposes including the determination of economic and social policies, planning industrial development, and estimating needs for schools, roads and many other public services. Population figures are used to determine electoral district boundaries, and to calculate per capita grants to provinces and municipalities. **Consistent Uses:** A program has been established called the Census Pension Searches to provide proof of age, or length of residence

in Canada from historic census records and 1940 National Registration Records. The service is normally provided in support of application for pensions, citizenship, passports and similar situations where other more preferred administration records are required but no longer exist or, as in a number of cases, were never issued. Census questionnaires may be used to draw samples for post-censal surveys addressing issues of current social concern and studies that are part of the evaluation program for the Census of Population.

Retention and Disposal Standards: Records are retained indefinitely. **Related to PR#:** STC COP 015 **TBS Registration:** 001839 **Bank Number:** STC PPU 005

Census of Population — Testing Program

Description: This bank contains information collected from surveys used to test Census of Population questions. The records in this bank are organized geographically, by test module and year. To retrieve a record from this bank, the precise address at the time of the test, the name of the test module and the year surveyed are required. **Class of Individuals:** Individuals selected to participate in census-testing surveys.

Purpose: The surveys are part of the testing program for the Census of Population. The objectives of the test surveys are to determine whether new or revised questions proposed for the census can be easily understood and correctly answered, and to assess public reaction to these questions by testing them on a small-scale basis. **Retention and Disposal Standards:** The records are retained for 10 years. **Related to PR#:** STC COP 015 **TBS Registration:** 002096 **Bank Number:** STC PPU 007

Census Pension Searches Program

Description: This bank contains information relating to applicants to the Census Pension Searches Program and to members of the applicant's family. Search reference information such as name, date of birth, place of birth, place of residence is provided by the applicant in order to undertake a search of historical census and 1940 National Registration Records. Results of a successful search will be furnished in the form of a certified transcript of the information found in the original records. **Class of Individuals:** General public. **Purpose:** The purpose of this bank is to provide the necessary personal information to undertake a search of historical census and 1940 National Registration Records in order to provide proof of age, or length of residence in Canada. The service is normally provided in support of application for pensions, citizenship, passports and similar situations where other more preferred administrative records are required but no longer exist or, as in a number of cases, were never issued. **Retention and Disposal Standards:** Records are retained for 10 years. **Related to PR#:** STC COP 015 **TBS Registration:** 003117 **Bank Number:** STC PPU 012

Housing, Family and Social Statistics Division:

General Social Survey

Description: The General Social Survey is an annual household survey. The first survey cycle collected data in 1985 on health and social support. Cycle 2 collected data in 1986 on daily activities, social mobility and languages. Cycle 3 collected data in 1988 on personal risk and victim services. Cycle 4 collected data in 1989 on work and education. Cycle 5 collected data in 1990 on the topic of family and friends. Cycle 6 collected data throughout 1991 and was the first cycle to return to previous core content — health. Cycle 7 collected data throughout 1992 on the time-use patterns of Canadians, repeating that of Cycle 2. Cycle 8 repeated Cycle 3 core and collected data on the personal risk of accidents and criminal victimizations; the focus content dealt with alcohol and drug use. Cycle 9 repeated Cycle 4 core and collected data on education, work and retirement. Cycle 10 partially repeated Cycle 5 and collected data on the family; the focus content dealt with environmental tobacco smoke. To locate a record, in addition to the cycle (or year) of the survey in which a respondent has participated, for cycles 1-4, the name of the respondent and the telephone number at the time of the survey are required. For subsequent cycles, the name and address of the respondent is required, as well as the cycle (or year) and telephone number at the time of the survey. **Class of Individuals:** Individuals selected to participate in the surveys. **Purpose:** The General Social Survey has two principal objectives: first, to monitor temporal changes in Canadian society and, second, to provide information on current policy issues. **Retention and Disposal Standards:** Records are retained indefinitely. **Related to PR#:** STC HFS 027 **TBS Registration:** 003311 **Bank Number:** STC PPU 027

Education, Culture and Tourism Division:

Creative and Performing Artists

Description: This bank contains information relating to characteristics of individual visual artists, writers, actors, directors, performing musicians, composers, conductors, dancers and choreographers. Information dates from the late 1970's to the early 1980's. **Class of Individuals:** Creative and performing artists in Canada. **Purpose:** The surveys were conducted to provide information on the background, career orientation and economic well-being of those attempting to work professionally as creative and performing artists in Canada. **Retention and Disposal Standards:** The information is retained indefinitely. **Related to PR#:** STC ECT 195 **TBS Registration:** 002098 **Bank Number:** STC PPU 102

Cultural Labour Force Survey

Description: This bank contains information relating to people residing in Canada working in selected occupations and industries within the cultural sector. The survey targeted individuals working as artists, administrators, professionals and technicians in 14 areas: visual arts, crafts, design, literary arts, performing arts,

film and video, broadcasting, cultural education, sound recording, book publishing, periodical publishing, heritage institutions, public libraries and other libraries. The survey was conducted in 1993. **Class of Individuals:** Artists, administrators, professionals and technicians working in selected areas of the cultural sector in Canada. **Purpose:** The survey was conducted to provide information on the education, career activities, work patterns, financial status and demographics of artists and cultural workers. Human Resources Development Canada sponsored the survey to help them in the development of training and employment programs that better meet the needs of the cultural sector in Canada. **Retention and Disposal Standards:** Not yet decided. **Related to PR#:** STC ECT 195 **TBS Registration:** 003724 **Bank Number:** STC PPU 125

Elementary/Secondary Teacher Database

Description: This bank contains information relating to characteristics of individuals who form part of the educational staff employed full- or part-time in public elementary-secondary schools for all provinces. **Class of Individuals:** This information, collected annually, relates to all individuals who form part of the educational staff employed full- or part-time in public elementary/secondary schools for all provinces. **Purpose:** The purpose of this bank is to produce statistical information relating to full-time instructional and administrative school staff at the elementary/secondary school levels in all provinces according to gender, age, staff position, and employment status. **Retention and Disposal Standards:** The files are to be retained for 55 years. **Related to PR#:** STC ECT 165 **TBS Registration:** 001853 **Bank Number:** STC PPU 080

National Apprenticed Trades Survey

Description: The bank contains information collected in the 1994 National Apprenticed Trades Survey relating to respondents' experience and background before the apprenticeship; the apprenticeship, the content and the certification; and the activities and work of the respondents since they left the apprenticeship. **Class of Individuals:** Individuals who have completed or discontinued a registered apprenticeship program. **Purpose:** The purpose of the National Apprenticed Trades Survey is to examine the labour market experience of completers and discontinuers of a registered apprenticeship program two years after they left the program. **Consistent Uses:** Statistics Canada has entered into data-sharing agreements under section 12 of the Statistics Act with Human Resources Development Canada, the Canadian Labour Force Development Board and with provincial ministries responsible for apprenticeship training. The information provided to these organizations will not contain personal identifiers and will be kept confidential and used only for statistical purposes. Respondents were advised of these agreements and were given the opportunity to object to the sharing of their data. **Retention and Disposal Standards:** Records are retained indefinitely. **Related to PR#:** STC ECT 180 **TBS Registration:** 003341 **Bank Number:** STC PPU 103

National Graduates Survey

Description: Surveys among graduates of postsecondary education programs have been carried out in 1978, 1984, 1987, 1988, 1991 and 1992. The surveys were carried out using a sample of 1976 graduates (for the 1978 survey), 1982 graduates (for the 1984 and 1987 surveys) 1986 graduates (for the 1988 and 1991 surveys), and 1990 graduates (for the 1992 survey). In each case, lists of graduates from universities, colleges and trade-vocational schools were obtained. The lists contain information relating to approximately 112,000 1976 graduates (Quebec graduates excluded), 220,000 1982 graduates, 250,000 1986 graduates and 265,000 1990 graduates. The information includes the name, address, telephone number, graduation qualification and major field of study for each graduate. Approximately 50,000 persons were selected to be interviewed for each survey, with 36,000 responding in 1984. The 1987 survey attempted to re-interview these 36,000, obtaining approximately 31,000 responses. Information collected included the extent of work experience before graduation and in the years after graduation, occupations and industries for jobs being held two years (five years in the 1987 survey) after graduation, details of further studies since graduation, and general classificatory information (e.g., age, marital status, sex, language). The 1991 survey attempted to re-interview approximately 40,500 1986 graduates who responded in 1988. They were asked about labour force status and detailed questions about their job, if any. In the 1992 study, approximately 51,000 persons were selected and response was obtained from approximately 36,000. **Class of Individuals:** This information relates to graduates from trade-vocational, college and university programs in calendar years 1976, 1982, 1986 and 1990. **Purpose:** To produce statistical information for monitoring graduates' transition from school to work and their success in finding work related to their fields of study. The information is provided to students and guidance counsellors to help choose appropriate fields of study; and to education planners to organize courses and programs of study. It is also used by analysts to develop projection models of supply and demand of manpower by occupation, seeking out imbalances in the labour market. Also included are evaluations of the extent to which graduates succeed in findings jobs related to their fields of study, and the extent to which retraining leads to career changes. **Retention and Disposal Standards:** Records are retained for 100 years. **Related to PR#:** STC ECT 170 **TBS Registration:** 001858 **Bank Number:** STC PPU 100

National Longitudinal Survey of Children: Educational Questionnaires

Description: This Bank contains longitudinal data on the educational development of a series of age segmented cohorts of children collected in connection with the National Longitudinal Survey of Children. As such, it represents a subset of a much larger bank of longitudinal information on child development. The cohorts covered in the educational database are followed at two year

intervals starting in November 1994. Each cohort will be followed until the children within the cohort reach adulthood. Data in the education file have been collected from the children's teachers and principals, and from standardized tests of achievement. Information in the database covers variables at the analytic levels of the child, the child's classroom, and the child's school. At the level of the child, variables representing the child's current grade, educational history, academic achievement, language of instruction, received instruction, attendance in special education classes, social skills, and behaviour are collected, along with information on parents' involvement in the child's education. At the level of the classroom information is available on class size and composition, classroom, material resources, instructional techniques, emphasis on academic achievement (academic press), and the teacher's perceptions of his/her teaching efficacy. At the level of the school, variables representing academic streaming, various characteristics of the student body, disciplinary problems, parental involvement with the school, available human and material resources, and the social climate of the school are available. In addition, information is available on the responding teacher's and principal's demographic and educational background. **Class of Individuals:** Age segmented cohorts (4-5 yrs, 6-7 yrs, 8-9 yrs, 10-11 yrs) of children enrolled in junior kindergarten to grade 6.

Purpose: To determine the factors which place or prevent children from becoming at risk for educational failure. The data will allow a wide variety of academic and policy oriented researchers to determine the impact that education has on a wide variety of developmental outcomes such as children's future health, social development, and educational attainment. **Consistent Uses:** Statistics Canada has entered into a data-sharing agreement under section 12 of the Statistics Act with Human Resources Development Canada. The information provided to HRDC will not contain personal identifiers and will be kept confidential and used only for statistical purposes. Each person responding to questions will be given the right to refuse to share their data. **Retention and Disposal Standards:** Not yet decided. **Related to PR#:** STC ECT 180 **TBS Registration:** 003725 **Bank Number:** STC PPU 130

Postsecondary Student Survey

Description: The survey population for the 1975 and 1984 Postsecondary Student Survey was provided by the registrars of postsecondary institutions. It included the name, address, telephone number and registration characteristics of all students registered in a regular credit course during the fall term of 1974-75 and 1983-1984. A sample of 100,000 and 64,000 individuals was selected for the survey and a total of 60,000 and 45,000 responses were received. The survey sought information relating to the current education, other education and employment of the respondent, as well as background characteristics. It also collected information with respect to how the respondents financed their education. **Class of Individuals:** This information relates to all students

registered for credit courses in Canadian universities and community colleges or equivalent institutions at the time of the survey. **Purpose:** The purpose of the Postsecondary Student Survey is to provide comprehensive data on those who attend postsecondary institutions in Canada, their fields and levels of study, and how they finance their postsecondary education. The data will provide a basis for the analysis of such issues as accessibility to postsecondary education in Canada.

Retention and Disposal Standards: Records are retained for 100 years. **Related to PR#:** STC ECT 170 **TBS Registration:** 001859 **Bank Number:** STC PPU 095

Postsecondary Teacher Database

Description: This bank contains information relating to the salary, qualifications, age, sex, immigration status, citizenship and discipline taught. There are no names in this data bank. Consequently, the number assigned to the individual by the institution is required for retrieval purposes, as well as the name of the institution and the year(s) the individual has taught at that institution. **Class of Individuals:** This bank is an annual census of all educational staff employed on a full-time basis in universities and other post-secondary institutions for all provinces. **Purpose:** The purpose of this bank is to produce statistical information relating to the population and salaries of full-time teachers, broken down into three distinct sections: salaries, age and qualification characteristics, and social and other characteristics.

Retention and Disposal Standards: The files are to be retained for 55 years. **Related to PR#:** STC ECT 170 **TBS Registration:** 001854 **Bank Number:** STC PPU 085

School Leavers Survey

Description: The bank contains information collected in the 1991 School Leavers Survey, covering such topics as a socio-economic profile, respondent health, school performance, lifestyle, literacy, labour market activity. For dropouts, additional topics include reasons for leaving, intentions on returning, labour market activities before/after leaving and other types of training/education received since leaving. **Class of Individuals:** Individuals who at the time of the survey are 18 to 20 years old, who have completed secondary school, dropped out or are still in the educational system. **Purpose:** The purpose of the School Leavers Survey is to develop comparative profiles of three groups of secondary school attendees: those who have successfully completed school, those still in the education system and those who have "dropped out". The survey results will allow analysts to better understand the relationship between the leavers and their backgrounds and their subsequent labour market experiences. **Consistent Uses:** Statistics Canada has entered into data-sharing agreements under section 12 of the Statistics Act with Employment and Immigration Canada and with provincial ministries of education, manpower and labour. The information provided to these departments will not contain personal identifiers and will be kept confidential and used only for statistical purposes. Respondents were advised of these

agreements and were given the opportunity to object to the sharing of their data. **Retention and Disposal Standards:** Records are retained indefinitely. **Related to PR#:** STC ECT 165 **TBS Registration:** 003013 **Bank Number:** STC PPU 093

Survey of Doctoral Degree Recipients

Description: This survey collected information on selected socio-economic characteristics of doctoral degree recipients in Canada, their educational background and work experience, and their career expectations. The survey began in 1981 and was discontinued in 1986. **Class of Individuals:** Recipients of a doctoral degree from a Canadian university. **Purpose:** The purpose of this survey was to produce statistical information used by the granting councils to study trends in post-graduate education with respect to the utilization of their funds, the employment pattern for graduates under the programs, the socio-demographic characteristics of the graduates, and to effect a cost analysis of the programs. **Consistent Uses:** Statistics Canada entered into data-sharing agreements under section 12 of the Statistics Act (R.S.C. 1985, ch. S19) with organizations identified on the questionnaire. Respondents were advised of these agreements and were given the opportunity to object to the sharing of their data.

Retention and Disposal Standards: Records are retained indefinitely. **Related to PR#:** STC ECT 170 **TBS Registration:** 002436 **Bank Number:** STC PPU 097

University Student Data Bank

Description: The information in this bank is obtained from the administrative files of Canadian universities and colleges. It includes demographic data, and information relating to the individual's activities as a student, such as qualification sought, discipline or specialization, etc. There are no names in this data bank. Consequently, the number assigned to the individual by the institution is required for retrieval purposes as well as the name of the institution and the year(s) the individual has studied at that institution. **Class of Individuals:** This bank contains annual information on full-time and part-time students enrolled in a Canadian university. **Purpose:** The purpose of this bank is to produce statistical information on winter session enrolment by province, institution, program and sex. **Retention and Disposal Standards:** The files are to be retained for 55 years. **Related to PR#:** STC ECT 170 **TBS Registration:** 001855 **Bank Number:** STC PPU 090

Health Statistics Division:

Canada Health Survey

Description: This bank contains information obtained from the Canada Health Survey, including demographic data, health status, health consequence and risk factor information as well as anthropometric measurements and blood test analyses. **Class of Individuals:** This information relates to individuals in Canada who responded to the Canada Health Survey conducted during 1978 and 1979 by Health and Welfare Canada and

Statistics Canada. **Purpose:** The purpose of this bank is to produce data relating to the health of Canadians, including alcohol and tobacco use, activity and fitness, seatbelt use, immune status, health problems and disability, emotional health, blood pressure, health services and medication. The data serve as input to the planning of health care, health promotion and disease prevention by governments and others. **Retention and Disposal Standards:** The records will be retained for 25 years. **Related to PR#:** STC HLT 115 **TBS Registration:** 001852 **Bank Number:** STC PPU 075

Canadian Peritonitis Registry

Description: This bank contains demographic, diagnostic and treatment information on patients with end-stage renal failure, who are being treated by any form of peritoneal dialysis. **Class of Individuals:** Renal failure patients who receive peritoneal dialysis treatment and have developed peritonitis (January 1987 — September 1989). **Purpose:** To analyze data on peritonitis to determine risk factors and to provide results of the analysis to participating renal failure units. **Retention and Disposal Standards:** Records are retained indefinitely. **TBS Registration:** 002669 **Bank Number:** STC PPU 061

Canadian Renal Failure Register

Description: The information in this bank is provided by reports from hospital dialysis and transplantation centres, about all new patients starting treatment for chronic renal failure. Basic information includes the name of patient, socio-demographic characteristics of the patient, and diagnostic and treatment information. **Class of Individuals:** This information, collected annually from 1981 to 1986, relates to patients starting treatment for chronic renal failure. **Purpose:** The purpose of this bank is to access the number of cases under treatment and to produce data to be used in evaluating the efficiency of the treatment modes. **Retention and Disposal Standards:** The files are retained for 100 years. **Related to PR#:** STC HLT 095 **TBS Registration:** 001849 **Bank Number:** STC PPU 060

Dental Hygienists Database

Description: This bank contains socio-economic and demographic data on licensed/qualified dental hygienists. Variables include highest level of education, school years and place of graduation, type of employer, percentage of time spent in dental hygiene activities and numerically coded place of residence and employment. The files are not identified by a name but by a national registration number. The last survey was completed in 1983. **Class of Individuals:** This information, collected annually, (1975-1981), relates to licensed or qualified dental hygienists. **Purpose:** The purpose of this bank is to produce statistical data on the socio-economic and demographic characteristics of dental hygienists qualified to practise and reside in Canada, and to provide information to manpower planning groups and other users as a source of data for analysis and special studies. **Retention and Disposal Standards:** The files are

retained for 25 years. **Related to PR#:** STC HLT 090 **TBS Registration:** 001846 **Bank Number:** STC PPU 045

Hospital Morbidity

Description: This data bank contains information obtained from general and allied special hospitals in Canada on patients that have separated (discharges and deaths). The files are identified by a number assigned by the hospital and contain demographic and medical information on the patient such as age, sex, diagnosis, surgical operations, length of stay, condition of discharge, residence of the patient, etc. **Class of Individuals:** This information, collected annually, relates to patients that have been separated from general and allied special hospitals in Canada. **Purpose:** The purpose of this bank is to provide national hospital morbidity statistics, including number of patients separated from general and allied special hospitals, average length of stay, and causes of hospitalization. **Retention and Disposal Standards:** The files are retained for 100 years. **Related to PR#:** STC HLT 080 **TBS Registration:** 001848 **Bank Number:** STC PPU 055

Integrated Vital Statistics Database

Description: This bank has integrated information obtained from the universal systems of registration of births, marriages, deaths and stillbirths. These are originally recorded and accumulated by registrars of vital statistics in each province and territory. The information on births relates to place of birth, particulars on parents (name, birthdate, marital status of mother) and particulars of the child (surname and name). The information on stillbirths relates to particulars of the child, (place of birth, sex, weight) and particulars of parents (name, birthdate, ethnic group). The marriage component of the database contains information on the bride and bridegroom (name, marital status, birthdate), particulars of parents (name, birthdate, birthplace) and on the date and place of marriage. Information on deaths relates to name of deceased; date, place and cause of death. The information has been obtained through formal arrangements with the provinces and is to be used for statistical purposes only. Statistics Canada, therefore, is unable to respond to requests for information contained in this bank, pursuant to section 19(1)(c) of the Privacy Act. Any individual wishing to obtain information relating to the registration of births, marriages or deaths should contact the appropriate provincial registrar. **Class of Individuals:** This information, collected annually, relates to all individuals who were born in Canada or the United States and are Canadian residents; married or died in Canada; or a Canadian resident who died in the United States or in another country. **Purpose:** The purpose of the bank is to provide input into the production of estimates of births, marriages and deaths for Canada and the provinces. These data are also used in special studies relating to, for example, mortality, fertility and life expectancy. Vital statistics are the prime components used in intercensal population estimates. **Consistent Uses:** The Mortality Database, which forms part of the Integrated Vital

Statistics Database, is used as one of the input files in long-term medical follow-up studies. It provides information on date, place and cause of death for individuals in a particular study group (see Personal Information Bank STC PPU 076). **Retention and Disposal Standards:** The files are retained for 100 years. **Related to PR#:** STC HLT 095 **TBS Registration:** 001844 **Bank Number:** STC PPU 035

Long-Term Medical Follow-Up Study Files

Description: The Long-Term Medical Study Files are comprised of information from four sources: input study files which are often from groups outside Statistics Canada; the Canadian Cancer Data Base which is created from STC PPU 065; and the Canadian Mortality Data Base which is created from the Integrated Vital Statistics Database STC PPU 035, and the Canadian Birth Data Base which is created from the Integrated Vital Statistics Database STC PPU 035 plus provincial files. The input files contain records of individuals which have been supplied by employers, unions, health surveys or other research groups. These input files are then matched to the Canadian Cancer Data Base to determine the cause of cancer, to the Canadian Mortality Data Base to provide information on date, cause and place of death, or to the Canadian Birth Data Base to provide birth and parental details. In addition, the outcome files may be matched (e.g. infant birth and death records). The input study files may contain from several hundred to many hundreds of thousands of records depending on the size of the study group. Individual identifying information includes names, birthdate, sex birthplace and place of residence. The name of the individual's parents or spouse may also be included where this information is required to confirm the validity of a linkage. The input study file and the file containing, say, the death records to which the study file records were linked are kept separately. An identification number is present on both files which can bring corresponding records together if necessary. The files are passworded and stored in the Statistics Canada tape library. **Class of Individuals:** This database contains records of individuals who form part of population groups identified by employers, unions, health surveys, or other research organizations, as well as all individuals in the national files. **Purpose:** Health statistics, in general, are used to describe the state of health of the Canadian people and the occurrence of illnesses. In particular, these files are primarily used to provide researchers with data they need to compare the rates and causes of death and/or cancer in groups exposed to different levels or types of chemicals, diet, medical treatment, x-rays, disease, etc. This in turn gives information needed by policy makers to set appropriate levels of exposure to toxic agents in occupational, environmental and medical settings. Risk factor concerns relating to child and maternal health, including socio-economic birth and mortality differentials are being investigated. **Retention and Disposal Standards:** The files are generally retained for a minimum of five years. **Related to PR#:**

STC HLT 095 **TBS Registration:** 001860 **Bank Number:** STC PPU 076

Medicare Records

Description: This bank contains medical and demographic information from the Medical Care Insurance files of the province of Manitoba commencing with the period 1984/85. This information has been obtained through formal arrangements with the province and is to be used for statistical purposes only. Statistics Canada is unable to respond to requests for information contained in this bank pursuant to section 19(1)(c) of the Privacy Act. **Class of Individuals:** Persons for whom a claim has been submitted to the Medical Care Insurance plan of the province of Manitoba. **Purpose:** To create and examine profiles of diseases in Canada from an epidemiologic perspective. **Consistent Uses:** To achieve the purpose it involved linkage internal to the Hospital Morbidity files (STC PPU 055) and the Mortality files (STC PPU 035). **Retention and Disposal Standards:** Records are retained indefinitely. **Related to PR#:** STC HLT 080 — Health Care **TBS Registration:** 002673 **Bank Number:** STC PPU 056

National Cancer Incidence Reporting System

Description: The information in this bank is provided by the 10 provincial cancer registries and health authorities responsible for cancer registration in the Yukon and Northwest Territories. The information relates to each newly diagnosed case of primary cancer and includes the name of the person with cancer, socio-demographic characteristics of the person, and diagnostic information. **Class of Individuals:** This information, collected annually, relates to all individuals diagnosed as having primary cancer as reported to provincial cancer registries and territorial health authorities. **Purpose:** The purpose of this bank is to produce data on the incidence of new primary sites of cancer reported to provincial and territorial cancer registries by site of tumour, age, sex and method of diagnosis. These data are to provide a large base of information for the study of variations in cancer incidence by personal characteristics and geographic region and to allow the monitoring of trends in cancer incidence over time. **Retention and Disposal Standards:** The files are retained for 100 years. **Related to PR#:** STC HLT 095 **TBS Registration:** 001850 **Bank Number:** STC PPU 065

National Population Health Survey

Description: This bank currently contains data obtained during testing for the National Population Health Survey and from a longitudinal panel that began early in 1994 and will continue every two years. The information includes demographic data and indicators of health status, health utilization and factors that influence health. **Class of Individuals:** The information relates to individuals in Canada who responded to the National Population Health Survey conducted by Statistics Canada. **Purpose:** The purpose of this bank is to provide information to monitor the health status of Canadians and to provide information to better understand factors that

influence health. The data will be used by those in government and others interested in program planning and analysis in the areas of health care, health promotion and disease prevention. **Consistent Uses:** Respondents are asked for permission to link information from provincial health files with the data collected in the survey, and when permission is granted, they are asked to provide their health number. Permission was sought to share information collected in the survey with the provinces and National Health and Welfare Canada, in accordance with the provisions of section 12 of the Statistics Act. **Retention and Disposal Standards:** The records will be retained indefinitely. **TBS Registration:** 003305 **Bank Number:** STC PPU 077

Registered Nurses Database

Description: This bank contains socio-economic and demographic data obtained from provincial nurses' associations on registered nurses licensed to practise in Canada. The information includes basic and post-basic nursing education, year and location of graduation, activity status, type of employer, position and numerically coded location of residence and employment. The files are identified by a provincial registration number. **Class of Individuals:** This information, collected annually, relates to registered nurses licensed to practise in Canada. **Purpose:** The purpose of this bank is to produce data on nursing manpower. These data, along with data relating to other health occupations, provide a source of national health manpower data used by planning groups and other users for analysis and special studies. **Retention and Disposal Standards:** The files are retained for 25 years. **Related to PR#:** STC HLT 090 **TBS Registration:** 001847 **Bank Number:** STC PPU 050

Tuberculosis Database

Description: This database, provided by provincial and territorial offices of tuberculosis control, contains information on people with tuberculosis. The information includes socio-demographic variables such as age, sex, aboriginal status and birthplace, and medical data such as type of tuberculosis, bacillary status and previous vaccination. In 1990 new variables were added including method of detecting the cases, antibiotic resistance, chest x-ray results and, for deceased persons, cause of death. **Class of Individuals:** Since 1966 the information relates to people with new active and reactivated tuberculosis. Prior to 1966 (since 1937) the information related to people admitted to tuberculosis sanatoria. **Purpose:** This database contains information used for purposes of tuberculosis surveillance and control. **Retention and Disposal Standards:** The files are retained for 100 years. **Related to PR#:** STC HLT 095 **TBS Registration:** 001851 **Bank Number:** STC PPU 070

Post Censal Surveys Program:

Aboriginal Peoples Database

Description: The database contains information on Aboriginal peoples resulting from the 1991 Post-Censal

Survey of Aboriginal Peoples, covering such topics as schooling, work, culture, language, housing, health, disability, income, mobility, etc. Although the name and address of the selected respondent were collected at the time of the survey, they were not data-captured and, therefore, do not appear on the automated database.

Class of Individuals: Persons who indicated that they identify with an Aboriginal group (North American Indian, Métis or Inuit) and/or reported being registered under the Indian Act of Canada. It includes individuals living on and off Indian reserves and settlements. **Purpose:** The objective of the Post-Censal Survey of Aboriginal Peoples is to provide a social and economic profile of Aboriginal peoples, including such information as housing conditions, lifestyle and social issues, health, employment history, schooling, mobility, disability, etc. **Retention and Disposal Standards:** Records are retained indefinitely. **Related to PR#:** STC PCS 122 **TBS Registration:** 003014 **Bank Number:** STC PPU 116

Database on Persons With Disabilities

Description: This database contains information on persons with disabilities in Canada, including the number of persons with disabilities, the nature and severity of the disability and the barriers experienced by persons with disabilities in such areas as employment, transportation, education, community support services and housing. The information also addresses the need for, as well as the use and availability of, technical aids and services. Although the name and address of the selected respondent were collected at the time of the survey, they were not data-captured and, therefore, do not appear on the automated database. **Class of Individuals:** Persons with disabilities living in households and institutions in Canada, at the time of the 1986 and 1991 Census. **Purpose:** The purpose of this bank is to identify those Canadians who, for health-related reasons, are limited in the kind or amount of activity they can perform on a day-to-day basis, and the nature and severity of the disability and the barriers that they encounter in such areas as housing, employment, transportation, education and community support services. This information is essential, particularly to governments and private organizations, to the process of developing programs and policies to eliminate these barriers. **Consistent Uses:** With respect to the Canada Health and Disability Survey, five-sixths of the Labour Force Survey (LFS) sample was used to identify a sample of persons with disabilities who were subsequently interviewed. The output of the survey was linked back to the LFS to obtain labour force activity data which were not included in the questionnaire. With respect to the Health and Activity Limitation Survey, the 1986 and 1991 Censuses of Population were used to identify a sample of persons with disabilities who were subsequently interviewed. The output of this survey was linked back to the 1986 and 1991 Census of Population files to obtain demographic data which were not included in the survey questionnaire. This would ease the burden on respondents since they had already provided these data for the Census. **Retention and Disposal**

Standards: Records are retained indefinitely. **Related to PR#:** STC HLT 110 **TBS Registration:** 001862 **Bank Number:** STC PPU 115

Household Surveys Division:

Labour Force Survey

Description: The Labour Force Survey is a monthly survey conducted on a sample basis of approximately 59,000 households. The records are identified by name and carry information on the family composition, activities and characteristics of individuals in the labour force survey sample. Data content includes the demographic profile of the respondent, employment profile, such as name of employer, hours of work, and tenure. For those without work, information is available on work history, job search activities, and type of work sought. Data are also available on the educational pursuits of the respondent. The data are maintained in such a way as to permit the production of statistics at the level of selected Census Metropolitan Areas (CMA), economic regions and the provinces, and for selected non-CMA urban areas based on quarterly estimates. It is for this reason that information relating to an individual cannot be retrieved based on name alone. The requester must provide the month(s) and year surveyed and the precise address at the time of the survey. There are also a number of special surveys that are conducted as supplements to the Labour Force Survey covering a variety of topics including work patterns, leisure time activities, absence from work, travel to work, income, etc. To retrieve a record, the requester must provide the subject of the special survey, the year the survey was conducted, and the precise address at the time of the survey. **Class of Individuals:** Individuals who are employed, unemployed or not in the labour force. **Purpose:** The main purpose of the bank is to produce employment and unemployment figures. The data also enable a wide variety of additional information to be derived on the characteristics of the Canadian labour force and on the population over age 15 in general.

Retention and Disposal Standards: The records are retained for 50 years. **Related to PR#:** STC HLD 035 **TBS Registration:** 001841 **Bank Number:** STC PPU 015

Survey of Labour and Income Dynamics (SLID)

Description: The Survey of Labour and Income Dynamics is a longitudinal panel study that will provide data on the demographic, income, family and labour market characteristics of Canadians. The first annual labour component of the survey was conducted in January 1993 and the first income survey was conducted in April 1993. Respondents will subsequently be contacted twice each year, for a period of five years. New panels are to be introduced into the study every three years. **Class of Individuals:** Individuals aged 15 and over. **Purpose:** The purpose of this bank is to provide data about the way people's lives are affected by changes in jobs, in income, in place of residence, and other changes that influence the social and economic well-being of individuals and families. SLID will support research aimed at advancing

an understanding of labour market behaviour and economic well-being, and ultimately support policy development. **Consistent Uses:** As a longitudinal survey, SLID will follow respondents over a 6-year period. Records for the same respondent, therefore, will be linked together over that period in order to identify changes experienced. **Retention and Disposal Standards:** Records will be retained indefinitely. **Related to PR#:** STC HLD 038 **TBS Registration:** 003301 **Bank Number:** STC PPU 017

Labour Division:

Workplace and Employee Survey

Description: To provide an integrated view of the activities of employers and their employees. The survey collects information from 1000 employers and 6000 employees covering a wide range of workplace issues. The employer questionnaire covers workforce characteristics, hiring and separation, compensation practices, human resource practices, training, technology use, business strategy, innovation and use of government programs. The employee questionnaire covers job activities, promotions, hours, earnings, training, use of computers and other technologies, education and family characteristics. The individuals' names and related contact information are stored on the data base to permit contact for proposed future workplace and employee surveys. **Class of Individuals:** Paid workers who receive a T4 from their current employer. **Purpose:** The survey supports research and policy development with respect to labour adjustment programs, workplace practices, social programs and education. **Retention and Disposal Standards:** To be determined **TBS Registration:** 003899 **Bank Number:** STC PPU 140

Special Surveys Division:

National Longitudinal Survey of Children

Description: The National Longitudinal Survey of Children will collect data on a sample of approximately 25,000 children across Canada every two years, starting in November/December 1994 and February/March 1995. Up to four children in each household chosen to take part in the survey will be selected to participate in the longitudinal portion of the survey, expected to cover a 20-year period. Interviews will be conducted with the most knowledgeable person in the household about these children, usually their mother. Children who are 10 and 11 years of age will be asked to complete a questionnaire themselves. Information will also be collected from each child's teacher and principal, with the consent of the parent. **Class of Individuals:** Children aged 0-11 **Purpose:** The primary objectives of the survey are to determine the prevalence of various biological, social and economic characteristics and risk factors in Canadian children and youth; to monitor the impact of such factors, life events and protective factors on these children's development; and to provide this information to policy and program officers for use in developing effective policies and strategies to help children to live healthy,

active and rewarding lives. **Consistent Uses:** Statistics Canada has entered into a data-sharing agreement under section 12 of the Statistics Act with Human Resources Development Canada. The information provided to HRDC will not contain personal identifiers and will be kept confidential and used only for statistical purposes. Each person responding to questions will be given the right to refuse to share their information. **Retention and Disposal Standards:** Records are retained indefinitely. **Related to PR#:** STC SSD 040 **TBS Registration:** 003635 **Bank Number:** STC PPU 014

Self-Sufficiency Project

Description: This bank contains information on the selected recipients' marital status, labour market participation, education and training, child care requirements, and family and parenting concerns. Four interviews are planned, one at program intake, and three follow-up interviews at 18 months, 36 months and 54 months after program intake. **Class of Individuals:** Social Assistance Recipients in New Brunswick and British Columbia. **Purpose:** The Self-Sufficiency Project is a research demonstration designed to test the effectiveness of an earnings supplement to Social Assistance recipients who take jobs and agree to leave public assistance. Statistics Canada is responsible to develop and implement a data collection strategy and build the analysis files for the study. **Consistent Uses:** Respondents will be asked to sign a consent form to allow Statistics Canada to link a variety of data sources including administrative files held by Revenue Canada, Taxation and Unemployment Insurance and the provincial income assistance ministries. Respondents will be asked for authorization to share this information with the research firm administering the project and affiliated researchers. **Retention and Disposal Standards:** Records are retained indefinitely. **Related to PR#:** STC HLD 040 **TBS Registration:** 003214 **Bank Number:** STC PPU 026

Special Surveys

Description: This bank contains social and demographic data obtained from special ad hoc surveys, conducted by the Special Surveys Division, which do not form part of the regular survey-taking activity of the Agency. They cover a variety of socio-economic topics, including health, housing, labour market, education and literacy, as well as demographic data. The information may include name, social insurance number, addresses or telephone number. **Class of Individuals:** Individuals selected to participate in the special surveys. **Purpose:** The data are used by sponsoring agencies or Statistics Canada to either evaluate or benchmark existing socio-economic programs, or in the development of such programs. The data collected may be one-time ad hoc measures, or a series of point-in-time measures, including longitudinal. **Retention and Disposal Standards:** The paper records are retained for five years. The machine-readable records are retained indefinitely. **Related to PR#:** STC HLD 040 **TBS Registration:** 002189 **Bank Number:** STC PPU 016

Classes of Personal Information

Administrative Files Obtained from Federal Government Departments for Statistical Purposes

The Statistics Act, under which Statistics Canada operates, gives to the Agency the authority to access records maintained in any federal department or municipal office, corporation, business or organization where the information sought relates to the mandate of this Agency. Accordingly, Statistics Canada has entered into a number of arrangements with other federal government departments to access administrative files of those departments for the purpose of extracting data for statistical purposes. This has allowed Statistics Canada to exempt many respondents, particularly small businesses, from the filing of regular questionnaires.

Since the use made by Statistics Canada of this information is secondary to the original purpose for which it was gathered, requests for access to personal information from these files should be addressed to the department responsible for the original collection of the data. Any requests received by Statistics Canada, either for a copy of an individual record or for corrections to a record, will be referred to the originating department for response.

Department of Employment and Immigration/Canada Employment and Immigration Commission

The following is a list of the files obtained. Statistics Canada may receive the entire file or only part thereof, depending on its requirements:

EIC PPU 054 Trainee Documentation Form for Job Entry File
 EIC PPU 061 Trainee Documentation for the Skill Shortages Program
 EIC PPU 066 Job Development Program
 EIC PPU 150 Unemployment Insurance Claim File
 EIC PPU 180 Benefit and Overpayment Master File
 EIC PPU 210 Report on Hirings
 EIC PPU 225 Immigrant Case File
 EIC PPU 230 Permanent Resident Data System
 EIC PPU 285 Visitor Case File
 EIC PPU 290 Foreign Student Records and Case File
 EIC PPU 295 Temporary Worker Records and Case File
 EIC PPU 300 Minister's Permit Case File
 EIC PPU 385 Record of Employment
 EIC PPU 390 Social Insurance Number Registration

Department of Justice
 JUS PPU 005 Central Divorce Registry

Department of National Health and Welfare
 HWC PPU 115 Old Age Security — Master Database
 HWC PPU 125 Family Allowances — Master Database

Department of Revenue Canada (Taxation)
 RCT PPU 005 Individual Income Tax Return
 RCT PPU 040 Taxation Taxpayer Master File

Business Statistics

The business database contains information which relates to the major industrial and services sectors of the economy. The information is obtained from establishments within companies through surveys, and from administrative records. It is used to describe the whole range of business activity in Canada, the goods and services produced, the cost structure of each industry and the location of industrial activity. It measures the kind and quality of goods produced, the costs of materials, labour and capital employed and the prices of both material and final products. The information is also a vital input to the System of National Accounts. As some of the businesses surveyed are unincorporated, there is personal information in this database relating to owners of unincorporated businesses who can be identified by a social insurance number. The business data bank may contain personal information in respect of unincorporated businesses in the forestry, mining, energy and resource and manufacturing sectors; in retail and wholesale trade; in rail, road and water transportation industries; in services, communications and tourism industries; relating to general and trade contractors; and to importers and exporters.

Consumer Finances Survey

This is an annual survey of households, conducted on a sample basis, designed to measure the distribution of income and to provide other yardsticks of the economic well-being of Canadian families and individuals. The records carry information on wages and salaries and other income, selected family and personal characteristics. To retrieve a record from this class of personal information, the requester must provide the year he/she was surveyed and the precise address at the time of the survey. For those years when the survey is carried out in conjunction with the Labour Force Survey, it may be possible to locate records relating to an individual. However, for those years when it is conducted independent of the Labour Force Survey, after the processing cycle is complete and the questionnaires are destroyed (usually after one year), it would be necessary to provide certain personal characteristics such as age and sex to permit the identification of an individual in a household group.

Family Expenditures Survey

Collects information, obtained by a sample survey of households, on expenditures by families and single persons living in private households, covering their complete budgets. A primary use of the information is for reviewing and updating the weights for the Consumer Price Index. As with the other classes of personal information maintained by the agency, this information is not intended to be retrieved by name or other personal identifier.

Household Surveys

The Household Surveys Division is responsible for a number of household surveys including surveys on family expenditures, household facilities and equipment, and

incomes of Canadian families and individuals. In some cases, the name of an individual respondent is collected. In other cases, the respondent may be a household unit. In all cases, however, the information is maintained geographically and is not intended to be retrieved by name or other personal identifier.

Routine Correspondence and Other Subject Files

In the course of conducting the programs and activities of Statistics Canada, categories of personal information may be accumulated which are not contained in specific information banks described in this entry. Such information includes inquiries, complaints and general correspondence which are stored as part of the general subject files and are not retrievable by any personal identifier. This form of personal information is normally retrievable by means of specific information such as subject and/or date of communication. The retention periods associated with this information are consistent with those associated with the general subject files wherein they are stored.

Manuals

The manuals used by Statistics Canada in carrying out its policies, programs and activities are instruction manuals developed to cover all phases of data acquisition, production and publication. These manuals can be accessed by identifying the subject.

In addition, working manuals produced by Statistics Canada are listed in the Current Publications Index and are available for purchase through Publications Sales, Ottawa, Ontario K1A 0T6, or through any of the Statistics Canada regional reference centres listed under "Reading Room". These manuals are also available for public reference. They are:

- Canadian Export Classification: based on the Harmonized System (12-578)
- Canadian Standard Industrial Classification for Companies and Enterprises (12-570)
- CANSIM: Mini Base Series Directory (12-569)
- Changes to Municipal Boundaries, Status and Names (12-201)
- Development and Design of Survey Questionnaires (12-519)
- Guide to Sub-provincial Data — Excluding Census Data (12-566)
- Inventory of Statistics Canada Questionnaires on Microfiche (12-205)
- Standard Geographical Classification, Vol. I, The Classification (12-571), Vol. II, Reference Maps (12-572), Vol. III, Changes, 1981-1986 (12-573)
- Standard Industrial Classification Manual (12-501)
- Standard Occupational Classification 1980 (12-565)
- Survey Methodology (12-001)
- Survey Sampling: A Non-Mathematical Guide (12-602)
- Word Division in French (12-601)

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about Statistics Canada and its various programs and functions may be directed to:

Communications Division
Communications Planning and Public Relations
Statistics Canada
R.H. Coats Building
Tunney's Pasture
Ottawa, Ontario

(613) 951-1121

Reading Room

Statistics Canada's library has been designated under the Access to Information Act as a public reading room. The address is:

2nd Floor
R.H. Coats Building
Tunney's Pasture
Ottawa, Ontario

In the regions, reference centres manned by advisory service personnel have been designated. Their addresses are:

Atlantic Region

Advisory Services
Statistics Canada
North American Life Centre
1770 Market Street
Halifax, Nova Scotia
B3J 3M3

(902) 426-5331

National Capital Region

Statistical Reference Centre (NCR)
Statistics Canada
R.H. Coats Building Lobby
Holland Avenue
Ottawa, Ontario
K1A 0T6

(613) 951-8116

Ontario Region

Advisory Services
Statistics Canada
Arthur Meighen Building, 10th Floor
25 St. Clair Avenue East
Toronto, Ontario
M4T 1M4

(416) 973-6586

Pacific Region

Advisory Services
Statistics Canada
Library Square Tower
#600 — 300 West Georgia Street
Vancouver, British Columbia
V6B 6C7

(604) 666-3691

Prairie Region

Advisory Services
Statistics Canada
First Street Plaza, Room 401
138 — 4th Avenue South East
Calgary, Alberta
T2G 4Z6

(403) 292-6717

Advisory Services
Statistics Canada
MacDonald Building, Suite 300
344 Edmonton Street
Winnipeg, Manitoba
R3B 3L9

(204) 983-4020

Advisory Services
Statistics Canada
Avord Tower, 9th Floor
2002 Victoria Avenue
Regina, Saskatchewan
S4P 0R7

(306) 780-5405

Advisory Services
Statistics Canada
Park Square, 9th Floor
10001 Bellamy Hill
Edmonton, Alberta
T5J 3B6

(403) 495-3027

Quebec Region

Advisory Services
Statistics Canada
200 René Lévesque Boulevard West
Guy Favreau Complex
4th Floor, East Tower
Montreal, Quebec
H2Z 1X4

(514) 283-5725

Toll-Free Numbers

Toll-free telephone access is provided in all provinces and territories for users who reside outside the local dialling area of any of the reference centres:

Atlantic (Newfoundland and Labrador, Nova Scotia, Prince Edward Island and New Brunswick): 1-800-565-7192

Quebec: 1-800-361-2831

Ontario: 1-800-263-1136

Prairie (Manitoba, Saskatchewan, Alberta and the Northwest Territories): 1-800-563-7828

Pacific Region (British Columbia and The Yukon Territory): 1-800-663-1551

Status of Women Canada

Chapter 100

General Information

Background

In 1971, the federal government created the Office of the Coordinator, Status of Women within the Privy Council Office. In 1976, the Office of the Coordinator, Status of Women was removed from the Privy Council Office. It was named a department under Order-in-Council P.C. 1976-779 and became known as Status of Women Canada.

Responsibilities

Status of Women Canada ensures that the federal government carries out its commitment to women's equality in all spheres of Canadian life.

Legislation

- Order in Council, P.C. 1976-779

Organization

♦ Executive Secretariat

This Directorate is mainly responsible for planning, coordination services and support to the Co-ordinator and the Secretary of State. Organizational support, including library services and records management is also provided through the Executive Secretariat.

♦ External Relations and Communications Directorate

This Directorate collaborates with provincial and territorial governments, women's organizations, international organizations, academics and other groups and individuals at all levels interested in women's issues in Canada and abroad. It is aimed at informing the Secretary of State of the current environment and assisting these groups and individuals in their contacts with the federal government. Status of Women Canada also represents Canada at the United Nations Commission on the Status of Women, the Organization for Economic Co-operation and Development's Working Party on the Role of Women in the Economy, relevant Commonwealth Meetings as well as the Inter-American Commission of Women (CIM). Within its communications function Status of Women Canada informs women's organizations and the general public of federal priorities and programs relating to the status of women. It undertakes the preparation of speeches, correspondence, and conducts media relations. It also carries out media and correspondence analysis, coordinates the translation process, responds to queries from the public, produces and distributes publications and provides advice and promotional

materials for special events. It is developing a consultations function to provide internal planning and advice to Status of Women Canada.

♦ Information Management Directorate

This Directorate provides services in the areas of information holdings.

♦ Policy Analysis and Development Directorate

This Directorate performs the systematic analysis of existing or proposed government programs, initiatives, policies and legislation with regard to their impact on women. This Directorate is also responsible for developing policies and projects concerning the status of women. Policy analysis and development are carried out at the federal level, as well as in co-operation with provincial and territorial governments.

♦ Research Directorate

This Directorate is responsible for implementing and administering the Independent Policy Research Fund, which contracts out longer-term, forward-thinking policy research as well as shorter-term urgent issues research on women's equality issues. This Directorate also provides research-related input into policy analysis and development at SWC, provides information to women's groups, researchers and other constituents on ongoing and recent research, and co-operates with government departments and domestic and international research organizations on policy research projects.

♦ Resource Management Directorate

This Directorate is responsible for ensuring statutory accountability and delivering services to the organization in the areas of financial and human resources management, material management and contract administration. It also provides services in the area of information technology, security and telecommunications.

♦ Women's Program and Regional Operations Directorate

This Directorate is responsible for providing financial and technical assistance to voluntary organizations working towards equality for women. Its objectives are: to increase public understanding in order to encourage action on women's equality issues; to promote the organizational development of women's groups in order to increase their effectiveness in working towards equality for women; and to promote action by institutions to incorporate women's equality issues in their decision-making structures, policies and programs.

Information Holdings

Program Records

Acts and Legislation

Description: Contains information on legislative measures to amend or revise individual acts. **Topics:** Charter of Rights and Freedoms; Unemployment Insurance Act; various pension acts; Criminal Code; Canadian Human Rights Act; Divorce Act; Indian Act; Child Care Act. **Program Record Number:** SWC SWC 050

Committees

Description: Contains material relating to the establishment of or participation in committees and working groups; interdepartmental and intergovernmental committees. **Topics:** Sexual harassment; child care; family violence; human rights; victims of crime; women and aging; family benefits; reproductive health; education; counselling; aboriginal women and economic development. **Program Record Number:** SWC SWC 005

Conferences

Description: Contains material on functions; agendas; minutes; reports and participation in conferences by Status of Women Canada. **Topics:** Human Rights; Federal/Provincial/Territorial meetings of Ministers Responsible for the Status of Women. **Program Record Number:** SWC SWC 010

Federal Agencies

Description: Contains material on co-operation and liaison activities with federal government agencies, boards, commissions, corporations and councils. **Topics:** Monitors program activities relating to women's issues; appointments of women; funding priorities. **Program Record Number:** SWC SWC 025

Federal Government Departments

Description: Correspondence between departments to ensure program objectives which promote women are met. **Topics:** Employment programs; employment services; maternity benefits; affirmative action; child care; family violence; health promotion; Canada Assistance Plan; female offenders. **Program Record Number:** SWC SWC 030

Federal/Provincial Relations

Description: Contains material relating to co-operative and liaison activities with federal, provincial and territorial departments and responsibility centres with respect to their various programs and policies to promote equality between women and men. **Program Record Number:** SWC SWC 035

Foreign Countries

Description: Contains information on co-operation and liaison with foreign countries concerning the advancement

and equality of women throughout the world. **Program Record Number:** SWC SWC 040

International Organizations

Description: Contains information on the advancement of women throughout the world and material on related programs to promote equality of women including UN, OECD, Commonwealth, Inter-American Commission for Women. **Topics:** UN Conventions and Declarations; International Conferences; UN Human Rights; World Program of Action; Employment of Women in Agencies; World Conferences on women's issues. **Program Record Number:** SWC SWC 045

National, Provincial, International and Non-governmental Organizations

Description: Contains material relating to activities of national, provincial and international interest as it pertains to women's issues. **Program Record Number:** SWC SWC 020

Status of Women — General

Description: Contains material relating to the establishment of Status of Women, its functions, policies and procedures. **Program Record Number:** SWC SWC 015

Women and the Family, Children and Day Care

Description: Contains material of a general nature concerning women in the family; women as single parents; family violence, violence against women, also includes information on child care and rights of children. **Program Record Number:** SWC SWC 055

Women in Public Life

Description: Contains material on women in public life; women in research; women in the economy; women in arts and culture; women in politics; and women in professions. **Topics:** Alcoholism; senior women; occupational health and safety; access to banking and credit; women business owners or entrepreneurs; women in the labour force; pay equity; sexual harassment; technological change; and pensions. **Program Record Number:** SWC SWC 060

Women's Program

Description: Information concerning the promotion of women and financial assistance to voluntary associations working to improve the status of women in Canada. **Topics:** Women's Program — general; communications and media relations; consultants and consultations; conferences, meetings and seminars; discrimination and human rights; employment — affirmative action; non-government women's organizations, associations, centres and groups; regional grants, regional offices; reports and studies; Status of Women — general, plan of action, other government departments, municipalities and agencies, action research. **Program Record Number:** SWC SWC 100

Classes of Personal Information

In the course of conducting its activities, Status of Women Canada accumulates categories of personal information which are stored as part of the general subject files where records are not normally retrieved by name of individual or other personal identifier. Such personal information may have been accumulated in the process of handling such complaints and enquiries as are made to the Secretary of State and the Department. Due to the special nature of the Department's activities, these personal complaints and enquiries are usually forwarded to the appropriate department for individual assistance. The Department handles policy and program issues, but not personal program delivery matters. This form of personal information is normally retrievable only if specifics are provided concerning the issue of complaint, and the date and name of complainant. All issues dealing with federal government legislation, policies and programs as they impact on women are included. The retention periods for these classes of personal information are controlled by the record schedules of the general subject files in which they are stored.

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about Status of Women Canada and its functions may be directed to:

External Relations and Communications Directorate
Status of Women Canada
360 Albert Street, Suite 700
Ottawa, Ontario
K1A 1C3
(613) 992-7094

Reading Room

In accordance with the Access to Information Act, the documentation centre has been designated as a public reading room. The address is:

360 Albert Street, Suite 700
Ottawa, Ontario

Transport Canada

Chapter 101

General Information

Background

The Department of Transport was established in 1936 by the Department of Transport Act (R.S.C. 1970, chapter T-18), which amalgamated the functions of the Department of Railways and Canals, the Department of Marine and the Civil Aviation Branch of the Department of National Defence.

Responsibilities

Transport Canada is responsible for most of the transportation policies, programs and goals set by the Government of Canada to make sure the national transportation system is safe, efficient, environmentally sound and accessible to all its users.

Legislation

- Aeronautics Act
- Airport Transfer (Miscellaneous Matters) Act
- An Act Respecting the CPR (1881), et al. (details of old railway acts are set out in the Statutory History of the Steam and Electric Railways of Canada, 1836-1937 published by the Department of Transport/King's Printer)
- Arctic Waters Pollution Prevention Act
- Bills of Lading Act
- Canada Labour Code, Part II
- Canada Ports Corporation Act
- Canada Shipping Act
- Canada Transportation Act
- Canadian National Railways Act
- Canadian National Railways Financing and Guarantee Act
- Canadian National Railways Refunding Act
- Carriage by Air Act
- Carriage of Goods by Water Act
- Civil Air Navigation Services Commercialization Act
- CN Commercialization Act
- Coasting Trade Act
- Crow's Nest Pass Agreement Act
- Department of Transport Act
- Excise Tax
- Government Property Traffic Act
- Hamilton Harbour Commissioners Act
- Harbour Commissions Act
- Intercolonial and P.E.I. Railway Employees Provident Fund Act
- International Rapids Power Development Act
- Marine and Aviation War Risks Act
- Marine Atlantic Inc. Acquisition Authorization Act
- Marine Insurance Act
- Marine Transportation Security Act
- Maritime Code Act
- Meaford Harbour Act
- Montreal Port Wardens Act
- Montreal Terminals Act
- Motor Vehicle Fuel Consumption Standards Act
- Motor Vehicle Safety Act
- Motor Vehicle Tire Safety Act
- Motor Vehicle Transport Act, 1987
- National Energy Board Act
- National Transcontinental Railway Act
- Navigable Waters Protection Act
- Non-Smokers Health Act
- Northern Transportation Company Limited Disposal Authorization Act
- Ontario Harbours Agreement Act
- Pilotage Act
- Public Harbours and Port Facilities Act
- Quebec Port Wardens Act
- Railway Relocation and Crossing Act
- Railway Safety Act
- Safe Containers Convention Act
- Shipping Conferences Exemption Act, 1987
- St. Lawrence Seaway Authority Act
- Toronto Harbour Commissioners Act
- Toronto Terminals Act
- Transportation of Dangerous Goods Act, 1992
- United States Wreckers Act
- Various Bridge Acts
- Winnipeg Terminals Act

Organization

Airports Group

The Airports Group (AG) is headed by an Assistant Deputy Minister who is responsible for ensuring the provision of civil airport facilities and services in Canada consistent with the National Airports Policy. The Group has an ongoing involvement of 150 airports across the

country through ownership, operation, or lease with or without subsidy. The Assistant Deputy Minister is assisted by four Directors General. Also reporting to the Assistant Deputy Minister are the Airport General Managers of Toronto — Lester B. Pearson International Airport, Halifax International Airport, Ottawa-Macdonald-Cartier Airport and the Winnipeg International Airport.

♦ Airport Transfers

Responsible for strategic planning and for negotiating the transfer of airports to Canadian Airport Authorities.

♦ Business Management

Responsible for property management and the administration of commercial agreements and contracts and for promoting revenue and commercial opportunities both nationally and internationally. In addition, it covers all of the financial and administrative functions required centrally for the Group.

♦ Human Resources and Executive Services

Responsible for internal communications, human resource planning and Human Resource Management programs.

♦ National Airports Policy Implementation

Responsible for overseeing the implementation of the National Airports Policy on a national level. The National Airports Policy establishes the first clear framework for the federal government's role in airports and will shift that role from owner and operator to landlord and regulator. The policy affects 150 airports. The federal government will retain ownership of the 26 airports forming the National Airports Authorities. Ownership of Regional/Local and other small airports will be transferred to local interests within 5 years.

Communications Group

The Communications Group is responsible for supporting management in providing effective two-way communications between Transport Canada and all its stakeholders to ensure the public is well informed of the department's activities and to ensure the department takes into consideration the concerns and views of the public in all its activities. The Director General, Communications, reports directly to the Deputy Minister in Ottawa. Five regional directors of communications report to regional departmental directors general.

Corporate Services

The Assistant Deputy Minister (ADM), Corporate Services is responsible for ensuring the provision of effective support to the Department in the areas of Finance; Human Resources; Informatics and Administration; Executive Services and Audit and Advisory.

♦ Audit and Advisory Services

Fosters improvements in the efficiency and effectiveness of all departmental operations and administration and the

accountability of management by providing independent internal audit and advisory services.

♦ Executive Services

This organization is headed by a Director General and is part of the Corporate Services group. The Executive Services prepares responses to correspondence received by the Minister; prepares parliamentary returns and assists the Minister in meeting his responsibilities in respect to Parliament, caucus, parliamentary committees, the transportation industry and the general public. Executive Services manages the departmental legislative time-table and regulatory amendments and manages the consolidation of the department's statutory instruments. It also maintains a central consolidated registry of departmental statutes and regulations. The Director General is the departmental coordinator for privacy, access to information and human rights matters. Executive Services also coordinates the federal government's information collection and public opinion survey program. It is also responsible for all administrative matters affecting Executive Services and the office of the Minister.

♦ Informatics and Administrative Services Directorate

This Directorate is headed by a Director General who reports to the Assistant Deputy Minister of the Corporate Services Group. It is responsible for ensuring the provision of advice, services, support and functional direction in the areas of material, contracting, facility and real property management, information management and information technology in the National Capital Region and five regional offices.

Harbours and Ports Directorate

This Directorate administers public harbours and port facilities serving commercial water transportation interests, and provides functional direction to the regions in these areas. It also serves as a focal point for harbour commissions' interests with the federal government.

Human Resources Directorate

The development and implementation of all departmental policies and programs related to Human Resources are planned and directed by the Director General, Human Resources, whose responsibility is to ensure the effective recruitment, compensation, utilization, training/retraining and retention of human resources in the Department.

♦ Administrative Services Branch

This Branch is responsible for providing services with regards to Financial Planning and Monitoring, Administration, Translation Services, Coordination of Access to Information and Privacy Requests related to Human Resources and the TC Scholarships Program.

◆ Classification and Compensation Policy

This Branch is responsible for the development of Policies and Strategies in Classification, Compensation and Organization. It is also responsible for the final level of Classification Grievances.

◆ Executive Group Resourcing Division

This Branch is responsible for providing all services related to Human Resources to the Deputy Minister and his delegates in support of the management of the EX population in the Department. This Branch is also responsible for the management, on a national basis, of special programs such as Interchange Canada, Diversity in Leadership Program, National Security Studies Course and the Career Assignment Program (CAP).

◆ Human Resources Operations

This Branch is responsible for providing advice and services to all departmental employees and managers at Headquarters. These services include Classification, Staffing, Group HR Planning, Staff Relations (1st and 2nd levels), Counselling, Work Force Adjustment, Compensation and Benefits, Occupational Health and Safety (OSH), Conflict of Interest (1st level), Training and Counselling, Recognition and Awards, Compensation and Performance Review and Assessment of Potential.

◆ Human Resources Planning, Staffing and Training Policy

This Branch is responsible for the development of policies and strategies in the areas of Official Languages, Employment Equity, Staffing, Workforce Adjustment, Training and Learning and HR Planning. It is also responsible for data analysis, employee demographics and Departmental HR planning strategies. It also provides advice and assistance to managers and represents the Department in dealings with central agencies.

This Branch is also responsible for the administration and management of Human Resources Systems for the Department, mainly the Transport Integrated Personnel Systems (TIPS).

◆ Staff Relations, Harassment and OSH Policy

This Branch is responsible for the development of policies and strategies in the areas of Staff Relations, Harassment and Occupational Safety and Health (OSH). It also assists departmental management in the development and maintenance of harmonious relationships between the Department and the eight unions representing employees of Transport Canada.

The mandate of this Branch includes national union/management consultations, final level grievance responses, advice on conflict of interest cases, exclusions, the coordination of harassment complaint investigations and recommendations on harassment complaint resolution, the coordination of safety and security designations of collective bargaining. This Branch represents the interest of management in all aspects of

staff relations, OSH and harassment, policy and program development.

Policy

The Assistant Deputy Minister, Policy (ADMP), is responsible for the formulation, development and maintenance of the Department of Transport's long-range transportation objectives, strategies and policies. The ADM provides advice to the Deputy Minister on policies, programs and objectives which have implications for one or more modes of transport; program evaluation; on the management of transportation subsidy policy programs and on shared initiatives between private industry and various levels of government. The ADM advises the Minister on policy issues regarding Transport Crown Corporations, accessible transportation and on the maintenance of the Department's external relations (federal, provincial, international and industry). The ADM is supported by six directorates.

◆ Air Policy and Programs Directorate

This Directorate is responsible for the development and implementation of the government's policy initiatives for domestic, transborder and international air transportation services, and for Canadian representation at the Council of the International Civil Aviation Organization. In particular, it is responsible for the preparation of mandate papers for the negotiation of bilateral air agreements. It must also provide ongoing policy and program advice to the Minister of Transport on measures to ensure an appropriate framework that will permit air carriers to provide adequate and efficient air transportation services which will respond to the needs of the general public for air travel within Canada and internationally.

◆ Analysis Directorate

The Directorate provides the Department with statistics, forecasts, economic analysis and economic research needed for informed policy and planning decisions. It undertakes the acquisition of data and maintenance of data bases for all modes of transport. Forecasts of traffic demand and growth are provided for use in policy development, planning and resource allocation decisions. The directorate carries out economic analysis to assess and quantify the economic impact of major transportation policy initiatives. In addition, it conducts economic research into current and anticipated future transportation issues.

◆ Corporate Relations Directorate

The Directorate directs departmental responses to complex multi-modal transportation issues that involve several departmental groups. It coordinates the Department's federal, provincial and international relations and international marketing and acts as the focal point for the liaison with the Regional Directors General. It also develops policies and programs concerned with transportation facilities for persons with disabilities. The Directorate is responsible for corporate issues

management and the corporate planning process, including the provision of policy advice and recommendations to the Minister.

♦ Marine Policy and Programs Directorate

This Directorate is responsible for the development of policies, plans and programs for all aspects of water transportation assistance and associated services, over inland and coastal waters, as required by the federal government. It develops international and domestic shipping policies, including international bulk and liner shipping policies. The activity includes the development of Canadian positions for multilateral negotiations conducted within intergovernmental organizations (e.g. OECD, UNCTAD, IMO, GATT) and the development of domestic shipping policies, including such matters as coastal trade, economic regulatory policy and shipping engaged in offshore exploration and development. Ports and pilotage policies are also developed and applied. The provision of a corporate overview of policies developed elsewhere in the government and their impact upon shipping, ports and the marine environment, including operating policies is also included in this activity. Further, this activity coordinates, analyses and advises on matters concerning major Crown Corporations such as Marine Atlantic Inc., Canada Ports Corporation and the St. Lawrence Seaway Authority. The Directorate is also responsible for the development of the National Marine Policy and the associated legislative framework.

♦ Surface Policy and Programs Directorate

This Directorate is responsible for the development, formulation and implementation of the surface transportation policies and programs, and for the management of all operational, developmental and economic regulatory activities of the Department in support of surface transportation. This includes all railway freight policies and programs (including grain); all surface passenger transportation policies and programs, including the development and administration of rail passenger legislation and regulations. It also includes federal government policy applicable to trucking and motor coach transportation undertakings and all highway policies and programs associated with the federal government, including negotiation and implementation of federal highway capital expenditures programs as well as contribution agreements with the provinces. .

Transport Canada, Safety and Security

The Assistant Deputy Minister, Safety and Security, directs the development of regulations and national standards as well as the implementation of monitoring, testing, inspection, research and development and subsidy programs to contribute to safety and security in the aviation, marine, rail and road modes. He also monitors the delivery of aircraft services to government and other transportation bodies. The Assistant Deputy Minister, Safety and Security, is assisted by 10 directors general.

♦ Aircraft Maintenance and Manufacturing

Responsible for inspecting and approving all registered aircraft built or operated in Canada to national and international airworthiness standards. This includes the approval of maintenance organizations, training programs and accrediting maintenance personnel.

♦ Aircraft Certification

Responsible for inspecting, testing and approving the design, manufacture and performance of all new and modified aeronautical products built or operated in Canada. The branch is also responsible for monitoring the continuing airworthiness and any problems identified for aeronautical products.

♦ General Aviation

Responsible for monitoring and certifying training schools and programs and the testing and licensing the general aviation community including recreational aviation and special flight operations such as airshows. This includes flight crew examinations, aircraft registration and leasing, flight training, and personnel licensing. The branch is also responsible for coordinating educational and awareness programs on the impact of human factors on aviation safety.

♦ Aircraft Services Directorate

This Directorate is responsible for the provision and maintenance of aircraft and the provision of services in support of Transport Canada operations, as well as selected programs of other federal government departments, agencies, and clients. This includes the provision of the appropriate professional training for Transport Canada and Transportation Safety Board civil aviation inspectors, and for Aircraft Services aircraft maintenance engineers and electronics technicians. The Directorate is divided into six branches: Flight Operations, Training; Technical Services; Engineering; Special Projects and Operational Planning, and, Aviation Safety.

The Directorate is responsible for the Department's 49 fixed and rotary wing aircraft, which are located at the Headquarters office in Ottawa and at six regional bases. The Directorate is also responsible for the operation and maintenance of 29 helicopters supporting the Department of Fisheries and Oceans — Canadian Coast Guard program.

Aircraft Services maintains the four Challenger aircraft for the Department of National Defence's Administrative Flight Service.

In addition, the Directorate is responsible for the four government owned Canadair CL-215 Water Bomber aircraft which are leased to a commercial operator for forest fire fighting duties in the Northwest Territories. The Directorate also owns one de Havilland Dash 7R aircraft, which is operated under lease to a commercial air operator in support of the Department of Environment's Ice Reconnaissance Program.

♦ Civil Aviation Directorate

The Civil Aviation Directorate is responsible for establishing and administering the regulations necessary for the safe conduct of civil aviation within Canada. The directorate comprises ten branches and Civil Aviation Medicine, a division of Health Canada.

♦ Aerodome Safety

Responsible for inspecting and certifying all airports and aerodromes in Canada. This includes developing the rules, standards and procedures for all aspects of airside safety including determining land use and zoning and evaluating noise and its impact on wildlife and residential communities. The branch also evaluates the requirements for maintenance, lighting, roadway markings, emergency response and firefighting services.

♦ Air Navigation Services and Airspace

Responsible for regulating the air navigation system to operate safely and efficiently. This includes developing the rules governing Canada's airspace, planning and co-ordinating airspace and air traffic control procedures. The branch is also responsible for providing aeronautical information services and publications to pilots, operators and owners for safe flying in Canada's national airspace.

♦ Civil Aviation Medicine (a Division of Health Canada)

Assisting Civil Aviation, Health Canada appoints Civil Aviation Medical Examiners to set medical standards and carry out regular health examinations for civil aviation personnel. Also conducts aeromedical training sessions for pilots, air crews, medical examiners and air traffic controllers.

♦ Commercial and Business Aviation

Responsible for inspecting and certifying the flight operations and facilities of Canadian and foreign commercial air carriers as well as Canadian corporate operators. The branch is also responsible for developing standards and regulations for cabin safety, the transportation of dangerous goods and occupational safety and health on board air carriers.

♦ Program Services

Responsible for supporting all areas of Civil Aviation by providing specialized services in activity costing, performance analysis, information systems, communications, human resource planning and policy studies. The branch also oversees a national quality assurance program.

♦ Training Services

Responsible for providing basic, advanced and refresher programs for all Civil Aviation specialists, inspectors and engineers.

♦ Emergency Preparedness Branch

This Branch is responsible for the operation of the Transport Canada Crisis Centre (TCCC) for planning and coordinating emergency preparedness exercises, coordinating multi-modal crisis planning, and preparing, coordinating and maintaining Department of Transport peace and wartime plans.

♦ International Aviation

Responsible for coordinating the directorate's activities on international aviation matters. In cooperation with foreign agencies, such as the International Civil Aviation Organization (ICAO) and civil aviation authorities, coordinates and develops standards and procedures for international aviation and the harmonization of regulations.

♦ Marine Safety Directorate

The Marine Safety Directorate is responsible for the development and provision of a safe and efficient national marine transportation system in support of government marine objectives. As such, it is the focal point for marine affairs.

The Directorate is responsible for commercial shipping safety and regulatory matters, and changes and amendments to relevant legislation, such as the Canada Shipping Act, the Arctic Shipping Pollution Prevention Act and the Navigable Waters Protection Act to ensure the proper enforcement of the marine regulatory system.

A description of the organization and responsibilities of The St. Lawrence Seaway Authority, Canada Ports Corporation and the four Pilotage Authorities, as well as the records held by them, are provided elsewhere in this register.

♦ Prairie and Northern Region — Marine

This Branch develops and implements policies and plans for the conduct of shipping activities in the Arctic region. Responsibilities include; the provision of ship safety inspections in the Yukon, NWT, Alberta, Saskatchewan and Manitoba; pollution prevention responsibilities under the Arctic Waters Pollution Prevention Act (AWPPA) in regard to ships; the administration of a research and development program to update regulations and standards. The Branch also provides liaison with other government departments, northern governments, interest groups and Inuit communities on matters of ship safety.

♦ Preventive Security Branch

This Branch directs the collection, analysis and dissemination of intelligence information from national and international agencies and provides the principal departmental liaison with the Canadian Security Intelligence Service, Intelligence Committees and other agencies concerning intelligence matters. This Branch directs the Personnel Security program, including the security clearances for access to restricted areas of the international and domestic airports. Develops departmental security training policies and plans and

directs the design and delivery of training for professional security development and security awareness. Develops training for the operation and maintenance of new security equipment; reviews and approves all departmental security training and exercises quality control over its delivery. Directs the collection, analysis and dissemination of intelligence information from national and international agencies and provides the principal departmental liaison with the Canadian Security Intelligence Service, Intelligence Committees and other agencies concerning intelligence matters.

♦ **Railway Safety Directorate**

This Directorate administers the Railway Safety Act, implements safety aspects of the national transportation policy and coordinates all programs relating to railway safety in Canada. The main responsibilities include development and enforcement of regulations, rules, standards and procedures governing safe railway operations in Canada. As well, it administers federal government funding programs designed to improve the safety of railway operations, including improvements to grade crossings. This Directorate also develops the contingency plans, procedures, organizations and facilities necessary to ensure the efficient and effective operation of rail, ferry and highway transportation systems and facilities that are essential to the national transportation system during periods of national crisis, international tension or war.

♦ **Regional Directors, Security**

The five Regional Directors are responsible for inspecting and testing departmental security plans, policies, and standards and for identifying vulnerabilities in them. They monitor the effectiveness of site security exercises, carry out certification of security screening personnel, ensure compliance of industry with transportation security legislation and provide advice and assistance in the protection of assets throughout the region for the departmental and multi-modal transportation industry.

♦ **Regulatory Services**

Responsible for developing and maintaining aeronautical legislation and implementing enforcement action as well as presenting cases before the Civil Aviation Tribunal. The branch is also responsible for translation services as well as developing and standardizing aeronautical terminology. The branch's contingency Operations section is responsible for preparing and coordinating emergency response for any national or international civil air transportation crises.

♦ **Research and Development Directorate**

The R&D function, which includes the Transportation Development Centre (TDC) in Montreal, plans and manages the Department's central R&D program comprising of work in support of transportation safety and security; policy, accessibility, energy and the environment, and efficiency and productivity. TDC serves as the Department's centre of expertise on research and

development and transportation technology and innovation. R&D is contracted-out to a variety of public and private sector organizations and for projects that cover all modes of transportation as well as system considerations.

♦ **Road Safety and Motor Vehicle Regulation Directorate**

This Directorate coordinates federal government activities in road safety, motor vehicle regulation and commercial vehicle safety in order to reduce deaths, the severity of injuries, health impairment, property damage and fuel consumption. Principal responsibilities include development and enforcement of safety standards for motor vehicles, development of road safety countermeasures, liaison with motor vehicle agencies in other countries, liaison with the Motor Vehicle Test Centre and fuel economy programs, and participation in co-operative federal-provincial road safety programs.

♦ **Safety Programs, Strategies and Coordination Directorate**

The Safety Programs, Strategies and Coordination Directorate is responsible for the following main functions: TSB Liaison: Occurrence Data; Risk Assessment and Safety Studies; Corporate Strategies and Issues, and, Ministerial Liaison and Coordination.

♦ **Security and Emergency Planning Directorate**

The Security and Emergency Planning Directorate is the principal focus in the Department of Transport for coordinating the development and implementation of policies and programs to ensure the security and emergency readiness of the National Transportation System and of departmental assets.

♦ **Security Operations Branch**

This Branch provides advice and assistance in the protection of assets throughout the Department and multi-modal transportation system. It is the departmental liaison with the RCMP and other police agencies on security and criminal matters. It is responsible for Headquarters protective and security services, monitors the effectiveness of Departmental programs, develops standards for the use of security, technology, advises on acquisition and monitors its effectiveness, directs the departmental communications programs (Communications Electronic Security, Electronic Data Processing Security, Technical Intrusion) and manages the Department's security research and development program. Finally, the Branch monitors the delivery of pre-board security screening training programs, acts as the functional authority for regional Directors, Security, to help industry achieve compliance with security legislation and monitors the consistency of inspection and enforcement of the National Transportation System across the country.

◆ Security Policy, Planning and Legislative Programs Branch

This Branch plans and develops policies, standards and legislation to ensure adequate levels of security for the National Transportation System, formulates the departmental position on international security activities, provides assistance in the implementation of transportation security policies, and establishes policies and standards with respect to departmental security.

◆ Ship Safety Directorate

This Branch develops and applies safety standards for the design, construction, operation and maintenance of ships, other than pleasure craft, Mobile Offshore Drilling Units (MODU) and air-cushion vehicles, as well as for their machinery and equipment, for navigation, for handling and stowage of cargo, for the qualification and certification of ships, MODU and air-cushion vehicle personnel, and for the prevention of pollution by ships. It also participates in the development of international codes, conventions and regulations concerning marine safety, and maintains registries of vessels and ships' personnel.

◆ System Safety Division

The Directorate contributes to the development of a safe transportation system by: evaluating the ongoing safety performance of Canada's transportation activities; and, identifying safety hazards and providing safety advice and information to senior Department managers to enable them to evaluate the efficient and strategic use of resources in order to fulfill their mandate.

◆ Technical Services Branch

Responsible for technical leadership and functional direction for the life cycle management of Transport Canada airport facilities, for the protection of the environment at airports leased by Transport Canada, and for the technical expertise in the Airports Capital Assistance Program.

◆ Transportation of Dangerous Goods Directorate

This Directorate administers the federal Transportation of Dangerous Goods (TDG) program to promote public safety in the transportation of dangerous goods by all modes of transport throughout Canada. Activities include the development of standards and regulations and enforcement of the regulations governing the handling, offering for transport, transportation, in-transit storage of dangerous goods and distribution of standardized means of containment. It coordinates federal-provincial/territorial activities to ensure consistent Canada-wide delivery of the dangerous goods program and to facilitate interface between modes; and administers the availability of emergency response information through the operation of CANUTEC, a 24-hour bilingual emergency response and information centre for transportation accidents involving dangerous goods. (To contact CANUTEC in case of an emergency, call collect (613) 996-6666. For information,

call (613) 992-4624.) The Directorate publishes a Dangerous Goods Newsletter and a number of awareness and explanatory brochures which can be obtained from the Publication Administrator, Transport Dangerous Goods Directorate, Transport Canada, 344 Slater Street, Canada Building, Ottawa, Ontario K1A 0N5, or by telephoning (613) 998-6539.

Information Holdings

Program Records

Airports Group

Airports

Description: Information on civil airports and airport transfers, heliports, and STOL ports. **Topics:** Planning, establishment, design, construction, maintenance, operation and requirements of airports; site acquisition, including environmental considerations; equipment; services; utilities; facilities; concessions and leases; claims; buildings; safety; licensing; policing and security; fire protection and rescue. **Storage Medium:** EDP systems and microfilm. **Program Record Number:** DOT AAG 085

Corporate Services

Access to Information and Privacy Division

Description: Records concerning requests made under the Access to Information Act, the Privacy Act and information collection. **Topics:** Access to government records, information collection and public opinion research. **Program Record Number:** DOT COD 050

Advisory Services

Description: Studies and projects undertaken for managers across the department using teams of internal and external consultants. **Program Record Number:** DOT ARE 320

Audits

Description: Information on the efficiency, economy and effectiveness of internal departmental management of organizations, functions and systems. Reviews of internal departmental functions and operations on behalf of group heads and the audit of leases, concessions, contributions and other agreements with third parties. **Program Record Number:** DOT ARE 325

Legislation

Description: Information on all federal legislation to which the Department is subject and which it must implement. **Program Record Number:** DOT COD 045

Parliamentary Affairs

Description: Information on written and oral questions in Parliament and other information required by Parliament, its committees, or other government institutions. **Topics:** Order Paper questions and petitions; oral questions; government and private members' bills; motions for production of papers; tabling of annual reports and returns; special committee of council; Standing Joint Committee for the Scrutiny of Regulations. **Program Record Number:** DOT COD 055

Regulatory Activities (L1502 Block 5250, Series 5003)

Description: Information on the monitoring of departmental rule-making, participation in projects involving departmental statutes and subordinate legislation, and the implementation of systems and procedures to provide departmental users and the private sector with consolidated regulatory material. **Topics:** Regulatory reform; regulations consolidation; central registry for regulations and statutory instruments; regulations held on microfiche. **Program Record Number:** DOT COD 060

Human Resources Directorate

Employee Leave Information System (ELIS)

Description: Departmental leave system that processes and provides information on employee leave activities. **Program Record Number:** DOT APD 375

Transport Integrated Personnel System

Description: Integrated Personnel Management System provides detailed staffing, classification, official languages and pay information. Data is available through a reporting catalogue and inquiry application. **Program Record Number:** DOT APD 380

Policy

Air Forecast Information

Description: Information on future aviation traffic for passengers, aircraft movements, air cargo in Canada. The traffic forecasts fall into two major categories: national forecasts and site-specific (airport) forecasts and also include macro forecasts of registered aircraft, licensed personnel, revenue passenger-kilometres, total hours flown, unit IFR flight plans by regions and Terminal Control Units. **Access:** Aviation forecasts can be retrieved by forecast subject and by airport. They are also available in various aggregate forms (Top 30 airports, national and regional). **Storage Medium:** Hard copy by forecast subject and by airport as well as by micro and EDP systems (Self-Serve Computer Retrieval System). **Program Record Number:** DOT ACA 300

Appeals from NTA Decisions

Description: Information on individual appeals filed with the Minister of Transport (prior to 1988), and petitions filed with the Governor in Council concerning National Transportation Agency decisions, pursuant to sections 64

and 258 of the National Transportation Act, 1987.

Topics: Notices of appeal; submissions of appeals; answers and replies; analysis and recommendations to Minister; ministerial opinions; petitions; interventions; recommendations to council; Orders-in-Council. **Program Record Number:** DOT ACE 030

Conferences and Committees

Description: Information on all conferences, committees and associated sub-committees in which the Department collaborates with other federal departments, agencies of other governments both national and international, international organizations and industry groups. **Topics:** Committees and conferences (interdepartmental, intergovernmental, international); organizations (e.g., OECD, ECMT, ECE, UNCTAD). **Access:** Files arranged by subject or name of committee; government; governmental department or agency; organization. **Program Record Number:** DOT COD 015

Crown Corporations

Description: Information on the Crown corporations, their components and subsidiaries, corporate plans, acquisitions and divestiture proposals; central agency and departmental policies on Crown corporations. **Topics:** Transport Canada Crown corporations; subsidiaries; acquisitions; financing; corporation plans; legislation. **Program Record Number:** DOT COD 025

Domestic Air Policy

Description: Information on the development and implementation of government policy initiatives on domestic and transborder air services. **Topics:** Bilateral agreement with United States; economic regulatory reform; subsidies; accessibility standards; specialty services; computer reservation systems; exemptions from Canadian ownership requirement; airline mergers and acquisitions; financial requirements for carriers. **Program Record Number:** DOT ACE 310

Domestic Marine Policy

Description: Information on the development and implementation of government policy initiatives with respect to the Canadian coasting trade, the national ports system, and the Great Lakes/St. Lawrence Seaway. Also information on policies and programs for the administration of subsidies to ferry and coastal services. **Topics:** Ports; marine policy reform; St. Lawrence Seaway; commercialization initiative (Seaway); Canada/U.S. bilateral arrangements (Seaway); Coasting Trade Act; Canadian flag fleet; ferry and coastal services; ferry subsidies; levels of service (ferries) and tariffs (ferries). **Program Record Number:** DOT PCM 280

Evaluations

Description: Preparatory studies and evaluation studies of the relevance, success and cost-effectiveness of internal departmental programs and activities. **Program Record Number:** DOT ARE 330

Highways

Description: Information on policies, studies and programs for the planning, development and management of highways, international bridges and tunnels, and federal-provincial collaboration in highway projects.

Topics: Highway economics; engineering; construction and environment operations. **Access:** Files arranged by subject, name of project or highway, or geographical location. **Program Record Number:** DOT DSH 255

International Air Policy

Description: Information on the development and implementation of government policy initiatives with respect to the negotiation of bilateral air agreements with foreign countries including the U.S.A., and regarding international civil aviation. **Topics:** Administration; air rights and air relations; country files (including mandate papers); international civil aviation and economic organizations; transport policy; airlines. **Program Record Number:** DOT ACE 315

International Marine Policy

Description: Information on the development of government policy with respect to economic aspects of the international maritime sector, including shipping conferences, maritime bilateral agreements, UNCTAD, OECD, WTO, NAFTA, IMO, liability and insurance and shipping relations with the United States, the European Union and other countries. **Topics:** Shipping Conference Exemption Act; OECD Maritime Transport Committee; UNCTAD-Shipping Issues; WTO/General Agreement on Trade in Services — Maritime; NAFTA; U.S. Maritime Legislation/Regulations; Consultative Shipping Group; IMO; Oil Pollution Fund and insurance and war risks. **Program Record Number:** DOT DRD 040

Motor Carriers

Description: Information on interprovincial and international motor carrier industry, intergovernmental relations, both federal-provincial and international. **Topics:** Commercial trucking and passenger transport, federal and provincial regulations and laws; and studies. **Program Record Number:** DOT DSH 260

Railway Passenger Services

Description: Information on railway passenger services and systems in Canada, policies, programs and regulations. **Topics:** Passenger services, VIA Rail, finance and subsidies. **Access:** By subject, project and location. **Program Record Number:** DOT DSH 290

Railways/Freight and Grain

Description: Information on railway policies, programs, regulations, freight transportation operations and services, capacity, grain transportation and handling. **Topics:** Lands; buildings; legislation; regulations; leases; finance; operations; maintenance; traffic; freight; rates; rights-of-way; main and branch lines; terminals; labour, environment. **Access:** By subject, project and location. **Program Record Number:** DOT DSH 285

Strategic Planning

Description: Information on the departmental strategic planning process and on corporate priorities as well as the periodic review of departmental objectives. **Program Record Number:** DOT PPD 005

Strategic Policy Development

Description: Information on policy development services and advice on multi-modal transport issues, monitoring and analysing transport systems and formulating system strategies and proposals for government action as appropriate. **Program Record Number:** DOT PPD 010

Transportation Persons with Disabilities

Description: Information on activities related to the implementation of the federal policy on transportation of persons with disabilities and seniors; research, development and demonstration; Minister's Advisory Committee on Accessible Transportation and Federal/Provincial/Territorial Committee on Road Transportation for Disabled Persons. **Topics:** Federal policy on transportation of persons with disabilities and seniors; research, development and demonstration; public education. **Program Record Number:** DOT COD 020

Transport Canada, Safety and Security

Air Cushion Vehicles (ACV)

Description: Information on all aspects of air cushion vehicles. **Topics:** Regulations; registration; licensing; certification; inspection; training; examination and certification of personnel; companies; equipment; material; research and development; and accidents and investigations. **Access:** Files arranged by subject, names of personnel, and name, type and registration mark of ACV. **Storage Medium:** EDP systems. **Program Record Number:** DOT MSS 240

Air Navigation System Requirements

Description: Information on air navigation systems and aids; on planning and policy for aerodromes, airspace, ANS services and facilities and aviation weather. **Topics:** Aerodromes; ANS levels of service; aeronautical publications; aviation weather requirements; flight inspection and calibration; and navigation aids. **Program Record Number:** DOT AAN 070

Air Traffic Operations (5250, 5258, 5260 Block)

Description: Information on the development of policies, rulings, and rates for air carriage of freight, mail and passengers. It includes details of operations and certificates of commercial airline companies, flying clubs and schools, and Air Canada. **Topics:** Express and freight; air carriage of mail; passenger traffic; airline companies; flying clubs; flying schools; operating certificates; and Air Canada. **Access:** Files are arranged by subject, airline company, flying club, or school. **Program Record Number:** DOT DLC 095

Air Traffic Services

Description: Information on research, development, implementation, and maintenance of national policies, systems and procedures for safe and efficient air traffic services and control within Canadian-controlled airspace.

Topics: Area control; airport control; terminal control; information and supplementary services; research and development. **Access:** Files arranged by subject and geographically by site and oceanic codes or areas.

Storage Medium: EDP systems. **Program Record Number:** DOT DAT 075

Air Transportation Security

Description: Information on airport and air carrier security. **Topics:** Restricted areas; passenger screening; air carriers; airports; legislation; regulations; policies; agreements; procedures; ICAO; security programs; security equipment; inspections; enforcement.

Program Record Number: DOT ABS 120

Aircraft (5008)

Description: Information on aircraft inspections, operations, types of aircraft. **Topics:** Registration, inspection and operation. **Access:** Files arranged by subject and aircraft markings. **Storage Medium:** EDP systems and microfilm. **Program Record Number:** DOT DLC 100

Aviation Medicine (5320 Block, 5802 Series)

Description: Information on airline associations, organizations, regional medical officers, medical examiners, standards, examinations, research, medical records of civil aviation personnel. **Topics:** First aid kits; flight time limitation and fatigue; regional medical officers and examiners; medical equipment; medical records of civil aviation personnel. **Access:** Files arranged by subject and name. **Storage Medium:** EDP systems and microfilm. **Program Record Number:** DOT DAM 130

Aviation Safety

Description: Information on the administration of national air transportation safety programs. **Topics:** Safety promotion projects such as Pilot Decision Making; Company Aviation Safety Management and Human Factor programs; survival and rescue surveys; research and analysis data; educational materials — posters, pamphlets, videos, films. **Access:** Files arranged by subject and project. **Program Record Number:** DOT DSP 115

Civil Aeronautical Products – Safety and Approval (5010, 5201, 5012 Block)

Description: Information on aircraft dealers, types and specifications, tests and approval of aircraft material and equipment. **Topics:** Type of aircraft; type of engine; material and equipment by aircraft dealers, certificates of airworthiness for aircraft and parts. **Access:** Files are arranged by individual company and aeronautical product. **Program Record Number:** DOT DAB 110

Consultation Procedures on Aviation Legislation

Description: General information on the public consultation process concerning proposed legislation.

Program Record Number: DOT DEL 365

Control of Shipping

Description: Information on the control of shipping, including national shipping policy, ports, pilotage and marine services policy and international shipping policy.

Topics: Coasting trade, freight movements, commodities, marine irregularities, merchant marine, port traffic.

Program Record Number: DOT MPC 210

Emergency Planning and Operations

Description: Information on Department of Transport emergency planning. **Topics:** NATO, emergency exercises; international consultations; Crisis Centre; plans; agreements; vital points. **Program Record Number:** DOT ABS 340

Employment Equity

Description: Information on target groups (e.g., women, aboriginal peoples, members of visible minority groups, and persons with disabilities) programs generally; statistics; brochures; surveys; reports. **Program Record Number:** DOT APD 355

Enforcement Policy and Procedures (5503, L1502 Block)

Description: Information on enforcement policy, procedure, case histories, air regulations and air navigation orders. **Topics:** Air regulations; air navigation orders; enforcement cases. **Access:** Files arranged by subject and enforcement case. **Program Record Number:** DOT DEL 125

Examinations and Certificates (5802)

Description: Information on personnel licensing standards, including examinations and certification for air pilots, flight engineers, flight navigators, aircraft maintenance engineers and air traffic controllers. **Topics:** Licensing standards; examinations; certificates; air pilots; flight engineers; flight navigators; aircraft maintenance engineers; air traffic controllers. **Access:** Files arranged by subject of licensing standards and examinations, name of air pilots, flight engineers, flight navigators, aircraft maintenance engineers and air traffic controllers, or applicant for licences. **Storage Medium:** EDP systems and microfilm. **Program Record Number:** DOT DLC 105

Flight Operations

Description: Information on types of aircraft operated by the Department. **Topics:** Flight operations; technical services; quality assurance; training and systems safety. **Program Record Number:** DOT AAA 090

Harbours and Ports

Description: Information on the establishment, administration, development, operation and maintenance of ports and harbours, including the establishment and

collection of user charges. **Topics:** Facilities; studies; harbours and ports; headlines; harbour masters; property records; rules and regulations; tariffs and fees; returns and refunds. **Access:** By subject and geographic name of harbour or port. **Program Record Number:** DOT MPH 135

Harbours and Ports – Cargo Loading

Description: Information on rules and regulations concerning the handling and stowage of ships' cargoes and the appointment of surveyors and port wardens.

Topics: Rules and regulations; reports and returns; and appointments of surveyors and port wardens. **Program Record Number:** DOT MSS 195

High Speed Ships (HSC)

Description: Information on all aspect of High Speed Ships, Hydrofoils, High Speed Catamarans and SWATH craft. **Topics:** Regulations; certification; inspection; training; examination and certification of personnel; companies and materials. **Access:** files arranged by subject, name, type and registration mark or HSC. **Storage Medium:** EDP systems **Program Record Number:** DOT MSS 240

Marine Security

Description: Information on marine security. **Topics:** Ship and port security; Canadian and international marine security initiatives; IMO technical security measures. **Program Record Number:** DOT ABS 335

Navigable Waters – Protection

Description: Information on policies and regulations, and applications and approvals for industrial and other construction plans affecting navigable waters. **Topics:** Applications for approval; approval of construction; types of work; designation of navigable waters. **Access:** Files arranged by subject, individuals or companies, waterway, geographic location, type of building or work. **Storage Medium:** Microfilm, file, computerized data bank. **Program Record Number:** DOT MAW 160

Navigation and Seamanship

Description: Information on navigation, seamanship. **Topics:** Navigation, seamanship, collision regulations, speed of vessels, anchorages, schools, apparatus and equipment. **Program Record Number:** DOT MSS 205

Rail Security

Description: Information on railway security. **Topics:** Railway security; legislation and agreements. **Program Record Number:** DOT DRS

Road and Motor Vehicle Regulation

Description: Information on road and motor vehicle safety, commercial vehicle safety, research and development of countermeasures, engineering development and enforcement of safety and emission standards, and safety regulations, liaison with the Motor Vehicle Test Centre and fuel economy programs. **Topics:**

Road safety and motor vehicle and commercial carrier regulation; countermeasures development; accident and defect investigations; public information and complaints; recall campaigns; motor vehicle engineering and safety standards; motor vehicle and commercial carrier safety rules and regulations; Motor Vehicle Test Centre; compliance auditing and testing; national and international organizations and associations dealing with road and vehicle safety; motor vehicle emissions control; fuel economy and road safety data. **Access:** Files arranged by subject, project, company and safety standard. **Storage Medium:** EDP systems, test film, microfilm, and video tape. **Program Record Number:** DOT DTS 275

Security – General

Description: Information on departmental security, and multi-modal security programs. **Topics:** Training; intelligence; electronic data processing and communications security; agreements; evaluations; incidents; clearances; physical security and security technology. **Program Record Number:** DOT ABS 345

Ship Inspection – Appliances and Equipment

Description: Information on the testing and approval of ships' appliances and equipment. **Topics:** Engines; boilers; machinery; fire protection equipment; pollution prevention equipment; lifesaving equipment and materials used in construction of ships. **Storage Medium:** Microfilm. **Program Record Number:** DOT MSS 225

Ships – Inspection

Description: Information on inspection, regulations and procedures, including small commercial vessel inspection. **Topics:** Regulations; procedures; small commercial vessel inspection; and capacity and horsepower plates. **Access:** Files arranged by subject. **Program Record Number:** DOT MSS 220

Ships – Measuring and Surveying

Description: Information on the rules, regulations and procedures for measuring and surveying ships; and tonnage measurements. **Topics:** Rules; regulations; appointment of measuring surveyors, and agreements with other countries. **Program Record Number:** DOT MSS 245

Ships – Registration and Licensing

Description: Information on the registration and licensing of ships other than small recreational vessels. **Topics:** Ports of registry; ships registered and ships licensed. **Access:** Files arranged by subject and names of ships. **Storage Medium:** Medium: EDP Systems. **Program Record Number:** DOT MSS 215

Ships' Officers and Seafarers

Description: Information on the qualification and certification of ships' officers and seafarers. **Topics:** Masters and mates; engineers and seafarers; discharge books; seafarers identity documents; shipping masters; examinations; certificates; welfare and discipline;

exemptions and clearance of vessels. **Access:** Files arranged by subject and names of ships' officers. Seafarers files are arranged in alphabetical order on hard copy. Information held in automated certification examination systems (ACES) on qualifications of ships' officers and issuance of discharge books. **Storage Medium:** Medium: EDP systems. **Program Record Number:** DOT MSS 200

Ships, Inspection – Safety

Description: Information on the inspection and certification of ships used in the carriage of dangerous goods and load lines. **Topics:** Explosives; dangerous goods; and load lines. **Access:** Files arranged by subject and names of ships. **Program Record Number:** DOT MSS 230

Ships, Inspection – Specific

Description: Information on rules and regulations governing safety inspections of ships. There are individual case files for specific vessels containing inspection reports and certificates, survey reports, etc. **Topics:** Ferries, drilling rigs, ships, ships' tackle and survey reports. **Access:** Files arranged by subject and names of ships. **Storage Medium:** EDP systems. **Program Record Number:** DOT MSS 235

Technical Services

Description: Information on the establishment, operation, and maintenance of stations and systems that provide communications and electronic navigation aids to civil aviation, including procurement of equipment, broadcast services, and airport advisory services. **Topics:** Establishment; construction; operation; maintenance; services; building site acquisitions; concessions and leases; apparatus; supplies; equipment; utilities; security; and frequencies. **Access:** Files arranged by subject, and geographically by site codes. **Storage Medium:** EDP systems and microfilm. **Program Record Number:** DOT DFS 080

Wharves

Description: Information on the administration, operation and maintenance of marine facilities under the jurisdiction of the Harbours and Ports Directorate, including the planning and development of port facilities and the establishment and collection of tariffs. **Topics:** Administration; rules and regulations; tariffs and fees; leases; inspections and wharf-owners. **Program Record Number:** DOT MPH 140

Dangerous Goods

Description: Information on policies, regulations, education programs and administrative matters relating to the transportation and handling of dangerous goods. **Topics:** Dangerous Goods and Regulations; commodities; engineering and safety standards; U.N. performance standards; type of packaging; nature and application of permit; enforcement; training and certification; awareness and information; CANUTEC; evaluation, analysis and risk

assessments; research and development; liaison and agreements; committees and task forces; advisory board; education programs; inspections. **Program Record Number:** DOT TDG 035

Railway Safety

Description: Information on railway safety programs, policy development, rules, regulations, standards and their enforcement. **Topics:** Railway safety regulations; rules; standards; operations control; engineering; equipment; research and development; railway grade crossings; grade separations and railway relocation. **Program Record Number:** DOT DRS 295

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Audits

Budgets

Buildings

Buildings and Properties

Classification of Positions

Co-operation and Liaison

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Lands

Occupational Health, Safety and Welfare

Office Appliances

Official Languages

Pensions and Insurance

Personnel

Procurement

Staff Relations

Training and Development

Utilities

Vehicles

Personal Information Banks

Corporate Services

Access and Personal Information Requests

Description: This bank contains request files for access to government information made pursuant to the Access to Information Act or the Privacy Act. The file contains documentation related to their processing and the records responding to the requests. **Class of Individuals:** General public and government employees. **Purpose:** This bank is used for processing access and personal information requests only and to report on the number of access requests received annually. **Retention and Disposal Standards:** Files are kept for two years after latest administrative decision. **PAC Number:** 83-004 **Related to PR#:** DOT COD 050 **TBS Registration:** 001591 **Bank Number:** DOT PPU 065

Access to Information Request Files

Description: This bank contains requests under the Access to Information Act submitted by individuals to access records under the control of the Department of Transport, the replies to such requests and any other information relevant to the processing of the requests. **Class of Individuals:** Individuals who have exercised their rights under the Access to Information Act. **Purpose:** This information is compiled to process requests submitted under the Access to Information Act. **Consistent Uses:** The information may also be used to compile statistics in relation to reporting requirements or in relation to studies on developing trends on the use of the legislation. **Retention and Disposal Standards:** Information is retained for two years from the date of the most recent action on file, after which the records are destroyed. **PAC Number:** 88-001 **TBS Registration:** 001888 **Bank Number:** DOT PPU 069

Automated Label Processing System (ALPS)

Description: This bank contains the names and addresses of individuals who receive printed information and documents issued or distributed by officials of the Department. Also included is a listing of publications distributed via ALPS. **Class of Individuals:** The information relates to departmental employees and the general public. **Purpose:** The purpose of this bank is to distribute information according to the individual's interest. A specific mailing list may on occasion be shared with another organization within the Department in response to a specific request. **Retention and Disposal Standards:** Lists are updated regularly, and therefore kept indefinitely. **TBS Registration:** 003373 **Bank Number:** DOT PPU 083

Contract Data Statistical Reporting System

Description: This bank contains information for reporting of contract statistical data on contracts awarded by the Department. **Class of Individuals:** The information relates to contractors, contract entry conditions project title, value and location of the work. **Purpose:** The system was

introduced to improve the capability of the Department to meet the increasing demand for information on its contracting activities. **Consistent Uses:** **Retention and Disposal Standards:** Files are retained for two years. **TBS Registration:** 003372 **Bank Number:** DOT PPU 078

Executive Correspondence

Description: This bank contains general correspondence to the Minister, Minister of State (when appointed), Ministers' Staff, as well as background information provided by departmental officials which is used in the responses. **Class of Individuals:** Members of the public making enquiries on the programs and policies of the Department and related agencies (VIA, CN, Air Canada, etc.); also Members of Parliament and the Cabinet. **Purpose:** To record correspondence from the public on departmental policies, programs and issues. **Retention and Disposal Standards:** Paper records are retained for up to eight months, and then sent to the National Archives of Canada in computer files. **PAC Number:** 86-001 **TBS Registration:** 002308 **Bank Number:** DOT PPU 066

Expenditure Accounts and Control

Description: This bank contains information pertaining to the payment of professional fees, travel and miscellaneous expenses to consultants, personal service contractors under contract with Transport Canada. Also, payment of fellowships, honorarium fees to private sector individuals with whom Transport Canada has entered into an agreement to extend this financial assistance. **Class of Individuals:** Private sector individuals under contract/other agreement with Transport Canada for the provision of professional/special services. **Purpose:** This bank is used for the payment of invoices and claims submitted by contractors. **Retention and Disposal Standards:** The files are preserved for a six-year period (in Transport Canada for two years and thereafter sent to the National Archives of Canada). **PAC Number:** 83-004, 86-001 **TBS Registration:** 001594 **Bank Number:** DOT PPU 080

Human Rights – Complaints

Description: This bank is under the control of the departmental Human Rights Coordinator. The files contain copies of all documents related to the CHRC complaint process, i.e. from the Complaint Form through to the final CHRC decision or Terms of settlement. **Class of Individuals:** General public. **Purpose:** This bank maintains records of complaints made against the Department of Transport to the Canadian Human Rights Commission. **Retention and Disposal Standards:** Two years. **PAC Number:** 83-004 **TBS Registration:** 001592 **Bank Number:** DOT PPU 070

Information Disclosures to Investigative Bodies

Description: This bank contains copies of privacy requests and replies as well as requests for disclosure to federal investigative bodies, forwarded by investigative bodies as listed in Schedule II of the Privacy Act under

paragraph 8(2)(e) of the same Act. This bank also contains the replies to such requests and gives information related to their processing. It is used to verify the conditions of disclosure to federal law enforcement bodies which are stated in paragraph 8(2)(e) of the Privacy Act, and to report to the Privacy Commissioner on the number of access requests received annually. This bank is comprised solely of requests concerning persons for the purpose of law enforcement, and the replies to such requests. **Class of Individuals:** Individuals about whom requests for personal information have been received pursuant to paragraph 8(2)(e) of the Privacy Act from federal investigative bodies for the purpose of enforcing a law of Canada or a province, or carrying out a lawful investigation. **Purpose:** The purpose of this bank is to permit the Privacy Commissioner to review disclosures made under paragraph 8(2)(e) of the Privacy Act to federal investigative bodies for the purpose of enforcing a law of Canada or a province or for carrying out a lawful investigation. **Retention and Disposal Standards:** Records are retained for five years and are then destroyed. **Related to PR#:** ENV CPS 010 **TBS Registration:** 002326 **Bank Number:** DOT PPU 068

Privacy Request Files

Description: This bank contains requests submitted under the Privacy Act, the replies to such requests and any other information relevant to the processing of the requests. **Class of Individuals:** The general public or employees of the Department who have exercised their rights of access under the Privacy Act. **Purpose:** This information is compiled to process requests submitted under the Privacy Act and to allow individuals to exercise their rights under the legislation. **Consistent Uses:** The bank is used in the compilation of statistics in relation to reporting requirements of the Privacy Act or in relation to studies on the developing trends of requests submitted under the Act. **Retention and Disposal Standards:** Information is retained for two years from the date of the most recent action on file, after which the records are destroyed. **PAC Number:** 86-001 **TBS Registration:** 001887 **Bank Number:** DOT PPU 067

Revenue Accounting and Control

Description: This bank is used for managing billing and accounts receivable systems to effect cost recovery on behalf of Transport Canada as well as the receipt and deposit of public money. Related information is maintained to administer these accounting responsibilities. **Class of Individuals:** Private sector individuals to whom invoices are issued to record their indebtedness to the Government of Canada. **Purpose:** Information is obtained for the billing system, the accounts receivable system, and receipt and deposit of public money. **Retention and Disposal Standards:** In accordance with the Government of Canada retention and destruction periods for financial records — six years. **PAC Number:** 83-004, 86-001 **TBS Registration:** 001593 **Bank Number:** DOT PPU 075

Staffing

Description: This bank contains applications for employment describing personal characteristics and histories of educational and working backgrounds of applicants. Some applications also contain unsolicited performance appraisals. This bank may also contain exams taken by applicants, and results of such exams. **Class of Individuals:** Public servants and the general public. **Purpose:** The purpose of this information bank is to maintain a record of candidates seeking employment in the Department. It is used to fill vacant positions in conformity with the Public Service Employment Act and Regulations. **Retention and Disposal Standards:** Files are retained for two years. **PAC Number:** 83-004, 86-001 **TBS Registration:** 001053 **Bank Number:** DOT PPU 060

Transport Canada, Safety and Security

Air Cushion Vehicle Personnel Certification

Description: This bank is a record of information related to the certification of crew members or engineers for employment on Air Cushion Vehicles granted in accordance with Part IV of Air Regulations. It contains names, dates of birth, technical education and qualifications of applicants, examination results and departmental certificates issued. **Class of Individuals:** All persons who have applied for certification as an Air Cushion Vehicle crew member or engineer. **Purpose:** The purpose of this bank is to provide documentation confirming that applicants are appropriately qualified, and certificated, in accordance with departmental requirements. **Consistent Uses:** To authenticate decisions relating to examination requirements and to provide a record of an individual's career progress. Information is normally provided only to the individual and his/her employer. Information relating only to the type and validity of a licence may be released to the general public in response to a specific request. **Retention and Disposal Standards:** Individual files are destroyed when the individual dies or reaches the age of 75. **PAC Number:** 77-018 **Related to PR#:** DOT MSS 240 **TBS Registration:** 002302 **Bank Number:** DOT PPU 039

Aircraft

Description: The bank contains the names, addresses and telephone numbers of actual and previous owners of aircraft registered in Canada, copies of aircraft registration certificates, i.e. bills of sale, lease agreements, conditional sales contracts and other legal documentation which testify to the legal custody and control of aircraft, completed application forms for the registration of aircraft and customs declaration documents up to 1983 (customs declaration was no longer required after 1983 for the purpose of registering aircraft) and any correspondence between Transport Canada and anyone in regard to matters concerning the registration of aircraft. **Class of Individuals:** Actual and previous Canadian registered owners of aircraft. **Purpose:** This information is used for the aircraft registration publication: The Canadian

Civil Aircraft Register, and its updating. The register is published by regulation and is used extensively for flight safety purposes, accident investigations, policing and other uses. **Consistent Uses:** The Civil Aircraft Register accessible to the general public. **Retention and Disposal Standards:** Files are microfilmed five years after cancellation; paper records are then destroyed, and microfilms are forwarded to the National Archives of Canada. **Related to PR#:** DOT DLC 100 **TBS Registration:** 001044 **Bank Number:** DOT PPU 010

Airport Restricted Areas Access Security Clearances

Description: This bank contains completed personal histories; summaries of Canadian Security Intelligence Service (CSIS) investigations, fingerprint cards, criminal histories; and correspondence related to the security clearances of individuals working in or applying to work in the restricted areas of airports. **Class of Individuals:** Individuals working in or applying to work in restricted areas of airports, who are not applying to work in or working for the federal government by way of appointment, assignment or contract. **Purpose:** The purpose of this bank is to record information pertinent to the determination of the appropriate level of security clearance for individuals working in or applying to work in a restricted area of an airport but who are not candidates for or employees of positions in the federal government requiring security clearances. In making decisions on levels of clearance, institutions may refer only to the information contained in this bank and not to investigative information contained in the CSIS bank. **Consistent Uses:** To support the decisions to allow access to restricted areas of airports. **Retention and Disposal Standards:** Records are destroyed two years following notification that access to the restricted areas of airports is no longer required. **TBS Registration:** 002868 **Bank Number:** DOT PPU 093

Airside Vehicle Operators Permit (AVOP)

Description: The bank contains personal information, related employment, evaluated knowledge and skill tests and results, related permit and security clearance number, copy of the permit issued and site endorsement records. **Class of Individuals:** All persons operating a vehicle without escort on that portion of an airport to which public access is normally restricted. **Purpose:** This bank is established to identify the location where records are held and to administer, monitor and control the issuance of airside vehicle operators permits at Canadian airports. **Consistent Uses:** Used in support of licence, suspension/revocation/court action requiring supporting evidence about the licence. **Retention and Disposal Standards:** Records are retained for the active life of the permit plus three years. **Related to PR#:** DOT AAG 085 **TBS Registration:** 001898 **Bank Number:** DOT PPU 085

Aviation Legislation Consultation

Description: This bank contains comments from the public on proposed aviation legislation received as a

result of publication of proposed legislation in the Canada Gazette, Part I, or on a solicited or unsolicited basis.

Class of Individuals: General public. **Purpose:**

Comments are obtained for the purpose of developing aviation legislation that is as responsive as possible to the needs of the aviation community and the public.

Retention and Disposal Standards: To be determined.

Related to PR#: DOT DEL 125 **TBS Registration:** 002300 **Bank Number:** DOT PPU 016

Aviation Licensing Database

Description: It contains personal characteristics, aviation training reports, routine medical reports, skills and licensing details on individual holders of civil aviation permits and licenses. Material on enforcement activities under the Aeronautics Act, and the Air Regulations and Air Navigation Orders is also included as well as occasional information related to enforcement under the Criminal Code. Pilot licence numbers are listed for search and rescue purposes. **Class of Individuals:** Air pilots, aircraft maintenance engineers, flight engineers, air traffic controllers, flight navigators, applicants for licences. **Purpose:** This bank is established to administer the issuance of Canadian Aviation Personnel licenses. It is used to monitor and control the issuance of licenses and permits. The list is used to issue Transport Canada safety and information publications to these individuals. **Consistent Uses:** Information on an individual's type of licence, ratings and validity date is released to the general public in response to a specific request. **Retention and Disposal Standards:** Individual files are destroyed when a person dies or reaches the age of 100. **PAC Number:** 80-029 **Related to PR#:** DOT DLC 095 **TBS Registration:** 001043 **Bank Number:** DOT PPU 005

Aviation Medical Licensing Data Bank

Description: This bank contains a summary of medical information, proficiency data, accident and enforcement data pertinent to the determination of fitness for aviation duties. **Class of Individuals:** Restricted to individuals with non-routine medical reports provided for licensing under the Aeronautics Act. **Purpose:** To ensure consistent application of medical standards to borderline cases from all regions. **Retention and Disposal Standards:** Files are retained for ten years. **PAC Number:** 80-029 **TBS Registration:** 001046 **Bank Number:** DOT PPU 020

Certificates of Competency and Service as Engineer at Sea

Description: This bank is a record of information on all certificates of Competency as Engineers or service granted in accordance with section 115 of the Canada Shipping Act. It contains names, dates and places of birth, grades and classes of certificates, places of examination and the dates of issue of certificates as issued to Canadian citizens, or permanent residents of Canada who passed the appropriate examinations. **Class of Individuals:** All persons who have made application for, or who hold a certificate of competency or service as Engineer at Sea. **Purpose:** The bank is used to confirm

that an individual is qualified to act in a particular capacity on board a ship and to ascertain that the individual may be named in an exemption. The bank is also used to facilitate the replacement of a lost certificate, etc.

Retention and Disposal Standards: There is an on-going review of individual files and if no related correspondence within the last 5 years, the file is destroyed. **Related to PR#:** DOT MSS 200 **TBS Registration:** 001899 **Bank Number:** DOT PPU 038

Certificates of Competency and Service as Master or Mate

Description: This bank is a record of information on all certificates of competency or service granted in accordance with section 111 of the Canada Shipping Act and to record information on all certificates issued pursuant to section 135 of the Act. It contains names, dates and places of birth, grades and classes of certificates with any endorsements, places of examination and dates of issue of certificates, for certificates issued to any Canadian or British subject or landed immigrant to Canada who has passed an examination for a certificate of competency as master or mate or obtained any certificate pursuant to section 232 of the Act. **Class of Individuals:** All persons who have made application for, or who hold a certificate of competency or service as master or mate on a Canadian ship. **Purpose:** The bank is used to confirm that an individual is qualified to act in any particular capacity; to ascertain if an individual may be named in an exemption and to replace lost certificates. **Retention and Disposal Standards:** There is an on-going review of individual files and if related correspondence within the last 5 years, the file is destroyed. **TBS Registration:** 001048 **Bank Number:** DOT PPU 030

Certificates of Competency as a Marine Engineer

Description: This bank records information on the issue of marine engineer certificates as required by section 126 of the Canada Shipping Act. It contains dates and places of birth, grades, types, numbers and dates of issuance of the certificate granted for any Canadian or landed immigrant who has passed the appropriate examination and has been granted a marine engineer certificate of competency. **Class of Individuals:** Canadian citizens, permanent residents of Canada and citizens of Commonwealth countries who wish to take Canadian Coast Guard marine engineering examinations. **Purpose:** The bank is used to ascertain that an individual has been granted a specific marine engineer certificate. **Retention and Disposal Standards:** Files are destroyed when the individual dies or reaches the age of 70. **PAC Number:** 77-018 **Related to PR#:** DOT MSS 200 **TBS Registration:** 001049 **Bank Number:** DOT PPU 035

Electrocardiographic (ECG) Records

Description: This bank functions as a central repository for electrocardiographic records for licensed aviation personnel. Data includes ECG records with or without interpretation records. **Class of Individuals:** Aviation personnel who require ECG's for licensing purposes.

Purpose: Licensing and research. **Retention and Disposal Standards:** Files are retained for 75 years. **PAC Number:** 80-029 **TBS Registration:** 001047 **Bank Number:** DOT PPU 025

Enforcement

Description: These files contain case histories of all individuals in enforcement actions. **Class of Individuals:** Persons identified in enforcement actions, whether or not they are holders of Canadian aviation documents, and foreign nationals, whether they are licence holders or not. **Purpose:** This information is used in the development of enforcement policies and procedures, functional supervision and auditing of enforcement activities by regional offices, communication with other federal departments, including the Department of Justice and the RCMP concerning enforcement of Part I of the Aeronautics Act and its regulations and various related administrative functions and for statistical purposes. **Retention and Disposal Standards:** Files are retained for five years or less where required by law or policy. **PAC Number:** 80-029 **TBS Registration:** 001045 **Bank Number:** DOT PPU 015

Level II Motor Vehicle Accident Data

Description: This bank contains information obtained through the process of motor vehicle collision investigations. Annually, approximately 1,000 collisions were randomly selected and investigated across Canada during the period 1982-1992 resulting in over 11,000 level II collision investigations. The data consists of: 26 files of information for each collision investigated including: vehicle type and damage description; driver, passenger and non-occupant condition (through interviews and police reports); personal injuries sustained (through interviews, police reports, hospital records and coroner information); vehicle defect information; safety devices in use, and, accident configuration data. **Class of Individuals:** Persons involved in motor vehicle collisions (occupants and non-occupants). **Purpose:** The intended use of the information is research into the causes of highway deaths and injuries to develop countermeasures for their prevention. This will not involve matching specific people with accident information. **Retention and Disposal Standards:** Records are retained for continuous use and will be held indefinitely. **Related to PR#:** DOT DTS 275 **TBS Registration:** 002693 **Bank Number:** DOT PPU 090

Records of Sea Service for Canadian Merchant Navy

Description: This bank contains individuals' names, dates and places of birth, record of vessels and periods of service for Merchant Seafarers. The records maintained by Transport Canada cover the period commencing 1948 to present date. Records in Transport Canada are in constant use. **Class of Individuals:** Canadian Merchant Seafarers. **Purpose:** This bank is to record information on Canadian Seafarers in order to provide statements of sea service when required by seafarers and other departments. **Retention and Disposal Standards:** Log books are

retained for 15 years in Transport Canada Archives, then forwarded to the National Archives of Canada for retention. Articles of Agreement are microfilmed in the Department at the end of every second year and the paper records are destroyed. Original rolls of microfilm are forwarded to the National Archives of Canada after 30 years. **PAC Number:** 77-018 **Related to PR#:** DOT MSS 200 **TBS Registration:** 001050 **Bank Number:** DOT PPU 040

Register of Ships

Description: This bank contains the names, addresses, occupations and citizenship of ship owners; and the names and addresses of mortgagors of ships. The bank also contains details on the ship, and on transfers of ownership, registrations and discharges of mortgages, etc. The Register may be inspected by any person for any reason. Copies of the Register may also be obtained.

Class of Individuals: Ship owners and ship mortgagees.

Purpose: The information was compiled to comply with section 7 of the Canada Shipping Act. The Register of Ships confers the right to fly the Canadian flag. Registered ships are entitled to the protection of the Crown and limitation of liability. The Register is a title system for ship ownership and registrations of mortgages. **Retention and Disposal Standards:** Files are retained for 20 years after closure of ship. **PAC Number:** 77-018 **Related to PR#:** DOT MSS 215 **TBS Registration:** 002304 **Bank Number:** DOT PPU 041

Registry of Imported Vehicle

Description: This bank contains information about the importation of vehicles from the United States into Canada. **Class of Individuals:** All persons importing vehicles from the United States into Canada. **Purpose:** To ensure that imported vehicles comply with the Canada Motor Vehicle Safety Standards. **Retention and Disposal Standards:** To be determined. **TBS Registration:** DOTPPU3938 **Bank Number:** DOT DTS 275

Special Motor Vehicle Accident Investigations

Description: These reports contain findings of studies done to evaluate new safety equipment i.e.: air bags/automatic seatbelts, and the potential hazard when vehicles collide with vehicles propelled by propane or natural gas (as well as public concern over accidents involving special circumstances/user groups, e.g. school bus accidents, heavy truck collisions, etc. **Class of Individuals:** All persons involved in such accidents (occupants and non-occupants). **Purpose:** The intended use of all data is to evaluate the effectiveness of safety standards and to keep abreast of safety problems that may arise due to new technologies and the ever-changing road travel environment. **Retention and Disposal Standards:** These reports will be held for at least five years. **TBS Registration:** 002691 **Bank Number:** DOT PPU 092

Vessel Licences

Description: This bank contains the name and address of the licence holder; and the length, breadth, depth and estimated tonnage of the vessel. **Class of Individuals:** Actual and previous owners of small commercial vessels. **Purpose:** The purpose of this bank is to issue licences to, and provide identification of, owners of small commercial vessels operating in Canadian waters, rivers and lakes, and to meet the requirements of the Small Vessel Regulations. Licenses are issued by Transport Canada. The information is also used for enforcement of safety regulations, search and rescue purposes, to provide safe boating information, etc. **Consistent Uses:** This bank is also used by: officers of federal/provincial departments for enforcing various statutes and regulations and the issue of special licences or permits; police authorities for enforcing safety regulations and identifying stolen and missing property; operators of canals and locks for collecting fees and regulating traffic; operators of marinas for assessing dues and fees for docking facilities; yacht brokers to establish ownership when purchasing or taking vessels as trade-ins; banks and other financial institutions to identify owners of vessels pledged as security for loans; insurance companies to establish ownership before settling claims resulting from theft, loss or damage. Information held on the bank may be divulged to provincial tax authorities in accordance with federal-provincial agreements. **Retention and Disposal Standards:** Records are retained indefinitely. **PAC Number:** 77-018 **Related to PR#:** DOT MSS 215 **TBS Registration:** 002305 **Bank Number:** DOT PPU 042

Manuals

- 1996-97 Transport Business Plan (TP-12494)
- A Canada — U.S.A. Cabotage Perspective (TP-11798)
- A Historical Look at the Federal Government's Involvement in Highway Infrastructure (TP-12799)
- A Model for Forecasting Air Travel Demand Between Canada and Abroad (TP-6184)
- A Practical Guide for New Canadian Entrants (TP-12479)
- A Profile of the Canadian Highway System 1987 (TP-8921)
- A.I.P. Canada (TP-2300)
- Access for All — Transport Canada's Policy on Accessible Transportation (TP-5014)
- Access to Information and Privacy Manual (TP-4126)
- Administrative Policy Manual (TP-104)
- Advisory Notice Guidelines for Training Criteria (TP-9554)
- Aeronautics Act Amendments, an Overview (TP-7748)
- Aeronautics and the Criminal Code (TP-8470)
- Aeroplane and Rotorcraft Simulator Manual (TP-9685)
- Aeroplane Pilot Training Record

- Aeroplane Private and Commercial Pilot Licences, including Helicopter to Aeroplane Pilot Licences (TP-5717)
- Aeroplanes EROPS/ETOPS (TP-6327)
- Air Carrier Advisory Circular (TP-9928)
- Air Carrier Certification Manual (TP-4711)
- Air Carrier Check Pilot Manual (TP-6533)
- Air Carrier Inspector Manual (TP-3783)
- Air Carrier Merger Procedures Guide (TP-9908)
- Air Carrier Policy Letters
- Air Command Weather Manual (Supplement) (TP-9353)
- Air Command Weather Manual (TP-9352)
- Air Navigation Orders (TP-718)
- Air Navigation Resource and Project Synopsis (TP-3135)
- Air Navigation System (TP-10458)
- Air Navigation System Plan (TP-10725)
- Air Regulations and Aeronautics Act (TP-524)
- Air Traffic Control Procedures and Pilot/Controller Responsibilities Examination (TP-2900)
- Air Traffic Review (TP-1715)
- Air Traffic Services Administration and Management Manual (ATSAMM) (TP-704)
- Aircraft Critical Surface Contamination — Examination Questions (TP-10615)
- Aircraft Maintenance Engineer Licence (TP-3043)
- Airport Traffic Directives for the Operation of Vehicles on Airport Movement Areas (TP-2633)
- Airworthiness Branch Annual Report
- Airworthiness Branch Bulletin Board System User Manual (TP-11497)
- Airworthiness Branch Procedures Manual (TP-11294)
- Airworthiness Directives (TP-7245)
- Airworthiness Inspection Notice
- Airworthiness Manual (TP-6197)
- Airworthiness Manual Amendment Status Report
- Airworthiness Notice (AN) (TP-11402)
- Airworthiness Notices (TP-4914)
- Airworthiness Policy Letters (26-0398)
- Airworthiness Programs Monthly Report
- Airworthiness Staff Instructions (26-0400)
- All Aboard, Travelling Without Barriers, Transport Canada's Role in the National Strategy for the Integration of Persons with Disabilities (TP-11297)
- AME Logbook (TP-9761)
- An Economic Model of Inter-Urban Traffic on the Canadian Highway Network (TP-12800)
- An Evaluation of the Accuracy of Aviation Activity Forecasts — Lester B. Pearson International Airport (TP-10830)
- An Evaluation of the Accuracy of Aviation Activity Forecasts for the Top 9 Airports (TP-10654)
- An Evaluation of the Forecasting Accuracy of Aviation Activity Forecasts (TP-9032)
- An Evaluation of the Forecasting Accuracy of the Domestic Module of PODM (Passenger Origin-Destination Model) (TP-8183)
- An Overview of the Railway Safety Act (TP-10199)
- An Update of the PTAM (Passenger Traffic Allocation Model) Objective Functions Coefficients (TP-6478E)
- Annual Statistics Report
- Annual Training Plan (TP-6915)
- ANS Policies (TP-9474)
- ARASS User Manual (TP-11571)
- Assets Management Disposal System User Manual (TP-1134)
- Atlantic Region Freight Assistance Program 1992 Database Development (TP- 2153)
- Atlantic Region Freight Assistance Program Information Paper (TP-12105)
- ATS Management Directive (ATMD) (TP-704)
- Authorized Approach Unicom (TP-11396)
- Aviation Aggregate Demand Indicators (TP-9589)
- Aviation Industry Review (TP-9764)
- Aviation Occupational Safety and Health Compliance Manual (TP-7886)
- Aviation Occupational Safety and Health Regulations SOR/94-34
- Aviation Regulation Available Publications (TP-12413)
- Aviation Regulation Directives (TP-8749)
- Aviation Regulation Handbook (TP-8618)
- Aviation Regulation Planning Overview (TP-10472)
- Aviation Regulation Working for your Safety (TP-11645)
- Aviation Regulation's Regulatory Philosophy (TP-12462)
- AWARE — Aviation Weather All Ready for Emergency
- AWOS (Automated Weather Observation System) (TP-12331)
- Balloon Pilot Licence Including Flight Instructor Rating (TP-10087)
- Behind the Words (TP-11547)
- Bilateral Airworthiness Agreements and Arrangements (TP-8910)
- C.C.A.R.C.S. User Manual
- Cabin Safety Standard Guidelines
- Cabin Safety Technical Standards (TP-12295)
- CAIS User Guide (TP-9719)
- Calendar of Courses — Cornwall/Sydney (TP-920)
- Canada's International Air Transportation Policy (TP-12276)

- Canada's National Highway System: A Description (TP-12833)
- Canadian Aeronautics Code (TP-10743)
- Canadian Air Carrier Certification Manual (TP-4711)
- Canadian Airworthiness Directives (TP-9856)
- Canadian Aviation Regulations (Posters) (TP-11973)
- Canadian Commercial Air Service Operations Certification Requirements and General Information (TP-8880)
- Canadian NOTAM Procedures (TP-973)
- Canadian Road Safety and Public Highway Infrastructure (TP-12801)
- Canutec — Canadian Transport Emergency Centre (TP-2553)
- CARAC Management Charter and Procedures (TP-11733)
- CARAC Newsletter (TP-11766)
- Civil Aeronautics Jurisprudence (TP-4311)
- Civil Aviation Daily Occurrence Reporting System CADORS (TP-4044)
- Civil Aviation Terminology Manual (TP-7762)
- Code of Nautical Procedures and Practices (TP-1018)
- Collision Regulations, Office Consolidation (TP-10739)
- Combination of Forecasts (TP-6624)
- Commercial Vehicle Safety in Canada (TP-10102)
- Community Aerodrome Radio Stations — 1 — Observer/Communicator Operating Instructions/Guidelines (TP-3323)
- Community Aerodrome Radio Stations — 2 — Observer/Communicator Certification Program (TP-3323)
- Community Aerodrome Radio Stations Administration Manual (TP-10064)
- Company Routes and Instrument Approach Procedures (TP-8769)
- Compliance Procedures Manual (TP-4751)
- Continuing Airworthiness Monthly Report (TP-7403)
- Control Tower Site and Design Standards (TP-210)
- Dangerous Goods — Guide to Initial Emergency Response 1992 (TP-7341)
- Dangerous Goods Inspectors Manual (TP-385)
- Defect Investigation Procedures (TP-6891)
- Design Standards for Advanced Ultralight Aeroplanes (TP-10141)
- Digest of Civil Aeronautics Jurisprudence (TP-4312)
- Direct User Access Terminal System (DUATS) (TP-9198)
- Diving on Shipwrecks
- Engineering and Inspection Manual, Parts I and II (TP-51-2-1-2)
- Equivalent Standards for Fire Protection of Passenger Ships
- Examination and Certification of Masters and Mates (Publication Examination — 1979)
- Feed-back — General Aviation Service Difficulty Reports (TP-6980)
- Final Report on the Use of Annual Inputs in PTAM (Passenger Traffic Allocation Model) (TP-6334)
- Financial Policy and Procedures Manual (TP-117)
- Finding the Sun's True Bearing (TP-784)
- First Aid Training Standard
- Flight Attendant Manual (TP-3693)
- Flight Attendant Manual Standard (TP-12297)
- Flight Attendant Training Standards (TP-12296)
- Flight Engineer Licence (TP-4381)
- Flight Instructor Guide — Night Flying (TP-975)
- Flight Instructor Guide (TP-975)
- Flight Instructor Rating — Aeroplane (TP-2810)
- Flight Instructor's Guide — Part I (TP-4417)
- Flight Navigator Licence (TP-3614)
- Flight Operations Manual — Helicopters (TP-3493)
- Flight Operations Manual, Fixed Wing Aircraft (TP-3463) Flight Operations Manual, Helicopters (TP-3493)
- Flight Services Specialist Supplementary Training — Aviation Weather Information Service
- Flight Test Guide — Flight Instructor Rating — Aeroplanes, Helicopters, Aerobatic (TP-5537)
- Flight Test Guide — Instrument Rating (TP-9939)
- Flight Test Guide — Private and Commercial Pilot Licence Aeroplanes (TP-2655)
- Flight Test Guide — Private and Commercial Pilot Licence Helicopters (TP-3077)
- Flight Test Standards and Guide — Multi-Engine Class Rating Aeroplane (TP-219)
- Flight Training Manual (TP-1102)
- Flying the Alaska Highway in Canada (TP-2168)
- Flying the Weather VFR (TP-3115)
- Foreign Air Carrier Certification & Procedures Manual (TP-11524)
- Freight Transportation Trends and Forecasts (TP-12388)
- Glider Pilot Licence (TP-876)
- Guidance Manual for Application of Air Navigation Order, Series 1, No. 2 (TP-3640)
- Guide for Completion of Dangerous Occurrence Report (TP-7046)
- Guide for Designated Flight Test Examiners (TP-2654)
- Guide to Air Ambulance Operations (TP-10839)

- Guidelines and References for the Development and Standardization of Dangerous Goods Training Programs for Air Transport in Canada (TP-12208)
- Handling, Offering for Transport and Transporting Dangerous Goods (TP-10165)
- Helicopter Flight Instructor's Guide (TP-4818)
- Helicopter Flight Training Manual (TP-9982)
- Helicopter Maintenance Engineer Shipboard Manual (TP-4986)
- Helicopter Pilot Training Record
- Helicopter Study and Reference Guide for Private and Commercial Pilot Licences, including Aeroplane to Helicopter Pilot Licence (TP-2476)
- Highlights of Transport Canada's Program on Transportation of Disabled Persons (TP-10467)
- Highway Benefit-Cost Analysis: A Review of Evidence (TP-12790)
- Highways and Logistics and Production Performance (TP-12791)
- Holding Criteria Document (TP-345)
- Hydraulics Research Centre
- ICAO Accident Prevention Manual
- ICAO Annex 13
- ICAO Investigation Manual
- ICAO Search and Rescue Manual
- IDFS Procedures Manual (TP-11906)
- Importation of Aircraft Into Canada
- Index of Airworthiness Directives Applicable in Canada (TP-9857)
- Index to Notices to Mariners (annual)
- Information Management Manual (TP-11414)
- Information Management Methodology Manual (TP-11503)
- In-House Definitions Manual (TP-1369)
- Inspection Instructions
- Instructor Guide Multi-Engine Class Rating (TP-11575)
- Instrument Procedures Manual (TP-2076)
- Instrument Rating (TP-691)
- Intelligent Transportation Systems and Highway Infrastructure (TP-12836)
- Internal Audit Manual
- International Code of Signals (TP-2323)
- Joint Canada/US Marine Pollution Contingency Plan
- Joint Industry — Coast Guard Guidelines for the Control of Oil Tankers and Bulk Chemical Carriers in Ice Control Zones of Eastern Canada
- Land Use in the Vicinity of Airports (TP-1247)
- Liability through the Exercise of Delegated Authority (TP-11825)
- List of Civil Aviation Publications (TP-3680)
- List of Flying Clubs and Schools
- List of Lights, Buoys and Fog Signals (4 Vol: Atlantic, Newfoundland, Inland, Pacific)
- List of Wrecked Vessels
- Macroeconomic Performance and Public Highway Infrastructure (TP-12792)
- Making Presentation (TP-9997)
- Managers Guide to Contracting (TP-10822)
- Managers Handbook on Operating Budgets (TP-11563)
- MANOPS — Manual of Operations (TP-703)
- Manual of Equipment, Part 2: Operational Information Display (TP-1057)
- Manual of Equipment, Part I: Radar (TP-541)
- Manual of Regulatory Audits (TP-8606)
- Manual of Special Aviation Events (TP-389)
- Manual on Airside Vehicle Operators Permit (AVOP) System (TP-7596)
- Manuscript Standards and Guidelines for Transport Canada Publications (TP-4529)
- Master Index of Telecommunications and Electronics Standards and Procedures (TP-923)
- Material and Contracting Services Manual (TP-103)
- Material Management Manual (TP-103)
- Micro Computer NEF System Users Manual (TP-6907)
- Minister's Observer Manual (TP-11776)
- Minister's Representative Manual — Aviation Group Procedures for Responding to CASB Investigations
- MMEL/MEL Policy and Procedures Manual (TP-9155)
- Mobility Plus — A transportation newsletter for the disabled community (TP-7115)
- Models for Forecasting Hours Flown (TP-7388)
- Models for Forecasting Regional Air Passengers and Itinerant Aircraft Movements (TP-7389)
- Motor Vehicle and Mobile Equipment Manual (TP-123)
- Multiple Ownership of Canadian Aircraft
- Navigating Appliances and Equipment Standards (TP-3668)
- Objectives, Organization and Policies Document, Volume III, (TP-1838)
- Open Line — Aviation Regulation Monthly Employee Newsletter (TP-11355)
- Operating Costs of Trucks in Canada — 1990 (TP-921)
- Operational Safety Review — Proposed VFR Visibility Limits (TP-12255)
- Operational Safety Review — Remote Flight Information Services — Goose Bay Flight Service Station (TP-12485)
- Operational Safety Review — Risk Associated with NDB Approaches and Circling Procedures in Canada (TP-12304)

- Operations Manual Guide
- Orientation Handbook (TP-10802)
- Passenger Safety Standards Inspectors Manual
- Passenger Safety Standards Manual
- Personnel Bulletins — Transport Canada (TP-1030)
- Personnel Licensing General Information (TP-2934)
- Personnel Licensing Handbook: Volume 1 — Flight Crew (TP-193), Volume 2 — Aircraft Maintenance Engineers and Air Traffic Controllers (TP-194), Volume 3 — Medical Requirements (TP-195)
- Personnel Licensing Medical Procedures Manual (TP-1794)
- Personnel Licensing Procedures Manual (TP-2943)
- Pilot (Tourist) Flight Information Canada (TP-771)
- Pilot Decision-making Manual for Private Pilot Training (TP-8940)
- Plan for the Security Control of Air Traffic and Air Navigation Aids (SCATANA) (TP-1258)
- Plastic Piping Standards (TP-1083)
- PODM: Air Passenger Origin-Destination Forecasting Model (TP-2195)
- Policy Manual on the Acquisition and Maintenance of Furniture and Furnishings
- Post Flight (TP-9230)
- Printing Standard for Transport Canada Publications (TP-6144)
- Procurement Manual (TP-6444)
- Project Management Policy and Guidelines Manual (TP-3867)
- PTAM: The Passenger Traffic Allocation Model Summary Report (TP-2411E)
- Publication Standard for Transport Canada Manuals (TP-6000)
- Publishing Guide (TP-6864)
- Quality Assurance Review Manual — Aviation Occupational Safety & Health
- Quality Assurance Review Manual — Compliance Operations
- Radar Flight Check Manual (TP-1334)
- Railway Safety Officers Pamphlet (TP-11359)
- Records Management Users Guide (TP-1296)
- Records Office Procedures Manual (TP-2331)
- Recreational Pilot Permit — Aeroplane (TP-12467)
- Recreational Pilot Permit (TP-12475)
- Regulatory Compliance Manual (TP-3352)
- Review of Subsections 8(3) to 8(5) of the Motor Vehicle Transport Act, 1987 (TP-11283)
- Road Infrastructure Expenditures, Fuel Taxes and Road related Revenues in Canada (TP-12795)
- Routing Standards (TP-1802)
- Rule-Making Policies and Procedures Manual (TP-2713)
- SAFE Manual (System Analysis and Functional Evaluation)
- Sample Examination — Glider Pilot Licence (TP-877)
- Sample examination for Private Pilot Licence — Aeroplane (TP-2894)
- Security and Emergency Planning Group Inspection and Enforcement Program
- Self-Paced Study Package for the Flight Instructor Guide — Section I (TP-4416)
- Senior Commercial and Airline Transport Pilot Licence (TP-690)
- Senior Management Meeting Report (TP-8227)
- Service Difficulty Advisory (TP-7394)
- Service Difficulty Alert (TP-7244)
- Service Difficulty Reporting — POSTER (TP-11323)
- Ship Safety Bulletin (TP-3231)
- Ship Safety Electrical Standards (TP-127)
- Sport Parachuting (TP-5668)
- Standard for the Control of Gas Hazards in Vessels to be Repaired or Altered (TP-3177)
- Standards for Navigation lights, shapes, sound signals and radar reflectors, 1991 (TP-1861)
- Standards relating to design, construction and operational safety of dynamically supported craft in Canada (TP-5579)
- Standards Respecting Mobile Offshore Drilling Units (MODU) (TP-6472)
- Standards Respecting Noise Control and Hearing Protection in Canadian Towboats over 15 Tons, Gross Tonnage (TP-3685)
- Starting a Commercial Air Service (TP-8880)
- Structural Fire Protection Standards: (1) Testing and Approval Procedures (2) List of Approved Products (TP-439)
- Study and Reference Guide — Aeroplane Private and Commercial Pilot Licences including Helicopter to Aeroplane Pilot Licences (TP-5717)
- Study and Reference Guide — Air Law and Procedures, Class “E” Airspace (TP-11408)
- Study and Reference Guide — Airline Transport Licences — Helicopter (TP-11954)
- Study and Reference Guide — Airline Transport Pilot Licences, Aeroplane (TP-690)
- Study and Reference Guide — For Student Pilot Permit or Private Pilot Licence for Foreign and Military Applicants Air Regulations (TP-11919)
- Study Guide — Helicopter Theory of Flight (TP-2552)
- Suggested Program — Recreational Pilot Flight Training Syllabus (Draft)

- Summary Report Atlantic Region Freight Assistance Program Information Paper (TP-12173)
- System Development Guide (TP-10605)
- System Owner's Guide (TP-10606)
- System Safety Operations Manual (TP-10028)
- System Safety Review — Commercial Use of the KA-32A Helicopter (TP-12760)
- System Safety Review — Procedures for the Collection, Verification and Dissemination of Aeronautical Information (TP-12802)
- System Safety Review — Revised National Audit Plan FY96/97 (TP-12699)
- System Safety Review — Sioux Lookout Air Operations (TP-12825)
- System Safety Specialist On-The-Job Training Guide (TP-12123)
- TDC Operational Plan Framework and Detailed Plan (TP-5474)
- Technical Services Standards and Procedures
- Telecommunications and Electronics Standards and Procedures (TESP's)
- TERMPOL Code (2nd edition) Code of Recommended Standards for the Safety and Prevention of Pollution for Marine Transportation Systems and Related Assessment Procedures
- The 1980 Canadian General Aviation Activity Survey Summary Report (TP-3221)
- The Accuracy of Some Socioeconomic Forecasts used as Input to PODM (Passenger Origin-Destination Model) (TP-9400)
- The Aeronautics Act Amendments: An Overview (TP-7748)
- The C.A.T. Program
- The Canadian Civil Aircraft Register (TP-220)
- The Canadian General Aviation Dynamics Model (TP-3775)
- The Civil Aviation Tribunal TCAG Staff Guidelines (TP-4312)
- The National Safety Code for Commercial Trucks and Buses (TP-9239)
- The Recalibrated Passenger Origin-Destination Model (PODM) Summary Report (TP-3215)
- The Relationship of Quality of Service to Air Travel Demand (TP-4907)
- The Reshaping of Aviation Regulations (TP-11979)
- The Respecification and Recalibration of PODM (Passenger Origin-Destination Model) (TP-7327)
- The Socio-Economic Context of The Canadian Road and Highway System (TP-12793)
- Toward 2000 with Aviation Regulation (TP-11379)
- Transport Canada — System Development Life Cycle Standards and Guidelines (TP-2814)
- Transport Canada Alert Book (TP-8550)
- Transport Canada Approved Organizations (TP-3743)
- Transport Canada Aviation Forecast Conference Proceedings — November 1992 (TP-9587)
- Transport Canada Aviation Forecasts (TP-7960)
- Transport Canada Aviation Forecasts 15 Federally-Dependent Airports (TP-10767)
- Transport Canada Aviation Management Guide (TP 10115)
- Transport Canada Corporate Directions (TP-6620)
- Transport Canada In-House Training and Development Program Calendar NCR (TP-2038)
- Transport Canada National Emergencies Book (TP-8231)
- Transport Canada Personnel Manual (TP-116)
- Transport Canada Security Manual (TP-789), and related Security Bulletins
- Transport Canada Vital Points Manual
- Transportation Development Centre (TDC) Annual Review (TP-3230)
- Transportation Development Centre (TDC) Project Directory (TP-1936)
- Transportation Development Centre (TDC) Project Procedures Manual (TP-2108)
- Transportation Development Centre (TDC) Publication Standards and Guidelines for Contractors (TP-929E)
- Transportation Development Centre (TDC) R & D Update (TP-10913)
- Transportation Development Centre (TDC) Research and Development Operational Plan (TP-5474)
- Transportation Development Centre (TDC) Selected R&D Achievements (TP-8785)
- Transportation of Dangerous Goods Directorate Pamphlet (TP-3105)
- Transportation of Dangerous Goods Newsletter (TP-2711)
- Transportation of Disabled Persons Program — Operational Plan (TP-10101)
- Ultra-light Aeroplane and Hang Glider Information Manual (TP-4310)
- Use of Automobile Gasoline (MOGAS) in Aviation (TP-10737)
- Valuation of the Canadian Road and Highway System (TP-12794)
- Vulnerability of Bridges in Canadian Waters
- Warehouse Manual (TP-7817)
- When in Doubt...Ground Crew. Aircraft Critical Surface Contamination Training (TP-10647)
- When in Doubt...Small and Large Aircraft. Aircraft Critical Surface Contamination Training (TP-10643)

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

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Reading Room

An area in the Office of the Access to Information Coordinator (see address in Introduction) has been designated under the Access to Information Act as a public reading room. Arrangements to view documents can also be made with the Regional offices listed above.

Transportation Safety Board of Canada

Chapter 102

General Information

Background

The Canadian Transportation Accident Investigation and Safety Board Act was proclaimed on 29 March 1990, establishing an independent multi-modal agency which is known by its applied title, "Transportation Safety Board of Canada (TSB)".

The TSB's sole object is the advancement of transportation safety. It has a mandate to do so in a number of ways, chiefly by conducting independent investigations and studies in order to identify transportation safety deficiencies and make recommendations designed to eliminate or reduce these deficiencies.

With the Board, transportation accident investigation and related functions are carried out by an agency which is independent of the government agencies responsible for the regulation and operation of transportation facilities and services.

Responsibilities

The Board's responsibilities are set out in the Canadian Transportation Accident Investigation and Safety Board Act.

The object of the Transportation Safety Board is to advance transportation safety. It is to do this by: a) conducting independent investigations and if necessary, public inquiries into air, marine, rail and pipeline occurrences in order to make findings as to their causes and contributing factors; b) reporting publicly on its investigations and public inquiries and on the related findings; c) identifying safety deficiencies as evidenced by transportation occurrences; d) making recommendations designed to eliminate or reduce any such safety deficiencies; and e) initiating and conducting special investigations and studies on matters pertaining to safety in transportation.

When it investigates an occurrence, the Transportation Safety Board has the exclusive jurisdiction to investigate for the purpose of making findings as to the causes and contributing factors; other federal agencies cannot investigate for this purpose when the TSB is investigating. The Board reports publicly on its investigations and public inquiries and on the related findings. Board reports must, wherever possible, include safety recommendations.

In making its findings as to causes and contributing factors of a transportation occurrence, it is not the function of the Board to assign fault or determine civil or criminal liability. But, the Board must not refrain from fully reporting on the causes and contributing factors merely

because fault or liability might be inferred from its findings. The Act provides that no finding of the Board shall be construed as assigning fault or determining civil or criminal liability, and the findings of the Board are not binding on the parties to any legal, disciplinary or other proceedings.

The Board reports annually to Parliament through the President of the Queen's Privy Council for Canada.

Legislation

- Canadian Transportation Accident Investigation and Safety Board Act
- Canadian Transportation Accident Investigation and Safety Board Regulations

Organization

Executive Director

The Chairperson has delegated to the Executive Director the role and functions of a Chief Operating Officer. As such he is responsible for seeing to the day-to-day management and coordination of all TSB's activities and operations to ensure they are conducted effectively and efficiently; included are responsibilities for the acquisition and allocation of human and financial resources, and the provision of ongoing support and advice to the Chairperson.

The Board

The Statute provides for a Board consisting of up to five full-time members, including the Chairperson. The Act requires that members be collectively knowledgeable about marine, commodity pipeline, rail and air transportation. They are appointed by the Governor in Council and supported by a public service staff. Members' duties include establishing policies respecting the classes of occurrences to be investigated and policies to be followed in the conduct of investigations, reviewing investigation reports, determining findings as to causes and contributing factors, identifying safety deficiencies and making safety recommendations. Reporting to the Chairperson is the Executive Director.

♦ Accident Prevention

This branch is responsible for analysing those systemic weaknesses in federally regulated marine, rail, pipeline or aviation operations which put persons, property or the environment at risk; for developing, coordinating and monitoring the implementation of recommendations and other forms of safety action designed to eliminate or reduce any such safety deficiencies. To fulfill these functions, Accident Prevention maintains modal specific data bases, carries out statistical analyses of these data

and responds to queries for transportation occurrence data. It is also responsible for the management of a multimodal voluntary confidential occurrence reporting program (known as SECURITAS) and for developing the confidence of the transportation community in the use of this program.

◆ Air Investigation Branch

The Director of this Branch has exclusive responsibility to direct the conduct of air investigations on behalf of the Board. The investigators are experienced pilots, aircraft maintenance engineers, or air traffic controllers.

◆ Communications

This division is responsible for providing advice with respect to the formulation of TSB communications policies, for establishing and implementing TSB communications strategies and plans through such activities as making public the results of the Board's work, and preparing the TSB's printed products (e.g. occurrence reports and the TSB's monthly safety digest REFLEXIONS) for publication and distribution. It keeps the TSB informed on the public response to current transportation safety issues and it provides communications support to the agency in meeting public and media information requests during major investigations. It also maintains the TSB's home page on the Internet.

◆ Corporate Services Branch

This Branch assists operating directorates in achieving efficient, effective and economical delivery of departmental programs through provision of administrative, financial and human resource services and controls. This Branch is also responsible for the activities of the Secretariat.

◆ Engineering Branch

This Branch specializes in laboratory testing and analysis of parts, structural components, systems, instruments, and wreckage of materials and components from transportation occurrences. Additional responsibilities include underwater search and recovery of wreckage, documentation of occurrence sites, and the extraction and interpretation of data from on-board voice and data records. The Engineering Branch has also developed expertise in document restoration, image analysis, and new investigation techniques based on photogrammetric and remote sensing technology.

◆ Finance and Administration

This sector provides service to the agency in financial and administrative functions. It is responsible for services and advice to management and for financial reporting and control. It also provides the framework for administrative activities such as materiel management, corporate information holdings, security and reception. It ensures that there are enough controls to assure the public that these responsibilities of the agency are effectively and efficiently administered.

◆ Human Performance

This activity consists of the behavioural and ergonomic aspects of the broad field known as human factors. It encompasses such considerations as sensory perception and reaction, training, crew coordination, the impact of fatigue or stress, as well as interactions among humans and between humans, machines and the environment. A head office unit provides standards and training for investigators and analysts and assists in the conduct of complex investigations and safety deficiency analyses.

◆ Human Resources

Provides a full range of personnel services and controls to management and employees of the Board. This includes advice and support to senior management on staff relations, human resources and career planning, staffing, classification, pay and benefits, official languages, management and professional development, program development and policy interpretation. Human Resources coordinates an employee assistance program to advise managers on supervisory problems and a counselling service to assess, treat and resolve problems in order to restore the employee's performance to an optimum level.

◆ Informatics

This division develops policies and procedures for all aspects of the TSB's informatics needs, providing technical advice on such aspects as hardware and software acquisition, applications development and provides system support and facilities management and operations for the TSB's national network; this includes the development and technical operation of Canada's transportation occurrence databases and all other computer systems required in support of the Board's investigative, analytical, engineering and administrative activities.

◆ Investigation Operations Directorate

The Directorate is responsible for all aspects of the agency's marine, rail, commodity pipeline and air transportation safety investigations. The Directorate is divided into five branches:

◆ Legal Services

This area provides services with respect to all legal matters which arise in connection with TSB activities, including services and advice in connection with proceedings by or against the Board in legal or other proceedings.

◆ Marine Investigation Branch

The Director of this Branch has exclusive responsibility to direct the conduct of marine investigations on behalf of the Board. Marine investigators are experienced master mariners, chief engineers, or naval architects.

◆ Rail and Commodity Pipeline Investigation Branch

The Director of this Branch has exclusive responsibility to direct the conduct of rail and commodity pipeline investigations on behalf of the Board. Rail investigators are experienced in the many disciplines of rail operations (locomotive operations, equipment design and maintenance, roadbed, tracks and signals, operating rules, and dangerous goods). A Commodity Pipelines investigator must be familiar with pipeline design and operations as well as dangerous goods matters.

◆ Safety Analysis and Communications Directorate

This Directorate is responsible for identifying and analysing safety deficiencies as evidenced by transportation occurrences and for proposing corrective safety action. It is also responsible for communicating the results of the Board's work to the public and for establishing and maintaining the informatics infrastructure to support the TSB's investigative, analytical and administrative functions. Specifically, this directorate is responsible for the following activities:

◆ Secretariat

This sector is responsible for managing administrative activities related to the preparation and coordination of Board meetings, the administrative support required by the Board for the conduct of public inquiries, and for the coordination of report production. It is also responsible for administering the Access to Information Act and the Privacy Act including informal requests for information, and for the administration of the Harassment policy.

Information Holdings

Program Records

Administrative Services

Description: Information relating to administrative and managerial services, acts and legislation, associations, committees, conferences, meetings etc. **Topics:** Administration — general, administration organization — general, access to information and privacy acts, acts and legislation — general, — departmental, — federal, agreements, appreciation, complaints, inquiries, associations, clubs, societies — general, campaigns, directives, orders, committees — general, — departmental, — interdepartmental, conferences, meetings, symposia, seminars, — general, — departmental, — interdepartmental, communications — general, — telephone, cooperation and liaison — general, — federal/provincial, — international, — universities, colleges, schools etc corporations, companies, firms, correspondence management — general, data processing, emergency measures, forms management, records management, library services, buildings and

properties, equipment and supplies, contracts. **Program Record Number:** TSB ASD 630

Air Investigations

Description: Information relating to the conduct and reporting of aviation occurrence investigations. **Topics:** Aviation safety investigation policy, aviation safety investigation — general, public inquiries, designation of investigator, flight recorders, major occurrence readiness, observer status, international co-ordination (e.g. ICAO, ITSA), accident/incident — aircraft occurrence investigations, ATS/FSS investigations. **Program Record Number:** TSB ASI 200

Corporate Planning

Description: Information in support of the corporate planning system development and operation as well as information pertaining to corporate studies and assignments. **Topics:** Corporate planning systems and strategic directions. **Program Record Number:** TSB CPP 100

Engineering Branch

Description: Information in support of multi-modal transportation investigations relating to specialized laboratory testing and analysis of parts, structural components, systems, instruments and wreckage; underwater search and recovery of wreckage; documentation of occurrence sites; and the extraction and interpretation of data from voice and data records. **Topics:** Engineering Branch — general, EDP holdings, projects from other government departments, management support and technology development projects, projects from private Canadian and foreign companies and foreign governments. **Program Record Number:** TSB ELI 500

Financial Services

Description: Information relating to financial management, annual budget and person-year utilization. **Topics:** Annual Statement of Operations, annual budget (O&M), annual budget (capital), person-year utilization report, supplementary estimates, schedule of delegated signing authority. **Program Record Number:** TSB FSB 640

Legal Services

Description: Information relating to administration of legal matters, opinions and decisions, powers of attorney, commissioner of oaths, affidavits etc. **Topics:** TSB Act and proposed Regulations, Memoranda of Understanding. **Program Record Number:** TSB LSB 620

Marine Investigations

Description: Information relating to the conduct and reporting of marine occurrence, (accidents, incidents, casualties), investigations. **Topics:** Marine casualty investigations — general, instructions, studies — commercial, fishing, passenger, occurrence reporting — general, marine occurrences — general, marine

occurrence — investigations. **Program Record Number:** TSB MSI 300

Personnel Services

Description: Information relating to the employment of members of the public service of Canada, documentary forms, personal files, correspondence regarding policy including attendance, establishment, leave, holidays, salaries and wages, promotions, training of staff etc.

Topics: Personnel — general, personnel — personal records, appointments — general, audits, awards and honours, classification, employment and staffing, human resources, occupational safety and health, official languages, pay and benefits, reports and statistics, staff relations, studies, surveys, systems, etc. **Program Record Number:** TSB PSB 650

Railway/Pipeline Investigations

Description: Information relating to the conduct and reporting of rail/pipeline investigations. **Topics:** Occurrence investigations — railway, occurrence investigations — pipeline, other, dangerous goods, observer status, powers of investigators, public queries, railway reporting requirements, summary of railway accidents. **Program Record Number:** TSB RPI 400

Safety Analysis and Communications Directorate

Description: Information relating to transportation safety deficiencies, safety recommendations, and advisories; occurrence data, statistics, macro analyses and special studies; human performance evaluations; confidential voluntary occurrence reporting; public and internal communications and TSB informatics systems.

Topics: Transportation Safety — general, air safety — general, marine safety — general, rail/pipeline safety — general, specific safety recommendations, specific aviation safety advisories, specific marine safety advisories, specific railway safety advisories, specific pipeline safety advisories, specific aviation safety information letters, specific marine safety information letters, specific railway/pipeline safety information letters, confidential transportation safety reporting system (SECURITAS) — general, SECURITAS — air, marine, and rail; safety studies — general, marine, rail, pipeline, and air; human performance — general, human performance/ICAO Study Group, human performance investigations; communications policies and strategy, internal and external TSB communications, annual report to Parliament, TSB's safety digest (REFLEXIONS), Internet Home Page; informatics systems; transportation occurrence data, statistical summaries and analyses. **Program Record Number:** TSB SPD 610

Secretariat

Description: Information relating to agendas and minutes of Board meetings, public inquiries, report production, harassment policy, information inquiries and access to information and privacy. **Program Record Number:** TSB BSS 660

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Audits

Budgets

Buildings

Buildings and Properties

Co-operation and Liaison

Equipment and Supplies

Finance

Furniture and Furnishings

Lands

Office Appliances

Procurement

Utilities

Vehicles

Personal Information Banks

Access to Information and

Privacy Requests Data Bank

Description: This bank contains request forms and letters sent by individuals to the Board under the Access to Information Act and Privacy Act. The bank also contains replies made to such requests, and all records related to the processing of these requests, including, if applicable, exemptions claimed, intervention of third parties, complaints received and reports and recommendations of the Information and Privacy Commissioners. **Class of Individuals:** All applicants, under the Access to Information or Privacy Act, for information controlled by the Board. **Purpose:** The purpose of this bank is to store information about requests made under the Access to Information Act and the Privacy Act. **Consistent Uses:** Compiling statistics relating to the administration of the Acts. **Retention and Disposal Standards:** Two years after last administrative action. **TBS Registration:** 002979 **Bank Number:** TSB PPU 015

Applications for Employment

Description: This bank contains applications for employment with the Board. These requests usually consist of a letter and curriculum vitae. Applications are examined and considered for available or future positions. **Class of Individuals:** General Public. **Purpose:** This information was not solicited but was provided voluntarily by individuals seeking employment with the Board. **Retention and Disposal Standards:** These records are

retained in the Board for one year, then destroyed. **TBS Registration:** 002980 **Bank Number:** TSB PPU 010

Confidential Reporting

Description: This bank was established to encourage members of the aviation transportation community to report hazards and possible safety deficiencies with a view to preventing accidents and incidents. **Class of Individuals:** Pilots and other crew members, mechanics, ramp employees, air traffic controllers etc and the general public. **Purpose:** To prevent accidents and incidents.

Consistent Uses: Compiling statistics analyzing information and drafting Recommendations designed to prevent accidents and incidents. **Retention and Disposal Standards:** 20 years; but, pursuant to the Regulations, information provided to the Board on the identity of the reporter is returned within ten clear days from receipt of the report and no record of the identity of the person making the report, or any information that could reveal his or her identity is retained. **TBS Registration:** 002977 **Bank Number:** TSB PPU 020

Investigation Files — Marine, Rail, Pipeline, Air

Description: Accident/incident files contain information gathered during the course of an investigation, and may contain personal information on individuals. During the field phase, all basic information is documented. Safety deficiency notifications are prepared, laboratory examinations are requested to test and analyze material and metallurgical component failures, and when required, specimens from surviving or deceased crew are analyzed at a medical laboratory. **Class of Individuals:** Individuals involved in transportation occurrences. **Purpose:** The purpose of this bank is to enhance existing transportation safety programs by identifying safety deficiencies, by identifying anomalies and trends, and by making recommendations designed to eliminate or reduce safety deficiencies. **Consistent Uses:** Compiling statistics relating to the administration of the Act. **Retention and Disposal Standards:** Files are kept for 20 years. **TBS Registration:** 002981 **Bank Number:** TSB PPU 005

Mailing Lists

Description: This bank contains mailing lists with the names and addresses of individuals, pilots, academics, media organizations, firms, companies, etc. **Class of Individuals:** Pilots, marine masters, engineers, academics, government officials, flying training schools and the general public. **Purpose:** To maintain standard lists of individuals, organizations, groups, businesses etc for the purpose of mailing publications, reports, news letters, press release and other documentation of

Board activities and programs. **Consistent Uses:** The information is used to mail and distribute documentation to individuals on the mailing lists. **Retention and Disposal Standards:** Records are updated continuously to keep abreast of any changes. **TBS Registration:** 002978 **Bank Number:** TSB PPU 025

Manuals

- Administrative Services Manual
- Data Processing
- Financial Coding Manual
- Financial Management Manual
- Manual of Investigative Procedures
- Manual of Public Inquiry Procedures
- Manual of Standard Operating Procedures
- Personnel Management Manual
- Records Management Manual
- Subject File Classification

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

For assistance concerning the interpretation of Board Guidelines, interested parties may contact:

Coordinator
Access to information and Privacy
Transportation Safety Board of Canada
Place du Centre
200 Promenade du Portage
4th Floor
Hull, Quebec
K1A 1K8

Reading Room

The information centre of the Board's Secretariat has been designated under the Access to Information Act as a public reading room at the following address:

Transportation Safety Board of Canada
Place du Portage
4th Floor
Hull, Quebec
K1A 1K8

Treasury Board of Canada Secretariat

Chapter 103

General Information

Background

The Treasury Board, a committee of the Queen's Privy Council for Canada, was established in 1867. The Board consists of the President of Treasury Board, the Minister of Finance and four other ministers nominated by the Governor in Council. The Treasury Board of Canada Secretariat (Secretariat), the administrative arm of the Treasury Board, is headed by a Secretary and Comptroller General, who reports to the President of the Treasury Board. The Secretariat was part of the Department of Finance Canada until 1966, when it became a separate department.

Responsibilities

The Secretariat has a dual mandate to support the Treasury Board as a committee of ministers and to fulfil the statutory responsibilities of a central government agency. This entails providing operational programs to carry out the legislated responsibilities of the Treasury Board. These responsibilities derive from the broad authority of sections 5 — 13 of the Financial Administration Act, authorities in six other Acts: Public Service Staff Relations Act, Official Languages Act, Access to Information Act, Privacy Act, Real Property Act, Public Service Employment Act and other legislation. The Secretariat's responsibilities for the general management of the government cross all policy sectors managed by 22 operating departments and some 100 other organizational entities as reported in the Main Estimates. In addition, the Secretariat has the mandate to coordinate the government's Canada Infrastructure Works Program.

The mission of the Secretariat is, in support of the Treasury Board, to help the Government of Canada work better within available resources.

The Secretariat puts into effect the policies set by the Treasury Board as the overall manager of the Public Service and manages the human, financial and material resources that support it. This is carried out by several sectors of the Secretariat, described below under "Organization".

Legislation

- Access to Information Act, 1980-1981-1982-1983, c. 111 (SI/83-108), most sections.
- Adjustment of Accounts Act, 1980-1981-1982-1983, c. 17 Appropriation Acts
- Auditor General Act, 1976-1977, c. 34
- Canada Pension Plan, C-5, section 88(2)

- Canadian Human Rights Act, 1976-77, sections 51, 56, SI/78-33
- Civil Servants Widows Annuities Act, 1926-27, c. 74
- Crown Corporations Dissolution Authorization, 1985, c. 41
- Financial Administration Act, 1985, Part I, 3, 4, 5, 6, 7
- Governor General's Act, G-9
- Lieutenant Governors Superannuation Act, 1974-1975-1976, c. 73
- Members of Parliament Retiring Allowances Act, 1985, c. M-5
- Miscellaneous Statute Repeal Act, 1980-1981-1982-1983, c. 159
- Official Languages Act, 1988
- Pension Benefits Division Act, S.C. 1992 c.46, Sched.II.
- Privacy Act, 1980-1981-1982-1983, c. 111 (SI/83-109), most sections
- Public Sector Compensation Restraint Act, 1980-1981-1982-1983, c. 122
- Public Service Pension Adjustment Act, P-33
- Public Service Superannuation Act, P-36
- Special Retirement Arrangements Act, 1992, c. 46
- Statutory Minimum Salaries Act, S-3
- Supplementary Retirement Benefits Act, c. 43 (1st Supp.)

Organization

Office of the Secretary and Comptroller General

The Secretary and Comptroller General is the deputy minister who directs the work of the Secretariat and advises the President and the Board on the organization and management of that part of the Public Service under the Treasury Board's jurisdiction. The Office of the Secretary and Comptroller General is responsible for the daily administrative functions of the organization.

Alternative Service Delivery, Crown Corporation Policy and Privatization Sector

This sector coordinates the management of the government's ownership in Crown corporations, and joint and mixed enterprises by advising on the legislative and regulatory framework for Crown corporations and policy issues that affect Crown corporations as a group. It develops initiatives and advises ministers on privatization, commercialization, and alternative delivery mechanisms, including special operating agency and other legislated

agencies; and works with other sectors of the Secretariat to help departments and agencies seeking to adopt newer, more suitable organizational forms to deliver their programs.

Chief Human Resources Officer (CHRO), Human Resources Branch

The Chief Human Resources Officer provides a framework that enables managers to better manage the people who deliver government programs and promotes effective employer-employee relations in the Public Service of Canada.

Specific areas of responsibility include general personnel management; human resources planning; Official Languages Program; Employment Equity Program; pensions and insurance benefits; policy administration and development; training and development; developmental programs; deployment; performance management; career planning and counseling; position classification; equal pay for work of equal value and pay administration; job classification and compensation; workforce adjustment; and planning and analysis.

The following five sectors: 1) Classification and Excluded Groups; 2) Labour Relations and Human Resources Management; 3) Official Languages and Employment Equity; 4) Pensions; and 5) Strategic Planning and Development, work together to develop, communicate and provide for the implementation and evaluation of the personnel policies, systems and methods necessary to ensure that the human resources needed to carry out government programs effectively are obtained at competitive cost, and are managed and developed efficiently.

Chief Information Officer (CIO)

The CIO will provide leadership on government-wide information and technology issues and a strategic vision for information technology (IT)-based renewal in government; improve relationships with the IT vendor community; help renew the IT community; break down policy barriers to effectively managing IT in the federal government; and optimize the benefits accruing from IT investments. These activities are expected to provide improved service delivery in the federal government through IT.

The CIO's primary goal is to help in "getting government right". This means modernizing federal programs and services to meet the needs of Canadians, today and in the future.

Communications

Communications provides advice and support; news media monitoring and daily clippings; editing, writing and publishing services; and the electronic dissemination of Treasury Board documents. The group advises on communications issues, develops strategic and

operational communications plans, produces news releases and coordinates the preparation of speeches. It also provides media relations support to the President, Secretary, and senior management. For instance, it replies to requests for information, refers requests for interviews, and advises the President and the President's office accordingly.

Corporate Services Branch

The Corporate Services Branch provides financial and administrative services, human resources services, security services and informatics systems necessary to the internal management of the Secretariat and the Department of Finance Canada.

Deputy Comptroller General (DCG)

The Deputy Comptroller General works to improve the government's management framework through a number of initiatives designed to support the delivery of quality services by departments, to modernize the financial information system, to strengthen the review of government activities and to improve accountability for results.

The DCG consists of constituent sectors and a project office, namely: 1) Financial and Contract Management, the leader in comptrollership and project, contract and risk management; 2) Government Review and Quality Services, the federal focal point for quality services and effective government review; and 3) Financial Information Strategy Project Office, a government-wide strategy to improve the quality and timeliness of financial information provided to the government's decision-makers in Parliament, central agencies and departments.

The DCG's primary goal is to help with "getting government right". Getting government right means modernizing federal programs and services to meet the needs of Canadians, today and in the future.

Expenditure Management; Government Operations; Economic; and Social and Cultural Sectors

These four sectors work together making recommendations to the Treasury Board on allocating financial resources in light of government priorities, fiscal targets and results achieved; developing and maintaining accountability frameworks within which Parliament approves resources and in light of which departments and agencies report on their use; prepare Estimates (Main and Supplementary) and Appropriation Bills, thereby coordinating the process whereby the government obtains funds from Parliament; monitoring the implementation of approved policies and programs, and advise the Treasury Board on success in achieving intended results; advising the Treasury Board President, in his role as a member of Cabinet, on the resource implications of new government policy and project initiatives while promoting innovative management

and increased efficiency and effectiveness in delivering programs; providing analysis and recommendations to the Treasury Board on departmental Business Plans and Crown corporation corporate plans and budgets; promoting regulatory reform across the government; and supporting the Treasury Board President in coordinating the strategic planning and management of the government's real property portfolio.

Legal Services

Legal Services, staffed by lawyers belonging to the Department of Justice, provides a full range of legal advice and litigation services to the Secretariat. In particular, the unit provides counsel on the Financial Administration Act in connection with the Secretariat's central agency functions and conducts litigation in support of the Treasury Board's role as employer of much of the Public Service of Canada.

Ministerial and Executive Services (MES)

The MES group is the focal point, in the Secretariat, for ensuring that the President, Secretary and exempt staff are provided with the briefing, correspondence and logistical support related to Treasury Board meetings, parliamentary affairs, and dealings with other government departments and non-governmental organizations. Ministerial and Executive Services manages and coordinates the Treasury Board's meetings; coordinates the Secretariat's access to information and privacy activities; and provides support to the President's office on financial, human resources and administrative matters.

Office of Infrastructure

Canada Infrastructure Works is a \$6 billion program that is cost shared across the three levels of government. Its main objectives are to renew Canada's infrastructure and create jobs.

The Office of Infrastructure was established as part of the Treasury Board of Canada Secretariat to support the President of the Treasury Board in his role as Minister responsible for Infrastructure. Program delivery is the responsibility of implementing departments and agencies such as the Atlantic Canada Opportunities Agency, Western Economic Diversification Canada, the Federal Office of Regional Economic Development (Quebec), Indian and Northern Affairs Canada, and Industry Canada.

The Office coordinates the activities of the program, provides functional direction to implementing agencies and deals with emerging issues and problems. The team manages policy issues such as pressures for new programs and other related initiatives, and provides communications functions such as strategic communications advice at the national level. It also maintains the national program database which is the central source of information for ministers and members of Parliament to determine the status of projects.

Information Holdings

Program Records

Alternative Service Delivery, Crown Corporation Policy and Privatization Sector

Common Services Policy

Description: Treasury Board policy and guidelines on the provision of central administrative services to federal departments and agencies by common service organizations. **Topics:** optional and mandatory common services, funding issues, competition, accountability framework, and assessment of mandatory services.

Program Record Number: TBS PRB 585

Crown Corporations and Privatization

Description: The President of the Treasury Board's "Annual Report to Parliament on Crown Corporation and other Corporate Interests of Canada" is the government's annual reporting on all federal corporate holdings including Crown corporations, Mixed and joint enterprises and Other Entities. This document fulfills statutory requirements under the FAA for reporting on employment, expenditures and other operational information as well as records of tabling in Parliament of summaries of Corporate plans, budgets and annual reports. Other information found in the corporate information system of Crown Corporations and Privatization sector: Information on the general administration, organization, programs, projects and financial management (budgets, estimates, financing) of Crown corporations and agencies. **Topics:** Corporate information system — administration; auditing of Crown corporations; Boards of Directors of Crown corporations, control and accountability framework for Crown corporations; guidelines to assist implementing control and accountability framework; financial overview of Crown corporations; annual reports, historical material, mixed, joint and other Government entities, alternative uses of the corporate form to deliver government programs privatization of Crown corporations; reference material; Crown Corporations Acts, legislation and regulations; policy issues and research projects.

Program Record Number: TBS PRB 580

Long Term Capital Planning, Project Approval and Management

Description: Policy and guidelines on the preparation and submission to Treasury Board of Long Term Capital Plans provides a strategic framework within which departments submit proposals for the approval of individual projects, including Major Crown Projects. Also includes policy and guidelines for the management of government projects and government-supported special initiatives. **Topics:** Long Term Capital Planning, Major Crown Projects, project approval, project management, special government initiatives. **Program Record Number:** TBS PRB 060

Chief Human Resources Officer (CHRO), Human Resources Branch

Adjudication

Description: Information on the processing of employee grievances at adjudication. **Topics:** Individual cases; references to PSSRB under section 99; requests for review under section 25; complaints under section 23; safety officer under part II of the Canada Labour Code; section 21 applications of the Act. **Program Record Number:** TBS HRB 440

Certification

Description: Information on the exclusion of persons from bargaining units because of duties and responsibilities that require them to represent the interest of the employer; also the application for certification and certification proceedings. **Topics:** Certification and establishment of bargaining units; managerial and confidential exclusions — reports and statistics; safety and security designations. **Program Record Number:** TBS HRB 445

Classification

Description: Information on the development and maintenance of classification systems and standards for the occupational groups into which the Public Service is divided; and the process by which a job is allocated to an occupational category and group and, through job evaluation, to a level within the group. **Topics:** Accreditation — courses; audits and monitoring — departmental audits, monitoring, Treasury Board of Canada; categories and groups — executive group; committees; complaints; consultations; conversion; delegation; departments and agencies — Royal Canadian Mounted Police; grievances -procedures; key jobs; language complexity recognition; organization costing system; outside practices; position files; red circle review; reports and statistics; research; standards — categories and groups -selection; training. **Program Record Number:** TBS HRB 415

Committees and Councils

Description: Information on official languages policies and programs, and consultations with unions on these policies and programs. **Topics:** National Joint Council — Official Languages Committee. **Program Record Number:** TBS OLE 255

Conditions and Benefits of Work

Description: General information on the different conditions and benefits of work which apply in the federal Public Service. **Topics:** Canada Labour Code; conflict of interest and post-employment — departments and agencies; continuity of employment; family responsibilities and parental benefits; hours of work — adaptable work patterns, compressed work week, departmental programs, evaluation, flexible working hours; Telework; Pre-retirement Translation Leave; Leave with Income Averaging; overtime; leave without pay; leave with pay —

designated holidays, special time off, vacation; Public Service Terms and Conditions of Employment Regulations; severance pay; surveys; transfer from federal to private or provincial jurisdiction. **Program Record Number:** TBS HRB 385

Conferences

Description: Information on policy and guidelines for conferences and control of conference sponsorship and attendance. **Topics:** Conferences and conference centres. **Program Record Number:** TBS HRB 040

Consultation and Client Services Division

Description: Supports and promotes ongoing consultation and dialogue with members of designated groups, with official languages communities, regional federal managers, provincial and municipal authorities and the business community, in all provinces and territories with respect to the employment equity and official languages programs; informs the public and employees about the two programs; manages the analysis, interpretation, transmission and exchange of information related to both programs. **Program Record Number:** TBS OLE 320

Departmental Human Resources Services

Description: Summary information in human resource management on a range of issues from variety of departments. **Topics:** Initiatives, projects, trends; department and cross department issue summaries; employee surveys; human resource management impediments; tools; upward feedback results; accountability framework; strategic overview; demographic profiles; major programs; mandates and missions; agencies and departments. **Program Record Number:** TBS HRB 200

Discipline

Description: Information on the failure of employees to maintain an appropriate standard of conduct and management response to employee misconduct. **Topics:** Appropriate disciplinary procedures; administration of discipline, codes of conduct; reprimands, suspensions and discharges. **Program Record Number:** TBS HRB 460

Disputes and Strikes

Description: Information on the terms and conditions of employment for employees whose collective agreement has expired; also, strike activity and the employer's response to such activity. **Topics:** Disputes and strikes; legal proceedings ; illegal proceedings; prosecution. **Program Record Number:** TBS HRB 465

Employment Equity

Description: Information on policy and programs relating to equal employment opportunities for designated groups within the federal Public Service (Aboriginal people, persons with disabilities, persons in a visible minority group and women), including the representation and

distribution of these groups. **Topics:** policy and programs; survey of public service employees; studies; technical processes; barriers to employment; departmental plans and programs; occupational groups and availability estimates; reports and statistics; consultations, working groups, committees and councils, International Years; employment equity legislation; workforce participation. **Program Record Number:** TBS OLE 300

Employment Programs and Staffing

Description: Information on employment programs in the Public Service. **Topics:** Moratorium/staffing freeze; part-time; Public Service Employment Act — review of personnel management and the merit principle; size of the Public Service; temporary services — summer students; Public Service terms and conditions of Employment Regulations. **Program Record Number:** TBS HRB 426

Employment Statistics for the Federal Public Service 1993-94

Description: Statistical information of Public Service employment — Year-over year-trends in employment levels — Occupational, regional and salary distributions. **Topics:** Employment, size of the Public Service, salaries, Official Languages, Employment Equity, separations. **Program Record Number:** TBS HRB 276

Foreign Service

Description: Information on special working conditions provided for personnel serving outside Canada. **Topics:** Committees; Commonwealth Secretariat; directives — committees, heads of posts directive, official hospitality directive, posts; Indo China Regulations; locally-engaged staff; military; non-rotational assignments; outside practices. **Program Record Number:** TBS HRB 345

Grievances

Description: Information on complaints in writing presented in accordance with the Public Service Staff Relations Act by an employee on behalf of him or herself and/or one or more other employees of federal departments and agencies. **Topics:** Grievances, departments, agencies and Crown corporations. **Program Record Number:** TBS HRB 470

Health and Income Protection Plan

Description: Information on benefit programs for employees of the federal government. **Topics:** Acts and regulations; Blue Cross; Canadian Armed Forces; dental care; disability insurance — enrolment, participation, eligibility and membership, financial, long-term disability, publications, Royal Canadian Mounted Police; Employee Benefit Survey; Public Service Health Care Plan — benefits, hospital expense (outside Canada) benefit, major medical expense benefit, optional hospital expense benefits; committees, financial coverage; hospital insurance (outside Canada) plan — payments; liability and compensation claims; locally-engaged employees, health insurance — United States of America; locally-engaged employees life insurance — United States of America;

maternity allowances; National Joint Council Standing Committee on health insurance programs; outside practices; program forecast and estimates; Provincial and Territorial health insurance plans — cost-sharing; Public Service Management Insurance Plan — benefits, long-term disability, board of trustees, eligibility, financial; sick leave program; unemployment insurance; Workers' Compensation — Government Employee Compensation Act. **Program Record Number:** TBS HRB 375

Human Resource Initiatives

Description: Information on the major human resources areas and specific projects, involving a number of departments in four major areas of cultural change: responsive work environment, a continuous learning culture, empowerment and participative management. **Topics:** Human resources policies, legislation and regulatory changes; human resource telecommunications network (HR Connexions); total quality management; exemplary practices; alternative work arrangements; employee opinion surveys; innovative management practices — new technologies; recruitment; deployment; career management and development; training and development policies; delegation; operating budgets; human resource accountability; performance review and employee appraisal policies; performance standards and rewards. **Program Record Number:** TBS HRB 100

Human Resource Planning

Description: Information on human resource planning and related policies. **Topics:** Planning; performance management; foreign countries; human resource management policy and systems. **Program Record Number:** TBS HRB 300

Human Rights

Description: Information on the administration of human rights. **Topics:** Committees; personal harassment. **Program Record Number:** TBS HRB 340

Incentive Awards

Description: Information on persons employed in the Public Service who may be awarded for the outstanding performance of their duties, for other meritorious achievement in relation to those duties, and for inventions or practical suggestions for improvements. **Topics:** Incentive awards plan; Award of Excellence; Long Service Award; Merit Award; Outstanding Achievement Award; Reporting; Resource Management Award; Senior Officer Retirement Certificate; Suggestion Award — Cash Awards, Liaison, Departments, Agencies and Crown corporations. **Program Record Number:** TBS HRB 110

Isolated Posts Directive

Description: Information on allowances and other benefits to facilitate the recruitment, retention and deployment of qualified personnel at isolated locations without conflicting with local, social and economic conditions of the communities concerned; also, minutes

on related committee meetings. **Topics:** Allowances; committees. **Program Record Number:** TBS HRB 350

Living Accommodation

Description: Information on the rental of Crown housing to employees, on the determination of taxable benefits, if any, on special applications, on consultations with the National Joint Council, on grievances and interpretations. **Topics:** Charges; National Defence. **Program Record Number:** TBS HRB 091

Negotiations

Description: Information on agreements made in writing entered into under the Public Service Staff Relations Act between the Treasury Board of Canada Secretariat on one hand and a bargaining agent on the other; includes any amendment to such agreements containing provisions on the terms and conditions of employment and related matters. **Topics:** Third party proceedings; categories and groups — collective agreements; cost of living allowances; master agreement bargaining; outside collective bargaining; compensation analysis; pay implementation; Pay Research Bureau — reports and surveys; reports and statistics; studies and surveys; unions. **Program Record Number:** TBS HRB 480

Occupational Health and Safety

Description: Information on the development, establishment, publication and application of occupational safety and health policies, standards and procedures; and the evaluation, maintenance and operation of the Public Service occupational safety, health and employee assistance programs. **Topics:** Campaigns; Canada Labour Code part II; committees; conferences, meetings and seminars; departmental programs; employee assistance programs; first aid; guides, procedures, standards; health evaluations; health units; investigations, studies, surveys; publications; reports and statistics; smoking in the workplace; training; use and occupancy of buildings. **Program Record Number:** TBS HRB 355

Official Languages

Description: Official Languages Act; general information on official languages policy. **Topics:** Bilingualism bonus; common services; decentralization; departmental official languages structures; education allowances (children of federal public servants); equitable participation of anglophones and francophones; federal identity program; increased ministerial authority and accountability (IMAA); information programs — books and publications; language of work objective; language requirements of positions — identification; liaison — by provinces and territories; official languages act — regulations — consultations; official languages minority groups — regional; official languages planning; privatization — transfer of responsibilities; service to the public; staffing; studies and surveys; translation — needs; work instruments. **Program Record Number:** TBS OLE 240

Organization

Description: Information on organizational issues of concern to the Treasury Board and the management of the Target Executive Count control program. **Topics:** Committees; departments and agencies; higher-salaried personnel freeze; planning and evaluation groups; executive group positions — Target Executive Count (TEC); studies and surveys — financial, personnel. **Program Record Number:** TBS HRB 400

Pay

Description: General information on the pay system in the federal Public Service. **Topics:** Administration coordination — associations (unions), committees, departments agencies and Crown corporations, labour disruptions (strikes), monitoring — reports and statistics, pay administration coordination section (PACS), pay system — development -projects, pay system — operations and maintenance, task forces and study groups — pay study task force (1976), training and development, transfer of responsibility (1977-37) — implementation; equal pay for work of equal value — unions, tribunal, complaints, joint union/management initiatives — committees, questionnaires, management position; exempt and excluded classes — categories and groups, commissions and boards, departments, agencies and Crown corporations, Ministers' exempt staff; fees; governor-in-council; executive group-salary administration; pay for time not worked; pay plans — administration, performance pay, Special Assignment Pay Plan (SAPP), student employment; policy interpretation — allowances — entitlement codes, allowances and extra duty, deductions — deduction codes, guides and manuals, regulations and procedures, salaries and compensation; premium pay for duties performed — acting pay; scientific research group; separation pay. **Program Record Number:** TBS HRB 390

Payroll Deductions

Description: Information on payroll deduction privileges for union or staff association dues that apply only to employees included in a bargaining unit for which a bargaining agent has been certified; also, general information on payroll deductions. **Topics:** Check-off of union dues — Public Service Alliance of Canada. **Program Record Number:** TBS HRB 485

Pension Benefits Division Act

Description: Information on the policy topics related to the Act. **Topics:** Policy development; regulations; actuarial specifications; consultation; retirement savings vehicles; information to spouses; valuation and adjustment issues. **Program Record Number:** TBS HRB 386

Pensions

Description: Information on pension schemes that the Government of Canada provides, administers and contributes to on behalf of persons in the federal Public Service, Members of Parliament, and employees engaged locally at Canadian foreign posts; general information on

pension matters and social security benefits. **Topics:** Agencies and corporations; advisory committees; Canada Pension Plan; Canadian Armed Forces Superannuation Act; Canadian government annuities; Diplomatic Service (Special) Superannuation Act; pension plans in foreign countries; Governor General's Retiring Annuities Act; International Fisheries — Secretary's working papers; Judges Act; Lieutenant Governors Superannuation Act; locally engaged employees — contributory pension plans, social security, (non-contributory) pension regulations; Members of Parliament and Senators Retiring Allowances Act; Pension Benefits Standards Act; tax compliance; provincial public service pensions; Public Service Superannuation Act — agencies and corporations, contributory status, elective service, financial information, Newfoundland employees, pilotage authorities, privatization, reciprocal transfer agreements (cities, Crown corporations, hospitals, Indian Pension Plan Funding (IPPF) Program, provinces, universities), retirement benefits — early retirement, Supplementary Death Benefit Plan, survivors' benefits; Public Service Pension Adjustment Act 1959; Royal Canadian Mounted Police Superannuation Act; RCMP Pension Continuation Act; Statute Law (Superannuation) Amendment Act; Supplementary Retirement Benefits Act; Social Security — Canada Assistance Plan; family allowances; foreign countries; income security — veterans; old age security; retirement compensation arrangements. **Program Record Number:** TBS HRB 380

Personnel Management

Description: Information on the Personnel Management Manual, a guide on general policies and requirements in personnel management. **Topics:** Personnel Management Manual (PMM); awards and inventions; classification; compensation — benefits, part-time, seasonal, term employment, pay, pay plans, pay rates, time off; compensation plans for unrepresented employees; service outside Canada; conduct, contents and introduction; human resources — education, training and development, programs, utilization, employment; occupational health and safety; pensions and insurance — health insurance, income protection, Public Service Superannuation Act, pensionable service, retirement; Personnel Legislation Supplement (PLS) — Public Service Superannuation Act; personnel management; Personnel Management Information System (PMIS); security of information; staff relations -collective agreements; Personnel Management Manual 1982 (PMM) table of contents. **Program Record Number:** TBS HRB 360

Personnel Policy

Description: General information on personnel policy. **Topics:** Personnel security; policy development and coordination — Public Service 2000 — white paper, task forces; acts and regulations; committees — Cabinet; communications — office communications (OCS) — users group committee; conferences, meetings, seminars; daycare; decentralization and relocation; incentive programs — awards to inventors and innovators; Public

service Inventors Committee; innovative management practices — new technologies; manuals; personnel management — departmental plans and programs, evaluations; public sector compensation restraint — Governor in Council appointees compensation; non-public funds; Canadian Forces; task forces; Treasury Board submissions; work environment design; work force adjustment — National Joint Council (NJC); Increased Ministerial Authority and Accountability (IMAA). **Program Record Number:** TBS HRB 275

Policy Division — Employment Equity

Description: Develops employment equity policies; coordinates the Treasury Board Secretariat position with those of other central agencies; provides advice in cases of complaints of systemic discrimination involving designated groups brought against departments; analyzes the Special Measures Initiatives Program relating to policy requirements; coordinates work related to Parliamentary activities; provides policy guidance on information management systems related to employment equity; establishes the target-setting strategy and prepares the annual report on employment equity tabled in Parliament by the President of the Treasury Board and provides support to the Treasury Board Secretariat Advisory Committee (TBSAC) on employment equity matters. **Program Record Number:** TBS OLE 315

Policy Division — Official Languages

Description: Conceives, formulates and interprets Official Languages policies; elaborates regulations and instructions pursuant to the Official Languages Act; examines the main topics on official languages; coordinates work related to parliamentary activities; provides policy guidance on information management systems related to official languages and prepares the annual report on official languages tabled in Parliament by the President of the Treasury Board. **Program Record Number:** TBS OLE 310

Program Division

Description: Ensures ongoing liaison with federal institutions for Employment Equity and Official Languages programs. It negotiates agreements with client federal institutions, analyzes TB submissions and provides operational advice. The division also provides functional guidance regarding audit and evaluation activities concerning official languages and employment equity. **Program Record Number:** TBS OLE 305

Public Service Staff Relations Act

Description: Information on the Staff Relations Act, amendments, and related general information. **Topics:** Review — Finkelman Study. **Program Record Number:** TBS HRB 490

Quality of Worklife

Description: Information on the improvement of human conditions at work, the increase of job satisfaction, the improvement of quality and increase of quantity of output

and services, and the development of more effective organizational structures within the Public Service.

Topics: Committees and councils; conferences and seminars; consultations — governments, federal.

Program Record Number: TBS HRB 325

Relocation

Description: Information on the relocation of employees and employer practices. **Topics:** Appointees; disposal and acquisition of accommodation; family separation and house-hunting trips; incidental expenses; shipment of effects; special applications — National Defence, Royal Canadian Mounted Police; special situations. **Program Record Number:** TBS HRB 080

Reviews

Description: Information on reviews on certain categories and groups. **Topics:** Departmental performance; information services group; management category; personnel administration group — committees. **Program Record Number:** TBS HRB 427

Separate Employers

Description: Information on separate employers as listed and modified from time to time under Part II of Schedule 1 to the Public Service Staff Relations Act. **Topics:** Agencies — Auditor General, staff of the non-Public Funds, Canadian Forces; communications security establishment, National Film Board, National Research Council, Public Service Staff Relations Board, Social Sciences and Humanities Research Council. **Program Record Number:** TBS HRB 500

Services

Description: Information on government policies for the cost-effective provision of services in support of programs of departments and agencies. **Topics:** Staff relations, collective agreements and interpretations; clothing; parking charges — departments, agencies and Crown corporations submissions, grievances, survey of core area parking; charitable campaigns; hospitality; membership fees. **Program Record Number:** TBS HRB 085

Staff Relations

Description: General information on staff relations. **Topics:** Committees and councils — user committee on employee-related personnel information (UCERPI), National Joint Council — grievances and standing committees; compensation valuation and comparison — elements-individually, outside practices, planning and forecasting, valuation-application and complaints; consultations and planning — departmental, union; interpretation; labour-management relations; reference of questions of law or jurisdiction. **Program Record Number:** TBS HRB 435

Statistics and Surveys

Description: Statistics, surveys and requests for information on staff relations matters. **Topics:** Requests

— Treasury Board of Canada. **Program Record Number:** TBS HRB 505

Training

Description: Information on language training in the Public Service and the programs undertaken to help public servants acquire second language skills. **Topics:** Advanced language training program (ALTP); accreditation; departmental programs, exemptions from the parameters, extension and deferrals; review; testing; staff development. **Program Record Number:** TBS OLE 260

Training Policy

Description: Information on training, policies, standards, programs, audit and evaluation. **Topics:** Committees and councils — Human Resource Development Council (HRDC); training boards; courses and programs; training programs — executive group; middle management and supervisory training; educational institutions; evaluation and research; Public Service Commission — Career Assignment Program (CAP), Management Trainee Program (MTP), Interchange Canada; reports; educational leave; needs identification and evaluation; orientation of employees. **Program Record Number:** TBS HRB 510

Travel

Description: Information on Public Service employees and other persons travelling on government business; also transportation and accommodation standards, allowances, rates and conditions of payment and reimbursement. **Topics:** Accommodation; commercial transportation — commercial air — first class; employee-driven vehicles — privately owned vehicles; exceptions to the policy; meals and incidentals; National Joint Council Committee; special travel situations; travel agencies. **Program Record Number:** TBS HRB 090

Chief Information Officer (CIO)

Access to Information and Privacy Act

Description: Information on policy development regarding the Access to Information Act and Privacy Act and activities by government institutions in fulfilling the requirements of this legislation. **Topics:** Policy development regarding access procedures, fees, exemptions, Cabinet confidences, ministerial records, and collection, retention, use and disclosure of personal information; ad hoc committees relating to access and privacy; legal interpretations; parliamentary review of the Access to Information Act and Privacy Act; reports by government institutions; production of Info Source; briefings and presentations; statistical reports and legislation and policies — provincial and other countries. **Program Record Number:** TBS FIMB 145

Administrative Policy

Description: General information on the development, implementation, and monitoring of policies, regulations and suggested practices on a wide range of administrative matters. **Topics:** Treasury Board

Manual-Information and Administrative Management volumes distribution, common services, "public" information policy and inclusive government issues such as: Access to Information and Privacy, database dissemination, security, user fees for access, polling, etc.; departmental role issues; planning and evaluation — long-range planning, memoranda of understanding, performance measurement, planning; restraints on administrative expenditures; Treasury Board Senior Advisory Committee (TBSAC). **Program Record Number:** TBS FIMB 025

Administrative Renewal

Description: Administrative processes on re-engineering and including the promotion of locally shared support services. **Topics:** re-engineering employee pay and benefits processes; re-engineering procurement and payment processes; locally shared support services projects; secretariat support for the Council for Administrative Renewal (CAR); and communication activities designed to support the Council for Administrative Renewal (CAR) and the Office of Information Management, Systems and Technology (IMST). **Program Record Number:** TBS FIMB 010

Communications

Description: Information on the development, revision and implementation of various government communications policies and attendant guidelines. **Topics:** Advertising, publishing; special events; fair communications practices; alternative formats; public opinion research. **Program Record Number:** TBS FIMB 035

Federal Identity Program

Description: Information on the development, revision and implementation of government policy on the Federal Identity Program. **Topics:** Application and implementation; liaison — provinces, territories, other countries; visual identity — information material, road signs, stationery and forms, vehicle markings. **Program Record Number:** TBS FIMB 050

Financial Information Strategy Projects

Description: Information on the streamlining of financial operations and financial reporting, as well as information on the development of new central and common departmental financial information systems. **Topics:** Consultants; committees; Treasury Board (TB)/Financial Information Strategy (FIS) projects; Supply and Services Canada (SSC)/Financial Information Strategy (FIS) projects. **Program Record Number:** TBS FIMB 020

Financial Management Information and Systems

Description: Information on financial management systems currently operating in departments and agencies with proposed enhancements, improvements and changes to these systems; data on periodic performance measurement. **Topics:** Auditor General — reports; budgets; committees; conferences, meetings,

symposium; Crown corporations; departmental financial reporting system (TIMAC); electronic authorization project (SSC); estimates — departmental expenditure plan (Part III); financial administration evaluation — by department; financial management and systems (FMS) — guidelines for cost-effectiveness; increased ministerial authority and accountability (IMAA); information management; information technology and systems plan (ITSP); joint Comptroller General services review of the expenditure process; operational plan framework (OPF); presentations; publications; reform of the estimates; specifications for departmental systems; study of duplication of financial reports; systems inventory; systems projects — long-range systems planning. **Program Record Number:** TBS FIMB 077

Government Systems

Description: Information on shared systems for initiatives for the management of Executive Information, for Record Document Information Management, Information Resource Centres (Library, Text Retrieval, Publishing, Media Monitoring). Includes reports received from government departments and agencies outlining functional requirements for the domains. **Program Record Number:** TBS FIMB 155

Human Resources Data System

Description: Information program to assist users of data obtained from the central agency information systems. **Topics:** Committees; Data Element Dictionary; Information Centre; Kerr Dawson report; micro computer systems; Personnel Applications Centre (PAC) electronic data processing (EDP), Policy Advisory committee; Personnel Data Administration Centre (PDAC); business and information models; central personnel records system; committees; employee benefits statement (EBS) system; entitlements and deduction system -development; extra duty reporting system; incumbent system -committees, development, maintenance and operations, mobility sub system, related activities pay; information management; leave and extra duty committees, development, maintenance and operations; position exclusion system; leave reporting system; leave without pay system; official languages administrative system; official languages information system (OLIS) committees, development, maintenance and operations; position information system development; senior executive and equivalent system development; senior personnel information system; employment equity database; training and development information system -committees, development, production, population affiliation system; work force adjustment monitoring system. **Program Record Number:** TBS FIMB 027

Information Policy

Description: Information on the implementation and evaluation of policies and guidelines on the management of "public" information policy. **Topics:** Corporate information management; forms management; information banks; information collection; information inventory

described in Info Source; information management plans, policies, projects, studies, and submissions; liaison files with departments and external organizations; office support systems, records management, telecommunications administration — annual reviews, standards, Telecommunications Advisory Committee (TAC); telecommunications administrative practices; Advisory Committee on Information Management (ACIM); security policies, procedures and standards, Official Secrets Act and other Acts and Regulations. **Program Record Number:** TBS FIMB 055

Information Technology Standards

Description: Information on the federal government's information technology standards activities and the development of electronic data processing (EDP) standards and guidelines. **Topics:** Policy development; committees Advisory Committee on Information Management (ACIM), Open systems interconnection (ISO/JTC1/SC21); Treasury Board Information Technology Standards (TBITS); Canadian Open Systems Application Criteria (COSAC); programming languages (ISO/JTC1/SC22); publications, related organizations; software development and systems documentation (ISO/JTC1/SC7); Electronic Data Interchange (EDI); database language SQL; liaison with departments, agencies and Crown corporations; outside practices companies and firms; projects and studies master plan. **Program Record Number:** TBS FIMB 030

Liaison on Automated Systems

Description: Information on general communications held with commercial suppliers and government departments and agencies concerning automated systems. **Topics:** Commercial suppliers; departments, agencies and Crown corporations. **Program Record Number:** TBS FIMB 037

Office of Information Management, Systems and Technology

Description: Information related to the mandate and functions of Information Management, Systems and Technology (IMST). **Topics:** Mandate, functions of Chief Informatics Officer (CIO). **Program Record Number:** TBS FIMB 040

Official Languages Systems

Description: Information on administrative systems of official languages programs. **Topics:** Coordination of Personnel Data Systems; language training information; language training module (LTM); initial development 1980-82; liaison with Personnel Application Centre (PAC); liaison with Human Resources Branch; liaison with Public Service Commission; micro-computers — user applications; Official Languages Administrative System (OLAS); Official Languages Information System (OLIS) — development, input forms, population, specifications, federal institutions; Crown corporations OLIS II; reports, information and statistical outputs, special reports. **Program Record Number:** TBS FIMB 047

Personnel Information Management (PIM)

Business & Information Models

Description: A series of files concerning the development of business models, functions models, information models, data flow diagrams and CASE based models of the personnel function in the Federal Public Service. All project reports and results have been published and widely distributed. **Topics:** Minutes of meetings, presentations, correspondence and reports. **Program Record Number:** TBS FIMB 052

Smart Card

Description: Information about smart card technology and how it might be applied in the federal Public Sector. **Topics:** Background material and reports about Smart Cards, correspondence. **Program Record Number:** TBS FIMB 060

Social Insurance Number (SIN) Replacement Project

Description: Information concerning the project to manage the elimination of the widespread use of social insurance number (SIN) in the Personal records of Public Service employees. **Topics:** Correspondence, reports and minutes of meetings. **Program Record Number:** TBS FIMB 057

Staff Relations Systems

Description: Systems, their application and evaluation as they apply to staff relations. **Topics:** Administration and operation; application software — extra duty reporting system (EDRS), incumbent system; leave reporting system (LRS), total compensation comparability system; hardware and communication; proprietary software; service bureau and suppliers; committees — user committee on employee-related personal information. **Program Record Number:** TBS FIMB 065

Task Force on Informatics

Description: Information on growing concerns relating to the economic management of informatics resources within the federal public service. **Topics:** Administrative Policy issues, committees and councils; human resources; liaison with departments and agencies; liaison with associations and companies; management structure; productivity; reports and statistics; strategic planning; studies and surveys. **Program Record Number:** TBS FIMB 070

Communications

Communications and Coordination

Description: Information on services in the areas of communication, public relations and publishing and documentation on coordinating activities in the Treasury Board Secretariat. **Topics:** Human Resources Branch; Financial and Information Management Branch; Official Languages and Employment Equity Branch; Program Branch; Corporate Services Branch — budgets and estimates; personnel; requisitions; Public Service 2000; articles, bulletins, guides; books and publications —

briefings, presentations, interviews, circulars, directives, orders; plans and programs, multi-year operational plans; committees, conferences, task forces; inquiries from the public; news releases; press clippings; media tapes and transcripts, reports and statistics. **Program Record Number:** TBS SEC 006

Deputy Comptroller General (DCG)

Accountability and Policy Review

Description: The Government Review and Quality Services Sector is responsible for defining and communicating an accountability framework for TBS. The sector also helps branches to review their policies and provides a corporate focus for the audit and evaluation functions. The core areas of activity of the sector include: a clear accountability statement for TBS policies, an effective input to streamlining of TBS policies and a consolidated review of TBS management policies. **Program Record Number:** TBS FIMB 105

Classification of Financial Transactions

Description: Information on the classification and coding of financial transactions of the Government of Canada. **Topics:** Central agency client needs; classification and coding of transactions/accounts; database access, retrieval and analysis; repository of financial information. **Program Record Number:** TBS FIMB 015

Comptroller

Description: Information on the accounting policies, costing principle and practices, cash management, internal and accounting system controls of the Government of Canada. **Topics:** Committees; accounting and control of expenditures; banking; cash management; cheque issue security and bank losses; corporate credit cards; cost-effective management control; cost recovery; departmental bank accounts; departmental enquiries; grants and contributions; liaison — accounting associations; pay; payables at year end (PAYE); petty cash; regulations — loss of money, public officers guarantee; collection of debts due the Crown — collection methods (revenue guidelines master agreements); deletion of debts due to the Crown — Standing Interdepartmental Committee on Uncollectible Debts; interdepartmental settlements; standing advances; review of collective agreements; standard payment period and interest payment policies; study on purposes and objectives of the summary financial statements; travel process. **Program Record Number:** TBS FIMB 585

Delivery of Government Services

Description: “Stretching the Tax Dollar: Making the Organization More Efficient” and “Stretching the Tax dollar: Make or Buy?” are tools to help Public Service employees save taxpayers dollars in their every day activities. Managers and staff in many federal departments and agencies contributed to developing these guides and tested them on operational programs. Departments identified ways to increase efficiency and reduce costs.

“Stretching the Tax Dollar — Make the Organization More Efficient” sets out an approach managers can use to determine how to deliver quality government services at least costs. The methodology involves conducting a preliminary assessment, specifying the results expected, assessing service delivery options, and designing the most efficient in-house approach. “Stretching the Tax Dollar — Make or Buy?” helps managers compare the cost of providing services in-house with the cost of contracting out. The process involves getting the costs right, ensuring an objective assessment and comparing similar services. **Topics:** Employee takeover; foreign; liaison with departments and agencies; make or buy; most efficient organization (MEO); Nielsen Task Force (ministerial task force on program review); Public Service 2000; restructuring. **Program Record Number:** TBS FIMB 229

Government Review and Quality Services

Description: Information on the establishing and promulgating of review, program evaluation, internal audit, performance measurement and special studies in support of government-wide cost-effective management and accountability and on promoting the implementation of quality management throughout the Public Service. **Topics:** Reviews Audit and evaluation studies; evaluation framework and assessments; Review, Audit and evaluation accountability frameworks; performance reporting; service standards; policies, procedures and methods; environmental auditing; interdepartmental and corporate government reviews; competitiveness stewardship; administrative streamlining; service delivery. **Program Record Number:** TBS FIMB 110

Procurement and Contracting

Description: Policy and guidelines on procurement planning, including requirements definition, procurement strategies and acquisition approaches, awards and administration of contracts including description of the requirement or results expected, the contents of the contract documents, payment arrangements, evaluation and dispute settlement processes, in the areas of construction, goods and service. **Topics:** Competition requirements and methodologies, departmental contracting levels (above which Treasury Board approval is required), industrial and regional benefits and other national objectives, security, trade agreements, common services, contract terms and conditions, reporting of contract statistics. **Program Record Number:** TBS FIMB 045

Risk Management

Description: Information on the government's policy on identification of risk, its cost effective minimization, containment of the effect during or following a harmful or damaging incident, underwriting and any resulting compensation or restoration. **Topics:** Risk management generally, indemnification and legal services for public servants, volunteers, claims and ex gratia payments,

investigation and reporting of fire losses. **Program Record Number:** TBS FIMB 075

Expenditure Management; Government Operations; Economic; and Social and Cultural Sectors

Expenditure Management: Special Issues

Description: Information on general Program Branch activities and current issues. **Topics:** Alternate Delivery; Special operating agencies; Provision of expenditure management information to Parliament; user fees.

Program Record Number: TBS PRB 595

Main Estimates and Public Accounts

Description: Information on accounting policies and the government's spending plans for Parliament (estimates) and requests for funds and authorities; also management of the government's contingency fund and other central systems. **Topics:** Standing Committee on Public Accounts Estimates; supply; financial administration; Governor General's Warrants; person-year allocation and control; expenditure restraint measures; capital assets; fixed assets; revolving and working capital advances; superannuation; valuation of recorded assets. **Program Record Number:** TBS PRB 590

Material Management Policy

Description: Information on Treasury Board policies and guidelines in relation to the management of material including the planning, acquisition, use and disposal of material assets. **Topics:** policy framework, strategic direction, environmental concerns, purchasing, furniture, inventory management, disposal of assets, fleet management, and other topics. **Program Record Number:** TBS PRB 660

Program

Description: General information on the analysis and recommendations provided to the Treasury Board to assist it in decisions on departmental multi-year operational plan proposals, the main and supplementary estimates. **Topics:** Agencies and departments; briefs from outside organizations; multi-year operational plans — fall update and main estimates; policy and expenditure management system — economic and regional development policy sector, foreign and defence policy sector, government operations sector, social development policy sector; supplementary estimates; parliamentary matters; persons-years; capital plans and project analysis. **Program Record Number:** TBS PRB 575

Real Property Holdings

Description: Information on holdings of individual departments and Crown corporations in Canada and around the world. **Topics:** All departments and Crown corporations property holdings: lands, buildings, other structures. **Program Record Number:** TBS PRB 655

Real Property, Policies and Regulations

Description: Information on central policies and regulations governing real property. **Topics:** Policies in Real Property volume; legal and policy framework; capital project review; custody assignment; land use studies; managed disposals; policies relating to handicapped access; heritage and other issues. **Program Record Number:** TBS PRB 650

Regulatory Affairs

Description: Information on the government's regulatory policy and on the regulatory process. **Topics:** Regulatory policy; regulatory process action plan; regulations — statutory instruments; departments and agencies; federal-provincial-territorial relations; international affairs; interdepartmental committees; parliamentary committees; interest groups, program evaluation. **Program Record Number:** TBS PRB 300

Ministerial and Executive Services (MES)

Treasury Board Submissions

Description: Departmental submissions to the Treasury Board of Canada. Treasury Board submissions and related documents are confidences of the Queen's Privy Council and excluded from the purview of the Access to Information and Privacy Acts. **Topics:** Procedures; analysis; general statistical indicators; outstanding submissions and unreported decisions; screening; status reports; synopsis; Treasury Board of Canada meetings. **Program Record Number:** TBS SEC 015

Office of Infrastructure

Infrastructure Works Database

Description: This bank contains summary information on the several thousand approved projects under the \$2 billion federal Infrastructure Works Program. Its main purpose is to provide information at the national level for monitoring and analysis of project approvals. It is also used for statistical purposes. **Topics:** listing by Province or Territory providing the name of the applicant, the type of municipality (where applicable), a summary description of the project, total approved eligible costs and the amount of the federal contribution towards project costs. **Access:** data received from implementing agencies updated on a monthly basis. **Program Record Number:** TBS OIN 005

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Audits
Budgets
Buildings
Buildings and Properties
Classification of Positions
Co-operation and Liaison
Employment and Staffing
Equipment and Supplies
Finance
Furniture and Furnishings
Human Resources
Lands
Occupational Health, Safety and Welfare
Office Appliances
Official Languages
Pensions and Insurance
Personnel
Procurement
Staff Relations
Training and Development
Utilities
Vehicles

Personal Information Banks

Access Request

Description: This bank contains the requests under the Access to Information Act submitted by individuals to access records under the control of the Treasury Board of Canada Secretariat, the replies to such requests, and any other information relevant to the processing of the requests. **Class of Individuals:** Individuals requesting access to certain documents or files of the Treasury Board Secretariat. **Purpose:** This bank was compiled to maintain records of requests for access to information and to facilitate processing of such requests. **Consistent Uses:** The information is used to process requests and for research and statistical purposes. **Retention and Disposal Standards:** Records are retained for a period of three years after last administrative action has been taken, after which records are destroyed. **PAC Number:** 86-001 **Related to PR#:** TBS SEC 005 **TBS Registration:** 001953 **Bank Number:** TBS PPU 005

Applications for Employment

Description: This bank serves to maintain an inventory of applications from individuals requesting employment with the Treasury Board Secretariat. These requests usually consist of a letter containing such information as name, address, education and experience. These applications are screened if positions become available. **Class of Individuals:** Individuals requesting employment with the

Treasury Board Secretariat. **Purpose:** This bank holds applications of individuals requesting employment with the Treasury Board Secretariat. **Consistent Uses:** The bank is used to review applications of individuals requesting employment with the Treasury Board Secretariat when positions become available. **Retention and Disposal Standards:** Records are retained for two years and then destroyed. **PAC Number:** 86-001 **Related to PR#:** TBS ADM 920 **TBS Registration:** 001956 **Bank Number:** TBS PPU 020

Educational Leave/Co-op Replacement Program (EDCO)

Description: This bank contains personal information such as name, address, telephone number, social insurance number, classification, department, employment and educational history, curriculum vitae, transcripts, letters of offer of employment, and salary. **Class of Individuals:** A first group consists of federal government employees in finance, internal audit, human resources, and information technology who have been granted departmental full-time educational leave. A second group is made up of Co-op students from Canadian universities who are assigned to participating departments. **Purpose:** The bank maintains an inventory of participants and co-op students for general administration purposes. **Consistent Uses:** The bank is used for statistical purposes and human resources planning. **Retention and Disposal Standards:** Retention and Disposal Standards: Records are retained for a period of two years for both government employees and Co-op students who have completed their participation in the program after which time they are destroyed. **TBS Registration:** 003673 **Bank Number:** TBS PPU 035

Financial Officer/Internal Auditor Recruitment and Development (FORD/IARD)

Description: This bank contains personal information such as name, address, telephone number, social insurance number, language, employment equity, employment history, classification, education, curriculum vitae and interview assessment. **Class of Individuals:** Recent university graduates applying as participants to the FORD/IARD Program through the Public Service Commission Post-Secondary Recruitment Campaign. **Purpose:** The bank maintains an inventory of qualified university graduates to fill entry-level financial officer and internal auditor positions in departments and agencies across the federal government. **Consistent Uses:** The bank is used for the recruitment, appointment, training and general administration of the FORD/IARD program. It is also used for historical and statistical purposes. **Retention and Disposal Standards:** Records are retained for three years after which time they are destroyed. **TBS Registration:** 002188 **Bank Number:** TBS PPU 030

Personal Service Contract

Description: This bank contains the contracts placed, types of services rendered, length of contracts and

amount of money expended. The bank contains the contracts and supporting documents. **Class of Individuals:** Individuals hired under personal service contracts by the Treasury Board Secretariat. **Purpose:** The purpose of this bank is to provide data on the number of contracts and amount of money expended. **Consistent Uses:** This bank is used for internal reference. It may also be used for statistical research purposes and for planning, implementing and evaluating government policies. **Retention and Disposal Standards:** Records are retained for a period of six fiscal years, after which they are destroyed. **PAC Number:** 86-001 **Related to PR#:** TBS ADM 914 **TBS Registration:** 001955 **Bank Number:** TBS PPU 015

Privacy Act Requests

Description: This bank contains requests under the Privacy Act submitted by individuals to access records under the control of the Treasury Board Secretariat, the replies to such requests and any other information relevant to the processing of the requests. **Class of Individuals:** Individuals requesting access to certain documents or files of the Treasury Board Secretariat. **Purpose:** This bank was compiled to maintain records of requests for access to personal information and to facilitate the processing of such requests. **Consistent Uses:** The information is used to process requests and for research and statistical purposes. **Retention and Disposal Standards:** Records are retained for a period of three years after last administrative action has been taken, after which records are destroyed. **PAC Number:** 86-001 **Related to PR#:** TBS SEC 010 **TBS Registration:** 001954 **Bank Number:** TBS PPU 010

Special Pension Plans

Description: This bank contains minimal information relating to appointment to positions, salary, length of service under the plan, and vital statistics on plan member and their survivors. **Class of Individuals:** Individuals who are subject to Governor General's Act, Superannuation Act, the pension plans set up by the International Fisheries Commission Society, employees engaged by the Government outside Canada, certain senior public servants and certain Members of Parliament. **Purpose:** To calculate and authorize payment of pension benefits for both plan members and their survivors. The information is collected in fulfillment of Treasury Board's obligation to administer the pension statutes named in part 4. **Consistent Uses:** The information contained in the bank may be used by the Pension Division for statistical reports and information sheets for related systems, and for developing and evaluating government pension policies. This bank may be used as a source of information or for linking with the following systems: Incumbent System, the EDP Statistical System bank (PSC/P-CE-761) held by the Public Service Commission, the Superannuation Annuitant System Database (DSS/P-CE-701), and the Superannuation Hard Cover Files (DSS/P-CE-702) held by Supply and Services, the Pension File (DND/P-PE-859) held by National Defence, the RCMP Member Service Records (CMP/P-PE-802)

held by the Royal Canadian Mounted Police. All linkages for the purpose of developing, administering human resources and pension plans are in compliance with the provisions of the Privacy Act. **Retention and Disposal Standards:** Records are retained for 25 years and then destroyed with the exception of records pertaining to Governors General, Lieutenant Governors General and Members of Parliament which are transmitted to the Historical Research Branch. **PAC Number:** 69-003 **Related to PR#:** TBS PPB 380 **TBS Registration:** 002572 **Bank Number:** TBS PPU 025

Classes of Personal Information

This class contains personal information contained in requests made by, or on behalf of, individuals for the interpretation of specific policies, such as administrative, personnel and official languages policies. The information is used to assist in the interpretation of future enquiries. As information is maintained by subject matter and/or department, requesters must provide, in addition to the subject, the name of the department or agency and the approximate date of the enquiry. The retention period for this class of personal information is controlled by the retention period of the files in which they are stored.

Manuals

Treasury Board Manual volumes:

- Access to Information
- Capital Plans, Projects and Procurement
- Chart of Accounts (supplementary)
- Classification
- Communications
- Compensation
- Comptrollership
- Contracting
- Employee Services
- Executive Group
- Human Resources
- Information Management
- Insurance and Related Benefits
- Isolated Posts Directive
- Materiel, Risk and Common Services
- Occupational Safety and Health
- Official Languages
- Pay Administration (supplementary)
- Privacy and Data Protection
- Real Property
- Review, Internal Audit and Evaluation
- Security
- Staff Relations
- Training Guide (supplementary)
- Treasury Board Submissions Guide (supplementary)

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Treasury Board of Canada Secretariat and its function may be directed to:

Communications

Treasury Board of Canada Secretariat

L'Esplanade Laurier, East Tower

9th Floor, 140 O'Connor Street

Ottawa, Ontario

K1A 0R5

(613) 957-2400

PLEASE NOTE: Ministerial and Executive Services is responsible for requests received under the Access to Information Act and Privacy Act for records held by the Secretariat. Requests and additional information regarding these Acts may be forwarded to:

Access to Information and Privacy Coordinator
Treasury Board of Canada Secretariat
L'Esplanade Laurier, East Tower
9th Floor, 140 O'Connor Street
Ottawa, Ontario
K1A 0R5
(613) 952-1666

Our website is

<http://www.tbs-sct.gc.ca/tb/home-eng.html>.

Reading Room

The departmental library has been designated as a reading room in accordance with the Access to Information Act. The address is as follows:

L'Esplanade Laurier, East Tower
140 O'Connor Street, 11th Floor
Ottawa, Ontario

Veterans Affairs Canada

Chapter 104

General Information

Background

Nearly 1,750,000 men and women served in wartime for Canada. Approximately 116,000 died and 229,000 were wounded as a result of war. The Veterans Affairs Portfolio is responsible for commemorating their sacrifice and for assisting eligible Canadians who belong to any of the following groups:

Military personnel and merchant mariners who served in the First and Second World Wars and in the United Nations operations — Korea — 1950-53;

Certain civilians who are entitled to benefits because of their wartime service;

Former members of the regular forces (including those who have served in Special Duty Areas) and the Royal Canadian Mounted Police; and

Survivors and dependants of military and civilian personnel.

There are approximately 475,000 veterans alive today, of whom nearly 45,000 are women. One in every three Canadian men over the age of 65 is a war veteran. The average age of Canada's veteran population is 75.

Responsibilities

The mandate of the Veterans Affairs Portfolio is to provide veterans, qualified civilians and their families with the benefits and services to which they are entitled; to promote their well-being and self-sufficiency as participating members of their communities; and to keep the memory of their achievements and sacrifices alive for all Canadians.

Veterans Affairs Canada is responsible for the administration of 19 acts and 32 sets of regulations and orders. These instruments give the Minister responsibility for the economic, social, mental and physical well-being of veterans, qualified civilians and their dependants. The range of services provided includes disability pensions, economic support, health care, management of property contracts, legal aid and appeals.

Legislation

- Army Benevolent Fund Act
- Army Benevolent Fund Regulations
- Assistance Fund (WVA and CWA) Regulations
- Awards Regulations
- Canadian Volunteer Service Medal Order

- Children of Deceased Veterans Education Assistance Act
- Children of Deceased Veterans Education Assistance Regulations
- Civilian Government Employees (War) Compensation Order
- Deceased or Former Members Dependants Payment Order
- Delegation of Powers (VLA) Regulations
- Department of Veterans Affairs Act
- Execution of Purchase of Property Documents Regulations
- Flying Accidents Compensation Regulations
- Gallantry Awards Order
- Guardianship of Veterans' Property Regulations
- Halifax Relief Commission Pension Continuation Act
- Infant or Person of Unsound Mind Payment Order
- Last Post Fund Regulations, 1995
- Memorial Cross Order (World War I)
- Memorial Cross Order (World War II)
- Merchant Navy Veteran and Civilian War-related Benefits Act
- Pension Act
- Pension and Allowance Adjustment Regulations
- Pensioners Training Regulations
- Prescribed Persons and Organizations Regulations
- Regional Advisory Committee Regulations
- Returned Soldiers' Insurance Regulations
- Royal Canadian Mounted Police Pension Continuation Act
- Royal Canadian Mounted Police Superannuation Act
- Soldier Settlement Act
- Special Duty Area Pension Order
- Special Operators War Service Benefits Act
- Supervisors War Service Benefits Act
- The Returned Soldiers' Insurance Act
- Vetcraft Shops Regulations
- Veterans Allowance Regulations
- Veterans Benefit Act
- Veterans Burial Regulations, 1995
- Veterans Estates Regulations
- Veterans Health Care Regulations
- Veterans Insurance Act
- Veterans Insurance Regulations
- Veterans Review and Appeal Board Act

- Veterans Review and Appeal Board Regulations
- Veterans Treatment Regulations
- Veterans' Land Act
- Veterans' Land Regulations
- War Service Grants Act
- War Service Grants Regulations
- War Veterans Allowance Act
- Women's Royal Naval Services and the South African Military Nursing Service (Benefits) Act

Organization

The Veterans Affairs Portfolio consists of the Department of Veterans Affairs which reports to the Minister of Veterans Affairs and the Veterans Review and Appeal Board which reports to Parliament through the Minister of Veterans Affairs.

The Department of Veterans Affairs is made up of two branches (Veterans Service Branch and Corporate Services Branch), the Bureau of Pensions Advocates and three divisions (Communications Division, Portfolio Executive Services and Corporate Planning Division) which report to the Deputy Minister of Veterans Affairs.

Personal information contained in the Department of Veterans Affairs personal information banks is used by all components of the Portfolio.

Department of Veterans Affairs

◆ Bureau of Pensions Advocates

The Bureau provides free legal aid to persons who seek, through the review and appeal processes with the Veterans Review and Appeal Board, to establish claims under the Pension Act and related statutes.

◆ Communications Division

This Division manages Portfolio communications policies and programs, and is responsible for providing communications advice, planning and services, notably in the areas of public and media relations, public environment monitoring, media training, information services, and publishing. Portfolio library services are also included in this division.

◆ Corporate Planning Division

The division supports the Portfolio by: carrying out long-term, strategic and operational planning; providing advice on resource management, planning methods and practices, and departmental issues; investigating options for alternative service delivery; and co-ordinating the development and implementation of Central Agency initiatives such as Quality Service and the revised Expenditure Management System. It is also responsible for providing departmental statistics and other management information, ongoing development and maintenance of the Corporate Information System as well as ongoing development of Service Standards.

◆ Corporate Services Branch

This branch is responsible for ensuring the effective and efficient use and protection of the Portfolio's financial, human, information, materiel and information technology resources; conducting internal audits and evaluations for all Veterans Affairs operations; administering property agreements with participating veterans and assisting veterans settled under the Veterans' Land Act; providing Portfolio support services, e.g. records and facilities management and videoconferencing; planning and execution of business resumption, security and emergency preparedness measures; processing of requests and activities related to the Access to Information and Privacy Acts; employment equity and official languages programs; and co-ordinating locally shared services initiatives.

◆ Portfolio Executive Services

This division is responsible for providing executive services to the Deputy Minister, Secretary of State and the Minister. It is also responsible for ensuring policy consistency in internal and external policies, and liaising with veterans' organizations, Parliamentary committees, and central agencies. The division is also responsible for ensuring that government policy is reflected in legislation and regulations.

◆ Veterans Services Branch

This branch is responsible for delivery of pension and health care benefits, and for providing social and economic support to veterans and certain civilians in all regions of Canada. Health care is provided to eligible veterans in the departmental hospital at Ste-Anne-de-Bellevue, Quebec, in contract hospital beds, in community beds, and in veterans' homes. Emergency and ongoing income support, social counselling and referral services are also provided. The branch is also responsible for program development, policy and the continuing review of the programs it delivers.

With the implementation of Pension Reform legislation, this branch is responsible for counselling clients on disability pension applications, adjudicating on disability pension claims, setting adjudicative policy and providing legal assistance to clients making an application for review or appeal to the Veterans Review and Appeal Board.

The branch maintains functional and line authority over program operations within the health care, pensions, and economic support activities. It is also responsible for negotiating with the provinces and for delivering certain other centrally managed programs including commemoration.

Veterans Review and Appeal Board — Associated Agency

♦ Veterans Review and Appeal Board

The Veterans Review and Appeal Board is an independent, quasi-judicial agency established by Parliament in 1995.

The Board considers reviews and appeals arising under the Pension Act, the War Veterans Allowance Act, the Veterans Review and Appeal Board Act, the Merchant Navy Veterans and Civilian War-related Benefits Act, and other related statutes.

Within the Veterans Affairs Portfolio, the Board is the final level for pension appeals from decisions made at the review level by the Board itself, and War Veterans Allowance appeals from decisions taken by regional Review Committees of the Department of Veterans Affairs.

♦ Common Records

Veterans Affairs shares the program records listed under this section with the Veterans Review Appeal Board.

Information Holdings

Program Records

Department of Veterans Affairs

Acquisition, Sale or Other

Disposition of Lands and Other Property

Description: Records relating to the purchase or acquisition by the Director of lands or other property for the settlement of veterans and the sale or disposition of all or parts of the land or other property being sold to veterans under agreement. **Topics:** Acquisitions settlement areas; land purchase; land costs; statement of property purchased; coordination of federal interest in land acquisition and use; surveys; sale and disposition — general; partial; surplus lands; refunds of surplus; release of securities; sale of livestock and equipment; continued establishments; leasing and renting pending sale; real estate commission; civilian purchase; unauthorized disposition of securities; and expropriations. **Program Record Number:** VAC MVA 070

Acts, Orders and Regulations

Description: Correspondence relating to bills, acts, statutory orders and regulations administered by the Department and former Canadian Pension Commission, as well as correspondence relating to other Canadian legislation the Department and former Canadian Pension Commission may use as reference material to assist in the delivery of its programs; correspondence relating to the Merchant Navy Veteran and Civilian War-related Benefits Act (Parts I and X), the preparation of amendments and interpretations; correspondence relating

to the Pension Act and the preparation of amendments; and interpretations by the former Veterans Appeal Board and the new Veterans Review and Appeal Board. **Topics:** Former Canadian Pension Commission; Pensions; Expenses; Children; Pensions for disabilities; Pensions for death; Maintenance; Offenses and Punishment; Supplementary Pensions — Allied Forces; Prisoners of War; Exceptional Incapacity Allowance; Annual Adjustment of Pensions and Allowances; Procedures and general. **Program Record Number:** VAC MVA 220

Agriculture, Farm Development and Operation

Description: Records relating to counselling, training courses, advisory and supervisory services on farm management operations for veterans. **Topics:** Farm management — general; training courses; farm equipment; farm management studies; farm accounting methods; farm building models; supervision and advice; requests for information; bull loaning policy; disease testing in animals; crops and crop conditions; Prairie Grain Advance Payments Regulations; production line farming; farm credit study committee; family farm operation; and statistics. **Program Record Number:** VAC MVA 115

Appraisals and Services for Other Departments

Description: Records relating to real estate appraisals for Property Management Directorate and real estate appraisals and services provided for other departments and agencies. **Topics:** Appraisals — general; schedule of appraisal fee; appraisals for other departments (individual files for departments and agencies); tendering; licences; collection of fees; management of Department of National Defence installations leased to the public for agricultural purposes; Indian economic development program; off-reserve housing program; and real estate data bank project. **Program Record Number:** VAC MVA 095

Armed Forces Pensions

Description: Correspondence relating to pensions for disabilities or death incurred by members of Canada's military forces during World War I, World War II, peacetime service, service in a Special Duty Area, service in a theatre of operations, reserve force service or special force service. **Topics:** Cadets; deserters; boards of inquiry regarding deceased or missing personnel; attestation and discharge procedures; Canadian Women's Auxiliary Services; National Defence documentation; Canadian Army — general; dual service; trainees; reserve force (militia); active force (World War II); soldier apprentices; special force (Interdepartmental Committee on re-establishment), Canadian Rangers; Royal Canadian Air Force — general; civilian flying instructors; refresher flying training ("Chipmunk Exercise"); members attached to air force; and Royal Canadian Navy — general. **Program Record Number:** VAC MVA 210

Briefs, Resolutions and Representations

Description: Correspondence relating to briefs, resolutions and representations made by veterans

organizations such as the Royal Canadian Legion and others on pension matters. **Topics:** Army, Navy and Airforce Veterans; British Benevolent Society of Los Angeles; Federation of British Canadian Veterans of Canada; Canadian Legion of the British Empire Service League; Canadian Order of Empire Ex-Servicemen; Canadian Pensioners' Association of the Great War; Canadian Soldiers' Non-pensioned Widows' Association; Canadian Combat Veterans Association; Canadian Corps Association; Canadian Battlefield Memorial Committee; Canadian National Institute for the Blind; Canadian Merchant Navy Prisoners of War Association; Dominion Corps of Legionnaires — Second World War; Disabled Veterans Association; Hong Kong Veterans Association of Canada; Imperial Veterans Social Club; Imperial War Graves Commission; Imperial Order Daughters of the Empire; Korea Veterans Association of Canada Inc.; National Council of Veterans Associations; NorthEast New Brunswick Veterans Association; National Indian Veterans Association Convenience; National Prisoners of War Association; Nursing Sisters Association of Canada; Sir Arthur Pearson Association; Royal Canadian Corps of Signal Auxiliaries; Royal Canadian Air Force Association; Royal Canadian Legion — resolutions; Soldier's Protection Association and Political Club of New Westminster; War Amputations of Canada — resolutions; War Pensioners of Canada; and World Veterans Federation. **Program Record Number:** VAC MVA 215

Commemoration and Special Events

Description: Information on subjects relating to the commemorations and special events; anniversary celebrations; and inspection tours. **Topics:** Commemoration and special events — general; anniversary celebrations; Canada Remembers; inspection tours — general, Central Europe; Korean War; Remembrance Day — general, Ottawa, Vimy Ridge; South African War; World War I — general, Somme, Vimy Ridge; World War II — general, D-Day/Normandy, Dieppe, Holland, Hong Kong, Italy, VE-Day. **Program Record Number:** VAC MVA 010

Compensation for Former Prisoners of War

Description: Correspondence relating to compensation provided under the Pension Act to members of the armed forces and certain civilians who were taken prisoner during and after World War II, and to their dependants. **Topics:** General; Hong Kong prisoners of war; Dieppe prisoners of war; list of names; statistics; internees of Vichy, France; Hermann Report; and Pensioners of Allied countries. **Program Record Number:** VAC MVA 230

Conveyance and Other Title Transactions

Description: Records relating to preparation and replacement of transfers of titles, deeds and other title documents in relation to the disposition of real estate or property. **Topics:** Preparation of conveyances and title transactions — general; delays; outstanding title cases; lost certificates of title; amendments; deeds in escrow; replacement deeds; agreements for sale; title searches; declaration of possession; joint tenancy; assignment of

civilian or veteran agreements of sale; trespass and encroachment; surveys; administration fees; legal fees and disbursements; execution of documents by Director; restrictive covenants and titles. **Program Record Number:** VAC MVA 075

Debt-Free Settlement on Dominion or Provincial Lands

Description: Records relating to debt-free settlement and to non-repayable conditional grants for the establishment of veterans on provincial lands and similar grants to Indian veterans who settle on Indian reserve lands. **Topics:** Establishment of veterans on provincial or Dominion lands — general; debt-free settlement agreements with provinces (filed by province); federal Crown land in the Yukon and Northwest Territories; tax arrears on Dominion land; national parks; agreement of sale; non-repayable grant; additional farm credits; grants to Indian veterans for establishment within Indian Reserves; Métis veterans; land clearing and breaking; and other permanent improvements. **Program Record Number:** VAC MVA 065

Easements, Servitudes and Right of Way

Description: Records relating to the granting of easements, servitudes and right of way on properties to which title is held by the Director, Veterans' Land Act. **Topics:** Easements — general; policies; hydro; oil and gas; telephone; railway and road; servitudes and right of way; Waterfowl Habitat Conservation Program; Provincial Woodland Improvement Program; and individual company easement files. **Program Record Number:** VAC MVA 105

Eligibility and Qualification

Description: Correspondence relating to eligibility to apply for benefits under the Veterans' Land Act and the Soldier Settlement Act, certificates of qualification and cancellation of certificates of qualification, termination date for acceptance of loan applications. **Topics:** Eligibility of recipients of War Veterans Allowance; aged veterans; dual service; medical fitness; re-establishment credits; duplication of benefits; Veterans Business and Professional Loans Act; Canadian Army Special Force; Firefighters; Ferry Command; Merchant Marine; members of His Majesty's Forces other than Canadian; Allied Forces; Special Duties Personnel; Regular Forces; Interim Forces; all categories of veterans; native veterans; and National Resources Mobilization Act personnel. **Program Record Number:** VAC MVA 055

Entitlement and Assessment Boards

Description: Correspondence relating to hearings of entitlement and assessment boards formerly authorized under the Pension Act. **Topics:** Entitlement and Assessment Boards — general and transcript of evidence and zones. **Program Record Number:** VAC MVA 235

Foreign Pensions, Gallantry Gratuities and Annuities

Description: Correspondence relating to foreign pension legislation and pensions payable to pensioners residing in other countries, including Great Britain. Also included is correspondence relating to the payment of gratuities and

annuities which accompany certain gallantry awards granted to members of the armed forces of Canada during World War II and of the special force or other forces organized by Canada (UN, NATO). **Topics:** Australia; France; Italy; Japan; Germany; New Zealand; Poland; Russia; Union of South Africa and United States of America; India; Holland; Hungary; Mexico; Yugoslavia and United Nations. For Great Britain, other topics include British Income Tax; London District Office; Civilian Technical Corps; United Kingdom National Health Insurance and British Ministry of Pensions. Topics concerning gallantry gratuities and annuities include policy; Royal Warrants and King's Regulations and Orders; special force; statement and summary of cases; Victoria Cross recipients; George Cross annuities; and general. **Program Record Number:** VAC MVA 240

Health Care Facilities and Services

Description: Subject information on the administration and operation of departmental hospitals and homes; hospital services in both departmental and contract hospitals; transfer of hospitals to provincial or community jurisdiction; medical staff. **Topics:** Health care facilities and services — general; fact book; accreditation; standards; federal-provincial negotiations; institutional bed requirements; long-term care strategy and costs; accommodations; medical residencies; master agreement; operating agreements; memoranda of understanding; agreements with colleges and universities; environmental assessments; per diem rates; land use; transfer of hospitals; care and service; inquiries and complaints; capital contributions; transfer agreements; and redevelopment, (files by name of institution).

Program Record Number: VAC MVA 025

Home Construction Assistance

Description: Records relating to loans under the National Housing Act to an eligible veteran in respect of the construction by him of a single-family home on suitable land and to furnish said veteran with financial, technical and other assistance. **Topics:** Construction assistance — general; construction financing; inspections; construction directives; construction courses; construction contracts; building standards; housing for handicapped and aging veterans; sales taxes; deferred construction; lagging contracts; construction reports; recovery from contractors; hydro; interest rates; liaison with Canada Mortgage and Housing Corporation; service eligibility; and plans.

Program Record Number: VAC MVA 085

Honours and Awards

Description: Information on the issue and replacement of First and Second World Wars and Korea campaign stars, medals, clasps, service buttons and Silver Memorial Crosses. **Topics:** General; policy; campaign stars and medals; non-Canadian awards and certificates; Queen's Scarf of Honour; correspondence regarding books on war medals and decorations of Canada; Victoria Cross — general; news clippings; statistics and statements; Korea Service Badge; Canadian Volunteer Service Medal; Hong

Kong Bar; Dieppe Bar; Corps of Canadian Firefighters; Fenian Raids and Northwest Rebellion; Memorial Bar; Memorial Cross — general; Mercer Case; Naval Memorial Crosses; South Africa Medal; United Nations and Far East Medal; and war service badges. **Program Record Number:** VAC MVA 005

Insurance

Description: Records relating to insurance — group, life, fire, liability, and general policies to protect the veteran's and/or public equity in the property. **Topics:** Insurance — general; mortgage clause; liaison with insurance companies; group life insurance plan; fire insurance fund; summary of application; fire loss recovery procedure; disposition of insurance recoveries; payment of taxes from insurance recoveries; recovery from tempest damage; liability insurance; and chattel insurance. **Program Record Number:** VAC MVA 125

Legal Matters — General and Prosecution

Description: Correspondence relating to legal matters, opinions and prosecutions at the Federal Court level.

Topics: General legal matters including Council to the former Canadian Pension Commission; Courts of Inquiry; litigation reports; legality of marriage and divorce; and the following prosecutions at the Federal Court level: G.H. Harris, W.H. Irvine, W.H. Woods, A. Poland, R.A. White and R.W. King. **Program Record Number:** VAC MVA 245

Loans/Grants

Description: Records relating to policies on lending operations and the administration of loans and grants to veterans, and provincial and private housing loan programs. **Topics:** Lending policy; loan termination; deadline for additional loans; interest rates; maximum loans; supplementary loans; repayment terms and procedures; conditional grants; preparation of agreements; crop share agreements; loans for full-time farming, fishing, and fur farming; co-operative farming; displaced persons land settlement; small family farm and off-farm enterprises such as tourist trade; provincial and private housing loan programs (by province or name of lender); and mortgages in escrow. **Program Record Number:** VAC MVA 060

Medical Advice

Description: Correspondence relating to advice regarding all medical matters of policy, precedent and usage relative to pensioning. **Topics:** General medical advice including autopsy (exhumation); amputation; cancer; diagnosis; Mustard Gas cases; all cardiovascular conditions; influenza virus vaccine; deafness (hearing loss); phenoxy herbicides (Agent Orange); medical examinations; neurological conditions (includes ALS); peptic ulcer (including all gastrointestinal conditions) and tuberculosis; dental claims; alcoholism; arthritis; foot conditions; asbestos related problems; thoracoplasty; amyotrophic lateral sclerosis (ALS); arteriosclerosis; veterans of atomic warfare — medical assessments regarding radiation;

medical categories (classifications); aggravation; X-rays; coronary thrombosis; and mentally ill — third party administration. **Program Record Number:** VAC MVA 250

Merchant Navy Veteran and Civilian War-related Benefits

Description: Correspondence relating to pensions and allowances awarded to the following groups of civilians for disabilities or death attributable to service during World War II in certain organizations or types of employment which were closely associated with the armed forces: Auxiliary Service Personnel, Canadian Civilian Air Crew, Canadian Merchant Seamen and Salt Water Fishermen. **Topics:** Air raid precaution workers; Corps of Canadian Firefighters (for service in the U.K.); injury during remedial treatment; overseas welfare workers; Voluntary Aid Detachment; list of supervisors; Ferry Command 504 list; S.S. "Silver Star Park"; American Red Cross; Silver Cross Mothers; Compensation for Canadian Seamen killed or injured on certain foreign ships; detention allowance; section 13; and coloured seamen. **Program Record Number:** VAC MVA 225

Mines, Minerals and Surface Rights

Description: Records relating to mines, minerals and surface rights on lands on which veterans are settled and to which the Director holds title, or lands on which veterans had previously been settled. **Topics:** Mines and minerals — general (by province); acquisition of title to mines and minerals by the Director; surface and subsurface rights; provincial legislation; titles with half minerals; lease disputes; native claims; sale of timber; and sale of gravel. **Program Record Number:** VAC MVA 110

Newfoundland Pensions

Description: Correspondence relating to pensions for death or disabilities attributable to service by Newfoundlanders prior to and after the union with Canada. **Topics:** Pensioners World War I (nominal roll); pensioners World War II (nominal roll); Newfoundland Regiment, forms and Special Appropriation Vote 538; organization; and administration. **Program Record Number:** VAC MVA 255

Part-Time Farming (Small Holding)

Description: Records relating to the purchase and/or construction of homes for veterans on part-time farms (small holdings) where the major source of income comes from a non-farming enterprise. **Topics:** Qualification; minimum-size requirements; mobile houses and condominium units; subdivision projects (arranged by province); landscaping; summary or vacant land units; sewage and water systems; unsold properties; urban areas; change from part-time farm (small holdings) status to full-time farms or vice versa; monthly statements; municipal grants; tax concessions; drainage; and irrigation. **Program Record Number:** VAC MVA 090

Programs and Services — General

Description: Information on operational subjects relating to the social welfare of veterans, their dependants and other specified persons. **Topics:** Programs and Services — general; cost containment; aging veterans — general; Aging Veterans Program (existing); Aging Veterans Program (extension) — general, project, program delivery; Veterans Independence Program Review Project; benefit administration; chaplaincy services; client services; community health services — general, provincial; community housing; counselors and counselling services; geriatrics and gerontology; inquiries; nursing services; oaths and affidavits; reports and statistics — general, management information systems; social assistance programs; Veterans Independence Program — general, contracts, Canada Service veterans, improvements project. **Program Record Number:** VAC MVA 020

Property Management Directorate — General

Description: Records of a general nature relating to the operation of Property Management Directorate and the Soldier Settlement Board. **Topics:** Property Management Directorate — general; history of veterans land legislation; natural disasters; terms of agreement; absentee veterans; Committee on Demobilization and Rehabilitation; projects related to the Home Repair Program and other special projects; veterans' spouses' rights to property of deceased veterans and devolution of estates; liens and other encumbrances while title to property is held by the Director. **Program Record Number:** VAC MVA 050

Relocation to Charlottetown, Prince Edward Island

Description: Information on the coordination of the planning and implementation of all activities related to the relocation of the Portfolio Head Office from Ottawa to Charlottetown, Prince Edward Island. **Topics:** General; continuity of Veterans Services Head Office; operations; housing situation quarterly reports; relocation information bulletins; employee relocation decisions; relocation status and progress reports; relocation staffing plans; studies and surveys; accommodation — general; furniture and furnishings; and interim procedures. **Program Record Number:** VAC MVA 015

Rescission and Resale

Description: Records relating to rescissions, voluntary or involuntary, on contract agreements administered by the Director, Veterans' Land Act, and sale of reverted properties. **Topics:** Rescission of contract agreements — general; policy and procedures; quit claim deed; disposition of surplus; tax adjustments; reinstatement of account; unsold reverted properties; provincial advisory boards; evictions; repossession and sale of chattels; and submissions to council. **Program Record Number:** VAC MVA 120

Royal Canadian Mounted Police Pensions

Description: Correspondence relating to pensions for death or disabilities under the RCMP Pension Continuation Act or the RCMP Superannuation Act.

Topics: General information and special constables.

Program Record Number: VAC MVA 265

Special Housing Assistance for Veterans

Description: Correspondence relating to joint Veterans Affairs and Canada Mortgage and Housing Corporation programs (ended March 1980) which were extended to assist veterans on low or modest incomes to acquire housing accommodation. **Topics:** Eligibility; request for information; low-rental housing — general; low-rental housing projects (arranged by name of project); co-operation and liaison with Canada Mortgage and Housing Corporation; statistical summaries; extension of benefits; and applications for assistance (arranged numerically). **Program Record Number:** VAC MVA 080

Special Operators War Service Benefits

Description: Correspondence relating to benefits provided to certain persons who were recruited in Canada by United Kingdom authorities for special duties in war areas.

Topics: General information and policy. **Program Record Number:** VAC MVA 270

Special Programs

Description: Information on a wide range of special benefits designed to assist veterans, their dependants and other specified persons. **Topics:** Special programs — general; arts and crafts/Red Cross; Benevolent Fund — general; Royal Canadian Air Force; Royal Canadian Army; Royal Canadian Navy; bequests — general; Blinded Veterans Assistance Program; Commonwealth War Graves Commission — general; Canadian Battlefield Memorials; Disablement Fund; educational assistance — general; eligibility for educational institutions (reports, statistics and statements); estates; European operations; funerals, burials and grave markers; Hearing Assistance for Veterans Program; Last Post Fund; memorials, cemeteries and graves — general; foreign countries; departmental cemeteries and plots; provincially owned cemeteries; Paraplegic Assistance Program; pensioners training; post-discharge benefits; Royal Canadian Legion — grants; trust funds — general, Head Office, Newfoundland (sub-region), Atlantic, Quebec, Ontario, Prairie and Pacific Regions; Trust Management; and Vetcraft. **Program Record Number:** VAC MVA 035

Table of Disabilities

Description: Correspondence relating to the Table of Disabilities, its amendments, preparation and distribution.

Topics: Policies and administration; tables by type of disability; and medical guidelines. **Program Record Number:** VAC MVA 251

Taxes

Description: Records relating to taxes as they affect veterans settled under provisions of the Veterans' Land Act and advising veterans of tax implications. **Topics:** Taxes — general; taxes on Crown-owned property; grants in lieu of taxes; payment of taxes; sales tax rebate instructions; gift tax; surface and mineral leases and

taxable income; capital gains tax; tax sale procedures; tax limitation agreements; mortgage interest tax credit plans; provincial tax rebates; land transfer tax; land speculation tax; and real property tax deferment. **Program Record Number:** VAC MVA 100

Treatment and Treatment Services

Description: Information on the administration of veterans benefits related to medical, surgical and dental treatment, including the supply and maintenance of prosthetic and other appliances to eligible veterans and other qualified individuals. **Topics:** Treatment and treatment services — general; foreign countries; other authorized personnel; Veterans Treatment Regulations; Veterans Health Care Regulations — general; Veterans Independence Program; allowances; dental services — general; dental care plans; hospitalization — general; care; insurance — general; provincial hospital and medicare plans; medical services; pharmacy services — general; agreements; special equipment — general; eyeglasses and other optical aids; home modifications; prosthetics and orthotics; reports and statistics. **Program Record Number:** VAC MVA 040

Treatment Entitlement

Description: Correspondence relating to treatment entitlement; pension payments during hospitalization; doctor of choice program; injury or death resulting from treatment. **Topics:** General; joint control of treatment services and pensions; medical activities; doctor of choice program; and injury or death resulting from treatment. **Program Record Number:** VAC MVA 275

Trust Funds

Description: Correspondence relating to trust funds consisting of private monies which have been donated by sympathetic individuals who wish to assist veterans and their families. **Topics:** General; statistics; donations; War Veterans Distress Fund; William Scott fund; Regimental fund — 230th Battalion; War Special Assistance Fund; Florence Martineau Fund; Sidney E. Lambert Fund; W.A. Black Benefit Fund; Soldiers' Aid Commission; Canadian Patriotic Fund and the Mennonite Fund; canteen funds, Last Post Fund; RCAF Benevolent Fund; and Army Benevolent Fund. **Program Record Number:** VAC MVA 280

Veterans Affairs General

Description: Information on a wide variety of subjects relating to the operational responsibilities of the Veterans Affairs department. **Topics:** Veterans Affairs — general; Veterans Benefit Redesign; Pension Reform Project; evaluation studies; Veterans legislation — general, bills, consolidation project, legislative proposals, Merchant Navy Veterans, Pension Act, policy issues; subordinate legislation — general, regulatory projects, Veterans Health Care; War Veterans Allowance Act. **Program Record Number:** VAC MVA 130

Veterans Insurance

Description: Information on the administration of life insurance purchased by veterans and other eligible individuals. **Topics:** Returned Soldiers Insurance and Veterans Insurance — general; reports; statistics; statements; studies and surveys; acts; regulations and rulings. **Program Record Number:** VAC MVA 030

War Veterans Allowance and Merchant

Navy Veteran and Civilian War-related Benefits

Description: Information on the administration of War Veterans Allowance and Merchant Navy Veteran and Civilian War-related Benefits to eligible veterans and other qualified individuals, including eligibility requirements; rates of allowance; payments and overpayments; authorities; adjudication and decisions. **Topics:** War Veterans Allowance and Merchant Navy Veteran and Civilian War-related Benefits — general; acts and regulations; adjudication decisions — general; regular board decisions; Assistance Fund; cheques; eligibility — general; age; residence; medical; service requirements; foreign countries allowances; harmonization with Health and Welfare Canada and with Revenue Canada, Taxation; other income — general; casual earnings and interest; social assistance — general; Old Age Security; provincial programs; payment of allowances — general; excesses; overpayment of allowances — general; remissions; reports and statistics. **Program Record Number:** VAC MVA 045

◆ Bureau of Pensions Advocates

Bureau of Pensions Advocates — General

Description: Records relating to pension and War Veterans Allowance adjudication and to veterans organizations. **Topics:** Liaison with the Canadian Pension Commission and the Department of Veterans Affairs relating to pension applications and appeals; liaison with the Veterans Review and Appeal Board relating to pension and allowance appeals; liaison with veterans organizations; liaison with the Department of Justice and the Federal Court of Appeal; and client representation in cases of adverse interest. **Program Record Number:** VAC MVA 295

Reference, Research and Precedents

Description: Records relating to pension and allowance eligibility based on various medical conditions and/or military service. **Topics:** Copies of precedents (by year); records on appeals to the Veterans Appeal Board; operational memoranda and directives; statistics related to productivity. **Program Record Number:** VAC MVA 300

◆ Veterans Review and Appeal Board

Disability Pension Appeals

Description: Records relating to the adjudication of reviews of decisions rendered by the Minister and of appeals of decisions rendered by the Veterans Review and Appeal Board under the Pension Act and other related statutes. **Topics:** Procedures — general; reviews of decisions of the Minister and appeals of decisions of

the Veterans Review and Appeal Board; reviewers'/editors' procedures; precedent decisions; interpretations; legal opinions; rules of procedure and medical opinions.

Program Record Number: VAC VRAB 202

Veterans Review Appeal Board — General

Description: Information relating to the former Veterans Appeal Board and Canadian Pension Commission and the new Veterans Review and Appeal Board, including historical background, operational functions and responsibilities of the Board. **Topics:** Veterans Review and Appeal Board — general; liaison — veterans organizations, other federal departments and other levels of government; meetings; audits; appointments; statistics; finance; policy development; correspondence; and plans. **Program Record Number:** VAC VRAB 201

War Veterans Allowance and Merchant Navy Veteran and Civilian War-related Benefits Appeals

Description: Records relating to the adjudication of appeals of decisions rendered by the Department of Veterans Affairs under the War Veterans Allowance Act and the Merchant Navy Veteran and Civilian War-related Benefits Act, and other related statutes. **Topics:** Allowances — general; spousal separation; overpayments; eligibility — general; Allied Forces; military service; theatre of war; income — general; casual earnings; interest; social assistance; procedures — general; regional offices of the Department of Veterans Affairs; precedent decisions; interpretations; legal opinions; and rules of procedure. **Program Record Number:** VAC VRAB 203

◆ Common Records

Access to Information and Privacy (ATIP) Coordination

Description: Information on the Portfolio's ATIP policies, implementation procedures and liaison network. **Topics:** ATIP policies; exemption procedures; briefing and training material; sharing of personal information with other government institutions; collection, use, disclosure and protection of personal information; correction requests/notations; complaints to Information/Privacy Commissioners; compliance; co-operation and liaison within the Portfolio and with other government institutions; manuals; reports and statistics; and requests for access to information. **Program Record Number:** VAC MVA 475

Accounts and Accounting

Description: Records relating to the Portfolio's accounting activities. **Topics:** Accounts and accounting generally; cash accounts; accounts payable; accounts receivable; and standing advances. **Program Record Number:** VAC MVA 555

Acts and Legislation

Description: Correspondence relating to the preparation, amendment, etc. of acts and regulations. **Topics:** Acts and legislation generally; departmental, federal, foreign and provincial. **Program Record Number:** VAC MVA 490

Administration

Description: Information on a wide variety of subjects relating to administrative matters. **Topics:** Administration generally; accidents generally; motor vehicle accidents; agreements; appreciation; complaints and enquiries; associations, clubs and societies; badges, emblems and flags; bilingualism and biculturalism; cafeterias and eating facilities; campaigns and canvassing; ceremonies and celebrations; circulars, directives and orders; committees; conferences, meetings and symposia; corporations, companies and firms; emergency preparedness; energy conservation; Federal Identity Program; legal matters; inventions, patents and copyrights; licences and permits; parliamentary matters; plans and programs; reports and statistics; visits and tours; and studies and surveys.

Program Record Number: VAC MVA 485

Administrative and Management Services

Description: Records relating to the services which support the administration and operational components of the organization. **Topics:** Administrative and management services generally; correspondence management; duplication services; forms management; library services; mail, messenger and postal services; management consulting services; records management; telecommunications services; travel and transportation services; secretarial, typing and word-processing services; translation services; and micrographics.

Program Record Number: VAC MVA 495

Budgets

Description: Records concerning budgetary activities within the Portfolio. **Topics:** Budgets generally; estimates and supplementary estimates; and program forecasts.

Program Record Number: VAC MVA 565

Buildings

Description: Records relating to tenant services. **Topics:** Buildings generally; acquisition; alterations and repairs; construction; contracts; damages; fire prevention; disposal and maintenance. **Program Record Number:** VAC MVA 510

Buildings and Properties

Description: Correspondence of a general nature relating to buildings, properties and accommodations. **Topics:** Buildings and properties generally; accommodation; accounting and inventories; planning and requirements; reports and statistics; and parking. **Program Record Number:** VAC MVA 505

Classification of Positions

Description: Correspondence relating to policies and procedures on classification of positions; delegation of classification authority; Special Assignment Pay Plan (SAPP); management complement, monitoring of classification and classification grievances. **Topics:** Classification generally; audits; category and group; individual positions; standards; reclassification; holding classification (red circling); classification committee

reports; organization charts; Special Assignment Pay Plan; and classification grievances. **Program Record Number:** VAC MVA 425

Conflict of Interest and Post-employment Code

Description: Correspondence relating to the implementation of the Conflict of Interest and Post-employment Code. **Topics:** Code of the Public Service; amendment; interpretation; delegation authority; reports and statistics. **Program Record Number:** VAC MVA 430

Cooperation and Liaison

Description: Correspondence relating to cooperation and liaison activities. **Topics:** Cooperation and liaison generally and at the federal, international, provincial, university, college and school levels. **Program Record Number:** VAC MVA 500

Employment and Staffing

Description: Correspondence relating to employment and staffing of positions, certification, delegation of staffing authority, policy development, employment and staffing selection standards and monitoring of staffing. **Topics:** Employment and staffing generally; statistics on applications; casual and term employees and temporary services; competitions; lateral transfers; program recruitment; two-year guarantee policy and procedures; requests for staffing action; summer students; secondment agreements; and employee exchange between Veterans Affairs Canada and provincial departments. **Program Record Number:** VAC MVA 435

Employment Equity

Description: Correspondence relating to equal opportunities for women; program initiatives to increase the employment of women in senior positions; correspondence on new initiatives leading to increased participation in the work force of aboriginal people, visible minorities and disabled people. **Topics:** Employment Equity generally; program initiatives for disabled persons; aboriginal people; visible minorities; disabled people and women; reports; plans; statistics; studies and surveys; and Employment Equity plan. **Program Record Number:** VAC MVA 420

Equipment and Supplies

Description: Records relating to the procurement of equipment and supplies. **Topics:** Equipment and supplies generally; accountability and inventories; catalogues; clothing; disposal and surplus; food; forms; fuels; laboratory; loans; maintenance and repairs; price lists and stationery; equipment for the handicapped. **Program Record Number:** VAC MVA 525

Finance

Description: Records relating to the Portfolio's financial activities. **Topics:** Finance generally; agreements and arrangements; allotments and transfers; allowances; banks and banking; cheques; claims; contracts; currency;

encumbrances; expenditures; fees; funds; revolving funds and working capital advances; grants; signing authorities; taxes and travel allowances; and expenses. **Program Record Number:** VAC MVA 550

Furniture and Furnishings

Description: Records relating to the procurement, accountability and issuing of furniture and furnishings.

Topics: Furniture and furnishing generally; beds and bedding; chesterfields and sofas; drapes; filing cabinets; household and office furniture and furnishings; pictures; inventories; and furniture for the handicapped and for orthopaedic uses. **Program Record Number:** VAC MVA 530

Human Resources

Description: Correspondence relating to all aspects of human resource management, planning and development. **Topics:** Human resources generally; career movement; inventories; performance appraisal; reports and statistics; Career Assignment Program (CAP); succession planning; and incentive award programs.

Program Record Number: VAC MVA 440

Individuals Deceased More than 20 Years

Description: Records and correspondence on veterans and their dependants, deceased more than 20 years, who contacted and/or received benefits from the Portfolio during their lifetimes. **Topics:** Applications for benefits; medical, financial and domestic information; military service information; decisions on applications and appeals; and general correspondence. **Program Record Number:** VAC MVA 480

Information Technology (IT)

Description: Records on the procurement, purchase, rental of IT equipment and the management of IT through policies, plans and standards. **Topics:** IT generally; business resumption planning; committees; corporate database; data-processing hardware & software; IT security; information holdings; inventories; plans; policies (telecommunications, IT); standards; system development; and wide and local area networks. **Program Record Number:** VAC MVA 405

Internal Audit and Program Evaluation

Description: Correspondence relating to Auditor General reports, internal audits and evaluation of the Portfolio's programs. **Topics:** Auditor General reports and reports on various types of internal audits including comprehensive, compliance, systems, special request audits and special study assessments. Files of project-oriented program evaluation work relating to framework/assessment/evaluation studies; to assistance to other parts of the Portfolio; and to establishment of the program evaluation function. **Program Record Number:** VAC MVA 560

Lands

Description: Information of a general nature on land administration. **Topics:** Lands generally; acquisition;

concessions; development; disposal; fencing; flood control; landscaping; parking areas; roads; streets and sidewalks. **Program Record Number:** VAC MVA 515

Occupational Health, Safety and Welfare

Description: Correspondence relating to the administration of occupational health, safety and welfare matters. **Topics:** Occupational health; safety and welfare generally; health units; nursing services; and surveys. **Program Record Number:** VAC MVA 445

Office Appliances

Description: Records relating to the procurement, purchase and rental of non-EDP equipment. **Topics:** Office appliances generally; calculators; duplicating equipment; facsimile machines; photographic and microfilming equipment; recorders; and typewriters. **Program Record Number:** VAC MVA 535

Official Languages

Description: Correspondence and reports relating to policies, procedures and guidelines relating to the Official Languages activities of the Portfolio. **Topics:** Official Languages generally; identification and designation of bilingual positions; bilingual bonus; Official Languages action plans; complaints; recruitment; replacements; work instruments; language training module data; second-language evaluation; letters of understanding; and reports and statistics. **Program Record Number:** VAC MVA 450

Pensions and Insurance

Description: Correspondence relating to the administration of employee contribution and coverage under the various pension and insurance plans. **Topics:** Pensions and insurance generally; superannuation plans; Canada Pension Plan; the Public Service Dental Care Plan; reciprocal transfer agreements; federal, provincial and private hospital and medical insurance plans; death benefit plan; disability insurance; unemployment insurance plans; Health Insurance Remuneration Supplement; Public Service Management Insurance Plan (PSMIP); and Public Service Health Care Plan (PSHCP) formerly known as Group Surgical-Medical Insurance Plan (GSMIP). **Program Record Number:** VAC MVA 455

Personnel

Description: Correspondence relating to the general administration of personnel management activities. **Topics:** Personnel generally; accidents and injuries; Governor in Council appointments and others; attendance; awards and honours; establishment; hours of work and overtime; leave and holidays; regulations and directives; reports and statistics; retirements; separations; workforce adjustments; and Veterans Affairs Personnel System (VAPS) formerly known as Personnel Management Information System (PMIS). **Program Record Number:** VAC MVA 415

Procurement

Description: Information on various procurement functions. **Topics:** Procurement generally; contracts; local purchase orders; procedures and regulations; and requisitions and standing offer agreements. **Program Record Number:** VAC MVA 540

Salaries and Wages

Description: Correspondence relating to subject matter within the employee pay administration activity. **Topics:** Salaries and wages generally; acting pay; deductions; pay rates; pay system; performance and senior merit pay; regulations on salary revisions; severance pay; direct pay deposit service; and garnishments. **Program Record Number:** VAC MVA 460

Security

Description: Correspondence relating to general security matters and physical security of buildings and grounds. **Topics:** Security generally; policies; Corps of Commissionaires; fires and fire prevention; emergency measures; building security and access control; security checks; security sweeps; and equipment. **Program Record Number:** VAC MVA 410

Staff Relations

Description: Correspondence relating to topics concerning the administration of labour relations activities, collective bargaining, employee complaints and employee assistance programs. **Topics:** Staff relations generally; counselling and employee assistance programs; bargaining agents; collective agreements and interpretations; designated employees; discipline; grievances; adjudications; complaints; managerial and confidential exclusions; union relations; and union-management consultations. **Program Record Number:** VAC MVA 465

Training and Development

Description: Correspondence relating to the general administration of training programs, participation and activities, and their costs. **Topics:** Training and development generally; attendance; course content; course evaluations; individual courses; Middle Management Orientation Program; plans, schedules and directives; supervisory orientation program; and types of courses. **Program Record Number:** VAC MVA 470

Utilities

Description: Correspondence relating to the installation, operation and maintenance of utility systems and facilities. **Topics:** Utilities generally; air conditioning; electric power; water and sewage; garbage disposal; gas and oil; heating; lighting; plumbing and ventilation. **Program Record Number:** VAC MVA 520

Vehicles

Description: Records relating to the purchase, lease, operational standards and costs of vehicles. **Topics:** Vehicles generally; air, land and water vehicles; insurance; maintenance and repairs; operating standards;

registration and licences; and inventories. **Program Record Number:** VAC MVA 545

Personal Information Banks

Department of Veterans Affairs

Access to Information Requests

Description: This bank contains the Access to Information Request Forms sent by individuals requesting access to departmental files, the replies to such requests and information related to their processing. Information on exemptions or exclusions claimed, on complaints handled, or on fee waivers may also be included. **Class of Individuals:** Canadian citizens/permanent residents of Canada. **Purpose:** To administer access requests in accordance with the Access to Information Act. **Consistent Uses:** The bank is used to record the processing of requests under the Access to Information Act, to report to Treasury Board and to Parliament on requests received and completed, and for the purposes of consultations with other government institutions. **Retention and Disposal Standards:** Files will be kept for two years. **PAC Number:** 86-001 **Related to PR#:** VAC.MVA.475 **TBS Registration:** 003468 **Bank Number:** VAC PPU 115

Assistance Fund

Description: This bank contains information on the provision of single cash grants to persons who meet the eligibility criteria and who require a grant to meet an extreme emergency situation. **Class of Individuals:** This information relates only to those persons who are recipients in accordance with the War Veterans Allowance Act. **Purpose:** The purpose of this bank is to provide information concerning the payment of single cash grants and is authorized under the Department of Veterans Affairs Act, Assistance Funds Regulations and War Veterans Allowance Act. **Consistent Uses:** Information in this bank is provided to federal government institutions or departments or agencies of provincial or municipal governments that require the information in the determination of the entitlement of the individual for any social/financial benefit; or to members of a provincial legislative body acting in the interest of the individual. As well, information is disclosed to Members of Parliament acting in the interest of the individual. **Retention and Disposal Standards:** Records are retained for a period of six years after the date of death of the last eligible dependant. **PAC Number:** 91/006 **Related to PR#:** VAC.MVA.045 **TBS Registration:** 003476 **Bank Number:** VAC PPU 045

Client Database

Description: This is a computerized data bank containing information relating to veterans, their spouses and dependants, and other clients who were or are now in receipt of benefits. The Bank also contains information relating to veterans, their spouses and dependants who applied for benefits and were denied. Data may include

names, addresses, social insurance numbers, service numbers, benefits received and additional information required to provide service to the client. **Class of Individuals:** The information relates to veterans, their spouses and dependants who were or are now in receipt of benefits payable under the War Veterans Allowance Act, the Merchant Navy Veteran and Civilian War-Related Benefits Act, the Pension Act, the Flying Accidents Compensation Regulations, the Gallantry Gratuities and Annuities Order and the Halifax Relief Commission Pension Continuation Act. **Purpose:** The databases are maintained to assist Veterans Affairs in responding effectively to client needs. **Consistent Uses:** Information in this bank is shared with Public Works and Government Services Canada for cheque issuance purposes; with Human Resources Development Canada to determine the veteran's Old Age Security benefits; and with Revenue Canada (Taxation) to verify the veteran's income. Information in this bank is also shared with Blue Cross who processes veteran's medical claims on behalf of the Department of Veterans Affairs. **Retention and Disposal Standards:** An annual snapshot of the databases for the Canadian Pension Commission and the Veterans Services Benefit Delivery Systems is released to the National Archives at the end of November each year. **PAC Number:** 91/006 **Related to PR#:** VAC.MVA.035; VAC.MVA.045 **TBS Registration:** 003481 **Bank Number:** VAC PPU 085

Contractual Obligations

Description: Data in this bank include correspondence, internal memoranda and reports, as well as absentee and rescission documentation. **Class of Individuals:** The information relates to veterans established under the Veterans' Land Act. **Purpose:** The purpose of this bank is to monitor, under the Veterans' Land Act and Regulations, payments, taxes, insurance, property maintenance and the residence or operation, as per the contractual agreement made between the veteran and the Director. **Consistent Uses:** Information in this bank is provided to federal government institutions or departments or agencies of provincial or municipal governments that require the information in the determination of the entitlement of the individual for any social/financial benefit; or to members of a provincial legislative body acting in the interest of the individual. As well, information is disclosed to Members of Parliament acting in the interest of the individual. **Retention and Disposal Standards:** Records are transferred to Archives Canada sixty years from the date of final loan payment. **PAC Number:** 93/013 **Related to PR#:** VAC.MVA.060; VAC.MVA.065; VAC.MVA.070 **TBS Registration:** 003464 **Bank Number:** VAC PPU 070

Counselling, Rehabilitation, Sheltered Employment, Burial and Trust Fund Benefits, Estates Information

Description: This bank contains information on counselling, rehabilitation, sheltered opportunities, burial benefits, trust fund and Benevolent Fund assistance, and estate information. **Class of Individuals:** Certain former

members of the armed forces, and others, who served in World Wars I, II, the United Nations peacekeeping operations in Korea and in peacetime. **Purpose:** The purpose of this bank is to provide, under the Department of Veterans Affairs Act, the Pension Act, the Veterans Review and Appeal Board Act, the Merchant Navy Veteran and Civilian War-related Benefits Act, the Veterans Burial Regulations, 1995, the Guardianship of Veterans Property Regulations, the Veterans Estates Regulations, the Army Benevolent Fund Act, Last Post Fund Regulations, 1995, the Veterans' Rehabilitation Act (repealed 1990), and Veterans Rehabilitation Regulations (repealed), details concerning the counselling, rehabilitation, sheltered employment opportunities, burial benefits, trust fund and Benevolent Fund assistance, and estate information. **Consistent Uses:** Information in this bank is occasionally provided to the Public Trustee Estate Administrator and/or third party administrators for administration of estates or to funeral directors for payment purposes. Details of departmental benefit status, service particulars and date of birth are given to Last Post Fund representatives in order that funeral and burial assistance may be provided. **Retention and Disposal Standards:** Records are retained for a period of six years after the date of death of the last eligible dependant. **PAC Number:** 91/006 **Related to PR#:** VAC.MVA.020; VAC.MVA.035 **TBS Registration:** 003469 **Bank Number:** VAC PPU 005

Devolution of Estates

Description: Data in this bank include legal and other correspondence, inter-office memoranda and reports, as well as Surrogate or Probate Court documents. **Class of Individuals:** Information relates to the estates of deceased veterans who were established under the Soldier Settlement Act and the Veterans' Land Act. **Purpose:** The purpose of this bank is to identify, under the Veterans' Land Act and Regulations, those individuals entitled to inherit the agreement of sale of the deceased veteran. **Consistent Uses:** Information in this bank may be given to the public trustee or estate administrator for the purposes of estate administration. **Retention and Disposal Standards:** Records are transferred to Archives Canada sixty years from the date of final loan payment. **PAC Number:** 93/013 **Related to PR#:** VAC.MVA.060; VAC.MVA.065; VAC.MVA.070 **TBS Registration:** 003465 **Bank Number:** VAC PPU 075

Educational Assistance

Description: The information in this bank concerns educational assistance available to eligible veterans and their dependants. **Class of Individuals:** This assistance is available to persons who served in the armed forces and their dependants. **Purpose:** The purpose of this bank is to provide, under the Veterans Rehabilitation Act, Children of Deceased Veterans Education Assistance Act and the Pensioners Training Regulations, details relating to educational assistance. **Consistent Uses:** Information in this bank is provided to federal government institutions or departments or agencies of provincial or municipal

governments that require the information in the determination of the entitlement of the individual for any social/financial benefit; or to members of a provincial legislative body acting in the interest of the individual. As well, information is disclosed to Members of Parliament acting in the interest of the individual. **Retention and Disposal Standards:** These records are retained for six years after the youngest dependent child reaches the age of 30 years. **PAC Number:** 91/006 **Related to PR#:** VAC.MVA.035 **TBS Registration:** 003470 **Bank Number:** VAC PPU 010

Eligibility, Property Purchase and Sale, and Construction

Description: Data in this bank include veterans applications, inter-office memoranda, owners' offers to sell, legal documentation and correspondence regarding acquisition of title, veterans agreements of sale, construction contracts and related documentation, and acquisition of stock and equipment. **Class of Individuals:** The information relates to veterans established under the Soldier Settlement Act and the Veterans' Land Act. **Purpose:** The purpose of this bank is to provide, under the Soldier Settlement Act and the Veterans' Land Act and Regulations, details concerning the eligibility of a veteran for financial assistance, the appraisal and acquisition of property and the improvement thereon of existing buildings and/or construction of new buildings, the agreements of sale covering resale of such properties and the acquisition of stock and equipment to the veteran purchaser. **Consistent Uses:** Information in this bank is provided to federal government institutions or departments or agencies of provincial or municipal governments that require the information in the determination of the entitlement of the individual for any social/financial benefit; or to members of a provincial legislative body acting in the interest of the individual. As well, information is disclosed to Members of Parliament acting in the interest of the individual. **Retention and Disposal Standards:** Records are transferred to Archives Canada sixty years from the date of final loan payment. **PAC Number:** 93/013 **Related to PR#:** VAC.MVA.060; VAC.MVA.065; VAC.MVA.070 **TBS Registration:** 003462 **Bank Number:** VAC PPU 060

Health Care Programs (Non-pension Related)

Description: This bank contains information on health care benefits and treatments provided to veterans and other qualified individuals for illness or non-pensioned disability. **Class of Individuals:** This information relates to persons who served in the armed forces or in the Merchant Navy during World Wars I, II and/or the U.N. operations in Korea, members of certain civilian organizations during World War II and other persons who have received departmentally sponsored treatment and health care benefits. **Purpose:** The purpose of this bank is to provide, under the Department of Veterans Affairs Act and Veterans Health Care Regulations, information on health care benefits and services and care provided to war veterans and other qualified persons for illness or disability not related to any pensioned disability.

Consistent Uses: Information in this bank is provided to federal government institutions or departments or agencies of provincial or municipal governments that require the information in the determination of the entitlement of the individual for any social/financial/health benefit; to qualified health professionals, or social agencies established or accredited by federal or provincial law to adequately provide a health or social service needed by the individual; or to members of a provincial legislative body acting in the interest of the individual. As well, information is disclosed to Members of Parliament acting in the interest of the individual. Information may also be shared with Blue Cross for the administration of health care benefits. **Retention and Disposal Standards:** Records are retained for a period of six years after the date of death of the last eligible dependant. **PAC Number:** 91/006 **Related to PR#:** VAC.MVA.040 **TBS Registration:** 003473 **Bank Number:** VAC PPU 020

Honours and Awards

Description: This bank contains ships ledgers, World War I and World War II badge ledgers and nominal rolls for Newfoundland veterans. **Class of Individuals:** Persons who served in the armed forces during the First and Second World Wars and Newfoundland veterans who served in the Royal Navy, Royal Air Force or the Merchant Marine during World War II or the Korean Conflict. **Purpose:** The purpose of the bank is to identify those individuals who have applied for and/or received medals or copies of medals. **Consistent Uses:** Information may be disclosed to members of a provincial legislative body or Members of Parliament acting in the interest of the individual. **Retention and Disposal Standards:** For veterans or others who have made a claim for medals, all information including correspondence is retained for a period of six months, at which time the records are forwarded to the National Archives of Canada for inclusion in the veteran's service record. **Related to PR#:** VAC.MVA.495 **TBS Registration:** 002280 **Bank Number:** VAC PPU 110

Immediate Post-Discharge Benefits

Description: This bank contains information about post-discharge benefits paid to qualifying persons. **Class of Individuals:** These benefits were available to persons who served in the armed forces and paramilitary bodies in World War II and/or the United Nations operations in Korea. Also Newfoundland veterans eligible for re-establishment credits. **Purpose:** The purpose of this bank is to provide, under the War Service Grants Act and the Veterans Benefit Act and respective Regulations, information on war service gratuities, re-establishment credits and other immediate post-discharge benefits. **Consistent Uses:** Information in this bank is provided to federal government institutions or departments or agencies of provincial or municipal governments that require the information in the determination of the entitlement of the individual for any social/financial benefit; or to members of a provincial legislative body acting in the interest of the individual. As well, information is disclosed

to Members of Parliament acting in the interest of the individual. **Retention and Disposal Standards:** Records are retained for a period of six years after the date of death of the last eligible dependant. **PAC Number:** 91/006 **Related to PR#:** VAC.MVA.035 **TBS Registration:** 003471 **Bank Number:** VAC PPU 015

Institutional Care

Description: This bank contains information on health care benefits provided to veterans and other qualified individuals who are or have been patients in departmental hospitals or contract beds in provincial and private facilities. Some of this information may have been copied to Head Office and regional office files. This bank, however, represents a complete account of treatment received which is organized by and must be accessed by the institution. **Class of Individuals:** This information relates to persons who served in the armed forces or in the Merchant Navy during World Wars I, II and/or the U.N. operations in Korea, members of certain civilian organizations during World War II and other persons (such as federal prisoners and RCMP officers) who have received treatment in a present or former departmental facility or contact bed. **Purpose:** The purpose of this bank is to provide, under the Department of Veterans Affairs Act, and Veterans Health Care Regulations, information on health care benefits provided to war veterans and other qualified persons who receive institutional care. **Consistent Uses:** Information in this bank is provided to federal government institutions or departments or agencies of provincial or municipal governments that require the information in the determination of the entitlement of the individual for any social/financial benefits; to qualified medical practitioners, health professionals or social agencies established or accredited by federal or provincial law to adequately provide a health or social service needed by the individual; or to members of a provincial legislative body acting in the interest of the individual. The information is disclosed to Members of Parliament acting in the interest of the individual. As well, information provided may be shared with Blue Cross for the administration of medical benefits. **Retention and Disposal Standards:** Records are retained for a period of six years after the date of death of the last eligible dependant. **PAC Number:** 91/006 **Related to PR#:** VAC.MVA.040 **TBS Registration:** 003472 **Bank Number:** VAC PPU 016

Nursing Assistants' Transcripts

Description: This bank contains personal information concerning participants in a departmental training course for nursing assistants. **Class of Individuals:** Individuals who participated in departmental training courses at Camp Hill Hospital, Sunnybrook Hospital or Queen Mary Veterans Hospital for accreditation as a nursing assistant. **Purpose:** The purpose of this bank is to provide proof of accreditation for nursing assistants who participated in training courses in some departmental institutions. This training took place prior to the transfer of control of the institutions to the provinces in which they are located.

Retention and Disposal Standards: To be established. **Related to PR#:** VAC.MVA.495 **TBS Registration:** 002281 **Bank Number:** VAC PPU 105

Payment of Short-Term Allowances

Description: This bank contains information about short-term allowances that were payable to eligible veterans. **Class of Individuals:** Certain former members of the Armed Forces, and others, who served in World Wars I, II, and the United Nations peacekeeping operations in Korea. **Purpose:** The purpose of this bank is to provide, under the Department of Veterans Affairs Act, information concerning the payment of short-term allowances for service in World War II and/or the U.N. operations in Korea, during periods of unemployment, temporary incapacity and while awaiting returns from business. **Consistent Uses:** Information in this bank is provided to federal government institutions or departments or agencies of provincial or municipal governments that require the information in the determination of the entitlement of the individual for any social/financial benefit; or to members of a provincial legislative body acting in the interest of the individual. As well, information is disclosed to Members of Parliament acting in the interest of the individual. **Retention and Disposal Standards:** Presently under review. **Related to PR#:** VAC.MVA.035 **TBS Registration:** 003460 **Bank Number:** VAC PPU 025

Pensions and Compensation

Description: The data in this bank may be held in written or recorded form and include addresses, personal characteristics, regimental numbers, pension numbers, summaries of service, medical records, information on dependants, applications for benefits, records of hearings, decisions at all levels of adjudication, benefits awarded, pension payment inquiries and related correspondence of the individuals concerned. **Class of Individuals:** Individuals who have served in any of the Canadian Forces (or, in some cases, Allied Forces), certain civilians and their dependants. Also, for Newfoundland veterans who, prior to 1949, received a pension from the British Government, this information is maintained in entitlement ledgers, medical registers and nominal rolls. **Purpose:** The purpose of the bank is to retain the documents required for making decisions on pensions, compensation and grants for applicants under the Pension Act, Flying Accidents Compensation Regulations, Merchant Navy Veteran and Civilian War-related Benefits Act, Gallantry Awards Order, the Royal Canadian Mounted Police Superannuation Act, the Royal Canadian Mounted Police Pension Continuation Act, the Special Operators War Service Benefits Act, the Supervisors War Service Benefits Act, the Penitentiary Inmates Accident Compensation Regulations, the Special Indemnities Plan for Dependants of Canadian Forces Attachés, the Defence Services Pension Continuation Act, the Women's Royal Naval Services and the South African Military Nursing Service (Benefits) Act. **Consistent Uses:** Information in this bank is provided to federal government

institutions or departments or agencies of provincial or municipal governments that require the information to determine the entitlement of the individual to any social or financial benefits. The bank's information is also provided to qualified medical practitioners, health professionals or social agencies (established or accredited by federal or provincial law) in order that they may adequately provide a health or social service needed by the individual. Information is also disclosed to members of a provincial legislative body or Members of Parliament acting in the interest of the individual. Information may also be shared with authorized parties to assist the Department in the collection of overpayments. Where a pension recipient is residing in a foreign country, the information in this bank may also be given to the administration of foreign governments for the purpose of administering pensions. In addition, it is used occasionally to support other claims of a similar nature. **Retention and Disposal Standards:** Records are retained for a period of six years after the date of death of the last eligible dependant. **PAC Number:** 91/006 **Related to PR#:** VAC.MVA.045; VAC.MVA.230; VAC.MVA.240 **TBS Registration:** 003478 **Bank Number:** VAC PPU 055

Pensions Under the Halifax Relief Commission Pension Continuation Act

Description: This bank contains personal, medical and social characteristics of those individuals whose injuries were registered with the Halifax Relief Commission following the explosion of December 6, 1917. **Class of Individuals:** Individuals injured in the Halifax explosion. **Purpose:** The purpose is to maintain a record of persons injured in the Halifax explosion in order to administer pension payments and consider claims for pensions under the Halifax Relief Commission Pension Continuation Act. **Consistent Uses:** Information in this bank is provided to federal government institutions or departments or agencies of provincial or municipal governments that require the information to determine the entitlement of the individual to any social or financial benefits. The bank's information is also provided to qualified medical practitioners, health professionals or social agencies (established or accredited by federal or provincial law) in order that they may adequately provide a health or social service needed by the individual. Information is also disclosed to members of a provincial legislative body or Members of Parliament acting in the interest of the individual. Information may also be shared with authorized parties to assist the Department in the collection of overpayments. Where a pension recipient is residing in a foreign country, the information in this bank may also be given to the administration of foreign governments for the purpose of administering pensions. In addition, it is used occasionally to support other claims of a similar nature. **Retention and Disposal Standards:** Records are retained for a period of six years after the date of death of the last eligible dependant. **PAC Number:** 91/006 **Related to PR#:** VAC.MVA.220 **TBS Registration:** 003477 **Bank Number:** VAC PPU 050

Personal Information Disclosed to Federal Investigative Bodies

Description: This bank has been established to retain copies of requests for personal information received from authorized federal investigative bodies pursuant to paragraph 8(2)(e) of the Privacy Act, for the purpose of enforcing a law of Canada or a province, or carrying out a lawful investigation. **Class of Individuals:** Individuals about whom requests for personal information have been received from federal investigative bodies. **Purpose:** The purpose of this bank is to permit the Privacy Commissioner to review disclosures made under paragraph 8(2)(e) of the Privacy Act to federal investigative bodies for the purpose of enforcing a law of Canada or a province, or for carrying out a lawful investigation. **Retention and Disposal Standards:** Records are retained for a period of two years after last action. **TBS Registration:** 002756 **Bank Number:** VAC PPU 101

Personal Information Requests

Description: This bank contains personal information request forms and letters sent by individuals requesting access to their files under the Privacy Act, as well as correspondence, requests for extensions and advisory notes concerning exemptions under the Act. **Class of Individuals:** The information relates to Canadians who have applied to Veterans Affairs under the Privacy Act during the past two years to receive copies of or to view their personal records. **Purpose:** The purpose of this bank is to process personal information requests and to make such statistical reports as are required by the legislation. In addition, the Access to Information and Privacy Coordinator maintains files containing requests for access under paragraphs 8(2)(a)-(e), 8(2)(g)-(i) and 8(2)(m) of the Privacy Act for the use of the Privacy Commissioner. **Consistent Uses:** The information is used to process requests and for research and statistical purposes. **Retention and Disposal Standards:** The records are retained for two years and are destroyed upon resolution, as stated in the General Records Disposal Schedules of the Government of Canada. **PAC Number:** 86/001 **Related to PR#:** VAC.MVA.475 **TBS Registration:** 003467 **Bank Number:** VAC PPU 100

Property Sales and Other Dispositions

Description: Data in this bank include legal documents, survey plans and descriptions, authority for dispositions such as Orders-in-Council or ministerial approval and, where applicable, tendering documentation as well as correspondence and inter-office memoranda. **Class of Individuals:** The information relates to veterans established under the Soldier Settlement Act and the Veterans' Land Act. **Purpose:** The purpose of this bank is to provide information on sales, granting of easements, gas and oil leases, right of way, etc., related to properties acquired by the Director under the Veterans' Land Act and Regulations. **Consistent Uses:** Information in this bank is provided to federal government institutions or departments or agencies of provincial or municipal governments that require the information in the

determination of the entitlement of the individual for any social/financial benefit; or to members of a provincial legislative body acting in the interest of the individual. As well, information is disclosed to Members of Parliament acting in the interest of the individual. **Retention and Disposal Standards:** Records are transferred to Archives Canada sixty years from the date of final loan payment. **PAC Number:** 93/013 **Related to PR#:** VAC.MVA.060; VAC.MVA.065; VAC.MVA.070 **TBS Registration:** 003463 **Bank Number:** VAC PPU 065

Records of Sea Service for Canadian Merchant Seaman

Description: This bank contains individuals' names, dates and places of birth, record of vessels and periods of service for Merchant Seamen in the Canadian Merchant Navy. The records maintained cover World War II, 1939 to 1947 and a limited number of ships which operated in the Korean Conflict, 1950 to 1953. **Class of Individuals:** Canadian Merchant Seamen **Purpose:** The purpose of this bank is to provide statements of sea service to support applications for service medals and veterans' benefits to individual merchant seamen. The primary identifiers are name, date of birth and name of ship. **Consistent Uses:** Information may be disclosed to members of a provincial legislative body or Members of Parliament acting in the interest of the individual. **Retention and Disposal Standards:** Presently under review. **TBS Registration:** 003736 **Bank Number:** VAC PPU 120

Treatment of a Pensioned Condition

Description: This bank contains information on health care benefits and treatment for a pensioned disability. **Class of Individuals:** This information relates to those persons who hold entitlement to a disability pension as a result of a decision of the Department of Veterans Affairs or the Veterans' Review and Appeal Board (formerly the Canadian Pension Commission and or the Veterans' Appeal Board). **Purpose:** The purpose of this bank is to provide, under the Department of Veterans Affairs Act and Veterans Health Care Regulations, information concerning medical treatment and related benefits for a pensioned disability resulting from service in the armed forces, in specified civilian organizations and, in the case of a federal government employee, for a disability resulting from an accident during a non-scheduled air flight. **Consistent Uses:** Information in this bank is provided to federal government institutions, or departments or agencies of provincial or municipal governments that require the information in the determination of the entitlement of the individual for any social/financial benefit; to qualified health professionals or social agencies established or accredited by federal or provincial law to adequately provide a health or social service needed by the individual; or to members of a provincial legislative body acting in the interest of the individual. As well, information is disclosed to Members of Parliament acting in the interest of the individual. Some information may be given to the administration of foreign governments for the

purpose of administering treatment for a pensioned condition, if the individual concerned resides in a foreign country. Information may also be shared with Blue Cross for the administration of medical benefits. **Retention and Disposal Standards:** Records are retained for a period of six years after the date of death of the last eligible dependant. **PAC Number:** 91/006 **Related to PR#:** VAC.MVA.040 **TBS Registration:** 003474 **Bank Number:** VAC PPU 030

Veterans Independence Program

Description: This bank contains information about the services relating to healthy, independent living provided to individual veterans and other eligible clients through the Veterans Independence Program. **Class of Individuals:** This information relates to persons who served in the armed forces during the First and Second World Wars, the United Nations operations in Korea and Special Duty Areas and Merchant Navy veterans and others who are eligible for services and benefits under the Veterans Independence Program. **Purpose:** The purpose of this bank is to provide, under the Department of Veterans Affairs Act and Veterans Health Care Regulations, information on benefits and services provided to veterans and other eligible clients in order to assist them to remain healthy and independent in their own homes or communities. **Consistent Uses:** Health status information is exchanged with other health professionals in order to provide for the proper care planning of veterans. **Retention and Disposal Standards:** Records are retained for a period of six years after the date of death of the last eligible dependant. **PAC Number:** 91/006 **Related to PR#:** VAC.MVA.020; VAC.MVA.040 **TBS Registration:** 003479 **Bank Number:** VAC PPU 056

Veterans Life Insurance

Description: This bank contains information required for the provision and administration of veterans life insurance programs. **Class of Individuals:** Veterans, widows(ers) of veterans, and qualified civilians. **Purpose:** The purpose of this bank is to provide, under the Veterans Insurance Act, the Veterans Insurance Regulations, The Returned Soldiers' Insurance Act and The Returned Soldiers' Insurance Regulations, information required in identifying eligible veterans, certain civilian personnel or widows of veterans who contracted for life insurance under the provisions of the Acts listed above. **Consistent Uses:** Information in this bank is shared with beneficiaries of policy holders and with the administrator/executor of the estate of a deceased policy holder or beneficiary for the purpose of administering his/her estate. It may also be shared with the Office of the Superintendent of Financial Institutions and with Revenue Canada for taxation purposes. **Retention and Disposal Standards:** Presently under review. **Related to PR#:** VAC.MVA.030 **TBS Registration:** 003461 **Bank Number:** VAC PPU 035

War Veterans Allowance

Description: This bank contains information concerning the payment of War Veterans Allowance. **Class of**

Individuals: This information relates to those qualified persons who served in, or were in close support of, the armed forces during World Wars I, II, and/or the United Nations peacekeeping operations in Korea. **Purpose:** The purpose of this bank is to provide information about the payment of allowances, to qualified persons, under the War Veterans Allowance Act, the Veterans Allowance Regulations and the Merchant Navy Veteran and Civilian War-related Benefits Act. Authorization for the collection of social insurance numbers comes under the War Veterans Allowance Act. **Consistent Uses:** Information in this bank is provided to federal government institutions or departments or agencies of provincial or municipal governments that require the information to determine the entitlement of the individual to any social or financial benefits. The bank's information is also provided to qualified medical practitioners, health professionals or social agencies (established or accredited by federal or provincial law) in order that they may adequately provide a health or social service needed by the individual. Information may also be disclosed to members of a provincial legislative body or to Members of Parliament acting in the interest of the individual. Information may also be shared with authorized parties to assist the Department in the collection of overpayments. Information is also data-matched with Human Resources Development and Revenue Canada (Taxation) for income verification purposes. **Retention and Disposal Standards:** Records are retained for a period of six years after the date of death of the last eligible dependant. **PAC Number:** 91/006 **Related to PR#:** VAC.MVA.045; VAC.MVA.045 **TBS Registration:** 003475 **Bank Number:** VAC PPU 040

◆ Bureau of Pensions Advocates

Legal Services for Pension

Applicants and Allowance Appellants

Description: The bank contains correspondence, notices, documentary evidence, submissions, forms relating to the initiation of claims, the searching of records, the identification of evidence, the counselling of applicants and the preparation and presentation of claims to the Canadian Pension Commission, entitlement boards of the Commission, the Veterans Appeal Board and review and appeal panels of the Veterans Review and Appeal Board. Some items of information in this bank are subject to solicitor-client privilege, under subsection 20(2) of the Pension Act. The material is used for the preparation and presentation of claims. **Class of Individuals:** The class of individuals to whom the personal information bank relates is: veterans of World War I, World War II, the Korean War, members of the Royal Canadian Mounted Police, members of the Canadian Armed Forces and their widows and dependants. **Purpose:** The purpose of this bank is to provide information used by the Bureau in providing free legal service to persons seeking to establish claims under the Pension Act, the Merchant Navy Veteran and Civilian War-related Benefits Act, War Veterans Allowance Act, Flying Accidents Compensation Regulations, Gallantry

Gratuities and Annuities Order, the Royal Canadian Mounted Police Superannuation Act and the Royal Canadian Mounted Police Pension Continuation Act.

Consistent Uses: Information in this bank is provided to qualified medical practitioners in order to obtain medical opinions in support of claims; or to members of a provincial legislative body acting in the interest of the individual. As well, information is disclosed to Members of Parliament acting in the interest of the individual. The information is also used occasionally to support other claims of a similar nature. **Retention and Disposal Standards:** Records are retained for a period of six years after the date of death of the last eligible dependant. **PAC Number:** 91/006 **Related to PR#:** VAC.BPA.295; VAC.BPA.300 **TBS Registration:** 003482 **Bank Number:** VAC PPU 090

◆ Veterans Review and Appeal Board

Individual Case Summaries

Description: Data in this bank include a person's name, service number, reason for application to the former War Veterans Allowance Board and a summary of the pertinent facts of the case, including options for the Board's consideration. **Class of Individuals:** The personal information contained in this bank relates to individuals who were dissatisfied with the decisions of the departmental adjudicators made under the War Veterans Allowance Act and the Civilian War Pensions and Allowances Act. **Purpose:** The purpose of this bank is to retain all case summaries prepared by the staff of the Board to summarize the facts of each appeal for reference purposes. These summaries date from 1981 to September 1987, when the War Veterans Allowance Board amalgamated with the Pension Review Board to form the Veterans Appeal Board. **Consistent Uses:** The information is shared with the Department of Veterans Affairs and Bureau of Pensions Advocates for purposes of administering the individual's eligibility for veterans' benefits. The information is also used occasionally to support similar claims. **Retention and Disposal Standards:** Records are retained for a period of six years after the date of death of the last eligible dependant. **PAC Number:** 91/006 **Related to PR#:** VAC.VRAB.201; VAC.VRAB.202; VAC.VRAB.203 **TBS Registration:** 003466 **Bank Number:** VAC PPU 095

Veterans Review and Appeal Board Reviews and Appeals

Description: This bank was established to store material relating to reviews and appeals made to the Veterans Review and Appeal Board, appeals made to the former Veterans Appeal Board and reviews made to the former Canadian Pension Commission and the disposition thereof. It contains correspondence, notices, case summaries, documentary evidence, submission, medical information and decisions, all related to the consideration and disposition of reviews and appeals filed before the Veterans Review and Appeal Board, and of the former Canadian Pension Commission and Veterans Appeal

Board. **Class of Individuals:** The information relates to individuals who have served in any of the Canadian Forces (or, in some cases, Allied Forces), certain civilians defined in the Acts mentioned below and their dependants.

Purpose: The purpose of this bank is to provide the Veterans Review and Appeal Board with pertinent information to assist in the disposition of appeals against review decisions of the Veterans Review and Appeal Board, the former Canadian Pension Commission, the former Veterans Appeal Board, and the Department of Veterans Affairs, made under the Pension Act, the War Veterans Allowance Act, the Merchant Navy Veteran and Civilian War-related Benefits Act, Flying Accidents Compensation Regulations, Gallantry Gratuities and Annuities Order, the Royal Canadian Mounted Police Superannuation Act and the Royal Canadian Mounted Police Pension Continuation Act. The information is shared with Department of Veterans Affairs and the Bureau of Pensions Advocates for purposes of administering the individual's eligibility for veterans' benefits. The information is also used occasionally to support similar claims. **Consistent Uses:** The information is shared with the Department of Veterans Affairs and Bureau of Pensions Advocates for purposes of administering the individual's eligibility for veterans' benefits. The information is also used occasionally to support similar claims. The bank's information is also occasionally disclosed to qualified medical practitioners for the purpose of obtaining independent medical advice.

Retention and Disposal Standards: Records are retained for a period of six years after the date of death of the last eligible dependant. **PAC Number:** 91/006

Related to PR#: VAC.VRAB.201; VAC.VRAB.202; VAC.VRAB.203 **TBS Registration:** 003480 **Bank Number:** VAC PPU 080

Classes of Personal Information

In the course of conducting the programs and activities of the Department of Veterans Affairs and the associated agency, the Veterans Review Appeal Board, categories of personal information may be accumulated which are not contained in specific information banks described in this entry.

Such information includes enquires, complaint and general correspondence stored as part of the general subject files and not retrievable by any personal identifier. This form of personal information is normally retrievable by means of specific information such as subject and/or date of communication.

The retention periods associated with this information are consistent with those associated with the general subject files wherein they are stored.

Manuals

- Administrative Information Manual
- Administrative Services Operations Manual
- Affirmative Action Program-Action Plan

- Assistance Fund Guidelines
- Bureau of Pensions Advocates Operational Memorandum
- Contract Administration Manual
- Conveyancer's Key
- CPC Policy Manual
- CPC Table of Disabilities
- Departmental Instructions
- Directorate of Public Relations Operations Manual
- Financial Policy & Procedures Manual
- Information Technology Architecture Document
- Information Technology Division Communiqués
- Information Technology Management Manual
- Information Technology Security Handbook
- Information Technology Strategy Document
- Lexicon
- Miscellaneous Trust Funds — Guidelines and Procedures Ontario Region
- Operations Policies
- Orientation Training Manual — "A sense of belonging"
- Pension Review Board Interpretations
- Personnel Communiqués
- Personnel Management Manual of Procedures
- Provincial Digest of the Devolution of Estates
- Regulations — Office Consolidation Part II
- Veterans Affairs Canada Information Management Plan
- Veterans Affairs Canada Security Manual
- Veterans Appeal Board Operational Handbook
- Veterans Legislation — Volumes I-II
- Veterans Programs Policy Manual — Volume I-III
- Veterans Services Boundary Book
- Veterans Services Operations Manual — Volumes I-V, VII-IX
- War Veterans Allowance Board — Precedent Decision Manual

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

General information enquiries that do not require access to records should be addressed to the Communications Division in Ottawa or Charlottetown and to regional offices throughout Canada. Contact may be made in person, by telephone, or by writing to one of the following addresses.

Charlottetown

Communications Division
Daniel J. MacDonald Building
P.O. Box 7700
Charlottetown, Prince Edward Island
C1A 8M9

(902) 566-8195

Dartmouth/Halifax

Atlantic Regional Office
33 Alderney Drive
Dartmouth, Nova Scotia
B2Y 2N4

(902) 426-8936

Kirkland Lake

Ontario Regional Office
Bag Service 4000
145 Government Rd. W.
Kirkland Lake, Ontario
P2N 3P4

(705) 568-4135

Montreal

Quebec Regional Office
4545 Queen Mary Road
Montreal, Quebec
H3W 1W4

(514) 496-6415

Ottawa

Communications Division
66 Slater Street
Ottawa, Ontario
K1A 0P4

(613) 992-7467

Vancouver

Pacific Regional Office
1185 West Georgia Street
Vancouver, British Columbia
V6B 5G4

(604) 666-2091

Winnipeg

Prairie Regional Office
610-234 Donald Street
P.O. Box 6050
Winnipeg, Manitoba
R3C 4G5

(204) 983-4163

Reading Room

An area within the Portfolio's library at Head Office in Charlottetown has been designated under the Access to Information Act as a public reading room. The address is:

Daniel J. MacDonald Building
161 Grafton Street
Charlottetown, Prince Edward Island

Arrangements can be made for viewing documents in any of our field offices as well.

Western Economic Diversification Canada

Chapter 105

General Information

Background

The Department of Western Economic Diversification (WD) was established by the Western Economic Diversification Act (S.C. 1988, c. 17) on June 28, 1988. Some of the programs now under the Department's mandate were transferred from the Department of Regional Industrial Expansion.

Responsibilities

The Department administers the Western Diversification Program, a program designed to bring the West more fully into the mainstream of national economic development through judicious investments in projects with the greatest potential for economic development and diversification. The Department also acts as a pathfinder for western Canadian businesses seeking access to federal economic programs, and it ensures that western interests are represented in the development of federal economic policies. In addition, it intends to serve as a focus for federal economic activities in western Canada, particularly those that are aimed at the development of new businesses and industries, and related business infrastructures.

The Department also administers the following programs for the West, previously administered by the Department of Regional Industrial Expansion: Economic and Regional Development Agreements (ERDAs); General Development Agreements (GDAs); Western Transportation Industrial Development Program (WTID); and Industrial and Regional Development Program (IRDP).

Legislation

- Industrial and Regional Development Act
- Small Businesses Loans Act
- Special Areas Act
- Western Economic Diversification Act, S.C. 1988, c. 17

Organization

The Department of Western Economic Diversification is headed by the Deputy Minister, supported by the Senior Assistant Deputy Minister responsible for the Ottawa Liaison Office, and by the four Assistant Deputy Ministers each responsible for a regional office.

♦ Headquarters

The major organizational components of Headquarters are the Program and Planning Branch, the Public Affairs Branch, and the Sectors.

♦ Programs and Planning Branch

The Programs and Planning Branch coordinates inter-sectoral and inter-provincial policy, planning and economic analysis; monitors the business operations of the regional offices in the delivery of the programs; ensures quality regarding the project approval process; and reviews project proposals for recommendation to the Deputy Minister.

♦ Public Affairs Branch

The Public Affairs Branch develops and administers the Department's public affairs and communications program. The Branch also provides the business community, the public and departmental managers with information about policies, programs and activities of the Department.

♦ Sectors

As part of its mandate, the Department has been assigned sectors of responsibility. These sectoral responsibilities are carried out by the Deputy Minister, the Senior Assistant Deputy Minister, the four Assistant Deputy Ministers, and six sectoral Director Generals, located in the regional offices and headquarters.

These sectors are: energy, technology and tourism (administered by Headquarters); services and trade (administered by the Manitoba Regional Office); agriculture and mining (administered by the Saskatchewan Regional Office); manufacturing (administered by the Alberta Regional Office); transportation and forestry (administered by the British Columbia Regional Office); taxation and fiscal policy (administered by the Ottawa Liaison Office).

The sectors officials develop sectoral policies and criteria to be utilized in evaluating project proposals; provide expert sectoral assessment of business and economic development proposals; review the legislative, regulatory and policy development initiatives affecting the West; identify opportunities for diversification within their respective sectors; and manage significant inter-regional, sector-specific projects.

♦ Regional Offices

The Regional Offices provide the full range of Department programs in their respective region and administer these programs locally. In addition, they carry out the Department's broad regional economic development policy and program coordination of federal and federal-provincial initiatives contributing to economic growth in the West. This regional development mandate includes the coordination of economic research and planning activities affecting each region; and the definition of provincial needs and priorities. The mandate also includes the representation of departmental interests with provincial governments; with trade, labour, and industry

organizations; and with other federal departments and the general public. The mandate also includes the receipt, assessment, and approval of business proposals; and problem-solving and pathfinding to help enterprises overcome impediments to expansion and diversification.

♦ Ottawa Liaison Office

The Ottawa Liaison Office directs the administration of the Department including the provision of executive support services to the Minister and support services to the whole Department. The Office also provides for the development of programs, for framework policy development and coordination, and for the advocacy of western interests within the federal government.

The major organizational components of the Ottawa Liaison Office are the Executive Services and Evaluation Branch, the Policy Development and Coordination Branch, the Finance and Professional Services Branch and the Human Resources Branch.

♦ Executive Services and Evaluation Branch

The Executive Services and Evaluation Branch provides writing and advisory services in the area of ministerial correspondence and analysis; and advisory services relating to policy development activities, parliamentary liaison and briefings, and coordination of briefings for ministerial travel and meetings. It also carries out periodic, objective reviews of all departmental programs, operations and management systems. Such reviews help determine the efficiency and cost-effectiveness of programs and provide the Department with timely and objective information which is useful in making decisions about program continuance, resource allocation and accountability. The reviews also enable the Branch to recommend improvements in planning, program design and policy development.

♦ Policy Development and Coordination Branch

The Policy Development and Coordination Branch develops and coordinates the Department's framework policies in the sectoral areas of economic, industrial and trade policy for the West. It monitors policy issues across the whole range of Cabinet committees, and provides strategic policy, economic, and statistical analysis and intelligence, including the analysis of departmental policies, programs and services. In addition, it advocates the economic development interests of the Western provinces within the federal government by influencing federal policies, programs and expenditure changes.

♦ Finance and Professional Services Branch

The Finance and Professional Services Branch provides the Department with financial planning and accounting services, administrative services, security, facilities management, and informatics.

♦ Human Resources Branch

The Human Resources Branch provides personnel services and advises managers on all matters involving human resource management.

Information Holdings

Program Records

Access to Information and Privacy

Description: Subjects relating to the operation of the Access to Information and Privacy office. **Topics:** Records access. **Access:** Files arranged by subject. **Program Record Number:** WED FPS 050

Communications

Description: Subjects relating to discussions, recommendations, and documentation on issues as well as publicity and promotional planning activities of the Department. **Topics:** Communications generally; publications; news clippings; news releases; speeches. **Access:** Files arranged by subject. **Program Record Number:** WED COM 045

Economic and Regional Development

Agreements and Sub-Agreements

Description: Subjects relating to economic and regional development initiatives undertaken by the Department (and other departments responsible for Western economic and regional development). Subjects involve cross-sectoral policies and the resulting agreements and sub-agreements. **Topics:** Economic and Regional Development Agreements (ERDA) and related sub-agreements (Manitoba, Saskatchewan, Alberta, British Columbia). **Access:** Files arranged by province and by subject. **Program Record Number:** WED PDC 015

Economic and Regional Development Agreements and Sub-Agreements — Sub-Agreement Projects

Description: Subjects relating to economic and regional development sub-agreement projects carried out by the Department in Western Canada including project documents; requests for information, investigation and analysis; project monitoring; financial statement review; and evaluation. (Note: Individual projects are normally treated as exempt under sub-section 20 (1) of the Access to Information Act.) **Topics:** Sub-agreement projects generally; agricultural processing and marketing; Winnipeg core area; industrial development; small business incentives. **Access:** Files arranged by project name and by subject. **Program Record Number:** WED REG 020

Industrial Regional Development Program and Projects

Description: Subjects relating to industrial regional development initiatives undertaken by the Department (and other departments responsible for Western

economic and regional development). Subjects involve cross-sectoral policies, including requests for information, investigation and analysis; project monitoring; financial statement review; and evaluation. (Note: Individual projects are normally treated as exempt under sub-section 20 (1) of the Access to Information Act. **Topics:** Industrial Regional Development Program (IRDP) and related provincial projects (Manitoba, Saskatchewan, Alberta, British Columbia). **Access:** Files arranged by province, project and by subject. **Program Record Number:** WED REG 025

Sectoral Analysis and Planning — Agriculture

Description: Subjects relating to the sectoral profiles, intelligence, and current conditions of the Canadian agriculture sector and outlook. **Topics:** Meat industry; malting plants; starch plants; agri-food industry; aquaculture industry; fertilizer industry; agri-forest technologies; ethanol production and marketing program; alfalfa industry; grain industry; game farming; canola industry; peat moss; diversification strategies. **Access:** By subject matter. **Program Record Number:** WED SEC 055

Sectoral Analysis and Planning — Energy

Description: Subjects relating to the sectoral profiles, intelligence, and current conditions of the Canadian energy sector and outlook. **Topics:** Oil; gas; uranium; ethanol; hydrogen; coal; Hydro; energy upgrading. **Access:** By subject matter. **Program Record Number:** WED SEC 060

Sectoral Analysis and Planning — Forestry

Description: Subjects relating to the sectoral profiles, intelligence, and current conditions of the Canadian forestry sector and outlook. **Topics:** Research and development salmonoid enhancement program; aquaculture; shakes and shingles. **Access:** By subject matter. **Program Record Number:** WED SEC 065

Sectoral Analysis and Planning — General

Description: Subjects relating to the sectoral profiles, intelligence, and current conditions of the Canadian economy and outlook. **Topics:** Sectors generally; communications; community; environment; labour; natives. **Access:** By subject matter. **Program Record Number:** WED SEC 040

Sectoral Analysis and Planning — Manufacturing

Description: Subjects relating to the sectoral profiles, intelligence, and current conditions of the Canadian manufacturing sector and outlook. **Topics:** Health products; shipbuilding and repair industry; textile and clothing industry; carpet, mat and rug industry. **Access:** By subject matter. **Program Record Number:** WED SEC 070

Sectoral Analysis and Planning — Mining

Description: Subjects relating to the sectoral profiles, intelligence, and current conditions of the Canadian

mining sector and outlook. **Topics:** Potash; coal; structural materials; sulphur; phosphate; gold; acid mine waste; base metals; offshore mining; exploration/investment. **Access:** By subject matter. **Program Record Number:** WED SEC 075

Sectoral Analysis and Planning — Services

Description: Subjects relating to the sectoral profiles, intelligence, and current conditions of the Canadian services sector and outlook. **Topics:** Telecommunications; films and the arts; environmental industries; publishing; printing; business and financial services; engineering consulting and industrial services. **Access:** By subject matter. **Program Record Number:** WED SEC 080

Sectoral Analysis and Planning — Taxation and Fiscal Policy

Description: Subjects relating to the sectoral profiles, intelligence, and current conditions of the Canadian taxation and fiscal sector and outlook. **Topics:** Taxation and fiscal policy issues. **Access:** By subject matter. **Program Record Number:** WED SEC 090

Sectoral Analysis and Planning — Technology

Description: Subjects relating to the sectoral profiles, intelligence, and current conditions of the Canadian technological sector and outlook. **Topics:** Microelectronics; bio-technology; computer software and services; medical and biological sciences; high technology; Canadian space program; aerospace industry; air parts industry; comprehensive annual science and technology plans; industrial research assistance program; university research programs; provincial research organizations and councils; advanced industrial materials; scientific research and experimental development tax incentive program; Canadian innovation fund. **Access:** By subject matter. **Program Record Number:** WED SEC 085

Sectoral Analysis and Planning — Tourism

Description: Subjects relating to the sectoral profiles, intelligence, and current conditions of the Canadian tourism sector and outlook. **Topics:** Data/statistics; tourism marketing; tourism associations and schools; tourism industry. **Access:** By subject matter. **Program Record Number:** WED SEC 095

Sectoral Analysis and Planning — Trade

Description: Subjects relating to the sectoral profiles, intelligence, and current conditions of the Canadian trade sector and outlook. **Topics:** Canada/U.S. Trade Agreement; multilateral trade negotiations; subsidy and countervail program; trade shows and events; world trade centres. **Access:** By subject matter. **Program Record Number:** WED SEC 105

Sectoral Analysis and Planning — Transportation

Description: Subjects relating to the sectoral profiles, intelligence, and current conditions of the Canadian transportation sector and outlook. **Topics:** Rail; air; marine; cruise ship industry; grain transportation.

Access: By subject matter. **Program Record Number:** WED SEC 100

Western Diversification Program and Projects

Description: Subjects relating to western diversification initiatives undertaken by the Department (and other departments responsible for Western economic and regional development). Subjects involve cross-sectoral policies, including requests for information, investigation and analysis; project monitoring; financial statement review; and evaluation. (Note: Individual projects are normally treated as exempt under sub-section 20 (1) of the Access to Information Act. **Topics:** Western Diversification Program (WDP) and related provincial projects (Manitoba, Saskatchewan, Alberta, British Columbia). **Access:** Files arranged by province, by project and by subject. **Program Record Number:** WED REG 030

Western Economic Diversification — General

Description: Subjects relating to the Department's involvement with various associations, memberships in committees, procurement initiatives, and other topics of a general nature. **Topics:** Committees and councils; task forces and working groups; conferences and seminars; liaison; meetings; procurement initiatives. **Access:** Files arranged by subject. **Program Record Number:** WED PPB 005

Western Economic Diversification — Projects

Description: Subjects relating to the overall administration of the Department's projects in Manitoba, Saskatchewan, Alberta and British Columbia; related reports, summaries, briefing notes and documents, and inquiries. **Topics:** Projects administration; projects reports; briefings; provinces (Manitoba, Saskatchewan, Alberta, British Columbia). **Access:** Files arranged by subject. **Program Record Number:** WED PPB 010

Western Transportation Industrial Development Program and Projects

Description: Subjects relating to western transportation industrial development initiatives undertaken by the Department (and other departments responsible for Western economic and regional development). Subjects involve cross-sectoral policies, including requests for information, investigation and analysis; project monitoring; financial statement review; and evaluation. (Note: Individual projects are normally treated as exempt under sub-section 20 (1) of the Access to Information Act. **Topics:** Western Transportation Industrial Development Program (WTIDP) and related provincial projects (Manitoba, Saskatchewan, Alberta, British Columbia). **Access:** Files arranged by province, by project and by subject. **Program Record Number:** WED REG 035

Standard Program Records

Please see the INTRODUCTION to this publication for the definition of Standard Program Records and a description of their contents.

Accounts and Accounting

Acts and Legislation

Administration

Administration and Management Services

Audits

Budgets

Buildings and Properties

Classification of Positions

Employment and Staffing

Equipment and Supplies

Finance

Furniture and Furnishings

Human Resources

Occupational Health, Safety and Welfare

Office Appliances

Official Languages

Pensions and Insurance

Personnel

Procurement

Salaries and Wages

Staff Relations

Training and Development

Vehicles

Personal Information Banks

♦ Administration

Access and Privacy Requests Data Bank

Description: This bank contains the access request forms sent by individuals requesting access to the Department's records under the Access to Information Act, and the Privacy Act, the replies to such requests, and information related to their processing. This bank also contains requests made by third parties for disclosure of personal information under the categories of permitted disclosures to third parties outlined in subsection 8(2) of the Privacy Act, the replies to such requests, and information relating to their processing. Information on exemptions claimed or on complaints handled may also be included. **Class of Individuals:** Individuals requesting access to the Department's records under the Access to information Act, or under the Privacy Act. **Purpose:** The

purpose of this bank is to process and retain access and privacy requests, and to report on the number of requests received annually. **Consistent Uses:** Contents of this bank may be disclosed to the Privacy Commissioner or the Access to Information Commissioner during the investigation of complaints from requesters of information.

Retention and Disposal Standards: Records are retained for two years from the date of the last administrative action, after which they are destroyed.

PAC Number: 86-001 **TBS Registration:** 002456

Bank Number: WED PPU 005

Applications for Employment

Description: This bank contains the applications received from individuals seeking employment from the Department. This information usually consists of letters to which curricula vitae are attached. **Class of Individuals:** Individuals seeking employment with the Department.

Purpose: The purpose of this bank is to provide reference data as positions become vacant. **Retention and**

Disposal Standards: Records are retained for two years, after which they are destroyed. **PAC Number:** 86-001

TBS Registration: 002457 **Bank Number:**

WED PPU 010

Consulting and Professional Services Contracts

Description: This bank contains the contract proposals, contracts awarded, types of services rendered, length of contracts, money expended, and the actual contracts with supporting documentation. **Class of Individuals:** Individuals hired under consulting and professional services contracts by the Department. **Purpose:** The purpose of this bank is to provide accounting, reference, and statistical data. **Retention and Disposal Standards:**

Records are retained for six years, after which they are destroyed. **PAC Number:** 86-001 **TBS Registration:**

002458 **Bank Number:** WED PPU 015

Mailing Lists

Description: This bank contains mailing lists with the names and addresses of individuals, firms, groups, etc. **Class of Individuals:** Individuals, firms, groups, government officials, etc. **Purpose:** The purpose of this bank is to maintain a standard list of individuals, groups, associations, and businesses for the purpose of mailing press releases, publications, and other documentation on departmental activities and programs. **Retention and Disposal Standards:** Records are updated continuously, as information changes. **PAC Number:** 86-001 **TBS Registration:** 002459 **Bank Number:** WED PPU 020

◆ Operations

Committees, Councils, Task Forces and Working Groups

Description: This bank contains the terms of reference; work plans; agendas; briefs and analyses from individuals and groups; minutes; press releases; etc., of committees, councils, task forces, and working groups administered by the Department or in which the Department is a major participant. This bank also contains the names and

addresses of participating groups and individuals. **Class of Individuals:** Individuals presenting briefs and other material at the meetings. **Purpose:** The purpose of this bank is to retain all the minutes of meetings for reference and historical purposes. **Retention and Disposal**

Standards: Records are retained for five years, after which they are transferred to the National Archives of Canada for selective retention. **TBS Registration:**

002460 **Bank Number:** WED PPU 025

◆ Operations — Projects

Agricultural Processing and Marketing (APMA) Program Projects

Description: This bank contains project applications for funding assistance under the Agricultural Processing and Marketing (APMA) Program. The applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's project recommendation and implementation. **Class of Individuals:** Individuals and groups submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Agricultural Processing and Marketing (APMA) Program, for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for six years, after which they are transferred to the National Archives of Canada for selective retention.

TBS Registration: 002462 **Bank Number:** WED PPU 035

Industrial Development (IDA) Program Projects

Description: This bank contains project applications for funding assistance under the Industrial Development (IDA) Program. These applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's project recommendation and implementation documentation. **Class of Individuals:** Individuals and groups submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Industrial Development (IDA) Program, for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for six years, after which they are transferred to the National Archives of Canada for selective retention. **TBS Registration:** 002463 **Bank Number:** WED PPU 040

Industrial Regional Development (IRD) Program Projects

Description: This bank contains project applications for funding assistance under the Industrial Regional Development (IRD) Program. These applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis;

and the Department's project recommendation and implementation documentation. **Class of Individuals:** Individuals and groups submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Industrial Regional Development (IRD) Program, for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for six years, after which they are transferred to the National Archives of Canada for selective retention. **TBS Registration:** 002464 **Bank Number:** WED PPU 045

Proposals and Inquiries

Description: This bank contains proposals for funding assistance under a departmental program. The proposals may consist of very informal requests for information, a letter or a formal application requesting funding. It does not contain approved proposals. These are part of the specific funding projects (i.e., the APMA projects). The bank also contains inquiries into the status of proposals. **Class of Individuals:** Individuals, associations, and groups requesting information and/or submitting proposals for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain in-progress proposals for funding assistance and requests for information. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for two years, after which they are destroyed. **TBS Registration:** 002461 **Bank Number:** WED PPU 030

Small Business Incentives (SBISA) Program Projects

Description: This bank contains project applications for funding assistance under the Small Business Incentives (SBISA) Program. These applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's project recommendation and implementation documentation. **Class of Individuals:** Individuals and groups submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Small Business Incentives (SBISA) Program, for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for six years, after which they are transferred to the National Archives of Canada for selective retention. **TBS Registration:** 002465 **Bank Number:** WED PPU 050

Western Diversification Program (WDP) Projects

Description: This bank contains project applications for funding assistance under the Western Diversification Program (WDP). These applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's project recommendation and

implementation documentation. **Class of Individuals:** Individuals and groups submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Western Diversification Program (WDP), for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for six years, after which they are transferred to the National Archives of Canada for selective retention. **TBS Registration:** 002466 **Bank Number:** WED PPU 055

Western Transportation Industrial Development (WTID) Program Projects

Description: This bank contains project applications for funding assistance under the Western Transportation Industrial Development (WTID) Program. These applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's project recommendation and implementation documentation. **Class of Individuals:** Individuals and groups submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Western Transportation Industrial Development (WTID) Program, for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for six years, after which they are transferred to the National Archives of Canada for selective retention. **TBS Registration:** 002467 **Bank Number:** WED PPU 060

Winnipeg Core Area Program Projects

Description: This bank contains project applications for funding assistance under the Winnipeg Core Area Program. These applications consist of the applicant's profile and supporting documentation; the project's description, costs, financing, and analysis; and the Department's project recommendation and implementation documentation. **Class of Individuals:** Individuals and groups submitting applications for funding assistance from the Department. **Purpose:** The purpose of this bank is to retain a record of all requests for and inquiries about funding assistance under the Winnipeg Core Area Program, for assessment, recommendation and implementation by departmental officials. The bank also provides reference and statistical data. **Retention and Disposal Standards:** Records are retained for six years, after which they are transferred to the National Archives of Canada for selective retention. **TBS Registration:** 002468 **Bank Number:** WED PPU 065

Classes of Personal Information

In the course of conducting the programs and activities of the Department, personal information may be accumulated which is not contained in the specific

information banks described in this entry. This information exists in a fragmented form throughout the subject files of the Department. This information is stored as part of the general subject files, where records are not normally retrieved by the name of the individual or other personal identifier. This form of information is retrievable only if specifics are provided concerning the subject matter, the related departmental activity, as well as the date at which the information was received by the Department and the name of the person to whom it was addressed. The personal information contained in these files is retained for the same period of time as the related subject information and disposed of according to the appropriate records schedules.

Manuals

- Evaluation and approval of projects
- Finance and Professional Services Manual
- Human Resources Policies and Procedures Manual
- Precedent Project Casebook
- Program Policy Manual
- Records Classification Manual
- WDP Operations Handbook — Project Assessment and Approval

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Department and its various programs and functions may be directed to:

Headquarters

Communications
Edmonton, Alberta
(403) 495-4304

Manitoba Regional Office

Communications
Winnipeg, Manitoba
(204) 983-6243

Saskatchewan Regional Office

Communications
Saskatoon, Saskatchewan
(306) 975-5942

Alberta Regional Office

Communications
Edmonton, Alberta
(403) 495-4304

British Columbia Regional Office

Communications
Vancouver, British Columbia
(604) 666-1318

Ottawa Liaison Office

Communications
Ottawa, Ontario
(613) 952-7100

Reading Room

The Library of the Department has been designated under the Access to Information Act as a public reading room. The address is:

Room 8285
Centennial Towers
8th Floor
200 Kent Street
Ottawa, Ontario

Yukon Territory Water Board

Chapter 106

General Information

Background

The Yukon Territory Water Board was established by the Northern Inland Waters Act in 1970, which was replaced by the Yukon Waters Act in 1993.

Responsibilities

The responsibility of the Yukon Territory Water Board is to manage and protect the Yukon's surface and sub-surface water resources. Water Use Licences are issued for Type A and Type B projects. Type A projects have a greater potential for adverse environmental impacts. Project undertakings include quartz and placer mining hydropower generation, municipal use, agriculture, conservation, recreation and several miscellaneous projects such as culvert installation. Public hearings are mandatory for Type A applications and optional for Type B.

A licence issued by the Board generally contains terms and conditions which reflect a balance between protection of the water resources and their exploitation as proposed by the applicant. Type A licences require the approval of the Minister of Indian Affairs and Northern Development.

The Department of Indian Affairs and Northern Development enforces the terms and conditions of Water Use Licences.

Legislation

- Regulations approved by Governor in Council in 1993
- Yukon Waters Act

Organization

The Yukon Territory Water Board has a maximum of nine members, who are appointed by the Minister of Indian Affairs and Northern Development. The Government of Yukon and Yukon First Nations nominate up to three members each. The Board includes a Secretariat, consisting of six employees of the Department of Indian Affairs and Northern Development.

programs and compliance with licence terms, in addition to that contained in the Water Use Register. **Program Record Number:** YTW YTW 015

Water Use Application File

Description: Application forms describing the project, proposed water use, correspondence between the Board and applicant. **Program Record Number:** YTW YTW 010

Water Use Register

Description: Official Water Use Register. **Topics:** Water Use Licences and related documents; applications for licences; supporting documents, including engineering and design plans, construction schedules, as-built drawings, technical submissions; correspondence between the Board and the applicant; reasons for decisions, and terms and conditions of the issued licence. **Program Record Number:** YTW YTW 005

Additional Information

Please see the INTRODUCTION to this publication for information on access procedures under the provisions of the Access to Information Act and the Privacy Act.

Requests for further information about the Board's programs and functions may be directed to:

Manager, Water Board Secretariat
Yukon Territory Water Board
Suite 106, 200 Range Road
Whitehorse, Yukon
Y1A 3V1
(403) 667-3980
Fax: (403) 668-3628

Reading Room

The Board's Register Room has been designated under the Access to Information Act as a public reading room. It is located at:

Suite 106, 200 Range Road
Whitehorse, Yukon

Information Holdings

Program Records

Licence Files

Description: Correspondence and other information on licences issued, such as documentation on monitoring

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